

instrument(s) and instructions should be directed to Frances Teel, NASA PRA Clearance Officer, NASA Headquarters, 300 E Street SW., Mail Code JF000, Washington, DC 20546, (202) 358-2225.

#### SUPPLEMENTARY INFORMATION:

##### I. Abstract

The National Aeronautics and Space Administration (NASA) Office of Diversity and Equal Opportunity and the Office of Procurement, in accordance with Title VI of the Civil Rights Act of 1964, Title IX of the Education Amendments of 1972, Section 504 of the Rehabilitation Act of 1973, and the Age Discrimination Act of 1975, requires grant awardees to submit an assurance of non-discrimination (NASA Form 1206) as part of their initial grant application package. The requirement for assurance of non-discrimination compliance associated with federally assisted programs is long standing, derives from civil rights implementing regulations, and extends to the grant recipient's sub-grantees, contractors, successors, transferees, and assignees. Grant selectees are required to submit compliance information triennially when their award period exceeds 36 consecutive months. This information collection will also be used to enable NASA to conduct post-award civil rights compliance reviews.

##### II. Method of Collection

Electronic.

##### III. Data

*Title:* NASA Assurance of Civil Rights Compliance.

*OMB Number:* 2700-0148.

*Type of review:* Reinstatement of an existing information collection.

*Affected Public:* Business, or other for-profit, or not-for-profit.

*Estimated Number of Respondents:* 800.

*Estimated Annual Responses:* 250.

*Estimated Time per Response:* 4 hours.

*Estimated Total Annual Burden*

*Hours:* 16.6.

*Estimated Total Annual Cost:* \$120.

##### IV. Request for Comments

Comments are invited on: (1) Whether the proposed collection of information is necessary for the proper performance of the functions of NASA, including whether the information collected has practical utility; (2) the accuracy of NASA's estimate of the burden (including hours and cost) of the proposed collection of information; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the

burden of the collection of information on respondents, including automated collection techniques or the use of other forms of information technology.

Comments submitted in response to this notice will be summarized and included in the request to OMB for approval of this information collection. They will also become a matter of public record.

**Frances Teel,**

*NASA PRA Clearance Officer.*

[FR Doc. 2014-01113 Filed 1-21-14; 8:45 am]

**BILLING CODE 7510-13-P**

#### OFFICE OF NATIONAL DRUG CONTROL POLICY

##### Designation of Thirteen Counties as High Intensity Drug Trafficking Areas

**AGENCY:** Office of National Drug Control Policy.

**ACTION:** Notice of High Intensity Drug Trafficking Areas (HIDTA) Designations.

**SUMMARY:** The Director of the Office of National Drug Control Policy designated thirteen additional counties as High Intensity Drug Trafficking Areas pursuant to 21 U.S.C. 1706. The new counties are (1) Bradley County in Tennessee, Dickenson County in Virginia, and Wyoming and Raleigh Counties in West Virginia as part of the Appalachia HIDTA; (2) Rockingham County in North Carolina, and Florence and Horry Counties in South Carolina as part of the Atlanta-Carolinas HIDTA (formerly known as "Atlanta HIDTA"); (3) Forrest County in Mississippi as part of the Gulf Coast HIDTA; (4) Williams County in North Dakota as part of the Midwest HIDTA; (5) Humboldt County in California as part of the Northern California HIDTA; and (6) Cecil and Frederick Counties in Maryland and Roanoke County in Virginia as part of the Washington/Baltimore HIDTA.

##### FOR FURTHER INFORMATION CONTACT:

Questions regarding this notice should be directed to Michael K. Gottlieb, National HIDTA Program Director, Office of National Drug Control Policy, Executive Office of the President, Washington, DC 20503; (202) 395-4868.

Dated: January 15, 2014.

**Daniel S. Rader,**

*Deputy General Counsel.*

[FR Doc. 2014-01089 Filed 1-21-14; 8:45 am]

**BILLING CODE 3280-F4-P**

#### NUCLEAR REGULATORY COMMISSION

[NRC-2014-0001]

##### Sunshine Act Meeting

**DATES:** Weeks of January 20, 27, February 3, 10, 17, 24, 2014.

**PLACE:** Commissioners' Conference Room, 11555 Rockville Pike, Rockville, Maryland.

**STATUS:** Public and Closed.

##### Week of January 20, 2014

There are no meetings scheduled for the week of January 20, 2014.

