

Agency name	Organization name	Position title	Request No.	Date vacated
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT.	Office of the Assistant Secretary for Preparedness and Response.	Senior Advisor	DH190054	11/22/2019
	Office of the Assistant Secretary for Public Affairs.	Assistant Speechwriter	DH190050	11/09/2019
		Content Strategy and Marketing Associate.	DH190168	11/22/2019
		Special Assistant	DH180250	11/30/2019
	Office of the Secretary	Special Advisor	DH190118	11/09/2019
	Office of the Deputy Secretary	Special Assistant	DU180091	11/08/2019
		Deputy Assistant Secretary for Policy Development.	DU180089	11/16/2019
	Office of Policy Development and Research.			
	Office of Civil Division	Senior Counsel	DJ190055	11/15/2019
	DEPARTMENT OF JUSTICE	Office of Congressional and Intergovernmental Affairs.	Chief of Staff	DL180014
DEPARTMENT OF LABOR	Office of the Assistant Secretary for Policy.	Counsel and Policy Advisor	DL190017	11/23/2019
DEPARTMENT OF STATE	Office of the Secretary	Special Assistant	DL180079	11/23/2019
	Bureau of Arms Control, Verification and Compliance.	Senior Advisor	DS180060	11/23/2019
	Bureau of Counterterrorism	Special Assistant	DS180011	11/23/2019
	Bureau of Western Hemisphere Affairs.	Special Assistant	DS170156	11/23/2019
	Office of Global Women's Issues ..	Special Assistant	DS180039	11/23/2019
	Office of Policy Planning	Writer—Editor (Speechwriter)	DS180072	11/09/2019
	Office of the Counselor	Senior Advisor	DS180063	11/09/2019
	Office of the Secretary	Writer-Editor (Chief Speechwriter)	DS180087	11/09/2019
		Staff Assistant	DS180086	11/23/2019
	DEPARTMENT OF THE INTERIOR.	Secretary's Immediate Office	Senior Advance Representative ...	DI180048
DEPARTMENT OF TRANSPORTATION.	Office of the Assistant Secretary for Research and Technology.	Special Assistant for Research and Technology.	DT190105	11/07/2019
DEPARTMENT OF VETERANS AFFAIRS.	Office of Public Affairs	Digital Media Manager	DT190107	11/07/2019
	Chief Information Officer	Special Assistant	DT180037	11/30/2019
	Office of the Assistant Secretary for Congressional and Legislative Affairs.	Special Assistant	DV170062	11/23/2019
	Office of Public Affairs	Press Secretary	DV190078	11/30/2019
ENVIRONMENTAL PROTECTION AGENCY.	Office of the Associate Administrator for Congressional and Intergovernmental Relations.	Special Assistant to the Associate Administrator for the Office of Congressional and Intergovernmental Relations.	EP180067	11/09/2019
GENERAL SERVICES ADMINISTRATION.	Office of the Associate Administrator for Policy.	Special Advisor for Policy and Economics.	EP190051	11/09/2019
	Office of the Administrator	Advance Associate	EP190074	11/22/2019
	Office of Strategic Communication	Speechwriter	GS190028	11/17/2019
OFFICE OF MANAGEMENT AND BUDGET.	Office of the General Counsel	Deputy to the General Counsel for Oversight.	BO190005	11/09/2019
OFFICE OF PERSONNEL MANAGEMENT.	President's Commission on White House Fellowships.	Deputy Director, President's Commission on White House Fellowships.	PM190020	11/09/2019

Authority: 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR, 1954–1958 Comp., p. 218.

Office of Personnel Management.

Alexys Stanley,

Regulatory Affairs Analyst.

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OFFICE OF PERSONNEL MANAGEMENT

Excepted Service

AGENCY: Office of Personnel Management (OPM).

ACTION: Notice.

SUMMARY: This notice identifies Schedule A, B, and C appointing authorities applicable to a single agency that were established or revoked from October 1, 2019 to October 31, 2019.

FOR FURTHER INFORMATION CONTACT: Julia Alford, Senior Executive Resources Services, Senior Executive Services and Performance Management, Employee Services, 202–606–2246.

SUPPLEMENTARY INFORMATION: In accordance with 5 CFR 213.103, Schedule A, B, and C appointing authorities available for use by all agencies are codified in the Code of Federal Regulations (CFR). Schedule A, B, and C appointing authorities

applicable to a single agency are not codified in the CFR, but the Office of Personnel Management (OPM) publishes a notice of agency-specific authorities established or revoked each month in the **Federal Register** at www.gpo.gov/fdsys/. OPM also publishes an annual notice of the consolidated listing of all Schedule A, B, and C appointing authorities, current as of June 30, in the **Federal Register**.

