

the NCUA Clearance Officer listed below:

Clearance Officer: Tracy Sumpter, National Credit Union Administration, 1775 Duke Street, Alexandria, VA 22314-3428, Fax No. 703-837-2861, E-mail: OCIONMail@ncua.gov.

FOR FURTHER INFORMATION CONTACT:

Requests for additional information or a copy of the information collection request should be directed to Tracy Sumpter at the National Credit Union Administration, 1775 Duke Street, Alexandria, VA 22314-3428, or at (703) 518-6444.

SUPPLEMENTARY INFORMATION: Proposal for the following collection of information:

Title: Corporate Federal Credit Union Chartering Guidelines.

OMB Number: 3133-NEW.

Form Number: NCUA Forms 4001, 4008, 4012, 9500, 9501.

Type of Review: New collection.

Description: The proposed interpretive ruling and policy statement sets forth the requirements and process for chartering corporate Federal credit unions.

Respondents: Natural person credit unions seeking to establish a new corporate FCU.

Estimated No. of Respondents/Recordkeepers: 1.

Estimated Burden Hours per Response: 328 hours.

Frequency of Response: Once.

Estimated Total Annual Burden Hours: 328 hours.

Estimated Total Annual Cost: 0.

By the National Credit Union Administration Board on April 14, 2011.

Mary Rupp,

Secretary of the Board.

[FR Doc. 2011-9544 Filed 4-19-11; 8:45 am]

BILLING CODE 7535-01-P

POSTAL REGULATORY COMMISSION

[Docket No. R2011-5; Order No. 715]

Postal Service Rate Adjustment

AGENCY: Postal Regulatory Commission.

ACTION: Notice.

SUMMARY: The Commission is noticing a recently-filed Postal Service notice of rate and classification changes affecting First-Class Mail presort and Standard Mail commercial letters and flats. The changes are part of an anticipated summer promotion involving mobile barcodes on or in mailpieces. This notice informs the public of the filing, addresses preliminary procedural matters, and invites public comment.

DATES: *Comments are due:* May 2, 2011.

ADDRESSES: Submit comments electronically by accessing the “Filing Online” link in the banner at the top of the Commission’s Web site (<http://www.prc.gov>) or by directly accessing the Commission’s Filing Online system at <https://www.prc.gov/prc-pages/filing-online/login.aspx>. Commenters who cannot submit their views electronically should contact the person identified in **FOR FURTHER INFORMATION CONTACT** section as the source for case-related information for advice on alternatives to electronic filing.

FOR FURTHER INFORMATION CONTACT:

Stephen L. Sharfman, General Counsel, at 202-789-6820 (case-related information) or DocketAdmins@prc.gov (electronic filing assistance).

SUPPLEMENTARY INFORMATION:

- I. Introduction
- II. Postal Service Filing
- III. Commission Action
- IV. Ordering Paragraphs

I. Introduction

On April 12, 2011, the Postal Service filed a notice with the Commission announcing its intention to adjust prices for First-Class Mail presort and Standard Mail letters and flats pursuant to 39 U.S.C. 3622 and 39 CFR part 3010.¹ The adjustment is a 3 percent discount, from July 1, 2011 to August 31, 2011, for mailers of First-Class Mail presort and Standard Mail commercial letters and flats that include a mobile barcode inside or on the mailpieces. Notice at 1.

II. Postal Service Filing

Incentive program. The Postal Service proposes a 3 percent discount on First-Class Mail presort and Standard Mail commercial letters and flats that include, in or on the mailpiece, a two dimensional barcode readable by mobile smart phones. *Id.* at 2. The Postal Service notes that nonprofit standard mailpieces are ineligible for the discount. *Id.*

The Postal Service requires that the barcode must be two dimensional, and notes that one dimensional barcodes, though readable by smart phones, are not eligible to receive the discount. *Id.* The Postal Service requires that the barcode must be designed to “initiate interaction with consumers via mobile smart phones to market, promote, or educate.” *Id.* at 2-3.

The 3 percent discount may be combined with the full-service intelligent mail barcode discount, but

may not be combined with any other incentive. *Id.* at 3.

Requirements of 39 CFR 3010. The Postal Service certifies that it will, at least 45 days prior to implementation, inform customers of the price adjustment as required by rule 3010.14(a)(3), by way of the Notice, a press release, and publication in the *Federal Register* and *Postal Bulletin*. *Id.* at 1-2. The Postal Service identifies Greg Dawson, Manager of Pricing Strategy, as the official responsible for responding to any Commission inquiries. *Id.* at 2.

