EASA AD on the EASA website at https://ad.easa.europa.eu.

(4) You may view this material at the FAA, Airworthiness Products Section, Operational Safety Branch, 2200 South 216th St., Des Moines, WA. For information on the availability of this material at the FAA, call 206–231–3195. This material may be found in the AD docket on the internet at https://www.regulations.gov by searching for and locating Docket No. FAA–2020–1172.

(5) You may view this material that is incorporated by reference at the National Archives and Records Administration (NARA). For information on the availability of this material at NARA, email fedreg.legal@nara.gov, or go to: https://www.archives.gov/federal-register/cfr/ibr-locations.html.

Issued on January 7, 2021.

#### Gaetano A. Sciortino,

Deputy Director for Strategic Initiatives, Compliance & Airworthiness Division, Aircraft Certification Service.

[FR Doc. 2021-00807 Filed 1-12-21; 11:15 am]

BILLING CODE 4910-13-P

#### **DEPARTMENT OF LABOR**

# **Employment and Training Administration**

20 CFR Part 655

Office of Workers' Compensation Programs

20 CFR Parts 702, 725, and 726

Wage and Hour Division

29 CFR Parts 500, 501, 503, 530, 570, 578, 579, 801, and 825

Occupational Safety and Health Administration

29 CFR Part 1903

Mine Safety and Health Administration

30 CFR Part 100 RIN 1290-AA41

## Department of Labor Federal Civil Penalties Inflation Adjustment Act Annual Adjustments for 2021

AGENCY: Employment and Training Administration, Office of Workers' Compensation Programs, Office of the Secretary, Wage and Hour Division, Occupational Safety and Health Administration, and Mine Safety and Health Administration, Department of Labor.

**ACTION:** Final rule.

**SUMMARY:** The U.S. Department of Labor (Department) is publishing this final

rule to adjust for inflation the civil monetary penalties assessed or enforced by the Department, pursuant to the Federal Civil Penalties Inflation Adjustment Act of 1990 as amended by the Federal Civil Penalties Inflation Adjustment Act Improvements Act of 2015 (Inflation Adjustment Act). The Inflation Adjustment Act requires the Department to annually adjust its civil money penalty levels for inflation no later than January 15 of each year. The Inflation Adjustment Act provides that agencies shall adjust civil monetary penalties notwithstanding Section 553 of the Administrative Procedure Act (APA). Additionally, the Inflation Adjustment Act provides a cost-of-living formula for adjustment of the civil penalties. Accordingly, this final rule sets forth the Department's 2021 annual adjustments for inflation to its civil monetary penalties.

**DATES:** This final rule is effective on January 15, 2021. As provided by the Inflation Adjustment Act, the increased penalty levels apply to any penalties assessed after January 15, 2021.

FOR FURTHER INFORMATION CONTACT: Erin FitzGerald, Senior Policy Advisor, U.S. Department of Labor, Room S–2312, 200 Constitution Avenue NW, Washington, DC 20210; telephone: (202) 693–5076 (this is not a toll-free number). Copies of this final rule may be obtained in alternative formats (large print, Braille, audio tape or disc), upon request, by calling (202) 693–5959 (this is not a toll-free number). TTY/TDD callers may dial toll-free 1–877–889–5627 to obtain information or request materials in alternative formats.

## SUPPLEMENTARY INFORMATION:

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- Protected Property Rights
- I. Executive Order 12988: Civil Justice Reform Analysis

#### I. Background

On November 2, 2015, Congress enacted the Federal Civil Penalties Inflation Adjustment Act Improvements Act of 2015, Public Law 114-74, sec. 701 (Inflation Adjustment Act), which further amended the Federal Civil Penalties Inflation Adjustment Act of 1990 as previously amended by the 1996 Debt Collection Improvement Act (collectively, the "Prior Inflation Adjustment Act"), to improve the effectiveness of civil monetary penalties and to maintain their deterrent effect. The Inflation Adjustment Act required agencies to (1) adjust the level of civil monetary penalties with an initial ''catch-up'' adjustment through an interim final rule (IFR); and (2) make subsequent annual adjustments for inflation no later than January 15 of each year.

On July 1, 2016, the Department published an IFR that established the initial catch-up adjustment for most civil penalties that the Department administers and requested comments. See 81 FR 43430 (DOL IFR). On January 18, 2017, the Department published the final rule establishing the 2017 Annual Adjustment for those civil monetary penalties adjusted in the DOL IFR. See 82 FR 5373 (DOL 2017 Annual Adjustment). On July 1, 2016, the U.S. Department of Homeland Security (DHS) and the U.S. Department of Labor (DOL) (collectively, ''the Departments'') jointly published an IFR that established the initial catch-up adjustment for civil monetary penalties assessed or enforced in connection with the employment of temporary nonimmigrant workers under the H-2B program. See 81 FR 42983 (Joint IFR). On March 17, 2017, the Departments jointly published the final rule establishing the 2017 Annual Adjustment for the H-2B civil monetary penalties. See 82 FR 14147 (Joint 2017 Annual Adjustment). The Joint 2017 Annual Adjustment also explained that DOL would make future adjustments to the H-2B civil monetary penalties consistent with DOL's delegated authority under 8 U.S.C. 1184(c)(14), Immigration and Nationality Act section 214(c)(14), and the Inflation Adjustment Act. See 82 FR 14147-48. On January 2, 2018, the Department published the final rule establishing the 2018 Annual Adjustment for civil monetary penalties assessed or enforced by the Department, including H-2B civil monetary

penalties. See 83 FR 7 (DOL 2018 Annual Adjustment). On January 23, 2019, the Department published the final rule establishing the 2019 Annual Adjustment for civil monetary penalties assessed or enforced by the Department, including H-2B civil monetary penalties. See 84 FR 213 (DOL 2019 Annual Adjustment). On January 15, 2020, the Department published the final rule establishing the 2020 Annual Adjustment for civil monetary penalties assessed or enforced by the Department, including H-2B civil monetary penalties. See 85 FR 2292 (DOL 2020 Annual Adjustment).

This rule implements the 2021 annual inflation adjustments, as required by the Inflation Adjustment Act, for civil monetary penalties assessed or enforced by the Department, including H–2B civil monetary penalties.¹ The Inflation Adjustment Act provides that the increased penalty levels apply to any penalties assessed after the effective date of the increase. Pursuant to the Inflation Adjustment Act, this final rule is published notwithstanding Section 553 of the APA.

This rule is not an Executive Order 13771 regulatory action because this rule is not significant under Executive Order 12866.

Pursuant to the Congressional Review Act (5 U.S.C. 801 *et seq.*), the Office of Information and Regulatory Affairs designated this rule as not a 'major rule,' as defined by 5 U.S.C. 804(2).

### II. Adjustment for 2020

The Department has undertaken a thorough review of civil penalties administered by its various components pursuant to the Inflation Adjustment Act and in accordance with guidance issued by the Office of Management and Budget.<sup>2</sup>

The Department first identified the most recent penalty amount, which is the amount established by the 2020 annual adjustment as set forth in the DOL 2020 Annual Adjustment published on January 15, 2020. The Department is required to calculate the annual adjustment based on the Consumer Price Index for all Urban Consumers (CPI–U). Annual inflation adjustments are based on the percent change between the October CPI–U

preceding the date of the adjustment, and the prior year's October CPI-U; in this case, the percent change between the October 2020 CPI-U and the October 2019 CPI-U. The cost-of-living adjustment multiplier for 2021, based on the Consumer Price Index (CPI-U) for the month of October 2020, not seasonally adjusted, is 1.01182.3 In order to compute the 2021 annual adjustment, the Department multiplied the most recent penalty amount for each applicable penalty by the multiplier, 1.01182, and rounded to the nearest dollar. This resulted in increases to all but four of the penalties administered by the Department, as set forth in the Appendix.

