DEPARTMENT OF DEFENSE

Department of the Army

[DOCKET ID USA–2011–0014]

Privacy Act of 1974; System of Records

AGENCY: Department of the Army, DoD.

ACTION: Notice to alter a system of records.

SUMMARY: Department of the Army is altering a system of records notice in its existing inventory of record systems subject to the Privacy Act of 1974 (5 U.S.C. 552a), as amended.

DATES: This proposed action will be effective without further notice on July 20, 2011 unless comments are received which result in a contrary determination.

ADDRESSES: You may submit comments, identified by docket number and Regulatory Information Number (RIN) and title, by any of the following methods:


Instructions: All submissions received must include the agency name and docket number or Regulatory Information Number (RIN) for this Federal Register document. The general policy for comments and other submissions from members of the public is to make these submissions available for public viewing on the Internet at http://www.regulations.gov as they are received without change, including any personal identifiers or contact information.

FOR FURTHER INFORMATION CONTACT:

Mr. Leroy Jones, Department of the Army, Privacy Office, U.S. Army Records Management and Declassification Agency, 7701 Telegraph Road, Casey Building, Suite 144, Alexandria, VA 22325–3905 or by phone at (703) 428–6185.

**SUPPLEMENTARY INFORMATION:**

Department of the Army notices for systems of records subject to the Privacy Act of 1974 (5 U.S.C. 552a), as amended, have been published in the Federal Register and are available from the address above.

The proposed system report, as required by 5 U.S.C. 552a(r) of the Privacy Act of 1974, as amended, was submitted on June 10, 2011 to the House Committee on Government Reform, the Senate Committee on Homeland Security and Governmental Affairs, and the Office of Management and Budget (OMB) pursuant to paragraph 4c of Appendix I to OMB Circular No. A–130, “Federal Agency Responsibilities for Maintaining Records About Individuals,” February 20, 1996, 61 FR 6427.

Dated: June 13, 2011.

Aaron Siegel,
Alternate OSD Federal Register Liaison Officer, Department of Defense.

A0056–9 TRADOC

SYSTEM NAME: Marine Qualification Records (July 25, 2008, 73 FR 43419).

CHANGES: * * * * *

SYSTEM NAME: Delete entry and replace with “Maritime Qualification Records.”

SYSTEM LOCATION: Delete entry and replace with “Director, Office of the Chief Transportation, Maritime Qualification Division, 461 Kerr Road, Fort Eustis, VA 23604–5498.”

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM: Delete entry and replace with “Military and civilians employed by Army.”

CATEGORIES OF RECORDS IN THE SYSTEM: Delete entry and replace with “Name, Social Security Number (SSN), grade, primary military occupational specialty, unit, skill level, individual’s request for examination, test results, character and suitability statements, physical qualification reports, experience qualifications and evaluations, command’s recommendation, Marine Qualification Board recommendation and final action thereon, U.S. Army Marine Licenses.”

SAFEGUARDS: Delete entry and replace with “Electrically and optically stored records are maintained in ‘fail-safe’ system software with password-protected access. Records are accessible only to authorized persons with a need-to-know. Electronic records are stored on the server. Use of Common Access Card (CAC) is used to authenticate and lock out unauthorized access. Paper records are maintained in locked file cabinets in a secure building and are accessible only to authorized personnel.”

RETENTION AND DISPOSAL: Delete entry and replace with “Records are maintained for 40 years then destroyed by electronically deleting and shredding paper copies. Registers are destroyed by electronic deletion 40 years after the date of the last entry in the register. Records are electronically deleted from the system and paper copies are shredded. Paper records are maintained in locked file cabinets in a secure building and are accessible only to authorized personnel.”

SYSTEM MANAGER(S) AND ADDRESS: Delete entry and replace with “Director, Office of the Chief Transportation, Maritime Qualification Division, 461 Kerr Road, Fort Eustis, VA 23604–5498.”

