

the affected lines because there are no unionized employees employed by Southwestern.

The earliest the transaction could be consummated is February 16, 2017, and the parties expect to consummate the transaction at that time.

If the verified notice contains false or misleading information, the exemption is void ab initio. Petitions to revoke the exemption under 49 U.S.C. 10502(d) may be filed at any time. The filing of a petition to revoke will not automatically stay the effectiveness of the exemption. Petitions to stay must be filed by February 9, 2017 (at least seven days before the exemption becomes effective).

An original and ten copies of all pleadings, referring to Docket No. FD 36084, must be filed with the Surface Transportation Board, 395 E Street SW., Washington, DC 20423-0001. In addition, a copy of each pleading must be served on: John D. Heffner, Strasburger & Price, LLP, 1025 Connecticut Ave. NW., Suite 717, Washington, DC 20036.

According to NMCR, this action is categorically excluded from environmental review under 49 CFR 1105.6(c).

Board decisions and notices are available on our Web site at [WWW.STB.GOV](http://WWW.STB.GOV).

Decided: January 30, 2017.

By the Board, Rachel D. Campbell, Director, Office of Proceedings.

**Kenyatta Clay,**  
Clearance Clerk.

[FR Doc. 2017-02284 Filed 2-1-17; 8:45 am]

**BILLING CODE 4915-01-P**

## SURFACE TRANSPORTATION BOARD

[Docket No. FD 36084]

### West Branch Intermediate Holdings, LLC and Continental Rail LLC—Acquisition of Control Exemption—Cimarron Valley Railroad, L.C., Clarkdale Arizona Central Railroad, L.C., Wyoming and Colorado Railroad Company, Inc.

West Branch Intermediate Holdings, LLC (West Branch) and Continental Rail LLC (Continental) have filed a verified notice of exemption pursuant to 49 CFR 1180.2(d)(2), for West Branch to acquire control of, and for Continental to manage, the following Class III rail carriers: Cimarron Valley Railroad, L.C. (Cimarron), Clarkdale Arizona Central Railroad, L.C. (Clarkdale), and Wyoming and Colorado Railroad Company, Inc.

(WYCO).<sup>1</sup> David L. Durban (Durban) commonly controls these railroads now as well as a fourth Class III carrier, Southwestern Railroad, Inc. (Southwestern).<sup>2</sup> The applicants have submitted to the Board a letter of intent concerning the transaction in this docket and will submit a final Purchase and Sale Agreement once negotiations are complete and the agreement is executed.<sup>3</sup>

The applicants certify that: (1) The carriers that are the subject of this notice do not connect with each other, Delta, or NMCR; (2) this transaction is not part of a series of anticipated transactions that would connect these rail carriers with each other; and (3) the transaction does not involve a Class I carrier. The proposed transaction is therefore exempt from the prior approval requirements of 49 U.S.C. 11323 pursuant to 49 CFR 1180.2(d)(2).

The earliest the transaction could be consummated is February 16, 2017, the effective date of the exemption (30 days after the verified notice was filed). The parties expect to consummate the transaction on or about February 17, 2017.

Under 49 U.S.C. 10502(g), the Board may not use its exemption authority to relieve a rail carrier of its statutory obligation to protect the interests of its employees. Section 11326(c), however, does not provide for labor protection for transactions under §§ 11324 and 11325 that involve only Class III rail carriers. Accordingly, the Board may not impose labor protective conditions here, because all of the carriers involved are Class III carriers.

If the verified notice contains false or misleading information, the exemption is void ab initio. Petitions to revoke the exemption under 49 U.S.C. 10502(d)

<sup>1</sup> West Branch currently controls another Class III carrier, Delta Southern Railroad, Inc. (Delta), and it will continue to do so after it consummates its acquisition of Cimarron, Clarkdale, and WYCO.

<sup>2</sup> The applicants do not intend to acquire control of Southwestern. Instead, West Branch will acquire certain assets of Southwestern through a newly formed subsidiary, New Mexico Central Railroad, LLC (NMCR). On the same day the applicants filed their verified notice in this docket, NMCR filed a verified notice of exemption under 49 CFR 1150.31 to acquire and operate those Southwestern assets. See *N.M. Cent. R.R.—Acquis. & Operation Exemption—Sw. R.R., Whitewater Division*, Docket No. FD 36085. Concurrently, West Branch and Continental filed a verified notice of exemption under 49 CFR 1180.2(d)(2) for West Branch to acquire and for Continental to manage NMCR upon NMCR's becoming a Class III carrier. See *W. Branch Intermediate Holdings & Cont'l Rail—Continuance in Control Exemption—N. M. Cent. R.R.*, Docket No. FD 36087.

<sup>3</sup> The applicants filed their letter of intent under seal and have moved that the Board issue a protective order governing access to the confidential materials. That motion is being addressed separately.

may be filed at any time. The filing of a petition to revoke will not automatically stay the effectiveness of the exemption. Petitions to stay must be filed by February 9, 2017 (at least seven days before the exemption becomes effective).

