Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for Web site viewing and printing in the Commission’s Public Reference Room, 100 F Street NE., Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m. Copies of such filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR–C2–2013–030 and should be submitted on or before September 10, 2013.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.\(^{15}\)

Kevin M. O’Neill,
Deputy Secretary.

\[^{15}\text{FR Doc. 2013–20915 Filed 8–19–13; 8:45 am}]\]

BILLING CODE 8011–01–P

SECURITIES AND EXCHANGE COMMISSION


Self-Regulatory Organizations; BATS Exchange, Inc.; Notice of Filing and Immediate Effectiveness of Proposed Rule Change Related to Fees for Use of BATS Exchange, Inc.

August 14, 2013.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (the “Act”),\(^{1}\) and Rule 19b–4 thereunder,\(^{2}\) notice is hereby given that on August 1, 2013, BATS Exchange, Inc. (the “Exchange” or “BATS”) filed with the Securities and Exchange Commission (“Commission”) the proposed rule change as described in Items I, II, and III below, which Items have been prepared by the Exchange. The Exchange has designated the proposed rule change as one establishing or changing a member due, fee, or other charge imposed by the Exchange under Section 19(b)(3)(A)(ii) of the Act \(^{3}\) and Rule 19b–4(f)(2) thereunder,\(^{4}\) which renders the proposed rule change effective upon filing with the Commission. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization’s Statement of the Terms of the Substance of the Proposed Rule Change

The Exchange filed a proposal to amend the fee schedule applicable to Members \(^{5}\) and non-members of the Exchange pursuant to BATS Rules 15.11(a) and (c). While changes to the fee schedule pursuant to this proposal will be effective upon filing, the changes will become operative on August 2, 2013.

The text of the proposed rule change is available at the Exchange’s Web site http://www.batstrading.com, at the principal office of the Exchange, and at the Commission’s Public Reference Room.

II. Self-Regulatory Organization’s Statement of Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in Sections A, B, and C below, of the most significant parts of such statements.

A. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

The Exchange proposes to modify the “Equities Pricing” section of its fee schedule effective August 2, 2013, in order to modify pricing related to executions that occur on EDGA EXCHANGE, Inc. (“EDGA”) through the Exchange’s TRIM routing strategies.\(^{6}\) EDGA implemented certain pricing changes effective August 1, 2013, including modification from a rebate of $0.0003 per share when removing liquidity to a rebate of $0.0002 per share when removing liquidity. To maintain a direct pass through of the applicable economics for executions at EDGA, the Exchange proposes to rebate $0.0002 per share for an order routed through its TRIM routing strategies and executed on EDGA, rather than the rebate of $0.0003 per share that it currently offers for such orders.

2. Statutory Basis

The Exchange believes that the proposed rule change is consistent with the requirements of the Act and the rules and regulations thereunder that are applicable to a national securities exchange, and, in particular, with the requirements of Section 6 of the Act.\(^{7}\) Specifically, the Exchange believes that the proposed rule change is consistent with Section 6(b)(4) of the Act,\(^{8}\) in that it provides for the equitable allocation of reasonable dues, fees and other charges among members and other persons using any facility or system which the Exchange operates or controls. The Exchange notes that it operates in a highly competitive market in which market participants can readily direct order flow to competing venues if they deem fee levels at a particular venue to be excessive. The Exchange believes that the proposed changes to certain of the Exchange’s non-standard routing fees and strategies are equitably allocated, fair and reasonable, and non-discriminatory in that they are equally applicable to all Members and are designed to mirror the rebate applicable to the execution if such routed orders were executed directly by the Member at EDGA Exchange.

B. Self-Regulatory Organization’s Statement on Burden on Competition

The Exchange does not believe that the proposed rule change will result in any burden on competition that is not necessary or appropriate in furtherance of the purposes of the Act, as amended. Because the market for order execution is extremely competitive, Members may readily opt to disfavor the Exchange’s routing services if they believe that alternatives offer them better value. For orders routed through the Exchange and executed at EDGA Exchange, the proposed fee change is designed to equal the rebate that a Member would have received if such routed orders would have been executed directly by a Member at EDGA Exchange.

C. Self-Regulatory Organization’s Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others

The Exchange has neither solicited nor received written comments on the proposed rule change.

\[^{1}\text{15 U.S.C. 78s(b)(1).}\]
\[^{3}\text{17 CFR 240.19b–4(f)(2).}\]
\[^{4}\text{17 CFR 240.19b–4(f)(2).}\]
\[^{5}\text{A Member is any registered broker or dealer that has been admitted to membership in the Exchange.}\]
\[^{6}\text{As defined in BATS Rule 11.13(a)(3)(G).}\]
\[^{7}\text{15 U.S.C. 78f.}\]
\[^{8}\text{15 U.S.C. 78s(b)(4).}\]
III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action

The foregoing rule change has become effective pursuant to Section 19(b)(3)(A) of the Act and paragraph (f) of Rule 19b-4 thereunder. At any time within 60 days of the filing of the proposed rule change, the Commission summarily may temporarily suspend such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act.

