Proposed Rules

Federal Register

Vol. 84, No. 126

Monday, July 1, 2019

This section of the FEDERAL REGISTER contains notices to the public of the proposed issuance of rules and regulations. The purpose of these notices is to give interested persons an opportunity to participate in the rule making prior to the adoption of the final rules.

DEPARTMENT OF AGRICULTURE

Food and Nutrition Service

7 CFR Parts 210, 220, and 226

[FNS-2019-0005]

RIN 0584-AE65

Delayed Implementation of Grains Ounce Equivalents in the Child and **Adult Care Food Program**

AGENCY: Food and Nutrition Service

(FNS), USDA.

ACTION: Proposed rule.

SUMMARY: This action proposes to delay, from October 1, 2019 until October 1, 2021, the implementation date of the "ounce equivalents" requirement for crediting grains served in the Child and Adult Care Food Program (CACFP). The final rule, Child and Adult Care Food Program: Meal Pattern Revisions Related to the Healthy, Hunger-Free Kids Act of 2010, published at 81 FR 24347 on April 25, 2016, specified that meal planners must use ounce equivalents to determine the amount of creditable grain served as part of a reimbursable meal or snack. The new implementation date would allow more time for FNS to develop additional technical assistance materials and for State agencies and sponsoring organizations to provide training and technical assistance to make compliance easier for meal planners nationwide.

DATES: Written comments must be received on or before July 31, 2019 to be assured of consideration.

ADDRESSES: FNS invites interested persons to submit written comments on this proposed rule. Comments may be submitted in writing by one of the following methods:

- Federal eRulemaking Portal: Go to http://www.regulations.gov. Follow the online instructions for submitting comments.
- Mail: Send comments to Community Meals Branch, Policy and Program Development Division, USDA Food and Nutrition Service, 3101 Park

Center Drive, Alexandria, Virginia 22302.

All written comments submitted in response to this proposed rule will be included in the record and will be made available to the public. Please be advised that the substance of the comments and the identity of the individuals or entities submitting the comments will be subject to public disclosure. FNS will make the written comments publicly available via http:// www.regulations.gov.

FOR FURTHER INFORMATION CONTACT:

Andrea Farmer, Chief, Community Meals Branch, Policy and Program Development Division, USDA Food and Nutrition Service, 703–305–2590.

SUPPLEMENTARY INFORMATION:

Background

The final rule, Child and Adult Care Food Program: Meal Pattern Revisions Related to the Healthy, Hunger-Free Kids Act of 2010, published at 81 FR 24347 on April 25, 2016, specified that grains must be credited using "ounce equivalents." Historically, meal planners at day care homes and centers in CACFP have credited grains served as part of a reimbursable meal or snack based on household measures, such as cups or "servings" of breads and other grain-based foods. The ounce equivalents provision would bring CACFP in line with the National School Lunch and School Breakfast Programs, as well as the technical measurement approach reflected in the Dietary Guidelines for Americans.

To make compliance easier, particularly as State agencies and local partners were focused on implementing more significant aspects of the meal pattern rule, FNS initially delayed implementation of the ounce equivalents provision until October 1, 2019. However, even with the additional time, input from stakeholders—including public comments received through a request for information, Food Crediting in Child Nutrition Programs, published at 82 FR 58792 on February 14, 2017—has convinced FNS that meal planners may not be universally ready to effectively implement the ounce equivalents requirement by October of this year without additional training and resources. Confusion over the implementation of ounce equivalents amongst some meal planners has

generated fears about meal disallowances and meal documentation requirements, which may discourage day care homes and centers from participating in CACFP. FNS believes that additional training and technical assistance will be needed to provide meal planners with the tools they need to more easily implement this provision.

Accordingly, FNS is proposing a delay of implementation of the ounce equivalents requirement until October 1, 2021. Corresponding changes would be made to the infant meal pattern tables at 7 CFR 210.10(o), 210.10(q), 220.8(p), and 226.20(c); preschool meal pattern tables at 7 CFR 210.10(o), 210.10(p), and 220.8(o); and meal pattern tables for children and adult participants at 226.20(c). The new implementation date would allow more time for FNS to continue to develop additional technical assistance materials to better support providers. By proposing a two-year extension, State agencies and sponsoring organizations should have adequate time to use these materials to provide training and technical assistance to make compliance easier for meal planners nationwide. This proposed action is consistent with our efforts to provide excellent customer service as we work with State and local partners to ensure high quality, nutritious meals for children and adult participants in CACFP.

