

disadvantage to financial stakeholder by the proposed transfer.

### III.

Accordingly, pursuant to Section 184 of the AEA Act of 1954, as amended and Section 50.80 of 10 CFR, *it is hereby ordered* that the indirect transfer of control of ZNPS, as described herein, is approved.

*It is further ordered* that after receipt of all required regulatory approvals of the proposed indirect transfer, ZS shall inform the Director of the Office of Federal and State Materials and Environmental Management Programs, in writing, of such receipt no later than one (1) business day prior to the closing of the proposed indirect transfer. Should the proposed indirect transfer not be completed within 60 days from the date of issuance of this Order, the Order shall become null and void; however, on written application and for good cause shown, such date may be extended by order.

This Order is effective upon issuance.

For further details with respect to this Order, see the application dated January 10, 2013 (which can be found at Agencywide Documents Access and Management System [ADAMS] Accession Number ML13014A007). Publicly-Available records will be accessible electronically from the ADAMS Public Electronic Reading Room on the Internet at the NRC Web site <http://www.nrc.gov/reading-rm/adams.html>. Persons who do not have access to ADAMS or who encounter problems in accessing the documents located in ADAMS should contact the NRC PDR Reference staff by telephone at 1-800-397-4209, or 301-415-4737 or by email to [pdr@nrc.gov](mailto:pdr@nrc.gov).

Dated at Rockville, Maryland, this 8th day of May 2013.

For the Nuclear Regulatory Commission.

**Mark A. Satorius,**

*Director, Office of Federal and State Materials, and Environmental Management Programs.*

[FR Doc. 2013-11833 Filed 5-16-13; 8:45 am]

BILLING CODE 7590-01-P

## NUCLEAR REGULATORY COMMISSION

### Advisory Committee on Reactor Safeguards (ACRS) Meeting of the ACRS Subcommittee on Materials, Metallurgy & Reactor Fuels; Notice of Meeting

The ACRS Subcommittee on Materials, Metallurgy & Reactor Fuels will hold a meeting on May 22, 2013,

Room T-2B1, 11545 Rockville Pike, Rockville, Maryland.

The entire meeting will be open to public attendance.

The agenda for the subject meeting shall be as follows:

**Wednesday, May 22, 2013—1:00 p.m. Until 3:00 p.m.**

The Subcommittee will review and discuss the use of demonstration program as confirmation of integrity for continued storage of high burnup fuel beyond 20 years. The Subcommittee will hear presentations by and hold discussions with the NRC staff and other interested persons regarding this matter. The Subcommittee will gather information, analyze relevant issues and facts, and formulate proposed positions and actions, as appropriate, for deliberation by the Full Committee.

Members of the public desiring to provide oral statements and/or written comments should notify the Designated Federal Official (DFO), Christopher Brown (Telephone 301-415-7111 or Email: [Christopher.Brown@nrc.gov](mailto:Christopher.Brown@nrc.gov)) five days prior to the meeting, if possible, so that appropriate arrangements can be made. Thirty-five hard copies of each presentation or handout should be provided to the DFO thirty minutes before the meeting. In addition, one electronic copy of each presentation should be emailed to the DFO one day before the meeting. If an electronic copy cannot be provided within this timeframe, presenters should provide the DFO with a CD containing each presentation at least thirty minutes before the meeting. Electronic recordings will be permitted only during those portions of the meeting that are open to the public. Detailed procedures for the conduct of and participation in ACRS meetings were published in the **Federal Register** on October 18, 2012, (77 FR 64146-64147).

Detailed meeting agendas and meeting transcripts are available on the NRC Web site at <http://www.nrc.gov/reading-rm/doc-collections/acrs>. Information regarding topics to be discussed, changes to the agenda, whether the meeting has been canceled or rescheduled, and the time allotted to present oral statements can be obtained from the Web site cited above or by contacting the identified DFO. Moreover, in view of the possibility that the schedule for ACRS meetings may be adjusted by the Chairman as necessary to facilitate the conduct of the meeting, persons planning to attend should check with these references if such rescheduling would result in a major inconvenience.

