

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Substance Abuse and Mental Health Services Administration

#### Agency Information Collection Activities; Proposed Collection; Comment Request

In compliance with Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995 concerning opportunity for public comment on proposed collections of information, the Substance Abuse and Mental Health Services Administration will publish periodic summaries of proposed projects. To request more information on the proposed projects or to obtain a copy of the information collection plans, call the SAMHSA Reports Clearance Officer on (240) 276-1243.

*Comments are invited on:* (a) Whether the proposed collections of information are necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

#### Proposed Project: National Child Traumatic Stress Initiative (NCTSI) Evaluation—(OMB No. 0930-0276)—Revision

The Substance Abuse and Mental Health Services Administration's (SAMHSA), Center for Mental Health Services (CMHS), will conduct the National Child Traumatic Stress Initiative (NCTSI) Evaluation. This evaluation serves multiple practical purposes: (1) To collect and analyze descriptive, outcome, and service experience information about the children and families served by the NCTSN; (2) to assess the NCTSN's impact on access to high-quality, trauma-informed care; (3) to evaluate NCTSN centers' training and consultation activity designed to promote evidence-based, trauma-informed services and the impact of such activity on child-serving systems; and (4) to assess the sustainability of the grant-funded activities to improve access to and quality of care for trauma-exposed children and their families beyond the grant period.

Data will be collected from caregivers and youth served by NCTSN centers, NCTSN and non-NCTSN administrators, NCTSN trainers, service providers trained by NCTSN centers and other training participants, administrators of mental health and non-mental health professionals from state and national child-serving organizations, and administrators of affiliate centers. Data collection will take place in all Community Treatment and Services Programs (CTS) and Treatment and Service Adaptation Centers (TSA) active during the three-year approval period. Currently, there are 45 CTS centers and 17 TSA centers active (*i.e.*, 62 active centers). After the first year, in September 2011, the 15 grantees funded in 2007 will reach the end of their data collection. At that point, additional centers may be funded or funded again. Because of this variability, the estimate of 62 centers is used to calculate burden.

The NCTSI Evaluation is composed of four distinct study components, each of which involve data collection, which are described below.

#### Descriptive and Clinical Outcomes

In order to describe the children served, their trauma histories and their clinical and functional outcomes, nine instruments will be used to collect data from children and adolescents who are receiving services in the NCTSN, and from caregivers of all children who are receiving NCTSN services. Data will be collected when the child/youth enters services and during subsequent follow-up sessions at three-month intervals over the course of one year. This study relies upon the use of data already being collected as a part of the Network's Core Data Set, and includes the following instruments:

- The Core Clinical Characteristics Form, which collects demographic, psychosocial and clinical information about the child being served including information about the child's domestic environment and insurance status, indicators of the severity of the child's problems, behaviors and symptoms, and use of non-Network services;
- The Trauma Information/Detail Form, which collects information on the history of trauma(s) experienced by the child being served in the NCTSN including the type of trauma experienced, the age at which the trauma was experienced, type of exposure, whether or not the trauma is chronic, and the setting and perpetrator(s) associated with the traumatic experience;
- The Child Behavior Checklist (CBCL) 1.5-5 and 6-18, which measure

symptoms in such domains such as emotionally reactive, anxious/depressed, somatic complaints, withdrawn, attention problems, aggressive behavior, sleep problems, rule-breaking behavior, social problems, thought problems, and withdrawn/depressed;

- The UCLA PTSD Short Form, which screens for exposure to traumatic events and for all DSM-IV PTSD symptoms in children who report traumatic stress experiences; and the

- The Trauma Symptoms Checklist for Children, which evaluates acute and chronic posttraumatic stress symptoms in children's responses to unspecified traumatic events across several symptom domains.

- The Trauma Symptoms Checklist for Young Children (TSCYC), which is a 90-item caretaker-report instrument developed for the assessment of trauma-related symptoms in children ages 3 to 12.

- The Parenting Stress Index Short Form (PSI-SF), which yields a total stress score from three scales: parental distress, parent-child dysfunctional interaction, and difficult child. The PSI-SF was developed from factor analysis of the PSI-Full-Length Version.

- The Children's Depression Inventory-2 Short (CDI-2S), which is a comprehensive multi-rater assessment of depressive symptoms in youth aged 7 to 17 years. Depressive symptomatology is quantified by the CDI 2 based on reports from children/adolescents, teachers and parents.

- The Global Appraisal of Individual Needs Modified Short Screener (GAIN-MSS), which is designed primarily as a screener in general populations, ages 12 and older, to quickly and accurately identify clients who have 1 or more behavioral health disorders (*e.g.*, internalizing or externalizing psychiatric disorders, substance use disorders, or crime/violence problems).

Approximately 6,000 youth and 9,700 caregivers will participate in the descriptive and clinical outcomes study over the clearance period.

