DEPARTMENT OF TRANSPORTATION

National Highway Traffic Safety Administration
[Docket No. NHTSA–2015–0071]

Reports, Forms, and Recordkeeping Requirements

AGENCY: National Highway Traffic Safety Administration (NHTSA), Department of Transportation.

ACTION: Request for public comment on proposed collection of information.

SUMMARY: In compliance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.), this notice announces that the Information Collection Request (ICR) abstracted below is being forwarded to the Office of Management and Budget (OMB) for review and comments.

DATES: Comments must be received on or before January 15, 2016.

ADDRESSES: Send comments to the Office of Information and Regulatory Affairs, Office of Management and Budget, 725–17th Street NW., Washington, DC 20503, Attention: NHTSA Desk Officer.

FOR FURTHER INFORMATION CONTACT: For additional information or access to background documents, contact Timothy M. Pickrell, NHTSA, 1200 New Jersey Avenue SE., W55–320, NVS–421, Washington, DC 20590. Mr. Pickrell’s telephone number is (202) 366–2903. Please identify the relevant collection of information by referring to its OMB Control Number.

SUPPLEMENTARY INFORMATION: Before a Federal agency can collect certain information from the public, it must receive approval from the Office of Management and Budget (OMB). In compliance with these requirements, this notice announces that the following information collection request has been forwarded to OMB. A Federal Register Notice soliciting comments on the following information collection was published on July 30, 2015 (Volume 80, Number 146; Pages 45585–86). The agency received no comments on the 60 day notice.

Title: The National Survey on the Use of Booster Seats.

OMB Control Number: 2127–0644.

Affected Public: Motorists in passenger vehicles at gas stations, fast food restaurants, and other types of sites frequented by children during the time in which the survey is conducted.

Form Number: NHTSA Form 1010.

Abstract: The National Survey of the Use of Booster Seats is being conducted to respond to the Section 14(i) of the Transportation Recall Enhancement, Accountability, and Documentation (TREAD) Act of 2000. The act directs the Department of Transportation to reduce the deaths and injuries among children in the 4 to 8 year old age group that are caused by failure to use a booster seat by 25%. Conducting the National Survey of the Use of Booster Seats provides the Department with invaluable information on who is and is not using booster seats, helping the Department better direct its outreach programs to ensure that children are protected to the greatest degree possible when they ride in motor vehicles. The OMB approval for this survey is scheduled to expire on 1/31/16. NHTSA seeks an extension to this approval in order to obtain this important survey data, save more children and help to comply with the TREAD Act requirement.

Estimated Annual Burden: 320 hours.

Estimated Number of Respondents: Approximately 4,800 adult motorists in passenger vehicles at gas stations, fast food restaurants, and other types of sites frequented by children during the time in which the survey is conducted.

Comments are invited on: whether the proposed collection of information is necessary for the proper performance of the functions of the Department, including whether the information will have practical utility; the accuracy of the Department’s estimate of the burden of the proposed information collection; ways to enhance the quality, utility and clarity of the information to be collected; and ways to minimize the burden of the collection of information on respondents, including the use of automated collection techniques or other forms of information technology.

Terry Shelton,
Associate Administrator, National Center for Statistics and Analysis.

BILLING CODE 4910–59–P

DEPARTMENT OF THE TREASURY

Office of the Comptroller of the Currency

Agency Information Collection Activities: Information Collection Renewal; Submission for Review; FFIEC Cybersecurity Assessment Tool

AGENCY: Office of the Comptroller of the Currency (OCC), Treasury.

ACTION: Notice and request for comment.

SUMMARY: The OCC, the Board of Governors of the Federal Reserve System (Board), the Federal Deposit Insurance Corporation (FDIC), and the National Credit Union Administration (NCUA) (collectively, the Agencies), as part of their continuing effort to reduce paperwork and respondent burden, invite the general public and other Federal agencies to comment on a continuing information collection, as required by the Paperwork Reduction Act of 1995 (PRA).

In accordance with the requirements of the PRA, the Agencies may not conduct or sponsor, and the respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number.

The OCC is soliciting comment on behalf of the Agencies concerning renewal of the information collection titled “FFIEC Cybersecurity Assessment Tool” (“Assessment”). The OCC also is giving notice that it has sent the collection to OMB for review.

DATES: Comments must be received by January 15, 2016.

