Act of 1995, (Pub. L. 104–13, 44 U.S.C. chapter 35) as amended by the Clinger-Cohen Act (Pub. L. 104–106), OPM is soliciting comments for this collection. The information collection was previously published in the Federal Register on March 1, 2013, at Volume 78 FR 13913 allowing for a 60-day public comment period. No comments were received for this information collection. The Office of Management and Budget is particularly interested in comments that:

1. Evaluate whether the proposed collection of information is necessary for the proper performance of functions of OPM, including whether the information will have practical utility;

2. Evaluate the accuracy of OPM’s estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;

3. Enhance the quality, utility, and clarity of the information to be collected; and

4. Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submissions of responses.

DATES: Comments are encouraged and will be accepted until August 12, 2013. This process is conducted in accordance with 5 CFR 1320.1.

ADDRESS: Interested persons are invited to submit written comments on the proposed information collection to the Office of Information and Regulatory Affairs, Office of Management and Budget, 725 17th Street NW., Washington, DC 20503. Attention: Desk Officer for the Office of Personnel Management, by email to oira_submission@omb.eop.gov, or faxed to (202) 395–6974.

FOR FURTHER INFORMATION CONTACT: A copy of this ICR with applicable supporting documentation, may be obtained by contacting the Office of Information and Regulatory Affairs, Office of Management and Budget, 725 17th Street NW., Washington, DC 20503, Attention: Desk Officer for the Office of Personnel Management, by email to oira_submission@omb.eop.gov, or faxed to (202) 395–6974.

SUPPLEMENTARY INFORMATION: SF 2802 is used to support the payment of monies from the Civil Service Retirement and Disability Fund. It identifies the applicant for refund of retirement deductions. SF 2802A is used to comply with the legal requirement that any spouse or former spouse of the applicant has been notified that the former employee is applying for a refund.

Analysis


Title: Application for Refund of Retirement Deductions (CSRS)/Current/Former Spouse’s Notification of Application for Refund of Retirement Deductions Under the Civil Service Retirement System.

OMB Number: 3206–0128.

Frequency: On occasion.

Affected Public: Individuals or Households.

Number of Respondents: SF 2802 = 3,741; SF 2802A = 3,389.

Estimated Time per Respondent: SF 2802 = 1 hour; SF 2802A = 15 minutes.

Total Burden Hours: 4,588.


Elaine Kaplan,

Acting Director.

[FR Doc. 2013–16757 Filed 7–11–13; 8:45 am]

BILLING CODE 6325–38–P

OFFICE OF PERSONNEL MANAGEMENT

Federal Prevailing Rate Advisory Committee; Cancellation of Upcoming Meeting


ACTION: Notice.

SUMMARY: The Federal Prevailing Rate Advisory Committee is issuing this notice to cancel the July 18, 2013, public meeting scheduled to be held in Room 5A06A, U.S. Office of Personnel Management Building, 1900 E Street NW., Washington, DC. The original Federal Register notice announcing this meeting was published Thursday, December 27, 2012, at 77 FR 76304.

FOR FURTHER INFORMATION CONTACT: Madeline Gonzalez, 202–606–2838, or email pay-leave-policy@opm.gov.


Sheldon Friedman,

Chairman, Federal Prevailing Rate Advisory Committee.

[FR Doc. 2013–16763 Filed 7–11–13; 8:45 am]

BILLING CODE 6325–49–P

SECURITIES AND EXCHANGE COMMISSION

[Release No. PA–50; File No. S7–05–13]

Privacy Act of 1974: Systems of Records

AGENCY: Securities and Exchange Commission.

ACTION: Notice to revise two existing systems of records.

SUMMARY: In accordance with the requirements of the Privacy Act of 1974, as amended, 5 U.S.C. 552a, the Securities and Exchange Commission (“Commission” or “SEC”) proposes to revise two existing systems of records: “Freedom of Information and Privacy Act Requests (SEC–24)” and “Backup Care Employee and Family Records (SEC–66)”, both of which were last published in the Federal Register Volume 77, Number 211 on Wednesday, October 31, 2012.

DATES: The proposed systems will become effective August 21, 2013 unless further notice is given. The Commission will publish a new notice if the effective date is delayed to review comments or if changes are made based on comments received. To be assured of consideration, comments should be received on or before August 12, 2013.

ADDRESSES: Comments may be submitted by any of the following methods:

Electronic Comments

• Use the Commission’s Internet comment form (http://www.sec.gov/rules/other.shtml); or

• Send an e-mail to rule-comments@sec.gov. Please include File Number S7–05–13 on the subject line.

