DEPARTMENT OF COMMERCE
Patent and Trademark Office
[DOcket No.: PTO–P–2018–0033]

Request for Comments on Determining Whether a Claim Element Is Well-Understood, Routine, Conventional for Purposes of Subject Matter Eligibility


ACTION: Request for comments.

SUMMARY: The U.S. Court of Appeals for the Federal Circuit (Federal Circuit) recently issued a decision regarding the inquiry of whether a claim limitation represents well-understood, routine, conventional activities (or elements) to a skilled artisan in the relevant field. Specifically, the Federal Circuit found that whether a claim element, or combination of elements, represents well-understood, routine, conventional activities to a skilled artisan in the relevant field is a question of fact. The United States Patent and Trademark Office (USPTO) has implemented this decision in a memorandum recently issued to the Patent Examining Corps (the Berkheimer memorandum). The Berkheimer memorandum is available to the public on the USPTO’s internet website. Examiners had been previously instructed to conclude that an element (or combination of elements) is well-understood, routine, conventional activity only when the examiner can readily conclude that the element(s) is widely prevalent or in common use in the relevant industry. The Berkheimer memorandum now clarifies that such a conclusion must be based upon a factual determination that is supported as discussed in the memorandum. Additionally, the Berkheimer memorandum now specifies that the analysis for determining whether an element (or combination of elements) is widely prevalent or in common use is the same as the analysis under 35 U.S.C. 112(a) as to whether an element is so well-known that it need not be described in detail in the patent specification. The USPTO is now seeking public comment on its subject matter eligibility guidance, and particularly its guidance in the Berkheimer memorandum to the Patent Examining Corps.

DATES: Comment Deadline Date: Written comments must be received on or before August 20, 2018.

ADDRESSES: Comments must be sent by electronic mail message over the internet addressed to: Eligibility2018@uspto.gov. Electronic comments submitted in plain text are preferred, but also may be submitted in ADOBE® portable document format or MICROSOFT WORD® format. Comments not submitted electronically should be submitted on paper in a format that facilitates convenient digital scanning into ADOBE® portable document format. The comments will be available for viewing via the USPTO’s internet website (http://www.uspto.gov). Because comments will be made available for public inspection, information that the submitter does not desire to make public, such as an address or phone number, should not be included in the comments.

FOR FURTHER INFORMATION CONTACT: Carolyn Kosowski, Senior Legal Advisor, at 571–272–7688 or Matthew Sked, Senior Legal Advisor, at 571–272–7627, both with the Office of Patent Legal Administration.

SUPPLEMENTARY INFORMATION:

I. Federal Circuit Decision in Berkheimer: The Federal Circuit recently issued a precedentual decision holding that the question of whether certain claim limitations are well-understood, routine, conventional elements raised a disputed factual issue, which precluded summary judgment that all of the claims at issue were not patent eligible. See Berkheimer v. HP Inc., 881 F.3d 1360 (Fed. Cir. 2018). Shortly thereafter, the Federal Circuit reaffirmed the Berkheimer standard in the context of a judgment on the pleadings and judgment as a matter of law.1 While summary judgment, judgment on the pleadings, and judgment as a matter of law standards in civil litigation are generally inapplicable during the patent examination process, these decisions inform the inquiry into whether an additional element (or combination of additional elements) represents well-understood, routine, conventional activity. The USPTO has implemented this decision in the Berkheimer memorandum, which was recently issued to the Patent Examining Corps and is available to the public on the USPTO’s internet website. The USPTO recognizes that unless careful consideration is given to the particular contours of subject matter eligibility (35 U.S.C. 101), it could “swallow all of patent law.” Alice Corp. v. CLS Bank International, 573 U.S. — 134 S. Ct. 2347, 2352 (2014) (citing Mayo Collaborative Servs. v. Prometheus Labs., Inc., 566 U.S. 66, 71 (2012)). The Berkheimer memorandum provides additional USPTO guidance that will further clarify how the USPTO is determining subject matter eligibility in accordance with prevailing jurisprudence. Specifically, the Berkheimer memorandum addresses the limited question of whether an additional element (or combination of additional elements) represents well-understood, routine, conventional activity. The USPTO is determined to continue its mission to provide clear and predictable patent rights in accordance with this rapidly evolving area of the law and, to that end, may issue further guidance in the future.