ADDRESSES: Because paper mail in the Washington, DC area and at the OCC is subject to delay, commenters are encouraged to submit comments by email, if possible. Comments may be sent to: Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, Attention: 1557–0328, 400 7th Street SW., Suite 3E–218, Mail Stop 9W–11, Washington, DC 20219. In addition, comments may be sent by fax to (571) 465–4326 or by electronic mail to prainfo@occ.treas.gov. You may personally inspect and photocopy comments at the OCC, 400 7th Street SW., Washington, DC 20219. For security reasons, the OCC requires that visitors make an appointment to inspect comments. You may do so by calling (202) 649–6700, for persons who are deaf or hard of hearing, TTY, (202) 649–5597. Upon arrival, visitors will be required to present valid government-issued photo identification and to submit to security screening in order to inspect and photocopy comments. All comments received, including attachments and other supporting materials, are part of the public record and subject to public disclosure. Do not enclose any information in your comment or supporting materials that you consider confidential or inappropriate for public disclosure. Additionally, please send a copy of your comments by mail to: OCC Desk Officer, 1557–0328, U.S. Office of Management and Budget, 725 17th Street NW., #10235, Washington, DC 20503, or by email to: oira_submission@omb.eop.gov.
On July 22, 2015, (80 FR 4355), the Office of the Comptroller of the Currency (OCC), on behalf of itself, the Board of Governors of the Federal Reserve System (Board), the Federal Deposit Insurance Corporation (FDIC), and the National Credit Union Administration (NCUA) (collectively, the Agencies) published a 60-day notice requesting comment on the collection of information titled “FFIEC Cybersecurity Assessment Tool (Assessment).” The Agencies received eighteen comments: Twelve comments from individuals, five from industry trade associations, and

For purposes of this information collection, the term “financial institution” includes banks, savings associations, credit unions, and bank holding companies.

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Assessment burden estimate  

<table>
<thead>
<tr>
<th>OCC National Banks and Federal Savings Associations.</th>
<th>FDIC State Non-Member Banks and State Savings Associations.</th>
<th>Board State Member Banks and Bank Holding Companies.</th>
<th>NCUA Federally-Insured Credit Unions.</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Estimated number of respondents less than $500 million @ 80 hours</td>
<td>Estimated number of respondents $500 million-$10 billion @ 120 hours</td>
<td>Estimated number of respondents $10 billion-$50 billion @ 160 hours</td>
<td>Estimated number of respondents over $50 billion @ 180 hours</td>
<td>Estimated total respondents and total annual burden hours</td>
</tr>
<tr>
<td>1,102 × 80 = 88,160 hours.</td>
<td>149 × 120 = 17,880 hours.</td>
<td>132 × 160 = 21,120 hours.</td>
<td>87 × 180 = 15,660 hours.</td>
<td>1,470 respondents 142,820 hours.</td>
</tr>
<tr>
<td>3,224 × 80 = 257,920 hours.</td>
<td>728 × 120 = 87,360 hours.</td>
<td>22 × 160 = 3,520 hours.</td>
<td>5 × 180 = 900 hours.</td>
<td>3,979 respondents 349,700 hours.</td>
</tr>
<tr>
<td>4,083 × 80 = 326,640 hours.</td>
<td>1,083 × 120 = 129,960 hours.</td>
<td>74 × 160 = 11,840 hours.</td>
<td>42 × 180 = 7,560 hours.</td>
<td>5,282 respondents 476,000 hours.</td>
</tr>
<tr>
<td>5,622 × 80 = 449,760 hours.</td>
<td>463 × 120 = 55,560 hours.</td>
<td>4 × 160 = 640 hours.</td>
<td>1 × 180 = 180 hours.</td>
<td>6,090 respondents 506,140 hours.</td>
</tr>
<tr>
<td>14,031 × 80 = 1,122,480 hours.</td>
<td>2,423 × 120 = 290,760 hours.</td>
<td>232 × 160 = 37,120 hours.</td>
<td>135 × 180 = 24,300 hours.</td>
<td>16,821 respondents 1,474,660 hours.</td>
</tr>
</tbody>
</table>

Estimated Burdens: 3

1,122,480 hours. + 290,760 hours. + 37,120 hours. + 24,300 hours. = 1,474,660 hours.
document to address questions and requests for clarification that they have received since the publication of the Assessment, including from commenters. Additionally, the Agencies are developing a process to update the Assessment on a periodic basis. The update process will consider comments from interested parties.

2. Usability and Format of the Assessment

Four commenters suggested changes to the format of the Assessment to increase usability. The commenters requested that the Agencies develop an automated or editable form of the Assessment. Commenters stated that the ability to save and edit responses contained in the Assessment would improve a financial institution’s ability to use the Assessment on an ongoing basis.

