

**OFFICE OF PERSONNEL  
MANAGEMENT****Submission for Review: 3206–0201,  
Federal Employees Health Benefits  
(FEHB) Open Season Express  
Interactive Voice Response (IVR)  
System and Open Season Website**

**AGENCY:** Office of Personnel  
Management.

**ACTION:** 30-Day notice and request for  
comments.

**SUMMARY:** The Retirement Services,  
Office of Personnel Management (OPM)  
offers the general public and other  
federal agencies the opportunity to  
comment on a revised information  
collection request (ICR), Federal  
Employees Health Benefits (FEHB)  
Open Season Express Interactive Voice  
Response (IVR) System and the Open  
Season website, Open Season Online.

**DATES:** Comments are encouraged and  
will be accepted until December 24,  
2020.

**ADDRESSES:** Interested persons are  
invited to submit written comments on  
the proposed information collection to  
the Office of Information and Regulatory  
Affairs, Office of Management and  
Budget, 725 17th Street NW,  
Washington, DC 20503, Attention: Desk  
Officer for the Office of Personnel  
Management or sent via electronic mail  
to [oir-submission@omb.eop.gov](mailto:oir-submission@omb.eop.gov) or  
faxed to (202) 395–6974.

**FOR FURTHER INFORMATION CONTACT:** A  
copy of this ICR, with applicable  
supporting documentation, may be  
obtained by contacting the Retirement  
Services Publications Team, Office of  
Personnel Management, 1900 E Street  
NW, Room 3316–L, Washington, DC  
20415, Attention: Cyrus S. Benson, or  
sent via electronic mail to  
[Cyrus.Benson@opm.gov](mailto:Cyrus.Benson@opm.gov) or faxed to  
(202) 606–0910 or via telephone at (202)  
606–4808.

**SUPPLEMENTARY INFORMATION:** As  
required by the Paperwork Reduction  
Act of 1995, (Pub. L. 104–13, 44 U.S.C.  
chapter 35) as amended by the Clinger-  
Cohen Act (Pub. L. 104–106), OPM is  
soliciting comments for this collection.  
The information collection (OMB No.  
3206–0201) was previously published in  
the **Federal Register** on April 14, 2020,  
at 85 FR 20727, allowing for a 60-day  
public comment period. No comments  
were received for this collection. The  
purpose of this notice is to allow an  
additional 30 days for public comments.  
The Office of Management and Budget  
is particularly interested in comments  
that:

1. Evaluate whether the proposed  
collection of information is necessary

for the proper performance of the  
functions of the agency, including  
whether the information will have  
practical utility;

2. Evaluate the accuracy of the  
agency's estimate of the burden of the  
proposed collection of information,  
including the validity of the  
methodology and assumptions used;

3. Enhance the quality, utility, and  
clarity of the information to be  
collected; and

4. Minimize the burden of the  
collection of information on those who  
are to respond, including through the  
use of appropriate automated,  
electronic, mechanical, or other  
technological collection techniques or  
other forms of information technology,  
e.g., permitting electronic submissions  
of responses.

Federal Employees Health Benefits  
(FEHB) Open Season Express Interactive  
Voice Response (IVR) System, and the  
Open Season website, Open Season  
Online, are used by retirees and  
survivors. They collect information for  
changing FEHB enrollments, collecting  
dependent and other insurance  
information for self and family  
enrollments, requesting plan brochures,  
requesting a change of address,  
requesting cancellation or suspension of  
FEHB benefits, asking to make payment  
to the Office of Personnel Management  
when the FEHB payment is greater than  
the monthly annuity amount, or for  
requesting FEHB plan accreditation and  
Customer Satisfaction Survey  
information.

**Analysis**

**Agency:** Retirement Operations,  
Retirement Services, Office of Personnel  
Management

**Title:** Federal Employees Health  
Benefits (FEHB) Open Season Express  
Interactive Voice Response (IVR) System  
and Open Season Online.

**OMB Number:** 3206–0201.

**Frequency:** On occasion.

**Affected Public:** Individual or  
Households.

**Number of Respondents:** 350,100.

**Estimated Time per Respondent:** 10  
minutes.

**Total Burden Hours:** 58,350 hours.

Office of Personnel Management.

