

Control(s) Country chart  
 \* \* \* \* \*  
 License Requirements Notes: \* \* \*  
 \* \* \* \* \*

List of Items Controlled

Unit: \* \* \*  
 Related Controls: (1) See also 9E102. (2) See also 1E002.f for "technology" for the repair of controlled structures, laminates or materials. (3) "Technology" that is required for the "production" of equipment described in ECCNs 9A004 (except for items that are subject to the EAR) or 9A005 to 9A011 is subject to the export licensing authority of the U.S. Department of State, Directorate of Defense Trade Controls (see 22 CFR part 121).  
 Related Definitions: \* \* \*  
 Items: \* \* \*

■ 19. In Supplement No. 1 to part 774 (the Commerce Control List), Category 9—Aerospace and Propulsion, ECCN 9E101 is amended by revising the heading of the ECCN and by revising the "Related Controls" paragraph in the List of Items Controlled to read as follows:

9E101 "Technology" according to the General Technology Note for the "development" or "production" of commodities or "software" controlled by ECCN 9A012, 9A101 (except for items in 9A101.b that are subject to the ITAR, see 22 CFR part 121), 9A106.d or .e, 9A110 (for items that are "specially designed" for non-military unmanned air vehicles controlled by 9A012), 9C110, 9D101, or 9D104.  
 \* \* \* \* \*

List of Items Controlled

Unit: \* \* \*  
 Related Controls: "Technology" that is required for items specified in ECCNs 9A101.b (except for items that are subject to the EAR), 9A104, 9A105, 9A106.a, .b, and .c, 9A107 to 9A109, 9A110 (for items that are "specially designed" for use in missile systems and subsystems), 9A111, 9A115 to 9A119, 9D103, and 9D105 is subject to the export licensing authority of the U.S. Department of State, Directorate of Defense Trade Controls (see 22 CFR part 121).  
 Related Definitions: \* \* \*  
 Items: \* \* \*

■ 20. In Supplement No. 1 to part 774 (the Commerce Control List), Category 9—Aerospace and Propulsion, ECCN 9E102 is amended by revising the heading of the ECCN and by revising the "Related Controls" paragraph in the List of Items Controlled to read as follows:

9E102 "Technology" according to the General Technology Note for the "use" of commodities or "software" controlled by ECCN 9A004 (except for items in 9A004 that are subject to the ITAR, see 22 CFR part 121), 9A012, 9A101 (except for items in 9A101.b that are subject to the ITAR, see 22 CFR part 121), 9A106.d

or .e, 9A110 (for items that are "specially designed" for non-military unmanned air vehicles controlled by 9A012), 9B105, 9B106, 9B115, 9B116, 9D101, or 9D104.  
 \* \* \* \* \*

List of Items Controlled

Unit: \* \* \*  
 Related Controls: (1) For the purpose of this entry, "use" "technology" is limited to items controlled for MT and their subsystems. (2) "Technology" for items specified in ECCNs 9A004 (except for items that are subject to the EAR), 9A005 to 9A011, 9A101.b (except for items that are subject to the EAR), 9A104, 9A105, 9A106.a, .b and .c, 9A107 to 9A109, 9A110 (for items that are "specially designed" for use in missile systems and subsystems), 9A111, 9A115 to 9A119, 9D103, and 9D105 is subject to the export licensing authority of the U.S. Department of State, Directorate of Defense Trade Controls (see 22 CFR part 121).  
 Related Definitions: \* \* \*  
 Items: \* \* \*

■ 21. In Supplement No. 1 to part 774 (the Commerce Control List), Category 9—Aerospace and Propulsion, add a new ECCN 9E604 between ECCNs 9E102 and 9E990 to read as follows:

9E604 "Technology" "required" for the "development," "production," operation, installation, maintenance, repair, overhaul, or refurbishing of commodities controlled by ECCN 9A604 or 9B604, or "software" controlled by ECCN 9D604.

License Requirements

Reason for Control: NS, RS, MT, AT

Control(s)	Country chart
NS applies to entire entry .....	NS Column 1
RS applies to entire entry .....	RS Column 1
MT applies to "technology," as described in paragraph .a of this entry, for commodities and "software" controlled for MT reasons in ECCN 9A604, 9B604 or 9D604.	MT Column 1
AT applies to entire entry .....	AT Column 1

License Exceptions

CIV: N/A  
 TSR: N/A  
 STA: Paragraph (c)(2) of License Exception STA (§ 740.20(c)(2) of the EAR) may not be used for any item in this ECCN 9E604.

