machinery are required by Federal law to apply for a number from the issuing authority of the State in which the vessel is to be principally operated. In addition, States may require other vessels, such as sailboats or even canoes and kayaks, to be numbered. “Owners” may include individuals or households, non-profit organizations, and small businesses (e.g., liveries that offer recreational vessels for rental by the public) or other for-profit organizations.

Frequency: There are no recordkeeping requirements for this information collection. The frequency for the reporting requirements is, one time.

Hour Burden Estimate: The estimated annual burden has decreased from 286,458 hours to 257,986 hours a year due to a change in methodology.


Dated: October 5, 2015.

Thomas P. Michelli,
U.S. Coast Guard, Deputy Chief Information Officer.

[FR Doc. 2015–26194 Filed 10–14–15; 8:45 am]
BILLING CODE 9110–04–P

DEPARTMENT OF HOMELAND SECURITY

U.S. Customs and Border Protection

Modification of the National Customs Automation Program (NCAP) Test Concerning the Automated Commercial Environment (ACE) Document Image System (DIS) Regarding Future Updates and New Method of Submission of Accepted Documents


ACTION: General notice.

SUMMARY: This document announces U.S. Customs and Border Protection’s (CBP’s) plan to modify the National Customs Automation Program (NCAP) test concerning document imaging, known as the Document Image System (DIS) test. The DIS test allows Automated Commercial Environment (ACE) participants to submit electronic images of a specific set of CBP and Partner Government Agency (PGA) forms, documents, and supporting information to CBP via a CBP-approved Electronic Data Interchange (EDI).

This notice announces several changes to the DIS test. First, eligibility to participate in the test is being expanded to include anyone transmitting cargo release or entry summary information to ACE. Second, CBP has added forms to the list of forms and documents supported by the DIS test. Third, the list of eligible forms and documents will now be maintained on the CBP Web site. Fourth, all future additions and changes to the list of eligible forms and documents will be announced on the CBP Web site, rather than by Federal Register notice. Finally, the DIS test is being amended to permit participants to submit all DIS eligible forms and documents as attachments to email, in addition to the methods of transmission previously authorized. This notice provides DIS test details including commencement date for the modifications announced herein, eligibility, procedural and documentation requirements, and test development and evaluation methods.

DATES: The modifications of the DIS test made by this notice are effective on October 15, 2015. The test will continue until concluded by way of announcement in the Federal Register.

ADDRESSES: Comments concerning this notice and any aspect of the test may be submitted at any time during the test via email to Monica Crockett at monica.v.crockett@cbp.dhs.gov. In the subject line of your email, please indicate “Comment on Document Image System (DIS).”

FOR FURTHER INFORMATION CONTACT: For policy-related questions, contact Monica Crockett at monica.v.crockett@cbp.dhs.gov. For technical questions related to Automated Broker Interface (ABI) transmissions, contact your assigned client representative. Interested parties without an assigned client representative should direct their questions to Steven Zaccaro at steven.j.zaccaro@cbp.dhs.gov. Any partner government agency (PGA) interested in participating in DIS should contact Elizabeth McQueen at elizabeth.mcqueen@cbp.dhs.gov.

SUPPLEMENTARY INFORMATION:

Background

The National Customs Automation Program (NCAP) was established in Title VI—Customs Modernization, in the North American Free Trade Agreement Implementation Act (Pub. L. 103–182, 107 Stat. 2057, 2170, December 8, 1993) (Customs Modernization Act) (19 U.S.C. 1411–14). Through NCAP, the initial thrust of customs modernization was on trade compliance and the development of the Automated Commercial Environment (ACE). The Automated Commercial System (ACS), ACE is an automated and electronic system for commercial trade processing which is intended to streamline business processes, facilitate growth in trade, ensure cargo security, and foster participation in global commerce, while ensuring compliance with U.S. laws and regulations and reducing costs for U.S. Customs and Border Protection (CBP) and all of its communities of interest.

The ability to meet these objectives depends on successfully modernizing CBP’s business functions and the information technology that supports those functions. CBP’s modernization efforts are accomplished through phased releases of ACE component functionality designed to introduce new functionality or to replace a specific legacy ACS function. Each release will begin with a test and will end with mandatory compliance with the new ACE feature and the retirement of the legacy ACS function. Each release builds on previous releases and sets the foundation for subsequent releases.

On April 6, 2012, CBP published a notice in the Federal Register announcing a NCAP test called the Document Image System (DIS) test. See 77 FR 20835. The DIS test notice allowed ACE participants to submit electronic images of a specific set of CBP and Partner Government Agency (PGA) forms and supporting information to CBP via a CBP-approved Electronic Data Interchange (EDI).