**Alexys Stanley,**

*Regulatory Affairs Analyst.*

[FR Doc. 2020–25921 Filed 11–23–20; 8:45 am]

**BILLING CODE 6325–38–P**

**RAILROAD RETIREMENT BOARD****Agency Forms Submitted for OMB  
Review, Request for Comments**

In accordance with the Paperwork  
Reduction Act of 1995 (44 U.S.C.  
Chapter 35), the Railroad Retirement  
Board (RRB) is forwarding an  
Information Collection Request (ICR) to  
the Office of Information and Regulatory  
Affairs (OIRA), Office of Management  
and Budget (OMB). Our ICR describes  
the information we seek to collect from  
the public. Review and approval by  
OIRA ensures that we impose  
appropriate paperwork burdens.

The RRB invites comments on the  
proposed collections of information to  
determine (1) the practical utility of the  
collections; (2) the accuracy of the  
estimated burden of the collections; (3)  
ways to enhance the quality, utility, and  
clarity of the information that is the  
subject of collection; and (4) ways to  
minimize the burden of collections on  
respondents, including the use of  
automated collection techniques or  
other forms of information technology.  
Comments to the RRB or OIRA must  
contain the OMB control number of the  
ICR. For proper consideration of your  
comments, it is best if the RRB and  
OIRA receive them within 30 days of  
the publication date.

1. *Title and purpose of information  
collection:* Supplemental Information on  
Accident and Insurance; OMB 3220–  
0036.

Under Section 12(o) of the Railroad  
Unemployment Insurance Act (RUIA)  
(45 U.S.C 362 (o)), the Railroad  
Retirement Board (RRB) is entitled to  
reimbursement of the sickness benefits  
paid to a railroad employee if the  
employee receives a sum or damages for  
the same infirmity for which the  
benefits are paid. Section 2(f) of the  
RUIA requires employers to reimburse  
the RRB for days in which salary, wages,  
pay for time lost or other remuneration  
is later determined to be payable.  
Reimbursements under section 2(f)  
generally result from the award of pay  
for time lost or the payment of  
guaranteed wages. The RUIA prescribes  
that the amount of benefits paid be  
deducted and held by the employer in  
a special fund for reimbursement to the  
RRB.

The RRB currently utilizes Forms SI–  
1c, Supplemental Information on  
Accident and Insurance; SI–5, Report of  
Payments to Employee Claiming  
Sickness Benefits Under the RUIA; ID–  
3s and ID–3s (internet), Request for Lien  
Information—Report of Settlement; ID–  
3s-1, Lien Information Under Section  
12(o) of the RUIA; ID–3u and ID–3u

(internet), Request for Section 2(f) Information; ID-30k, Notice to Request Supplemental Information on Injury or Illness; and ID-30k-1, Notice to Request Supplemental Information on Injury or Illness; to obtain the necessary information from claimants and railroad employers. Completion is required to obtain benefits. One response is requested of each respondent.

*Previous Requests for Comments:* The RRB has already published the initial 60-day notice (85 FR 57257 on September 15, 2020) required by 44 U.S.C. 3506(c)(2). That request elicited no comments.

#### Information Collection Request (ICR)

*Title:* Supplemental Information on Accident and Insurance.

*OMB Control Number:* 3220-0036.

*Form(s) submitted:* SI-1c, SI-5, ID-3s, ID-3s (internet), ID-3s.1, ID3u, ID-3u (internet), ID-30k, and ID-30k.1.

*Type of request:* Revision of a currently approved collection of information.

*Affected public:* Individuals or Households.

*Abstract:* The Railroad Unemployment Insurance Act provides for the recovery of sickness benefits paid if an employee receives a

settlement for the same injury for which benefits were paid. The collection obtains information that is needed to determine the amount of the RRB's reimbursement from the person or company responsible for such payments.

*Changes proposed:* The RRB proposes no changes to SI-1c, SI-5, ID-3s, ID-3s (internet), ID-3u, ID-3u (internet), and ID-30k. The RRB proposes to remove Form ID-30K-1 from the Information Collection due to less than 10 responses per year.

