

20503, Fax: 202-395-6881 (this is not a toll-free number), email:  
*OIRA\_submission@omb.eop.gov.*

**FOR FURTHER INFORMATION CONTACT:**  
 Contact Michel Smyth by telephone at 202-693-4129 (this is not a toll-free number) or by email at  
*DOL\_PRA\_PUBLIC@dol.gov.*

**Authority:** 44 U.S.C. 3507(a)(1)(D).

**SUPPLEMENTARY INFORMATION:** The Prohibited Transaction Class Exemption applicable to security transactions with broker-dealers, reporting dealers, and banks (PTE-75-1) provides exemptions from certain prohibited transaction provisions of the Employment Retirement Income Security Act of 1974 and the Internal Revenue Code for specified types of transactions relating to securities purchases and sales between employee benefit plans and broker-dealers, reporting dealers, and banks; provided that specified conditions are met. Exempted transactions include an employee benefit plan purchasing securities from broker-dealers' stock inventories; underwriting syndicates in which a plan fiduciary is a member; banks; reporting dealers; and market-makers, even if a market-maker is a plan fiduciary. Exempted transactions also include, under certain conditions, a plan accepting an extension of credit from a broker-dealer to facilitate settlement of a securities transaction. Among other conditions, PTE 75-1 requires a party seeking to rely on the exemption with respect to a transaction to maintain adequate records of the transaction for a period of six years.

This information collection is subject to the PRA. A Federal agency generally cannot conduct or sponsor a collection of information, and the public is generally not required to respond to an information collection, unless it is approved by the OMB under the PRA and displays a currently valid OMB Control Number. In addition, notwithstanding any other provisions of law, no person shall generally be subject to penalty for failing to comply with a collection of information that does not display a valid Control Number. See 5 CFR 1320.5(a) and 1320.6. The DOL obtains OMB approval for this information collection under Control Number 1210-0092. OMB authorization for an ICR cannot be for more than three (3) years without renewal, and the current approval is scheduled to expire on May 31, 2013. The DOL seeks OMB to extend PRA authorization for this information collection for three (3) years without any change to existing requirements. It should be noted that existing information collection

requirements submitted to the OMB receive a month-to-month extension while they undergo review. For additional information, see the related notice published in the **Federal Register** on November 27, 2012 (77 FR 70828).

Interested parties are encouraged to send comments to the OMB, Office of Information and Regulatory Affairs at the address shown in the ADDRESSES section within 30 days of publication of this notice in the **Federal Register**. In order to help ensure appropriate consideration, comments should mention OMB Control Number 1210-0092. The OMB is particularly interested in comments that:

- Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;
- Evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;
- Enhance the quality, utility, and clarity of the information to be collected; and
- Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses.

*Agency:* DOL-EBSA.

*Title of Collection:* Prohibited Transaction Class Exemption 75-1, Security Transactions with Broker-Dealers, Reporting Dealers, and Banks.

*OMB Control Number:* 1210-0092.

*Affected Public:* Private Sector—businesses or other for-profits.

*Total Estimated Number of Respondents:* 7,492.

*Total Estimated Number of Responses:* 7,492.

*Total Estimated Annual Burden Hours:* 1,249.

*Total Estimated Annual Other Costs Burden:* \$0.

Dated: May 8, 2013.

**Michel Smyth,**

*Departmental Clearance Officer.*

[FR Doc. 2013-12426 Filed 5-23-13; 8:45 am]

**BILLING CODE 4510-29-P**

## DEPARTMENT OF LABOR

### Office of the Secretary

#### Agency Information Collection Activities; Submission for OMB Review; Comment Request; Petition for Finding Under Employee Retirement Income Security Act

**ACTION:** Notice.

**SUMMARY:** The Department of Labor (DOL) is submitting the Employee Benefits Security Administration (EBSA) sponsored information collection request (ICR) titled, "Petition for Finding under Employee Retirement Income Security Act Section 3(40)," to the Office of Management and Budget (OMB) for review and approval for continued use, without change, in accordance with the Paperwork Reduction Act (PRA) of 1995 (44 U.S.C. 3501 et seq.).

**DATES:** Submit comments on or before June 24, 2013.

**ADDRESSES:** A copy of this ICR with applicable supporting documentation; including a description of the likely respondents, proposed frequency of response, and estimated total burden may be obtained free of charge from the RegInfo.gov Web site at [http://www.reginfo.gov/public/do/PRAViewICR?ref\\_nbr=201304-1210-008](http://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=201304-1210-008) (this link will only become active on the day following publication of this notice) or by contacting Michel Smyth by telephone at 202-693-4129 (this is not a toll-free number) or sending an email to *DOL\_PRA\_PUBLIC@dol.gov*.

Submit comments about this request to the Office of Information and Regulatory Affairs, Attn: OMB Desk Officer for DOL-EBSA, Office of Management and Budget, Room 10235, 725 17th Street NW., Washington, DC 20503, Fax: 202-395-6881 (this is not a toll-free number), email:  
*OIRA\_submission@omb.eop.gov.*

**FOR FURTHER INFORMATION CONTACT:**  
 Contact Michel Smyth by telephone at 202-693-4129 (this is not a toll-free number) or by email at  
*DOL\_PRA\_PUBLIC@dol.gov.*

**Authority:** 44 U.S.C. 3507(a)(1)(D).

**SUPPLEMENTARY INFORMATION:** Regulations 29 CFR 2570.150 et seq. provide procedures for an entity against whom State jurisdiction has been asserted to petition the Secretary to make a finding under Employee Retirement Income Security Act (ERISA) section 3(40)(A)(i) that the entity is established or maintained under or pursuant to one or more collective bargaining agreements. The regulations

establish procedures for initiating an administrative proceeding before the Office of Administrative Law Judges (OALJ) and establish that an OALJ decision shall constitute a finding under ERISA section 3(40)(A)(i). The regulations also provide for an appeal of an OALJ decision to the Secretary. For additional substantive information about this ICR, see the related notice published in the **Federal Register** on November 27, 2012 (77 FR 70828).