Paper Comments

Send paper comments in triplicate to Elizabeth M. Murphy, Secretary, U.S. Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549–1090. All submissions should refer to File Number S7–05–13. This file number should be included on the subject line if email is used. To help us process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission’s Internet Web site (http://www.sec.gov/rules/other.shtml). Comments are also available for Web site viewing and printing in the Commission’s Public Reference Room, 100 F Street NE., Washington, DC 20549, on official business days between the hours of 10:00 a.m. and 3:00 p.m. All comments received will be posted without change;
we do not edit personal identifying
information from submissions. You
should submit only information that
you wish to make available publicly.

FOR FURTHER INFORMATION CONTACT:
Todd Scharf, Associate Director and
Acting Chief Privacy Officer, Office of

SUPPLEMENTAL INFORMATION: The
Commission proposes to revise two
existing systems of records, “Freedom of
Information and Privacy Act Requests
(SEC–24)” and “Backup Care Employee
and Family Records (SEC–66)”.

The Freedom of Information and
Privacy Act Requests (SEC–24) system of
records consists of records used by
Commission staff to process FOIA and
Privacy Act requests and appeals, and to
prepare reports to the Department of
Justice, the Office of Management and
Budget, and other oversight entities on the
Commission’s FOIA and PA activities. A substantive change to SEC–
24 has been incorporated to expand
routine use No. 15 to include the
National Archives and Records
Administration, Office of Government
Information Services (OGIS) second
statutory mission of reviewing
administrative agency policies,
procedures and compliance with FOIA.

The Backup Care Employee and
Family Records (SEC–66) system of
records contains records of current SEC
employees who voluntarily sign up for
backup care benefits and their family
members for whom care is needed. A
substantive change to SEC–66 has been
made to the Categories of Records,
deleting “physician’s medical form”
and “medical identification number”,
and updating other types of records
maintained in the system.

The Commission has submitted a
report of the amended systems of
records to the appropriate Congressional
Committees and to the Director of the
Office of Management and Budget
(“OMB”) as required by 5 U.S.C. 552a(r)
(Public Law Act of 1974) and guidelines
issued by OMB on December 12, 2000
(65 FR 77677).

Accordingly, the Commission is
proposing to revise two existing systems
of records to read as follows:

SEC–24

SYSTEM NAME:
Freedom of Information and Privacy
Act Requests.

SYSTEM LOCATION:
Securities and Exchange Commission,
Office of Freedom of Information Act
(FOIA) Services, 100 F Street NE,
Washington, DC 20549. Other offices
involved in the processing of requests
may also maintain copies of the requests
and related internal administrative
records.

CATEGORIES OF INDIVIDUALS COVERED BY THE
SYSTEM:
Records are maintained on persons
requesting information from the
Commission pursuant to provisions of the
Freedom of Information Act; persons
who are the subject of Freedom of
Information Act requests; individuals
who have submitted requests for
information about themselves or on
behalf of an individual under the
provisions of the Privacy Act of 1974;
and individuals filing an administrative
appeal of a denial, in whole or part, of
any such request.

CATEGORIES OF RECORDS IN THE SYSTEM:
Records received, created or compiled
in processing FOIA and PA requests or
appeals, including internal memoranda,
correspondence to or from other Federal
agencies, correspondence and response
letters, appeal of denials under the
FOIA, request for amendment of records
under the Privacy Act, appeal for
denials under the Privacy Act, appeal
determinations, and electronic tracking
data. These records may contain
personal information retrieved in
response to a request including
requesters’ and their attorneys’ or
representatives’ names, addresses,
email, telephone numbers, and FOIA
and PA case numbers; office telephone
numbers of SEC employees and
contractors; Names, telephone numbers,
and addresses of the submitter of the
information requested; Unique case
identifier; Social security number; or
other identifier assigned to the request
or appeal.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:
5 U.S.C. 552, and 552a; Executive
Order 9397.

PURPOSE(S):
The records are used by Commission
staff to process FOIA and Privacy Act
requests and appeals, and to prepare
reports to the Department of Justice, the
Office of Management and Budget, and
other oversight entities on the
Commission’s FOIA and PA activities.

ROUTINE USES OF RECORDS MAINTAINED IN THE
SYSTEM, INCLUDING CATEGORIES OF USERS AND
THE PURPOSES OF SUCH USES:
In addition to those disclosures
generally permitted under 5 U.S.C.
552a(b) of the Privacy Act, these records
or information contained therein may
specifically be disclosed outside the
Commission as a routine use pursuant
by 5 U.S.C. 552a(b)(3) as follows:

1. To appropriate agencies, entities,
and persons when (a) it is suspected or
confirmed that the security or
confidentiality of information in the
system of records has been
compromised; (b) the SEC has
determined that, as a result of the
suspected or confirmed compromise,
there is a risk of harm to economic or
property interests, identity theft or
fraud, or harm to the security or
integrity of this system or other systems
or programs (whether maintained by the
SEC or another agency or entity) that
rely upon the compromised
information; and (c) the disclosure made
to such agencies, entities, and persons
is reasonably necessary to assist in
connection with the SEC’s efforts to
respond to the suspected or confirmed
compromise and prevent, minimize, or
remedy such harm.