Procedural Matters

Executive Orders 12866 and 13563

Executive Orders 12866 and 13563 direct agencies to assess all costs and benefits of available regulatory alternatives and, if regulation is necessary, to select regulatory approaches that maximize net benefits, including potential economic, environmental, public health and safety effects, distributive impacts, and equity. Executive Order 13563 emphasizes the importance of quantifying both costs and benefits, reducing costs, harmonizing rules, and promoting flexibility. This proposed rule has been determined to be not significant and was not reviewed by the Office of Management and Budget (OMB).

Regulatory Flexibility Act

The Regulatory Flexibility Act, 5 U.S.C. 601-612, requires Agencies to analyze the impact of rulemaking on small entities and consider alternatives that would minimize any significant impacts on a substantial number of small entities. The FNS Administrator has certified that this proposed rule will not have a significant economic impact on a substantial number of small entities. This rule allows meal planners additional time to receive training and technical assistance and additional time for State agencies and sponsoring organizations to facilitate implementation of the new requirement. While this rule will affect State agencies, sponsoring organizations, and day care homes and centers, any economic effect will not be significant.

Executive Order 13771

Executive Order 13771 directs agencies to reduce regulation and control regulatory costs and provides that the cost of planned regulations be prudently managed and controlled through a budgeting process. This proposed rule is not expected to be an Executive Order 13771 regulatory action because it is not significant under Executive Order 12866.

Unfunded Mandates Reform Act

Title II of the Unfunded Mandate Reform Act of 1995 (UMRA), Public Law 104-4, establishes requirements for Federal agencies to assess the effects of their regulatory actions on State, local, and tribal governments, and the private sector. Under section 202 of UMRA, FNS generally must prepare a written statement, including a cost-benefit analysis, for proposed and final rules with "Federal mandates" that may result in expenditures to State, local, or tribal governments in the aggregate, or to the private sector, of \$100 million or more in any one year. When such a statement is needed for a rule, section 205 of UMRA generally requires FNS to identify and consider a reasonable number of regulatory alternatives and adopt the least costly, more costeffective or least burdensome alternative that achieves the objectives of the rule. This proposed rule contains no Federal mandates, under the regulatory provisions of title II of UMRA, for State, local, and tribal governments, or the private sector, of \$100 million or more in any one year. Therefore, this rule is not subject to the requirements of sections 202 and 205 of UMRA.

Executive Order 12372

CACFP is listed in the Assistance Listings under the Catalog of Federal Domestic Assistance Number 10.558 and is subject to Executive Order 12372, which requires intergovernmental consultation with State and local officials. Since the Child Nutrition Programs are State-administered, FNS has formal and informal discussions with State and local officials, including representatives of Indian Tribal Organizations, on an ongoing basis regarding CACFP requirements and operation. This provides FNS with the opportunity to receive regular input from State administrators and local CACFP operators, which contributes to the development of feasible requirements.

Federalism Summary Impact Statement

Executive Order 13132 requires Federal agencies to consider the impact of their regulatory actions on State and local governments. Where such actions have federalism implications, agencies are directed to provide a statement for inclusion in the preamble to the regulations describing the agency's considerations in terms of the three categories called for under section 6(b)(2)(B) of Executive Order 13132. FNS has determined that this proposed rule does not have federalism implications. This rule does not impose substantial or direct compliance costs on State and local governments. Therefore, under section 6(b) of the Executive Order, a federalism summary is not required.

Executive Order 12988

This proposed rule has been reviewed under Executive Order 12988, Civil Justice Reform. This rule is not intended to have preemptive effect with respect to any State or local laws, regulations, or policies which conflict with its provisions or which would otherwise impede its full implementation. This rule is not intended to have retroactive effect. Prior to any judicial challenge to the application of the provisions of this rule, all applicable administrative procedures must be exhausted.

