

## 2. Administrative and National Policy Requirements

### a. Pre-Award Authority

FTA will issue specific guidance to recipients regarding pre-award authority at the time of selection. FTA does not provide pre-award authority for competitive funds until projects are selected and even then, there are Federal requirements that must be met before costs are incurred. Funds under this NOFO cannot be used to reimburse applicants for otherwise eligible expenses incurred prior to FTA award of a Grant Agreement until FTA has issued pre-award authority for selected projects, or unless FTA has issued a “Letter of No Prejudice” for the project before the expenses are incurred. For more information about FTA’s policy on pre-award authority, please see the most recent Apportionment Notice at: <https://www.transit.dot.gov>.

### b. Grant Requirements

If selected, awardees will apply for a grant through FTA’s Transit Award Management System (TrAMS). All competitive grants, regardless of award amount, will be subject to the Congressional Notification and release process. Technical assistance regarding these requirements is available from each FTA regional office.

### c. Disadvantaged Business Enterprise

FTA requires its recipients receiving planning, capital, or operating assistance that will award prime contracts exceeding \$250,000 in FTA funds in a Federal fiscal year comply with the U.S. Department of Transportation’s Disadvantaged Business Enterprise (DBE) program regulations (49 CFR part 26). Applicants should expect to include any funds awarded in setting their overall DBE goal.

### d. Planning

FTA encourages applicants to notify the appropriate metropolitan planning organizations in areas likely to be served by the funds made available under this program. Selected projects must be incorporated into the unified planning work programs of metropolitan areas before they are eligible for FTA funding or pre-award authority.

### e. Standard Assurances

If selected, the applicant must assure that it will comply with all applicable Federal statutes, regulations, executive orders, directives, FTA circulars, and other Federal administrative requirements in carrying out any project supported by the FTA grant. The

applicant acknowledges that it will be under a continuing obligation to comply with the terms and conditions of the grant agreement issued for its project with FTA. The applicant understands that Federal laws, regulations, policies, and administrative practices might be modified from time to time and may affect the implementation of the project. The most recent Federal requirements will apply to the project unless FTA issues a written determination otherwise. The applicant must submit the Certifications and Assurances before receiving a grant if it does not have current certifications on file.

### 3. Reporting

Post-award reporting requirements include submission of Federal Financial Reports and Milestone Progress Reports in FTA’s electronic grants management system on a quarterly basis. Applicants should include any goals, targets, and indicators referenced in their application to the project in the Executive Summary of the TrAMS application. Awardees must also submit copies of the substantial deliverables identified in the work plan to the FTA regional office at the corresponding milestones.

As part of completing the annual Certifications and Assurances required of FTA grant recipients, a successful applicant must report on the suspension or debarment status of itself and its principals.

If the award recipient’s active grants, cooperative agreements, and procurement contracts from all Federal awarding agencies exceed \$10,000,000 for any period of time during the period of performance of an award made pursuant to this Notice, the recipient must maintain the currency of information reported to the System for Award Management (SAM) that is made available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)) about civil, criminal, or administrative proceedings in connection with the award or performance of a grant, cooperative agreement, or procurement contract from the Federal Government. See Appendix XII to 2 CFR part 200 for more information.

## G. Federal Awarding Agency Contacts

For program-specific questions, please contact Colby McFarland, Office of Planning and Environment, (202) 366–1648, email: [Colby.McFarland@dot.gov](mailto:Colby.McFarland@dot.gov). A TDD is available at 1–800–877–8339 (TDD/FIRS). Any addenda that FTA releases on the application process will be posted at <https://www.transit.dot.gov>.

To ensure applicants receive accurate information about eligibility or the program, the applicant is encouraged to contact FTA directly, rather than through intermediaries or third parties. FTA staff may also conduct briefings on the FY 2021 competitive grants selection and award process upon request. Contact information for FTA’s regional offices can be found on FTA’s website at <http://www.transit.dot.gov>. For assistance with GRANTS.GOV, please contact GRANTS.GOV by phone at 1–800–518–4726 or by email at [support@grants.gov](mailto:support@grants.gov).

## H. Other Program Information

This program is not subject to Executive Order 12372, “Intergovernmental Review of Federal Programs.”

**Nuria I. Fernandez,**  
*Administrator.*

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BILLING CODE 4910–57–P

## DEPARTMENT OF TRANSPORTATION

### Maritime Administration

[Docket Number MARAD–2021–0209]

### Agency Request for Approval of a New Information Collection: Mariner Survey Pre-Test

**AGENCY:** Maritime Administration, Department of Transportation.

**ACTION:** Notice and request for comments.

**SUMMARY:** The Maritime Administration (MARAD) invites public comments about our intention to request the Office of Management and Budget (OMB) approval for a new information collection. This Mariner Survey Pre-Test collection provides for cognitive interviews and a pilot survey of a sample of appropriately credentialed U.S. merchant mariners to validate and improve the study design for a subsequent Mariner Survey.

**DATES:** Written comments should be submitted by November 15, 2021. MARAD will consider comments filed after this date to the extent practicable.

**ADDRESSES:** You may submit comments identified by Docket No. MARAD–2021–0209 through one of the following methods:

- **Electronic Submission:** Go to <http://www.regulations.gov>. Search by using the docket number (provided above). Follow the instructions for submitting comments on the electronic docket site.

- **Mail:** Docket Management Facility; U.S. Department of Transportation, 1200

New Jersey Avenue SE, Room PL-401, Washington, DC 20590-0001.

- **Hand Delivery:** Room PL-401 of the Department of Transportation, 1200 New Jersey Avenue SE, Washington, DC, between 9 a.m. and 5 p.m., Monday through Friday, except Federal Holidays.