If attending this meeting, please enter through the One White Flint North building, 11555 Rockville Pike, Rockville, MD. After registering with security, please contact Mr. Theron Brown (Telephone 240-888-9835) to be escorted to the meeting room.

Dated: May 13, 2013.

**Cayetano Santos,**

*Chief, Technical Support Branch, Advisory Committee on Reactor Safeguards.*

[FR Doc. 2013-11831 Filed 5-16-13; 8:45 am]

BILLING CODE 7590-01-P

## NUCLEAR REGULATORY COMMISSION

[NRC-2013-0038]

### Electric Power Research Institute; Seismic Evaluation Guidance

**AGENCY:** Nuclear Regulatory Commission.

**ACTION:** Endorsement letter; issuance.

**SUMMARY:** The U.S. Nuclear Regulatory Commission (NRC) is issuing an endorsement letter of Electric Power Research Institute (EPRI) Report, "Seismic Evaluation Guidance: EPRI Guidance for the Resolution of Fukushima Near-Term Task Force Recommendation 2.1: Seismic," Draft Report, hereafter referred to as the EPRI Guidance.

**ADDRESSES:** You may access information related to this document, which the NRC possesses and is publicly available, by searching on <http://www.regulations.gov> under Docket ID NRC-2013-0038.

- **Federal Rulemaking Web site:** Go to <http://www.regulations.gov> and search for Docket ID NRC-2013-0038. Address questions about NRC dockets to Carol Gallagher; telephone: 301-492-3668; email: [Carol.Gallagher@nrc.gov](mailto:Carol.Gallagher@nrc.gov).

- **NRC's Agencywide Documents Access and Management System (ADAMS):** You may access publicly-available documents online in the NRC Library at <http://www.nrc.gov/reading-rm/adams.html>. To begin the search, select "ADAMS Public Documents" and then select "Begin Web-based ADAMS Search." For problems with ADAMS, please contact the NRC's Public Document Room (PDR) reference staff at 1-800-397-4209, 301-415-4737, or by email to [PDR.Resources@nrc.gov](mailto:PDR.Resources@nrc.gov). The NRC staff's endorsement letter of the EPRI Guidance is available under ADAMS Accession No. ML13106A331. The NRC staff's request for information dated March 12, 2012, is available under ADAMS Accession No. ML12053A340.

- NRC's PDR: You may examine and purchase copies of public documents at the NRC's PDR, Room O1-F21, One White Flint North, 11555 Rockville Pike, Rockville, Maryland 20852.

**FOR FURTHER INFORMATION CONTACT:** Ms. Lisa M. Regner, Japan Lessons-Learned Project Directorate, Office of Nuclear Reactor Regulation, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001; telephone: 301-415-1906; email: [Lisa.Regner@nrc.gov](mailto:Lisa.Regner@nrc.gov).

**SUPPLEMENTARY INFORMATION:**

**I. Background Information**

This EPRI Guidance provides additional information, to be used in combination with the staff-endorsed Screening Prioritization and Implementation Details (SPID) report,<sup>1</sup> on an acceptable strategy to implement interim actions in accordance with item (6) of the Requested Information in Enclosure 1 “Recommendation 2.1: Seismic,” of the NRC staff’s request for information (Section 50.54(f) of Title 10 of the *Code of Federal Regulations* (10 CFR), (the 50.54(f) letter)), “Request for Information Pursuant to 10 CFR 50.54(f) Regarding Recommendations 2.1, 2.3, and 9.3, of the Near-Term Task Force Review of Insights from the Fukushima Dai-ichi Accident,” dated March 12, 2012. In addition, in its April 9, 2013 letter,<sup>2</sup> the Nuclear Energy Institute (NEI) requested modifications to the schedule established in the staff’s 50.54(f) letter. The NRC staff has found the schedule modifications to be acceptable since they account for completion of the EPRI central and eastern United States (CEUS) ground motion model (GMM) update, completion of potential interim actions provided in the EPRI Guidance, and limited available seismic resources.