Civil Rights Impact Analysis

FNS has reviewed this proposed rule in accordance with USDA Regulation 4300-4, Civil Rights Impact Analysis, to identify and address any major civil rights impacts the rule might have on minorities, women, and persons with disabilities. After a careful review of the rule's intent and provisions, FNS has determined that this rule is not expected to limit or reduce the ability of protected classes of individuals to participate as CACFP operators or as recipients of CACFP meal benefits. FNS also does not expect this rule to have any disparate impacts on CACFP operators by protected classes of individuals.

Executive Order 13175

Executive Order 13175 requires Federal agencies to consult and coordinate with Tribes on a government-to-government basis on policies that have Tribal implications, including regulations, legislative comments or proposed legislation, and other policy statements or actions that have substantial direct effects on one or more Indian Tribes, on the relationship between the Federal Government and Indian Tribes, or on the distribution of power and responsibilities between the Federal Government and Indian Tribes. FNS anticipates that this action would have no significant cost and no major increase in regulatory burden on tribal organizations.

Paperwork Reduction Act

The Paperwork Reduction Act of 1995, 44 U.S.C. Chapter 35 and 5 CFR 1320, requires OMB to approve all collections of information by a Federal agency before they can be implemented. Respondents are not required to respond to any collection of information unless it displays a current valid OMB control number. This proposed rule contains information collections that have been approved by OMB under control number 0584–0055. This rule does not add any new information collection requirements.

E-Government Act Compliance

FNS is committed to complying with the E-Government Act, to promote the use of the internet and other information technologies to provide increased opportunities for citizen access to Government information and services, and for other purposes.

List of Subjects

7 CFR Part 210

Grant programs—education, Grant programs—health, Infants and children, Nutrition, Penalties, Reporting and recordkeeping requirements, School breakfast and lunch programs, Surplus agricultural commodities.

7 CFR Part 220

Grant programs—education, Grant programs—health, Infants and children, Nutrition, Reporting and recordkeeping requirements, School breakfast and lunch programs.

7 CFR Part 226

Accounting, Aged, Day care, Food assistance programs, Grant programs, Grant programs—health, American Indians, Individuals with disabilities, Infants and children, Intergovernmental relations, Loan programs, Reporting and

recordkeeping requirements, Surplus agricultural commodities.

Accordingly, 7 CFR parts 210, 220 and 226 are amended as follows:

PART 210—NATIONAL SCHOOL **LUNCH PROGRAM**

■ 1. The authority citation for part 210 continues to read as follows:

Authority: 42 U.S.C. 1751-1760, 1779.

(3) * * * (ii) * * *

■ 2. In § 210.10, revise the tables in paragraphs (0)(3)(ii), (0)(4)(ii), (p)(2), and (q)(2) to read as follows:

§ 210.10 Meal requirements for lunches and requirements for afterschool snacks.

(0) * * *

PRESCHOOL SNACK MEAL PATTERN

Food commonants and food items 1	Minimum quantities	
Food components and food items ¹	Ages 1–2	Ages 3–5
Fluid Milk ²	4 fluid ounces	4 fluid ounces.
Meat/meat alternates (edible portion as served):		
Lean meat, poultry, or fish	½ ounce	½ ounce.
Tofu, soy products, or alternate protein products 4	½ ounce	½ ounce.
Cheese	½ ounce	½ ounce.
Large egg	1/2	1/2.
Cooked dry beans or peas	1/8 cup	¹⁄8 cup.
Peanut butter or soy nut butter or other nut or seed butters	1 Tbsp	1 Tbsp.
Yogurt, plain or flavored unsweetened or sweetened 5	2 ounces or 1/4 cup	2 ounces or 1/4 cup.
Peanuts, soy nuts, tree nuts, or seeds	½ ounce	½ ounce.
Vegetables 3	½ cup	½ cup.
Fruits ³	½ cup	½ cup.
Grains (oz eq): ⁶⁷		
Whole grain-rich or enriched bread	1/2 slice	½ slice.
Whole grain-rich or enriched bread product, such as biscuit, roll, or muffin	1/2 serving	½ serving.
Whole grain-rich, enriched, or fortified cooked breakfast cereal, ⁸ cereal grain, and/or pasta.	1/4 cup	1/4 cup.
Whole grain-rich, enriched, or fortified ready-to-eat cereal (dry, cold): 89		
Flakes or rounds	½ cup	½ cup.
Puffed cereal	3/4 cup	3/4 cup.
Granola	½ cup	1/8 cup.

