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FOR: Any person who uses the Federal Register and Code of Federal Regulations.

WHO: Sponsored by the Office of the Federal Register.

WHAT: Free public briefings (approximately 3 hours) to present:

1. The regulatory process, with a focus on the Federal Register system and the public's role in the development of regulations.
2. The relationship between the Federal Register and Code of Federal Regulations.
3. The important elements of typical Federal Register documents.
4. An introduction to the finding aids of the FR/CFR system.

WHY: To provide the public with access to information necessary to research Federal agency regulations which directly affect them. There will be no discussion of specific agency regulations.

WHEN: Tuesday, January 25, 2011
9 a.m.–12:30 p.m.

WHERE: Office of the Federal Register
Conference Room, Suite 700
800 North Capitol Street, NW.
Washington, DC 20002

RESERVATIONS: (202) 741–6008

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Federal Register
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DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

14 CFR Part 71

[Docket No. FAA–2010–0183; Airspace Docket No. 10–ASW–5]

Amendment of Class D Airspace; Fort Worth NAS JRB (Carswell Field), TX

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: This action amends the geographic coordinates within the Fort Worth Naval Air Station (NAS) JRB (Carswell Field), TX, area and renames the navigation aids, at the request of the U.S. Navy, that are listed in the description. This action does not change the boundaries or operating requirements of the airspace.

DATES: *Effective date:* 0901 UTC, March 10, 2011. The Director of the Federal Register approves this incorporation by reference action under 1 CFR part 51, subject to the annual revision of FAA Order 7400.9 and publication of conforming amendments.

FOR FURTHER INFORMATION CONTACT: Scott Enander, Central Service Center, Operations Support Group, Federal Aviation Administration, Southwest Region, 2601 Meacham Blvd., Fort Worth, TX 76137; telephone (817) 321–7716.

SUPPLEMENTARY INFORMATION:

The Rule

This action amends Title 14 Code of Federal Regulations (14 CFR) part 71 by adjusting the geographic coordinates of the Fort Worth NAS JRB (Carswell Field) Class D airspace, Fort Worth, TX, and the navigation aids, to coincide with the FAAs Aeronautical Products. This action also changes the names of the Carswell ILS Localizer North, Carswell ILS Localizer South, and Carswell TACAN to the NAS JRB Fort Worth ILS Localizer North, NAS JRB Fort Worth ILS Localizer South, and NAS JRB Fort Worth TACAN at the request of the U.S. Navy. This is an administrative change and does not affect the boundaries, altitudes, or operating requirements of the airspace, therefore, notice and public procedures under 5 U.S.C. 553(b) are unnecessary.

The FAA has determined that this regulation only involves an established body of technical regulations for which frequent and routine amendments are necessary to keep them operationally current. Therefore, this regulation: (1) Is not a "significant regulatory action" under Executive Order 12866; (2) is not a "significant rule" under DOT Regulatory Policies and Procedures (44 FR 11034; February 26, 1979); and (3) does not warrant preparation of a regulatory evaluation as the anticipated impact is so minimal. Since this is a routine matter that will only affect air traffic procedures and air navigation, it is certified that this rule, when promulgated, will not have a significant economic impact on a substantial number of small entities under the criteria of the Regulatory Flexibility Act.

The FAA's authority to issue rules regarding aviation safety is found in Title 49 of the U.S. Code. Subtitle 1, section 106, describes the authority of the FAA Administrator. Subtitle VII, Aviation Programs, describes in more detail the scope of the agency's authority. This rulemaking is promulgated under the authority described in subtitle VII, part A, subpart I, section 40103. Under that section, the FAA is charged with prescribing regulations to assign the use of airspace necessary to ensure the safety of aircraft and the efficient use of airspace. This regulation is within the scope of that authority as it amends controlled airspace at Fort Worth NAS JRB (Carswell Field), Fort Worth, TX.

List of Subjects in 14 CFR Part 71

Airspace, Incorporation by reference, Navigation (Air).

Adoption of the Amendment

In consideration of the foregoing, the Federal Aviation Administration amends 14 CFR part 71 as follows:

PART 71—DESIGNATION OF CLASS A, B, C, D, AND E AIRSPACE AREAS; AIR TRAFFIC SERVICE ROUTES; AND REPORTING POINTS

■ 1. The authority citation for 14 CFR part 71 continues to read as follows:

Authority: 49 U.S.C. 106(g), 40103, 40113, 40120; E. O. 10854, 24 FR 9565, 3 CFR, 1959–1963 Comp., p. 389.

§ 71.1 [Amended]

■ 2. The incorporation by reference in 14 CFR 71.1 of the Federal Aviation Administration Order 7400.9U, Airspace Designations and Reporting Points, dated August 18, 2010, and effective September 15, 2010, is amended as follows:

Paragraph 5000 Class D airspace.

* * * * *

ASW TX D Fort Worth NAS JRB (Carswell Field), TX [Amended]

Fort Worth Naval Air Station JRB (Carswell Field), TX
(Lat. 32°46′09″ N., long. 97°26′30″ W.)
NAS JRB Fort Worth ILS Localizer North
(Lat. 32°47′19″ N., long. 97°26′29″ W.)
NAS JRB Fort Worth TACAN
(Lat. 32°46′17″ N., long. 97°26′22″ W.)
NAS JRB Fort Worth ILS Localizer South
(Lat. 32°44′47″ N., long. 97°26′30″ W.)

