

Dated: June 13, 2019.

**Donna S. Wieting,**

*Director, Office of Protected Resources,  
National Marine Fisheries Service.*

[FR Doc. 2019-12909 Filed 6-18-19; 8:45 am]

**BILLING CODE 3510-22-P**

## COMMODITY FUTURES TRADING COMMISSION

### Agency Information Collection Activities: Notice of Intent To Revise Collection Numbers 3038-0087, Reporting, Recordkeeping, and Daily Trading Records Requirements for Swap Dealers and Major Swap Participants

**AGENCY:** Commodity Futures Trading  
Commission.

**ACTION:** Notice.

**SUMMARY:** In compliance with the  
Paperwork Reduction Act of 1995  
(PRA), this notice announces that the  
Information Collection Request (ICR)  
abstracted below has been forwarded to  
the Office of Management and Budget  
(OMB) for review and comment. The  
ICR describes the nature of the  
information collection and its expected  
costs and burden.

**DATES:** Comments must be submitted on  
or before July 19, 2019.

**ADDRESSES:** You may submit comments,  
identified by "Reporting,  
Recordkeeping, and Daily Trading  
Records Requirements for Swap Dealers  
and Major Swap Participants," and  
Collection Number 3038-0087 by any of  
the following methods:

- The Agency's website, at [http://  
comments.cftc.gov/](http://comments.cftc.gov/). Follow the  
instructions for submitting comments  
through the website.

- **Mail:** Christopher Kirkpatrick,  
Secretary of the Commission,  
Commodity Futures Trading  
Commission, Three Lafayette Centre,  
1155 21st Street NW, Washington, DC  
20581.

- **Hand Delivery/Courier:** Same as  
Mail above.

Please submit your comments using  
only one method.

All comments must be submitted in  
English, or if not, accompanied by an  
English translation. A copy of the  
supporting statement for the collection  
of information discussed herein may be  
obtained by visiting <http://RegInfo.gov>.

All comments must be submitted in  
English, or if not, accompanied by an  
English translation. Comments will be  
posted as received to [http://  
www.cftc.gov](http://www.cftc.gov). You should submit only  
information that you wish to make  
available publicly. If you wish the

Commission to consider information  
that you believe is exempt from  
disclosure under the Freedom of  
Information Act, a petition for  
confidential treatment of the exempt  
information may be submitted according  
to the procedures established in § 145.9  
of the Commission's regulations.<sup>1</sup> The  
Commission reserves the right, but shall  
have no obligation, to review, pre-  
screen, filter, redact, refuse or remove  
any or all of your submission from  
<http://www.cftc.gov> that it may deem to  
be inappropriate for publication, such as  
obscene language. All submissions that  
have been redacted or removed that  
contain comments on the merits of the  
ICR will be retained in the public  
comment file and will be considered as  
required under the Administrative  
Procedure Act and other applicable  
laws, and may be accessible under the  
Freedom of Information Act.

**FOR FURTHER INFORMATION CONTACT:**

Gregory Scopino, Special Counsel,  
Division of Swap Dealer and  
Intermediary Oversight, Commodity  
Futures Trading Commission, (202)  
418-5175; email: [gscopino@cftc.gov](mailto:gscopino@cftc.gov).

**SUPPLEMENTARY INFORMATION:** This  
notice solicits comments on the  
collections of information mandated by  
Commission regulations 23.201 through  
23.205 (Reporting, Recordkeeping, and  
Daily Trading Records Requirements  
For Swap Dealers and Major Swap  
Participants).

**Title:** Reporting, Recordkeeping, and  
Daily Trading Records Requirements for  
Swap Dealers and Major Swap  
Participants (OMB Control Nos. 3038-  
0087). This is a request for an extension  
of currently approved information  
collection.

**Abstract:** On April 3, 2012, the  
Commission adopted Commission  
regulations 23.201 through 23.205  
(Reporting, Recordkeeping, and Daily  
Trading Records Requirements For  
Swap Dealers and Major Swap  
Participants)<sup>2</sup> pursuant to sections  
4s(f)<sup>3</sup> and 4s(g)<sup>4</sup> of the Commodity  
Exchange Act ("CEA").<sup>5</sup> Commission  
regulations 23.201 through 23.205  
require, among other things, swap  
dealers ("SD")<sup>6</sup> and major swap  
participants ("MSP")<sup>7</sup> to maintain  
transaction and position records of their

<sup>1</sup> 17 CFR 145.9.

<sup>2</sup> 17 CFR 23.201-23.205.

<sup>3</sup> 7 U.S.C. 6s(f).

<sup>4</sup> 7 U.S.C. 6s(g).

<sup>5</sup> 77 FR 20128.

<sup>6</sup> For the definition of SD, see section 1a(49) of  
the CEA and Commission regulation 1.3. 7 U.S.C.  
1a(49) and 17 CFR 1.3.

<sup>7</sup> For the definitions of MSP, see section 1a(33) of  
the CEA and Commission regulation 1.3. 7 U.S.C.  
1a(33) and 17 CFR 1.3.

swaps (including daily trading records)  
and to maintain specified business  
records (including records related to the  
governance and financial status of the  
swap dealer or major swap participant,  
complaints received by such SD or MSP  
and such SD or MSP's marketing and  
sales materials). They also require SDs  
and MSPs to report certain swap  
transaction data to swap data  
repositories, to satisfy certain real time  
public reporting requirements, and to  
maintain records of information  
reported to swap data depositories and  
for real time reporting purposes.<sup>8</sup> The  
Commission believes that the  
information collection obligations  
imposed by Commission regulations  
23.201 through 23.205 are necessary to  
implement sections 4s(f) and 4s(g) of the  
CEA, including ensuring that each SD  
and MSP maintains the required records  
of their business activities and an audit  
trail sufficient to conduct  
comprehensive and accurate trade  
reconstruction. On April 12, 2019, the  
Commission published in the **Federal  
Register** notice of the proposed  
extension of this information collection  
and provided 60 days for public  
comment on the proposed extension, 84  
FR 14921 ("60-Day Notice"). The  
Commission did not receive any  
comments on the 60-Day Notice.

**Burden Statement:** The Commission  
is revising its estimate of the burden for  
this collection to reflect the current  
number of respondents and estimated  
burden hours. The respondent burden  
for this collection is estimated to be as  
follows:

*Number of Registrants:* 103.

*Estimated Average Burden Hours per  
Registrant:* 2,096.

*Estimated Aggregate Burden Hours:*  
215,888.

*Frequency of Recordkeeping:* As  
applicable.

There are no capital costs or operating  
and maintenance costs associated with  
this collection.

(Authority: 44 U.S.C. 3501 *et seq.*)

Dated: June 13, 2019.

**Robert Sidman,**

*Deputy Secretary of the Commission.*

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<sup>8</sup> See 17 CFR 23.201-23.205.