exemptions are effective as of June 3, 2016 and will expire on June 3, 2018.

As of June 6, 2016, and in accordance with 49 U.S.C. 31136(e) and 31315, the following 3 individuals have satisfied the conditions for obtaining a renewed exemption from the vision requirements (77 FR 23799; 77 FR 33558; 79 FR 27365):

Rudolph Bisschop (MA)
Richard Doroba (IL)
Tommy Thomas (CA)

The drivers were included in one of the following dockets: Docket No. FMCSA–2012–0040. Their exemptions are effective as of June 6, 2016 and will expire on June 6, 2018.

As of June 17, 2016, and in accordance with 49 U.S.C. 31136(e) and 31315, the following individual, DelRay V. Ryckman (SD), has satisfied the conditions for obtaining a renewed exemption from the vision requirements (79 FR 27681; 79 FR 38649).

This driver was included in the following docket: Docket No. FMCSA–2014–0005. The exemption is effective as of June 17, 2016 and will expire on June 17, 2018.

As of June 27, 2016, and in accordance with 49 U.S.C. 31136(e) and 31315, the following 5 individuals have satisfied the conditions for obtaining a renewed exemption from the vision requirements (77 FR 27647; 77 FR 38386; 79 FR 29495):

Matthew G. Epps (FL)
Michael E. McAfee (KY)
Joe Ramirez (CA)
James E. Sikkink (IL)
John C. Smith (IL)

The drivers were included in the following docket: Docket No. FMCSA–2012–0104. Their exemptions are effective as of June 27, 2016 and will expire on June 27, 2018.

In accordance with 49 U.S.C. 31315, each exemption will be valid for two years from the effective date unless revoked earlier by FMCSA. The exemption will be revoked if the following occurs: (1) The person fails to comply with the terms and conditions of the exemption; (2) the exemption has resulted in a lower level of safety than was maintained prior to being granted; or (3) continuation of the exemption would not be consistent with the goals and objectives of 49 U.S.C. 31136 and 31315.

Issued on: December 9, 2016.
Larry W. Minor,
Associate Administrator for Policy.

DEPARTMENT OF TRANSPORTATION
Federal Transit Administration

Federal Fiscal Year 2017 Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements

AGENCY: Federal Transit Administration, DOT.

ACTION: Notice of availability.

SUMMARY: This notice announces the availability of the Federal Transportation Administration’s (FTA) Fiscal Year (FY) 2017 Annual List of Certifications and Assurances for FTA Grants and Cooperative Agreements, which can be found at FTA’s Web site, www.transit.dot.gov/certs. This notice provides a condensed list of the pre-award Certifications and Assurances that may apply to an Applicant to FTA for federal assistance and the Award that may be made in FY 2017. This notice also describes both the Applicant and FTA’s responsibilities with respect to the Certifications and Assurances and highlights the differences between the FY 2017 Certifications and Assurances and those published for FY 2016. Each Applicant to FTA for federal assistance must submit the Certifications and Assurances that apply to it and any Award for which it seeks federal assistance during FY 2017. An Applicant to FTA typically acts through its authorized representative (You). You, as the Applicant’s Authorized Representative, must have the authority to sign the Applicant’s Certifications and Assurances and to bind your Applicant’s compliance with the Certifications and Assurances you select on its behalf. Your Applicant’s Certifications and Assurances must be affirmed by your Applicant’s attorney. This notice provides instructions on how and when you should submit your Applicant’s Certifications and Assurances for FY 2017.

DATES: Effective Date: These FY 2017 Certifications and Assurances are effective October 1, 2016, the first day of FY 2017.

FOR FURTHER INFORMATION CONTACT: The appropriate Regional or Metropolitan Office listed in this notice. For copies of related documents and information, see our Web site at www.transit.dot.gov/certs or contact our Office of Administration at 202–366–4007.

Region 1: Boston
States served: Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island and Vermont.
Telephone # 617–494–2055.

Region 2: New York

Region 3: Philadelphia

Region 4: Atlanta

Region 5: Chicago

Region 6: Dallas/Ft. Worth
States served: Arkansas, Louisiana, New Mexico, Oklahoma, and Texas. Telephone # 817–978–0550.

Region 7: Kansas City
States served: Iowa, Kansas, Missouri, and Nebraska. Telephone # 816–329–3920.

Region 8: Denver

Region 9: San Francisco

Region 10: Seattle

Chicago Metropolitan Office
Area served: Chicago Metropolitan Area. Telephone # 312–353–2789.