As provided by the Inflation Adjustment Act, the increased penalty levels apply to any penalties assessed after the effective date of this rule.<sup>4</sup> Accordingly, for penalties assessed after January 15, 2021, whose associated violations occurred after November 2, 2015, the higher penalty amounts outlined in this rule will apply. The tables below demonstrate the penalty amounts that apply:

#### CIVIL MONETARY PENALTIES FOR THE H-2B TEMPORARY NON-AGRICULTURAL WORKER PROGRAM

| Violations occurring          | Penalty assessed   | Which penalty level applies  |
|-------------------------------|--|--|
| On or before November 2, 2015 | On or before August 1, 2016  | Pre-August 1, 2016 levels.<br>Pre-August 1, 2016 levels.<br>August 1, 2016 levels. |
| After November 2, 2015        | After March 17, 2017 but on or before January 2, 2018<br>After January 2, 2018 but on or before January 23,<br>2019. | March 17, 2017 levels.<br>January 2, 2018 levels.                                  |
| After November 2, 2015        | After January 23, 2019 but on or before January 15, 2020.  | January 23, 2019 levels.   |
| After November 2, 2015        | After January 15, 2020 but on or before January 15, 2021.  | January 15, 2020 levels.   |
| After November 2, 2015        | After January 15, 2021   | January 15, 2021 levels.   |

#### CIVIL MONETARY PENALTIES FOR OTHER DOL PROGRAMS

| Violations occurring          | Penalty assessed   | Which penalty level applies  |
|-------------------------------|--|--|
| On or before November 2, 2015 | On or before August 1, 2016                                    | Pre-August 1, 2016 levels.<br>Pre-August 1, 2016 levels.<br>August 1, 2016 levels. |
| After November 2, 2015        | 2017. After January 13, 2017 but on or before January 2, 2018. | January 13, 2017 levels.   |
| After November 2, 2015        | After January 2, 2018 but on or before January 23, 2019.       | January 2, 2018 levels.  |
| After November 2, 2015        | After January 23, 2019 but on or before January 15, 2020.      | January 23, 2019 levels.   |
| After November 2, 2015        | After January 15, 2020 but on or before January 15, 2021.      | January 15, 2020 levels.   |

<sup>&</sup>lt;sup>1</sup>The Department is also responsible for administering and enforcing a newly-enacted civil monetary penalty under the Fair Labor Standards Act (see Public Law 115–141, section 1201 (2018)) and proposed regulations that would codify this civil monetary penalty in the Code of Federal Regulations (CFR) on October 8, 2019. See Tip Regulations Under the Fair Labor Standards Act

<sup>(</sup>FLSA), 84 FR 53956 (proposed Oct. 8, 2019). On December 30, 2020, the Department published a final rule that codifies this civil monetary penalty, adjusted for inflation pursuant to the Inflation Adjustment Act, in the CFR, to be effective on March 1, 2021.

 $<sup>^2</sup>$ M-21-10, Implementation of Penalty Inflation Adjustments for 2021, Pursuant to the Federal Civil

Penalties Inflation Adjustment Act Improvements Act of 2015 (Dec. 23, 2020).

 $<sup>^3</sup>$  OMB provided the year-over-year multiplier, rounded to 5 decimal points. *Id.* at 1.

<sup>&</sup>lt;sup>4</sup> Appendix 1 consists of a table that provides ready access to key information about each penalty.

## CIVIL MONETARY PENALTIES FOR OTHER DOL PROGRAMS—Continued

| Violations occurring   | Penalty assessed       | Which penalty level applies |
|------------------------|------------------------|-----------------------------|
| After November 2, 2015 | After January 15, 2021 | January 15, 2021 levels.    |

#### III. Paperwork Reduction Act

The Paperwork Reduction Act of 1995 (44 U.S.C. 3507(d)) requires that the Department consider the impact of paperwork and other information collection burdens imposed on the public. The Department has determined that this final rule does not require any collection of information.

#### IV. Administrative Procedure Act

The Inflation Adjustment Act provides that agencies shall annually adjust civil monetary penalties for inflation notwithstanding section 553 of the APA. Additionally, the Inflation Adjustment Act provides a nondiscretionary cost-of-living formula for annual adjustment of the civil monetary penalties. For these reasons, the requirements in sections 553(b), (c), and (d) of the APA, relating to notice and comment and requiring that a rule be effective 30 days after publication in the **Federal Register**, are inapplicable.

## V. Executive Order 12866: Regulatory Planning and Review and Executive Order 13563: Improving Regulation and Regulatory Review

Executive Order 12866 requires that regulatory agencies assess both the costs and benefits of significant regulatory actions. Under the Executive Order, a "significant regulatory action" is one meeting any of a number of specified conditions, including the following: Having an annual effect on the economy of \$100 million or more; creating a serious inconsistency or interfering with an action of another agency; materially altering the budgetary impact of entitlements or the rights of entitlement recipients; or raising novel legal or policy issues.

The Department has determined that this final rule is not a "significant" regulatory action and a cost-benefit and economic analysis is not required. This regulation merely adjusts civil monetary penalties in accordance with inflation as required by the Inflation Adjustment Act, and has no impact on disclosure or compliance costs. The benefit provided by the inflationary adjustment to the maximum civil monetary penalties is that of maintaining the incentive for the regulated community to comply with the laws enforced by the Department, and not allowing the incentive to be diminished by inflation.

Executive Order 13563 directs agencies to assess all costs and benefits of available regulatory alternatives and, if regulation is necessary, to select regulatory approaches that maximize net benefits (including potential economic, environmental, public health and safety effects, distributive impacts, and equity). Executive Order 13563 emphasizes the importance of quantifying both costs and benefits, reducing costs, harmonizing rules, and promoting flexibility to minimize burden.

The Inflation Adjustment Act directed the Department to issue the annual adjustments without regard to section 553 of the APA. In that context, Congress has already determined that any possible increase in costs is justified by the overall benefits of such adjustments. This final rule makes only the statutory changes outlined herein; thus there are no alternatives or further analysis required by Executive Order 13563.

### VI. Regulatory Flexibility Act and Small Business Regulatory Enforcement Fairness Act

The Regulatory Flexibility Act, 5 U.S.C. 601 et seq. (RFA), imposes certain requirements on Federal agency rules that are subject to the notice and comment requirements of the APA, 5 U.S.C. 553(b). This final rule is exempt from the requirements of the APA because the Inflation Adjustment Act directed the Department to issue the annual adjustments without regard to section 553 of the APA. Therefore, the requirements of the RFA applicable to notices of proposed rulemaking, 5 U.S.C. 603, do not apply to this rule. Accordingly, the Department is not required to either certify that the final rule would not have a significant economic impact on a substantial number of small entities or conduct a regulatory flexibility analysis.