The NRC issued the 50.54(f) letter following letter dated March 12, 2012, regarding Recommendations 2.1, 2.3, and 9.3, of the Near-Term Task Force (NTTF) Review of Insights from the Fukushima Dai-ichi Accident.<sup>3</sup> The NRC issued the 50.54(f) letter following the staff’s evaluation of the earthquake and tsunami, and resulting nuclear accident, at the Fukushima Dai-ichi nuclear power plant in March 2011.

<sup>1</sup> The SPID report is available in the NRC’s Agencywide Documents Access and Management System (ADAMS) under Accession No. ML1233A170. The staff endorsement letter for the SPID report is available in ADAMS under Accession No. ML12319A074.

<sup>2</sup> The NEI letter, with attachments, is available in ADAMS in a package with Accession No. ML13101A345.

<sup>3</sup> The NTTF Report is available under ADAMS Accession No. ML111861807. The 50.54(f) letter is available under ADAMS Accession No. ML12053A340.

Enclosure 1 to the 50.54(f) letter requests licensees and holders of construction permits under 10 CFR Part 50, “Domestic Licensing of Production and Utilization Facilities,” to reevaluate the seismic hazards at their sites using present-day NRC requirements and guidance, and to identify actions taken or planned to address plant-specific vulnerabilities associated with the updated seismic hazards. Based on this information, the NRC staff will determine if additional regulatory actions are necessary to protect against the updated hazards.

By letter dated February 15, 2013, the NRC staff issued an endorsement letter, with clarifications, of EPRI-1025287, “Seismic Evaluation Guidance: Screening, Prioritization, and Implementation Details (SPID) for the Resolution of Fukushima Near-Term Task Force Recommendation 2.1: Seismic,” referred to as the SPID report. This SPID report describes strategies for the screening, prioritization, and implementation of seismic risk evaluations that are acceptable to the NRC staff, and will assist nuclear power reactor licensees when responding to Enclosure 1 of the 50.54(f) letter.

By letter dated April 9, 2013, the NEI submitted additional guidance to be used to supplement the SPID report for NRC endorsement. The letter also documented the industry’s proposed plan to update the GMM for CEUS plants, and proposed modifications to the schedule for plant seismic reevaluations established in the 50.54(f) letter. The NEI letter, the EPRI Guidance, and additional attachments addressing proposed schedule changes are available in ADAMS under package Accession No. ML13101A345.

**II. Ground Motion Model**

The 50.54(f) letter requested that the licensees whose plants are located in the CEUS use NUREG-2115, “Central and Eastern United States [CEUS] Seismic Source Characterization for Nuclear Facilities” and the appropriate EPRI (2004, 2006) GMM to characterize the seismic hazard for their sites. The industry is currently completing a study to update the EPRI (2004, 2006) GMM based on current data and new ground motion prediction equations developed by seismic experts.

The NRC staff has interacted with NEI, EPRI, and other stakeholders in public meetings since November 2012, for status updates on industry’s efforts to update the CEUS GMM. By letter dated January 31, 2013, the NEI transmitted the EPRI draft document, “Draft—EPRI (2004, 2006) Ground Motion Model (GMM) Review Project”

to the NRC, requesting review and approval by February 27, 2013. For the update of its earlier GMM, EPRI used a significant amount of additional data, conducted field investigations, and used more recent methods than were previously available. In performing the GMM update, EPRI has also addressed the concerns of an independent peer review panel, which is an important part of the Senior Seismic Hazard Analysis Committee (SSHAC) guidelines (these guidelines are discussed in NRC’s NUREG 2117, “Practical Implementation Guidelines for SSHAC Level 3 and 4 Hazard Studies”). Following a review of the NEI submittal, in a public meeting on February 28, 2013, the staff expressed concern with EPRI’s treatment of uncertainty and the level of documentation in the proposed updated GMM. The staff formally documented these concerns by letter dated March 20, 2013.

Subsequently, in a public meeting on March 26, 2013, industry presented a revision of its updated EPRI GMM, which demonstrated significant progress toward addressing the staff’s concerns with respect to the treatment of uncertainty. Industry also proposed a schedule, including further interactions with NRC staff, for completing the development and documentation of the updated EPRI GMM. In order to complete its update of the EPRI GMM and accompanying documentation, and to allow time for the development of site-specific seismic hazard curves, industry proposed a 6 month delay from the schedule outlined in the 50.54(f) letter for the submittal of the seismic hazard reevaluations for CEUS plants.