One commenter also recommended that the Agencies revise the Assessment to include hyperlinks to the Assessment Glossary and User Guide instructions. Another commenter suggested that the Agencies revise the Assessment to assign a maturity level automatically to the financial institution once it completes the Inherent Risk Profile portion of the Assessment. In addition, this commenter suggests that once a financial institution answers “no” to a declarative statement in a particular domain of the Cybersecurity Maturity, the Assessment should automatically prevent the financial institution from responding to the remainder of the declarative statements within that domain. The commenter also stated the Assessment should automatically populate answers to similar questions across domains and maturity levels.

The Agencies acknowledge the potential value of an automated or editable form of the Assessment for financial institutions that choose to use the Assessment and are exploring the possibility of developing an automated form in the future, including the possibility of hyperlinking to definitions and instructions. Any automation of the form, however, would not include the automatic assignment of a maturity level as the Agencies do not have expectations for any financial institution to reach a specific maturity level within the Assessment, and a financial institution may find value in identifying activities it is already performing at a higher maturity level.

3. Utility of the Assessment

Two commenters stated that there are a number of cybersecurity assessment frameworks available to financial institutions to use in determining their inherent risk and cybersecurity preparedness. These commenters questioned the need for the development of an additional framework. One commenter focused on the potential duplication between the National Institute of Standards and Technology’s Cybersecurity Framework (NIST Framework) and the Assessment. This commenter stated that use of the Assessment by financial institutions, instead of the NIST Framework, could dilute the value of the NIST Framework as a tool for cross-sector collaboration.

The Agencies, under the auspices of the FFIEC, developed the Assessment to assist financial institutions in addressing the cyber risks unique to the financial industry. The Assessment supports financial institutions by giving them a systematic way to assess their cybersecurity preparedness and evaluate their progress. Unlike other frameworks, the Assessment is specifically tailored to the products and services offered by financial institutions and the control and risk mitigation techniques used by the industry. In addition, the Agencies have received many requests from financial institutions, particularly smaller financial institutions, to provide them with a meaningful way to assess cyber risks themselves based on financial sector-specific risks and mitigation techniques. The Agencies developed the Assessment, in part, to address those requests and received several positive comments about how the Assessment met this need. As discussed more fully below, a financial institution is not required to use the Assessment and may choose any method the financial institution determines is relevant and meaningful to assess its inherent risk and cybersecurity preparedness.

The Agencies agree that the NIST Framework is a valuable tool and the Agencies incorporated concepts from the NIST Framework into the Assessment. The Assessment contains an appendix that maps the NIST Framework to the Assessment. NIST reviewed and provided input on the mapping to ensure consistency with the NIST Framework’s principles and to highlight the complementary nature of the two resources. The Agencies also agree that the NIST Framework provides a mechanism for cross-sector coordination. However, because of the unique cyber risks facing the financial industry, the Agencies identified a need...
to develop a more granular framework that is more specific to the financial services industry to assist financial institutions in evaluating themselves.

Several commenters also raised questions regarding the Agencies’ use of a maturity model as a part of the Assessment. Four commenters were concerned with the “all or nothing” approach to achieving a maturity level, particularly insofar as a financial institution might not be credited for activities taken at a higher level that might mitigate risks at a lower level. Some commenters stated that a maturity model is too prescriptive and does not adequately account for compensating controls or risk tolerance and others questioned why the Assessment does not discuss the concept of residual risk.

The Agencies designed the Cybersecurity Maturity contained in the Assessment to assist financial institutions in understanding the ranges of controls and practices needed to manage cyber risk. As previously stated, use of the Tool is voluntary and a financial institution may use any method to assess inherent risk and cybersecurity preparedness that it considers relevant and meaningful.

The User Guide does provide general parameters to assist financial institutions that choose to use the Assessment in considering how to align inherent risk with the financial institution’s processes and control maturity.

4. Accuracy of Burden Estimate

The Agencies estimated that, annually, it would take a financial institution 80 burden hours, on average, to complete the Assessment. Five comment letters addressed the accuracy of the Agencies’ burden estimate. These letters generally stated that the Agencies’ burden estimate understated the burden involved. One commenter stated that credit unions that choose to use the Assessment could take 80–100 hours to complete it. However, other commenters stated that it may take a financial institution several hundred hours to complete the Assessment in the first year of use.