Schedule A

No Schedule A Authorities to report during October 2019.

Schedule B

No Schedule B Authorities to report during October 2019.

Schedule C

The following Schedule C appointing authorities were approved during October 2019.

Agency name	Organization name	Position title	Authorization No.	Effective date
DEPARTMENT OF AGRICULTURE.	Office of the Secretary	White House Liaison	DA200005	10/22/2019
	Office of the Assistant Secretary for Congressional Relations.	Confidential Assistant	DA200010	10/29/2019
DEPARTMENT OF COMMERCE ..	Rural Housing Service	Policy and Congressional Advisor	DA200008	10/25/2019
	Minority Business Development Agency.	State Director—Louisiana	DA200007	10/25/2019
	Office of Legislative and Intergovernmental Affairs.	Special Assistant	DC190170	10/04/2019
		Senior Advisor	DC200005	10/25/2019
		Associate Director for Legislative Affairs.	DC190155	10/10/2019
	Office of the Chief Financial Officer and Assistant Secretary for Administration.	Intergovernmental Affairs Specialist.	DC190156	10/10/2019
		Legislative Affairs Specialist	DC190154	10/11/2019
	Office of the General Counsel	Confidential Assistant	DC190171	10/17/2019
Counsel		DC190166	10/11/2019	
DEPARTMENT OF DEFENSE	Director of Operations for Special Projects.	DC190159	10/24/2019	
	Office of the Assistant Secretary of Defense (Legislative Affairs).	Special Assistant	DD190210	10/16/2019
DEPARTMENT OF THE AIR FORCE.	Office of the Deputy Under Secretary for Policy.	Special Assistant	DD200011	10/18/2019
	Office of Assistant Secretary Air Force for Acquisition.	Personal and Confidential Assistant.	DF200001	10/24/2019
DEPARTMENT OF EDUCATION ..	Office of the General Counsel	Attorney Adviser (Deputy Special Counsel).	DB190132	10/02/2019
	Office for Civil Rights	Attorney Adviser (Special Counsel)	DB190133	10/02/2019
DEPARTMENT OF ENERGY	Office of the Assistant Secretary for Congressional and Intergovernmental Affairs.	Deputy Assistant Secretary for Senate Affairs.	DE200006	10/07/2019
	Office of the Assistant Secretary for Energy Efficiency and Renewable Energy.	Legislative Affairs Advisor	DE200008	10/28/2019
		Special Advisor	DE200004	10/08/2019
	Office of the Assistant Secretary for Environmental Management.	Chief of Staff	DE190207	10/07/2019
	Office of Economic Impact and Diversity.	Chief of Staff	DE200003	10/09/2019
	Office of Management	Director of Operations	DE200009	10/28/2019
	Office of Public Affairs	Senior Content Creator	DE190208	10/08/2019
	Office of Scheduling and Advance	Director of Scheduling	DE190203	10/07/2019
	Office of the Secretary	Special Assistant	DE200002	10/07/2019
	ENVIRONMENTAL PROTECTION AGENCY.	Office of the Assistant Administrator for Air and Radiation.	Executive Assistant for the Office of Air and Radiation.	EP200009
Office of the Associate Administrator for Policy.		Senior Advisor for Science and Policy.	EP190128	10/01/2019
Office of the Executive Secretariat		Associate Chief of Staff for the Office of Policy.	EP200004	10/07/2019
		Policy Assistant	EP200001	10/17/2019
EXPORT-IMPORT BANK	Office of the General Counsel	Special Advisor	EP200005	10/24/2019
	Office of the Chairman	Attorney-Advisor (General)	EP190143	10/04/2019
	Assistant	Assistant	EB200002	10/10/2019
		Special Advisor and Deputy Scheduler (2).	EB200004	10/25/2019
DEPARTMENT OF HEALTH AND HUMAN SERVICES.	Office of Communications	Deputy Press Secretary	EB200006	10/29/2019
	Office of the Assistant Secretary for Health.	Advisor	EB200005	10/31/2019
	Office of Administration for Children and Families.	Policy Advisor	DH190255	10/02/2019
DEPARTMENT OF HOMELAND SECURITY.	Office of the Assistant Secretary for Legislation.	Advisor	DH190266	10/09/2019
		Senior Advisor	DH190120	10/31/2019
	Cybersecurity and Infrastructure Security Agency (CISA).	Special Assistant	DH200008	10/31/2019
			DM200022	10/25/2019