On July 23, 2013, CBP published a subsequent notice in the Federal Register announcing a second phase, Phase II, of the DIS test and modifications to both the DIS test and the ACE Cargo Release test (formerly known as the Simplified Entry test). That notice reduced the metadata elements required for each DIS transmission and allowed the submission of certain documents through DIS earlier in the importation process, i.e. at the time of manifest. In Phase II, CBP also expanded the pool of eligible participants to include software providers who merely transmit data electronically on behalf of ACE participating importers or brokers. Finally, in Phase II, CBP specified forms that were eligible to be transmitted via a CBP-approved EDI to support ACE Cargo Release filings (previously known as Simplified Entry filings). See 78 FR 44142.

On June 25, 2014, CBP published a notice in the Federal Register announcing a third phase, Phase III, of the DIS test and adding to the list of documents and forms supported by the DIS test. See 79 FR 36083. In addition to the new documents and forms, that notice listed all CBP and PGA forms and documents which the DIS test
supported as of that date. On January 30, 2015, CBP published a notice modifying Phase III of the DIS test to permit importers and brokers participating in the DIS test to file DIS test-supported APHIS documents in Portable Document Format (PDF) file format, via email to docs@cbp.dhs.gov. See 80 FR 5126. The list of APHIS documents which may be sent in PDF file format is set forth in the January 30, 2015 notice.

For the convenience of the public, a chronological listing of Federal Register publications detailing ACE test developments is set forth below in Section VI, entitled, “Development of ACE Prototypes.”

The procedures, terms, conditions and rules set forth in the previous DIS notices remain in effect unless otherwise explicitly changed by this or subsequent notices published in the Federal Register.

**Authorization for the Test**

The Customs Modernization Act authorizes the Commissioner of CBP to conduct limited test programs or procedures designed to evaluate planned components of the NCAP. This test is authorized pursuant to section 101.9(b) of the CBP Regulations (19 CFR 101.9(b)) which provides for the testing of NCAP programs or procedures. See Treasury Decision (T.D.) 95–21, 60 FR 14211 (March 16, 1995).

**Document Image System (DIS) Test Program**

This notice announces Phase IV of the DIS test. Under the DIS test, parties who file entry or entry summaries in ACE are allowed to submit specified CBP and PGA forms and documents via a CBP-approved EDI. DIS capabilities will continue to be delivered in multiple phases. As PGA Message Sets are programmed into ACE, CBP envisions that the documentation filed in DIS will be significantly reduced to only those documents that continue to be paper based (e.g., foreign certificates).

The first phase of the DIS test enabled participating importers and brokers to transmit images of specified CBP and PGA forms and documents with supporting information via a CBP-approved EDI in an Extensible Markup Language (XML) format, in lieu of conventional paper methods. See 77 FR 20835 (April 6, 2012). In Phase II, CBP reduced the number of metadata elements required for each document and specified forms that were eligible to be submitted earlier, i.e., at the time of manifest, or transmitted via a CBP-approved EDI to support ACE Cargo Release filings (previously known as Simplified Entry filings). See 78 FR 44142 (July 23, 2013). Additionally, the pool of eligible participants was expanded to include software providers that merely transmitted electronic data received from filers for transmission to CBP. In Phase III, CBP added forms and documents to the list of documentation supported by the DIS test and provided alternative methods of transmission. See 79 FR 36083 (June 25, 2014). Phase III was further modified to allow transmission of limited documents via email. See 80 FR 5126 (January 30, 2015).

This notice announces Phase IV of the DIS test. In Phase IV, the eligibility requirements are modified to permit any filer transmitting cargo release or entry summary data, information, forms, or documents to use DIS. Phase IV also expands the list of documents eligible for submission under the DIS test. Because CBP frequently updates the list of forms and documents eligible to be transmitted using DIS, the complete list will be maintained on the CBP Web site, at the following address: http://www.cbp.gov/trade/ace/features under the Document Image System tab. CBP will no longer publish announcements in the Federal Register to notify ACE participants when new CBP or PGA forms may be submitted pursuant to the DIS test, or when DIS test-supported forms may be submitted via email. All future additions and changes to the list of forms and documents eligible to be transmitted under the DIS test will be announced on the CBP Web site. Finally, this notice announces that DIS eligible forms and documents may be submitted as attachments to an email as an alternative submission via DIS.

