### PENSION BENEFIT GUARANTY CORPORATION

#### 29 CFR Parts 4071 and 4302

**RIN 1212–AB45**

**Adjustment of Civil Penalties for Inflation**

**AGENCY:** Pension Benefit Guaranty Corporation.

**ACTION:** Final rule.

**SUMMARY:** The Pension Benefit Guaranty Corporation is required to amend its regulations annually to adjust for inflation the maximum civil penalty for failure to provide certain notices or other material information and for failure to provide certain multiemployer plan notices.

**DATES:**
- **Effective date:** This rule is effective on January 14, 2022.
- **Applicability date:** The increases in the civil monetary penalties under sections 4071 and 4302 of the Employee Retirement Income Security Act provided for in this rule apply to such penalties assessed after January 14, 2022.

**FOR FURTHER INFORMATION CONTACT:** Gregory Katz (katz.gregory@pbgc.gov), Attorney, Regulatory Affairs Division, Pension Benefit Guaranty Corporation, 1200 K Street NW, Washington, DC 20005–4026; 202–229–3829. (TTY users may call the Federal relay service toll-free at 800–877–8339 and ask to be connected to 202–229–3829.)

#### Table: Penalties Inflation Adjustment Act of 1990

<table>
<thead>
<tr>
<th>Agency</th>
<th>Law</th>
<th>Name description</th>
<th>CFR citation</th>
<th>Min penalty (rounded to nearest dollar)</th>
<th>Max penalty (rounded to nearest dollar)</th>
<th>Min penalty (rounded to nearest dollar)</th>
<th>Max penalty (rounded to nearest dollar)</th>
</tr>
</thead>
<tbody>
<tr>
<td>OWCP ...</td>
<td>Longshore and Harbor Workers' Compensation Act.</td>
<td>Failure to file first report of injury or filing a false statement or misrepresentation in first report.</td>
<td>20 CFR 702.204</td>
<td>$24,730</td>
<td>$26,290</td>
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<tr>
<td>OWCP ...</td>
<td>Longshore and Harbor Workers' Compensation Act.</td>
<td>Failure to report termination of payments</td>
<td>20 CFR 702.236</td>
<td>$301</td>
<td>$320</td>
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<tr>
<td>OWCP ...</td>
<td>Longshore and Harbor Workers' Compensation Act.</td>
<td>Discrimination against employees who claim compensation or testify in a LHWCA proceeding.</td>
<td>20 CFR 702.271(a)(2)</td>
<td>$12,363</td>
<td>$13,132</td>
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<td>OWCP ...</td>
<td>Black Lung Benefits Act.</td>
<td>Failure to report termination of payments</td>
<td>20 CFR 725.621(d)</td>
<td>$1,506</td>
<td>$1,600</td>
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<td>OWCP ...</td>
<td>Black Lung Benefits Act.</td>
<td>Failure to secure payment of benefits for mines with fewer than 25 employees.</td>
<td>20 CFR 726.302(c)(2)(i)</td>
<td>$148</td>
<td>157</td>
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<tr>
<td>OWCP ...</td>
<td>Black Lung Benefits Act.</td>
<td>Failure to secure payment of benefits for mines with 25–50 employees.</td>
<td>20 CFR 726.302(c)(2)(ii)</td>
<td>$293</td>
<td>$311</td>
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<td>OWCP ...</td>
<td>Black Lung Benefits Act.</td>
<td>Failure to secure payment of benefits for mines with 51–100 employees.</td>
<td>20 CFR 726.302(c)(2)(iii)</td>
<td>$441</td>
<td>$468</td>
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<tr>
<td>OWCP ...</td>
<td>Black Lung Benefits Act.</td>
<td>Failure to secure payment of benefits for mines with more than 100 employees.</td>
<td>20 CFR 726.302(c)(2)(iv)</td>
<td>$586</td>
<td>$622</td>
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<td>OWCP ...</td>
<td>Black Lung Benefits Act.</td>
<td>Failure to secure payment of benefits for repeat offenders.</td>
<td>20 CFR 726.302(c)(3)</td>
<td>$148</td>
<td>$157</td>
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<td>OWCP ...</td>
<td>Black Lung Benefits Act.</td>
<td>Failure to secure payment of benefits after 10th day of notice.</td>
<td>20 CFR 726.302(c)(4)</td>
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<td>$468</td>
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<tr>
<td>OWCP ...</td>
<td>Black Lung Benefits Act.</td>
<td>Failure to secure payment of benefits for repeat offenses.</td>
<td>20 CFR 726.302(c)(5)</td>
<td>$3,011</td>
<td>$3,198</td>
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</tbody>
</table>

**SUPPLEMENTARY INFORMATION:**

**Executive Summary**

**Purpose of the Regulatory Action**

This rule is needed to carry out the requirements of the Federal Civil Penalties Inflation Adjustment Act Improvements Act of 2015 and Office of Management and Budget guidance M–22–07. The rule adjusts, as required for 2022, the maximum civil penalties under 29 CFR 4071 and 29 CFR 4302 that the Pension Benefit Guaranty Corporation (PBGC) may assess for failure to provide certain notices or other material information and certain multiemployer plan notices.