Agency name	Organization name	Position title	Authorization No.	Effective date
	Federal Emergency Management Agency.	Policy Advisor	DM200011	10/29/2019
		Deputy Press Secretary	DM190315	10/29/2019
	Office of Countering Weapons of Mass Destruction (CWMD).	Senior Advisor	DM200019	10/25/2019
	Office of the Assistant Secretary for Policy.	Confidential Assistant	DM200002	10/17/2019
	Office of the Assistant Secretary for Public Affairs.	Assistant Press Secretary	DM190319	10/03/2019
	Office of the Chief of Staff	Advance Representative (2)	DM190301	10/01/2019
			DM200024	10/25/2019
	Office of the United States Citizenship and Immigration Services.	Special Assistant	DM200028	10/29/2019
		Special Assistant	DM190314	10/03/2019
	Office of the United States Customs and Border Protection.	Special Assistant	DM200016	10/29/2019
	Office of the United States Immigration and Customs Enforcement.	Special Advisor	DM200021	10/29/2019
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT.	Office of Public Affairs	Director of Strategic Communications.	DU190128	10/01/2019
DEPARTMENT OF THE INTERIOR.	Office of the Assistant Secretary—Land and Minerals Management.	Counselor—Land and Minerals Management.	DI200005	10/29/2019
DEPARTMENT OF JUSTICE	Office of Civil Rights Division	Counsel (2)	DJ190214	10/09/2019
			DJ190228	10/22/2019
	Office of Legal Policy	Senior Counsel	DJ190245	10/17/2019
	Office of the Attorney General	Director of Scheduling	DJ190238	10/24/2019
	Office of the Deputy Attorney General.	Counsel	DJ190244	10/24/2019
DEPARTMENT OF LABOR	Office of Employee Benefits Security Administration.	Senior Policy Advisor	DL190184	10/11/2019
	Office of Congressional and Intergovernmental Affairs.	Regional Representative (3)	DL190157	10/01/2019
			DL200010	10/11/2019
			DL200011	10/11/2019
	Office of Federal Contract Compliance Programs.	Senior Policy Advisor	DL200013	10/11/2019
	Office of the Secretary	Executive Secretary	DL200014	10/24/2019
		Special Assistant	DL200018	10/31/2019
NATIONAL CREDIT UNION ADMINISTRATION.	Office of the Board	Director, Office of External Affairs and Communications/Deputy Chief of Staff.	CU200002	10/15/2019
	Office of Public and Congressional Affairs.	Senior Advisor for Communications and Engagement.	CU200003	10/24/2019
NATIONAL TRANSPORTATION SAFETY BOARD.	Office of Board Members	Confidential Assistant	TB200001	10/18/2019
OFFICE OF MANAGEMENT AND BUDGET.	Office of Health Division	Confidential Assistant	BO200003	10/17/2019
	Natural Resource Programs	Confidential Assistant	BO200004	10/25/2019
OFFICE OF NATIONAL DRUG CONTROL POLICY.	Office of Legislative Affairs	Public Affairs Specialist (Program Support).	QQ190015	10/07/2019
		Deputy Assistant Director, Office of Legislative Affairs.	QQ200001	10/07/2019
OFFICE OF PERSONNEL MANAGEMENT.	Office of the Director	Director of Advance and Speechwriter.	PM200005	10/25/2019
SMALL BUSINESS ADMINISTRATION.	Office of Investment and Innovation.	Special Assistant	SB190032	10/04/2019
DEPARTMENT OF STATE	Bureau of Administration	Special Assistant	DS200013	10/31/2019
	Bureau of Arms Control, Verification, and Compliance.	Senior Advisor	DS200014	10/31/2019
	Bureau of Economic and Business Affairs.	Special Advisor	DS200001	10/08/2019
	Bureau of Legislative Affairs	Legislative Management Officer	DS190129	10/09/2019
	Office of Policy Planning	Writer-Editor (Speechwriter)	DS200007	10/31/2019
	Office of the Chief of Protocol	Protocol Officer (Ceremonials)	DS200005	10/10/2019
	Office of the Secretary	Senior Advisor (2)	DS200002	10/08/2019
			DS200003	10/08/2019
		Special Advisor	DS200011	10/31/2019
	Office of the Under Secretary for Management.	Special Assistant	DS200009	10/29/2019
DEPARTMENT OF TRANSPORTATION.	Office of the Administrator	Special Assistant	DT190126	10/10/2019

