

**Authority:** 16 U.S.C. 1801 *et seq.*

Dated: September 26, 2013.

**Kelly Denit,**

*Acting Deputy Director, Office of Sustainable Fisheries, National Marine Fisheries Service.*

[FR Doc. 2013-23966 Filed 9-30-13; 8:45 am]

**BILLING CODE 3510-22-P**

## DEPARTMENT OF COMMERCE

### National Oceanic and Atmospheric Administration

**RIN 0648-XC886**

#### Marine Fisheries Advisory Committee Meeting

**AGENCY:** National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Commerce.

**ACTION:** Notice of open public meeting.

**SUMMARY:** This notice sets forth the proposed schedule and agenda of a forthcoming meeting of the Marine Fisheries Advisory Committee (MAFAC). The members will discuss and provide advice on issues outlined under **SUPPLEMENTARY INFORMATION** below.

**DATES:** The meeting will be held October 22-24, 2013 from 8 a.m. to 5 p.m.

**ADDRESSES:** The meeting will be held at the NOAA Science Center, 1301 East West Highway, Silver Spring, MD 20910.

**FOR FURTHER INFORMATION CONTACT:** Mark Holliday, MAFAC Executive Director; (301) 427-8004; email: [Mark.Holliday@noaa.gov](mailto:Mark.Holliday@noaa.gov).

**SUPPLEMENTARY INFORMATION:** As required by section 10(a)(2) of the Federal Advisory Committee Act, 5 U.S.C. App. 2, notice is hereby given of a meeting of MAFAC. The MAFAC was established by the Secretary of Commerce (Secretary), and, since 1971, advises the Secretary on all living marine resource matters that are the responsibility of the Department of Commerce. The complete charter and summaries of prior meetings are located online at <http://www.nmfs.noaa.gov/ocs/mafac/>.

#### Matters To Be Considered

This meeting time and agenda are subject to change.

The meeting is convened to hear presentations and discuss policies and guidance on the following topics: seafood certification and sustainability, Endangered Species Act and section 7 consultations, outcomes of the

Managing Our Nation's Fisheries 3 conference and next steps, recreational fisheries issues, and the NMFS budget. The meeting will include discussion of various MAFAC administrative and organizational matters and may include meetings of the standing subcommittees.

#### Special Accommodations

These meetings are physically accessible to people with disabilities. Requests for sign language interpretation or other auxiliary aids should be directed to Mark Holliday, MAFAC Executive Director; 301-427-8004 by October 11, 2013.

Dated: September 25, 2013.

**Samuel D. Rauch III,**

*Deputy Assistant Administrator for Regulatory Programs, performing the functions and duties of the Assistant Administrator for Fisheries, National Marine Fisheries Service.*

[FR Doc. 2013-23968 Filed 9-30-13; 8:45 am]

**BILLING CODE 3510-22-P**

## DEPARTMENT OF COMMERCE

### Patent and Trademark Office

#### Initial Patent Applications

**ACTION:** Proposed collection; comment request.

**SUMMARY:** The United States Patent and Trademark Office (USPTO), as part of its continuing efforts to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on this revision of a continuing information collection.

**DATES:** Written comments must be submitted on or before December 2, 2013.

**ADDRESSES:** You may submit comments by any of the following methods:

- **Email:** [InformationCollection@uspto.gov](mailto:InformationCollection@uspto.gov). Include "0651-0032 comment" in the subject line of the message.
- **Mail:** Susan K. Fawcett, Records Officer, Office of the Chief Information Officer, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.
- **Federal Rulemaking Portal:** <http://www.regulations.gov>.

#### FOR FURTHER INFORMATION CONTACT:

Requests for additional information should be directed to the attention of Raul Tamayo, Senior Legal Advisor, Office of Patent Legal Administration, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450; by telephone at 571-272-7728; or by email to

[raul.tamayo@uspto.gov](mailto:raul.tamayo@uspto.gov). Additional information about this collection is also available at <http://www.reginfo.gov> under "Information Collection Review."

#### SUPPLEMENTARY INFORMATION:

##### I. Abstract

The USPTO is required by Title 35 of the United States Code, including 35 U.S.C. 131, to examine applications for patents. The USPTO administers the patent statutes through various rules in Chapter 37 of the Code of Federal Regulations, including 37 CFR 1.16 through 1.84. The patent statutes and regulations require applicants to provide sufficient information to allow the USPTO to properly examine the application to determine whether it meets the criteria set forth in the patent statutes and regulations to be issued as a patent.