#### VII. Other Regulatory Considerations

A. The Unfunded Mandates Reform Act of 1995

The Unfunded Mandates Reform Act of 1995, 2 U.S.C. 1531–1538, requires Federal agencies to assess the effects of their discretionary regulatory actions. In particular, the Act addresses actions that may result in the expenditure by a state, local, or tribal government, in the

aggregate, or by the private sector of \$100,000,000 (adjusted for inflation) or more in any one year. This Final Rule will not result in such an expenditure. Therefore, no actions were deemed necessary under the provisions of the Unfunded Mandates Reform Act of 1995

#### B. Executive Order 13132: Federalism

Section 18 of the Occupational Safety and Health Act of 1970 (OSH Act) (29 U.S.C. 667) requires Occupational Safety and Health Administration (OSHA)-approved State Plans to have standards and an enforcement program that are at least as effective as Federal OSHA's standards and enforcement program. OSHA-approved State Plans must have maximum and minimum penalty levels that are at least as effective as Federal OSHA's, per section 18(c)(2) of the OSH Act. See also 29 CFR 1902.4(c)(2)(xi); 1902.37(b)(12). State Plans are required to increase their penalties in alignment with OSHA's penalty increases to maintain at least as effective penalty levels.

State Plans are not required to impose monetary penalties on state and local government employers. See \$1956.11(c)(2)(x). Five (5) states and one territory have State Plans that cover only state and local government employees: Connecticut, Illinois, Maine, New Jersey, New York, and the Virgin Islands. Therefore, the requirements to increase the penalty levels do not apply to these State Plans. Twenty-one states and one U.S. territory have State Plans that cover both private sector employees and state and local government employees: Alaska, Arizona, California, Hawaii, Indiana, Iowa, Kentucky, Maryland, Michigan, Minnesota, Nevada, New Mexico, North Carolina, Oregon, Puerto Rico, South Carolina, Tennessee, Utah, Vermont, Virginia, Washington, and Wyoming. They must increase their penalties for privatesector employers.

Other than as listed above, this final rule does not have federalism implications because it does not have substantial direct effects on the states, on the relationship between the national government and the states, or on the distribution of power and responsibilities among the various levels of government. Accordingly, Executive Order 13132, Federalism,

requires no further agency action or analysis.

C. Executive Order 13175: Indian Tribal Governments

This final rule does not have "tribal implications" because it does not have substantial direct effects on one or more Indian tribes, on the relationship between the Federal Government and Indian tribes, or on the distribution of power and responsibilities between the Federal Government and Indian tribes. Accordingly, Executive Order 13175, Consultation and Coordination with Indian Tribal Governments, requires no further agency action or analysis.

#### List of Subjects

20 CFR Part 655

Immigration, Labor, Penalties.

20 CFR Part 702

Administrative practice and procedure, Longshore and harbor workers, Penalties, Reporting and recordkeeping requirements, Workers' compensation.

20 CFR Part 725

Administrative practice and procedure, Black lung benefits, Coal miners, Penalties, Reporting and recordkeeping requirements.

20 CFR Part 726

Administrative practice and procedure, Black lung benefits, Coal miners, Mines, Penalties.

29 CFR Part 500

Administrative practice and procedure, Aliens, Housing, Insurance, Intergovernmental relations, Investigations, Migrant labor, Motor vehicle safety, Occupational safety and health, Penalties, Reporting and recordkeeping requirements, Wages, Whistleblowing.

29 CFR Part 501

Administrative practice and procedure, Agriculture, Aliens, Employment, Housing, Housing standards, Immigration, Labor, Migrant labor, Penalties, Transportation, Wages.

29 CFR Part 503

Administrative practice and procedure, Aliens, Employment, Housing, Immigration, Labor, Penalties, Transportation, Wages.

29 CFR Part 530

Administrative practice and procedure, Clothing, Homeworkers, Indians-arts and crafts, Penalties, Reporting and recordkeeping requirements, Surety bonds, Watches and jewelry.

29 CFR Part 570

Child labor, Law enforcement, Penalties.

29 CFR Part 578

Penalties, Wages.

29 CFR Part 579

Child labor, Penalties.

29 CFR Part 801

Administrative practice and procedure, Employment, Lie detector tests, Penalties, Reporting and recordkeeping requirements.

29 CFR Part 825

Administrative practice and procedure, Airmen, Employee benefit plans, Health, Health insurance, Labor management relations, Maternal and child health, Penalties, Reporting and recordkeeping requirements, Teachers.

29 CFR Part 1903

Intergovernmental relations, Law enforcement, Occupational Safety and Health, Penalties.

30 CFR Part 100

Mine safety and health, Penalties.

For the reasons set out in the preamble, 20 CFR chapters V and VI, 29 CFR chapters V and XVII, and 30 CFR chapter I are amended as follows:

# **DEPARTMENT OF LABOR**

# **Employment and Training Administration**

Title 20-Employees' Benefits

## PART 655—TEMPORARY EMPLOYMENT OF FOREIGN WORKERS IN THE UNITED STATES

■ 1. The authority citation for part 655 continues to read as follows:

Authority: Section 655.0 issued under 8 U.S.C. 1101(a)(15)(E)(iii), 1101(a)(15)(H)(i) and (ii), 8 U.S.C. 1103(a)(6), 1182(m), (n), (p) and (t), 1184(c), (g), and (j), 1188, and 1288(c) and (d); sec. 3(c)(1), Pub. L. 101-238, 103 Stat. 2099, 2102 (8 U.S.C. 1182 note); sec. 221(a), Pub. L. 101-649, 104 Stat. 4978, 5027 (8 U.S.C. 1184 note); sec. 303(a)(8), Pub. L. 102-232, 105 Stat. 1733, 1748 (8 U.S.C. 1101 note); sec. 323(c), Pub. L. 103-206, 107 Stat. 2428; sec. 412(e), Pub. L. 105–277, 112 Stat. 2681 (8 U.S.C. 1182 note); sec. 2(d), Pub. L. 106-95, 113 Stat. 1312, 1316 (8 U.S.C. 1182 note); 29 U.S.C. 49k; Pub. L. 107-296, 116 Stat. 2135, as amended; Pub. L. 109-423, 120 Stat. 2900; 8 CFR 214.2(h)(4)(i); and 8 CFR 214.2(h)(6)(iii); and sec. 6, Pub. L. 115-128, 132 Stat. 1547 (48 U.S.C. 1806).

Subpart A issued under 8 CFR 214.2(h). Subpart B issued under 8 U.S.C. 1101(a)(15)(H)(ii)(a), 1184(c), and 1188; and 8 CFR 214.2(h). Subpart E issued under 48 U.S.C. 1806 Subparts F and G issued under 8 U.S.C. 1288(c) and (d); sec. 323(c), Pub. L. 103–206, 107 Stat. 2428; and 28 U.S.C. 2461 note, Pub. L. 114–74 at section 701.

Subparts H and I issued under 8 U.S.C. 1101(a)(15)(H)(i)(b) and (b)(1), 1182(n), (p) and (t), and 1184(g) and (j); sec. 303(a)(8), Pub. L. 102–232, 105 Stat. 1733, 1748 (8 U.S.C. 1101 note); sec. 412(e), Pub. L. 105–277, 112 Stat. 2681; 8 CFR 214.2(h); and 28 U.S.C. 2461 note, Pub. L. 114–74 at section 701

Subparts L and M issued under 8 U.S.C. 1101(a)(15)(H)(i)(c) and 1182(m); sec. 2(d), Pub. L. 106–95, 113 Stat. 1312, 1316 (8 U.S.C. 1182 note); Pub. L. 109–423, 120 Stat. 2900; and 8 CFR 214.2(h).