Agency name	Organization name	Position title	Authorization No.	Effective date
		Senior Governmental Affairs Officer.	DT200019	10/28/2019
	Office of the Associate Administrator for Highway Policy and Governmental Affairs.	Associate Administrator for Policy and Governmental Affairs.	DT200003	10/16/2019
	Office of the Executive Secretariat	Special Assistant	DT190125	10/07/2019
	Immediate Office of the Administrator.	Director of Governmental, International and Public Affairs.	DT200005	10/29/2019
	Office of Pipeline and Hazardous Materials Safety Administration.	Senior Policy Advisor	DT200008	10/16/2019
DEPARTMENT OF THE TREASURY.	Office of the Under Secretary for International Affairs.	Special Advisor	DY190120	10/01/2019
	Office of the Assistant Secretary for Financial Markets.	Special Advisor	DY200005	10/29/2019
UNITED STATES INTERNATIONAL TRADE COMMISSION.	Office of Commissioner Stayin	Staff Assistant (Legal)	TC200001	10/22/2019
	Office of Commissioner Schmidlein.	Staff Assistant (Legal)	TC200003	10/22/2019
DEPARTMENT OF VETERANS AFFAIRS.	Office of the General Counsel	Special Assistant (Attorney Advisor).	DV200003	10/11/2019

The following Schedule C appointing authorities were revoked during October 2019.

Agency name	Organization name	Position title	Request No.	Date vacated
COMMODITY FUTURES TRADING COMMISSION.	Office of the Chief Economist	Senior Advisor	CT190007	10/18/2019
DEPARTMENT OF AGRICULTURE.	Office of the Secretary	Senior Advisor to the Secretary	DA180222	10/04/2019
	Farm Service Agency	State Executive Director	DA180230	10/15/2019
	Office of the Under Secretary for Food Safety.	Confidential Assistant	DA180148	10/31/2019
DEPARTMENT OF COMMERCE ..	Office of Advance, Scheduling and Protocol.	Director of Advance, Scheduling and Protocol.	DC190060	10/25/2019
	Bureau of Industry and Security	Senior Advisor	DC190055	10/26/2019
	Office of the General Counsel	Special Advisor	DC190072	10/27/2019
	Office of Legislative and Intergovernmental Affairs.	Confidential Assistant	DC180165	10/31/2019
OFFICE OF THE SECRETARY OF DEFENSE.	Office of the Secretary of Defense	Advance Officer	DD180134	10/12/2019
	Office of the Under Secretary of Defense (Policy).	Special Assistant	DD180140	10/26/2019
	Washington Headquarters Services.	Defense Fellow (2)	DD190058	10/19/2019
			DD180114	10/05/2019
	Office of the Deputy Under Secretary for Policy.	Special Assistant	DD190171	10/05/2019
	Office of the Assistant Secretary of Defense (Legislative Affairs).	Special Assistant (2)	DD180118	10/26/2019
			DD190070	10/26/2019
DEPARTMENT OF THE ARMY	Office of the Assistant Secretary of Army (Installations, Energy and Environment).	Special Assistant	DW180003	10/31/2019
DEPARTMENT OF EDUCATION ..	Department of Education	Special Assistant (Supervisory)	DB190063	10/12/2019
	Office for Civil Rights	Attorney Advisor	DB190073	10/12/2019
	Office of the General Counsel	Attorney Advisor	DB190099	10/12/2019
DEPARTMENT OF ENERGY	Office of the Assistant Secretary for Energy Efficiency and Renewable Energy.	Special Assistant	DE180160	10/12/2019
	Office of the Assistant Secretary for Fossil Energy.	Senior Advisor for Regional Economic Development.	DE180098	10/12/2019
	Office of the Assistant Secretary for International Affairs.	Special Assistant	DE190041	10/12/2019
	Office of Science	Senior Advisor	DE180129	10/12/2019
	Office of the Secretary	Special Assistant to the Staff Secretary.	DE190057	10/12/2019