Impact on the price cap. The Postal Service does not calculate the cap implication of the discount as described in rule 3010.14(b)(1) through (4). *Id.* at 3. The Postal Service states that excluding the price cap calculation is consistent with other limited availability discounts offered in the past. *Id.*

Objectives and factors, workshare discounts, and preferred rates. The Postal Service lists the relevant objectives and factors of 39 U.S.C. 3622, and claims the program “[t]o a large extent * * * does not substantially alter the degree to which First-Class Mail and Standard Mail prices already address” the objectives and factors. *Id.* at 5-7. In particular, the Postal Service contends the program is an example of the increased pricing flexibility under the Postal Accountability and Enhancement Act (objective 4), and provides an incentive for profitable new mail that will enhance the financial position of the Postal Service (objective 5). *Id.* at 5. Similarly, the Postal Service contends that the program encourages increased mail volume (factor 7) and will not imperil the ability of First-Class Mail or Standard Mail to cover its attributable costs (factor 2). *Id.* at 7. The Postal Service states that this program will not impact current workshare discounts. *Id.* at 8. The Postal Service states that it does not expect participation in the program to be of a magnitude that would “cause a material impact on the differential between commercial and nonprofit pieces in Standard Mail.” *Id.*

Mail Classification Schedule (MCS). The Postal Service provides proposed MCS language in Appendix A of its Notice. It outlines the proposed changes in the MCS for the relevant products. *Id.* Appendix A.

III. Commission Action

The Commission establishes Docket No. R2011-5 to consider all matters related to the Notice. The Commission’s rules provide for a 20-day comment period starting from the date of the filing of the Notice. See 39 CFR

¹ United States Postal Service Notice of Market-Dominant Price Adjustment, April 12, 2011 (Notice).

3010.13(a)(5). Interested persons may express views and offer comments on whether the planned changes are consistent with the policies of 39 U.S.C. 3622 and 39 CFR part 3010. Comments are due no later than May 2, 2011.

The Commission appoints Natalie Rea to represent the interests of the general public in this proceeding.

IV. Ordering Paragraphs

It is ordered:

1. The Commission establishes Docket No. R2011-5 to consider matters raised by the Postal Service’s April 12, 2011 filing.

2. Interested persons may submit comments on the planned price adjustments. Comments are due May 2, 2011.

3. Pursuant to 39 U.S.C. 505, Natalie Rea is appointed to serve as officer of the Commission (Public Representative) to represent the interests of the general public in this proceeding.

4. The Commission directs the Secretary to arrange for prompt publication of this notice in the **Federal Register**.

By the Commission.

Ruth Ann Abrams,
Acting Secretary.

[FR Doc. 2011-9543 Filed 4-19-11; 8:45 am]

BILLING CODE 7710-FW-P

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-64300; File No. SR-Phlx-2011-52]

Self-Regulatory Organizations; Notice of Filing and Immediate Effectiveness of Proposed Rule Change by NASDAQ OMX PHLX LLC Relating to Rebates and Fees for Customer Complex Orders

April 14, 2011.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”) ¹ and Rule 19b-4 thereunder, ² notice is hereby given that, on April 8, 2011, NASDAQ OMX PHLX LLC (“Phlx” or “Exchange”) filed with the Securities and Exchange Commission (“Commission”) the proposed rule change as described in Items I, II, and III below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to amend its Complex Order ³ Fees in Section I of its Fee Schedule titled “Rebates and Fees for Adding and Removing Liquidity in Select Symbols.”

While changes to the Fee Schedule pursuant to this proposal are effective upon filing, the Exchange has designated these changes to be operative on April 11, 2011.

The text of the proposed rule change is available on the Exchange’s Web site at <http://nasdaqtrader.com/micro.aspx?id=PHLXfilings>, at the principal office of the Exchange, at the Commission’s Public Reference Room, and on the Commission’s Web site at <http://www.sec.gov>.

II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant aspects of such statements.

A. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

The purpose of the proposed rule change is to amend Section I, Part B of the Exchange’s Fee Schedule, titled “Complex Order” to: (i) Pay a Customer Complex Order Rebate for Adding Liquidity of \$0.25 per contract for options overlying the iShares Russell 2000 Index (“IWM”); and (ii) waive the Customer Complex Order Fee for Removing Liquidity for options overlying Standard and Poor’s Depository Receipts/SPDRs (“SPY”) ⁴; the PowerShares QQQ Trust (“QQQ”) [®]; and Apple, Inc. (“AAPL”) [sic]. The Exchange is proposing these amendments to the Fee Schedule in order to continue to attract additional Customer order flow.

Complex Order Rebate for Adding Liquidity

Currently, the Exchange pays the following Complex Order Rebates for Adding Liquidity in the Select Symbols:

	Customer	Directed participant	Specialist, ROT, SQT and RSQT	Firm	Broker-dealer	Professional
Rebate for Adding Liquidity in all Select Symbols except SPY, QQQ and AAPL	\$0.24	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Rebate for Adding Liquidity for SPY, QQQ and AAPL	0.25	0.00	0.00	0.00	0.00	0.00

¹ 15 U.S.C. 78s(b)(1).

² 17 CFR 240.19b-4.

³ A Complex Order is any order involving the simultaneous purchase and/or sale of two or more different options series in the same underlying security, priced at a net debit or credit based on the

relative prices of the individual components, for the same account, for the purpose of executing a particular investment strategy. Furthermore, a Complex Order can also be a stock-option order, which is an order to buy or sell a stated number of units of an underlying stock or ETF coupled with

the purchase or sale of options contract(s). See Exchange Rule 1080, Commentary .08(a)(i).

⁴ SPY options are based on the SPDR exchange-traded fund (“ETF”), which is designed to track the performance of the S&P 500 Index.