

# Rules and Regulations

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## SECURITIES AND EXCHANGE COMMISSION

### 17 CFR Part 240

[Release No. 34-68357A; File No. S7-44-10]

RIN 3235-AK87

#### Extension of Dates for Certain Requirements and Amendment of Form 19b-4

**AGENCY:** Securities and Exchange Commission.

**ACTION:** Final rule; extension of dates for certain requirements; correction.

**SUMMARY:** On December 10, 2012, the Securities and Exchange Commission (“Commission”) published a document in the *Federal Register* to amend its regulations under the Securities Exchange Act of 1934 (“Exchange Act”) to extend the dates with respect to the requirements that designated clearing agencies for which the Commission is the supervisory agency file advance notices and clearing agencies file security-based swap submissions with the Commission in an electronic format to dedicated email addresses to December 10, 2013, and amend the General Instructions to Form 19b-4 to clarify the process for submitting advance notices and security-based swap submissions to the Commission. The document contained an error with respect to the placement and numbering of a footnote.

**DATES:** Effective December 18, 2012.

**FOR FURTHER INFORMATION CONTACT:** Kenneth Riitho, Special Counsel, at 551-5592; and Wyatt A. Robinson, Attorney-Adviser, at 551-5649, Division of Trading and Markets, Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549-7010.

**SUPPLEMENTARY INFORMATION:**

#### Correction

In the *Federal Register* of December 10, 2012 (77 FR 73302), in FR Doc. 2012-29712, the following corrections are made to page 73305:

1. In the second column, remove footnote 22.
2. In the second column, under General Instructions for Form 19b-4, the first sentence is corrected to read as follows:

“This form shall be used for all self-regulatory organization filings of proposed rule changes pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”) (except filings with respect to the proposed rule changes by self-regulatory organizations submitted pursuant to Section 19(b)(7)<sup>1</sup> of the Act), security-based swap submissions, and advance notices.”

\* \* \* \* \*

December 12, 2012.

Kevin M. O’Neill,

Deputy Secretary.

[FR Doc. 2012-30389 Filed 12-17-12; 8:45 am]

**BILLING CODE** 8011-01-P

## DEPARTMENT OF HOMELAND SECURITY

### Coast Guard

#### 33 CFR Part 117

[Docket No. USCG-2011-1138]

RIN 1625-AA09

#### Drawbridge Operation Regulation; Sacramento River, CA

**AGENCY:** Coast Guard, DHS.

**ACTION:** Final rule.

**SUMMARY:** The Coast Guard is changing the operating schedule governing the Freeport Drawbridge, mile 46.0, over the Sacramento River. The bridge owner proposed to change the “on demand” bridge opening hours and dates, due to a documented decrease in drawbridge openings compared to other nearby bridges. The change is to address the issue of misalignment between drawbridge staffing and actual drawbridge operation, resulting in

<sup>1</sup> Because Section 19(b)(7)(C) of the Act states that filings abrogated pursuant to this Section should be re-filed pursuant to paragraph (b)(1) of Section 19 of the Act, SROs are required to file electronically such proposed rule changes in accordance with this form.

unnecessary staffing of the drawbridge during periods of navigational inactivity.

**DATES:** This rule is effective January 17, 2013.

**ADDRESSES:** Comments and related materials received from the public, as well as documents mentioned in this preamble as being available in the docket, are part of Docket No. USCG-2011-1138 and are available online by going to <http://www.regulations.gov>, inserting USCG-2011-1138 in the “Search” box, and then clicking “Search.” This material is also available for inspection or copying at the Docket Management Facility (M-30), U.S. Department of Transportation, West Building Ground Floor, Room W12-140, 1200 New Jersey Avenue SE., Washington, DC 20590, between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays.

**FOR FURTHER INFORMATION CONTACT:** If you have questions on this rule, call or email David H. Sulouff, Chief, Bridge Section, Eleventh Coast Guard District; telephone (510) 437-3516, email [David.H.Sulouff@uscg.mil](mailto:David.H.Sulouff@uscg.mil). If you have questions on viewing or submitting material to the docket, call Renee V. Wright, Program Manager, Docket Operations, telephone 202-366-9826.

#### SUPPLEMENTARY INFORMATION:

##### Table of Acronyms

CFR Code of Federal Regulations  
DHS Department of Homeland Security  
FR *Federal Register*  
NPRM Notice of Proposed Rulemaking  
ANPRM Advanced Notice of Proposed Rulemaking  
§ Section  
U.S.C. United States Code

#### A. Regulatory History and Information

On January 25, 2012, we published an Advance Notice of Proposed Rulemaking (ANPRM), entitled Drawbridge Operation Regulation; Sacramento River, CA in the *Federal Register* (77 FR 3664). On August 10, 2012, we published a Notice of Proposed Rulemaking (NPRM), entitled Drawbridge Operation Regulation, Sacramento River, CA, in the *Federal Register* (77 FR 47789). No public meeting was requested, and none was held.

#### B. Basis and Purpose

The Freeport Drawbridge is a swing span style drawbridge at mile 46.0, over