

- Enhance the quality, utility, and clarity of the information to be collected; and
- Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses.

Issued in Washington, DC, this 24th day of February 2015.

**Judith Starr,**

*General Counsel, Pension Benefit Guaranty Corporation.*

[FR Doc. 2015-04251 Filed 2-27-15; 8:45 am]

**BILLING CODE 7709-02-P**

## POSTAL SERVICE

### Privacy Act of 1974; System of Records

**AGENCY:** Postal Service™.

**ACTION:** Notice of modification to existing system of records.

**SUMMARY:** The United States Postal Service® (Postal Service) is proposing to modify a General Privacy Act System of Records (SOR) to support the sharing of employment and wage data with the Bureau of Labor Statistics (BLS) for their Occupational Employment Statistics (OES) program. These data are used for the development of employment and wage estimates for over 800 occupations.

**DATES:** These revisions will become effective without further notice on April 1, 2015 unless comments received on or before that date result in a contrary determination.

**ADDRESSES:** Comments may be mailed or delivered to the Privacy and Records Office, United States Postal Service, 475 L'Enfant Plaza SW., Room 9517, Washington, DC 20260-1101. Copies of all written comments will be available at this address for public inspection and photocopying between 8 a.m. and 4 p.m., Monday through Friday.

**FOR FURTHER INFORMATION CONTACT:** Matthew J. Connolly, Chief Privacy Officer, Privacy and Records Office, 202-268-8582 or [privacy@usps.gov](mailto:privacy@usps.gov).

**SUPPLEMENTARY INFORMATION:** This notice is in accordance with the Privacy Act requirement that agencies publish their systems of records in the **Federal Register** when there is a revision, change, or addition, or when the agency establishes a new system of records. The Postal Service™ has determined that

one General Privacy Act System of Records should be revised to modify categories of records in the system, purpose(s), routine uses of records maintained in the system, including categories of users and the purpose of such uses, and retrievability.

### Background

Pursuant to agreements that will protect the use of Postal Service data, the Postal Service intends to provide the Bureau of Labor Statistics (BLS) with employment and wage data pertaining to USPS employees. These data are used in BLS's OES program and, in conjunction with data obtained from the Office of Personnel Management, are used to develop employment and wage estimates.

The OES program produces employment and wage estimates for over 800 occupations. These estimates include the number of jobs in certain occupations and estimates of the wages paid to with respect to those jobs. Through its program, BLS maintains a comprehensive source of regularly produced occupational employment and wage information available for the nation as a whole, for individual States, and for metropolitan areas. OES data is used to develop information regarding current and projected employment needs, job opportunities, job placement aids, and state education and workforce development plans. Jobseekers can use OES data to analyze occupational wages and cost of living data by U.S area. Employment and wage estimate data are also used by academic and government researchers to study labor markets and wage and employment trends. BLS is now developing occupational employment and wage estimates and is requesting USPS occupational data which includes occupational titles and occupational codes.

### Rationale for Changes to USPS Privacy Act Systems of Records

The Postal Service is proposing modifications to SOR 100.400. Categories of records is being amended to reflect that the Postal Service maintains a unique occupation code and an occupation title for each employee, as well as annual salary, hourly rate, and the Rate Schedule Code (RSC), which is used to identify an employee's pay type. Pay type refers to any kind of wage that an employer is allotting to an employee. This can include, but is not limited to, holiday pay, overtime pay, annual leave pay, sick leave pay, severance pay, etc. Purpose is being modified to permit the Postal Service to maintain annual salary, hourly rate, and pay type information for the purpose of

statistical research and reporting. The Postal Service is also adding a routine use explaining that the disclosure of these data may be made to BLS for the development of occupational estimates for federal employees. Retrievability is being revised to indicate the data can now be retrieved by occupation code and/or occupation title.

### III. Description of Changes to Systems of Records

Pursuant to 5 U.S.C. 552a (e)(11), interested persons are invited to submit written data, views, or arguments on this proposal. A report of the proposed modifications has been sent to Congress and to the Office of Management and Budget for their evaluations. The Postal Service does not expect this amended system of records to have any adverse effect on individual privacy rights. The affected system is as follows:

USPS 100.400

SYSTEM NAME: Personnel Compensation and Payroll Records

Accordingly, for the reasons stated, the Postal Service proposes changes in the existing system of records as follows:

**USPS 100.400**

SYSTEM NAME:

Personnel Compensation and Payroll Records.

#### CATEGORIES OF RECORDS IN THE SYSTEM

[CHANGE TO READ]

1. *Employee and family member information:* Names(s), Social Security Number(s), Employee Identification Number, date(s) of birth, postal assignment information, work contact information, home address(es) and phone number(s), finance number(s), occupation code, occupation title, duty location, and pay location.

2. *Compensation and payroll information:* Records related to payroll, annual salary, hourly rate, Rate Schedule Code (RSC) or pay type, payments, deductions, compensation, and benefits; uniform items purchased; proposals and decisions under monetary awards; suggestion programs and contest; injury compensation; monetary claims for personal property loss or damage; and garnishment of wages.

\* \* \* \* \*

PURPOSE

\* \* \* \* \*

[CHANGE TO READ]

9. To support statistical research and reporting.

**ROUTINE USES OF RECORDS IN THE SYSTEM,  
INCLUDING CATEGORIES OF USERS AND THE  
PURPOSES OF SUCH USES**

\* \* \* \* \*  
[CHANGE TO READ]

k. Disclosure of employment and wage data records about current Postal Service employees may be made to the Bureau of Labor Statistics for use in their Occupational Employment Statistics program for the purpose of developing estimates of the number of jobs in certain occupations, and estimates of the wages paid to them.