**Instructions:** All submissions must include the agency name and docket number.

**Note:** All comments received, including any personal information, will be posted without change to the docket and is accessible via <http://www.regulations.gov>. Input submitted online via [www.regulations.gov](http://www.regulations.gov) is not immediately posted to the site. It may take several business days before your submission is posted.

**Docket:** For access to the docket to read background documents or comments received, go to <http://www.regulations.gov> at any time or to Room PL-401 of the Department of Transportation, 1200 New Jersey Avenue SE, Washington, DC, between 9 a.m. and 5 p.m., Monday through Friday, except Federal Holidays. The Federal Docket Management Facility's telephone number is 202-366-9826 or 202-366-9317, the fax number is 202-493-2251.

**FOR FURTHER INFORMATION CONTACT:** You may contact Nuns Jain, Maritime Administration, at 757-322-5801 or by electronic mail at [Nuns.Jain@dot.gov](mailto:Nuns.Jain@dot.gov). You may send mail to Nuns Jain at Maritime Administration, Building 19, Suite 300, 7737 Hampton Boulevard, Norfolk, Virginia 23505. If you have questions on viewing the Docket, call Docket Operations, telephone: 202-366-9826 or 202-366-9317.

#### SUPPLEMENTARY INFORMATION:

**Title of Collection:** Mariner Survey Pre-Test.

**OMB Control Number:** 2133-NEW.

**Form Number:** Not Applicable.

**Type of Review:** New information collection.

**Background:** This voluntary Mariner Survey Pre-Test information collection is limited to cognitive interviews and a pilot survey of a sample of appropriately credentialed U.S. merchant mariners to validate and improve the design of the questionnaire and other survey components for a subsequent full Mariner Survey. The cognitive interviews will be conducted online or via telephone. The pilot survey responses will be primarily collected via an online survey, with a mail survey option.

Upon completion of this Pre-Test collection and analysis, MARAD intends to request separate approval for

the full biennial Mariner Survey of all appropriately credentialed U.S. merchant mariners to determine the number of qualified mariners who are available and willing to serve on short notice on U.S. government-owned sealift ships or commercial ships during a period of national need. The most recent survey of this scope was completed in 2002. The availability of a reliable, current estimate on the number of mariners willing to serve in times of war, armed conflict, or national emergency is critical to the U.S. national security.

**Respondents:** Appropriately credentialed U.S. merchant mariners.

**Affected Public:** Individuals or Households.

**Total Estimated Number of Responses:** 690 (40 for cognitive interviews; 650 for pilot survey).

**Frequency:** One-time.

**Estimated Time per Respondent:** 45 minutes for cognitive interviews; 20 minutes for pilot survey.

**Total Estimated Number of Annual Burden Hours:** 247.

**Public Comments Invited:** You are asked to comment on any aspect of this information collection, including (a) Whether the proposed collection of information is necessary for the Department's performance; (b) the accuracy of the estimated burden; (c) ways for the Department to enhance the quality, utility and clarity of the information collection; and (d) ways that the burden could be minimized without reducing the quality of the collected information. The agency will summarize and/or include your comments in the request for OMB's clearance of this information collection. (Authority: The Paperwork Reduction Act of 1995; 44 U.S.C. Chapter 35, as amended; and 49 CFR 1.93.)

By Order of the Acting Maritime Administrator.

**T. Mitchell Hudson, Jr.,**  
*Secretary, Maritime Administration.*

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## DEPARTMENT OF THE TREASURY

### Internal Revenue Service

#### Proposed Extension of Information Collection Request Submitted for Public Comment; Comment Request on Burden Related to Interest Rates and Appropriate Foreign Loss Payment Patterns of Certain Controlled Corporations

**AGENCY:** Internal Revenue Service (IRS), Treasury.

**ACTION:** Notice and request for comments.

**SUMMARY:** The Internal Revenue Service, as part of its continuing effort to reduce paperwork and respondent burden, invites the public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995. Currently, the IRS is soliciting comments concerning the burden related to the interest rates and appropriate foreign loss payment patterns for determining the qualified insurance income of certain controlled corporations under section 954(f).

**DATES:** Written comments should be received on or before November 15, 2021 to be assured of consideration.

**ADDRESSES:** Direct all written comments to Kinna Brewington, Internal Revenue Service, Room 6529, 1111 Constitution Avenue NW, Washington, DC 20224. Requests for additional information or copies of the regulations should be directed to R. Joseph Durbala, at Internal Revenue Service, Room 6129, 1111 Constitution Avenue NW, Washington DC 20224, or through the internet, at [RJoseph.Durbala@irs.gov](mailto:RJoseph.Durbala@irs.gov).

#### SUPPLEMENTARY INFORMATION:

**Title:** Interest Rates and Appropriate Foreign Loss Payment Patterns for Determining the Qualified Insurance Income of Certain Controlled Corporations under Section 954(f).

**OMB Number:** 1545-1799.

**Regulation/Project Number:** Notice 2002-69.

**Abstract:** Notice 2002-69 (2002-43 I.R.B. 730) published October 28, 2002, provides interim guidance for determining the interest rates and appropriate foreign loss payment patterns to be used by controlled foreign corporations in calculating their qualified insurance income under section 954(i) of the Internal Revenue Code. Taxpayers may rely on the guidance in this notice until regulations or other guidance are published.

**Current Actions:** There is no change to the burden previously approved.

**Type of Review:** Extension of a currently approved collection.

**Affected Public:** Individuals or households, business, or other for-profit organizations.

**Estimated Number of Responses:** 300.

**Estimated Time per Respondent:** 1 hour.

**Estimated Total Annual Burden Hours:** 300.

The following paragraph applies to all the collections of information covered by this notice: