Executive Order 12866 as Supplemented by Executive Order 13563

We consulted with the Office of Management and Budget (OMB) and determined that this final rule does not meet the criteria for a significant regulatory action under Executive Order 12866, as supplemented by Executive Order 13563.

Regulatory Flexibility Act

We certify that this final rule will not have a significant economic impact on a substantial number of small entities because it affects individuals only. Therefore, the Regulatory Flexibility Act, as amended, does not require us to prepare a regulatory flexibility analysis.

Paperwork Reduction Act

This final rule does not create any new or affect any existing collections and, therefore, does not require OMB approval under the Paperwork Reduction Act.


List of Subjects

20 CFR Part 404

Administrative practice and procedure, Blind, Disability benefits, Old-age, Survivors and Disability Insurance, Reporting and recordkeeping requirements, Social security.

20 CFR Part 416

Administrative practice and procedure, Reporting and recordkeeping requirements, Supplemental Security Income (SSI).

Carolyn W. Colvin,
Acting Commissioner of Social Security.

For the reasons stated in the preamble, we amend subpart J of part 404 and subpart N of part 416 of Chapter III of title 20 of the Code of Federal Regulations as set forth below:

PART 404—FEDERAL OLD-AGE, SURVIVORS AND DISABILITY INSURANCE (1950– )

Subpart J—[Amended]

1. The authority citation for subpart J of part 404 continues to read as follows:

Authority: Secs. 201(j), 204(f), 205(a)–(b), (d)–(h), and (j), 221, 223(i), 225, and 702(a)(5) of the Social Security Act [42 U.S.C. 401(j), 404(f), 405(a)–(b), (d)–(h), and (j), 421, 423(i), 425, and 902(a)(5)]; sec. 5, Pub. L. 97–455, 96 Stat. 2500 (42 U.S.C. 402 note); secs. 5, 6(c)–(e), and 15, Pub. L. 98–460, 98 Stat. 1802 (42 U.S.C. 421 note); sec. 202, Pub. L. 108–203, 118 Stat. 509 (42 U.S.C. 902 note).

2. In §404.942, revise paragraph (g) to read as follows:

§404.942 Prehearing proceedings and decisions by attorney advisors.

* * * * *

(g) Sunset provision. The provisions of this section will no longer be effective on August 4, 2017, unless we terminate them earlier or extend them beyond that date by notice of a final rule in the Federal Register.

PART 416—SUPPLEMENTAL SECURITY INCOME FOR THE AGED, BLIND, AND DISABLED

Subpart N—[Amended]

3. The authority citation for subpart N continues to read as follows:


4. In §416.1442, revise paragraph (g) to read as follows:

§416.1442 Prehearing proceedings and decisions by attorney advisors.

* * * * *

(g) Sunset provision. The provisions of this section will no longer be effective on August 4, 2017, unless we terminate them earlier or extend them beyond that date by notice of a final rule in the Federal Register.

Department of the Interior

National Indian Gaming Commission

25 CFR Parts 502, 513, 514, 516, 522, 531, 533, 535, 556, 559, 571, 573, 575, and 580


Various National Indian Gaming Commission Regulations

AGENCY: National Indian Gaming Commission, Interior.

ACTION: Correcting amendments.

SUMMARY: The National Indian Gaming Commission (NIGC or Commission) makes corrections to various regulations previously issued. The Commission recently moved its headquarters resulting in the need to update the Commission’s mailing address. Additionally, the current regulations contain outdated references to previous regulations that no longer exist. The amendments also correct various minor grammatical errors.

DATES: Effective June 22, 2015.

FOR FURTHER INFORMATION CONTACT: NIGC Attn: Maria Getoff, Senior Attorney, C/O Department of the Interior, 1849 C Street NW., Mail Stop #1621, Washington, DC 20240, by telephone at 202–632–7003.

SUPPLEMENTARY INFORMATION:

I. Background

The Indian Gaming Regulatory Act (IGRA or the Act), Public Law 100–497, 25 U.S.C. 2701 et seq., was signed into law on October 17, 1988. The Act established the Commission and set out a comprehensive framework for the regulation of gaming on Indian lands. The purposes of the Act include: Providing a statutory basis for the operation of gaming by Indian tribes as a means of promoting tribal economic development, self-sufficiency, and strong tribal governments; ensuring that the Indian tribe is the primary beneficiary of the gaming operation; and declaring that the establishment of independent federal regulatory authority for gaming on Indian lands, the establishment of federal standards for gaming on Indian lands, and the establishment of a National Indian Gaming Commission are necessary to meet congressional concerns regarding gaming and to protect such gaming as a means of generating tribal revenue. 25 U.S.C. 2702.

