

TABLE 7—ESTIMATED NUMBERS AND PERCENTAGE OF STOCK THAT MAY BE EXPOSED TO LEVEL B HARASSMENT

Species	Authorized Level B takes	Stock Abundance estimate	Percentage of total stock (%)
Steller sea lion (<i>Eumatopias jubatus</i>) wDPS	480	49,497	0.97
Harbor seal (<i>Phoca vitulina</i>) South Kodiak stock	48	19,199	0.25
Harbor porpoise (<i>Phocoena phocoena</i>) Gulf of Alaska stock	24	31,046	0.08
Dall's porpoise (<i>Phocoenoides dalli</i>) Alaska stock	42	83,400	0.05
Killer whale (<i>Orcinus orca</i>):	42		
Eastern North Pacific Alaska Resident stock		2,347	1.79
Eastern North Pacific Gulf of Alaska, Aleutian Islands, and Bering Sea stock		587	7.16
Humpback whale (<i>Megaptera novaeangliae</i>):	6		
Central North Pacific Stock		10,103	0.06
Western North Pacific Stock		1,107	0.54

Based on the analysis contained herein NMFS finds that small numbers of marine mammals will be taken relative to the populations of the affected species or stocks.

Impact on Availability of Affected Species for Taking for Subsistence Uses

Alaska Natives have traditionally harvested subsistence resources in the Kodiak area for many hundreds of years, particularly Steller sea lions and harbor seals. No traditional subsistence hunting areas are within the project vicinity, however; the nearest haulouts and rookeries for Steller sea lions and harbor seals are the Long Island, Cape Chiniak, and Ugak Island haul-outs and the Marmot Island rookery, many miles away. These locations are, respectively 4, 13, 25 and 28 nautical miles distant from the project area. Since all project activities will take place within the immediate vicinity of the transient float site, the project will not have an adverse impact on the availability of marine mammals for subsistence use at locations farther away. No disturbance or displacement of sea lions or harbor seals from traditional hunting areas by activities associated with the transient project is expected. No changes to availability of subsistence resources will result from transient float replacement project activities.

The City contacted the Alaska Harbor Seal Commission and the Steller sea lion Commission. Neither Commission had concerns about the impacts of this activity on native Alaska subsistence hunts.

Endangered Species Act (ESA)

There are two marine mammal species that are listed as endangered under the ESA with confirmed or possible occurrence in the study area: The western North Pacific (WNP) DPS and Mexico DPS of humpback whale and the western DPS of Steller sea lion. The project location is also within critical habitat of two major Steller sea

lion haulouts closest to the project area: Long Island and Cape Chiniak, which are approximately 4.6 nautical miles (8.5 kilometers) and 13.8 nautical miles (25.6 kilometers) away from the project site, respectively. There are no rookeries within 20 miles of the project location. The NMFS Alaska Regional Office Protected Resources Division issued a Biological Opinion on February 7, 2017 under Section 7 of the ESA, on the issuance of an IHA to the City under section 101(a)(5)(D) of the MMPA by the NMFS Permits and Conservation Division. The Biological Opinion concluded that the action is not likely to jeopardize the continued existence of western DPS Steller sea lions or the Mexico DPSs of humpback whales, and is not likely to destroy or adversely modify western DPS Steller sea lion critical habitat.

National Environmental Policy Act (NEPA)

NMFS prepared an EA and analyzed the potential impacts to marine mammals that would result from the City's construction project. A Finding of No Significant Impact (FONSI) was signed in February 2017. A copy of the EA and Finding of No Significant Impact (FONSI) is available upon request (see ADDRESSES).

Dated: February 13, 2017.

Donna S. Wieting,

Director, Office of Protected Resources,
National Marine Fisheries Service.

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BILLING CODE 3510-22-P

DEPARTMENT OF COMMERCE

Patent and Trademark Office

Initial Patent Applications

ACTION: Proposed collection; comment request.

SUMMARY: The United States Patent and Trademark Office (USPTO), as required by the Paperwork Reduction Act of 1995, invites comments on a proposed extension of an information collection: Initial Patent Applications.

DATES: Written comments must be submitted on or before April 17, 2017.

ADDRESSES: You may submit comments by any of the following methods:

- **Email:** InformationCollection@uspto.gov. Include "0651-0032 comment" in the subject line of the message.