**Major Provisions of the Regulatory Action**

This rule adjusts as required by law the maximum civil penalties that PBGC may assess under sections 4071 and 4302 of ERISA. The new maximum amounts are $2,400 for section 4071 penalties and $320 for section 4302 penalties.

**Background**

PBGC administers title IV of ERISA. Title IV has two provisions that authorize PBGC to assess civil monetary penalties. Under the Federal Civil Penalties Inflation Adjustment Act of 1990, a penalty is a civil monetary penalty if (among other things) it is for a specific monetary amount or has a maximum amount specified by Federal law. Title IV also provides (in section 4007) for penalties for late payment of premiums, but those penalties are neither in a specified amount nor subject to a specified maximum amount.

1 Under the Federal Civil Penalties Inflation Adjustment Act of 1990, a penalty is a civil monetary penalty if (among other things) it is for a specific monetary amount or has a maximum amount specified by Federal law. Title IV also provides (in section 4007) for penalties for late payment of premiums, but those penalties are neither in a specified amount nor subject to a specified maximum amount.

requires agencies to adjust civil monetary penalties for inflation and to publish the adjustments in the Federal Register. An initial adjustment was required to be made by interim final rule published by July 1, 2016, and effective by August 1, 2016. Subsequent adjustments must be published by January 15 each year after 2016.

On December 15, 2021, the Office of Management and Budget issued memorandum M–22–07 on implementation of the 2022 annual inflation adjustment pursuant to the 2015 act. The memorandum provides agencies with the cost-of-living adjustment multiplier for 2022, which is based on the Consumer Price Index (CPI–U) for the month of October 2021, not seasonally adjusted. The multiplier for 2022 is 1.06222. The adjusted maximum amounts are $2,400 for section 4071 penalties and $320 for section 4302 penalties.

Compliance With Regulatory Requirements

The Office of Management and Budget has determined that this rule is not a “significant regulatory action” under Executive Order 12866 and therefore not subject to its review.

The Office of Management and Budget also has determined that notice and public comment on this final rule are unnecessary because the adjustment of civil penalties implemented in the rule is required by law. See 5 U.S.C. 553(b).

Because no general notice of proposed rulemaking is required for this rule, the Regulatory Flexibility Act of 1980 does not apply. See 5 U.S.C. 601(2).

List of Subjects

29 CFR Part 4071

Penalties.

29 CFR Part 4302

Penalties.

In consideration of the foregoing, PBGC amends 29 CFR parts 4071 and 4302 as follows:

PART 4071—PENALTIES FOR FAILURE TO PROVIDE CERTAIN NOTICES OR OTHER MATERIAL INFORMATION

§ 4071.3 [Amended]

2. In § 4071.3, remove the number “$2,259” and add in its place the number “$2,400”.

PART 4302—PENALTIES FOR FAILURE TO PROVIDE CERTAIN MULTIEMPLOYER PLAN NOTICES

3. The authority citation for part 4302 continues to read as follows:


§ 4302.3 [Amended]

4. In § 4302.3, remove the number “$301” and add its place the number “$320”.

Issued in Washington, DC, by Gordon Hartogensis,

Director, Pension Benefit Guaranty Corporation.

[FR Doc. 2022–00778 Filed 1–13–22; 8:45 am]

BILLING CODE 7709–02–P

DEPARTMENT OF THE INTERIOR

Office of Surface Mining Reclamation and Enforcement

30 CFR Parts 870 and 872

[Docket ID: OSM–2021–0008; S1D1S SS08011000 SX064A000 221S180110; S2D2S SS08011000 SX064A000 22XS501520]

RIN 1029–AC83

Abandoned Mine Land Reclamation Fee

AGENCY: Office of Surface Mining Reclamation and Enforcement, Interior.

ACTION: Interim final rule, request for comments.

SUMMARY: We, the Office of Surface Mining Reclamation and Enforcement (OSMRE), are revising our regulations for the Abandoned Mine Reclamation Fund (AML Fund). This rule revises our regulations to be consistent with the Infrastructure Investment and Jobs Act (IIJA), which was signed into law on November 15, 2021, and which included the Abandoned Mine Land Reclamation Amendments of 2021 (the 2021 amendments). The rule reflects the extension of our statutory authority to collect reclamation fees for an additional thirteen years and to reduce the fee rates. In addition, we are revising our rule provisions to reflect the statutory extension of the dates when moneys derived from these fees will be available to eligible States and Tribes for grant distributions.

DATES: Effective January 14, 2022. Comments will be accepted until February 14, 2022.

ADDRESSES: You may submit comments by one of the following methods:


Mail: Address comment to Public Comments Processing, Attn: Docket No. OSM–2021–0008; Office of Surface Mining Reclamation and Enforcement, 1849 C Street NW, Mail Stop 4558, Washington, DC 20240.

FOR FURTHER INFORMATION CONTACT: Harry Payne, Office of Surface Mining Reclamation and Enforcement, 1849 C Street NW, Mail Stop 4558, Washington, DC 20240; Telephone (202) 208–5683. Email: hpayne@osmre.gov.

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I. Background

A. How did the reclamation fee work before the 2021 amendments?

Title IV of the Surface Mining Control and Reclamation Act of 1977 (SMCRA)