Los Angeles Metropolitan Office

Lower Manhattan Recovery Office
Area served: Lower Manhattan. Telephone # 212–668–2170.

New York Metropolitan Office
1. What are FTA’s responsibilities?

The second sentence of 49 U.S.C. 5323(n) states in pertinent part that, “[t]he Secretary [of Transportation] shall publish annually a list of all certifications required under this chapter [49 U.S.C. chapter 53], . . . .” The first sentence of 49 U.S.C. 5323(n) states that, “a certification required under this chapter [53] and any additional certification or assurance required by law or regulation to be submitted to the Secretary [who delegated that authority to the Federal Transit Administrator] may be consolidated into a single document to be submitted annually as part of a grant application under this chapter [53].” Therefore, FTA has consolidated those Certifications and Assurances into the following twenty-three (23) categories:

Category 01. Required Certifications and Assurances for Each Applicant,
Category 02. Lobbying,
Category 03. Procurement and Procurement Systems,
Category 04. Private Sector Protections,
Category 05. Rolling Stock Reviews and Bus Testing,
Category 06. Demand Responsive Service,
Category 07. Intelligent Transportation Systems,
Category 08. Interest and Financing Costs and Acquisition of Capital Assets by Lease,
Category 09. Transit Asset Management Plan and Public Transportation Agency Safety Plan,
Category 10. Alcohol and Controlled Substances Testing,
Category 11. Fixed Guideway Capital Investment Grants Program (New Starts, Small Starts, and Core Capacity Improvement),
Category 12. State of Good Repair Program,
Category 13. Grants for Buses and Bus Facilities and Low or No Emission Vehicle Deployment Grant Programs,
Category 14. Urbanized Area Formula Grants Programs and Passenger Ferry Grant Program,
Category 15. Enhanced Mobility of Seniors and Individuals with Disabilities Programs,
Category 16. Rural and Appalachian Development Programs,
Category 17. Tribal Transit Programs (Public Transportation on Indian Reservations Programs),
Category 18. State Safety Oversight Grant Program,
Category 19. Public Transportation Emergency Relief Program,
Category 20. Expedited Project Delivery Pilot Program,
Category 21. Infrastructure Finance Programs,
Category 22. Paul S. Sarbanes Transit in Parks Program, and
Category 23. Construction Hiring Preferences.

Since 1995, FTA has consolidated the pre-award Certifications and Assurances required by law or regulation into a single document for publication in the Federal Register. To receive federal assistance appropriated or made available for the Grant, Cooperative Agreement, Loan, Loan Guarantee, Line of Credit, and Major Credit Instrument programs FTA/DOT administers, your Applicant must submit the annual Certifications and Assurances required for the type of federal assistance it seeks. These FY 2017 Certifications and Assurances supersede any Certifications and Assurances published in an earlier fiscal year. After publication in the Federal Register, each Applicant must submit applicable FY 2017 Certifications and Assurances before FTA may award federal assistance to support that Applicant’s request.

2. What is the legal effect of these certifications and assurances?

a. Pre-Award Representations. These Certifications and Assurances are pre-award representations typically required by federal law or regulation that your Applicant must submit before FTA may provide federal assistance for its Award. In general, these FY 2017 Certifications and Assurances are effective October 1, 2016, except as FTA determines otherwise in writing.

Upon publication in the Federal Register, FTA may not provide federal assistance until you submit your Applicant’s FY 2017 Certifications and Assurances.

b. Binding Commitment. Your Applicant must comply with any Certifications or Assurances you make on its behalf, irrespective of whether you remain your Applicant’s authorized representative. When you submit its Certifications and Assurances to FTA, both you and your Applicant are agreeing to comply with those terms.

c. Length of Commitment. Your Applicant’s FY 2017 Certifications and Assurances remain in effect until its Award is closed or the end of the useful life of its federally assisted assets, whichever is later. If your Applicant provides different Certifications and Assurances in a later fiscal year, the later Certifications and Assurances will usually apply, except as FTA determines otherwise in writing.

d. Duration. You and your Applicant may use the FY 2017 Certifications and Assurances at FTA’s Web site, www.transit.dot.gov/certs to support applications for federal assistance until FTA issues its FY 2018 Certifications and Assurances.

e. The FY 2017 Certifications and Assurances Are Not a Complete List of Federal Requirements. FTA cautions that the FY 2017 Certifications and Assurances focus mainly on those representations that your Applicant is required to submit to FTA before FTA may award federal assistance. Consequently, these Certifications and Assurances do not include many other federal requirements that will apply to your Applicant and its Award. Your Applicant is responsible for compliance with all applicable federal requirements.

f. Federal Requirements. In addition to the information in this notice and FTA’s FY 2017 Apportionments Notice, which will be published separately from this notice, FTA also strongly encourages you and your Applicant’s staff and prospective and current Third Party Participants to review all federal legislation, regulations, safety directives, and guidance that apply to them and to your Applicant’s proposed Award. The FY 2017 Master Agreement identifies many of those requirements and applicable guidance, and may be accessed at www.transit.dot.gov. Federal Requirements are not a complete list of Federal Requirements.