##### Week of January 27, 2014—Tentative

*Wednesday, January 29, 2014*

9:30 a.m. Briefing on Equal Employment Opportunity and Civil Rights Outreach (Public Meeting) (Contact: Larniece McKoy Moore, 301-415-1942)

This meeting will be webcast live at the Web address—<http://www.nrc.gov/>.

##### Week of February 3, 2014—Tentative

There are no meetings scheduled for the week of February 3, 2014.

##### Week of February 10, 2014—Tentative

There are no meetings scheduled for the week of February 10, 2014.

##### Week of February 17, 2014—Tentative

*Wednesday, February 19, 2014*

9:30 a.m. Briefing on NRC International Activities (Closed—Ex. 1 & 9)

1:30 p.m. Briefing on Security Issues (Closed—Ex. 3)

*Thursday, February 20, 2014*

9:30 a.m. Briefing on Threat Environment Assessment (Closed—Ex. 1)

##### Week of February 24, 2014—Tentative

There are no meetings scheduled for the week of February 24, 2014.

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The schedule for Commission meetings is subject to change on short notice. To verify the status of meetings, call (recording)—301-415-1292. Contact person for more information: Rochelle Bavol, 301-415-1651.

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The NRC Commission Meeting Schedule can be found on the Internet at: <http://www.nrc.gov/public-involve/public-meetings/schedule.html>.

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The NRC provides reasonable accommodation to individuals with disabilities where appropriate. If you

need a reasonable accommodation to participate in these public meetings, or need this meeting notice or the transcript or other information from the public meetings in another format (e.g. braille, large print), please notify Kimberly Meyer, NRC Disability Program Manager, at 301-287-0727, or by email at [Kimberly.Meyer-Chambers@nrc.gov](mailto:Kimberly.Meyer-Chambers@nrc.gov). Determinations on requests for reasonable accommodation will be made on a case-by-case basis.

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Members of the public may request to receive this information electronically. If you would like to be added to the distribution, please contact the Office of the Secretary, Washington, DC 20555 (301-415-1969), or send an email to [Darlene.Wright@nrc.gov](mailto:Darlene.Wright@nrc.gov).

Dated: January 16, 2014.

**Rochelle C. Baval,**

*Policy Coordinator, Office of the Secretary.*

[FR Doc. 2014-01289 Filed 1-17-14; 4:15 pm]

**BILLING CODE 7590-01-P**

## SECURITIES AND EXCHANGE COMMISSION

[Investment Company Act Release No. 30858; File No. 812-14218]

### ALPS Series Trust, et al.; Notice of Application

January 15, 2014.

**AGENCY:** Securities and Exchange Commission ("Commission").

**ACTION:** Notice of an application for an order under section 12(d)(1)(f) of the Investment Company Act of 1940 (the "Act") for an exemption from sections 12(d)(1)(A) and (B) of the Act, under sections 6(c) and 17(b) of the Act for an exemption from sections 17(a)(1) and (2) of the Act, and under section 6(c) of the Act for an exemption from rule 12d1-2(a) under the Act.

#### **SUMMARY:** *Summary of the Application:*

The requested order would (a) permit certain registered open-end management investment companies that operate as "funds of funds" to acquire shares of certain registered open-end management investment companies and unit investment trusts ("UITs") that are within and outside the same group of investment companies as the acquiring investment companies, and (b) permit funds of funds relying on rule 12d1-2 under the Act to invest in certain financial instruments.

*Applicants:* ALPS Series Trust ("Trust"), Brinker Capital, Inc. ("Fund of Funds Adviser"), and ALPS Distributors, Inc. (the "Distributor").

**DATES:** *Filing Dates:* The application was filed on September 27, 2013 and was amended on January 3, 2014.

*Hearing or Notification of Hearing:* An order granting the application will be issued unless the Commission orders a hearing. Interested persons may request a hearing by writing to the Commission's Secretary and serving applicants with a copy of the request, personally or by mail. Hearing requests should be received by the Commission by 5:30 p.m. on February 10, 2014, and should be accompanied by proof of service on applicants, in the form of an affidavit or, for lawyers, a certificate of service. Hearing requests should state the nature of the writer's interest, the reason for the request, and the issues contested. Persons who wish to be notified of a hearing may request notification by writing to the Commission's Secretary.