\* \* \* \* \*

**RETRIEVABILITY**

[CHANGE TO READ]

By employee name, Social Security Number, Employee Identification Number, occupation code, occupation title, or duty or pay location.

\* \* \* \* \*

**Stanley F. Mires,**

*Attorney, Federal Requirements.*

[FR Doc. 2015-04211 Filed 2-27-15; 8:45 am]

**BILLING CODE 7710-12-P**

**SECURITIES AND EXCHANGE  
COMMISSION**

**Submission for OMB Review;  
Comment Request**

*Upon Written Request, Copies Available*

*From:* Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE., Washington, DC 20549-2736.

*Extension:*

Form 13F. SEC File No. 270-22, OMB Control No. 3235-0006.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, *et seq.*), the Securities and Exchange Commission (the "Commission") has submitted to the Office of Management and Budget a request for extension of the previously approved collection of information discussed below.

Section 13(f)<sup>1</sup> of the Securities Exchange Act of 1934<sup>2</sup> (the "Exchange Act") empowers the Commission to: (1) Adopt rules that create a reporting and disclosure system to collect specific information; and (2) disseminate such information to the public. Rule 13f-1<sup>3</sup> under the Exchange Act requires institutional investment managers that exercise investment discretion over accounts that have in the aggregate a fair market value of at least \$100,000,000 of

certain U.S. exchange-traded equity securities, as set forth in rule 13f-1(c), to file quarterly reports with the Commission on Form 13F.<sup>4</sup>

The information collection requirements apply to institutional investment managers that meet the \$100 million reporting threshold. Section 13(f)(6) of the Exchange Act defines an "institutional investment manager" as any person, other than a natural person, investing in or buying and selling securities for its own account, and any person exercising investment discretion with respect to the account of any other person. Rule 13f-1(b) under the Exchange Act defines "investment discretion" for purposes of Form 13F reporting.

The reporting system required by Section 13(f) of the Exchange Act is intended, among other things, to create in the Commission a central repository of historical and current data about the investment activities of institutional investment managers, and to improve the body of factual data available to regulators and the public.

The Commission staff estimates that 5,044 respondents make approximately 20,176 responses under the rule each year. The staff estimates that on average, Form 13F filers spend 80.8 hours/year to prepare and submit the report. In addition, the staff estimates that 204 respondents file approximately 816 amendments each year. The staff estimates that on average, Form 13F filers spend 4 hours/year to prepare and submit amendments to Form 13F. The total annual burden of the rule's requirements for all respondents therefore is estimated to be 408,371 hours [(407,555 hours (5,044 filers × 80.8 hours)) + (816 hours (204 filers × 4 hours))].

The estimate of average burden hours is made solely for the purposes of the Paperwork Reduction Act. The estimate is not derived from a comprehensive or even a representative survey or study of the costs of Commission rules. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid control number.

The public may view the background documentation for this information collection at the following Web site, [www.reginfo.gov](http://www.reginfo.gov). Comments should be directed to: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and Budget, Room 10102, New Executive Office Building, Washington, DC 20503,

or by sending an email to: [Shagufta Ahmed@omb.eop.gov](mailto:Shagufta.Ahmed@omb.eop.gov); and (ii) Pamela Dyson, Chief Information Officer, Securities and Exchange Commission, c/o Remi Pavlik-Simon, 100 F Street NE., Washington, DC 20549 or send an email to: [PRA\\_Mailbox@sec.gov](mailto:PRA_Mailbox@sec.gov). Comments must be submitted to OMB within 30 days of this notice.

Dated: February 24, 2015.

**Jill M. Peterson,**  
*Assistant Secretary.*

[FR Doc. 2015-04222 Filed 2-27-15; 8:45 am]

**BILLING CODE 8011-01-P**

**SECURITIES AND EXCHANGE  
COMMISSION**

**[Extension: Rule 35d-1; SEC File No. 270-491, OMB Control No. 3235-0548]**

**Submission for OMB Review;  
Comment Request**

*Upon Written Request, Copies Available*

*From:* Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE., Washington, DC 20549-2736.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (the "Commission") has submitted to the Office of Management and Budget ("OMB") a request for extension of the previously approved collection of information discussed below.

Rule 35d-1 (17 CFR 270.35d-1) under the Investment Company Act of 1940 (15 U.S.C. 80a-1 *et seq.*) defines as "materially deceptive and misleading" for purposes of Section 35(d), among other things, a name suggesting that a registered investment company or series thereof (a "fund") focuses its investments in a particular type of investment or investments, in investments in a particular industry or group of industries, or in investments in a particular country or geographic region, unless, among other things, the fund adopts a certain investment policy. Rule 35d-1 further requires either that the investment policy is fundamental or that the fund has adopted a policy to provide its shareholders with at least 30 days prior notice of any change in the investment policy ("notice to shareholders"). The rule's notice to shareholders provision is intended to ensure that when shareholders purchase shares in a fund based, at least in part, on its name, and with the expectation that it will follow the investment policy suggested by that name, they will have sufficient time to decide whether to redeem their shares in the event that the

<sup>1</sup> 15 U.S.C. 78m(f).

<sup>2</sup> 15 U.S.C. 78a *et seq.*

<sup>3</sup> 17 CFR 240.13f-1.

<sup>4</sup> 17 CFR 249.325.