An original and ten copies of all pleadings, referring to Docket No. FD 36084, must be filed with the Surface Transportation Board, 395 E Street SW., Washington, DC 20423-0001. In addition, a copy of each pleading must be served on: John D. Heffner, Strasburger & Price, LLP, 1025 Connecticut Ave. NW., Suite 717, Washington, DC 20036.

Board decisions and notices are available on our Web site at [WWW.STB.GOV](http://WWW.STB.GOV).

Decided: January 30, 2017.

By the Board, Rachel D. Campbell, Director, Office of Proceedings.

**Kenyatta Clay,**  
Clearance Clerk.

[FR Doc. 2017-02285 Filed 2-1-17; 8:45 am]

**BILLING CODE 4915-01-P**

## DEPARTMENT OF THE TREASURY

### Financial Crimes Enforcement Network

#### Proposed Collection; Comment Request; Update and Revision of the FinCEN Suspicious Activity Reports Electronic Data Fields

**AGENCY:** Financial Crimes Enforcement Network (“FinCEN”), U.S. Department of the Treasury.

**ACTION:** Notice and request for comments.

**SUMMARY:** FinCEN, a bureau of the U.S. Department of the Treasury (“Treasury”), invites all interested parties to comment on its proposed update and revisions to the collection of information filings by financial institutions required to file such reports under the Bank Secrecy Act (“BSA”). This notice does not propose any new regulatory requirements or changes to the requirements related to suspicious activity reporting. The data fields reflect the filing requirement for all filers of SARs under the BSA. This request for comments is being made pursuant to the Paperwork Reduction Act (PRA) of 1995, Public Law 104-13, 44 U.S.C. 3506(c)(2)(A).

**DATES:** Written comments are welcome and must be received on or before April 3, 2017.

**ADDRESSES:** Written comments should be submitted to: Policy Division, Financial Crimes Enforcement Network,

Department of the Treasury, P.O. Box 39, Vienna, Virginia 22183. "Attention: PRA Comments—2016 SAR Database." Comments also may be submitted by electronic mail to the following Internet address: [regcomments@fincen.treas.gov](mailto:regcomments@fincen.treas.gov), with the caption, "Attention: 2016 SAR Database" in the body of the text. Please submit by one method only.

**FOR FURTHER INFORMATION CONTACT:** The FinCEN Resource Center at 800-767-2825 or electronically at [frc@fincen.gov](mailto:frc@fincen.gov).

**SUPPLEMENTARY INFORMATION:**

*Title:* FinCEN Suspicious Activity Report by Financial Institutions (see 31 CFR 1020.320, 1021.320, 1022.320, 1023.320, 1024.320, 1025.320, 1026.320, 1029.320, and 1030.320).

*OMB Number:* 1506-0065.<sup>1</sup>

*Form Number:* FinCEN 111.

*Abstract:* The statute generally referred to as the "Bank Secrecy Act," Titles I and II of Public Law 91-508, as amended, codified at 12 U.S.C. 1829b, 12 U.S.C. 1951-1959, and 31 U.S.C. 5311-5332, authorizes the Secretary of the Treasury, *inter alia*, to require financial institutions to keep records and file reports that are determined to have a high degree of usefulness in criminal, tax, and regulatory matters, or in the conduct of intelligence or counter-intelligence activities, to protect against international terrorism, and to implement anti-money laundering programs and compliance procedures.<sup>2</sup> Regulations implementing Title II of the BSA appear at 31 CFR Chapter X. The Secretary has delegated to the Director of FinCEN the authority to administer the BSA.<sup>3</sup>

The information collected on the "report" is required to be provided pursuant to 31 U.S.C. 5318(g), as implemented by FinCEN regulations 31 CFR 1020.320, § 1021.320, § 1022.320, § 1023.320, § 1024.320, § 1025.320, § 1026.320, § 1029.320, and § 1030.320. The information collected under this requirement is made available to appropriate agencies and organizations as disclosed in FinCEN's Privacy Act

<sup>1</sup> The SAR regulatory reporting requirements are currently covered under the following OMB Control numbers: 1506-0001 (Depository Institutions), 1506-0006 (Casinos and Card Clubs), 1506-0015 (Money Services Business), 1506-0019 (Securities and Futures Industries), 1506-0029 (Insurance Companies), and 1506-0061 (Residential Mortgage Lenders and Originators). Housing GSE's are not subject to the PRA. OMB Control number 1506-0065 applies to the SAR report, not the regulations.

<sup>2</sup> Language expanding the scope of the BSA to intelligence or counter-intelligence activities to protect against international terrorism was added by Section 358 of the Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism Act of 2001 (the USA PATRIOT Act), Public Law 107-56.

<sup>3</sup> Treasury Order 180-01 (Sept. 26, 2002).

