

temporary exemption will sunset on March 3, 2014. This will facilitate an orderly transition to the new requirements by providing broker-dealers with more time to make any necessary operational or systems changes. For example, industry representatives have indicated that many firms initiate freezes around the year end with respect to changing systems and codes. As a result of this temporary exemption, the Commission is directing the staff to delay from October 21, 2013 to March 3, 2014 the date for the withdrawal of the November 8, 1998 staff no-action letter that addresses the net capital treatment of proprietary accounts of introducing broker-dealers.<sup>10</sup>

The Commission is not granting a temporary exemption from the remaining new requirements adopted in Exchange Act Release No. 70072: (1) The requirement in paragraph (j)(1) of Rule 15c3–3; (2) the new requirements in Rule 15c3–1 (other than the requirement in paragraph (c)(2)(iv)(E)(2) of Rule 15c3–1); (3) and the new requirements in Rule 17a–11. Broker-dealers have not identified these requirements as presenting a challenge in terms of achieving compliance by October 21, 2013. In addition, this temporary exemption does not apply to any other requirements in Rule 15c3–3, Rule 15c3–3a, Rule 17a–3, Rule 17a–4, or Rule 15c3–1.

The effective date is quickly approaching, and granting a limited exemption until March 3, 2014 to broker-dealers from certain new requirements will help to facilitate an orderly implementation of the final rule amendments.

For the foregoing reasons, the Commission finds that this temporary exemption is necessary and appropriate in the public interest, and is consistent with the protection of investors.<sup>11</sup>

## II. Conclusion

Accordingly, pursuant to Section 36 of the Exchange Act,

adopted. See also *Financial Responsibility Rules for Broker-Dealers*, 78 FR at 51831–51832.

<sup>10</sup> See Letter of Michael A. Macchiaroli, Associate Director, Division of Market Regulation, Commission, to Raymond J. Hennessy, Vice President, NYSE, and Thomas Cassella, Vice President, NASD Regulation, Inc. (Nov. 3, 1998). See also *Financial Responsibility Rules for Broker-Dealers*, 78 FR at 51828 (directing the staff to withdraw the no-action letter as of the effective date of the amendments).

<sup>11</sup> Section 36 of the Exchange Act authorizes the Commission, by rule, regulation, or order, to conditionally or unconditionally exempt any person from any rule under the Exchange Act, to the extent that the exemption is necessary or appropriate in the public interest and is consistent with the protection of investors. 15 U.S.C. 78mm.

*It is hereby ordered* that broker-dealers are temporarily exempt until March 3, 2014 from the requirements of the following new amendments to the broker-dealer financial responsibility rules adopted in Exchange Act Release No. 70072: (1) Rule 15c3–3, except paragraph (j)(1); (2) Rule 15c3–3a; (3) Rule 17a–3; (4) Rule 17a–4; and (5) paragraph (c)(2)(iv)(E)(2) of Rule 15c3–1.

By the Commission.

**Elizabeth M. Murphy,  
Secretary.**

[FR Doc. 2013–24609 Filed 10–21–13; 8:45 am]

**BILLING CODE 8011–01–P**

## SECURITIES AND EXCHANGE COMMISSION

**[File No. 500–1]**

### China Ritar Power Corp., Order of Suspension of Trading

October 4, 2013.

It appears to the Securities and Exchange Commission that there is a lack of current and accurate information concerning the securities of China Ritar Power Corp. because China Ritar Power Corp. has not filed any periodic reports for any reporting period subsequent to the period ended September 30, 2010.

The Commission is of the opinion that the public interest and the protection of investors require a suspension of trading in securities of China Ritar Power Corp.

Therefore, it is ordered, pursuant to Section 12(k) of the Securities Exchange Act of 1934, that trading in the securities of China Ritar Power Corp. is suspended for the period from 9:30 a.m. EDT, October 4, 2013, through 11:59 p.m. EDT, on October 17, 2013.

By the Commission.

**Jill M. Peterson,  
Assistant Secretary.**

**Editorial Note:** This document was received by the Office of the Federal Register on October 17, 2013.

[FR Doc. 2013–24605 Filed 10–21–13; 8:45 am]

**BILLING CODE 8011–01–P**

## SECURITIES AND EXCHANGE COMMISSION

**[File No. 500–1]**

### Pacific Clean Water Technologies, Inc.; Order of Suspension of Trading

October 11, 2013.

It appears to the Securities and Exchange Commission that there is a lack of current and accurate information concerning the securities of Pacific

Clean Water Technologies, Inc. (“PCWT”) because of questions regarding the adequacy and accuracy of publicly disseminated information concerning, among other things, the company’s business operations. PCWT is a Delaware corporation based in Irvine, California. It is quoted on OTC Link under the symbol PCWT.

The Commission is of the opinion that the public interest and the protection of investors require a suspension of trading in the securities of the above-listed company.

Therefore, it is ordered, pursuant to Section 12(k) of the Securities Exchange Act of 1934, that trading in the securities of the above-listed company is suspended for the period from 5:30 p.m. EDT on October 11, 2013 through 11:59 p.m. EDT, on October 24, 2013.

By the Commission.