Most applications for patent, including new utility, design, and provisional applications, can be submitted to the USPTO through EFS-Web. EFS-Web is the USPTO's system for electronic filing of patent correspondence. EFS-Web is accessible via the Internet on the USPTO Web site. The Legal Framework for EFS-Web, available at [http://www.uspto.gov/patents/process/fiie/efs/guidance/New\\_legal\\_framework.jsp](http://www.uspto.gov/patents/process/fiie/efs/guidance/New_legal_framework.jsp), provides a listing of patent applications and documents permitted to be filed via EFS-Web and patent applications and documents not permitted to be filed via EFS-Web.

There are 69 forms in this collection. This total includes versions of the inventor's oath and declaration forms that were created to comply with the changes resulting from the Leahy-Smith America Invents Act, e.g., forms AIA/01, AIA/02, etc., as well as pre-America Invents Act versions of the oath and declaration forms, e.g., forms SB/01, SB/02, etc., and foreign language translations of the oath and declaration forms, e.g., forms AIA/01CN, SB/02CN, etc. On the other hand, the petitions and the papers filed to supply the name or names of the inventor or inventors after the filing date without a cover sheet in a provisional application, to correct inventorship in a provisional application, and to convert a nonprovisional application to a provisional application do not have forms associated with them.

The Office of Management and Budget (OMB) approved this collection on January 8, 2011, but the Notice of Action included terms of clearance stating that the USPTO should conduct outreach to stakeholders regarding the burden of 0651-0032 Initial Patent Applications and ways to potentially reduce it before the next renewal of the

collection. The terms of clearance also instructed the USPTO to include the results of this outreach in the next Information Collection Request submission. To fulfill this requirement, the USPTO will be conducting a special roundtable session later this year to collect feedback regarding the burden of the collection and ways to potentially reduce it. The results will be included in the Information Collection Request for the renewal of the collection. A subsequent notice will be published in the **Federal Register** detailing the date and format of the roundtable.

The petitions and the papers filed under 37 CFR 1.41, 1.48, and 1.53(c)(2) can be filed electronically as well as in paper. The electronic options for these items are being added into the collection.

This collection currently has capital start-up costs associated with the compact disc copies of patent applications containing large computer program listings or mega tables, postage costs for these oversized submissions, and recordkeeping costs approved as part of the annual (non-hour) cost burden. As part of this renewal, the capital start-up costs are being deleted because these costs are usual and customary costs that are part of everyday business activities. The postage costs for the CD submissions for the oversized program listing or mega table applications are being deleted from this collection because the USPTO is projecting that a negligible number of them will be submitted. The recordkeeping costs associated with keeping a copy of the electronically-submitted patent application files and acknowledgment receipts are being deleted because the USPTO only suggests, but does not require, that applicants keep copies of their electronically-filed applications. In addition, the recordkeeping costs for retaining a copy of the application transmittal form and a back-up copy of

the CD submissions of applications with oversized computer program listings and mega tables are also being deleted at this time.

The USPTO is removing the fees associated with the majority of the information requirements in this collection because these fees have been moved into information collection 0651-0072 America Invents Act Section 10 Patent Fee Adjustments, which was approved by OMB in January 2013 in conjunction with the USPTO rulemaking "Setting and Adjusting Patent Fees" (RIN 0651-AC54). The corresponding fees are now being deleted from 0651-0032 to avoid double-counting these annual (non-hour) costs in the USPTO's inventory.

**II. Method of Collection**

As set forth in the Legal Framework for EFS-Web, available at [http://www.uspto.gov/patents/process/file/efs/guidance/New\\_legal\\_framework.jsp](http://www.uspto.gov/patents/process/file/efs/guidance/New_legal_framework.jsp), most of the items in this collection can be submitted electronically through EFS-Web. The USPTO will also accept submissions by mail, facsimile (limited to petitions to accept unintentionally delayed priority/benefit claims, petitions to accept a filing by other than all the inventors or a person not the inventor, petitions to accord the application under 37 CFR 1.495(b) a receipt date, and papers filed under 37 CFR 1.41, 1.48, and 1.53(c)(2)), or hand delivery to the USPTO.