System of Records Notice relating to BSA Reports.<sup>4</sup>

*Current Action:* FinCEN is updating and revising several items in the electronic data elements currently supporting the reporting of suspicious financial activities. Attached at the end on this notice is a revised "Summary of Data Fields" that reflects the revised electronic items. Items identified for removal remain usable for entry into the text field associated with the various "z" other boxes. The following updates are proposed;

(1) Type of filing, 1e remove the reference to "document control number"

(2) Add new item 2 "Filing Institution Note to FinCEN" followed by a text field with 100 character limit. This item allows the filer to identify reports filed in response to geographical targeting orders and BSA advisories etc.

(3) Part I, item 24j, remove "no relationship to institution" option. No other changes.

(4) Part II, Items 32a and b, add "or cancels" to the current item, item 32c, remove the current item.

(5) Item 34b add "Advanced Fee" and remove "Business loan", item 34i, remove mass marketing and replace with "Ponzi Scheme," item 34l, add "Securities Fraud."

(6) Item 35, change the section title to "Gaming Activities" item 35a, replace the current item with "Chip walking", item 35b, replace the current item with "Minimal gaming with large transactions" item 35d, add "Unknown source of chips."

(7) Item 36b, remove the current item and replace with "Funnel account."

(8) Item 37d, add "Provided questionable or false identification".

(9) Item 38g, insert "Human Trafficking/Smuggling," 38i, remove the current item. Item 38p, add "Transaction(s) involving foreign high risk jurisdiction", item 38q, remove the current entry.

(10) Item 40b, remove "wash trading" from current item and add as a new item e.

(11) Item 41a add new "Application Fraud," item 41c, add Foreclosure/Short sale fraud, item 41e, add origination fraud, and remove "reverse mortgage fraud."

(12) Item 42, Add as new category "Cyber-event," add new 42a "Against the Financial Institution(s)," 42b "Against the Financial Institutions

<sup>4</sup> Department of the Treasury bureaus such as FinCEN renew their System of Records Notices every three years unless there is cause to amend them more frequently. FinCEN's System of Records Notice for BSA Reports System was most recently published at 79 FR 20969 (April 14, 2014).

customer(s)," add 42z, "Other" with the associated text field.

(13) Item 43n, remove the term "Penny Stocks"

(14) Item 48 IP Address, add item 48a, Date field (yyyy/mm/dd), and 48b Time field (hh:mm:ss in UTC).

(15) Add new item 49 Cyber-event Indicator (Multiple entries up to 99), add 49a, Command & Control IP Address, 49a1, value Text field,<sup>5</sup> 49a2, Date associated with the event, 49a3, UTC time hh:mm:ss, add 49b, Command & Control URL/Domain, 49b1, Value text field, add 49c, Malware MD5, Malware SHA-1, or Malware SHA-256, 49c1, Value text field, add 49d Media Access Control (MAC) Address, 49d1, Value text field, add 49e, Port, 49e1, Value text field, add 49f Suspicious E-Mail Address, 49f1, Value text field, add 49g, Suspicious Filename, 49g1, Value text field, add 49h, Suspicious IP Address, 49h1, Value text field, 49h2, Date associated with the event, 49h3, UTC time hh:mm:ss, add 49i Suspicious URL/Domain, 49i1, Value text field, add 49j, Targeted System, 49j1, Value text field, add 49z Other, Text field, 49z1, Value text field.

(16) Part III, no change to the data items.

(17) Part IV, increase the field length for Part IV, Item 93, "Designated contact office," to 50 characters.

(18) A comprehensive summary of the proposed SAR data fields appears as an appendix to this notice.

Request comments on the above-proposed updates/revisions and new cyber-event items to the report.

*Type of Review:* Update and revisions of a currently approved collection.

*Affected public:* Business or other for-profit and not-for-profit financial institutions.

*Frequency:* As required.

*Estimated Reporting Burden:* Average of 60 minutes per report and 60 minutes recordkeeping per filing. (The reporting burden of the regulations 31 CFR 1020.320, 1021.320, 1022.320, 1023.320, 1024.320, 1025.320, 1026.320, 1029.320, and 1030.320, is reflected in the burden for the form.)

*Estimated Recordkeeping and Reporting Burden:* 120 minutes (60 minutes reporting and 60 minutes recordkeeping, for a total of 2 hours).

*Estimated number of respondents:* 84,655 (Broker-Dealers, Casinos and Card Clubs, Depository Institutions, Future Commission Merchants, Insurance Companies, Money Services Businesses, Mutual Funds, Non-Bank Residential Mortgage Lenders and

<sup>5</sup> Each cyber-event indicator value text field is limited to 100 characters.

Originators). Housing Government Sponsored Enterprises are required to report suspicious activities but are not subject to the PAR.

*Estimated Total Annual Responses:* 2,348,395.<sup>6</sup>

*Estimated Total Annual Reporting and Recordkeeping Burden:* 4,696,790 hours.