One commenter stated that the estimated burden will vary based on financial institution size, with smaller financial institutions requiring hundreds of hours to complete the Assessment, medium-sized financial institutions approaching 1,000–2,000 hours, and the large financial institutions investing 1,000–2,000 hours or more. This commenter stated that the burden estimate includes the amount of time needed to collect information and documentation sufficient to provide answers supportable in the examination context, report to internal steering committees and prepare for examinations. Another commenter stated that the Agencies’ evaluation of 80 hours “largely underestimates” the time required to complete the Assessment. This commenter stated that the initial completion of the Assessment would include collecting data, discussing and verifying responses, performing gap analysis, preparing and implementing action plans, where needed, and presenting results to executives.

In light of the comments received and recent supervisory experience performing information technology examinations, the Agencies are revising their burden estimates. In revisiting the burden estimates, the Agencies are taking a more conservative approach to estimating the potential burden involved in using the Assessment. The Agencies recognize that size and complexity of a financial institution, as noted by some of the commenters, impacts the amount of time and resources to complete the Assessment and therefore the Agencies have further refined their burden estimates based on financial institution asset size.

The Agencies note that the revised burden estimates assume that the Assessment is completed by knowledgeable individuals at the financial institution who have readily-available information to complete the Assessment. The Agencies’ revised burden estimates do not include the amount of time associated with reporting to management and internal committees, developing and implementing action plans, and preparing for examination as such time and resources are outside the scope of the PRA.

5. Information Storage and Confidentiality

Two commenters requested information on how the Agencies will use and store the Assessment information that financial institutions provide to the Agencies. The Agencies are subject to compliance with the Federal Information Security Management Act (FISMA) and they operate cybersecurity programs to protect critical information resources, including sensitive financial institution information obtained or created during their supervision activities. The programs include policies, standards, and controls, monitoring, technical controls, and the information assurance processes. If a financial institution provides the Assessment, or any other, confidential information to an examiner as part of the supervisory process, the storage and use of such information would be subject to the Agencies’ cybersecurity programs.

6. Benchmarking

One commenter suggested that the Agencies collect, anonymize, and share Assessment information to allow financial institutions to benchmark themselves against comparably sized financial institutions. Since use of the Assessment by financial institutions is voluntary, the Agencies do not intend to collect the Assessment from financial institutions or publish the results.

7. Voluntary Use of the Assessment

Several commenters expressed concern that since some of the Agencies will be using the Assessment as an aid in their examination processes, financial institutions may believe that their use of the Assessment is mandated by the Agencies. Another commenter requested that the Agencies ensure that examiners do not force financial institutions to use the Assessment or require financial institutions to justify their decisions to use an alternative cybersecurity assessment. Several commenters requested that the Agencies reiterate to examiners and to financial institutions that use of the Assessment by a financial institution is voluntary.

As the Agencies stated when the Assessment was first published, use of the Assessment by financial institutions is voluntary. Financial institutions may use the Assessment or any other framework or process to identify their inherent risk and cybersecurity preparedness. The Agencies’ examiners will not require a financial institution to complete the Assessment. However, if a financial institution has completed an Assessment, examiners may ask the financial institution for a copy, as they would for any risk self-assessment performed by the financial institution. The Agencies are educating examiners on the voluntary nature of the Assessment and including statements about its voluntary nature in examiner training materials.

Additional Comments Welcome: Comments continue to be invited on:
(a) Whether the collection of information is necessary for the proper performance of the functions of the Agencies, including whether the information has practical utility;
(b) The accuracy of the Agencies’ estimates of the burden of the collection of information;
(c) Ways to enhance the quality, utility, and clarity of the information to be collected:
DEPARTMENT OF THE TREASURY
Office of Foreign Assets Control

Additional Designations, Foreign Narcotics Kingpin Designation Act

AGENCY: Office of Foreign Assets Control, Treasury.

ACTION: Notice.

SUMMARY: The U.S. Department of the Treasury’s Office of Foreign Assets Control (OFAC) is publishing the names of three individuals and two entities whose property and interests in property have been blocked pursuant to the Foreign Narcotics Kingpin Designation Act (Kingpin Act) (21 U.S.C. 1901–1908, 8 U.S.C. 1182).

DATES: The designation by the Acting Director of OFAC of the three individuals and two entities identified in this notice pursuant to section 805(b) of the Kingpin Act is effective on December 10, 2015.

FOR FURTHER INFORMATION CONTACT: Assistant Director, Sanctions Compliance & Evaluation, Office of Foreign Assets Control, U.S. Department of the Treasury, Washington, DC 20220, Tel: (202) 622-2490.

SUPPLEMENTARY INFORMATION:

Electronic and Facsimile Availability

This document and additional information concerning OFAC are available on OFAC’s Web site at http://www.treasury.gov/ofac or via facsimile through a 24-hour fax-on-demand service at (202) 622-0077.