**III. Data**

OMB Number: 0651-0032.

Form Number(s): PTO/SB/01, 01A, 02, 02A, 02B, 02CN, 02DE, 02ES, 02FR, 02IT, 02JP, 02KR, 02LR, 02NL, 02RU, 02SE, 03, 03A, 04, 06, 07, 14 EFS-Web, 16, 16 EFS-Web, 17, 29, 29A, and 101-110. This collection also includes the following AIA forms: PTO/AIA01 through AIA04, AIA08 through AIA11, AIA14 and 15, AIA18 and 19, and AIA01CN and 01DE, 01ES, 01FR, 01IT, 01JP, 01KR, 01NL, 01RU, 01SE, 02CN,

02DE, 02ES, 02FR, 02IT, 02JP, 02KR, 02NL, 02RU, and 02SE.

*Type of Review:* Revision of a currently approved collection.

*Affected Public:* Individuals or households; businesses or other for profits; not-for-profit institutions; and the Federal Government.

*Estimated Number of Responses:* 597,047 responses per year. The USPTO estimates that approximately 157,950 of these responses will be from small entities (this estimate reflects a 25% small entity response rate for all items in the collection, except for design-related items in the collection, for which a 50% small entity response rate is estimated). The USPTO estimates that 577,624 of the responses will be filed electronically.

*Estimated Time per Response:* The USPTO estimates that it takes the public approximately 24 minutes to 33 hours and 12 minutes (0.40 to 33.2 hours) to complete this information, depending on the complexity of the request. This includes the time to gather the necessary information, prepare the application, petition, or paper submission, and submit the completed request to the USPTO. The USPTO calculates that, on balance, it takes the same amount of time to gather the necessary information, prepare the applications, petitions, and other papers and submit them to the USPTO, whether the applicant submits it in paper form or electronically.

*Estimated Total Annual Respondent Burden Hours:* 12,522,680 hours per year.

*Estimated Total Annual Respondent Cost Burden:* \$4,871,322,520 per year. The USPTO expects that all of the information in this collection will be prepared by an attorney. Using the professional hourly rate of \$389 for attorneys in private firms, the USPTO estimates that the total respondent cost burden for this collection is \$4,871,322,520 per year.

Item	Estimated time for response	Estimated annual responses	Estimated annual burden hours
Original New Utility Applications—No Application Data Sheet .....	33 hours and 12 minutes .....	800	26,560
Electronic Original New Utility Applications—No Application Data Sheet.	33 hours and 12 minutes .....	26,500	879,800
Original New Plant Applications—No Application Data Sheet .....	7 hours and 36 minutes .....	100	760
Original New Design Applications—No Application Data Sheet ....	5 hours and 48 minutes .....	100	580
Electronic Original Design Applications—No Application Data Sheet.	5 hours and 48 minutes .....	2,900	16,820
Original New Utility Applications—Application Data Sheet .....	33 hours and 12 minutes .....	7,500	249,000
Electronic Original New Utility Applications—Application Data Sheet.	33 hours and 12 minutes .....	238,200	7,908,240
Original New Plant Applications—Application Data Sheet .....	7 hours and 36 minutes .....	1,200	9,120
Original New Design Applications—Application Data Sheet .....	5 hours and 48 minutes .....	800	4,640
Electronic New Design Applications—Application Data Sheet .....	5 hours and 48 minutes .....	26,500	153,700
Continuation/Divisional of an International Application .....	3 hours and 18 minutes .....	300	990