List of Items Controlled

Unit: \$ value  
 Related Controls: (1) Technical data directly related to articles enumerated in USML Category IV is controlled under USML Category IV(i). (2) See also ECCNs 9E002, 9E101, and 9E102 for controls on "technology" for the "development," "production," and "use" of missiles and related items controlled on the CCL.

Related Definitions: N/A  
 Items:  
 a. "Technology" "required" for the "development," "production," operation, installation, maintenance, repair, overhaul, or refurbishing of commodities controlled by ECCN 9A604 or 9B604, or "software" controlled by ECCN 9D604.  
 b. [RESERVED]

Dated: January 18, 2013.

Kevin J. Wolf,  
 Assistant Secretary for Export Administration.

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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Food and Drug Administration

21 CFR Parts 1, 16, 106, 110, 112, 114, 117, 120, 123, 129, 179, and 211

[Docket Nos. FDA-2011-N-0920 and FDA-2011-N-0921]

Food and Drug Administration Food Safety Modernization Act: Proposed Rules To Establish Standards for the Growing, Harvesting, Packing, and Holding of Produce for Human Consumption and for Current Good Manufacturing Practice and Hazard Analysis and Risk-Based Preventive Controls for Human Food; Public Meeting

AGENCY: Food and Drug Administration, HHS.

ACTION: Notification of public meeting.

SUMMARY: The Food and Drug Administration (FDA) is announcing a public meeting to discuss the proposed rules to establish standards for the growing, harvesting, packing, and holding of produce for human consumption (the produce safety proposed rule) and for current good manufacturing practice and hazard analysis and risk-based preventive controls for human food (the preventive controls proposed rule), which are the first of several proposed rules that would establish the foundation of, and central framework for, the modern food safety system envisioned by Congress in the FDA Food Safety Modernization Act (FSMA). The purpose of the public meeting is to solicit oral stakeholder and public comments on the proposed rules and to inform the public about the rulemaking process (including how to submit comments, data, and other information to the rulemaking dockets), and to respond to questions about the proposed rules.

**DATES:** See section II “How to Participate in the Public Meeting” in the **SUPPLEMENTARY INFORMATION** section of this document for date and time of the public meeting, closing dates for advance registration, and information on deadlines for submitting either electronic or written comments to FDA’s Division of Dockets Management.

**ADDRESSES:** See section II “How to Participate in the Public Meeting” in the **SUPPLEMENTARY INFORMATION** section of this document.

**FOR FURTHER INFORMATION CONTACT:** For questions about registering for the meeting, to register by phone, or to submit a notice of participation by mail, fax, or email: Courtney Treece, Planning Professionals, Ltd., 1210 West McDermott Dr., suite 111, Allen, TX 75013, 704–258–4983, FAX: 469–854–6992, email:

[ctreece@planningprofessionals.com](mailto:ctreece@planningprofessionals.com).

For general questions about the meeting, to request an opportunity to make an oral presentation at the public meeting, to submit the full text, comprehensive outline, or summary of an oral presentation, or for special accommodations due to a disability, contact: Juanita Yates, Center for Food Safety and Applied Nutrition (HFS–009), Food and Drug Administration, 5100 Paint Branch Pkwy., College Park, MD 20740, 240–402–1731, email: [Juanita.yates@fda.hhs.gov](mailto:Juanita.yates@fda.hhs.gov).

#### **SUPPLEMENTARY INFORMATION:**

##### **I. Background**

FSMA (Pub. L. 111–353), was signed into law by President Obama on January 4, 2011, to better protect public health by helping to ensure the safety and security of the food supply. FSMA amends the Federal Food, Drug, and Cosmetic Act (the FD&C Act) to establish the foundation of a modernized, prevention-based food safety system. Among other things, FSMA requires FDA to issue regulations requiring preventive controls for human and animal food and set standards for produce safety.