- **Mail:** Marcie Lovett, Records Management Division Director, Office of the Chief Information Officer, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

- **Federal Rulemaking Portal:** <http://www.regulations.gov>.

FOR FURTHER INFORMATION CONTACT:

Requests for additional information should be directed to the attention of Raul Tamayo, Senior Legal Advisor, Office of Patent Legal Administration, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450; by telephone at 571-272-7728; or by email at raul.tamayo@uspto.gov. Additional information about this collection is also available at <http://www.reginfo.gov> under "Information Collection Review."

SUPPLEMENTARY INFORMATION:

I. Abstract

The USPTO is required by Title 35 of the United States Code, including 35 U.S.C. 131, to examine applications for patents. The USPTO administers the patent statutes through various rules in Chapter 37 of the Code of Federal Regulations, including 37 CFR 1.16 through 1.84. Each patent applicant must provide sufficient information to allow the USPTO to properly examine the application to determine whether it meets the criteria set forth in the patent statutes and regulations for issuance as a

patent. For example, the patent statutes and regulations require that an application for patent include the following information:

- (1) a specification containing a description of the invention and at least one claim defining the property right sought by the applicant;
- (2) a drawing(s) or photograph(s), where necessary, for an understanding of the invention;
- (3) an oath or declaration signed by the applicant; and
- (4) a filing fee.

The following types of patent applications are covered under the present information collection:

- New original utility, plant, design, and provisional applications,
- Continuation/divisional applications of international applications,
- Continued prosecution applications (design), and
- Continuations/divisionals and continuation-in-part applications of utility, plant, and design applications.

In addition, the present collection covers petitions to accept an unintentionally delayed priority or benefit claim, petitions under 37 CFR 1.47 (pre-Leahy-Smith America Invents Act (AIA)) to accept a filing by other than all of the inventors or a person not the inventor, petitions under 37 CFR 1.6(g) to accord an application under 37 CFR 1.495(b) a receipt date, and papers filed under 37 CFR 1.41(c), 1.41(a)(2) (pre-AIA), 1.48(d), 1.53(c)(2), and 1.53(c)(2) (pre-Patent Law Treaty (PLT) (AIA)) (the particular items covered under this collection are identified in more detail at Table 1 below).

Most applications for patent, including new utility, design, and provisional applications, can be submitted to the USPTO through EFS-Web. EFS-Web is the USPTO's system for electronically filing of patent correspondence and is accessible via the Internet on the USPTO Web site. The

Legal Framework for EFS-Web, available at <http://www.uspto.gov/patents/process/file/efs/guidance/Newlegalframework.jsp>, provides a listing of patent applications and documents permitted to be filed via EFS-Web and patent applications and documents not permitted to be filed via EFS-Web.

There are 69 forms in this collection. This total includes versions of the inventor's oath and declaration forms that were created to comply with the changes resulting from the AIA, e.g., forms AIA/01, AIA/02, etc., as well as pre-AIA versions of the oath and declaration forms, e.g., forms SB/01, SB/02, etc., and foreign language translations of the oath and declaration forms, e.g., forms AIA/01CN, SB/02CN, etc. Items in this collection that do not have forms associated with them include the petitions and the papers filed under 37 CFR 1.41(c), 1.41(a)(2) (pre-AIA), 1.48(d), 1.53(c)(2), and 1.53(c)(2) (pre-PLT (AIA)).

II. Method of Collection

By mail, hand delivery, or facsimile (except that, in accordance with 37 CFR 1.6(d), the items covered under this collection that may be submitted by facsimile are limited to the petitions and the papers filed under 37 CFR 1.41(c), 1.41(a)(2) (pre-AIA), 1.48(d), 1.53(c)(2), and 1.53(c)(2) (pre-PLT (AIA))). Most of these items can also be submitted through EFS-Web.

III. Data

OMB Number: 0651-0032.
 Form Number(s): PTO/SB/01, 01A, 02, 02A, 02B, 02CN, 02DE, 02ES, 02FR, 02IT, 02JP, 02KR, 02LR, 02NL, 02RU, 02SE, 03, 03A, 04, 06, 07, 14 EFS-Web, 16, 16 EFS-Web, 17, 29, 29A, and 101-110. This collection also includes the following AIA forms: PTO/AIA01 through AIA04, AIA08 through AIA11, AIA14 and 15, AIA18 and 19, and AIA01CN and 01DE, 01ES, 01FR, 01IT,

01JP, 01KR, 01NL, 01RU, 01SE, 02CN, 02DE, 02ES, 02FR, 02IT, 02JP, 02KR, 02NL, 02RU, and 02SE.