II. Well-Understood, Routine, Conventional Activity: The USPTO’s current understanding of the judicial framework distinguishing patents and applications that claim laws of nature, natural phenomena, and abstract ideas from those that claim patent eligible....

1 See Aatrix Software, Inc. v. Green Shades Software, Inc., 882 F.3d 1121 (Fed. Cir. 2018) (reversing a judgment on the pleadings of ineligibility, finding that whether the claims in the challenged patent perform well-understood, routine, conventional activities is an issue of fact); Exergen Corp. v. Kaz USA, Inc., Nos. 2016–2315, 2016–2341, 2018 WI, 1193529, at *1 (Fed. Cir. Mar. 8, 2018) (non-precedential) (affirming a district court’s denial of a motion for judgment as a matter of law of patent ineligibility, thus upholding the district court’s conclusion that the claims were drawn to a patent eligible invention, concluding that the district court’s fact finding that the claimed combination was not proven to be well-understood, routine, conventional was not clearly erroneous).
applications of those concepts—the Mayo-Alice framework—is set forth in section 2106 of the Manual of Patent Examining Procedure (MPEP). While the Berkheimer decision does not change the basic subject matter eligibility framework as set forth in MPEP § 2106, it does provide clarification as to the inquiry into whether an additional element (or combination of additional elements) represents well-understood, routine, conventional activity.

Specifically, the Federal Circuit held that “[w]hether something is well-understood, routine, and conventional to a skilled artisan at the time of the patent is a factual determination.” Berkheimer, 881 F.3d at 1369.

As set forth in MPEP § 2106.05(d)(I), an examiner should conclude that an element (or combination of elements) represents well-understood, routine, conventional activity only when the examiner can readily conclude that the element(s) is widely prevalent or in common use in the relevant industry. The Berkheimer memorandum clarifies that such a conclusion must be based upon a factual determination that is supported as discussed in section III below. The Berkheimer memorandum further clarifies that the analysis as to whether an element (or combination of elements) is widely prevalent or in common use is the same as the analysis under 35 U.S.C. 112(a) as to whether an element is so well-known that it need not be described in detail in the patent specification.2

The question of whether additional elements represent well-understood, routine, conventional activity is distinct from patentability over the prior art under 35 U.S.C. 102 and 103. This is because a showing that additional elements are under 35 U.S.C. 103, or even that they lack novelty elements are obvious under 35 U.S.C. 102 and 103. This is supported as discussed in section III below. The Berkheimer memorandum further clarifies that the analysis as to whether an element (or combination of elements) is widely prevalent or in common use is the same as the analysis under 35 U.S.C. 112(a) as to whether an element is so well-known that it need not be described in detail in the patent specification.2

A citation to an express statement in the specification or to a statement made by an applicant during prosecution that demonstrates the well-understood, routine, conventional nature of the additional element(s). A specification demonstrates the well-understood, routine, conventional nature of additional elements when it describes the additional elements as well-understood or routine or conventional (or an equivalent term), as a commercially available product, or in a manner that indicates that the additional elements are sufficiently well-known that the specification does not need to describe the particulars of such additional elements to satisfy 35 U.S.C. 112(a). A finding that an element is well-understood, routine, or conventional cannot be based only on the fact that the specification is silent with respect to describing such element.

A citation to one or more of the court decisions discussed in MPEP § 2106.05(d)(II) as noting the well-understood, routine, conventional nature of the additional element(s).