**Note:** A joint filing will increase the burden to 90 minutes reporting and 60 minutes recordkeeping for a total of 2 and 1/2 hours per report.<sup>7</sup>

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection of information displays a valid OMB control number. Records required to be retained under the BSA must be retained for five years.

### Request for Comments

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval. All comments will become a matter of public record. Comments are invited on: (a) Whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology; and (e) estimates of capital or start-up costs and costs of operation, maintenance and purchase of services to provide information, and (f) the new cyber-event items.

### Jamal El Hindi,

*Deputy Director, Financial Crimes Enforcement Network.*

### Appendix—SAR Comprehensive Summary of Data Fields

**Note:** Critical fields are identified with the \* symbol in front of the data element number.

#### Type of Filing

- \* 1. Check all that apply:
  - a. Initial report
  - b. Correct/Amend prior report
  - c. Continuing activity report
  - d. Joint report

<sup>6</sup> Numbers are based on actual 2016 filings as reported to the BSA E-Filing System, as of 01/01/2017.

<sup>7</sup> Two or more separate financial institutions filing a single SAR. This type of filing constitutes less than 1% of total filings.

- e. Prior report BSA Identification Number if items 1b or 1c are checked
  - f. BSA Identification Number
2. Filing Institution Note to FinCEN

#### Part I Subject Information

- 3. Check:
  - a. If entity
  - b. If all critical \* subject information is unavailable (does not include item 27)
- \* 4. Individual's last name or entity's legal name
  - a. (check if) unknown
- \* 5. First name
  - a. (check if) unknown
- 6. Middle name
- 7. Suffix
- 8. Gender
  - a. (Check if) Male
  - b. (Check if) Female
  - c. (Check if) Unknown
- 9. Alternate name, e.g. AKA—Individual or DBA—Entity (multiple entries allowed)
- 10. Occupation or type of business
  - a. NAICS Code
- \* 11. Address
  - a. (check if) unknown
- \* 12. City
  - a. (check if) unknown
- \* 13. State
  - a. (check if) unknown

**Note:** FinCEN will derive the State through third party data as enhanced data if not provided and Country is US, Mexico or Canada and ZIP/Postal Code is provided.

- \* 14. ZIP/Postal Code
  - a. (check if) unknown

**Note:** FinCEN will derive ZIP + 4 through third party data as enhanced data if not provided or verified through third party data if provided.

New Data Element of County—FinCEN will derive through third party data as enhanced data.

New Data Elements for GEO Coding—FinCEN will derive through third party data as enhanced data.

New Data Element of HIFCA code—FinCEN will derive through third party data as enhanced data.

New Data Element of HIDTA code—FinCEN will derive through third party data as enhanced data.

- \* 15. Country Code (2 letter code—list provided)
  - a. (check if) unknown (multiple entries allowed for items 11–15)
- \* 16. TIN (enter number in space provided and check appropriate type below)
  - a. (check if) unknown
- 17. TIN type (\* if 16 is known)
  - a. EIN
  - b. SSN—ITIN
  - c. Foreign
- \* 18. Form of identification for subject: (multiple entries allowed)
  - a. (check if) unknown
  - b. (check if) Driver's license/state ID
  - c. (check if) Passport
  - d. (check if) Alien registration
  - e. Number
  - f. Issuing State
  - g. Issuing Country
  - z. (check if) Other (and specify type in space provided)

- \* 19. Date of birth mm/dd/yyyy
    - a. (check if) unknown
  - 20. Phone number—type
    - a. (check if) Home
    - b. (check if) Work
    - c. (check if) Mobile
    - d. (check if) Fax
  - 21. Phone number
    - a. Extension (if any) (multiple entries allowed for items 20 and 21)
  - 22. Email address (if available) (multiple entries allowed)
  - 22a. Web site (URL) address (if available) (multiple entries allowed)
  - 23. Corroborative statement to filer?
    - a. (check if) Yes
    - b. (check if) No
  - 24. Relationship of the subject to an institution listed in Part III or IV (check all that apply)
    - a. Institution TIN
    - b. Accountant
    - c. Agent
    - d. Appraiser
    - e. Attorney
    - f. Borrower
    - g. Customer
    - h. Director
    - i. Employee
    - j. Officer
    - k. Owner or Controlling Shareholder
    - z. Other (and specify type in space provided)
  - 25. If item 24h, i, j, or k is checked, indicate status of relationship
    - a. (check if) Relationship continues
    - b. (check if) Terminated
    - c. (check if) Suspended/barred
    - d. (check if) Resigned
  - 26. Action date if 25b, c, or d is checked (multiple entries allowed for items 24, 25, and 26)
  - \* 27. Financial Institution TIN and account number(s) affected that are related to subject, if any.
    - a. (check if) No known account involved
    - b. (check if) Non-US Financial Institution
    - c. TIN
    - d. account number
    - e. (check if) closed
    - f. account number
    - g. (check if) closed
    - h. TIN
    - i. account number
    - j. (check if) closed
    - k. account number
    - l. (check if) closed