IV. Solicitation of Comments

Interested persons are invited to submit written data, views and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

Electronic Comments

• Use the Commission’s Internet comment form (http://www.sec.gov/rules/sro.shtml); or
• Send an email to rule-comments@sec.gov. Please include File Number SR–BATS–2013–042 on the subject line.

Paper Comments

• Send paper comments in triplicate to Elizabeth M. Murphy, Secretary, Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549. All submissions should refer to File Number SR–BATS–2013–042. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission’s Internet Web site (http://www.sec.gov/rules/sro.shtml). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for Web site viewing and printing in the Commission’s Public Reference Room, 100 F Street NE., Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m. Copies of such filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR–BATS–2013–042 and should be submitted on or before September 10, 2013.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority. 11

Kevin M. O’Neill,
Deputy Secretary.

SUMMARY:

This is a Notice of the President’s major disaster declaration of a major disaster for Public Assistance Only for the State of Wisconsin (FEMA—4141–DR), dated 08/08/2013. Incident: Severe storms, flooding, and mudslides. Incident Period: 06/20/2013 through 06/28/2013.

DATES: Effective Date: 08/08/2013.

Physical Loan Application Deadline Date: 10/07/2013.

Economic Injury (EIDL) Loan Application Deadline Date: 05/08/2014.

APPLICATIONS: Submit completed loan applications to: U.S. Small Business Administration, Processing And Disbursement Center, 14925 Kingsport Road, Fort Worth, TX 76155.

SUPPLEMENTARY INFORMATION: Notice is hereby given that as a result of the President’s major disaster declaration on 08/08/2013, Private Non-Profit organizations that provide essential services of governmental nature may file disaster loan applications at the address listed above or other locally announced locations. The following areas have been determined to be adversely affected by the disaster:

For Physical Damage:

Non-Profit Organizations with Credit Available Elsewhere
Non-Profit Organizations with Credit Available Elsewhere

For Economic Injury:

Non-Profit Organizations without Credit Available
Non-Profit Organizations without Credit Available

The number assigned to this disaster for physical damage is 13720B and for economic injury is 13721B.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.

Kevin M. O’Neill,
Deputy Secretary.

SUMMARY:

This is an amendment of the Presidential declaration of a major disaster for Public Assistance Only for the State of Iowa (FEMA—4126—DR), dated 07/02/2013. Incident: Severe storms, tornadoes, and flooding. Incident Period: 05/19/2013 through 06/14/2013.

Effective Date: 08/08/2013.

Physical Loan Application Deadline Date: 09/03/2013.

Economic Injury (EIDL) Loan Application Deadline Date: 04/02/2014.

APPLICATIONS: Submit completed loan applications to: U.S. Small Business Administration, Processing And Disbursement Center, 14925 Kingsport Road, Fort Worth, TX 76155.

SUPPLEMENTARY INFORMATION: Notice is hereby given that as a result of the President’s major disaster declaration on 08/08/2013, Private Non-Profit organizations that provide essential services of governmental nature may file disaster loan applications at the address listed above or other locally announced locations.

The following areas have been determined to be adversely affected by the disaster:

For Physical Damage:

Non-Profit Organizations with Credit Available Elsewhere
Non-Profit Organizations with Credit Available Elsewhere

For Economic Injury:

Non-Profit Organizations without Credit Available
Non-Profit Organizations without Credit Available

The number assigned to this disaster for physical damage is 13720B and for economic injury is 13721B.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.

Kevin M. O’Neill,
Deputy Secretary.

SUMMARY:

This is an amendment of the Presidential declaration of a major disaster for Public Assistance Only for the State of Iowa (FEMA—4126—DR), dated 07/02/2013. Incident: Severe storms, tornadoes, and flooding. Incident Period: 05/19/2013 through 06/14/2013.

Effective Date: 08/08/2013.

Physical Loan Application Deadline Date: 09/03/2013.

Economic Injury (EIDL) Loan Application Deadline Date: 04/02/2014.

APPLICATIONS: Submit completed loan applications to: U.S. Small Business Administration, Processing And Disbursement Center, 14925 Kingsport Road, Fort Worth, TX 76155.

SUPPLEMENTARY INFORMATION: Notice is hereby given that as a result of the President’s major disaster declaration on 08/08/2013, Private Non-Profit organizations that provide essential services of governmental nature may file disaster loan applications at the address listed above or other locally announced locations. The following areas have been determined to be adversely affected by the disaster:

For Physical Damage:

Non-Profit Organizations with Credit Available Elsewhere
Non-Profit Organizations with Credit Available Elsewhere

For Economic Injury:

Non-Profit Organizations without Credit Available
Non-Profit Organizations without Credit Available

The number assigned to this disaster for physical damage is 13720B and for economic injury is 13721B.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.

Kevin M. O’Neill,
Deputy Secretary.