project period extensions involving the obligation of additional Federal funds, would result in the projects receiving multiple years of continuation funding within one year.

The waiver and extension enables these projects to receive their continuation funding over one additional period, not to exceed 1 year. By spreading out their continuation funding over an additional year, IES allows grantees to properly budget their activities for the remaining years of the project, including an additional year 6.

Final Waivers and Extensions: The Department believes it is in the best interest of the public to extend project periods for one year and allow continuation funding to be provided during the additional year. Correspondingly, the Secretary waives the requirements in 34 CFR 75.250, which prohibit project periods exceeding five years. Any activities carried out under these continuation awards must be consistent with the scope and objectives of the grantees’ applications as approved in the relevant grant competition. The requirements for continuation awards are set forth in 34 CFR 75.253.

Exemption From Proposed Rulemaking

Under section 191 of the Education Sciences Reform Act, 20 U.S.C. 9581, IES is not subject to section 437(d) of the General Education Provisions Act, 20 U.S.C. 1232(d), and is therefore not required to offer interested parties the opportunity to comment on matters relating to grants.

Regulatory Flexibility Act Certification

Because notice-and-comment rulemaking is not necessary for this action, the Regulatory Flexibility Act (96 Pub. L. 354, 5 U.S.C. 601–612) does not apply.

Paperwork Reduction Act of 1995

This final waiver and extension of the project period does not contain any information collection requirements.

Intergovernmental Review

These competitions are not subject to Executive Order 12372 and the regulations in 34 CFR part 79.

Accessible Format: On request to the program contact person listed under FURTHER INFORMATION CONTACT, individuals with disabilities can obtain this document in an accessible format. The Department will provide the requestor with an accessible format that may include Rich Text Format (RTF) or text format (txt), a thumb drive, an MP3 file, braille, large print, audiotape, or compact disc, or other accessible format.

Electronic Access to This Document: The official version of this document is the document published in the Federal Register. You may access the official edition of the Federal Register and the Code of Federal Regulations at www.govinfo.gov. At this site you can view this document, as well as all other documents of this Department published in the Federal Register, in text or Portable Document Format (PDF). To use PDF you must have Adobe Acrobat Reader, which is available free at the site.

You may also access documents of the Department published in the Federal Register by using the article search feature at www.federalregister.gov. Specifically, through the advanced search feature at this site, you can limit your search to documents published by the Department.

Mark Schneider, Director, Institute of Education Sciences.

Dated: April 15, 2022.

Shira Perlmutter, Register of Copyrights and Director of the U.S. Copyright Office.

Approved by: Carla D. Hayden, Librarian of Congress.

BILLING CODE 1410–30–P

TABLE 4 TO PARAGRAPH (g)

<table>
<thead>
<tr>
<th>Copyright Claims Board fees</th>
<th>Fees ($)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) Initiate a proceeding before the Copyright Claims Board:</td>
<td></td>
</tr>
<tr>
<td>(i) First payment ..................</td>
<td>40</td>
</tr>
<tr>
<td>(ii) Second payment ................</td>
<td>60</td>
</tr>
<tr>
<td>* * *</td>
<td></td>
</tr>
</tbody>
</table>

Dated: April 22, 2022.
FOR FURTHER INFORMATION CONTACT: Megan Eftimiaidis, Assistant to the General Counsel, by email at meft@copyright.gov, or by telephone at 202–707–8350.

SUPPLEMENTARY INFORMATION:

I. Background

The Copyright Alternative in Small-Claims Enforcement (“CASE”) Act of 2020 directs the Copyright Office to establish the Copyright Claims Board (“CCB” or “Board”), a voluntary tribunal within the Office comprised of three Copyright Claims Officers who have the authority to render determinations on certain copyright claims for economic recoveries within the statutory limit. The Office issued a notification of inquiry (“NOI”) and subsequent notices of proposed rulemaking (“NPRM”) to describe the CASE Act’s legislative background and rulemaking (“NPRM”) to describe the CASE Act’s legislative background and regulatory scope and to ask for public input on various topics. One NPRM addressed “regulations allowing the Copyright Office to make a decision, on an expedited basis, to issue or deny copyright registration for an unregistered work that is at issue before the Board.”

In August 2021, the Librarian of Congress, after consulting with the Registrars, issued a final rule promulgating regulations to govern the expedited registration process. The final rule contained the following language: “[i]f the proceeding cannot continue because of a pending registration, the Copyright Claims Board shall hold proceedings in abeyance until the claimant or counterclaimant provides the Copyright Claims Board with the certificate of registration or the registration number on the certificate of registration or certificate preview.” The final rule also only allowed a party to initiate the expedited registration process once “the proceeding has become active.”

II. Final Rule

The Board asked the Office to clarify two points regarding the regulations. First, the Board asked to clarify that neither the regulations nor the CASE Act require that a CCB proceeding must be held in abeyance immediately at the point the Board discovers that the claim concerns a work with a pending registration. The Office had promulgated a rule reflecting that the CASE Act allows for a proceeding to be held in abeyance where “the proceeding may not proceed further because a registration certificate for the work is pending.” The CASE Act also states that “if the proceeding is held in abeyance for more than 1 year, the Copyright Claims Board may, upon providing written notice to the parties to the proceeding, and 30 days to the parties to respond to the notice, dismiss the proceeding without prejudice” and that the Board cannot issue a final determination for a claim involving a work that has been denied registration. The CASE Act does not offer additional guidance on when a proceeding may not proceed further due to a pending registration certificate.