FSMA was the first major legislative reform of FDA’s food safety authorities in more than 70 years, even though FDA has increased the focus of its food safety efforts on prevention over the past several years. For example, applying the concept of Hazard Analysis and Critical Control Point (HACCP) that was pioneered by industry in the late 1960s, FDA established HACCP-based regulations for seafood (21 CFR part 123) in 1995 (60 FR 65096, December 18, 1995) and for juice (21 CFR part 120) in 2001 (66 FR 6138, January 19, 2001). Similarly, in 1996, the U.S. Department

of Agriculture’s Food Safety and Inspection Service instituted HACCP-based rules for meat and poultry (9 CFR part 417) (61 FR 38806, July 25, 1996).

In the **Federal Register** of January 16, 2013 (78 FR 3503 and 78 FR 3646), FDA announced the establishment of two dockets so that the public can review the produce safety proposed rule and the preventive controls proposed rule and submit comments to the Agency. These proposed rulemakings are the first of several key proposals in furtherance of FSMA’s food safety mandate. The produce safety proposed rule would establish science-based minimum standards for the safe growing, harvesting, packing, and holding of produce, meaning fruits and vegetables, grown for human consumption. The produce safety proposed rule would set forth procedures, processes, and practices that FDA expects would reduce foodborne illness associated with the consumption of produce. The produce safety proposed rule and related fact sheets are available on FDA’s FSMA Web page located at <http://www.fda.gov/Food/FoodSafety/FSMA/default.htm>.

The preventive controls proposed rule would apply to human food and require domestic and foreign facilities that are required to register under the FD&C Act to have written plans that identify hazards, specify the steps that will be put in place to minimize or prevent those hazards, monitor results, and act to correct problems that arise. The preventive controls proposed rule and related fact sheets are available on FDA’s FSMA Web page located at <http://www.fda.gov/Food/FoodSafety/FSMA/default.htm>.

FDA is announcing a series of public meetings entitled “The Food Safety Modernization Act Public Meeting on Proposed Rules for Produce Safety and for Preventive Controls for Human Food” so that the food industry, consumers, foreign governments, and other stakeholders can evaluate and comment on the proposals. The Washington, DC public meeting is the first of three that the Agency will hold during the proposed rules’ comment period. We intend to hold the additional public meetings in Chicago, IL and Portland, OR. Specific locations, dates, and registration information for these meetings will appear in a separate **Federal Register** document to publish shortly. All three public meetings will have the same agenda and are intended to facilitate and support the proposed rules’ evaluation and commenting process.

##### **II. How To Participate in the Public Meeting**

FDA is holding the public meeting on the produce safety proposed rule and the preventive controls proposed rule to inform the public about the rulemaking process, including how to submit comments, data, and other information to the rulemaking docket; to respond to questions about the proposed rules; and to provide an opportunity for interested persons to make oral presentations. Due to limited space and time, FDA encourages all persons who wish to attend the meeting to register in advance. There is no fee to register for the public meeting, and registration will be on a first-come, first-served basis. Early registration is recommended because seating is limited. Onsite registration will be accepted, as space permits, after all preregistered attendees are seated.

Those requesting an opportunity to make an oral presentation during the time allotted for public comment at the meeting are asked to submit a request and to provide the specific topic or issue to be addressed. Due to the anticipated high level of interest in presenting public comment and limited time available, FDA is allocating 3 minutes to each speaker to make an oral presentation. Speakers will be limited to making oral remarks; there will not be an opportunity to display materials such as slide shows, videos, or other media during the meeting. If time permits, individuals or organizations that did not register in advance may be granted the opportunity to make an oral presentation. FDA would like to maximize the number of individuals who make a presentation at the meeting and will do our best to accommodate all persons who wish to make a presentation or express their opinions at the meeting.

FDA encourages persons and groups who have similar interests to consolidate their information for presentation by a single representative. After reviewing the presentation requests, FDA will notify each participant before the meeting of the approximate time their presentation is scheduled to begin, and remind them of the presentation format (i.e., 3-minute oral presentation without visual media).

While oral presentations from specific individuals and organizations will be necessarily limited due to time constraints during the public meeting, stakeholders may submit electronic or written comments discussing any issues of concern to the administrative record (the docket) for the rulemaking. All relevant data and documentation should

be submitted with the comments to the relevant docket (i.e., for the produce safety proposed rule, Docket No. FDA-

2011-N-0921; and for the preventive controls proposed rule, Docket No. FDA-2011-N-0920).

