SUMMARY: In accordance with the Federal Advisory Committee Act, as amended, the National Aeronautics and Space Administration (NASA) announces a meeting of the Applied Sciences Advisory Committee (ASAC). This Committee functions in an advisory capacity to the Director, Earth Science Division, in the NASA Science Mission Directorate. The meeting will be held for the purpose of soliciting, from the science community and other persons, scientific and technical information relevant to program planning. The meeting will be virtually-only.

DATES: Tuesday, December 7, 2021, 12:00 p.m.—4:00 p.m.; Wednesday, December 8, 2021, 11:00 a.m.—4:00 p.m.; and Thursday, December 9, 2021, 11:00 a.m.—4:00 p.m., Eastern Time.

SUPPLEMENTARY INFORMATION: This meeting will be available to the public by WebEx.

On Tuesday, December 7, the event address for attendees is: https://nasaenterprise.webex.com/nasaenterprise/j.php?MTID=mfe6ad435c075d9b5a71d15abcb563aca0b, the event number is 2763 891 6967, and event password is 2FMwvnxN5 and 23689626 from your phone. To join by telephone, the numbers are 1–929–251–9612 or 1–415–527–5035. The access code is 276 006 00870.

On Wednesday, December 8, the event address for attendees is: https://nasaenterprise.webex.com/nasaenterprise/j.php?MTID=mfe6ad435c075d9b5a71d15abcb563aca0b, the event number is 2763 891 6967, and event password is 2FMwvnxN5 and 23689626 from your phone. To join by telephone, the numbers are 1–929–251–9612 or 1–415–527–5035. The access code is 276 006 00870.

The agenda for the meeting includes the following topics:
• Earth Science and Applied Sciences Program Updates
• Applied Sciences Strategic Plan
• Private Sector Engagement Planning

The agenda will be posted on the Applied Sciences Advisory Committee web page: https://science.nasa.gov/science-committee/subcommittees/nac-earth-science-subcommittee/advisory-groups


It is imperative that the meeting be held on these dates to accommodate the scheduling priorities of the key participants.

Patricia Rausch, Advisory Committee Management Officer, National Aeronautics and Space Administration. [FR Doc. 2021–24626 Filed 11–10–21; 8:45 am]
FOR FURTHER INFORMATION CONTACT: Linda Dent, Senior Agency Official for Privacy via email at privacy@NCUA.gov or at 703–518–6540.

SUPPLEMENTARY INFORMATION: Pursuant to the Privacy Act, 5 U.S.C. 552a, the NCUA is establishing a new system of records, NCUA–24, Ensuring Workplace Health and Safety in Response to a Public Health Emergency. The NCUA is committed to providing all NCUA personnel with a safe and healthy work environment. When Federal, state, or local authorities declare a public health emergency, and only as necessary to protect the health and safety of its workforce and the public the NCUA may develop and institute additional safety measures to protect the workforce and those individuals entering NCUA facilities. These measures may include instituting activities such as: (1) Requiring NCUA personnel (including applicants for Federal employment) to provide information and/or submit to a medical screening before being allowed access to an NCUA facility, and (2) contact tracing. NCUA personnel may also need to provide information before being authorized for work-related travel.

In certain instances, depending on the type of record collected and maintained, for Federal employees, this information will also be maintained and covered by Office of Personnel Management/ Government-10 Employee Medical File System Records (75 FR 35099, June 21, 2010). However, any collection and use of records covered by this system of records notice (SORN) is only permitted during times of a declared public health emergency or when the circumstances require the NCUA to collect and maintain such information on the various categories of individuals described below. The NCUA will collect and maintain information in accordance with the Americans with Disabilities Act of 1990 and regulations and guidance published by the U.S. Occupational Safety and Health Administration, the U.S. Equal Employment Opportunity Commission, and the U.S. Centers for Disease Control and Prevention.

This notice satisfies the Privacy Act requirement that an agency publish a system of records notice in the Federal Register when there is an addition to the agency’s systems of records.

NCUA–24 is published in full below. All of the NCUA’s SORNs are available at www.ncua.gov.

By the National Credit Union Administration Board.
Melane Conyers-Aush Brooks, Secretary of the Board.

SYSTEM NAME AND NUMBER:
Ensuring Workplace Health and Safety in Response to a Public Health Emergency, NCUA–24.

SECURITY CLASSIFICATION:
Unclassified.

SYSTEM LOCATION:
Records are maintained at NCUA facilities in Alexandria, Virginia and regional offices. Original and duplicate systems may exist, in whole or in part, at secure sites and on secure servers maintained by third-party service providers for the NCUA.