The staff agrees that updated models, methods, and data will provide licensees with the most current information in order to perform the seismic hazard evaluations requested by the 50.54(f) letter.

**III. EPRI Guidance**

The EPRI Guidance document provides licensees with information on the performance of an Expedited Seismic Evaluation Process. The Expedited Seismic Evaluation Process is a screening, evaluation, and equipment modification process to be conducted by licensees to provide additional seismic margin and expedite plant safety enhancements while more detailed and comprehensive plant seismic risk evaluations are being performed.

The Expedited Seismic Evaluation Process evaluations would be conducted on plants with a new seismic hazard that exceeds their current seismic design basis, and necessary

modifications would be made to certain core and containment cooling components used during the initial plant coping time following a severe external event. The letter states that CEUS licensees will complete non-outage-related Expedited Seismic Evaluation Process equipment modifications by December 2016. Western United States (WUS) licensees will complete non-outage-related Expedited Seismic Evaluation Process equipment modifications by June 2018.

After review of industry's proposed EPRI Guidance, the NRC staff believes that the evaluations and potential near-term equipment modifications associated with the Expedited Seismic Evaluation Process will provide an important demonstration of seismic margin and enhance plant safety while more detailed plant risk evaluations are being conducted by licensees. The staff further concludes that the seismic evaluation guidance for the EPRI Guidance provides an appropriate methodology for licensees to implement and complete the Expedited Seismic Evaluation Process according to the schedule provided in the letter.

#### **IV. Schedule Modifications**

The NEI has proposed two adjustments to the seismic hazard reevaluations at nuclear power plant sites: (1) to complete the update of the EPRI GMM for the CEUS, and (2) to implement the EPRI Guidance. These proposed changes affect the schedule outlined in the 50.54(f) letter.

First, the industry has requested additional time to complete the updated EPRI GMM project, including documentation and interactions with the NRC staff. The project documentation is scheduled to be submitted to the NRC on June 3, 2013. Pending approval by the staff, the CEUS licensees will use the updated model to complete the site-specific seismic hazard reevaluations specified in Enclosure 1 to the SPID guidance. Currently, the hazard submittals are requested by September 2013; however, industry has requested to submit the hazard evaluations by March 31, 2014. The industry stated in its letter that it will not delay submittal of items 3.a. "Description of Subsurface Materials and Properties," and 3.b. "Development of Base Case Profiles and Nonlinear Material Properties" of Section 4 of Enclosure 1 to the SPID guidance. Licensees intend to submit these items in September 2013 for the staff's review. This will allow the staff to begin its review in accordance with the original schedule and complete a significant portion of the Section 4 review on time.

The staff finds that the schedule modifications discussed above for CEUS plants are acceptable because the updated GMM will provide the CEUS operating nuclear plant fleet with a model developed using the most recent data and methodologies available for their seismic hazard reevaluations. Additionally, the partial submittal in September 2013 will allow the staff to complete a portion of its CEUS review as originally scheduled by the 50.54(f) letter.

Second, the industry has requested modifications to the 50.54(f) letter schedule to allow for implementation of the EPRI Guidance interim actions for those nuclear power plants where the reevaluated seismic hazard exceeds the plant's design basis. These schedule modifications allow for completion of Expedited Seismic Evaluation Process for CEUS plants by December 2016, if the modifications do not require a plant shutdown to access equipment. For WUS plants, the Expedited Seismic Evaluation Process modifications will be completed by June 2018, if the modifications do not require a plant shutdown to access equipment.

For plants requiring a seismic risk analysis (i.e., those with a reevaluated seismic hazard that exceeds the current seismic design basis), the 50.54(f) letter states that the staff will perform a prioritization for both the CEUS and WUS plants into two priority groups, and possibly a third, if needed. Under industry's proposed schedule, the higher priority CEUS plants will complete their risk evaluations by June 2017 (originally scheduled for October 2016). This delay is primarily due to the additional time needed to complete the EPRI GMM update project. The second group of CEUS plants will complete their risk evaluations by December 2019. This is about a two-year delay from the schedule specified in the 50.54(f) letter for the lower priority plants to complete their risk evaluations. Conversely, the letter proposes an earlier completion date of June 2017 for the risk evaluations for the higher priority WUS plants.