2. To other federal, state, local, or
foreign law enforcement agencies;
securities self-regulatory organizations;
and foreign financial regulatory
authorities to assist in or coordinate
regulatory or law enforcement activities
with the SEC.

3. To national securities exchanges
and national securities associations that
are registered with the SEC, the
Municipal Securities Rulemaking Board;
the Securities Investor Protection
Corporation; the Public Company
Accounting Oversight Board; the federal
banking authorities, including, but not
limited to, the Board of Governors of the
Federal Reserve System, the
Comptroller of the Currency, and the
Federal Deposit Insurance Corporation;
state securities regulatory agencies or
organizations; or regulatory authorities
of a foreign government in connection
with their regulatory or enforcement
responsibilities.

4. In any proceeding where the federal
securities laws are in issue or in which
the Commission, or past or present
members of its staff, is a party or
otherwise involved in an official
capacity.

5. To a federal, state, local, tribal,
foreign, or international agency in
response to its request for information
concerning the hiring or retention of an
employee; the issuance of a security
clearance; the reporting of an
investigation of an employee; the letting
of a contract; or the issuance of a
license, grant, or other benefit by the
requesting agency, to the extent that the
information is relevant and necessary to
the requesting agency’s decision on the
matter.

6. To any persons during the course
of any inquiry, examination, or
investigation conducted by the SEC’s
staff, or in connection with civil
litigation, if the staff has reason to believe that the person to whom the record is disclosed may have further information about the matters related therein, and those matters appeared to be relevant at the time to the subject matter of the inquiry.

7. To interns, grantees, experts, contractors, and others who have been engaged by the Commission to assist in the performance of a service related to this system of records and who need access to the records for the purpose of assisting the Commission in the efficient administration of its programs, including by performing clerical, stenographic, or data analysis functions, or by reproduction of records by electronic or other means. Recipients of these records shall be required to comply with the requirements of the Privacy Act of 1974, as amended, 5 U.S.C. 552a.

8. To members of advisory committees that are created by the Commission or by Congress to render advice and recommendations to the Commission or to Congress, to be used solely in connection with their official designated functions.

9. To respond to subpoenas in any litigation or other proceeding.

10. To a third party authorized in writing to receive such information by the individual about whom the information pertains.

11. To another Federal agency to (a) permit a decision as to access, amendment or correction of records to be made in consultation with or by that agency, (b) verify the identity of an individual or the accuracy of information submitted by an individual who has requested access to or amendment or correction of records, or (c) to process payment of fees associated with FOIA/PA requests.

12. To the Department of Justice (DOJ) in order to obtain that department’s advice on FOIA matters or regarding the agency’s FOIA disclosure obligations.

13. To the Office of Management and Budget for the purpose of obtaining its advice on Privacy Act matters.

14. To the public pursuant to the provisions of the FOIA, 5 U.S.C. 552.

15. To the National Archives and Records Administration, Office of Government Information Services (OGIS), to the extent necessary to fulfill its responsibilities in 5 U.S.C. 552(h), to review administrative agency policies, procedures and compliance with the Freedom of Information Act (FOIA), and to facilitate OGIS’ offering of mediation services to resolve disputes between persons making FOIA requests and administrative agencies.

16. To members of Congress, the Government Accountability Office, or others charged with monitoring the work of the Commission or conducting records management inspections.

17. To produce summary descriptive statistics and analytical studies, as a data source for management information, in support of the function for which the records are collected and maintained or for related personnel management functions or manpower studies; may also be used to respond to general requests for statistical information (without personal identification of individuals) under the Freedom of Information Act.

18. To any person who is or has agreed to be subject to the Commission’s Rules of Conduct, 17 CFR 200.735–1 to 200.735–18, and who assists in the investigation by the Commission of possible violations of the federal securities laws (as such term is defined in section 3(a)(47) of the Securities Exchange Act of 1934, 15 U.S.C. 78c(a)(47)), in the preparation or conduct of enforcement actions brought by the Commission for such violations, or otherwise in connection with the Commission’s enforcement or regulatory functions under the federal securities laws.

19. To a Congressional office from the record of an individual in response to an inquiry from the Congressional office made at the request of that individual.

