even a representative survey or study of the costs of Commission rules.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

The public may view the background documentation for this information collection at the following website, www.reginfo.gov. Comments should be directed to: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and Budget, Room 10102, New Executive Office Building, Washington, DC 20503, or by sending an email to: Shagufta Ahemed@omb.eop.gov; and (ii) Charles Riddle, Acting Director/Chief Information Officer, Securities and Exchange Commission, c/o Candace Kenner, 100 F Street NE, Washington, DC 20549 or send an email to: PRA Mailbox@sec.gov. Comments must be submitted to OMB within 30 days of this notice.

Dated: September 24, 2019.
Jill M. Peterson,
Assistant Secretary.

SECURITIES AND EXCHANGE COMMISSION

[SEC File No. 270–198, OMB Control No. 3235–0279]

Submission for OMB Review; Comment Request

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street, NE, Washington, DC 20549–2736.

Extension:
Rule 17a–4

Rule 17a–4 requires approximately 3,764 active, registered broker-dealers to preserve records of records required to be maintained under Rule 17a–4. The staff estimates that the average amount of time necessary to preserve the books and records required to be maintained under Rule 17a–4 is 254 hours per broker-dealer per year. The staff estimates that the average annual burden of 3 hours per year to maintain the requisite records. The Commission estimates that it has approximately 200 internal broker-dealer systems, resulting in an annual recordkeeping burden of 600 hours. Therefore, the Commission estimates that compliance with Rule 17a–4

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Extension:
Rule 17a–4

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 ("PRA") (44 U.S.C. 3501 et seq.), the Securities and Exchange Commission ("Commission") has submitted to the Office of Management and Budget ("OMB") a request for extension of the previously approved collection of information provided for in Rule 17a–4 (17 CFR 240.17a–4) under the Securities Exchange Act of 1934 (15 U.S.C. 78a et seq.).

Rule 17a–4 requires approximately 3,764 active, registered broker-dealers to preserve records of records required to be maintained under Rule 17a–4. The staff estimates that the average amount of time necessary to preserve the books and records required to be maintained under Rule 17a–4 is 254 hours per broker-dealer per year. The staff estimates that the average annual burden of 3 hours per year to maintain the requisite records. The Commission estimates that it has approximately 200 internal broker-dealer systems, resulting in an annual recordkeeping burden of 600 hours. Therefore, the Commission estimates that compliance with Rule 17a–4