Background

The Kingpin Act became law on December 3, 1999. The Kingpin Act establishes a program targeting the activities of significant foreign narcotics traffickers and their organizations on a worldwide basis. It provides a statutory framework for the imposition of sanctions against significant foreign narcotics traffickers and their organizations on a worldwide basis, with the objective of denying their businesses and agents access to the U.S. financial system and the benefits of trade and transactions involving U.S. companies and individuals.

The Kingpin Act blocks all property and interests in property, subject to U.S. jurisdiction, owned or controlled by significant foreign narcotics traffickers as identified by the President. In addition, the Secretary of the Treasury, in consultation with the Attorney General, the Director of the Central Intelligence Agency, the Director of the Federal Bureau of Investigation, the Administrator of the Drug Enforcement Administration, the Secretary of Defense, the Secretary of State, and the Secretary of Homeland Security, may designate and block the property and interests in property, subject to U.S. jurisdiction, of persons who are found to be: (1) Materially assisting in, or providing financial or technological support for or to, or providing goods or services in support of, the international narcotics trafficking activities of a person designated pursuant to the Kingpin Act; (2) owned, controlled, or directed by, or acting for or on behalf of, a person designated pursuant to the Kingpin Act; or (3) playing a significant role in international narcotics trafficking.

On December 10, 2015, the Acting Director of OFAC designated the following three individuals and two entities whose property and interests in property are blocked pursuant to section 805(b) of the Kingpin Act.

Individuals

1. BURITICA HINCAPIE, Geova (a.k.a. “CAMILO CHATA”; a.k.a. “MI VIEJO”); DOB 18 Sep 1970; POB San Rafael, Antioquia, Colombia; Cedula No. 71215823 (Colombia) (individual) [SDNTK]. Designated for acting for or on behalf of Juan Carlos MESA VALLEJO, LA OFICINA DE ENVIGADO, and/or LOS CHATAS pursuant to section 805(b)(3) of the Kingpin Act, 21 U.S.C. 1904(b)(3).

2. MAYA RIOS, Edison (a.k.a. “COMELO”); DOB 01 Apr 1974; POB Medellin, Antioquia, Colombia; Cedula No. 98568816 (Colombia) (individual) [SDNTK]. Designated for acting for or on behalf of Juan Carlos MESA VALLEJO, LA OFICINA DE ENVIGADO, and/or LOS CHATAS pursuant to section 805(b)(3) of the Kingpin Act, 21 U.S.C. 1904(b)(3).

3. ZAPATA BERRIO, Jorge Oswaldo (a.k.a. “JONAS”); DOB 15 May 1979; POB Bello, Antioquia, Colombia; Cedula No. 71216000 (Colombia) (individual) [SDNTK]. Designated for acting for or on behalf of Juan Carlos MESA VALLEJO, LA OFICINA DE ENVIGADO, and/or LOS CHATAS pursuant to section 805(b)(3) of the Kingpin Act, 21 U.S.C. 1904(b)(3).

Entities

4. LOS CHATAS, Bello, Antioquia, Colombia [SDNTK]. Designated for being controlled, directed by, or acting for or on behalf of, Juan Carlos MESA VALLEJO and/or LA OFICINA DE ENVIGADO pursuant to section 805(b)(3) of the Kingpin Act, 21 U.S.C. 1904(b)(3).

5. MOTOS Y REPUESTOS JOTA, Calle 49 AA 99 EE 58, Medellin, Antioquia, Colombia; Matricula Mercantil No. 21–567083–02 (Medellin) [SDNTK]. Designated for being owned, controlled, or directed by Jorge Oswaldo ZAPATA BERRIO pursuant to section 805(b)(3) of the Kingpin Act, 21 U.S.C. 1904(b)(3).


John E. Smith,
Acting Director, Office of Foreign Assets Control.

DEPARTMENT OF VETERANS AFFAIRS

Proposed Information Collection (Patient Aligned Care Team (PACT): Helping Veterans Manage Chronic Pain, Engaging Caregivers Veterans With Dementia, Patient Centered Medical Home Operation Enduring Freedom/Operation Iraqi Freedom (OEF/OIF) Veterans With Post Traumatic Stress Disorder (PTSD): Bridging Primary and Behavioral Health Care (BP–BHC))

Activity: Comment Request.

AGENCY: Veterans Health Administration, Department of Veterans Affairs.

ACTION: Notice.

SUMMARY: The Veterans Health Administration (VHA), Department of Veterans Affairs (VA), is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act (PRA) of 1995, Federal agencies are required to publish notice in the Federal Register concerning each proposed collection of information, including each new