

on-line instructions for submitting comments.

- Written comments may be submitted at any time prior to the closing of the docket at 11:59 p.m. Eastern Time on October 25, 2013.

All comments will be made publicly available and posted without change. Do not include personal or confidential information.

Addresses

Time and Date: 9:00 a.m.–4:30 p.m. (Eastern Time) on Friday, October 4, 2013.

Place: Mayflower Renaissance Hotel Washington, 1127 Connecticut Ave. NW., Washington DC 20036. Facility's location is near Farragut North Metro station.

Contact Person for More Information: Susan Reingold, Chief Administrative Officer, 202–331–1986. For email inquiries, please email info@pclub.gov.

SUPPLEMENTARY INFORMATION:

Procedures for Public Participation

The hearing will be open to the public. Individuals who plan to attend and require special assistance, such as sign language interpretation or other reasonable accommodations, should contact Susan Reingold, Chief Administrative Officer, 202–331–1986, at least 72 hours prior to the meeting date.

Dated: September 10, 2013.

Diane Janosek,

Chief Legal Officer, Privacy and Civil Liberties Oversight Board.

[FR Doc. 2013–22393 Filed 9–13–13; 8:45 am]

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OFFICE OF SCIENCE AND TECHNOLOGY POLICY

Notice of Meetings: Public Meetings of the National Science and Technology Council; Committee on Technology; Nanoscale Science, Engineering, and Technology Subcommittee; National Nanotechnology Coordination Office

AGENCY: Office of Science and Technology Policy.

ACTION: Notice of public meetings.

SUMMARY: The National Nanotechnology Coordination Office (NNCO), on behalf of the Nanoscale Science, Engineering, and Technology (NSET) Subcommittee of the Committee on Technology, National Science and Technology Council (NSTC) and in collaboration with the European Commission, will hold the 2013 “U.S.-EU Bridging NanoEHS Research Efforts” joint workshop on December 2–3, 2013, in

Arlington, Virginia. The workshop will bring together the U.S.-EU Communities of Research (CORs), which are a platform for scientists to develop a shared repertoire of protocols and methods to overcome research gaps and barriers and to address environmental, health, and safety questions about nanomaterials. The goal of this workshop is to publicize progress towards COR goals and objectives, clarify and communicate future plans, share best practices, and identify areas of cross-Community collaboration.

NNCO and the European Commission will also host meetings for the CORs on the topic of environmental, health, and safety issues related to nanomaterials between the publication date of this Notice and September 30, 2014. The co-chairs for each COR will convene meetings and set meeting agendas with administrative support from the European Commission and the NNCO. The CORs were proposed at the first U.S.-EU workshop on *Bridging NanoEHS Research Efforts*, which was held in Washington, DC, in March 2011. Based on feedback from the workshop participants, the following six Communities were launched in 2012:

- Exposure through the Life Cycle, with Material Characterization
- Ecotoxicity Testing and Predictive Models, with Material Characterization
- Predictive Modeling for Human Health, with Material Characterization
- Databases and Ontologies
- Risk Assessment
- Risk Management and Control

The 2013 workshop is intended to further develop and support the CORs' activities.

The CORs directly address Goal 4.2 of the 2011 National Nanotechnology Initiative Strategic Plan: “Develop tools and procedures for . . . international outreach and engagement to assist stakeholders in developing best practices for communicating and managing risks.” However, the CORs are not envisioned to provide any government agency with advice or recommendations.

The CORs will hold several webinars and/or conference calls between the publication date of this Notice and September 30, 2014. The envisioned end date for the CORs is September 30, 2014.

DATES: Monday, December 2, 2013, from 9:00 a.m. until 5:00 p.m. and Tuesday, December 3, 2013, from 9:00 a.m. until 4:00 p.m.