The burden estimate for the ICR is as follows:

Form No.	Annual responses	Time (minutes)	Burden (hours)
SI-1c .....	1,700	5	142
SI-5 .....	100	5	8
ID-3s (paper & telephone) .....	2,000	3	100
ID-3s (Internet) .....	2,000	3	100
ID-3s-1 (paper & telephone) .....	1,200	3	60
ID-3u (paper & telephone) .....	1,000	3	50
ID-3u (Internet) .....	800	3	40
ID-30k .....	100	5	8
Total .....	8,900	.....	508

2. *Title and Purpose of information collection:* Pension Plan Reports; OMB 3220-0089. Under Section 2(b) of the Railroad Retirement Act (RRA) (45 U.S.C. 231a), the Railroad Retirement Board (RRB) pays supplemental annuities to qualified RRB employee annuitants. A supplemental annuity, which is computed according to Section 3(e) of the RRA, can be paid at age 60 if the employee has at least 30 years of creditable railroad service or at age 65 if the employee has 25-29 years of railroad service. In addition to 25 years of service, a "current connection" with the railroad industry is required. Eligibility is further limited to employees who had at least 1 month of rail service before October 1981 and were awarded regular annuities after June 1966. Further, if an employee's 65th birthday was prior to September 2, 1981, he or she must not have worked in rail service after certain closing dates (generally the last day of the month following the month in which age 65 is attained). Under Section 2(h)(2) of the RRA, the amount of the supplemental annuity is reduced if the employee receives monthly pension payments, or a lump-sum pension payment from a private pension from a railroad employer, to the extent the payments are based on contributions from that employer. The employee's own

contribution to their pension account does not cause a reduction. A private railroad employer pension is defined in 20 CFR 216.42.

The RRB requires the following information from railroad employers to calculate supplemental annuities: (a) The current status of railroad employer pension plans and whether such plans cause reductions to the supplemental annuity; (b) whether the employee receives monthly payments from a private railroad employer pension, elected to receive a lump sum in lieu of monthly pension payments from such a plan, or was required to receive a lump sum from such a plan due to the plan's small benefit provision; and (c) the amount of the payments attributable to the railroad employer's contributions. The requirement that railroad employers furnish pension information to the RRB is contained in 20 CFR 209.2.

The RRB currently utilizes Form G-88p and G-88p (internet), *Employer's Supplemental Pension Report*, and Form G-88r, *Request for Information About New or Revised Employer Pension Plan*, to obtain the necessary information from railroad employers. One response is requested of each respondent. Completion is mandatory.

*Previous Requests for Comments:* The RRB has already published the initial 60-day notice (85 FR 57257 on September 15, 2020) required by 44

U.S.C. 3506(c)(2). That request elicited no comments.

#### Information Collection Request (ICR)

*Title:* Pension Plan Reports.

*OMB Control Number:* 3220-0089.

*Forms submitted:* G-88p and G-88r.

*Type of request:* Revision of a currently approved collection of information.

*Affected public:* Businesses or other for-profits.

*Abstract:* The Railroad Retirement Act provides for payment of a supplemental annuity to a qualified railroad retirement annuitant. The collection obtains information from the annuitant's employer to determine (a) the existence of railroad employer pension plans and whether such plans, if they exist, require a reduction to supplemental annuities paid to the employer's former employees and (b) the amount of supplemental annuities due railroad employees.

*Changes proposed:* The RRB proposes no changes to G-88P and G-88P (internet). The RRB proposes the following minor non-burden impacting changes to Form G-88R: change work unit contact from "RAC" to "SESC" and update the fax number to the current number.

The burden estimate for the ICR is as follows:

Form No.	Annual responses	Time (minutes)	Burden (hours)
G-88p .....	100	8	13
G-88p (Internet) .....	200	6	20
G-88r .....	10	8	1
Total .....	310	.....	34

**3. Title and Purpose of information collection:** Statement Regarding Contributions and Support; OMB 3220-0099.

Under Section 2 of the Railroad Retirement Act (45 U.S.C. 231a), dependency on an employee for one-half support at the time of the employee's death can affect (1) entitlement to a survivor annuity when the survivor is a parent of the deceased employee; (2) the amount of spouse and survivor annuities; and (3) the Tier II restored amount payable to a widow(er) whose annuity was reduced for receipt of an employee annuity, and who was dependent on the railroad employee in the year prior to the employee's death. One-half support may also negate the public service pension offset in Tier I for a spouse or widow(er). The Railroad

Retirement Board (RRB) utilizes Form G-134, Statement Regarding Contributions and Support, to secure information needed to adequately determine if the applicant meets the one-half support requirement. One response is completed by each respondent. Completion is required to obtain benefits.