**ADDRESSES:** Elizabeth M. Murphy, Secretary, U.S. Securities and Exchange Commission, 100 F Street, NE., Washington, DC 20549-1090. Applicants: ALPS Series Trust, 1290 Broadway, Suite 1100, Denver, CO 80203; Brinker Capital, Inc., 1055 Westlakes Drive, Suite 250, Berwyn, PA; ALPS Distributors, Inc., 1290 Broadway, Suite 1100, Denver, CO 80203.

#### **FOR FURTHER INFORMATION CONTACT:**

Jason M. Williams, Senior Counsel, at (202) 551-6817, or Daniele Marchesani, Branch Chief, at (202) 551-6817 (Division of Investment Management, Chief Counsel's Office).

**SUPPLEMENTARY INFORMATION:** The following is a summary of the application. The complete application may be obtained via the Commission's Web site by searching for the file number, or for an applicant using the Company name box, at <http://www.sec.gov/search/search.htm>, or by calling (202) 551-8090.

#### **Applicants' Representations**

1. The Trust, a Delaware statutory trust, is registered under the Act as an open-end management investment company and offers shares of multiple series, each of which pursues different investment objectives and principal investment strategies.<sup>1</sup>

<sup>1</sup> Applicants request that the order apply to each existing and future series of the Trust and to each existing and future registered open-end management investment company or series thereof that is advised by the Fund of Funds Adviser or any entity controlling, controlled by or under common control with the Fund of Funds Adviser (any such entity is included in the term "Fund of Funds Adviser") and is part of the same "group of investment companies" (as defined in section 12(d)(1)(G)(ii) of the Act), as the Trust (each, a "Fund" and collectively, "Funds."). All entities

2. Brinker Capital, Inc., a Delaware corporation, is registered as an investment adviser under the Investment Advisers Act of 1940, as amended (the "Advisers Act"). A Fund of Funds Adviser will serve as investment adviser to the Funds. Any other Fund of Funds Adviser will also be registered as an investment adviser under the Advisers Act.

3. The Distributor, a Colorado corporation, is registered as a broker-dealer under the Securities Exchange Act of 1934 (the "Exchange Act"). The Distributor, or another distributor, will serve as principal underwriter and distributor for the shares of the Funds.

4. Applicants request an order to permit (a) a Fund that operates as a "fund of funds" (each a "Fund of Funds") to acquire shares of (i) registered open-end management investment companies that are not part of the same "group of investment companies," within the meaning of section 12(d)(1)(G)(ii) of the Act, as the Fund of Funds ("Unaffiliated Investment Companies") and UITs that are not part of the same group of investment companies as the Fund of Funds ("Unaffiliated Trusts," and together with the Unaffiliated Investment Companies, "Unaffiliated Funds")<sup>2</sup> or (ii) registered open-end management companies or UITs that are part of the same "group of investment companies," within the meaning of section 12(d)(1)(G)(ii) of the Act, as the Fund of Funds (collectively, "Affiliated Funds," and together with the Unaffiliated Funds, "Underlying Funds")<sup>3</sup> and (b) each Underlying Fund, the Distributor or any principal underwriter for the Underlying Fund, and any broker or dealer registered

that currently intend to rely on the requested order are named as applicants. Any other entity that relies on the order in the future will comply with the terms and conditions of the application.

<sup>2</sup> Certain of the Unaffiliated Funds may be registered under the Act as either UITs or open-end management investment companies and have received exemptive relief to permit their shares to be listed and traded on a national securities exchange at negotiated prices ("ETFs").

<sup>3</sup> Certain of the Underlying Funds currently pursue, or may in the future pursue, their investment objectives through a master-feeder arrangement in reliance on section 12(d)(1)(E) of the Act. In accordance with condition 11, a Fund of Funds may not invest in an Underlying Fund that operates as a feeder fund unless the feeder fund is part of the same "group of investment companies," as defined in section 12(d)(1)(G)(ii) of the Act, as its corresponding master fund or the Fund of Funds. If a Fund of Funds invests in an Affiliated Fund that operates as a feeder fund and the corresponding master fund is not within the same "group of investment companies," as defined in section 12(d)(1)(G)(ii) of the Act, as the Fund of Funds and Affiliated Fund, the master fund would be an Unaffiliated Fund for purposes of the application and its conditions.