Type of Review: Revision of a currently approved collection.

Affected Public: Individuals or households; businesses or other for profits; not-for-profits institutions; and the Federal Government.

Estimated Number of Respondents: 470,403 responses per year. The USPTO estimates that approximately 130,313 of these responses will be from small entities. This estimate reflects a 25% small entity responses rate for all items in the collection, except for design-related items in the collection, for which a 50% small entity response rate is estimated. The USPTO also estimates that 406,995 of the responses will be filed electronically.

Estimated Time Per Response: The USPTO estimates that it takes the public approximately 30 minutes to 40 hours (0.50 to 40 hours) to complete this information, depending on the complexity of the request. This includes the time to gather the necessary information, prepare the application, petition, or paper submission, and submit the completed request to the USPTO. The USPTO calculates that, on balance, it takes the same amount of time to complete these steps and submit items to the USPTO, whether the applicant submits in paper form or electronically.

Estimated Total Annual Respondent Burden Hours: 12,776,282 hours per year.

Estimated Total Annual Respondent Cost Burden: \$5,238,275,620 per year. The USPTO expects that all of the information in this collection will be prepared by an attorney. Using the professional hourly rate of \$410 for attorneys in private firms, the USPTO estimates that the total respondent cost for this collection is \$5,238,275,620 per year.

TABLE 1—TOTAL HOURLY BURDEN

IC #	Item	Estimated time for response (hours)	Estimated annual responses	Estimated annual burden hours	Rate (\$/hr)	Estimated annual burden
		(a)	(b)	(a) × (b) = (c)	(d)	(c) × (d) = (e)
1	Original New Utility Applications—No Application Data Sheet.	40	300	12,000	\$410	\$4,920,000.00
1	Electronic Original New Utility Applications—No Application Data Sheets.	40	13,700	548,000	410	224,680,000.00
2	Original New Plant Applications—No Application Data Sheet.	9	300	2,700	410	1,107,000.00
3	Original New Design Applications—No Application Data Sheet.	7	100	700	410	287,000.00

TABLE 1—TOTAL HOURLY BURDEN—Continued

IC #	Item	Estimated time for response (hours)	Estimated annual responses	Estimated annual burden hours	Rate (\$/hr)	Estimated annual burden
		(a)	(b)	(a) × (b) = (c)	(d)	(c) × (d) = (e)
3	Electronic Original Design Applications—No Application Data Sheet.	7	3,200	22,400	410	9,184,000.00
4	Original New Utility Applications—Application Data Sheet.	40	5,400	216,000	410	88,560,000.00
4	Electronic Original New Utility Applications—Application Data Sheet.	40	265,600	10,624,000	410	4,355,840,000.00
5	Original New Plant Applications—Application Data Sheet.	9	1,100	9,900	410	4,059,000.00
6	Original New Design Applications—Application Data Sheet.	7	900	6,300	410	2,583,000.00
6	Electronic New Design Applications—Application Data Sheet.	7	42,800	299,600	410	122,836,000.00
7	Continuation/Divisional of an International Application.	4	200	800	410	328,000.00
7	Electronic Continuation/Divisional of an International Application.	4	10,800	43,200	410	17,712,000.00
8	Utility Continuation/Divisional Applications	4	1,500	6,000	410	2,460,000.00
8	Electronic Utility Continuation/Divisional Applications.	4	73,500	294,000	410	120,540,000.00
9	Plant Continuation/Divisional Application	3	200	600	410	246,000.00
10	Design Continuation/Divisional Application	1	100	100	410	41,000.00
10	Electronic Design Continuation/Divisional Applications.	1	2,900	2,900	410	1,189,000.00
11	Continued Prosecution Applications—Design (Request Transmittal and Receipt).	1	20	20	410	8,200.00
11	Electronic Continued Prosecution Applications—Design (Request Transmittal and Receipt).	1	880	880	410	360,800.00
12	Utility Continuation-in-Part Applications	20	300	6,000	410	2,460,000.00
12	Electronic Utility Continuation-in-Part Applications	20	13,300	266,000	410	109,060,000.00
13	Plant Continuation-in-Part Applications	5	1	5	410	2,050.00
14	Design Continuation-in-Part Applications	3	20	60	410	24,600.00
14	Electronic Design Continuation-in-Part Applications	3	830	2,490	410	1,020,900.00
15	Provisional Application for Patent Cover Sheet	18	4,000	72,000	410	29,520,000.00
15	Electronic Provisional Application for Patent Cover Sheet.	18	184,000	331,200	410	135,792,000.00
16	Petition to Accept Unintentionally Delay Priority/Benefit Claim.	1	100	100	410	41,000.00
16	Electronic Petition to Accept Unintentionally Delayed Priority/Benefit Claim.	1	3,400	3,400	410	1,394,000.00
17	Petition Under 37 CFR 1.47 (pre-AIA) to Accept a Filing by Other Than all the Inventors or a Person not the Inventor.	1	1	1	410	4410
17	Electronic Petition Under 37 CFR 1.47 (pre-AIA) to Accept a Filing by Other Than all the Inventors or a Person not the Inventor.	1	50	50	410	20,500.00
18	Petition under 37 CFR 1.6(g) to According the Application under 37 CFR 1.495(b) a Receipt Date.	0.50	1	1	410	410.00
19	Papers filed under the following: 1.41(c) or 1.41(a)(2) (pre-AIA)—to supply the name or names of the inventor or inventors after the filing date without a cover sheet as prescribed by 37 CFR 1.51 (c)(1) in a provisional application.. 1.48(d)—for correction of inventorship in a provisional application.. 1.53 (c)(2) or 1.53(c)(2) (pre-PLT (AIA))—to convert a nonprovisional application filed under 1.53(b) to a provisional application filed under 1.53(c).	0.75	200	150	\$410	\$61,500.00