This information collection is subject to the PRA. A Federal agency generally cannot conduct or sponsor a collection of information, and the public is generally not required to respond to an information collection, unless it is approved by the OMB under the PRA and displays a currently valid OMB Control Number. In addition, notwithstanding any other provisions of law, no person shall generally be subject to penalty for failing to comply with a collection of information that does not display a valid Control Number. See 5 CFR 1320.5(a) and 1320.6. The DOL obtains OMB approval for this information collection under Control Number 1210-0119.

OMB authorization for an ICR cannot be for more than three (3) years without renewal, and the current approval for this collection is scheduled to expire on May 31, 2013. The DOL seeks to extend PRA authorization for this information collection for three (3) more years, without any change to existing requirements. It should also be noted that existing information collection requirements submitted to the OMB receive a month-to-month extension while they undergo review.

Interested parties are encouraged to send comments to the OMB, Office of Information and Regulatory Affairs at the address shown in the **ADDRESSES** section within 30 days of publication of this notice in the **Federal Register**. In order to help ensure appropriate consideration, comments should mention OMB Control Number 1210-0119. The OMB is particularly interested in comments that:

- Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;
- Evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;
- Enhance the quality, utility, and clarity of the information to be collected; and

- Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses.

*Agency:* DOL-EBSA.

*Title of Collection:* Petition for Finding under Employee Retirement Income Security Act Section 3(40).

*OMB Control Number:* 1210-0119.

*Affected Public:* Private Sector—businesses or other for-profits and not-for-profit institutions.

*Total Estimated Number of Respondents:* 10.

*Total Estimated Number of Responses:* 10.

*Total Estimated Annual Burden Hours:* 50.

*Total Estimated Annual Other Costs Burden:* \$38,454.

Dated: May 17, 2013.

**Michel Smyth,**

*Departmental Clearance Officer.*

[FR Doc. 2013-12378 Filed 5-23-13; 8:45 am]

**BILLING CODE 4510-29-P**

## DEPARTMENT OF LABOR

### Office of the Secretary

#### **Agency Information Collection Activities; Submission for OMB Review; Comment Request; Employee Benefit Plan Claims Procedure Under the Employee Retirement Income Security Act**

**ACTION:** Notice.

**SUMMARY:** The Department of Labor (DOL) is submitting the Employee Benefits Security Administration (EBSA) sponsored information collection request (ICR) titled, "Employee Benefit Plan Claims Procedure under the Employee Retirement Income Security Act," to the Office of Management and Budget (OMB) for review and approval for continued use, without change, in accordance with the Paperwork Reduction Act (PRA) of 1995 (44 U.S.C. 3501 et seq.).

**DATES:** Submit comments on or before June 24, 2013.

**ADDRESSES:** A copy of this ICR with applicable supporting documentation; including a description of the likely respondents, proposed frequency of response, and estimated total burden may be obtained free of charge from the RegInfo.gov Web site at <http://www.reginfo.gov/public/do/>

*PRAViewICR?ref\_nbr=201304-1210-006* (this link will only become active on the day following publication of this notice) or by contacting Michel Smyth by telephone at 202-693-4129 (this is not a toll-free number) or sending an email to [DOL\\_PRA\\_PUBLIC@dol.gov](mailto:DOL_PRA_PUBLIC@dol.gov).

Submit comments about this request to the Office of Information and Regulatory Affairs, Attn: OMB Desk Officer for DOL-EBSA, Office of Management and Budget, Room 10235, 725 17th Street NW., Washington, DC 20503, Fax: 202-395-6881 (this is not a toll-free number), email: [OIRA\\_submission@omb.eop.gov](mailto:OIRA_submission@omb.eop.gov).

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**Authority:** 44 U.S.C. 3507(a)(1)(D).

**SUPPLEMENTARY INFORMATION:** Employee Retirement Income Security Act section 503 and regulations 29 CFR 2560.503-1 require employee benefit plans to establish procedures for resolving benefit claims under the plan, including initial claims and appeal of denied claims. The regulation requires specific information to be disclosed at different stages of the claims process. The regulation also requires claims denial notices to be provided within specific time frames and that the notices include specific information. For additional substantive information about this ICR, see the related notice published in the **Federal Register** on November 27, 2012 (77 FR 70828).

This information collection is subject to the PRA. A Federal agency generally cannot conduct or sponsor a collection of information, and the public is generally not required to respond to an information collection, unless it is approved by the OMB under the PRA and displays a currently valid OMB Control Number. In addition, notwithstanding any other provisions of law, no person shall generally be subject to penalty for failing to comply with a collection of information that does not display a valid Control Number. See 5 CFR 1320.5(a) and 1320.6. The DOL obtains OMB approval for this information collection under Control Number 1210-0053.

OMB authorization for an ICR cannot be for more than three (3) years without renewal, and the current approval for this collection is scheduled to expire on May 31, 2013. This ICR asks the OMB to extend PRA authorization for the information collection for three (3) more years, without any change to existing requirements. It should also be noted that existing information collection