#### Access to High Quality, Trauma-Informed Services

The NCTSI mission is to expand access to high quality, trauma-informed services for trauma-exposed children and adolescents and their families nationwide. This component of the evaluation is designed to assess NCTSI program progress in achieving this mission by collecting and analyzing data from a variety of sources addressing the question of whether access to high quality, trauma-informed services has improved and for which

demographic groups. Instruments used as a part of this study component include:

- Evidence-based Practice (EBP) and Trauma-informed Systems Change Survey (ETSC), which assesses the extent to which NCTSN training and other dissemination activities have enhanced the knowledge base and use of trauma-informed services (TIS) within child-serving agencies, centers and organizations that are not a part of the NCTSN but rather have received training from the NCTSN as well as to assess the extent to which such services are evidence-based. The survey branches into two versions adapted for project directors/administrators and human service providers (*e.g.*, mental health providers, child welfare case workers, teachers, primary care health care providers and others), allowing for questions tailored to the professional orientation and activities of each group. The ETSC survey will be used to assess the extent to which NCTSN training and dissemination activities have improved access to high quality, trauma-informed services for trauma-exposed children and their families that are served through such child-serving systems.

- The National Impact Survey, which assesses the extent to which the NCTSN has impacted the knowledge and awareness, policies, planning, programs, and practices related to trauma-informed care among state and national child-serving organizations external to the NCTSN centers.

- The Online Performance Monitoring Report (OPMR), which is primarily a mechanism for SAMHSA to monitor centers' progress towards achieving stated goals and a fulfillment of SAMHSA requirements for accountability and performance monitoring. In addition, this form will also serve as an important data source informing several components of the NCTSI evaluation.

Approximately 496 service providers and 186 administrators from NCTSN centers and organizations or agencies trained by NCTSN centers will participate in the ETSC survey. Approximately 4,000 individuals will be participating in the National Impact Survey, while approximately 62 individuals will participate in the OPMR.

### **Training, Evidence-Based Practices (EBPs), and Family/Consumer Partnerships**

A major goal of the NCTSN is to enhance the capacity of administrators and service providers from agencies, centers and organizations associated with child-serving systems (including

mental health, child welfare, juvenile justice, education and primary care) to use trauma-informed services (TIS) with trauma-exposed children and their families. NCTSN centers promote the use of TIS within child-serving systems to increase public awareness and knowledge about trauma exposure, trauma impact, and the range of trauma-informed assessments and services that are available. For this component, the ETSC Survey will be used to assess whether agencies, schools, and organizations that are a part of child-serving systems trained by the NCTSN have become more evidence-based and trauma-informed. Two additional forms will be used including:

- The Training Summary Form (TSF), which will be completed by trainers and will collect information on the number of participants trained, the type of training (including the trauma types addressed in the training), and the topics emphasized in the training.

- The Training Sign-In Sheet (TSIS), which will be completed by this participants of NCTSN-sponsored trainings. Participants will provide their names; agency, organization or center for which they work; their roles; and contact information including e-mail addresses. In addition, they will be asked to indicate whether the evaluation may contact them for participation.

Approximately 124 trainers will complete and submit the TSF, while approximately 12,400 trainees will complete the TSIS.

### **Sustainability**

Assessing the sustainability of the progress made by the NCTSN and its partners is a key evaluation priority identified by stakeholders advising on the redesign of the NCTSI Evaluation. Therefore, while this issue was not addressed as part of the previous evaluation design, it has been included as a new area of importance for future NCTSI evaluation. This component of the evaluation focuses on understanding the degree to which NCTSI grant activities continue after funding has ended and the factors associated with the continuation of—or lapse in—grant activities such as the implementation of evidence-based practices or approaches to strengthen trauma-informed service provision. This component collects sustainability data as part of the OPMR in the case of funded centers and, in the case of affiliate centers (centers that no longer receive SAMHSA funding but have continued involvement with the NCTSN and are defined by SAMHSA as affiliates), the following survey will be implemented:

- Sustainability Survey for Affiliate Centers, which assesses sustainability of NCTSI grant activities by collecting data on domains including grant history, funding sources and fiscal strategies, program mission, infrastructure, service delivery and continuation of practices and programs. Approximately 45 administrators of affiliate centers are expected to participate in this survey.

The revision to the currently approved information collection activities includes the extension of NCTSI Evaluation information collection activities for an additional three years. This revision also addresses the following programmatic changes:

- The number of centers for which burden was calculated is 62, which represents the number of currently active grantees (the number of centers at the time of the previous submission was 44).

- As a result of efforts to address updated evaluation priorities, reduce redundancy and consolidate multiple data collection efforts focused on national monitoring and evaluating of the NCTSI program, the request discontinues ten surveys, forms or interviews that are currently OMB-approved.

- In place of the ten surveys, forms or interviews that are currently OMB-approved that are being discontinued, and as part of the redesigned evaluation, three new data collection efforts will be implemented, including:

- Online Performance Monitoring Report Form (OPMR).

- Evidence-based Practice and Trauma-informed System Change Survey (ETSC).

- Sustainability Survey for affiliate centers.