*Previous Requests for Comments:* The RRB has already published the initial 60-day notice (85 FR 57258 on September 15, 2020) required by 44 U.S.C. 3506(c)(2). That request elicited no comments.

**Information Collection Request (ICR)**

*Title:* Statement Regarding Contributions and Support.

*OMB Control Number:* 3220-0099.

*Form(s) submitted:* G-134.

*Type of request:* Revision of a currently approved collection of information.

*Affected public:* Individuals or Households.

*Abstract:* Dependency on the employee for one-half support at the time of the employee's death can be a condition affecting eligibility for a survivor annuity provided for under Section 2 of the Railroad Retirement Act. One-half support is also a condition which may negate the public service pension offset in Tier I for a spouse or widow(er).

*Changes proposed:* The RRB proposes a minor editorial change to Form G-134 to change the date under Section 1 "General Instructions"...

The burden estimate for the ICR is as follows:

Form No.	Annual responses	Time (minutes)	Burden (hours)
G-134			
With assistance .....	75	147	184
Without assistance .....	25	180	75
Total .....	100	.....	259

**4. Title and purpose of information collection:** Financial Disclosure Statement; OMB 3220-0127.

Under Section 10 of the Railroad Retirement Act and Section 2(d) of the Railroad Unemployment Insurance Act (45 U.S.C. 231i), the RRB may recover overpayments of annuities, pensions, death benefits, unemployment benefits, and sickness benefits that were made erroneously. An overpayment may be waived if the beneficiary was not at fault in causing the overpayment and recovery would cause financial hardship. The regulations for the recovery and waiver of erroneous payments are contained in 20 CFR 255 and CFR 340.

The RRB utilizes Form DR-423, Financial Disclosure Statement, to obtain information about the overpaid beneficiary's income, debts, and

expenses if that person indicates that (s)he cannot make restitution for the overpayment. The information is used to determine if the overpayment should be waived as wholly or partially uncollectible. If waiver is denied, the information is used to determine the size and frequency of installment payments. The beneficiary is made aware of the overpayment by letter and is offered a variety of methods for recovery. One response is requested of each respondent. Completion is voluntary. However, failure to provide the requested information may result in a denial of the waiver request.

*Previous Requests for Comments:* The RRB has already published the initial 60-day notice (85 FR 57258 on September 15, 2020) required by 44 U.S.C. 3506(c)(2). That request elicited no comments.

**Information Collection Request (ICR)**

*Title:* Financial Disclosure Statement.

*OMB Control Number:* 3220-0127.

*Form(s) submitted:* DR-423.

*Type of request:* Extension without change of a currently approved collection.

*Affected public:* Individuals or Households.

*Abstract:* Under the Railroad Retirement and the Railroad Unemployment Insurance Acts, the Railroad Retirement Board has authority to secure from an overpaid beneficiary a statement of the individual's assets and liabilities if waiver of the overpayment is requested.

*Changes proposed:* The RRB proposes no changes to Form DR-423.

The burden estimate for the ICR is as follows:

Form No.	Annual responses	Time (minutes)	Burden (hours)
DR-423 .....	1,200	85	1,700

**5. Title and purpose of information collection:** Representative Payee Monitoring; OMB 3220–0151.

Under Section 12 of the Railroad Retirement Act (RRA) (45 U.S.C. 231k), the RRB may pay annuity benefits to a representative payee when an employee, spouse, or survivor annuitant is incompetent or a minor. The RRB is responsible for determining if direct payment to an annuitant or a representative payee would best serve the annuitant's best interest. The accountability requirements authorizing the RRB to conduct periodic monitoring of representative payees, including a written accounting of benefit payments received, are prescribed in 20 CFR 266.7. The RRB utilizes the following forms to conduct its representative payee monitoring program.

Form G–99a, *Representative Payee Report*, is used to obtain information needed to determine whether the benefit payments certified to the representative payee have been used for the annuitant's current maintenance and personal needs and whether the representative payee continues to be concerned with the annuitant's welfare. RRB Form G–99c, *Representative Payee Evaluation Report*, is used to obtain more detailed information from a representative payee who fails to complete and return Form G–99a or in situations when the returned Form G–

99a indicates the possible misuse of funds by the representative payee. Form G–99c contains specific questions concerning the representative payee's performance and is used by the RRB to determine whether or not the representative payee should continue in that capacity.