Item	Estimated time for response	Estimated annual responses	Estimated annual burden hours
Electronic Continuation/Divisional of an International Application	3 hours and 18 minutes	10,200	33,660
Utility Continuation/Divisional Applications	3 hours and 18 minutes	2,200	7,260
Electronic Utility Continuation/Divisional Applications	3 hours and 18 minutes	71,600	236,280
Plant Continuation/Divisional Applications	2 hours and 12 minutes	200	440
Design Continuation/Divisional Applications	1 hour and 6 minutes	100	110
Electronic Design Continuation/Divisional Applications	1 hour and 6 minutes	2,700	2,970
Continued Prosecution Applications—Design (Request Transmittal and Receipt).	24 minutes	25	10
Electronic Continued Prosecution Applications—Design (Request Transmittal and Receipt).	24 minutes	800	320
Utility Continuation-in-Part Applications	16 hours and 30 minutes	400	6,600
Electronic Utility Continuation-in-Part Applications	16 hours and 30 minutes	13,100	216,150
Plant Continuation-in-Part Applications	3 hours and 48 minutes	1	4
Design Continuation-in-Part Applications	2 hours and 42 minutes	20	54
Electronic Design Continuation-in-Part Applications	2 hours and 42 minutes	800	2,160
Provisional Application for Patent Cover Sheet	15 hours	5,500	82,500
Electronic Provisional Application for Patent Cover Sheet	15 hours	178,600	2,679,000
Petition to Accept Unintentionally Delayed Priority/Benefit Claim	1 hour	30	30
Electronic Petition to Accept Unintentionally Delayed Priority/Benefit Claim.	1 hour	970	970
Petition to Accept a Filing by Other Than all the Inventors or a Person not the Inventor.	1 hour	33	33
Electronic Petition to Accept a Filing by Other Than all the Inventors or a Person not the Inventor.	1 hour	1,067	1,067
Petition under 37 CFR 1.6(g) to Accord the Application under 37 CFR 1.495(b) a Receipt Date.	30 minutes	1	1
Papers filed under the following:	45 minutes	114	86
1.41—to supply the name or names of the inventor or inventors after the filing date without a cover sheet as prescribed by 37 CFR 1.51 (c)(1) in a provisional application.			
1.48—for correction of inventorship in a provisional application.			
1.53(c)(2)—to convert a nonprovisional application filed under 1.53(b) to a provisional application filed under 1.53(c).			
Electronic Papers filed under the following:	45 minutes	3,686	2,765
1.41—to supply the name or names of the inventor or inventors after the filing date without a cover sheet as prescribed by 37 CFR 1.51(c)(1) in a provisional application.			
1.48—for correction of inventorship in a provisional application.			
1.53(c)(2)—to convert a nonprovisional application filed under 1.53(b) to a provisional application filed under 1.53(c).			
<b>Total</b>		<b>597,047</b>	<b>12,522,680</b>

*Estimated Total Annual Non-hour Respondent Cost Burden:* \$431,933,422 per year. There are no maintenance, operation, capital start-up, or recordkeeping costs associated with this information collection. However, this collection does have annual (non-hour) costs in the form of postage and drawing costs, as well as a petition fee.

The applications, the petitions, and the papers filed under 37 CFR 1.41, 1.48, and 1.53(c)(2) may be submitted by mail through the United States Postal Service. The USPTO recommends that applicants file initial patent applications (which also include the

continued prosecution, continuation and divisional, continuation-in-part, and provisional applications) by Express Mail to establish the filing date (otherwise the filing date of the application will be the date that it is received at the USPTO). The USPTO estimates that an application package will weigh at least one pound. Using the Express Mail flat rate cost for mailing envelopes, the USPTO estimates that the average cost for sending an initial application by Express Mail will be \$19.95 and that up to 19,246 may be mailed to the USPTO.

The petitions to accept unintentionally delayed priority/benefit claim, to accept a filing by other than all the inventors or a person not the inventor, and the papers filed under 37 CFR 1.41, 1.48, and 1.53(c)(2) can be sent by first-class mail. The USPTO estimates that these submissions will average two ounces, for a first-class postage rate of \$0.66 cents. The USPTO estimates that up to 177 submissions may be mailed per year.

The USPTO estimates that the total postage cost for this collection will be \$384,075 per year.