20. In connection with any litigation challenging or seeking to enjoin actions by the Commission under the Freedom of Information Act, as amended.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:
Records are maintained in electronic and paper format. Electronic records are stored in computerized databases and/or on computer disc. Paper records and records on computer disc are stored in locked file rooms and/or file cabinets.

RETRIEVABILITY:
Electronic files and paper format records are indexed and retrieved by a unique case number assigned to the request. Records may also be retrieved by the requestor name and/or the subject of the request.

SAFEGUARDS:
Records are safeguarded in a secured environment. Buildings where records are stored have security cameras and 24 hour security guard service. The records are kept in limited access areas during duty hours and in locked file cabinets and/or locked offices or file rooms at all other times. Access is limited to those personnel whose official duties require access. Computerized records are safeguarded through use of access codes and information technology security. Contractors and other recipients providing services to the Commission shall be required to maintain equivalent safeguards.

RETENTION AND DISPOSAL:
These records are maintained in accordance with general records schedules of the National Archives and Records Administration, General Records Schedule 14.

SYSTEM MANAGER(S) AND ADDRESS:
FOIA/PA Officer, Office of FOIA Services, Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549–2736.

NOTIFICATION PROCEDURE:
All requests to determine whether this system of records contains a record pertaining to the requesting individual may be directed to the FOIA/PA Officer, Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549–2736.

RECORD ACCESS PROCEDURE:
Persons wishing to obtain information on the procedures for gaining access to or contesting the contents of these records may contact the FOIA/PA Officer, Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549–2736.

CONTESTING RECORD PROCEDURE:
See Record access procedures above.

RECORD SOURCE CATEGORIES:
Persons requesting information from the Commission pursuant to the Freedom of Information Act and the Privacy Act; agency employees assigned to handle processing the requests; agency records searched and identified as responsive in the process of responding to such requests; other agencies or entities that have referred to SEC requests concerning SEC records, or that have consulted with SEC regarding handling of particular requests; and submitters or subjects of records or information that have provided assistance to SEC in making access or amendment determinations.

EXEMPTIONS CLAIMED FOR THE SYSTEM:
None.

SEC–66

SYSTEM NAME:
Backup Care Employee and Family Records.
The records are used to determine an employee’s eligibility to request backup care benefits for family members.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

In addition to those disclosures generally permitted under 5 U.S.C. 552a(b) of the Privacy Act, these records or information contained therein may specifically be disclosed outside the Commission as a routine use pursuant to 5 U.S.C. 552(a)(3) as follows:

1. To appropriate agencies, entities, and persons when (a) it is suspected or confirmed that the security or confidentiality of information in the system of records has been compromised; (b) the SEC has determined that, as a result of the suspected or confirmed compromise, there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by the SEC or another agency or entity) that rely upon the compromised information; and (c) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with the SEC’s efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

2. To produce summary descriptive statistics and analytical studies, as a data source for management information, in support of the function for which the records are collected and maintained or for related personnel management functions or manpower studies; may also be used to respond to general requests for statistical information (without personal identification of individuals) under the Freedom of Information Act.

3. To interns, grantees, experts, contractors, and others who have been engaged by the Commission to assist in the performance of a service related to this system of records and who need access to the records for the purpose of assisting the Commission in the efficient administration of its programs, including by performing clerical, stenographic, or data analysis functions, or by reproduction of records by electronic or other means. Recipients of these records shall be required to comply with the requirements of the Privacy Act of 1974, as amended, 5 U.S.C. 552a.

4. To a Congressional office from the record of an individual in response to an inquiry from the Congressional office made at the request of that individual.

5. To members of Congress, the Government Accountability Office, or others charged with monitoring the work of the Commission or conducting records management inspections.

6. To a commercial contractor in connection with benefit programs administered by the contractor on the Commission’s behalf, including, but not limited to, supplemental health, dental, disability, life and other benefit programs. Recipients of these records shall be required to comply with the requirements of the Privacy Act of 1974, as amended, 5 U.S.C. 552a.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:
Records are maintained in electronic format. Electronic records are stored in computerized databases and/or on computer disc. Paper records and records on computer disc are stored in locked file rooms and/or file cabinets.

RETRIEVABILITY:
Records are retrieved by the individual’s name.

SAFEGUARDS:
Records are safeguarded in a secured environment. The records are kept in limited access areas and/or locked offices or file rooms at all other times. Computerized records are safeguarded through use of access codes and information technology security. Access is limited to those personnel whose official duties require access.

CONTESTING RECORD PROCEDURE:
See Record access procedures above.

RECORD SOURCE CATEGORIES:
All information is provided by SEC employees registering for the services.

EXEMPTION CLAIMED FOR THE SYSTEM:
None.

Dated: July 8, 2013.

Elizabeth M. Murphy,
Secretary.

BILLING CODE 8011–01–P