Endnotes:

Select two of the five components for a reimbursable snack. Only one of the two components may be a beverage.

²Must be unflavored whole milk for children age one. Must be unflavored low-fat (1 percent) or unflavored fat-free (skim) milk for children two

³ Păsteurized full-strength juice may only be used to meet the vegetable or fruit requirement at one meal, including snack, per day.

⁴ Alternate protein products must meet the requirements in Appendix A to Part 226 of this chapter.

⁵ Yogurt must contain no more than 23 grams of total sugars per 6 ounces.

6 At least one serving per day, across all eating occasions, must be whole grain-rich. Grain-based desserts do not count towards meeting the grains requirement.

⁷ Beginning October 1, 2021, ounce equivalents are used to determine the quantity of creditable grains.
⁸ Breakfast cereals must contain no more than 6 grams of sugar per dry ounce (no more than 21.2 grams sucrose and other sugars per 100 grams of dry cereal).

9 Beginning October 1, 2019, the minimum serving size specified in this section for ready-to-eat breakfast cereals must be served. Until October 1, 2019, the minimum serving size for any type of ready-to-eat breakfast cereal is ¼ cup for children ages 1–2 and ⅓ cup for children ages 3-5.

(4) * * * (ii) * * *

INFANT SNACK MEAL PATTERN

Birth through 5 months	6 through 11 months
4–6 fluid ounces breastmilk ¹ or formula ²	2–4 fluid ounces breastmilk ¹ or formula; ² and 0–½ slice bread; ³⁴ or 0–2 cracker; ³⁴ or 0–4 tablespoons infant cereal ²³⁴ or ready-to-eat breakfast cereal; ³⁴⁵⁶ and 0–2 tablespoons vegetable or fruit, or a combination of both ⁶⁷

¹ Breastmilk or formula, or portions of both, must be served; however, it is recommended that breastmilk be served in place of formula from birth through 11 months. For some breastfed infants who regularly consume less than the minimum amount of breastmilk per feeding, a serving of less than the minimum amount of breastmilk may be offered, with additional breastmilk offered at a later time if the infant will consume more.

² Infant formula and dry infant cereal must be iron-fortified.

³ A serving of grains must be whole grain-rich, enriched meal, or enriched flour.

4 Beginning October 1, 2021, ounce equivalents are used to determine the quantity of creditable grains.
5 Breakfast cereals must contain no more than 6 grams of sugar per dry ounce (no more than 21.2 grams sucrose and other sugars per 100 grams of dry cereal).

⁶ A serving of this component is required when the infant is developmentally ready to accept it.

⁷ Fruit and vegetable juices must not be served.

(2) * * * (p) * * *

PRESCHOOL LUNCH MEAL PATTERN

Food commonwhat and food items 1	Minimum quantities	
Food components and food items ¹	Ages 1–2	Ages 3–5
Fluid Milk ² Meat/meat alternates (edible portion as served): Lean meat, poultry, or fish	4 fluid ounces	6 fluid ounces. 1½ ounces.
Tofu, soy products, or alternate protein products ³ Cheese Large egg Cooked dry beans or peas Peanut butter or soy nut butter or other nut or seed butters Yogurt, plain or flavored unsweetened or sweetened ⁴ The following may be used to meet no more than 50% of the requirement: Peanuts, soy nuts, tree nuts, or seeds, as listed in program guidance, or an equivalent quan-	4 ounces or ½ cup	
tity of any combination of the above meat/meat alternates (1 ounce of nuts/seeds = 1 ounce of cooked lean meat, poultry, or fish). Vegetables 5	1/8 cup	1/4 cup. 1/4 cup.
Whole grain-rich or enriched bread	½ slice ½ serving ¼ cup	1/2 slice. 1/2 serving. 1/4 cup.

Endnotes:

¹ Must serve all five components for a reimbursable meal.

- ²Must be unflavored whole milk for children age one. Must be unflavored low-fat (1 percent) or unflavored fat-free (skim) milk for children two
 - 3 Alternate protein products must meet the requirements in Appendix A to Part 226 of this chapter.