Item	Responses	Postage cost (\$)	Total postage cost (\$)
<b>Express Mailing Costs</b>			
Original New Utility Applications—No Application Data Sheet .....	800	19.95	15,960.00
Original New Plant Applications—No Application Data Sheet .....	100	19.95	1,995.00
Original New Design Applications—No Application Data Sheet .....	100	19.95	1,995.00
Original New Utility Applications—Application Data Sheet .....	7,500	19.95	149,625.00
Original New Plant Applications—Application Data Sheet .....	1,200	19.95	23,940.00
Original New Design Applications—Application Data Sheet .....	800	19.95	15,960.00
Continuation/Divisional of an International Application .....	300	19.95	5,985.00
Utility Continuation/Divisional Applications .....	2,200	19.95	43,890.00
Plant Continuation/Divisional Applications .....	200	19.95	3,990.00
Design Continuation/Divisional Applications .....	100	19.95	1,995.00
Continued Prosecution Applications—Design (Request Transmittal and Receipt) ..	25	19.95	499.00
Utility Continuation-in-Part Applications .....	400	19.95	7,980.00
Plant Continuation-in-Part Applications .....	1	19.95	20.00
Design Continuation-in-Part Applications .....	20	19.95	399.00
Provisional Application for Patent Cover Sheet .....	5,500	19.95	109,725.00
<b>Total Express Mailing Costs .....</b>			<b>383,958.00</b>
<b>First-Class Mailing Costs</b>			
Petition to Accept Unintentionally Delayed Priority/Benefit Claim .....	30	0.66	20.00
Petition to Accept a Filing by Other Than all the Inventors or a Person not the In- ventor .....	33	0.66	22.00
Papers Filed Under the Following: .....	114	0.66	75.00
1.41—to supply the name or names of the inventor or inventors after the fil- ing date without a cover sheet as prescribed by 37 CFR 1.51 (c)(1) in a provisional application.			
1.48—for correction of inventorship in a provisional application.			
1.53(c)(2)—to convert a nonprovisional application filed under 1.53(b) to a provisional application filed under 1.53(c).			
<b>Total First-Class Mailing Costs .....</b>			<b>117.00</b>
<b>Total Postage Costs .....</b>			<b>384,075.00</b>

Patent applicants can submit drawings with the utility, design, plant, and provisional applications. Applicants can prepare these drawings on their own or they can hire patent illustration services firms to create them. As a basis for estimating the drawing costs, the USPTO expects that all applicants will have their drawings prepared by patent illustration firms. Estimates for the drawings can vary greatly, depending on the number of figures that need to be produced, the total number of pages for the drawings, and the complexity of the drawings. Because there are many variables involved, the USPTO is using the average of the cost ranges found for the application drawings to derive the estimated cost per sheet that is then used to calculate the total drawing costs.

The utility, plant, and design continuation and divisional applications use the same drawings as the initial filings, so they are not included in these totals. The continuation-in-part applications may use some of the same drawings as the initial applications and some new drawings may be submitted, so those numbers are included in these

estimates. The drawings for the continued prosecution applications are also included in the drawing cost totals. There are no continuation, divisional, or continuation-in-part provisional applications.

Costs to produce utility drawings can range from \$30 to \$200 per sheet. The USPTO estimates that it can cost \$115 per sheet to produce the utility drawings and that on average, 9 sheets of drawings are submitted, for an average cost of \$1,035 to produce the utility drawings. Out of 286,500 utility applications submitted per year, the USPTO estimates that 77% or 220,605 applications will be submitted with drawings.

Costs to produce design drawings can range from \$35 to \$350 per sheet. The USPTO estimates that it can cost \$193 per sheet to produce design drawings and that on average 9 sheets of drawings are submitted, for an average cost of \$1,737 to produce design drawings. The USPTO estimates that all of the design applications filed per year (31,945) will be submitted with drawings.

Photographs are generally submitted for the plant applications, although drawings can also be submitted. The

USPTO therefore estimates that the costs to produce the photographs or drawings could range from \$35 to \$100. The USPTO estimates that it can cost \$68 per sheet to produce plant drawings and that on average 9 sheets of drawings are submitted, for an average cost of \$612 to produce plant drawings. The USPTO estimates that all of the plant applications filed per year (1,301) will be submitted with drawings.

Costs to produce the provisional drawings can range from \$30 to \$200 per sheet. The USPTO estimates that it can cost \$115 per sheet to produce provisional drawings and that on average 9 sheets of drawings are submitted, for an average cost of \$1,035 to produce provisional drawings. Out of 184,100 provisional applications submitted per year, the USPTO estimates that 77% or 141,757 applications will be submitted with drawings.

The USPTO estimates the total non-hour cost burden as a result of patent applicants using patent illustration firms to produce the drawings for their utility, design, plant, and provisional applications is \$431,329,347.