- This request also enhances the existing Core Data Set by revising the Core Clinical Characteristics Forms and adding new instruments to address existing gaps in knowledge including:

- Trauma Symptom Checklist for Young Children (TSCYC).

- Parenting Stress Index Short Form (PSI-SF).

- Children's Depression Inventory-2 Short (CDI-2S).

- Global Appraisal of Needs Modified Short Screener (GAIN-MSS).

- A Training Sign-in Sheet (TSIS) has been developed for use at each training event sponsored by NCTSN centers. The purpose of the form is to collect brief information about NCTSN training participants.

The average annual respondent burden is estimated below.

Instrument	Number of respondents	Average number of responses per respondent	Hours per response	Total burden hours	3-Year average of annual burden hours
<b>Caregivers Served by NCTSN Centers</b>					
Child Behavior Checklist 1.5–5/6–18 (CBCL 1.5–5/6–18) .....	9,729 <sup>1</sup>	4 <sup>2</sup>	0.33	12,842	4,281
Trauma Information/Detail Form .....	9,729	4	0.22	8,562	2,854
Core Clinical Characteristics Form .....	9,729	4	0.5	19,458	6,486
UCLA–PTSD Short Form (UCLA–PTSD) .....	7,394 <sup>4</sup>	4	0.17	5,028	1,676
Trauma Symptoms Checklist for Young Children (TSCYC) .....	2,724 <sup>5</sup>	4	0.33	3,596	1,199
Parenting Stress Index Short Form (PSI–SF) .....	2,919 <sup>6</sup>	4	0.08	934	311
<b>Youth Served by NCTSN Centers</b>					
Trauma Symptoms Checklist for Children–Abbreviated (TSCC–A) .....	6,129 <sup>7</sup>	4	0.33	8,090	2,697
Children’s Depression Inventory–2 Short (CDI–2S) .....	2,140 <sup>9</sup>	4	0.08	685	228
Global Appraisal of Individual Needs Modified Shore Screener (GAIN–MSS) .....	3,989 <sup>10</sup>	4	0.08	1,276	425
<b>Funded NCTSN Center Project Directors or Other Administrators</b>					
Online Performance Monitoring Report (OPMR) .....	62	12	0.60	446	149
Sustainability Survey for Currently—Funded Centers .....	62	3	0.28	52	17
<b>NCTSN and Non-NCTSN Administrators</b>					
Evidence-based Practice (EBP) and Trauma Informed Systems Change Survey (ETSC)—Administrator Version .....	186 <sup>12</sup>	2	0.30	112	37
<b>NCTSN Trainers</b>					
Training Summary Form .....	124 <sup>13</sup>	5	0.2	124	41
<b>Service Providers Trained by NCTSN Centers</b>					
Evidence-based Practice (EBP) and Trauma Informed Systems Change Survey (ETSC)—Provider Version .....	496 <sup>14</sup>	3	0.3	446	149
<b>Training Participants</b>					
Training Sign-In Sheet (TSIS) .....	12,400 <sup>15</sup>	1	.02	248	83
<b>Mental Health and Non-Mental Health Professionals from State and National Child Serving Organizations</b>					
National Impact Survey .....	4,000	1	0.5	2,000	667
<b>Affiliate Center Administrators</b>					
Sustainability Survey— Affiliate Centers .....	45	3	.28	38	19
Total summary .....	71,857	66	.....	.....	21,319
Total annual summary .....	23,952	22	.....	.....	7,106

Send comments to Summer King, SAMHSA Reports Clearance Officer, Room 8–1099, One Choke Cherry Road, Rockville, MD 20857 OR e-mail a copy to [summer.king@samhsa.hhs.gov](mailto:summer.king@samhsa.hhs.gov). Written comments should be received within 60 days of this notice

Dated: June 15, 2011.

**Elaine Parry**

Director, Office of Management, Technology and Operations.

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## DEPARTMENT OF HOMELAND SECURITY

[Docket No. DHS–2011–0034]

### National Infrastructure Advisory Council

**AGENCY:** National Protection and Programs Directorate, DHS.

**ACTION:** Committee Management; Notice of an open Federal Advisory Committee Meeting.

**SUMMARY:** The National Infrastructure Advisory Council (NIAC) will meet on Tuesday, July 12, 2011, at the Washington Marriott at Metro Center, Salon A, 775 12th Street, NW.,

Washington, DC 20005. The meeting will be open to the public.

**DATE:** The NIAC will meet Tuesday, July 12, 2011, from 1:30 p.m. to 4:30 p.m. The meeting may close early if the committee has completed its business. For additional information, please consult the NIAC Web site, <http://www.dhs.gov/niac>, or contact the NIAC Secretariat by phone at (703) 235–2888 or by e-mail at [NIAC@dhs.gov](mailto:NIAC@dhs.gov).

**ADDRESSES:** The meeting will be held at the Washington Marriott at Metro Center, Salon A, 775 12th Street, NW., Washington, DC 20005.

While this meeting is open to the public, participation in the NIAC