TABLE 1—TOTAL HOURLY BURDEN—Continued

IC #	Item	Estimated time for response (hours) (a)	Estimated annual responses (b)	Estimated annual burden hours (a) × (b) = (c)	Rate (\$/hr) (d)	Estimated annual burden (c) × (d) = (e)
19	Electronic Papers filed under the following: 1.41(c) or 1.41(a)(2) (pre-AIA)—to supply the name or names of the inventor or inventors after the filing date without a cover sheet as prescribed by 37 CFR 1.51 (c)(1) in a provisional application.. 1.48(d)—for correction of inventorship in a provisional application.. 1.53 (c)(2) or 1.53(c)(2) (pre-PLT (AIA))—to convert a nonprovisional application filed under 1.53(b) to a provisional application filed under 1.53(c).	0.75	6,300	4,725	\$410	\$1,937,250.00
Total		470,403	12,776,282		\$5,238,275,620.00

Estimated Total Annual Non-hour Respondent Cost Burden:
\$1,195,268,438.53.

There is no maintenance, operation, capital start-up, or recordkeeping costs associated with this information collection. However, this collection does have annual (non-hour) costs in the form of postage and drawing costs, as well as filing fees.

Although the USPTO prefers that the items in this collection be submitted electronically, the items may be submitted by mail through the United States Postal Service (USPS). The USPTO estimates that the average cost for sending a patent application by Priority Mail Express® will be \$19.99 and that up to 14,441 may be mailed to the USPTO, resulting in \$288,675.59 in the postage costs.

The USPTO estimates that the petitions and other papers covered under this collection, if submitted by mail, will be sent by first-class mail; a postage rate of \$0.94. The USPTO estimates that up to 301 submissions may be mailed per year, thus resulting in \$282.94 in first-class mailing costs.

Patent applicants can submit drawings with the applications covered under this collection. As a basis for estimating the drawing costs, the USPTO expects that all applicants will have their drawings prepared by patent illustration firms. Estimates for the drawings can vary greatly, depending on the number of figures that need to be produced, the total number of pages for the drawings, and the complexity of the drawings. Because there are many variables involved, the USPTO is using the average of the cost ranges found for

the application drawings to derive the estimated cost per sheet that is then used to calculate the total drawing costs.

The utility, plant, and design continuation and divisional applications use the same drawings as the initial filings, so they are not included in these totals. The continuation-in-part applications may use some of the same drawings as the initial applications and some new drawings may be submitted, so those numbers are included in these estimates. The drawings for the continued prosecution applications also are included in the drawing cost totals.

The USPTO estimates that total drawing costs is \$608,610,280. The break-down of costs for utility, design, plant, and provisional drawings is broken down in table 2 below.