(multiple financial institution TIN and account number entries allowed)
  - 28. Subject's role in suspicious activity (if applicable)
    - a. (check if) Purchaser/Sender
    - b. (check if) Payee/Receiver
    - c. (check if) Both a & b

Part I Subject Information can be repeated up to a total of 999 subjects.
- #### Part II Suspicious Activity Information
- \* 29. Amount involved in this report
    - a. (check if) Amount unknown
    - b. (check if) No amount involved
  - \* 30. Date or date range of suspicious activity for this report
    - a. From: mm/dd/yyyy
    - b. To: mm/dd/yyyy
  - 31. Cumulative amount only if box 1c

- (continuing activity report) is checked  
 \* (32–42: *specific type of suspicious activity*) When completing items 32 through 42, check all that apply.
32. Structuring
- Alters or cancels transaction to avoid BSA recordkeeping requirement
  - Alters or cancels transaction to avoid CTR requirement
  - Transaction(s) below BSA recordkeeping threshold
  - Transaction(s) below CTR threshold
  - Suspicious inquiry by customer regarding BSA reporting or recordkeeping requirements
  - Other (*specify type of suspicious activity in space provided*)
33. Terrorist Financing
- Known or suspected terrorist/terrorist organization
  - Other (*specify type of suspicious activity in space provided*)
34. Fraud
- ACH
  - Advance Fee
  - Check
  - Consumer loan (see instructions)
  - Credit/Debit card
  - Healthcare
  - Mail
  - Ponzi Scheme
  - Pyramid scheme
  - Securities Fraud
  - Wire
  - Other (*specify type of suspicious activity in space provided*)
35. Gaming Activities
- Chip walking
  - Minimal gaming with large transactions
  - Suspicious use of counter checks or markers
  - Unknown source of chips
  - Other (*specify type of suspicious activity in space provided*)
36. Money laundering
- Exchanges small bills for large bills or vice versa
  - Funnel account
  - Suspicion concerning the physical condition of funds
  - Suspicion concerning the source of funds
  - Suspicious designation of beneficiaries, assignees or joint owners
  - Suspicious EFT/Wire transfers
  - Suspicious exchange of currencies
  - Suspicious receipt of government payments/benefits
  - Suspicious use of multiple accounts
  - Suspicious use of noncash monetary instruments
  - Suspicious use of third-party transactors (straw-man)
  - Trade Based Money Laundering/Black Market Peso Exchange
  - Transaction out of pattern for customer(s)
  - Other (*specify type of suspicious activity in space provided*)
37. Identification/Documentation
- Changes spelling or arrangement of name
  - Multiple individuals with same or similar identities
  - Provided questionable or false documentation
  - Provided questionable or false identification
- Refused or avoided request for documentation
  - Single individual with multiple identities
  - Other (*specify type of suspicious activity in space provided*)
38. Other suspicious activities
- Account takeover
  - Bribery or gratuity
  - Counterfeit instruments
  - Elder financial exploitation
  - Embezzlement/theft/disappearance of funds
  - Forgeries
  - Human Trafficking/Smuggling
  - Identity theft
  - Little or no concern for product performance penalties, fees, or tax consequences
  - Misuse of position or self-dealing
  - Suspected public/private corruption (domestic)
  - Suspected public/private corruption (foreign)
  - Suspicious use of informal value transfer system
  - Suspicious use of multiple transaction locations
  - Transaction with no apparent economic, business, or lawful purpose
  - Transaction(s) involving Foreign high risk jurisdiction
  - Two or more individuals working together
  - Unlicensed or unregistered MSB
  - Other (*specify type of suspicious activity in space provided*)
39. Insurance
- Excessive insurance
  - Excessive or unusual cash borrowing against policy/annuity
  - Proceeds sent to or received from unrelated third party
  - Suspicious life settlement sales insurance (e.g. STOLI's, Viaticals)
  - Suspicious termination of policy or contract
  - Unclear or no insurable interest
  - Other (*specify type of suspicious activity in space provided*)
40. Securities/Futures/Options
- Insider trading
  - Market manipulation
  - Misappropriation
  - Unauthorized pooling
  - Wash Trading
  - Other (*specify type of suspicious activity in space provided*)
41. Mortgage fraud
- Application fraud
  - Appraisal fraud
  - Foreclosure/Shortsale fraud
  - Loan modification fraud
  - Origination fraud
  - Other (*specify type of suspicious activity in space provided*)
42. Cyber Event
- Against Financial Institution(s)
  - Against Financial Institution Customer(s)
  - Other (*specify type of suspicious activity in space provided*)
43. Were any of the following product type(s) involved in the suspicious activity? Check all that apply:
- Bonds/Notes
  - Commercial mortgage
  - Commercial paper
  - Credit card
  - Debit card
  - Forex transactions
  - Futures/Options on futures
  - Hedge fund
  - Home equity loan
  - Home equity line of credit
  - Insurance/Annuity products
  - Mutual fund
  - Options on securities
  - Microcap securities
  - Prepaid access
  - Residential mortgage
  - Security futures products
  - Stocks
  - Swap, hybrid or other derivative
  - Other (*specify type in space provided*)
44. Were any of the following instrument type(s)/payment mechanism(s) involved in the suspicious activity? Check all that apply:
- Bank/cashier's check
  - Foreign currency
  - Funds transfer
  - Gaming instruments
  - Government payment
  - Money orders
  - Personal/Business check
  - Travelers checks
  - U.S. Currency
  - Other (*specify type in space provided*)
45. Commodity type (if applicable) (*multiple entries allowed*)
46. Product/Instrument description (if needed) (*multiple entries allowed*)
47. Market where traded (*list of codes will be provided—dropdown menu for electronic filers*) (*multiple entries allowed*)
48. IP Address (if available) (*multiple entries allowed*)
- Date (YYYYMMDD)
  - Time Stamp(UTC) HH:MM:SS
49. Cyber-Event Indicators (multiple entries up to 99)
- Command and Control IP address
  - 49a1 Event value text field (each entry of 49a must have a corresponding event value text field).
  - 49a2 Event value text field (Date associated with the value in 49a1).
  - 49a3 Event value text field (Timestamp associated with the value in 49a1).
  - 49b. Command & Control URL/Domain
  - 49b1 Event value text field (each entry of 49b must have a corresponding event value text field).
  - 49c. Malware MD5, Malware SHA–1, or Malware SHA–256.
  - 49c1 Event value text field (each entry of 49c must have a corresponding event value text field).
  - 49d. Media Access control (MAC) Address
  - 49d1 Event value text field (each entry of 49d must have a corresponding event value text field).
  - 49e. Port
  - 49e1 Event value text field (each entry of 49e must have a corresponding event value text field).
  - 49f. Suspicious Email Address
  - 49f1 Event value text field (each entry of 49f must have a corresponding event value text field).