The staff finds that the schedule modifications discussed above for CEUS and WUS nuclear power plants are acceptable, since the Expedited Seismic Evaluation Process provides for near-term seismic evaluations and expedited equipment modifications at the plants that will offer additional assurance that plants will operate safely during a beyond design basis seismic event. Furthermore, the schedule modifications account for limited seismic resources available to both the NRC and the industry. The schedule

modifications provide for completion of the higher priority CEUS plant risk evaluations by the end of June 2017, which is not a significant extension of the original 50.54(f) letter schedule of October 2016. In addition, the schedule proposes an earlier completion date for the higher priority risk evaluations for the WUS plants.

#### **V. Basis for Endorsement**

The NRC staff interacted with the stakeholders on development of the EPRI Guidance report with a focus on guidance on potential interim actions to be implemented for plants where the reevaluated seismic hazard exceeds the current seismic design basis. The EPRI Guidance report is the product of considerable interaction between the NRC, NEI, EPRI, and other stakeholders at five public meetings<sup>4</sup> over a 5-month period. These interactions and the insights gained from the meetings allowed for the development of this document in a very short time frame. The meetings helped develop the expectations for how licensees would perform potential interim actions after updating their seismic hazard information. At each meeting, the NRC staff provided its comments on the current version of the EPRI Guidance and discussed with stakeholders subsequent proposed revisions to the document. This iterative process, over several months, resulted in the final version of the document. The NRC staff's endorsement of the EPRI Guidance is based on this cumulative development process resulting from the interactions between stakeholders and the NRC staff. This is the same process employed successfully in the development of the SPID guidance.

The staff has determined that the EPRI Guidance will provide an important demonstration of seismic margin and enhanced plant safety through evaluations and potential near-term modifications of certain core and containment cooling equipment while more comprehensive plant seismic risk evaluations are being performed. The NRC staff also has determined that the schedule modifications provided in the NEI's April 9, 2013, letter are acceptable because the schedule accounts for seismic resource limitations, EPRI's completion of the update to the GMM for the CEUS, and implementation of the EPRI Guidance evaluations and actions.

<sup>4</sup> Public meetings were held on November 2 and 14 and December 13, 2012; and February 14 and March 26, 2013.

## VI. Backfitting and Issue Finality

This endorsement letter does not constitute backfitting as defined in 10 CFR 50.109, “Backfitting” (the Backfit Rule). This endorsement letter provides additional guidance on an acceptable method for implementing the interim actions described in item (6) of the Requested Information in Enclosure 1, “Recommendation 2.1: Seismic,” of the 50.54(f) letter. Licensees and construction permit holders may voluntarily use the guidance in the EPRI Guidance to comply with the requested interim action portion of the 50.54(f) letter. Methods, analyses, or solutions that differ from those described in the EPRI Guidance report may be deemed acceptable if they provide sufficient basis and information for the NRC staff to verify that the proposed alternative is acceptable.

## VII. Congressional Review Act

This endorsement letter is a rule as designated in the Congressional Review Act (5 U.S.C. 801–808). The Office of Management and Budget has found that this is a major rule in accordance with the Congressional Review Act.

Dated at Rockville, Maryland, this 7th day of May 2013.

For the Nuclear Regulatory Commission.

**Eric J. Leeds,**

*Director, Office of Nuclear Reactor Regulation.*

[FR Doc. 2013-11847 Filed 5-16-13; 8:45 am]

BILLING CODE 7590-01-P

## SECURITIES AND EXCHANGE COMMISSION

### Submission for OMB Review; Comment Request

#### Upon Written Request, Copies Available

*From:* Securities and Exchange Commission, Office of Investor Education and Advocacy, Washington, DC 20549-0213.

#### Extension:

Rule 19b-4(e) and Form 19b-4(e); SEC File No. 270-447; OMB Control No. 3235-0504.

