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U.S. Office of Personnel Management.

**John Berry,**

Director.

[FR Doc. 2011-3840 Filed 2-18-11; 8:45 am]

**BILLING CODE 6325-38-P**

## OFFICE OF PERSONNEL MANAGEMENT

### Excepted Service

**AGENCY:** U.S. Office of Personnel  
Management (OPM).

**ACTION:** Notice.

**SUMMARY:** This gives notice of OPM  
decisions granting authority to make  
appointments under Schedules A, B,  
and C in the excepted service as  
required by 5 CFR 213.103.

**FOR FURTHER INFORMATION CONTACT:**  
Roland Edwards, Senior Executive  
Resource Services, Employee Services,  
202-606-2246.

**SUPPLEMENTARY INFORMATION:** Appearing  
in the listing below are the individual  
authorities established under Schedules  
A, B, and C between December 1, 2010,  
and December 31, 2010. These notices  
are published monthly in the **Federal  
Register** at

<http://www.gpoaccess.gov/fr/>. A  
consolidated listing of all authorities as  
of June 30 is also published each year.  
The following Schedules are not  
codified in the Code of Federal  
Regulations. These are agency-specific  
exceptions.

### Schedule A

Schedule A authorities to report  
during December 2010:

Section 3105 Department of the  
Treasury.

(a) Office of the Secretary.

(4) Up to 35 temporary or time-limited  
positions at the GS-9 through 15 grade  
levels to support the organization,  
design and stand-up activities for the  
Consumer Financial Protection Bureau,  
as mandated by Public Law 111-203.  
This authority may be used for the  
following series: GS-201, GS-501, GS-  
560, GS-1035, GS-1102, GS-1150, GS-  
1720, GS-1801, and GS-2210. No new  
appointments may be made under this  
authority after July 21, 2011, the  
designated transfer date of the CFPB.

### Schedule B

No Schedule B authorities to report  
during December 2010.

### Schedule C

The following Schedule C  
appointments were approved during  
December 2010.

Agency name	Organization name	Position title	Authorization No.	Effective date
DEPARTMENT OF AGRICULTURE	Office of the Assistant Secretary for Civil Rights.	Special Assistant .....	DA110011 .....	12/01/2010
	Office of Communications .....	Deputy Director, Operations	DA110016 .....	12/01/2010
	Office of the General Counsel .....	Senior Counselor .....	DA110009 .....	12/10/2010
	Office of the Under Secretary for Rural Development.	Chief of Staff .....	DA110018 .....	12/29/2010
DEPARTMENT OF COMMERCE .... COMMISSION ON CIVIL RIGHTS .. COMMODITY FUTURES TRADING COMMISSION.	Office of the Deputy Secretary .....	Special Assistant .....	DC110021 .....	12/14/2010
	Staff Members .....	Special Assistant .....	CC110001 .....	12/14/2010
	Office of the Chairperson .....	Administrative Assistant ....	CT110001 .....	12/29/2010
COUNCIL ON ENVIRONMENTAL QUALITY.	Council on Environmental Quality	Special Assistant .....	EQ110002 .....	12/13/2010
DEPARTMENT OF DEFENSE .....	Office of the Under Secretary of Defense (Policy).	Senior Communications Ad- visor for Under Secretary of Defense.	DD110019 .....	12/03/2010
	Office of Assistant Secretary of Defense (Public Affairs).	Strategic Planner .....	DD110026 .....	12/13/2010
	Washington Headquarters Ser- vices.	Defense Fellow .....	DD110030 .....	12/22/2010
	Washington Headquarters Ser- vices.	Defense Fellow .....	DD110027 .....	12/13/2010
DEPARTMENT OF THE NAVY .....	Office of the Secretary .....	Special Assistant .....	DN110007 .....	12/21/2010
	Office of the Secretary .....	Special Assistant .....	DN110008 .....	12/21/2010
DEPARTMENT OF EDUCATION ....	Office of the Under Secretary .....	Confidential Assistant .....	DB110008 .....	12/10/2010
	Office of the Secretary .....	Confidential Assistant .....	DB110011 .....	12/10/2010
	Office of the Under Secretary .....	Director of the Center for Faith-Based and Neigh- borhood Partnerships.	DB110012 .....	12/10/2010
	Office of Public Affairs .....	Chief Speechwriter .....	DE110018 .....	12/22/2010
EXPORT-IMPORT BANK .....	Board of Directors .....	Executive Secretary .....	EB110004 .....	12/06/2010
	Office of the Executive Vice Presi- dent.	Senior Vice President of Congressional Affairs.	EB110005 .....	12/14/2010
	New England Region .....	Regional Administrator .....	GS110005 .....	12/23/2010
GENERAL SERVICES ADMINIS- TRATION.	Office of the Administrator .....	Senior Advisor of the Chief of Staff.	GS110011 .....	12/30/2010
	Office of Communications and Marketing.	Associate Administrator for Communications and Marketing.	GS110010 .....	12/29/2010
	Office of the Assistant Secretary for Legislation.	Special Assistant .....	DH110014 .....	12/10/2010