Table 1 of this document provides information on participation in the public meetings:

TABLE 1—INFORMATION ON PARTICIPATION IN THE MEETINGS AND ON SUBMITTING COMMENTS TO THE RULEMAKING DOCKETS

	Date	Electronic address	Address	Other information
Public meeting .....	February 28, 2013, from 8:30 a.m. to 5 p.m. and March 1, 2013, from 8:30 a.m. to 12 p.m.	.....	Jefferson Auditorium U.S. Department of Agriculture (USDA), Wing 5 Entrance, 14th and Independence Ave. SW., Washington, DC 20024. <i>Photo ID Required.</i>	Onsite registration both days from 8 a.m. to 8:30 a.m.
Advance registration ..	By February 20, 2013	Individuals who wish to participate in person are asked to preregister at <a href="http://www.fda.gov/Food/NewsEvents/WorkshopsMeetingsConferences/default.htm">http://www.fda.gov/Food/NewsEvents/WorkshopsMeetingsConferences/default.htm</a> .	We encourage you to use electronic registration if possible <sup>1</sup> .	There is no registration fee for the public meetings. Early registration is recommended because seating is limited.
Request to make an oral presentation.	By February 8, 2013 ..	<a href="http://www.fda.gov/Food/NewsEvents/WorkshopsMeetingsConferences/default.htm">http://www.fda.gov/Food/NewsEvents/WorkshopsMeetingsConferences/default.htm</a> <sup>2</sup> .		Requests made on the day of the meeting to make an oral presentation will be granted as time permits. Information on requests to make an oral presentation may be posted without change to <a href="http://www.regulations.gov">http://www.regulations.gov</a> , including any personal information provided.
Request special accommodations due to a disability.	By February 15, 2013	Juanita Yates, email: <a href="mailto:Juanita.yates@fda.hhs.gov">Juanita.yates@fda.hhs.gov</a> .	See <b>FOR FURTHER INFORMATION CONTACT.</b>	
Submit electronic or written comments.	By May 16, 2013 .....	Docket Nos. FDA-2011-N-0920 and FDA-2011-N-0921. Preventive Controls for Human Food Proposed Rule: <a href="http://www.regulations.gov/#/docketDetail;D=FDA-2011-N-0920">http://www.regulations.gov/#/docketDetail;D=FDA-2011-N-0920</a> . Produce Safety Proposed Rule: <a href="http://www.regulations.gov/#/docketDetail;D=FDA-2011-N-0921">http://www.regulations.gov/#/docketDetail;D=FDA-2011-N-0921</a> .		

<sup>1</sup> You may also register via email, mail, or fax. Please include your name, title, firm name, address, and phone and FAX numbers in your registration information and send to Courtney Treece (see **FOR FURTHER INFORMATION CONTACT**). Onsite registration will also be available.

<sup>2</sup> You may also request to make an oral presentation at the public meeting via email. Please include your name, title, firm name, address, and phone and fax numbers as well as the full text, comprehensive outline, or summary of your oral presentation, and send to Juanita Yates (see **FOR FURTHER INFORMATION CONTACT**).

**III. Comments, Transcripts, and Recorded Video**

Information and data submitted voluntarily to FDA during the public meeting will become part of the administrative record for the relevant rulemaking and will be accessible to the public at <http://www.regulations.gov>. The transcript of the proceedings from the public meeting will become part of the administrative record for each of the rulemakings. Please be advised that as soon as a transcript is available, it will be accessible at <http://www.regulations.gov> and at FDA's FSMA Web site at <http://www.fda.gov/>

[Food/FoodSafety/FSMA/](http://www.fda.gov/Food/FoodSafety/FSMA/). It may also be viewed at the Division of Dockets Management (HFA-305), Food and Drug Administration, 5630 Fishers Lane, rm. 1061, Rockville, MD 20852. A transcript will also be available in either hardcopy or on CD-ROM, after submission of a Freedom of Information request. Written requests are to be sent to the Division of Freedom of Information (ELEM-1029), 12420 Parklawn Dr., Element Bldg., Rockville, MD 20857. Additionally, FDA will be video recording the public meeting. Once the recorded video is available, it will be accessible at FDA's FSMA Web site at

<http://www.fda.gov/Food/FoodSafety/FSMA/>.

Dated: January 24, 2013.

**Leslie Kux,**  
Assistant Commissioner for Policy.  
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