# §§ 655.620, 655.801, and 655.810 [Amended]

■ 2. In the following table, for each paragraph indicated in the left column, remove the dollar amount indicated in the middle column from wherever it appears in the paragraph and add in its place the dollar amount indicated in the right column.

| -                            |         |         |
|------------------------------|---------|---------|
| Paragraph                    | Remove  | Add     |
| § 655.620(a)                 | \$9,639 | \$9,753 |
| § 655.801(b)                 | 7,846   | 7,939   |
| § 655.810(b)(1) introductory |         |         |
| text                         | 1,928   | 1,951   |
| § 655.810(b)(2) introductory |         |         |
| text                         | 7,846   | 7,939   |
| § 655.810(b)(3) introductory |         |         |
| text                         | 54,921  | 55,570  |

#### DEPARTMENT OF LABOR

# Office of Workers' Compensation Programs

# PART 702—ADMINISTRATION AND PROCEDURE

■ 3. The authority citation for part 702 continues to read as follows:

Authority: 5 U.S.C. 301, and 8171 et seq.; 33 U.S.C. 901 et seq.; 42 U.S.C. 1651 et seq.; 43 U.S.C. 1333; 28 U.S.C. 2461 note (Federal Civil Penalties Inflation Adjustment Act of 1990); Pub. L. 114–74 at sec. 701; Reorganization Plan No. 6 of 1950, 15 FR 3174, 64 Stat. 1263; Secretary's Order 10–2009, 74 FR 58834.

# §§ 702.204, 702.236, and 702.271 [Amended]

■ 4. In the following table, for each paragraph indicated in the left column, remove the dollar amount or date indicated in the middle column from wherever it appears in the section or paragraph and add in its place the dollar amount or date indicated in the right column.

| Section/paragraph  | Remove           | Add  |
|--|------------------|--|
| \$ 702.204<br>\$ 702.204<br>\$ 702.236<br>\$ 702.236<br>\$ 702.271(a)(2)<br>\$ 702.271(a)(2)<br>\$ 702.271(a)(2) | January 15, 2020 | January 15, 2021.<br>301.<br>January 15, 2021. |

## PART 725—CLAIMS FOR BENEFITS UNDER PART C OF TITLE IV OF THE FEDERAL MINE SAFETY AND HEALTH ACT, AS AMENDED

■ 5. The authority citation for part 725 continues to read as follows:

**Authority:** 5 U.S.C. 301; 28 U.S.C. 2461 note (Federal Civil Penalties Inflation Adjustment Act of 1990); Pub. L. 114–74 at sec. 701; Reorganization Plan No. 6 of 1950, 15 FR 3174; 30 U.S.C. 901 et seq., 902(f), 921, 932, 936; 33 U.S.C. 901 et seq.; 42 U.S.C. 405; Secretary's Order 10–2009, 74 FR 58834.

#### §725.621 [Amended]

■ 6. In § 725.621, amend paragraph (d) by removing "January 15, 2020" and adding in its place "January 15, 2021" and by removing "\$1,488" and adding in its place "\$1,506".

#### PART 726—BLACK LUNG BENEFITS; REQUIREMENTS FOR COAL MINE OPERATOR'S INSURANCE

■ 7. The authority citation for part 726 continues to read as follows:

**Authority:** 5 U.S.C. 301; 30 U.S.C. 901 *et seq.*, 902(f), 925, 932, 933, 934, 936; 33 U.S.C.

901 et seq.; 28 U.S.C. 2461 note (Federal Civil Penalties Inflation Adjustment Act of 1990); Pub. L. 114–74 at sec. 701; Reorganization Plan No. 6 of 1950, 15 FR 3174; Secretary's Order 10–2009, 74 FR 58834.

#### §726.302 [Amended]

■ 8. In the following table, for each paragraph indicated in the left column, remove the dollar amount or date indicated in the middle column from wherever it appears in the paragraph and add in its place the dollar amount or date indicated in the right column.

| Paragraph   | Remove | Add  |
|---|--------|--|
| \$ 726.302(c)(2)(i) table Introductory text<br>\$ 726.302(c)(2)(i) table<br>\$ 726.302(c)(2)(i) table<br>\$ 726.302(c)(2)(i) table<br>\$ 726.302(c)(2)(i) table<br>\$ 726.302(c)(4)<br>\$ 726.302(c)(4)<br>\$ 726.302(c)(5)<br>\$ 726.302(c)(5)<br>\$ 726.302(c)(6)<br>\$ 726.302(c)(6) | \$146  | January 15, 2021.<br>\$148.<br>293.<br>441.<br>586.<br>January 15, 2021.<br>148.<br>January 15, 2021.<br>441.<br>January 15, 2021.<br>3,011. |

# DEPARTMENT OF LABOR

Wage and Hour Division

Title 29—Labor

# PART 500—MIGRANT AND SEASONAL AGRICULTURAL WORKER PROTECTION

■ 9. The authority citation for part 500 continues to read as follows:

Authority: Pub. L. 97–470, 96 Stat. 2583 (29 U.S.C. 1801–1872); Secretary's Order No. 01–2014 (Dec. 19, 2014), 79 FR 77527 (Dec. 24, 2014); 28 U.S.C. 2461 note (Federal Civil Penalties Inflation Adjustment Act of 1990); and Pub. L. 114–74, 129 Stat 584.

### § 500.1 [Amended]

■ 10. In § 500.1, amend paragraph (e) by removing "\$2,549" and adding in its place "\$2,579".

### PART 501—ENFORCEMENT OF CONTRACTUAL OBLIGATIONS FOR TEMPORARY ALIEN AGRICULTURAL WORKERS ADMITTED UNDER SECTION 218 OF THE IMMIGRATION AND NATIONALITY ACT

■ 11. The authority citation for part 501 continues to read as follows:

Authority: 8 U.S.C. 1101(a)(15)(H)(ii)(a), 1184(c), and 1188; 28 U.S.C. 2461 note (Federal Civil Penalties Inflation Adjustment Act of 1990); and Pub. L. 114–74 at § 701.

#### § 501.19 [Amended]

■ 12. In the following table, for each paragraph indicated in the left column, remove the dollar amount indicated in the middle column from wherever it appears in the paragraph and add in its place the dollar amount indicated in the right column.

| Paragraph                     | Remove  | Add     |
|-------------------------------|---------|---------|
| § 501.19(c) introductory text | \$1,766 | \$1,787 |
| § 501.19(c)(1)                | 5,942   | 6,012   |
| § 501.19(c)(2)                | 57,833  | 59,528  |
| § 501.19(c)(4)                | 117,664 | 119,055 |

| Paragraph   | Remove                    | Add                       |
|-------------|---------------------------|---------------------------|
| § 501.19(d) | 5,942<br>17,650<br>17,650 | 6,012<br>17,859<br>17,859 |

## PART 503—ENFORCEMENT OF OBLIGATIONS FOR TEMPORARY NONIMMIGRANT NON-AGRICULTURAL WORKERS DESCRIBED IN THE IMMIGRATION AND NATIONALITY ACT

■ 13. The authority citation for part 503 continues to read as follows:

**Authority:** 8 U.S.C. 1101(a)(15)(H)(ii)(b); 8 U.S.C. 1184; 8 CFR 214.2(h); 28 U.S.C. 2461 note (Federal Civil Penalties Inflation Adjustment Act of 1990); Pub. L. 114–74 at \$ 701.

