
This Notice will be published in the Federal Register.

Erica A. Barker,
Secretary.

[F R Doc. 2021–15125 Filed 7–15–21; 8:45 am]
BILLING CODE 7710–FW–P

SECURITIES AND EXCHANGE COMMISSION

[Investment Company Act Release No. 34328; 812–15240]

DoubleLine Opportunistic Credit, et al;

July 13, 2021.

AGENCY: Securities and Exchange Commission (“Commission”).

ACTION: Notice.

Notice of an application under section 6(c) of the Investment Company Act of 1940 (the “Act”) for an exemption from section 19(b) of the Act and rule 19b–1 under the Act to permit registered closed-end investment companies to make periodic distributions of long-term capital gains more frequently than permitted by section 19(b) or rule 19b–1. 4

Summary of Application: Applicants request an order to permit certain registered closed-end management investment companies to pay as frequently as twelve times in any one taxable year in respect of its common stock and as often as specified by, or determined in accordance with the terms of, any preferred stock issued by the investment company subject to the terms and conditions stated in the application.

Applicants: DoubleLine Opportunistic Credit Fund, DoubleLine Income Solutions Fund, DoubleLine Yield Opportunities Fund, DoubleLine Shiller CAPE Enhanced Income Fund, DoubleLine Capital LP, and DoubleLine Alternatives LP.

Filing Date: The application was filed on June 23, 2021. Hearing or Notification of Hearing: An order granting the requested relief will be issued unless the Commission orders a hearing. Interested persons may request a hearing by writing to the Commission’s Secretary and serving applicants with a copy of the request, personally or by mail. Hearing requests should be received by the Commission by 5:30 p.m. on August 6, 2021, and should be accompanied by proof of service on applicants, in the form of an affidavit or, for lawyers, a certificate of service. Pursuant to rule 0–5 under the Act, hearing requests should state the nature of the writer’s interest, any facts bearing upon the desirability of a hearing on the matter, the reason for the request, and the issues contested. Persons who wish to be notified of a hearing may request notification by writing to the Commission’s Secretary.

ADDRESSES: Secretary, U.S. Securities and Exchange Commission, 100 F Street NE, Washington, DC 20549–1090; Applicants, 390 Park Avenue, 15th Floor, NY, NY 10022.

FOR FURTHER INFORMATION CONTACT: Lisa Reid Ragen, Branch Chief, at (202) 551–6825 (Division of Investment Management, Chief Counsel’s Office).

SUPPLEMENTARY INFORMATION: For Applicants’ representations, legal analysis, and condition, please refer to Applicants’ application, dated June 23, 2021, which may be obtained via the Commission’s website by searching for the file number, using the Company name box, at http://www.sec.gov/search/search.htm, or by calling (202) 551–8090.

For the Commission, by the Division of Investment Management, under delegated authority.

J. Matthew DeLesDernier,
Assistant Secretary.

[F R Doc. 2021–15165 Filed 7–15–21; 8:45 am]
BILLING CODE 8011–01–P

SECURITIES AND EXCHANGE COMMISSION


Self-Regulatory Organizations; New York Stock Exchange LLC; Notice of Filing of Partial Amendment No. 2 and Order Granting Accelerated Approval To Proposed Rule Change, as Modified by Partial Amendment No. 2, To Amend NYSE Rules 7.35 and 7.35A

July 12, 2021.

I. Introduction

On November 3, 2020, New York Stock Exchange LLC (“NYSE” or “Exchange”) filed with the Securities and Exchange Commission (“Commission”), pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Exchange Act”) 5 and Rule 19b–4 thereunder, 2 a proposed rule change to amend Rule 7.35 regarding dissemination of Auction Imbalance Information if a security is an IPO or Direct Listing and has not had its IPO Auction or Direct Listing Auction, and Rule 7.35A regarding DMM consultations in connection with an IPO or Direct Listing. The proposed rule change was published for comment in the Federal Register on November 17, 2020. On December 18, 2020, the Commission extended to February 15, 2021, the time period within which to approve the proposed rule change, disapprove the proposed rule change, or institute proceedings to determine whether to approve or disapprove the proposed rule change. On February 12, 2021, the Commission instituted proceedings under Section 19(b)(2)(B) of the Act 5 to determine whether to approve or disapprove the proposal. On April 9, 2021, the Exchange filed Amendment No. 1 to the proposed rule change. On May 7, 2021, the Commission extended the time period for approving or disapproving the proposal for an additional 60 days until July 15, 2021. On May 11, 2021, the Exchange withdrew Partial Amendment No. 1 and filed Partial Amendment No. 2 to the proposal for inclusion in the public comment file. The Commission has not received comments on the proposed rule change, as modified by Partial Amendment No. 2.

The Commission is publishing this notice to solicit comment on Partial Amendment No. 2 to the proposed rule change from interested persons, and is approving the proposed rule change, as modified by Partial Amendment No. 2, on an accelerated basis.

7 In Partial Amendment No. 2, the Exchange proposes to (1) update NYSE Rule 7.35Ag(1) as recently amended, and (2) provide additional background for the proposal in response to the Commission’s request for comment in the Order Instituting Proceedings. See Letter from Martha Redding, Associate General Counsel, NYSE LLC to Secretary, Commission (May 11, 2021). Partial Amendment No. 2 is available at https://www.sec.gov/comments/ sr-nyse-2020-93/srnyse2020093-237727.pdf.


8 In Partial Amendment No. 2, the Exchange proposes to (1) update NYSE Rule 7.35Ag(1) in Exhibit 5 of the proposal to incorporate the term “Selling Shareholder Direct Floor Listing” to reflect the text of NYSE Rule 7.35Ag(1) as recently amended, and (2) provide additional background for the proposal in response to the Commission’s request for comment in the Order Instituting Proceedings. See Letter from Martha Redding, Associate General Counsel, NYSE LLC to Secretary, Commission (May 11, 2021). Partial Amendment No. 2 is available at https://www.sec.gov/comments/sr-nyse-2020-93/srnyse2020093-237727.pdf.