SYSTEM MANAGER(S):
Director of the Office of Continuity and Security Management, National Credit Union Administration, 1775 Duke Street, Alexandria, VA 22314.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

PURPOSE(S) OF THE SYSTEM:
The information in the system is collected to assist the NCUA with maintaining a safe and healthy workplace and respond to a public health emergency (as defined by the U.S. Department of Health and Human Services and declared by its Secretary), such as a pandemic or epidemic. These measures may include instituting activities such as: (1) Requiring NCUA personnel (including applicants for Federal employment) to provide information and/or submit to a medical screening before being allowed access to an NCUA facility, and (2) contact tracing. NCUA personnel may also need to provide information before being authorized to travel.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:
Individuals covered by this system include NCUA personnel, such as, political appointees, employees, contractors, details, consultants, interns, volunteers, and applicants for Federal employment.

CATEGORIES OF RECORDS IN THE SYSTEM:
Information may include:
• Name
• Contact information (e.g., email address, phone number)
• Employee ID number
• Recent travel history
• Whether the individual provides dependent care for an individual in a high-risk category
• Health information, including:
  ○ Body temperature
  ○ Confirmation of pathogen or communicable disease test,
  ○ Test results,
  ○ Dates, symptoms, potential or actual exposure to a pathogen or communicable disease,
  ○ Immunization or vaccination information;
• Information to support a reasonable accommodation (for example, a request for exemption from a vaccination requirement), and
• Other medical history related to the treatment of a pathogen or communicable disease
• Contact tracing information, including:
  ○ Dates when the individual visited the NCUA facility or event, or worked on-site on behalf of the NCUA,
  ○ Locations that the individual visited within the facility (e.g., office and cubicle number),
  ○ Duration of time spent in the facility, and
  ○ Whether the individual may have potentially come into contact with a contagious person while visiting the facility.

RECORD SOURCE CATEGORIES:
The information in this system is collected in part directly from the individual. Information is also collected from security systems monitoring access to NCUA facilities, such as video surveillance and turnstiles, human resources systems, emergency notification systems, and Federal, State, and local agencies assisting with the response to a public health emergency. Information may also be collected from property management companies responsible for managing office buildings that house NCUA facilities.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND PURPOSES OF SUCH USES:
In addition to those disclosures generally permitted under 5 U.S.C. 552a(b) of the Privacy Act, all or a portion of the records or information contained in this system may be disclosed outside the NCUA as a routine use as follows:
1. To appropriate Federal, State, local and foreign authorities responsible for...
investigating or prosecuting a violation of, or for enforcing or implementing a statute, rule, regulation, or order issued, when the information indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto;

2. To an authorized appeal grievance examiner, formal complaints examiner, equal employment opportunity investigator, arbitrator or other duly authorized official engaged in investigation or settlement of a grievance, complaint, or appeal filed by an employee. Further, a record from any system of records may be disclosed as a routine use to the Office of Personnel Management in accordance with the agency’s responsibility for evaluation and oversight of Federal personnel management;

3. To a court, magistrate, or other administrative body in the course of presenting evidence, including disclosures to counsel or witnesses in the course of civil discovery, litigation, or settlement negotiations or in connection with criminal proceedings, when the NCUA is a party to the proceeding or has a significant interest in the proceeding, to the extent that the information is determined to be relevant and necessary;

4. To contractors, experts, consultants, and the agents thereof, and others performing or working on a contract, service, cooperative agreement, or other assignment for the NCUA when necessary for the purpose of assisting the NCUA’s response to a public health emergency;

5. To appropriate agencies, entities, and persons when (1) the NCUA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) the NCUA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by the NCUA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with the NCUA’s efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm;

6. To a Federal agency or Federal entity, when the NCUA determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in (1) responding to a suspected or confirmed breach or (2) preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity (including its information systems, programs, and operations), the Federal Government, or national security, resulting from a suspected or confirmed breach;

7. To a Federal, State, or local agency to the extent necessary to comply with laws governing reporting of infectious disease; and

8. To members of Congress in response to requests made at the request of and on behalf of their constituents.

POLICIES AND PRACTICES FOR STORAGE OF RECORDS:

Electronic records and backups are stored on secure servers, approved by the NCUA’s Office of the Chief Information Officer (CIO), within FedRAMP-authorized commercial Cloud Service Providers’ (CSP) Software-as-a-Service solutions hosting environments and accessed only by authorized personnel. No paper files are maintained.

POLICIES AND PRACTICES FOR RETRIEVAL OF RECORDS:

Records may be retrieved by any of the following: Name, office, or email address.

POLICIES AND PRACTICES FOR RETENTION AND DISPOSAL OF RECORDS:

Records are maintained and disposed of in accordance with the General Records Retention Schedules issued by the National Archives and Records Administration (NARA) or an NCUA records disposition schedule approved by NARA.