II. Previous Rulemaking Activity

On August 9, 2012, the Commission published a final rule amending 25 CFR part 514 (Compliance and Enforcement) to include a graduated pre-enforcement process through which a tribe may come into voluntary compliance. 77 FR 47517, Aug. 9, 2012. This document updates references in 25 CFR part 514 that are no longer accurate due to those amendments.

On September 25, 2012, the Commission published a final rule consolidating all appeal proceedings before the Commission into a (then) new subchapter H (Appeal Proceedings Before the Commission), thereby removing former parts 524, 539, and 577. 77 FR 58941, Sept. 25, 2012. This document updates 25 CFR parts 513, 514, 522, 533, 535, 571, 573, and 575 to remove references to those parts no longer in existence.

On January 25, 2013, the Commission published a final rule amending 25 CFR...
parts 556 and 558 (Background investigations and Gaming Licenses for Key Employees and Primary Management Officials) to streamline certain submissions to the Commission and to ensure that certain tribal notifications comply with the Act. 78 FR 5276, Jan 25, 2013. This document updates 25 CFR part 573 to reflect the amendments to those parts.

In June of 2014, the Commission relocated its headquarters. This document updates the mailing address contained within 25 CFR parts 514 and 516. The Commission also identified minor grammatical errors in certain other regulations and now corrects those errors.

III. Corrections

25 CFR Part 502—Definitions of This Chapter

This document revises the definition of “Chairman” in 25 CFR 502.1 to include the word “Chair.” This reflects the gender-neutral term that occurs elsewhere in the Commission’s regulations. No substantive change is intended with this correction.

25 CFR Part 513—Debt Collection

This document revises 25 CFR 513.4(b) and 513.32(a) to remove references to the appeal procedures formerly found at 25 CFR part 577 (which no longer exists). It now references the appeal procedure at 25 CFR parts 580–585. This document also corrects a grammatical error in § 513.32(a): In the third sentence, the first “matters” is corrected to the singular “matter,” while the second “matters” remains plural.

25 CFR Part 514—Fees

This document revises 25 CFR 514.8 and 514.17(c) to reflect the Commission’s new mailing address. This document also amends 25 CFR 514.10 to revise paragraphs (a) and (b) to correct a reference from “25 CFR 573.6(a)(2)” to “25 CFR 573.4(a)(2).”

25 CFR Part 516—Testimony of Current and Former Commissioners and NIGC Employees; Response to Subpoenas

The document revises 25 CFR 516.4(b) to reflect the Commission’s new mailing address.

25 CFR Part 522—Submission of Gaming Ordinance or Resolution

This document revises 25 CFR 522.5 and 522.7(a), (b) to remove references to 25 CFR part 524 (which no longer exists) and replaces them with references to 25 CFR part 582. This document also revises 25 CFR 522.10(c) and (f) to make minor grammatical changes. In paragraph (c), “Tribe” is changed to “tribe”; in paragraph (f), a comma is placed after “limits.”

25 CFR Part 531—Content of Management Contracts

This document amends 25 CFR 531.1 to revise paragraphs (b)(4), (b)(6), and (b)(16) by making minor grammatical changes and by clarifying certain requirements. In paragraph (b)(4), a comma is placed after “training”; in paragraph (b)(6), the word “gaming” is added before “operation’s”; and in paragraph (b)(16), the phrase “National Indian Gaming Commission” is replaced with the word “Commission.” No substantive change is intended by these corrections.

25 CFR Part 533—Approval of Management Contracts

This document revises 25 CFR 533.2 to remove a reference to 25 CFR part 583 (which no longer exists) and replaces it with a reference to 25 CFR part 583. The document also amends 25 CFR 533.3, revising paragraphs (a)(1) and (a)(2) to make minor grammatical changes. In paragraph (a)(1), the word “and” is added to the end of the sentence; and in paragraph (a)(2), the word “and” is removed and replaced with a period. The document also revises 25 CFR 533.6(b)(3) to make a minor grammatical change, replacing “this” with “the.”