#### § 503.23 [Amended]

■ 14. In the following table, for each paragraph indicated in the left column, remove the dollar amount indicated in the middle column from wherever it appears in the paragraph, and add in its place the dollar amount indicated in the right column:

| Paragraph                                 | Remove                       | Add                          |
|---|------------------------------|------------------------------|
| § 503.23(b)<br>§ 503.23(c)<br>§ 503.23(d) | \$12,919<br>12,919<br>12,919 | \$13,072<br>13,072<br>13,072 |

#### PART 530—EMPLOYMENT OF HOMEWORKERS IN CERTAIN INDUSTRIES

■ 15. The authority citation for part 530 continues to read as follows:

Authority: Sec. 11, 52 Stat. 1066 (29 U.S.C. 211) as amended by sec. 9, 63 Stat. 910 (29 U.S.C. 211(dl)); Secretary's Order No. 01–2014 (Dec. 19, 2014), 79 FR 77527 (Dec. 24, 2014); 28 U.S.C. 2461 note (Federal Civil Penalties Inflation Adjustment Act of 1990); Pub. L. 114–74 at § 701, 129 Stat 584.

- 16. In § 530.302:
- a. Amend paragraph (a) by removing "\$1,071" and adding in its place "\$1,084;" and
- b. Revising paragraph (b).

# TABLE 1 TO PARAGRAPH (b)

The revision reads as follows:

# § 530.302 Amounts of civil penalties.

\* \* \* \* \*

(b) The amount of civil money penalties shall be determined per affected homeworker within the limits set forth in the following schedule, except that no penalty shall be assessed in the case of violations which are deemed to be *de minimis* in nature:

|   | Penalty per affected homeworker |                      |                                  |
|---|---------------------------------|----------------------|----------------------------------|
| Nature of violation   | Minor                           | Substantial          | Repeated, intentional or knowing |
| Recordkeeping   | \$21–217<br>21–217              | \$217–433<br>217–433 | \$433–1,084                      |
| Employment of homeworkers without a certificate  Other violations of statutes, regulations or employer assurances | 21–217                          | 217–433<br>217–433   | 433–1,084<br>433–1,084           |

#### PART 570—CHILD LABOR REGULATIONS, ORDERS AND STATEMENTS OF INTERPRETATION

## Subpart G—General Statements of Interpretation of the Child Labor Provisions of the Fair Labor Standards Act of 1938, as Amended

■ 17. The authority citation for subpart G of part 570 continues to read as follows:

Authority: 52 Stat. 1060–1069, as amended; 29 U.S.C. 201–219; 28 U.S.C. 2461 note (Federal Civil Penalties Inflation Adjustment Act of 1990); Pub. L. 114–74 at § 701.

# § 570.140 [Amended]

■ 18. In § 570.140, amend paragraph (b)(1) by removing "\$13,072" and adding in its place "\$13,227" and paragraph (b)(2) by removing "\$59,413" and adding in its place "\$60,115".

# PART 578—MINIMUM WAGE AND OVERTIME VIOLATIONS—CIVIL MONEY PENALTIES

■ 19. The authority citation for part 578 continues to read as follows:

**Authority:** Sec. 9, Pub. L. 101–157, 103 Stat. 938, sec. 3103, Pub. L. 101–508, 104 Stat. 1388–29 (29 U.S.C. 216(e)), Pub. L. 101–410, 104 Stat. 890 (28 U.S.C. 2461 note), as amended by Pub. L. 104–134, section 31001(s), 110 Stat. 1321–358, 1321–373, and Pub. L. 114–74, 129 Stat 584.

#### § 578.3 [Amended]

■ 20. In § 578.3, amend paragraph (a) by removing "\$2,050" and adding in its place "\$2,074".

# PART 579—CHILD LABOR VIOLATIONS—CIVIL MONEY PENALTIES

■ 21. The authority citation for part 579 continues to read as follows:

Authority: 29 U.S.C. 203(l), 211, 212, 213(c), 216; Reorg. Plan No. 6 of 1950, 64 Stat. 1263, 5 U.S.C. App; secs. 25, 29, 88 Stat. 72, 76; Secretary of Labor's Order No. 01–2014 (Dec. 19, 2014), 79 FR 77527 (Dec. 24, 2014); 28 U.S.C. 2461 note (Federal Civil Penalties Inflation Adjustment Act of 1990); and Pub. L. 114–7, 129 Stat 584.

#### § 579.1 [Amended]

■ 22. In the following table, for each paragraph indicated in the left column, remove the dollar amount indicated in the middle column from wherever it appears in the paragraph and add in its place the dollar amount indicated in the right column.

| Paragraph  | Remove                      | Add                         |
|--|-----------------------------|-----------------------------|
| \$ 579.1(a)(1)(i)(A)<br>\$ 579.1(a)(1)(i)(B)<br>\$ 579.1(a)(2) | \$13,072<br>59,413<br>2,050 | \$13,227<br>60,115<br>2,074 |
|  |                             |                             |

# PART 801—APPLICATION OF THE EMPLOYEE POLYGRAPH PROTECTION ACT OF 1988

■ 23. The authority citation for part 801 continues to read as follows:

**Authority:** Pub. L. 100–347, 102 Stat. 646, 29 U.S.C. 2001–2009; 28 U.S.C. 2461 note (Federal Civil Penalties Inflation Adjustment Act of 1990); Pub. L. 114–74 at § 701, 129 Stat 584.

### §801.42 [Amended]

■ 24. In § 801.42, amend paragraph (a) introductory text by removing

"\$21,410" and adding in its place "\$21,663".

# PART 825—THE FAMILY AND MEDICAL LEAVE ACT OF 1993

■ 25. The authority citation for part 825 continues to read as follows:

Authority: 29 U.S.C. 2654; 28 U.S.C. 2461 note (Federal Civil Penalties Inflation Adjustment Act of 1990); and Pub. L. 114–74 at § 701.

#### §825.300 [Amended]

■ 26. In § 825.300, amend paragraph (a)(1) by removing "\$176" and adding in its place "\$178".

#### **DEPARTMENT OF LABOR**

# Occupational Safety and Health Administration

Title 29—Labor

## PART 1903—INSPECTIONS, CITATIONS, AND PROPOSED PENALTIES

■ 27. The authority citation for part 1903 continues to read as follows:

Authority: Secs. 8 and 9 of the Occupational Safety and Health Act of 1970 (29 U.S.C. 657, 658); 5 U.S.C. 553; 28 U.S.C. 2461 note (Federal Civil Penalties Inflation Adjustment Act of 1990), as amended by Section 701, Pub. L. 114–74; Secretary of Labor's Order No. 1–2012 (77 FR 3912, Jan. 25, 2012).