Legal penalties for false or fraudulent statements, awards, certifications, and Assurances are provided in the Federal Register.

Penalties for False or Fraudulent Statements. If you provide any false or fraudulent statement to the Federal Government on behalf of your Applicant, you may incur both federal civil and criminal penalties under the following statutes: (1) The Program Fraud Civil Remedies Act of 1986, as amended, 31 U.S.C. 3801 et seq., (2) U.S. Department of Transportation (U.S. DOT) regulations, “Program Fraud Civil Remedies,” 49 CFR part 31, and (3) Section 5323(l)(1) of title 49, United States Code, which authorizes federal criminal penalties and termination of federal assistance if you provide, on behalf of your Applicant or yourself, a false or fraudulent certificate, submission, or statement in connection

3. What are your responsibilities?
   a. Make sure that all involved with your Applicant’s Award understand the federal requirements that will apply to your Applicant and its Award. FTA strongly advises you, as your Applicant’s authorized representative, to read this notice and the Certifications and Assurances on the FTA Web site www.transit.dot.gov before selecting Certifications and Assurances on behalf of your Applicant. FTA also advises you to read the information accompanying the apportionment tables when FTA publishes its FY 2017 Apportionment Notices.

   Your Applicant is responsible for compliance with all federal requirements that apply to itself and its Award. Other entities and people, including Subrecipients, Third Party Contractors, and Third Party Subcontractors (Third Party Participants) can adversely affect your Applicant’s ability to comply with those federal requirements. Accordingly, all Third Party Participants involved in an Award need to know and should agree to comply with the federal requirements that affect your Applicant’s Award and themselves, as Third Party Participants.

   b. Subrecipient and Other Third Party Participation. Except when FTA has determined otherwise in writing, your Applicant is ultimately responsible for compliance with all Certifications and Assurances that you select on its behalf, even if the Award and some or all of the activities therein will be carried out by Subrecipients or other Third Party Participants. Therefore, FTA strongly recommends that you take appropriate measures to ensure that Subrecipients and other Third Party Participants involved in carrying out your Applicant’s Award do not take actions that will cause your Applicant to violate the representations made in its Certifications and Assurances.

   c. Submit Your Applicant’s Certifications and Assurances. You must submit all Categories of the FY 2017 Certifications and Assurances that apply to your Applicant and the Award(s) it seek in FY 2017. For your convenience, FTA recommends that you submit all twenty-three (23) Categories of Certifications and Assurances. Those provisions of the Certifications and Assurances that do not apply to your Applicant or its Award will not be enforced.

   d. Submit Your Applicant’s Required Documentation. You must ensure that your Applicant has submitted to FTA a correct, current version of the following documents: (1) Authorizing Resolution; (2) Opinion of Counsel; and (3) Designation of Signature Authority. A new Authorizing Resolution may be needed if a previous Authorizing Resolution does not cover the work in the new application. A new Opinion of Counsel may be needed if your Applicant’s legal status has changed. FTA requires that the Designation of Signature Authority reflect the current status of the appropriate officials with authority to bind your Applicant. You should already have documentation on file reflecting the correct status of your Applicant’s officials, further action to simply update the Designation of Signature Authority is not required. Sample templates for the above documents may be accessed at https://www.transit.dot.gov/trams. You must upload any updated documents concurrently with your Certifications and Assurances.

   e. Obtain the Affirmation of Your Applicant’s Attorney. You must obtain an affirmation of your Applicant’s Attorney, signed in FY 2017, stating that your Applicant has sufficient authority under its state and local laws to certify its compliance with the FY 2017 Certifications and Assurances that you have selected on its behalf; the Certifications and Assurances have been legally made and constitute legal and binding obligations on your Applicant; and there is no legislation or litigation pending or imminent that might adversely affect the validity of these Certifications and Assurances, or of the performance of your Applicant’s FY 2017 Assurances.

   f. When to Submit. (1) If your Applicant is applying for federal assistance under any of FTA’s competitive capital programs (e.g., New Starts, Small Starts, or Core Capacity Improvement) or formula programs, FTA expects to receive your Applicant’s FY 2017 Certifications and Assurances within ninety (90) days from the date FTA opens its new electronic award and management system, Transit Award Management System (TraAMS) or soon after the submittal of your Applicant’s request for federal assistance for FY 2017.