25 CFR Part 545—Post-Approval Procedures

This document revises 25 CFR 545.3(b) to make a minor grammatical change. In paragraph (b), a semicolon at the end of the paragraph is replaced with a period. This document also revises 25 CFR 545.5(b)(1) by removing references to “part 577” which no longer exists and replacing it with references to “part 583.” This document also revises 25 CFR 545.3 to remove a reference to “part 577” and to replace it with a reference to “parts 584 or 585.” A minor grammatical change is also made to the second sentence of § 545.3, replacing the word “void” with “voiding.” No substantive change is intended by this correction.

25 CFR Part 556—Facility License Notification and Submissions

This document revises 25 CFR 556.1(a) to change “obtain” to “obtains.” This document also revises 25 CFR 556.4 by adding a comma after the word “standards.” Finally, to mirror the language in the regulation, this document revises 25 CFR 559.4 by changing the section title from “Does a tribe need to” to “Must a tribe.”

25 CFR Part 571—Monitoring and Investigations

This document revises the second sentence of 25 CFR 571.3 by replacing the language “under part 577 of this chapter is addressed in § 577.8” with “is addressed in § 584.9.” This change is required because part 577 no longer exists and was replaced by the language found in 25 CFR part 584. The document revises 25 CFR 571.4 by replacing “NIGC” with “the Commission.” This change is intended to create uniformity in the agency’s regulations. Additionally, 25 CFR 571.4 is revised to add “or resolution” after the word “ordinance.” Finally, this document revises 25 CFR 571.11 by removing a reference to a nonexistent “part 577” and replacing it with “part 584.”

25 CFR Part 573—Compliance and Enforcement

This document revises 25 CFR 573.1 by making a minor grammatical change. Specifically, “notice” is now changed to “notices.” Section 573.2(a) is revised by removing the word “respondent” from the regulation because the regulation does not involve official agency action, and therefore no “respondent” exists. No substantive change occurs as a result of this revision. In addition, 25 CFR 573.4 is amended to revise paragraphs (a)(1)(i), (a)(5), (a)(10), and (c)(3). Paragraph (a)(1)(i) is revised by replacing “provides” with “is served with.” A minor grammatical change is made to paragraph (a)(5), removing the phrase “having been,” as this change helps make the regulation easier to read. A minor grammatical change is also made to paragraph (a)(10), replacing “Indian Gaming Regulatory Act” with the Act.” Paragraph (a)(10) is also revised to replace references to “§ 556.2” with “§ 556.5,” and “§ 558.5” with “§ 558.4.” Finally, paragraph (c)(3) is revised to replace a reference to “subchapter H,” with a reference to “parts 584 or 585” for clarity.
25 CFR Part 575—Civil Fines

This document amends 25 CFR 575.6 to revise paragraphs (a)(1) and (b) to replace references to “part 577” (which no longer exists) with references to “parts 584 or 585.” This document also revises 25 CFR 575.9 to replace references to “part 577” (which no longer exists) with references to “parts 584 or 585.” Section 575.9 is also revised by renumbering it to “577.7” due to a previous numbering error in the regulations.


This document revises 25 CFR 580.6 by making a minor grammatical change, replacing “in” with “from.” This document also revises 25 CFR 580.12 by replacing “NIGC” with “Commission” to create uniformity in the agency’s regulations.

IV. Certain Findings

Under the Administrative Procedure Act, a notice of proposed rulemaking is not required when an agency, for good cause, finds that notice and public comments are impractical, unnecessary, or contrary to the public interest. Because the revisions here are technical in nature and intended solely to update the Commission’s current mailing address, to correct outdated references, and to correct minor grammatical errors, the Commission is publishing a technical amendment.

V. Regulatory Matters

Regulatory Flexibility Act

This rule will not have a significant economic effect on a substantial number of small entities as defined by the Regulatory Flexibility Act, 5 U.S.C. 601, et seq. Indian tribes are not considered to be small entities for purposes of the Regulatory Flexibility Act.

Small Business Regulatory Enforcement Fairness Act

This rule is not a major rule under 5 U.S.C. 804(2), the Small Business Regulatory Enforcement Fairness Act. This rule does not have an annual effect on the economy of $100 million or more. This rule will not cause a major increase in costs or prices for consumers, individual industries, federal, state, or local government agencies or geographic regions, and does not have a significant adverse effect on competition, employment, investment, productivity, innovation, or the ability of U.S.-based enterprises to compete with foreign-based enterprises.

