

concern market dominant product(s), applicable statutory and regulatory requirements include 39 U.S.C. 3622, 39 U.S.C. 3642, 39 CFR part 3030, and 39 CFR part 3040, subpart B. For request(s) that the Postal Service states concern competitive product(s), applicable statutory and regulatory requirements include 39 U.S.C. 3632, 39 U.S.C. 3633, 39 U.S.C. 3642, 39 CFR part 3035, and 39 CFR part 3040, subpart B. Comment deadline(s) for each request appear in section II.

## II. Docketed Proceeding(s)

1. *Docket No(s)*: MC2020–132 and CP2020–139; *Filing Title*: USPS Request to Add Priority Mail & First-Class Package Service Contract 147 to Competitive Product List and Notice of Filing Materials Under Seal; *Filing Acceptance Date*: May 12, 2020; *Filing Authority*: 39 U.S.C. 3642, 39 CFR 3040.130 *et seq.*, and 39 CFR 3035.105; *Public Representative*: Kenneth R. Moeller; *Comments Due*: May 20, 2020.

2. *Docket No(s)*: MC2020–133 and CP2020–140; *Filing Title*: USPS Request to Add Priority Mail Contract 615 to Competitive Product List and Notice of Filing Materials Under Seal; *Filing Acceptance Date*: May 12, 2020; *Filing Authority*: 39 U.S.C. 3642, 39 CFR 3040.130 *et seq.*, and 39 CFR 3035.105; *Public Representative*: Gregory S. Stanton; *Comments Due*: May 20, 2020.

This Notice will be published in the **Federal Register**.

Erica A. Barker,  
*Secretary*.

[FR Doc. 2020–10637 Filed 5–18–20; 8:45 am]

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–88863; File No. SR–NYSE–2019–54]

### Self-Regulatory Organizations; New York Stock Exchange LLC; Notice of Withdrawal of a Proposed Rule Change To Permit the Exchange To List and Trade Exchange Traded Products

May 13, 2020.

On October 3, 2019, New York Stock Exchange LLC (“Exchange”) filed with the Securities and Exchange Commission (“Commission”), pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”)<sup>1</sup> and Rule 19b–4 thereunder,<sup>2</sup> a proposed rule change to list and trade Exchange Traded Products that have a component

NMS Stock listed on the Exchange or that are based on, or represent an interest in, an underlying index or reference asset that includes an NMS Stock listed on the Exchange. The proposed rule change was published for comment in the **Federal Register** on October 23, 2019.<sup>3</sup>

On December 5, 2019, pursuant to Section 19(b)(2) of the Act,<sup>4</sup> the Commission designated a longer period within which to approve the proposed rule change, disapprove the proposed rule change, or institute proceedings to determine whether to disapprove the proposed rule change.<sup>5</sup> On January 17, 2020, the Commission instituted proceedings under Section 19(b)(2)(B) of the Act<sup>6</sup> to determine whether to approve or disapprove the proposed rule change.<sup>7</sup> On April 17, 2020, the Commission designated a longer period for Commission action on proceedings to determine whether to approve or disapprove the proposed rule change.<sup>8</sup> On May 13, 2020, the Exchange withdrew the proposed rule change (SR–NYSE–2019–54).

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>9</sup>

J. Matthew DeLesDernier,  
*Assistant Secretary*.

[FR Doc. 2020–10652 Filed 5–18–20; 8:45 am]

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–88866]

### Order Designating Financial Industry Regulatory Authority, Inc., To Receive Form X–17A–5 (FOCUS Report) From Certain Security-Based Swap Dealers and Major Security-Based Swap Participants

May 14, 2020.

#### I. Introduction

Currently, broker-dealers are required to file on a monthly or quarterly basis various parts (Part II, Part IIB, Part II CSE, or Part IIA) of Form X–17A–5 (“FOCUS Report”), a form which is used to report financial and operational information. On September 19, 2019,

<sup>3</sup> See Securities Exchange Act Release No. 87329 (Oct. 17, 2019), 84 FR 56864.

<sup>4</sup> 15 U.S.C. 78s(b)(2).

<sup>5</sup> See Securities Exchange Act Release No. 87671, 84 FR 67763 (Dec. 11, 2019).

<sup>6</sup> 15 U.S.C. 78s(b)(2)(B).

<sup>7</sup> See Securities Exchange Act Release No. 88003, 85 FR 4051 (Jan. 23, 2020).

<sup>8</sup> See Securities Exchange Act Release No. 88677, 85 FR 22767 (Apr. 23, 2020).