## §1903.15 [Amended]

■ 28. In the following table, for each paragraph indicated in the left column, remove the dollar amount or date indicated in the middle column from wherever it appears in the paragraph

and add in its place the dollar amount or date indicated in the right column.

| Paragraph  | Remove  | Add   |
|--|---|---|
| § 1903.15(d)(1)<br>§ 1903.15(d)(1)<br>§ 1903.15(d)(2)<br>§ 1903.15(d)(3)<br>§ 1903.15(d)(4)<br>§ 1903.15(d)(5) | \$9,639<br>\$134,937<br>\$134,937<br>\$13,494<br>\$13,494 | \$9,753.<br>\$136,532.<br>\$136,532.<br>\$13,653.<br>\$13,653.<br>\$13,653. |

#### **DEPARTMENT OF LABOR**

# Mine Safety and Health Administration

Title 30—Mineral Resources

### PART 100—CRITERIA AND PROCEDURES FOR PROPOSED ASSESSMENT OF CIVIL PENALTIES

■ 29. The authority citation for part 100 continues to read as follows:

Authority: 5 U.S.C. 301; 30 U.S.C. 815, 820, 957; 28 U.S.C. 2461 note (Federal Civil Penalties Inflation Adjustment Act of 1990); Pub. L. 114-74 at § 701.

■ 30. In § 100.3, amend paragraph (a)(1) introductory text by removing "\$73,901" and adding in its place "\$74,775" and paragraph (g) by revising Table XIV—Penalty Conversion Table to read as follows:

### § 100.3 Determination of penalty amount; regular assessment.

\* \* (g) \* \* \*

TABLE XIV—PENALTY CONVERSION **TABLE** 

| Points      | Penalty<br>(\$) |  |  |
|-------------|-----------------|--|--|
| 60 or fewer | \$139           |  |  |
| 61          | 152             |  |  |
| 62          | 163             |  |  |
| 63          | 177             |  |  |
| 64          | 192             |  |  |
| 65          | 208             |  |  |
| 66          | 225             |  |  |
| 67          | 245             |  |  |
| 68          | 264             |  |  |
| 69          | 286             |  |  |
| 70          | 310             |  |  |
| 71          | 336             |  |  |
| 72          | 365             |  |  |
| 73          | 395             |  |  |
| 74          | 426             |  |  |
| 75          | 463             |  |  |
| 76          | 504             |  |  |
| 77          | 542             |  |  |

## TABLE XIV—PENALTY CONVERSION TABLE—Continued

| Points     | Penalty<br>(\$) |
|------------|-----------------|
| 78         | 589             |
| 79         | 638             |
| 80         | 692             |
| 81         | 749             |
| 82         | 810             |
| 83         | 879             |
| 84         | 952             |
| 85         | 1,033           |
| 86         | 1,118           |
| 87         | 1,210           |
| 88         | 1,311           |
| 89         | 1,421           |
|            | 1,539           |
|            |                 |
| -          | 1,667           |
| 92         | 1,805           |
| 93         | 1,955           |
| 94         | 2,119           |
| 95         | 2,295           |
| 96         | 2,486           |
| 97         | 2,692           |
| 98         | 2,918           |
| 99         | 3,161           |
| 100        | 3,425           |
| 101        | 3,709           |
| 102        | 4,018           |
| 103        | 4,353           |
| 104        | 4,715           |
| 105        | 5,109           |
| 106        | 5,534           |
| 107        | 5,995           |
| 108        | 6,494           |
| 109        | 7,035           |
| 110        | 7,621           |
| 111        | 8,253           |
| 112        | 8,943           |
| 113        | 9,688           |
| 114        | 10,496          |
| 115        | 11,369          |
| 116        | 12,315          |
| 117        | 13,342          |
|            |                 |
| 118<br>119 | 14,453          |
| -          | 15,657          |
| 120        | 16,960          |
| 121        | 18,374          |
| 122        | 19,902          |
| 123        | 21,561          |
|            |                 |

TABLE XIV—PENALTY CONVERSION TABLE—Continued

| Points      | Penalty<br>(\$) |  |  |
|-------------|-----------------|--|--|
| 124         | 23,358          |  |  |
| 125         | 25,300          |  |  |
| 126         | 27,409          |  |  |
| 127         | 29,693          |  |  |
| 128         | 32,165          |  |  |
| 129         | 34,844          |  |  |
| 130         | 37,747          |  |  |
| 131         | 40,891          |  |  |
| 132         | 44,295          |  |  |
| 133         | 47,984          |  |  |
| 134         | 51,812          |  |  |
| 135         | 55,638          |  |  |
| 136         | 59,468          |  |  |
| 137         | 63,292          |  |  |
| 138         | 67,121          |  |  |
| 139         | 70,947          |  |  |
| 140 or more | 74,775          |  |  |

#### §§ 100.4 and 100.5 [Amended]

■ 31. In the following table, for each paragraph indicated in the left column, remove the dollar amount indicated in the middle column from wherever it appears in the paragraph, and add in its place the dollar amount indicated in the right column.

| Paragraph  | Remove   | Add  |  |
|------------|--|--|--|
| § 100.4(a) | \$2,464<br>4,925<br>6,159<br>73,901<br>8,006<br>338<br>270,972 | \$2,493<br>4,983<br>6,232<br>74,775<br>8,101<br>342<br>274,175 |  |
| 3 100.5(e) | 210,312  | 214,113  |  |

Signed in Washington, DC.

Eugene Scalia,

Secretary, U.S. Department of Labor.

Note: The following Appendix will not appear in the Code of Federal Regulations.