Unfunded Mandates Reform Act

The Commission, as an independent regulatory agency, is exempt from compliance with the Unfunded Mandates Reform Act, 2 U.S.C. 1502(1); 2 U.S.C. 658(1).

Takings

In accordance with Executive Order 12630, the Commission determined this proposed rule does not have significant takings implications. A takings implication assessment is not required.

Civil Justice Reform

In accordance with Executive Order 12988, the Commission determined that the rule does not unduly burden the judicial system and meets the requirements of sections 3(a) and 3(b)(2) of the Executive Order.

National Environmental Policy Act

The Commission has determined that this rule does not constitute a major federal action significantly affecting the quality of the human environment and that a detailed statement is not required pursuant to the National Environmental Policy Act of 1969, 42 U.S.C. 4321, et seq.

Paperwork Reduction Act

The information collection requirements contained in these rules were previously approved by the Office of Management and Budget as required by the Paperwork Reduction Act, 44 U.S.C. 3501, et seq., and assigned the following OMB control numbers with the applicable expiration dates: (i) 3141–0001, expires on October 31, 2016; (ii) 3141–0003, expires on October 31, 2016; (iii) 3141–0004, expires on October 31, 2015; (iv) 3141–0007, expires on November 30, 2015; and (v) 3141–0012, expires on October 31, 2015.

List of Subjects

25 CFR Part 502

Gaming, Indians-lands.

25 CFR Part 513

Claims, Gambling, Government employees, Income taxes, Wages.

25 CFR Part 514

Gambling, Indians-lands, Indians-tribal government, Reporting and recordkeeping requirements.

25 CFR Part 516

Administrative practice and procedure, Gambling, Indians-lands, Reporting and recordkeeping requirements.
PART 514—FEES

§ 514.10 [Amended]
8. In § 514.10, remove the phrase “573.6(a)(2)” everywhere it appears and add in its place the phrase “573.4(a)(2)”.

§ 514.17 How are fingerprint processing fees collected by the Commission?

(c) Fingerprint fees shall be sent to the following address: NIGC Attn: Comptroller, C/O Department of the Interior, 1849 C Street NW., Mail Stop #1621, Washington, DC 20240.

PART 516—TESTIMONY OF COMMISSIONERS AND EMPLOYEES AND FORMER COMMISSIONERS AND FORMER EMPLOYEES RESPECTING OFFICIAL DUTIES; RESPONSE TO SUBPOENA

§ 516.4 How are records certified or authenticated?
(b) A request for certified copies of records or for authentication of copies of records shall be sent to the following address: NIGC Attn: Freedom of Information Act Officer, C/O Department of the Interior, 1849 C Street NW., Mail Stop #1621, Washington, DC 20240.

PART 522—SUBMISSION OF GAMING ORDINANCE OR RESOLUTION

§ 522.5 [Amended]
13. In § 522.5, remove the phrase “part 524” everywhere it appears and add in its place the phrase “part 582”.

PART 531—CONTENT OF MANAGEMENT CONTRACTS

§ 531.1 [Amended]
17. In § 531.1:
(a) In paragraph (b)(4), add a comma after the word “training”.
(b) In paragraph (b)(6), add the word “gaming” before the word “operation”.
(c) In paragraph (b)(16), remove the words “National Indian Gaming Commission (NIGC, or the Commission)” and add in their place the word “Commission”.

PART 533—APPROVAL OF MANAGEMENT CONTRACTS

§ 533.3 [Amended]
20. In § 533.3:
(a) In paragraph (a)(1), add the word “and” after “contractor”.

b. In paragraph (a)(2), remove “;” and add a period in its place.

§ 559.1 [Amended]
30. In § 559.1(a), remove the word “obtain” and add in its place the word “obtains.”
§ 559.4 [Amended]

31. In the last sentence of § 559.4, add a comma after the word “standards”.

§ 559.5 [Amended]

32. In the section heading to § 559.5, remove the phrase “Does a tribe need” and add in its place the phrase “Must a tribe”.

PART 571—MONITORING AND INVESTIGATIONS

33. The authority citation for part 571 continues to read as follows:

Authority: 25 U.S.C. 2706(b), 2710(b)(2)(C), 2715, 2716.

34. Revise the last sentence of § 571.3 to read as follows:

§ 571.3 Confidentiality.

* * * * *

The confidentiality of documents submitted in a multiparty proceeding is addressed in § 584.9 of this chapter.