ADMINISTRATIVE, TECHNICAL, AND PHYSICAL SAFEGUARDS:

The NCUA and the Cloud Service Provider have implemented the appropriate administrative, technical, and physical controls in accordance with the Federal Information Security Modernization Act of 2014, Public Law 113–283, S. 2521, and the NCUA’s information security policies to protect the confidentiality, integrity, and availability of the information system and the information contained therein. Access is limited only to individuals authorized through NIST-compliant Identity, Credential, and Access Management policies and procedures. The records are maintained behind a layered defensive posture consistent with all applicable Federal laws and regulations, including Office of Management and Budget (OMB) Circular A–130 and National Institute of Standards and Technology (NIST) Special Publications 800–37.

RECORD ACCESS PROCEDURES:

Individuals wishing access to their records should submit a written request to the Senior Agency Official for Privacy, NCUA, 1775 Duke Street, Alexandria, VA 22314, and provide the following information:

1. Full name.
2. Any available information regarding the type of record involved.
3. The address to which the record information should be sent.
4. You must sign your request.

Attorneys or other persons acting on behalf of an individual must provide written authorization from that individual for the representative to act on their behalf. All requests must be signed by the representative and provide the following information:

1. Full name.
2. Any available information regarding the type of record involved.
3. The address to which the changes should be made.
4. The address to which the response should be sent.
5. You must sign your request.

Attorneys or other persons acting on behalf of an individual must provide written authorization from that individual for the representative to act on their behalf.

NOTIFICATION PROCEDURES:

Individuals wishing to learn whether this system of records contains information about them should submit a written request to the Senior Agency Official for Privacy, NCUA, 1775 Duke Street, Alexandria, VA 22314, and provide the following information:

1. Full name.
2. Any available information regarding the type of record involved.
3. The address to which the record information should be sent.
4. You must sign your request.

Attorneys or other persons acting on behalf of an individual must provide written authorization from that individual for the representative to act on their behalf. Individuals requesting
SECURITIES AND EXCHANGE COMMISSION


Self-Regulatory Organizations; National Securities Clearing Corporation; Order Instituting Proceedings To Determine Whether To Approve or Disapprove a Proposed Rule Change To Establish the Securities Financing Transaction Clearing Service and Make Other Changes

November 5, 2021.

I. Introduction


On September 2, 2021, pursuant to Section 19(b)(2) of the Act,5 the Commission designated a longer period within which to approve, disapprove, or institute proceedings to determine whether to approve or disapprove the Proposed Rule Change.6 This order institutes proceedings, pursuant to Section 19(b)(2)(B) of the Act,7 to determine whether to approve or disapprove the Proposed Rule Change.

II. Summary of the Proposed Rule Change

As described in the Notice,8 NSCC proposes to (i) establish the securities financing transaction clearing service (“SFT Clearing Service”) to make central clearing available at NSCC for equity securities financing transactions, which are, broadly speaking, transactions where the parties exchange equity securities against cash and simultaneously agree to exchange the same securities and cash, plus or minus a rate payment, on a future date (collectively, “SFTs”), (ii) establish new membership categories and requirements for sponsoring members and sponsored members whereby existing Members would be permitted to sponsor certain institutional firms into membership, (iii) establish a new membership category and requirements for agent clearing members whereby existing Members would be permitted to submit, on behalf of their customers, transactions to NSCC for novation, and (iv) make other amendments and clarifications to the Rules, as described in greater detail below.

(i) Key Parameters of the Proposed SFT Clearing Service

NSCC proposes central clearing for SFTs with a one business day term in eligible equity securities that are entered into by Members, Sponsored Members that are sponsored into NSCC by Sponsoring Members (as described below), or Agent Clearing Members on behalf of Customers (as described below). NSCC will maintain eligibility criteria for the securities that may underlie an SFT that NSCC will accept for novation. NSCC would, as an initial matter, provide the proposed SFT Clearing Service for only those SFTs where the underlying securities are CNS-eligible equity securities that have a per share price of $5 or more, although NSCC may modify eligible equity at a later date.9

The final settlement obligations of each SFT, other than a Sponsored Member transaction, that is novated to NSCC would settle receive-versus-payment/delivery-versus-payment at The Depository Trust Company (“DTC”). SFT deliver orders would be processed in accordance with DTC’s rules.

4 Comment letters are available at https://www.sec.gov/comments/sr-nscc-2021-010/ srrncc2021-010.htm.
8 The description of the Proposed Rule Change is based on the statements prepared by NSCC in the Notice. See Notice, supra note 3. Capitalized terms used herein and not otherwise defined herein are defined in NSCC’s Rules & Procedures, available at www.dccc.com/~media/Files/Downloads/legal/rules/nscc_rules.pdf, and the Notice.