**Test Participation**

### I. Eligibility Requirements

As announced in this notice, Phase IV of the DIS test alters the eligibility requirements for participation in the DIS test. Now, any filer transmitting cargo release or entry summary data, information, forms or documents to ACE pursuant to the Cargo Release (80 FR 16414), or Entry Summary, Accounts and Revenue (76 FR 37136) tests is eligible to use DIS. Such filers must use a software program that has completed ACE certification testing. Additionally, CBP is expanding the list of CBP- and PGA-approved forms and documents that may be submitted as part of the DIS test. All other eligibility criteria as specified in prior DIS test notices remain the same, to the extent they are not inconsistent with this notice.

### II. Rules for Submitting Images in Document Image System (DIS)

The following rules apply to all participants involved in the DIS testing process:

- In Phase II of the DIS test, CBP indicated two categories of documents which could be transmitted through DIS: (1) Documents that require a request from CBP or a PGA prior to transmission; and (2) documents that may be transmitted without a prior request. Beginning with Phase III, the rules for submitting images through DIS were updated as follows: (1) If the document transmitted is required to obtain the release of merchandise, including a release certified from ACE entry summary, the document may be transmitted without a prior request from CBP or the PGA; and (2) if the document is transmitted in support of entry summary pursuant to a request from CBP or the PGA, the document may be transmitted. Only eligible documents and forms required for the release of merchandise or requested by CBP should be transmitted using DIS. ACE will acknowledge every successful DIS transmission. Any form or document submitted via DIS is an electronic copy of an original document or form and both the original and the imaged copy are subject to the recordkeeping requirements of 19 CFR part 163 and any applicable PGA recordkeeping requirements.
- Test participants may only transmit forms and documents that CBP has permitted to be transmitted under this test. See documents supported in Section III below. If CBP cannot accept the form, document or information electronically, the filer must file using paper.
- Every form or document transmitted through DIS must be legible and must be a complete, accurate, and unaltered copy of the original document.

### III. Documents Supported in the Fourth Phase of the Test

The forms and documents listed in the first, second and third phases of the DIS test may continue to be transmitted using DIS. Upon the effective date of this notice, CBP is permitting additional forms and documents to be transmitted using DIS. For a complete list of forms and documents that may be submitted using DIS, please go to the Document Image System tab at: http://www.cbp.gov/trade/ace/features. To ensure the availability of the most up-to-date information regarding DIS-eligible forms, CBP will maintain the list of forms and documents on the Web
page. The list is frequently updated as
PGA functionality in ACE increases, and
as more PGAs become operational in
ACE. ACE participants should check the
Web site on a regular basis to determine
whether a particular form or document
may be transmitted using DIS. As
changes are made to the list of eligible
forms, they will be announced on the CBB Web site and may also be
announced via the Cargo Systems
Messaging Service (CSMS). Therefore,
CBP also recommends that trade
members subscribe to CSMS to receive
email notifications from CBP regarding
important information posted to
CBP.gov. For information about
subscribing to CSMS, please go to:
http://apps.cbp.gov/csms/
csms.asp?display=page-1. The DIS
test is limited to the forms listed on the Web
site. Please note that not all forms
referenced in the DIS Implementation
Guidelines are currently eligible for the
DIS test. The DIS Implementation
Guidelines are available on CBP.gov at:
http://www.cbp.gov/document/forms/
dis-implementation-guide.

IV. Recordkeeping

Any form or document submitted via
DIS is an electronic copy of an original
document or form and both the original
and the image copy are subject to the
recordkeeping requirements of 19 CFR
part 163 and any applicable PGA
recordkeeping requirements. Original
documents transmitted via this test
must be retained under the general CBP
recordkeeping requirements in 19 CFR
part 163 as well as any PGA’s recordkeeping
requirements, and made available upon
request by CBP or a PGA.

V. Technical Specifications

In Phase II, the DIS test reduced the
number of metadata elements required
for each document to only those
necessary to identify the transmitter, the
document preparer, the CBP request (if
applicable), the document and
description, and the associated
transaction. Documents submitted in an
XML format must be sent via secure File
Transfer Protocol (FTP), Secure Web
Services, or existing EDI Message Queue
(MQ) interfaces. All responses back to
test participants who submit using this
format will also be sent in the form of an
XML message. For additional
information pertaining to technical
specifications, please see the DIS
Implementation Guidelines which can be
accessed on CBP.gov at the following
link: http://www.cbp.gov/document/
forms/dis-implementation-guide.