TABLE 2—DRAWING COST TO RESPONDENTS

IC #	Item	Estimated annual response (a)	Drawing cost amounts (\$) (b)	Drawing totals (c) (a) × (b)
	Utility Application Drawings	298,800	\$1,150.00	\$343,620,000.00
	Design Application Drawings	47,120	1,930.00	90,941,600.00
	Plant Application Drawings (Photographs).	1,601	680.00	1,088,680.00
	Provisional Application Drawings	150,400	1,150.00	172,960,000.00
	Total Drawing Costs	497,921		608,610,280.00

In this collection, there is also an annual (non-hour) cost burden in the way of filing fees. Many of the fees had previously been housed within

information collection 0651-0072 (America Invents Act Section 10 Patent Fee Adjustments), but these fees have been returned to this collection. The

total estimated filing costs for this collection is \$586,369,200 and is detailed in table 3 below.

TABLE 3—TOTAL NON-HOUR RESPONDENT COST

IC #	Item	Estimated annual response (a)	Amount (b)	Totals (c) (a) × (b)
1, 4	Basic Filing fee—Utility (Paper Filing—Also Requires Non-Electronic Filing Fee Under 1.16(t)) (large entity).	235,200	\$280.00	\$65,856,000.00
1, 4	Basic Filing fee—Utility (Paper Filing—Also Requires Non-Electronic Filing Fee Under 1.16(t)) (small entity).	80,500	140.00	11,270,000.00
1, 4	Basic Filing fee—Utility (Paper Filing—Also Requires Non-Electronic Filing Fee Under 1.16(t)) (micro entity).	17,000	70.00	1,190,000.00
1, 4	Utility Application Size Fee—for Each Additional 50 Sheets That Exceeds 100 Sheets (large entity).	13,500	400.00	5,400,000.00
1, 4	Utility Application Size Fee—for Each Additional 50 Sheets That Exceeds 100 Sheets (small entity).	6,500	200.00	1,300,000.00
1, 4	Utility Application Size Fee—for Each Additional 50 Sheets That Exceeds 100 Sheets (micro entity).	160	100.00	16,000.00
1, 4	Utility Search Fee (large entity)	233,900	600.00	140,340,000.00
1, 4	Utility Search Fee (small entity)	79,600	300.00	23,880,000.00
1, 4	Utility Search Fee (micro entity)	16,700	150.00	2,505,000.00
1, 4	Utility Examination Fee (large entity)	234,900	720.00	169,128,000.00
1, 4	Utility Examination Fee (small entity)	80,000	360.00	28,800,000.00
1, 4	Utility Examination Fee (micro entity)	16,800	180.00	3,024,000.00
2, 5	Basic Filing Fee—Plant (large entity)	570	180.00	102,600.00
2, 5	Basic Filing Fee—Plant (small entity)	580	90.00	52,200.00
2, 5	Basic Filing Fee—Plant (micro entity)	10	45.00	450.00
2, 5	Plant Application Size Fee—for Each Additional 50 Sheets That Exceeds 100 Sheets (large entity).	0	400.00	0.00
2, 5	Plant Application Size Fee—for Each Additional 50 Sheets That Exceeds 100 Sheets (small entity).	0	200.00	0.00
2, 5	Plant Application Size Fee—for Each Additional 50 Sheets That Exceeds 100 Sheets (micro entity).	0	100.00	0.00
2, 5	Plant Search Fee (large entity)	570	380.00	216,600.00
2, 5	Plant Search Fee (small entity)	575	190.00	109,250.00
2, 5	Plant Search Fee (micro entity)	10	95.00	950.00
2, 5	Plant Examination Fee (large entity)	560	580.00	324,800.00
2, 5	Plant Examination Fee (small entity)	570	290.00	165,300.00
2, 5	Plant Examination Fee (micro entity)	10	145.00	1,450.00
3, 6	Basic Filing Fee—Design (large entity)	20,100	180.00	3,618,000.00
3, 6	Basic Filing Fee—Design (small entity)	17,900	90.00	1,611,000.00
3, 6	Basic Filing Fee—Design (micro entity)	4,700	45.00	211,500.00
3, 6	Basic Filing Fee—Design (CPA) (large entity).	550	180.00	99,000.00
3, 6	Basic Filing Fee—Design (CPA) (small entity).	340	90.00	30,600.00
3, 6	Basic Filing Fee—Design (CPA) (micro entity).	30	45.00	1,350.00
3, 6	Design Application Size Fee—for Each Additional 50 Sheets That Exceeds 100 Sheets (large entity).	115	400.00	46,000.00
3, 6	Design Application Size Fee—for Each Additional 50 Sheets That Exceeds 100 Sheets (small entity).	60	200.00	12,000.00
3, 6	Design Application Size Fee—for Each Additional 50 Sheets That Exceeds 100 Sheets (micro entity).	1	100.00	100.00
3, 6	Design Search Fee (large entity)	20,400	120.00	2,448,000.00
3, 6	Design Search Fee (small entity)	18,000	60.00	1,080,000.00
3, 6	Design Search Fee (micro entity)	4,600	30.00	138,000.00
3, 6	Design Examination Fee (large entity)	20,200	460.00	9,292,000.00
3, 6	Design Examination Fee (small entity)	17,800	230.00	4,094,000.00
3, 6	Design Examination Fee (micro entity)	4,600	115.00	529,000.00
15	Provisional Application Size Fee—for Each Additional 50 Sheets That Exceeds 100 Sheets (large entity).	4,300	400.00	1,720,000.00