§ 571.4 [Amended]

35. In § 571.4:

a. In the first sentence, remove the word “NIGC” and add in its place the word “Commission”.

b. Add the phrase “or resolution” after the word “ordinance”.

36. In § 571.11(a), remove the phrase “part 577” and add in its place the phrase “part 584”.

PART 573—COMPLIANCE AND ENFORCEMENT

37. The authority citation for part 573 continues to read as follows:


§ 573.1 [Amended]

38. In the last sentence in § 573.1, remove the word “notice” and add in its place the word “notices”.

39. Revise the first sentence of § 573.2(a) to read as follows:

§ 573.2 When may a letter of concern be issued?

(a) Prior to the Chair taking an enforcement action, a letter of concern may be provided by NIGC staff, detailing concerns regarding compliance with the Act, this chapter, or any tribal ordinance or resolution approved by the Chair under part 522 of this chapter.

* * * * *

§ 573.4 [Amended]

40. In § 573.4:

a. In paragraph (a)(1)(ii), remove the word “provides” and add in its place the phrase, “is served with”.

b. In paragraph (a)(5), remove the phrase “having been”.

c. In paragraph (a)(10), remove “558.2” and add in its place “556.5” and remove “558.5” and add in its place “558.4”.

d. In paragraph (c)(3), remove “subchapter H” and add in its place “part 584 or part 585”.

PART 575—CIVIL FINES

41. The authority citation for part 575 continues to read as follows:

Authority: 25 U.S.C. 2705(a), 2706, 2713, 2715.

§ 575.6 [Amended]

42. In § 575.6, remove “part 577” everywhere it appears and add in its place “part 584 or part 585”.

§ 575.9 [Redesignated as § 575.7]

43. Redesignate § 575.9 as § 575.7, and in newly redesignated § 575.7(a), remove “part 577” and add in its place “part 584 or part 585”.

PART 580—COMPLIANCE RULES OF GENERAL APPLICATION IN APPEAL PROCEEDINGS BEFORE THE COMMISSION

44. The authority citation for part 580 continues to read as follows:


§ 580.6 [Amended]

45. In the last sentence of § 580.6, remove the phrase “in the computation” and add in its place the phrase “from the computation”.

§ 580.12 [Amended]

46. In the first sentence of § 580.12, remove the acronym “NIGC” and add in its place the word “Commission”.

Dated: May 28, 2015.

Jonodev O. Chaudhuri,
Chairman.
Daniel J. Little,
Associate Commissioner.

[FR Doc. 2015–13645 Filed 6–4–15; 8:45 am]
BILLING CODE 7565–01–P

DEPARTMENT OF THE TREASURY

Internal Revenue Service

26 CFR Part 1

T.D. 9713

RIN 1545–BL46; RIN 1545–BM60

Reporting for Premium; Basis Reporting by Securities Brokers and Basis Determination for Debt Instruments and Options; Correction

AGENCY: Internal Revenue Service (IRS), Treasury.

ACTION: Temporary regulations; correcting amendments.

SUMMARY: This document contains amendments to temporary regulations relating to information reporting by brokers for transfers of debt instruments. The amendments change the applicability date of the temporary regulations for reporting certain information on a transfer statement from June 30, 2015, to January 1, 2016. The amendments to the temporary regulations will provide guidance to brokers and their customers.

DATES: Effective Date: These amendments are effective on June 5, 2015.

Applicability Date: For the date of applicability, see § 1.6045A–1T(f), as corrected.

FOR FURTHER INFORMATION CONTACT: Pamela Lew at (202) 317–7053 (not a toll-free number).

SUPPLEMENTARY INFORMATION:

Background

The temporary regulations that are the subject of these amendments are under section 6045A of the Internal Revenue Code. The temporary regulations (TD 9713) were published in the Federal Register on Friday, March 13, 2015 (80 FR 13233).

Need for Amendments

Under § 1.6045A–1, a broker is required to provide certain information relating to a transfer of a debt instrument that is a covered security on a transfer statement. Section 1.6045A–1T(f) requires a broker to provide certain additional information on the transfer statement. Section 1.6045A–1T(f) applies to a transfer that occurs on or after June 30, 2015. After the publication of the temporary regulations, the Treasury Department and the IRS received comments requesting that the applicability date of the regulations be delayed until January 1, 2016. In response to these comments, this document amends the applicability