<sup>9</sup> 17 CFR 200.30–3(a)(12).

the Commission adopted recordkeeping, reporting, and notification requirements for security-based swap dealers and major security-based swap participants (collectively, “security-based swap entities” or “SBSEs”) and additional recordkeeping and reporting requirements for broker-dealers to account for their security-based swap activities.<sup>1</sup> In adopting these requirements, the Commission amended Part II of the FOCUS Report to elicit additional information about the security-based swap activities of broker-dealers that file Part II, including broker-dealers that will also be registered as SBSEs. In addition, the Commission adopted amendments that will require the broker-dealers that currently file Part IIB and Part II CSE to file revised Part II. Further, the Commission adopted a rule (“Rule 18a–7”) pursuant to Section 15F of the Securities Exchange Act of 1934 (“Exchange Act”) that will require SBSEs that are not also registered with the Commission as broker-dealers or regulated by a prudential regulator (“stand-alone SBSEs”) to file Part II of the FOCUS Report on a monthly basis and SBSEs for which there is a prudential regulator (“bank SBSEs”) to file new Part IIC of the FOCUS Report on a quarterly basis.<sup>2</sup> The compliance date for these entities to file revised Part II or new Part IIC of the FOCUS Report is October 6, 2021.<sup>3</sup>

Most broker-dealers currently file the FOCUS Report electronically on the eFOCUS system<sup>4</sup> developed by the Financial Industry Regulatory Authority, Inc. (“FINRA”). These broker-dealers file the FOCUS Report pursuant to a plan established by the broker-dealer’s self-regulatory organization (“SRO”), the procedures and provisions of which have been submitted to and declared effective by the Commission pursuant to paragraph (a)(3) of Exchange Act Rule 17a–5.<sup>5</sup>

<sup>1</sup> See *Recordkeeping and Reporting Requirements for Security-Based Swap Dealers, Major Security-Based Swap Participants, and Broker-Dealers*, Securities Exchange Act Release No. 87005 (Sept. 19, 2019), 84 FR 68550 (Dec. 16, 2019) (“Recordkeeping Adopting Release”).

<sup>2</sup> See 17 CFR 240.18a–7(a)(1) (applicable to stand-alone SBSEs); 17 CFR 240.18a–7(a)(2) (applicable to bank SBSEs). Revised Part II of the FOCUS Report is available on pages 68672–68721 of the Recordkeeping Adopting Release. New Part IIC of the FOCUS Report is available on pages 68722–68733 of the Recordkeeping Adopting Release.

<sup>3</sup> See *Recordkeeping Adopting Release*, 84 FR at 68600–601; *Cross-Border Application of Certain Security-Based Swap Requirements*, Exchange Act Release No. 87780 (Dec. 18, 2019), 85 FR 6270 (Feb. 4, 2020).

<sup>4</sup> FINRA’s eFOCUS system is available at <https://www.finra.org/filing-reporting/efocus>.

<sup>5</sup> See 17 CFR 240.17a–5(a)(3).

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b–4.

Because stand-alone SBSEs and bank SBSEs will not be dually registered as broker-dealers, they will not be supervised by SROs and therefore are not subject to the SRO plans requiring the FOCUS Report to be filed on FINRA's eFOCUS system. Rule 18a-7 requires stand-alone SBSEs and bank SBSEs to file the FOCUS Report with "the Commission or its designee"<sup>6</sup> to provide the Commission with the option of requiring that these registrants file the FOCUS Report with a third party.<sup>7</sup> By this order, the Commission is designating FINRA as the organization with which stand-alone SBSEs and bank SBSEs must file revised Part II and new Part IIC of the FOCUS Report, respectively, on and after the October 6, 2021, compliance date.

## II. Discussion

FINRA is uniquely qualified to provide the Commission with a familiar and consolidated platform for stand-alone SBSEs and bank SBSEs to file the FOCUS Report, uniform ancillary ongoing services associated with these filings, and a consolidated platform for transmitting this data to the Commission.

First, FINRA-supervised broker-dealers currently file the FOCUS Report through the eFOCUS system owned and operated by FINRA. The Commission anticipates many firms that register as stand-alone SBSEs and bank SBSEs will be affiliated with broker-dealers that currently use the eFOCUS system. FINRA's eFOCUS system is unique in that it would enable the broker-dealer and non-broker-dealer entities to file both entities' FOCUS Reports on the same platform using the same preexisting templates, software, and procedures currently used by the broker-dealer.

Second, FINRA is uniquely able to transmit the data in the FOCUS Report filings by broker-dealers and non-broker-dealer SBSEs in a consolidated data transmission to the Commission. Thus, Commission staff will be able to compare data between these different types of entities in a consistent manner and in the same database, which will allow staff to monitor these registrants more effectively.