Form G–106, *Statement of Care and Responsibility to Annuitant*, is used to solicit information about the representative payee's performance and the annuitant's well-being from the custodian of the annuitant. The form contains specific questions concerning the representative payee's performance, and is used by the RRB to determine whether or not the representative payee should continue in that capacity. Completion of the forms in this collection is required to retain benefits.

*Previous Requests for Comments:* The RRB has already published the initial 60-day notice (85 FR 57258 on September 15, 2020) required by 44 U.S.C. 3506(c)(2). That request elicited no comments.

**Information Collection Request (ICR)**

*Title:* Representative Payee Monitoring.

*OMB Control Number:* 3220–0151.

*Forms submitted:* G–99a, G–99c and G–106.

*Type of request:* Revision of a currently approved collection.

*Affected public:* Individuals or Households.

*Abstract:* Under Section 12(a) of the Railroad Retirement Act, the RRB is authorized to select, make payments to, and conduct transactions with an annuitant's relative or some other person willing to act on behalf of the annuitant as representative payee. If the representative payee does not have custody of the beneficiary, the RRB will obtain the information from the custodian for evaluation. The collection obtains information needed to determine if a representative payee is handling benefit payments in the best interest of the annuitant.

*Changes proposed:* The RRB proposes the following changes to Form G–99a: add drop-down box “Second Request” at the top of the form to when the RRB needs to follow-up with a Representative Payee who did not respond to the initial request, add computer-generated address fields to mail the form to a Representative Payee, and slight change to question's 1, 3, and 9 wording to clarify and improve the reliability of responses. The RRB proposes the following change to Form G–99c: slight change question 9 wording to clarify and improve the reliability of responses. The RRB proposes no changes for Form G–106.

*The burden estimate for the ICR is as follows:*

Form No.	Annual responses	Time (minutes)	Burden (hours)
G–99a (legal and all other, excepting parent for child) .....	5,400	18	1,620
G–99c (Parts I and II) .....	300	24	120
G–99c (Parts I, II, and III) .....	120	31	62
G–106 .....	500	10	83
Total .....	6,320		1,885

**6. Title and purpose of information collection:** Representative Payee Parental Custody Monitoring; OMB 3220–0176.

Under Section 12(a) of the Railroad Retirement Act (RRA) (45 U.S.C. 231k), the Railroad Retirement Board (RRB) is authorized to select, make payments to, and to conduct transactions with, a beneficiary's relative or some other person willing to act on behalf of the beneficiary as a representative payee. The RRB is responsible for determining if direct payment to the beneficiary or payment to a representative payee would best serve the beneficiary's interest. Inherent in the RRB's authorization to select a representative payee is the responsibility to monitor the payee to assure that the beneficiary's interests are protected. The RRB utilizes

Form G–99D, *Parental Custody Report*, to obtain information needed to verify that a parent-for-child representative payee still has custody of the child. One response is required from each respondent.

*Previous Requests for Comments:* The RRB has already published the initial 60-day notice (85 FR 58077 on September 17, 2020) required by 44 U.S.C. 3506(c)(2). That request elicited no comments.

**Information Collection Request (ICR)**

*Title:* Representative Payee Parental Custody Monitoring.

*OMB Control Number:* 3220–0176.

*Form(s) submitted:* G–99D.

*Type of request:* Revision of a currently approved collection.

*Affected public:* Individuals or households.

*Abstract:* Under Section 12(a) of the Railroad Retirement Act, the RRB is authorized to select, make payments to, and conduct transactions with an annuitant's relative or some other person willing to act on behalf of the annuitant as a representative payee. The collection obtains information needed to verify the parent-for-child payee still retains custody of the child.

*Changes proposed:* The RRB proposes the following changes to Form G–99d:

- Minor change item 4 layout.
- Add new item 6 to solicit the total amount of railroad retirement benefits received for the child during the reporting period.
- Add new item 7 to solicit the dollar amount of railroad retirement benefits

used for the child during the reporting period.

- Add new item 8 to solicit a description of how the railroad retirement benefits were used for the child during the reporting period.

- Add new item 9 to solicit how the surplus railroad retirement benefits, if any, were held for the child, for example, in cash, a checking account, a savings account, or other means and the title of any checking or savings accounts holding surplus benefits.