A citation to a publication that demonstrates the well-understood, routine, conventional nature of the additional element(s). An appropriate publication could include a book, manual, review article, or other source that describes the state of the art and discusses what is well-known and in common use in the relevant industry. It does not include all items that might otherwise qualify as a “printed publication” as used in 35 U.S.C. 102.3

Whether something is disclosed in a document that is considered a “printed publication” under 35 U.S.C. 102 is a distinct inquiry from whether something is well-known, routine, conventional activity. A document may be a printed publication but still fail to establish that something it describes is well-understood, routine, conventional activity. See Exergen Corp., 2018 WL 1193529, at *4 (the single copy of a thesis written in German and located in a German university library considered to be a “printed publication” in Hall “would not suffice to establish that something is ‘well-understood, routine, and conventional activity previously engaged in by scientists who work in the field’”). The nature of the publication and the description of the additional elements in the publication would need to demonstrate that the additional elements are widely prevalent or in common use in the relevant field, comparable to the types of activity or elements that are so well-known that they do not need to be described in detail in a patent application to satisfy 35 U.S.C. 112(a).

A statement that the examiner is taking official notice of the well-understood, routine, conventional activity engaged in by those in the relevant art, in that the additional elements are widely prevalent or in common use in the relevant field.

2 See Genetic Techs. Ltd. v. Merial LLC, 818 F.3d 1369, 1377 (Fed. Cir. 2016) (supporting the position that amplification was well-understood, routine, conventional for purposes of subject matter eligibility by observing that the patentee expressly supported as discussed in section III below. The Berkheimer memorandum further clarifies that the analysis as to whether an element (or combination of elements) is widely prevalent or in common use is the same as the analysis under 35 U.S.C. 112(a) as to whether an element is so well-known that it need not be described in detail in the patent specification.2

3 See, e.g., In re Klopfenstein, 380 F.2d 1345 (Fed. Cir. 2004) (publicly displayed slide presentation); In re Hall, 781 F.2d 897 (Fed. Cir. 1986) (doctoral thesis shelved in a library); Mass. Inst. of Tech. v. AB Softron, 774 F.2d 1104, 1108–09 (Fed. Cir. 1985) (paper orally presented at a scientific meeting and distributed upon request); In re Wyer, 655 F.2d 221 (CCPA 1981) (patent application laid open to public inspection).
applicant’s challenge to official notice are discussed in MPEP § 2144.03.

B. Evaluating Applicant’s Response: If an applicant challenges the examiner’s position that the additional element(s) is well-understood, routine, conventional activity, the examiner should reevaluate whether it is readily apparent that the additional elements are in actuality well-understood, routine, conventional activities to those who work in the relevant field. If the examiner has taken official notice per paragraph (4) of section (III)(A) above that an element(s) is well-understood, routine, conventional activity, and the applicant challenges the examiner’s position, specifically stating that such element(s) is not well-understood, routine, conventional activity, the examiner must then provide one of the items discussed in paragraphs (1) through (3) of section (III)(A) above, or an affidavit or declaration under 37 CFR 1.104(d)(2) setting forth specific factual statements and explanation to support his or her position. As discussed previously, to represent well-understood, routine, conventional activity, the additional elements must be widely prevalent or in common use in the relevant field, comparable to the types of activity or elements that are so well-known that they do not need to be described in detail in a patent application to satisfy 35 U.S.C. 112(a).

The MPEP will be updated in due course to incorporate the changes put forth in the Berkheimer memorandum.

As discussed previously, the Berkheimer memorandum is available to the public on the USPTO’s internet website. The USPTO is seeking public comment on its subject matter eligibility guidance, and particularly its guidance in the Berkheimer memorandum.

Dated: April 18, 2018.
Andrei Iancu,
Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office.

COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED

Procurement List; Proposed Additions and Deletions

AGENCY: Committee for Purchase From People Who Are Blind or Severely Disabled.

ACTION: Proposed additions to and deletions from the Procurement List.

SUMMARY: The Committee is proposing to add a product and services to the Procurement List that will be furnished by the nonprofit agencies employing persons who are blind or have other severe disabilities, and deletes products and services previously furnished by such agencies.

DATES: Comments must be received on or before: May 20, 2018.

ADDRESSES: Committee for Purchase From People Who Are Blind or Severely Disabled, 1401 S. Clark Street, Suite 715, Arlington, Virginia, 22202–4149.

FOR FURTHER INFORMATION CONTACT: For further information or to submit comments contact: Amy B. Jensen, Telephone: (703) 603–7740, Fax: (703) 603–0655, or email CMTEFedReg@AbilityOne.gov.