- A Yogurt must contain no more than 23 grams of total sugars per 6 ounces.
 Pasteurized full-strength juice may only be used to meet the vegetable or fruit requirement at one meal, including snack, per day.
 A vegetable may be used to meet the entire fruit requirement. When two vegetables are served at lunch or supper, two different kinds of vegetables must be served.

 At least one serving per day, across all eating occasions, must be whole grain-rich. Grain-based desserts do not count towards the grains re-
- quirement.
- ⁸ Beginning October 1, 2021, ounce equivalents are used to determine the quantity of the creditable grain.
 ⁹ Breakfast cereals must contain no more than 6 grams of sugar per dry ounce (no more than 21.2 grams sucrose and other sugars per 100 grams of dry cereal).

(q) * * * (2) * * *

INFANT LUNCH MEAL PATTERN

Birth through 5 months	6 through 11 months
4–6 fluid ounces breastmilk ¹ or formula ²	6–8 fluid ounces breastmilk ¹ or formula; ² and 0–4 tablespoons infant cereal ² ³ meat, fish, poultry, whole egg, cooked dry beans, or cooked dry peas; or 0–2 ounces of cheese; or 0–4 ounces (volume) of cottage cheese; or 0–4 ounces or ¹/₂ cup of yogurt; ⁴ or a combination of the above; ⁵ and 0–2 tablespoons vegetable or fruit or a combination of both ⁵ 6

¹Breastmilk or formula, or portions of both, must be served; however, it is recommended that breastmilk be served in place of formula from birth through 11 months. For some breastfed infants who regularly consume less than the minimum amount of breastmilk per feeding, a serving of less than the minimum amount of breastmilk may be offered, with additional breastmilk offered at a later time if the infant will consume more.

² Infant formula and dry infant cereal must be iron-fortified.

³ Beginning October 1, 2021, ounce equivalents are used to determine the quantity of creditable grains.

4 Yogurt must contain no more than 23 grams of total sugars per 6 ounces.

⁵ A serving of this component is required when the infant is developmentally ready to accept it.

⁶ Fruit and vegetable juices must not be served.

PART 220—SCHOOL BREAKFAST **PROGRAM**

■ 3. The authority citation for part 220 continues to read as follows:

Authority: 42 U.S.C. 1773, 1779, unless otherwise noted.

§ 220.8 Amended

■ 4. In § 220.8, revise the tables in paragraphs (o)(2) and (p)(2), to read as follows:

§ 220.8 Meal requirements for breakfasts.

(o) * * *

(2) * * *

PRESCHOOL BREAKFAST MEAL PATTERN

Food comments and food items 1	Minimum quantities	
Food components and food items ¹	Ages 1–2	Ages 3–5
Fluid Milk ²	4 fluid ounces ¹ / ₄ cup	6 fluid ounces. ½ cup.
Whole grain-rich or enriched bread		
Whole grain-rich, enriched, or fortified ready-to-eat breakfast cereal (dry, cold) ⁷ 8: Flakes or rounds Puffed cereal Granola	1/2 cup	½ cup. ¾ cup. ⅓ cup.

Endnotes:

¹ Must serve all three components for a reimbursable meal.

²Must be unflavored whole milk for children age one. Must be unflavored low-fat (1 percent) or unflavored fat-free (skim) milk for children two through five years old.

³ Păsteurized full-strength juice may only be used to meet the vegetable or fruit requirement at one meal, including snack, per day.

⁴ At least one serving per day, across all eating occasions, must be whole grain-rich. Grain-based desserts do not count towards meeting the grains requirement.

⁵Meat and meat alternates may be used to meet the entire grains requirement a maximum of three times a week. One ounce of meat and meat alternates is equal to one ounce equivalent of grains.

⁶ Beginning October 1, 2021, ounce equivalents are used to determine the quantity of creditable grains.

⁷ Breakfast cereals must contain no more than 6 grams of sugar per dry ounce (no more than 21.2 grams sucrose and other sugars per 100 grams of dry cereal).