ADDRESSES: The workshop will be held at the National Science Foundation, 4201 Wilson Boulevard, Arlington,

Virginia. Teleconferences and web meetings for the CORs will take place periodically between the publication date of this Notice and September 30, 2014. Meeting dates and call-in information will be posted on the Community of Research page at <http://us-eu.org/> as meetings are scheduled.

Registration: Due to space limitations, pre-registration for the workshop is required. Registration is on a first-come, first-served basis and will be capped at approximately 100 participants. Registration will open on October 16, 2013. Individuals planning to attend the workshop should register online at <http://us-eu.org/2013-u-s-eu-nanoehs-workshop/>. Written notices of participation by email should be sent to sstandridge@nnco.nano.gov or mailed to Stacey Standridge, 4201 Wilson Blvd., Stafford II, Suite 405, Arlington, VA 22230. Individuals wishing to participate in any of the CORs should send the participant's name, affiliation, and country of residence to Stacey Standridge at either of the addresses above. NNCO will collect email addresses from registrants to ensure that they are included in COR conference calls and other meetings and that they receive information relevant to the COR scope from other COR members. Email addresses are submitted on a completely voluntary basis.

Those interested in presenting 3–5 minutes of public comments at the U.S.-EU workshop on *Bridging NanoEHS Research Efforts* or any of the COR meetings should register for the appropriate event. For those who are unable to attend the workshop or COR meetings in person, written or electronic comments should be submitted by email to sstandridge@nnco.nano.gov at least two business days prior to each meeting to provide time to copy and distribute the written comments to the participants.

FOR FURTHER INFORMATION CONTACT: For information regarding this Notice, please contact Stacey Standridge at National Nanotechnology Coordination Office, by telephone (703–292–8103) or email (sstandridge@nnco.nano.gov). Additional information about the workshop, including the agenda, is posted at <http://us-eu.org/2013-u-s-eu-nanoehs-workshop/>. Additional information about the CORs and their upcoming meetings is posted at <http://us-eu.org/>.

Meeting Accommodations: Individuals requiring special accommodation to access these public meetings should contact Stacey Standridge (telephone 703–292–8103) at least ten business

days prior to each meeting so that appropriate arrangements can be made.

Ted Wackler,

Deputy Chief of Staff and Assistant Director.

[FR Doc. 2013-22551 Filed 9-13-13; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

Sunshine Act Meeting

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Public Law 94-409, that the Securities and Exchange Commission will hold an Open Meeting on September 17, 2013, at 3:00 p.m., in Room 10800 at the Commission's headquarters building, to hear oral argument in an appeal by Montford and Company, Inc., d/b/a Montford Associates, and Earnest V. Montford from an initial decision of an administrative law judge.

The law judge found that Montford Associates, a former investment adviser, and Montford, its president and sole owner, violated Sections 206(1) and (2) of the Investment Advisers Act of 1940 by failing to disclose a material conflict of interest: That they were receiving substantial payments from an investment manager they were also recommending. The law judge further found that they made materially false and misleading statements in Forms ADV in violation of Advisers Act Section 207 and that the firm failed to amend its Forms ADV in violation of Advisers Act Section 204 and Rule 204-1(a)(2), misconduct that Montford aided and abetted and caused. The law judge imposed an industry-wide bar against Montford, entered a cease-and-desist order against both Respondents, and ordered them to pay disgorgement and civil penalties totaling \$860,000.

The issues likely to be considered at oral argument include whether the proceeding should be dismissed under Section 4E of the Securities Exchange Act of 1934, which provides a "deadline for completing enforcement investigations . . . not later than 180 days after" issuance of a Wells notice; whether Respondents violated the Advisers Act and its rule by failing to disclose their receipt of \$210,000 from an investment manager that they recommended to clients and, if so, the extent to which sanctions are warranted under the circumstances.

For further information, please contact the Office of the Secretary at (202) 551-5400.

Dated: September 10, 2013.

Elizabeth M. Murphy,

Secretary.

