POSTAL REGULATORY COMMISSION  
[Docket Nos. MC2021–68 and CP2021–71]  
New Postal Products  

AGENCY: Postal Regulatory Commission.  

ACTION: Notice.  

SUMMARY: The Commission is noticing a recent Postal Service filing for the Commission’s consideration concerning a negotiated service agreement. This notice informs the public of the filing, invites public comment, and takes other administrative steps.  

DATES: Comments are due: February 23, 2021  

ADDRESSES: Submit comments electronically via the Commission’s Filing Online system at http://www.prc.gov. Those who cannot submit comments electronically should contact the person identified in the FOR FURTHER INFORMATION CONTACT section by telephone for advice on filing alternatives.  

FOR FURTHER INFORMATION CONTACT: David A. Trissell, General Counsel, at 202–789–6820.  

SUPPLEMENTARY INFORMATION:  

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I. Introduction  

The Commission gives notice that the Postal Service has filed request(s) for the Commission to consider matters related to negotiated service agreement(s). The request(s) may propose the addition or removal of a negotiated service agreement from the market dominant or the competitive product list, or the modification of an existing product currently appearing on the market dominant or the competitive product list.  

II. Docketed Proceeding(s)  

III. Specific Requests for Comments  

The Commission invites comments on whether the Postal Service’s request(s) in the captioned docket(s) are consistent with the policies of title 39. For request(s) that the Postal Service states concern market dominant product(s), applicable statutory and regulatory requirements include 39 U.S.C. 3622, 39 U.S.C. 3642, 39 CFR part 3030, and 39 CFR part 3040, subpart B. For request(s) that the Postal Service states concern competitive product(s), applicable statutory and regulatory requirements include 39 U.S.C. 3632, 39 U.S.C. 3633, 39 U.S.C. 3642, 39 CFR part 3035, and 39 CFR part 3040, subpart B. Comment deadline(s) for each request appear in section II.  


This Notice will be published in the Federal Register.  

Erica A. Barker,  
Secretary.  

[FR Doc. 2021–03357 Filed 2–18–21; 8:45 am]  
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SECURITIES AND EXCHANGE COMMISSION  


Self-Regulatory Organizations; New York Stock Exchange LLC; Notice of Designation of a Longer Period for Commission Action on a Proposed Rule Change To Amend the NYSE Listed Company Manual To Revise the Shareholder Approval Requirements in Sections 312.03 and 312.04 and the Requirements for Related Party Transactions in Section 314.00  

February 12, 2021.  

On December 16, 2020, New York Stock Exchange LLC filed with the Commission a proposed rule change to amend Sections 312.03 and 312.04 of the NYSE Listed Company Manual to revise the Shareholder Approval Requirements in Sections 312.03 and 312.04 and the Requirements for Related Party Transactions in Section 314.00. The Commission published notice of the proposed rule change on January 4, 2021. The Commission has received no comments on the proposal.  

Section 19(b)(2) of the Act 4 provides that, within 45 days of the publication of notice of the filing of a proposed rule change, or within such longer period up to 90 days as the Commission may designate if it finds such longer period to be appropriate and publishes its reasons for so finding or as to which the self-regulatory organization consents, the Commission shall either approve the proposed rule change, disapprove the proposed rule change, or institute proceedings to determine whether the proposed rule change should be disapproved. The 45th day after publication of the notice for this proposed rule change is February 18, 2021. The Commission is extending this 45-day time period.  

The Commission finds that it is appropriate to designate a longer period within which to take action on the proposed rule change so that it has...
sufficient time to consider the proposed rule change. Accordingly, the Commission, pursuant to Section 19(b)(2) of the Act, designates April 4, 2021, as the date by which the Commission shall either approve or disapprove institute proceedings to determine whether to disapprove the proposed rule change (File Number SR-NYSE—2020–85).

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.

Jill M. Peterson,
Assistant Secretary.

[FR Doc. 2021–03343 Filed 2–18–21; 8:45 am]
BILLING CODE 8011–01–P

SECURITIES AND EXCHANGE COMMISSION


Self-Regulatory Organizations; Nasdaq BX, Inc.; Order Granting Approval of a Proposed Rule Change To Utilize the FIX Protocol To Submit Orders to BX’s Price Improvement Auction Mechanism

February 12, 2021.

I. Introduction

On October 27, 2020, Nasdaq BX, Inc. ("BX" or "Exchange") filed with the Securities and Exchange Commission ("Commission"), pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act") and Rule 19b–4 thereunder, a proposed rule change to utilize the "Financial Information Exchange" ("FIX") protocol for BX Participants seeking to submit orders into the Price Improvement Auction ("PRISM") mechanism. The proposed rule change was published for comment in the Federal Register on November 16, 2020. On December 29, 2020, the Commission extended the time period within which to approve, disapprove, or institute proceedings to determine whether to approve or disapprove the proposed rule changes. The Commission received no comments on the proposed rule change. The Commission is approving the proposed rule change.

II. Description of the Proposed Rule Change

Pursuant to BX Options 3, Section 13, a BX Participant may electronically submit for execution an order it represents as agent on behalf of a Public Customer, broker dealer, or any other entity ("PRISM Order") against principal interest or against any other order it represents as agent (an "Initiating Order"). The Exchange, BX Participants currently solicit contra-side Initiating Orders to pair with their PRISM Orders using methods such as telephone, electronically using an external order management system, or utilizing instant message. BX proposes to provide BX Participants ("sender") the option to use the FIX protocol to send a message, which includes a PRISM Order, to one or more BX Participants ("recipient") requesting that they provide a contra-side Initiating Order in response, which would result in the start of a PRISM auction ("Request for PRISM"). BX Participants must opt-in in order to receive Requests for PRISM. A Request for PRISM would be sent simultaneously to all BX Participants who opted in to receive Requests for PRISM, and a BX Participant who opts-in would receive all Requests for PRISM from all senders.

The Exchange proposes to establish a certain time period up to one second within which a recipient, if it chooses to respond to the Request for PRISM, may utilize FIX to submit the sender’s PRISM Order, along with its Initiating Order, into the System for execution into PRISM pursuant to Options 3, Section 13 ("response"). The System would enter the PRISM Order and the Initiating Order of the first recipient to respond into the PRISM through FIX to start a PRISM auction and would send a reject message to subsequent responders. Any Initiating Order must match the PRISM Order and is not permitted to improve the price, or else it would be rejected. However, the Initiating Order may be configured to improve the PRISM Order stop price pursuant to Options 3, Section 13(iii)(A)(1)(c); the configuration would apply only if the System initiated a PRISM auction. If there are no responses to the Request for PRISM, the PRISM Order would be placed on the Order Book as a Limit Order or cancelled, consistent with the sending BX Participant’s instruction.

Once a recipient of a Request for PRISM has responded to the Request for PRISM by adding the Initiating Order, the PRISM may not be cancelled. The sender may not cancel a Request for PRISM once that Request for PRISM has been received.