Agency name	Organization name	Position title	Request No.	Date vacated
DEPARTMENT OF HEALTH AND HUMAN SERVICES.	Office of the Assistant Secretary for Financial Resources.	Director of Congressional Relations.	DH190037	10/12/2019
	Office of the Assistant Secretary for Legislation.	Special Assistant	DH190069	10/26/2019
		Director of Congressional Liaison ..	DH190034	10/17/2019
	Office of the Assistant Secretary for Public Affairs.	Advisor—Strategic Communications.	DH190068	10/11/2019
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT.	Office of the Secretary	Director of Communication Strategy and Campaigns.	DH180236	10/17/2019
		Briefing Book Coordinator and Policy Advisor.	DH190098	10/12/2019
	Office of the Administration	Advance Coordinator	DU190020	10/26/2019
	Office of Public Affairs	Deputy Assistant Secretary for Public Affairs.	DU180094	10/31/2019
DEPARTMENT OF JUSTICE	Office of Antitrust Division	Director of Strategic Communications.	DU180064	10/31/2019
		Chief of Staff	DJ180049	10/15/2019
DEPARTMENT OF LABOR	Office of Public Affairs	Press Assistant	DL190069	10/12/2019
		Special Assistant	DL180057	10/12/2019
DEPARTMENT OF STATE	Bureau of Legislative Affairs	Special Assistant	DS180070	10/12/2019
		Special Assistant	DS180071	10/22/2019
	Bureau of Economic and Business Affairs.	Special Assistant	DS180056	10/26/2019
	Office of the Under Secretary for Management.	Staff Assistant	DS190084	10/26/2019
DEPARTMENT OF THE TREASURY.	Department of the Treasury	Senior Advisor	DS190023	10/31/2019
		Assistant Executive Secretary	DY180012	10/13/2019
DEPARTMENT OF TRANSPORTATION.	Office of the Assistant Secretary for Governmental Affairs.	Governmental Affairs Officer	DT180089	10/26/2019
		Special Assistant	DT180042	10/26/2019
	Office of the Under Secretary of Transportation for Policy.	Senior Policy Advisor	DT190104	10/26/2019
DEPARTMENT OF VETERANS AFFAIRS.	Office of the Assistant Secretary for Public and Intergovernmental Affairs.	Special Assistant/Deputy Press Secretary.	DV180065	10/07/2019
ENVIRONMENTAL PROTECTION AGENCY.	Office of the General Counsel	Attorney Advisor (General) (2)	EP190038	10/12/2019
		Writer (Speeches)	EP180080	10/13/2019
EXPORT-IMPORT BANK	Office of the Chief of Staff	Executive Secretary	EP190068	10/31/2019
		Special Assistant to the Regional Administrator.	EB190007	10/12/2019
GENERAL SERVICES ADMINISTRATION.	National Capital Region	Confidential Assistant	GS190027	10/12/2019
		Confidential Assistant	BO190004	10/12/2019
OFFICE OF MANAGEMENT AND BUDGET.	Office of Health Division	Confidential Assistant	BO190014	10/26/2019
		Confidential Assistant	BO180028	10/26/2019
OFFICE OF NATIONAL DRUG CONTROL POLICY.	Office of the Director	Legislative Analyst	QQ190008	10/12/2019
		Office of Legislative Affairs	Public Affairs Specialist (Program Support).	QQ180004
OFFICE OF PERSONNEL MANAGEMENT.	Office of Public Affairs	Confidential Assistant to the Director.	PM190038	10/26/2019
	President's Commission on White House Fellowships.			

(Authority: 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR, 1954–1958 Comp., p. 218.)

Alexys Stanley,

Regulatory Affairs Analyst, Office of
Personnel Management.

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SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–88285; File No. SR–CFE–
2020–002]

Self-Regulatory Organizations; Cboe Futures Exchange, LLC; Notice of Filing of Proposed Rule Change Relating To Exchange Jurisdiction

February 26, 2020.

Pursuant to Section 19(b)(7) of the Securities Exchange Act of 1934 (“Act”),¹ notice is hereby given that on February 14, 2020 Cboe Futures Exchange, LLC (“CFE” or “Exchange”) filed with the Securities and Exchange Commission (“Commission”) the proposed rule change described in Items I and II below, which Items have been prepared by CFE. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons. CFE also has filed this proposed rule change with the Commodity Futures Trading Commission (“CFTC”). CFE filed a written certification with the CFTC under Section 5c(c) of the Commodity Exchange Act (“CEA”)² on February 14, 2020.