Item	Responses	Average drawing cost (\$)	Average total drawing cost (\$)
Utility Application Drawings .....	220,605	\$1,035	\$228,326,175.00
Design Application Drawings .....	31,945	1,737	55,488,465.00
Plant Application Drawings (Photographs) .....	1,301	612	796,212.00
Provisional Application Drawings .....	141,757	1,035	146,718,495.00
Total .....			431,329,347.00

There is also annual (non-hour) cost burden in the way of filing fees for the petitions to accept a filing by other than all the inventors or a person not the inventor. The filing fees for the applications, the petitions to accept unintentionally delayed priority/benefit claims, the processing fees for the papers filed under 37 CFR 1.41, 1.48,

and 1.53(c)(2), the additional fees incurred when an application is filed with additional sheets or excess claims, and the surcharges and fees incurred when an application, the search or examination fee, or the oath or declaration is filed late, when the application is filed with multiple dependent claims, or when the

application is filed with a non-English specification are covered under 0651-0072 America Invents Act Section 10 Patent Fee Adjustments.

The total estimated filing costs of \$220,000 for this collection are calculated in the following table.

Item	Resps (yr) (a)	Filing fee (\$)	Total non-hour cost burden (yr) (a) x (b)
Petition to Accept a Filing by Other Than all the Inventors or a Person not the Inventor .....	33	\$200.00	\$6,600.00
Electronic Petition to Accept a Filing by Other Than all the Inventors or a Person not the Inventor .....	1,067	200.00	213,400.00
Petition under 37 CFR 1.6(g) to accord the Application under 37 CFR 1.495(b) a Receipt Date .....	1	N/A	0.00
Totals .....	1,101		220,000.00

The USPTO estimates that the total annual (non-hour) respondent cost burden for this collection, in the form of postage and drawing costs, in addition to petition fees, is estimated to be approximately \$431,933,422 per year.

**IV. Request for Comments**

Comments submitted in response to this notice will be summarized and/or included in the USPTO's request for OMB approval. All comments will become a matter of public record.

The USPTO is soliciting public comments to: (a) Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; (b) Evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used; (c) Enhance the quality, utility, and clarity of the information to be collected; and (d) Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology,

e.g., permitting electronic submission of responses.

Dated: September 25, 2013.  
**Susan K. Fawcett**,  
*Records Officer, USPTO, Office of the Chief Information Officer.*  
 [FR Doc. 2013-23790 Filed 9-30-13; 8:45 am]  
**BILLING CODE 3510-16-P**

**COMMODITY FUTURES TRADING COMMISSION**

**Order of the Commodity Futures Trading Commission Relating to the Continuation, Shutdown, and Resumption of Certain Commission Operations in the Event of a Lapse in Appropriations**

**AGENCY:** Commodity Futures Trading Commission

**ACTION:** Notice of order; final order.

**SUMMARY:** This order is being issued to provide for the continuation, shutdown, and resumption of certain operations of the Commodity Futures Trading Commission (the "Commission") in the event of a lapse in appropriations, and to alert all persons regulated by or engaged in proceedings at the Commission of these provisions.

**DATES:** This notice and order is effective on September 27, 2013.

**FOR FURTHER INFORMATION CONTACT:** For market oversight matters contact David Van Wagner, Chief Counsel, Division of Market Oversight (DMO), at 202-418-5481 or *dvanwagner@cftc.gov*, Matthew Hunter, Deputy Director, DMO at 202-418-5861 or *mhunter@cftc.gov*. For clearing matters, contact Ananda Radhakrishnan, Director, Division of Clearing and Risk (DCR), at 202-418-5188 or *aradhakrishnan@cftc.gov*; Robert B. Wasserman, Chief Counsel, DCR, at 202-418-5092 or *rwasserman@cftc.gov*; or John C. Lawton, Deputy Director, DCR, at 202-418-5480, or *jlawton@cftc.gov*. For matters involving intermediaries, contact Gary Barnett, Director, Division of Swap Dealer and Intermediary Oversight (DSIO), 202-418-5977 or *gbarnett@cftc.gov*; Thomas Smith, Deputy Director, DSIO, at 202-418-5495 or *tsmith@cftc.gov*; Erik Remmler, Deputy Director, DSIO, at 202-418-7630 or *eremmler@cftc.gov*; or Kevin Piccoli, Deputy Director, DSIO, at 646-746-9834 or *kpiccoli@cftc.gov*.

**SUPPLEMENTARY INFORMATION:**

**I. Background**

As of 12:01 a.m. on October 1, 2013, the funding of many federal government activities is set to expire. Unless