⁸ Beginning October 1, 2019, the minimum serving size specified in this section for ready-to-eat breakfast cereals must be served. Until October 1, 2019, the minimum serving size for any type of ready-to-eat breakfast cereal is ½ cup for children ages 1–2 and ½ cup for children ages

(p) * * * (2) * * *

INFANT BREAKFAST MEAL PATTERN

Birth through 5 months	6 through 11 months
4–6 fluid ounces breastmilk ¹ or formula ²	6–8 fluid ounces breastmilk ¹ or formula ² ; and 0–4 tablespoons infant cereal ²³ meat, fish, poultry, whole egg, cooked dry beans, or cooked dry peas; or 0–2 ounces of cheese; or 0–4 ounces (volume) of cottage cheese; or 0–4 ounces or ¹ / ₂ cup of yogurt ⁴ ; or a combination of the above ⁵ ; and 0–2 tablespoons vegetable or fruit or a combination of both ⁵⁶

¹Breastmilk or formula, or portions of both, must be served; however, it is recommended that breastmilk be served in place of formula from birth through 11 months. For some breastfied infants who regularly consume less than the minimum amount of breastmilk per feeding, a serving of less than the minimum amount of breastmilk may be offered, with additional breastmilk offered at a later time if the infant will consume more.

² Infant formula and dry infant cereal must be iron-fortified.
³ Beginning October 1, 2021, ounce equivalents are used to determine the quantity of creditable grains.

⁴ Yogurt must contain no more than 23 grams of total sugars per 6 ounces.

5 A serving of this component is required when the infant is developmentally ready to accept it.

⁶ Fruit and vegetable juices must not be served.

PART 226—CHILD AND ADULT CARE **FOOD PROGRAM**

■ 5. The authority citation for 7 CFR part 226 continues to read as follows:

Authority: Secs. 9, 11, 14, 16, and 17, Richard B. Russell National School Lunch Act, as amended, 42 U.S.C. 1758, 1759a, 1762a, 1765 and 1766.

§220.20 Amended

- 6. In § 226.20, remove the date "October 1, 2019" and add in its place
- "October 1, 2021" in the following
- a. Endnote 3 of the table in paragraph (b)(5):
- b. Endnote 7 of the table in paragraph (c)(1);
- c. Endnote 10 of the table in paragraph (c)(2); and
- d. Endnote 8 of the table in paragraph (c)(3).

Dated: June 14, 2019.

Brandon Lipps,

Administrator, Food and Nutrition Service. [FR Doc. 2019-13733 Filed 6-28-19; 8:45 am]

BILLING CODE 3410-30-P

DEPARTMENT OF ENERGY 10 CFR Part 431

[EERE-2017-BT-STD-0016]

Energy Conservation Program: Energy Conservation Standards for Metal Halide Lamp Fixtures

AGENCY: Office of Energy Efficiency and Renewable Energy, Department of Energy.

ACTION: Request for information.

SUMMARY: The U.S. Department of Energy ("DOE") is attempting to determine whether to amend the current energy conservation standards for metal halide lamp fixtures. Under the Energy Policy and Conservation Act, as amended, DOE must review these standards at least once every six years and publish either a proposal to amend these standards or a notice of determination that the existing standards do not need amending. DOE is soliciting the public for information to help determine whether the current standards require amending under the applicable statutory criteria. DOE welcomes written comments from the public on any subject within the scope of this document, including topics not specifically raised.

DATES: Written comments and information are requested and will be accepted on or before August 15, 2019.

ADDRESSES: Interested persons are encouraged to submit comments using the Federal eRulemaking Portal at http://www.regulations.gov. Follow the instructions for submitting comments. Alternatively, interested persons may submit comments, identified by docket number EERE-2017-BT-STD-0016, by any of the following methods:

1. Federal eRulemaking Portal: http:// www.regulations.gov. Follow the instructions for submitting comments.

2. Email: MHLF2017STD0016@ ee.doe.gov. Include the docket number EERE-2017-BT-STD-0016 in the subject line of the message.

3. Postal Mail: Appliance and Equipment Standards Program, U.S. Department of Energy, Building Technologies Office, Mailstop EE-5B, 1000 Independence Avenue SW, Washington, DC 20585-0121. Telephone: (202) 287-1445. If possible, please submit all items on a compact disc (CD), in which case it is not necessary to include printed copies.