- Renumbered item 6 Certification to item 10.
- Update to the Paperwork Reduction Act and Privacy Act Notices to change the burden time from 5 to 15 minutes.

*The burden estimate for the ICR is as follows:*

Form No.	Annual responses	Time (minutes)	Burden (hours)
G-99D .....	2,100	15	525

**7. Title and Purpose of information collection:** *Earnings Information Request; OMB 3220-0184.*

Under Section 2 of the Railroad Retirement Act (45 U.S.C. 231a), an annuity is not payable, or is reduced for any month(s) in which the beneficiary works for a railroad or earns more than prescribed amounts. The provisions relating to the reduction or non-payment of annuities by reason of work are prescribed in 20 CFR 230.

The RRB utilizes Form G-19-F, *Earnings Information Request*, to obtain earnings information that either had not been previously reported or erroneously reported by a beneficiary.

Currently the claimant is asked to enter the date they stopped working, if applicable. If a respondent fails to complete the form, the RRB may be unable to pay them benefits. One response is requested of each respondent.

*Previous Requests for Comments:* The RRB has already published the initial 60-day notice (85 FR 57259 on September 15, 2020) required by 44 U.S.C. 3506(c)(2). That request elicited no comments.

**Information Collection Request (ICR)**

*Title:* Earnings Information Request.  
*OMB Control Number:* 3220-0184.  
*Form(s) submitted:* G-19-F.

*Type of request:* Extension without change of a currently approved collection.

*Affected public:* Individuals or Households.

*Abstract:* Under Section 2 of the Railroad Retirement Act, an annuity is not payable, or is reduced for any month(s) in which the beneficiary works for a railroad or earns more than prescribed amounts. The collection obtains earnings information not previously or erroneously reported by a beneficiary.

*Changes proposed:* The RRB proposes no changes to the Form G-19-F.

The burden estimate for the ICR is as follows:

Form No.	Annual responses	Time (minutes)	Burden (hours)
G-19-F .....	900	8	120

**8. Title and Purpose of information collection:** *Job Information Report, OMB 3220-0193.* The Railroad Retirement Board (RRB) occupational disability standards allow the RRB to request job information from railroad employers to determine an applicant's eligibility for an occupational disability.

To determine an occupational disability, the RRB must obtain the employee's work history and establish if the employee is precluded from performing his or her regular railroad occupation. This is accomplished by comparing the restrictions caused by the impairment(s) against the employee's ability to perform his or her job duties.

To collect the information needed to determine the effect of a disability on an employee applicant's ability to work, the RRB utilizes Form G-251, *Vocational Report* (OMB 3220-0141) which is completed by the applicant.

Form G-251A, *Railroad Job Information*, requests railroad

employers to provide information regarding whether the employee has been medically disqualified from their railroad occupation; a summary of the employee's duties; the machinery, tools and equipment used by the employee; the environmental conditions under which the employee performs their duties; all sensory requirements (vision, hearing, speech) needed to perform the employee's duties; the physical actions and amount of time (frequency) allotted for those actions that may be required by the employee to perform their duties during a typical work day; any permanent working accommodations an employer may have made due to the employee's disability; as well as any other relevant information they may choose to include. Completion is voluntary.

*Previous Requests for Comments:* The RRB has already published the initial 60-day notice (85 FR 57259 on September 15, 2020) required by 44

U.S.C. 3506(c)(2). That request elicited no comments.

*Title:* Job Information Report.

*OMB Control Number:* 3220-0193.

*Form(s) submitted:* G-251A.

*Type of request:* Extension without change of a currently approved collection.

*Affected public:* Businesses or other for profits.

*Abstract:* The collection obtains information used by the Railroad Retirement Board (RRB) to assist in determining whether a railroad employee is disabled from his or her regular occupation. It provides railroad employers with the opportunity to provide information to the RRB regarding the employee applicant's job duties.

*Changes proposed:* The RRB proposes no changes to Form G-251A.

The burden estimate for the ICR is as follows:

Form No.	Annual responses	Time (minutes)	Burden (hours)
G-251A .....	500	60	500

**9. Title and purpose of information collection:** Statement Regarding Contributions and Support of Children; OMB 3220-0195.