NOTIFICATION PROCEDURE: Individuals seeking to determine whether information about themselves is contained in this system should address written inquiries to the Director, Office of the Chief Transportation, Maritime Qualification Division, 461 Kerr Road, Fort Eustis, VA 23604–5498. Individual should furnish name, SSN, address and any additional details that will facilitate locating the information. Request must be signed.

In addition, the requester must provide a notarized statement or an unsworn declaration made in accordance with 28 U.S.C. 1746, in the following format:

- If executed outside the United States: “I declare (or certify, verify, or state) under penalty of perjury under the laws of the United States of America that the foregoing is true and correct. Executed on (date). (Signature)”
- If executed within the United States, its territories, possessions, or commonwealths: “I declare (or certify,
verify, or state) under penalty of perjury that the foregoing is true and correct. Executed on (date). (Signature)’.

RECORD ACCESS PROCEDURES:

Individuals seeking access to information about themselves contained in this system should address written inquiries to the Director, Office of the Chief Transportation, Maritime Qualification Division, 461 Kerr Road, Fort Eustis, VA 23604–5498.

Individuals should furnish name, SSN, address and any additional details that will facilitate locating the information. Request must be signed.

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A0056–9 TRADOC

SYSTEM NAME:

Maritime Qualification Records.

SYSTEM LOCATION:

Director, Office of the Chief Transportation, Maritime Qualification Division, 461 Kerr Road, Fort Eustis, VA 23604–5498.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Military and civilians employed by Army.

CATEGORIES OF RECORDS IN THE SYSTEM:

Name, Social Security Number (SSN), grade, primary military occupational specialty, unit, skill level, individual’s request for examination, test results, character and suitability statements, physical qualification reports, experience qualifications and evaluations, commander’s recommendation, Marine Qualification Board recommendation and final action thereon, U.S. Army Marine Licenses.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

10 U.S.C. 3013, Secretary of the Army; Army Regulation 56–9, Watercraft; and E.O. 9397 (SSN), as amended.

PURPOSE(S):

To evaluate and recommend appropriate action concerning the issuance, denial, suspension, or revocation of U.S. Army Marine Licenses; to award certification to individuals passing the marine qualification examination; to monitor test content and procedures to ensure that tests are valid and current; to award Special Qualification Identifiers to appointed Marine Qualification Field Examiners; to review marine casualty reports, incident reports, and investigations to re-evaluate qualifications of persons involved; and to maintain Marine Service Records.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

In addition to those disclosures generally permitted under 5 U.S.C. 552a(b) of the Privacy Act of 1974, these records contained therein may specifically be disclosed outside the DoD as a routine use pursuant to 5 U.S.C. 552a(b)(3) as follows:

The U.S. Coast Guard, Department of Transportation may be furnished information concerning certification and licensing of individuals.

The DoD “Blanket Routine Uses” set forth at the beginning of the Army’s compilation of system of record notices apply to this record system.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Paper records in file folders and electronic storage media.

RETRIEVABILITY:

By individual’s surname and Social Security Number (SSN).

SAFEGUARDS:

Electronically and optically stored records are maintained in ‘fail-safe’ system software with password-protected access. Records are accessible only to authorized persons with a need-to-know. Electronic records are stored on the server. Use of Common Access Card (CAC) is used to authenticate and lock out unauthorized access. Paper records are maintained in locked file cabinets in a secure building and are accessible only to authorized personnel.

RETENTION AND DISPOSAL:

Records are maintained for 40 years then destroyed by electronically deleting and shredding paper copies. Registers are destroyed by electronic deletion 40 years after the date of the last entry in the register. Records are electronically deleted from the system and paper copies are shredded. Paper records are maintained in locked file cabinets in a secure building and are accessible only to authorized personnel.