This announces that, in addition to the manner of transmission
authorized in previous DIS test notices,
test participants may send DIS
authorized forms and documents as an
attachment to an email. Test
participants may, at their option,
transmit any authorized forms and
documents in XML format, as specified in
prior DIS test notices, or as an
attachment to an email, pursuant to this
notice. Emails should be submitted as
follows:

- Submit to docs@cbp.dhs.gov.
- The subject line should begin with
CAT=GEN and be followed by either:
The bill of lading number, the SCAC
code, and the action requested (add,
delete or replace), separated by semi-
colons; or the entry number, the filer
code, and the action requested (add,
delete or replace), separated by semi-
colons.
- The body of the email should
contain the following information,
separated by semi-colons: A point of
contact and submitter email address,
and the agency or agencies that should
receive or review the information
submitted.
- The name of the attachment
should begin with an alphanumeric Document
Code (Documents Codes may be found in
the DIS Implementation Guidelines)
and may be followed by whatever name
the submitter wishes to use.
CBP prefers that attachments to
e-mails use the Portable Document
Format (PDF) file format; however, the
following file formats are also allowed:
Joint Photographic Experts Group
(JPEG), Graphics Interchange Format
(GIF), MS Word Documents and MS
Excel Spreadsheets. The Tagged Image
Format (TIFF) file format is not allowed.
Emails and their attachments cannot
 exceed 10 megabytes (MBs). If the 10
MB limit is insufficient, the email/
attachment submission must be broken
down into smaller submissions/files.

VI. Development of ACE Prototypes

A chronological listing of Federal
Register publications detailing ACE test
developments is set forth below.

- ACE Portal Accounts and
  Subsequent Revision Notices: 67 FR
  21800 (May 1, 2002); 69 FR 5360 and 69
  FR 5362 (February 4, 2004); 69 FR
  54302 (September 8, 2004); 70 FR 5199
  (February 1, 2005).
- ACE System of Records Notice: 71
  FR 3109 (January 19, 2006).
- Terms/Conditions for Access to the
  ACE Portal and Subsequent Revisions:
  72 FR 27832 (May 16, 2007); 73 FR
  38464 (July 7, 2008).
- ACE Non-Portal Accounts and
  Related Notice: 70 FR 61466 (October
  24, 2005); 71 FR 15756 (March 29,
  2006).
- ACE Entry Summary, Accounts and
  Revenue (ESAR I) Capabilities: 72 FR
  59105 (October 18, 2007).
- ACE Entry Summary, Accounts and
  Revenue (ESAR II) Capabilities: 73 FR
  50337 (August 26, 2008); 74 FR 9826
  (March 6, 2009).
- ACE Entry Summary, Accounts and
  Revenue (ESAR III) Capabilities: 74 FR
  69129 (December 30, 2009).
- ACE Entry Summary, Accounts and
  Revenue (ESAR IV) Capabilities: 76 FR
  37136 (June 24, 2011).
- Post-Entry Amendment (PEA)
  Processing Test: 76 FR 37136 (June 24,
  2011).
- ACE Announcement of a New Start
  Date for the National Customs
  Automation Program Test of Automated
  Manifest Capabilities for Ocean and Rail
  Carriers: 76 FR 42721 (July 19, 2011).
- ACE Simplified Entry: 76 FR 69755
  (November 9, 2011).
- National Customs Automation
  Program (NCAP) Tests Concerning
  Automated Commercial Environment
  (ACE) Document Image System (DIS): 77
  FR 20835 (April 6, 2012).
- National Customs Automation
  Program (NCAP) Tests Concerning
  Automated Commercial Environment
  (ACE) Simplified Entry: Modification of
  Participant Selection Criteria and
  Application Process: 77 FR 48527
  (August 14, 2012).
- Modification of NCAP Test
  Regarding Reconciliation for Filing
  Certain Post-Importation Preferential
  Tariff Treatment Claims under Certain
  FTAs: 78 FR 27984 (May 13, 2013).
- Modification of Two National
  Customs Automation Program (NCAP)
  Tests Concerning Automated
  Commercial Environment (ACE)
  Document Image System (DIS) and
  Simplified Entry (SE): 78 FR 44142 (July
  23, 2013).
- Modification of Two National
  Customs Automation Program (NCAP)
  Tests Concerning Automated
  Commercial Environment (ACE)
  Document Image System (DIS) and
  Simplified Entry (SE): 79 FR 44142 (July
  23, 2013).
- Modification of Two National
  Customs Automation Program (NCAP)
  Tests Concerning Automated
  Commercial Environment (ACE)
  Document Image System (DIS) and
  Simplified Entry (SE): 79 FR 66039 (November
  4, 2013).
- Post-Summary Corrections to Entry
  Summaries Filed in ACE Pursuant to the
  ESAR IV Test: Modifications and
  Clarifications: 78 FR 69434 (November
  19, 2013).
- National Customs Automation
  Program (NCAP) Test Concerning the
  Submission of Certain Data Required by
  the Environmental Protection Agency
  and the Food Safety and Inspection