- 49g. Suspicious Filename  
49g1 Event value text field (each entry of 49g must have a corresponding event value text field).  
49h. Suspicious IP Address  
49h1 Event value text field (each entry of 49h must have a corresponding event value text field).  
49h2 Event value Date associated with the value in 49h1.  
49h3 Event value Timestamp associated with the value in 49h1.  
49i. Suspicious URL/Domain  
49i1 Event value text field (each entry of 49i must have a corresponding event value text field).  
49j. Targeted System  
49j1 Event value text field (each entry of 49j must have a corresponding event value text field).  
49z. Other  
49z Text description of Other value  
49z1 Event value text field (each entry of 49z must have a corresponding event value text field).
50. CUSIP number (*multiple entries allowed*)

### Part III Information About Financial Institution Where Activity Occurred

- \* 51. Type of financial institution (check only one)  
a. Casino/Card club  
b. Depository institution  
c. Insurance company  
d. MSB  
e. Securities/Futures  
z. Other (*specify type of institution in space provided*)
- \* 52. Primary Federal Regulator (*instructions specify banking agencies, SEC, CFTC, IRS*)  
CFTC  
Federal Reserve  
FDIC  
IRS  
NCUA  
OCC  
SEC  
Not Applicable
53. If item 51a is checked, indicate type of gaming institution (check only one)  
a. State licensed casino  
b. Tribal authorized casino  
c. Card club  
z. Other (*specify type of gaming institution in space provided*)
54. If item 51e is checked, indicate type of Securities and Futures institution or individual where activity occurred—check box(es) for functions that apply to this report  
a. Clearing broker—securities  
b. Futures commission merchant  
c. Holding company  
d. Introducing broker—commodities  
e. Introducing broker—securities  
f. Investment adviser  
g. Investment company  
h. Retail foreign exchange dealer  
i. Subsidiary of financial/bank holding company  
z. Other (*specify type of institution or individual in space provided*)
55. Filing institution identification number (Check one box to indicate type)  
a. Central Registration Depository (CRD) number