Where a registration application is pending for a work at issue before the Board, the Board has the authority to hold the proceeding in abeyance at any point where it believes the pendency means that the proceeding should not proceed further. If a work’s eligibility for copyright registration is not at issue, the Board may not have any reason to delay the proceeding while the Copyright Office considers the application. In other circumstances, the Board may decide to hold the proceedings until after the Copyright Office makes a registration decision. The amended regulations reflect that it is within the Board’s discretion, up to the issuance of its final determination, to determine whether and when a proceeding may not proceed further due to a pending registration.

Second, the Board asked the Office to clarify the procedures related to submitting a registration certificate and lifting the abeyance. The regulations state that proceedings will be held in abeyance due to a pending registration “until the claimant or counterclaimant provides the Copyright Claims Board with the certificate of registration or the registration number on the certificate of registration or certificate preview.” While this process complies with the requirement that “the proceeding shall be held in abeyance pending submission of the certificate to the Copyright Claims Board,” the rule did not explain that where party submits a registration number, and not the registration certificate, the Office will provide a copy of the certificate to the Board to include in the proceeding’s record.

While the Office hopes to automate this process in the future, parties should contact the Board when submitting the registration number, so the Board can notify the Office to complete the certificate submission process. In all circumstances, the parties to the proceeding will be given “an opportunity to address the registration certificate” before the Board renders a determination.

The Board also suggested that the Office allow a claimant or counterclaimant to request expeditied registration prior to a proceeding becoming active, with the Board’s permission. While the Office’s NPRM stated that a rule that only allowed a claimant or counterclaimant to request expeditied registration after a proceeding becomes active would “ensure that registration applicants do not invoke the CCB to receive special handling treatment at a discounted rate when not genuinely intending to pursue their claim through the CCB,” at this point the Office agrees that the Board should have the authority to allow a claimant or counterclaimant with a pending application to request expedited registration prior to a proceeding becoming active. In particular, the amendment will allow claimants or counterclaimants to receive an earlier registration decision where copyrightability is unclear. The Office is implementing the Board’s suggestion in the final rule, but will revisit this rule if the aforementioned concerns materialize.

Finally, while the final rule clearly stated that an expedited registration request applied to a “registration application,” the language describing the fee stated that it applied to “each request” without noting that this specifically referred to an application request. This final rule clarifies that language and also removes minor typographical errors.

These amendments constitute a change to a “rule[ ] of agency . . . procedure[ ] or practice” and do not “alter the rights or interests of parties.” Therefore, these amendments are not subject to the notice and comment requirements of the Administrative Procedure Act and are being issued as a final rule.

3 86 FR 21990, 21991 (quoting 17 U.S.C. 1505(d)).
5 Id. at 46123 (codified at 37 CFR 221.1(b)).
6 Id. (codified at 37 CFR 221.1(b)).
7 17 U.S.C. 1505(b)(2); 86 FR 46119, 46123 (codified at 37 CFR 221.1(b)).
8 Id. at 1505(b)(2)–(3).
9 86 FR 46119, 46123 (codified at 37 CFR 221.1(b)).
PART 201—GENERAL PROVISIONS

1. The authority citation for part 201 continues to read as follows:

2. In § 201.3, revise paragraph (d)(8) to read as follows:

§ 201.3 Fees for registration, recordation, and related services, special services, and services performed by the Licensing Section and the Copyright Claims Board.

(d) * * *

§ 221.1 Registration requirement.

(b) For a work that has not yet been registered, a claimant or counterclaimant who has a pending application to register the work must indicate on its claim or counterclaim notice that the work is pending registration and must include the work’s service request (SR) number that was assigned to the copyright registration claim. If the Copyright Claims Board, in its discretion, at any time determines that the proceeding may not proceed forward because of a pending registration, the Copyright Claims Board shall issue an order holding the proceeding in abeyance until it is provided with the certificate of registration or the registration number on the certificate of registration or certificate preview. Under this provision, the Copyright Claims Board can decide to hold the proceeding in abeyance at any point in the proceeding, but must dismiss the proceeding without prejudice if it is notified that the registration application was refused. If the proceeding has been held in abeyance for more than one year, the Copyright Claims Board may dismiss the claim or counterclaim without prejudice after providing thirty days’ written notice to all parties to the proceeding.

5. In § 221.2, revise paragraphs (b) and (e) to read as follows:

§ 221.2 Small claims expedited registration.

(b) Initiating small claims expedited registration. The small claims expedited registration process can only be initiated after the claimant or counterclaimant has completed an application for copyright registration and either the Copyright Claims Board has issued an order holding the proceedings in abeyance pursuant to § 221.1(b) and has granted the applicant permission to request an expedited registration or the proceeding has become active. To initiate the small claims expedited registration process, the qualifying claimant or counterclaimant must make a request and pay the required fee set forth in § 201.3(d). Parties must not attempt to initiate small claims expedited registration by using the Copyright Office’s electronic registration system (eCO).

(e) Granted requests. If the request for expedited registration under this section is granted, the Office will make every attempt to examine the application within 10 business days after notice of the request is delivered by the Copyright Claims Board to the Copyright Office’s Office of Registration Policy and Practice, although the Copyright Office cannot guarantee that all applications will be examined within that timeframe.

Dated: April 15, 2022.

Shira Perlmutter,
Register of Copyrights and Director of the U.S. Copyright Office.

Approved by:

Carla D. Hayden,
Librarian of Congress.