• Modification of National Customs Automation Program (NCAP) Test Concerning Automated Commercial Environment (ACE) Cargo Release to Allow Importers and Brokers to Certify From ACE Entry Summary: 79 FR 24744 (May 1, 2014).
• Announcement of eBond Test: 79 FR 70881 (November 28, 2014).
• eBond Test Modifications and Clarifications: Continuous Bond Executed Prior to or Outside the eBond Test May Be Converted to an eBond by Filing Identification Number, and Email Address Correction: 80 FR 899 (January 7, 2015).
• Modification of National Customs Automation Program (NCAP) Test Concerning the use of Partner Government Agency Message Set through the Automated Commercial Environment (ACE) for the Submission of Certain Data Required by the Environmental Protection Agency (EPA): 80 FR 6098 (February 4, 2015).
• Announcement of Modification of ACE Cargo Release Test to Permit the Combined Filing of Cargo Release and Importer Security Filing (ISF) Data: 80 FR 7487 (February 10, 2015).
• Modification of NCAP Test Concerning ACE Cargo Release for Type 03 Entries and Advanced Capabilities for Truck Carriers: 80 FR 16414 (March 27, 2015).
• Automated Commercial Environment (ACE) Export Manifest for Air Cargo Test: 80 FR 39790 (July 10, 2015).
• Modification of National Customs Automation Program (NCAP) Test Concerning the Submission of Certain Data Required by the Food and Drug Administration (FDA) Using the Partner Government Agency Message Set through the Automated Commercial Environment (ACE): 80 FR 52051 (August 27, 2015).
Dated: October 8, 2015.
Brenda B. Smith,
Assistant Commissioner Office of International Trade.
[FR Doc. 2015–26213 Filed 10–14–15; 8:45 am]
BILLING CODE 9111–14–P

DEPARTMENT OF HOMELAND SECURITY

U.S. Customs and Border Protection [1651–0004]

Agency Information Collection Activities: Application for Exportation of Articles Under Special Bond


ACTION: 60-Day Notice and request for comments; extension of an existing collection of information.

SUMMARY: U.S. Customs and Border Protection (CBP) of the Department of Homeland Security will be submitting the following information collection request to the Office of Management and Budget (OMB) for review and approval in accordance with the Paperwork Reduction Act: Application for Exportation of Articles under Special Bond (CBP Form 3495). CBP is proposing that this information collection be extended with no change to the burden hours or Information collected. This document is published to obtain comments from the public and affected agencies.

DATES: Written comments should be received on or before December 14, 2015 to be assured of consideration.

ADDRESSES: Written comments may be mailed to U.S. Customs and Border Protection, Attn: Tracey Denning, Regulations and Rulings, Office of International Trade, 90 K Street NE., 10th Floor, Washington, DC 20229–1177.

FOR FURTHER INFORMATION CONTACT: Requests for additional information should be directed to Tracey Denning, U.S. Customs and Border Protection, Regulations and Rulings, Office of International Trade, 90 K Street NE., 10th Floor, Washington, DC 20229–1177, at 202–325–0265.

SUPPLEMENTARY INFORMATION: CBP invites the general public and other Federal agencies to comment on proposed and/or continuing information collections pursuant to the Paperwork Reduction Act of 1995 (Public Law 104–13). The comments should address: (a) Whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency’s estimates of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; (d) ways to minimize the burden including the use of automated collection techniques or the use of other forms of information technology; and (e) the annual cost burden to respondents or record keepers from the collection of information (total capital/startup costs and operations and maintenance costs). The comments that are submitted will be summarized and included in the CBP request for OMB approval. All comments will become a matter of public record. In this document, CBP is soliciting comments concerning the following information collection:

Title: Application for Exportation of Articles under Special Bond.

OMB Number: 1651–0004.

Form Number: CBP Form 3495.

Abstract: CBP Form 3495, Application for Exportation of Articles Under Special Bond, is an application for exportation of articles entered under temporary bond pursuant to 19 U.S.C. 1202. Chapter 98, subchapter XIII, Harmonized Tariff Schedule of the United States, and 19 CFR 10.38. CBP Form 3495 is used by importers to