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*) the Securities and Exchange Commission (“Commission”) has submitted to the Office of Management and Budget (OMB) a request for approval of extension of the previously approved collection of information provided for in Rule 19b-4(e) (17 CFR 240.19b-4(e)) under the Securities Exchange Act of 1934 (15 U.S.C. 78a *et seq.*) (the “Act”).

Rule 19b-4(e) permits a self-regulatory organization (“SRO”) to list and trade a new derivative securities product without submitting a proposed rule change pursuant to Section 19(b) of the Act (15 U.S.C. 78s(b)), so long as such product meets the criteria of Rule 19b-4(e) under the Act. However, in order for the Commission to maintain an accurate record of all new derivative securities products traded on the SROs, Rule 19b-4(e) requires an SRO to file a summary form, Form 19b-4(e), to notify the Commission when the SRO begins trading a new derivative securities product that is not required to be submitted as a proposed rule change to the Commission. Form 19b-4(e) should be submitted within five business days after an SRO begins trading a new derivative securities product that is not required to be submitted as a proposed rule change. In addition, Rule 19b-4(e) requires an SRO to maintain, on-site, a copy of Form 19b-4(e) for a prescribed period of time.

This collection of information is designed to allow the Commission to maintain an accurate record of all new derivative securities products traded on the SROs that are not deemed to be proposed rule changes and to determine whether an SRO has properly availed itself of the permission granted by Rule 19b-4(e). The Commission reviews SRO compliance with Rule 19b-4(e) through its routine inspections of the SROs.

The respondents to the collection of information are SROs (as defined by the Act), all of which are national securities exchanges. As of March 2013, there are seventeen entities registered as national securities exchanges with the Commission. The Commission receives an average total of 3,879 responses per year, which corresponds to an estimated annual response burden of 3,879 hours. At an average hourly cost of \$63, the aggregate related cost of compliance with Rule 19b-4(e) is \$244,377 (3,879 burden hours multiplied by \$63/hour).

Compliance with Rule 19b-4(e) is mandatory. Information received in response to Rule 19b-4(e) shall not be kept confidential; the information collected is public information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

The public may view background documentation for this information collection at the following Web site, [www.reginfogov](http://www.reginfogov). Comments should be directed to: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and

Budget, Room 10102, New Executive Office Building, Washington, DC 20503, or by sending an email to: [Shagufta\\_Ahmed@omb.eop.gov](mailto:Shagufta_Ahmed@omb.eop.gov); and (ii) Thomas Bayer, Director/Chief Information Officer, Securities and Exchange Commission, c/o Remi Pavlik-Simon, 6432 General Green Way, Alexandria, VA 22312 or send an email to: [PRA\\_Mailbox@sec.gov](mailto:PRA_Mailbox@sec.gov). Comments must be submitted to OMB within 30 days of this notice.

Dated: May 14, 2013.

**Elizabeth M. Murphy,**  
*Secretary.*

[FR Doc. 2013-11784 Filed 5-16-13; 8:45 am]

BILLING CODE 8011-01-P

## SECURITIES AND EXCHANGE COMMISSION

### Sunshine Act Meeting.

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Public Law 94-409, that the Securities and Exchange Commission will hold a Closed Meeting on Thursday, May 23, 2013 at 2:00 p.m.

Commissioners, Counsel to the Commissioners, the Secretary to the Commission, and recording secretaries will attend the Closed Meeting. Certain staff members who have an interest in the matters also may be present.

The General Counsel of the Commission, or her designee, has certified that, in her opinion, one or more of the exemptions set forth in 5 U.S.C. 552b(c)(3), (5), (7), 9(B) and (10) and 17 CFR 200.402(a)(3), (5), (7), 9(ii) and (10), permit consideration of the scheduled matters at the Closed Meeting.

Commissioner Aguilar, as duty officer, voted to consider the items listed for the Closed Meeting in a closed session.

The subject matter of the Closed Meeting will be:

Institution and settlement of injunctive actions;

Institution and settlement of administrative proceedings; and

Other matters relating to enforcement proceedings.

At times, changes in Commission priorities require alterations in the scheduling of meeting items.

For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact the Office of the Secretary at (202) 551-5400.