SECURITIES AND EXCHANGE COMMISSION

Sunshine Act Meeting; Cancellation


PREVIOUSLY ANNOUNCED TIME AND DATE OF THE MEETING: Thursday, May 27, 2021 at 2:00 p.m.

CHANGES IN THE MEETING: The Closed Meeting scheduled for Thursday, May 27, 2021 at 2:00 p.m., has been cancelled.

CONTACT PERSON FOR MORE INFORMATION: For further information: please contact Vanessa A. Countryman from the Office of the Secretary at (202) 551-5400.

Dated: May 26, 2021.

Vanessa A. Countryman,
Secretary.

BILLING CODE 8011-01-P

SECURITIES AND EXCHANGE COMMISSION


Self-Regulatory Organizations; Nasdaq ISE, LLC; Notice of Filing and Immediate Effectiveness of Proposed Rule Change To Amend ISE’s Pricing Schedule at Options 7, Section 5, for NQX Index Options Fees and Rebates for Regular and Complex Orders

May 24, 2021.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act"),1 and Rule 19b–4 thereunder,2 notice is hereby given that on May 11, 2021, Nasdaq ISE, LLC ("ISE" or "Exchange") filed with the Securities and Exchange Commission ("SEC" or "Commission") the proposed rule change as described in Items I, II, and III, below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to amend ISE’s Pricing Schedule at Options 7, Section 5, Index Options Fees and Rebates.

The Exchange originally filed the proposed pricing change on April 30, 2021 (SR–ISE–2021–10). On May 11, 2021, the Exchange withdrew that filing and submitted this filing.


II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant aspects of such statements.

A. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1 Purpose

The Exchange proposes to amend Options 7, Section 5, Index Options Fees and Rebates. Specifically, the Exchange proposes to amend Part B, NQX Index Options Fees and Rebates for Regular and Complex Orders. NQX is a proprietary index based on ⅛ the value of the Nasdaq–100 Index.

Currently, the fees and rebates assessed for NQX regular and complex orders are as follows:

<table>
<thead>
<tr>
<th>Market participant</th>
<th>Maker fee/rebate</th>
<th>Taker fee/rebate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market Maker</td>
<td>($0.25)</td>
<td>$0.00</td>
</tr>
<tr>
<td>Market Maker (for orders sent by Electronic Access Members)</td>
<td>(0.25)</td>
<td>0.00</td>
</tr>
<tr>
<td>Non-Nasdaq ISE Market Maker (FarMM)</td>
<td>0.25</td>
<td>0.25</td>
</tr>
<tr>
<td>Firm Proprietary/Broker-Dealer</td>
<td>0.25</td>
<td>0.25</td>
</tr>
<tr>
<td>Professional Customer</td>
<td>0.25</td>
<td>0.25</td>
</tr>
</tbody>
</table>