   (2) If your Applicant seeks federal assistance from an FTA program other than a formula program or a discretionary program, e.g., for a Public Transportation Innovation (formerly, Research, Development, Demonstration, and Deployment) Award, FTA expects to receive your Applicant’s FY 2017 Certifications and Assurances with the submission of its Application for federal assistance or an amendment soon thereafter.

5. What changes have been made since the FY 2016 certifications and assurances were published?

The most significant changes are:

   a. In the Preface, we added master credit agreement and State Infrastructure Bank (SIB) to the list of instruments to which the Master Agreement applies.


   d. In Category 8.B, “Acquisition of Capital Assets by Lease,” we have added an exception to our Capital Leases regulations to provide an exception for rolling stock and related equipment subject to the provisions of section 3019(c) of the FAST Act.


   f. In Category 9.B, “Public Transportation Safety,” FTA is required to establish a comprehensive Public Transportation Safety Program. Three provisions of this Program include the National Public Transportation Safety Plan, the Public Transportation Safety Certification Training Program, and the Public Transportation Agency Safety Plan (See 49 U.S.C. 5329(b) through (d)). FTA believes consolidating these provisions into one certification is appropriate for the FY 2017 List of Certifications and Assurances.


   h. In Category 11, “Fixed Guideway Capital Investment Grants Program (New Starts, Small Starts, and Core...
Capacity Improvement),” we have added a reference to: (1) FTA Regulations, “Transit Asset Management,” 49 CFR part 625, and (2) The updated “Final Interim Policy Guidance, Federal Transit Administration Capital Investment Grant Program,” June 2016.


m. In Category 14.B, “Passenger Ferry Grant Program,” we have: (1) Added references to 49 U.S.C. 5329(b) guidance and other final regulations and directives, in effect or when issued, that implement the Public Transportation Safety Programs authorized by 49 U.S.C. 5329, and (2) Added a reference to FTA Regulations, “Transit Asset Management,” 49 CFR part 625.

n. In Category 15, “Enhanced Mobility of Seniors and Individuals with Disabilities Programs,” we have: (1) Added references to 49 U.S.C. 5329(b) guidance and other final regulations and directives, in effect or when issued, that implement the Public Transportation Safety Programs authorized by 49 U.S.C. 5329, and (2) Added a reference to FTA Regulations, “Transit Asset Management,” 49 CFR part 625.


q. In Category 17, “Tribal Transit Programs,” we have: (1) Explained that, depending on how far the Recipient has progressed in developing a State Safety Oversight program fully compliant with 49 CFR part 674, the following FTA regulations will apply: (a) The Recipient agrees that FTA regulations, “State Safety Oversight,” 49 CFR part 674, will apply when its State Safety Oversight program is fully compliant with FTA’s requirements, but (b) The Recipient agrees that FTA regulations, “Rail Fixed Guideway Systems; State Safety Oversight,” 49 CFR part 659, will continue to apply to those states that have not yet implemented a fully compliant Public Transportation Safety Program.

r. In Category 18, “State Safety Oversight Grant Program,” we have: (1) Explained that, depending on how far the Recipient has progressed in developing a State Safety Oversight program fully compliant with 49 CFR part 674, the following FTA regulations will apply: (a) The Recipient agrees that FTA regulations, “State Safety Oversight,” 49 CFR part 674, will apply when its State Safety Oversight program is fully compliant with FTA’s requirements, but (b) The Recipient agrees that FTA regulations, “Rail Fixed Guideway Systems; State Safety Oversight,” 49 CFR part 659, will continue to apply to those states that have not yet implemented a fully compliant Public Transportation Safety Program.


u. In Category 21.B, “State Infrastructure Banks (SIB) Program, we have: (1) Noted that the U.S. DOT Build America Bureau will enter into SIB Cooperative Agreements with the state, (2) Added references to 49 U.S.C. 5329(b) guidance and other final regulations and directives, in effect or when issued, that implement the Public Transportation Safety Programs authorized by 49 U.S.C. 5329, and (3) Added a reference to FTA Regulations, “Transit Asset Management,” 49 CFR part 625.