Third, if FINRA is not designated to receive FOCUS Report filings from stand-alone and bank SBSEs, a separate, parallel filing system would need to be created only for non-FINRA supervised filers, which would create complexity and redundancy because the same

information for required filers would be located on two different filing systems. This, in turn, could create unnecessary confusion and uncertainty for filers. It might also inadvertently result in different available services simply based on which filing system the entity is assigned to use.

## III. Conclusion

*It is hereby ordered* that FINRA is designated as the organization with which stand-alone SBSEs must file Part II of FOCUS Report and bank SBSEs must file Part IIC of the FOCUS Report in accordance with the requirements of those filings, Section 15F of the Exchange Act, and Rule 18a-7.<sup>8</sup>

By the Commission.

**J. Matthew DeLesDernier,**  
*Assistant Secretary.*

[FR Doc. 2020-10770 Filed 5-18-20; 8:45 am]

**BILLING CODE 8011-01-P**

## SMALL BUSINESS ADMINISTRATION

**[Disaster Declaration #16450 and #16451;  
Arkansas Disaster Number AR-00114]**

### Presidential Declaration of a Major Disaster for Public Assistance Only for the State of Arkansas

**AGENCY:** U.S. Small Business Administration.

**ACTION:** Notice.

**SUMMARY:** This is a Notice of the Presidential declaration of a major disaster for Public Assistance Only for the State of ARKANSAS (FEMA-4544-DR), dated 05/08/2020.

*Incident:* Severe Storms, Tornadoes, and Straight-line Winds.

*Incident Period:* 03/28/2020.

**DATES:** Issued on 05/08/2020.

*Physical Loan Application Deadline Date:* 07/07/2020.

*Economic Injury (EIDL) Loan Application Deadline Date:* 02/08/2021.

**ADDRESSES:** Submit completed loan applications to: U.S. Small Business Administration, Processing and Disbursement Center, 14925 Kingsport Road, Fort Worth, TX 76155.

**FOR FURTHER INFORMATION CONTACT:** A. Escobar, Office of Disaster Assistance, U.S. Small Business Administration, 409 3rd Street SW, Suite 6050, Washington, DC 20416, (202) 205-6734.

**SUPPLEMENTARY INFORMATION:** Notice is hereby given that as a result of the President's major disaster declaration on

05/08/2020, Private Non-Profit organizations that provide essential services of a governmental nature may file disaster loan applications at the address listed above or other locally announced locations.

The following areas have been determined to be adversely affected by the disaster:

*Primary Counties:* Craighead

The Interest Rates are:

	Percent
<i>For Physical Damage:</i>	
Non-Profit Organizations With Credit Available Elsewhere .....	2.750
Non-Profit Organizations Without Credit Available Elsewhere .....	2.750
<i>For Economic Injury:</i>	
Non-Profit Organizations Without Credit Available Elsewhere .....	2.750

The number assigned to this disaster for physical damage is 16450C and for economic injury is 164510.

(Catalog of Federal Domestic Assistance Number 59008)

**Cynthia Pitts,**

*Acting Associate Administrator for Disaster Assistance.*

[FR Doc. 2020-10686 Filed 5-18-20; 8:45 am]

**BILLING CODE 8026-03-P**

## SMALL BUSINESS ADMINISTRATION

**[Disaster Declaration #16435 and #16436;  
South Carolina Disaster Number SC-00071]**

### Presidential Declaration Amendment of a Major Disaster for the State of South Carolina

**AGENCY:** U.S. Small Business Administration.

**ACTION:** Amendment 1.

**SUMMARY:** This is an amendment of the Presidential declaration of a major disaster for the State of South Carolina (FEMA-4542-DR), dated 05/01/2020.

*Incident:* Severe Storms, Tornadoes, and Straight-line Winds.

*Incident Period:* 04/12/2020 through 04/13/2020.

**DATES:** Issued on 05/12/2020.

*Physical Loan Application Deadline Date:* 06/30/2020.

*Economic Injury (EIDL) Loan Application Deadline Date:* 02/01/2021.

**ADDRESSES:** Submit completed loan applications to: U.S. Small Business Administration, Processing and Disbursement Center, 14925 Kingsport Road, Fort Worth, TX 76155.

**FOR FURTHER INFORMATION CONTACT:** A. Escobar, Office of Disaster Assistance, U.S. Small Business Administration,

<sup>6</sup> See 17 CFR 240.18a-7(a).

<sup>7</sup> See *Recordkeeping Adopting Release*, 84 FR at 68572.

<sup>8</sup> Technical instructions for filing the FOCUS Report with FINRA will be made available on the Commission's or FINRA's website before the October 6, 2021, compliance date.