- b. Investment Adviser Registration Depository (IARD) number  
c. National Futures Association (NFA) number  
d. Research, Statistics, Supervision, and Discount (RSSD) number  
e. Securities and Exchange Commission (SEC) number  
f. Identification number
56. Financial institution's role in transaction (if applicable)  
a. (*check if*) Selling location  
b. (*check if*) Paying location  
c. (*check if*) Both a & b
- \* 57. Legal name of financial institution  
a. (*check if*) unknown
58. Alternate name, e.g., AKA—individual or trade name, DBA—entity
- \* 59. TIN (*enter number in space provided and check appropriate type below*)  
a. (*check if*) unknown
60. TIN type (\* if 59 is known)  
a. EIN  
b. SSN—ITIN  
c. Foreign
- \* 61. Address  
a. (*check if*) unknown
- \* 62. City  
a. (*check if*) unknown
63. State  
**Note:** FinCEN will derive State through third party data as enhanced data if not provided and Country is US, Mexico or Canada and ZIP/Postal Code is provided.
- \* 64. ZIP/Postal Code  
a. (*check if*) unknown  
**Note:** FinCEN will derive ZIP + 4 through third party data as enhanced data if not provided or verified through third party data if provided.  
New Data Element of County—FinCEN will derive through third party data as enhanced data.
- \* 65. Country (*2 letter code—list provided*)  
a. (*check if*) unknown
66. Internal control/file number
67. Loss to financial institution (*if applicable*)
68. Branch's role in transaction (if applicable)  
a. (*check if*) Selling location  
b. (*check if*) Paying location  
c. (*check if*) Both a & b
- \* 69. Address of branch or office where activity occurred  
a. (if no branch activity involved, check box a)
70. Research, Statistics, Supervision, and Discount (RSSD) number (*of the Branch*)
71. City  
72. State  
**Note:** FinCEN will derive State through third party data as enhanced data if not provided and Country is US, Mexico or Canada and ZIP/Postal Code is provided.
73. ZIP/Postal Code  
**Note:** FinCEN will derive ZIP + 4 through third party data as enhanced data if not provided or verified through third party data if provided.  
New Data Element of County—FinCEN will derive through third party data as enhanced data.  
New Data Elements for GEO Coding—FinCEN will derive through third party data

as enhanced data will be identified for the financial institution and any branches provided.

New Data Element of HIFCA code—FinCEN will derive through third party data as enhanced data will be identified for the financial institution and any branches provided.

New Data Element of HIDTA code—FinCEN will derive through third party data as enhanced data will be identified for the financial institution and any branches provided.

74. Country (*2 letter code—list provided*) (*multiple entries allowed for items 68–74.*)

Part III Information about Financial Institution Where Activity Occurred can be repeated up to a total of 99 financial institutions.

### Part IV Filing Institution Contact Information

- \* 75. Primary Federal Regulator (*instructions specify banking agencies, SEC, CFTC, IRS*)  
CFTC  
Federal Reserve  
FDIC  
IRS  
NCUA  
OCC  
SEC  
Not Applicable
- \* 76. Filer name (Holding company, lead financial institution, or agency, if applicable).
- \* 77. TIN (*enter number in space provided and check appropriate type below*)
- \* 78. TIN type  
a. EIN  
b. SSN/ITIN  
c. Foreign
- \* 79. Type of financial institution (check only one)  
a. Casino/Card club  
b. Depository institution  
c. Insurance company  
d. MSB  
e. Securities/Futures  
z. Other (*specify type of institution in space provided*)
80. Type of Securities and Futures institution or individual filing this report—check box(es) for functions that apply to this report  
a. Clearing broker—securities  
b. CPO/CTA  
c. Futures commission merchant  
d. Holding company  
e. Introducing broker—commodities  
f. Introducing broker—securities  
g. Investment adviser  
h. Investment company  
i. Retail foreign exchange dealer  
j. SRO Futures  
k. SRO Securities  
l. Subsidiary of financial/bank holding company  
z. Other (*specify type of institution or individual in space provided*)
81. Filing institution identification number (Check one box to indicate type)  
a. Central Registration Depository (CRD) number  
b. Investment Adviser Registration Depository (IARD) number

- c. National Futures Association (NFA) number
- d. Research, Statistics, Supervision, and Discount (RSSD) number
- e. Securities and Exchange Commission (SEC) number
- f. Identification number
- \* 82. Address
- \* 83. City
- 84. State

**Note:** FinCEN will derive State through third party data as enhanced data if not provided and Country is US, Mexico or Canada and ZIP/Postal Code is provided.

- \* 85. ZIP/Postal Code

**Note:** FinCEN will derive ZIP + 4 through third party data as enhanced data if not provided or verified through third party data if provided.

New Data Element of County—FinCEN will derive Derived through third party data as enhanced data.

New Data Elements for GEO Coding—FinCEN will derive through third party data as enhanced data.