TABLE 3—TOTAL NON-HOUR RESPONDENT COST—Continued

IC #	Item	Estimated annual response (a)	Amount (b)	Totals (c) (a) × (b)
15	Provisional Application Size Fee—for Each Additional 50 Sheets That Exceeds 100 Sheets (small entity).	4,600	200.00	920,000.00
15	Provisional Application Size Fee—for Each Additional 50 Sheets That Exceeds 100 Sheets (micro entity).	135	100.00	13,500.00
15	Provisional Application Filing Fee (large entity).	56,100	260.00	14,586,000.00
15	Provisional Application Filing Fee (small entity).	68,800	130.00	8,944,000.00
15	Provisional Application Filing Fee (micro entity).	30,000	65.00	1,950,000.00
16	Surcharge—Late Filing Fee, Search Fee, Examination Fee, Inventor's Oath or Declaration, or Application Filed Without at least One Claim or by Reference (large entity).	86,400	140.00	12,096,000.00
16	Surcharge—Late Filing Fee, Search Fee, Examination Fee, Inventor's Oath or Declaration, or Application Filed Without at least One Claim or by Reference (small entity).	38,200	70.00	2,674,000.00
16	Surcharge—Late Filing Fee, Search Fee, Examination Fee, Inventor's Oath or Declaration, or Application Filed Without at least One Claim or by Reference (micro entity).	4,500	35.00	157,500.00
16	Surcharge—Late Provisional Filing Fee or Cover Sheet (large entity).	1,800	60.00	108,000.00
16	Surcharge—Late Provisional Filing Fee or Cover Sheet (small entity).	2,700	30.00	81,000.00
16	Surcharge—Late Provisional Filing Fee or Cover Sheet (micro entity).	3,000	15.00	45,000.00
17	Petition Under 37 CFR 1.47 (pre-AIA) to Accept a Filing by Other Than all the Inventors or a Person not the Inventor (large entity).	1	200.00	200.00
17	Petition Under 37 CFR 1.47 (pre-AIA) to Accept a Filing by Other Than all the Inventors or a Person not the Inventor (small entity).	1	100.00	100.00
17	Petition Under 37 CFR 1.47 (pre-AIA) to Accept a Filing by Other Than all the Inventors or a Person not the Inventor (micro entity).	1	50.00	50.00
17	Electronic Petition Under 37 CFR 1.47 (pre-AIA) to Accept a Filing by Other Than the Inventors or a Person not the Inventor (large entity).	38	200.00	7,600.00
17	Electronic Petition Under 37 CFR 1.47 (pre-AIA) to Accept a Filing by Other Than the Inventors or a Person not the Inventor (small entity).	9	100.00	900.00
17	Electronic Petition Under 37 CFR 1.47 (pre-AIA) to Accept a Filing by Other Than the Inventors or a Person not the Inventor (micro entity).	3	50.00	150.00
18	Petition under 37 CFR 1.6(g) to accord the Application under 37 CFR 1.495(b) a Receipt Date.	1	0.00	0.00
	Each Independent Claim in Excess of Three (large entity).	55,100	420.00	23,142,000.00
	Each Independent Claim in Excess of Three (small entity).	20,500	210.00	4,305,000.00
	Each Independent Claim in Excess of Three (micro entity).	1,900	105.00	199,500.00
	Each Claim in Excess of 20 (large entity).	362,900	80.00	29,032,000.00
	Each Claim in Excess of 20 (small entity).	199,400	40.00	7,976,000.00

