SECURITIES AND EXCHANGE COMMISSION

17 CFR Part 232

[Release Nos. 33–9849; 34–75242; 39–2504; IC–31680]

Adoption of Updated EDGAR Filer Manual

AGENCY: Securities and Exchange Commission.

ACTION: Final rule.

SUMMARY: The Securities and Exchange Commission (the Commission) is adopting revisions to the Electronic Data Gathering, Analysis, and Retrieval System (EDGAR) Filer Manual and related rules to reflect updates to the EDGAR system. The updates are being made primarily to add new submission form types N–CR and N–CR/A for Current Report of Money Market Fund Material Events; update submission form types ABS–15G and ABS–15GA for Asset-backed securities reporting based on Rule 15Ga-2; no longer display OMB expiration date on submission form types 13F and 13H; no longer provide support for the 2013 US GAAP financial reporting and 2013 EXCH taxonomies; documentation only corrections to Chapter 3, “Index to Forms,” of the “EDGAR Filer Manual, Volume II: EDGAR Filing,” which include adding submission types 8–K12B and 8–K12B/A to Table 3–3, “Securities Exchange Act—Registration and Reporting Submission Types Accepted by EDGAR”; and documentation only changes to “EDGAR Filer Manual, Volume II: EDGAR Filing” for Section 508 compliance. The EDGAR system is scheduled to be upgraded to support this functionality on June 15, 2015.

DATES: Effective June 29, 2015. The incorporation by reference of the EDGAR Filer Manual is approved by the Director of the Federal Register as of June 29, 2015.

FOR FURTHER INFORMATION CONTACT: In the Division of Corporate Finance, for questions concerning Form ABS–15G, contact Heather Mackintosh at (202) 551–8111, in the Division of Investment Management, for questions concerning Form N–CR, contact Heather Fernandez at (202) 551–6708, and in the Office of Information Technology, contact Tammy Borkowski at (202) 551–7208.

SUPPLEMENTARY INFORMATION: We are adopting an updated EDGAR Filer Manual, Volume II. The Filer Manual describes the technical formatting requirements for the preparation and submission of electronic filings through the EDGAR system. It also describes the requirements for filing using EDGARLink Online and the Online Forms/XML Web site.


The Filer Manual contains all the technical specifications for filers to submit filings using the EDGAR system. Filers must comply with the applicable provisions of the Filer Manual in order to assure the timely acceptance and processing of filings made in electronic format. Filers may consult the Filer Manual in conjunction with our rules governing mandated electronic filing when preparing documents for electronic submission.

The EDGAR system will be upgraded to Release 15.2 on June 15, 2015 and will introduce the following changes: EDGAR will be updated to add new submission form types N–CR and N–CR/A to EDGARLink Online. These new submission form types can be accessed by selecting the ‘EDGARLink Online Form Submission’ link on the EDGAR Filing Web site. Additionally, filers can construct XML submissions for these submission form types by following the “EDGARLink Online XML Technical Specification” document available on the SEC’s Public Web site (http://www.sec.gov/info/edgar.shtml). Submission form types ABS–15G and ABS–15GA will be updated based on final Rule 15Ga–2.


Documentation only corrections were made to Chapter 3, “Index to Forms,” of the “EDGAR Filer Manual, Volume II: EDGAR Filing,” which include adding submission types 8–K12B and 8–K12B/A to Table 3–3, “Securities Exchange Act—Registration and Report Submission Types Accepted by EDGAR.”

Documentation only changes were made to “EDGAR Filer Manual, Volume II: EDGAR Filing” for Section 508 compliance.

Along with the adoption of the Filer Manual, we are amending Rule 301 of Regulation S–T to provide for the incorporation by reference into the Code of Federal Regulations of today’s revisions. This incorporation by reference was approved by the Director of the Federal Register in accordance with 5 U.S.C. 552(a) and 1 CFR part 51.

The updated EDGAR Filer Manual will be available for Web site viewing and printing; the address for the Filer Manual is http://www.sec.gov/info/edgar.shtml. You may also obtain paper copies of the EDGAR Filer Manual from the following address: Public Reference Room, U.S. Securities and Exchange Commission, 100 F Street, NE., Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m.

Since the Filer Manual and the corresponding rule changes relate solely to agency procedures or practice, publication for notice and comment is not required under the Administrative Procedure Act (APA). It follows that the requirements of the Regulatory Flexibility Act do not apply.

The effective date for the updated Filer Manual and the rule amendments...
is June 29, 2015. In accordance with the APA, we find that there is good cause to establish an effective date less than 30 days after publication of these rules. The EDGAR system upgrade to Release 15.2 is scheduled to become available on June 15, 2015. The Commission believes that establishing an effective date less than 30 days after publication of these rules is necessary to coordinate the effectiveness of the updated Filer Manual with the system upgrade.

Statutory Basis

We are adopting the amendments to Regulation S–T under Sections 6, 7, 8, 10, and 19(a) of the Securities Act of 1933, Sections 3, 12, 13, 14, 15, 23, and 35A of the Securities Exchange Act of 1934, Section 319 of the Trust Indenture Act of 1939, and Sections 8, 35A of the Securities Exchange Act of 1934, and Sections 3, 12, 13, 14, 15, 23, and 35A of the Securities Exchange Act of 1934. We are adopting the amendments to Regulation S–T under Sections 31, 38 of the Investment Company Act of 1940.

List of Subjects in 17 CFR Part 232

Incorporation by reference, Reporting and recordkeeping requirements, Securities.

Text of the Amendment

In accordance with the foregoing title 17, chapter II of the Code of Federal Regulations is amended as follows:

PART 232—REGULATION S–T—GENERAL RULES AND REGULATIONS FOR ELECTRONIC FILINGS


§ 232.301 is revised to read as follows:

DEPARTMENT OF HOMELAND SECURITY

Coast Guard

33 CFR Part 100

[Doct No. USCG–2013–0327]

Regattas and Marine Parades in the COTP Lake Michigan Zone—Chinatown Chamber of Commerce Dragon Boat Race, Chicago, IL

AGENCY: Coast Guard, DHS.

ACTION: Notice of enforcement of regulation.

SUMMARY: The Coast Guard will enforce the special local regulation on the South Branch of the Chicago River for the Chinatown Chamber of Commerce Dragon Boat Race in Chicago, Illinois. This regulated area will be enforced from 8 a.m. until 5 p.m. on June 27, 2015.

This document is issued under authority of 33 CFR 100.909, Chinatown Chamber of Commerce Dragon Boat Race; Chicago, IL, and 5 U.S.C. 552(a).

In addition to this notification in the Federal Register, the Coast Guard will provide the maritime community with advance notification of the enforcement of this special local regulation via Broadcast Notice to Mariners or Local Notice to Mariners. The Captain of the Port Lake Michigan, or a designated on-scene representative may be contacted via Channel 16, VHF–FM.

Dated: June 11, 2015.

A.B. Cocanour,

Captain, U.S. Coast Guard, Captain of the Port, Lake Michigan.

[FR Doc. 2015–15930 Filed 6–26–15; 8:45 am]

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