SUPPLEMENTARY INFORMATION: This notice is published pursuant to 41 U.S.C. 8503 (a)(2) and 41 CFR 51–2.3. Its purpose is to provide interested persons an opportunity to submit comments on the proposed actions.

Additions

If the Committee approves the proposed additions, the entities of the Federal Government identified in this notice will be required to procure the product and services listed below from the nonprofit agencies employing persons who are blind or have other severe disabilities.

The following product and services are proposed for addition to the Procurement List for production by the nonprofit agencies listed:

**Product**

**NSN(s)—Product Name(s):**

8410–01–466–4892—Slacks, Dress, Coast Guard, Women’s, Blue, 16JS
8410–01–466–4905—Slacks, Dress, Coast Guard, Women’s, Blue, 12MS
8410–01–466–4906—Slacks, Dress, Coast Guard, Women’s, Blue, 14MS
8410–01–466–4912—Slacks, Dress, Coast Guard, Women’s, Blue, 18MR
8410–01–466–4914—Slacks, Dress, Coast Guard, Women’s, Blue, 8ML
8410–01–466–4915—Slacks, Dress, Coast Guard, Women’s, Blue, 12ML
8410–01–466–4926—Slacks, Dress, Coast Guard, Women’s, Blue, 14WS
8410–01–466–4930—Slacks, Dress, Coast Guard, Women’s, Blue, 12WR
8410–01–466–4935—Slacks, Dress, Coast Guard, Women’s, Blue, 12WL
8410–01–466–6326—Slacks, Dress, Coast Guard, Women’s, Blue, 4JR
8410–01–466–6332—Slacks, Dress, Coast Guard, Women’s, Blue, 6JS
8410–01–466–6485—Slacks, Dress, Coast Guard, Women’s, Blue, 8L
8410–01–466–6486—Slacks, Dress, Coast Guard, Women’s, Blue, 4MS
8410–01–466–8155—Slacks, Dress, Coast Guard, Women’s, Blue, 10JS
8410–01–466–8157—Slacks, Dress, Coast Guard, Women’s, Blue, 12JS
8410–01–466–8161—Slacks, Dress, Coast Guard, Women’s, Blue, 18JS
8410–01–466–8172—Slacks, Dress, Coast Guard, Women’s, Blue, 18L
8410–01–466–8176—Slacks, Dress, Coast Guard, Women’s, Blue, 16MS
8410–01–466–8195—Slacks, Dress, Coast Guard, Women’s, Blue, 18ML
8410–01–466–8197—Slacks, Dress, Coast Guard, Women’s, Blue, 20ML
8410–01–466–8199—Slacks, Dress, Coast Guard, Women’s, Blue, 16WS
8410–01–466–8203—Slacks, Dress, Coast Guard, Women’s, Blue, 18WL
8410–01–466–8207—Slacks, Dress, Coast Guard, Women’s, Blue, 20WL
8410–01–466–8211—Slacks, Dress, Coast Guard, Women’s, Blue, 22WL

**Mandatory Source of Supply:** VGS, Inc., Cleveland, OH

**Contracting Activity:** Defense Logistics Agency Troop Support

**Services**

**Service Type:** Food Service and Food Service Attendant

**Mandatory for:** Fort Hood: Postwide, Fort Hood, TX

**Mandatory Source of Supply:** Unknown

**Contracting Activity:** Dept of the Army, W40M NORTHEREG Contract Ofc

**Service Type:** Janitorial/Custodial Service

**Mandatory for:** Naval & Marine Corps Readiness Reserve Center, Providence, RI

**Mandatory Source of Supply:** The Fogarty Center, North Providence, RI

**Contracting Activity:** Dept of the Navy, Navy Crane Center

Deletions

The following products and services are proposed for deletion from the Procurement List:

**Products**

**NSN(s)—Product Name(s):**

8410–01–466–4904—Slacks, Dress, Coast Guard, Women’s, Blue, 18JS
8410–01–466–4916—Slacks, Dress, Coast Guard, Women’s, Blue, 12ML