- \* 86. Country (2 letter code—list provided)
- 87. Alternate name, e.g., AKA—individual or trade name, DBA—entity
- 88. Internal control/file number
- 89. LE contact agency
- 90. LE contact name
- 91. LE contact phone number
  - a. Extension (if any)
- 92. LE contact date
- \* 93. Designated contact office
- \* 94. Designated contact office phone number including area code
  - a. Extension, if any
- \* 95. Date filed

**\* Part V Suspicious Activity Information—Narrative**

(text field 17,000 characters)

(one attachment permitted—comma separated value (.csv) file, 1 MB maximum size)

[FR Doc. 2017-02235 Filed 2-1-17; 8:45 am]

BILLING CODE 4810-02-P

**DEPARTMENT OF THE TREASURY**

**Agency Information Collection Activities; Submission for OMB Review; Comment Request; Multiple Fiscal Service Information Collection Requests**

**AGENCY:** Departmental Offices, U.S. Department of the Treasury.

**ACTION:** Notice.

**SUMMARY:** The Department of the Treasury will submit the following information collection requests to the Office of Management and Budget (OMB) for review and clearance in accordance with the Paperwork Reduction Act of 1995, on or after the date of publication of this notice.

**DATES:** Comments should be received on or before March 6, 2017 to be assured of consideration.

**ADDRESSES:** Send comments regarding the burden estimates, or any other aspect of the information collections, including suggestions for reducing the burden, to (1) Office of Information and Regulatory Affairs, Office of Management and Budget, Attention: Desk Officer for Treasury, New Executive Office Building, Room 10235, Washington, DC 20503, or email at [OIRA\\_Submission@OMB.EOP.gov](mailto:OIRA_Submission@OMB.EOP.gov) and (2) Treasury PRA Clearance Officer, 1750 Pennsylvania Ave. NW., Suite 8142, Washington, DC 20220, or email at [PRA@treasury.gov](mailto:PRA@treasury.gov).

**FOR FURTHER INFORMATION CONTACT:** Copies of the submissions may be obtained by emailing [PRA@treasury.gov](mailto:PRA@treasury.gov), calling (202) 622-0934, or viewing the entire information collection request at [www.reginfo.gov](http://www.reginfo.gov).

**SUPPLEMENTARY INFORMATION:**

**Fiscal Service (FS)**

*OMB Control Number:* 1530-0001.  
*Type of Review:* Extension without change of a currently approved collection.

*Title:* Minority Bank Deposit Program (MBDP) Certification Form for Admission.

*Form:* FS Form 3144.

*Abstract:* A financial institution who wants to participate in the MBDP must complete this form. The approved application certifies the institution as minority and is admitted into the program.

*Affected Public:* Businesses or other for-profits.

*Estimated Total Annual Burden Hours:* 64.

*OMB Control Number:* 1530-0036.  
*Type of Review:* Extension without change of a currently approved collection.

*Title:* Request to Reissue U.S. Savings Bonds to a Personal Trust.

*Form:* FS Form 1851.

*Abstract:* The information is necessary to support a request for reissue of savings bonds in the name of the trustee of a personal trust estate.

*Affected Public:* Individuals or Households.

*Estimated Total Annual Burden Hours:* 2,650.

*OMB Control Number:* 1530-0038.  
*Type of Review:* Extension without change of a currently approved collection.

*Title:* Application by Survivors for Payment of Bond or Check Issued Under Armed Forces Leave Act of 1946.

*Form:* FS Form 2066.

*Abstract:* Used by survivors for payment of bonds issued under Armed Forces Leave Act of 1946. The

information is to identify the bonds and/or checks involved and to establish a survivor's claim in order to issue payment.

*Affected Public:* Individuals or Households.

*Estimated Total Annual Burden Hours:* 1,250.

*OMB Control Number:* 1530-0041.

*Type of Review:* Extension without change of a currently approved collection.

*Title:* Application for Recognition as Natural Guardian of Minor Not Under Legal Guardianship and for Disposition of Minor's Interest In Registered Securities.

*Form:* FS Form 2481.

*Abstract:* The information is collected to apply for recognition as a natural guardian and request disposition of securities belonging to a minor in situations where a natural guardian is no longer acting or a legal representative is not appointed. Regulations governing U.S. Securities prohibit the registration of securities in the name of a minor in their own right. The natural guardian may be given responsibility for the securities. The information is used to identify the securities involved and to establish the authority to reissue the securities or payment in lieu thereof.

*Affected Public:* Individuals or Households.

*Estimated Total Annual Burden Hours:* 208.

*Authority:* 44 U.S.C. 3501 *et seq.*

Dated: January 30, 2017.

**Spencer Clark,**

*Treasury PRA Clearance Officer.*

[FR Doc. 2017-02203 Filed 2-1-17; 8:45 am]

BILLING CODE 4810-AS-P

**DEPARTMENT OF VETERANS AFFAIRS**

**Request for Information: To Provide Comprehensive Advice To Assist the Department of Veterans Affairs (VA) With Developing Policy Regarding Safety and Quality Standards for Providers of Modification Services Under the Automobile Adaptive Equipment (AAE) Program**

**AGENCY:** Department of Veterans Affairs.

**ACTION:** Notice of request for information.

**SUMMARY:** The VA is requesting information to assist in implementing section 3 of the Veterans Mobility Safety Act of 2016 (H.R. 3471, hereafter referred to as "the Act"), which requires VA to develop a comprehensive policy regarding quality standards for