TABLE 3—TOTAL NON-HOUR RESPONDENT COST—Continued

IC #	Item	Estimated annual response (a)	Amount (b)	Totals (c) (a) × (b)
	Each Claim in Excess of 20 (micro entity).	12,600	20.00	252,000.00
	Multiple Dependent Claim (large entity)	1,200	780.00	936,000.00
	Multiple Dependent Claim (small entity)	800	390.00	312,000.00
	Multiple Dependent Claim (micro entity)	90	195.00	17,550.00
Total Filing Fee	2,102,690	586,369,200.00

The USPTO estimates that the total annual (non-hour) respondent cost burden for this collection in the form of postage costs, drawing costs, and filing fees is estimated to be approximately \$1,164,394,638.53 per year.

IV. Request for Comments

Comments submitted in response to this notice will be summarized and/or included in the USPTO’s request for OMB approval. All comments will become a matter of public record.

Comments are invited on:

- (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility;
- (b) The accuracy of the agency’s estimate of the burden (including hours and cost) of the proposed collection of information;
- (c) Ways to enhance the quality, utility, and clarity of the information to be collected; and
- (d) Ways to minimize the burden of the collection of information on respondents, e.g., the use of automated collection techniques or other forms of information technology.

Dated: February 9, 2017.

Rhonda Foltz,

Office of Information Management Services Director, OCIO, United States Patent and Trademark Office.

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FEDERAL ELECTION COMMISSION

[Notice 2017-04]

Filing Dates for the Kansas Special Election in the 4th Congressional District

AGENCY: Federal Election Commission.

ACTION: Notice of filing dates for special election.

SUMMARY: Kansas has scheduled a Special General Election on April 11, 2017, to fill the U.S. House of Representatives seat in the 4th Congressional District vacated by Representative Mike Pompeo.

Committees required to file reports in connection with the Special General Election on April 11, 2017, shall file a 12-day Pre-General Report, and a 30-day Post-General Report.

FOR FURTHER INFORMATION CONTACT: Ms. Elizabeth S. Kurland, Information Division, 999 E Street NW., Washington, DC 20463; Telephone: (202) 694-1100; Toll Free (800) 424-9530.

SUPPLEMENTARY INFORMATION:

Principal Campaign Committees

All principal campaign committees of candidates who participate in the Kansas Special General Election shall file a 12-day Pre-General Report on March 30, 2017, and a 30-day Post-General Report on May 11, 2017. (See charts below for the closing date for each report.)

Note that these reports are in addition to the campaign committee’s regular

quarterly filings. (See charts below for the closing date for each report).

Unauthorized Committees (PACs and Party Committees)

Political committees filing on a semi-annual basis in 2017 are subject to special election reporting if they make previously undisclosed contributions or expenditures in connection with the Kansas Special General Election by the close of books for the applicable report(s). (See charts below for the closing date for each report.)

Committees filing monthly that make contributions or expenditures in connection with the Kansas Special General Election will continue to file according to the monthly reporting schedule.

Additional disclosure information in connection with the Kansas Special Election may be found on the FEC Web site at http://www.fec.gov/info/report_dates.shtml.

Disclosure of Lobbyist Bundling Activity

Principal campaign committees, party committees and Leadership PACs that are otherwise required to file reports in connection with the special elections must simultaneously file FEC Form 3L if they receive two or more bundled contributions from lobbyists/registrants or lobbyist/registant PACs that aggregate in excess of \$17,900 during the special election reporting periods. (See charts below for closing date of each period.) 11 CFR 104.22(a)(5)(v), (b).

CALENDAR OF REPORTING DATES FOR KANSAS SPECIAL GENERAL ELECTION

Report	Close of books ¹	Reg./cert. and overnight mailing deadline	Filing deadline
Quarterly Filing Committees Involved in the Special General (04/11/17) Must File:			
Pre-General	03/22/17	03/27/17	03/30/17
April Quarterly	03/31/17	04/15/17	² 04/15/17
Post-General	05/01/17	05/11/17	05/11/17
July Quarterly	06/30/17	07/15/17	² 07/15/17