|        |  |  |                 | 2020  |  | 2021  |   |
|--------|--|--|-----------------|---|--|---|---|
| Agency | Law  | Name/description   | CFR citation    | Min penalty<br>(rounded to<br>nearest dollar) | Max penalty<br>(rounded to<br>nearest dollar)                | Min penalty<br>(rounded to<br>nearest dollar) | Max penalty<br>(rounded to<br>nearest dolla                 |
| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977. | Regular Assessment   | 30 CFR 100.3(a) |   | \$73,901   |   | \$74,775.   |
| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977. | Penalty Conversion Table   | 30 CFR 100.3(g) | \$137   | \$73,901   | \$139   | \$74,775.   |
| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977. | Minimum Penalty for any order issued under 104(d)(1) of the Mine Act.  | 30 CFR 100.4(a) | 2,464   |  | 2,493   |   |
| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977. | Minimum penalty for any order issued under 104(d)(2) of the Mine Act.  | 30 CFR 100.4(b) | 4,925   |  | 4,983   |   |
| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977. | Penalty for failure to provide timely notification under 103(j) of the Mine Act.   | 39 CFR 100.4(c) | 6,159   | \$73,901   | 6,232   | \$74,775.   |
| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977. | Any operator who fails to correct a violation for which a citation or order was issued under 104(a) of the Mine Act.   | 30 CFR 100.5(c) |   | \$8,006  |   | \$8,101.  |
| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977. | Violation of mandatory safety standards related to smoking standards.  | 30 CFR 100.5(d) |   | \$338  |   | \$342.  |
| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977. | Flagrant violations under 110(b)(2) of the Mine Act.   | 30 CFR 100.5(e) |   | \$270,972  |   | \$274,175.  |
| EBSA   | Employee Retire-<br>ment Income<br>Security Act.   | Section 209(b): Per plan year for failure to furnish reports (e.g., pension benefit statements) to certain former employees or maintain employee records each employee a separate violation.   | 29 CFR 2575.1–3 |   | \$31   |   | \$31.   |
| EBSA   | Employee Retire-<br>ment Income<br>Security Act.   | Section 502 (c)(2)—Per day for failure/re-<br>fusal to properly file plan annual report.   | 29 CFR 2575.1–3 |   | \$2,233  |   | \$2,259.  |
| EBSA   | Employee Retire-<br>ment Income<br>Security Act.   | Section 502 (c)(4)—Per day for failure to disclose certain documents upon request under ERISA 101(k) and (l); failure to furnish notices under 101(j) and 514(e)(3)—each statutory recipient a separate violation.   | 29 CFR 2575.1–3 |   | \$1,767  |   | \$1,788.  |
| EBSA   | Employee Retire-<br>ment Income<br>Security Act.   | Section 502 (c)(5)—Per day for each failure to file annual report for Multiple Employer Welfare Arrangements (MEWAs) under 101(g).   | 29 CFR 2575.1–3 |   | \$1,625  |   | \$1,644.  |
| EBSA   | Employee Retirement Income Security Act.           | Section 502 (c)(6)—Per day for each failure to provide Secretary of Labor requested documentation not to exceed a per-request maximum.   | 29 CFR 2575.1–3 |   | \$159 per day,<br>not to ex-<br>ceed \$1,594<br>per request. |   | \$161 per day,<br>not to ex-<br>ceed \$1,613<br>per request |
| EBSA   | Employee Retirement Income<br>Security Act.        | Section 502 (c)(7)—Per day for each failure to provide notices of blackout periods and of right to divest employer securities—each statutory recipient a separate violation.   | 29 CFR 2575.1–3 |   | \$141  |   | \$143.  |
| EBSA   | Employee Retire-<br>ment Income<br>Security Act.   | Section 502 (c)(8)—Per each failure by an endangered status multiemployer plan to adopt a funding improvement plan or meet benchmarks; or failure of a critical status multiemployer plan to adopt a rehabilitation plan.  | 29 CFR 2575.1–3 |   | \$1,402  |   | \$1,419.  |
| EBSA   | Employee Retirement Income Security Act.           | Section 502(c)(9)(A)—Per day for each failure by an employer to inform employees of CHIP coverage opportunities under Section 701(f)(3)(B)(i)(I)—  | 29 CFR 2575.1–3 |   | \$119  |   | \$120.  |
| EBSA   | Employee Retire-<br>ment Income<br>Security Act.   | each employee a separate violation.  Section 502(c)(9)(B)—Per day for each failure by a plan to timely provide to any State information required to be disclosed under Section 701(f)(3)(B)(ii), as added by CHIP regarding coverage coordination—each participant/beneficiary a separate violation. | 29 CFR 2575.1–3 |   | \$119  |   | \$120.  |

|        |   |   |   | 2020  |   | 2021  |  |
|--------|---|---|---|---|---|---|--|
| Agency | Law   | Name/description  | CFR citation  | Min penalty<br>(rounded to<br>nearest dollar) | Max penalty<br>(rounded to<br>nearest dollar) | Min penalty<br>(rounded to<br>nearest dollar) | Max penalty<br>(rounded to<br>nearest dollar |
| EBSA   | Employee Retirement Income<br>Security Act.                           | Section 502(c)(10)—Failure by any plan sponsor of group health plan, or any health insurance issuer offering health insurance coverage in connection with the plan, to meet the requirements of Sections 702(a)(1)(F), (b)(3), (c) or (d); or Section 701; or Section 702(b)(1) with respect to genetic information—daily per participant and beneficiary during non-compliance period. | 29 CFR 2575.1–3   |   | \$119   |   | \$120.                                       |
| EBSA   | Employee Retire-<br>ment Income<br>Security Act.                      | Section 502(c)(10)—uncorrected de minimis violation.  | 29 CFR 2575.1–3   | 2,970   |   | 3,005   |  |
| EBSA   | Employee Retire-<br>ment Income<br>Security Act.                      | Section 502(c)(10)—uncorrected violations that are not de minimis.  | 29 CFR 2575.1–3   | 17,824  |   | 18,035  |  |
| EBSA   | Employee Retire-<br>ment Income<br>Security Act.                      | Section 502(c)(10)—unintentional failure maximum cap.   | 29 CFR 2575.1–3   |   | ,   |   | \$601,152.                                   |
| EBSA   | Employee Retire-<br>ment Income<br>Security Act.                      | Section 502(c)(12)—Per day for each failure of a CSEC plan in restoration status to adopt a restoration plan.   | 29CFR 2575.1-3  |   |   |   | \$110.                                       |
| EBSA   | Employee Retire-<br>ment Income<br>Security Act.                      | Section 502 (m)—Failure of fiduciary to make a proper distribution from a defined benefit plan under section 206(e) of ERISA.   | 29 CFR 2575.1–3   |   |   |   | \$17,416.                                    |
| EBSA   | Employee Retirement Income<br>Security Act.                           | Failure to provide Summary of Benefits<br>Coverage under PHS Act section<br>2715(f), as incorporated in ERISA sec-<br>tion 715 and 29 CFR 2590.715—<br>2715(e).   | 29 CFR 2575.1–3   |   | \$1,176                                       |   | \$1,190.                                     |
| OSHA   | Occupational Safety and Health Act.                                   | Serious Violation   | 29 CFR<br>1903.15(d)(3).  |   | \$13,494                                      |   | \$13,653.                                    |
| OSHA   | Occupational Safety and Health Act.                                   | Other-Than-Serious  | 29 CFR<br>1903.15(d)(4).  |   | \$13,494                                      |   | \$13,653.                                    |
| OSHA   | Occupational Safety and Health Act.                                   | Willful   | 29 CFR<br>1903.15(d)(1).  | 9,639   | \$134,937                                     | 9,753   | \$136,532.                                   |
| OSHA   | Occupational Safety and Health Act.                                   | Repeated  | 29 CFR<br>1903.15(d)(2).  |   |   |   | \$136,532.                                   |
| OSHA   | Occupational Safety and Health Act.                                   | Posting Requirement   | 29 CFR<br>1903.15(d)(6).  |   | ,   |   | \$13,653.                                    |
| OSHA   | Occupational Safety and Health Act.                                   | Failure to Abate  | 29 CFR<br>1903.15(d)(5).  |   | \$13,494 per day.                             |   | \$13,653 per<br>day.                         |
| WHD    | Family and Medical Leave Act. Fair Labor                              | FLSA  | 29 CFR<br>825.300(a)(1).<br>29 CFR 578.3(a)                         |   | \$176<br>\$2,050                              |   | \$178.<br>\$2,074.                           |
| WHD    | Standards Act.<br>Fair Labor<br>Standards Act.                        | Child Labor   | 29 CFR 579.1(a)(2)  |   |   |   | \$2,074.                                     |
| WHD    | Fair Labor Standards Act. Fair Labor                                  | Child Labor   | 29 CFR<br>570.140(b)(1).<br>29 CFR                                  |   | \$13,072<br>\$13,072                          |   | \$13,227.<br>\$13,227.                       |
| WHD    | Standards Act.<br>Fair Labor<br>Standards Act.                        | Child Labor that causes serious injury or death.  | 579.1(a)(1)(i)(A).<br>29 CFR<br>570.140(b)(2).                      |   | \$59,413                                      |   | \$60,115.                                    |
| WHD    | Fair Labor<br>Standards Act.  | Child Labor that causes serious injury or death.  | 29 CFR<br>579.1(a)(1)(i)(B).  |   | \$59,413                                      |   | \$60,115.                                    |
| WHD    | Fair Labor<br>Standards Act.  | Child Labor willful or repeated that causes serious injury or death (penalty amount doubled).   | 29 CFR<br>570.140(b)(2); 29<br>CFR<br>579.1(a)(1)(i)(B)<br>Doubled. |   | \$118,827                                     |   | \$120,230.                                   |
| WHD    | Migrant and Sea-<br>sonal Agricul-<br>tural Worker<br>Protection Act. | MSPA  | 29 CFR 500.1(e)   |   | \$2,549                                       |   | \$2,579.                                     |
| WHD    | Immigration & Nationality Act.  | H1B   | 20 CFR<br>655.810(b)(1).  |   | \$1,928                                       |   | \$1,951.                                     |
| WHD    | Immigration & Nationality Act.  | H1B retaliation   | 20 CFR 655.801(b)   |   | \$7,846                                       |   | \$7,939.                                     |
| WHD    | Immigration & Nationality Act.  | H1B willful or discrimination   | 20 CFR<br>655.810(b)(2).  |   | ,   |   | \$7,939.                                     |
| WHD    | Immigration & Nationality Act.  | H1B willful that resulted in displacement of a US worker.   | 20 CFR<br>655.810(b)(3).  |   | \$54,921                                      |   | \$55,570.                                    |