6. How do you submit the certifications and assurances?

a. Electronic Submission. Except in rare circumstances and if permitted by FTA, you must submit your Applicant’s FY 2017 Certifications and Assurances and your attorney’s Affirmation in TrAMS. To submit the Certifications and Assurances, you must be registered in TrAMS. TrAMS contains fields for individually selecting among the twenty-three (23) Categories of Certifications and Assurances that apply to your Applicant and also a designated field for selecting all twenty-three (23) Categories, of which only the requirements that apply to you or your Applicant will be enforced. As an authorized representative of the Applicant, you must enter your personal identification number (PIN), which is your electronic signature, in TrAMS. The Attorney must enter his or her PIN in TrAMS, affirming your Applicant’s legal authority to make and comply with the Certifications and Assurances you have selected on its behalf. You may enter your PIN in place of the Attorney’s PIN, provided that your Applicant has on file and uploads to TrAMS a similar affirmation that has been written, dated, and signed by its Attorney in FY 2017.

b. Paper Submission. Only in very limited circumstances may your Applicant submit its FY 2017 Certifications and Assurances solely on paper. For example if the Applicant has demonstrated that it is unable to submit its Certifications and Assurances electronically in TrAMS or is a one-time recipient, and if FTA has agreed in writing to accept your Applicant’s Certifications and Assurances on paper, then your Applicant may indicate the Categories of Certifications and Assurances your Applicant is submitting in typewritten hard copy on the Signature Pages. To do so, you may place a single mark in the designated space to signify your Applicant’s agreement to comply with all Categories of Certifications and Assurances to the extent that they apply...
DEPARTMENT OF TRANSPORTATION

Maritime Administration

[Docket No. MARAD–2016–0128]

 Requested Administrative Waiver of the Coastwise Trade Laws: Vessel ANDANTE MAR; Invitation for Public Comments

AGENCY: Maritime Administration.

ACTION: Notice.

SUMMARY: The Secretary of Transportation, as represented by the Maritime Administration (MARAD), is authorized to grant waivers of the U.S.-build requirement of the coastwise laws under certain circumstances. A request for such a waiver has been received by MARAD. The vessel, and a brief description of the proposed service, is listed below.

DATES: Submit comments on or before January 19, 2017.

ADDRESSES: Comments should refer to docket number MARAD–2016–0129. Written comments may be submitted by hand or by mail to the Docket Clerk, U.S. Department of Transportation, Docket Operations, M–30, West Building Ground Floor, Room W12–140, 1200 New Jersey Avenue SE., Washington, DC 20590. You may also send comments electronically via the Internet at http://www.regulations.gov. All comments entered into this docket are available at http://www.regulations.gov.

By Order of the Maritime Administrator.

T. Mitchell Hudson, Jr., Secretary, Maritime Administration.

[FR Doc. 2016–30652 Filed 12–19–16; 8:45 am]

BILLING CODE 4910–81–P

DEPARTMENT OF TRANSPORTATION

Maritime Administration

[Docket No. MARAD–2016–0129]

 Requested Administrative Waiver of the Coastwise Trade Laws: Vessel KALULU; Invitation for Public Comments

AGENCY: Maritime Administration.

ACTION: Notice.

SUMMARY: The Secretary of Transportation, as represented by the Maritime Administration (MARAD), is authorized to grant waivers of the U.S.-build requirement of the coastwise laws under certain circumstances. A request for such a waiver has been received by MARAD. The vessel, and a brief description of the proposed service, is listed below.

DATES: Submit comments on or before January 19, 2017.

ADDRESSES: Comments should refer to docket number MARAD–2016–0129. Written comments may be submitted by hand or by mail to the Docket Clerk, U.S. Department of Transportation, Docket Operations, M–30, West Building Ground Floor, Room W12–140, 1200 New Jersey Avenue SE., Washington, DC 20590. You may also send comments electronically via the Internet at http://www.regulations.gov. All comments will become part of this docket and will be available for inspection and copying at the above address between 10:00 a.m. and 5:00 p.m., Monday through Friday, except federal holidays. An electronic version of this document and all documents entered into this docket is available at http://www.regulations.gov.


SUPPLEMENTARY INFORMATION: As described by the applicant the intended service of the vessel KALULU is:

—INTENDED COMMERCIAL USE OF VESSEL: “Four Seasons Hotel guest transport between Manele Harbor, Lanai and an offshore mooring operated by the Four Seasons Resort for ocean recreation.”