[FR Doc. 2013-22508 Filed 9-12-13; 11:15 am]

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SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-70366; File No. SR-OCC-2013-805]

Self-Regulatory Organizations; The Options Clearing Corporation; Notice of Filing of an Advance Notice To Amend an Existing Interpretation and Policy To Give OCC Discretion Not To Grant a Particular Clearing Member Margin Credit for an Otherwise Eligible Security

September 10, 2013.

Pursuant to Section 806(e)(1) of the Payment, Clearing, and Settlement Supervision Act of 2010 ("Clearing Supervision Act")¹ and Rule 19b-4(n)(1)(i)² of the Securities Exchange Act of 1934 ("Act"), notice is hereby given that on August 15, 2013, The Options Clearing Corporation ("OCC") filed with the Securities and Exchange Commission ("Commission") the advance notice as described in Items I, II and III below, which Items have been prepared by OCC.³ The Commission is publishing this notice to solicit comments on the advance notice from interested persons.

I. Clearing Agency's Statement of the Terms of Substance of the Proposed Advance Notice

OCC proposes to amend an existing Interpretation and Policy so that OCC has discretion to disapprove as margin collateral for a particular clearing member, shares of an otherwise eligible security held as margin.

II. Clearing Agency's Statement of the Purpose of, and Statutory Basis for, the Advance Notice

In its filing with the Commission, OCC included statements concerning the purpose of and basis for the proposed advance notice and discussed any comments it received on the proposed advance notice. The text of

¹ 12 U.S.C. 5465(e)(1).

² 17 CFR 240.19b-4(n)(1)(i).

³ OCC is a designated financial market utility and is required to file advance notices with the Commission. See 12 U.S.C. 5465(e). OCC also filed the proposal contained in this advance notice as a proposed rule change under Section 19(b)(1) of the Exchange Act and Rule 19b-4 thereunder. 15 U.S.C. 78s(b)(1) and 17 CFR 240.19b-4, respectively. See SR-OCC-2013-14.

these statements may be examined at the places specified in Item IV below. OCC has prepared summaries, set forth in sections (A) and (B) below, of the most significant aspects of these statements.

(A) *Clearing Agency's Statement of the Purpose of, and Statutory Basis for, the Proposed Advance Notice*

The purpose of the proposed advance notice is to provide OCC with discretion with regard to granting or not granting margin credit to a clearing member. OCC currently may withhold margin credit from all clearing members with respect to a specific security. OCC proposes to address the risk presented by concentrated positions of securities posted as margin by particular clearing members by withholding margin credit from such clearing member's accounts. OCC proposes to enhance its ability to limit its risk exposure to a concentrated position of equity securities posted as margin by a specific clearing member by providing OCC with the discretion to disregard, for the purposes of granting margin credit, some or all of the otherwise eligible equity securities posted as margin. In addition, the proposed advance notice is designed to provide OCC with discretion to make exceptions to proposed Interpretation and Policy .14 with respect to a specific clearing member. Accordingly, OCC may allow margin credit for an otherwise ineligible security for a specific clearing member in situations in which OCC determines that such security serves as a hedge to positions in cleared contracts in the same account of such clearing member.

Rule 604 lists the acceptable types of assets that clearing members may post with OCC to satisfy their margin requirements under Rule 601, including equity securities, and establishes the eligibility criteria for such assets. Equity securities are the most common form of margin assets posted by clearing members and, under Rule 601, are included in OCC's STANS margining system for the purposes of valuing such equity securities and determining on a portfolio basis a clearing member's margin obligation to OCC. Interpretation and Policy .14 to Rule 604 allows OCC to disapprove a security as margin collateral for all clearing members based on a consideration of the factors set forth in the interpretation, including number of outstanding shares, number of outstanding shareholders and overall trading volume. The STANS system currently takes into account the risk to a portfolio presented by fluctuations in the market price of concentrated security positions by identifying the two