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Introduction

Secretary of Energy Notice SEN–35–91, Nuclear Safety Policy, issued on September 9, 1991, and superseding policy statement #2 of DOE Policy 420.1, Department of Energy Nuclear Safety Policy, issued on February 8, 2011, state that the Department of Energy (DOE) is committed to establishing and maintaining a strong safety culture at its nuclear facilities. The Defense Nuclear Facilities Safety Board (Board) has determined that the prevailing safety culture at the Waste Treatment and Immobilization Plant (WTP) is flawed and effectively defeats this Secretarial mandate. The Board’s investigative record demonstrates that both DOE and contractor project management behaviors reinforce a subculture at WTP that deters the timely reporting, acknowledgement, and ultimate resolution of technical safety concerns.

Background

In a letter to the Secretary of Energy dated July 27, 2010, the Board stated that it would investigate the health and safety concerns at the WTP at Hanford raised in a letter to the Board dated July 16, 2010, from Dr. Walter Tamosaitis.

The Board’s investigation focused on allegations raised by Dr. Tamosaitis, a contractor employee removed from his position at WTP, a construction project in Washington State funded by DOE and managed by Bechtel National, Incorporated (BNI). The Board’s inquiry did not attempt to assess the validity of Dr. Tamosaitis’s retaliation claim, but rather, as required by the Board’s statute, examined whether his allegations of a failed safety culture at WTP, if proven true, might reveal events or practices adversely affecting safety in the design, construction, and operation of this defense nuclear facility.

The Board is required by statute to investigate any event or practice at a defense nuclear facility which it determines may adversely affect public health and safety. The Board conducted this investigation pursuant to its investigative power under 42 U.S.C. § 2286a(a)(2). During the course of the Board’s inquiry, 45 witnesses were interviewed and more than 30,000 pages of documents were examined. The Principal Investigator was Joel R. Schapira, Deputy General Counsel, assisted by John G. Batherson, Associate General Counsel, and Richard E. Tontodonato, Deputy Technical Director. The record of the investigation is non-public and will be preserved in the Office of the General Counsel’s files.

During the period of the investigation, the Board held a public hearing regarding safety issues at WTP. During that hearing the Board received additional information related to the kind of safety culture concerns raised by Dr. Tamosaitis. Consequently, the investigation was expanded to review these new concerns.

Secretary of Energy Notice SEN–35–91, Nuclear Safety Policy, issued on September 9, 1991, and superseding policy statement #2 of DOE Policy 420.1, Department of Energy Nuclear Safety Policy, issued on February 8, 2011, state that DOE is committed to establishing and maintaining a strong safety culture at its nuclear facilities. The investigation’s principal conclusion is that the prevailing safety culture at this project effectively defeats this Secretarial mandate. The investigative record demonstrates that both DOE and contractor project management behaviors reinforce a subculture at WTP that deters the timely reporting, acknowledgement, and ultimate resolution of technical safety concerns.

A key attribute of a healthy safety culture as identified by DOE’s Energy Facility Contractors Group and endorsed by Deputy Secretary of Energy memorandum dated January 16, 2009, and in the Nuclear Regulatory Commission’s proposed policy statement on safety culture (NRC–2010–0282, dated January 5, 2011), is that leaders demonstrate clear expectations and a commitment to safety in their decisions and behaviors. The Board’s investigation found significant failures by both DOE and contractor management to implement their roles as advocates for a strong safety culture.

The record shows that the tension at the WTP project between organizations charged with technical issue resolution and development of safety basis scope, and those organizations charged with completing design and advancing construction, is unusually high. This unhealthy tension has rendered the WTP project’s formal processes to resolve safety issues largely ineffective. DOE reviews and investigations have failed to recognize the significance of this fact. Consequently, neither DOE nor contractor management has taken effective remedial action to advance the Secretary’s mandate to establish and maintain a strong safety culture at WTP.

Taken as a whole, the investigative record convinces the Board that the safety culture at WTP is in need of prompt, major improvement and that corrective actions will only be successful and enduring if championed by the Secretary of Energy. The successful completion of WTP’s mission...