Agency name	Organization name	Position title	Authorization No.	Effective date
DEPARTMENT OF HOMELAND SECURITY.	Centers for Medicare and Medicaid Services.	Confidential Assistant, Centers for Medicare and Medicaid Services.	DH110013 .....	12/10/2010
	Office of the Executive Secretary for Operations and Administration.	Secretary Briefing Book Coordinator.	DM110023 .....	12/13/2010
	Office of the Assistant Secretary for Public Affairs.	Press Assistant .....	DM110026 .....	12/13/2010
	Office of the General Counsel .....	Special Assistant .....	DM110028 .....	12/13/2010
	Immediate Office of the Deputy Secretary. Department of Homeland Security	Special Assistant .....	DM110030 .....	12/29/2010
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT.	Deputy Assistant Secretary for Strategic Communications.	Deputy Assistant Secretary for Strategic Communications.	DM110031 .....	12/22/2010
	Office of the Chief Human Capital Officer.	Staff Assistant .....	DU110010 .....	12/10/2010
	Office of Sustainable Housing and Communities.	Senior Advisor .....	DU110004 .....	12/10/2010
DEPARTMENT OF THE INTERIOR	Office of Policy Development and Research.	Special Assistant .....	DU110011 .....	12/21/2010
	Office of the Deputy Secretary .....	Special Assistant .....	DI110013 .....	12/22/2010
DEPARTMENT OF JUSTICE .....	Office of Public Affairs .....	Press Assistant .....	DJ110023 .....	12/29/2010
	Office of the Deputy Attorney General.	Counsel .....	DJ110025 .....	12/30/2010
DEPARTMENT OF LABOR .....	Office of the Deputy Attorney General.	Senior Counsel .....	DJ100172 .....	12/21/2010
	Office of Legal Policy .....	Counsel .....	DJ110027 .....	12/30/2010
	Office of Congressional and Intergovernmental Affairs.	Senior Legislative Officer ...	DL110008 .....	12/17/2010
SMALL BUSINESS ADMINISTRATION.	Office of Communications and Public Liaison.	Senior Communications Assistant.	SB110005 .....	12/08/2010
	Office of Field Operations .....	Regional Administrator, Region III, Philadelphia, PA.	SB110006 .....	12/10/2010
DEPARTMENT OF STATE .....	Foreign Policy Planning Staff .....	Speechwriter .....	DS110013 .....	12/03/2010
DEPARTMENT OF THE TREASURY.	Secretary of the Treasury .....	Special Assistant .....	DY110023 .....	12/20/2010

**Authority:** 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR 1954–1958 Comp., p. 218.  
 U.S. Office of Personnel Management.  
**John Berry,**  
*Director.*  
 [FR Doc. 2011–3794 Filed 2–18–11; 8:45 am]  
**BILLING CODE 6325–39–P**

**SECURITIES AND EXCHANGE COMMISSION**

[Release No. 34–63909; File No. SR–FINRA–2011–005]

**Self-Regulatory Organizations; Financial Industry Regulatory Authority, Inc.; Notice of Filing of Proposed Rule Change Relating to Promissory Note Proceedings**

February 15, 2011.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”) <sup>1</sup> and Rule 19b–4 thereunder,<sup>2</sup> notice is hereby given that on February 4, 2011, the Financial Industry Regulatory Authority, Inc. (“FINRA”) filed with the Securities and Exchange

Commission (“SEC” or “Commission”) the proposed rule change as described in Items I, II, and III below, which Items have been substantially prepared by FINRA. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

**I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change**

FINRA is proposing to amend Rule 13806 of the Code of Arbitration Procedure for Industry Disputes (“Industry Code”) to provide that FINRA will appoint a chair-qualified public arbitrator to a panel resolving a promissory note dispute instead of appointing a chair-qualified public arbitrator also qualified to resolve a statutory discrimination claim.

The text of the proposed rule change is available on FINRA’s Web site at <http://www.finra.org>, at the principal office of FINRA, and at the Commission’s Public Reference Room.

**II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change**

In its filing with the Commission, FINRA included statements concerning the purpose of and basis for the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. FINRA has prepared summaries, set forth in sections A, B, and C below, of the most significant aspects of such statements.

*A. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change*

**1. Purpose**

In 2009, FINRA implemented new procedures to expedite the administration of cases that solely involve a broker-dealer’s claim that an associated person failed to pay money owed on a promissory note.<sup>3</sup> Under

<sup>3</sup> See Securities Exchange Act Rel. No. 60132 (June 17, 2009), 74 FR 30191 (June 24, 2009) (File No. SR–FINRA–2009–015). FINRA announced implementation of New Rule 13806 (Promissory

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b–4.