SECURITIES AND EXCHANGE COMMISSION


June 27, 2013.

On April 30, 2013, New York Stock Exchange LLC (the “Exchange”) filed with the Securities and Exchange Commission (“Commission”), pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”),1 and Rule 19b–4 thereunder,2 a proposed rule change to (i) delete the sections in the Manual containing the listing application materials (including the listing application and the listing agreement) and adopt updated listing application materials that will be posted on the Exchange’s Web site; and (ii) adopt as new rules certain provisions that are currently included in the various forms of agreements that are in the Manual, as well as some additional new rules that make explicit existing Exchange policies with respect to initial listings. The proposed rule change was published for comment in the Federal Register on May 17, 2013.3 The Commission received one comment letter on the proposal.4

Section 19(b)(2) of the Act5 provides that within 45 days of the publication of notice of the filing of a proposed rule change, or within such longer period up to 90 days as the Commission may designate if it finds such longer period to be appropriate and publishes its reasons for so finding or as to which the self-regulatory organization consents, the Commission shall either approve the proposed rule change, disapprove the proposed rule change, or institute proceedings to determine whether the proposed rule change should be disapproved. The 45th day for this filing is July 1, 2013. The Commission is extending this 45-day time period.

The Commission finds it appropriate to designate a longer period within which to take action on the proposed rule change so that it has sufficient time to consider this proposed rule change, which would (i) delete the sections in the Manual containing the listing application materials (including the listing application and the listing agreement) and adopt updated listing application materials that will be posted on the Exchange’s Web site; and (ii) adopt as new rules certain provisions that are currently included in the various forms of agreements that are in the Manual, as well as some additional new rules that make explicit existing Exchange policies with respect to initial listings, and the potential issues raised by this proposal.

Accordingly, the Commission, pursuant to Section 19(b)(2) of the Act,6 designates August 15, 2013 as the date by which the Commission should either approve or disapprove, or institute proceedings to determine whether to disapprove, the proposed rule change (File No. SR–NYSE–2013–33).

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.7

Kevin M. O’Neill,
Deputy Secretary.

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DEPARTMENT OF STATE

[Public Notice 8369]

International Joint Commission: Public Comment on a Proposal for Lake Ontario and St. Lawrence River Regulation

The International Joint Commission (IJC) is inviting the public to comment on a proposal for managing the water levels and flows in Lake Ontario and the St. Lawrence River that will continue to contribute to the economic health of communities throughout the basin while improving the long-term ecological health of Lake Ontario and the upper St. Lawrence River.

Since 1960, the IJC has managed the flow of water at the Moses-Saunders hydropower dam, located on the St Lawrence River between Cornwall, Ontario and Massena, New York. The management of water flows influences water levels on Lake Ontario and in the St Lawrence River as far downstream as Lake St. Pierre. Although water levels and flows are primarily determined by precipitation, snowpack and storms, water flow management has provided substantial benefits to the region. These include reducing flooding and erosion on the Lake Ontario shoreline, reducing flooding downstream, and providing more favorable conditions on the lake and river for water intakes, recreational boating, commercial navigation and hydroelectric power production.

The IJC is proposing to manage water levels with fewer negative environmental impacts on Lake Ontario and the upper St. Lawrence River. Extensive research shows the policies developed in the 1950s have restricted water levels to the extent of degrading coastal wetlands on Lake Ontario and the upper St. Lawrence River. This degradation impacts the health of native plants, birds, fish and other animals. While continuing to reduce extreme high and low water levels, the water management proposal would allow more natural water level patterns on Lake Ontario while retaining benefits downstream. This is expected to

4 See Letter to Elizabeth M. Murphy, Secretary, Commission, from Shinichi Yuhara, dated June 4, 2013.