Section 2(d)(4) of the Railroad Retirement Act (RRA) (45 U.S.C. 231a), provides, in part, that a child is deemed dependent if the conditions set forth in Section 202(d)(3), (4) and (9) of the Social Security Act are met. Section 202(d)(4) of the Social Security Act, as amended by Public Law 104-121, requires as a condition of dependency, that a child receives one-half of his or her support from the stepparent. This dependency impacts upon the entitlement of a spouse or survivor of an employee whose entitlement is based upon having a stepchild of the employee in care, or on an individual seeking a child's annuity as a stepchild of an employee. Therefore, depending on the employee for at least one-half support is a condition affecting eligibility for increasing an employee or spouse annuity under the social security overall minimum provisions on the basis of the presence of a dependent child, the employee's natural child in

limited situations, adopted children, stepchildren, grandchildren, step-grandchildren and equitably adopted children. The regulations outlining child support and dependency requirements are prescribed in 20 CFR 222.50-57.

In order to correctly determine if an applicant is entitled to a child's annuity based on actual dependency, the RRB uses Form G-139, Statement Regarding Contributions and Support of Children, to obtain financial information needed to make a comparison between the amount of support received from the railroad employee and the amount received from other sources. Completion is required to obtain a benefit. One response is required of each respondent.

**Previous Requests for Comments:** The RRB has already published the initial 60-day notice (85 FR 57260 on September 15, 2020) required by 44 U.S.C. 3506(c)(2). That request elicited no comments.

#### Information Collection Request (ICR)

**Title:** Statement Regarding Contributions and Support of Children.

**OMB Control Number:** 3220-0195.

**Form(s) submitted:** G-139.

**Type of request:** Revision of a currently approved collection of information.

**Affected public:** Individuals or Households.

**Abstract:** Dependency on the employee for at least one-half support is a condition affecting eligibility for increasing an employee or spouse annuity under the social security overall minimum provisions on the basis of the presence of a dependent child, the employee's natural child in limited situations, adopted children, stepchildren, grandchildren and step-grandchildren. The information collected solicits financial information needed to determine entitlement to a child's annuity based on actual dependency.

**Changes proposed:** The RRB proposes a minor editorial change to Form G-139 to change the date under Section 1 "General Instructions".

The burden estimate for the ICR is as follows:

Form No.	Annual responses	Time (minutes)	Burden (hours)
G-139 .....	500	60	500

**Additional Information or Comments:** Copies of the forms and supporting documents can be obtained from Kennisha Tucker at (312) 469-2591 or [Kennisha.Tucker@rrb.gov](mailto:Kennisha.Tucker@rrb.gov). Comments regarding the information collection should be addressed to Brian Foster, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois, 60611-1275 or [Brian.Foster@rrb.gov](mailto:Brian.Foster@rrb.gov).

Written comments and recommendations for the proposed information collection should be sent within 30 days of publication of this notice to [www.reginfo.gov/public/do/PRAMain](http://www.reginfo.gov/public/do/PRAMain). Find this particular information collection by selecting "Currently under 30-day Review—Open for Public Comments" or by using the search function.

**Brian Foster,**  
Clearance Officer.

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-90455; File No. SR-MRX-2020-21]

### Self-Regulatory Organizations; Nasdaq MRX, LLC; Notice of Filing and Immediate Effectiveness of Proposed Rule Change To Amend the Pricing Schedule

November 18, 2020.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act"),<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> notice is hereby given that on November 6, 2020, Nasdaq MRX, LLC ("MRX" or "Exchange") filed with the Securities and Exchange Commission ("SEC" or "Commission") the proposed rule change as described in Items I and II below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit

comments on the proposed rule change from interested persons.

#### I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to amend its Pricing Schedule at Options 7, Section 1, "General Provisions," to permit certain affiliated market participants to aggregate volume and qualify for certain pricing incentives. Additionally, the Exchange proposes to amend Options 7, Section 3, "Regular Order Fees and Rebates;" Options 7, Section 4, "Complex Order Fees;" Options 7, Section 5, "Other Options Fees and Rebates;" Options 7, Section 7, "Market Data;" and Options 7, Section 8, "Connectivity Fees."

The Exchange originally filed the proposed pricing change on October 26, 2020 (SR-MRX-2020-17). On November 6, 2020, the Exchange withdrew that filing and submitted this filing.

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.