NATIONAL SCIENCE FOUNDATION

Advisory Committee for Geosciences; Notice of Meeting

In accordance with the Federal Advisory Committee Act (Pub. L. 92–463, as amended), the National Science Foundation (NSF) announces the following meeting:

Name and Committee Code: Advisory Committee for Geosciences (1755).

Date and Time: April 16, 2020; 8:30 a.m.–5:00 p.m. EDT, April 17, 2020; 8:30 a.m.–2:00 p.m. EDT.

Place: National Science Foundation, 2415 Eisenhower Avenue, Room 2030, Alexandria, Virginia 22314.

Type of Meeting: Open.

Contact Person: Melissa Lane, National Science Foundation, Room C 8000, 2415 Eisenhower Avenue, Alexandria, Virginia 22314; Phone 703–292–8500.

Minutes: May be obtained from the contact person listed above.

Purpose of Meeting: To provide advice, recommendations, and oversight on support for geoscience research and education including atmospheric, geo-space, earth, ocean and polar sciences.

Agenda:

April 16, 2020
• Directorate and NSF activities and plans
• Budget Updates
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• Summary of AC OPP Fall Meeting and Upcoming Spring Meeting
• Meeting with the NSF Chief Operating Officer

April 17, 2020
• Division Meetings
• Action Items/Planning for Fall 2020 Meeting


Crystal Robinson,
Committee Management Officer.

PENSION BENEFIT GUARANTY CORPORATION

New Guidance Document Database

AGENCY: Pension Benefit Guaranty Corporation (PBGC).

ACTION: Notice of availability.

SUMMARY: PBGC announces its new guidance document database. Guidance documents currently in effect are accessible through the database.

DATES: The database will be publicly available no later than February 28, 2020.

FOR FURTHER INFORMATION CONTACT: Hilary Duke (duke.hilary@pbgc.gov), Assistant General Counsel for Regulatory Affairs, Office of the General Counsel, 202–229–3839. (TTY users may call the Federal relay service toll-free at 800–877–8339 and ask to be connected to 202–229–3839.)

SUPPLEMENTARY INFORMATION: On October 9, 2019, the President issued Executive Order (E.O.) 13891, “Promoting the Rule of Law Through Improved Agency Guidance Documents.” Central principles of E.O. 13891 are that the American public should only be subject to binding rules imposed through duly enacted statutes or through regulations that are lawfully promulgated, and that Americans should have fair notice of any such obligations. To ensure increasing transparency, section 3 of the E.O. requires each agency to establish on its website a single, searchable, indexed database that contains, or links to, all of the agency’s “guidance documents,” as defined in the E.O., and provides certain information about them. Accordingly, PBGC has established the required guidance document database at www.pbgc.gov/guidance. The guidance document database contains links to PBGC “guidance documents.”

Issued in Washington, DC, by Gordon Hartogensis, Director, Pension Benefit Guaranty Corporation.

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–88272; File No. SR-Phlx-2020–06]

Self-Regulatory Organizations; Nasdaq PHLX LLC; Notice of Filing and Immediate Effectiveness of Proposed Rule Change To Amend PSX Rule 3506 (Anti-Money Laundering Compliance Program) and Options 9, Section 21 (Anti-Money Laundering Compliance Program)

February 24, 2020.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”),1 and Rule 19b–4 thereunder,2 notice is hereby given that on February 18, 2020, Nasdaq PHLX LLC (“Phlx” or “Exchange”) filed with the Securities and Exchange Commission (“SEC” or “Commission”) the proposed rule change as described in Items I, II, and III, below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to amend PSX Rule 3506 and Options 9, Section 21, both titled “Anti-Money Laundering Compliance Program.” This rule change is intended to reflect the Financial Crimes Enforcement Network’s (“FinCEN”) adoption of a final rule on Customer Due Diligence Requirements for Financial Institutions (“CDD Rule”). Specifically, the proposed amendments would conform PSX Rule 3506 and Options 9, Section 21 to the CDD Rule’s amendments to the minimum regulatory requirements for members’ anti-money laundering (“AML”) compliance programs by requiring such programs to include risk-based procedures for conducting ongoing customer due diligence. This ongoing customer due diligence element for AML programs includes: (1) Understanding the nature and purpose of customer relationships for the purpose of developing a customer risk profile; and (2) conducting ongoing monitoring to identify and report suspicious transactions and, on a risk basis, to maintain and update customer information.

The Exchange has designated this proposal as “non-controversial” under

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