|        |  |   |  | 2020  |   | 2021  |  |
|--------|--|---|--|---|---|---|--|
| Agency | Law  | Name/description  | CFR citation                           | Min penalty<br>(rounded to<br>nearest dollar) | Max penalty<br>(rounded to<br>nearest dollar) | Min penalty<br>(rounded to<br>nearest dollar) | Max penalty<br>(rounded to<br>nearest dollar |
| WHD    | Immigration &  | D-1   | 20 CFR 655.620(a)                      |   | \$9,639                                       |   | \$9,753.                                     |
| WHD    | Nationality Act. Contract Work Hours and Safety Stand-             | CWHSSA  | 29 CFR 5.5(b)(2)                       |   | \$27  |   | \$27.  |
| WHD    | ards Act.<br>Contract Work<br>Hours and                            | CWHSSA  | 29 CFR 5.8(a)                          |   | \$27  |   | \$27.  |
| WHD    | Safety Stand-<br>ards Act.<br>Walsh-Healey<br>Public Con-          | Walsh-Healey  | 41 CFR 50–201.3(e)                     |   | \$27  |   | \$27.  |
| WHD    | tracts Act. Employee Polygraph Protection Act.                     | EPPA  | 29 CFR 801.42(a)                       |   | \$21,410                                      |   | \$21,663.                                    |
| WHD    | Immigration & Nationality Act.                                     | H2A   | 29 CFR 501.19(c)                       |   | \$1,766                                       |   | \$1,787.                                     |
| WHD    | Immigration & Nationality Act.                                     | H2A willful or discrimination   | 29 CFR 501.19(c)(1)                    |   |   |   | \$6,012.                                     |
| WHD    | Immigration & Nationality Act.                                     | H2A Safety or health resulting in serious injury or death.                                | 29 CFR 501.19(c)(2)                    |   |   |   | \$59,528.                                    |
| WHD    | Immigration & Nationality Act.                                     | H2A willful or repeated safety or health resulting in serious injury or death.            | 29 CFR 501.19(c)(4)                    |   |   |   | \$119,055.                                   |
| WHD    | Immigration &<br>Nationality Act.                                  | H2A failing to cooperate in an investigation.   | 29 CFR 501.19(d)                       |   |   |   | \$6,012.                                     |
| WHD    | Immigration & Nationality Act.                                     | H2A displacing a US worker  | 29 CFR 501.19(e)                       |   | , ,   |   | \$17,859.                                    |
| WHD    | Immigration & Nationality Act.                                     | H2A improperly rejecting a US worker  | 29 CFR 501.19(f)                       |   | , ,   |   | \$17,859.                                    |
| WHD    | Immigration & Nationality Act.                                     | H–2B  | 29 CFR 503.23(b)                       |   |   |   | \$13,072.                                    |
| WHD    | Immigration & Nationality Act.                                     | H–2B  | 29 CFR 503.23(c)                       |   | , ,   |   | \$13,072.                                    |
| WHD    | Immigration & Nationality Act.                                     | H–2B  Home Worker   | 29 CFR 503.23(d)                       |   |   |   | \$13,072.<br>\$1,084.                        |
| WHD    | Fair Labor Standards Act. Fair Labor                               | Home Worker   | 29 CFR 530.302(a)<br>29 CFR 530.302(b) | 21  |   | 21  | \$1,084.                                     |
| OWCP   | Standards Act. Longshore and Harbor Work-                          | Failure to file first report of injury or filing a false statement or misrepresentation   | 20 CFR 702.204                         |   | ,   |   | \$24,730.                                    |
|        | ers' Com-<br>pensation Act.  | in first report.  |  |   |   |   |  |
| OWCP   | Longshore and<br>Harbor Work-<br>ers' Com-                         | Failure to report termination of payments   | 20 CFR 702.236                         |   | \$297   |   | \$301.                                       |
| OWCP   | pensation Act. Longshore and Harbor Work- ers' Com- pensation Act. | Discrimination against employees who claim compensation or testify in a LHWCA proceeding. | 20 CFR<br>702.271(a)(2).               | 2,444   | \$12,219                                      | 2,473   | \$12,363.                                    |
| OWCP   | Black Lung Ben-<br>efits Act.                                      | Failure to report termination of payments   | 20 CFR 725.621(d)                      |   | \$1,488                                       |   | \$1,506.                                     |
| OWCP   | Black Lung Ben-<br>efits Act.                                      | Failure to secure payment of benefits for mines with fewer than 25 employees.             | 20 CFR<br>726.302(c)(2)(i).            | 146   |   | 148   |  |
| OWCP   | Black Lung Ben-<br>efits Act.                                      | Failure to secure payment of benefits for mines with 25–50 employees.                     | 20 CFR<br>726.302(c)(2)(i).            | 290   |   | 293   |  |
| OWCP   | Black Lung Ben-<br>efits Act.                                      | Failure to secure payment of benefits for mines with 51–100 employees.                    | 20 CFR<br>726.302(c)(2)(i).            | 436   |   | 441   |  |
| OWCP   | Black Lung Ben-<br>efits Act.                                      | Failure to secure payment of benefits for mines with more than 100 employees.             | 20 CFR<br>726.302(c)(2)(i).            | 579   |   | 586   |  |
| OWCP   | Black Lung Ben-<br>efits Act.                                      | Failure to secure payment of benefits after 10th day of notice.                           | 20 CFR<br>726.302(c)(4).               | 146   |   | 148   |  |
| OWCP   | Black Lung Ben-<br>efits Act.                                      | Failure to secure payment of benefits for repeat offenders.                               | 20 CFR<br>726.302(c)(5).               | 436   |   | 441   |  |
| OWCP   | Black Lung Ben-<br>efits Act.                                      | Failure to secure payment of benefits   | 20 CFR<br>726.302(c)(5).               |   | \$2,976                                       |   | \$3,011.                                     |

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