4. Hand Delivery/Courier: Appliance and Equipment Standards Program, U.S. Department of Energy, Building Technologies Office, 950 L'Enfant Plaza SW, 6th Floor, Washington, DC 20024. Telephone: (202) 287-1445. If possible, please submit all items on a CD, in which case it is not necessary to include printed copies.

No telefacsimilies (faxes) will be accepted. For detailed instructions on submitting comments and additional information on the rulemaking process, see section III of this document.

Docket: The docket for this activity, which includes Federal Register notices, comments, and other supporting documents/materials, is available for review at http:// www.regulations.gov. All documents in the docket are listed in the http:// www.regulations.gov index. However, some documents listed in the index, such as those containing information that is exempt from public disclosure, may not be publicly available.

The docket web page can be found at http://www.regulations.gov. The docket web page contains instructions on how to access all documents, including public comments, in the docket. See section III for information on how to submit comments through http:// www.regulations.gov.

FOR FURTHER INFORMATION CONTACT:

Ms. Lucy deButts, U.S. Department of Energy, Office of Energy Efficiency and Renewable Energy, Building Technologies Office, EE-5B, 1000 Independence Avenue SW, Washington, DC 20585-0121. Telephone: (202) 287-1604. Email:

ApplianceStandardsQuestions@ ee.doe.gov.

Mr. Michael Kido, U.S. Department of Energy, Office of the General Counsel, GC-33, 1000 Independence Avenue SW, Washington, DC 20585-0121. Telephone: (202) 586-8145. Email: Michael.Kido@hq.doe.gov.

For further information on how to submit a comment, review other public comments and the docket, or participate in the public meeting, contact the Appliance and Equipment Standards Program staff at (202) 287-1445 or by email: ApplianceStandardsQuestions@ ee.doe.gov.

SUPPLEMENTARY INFORMATION:

Table of Contents

- I. Introduction
 - A. Authority and Background
 - B. Rulemaking Process
- II. Request for Information and Comments
 - A. Equipment Covered by This Rulemaking
 - B. Market and Technology Assessment
 - 1. Product/Equipment Classes
 - 2. Technology Assessment C. Screening Analysis

 - D. Engineering Analysis
 - 1. Baselines
 - 2. Efficiency Levels and Maximum Technologically Feasible Levels
 - 3. Manufacturer Production Costs and Manufacturing Selling Price
 - E. Markups Analysis
 - F. Energy Use Analysis
 - G. Life-Cycle Cost and Payback Analysis
 - H. Shipments

I. Introduction

- I. National Impact Analysis
- J. Manufacturer Impact Analysis
- K. Other Energy Conservation Standards Topics
- 1. Market Failures
- 2. Market-Based Approaches to Energy Conservation Standards
- III. Submission of Comments

A. Authority and Background

The Energy Policy and Conservation Act of 1975, as amended ("EPCA"),1 among other things, authorizes DOE to regulate the energy efficiency of a number of consumer products and industrial equipment. (42 U.S.C. 6291-6317) Title III, Part B 2 of EPCA established the Energy Conservation Program for Consumer Products Other Than Automobiles. These products include metal halide lamp fixtures ("MHLFs"), the subject of this request for information ("RFI").3 (42 U.S.C. 6292(a)(19)) EPCA prescribed energy conservation standards ("ECS") for

 $^{^{\}scriptscriptstyle 1}\,\text{All}$ references to EPCA in this document refer to the statute as amended through America's Water Infrastructure Act of 2018, Public Law 115-270 (October 23, 2018).

² For editorial reasons, upon codification in the U.S. Code, Part B was redesignated as Part A.

³ Although MHLFs (which are industrial lighting equipment) are treated as covered products under EPCA, as a matter of administrative convenience and to minimize confusion among interested parties, DOE adopted its MHLF provisions into subpart S of 10 CFR part 431 (the portion of DOE's regulations dealing with commercial and industrial equipment) because businesses, rather than individuals, purchase them. 74 FR 12058, 12062 (March 23, 2009). For the purpose of this notice, DOE refers to MHLFs generally as "equipment." When the notice refers to specific provisions in Part A of EPCA, the term "product" is used.