**Elizabeth M. Murphy,  
Secretary.**

[FR Doc. 2013–24610 Filed 10–21–13; 8:45 am]

**BILLING CODE 8011–01–P**

## SMALL BUSINESS ADMINISTRATION

**[License No. 09/79–0454]**

### Emergence Capital Partners SBIC, L.P.; Notice Seeking Exemption Under Section 312 of the Small Business Investment Act, Conflicts of Interest

Notice is hereby given that Emergence Capital Partners SBIC, L.P., 160 Bovet Road, Suite 300, San Mateo, CA 94402, a Federal Licensee under the Small Business Investment Act of 1958, as amended (“the Act”), in connection with the financing of a small concern, has sought an exemption under Section 312 of the Act and Section 107.730, Financings which Constitute Conflicts of Interest of the Small Business Administration (“SBA”) Rules and Regulations (13 CFR 107.730). Emergence Capital Partners SBIC, L.P. proposes to provide equity security financing to Bill.com, Inc., 3250 Ash Street, Palo Alto, CA 94306.

The financing is brought within the purview of § 107.730(a)(1) of the Regulations because the financing of Bill.com, Inc. by Emergence Capital Partners, Inc. will not occur at the same time, and on the same terms and conditions of the financing by Emergence Capital Partners, L.P. and Emergence Capital Associates, L.P., both Associates of Emergence Capital Partners SBIC, L.P., and therefore this transaction is considered a financing of an Associate requiring prior SBA approval.

Notice is hereby given that any interested person may submit written comments on the transaction, within fifteen days of the date of this publication, to the Associate Administrator for Investment, U.S. Small Business Administration, 409 Third Street SW., Washington, DC 20416.

Dated: September 25, 2013.

**Pravina Raghavan,**

*Acting Associate Administrator for Investment.*

[FR Doc. 2013-23970 Filed 10-21-13; 8:45 am]

**BILLING CODE 8025-01-M**

## SMALL BUSINESS ADMINISTRATION

### Interest Rates

The Small Business Administration publishes an interest rate called the optional “peg” rate (13 CFR 120.214) on a quarterly basis. This rate is a weighted average cost of money to the government for maturities similar to the average SBA direct loan. This rate may be used as a base rate for guaranteed fluctuating interest rate SBA loans. This rate will be 3.125 (3 $\frac{1}{8}$ ) percent for the October–December quarter of FY 2014.

Pursuant to 13 CFR 120.921(b), the maximum legal interest rate for any third party lender’s commercial loan which funds any portion of the cost of a 504 project (see 13 CFR 120.801) shall be 6% over the New York Prime rate or, if that exceeds the maximum interest rate permitted by the constitution or laws of a given State, the maximum interest rate will be the rate permitted

by the constitution or laws of the given State.

**Michael A. Simmons,**

*Acting Director, Office of Financial Assistance.*

[FR Doc. 2013-24214 Filed 10-21-13; 8:45 am]

**BILLING CODE 8025-01-P**

## SOCIAL SECURITY ADMINISTRATION

### Agency Information Collection Activities: Proposed Request and Comment Request

The Social Security Administration (SSA) publishes a list of information collection packages requiring clearance by the Office of Management and Budget (OMB) in compliance with Public Law 104-13, the Paperwork Reduction Act of 1995, effective October 1, 1995. This notice includes revisions and extensions of OMB-approved information collections.

SSA is soliciting comments on the accuracy of the agency’s burden estimate; the need for the information; its practical utility; ways to enhance its quality, utility, and clarity; and ways to minimize burden on respondents, including the use of automated collection techniques or other forms of information technology. Mail, email, or fax your comments and recommendations on the information collection(s) to the OMB Desk Officer and SSA Reports Clearance Officer at the following addresses or fax numbers. (OMB), Office of Management and Budget, Attn: Desk Officer for SSA,

Fax: 202-395-6974, Email address: *OIRA\_Submission@omb.eop.gov*.

(SSA), Social Security Administration, DCRDP, Attn: Reports Clearance Director, 107 Altmeyer Building, 6401 Security Blvd., Baltimore, MD 21235, Fax: 410-966-2830, Email address: *OR.Reports.Clearance@ssa.gov*.

I. The information collections below are pending at SSA. SSA will submit them to OMB within 60 days from the date of this notice. To be sure we consider your comments, we must receive them no later than December 23, 2013. Individuals can obtain copies of the collection instruments by writing to the above email address.

1. *Requests for Self-Employment Information, Employee Information, Employer Information—20 CFR 422.120-0960-0508.* When SSA cannot identify Form W-2 wage data for an individual, we place the data in an earnings suspense file and contact the individual (and certain instances the employer) to obtain the correct information. If the respondent furnishes the name and Social Security number (SSN) information that agrees with SSA’s records, or provides information that resolves the discrepancy, SSA adds the reported earnings to the respondent’s Social Security record. We use Forms SSA-L2765, SSA-L3365, and SSA-L4002 for this purpose. The respondents are self-employed individuals and employees whose name and SSN information do not agree with their employer’s and SSA’s records.

*Type of Request:* Revision of an OMB-approved information collection.

Modality of completion	Number of respondents	Frequency of response	Average burden per response (minutes)	Estimated total annual burden (hours)
SSA-L2765 .....	12,321	1	10	2,054
SSA-L3365 .....	179,749	1	10	29,958
SSA-L4002 .....	121,679	1	10	20,280
<b>Totals .....</b>	<b>313,749</b>	.....	.....	<b>52,292</b>

2. *Employer Reports of Special Wage Payments—20 CFR 404.428–404.429—0960-0565.* SSA collects information on the SSA-131 to prevent earnings-related overpayments and to avoid erroneous withholding of benefits. SSA

field offices and program service centers also use Form SSA-131 for awards and post-entitlement events requiring special wage payment verification from employers. While we need this information to ensure the correct

payment of benefits, we do not require employers to respond. The respondents are large and small businesses that make special wage payments to retirees.

*Type of Request:* Revision of an OMB-approved information collection.