I. Self-Regulatory Organization’s Description of the Proposed Rule Change

The Exchange proposes to clarify and amend CFE’s rule provisions relating to Exchange jurisdiction. The scope of this filing is limited solely to the application of the proposed rule change to security futures that may be traded on CFE. Although no security futures are currently listed for trading on CFE, CFE may list security futures for trading in the future. The text of the proposed rule change is attached as Exhibit 4 to the filing but is not attached to the publication of this notice.

II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, CFE included statements concerning the purpose of and basis for the proposed

rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. CFE has prepared summaries, set forth in Sections A, B, and C below, of the most significant aspects of such statements.

A. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

CFE Rule 308 (Consent to Exchange Jurisdiction) governs the Exchange’s jurisdiction. Rule 308(c) currently provides that any Person initiating or executing a transaction on or subject to the rules of the Exchange directly or through an intermediary, and any Person for whose benefit such a transaction has been initiated or executed, expressly consents to the jurisdiction of the Exchange and agrees to be bound by and comply with the rules of the Exchange in relation to such transactions, including, but not limited to, rules requiring cooperation and participation in investigatory and disciplinary processes. The cover page to the CFE Rulebook repeats this language from Rule 308(c). CFE’s rules refer to any Person subject to Rule 308(c) as a Market Participant.

CFTC Regulation 38.151(a)³ provides that prior to granting any member or market participant access to its markets, a designated contract market (“DCM”) must require that the member or market participant consent to its jurisdiction. CFE originally adopted Rule 308(c) in order to comply with CFTC Regulation 38.151(a).⁴

The proposed rule change clarifies and amends CFE’s rule provisions relating to Exchange jurisdiction.

First, CFE proposes to revise CFE Rule 308(c) and amend the cover page of the CFE Rulebook to clarify that any futures commission merchant, broker-dealer, introducing broker, associated person, or foreign Person performing a similar role, that charges a commission or fee in connection with a transaction on or subject to the rules of the Exchange also expressly consents to the Exchange’s jurisdiction (and thus is a Market Participant under CFE rules).

The proposed rule change furthers the Exchange’s compliance with CFTC Regulation § 38.151(a)⁵ by clarifying the scope of the Exchange’s jurisdiction in relation to intermediaries. Between when a customer enters an order and its

actual execution, the order may be routed through multiple different broker-dealers, futures commission merchants, or introducing brokers before execution. The language of the proposed rule change clarifies that if there is a third party involved in the order routing process that receives a commission, it is still subject to the Exchange’s jurisdiction even if that party is a not a customer of the ultimate execution firm. The proposed rule change was developed by the Joint Compliance Committee, and the Exchange understands that other DCMs also are adopting proposed rule changes that contain the same or similar language.⁶

Second, CFE is proposing to amend the definition of the term “Person” included in Chapter 1 of the CFE Rulebook. CFE’s rules currently define “Person” to mean “any natural person, association, partnership, limited liability company, joint venture, trust or corporation.” CFE proposes to amend this definition to add “sole proprietorship” to the list included in the definition and to add “any other type of entity or organization” to the end of this list to make clear that the definition of “Person” encompasses other potential types of entities or organizations that may not be specifically enumerated in the current list under the definition. These proposed changes serve to clarify the meaning and scope of the term “Person” as used throughout CFE’s rules, including in particular within CFE’s rule provisions relating to Exchange jurisdiction.

Third, CFE is proposing to revise Rule 308 to delete current Rule 308(e)(i). Rule 308(e)(i) provides that every Person in the chain of custody of an order submitted to CFE that is not a CFE Trading Privilege Holder (“TPH”) or Authorized Trader of a TPH, commencing with the original initiation of the order until its receipt by CFE, shall be deemed to be a Market Participant. This provision was recently added to CFE’s rules and was intended to provide the same type of clarification as is intended by the provision that CFE is now adding to Rule 308(c) in

⁶ The Joint Compliance Committee (“JCC”) is a voluntary, cooperative organization comprised of members who are self-regulatory organizations registered under the CEA. The JCC operates through its members to protect market integrity within and across the members’ markets. It provides a forum to share information and ideas on regulatory topics of interest, as well as identify issues within the industry or elsewhere that may impact their markets, members, or self-regulatory responsibilities. CFTC staff representing the CFTC Division of Market Oversight Market Compliance Section also participate in JCC meetings.

¹ 15 U.S.C. 78s(b)(7).

² 7 U.S.C. 7a–2(c).

³ 17 CFR 38.151(a).

⁴ *Id.*

⁵ *Id.*