That airspace extending upward from the surface up to and including 3,000 feet MSL within a 4.5-mile radius of Fort Worth Naval Air Station JRB (Carswell Field) and within 1 mile each side of the NAS JRB Fort Worth ILS Localizer North course extending from the 4.5-mile radius to 6.5 miles north of the airport, and within 1.3 miles each side of the 359° radial of the NAS JRB Fort Worth TACAN extending from the 4.5-mile radius to 6.5 miles north of the airport, and within 1 mile each side of the NAS JRB Fort Worth ILS Localizer South course extending from the 4.5-mile radius to 6.5 miles south of the airport, and within 1.3 miles each side of the 182° radial from the NAS JRB Fort Worth TACAN extending from the 4.5-mile radius to 6.5 miles south of the airport, excluding that airspace east of long. 97°24′00″ W.

Issued in Fort Worth, Texas, on December 21, 2010.

Roger M. Trevino,

Acting Manager Operations Support Group, ATO Central Service Center.

[FR Doc. 2011–204 Filed 1–10–11; 8:45 am]

BILLING CODE 4910–13–P

DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

14 CFR Part 71

[Docket No. FAA–2010–1047; Airspace Docket No. 10–ASO–37]

Amendment of Class E Airspace; Savannah, TN

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: This action amends Class E airspace at Savannah, TN. The Pinhook Non-Directional Beacon (NDB) has been decommissioned and new Standard Instrument Approach Procedures (SIAPs) have been developed for Savannah-Hardin County Airport. This action enhances the safety and airspace management of Instrument Flight Rules (IFR) operations at the airport.

DATES: Effective 0901 UTC, March 10, 2011. The Director of the Federal Register approves this incorporation by reference action under title 1, Code of Federal Regulations, part 51, subject to the annual revision of FAA Order 7400.9 and publication of conforming amendments.

FOR FURTHER INFORMATION CONTACT: Melinda Giddens, Operations Support Group, Eastern Service Center, Federal Aviation Administration, P. O. Box 20636, Atlanta, Georgia 30320; telephone (404) 305–5610.

SUPPLEMENTARY INFORMATION:

History

On October 26, 2010, the FAA published in the **Federal Register** a notice of proposed rulemaking to amend Class E airspace 700 feet above the surface, at Savannah, TN (75 FR 65584) Docket No. FAA–2010–1047. Interested parties were invited to participate in this rulemaking effort by submitting written comments on the proposal to the FAA. No comments were received. Class E airspace designations are published in paragraph 6005 of FAA Order 7400.9U dated August 18, 2010, and effective September 15, 2010, which is incorporated by reference in 14 CFR 71.1. The Class E airspace designations listed in this document will be published subsequently in the Order.

The Rule

This amendment to Title 14, Code of Federal Regulations (14 CFR) part 71 amends Class E airspace extending upward from 700 feet above the surface to support new SIAPs developed at Savannah-Hardin County Airport, Savannah, TN. Airspace reconfiguration is necessary due to the decommissioning of the Pinhook NDB and cancellation of the NDB approach, and for continued safety and management of IFR operations at the airport. This action also updates the geographic coordinates of the airport to coincide with the FAAs Aeronautical Products.

The FAA has determined that this regulation only involves an established body of technical regulations for which frequent and routine amendments are necessary to keep them operationally current, is non-controversial and unlikely to result in adverse or negative comments. It, therefore, (1) Is not a "significant regulatory action" under Executive Order 12866; (2) is not a "significant rule" under DOT Regulatory Policies and Procedures (44 FR 11034; February 26, 1979); and (3) does not warrant preparation of a Regulatory Evaluation as the anticipated impact is so minimal. Since this is a routine matter that will only affect air traffic procedures and air navigation, it is certified that this rule, when promulgated, will not have a significant economic impact on a substantial number of small entities under the criteria of the Regulatory Flexibility Act.

The FAA's authority to issue rules regarding aviation safety is found in Title 49 of the United States Code. Subtitle I, section 106 describes the authority of the FAA Administrator. Subtitle VII, Aviation Programs, describes in more detail the scope of the agency's authority.

This rulemaking is promulgated under the authority described in subtitle VII, part A, subpart I, section 40103. Under that section, the FAA is charged with prescribing regulations to assign the use of airspace necessary to ensure the safety of aircraft and the efficient use of airspace. This regulation is within the scope of that authority as it amends Class E airspace at Savannah, TN.

Lists of Subjects in 14 CFR Part 71

Airspace, Incorporation by reference, Navigation (Air).

Adoption of the Amendment

In consideration of the foregoing, the Federal Aviation Administration amends 14 CFR part 71 as follows:

PART 71—DESIGNATION OF CLASS A, B, C, D AND E AIRSPACE AREAS; AIR TRAFFIC SERVICE ROUTES; AND REPORTING POINTS

■ 1. The authority citation for part 71 continues to read as follows:

Authority: 49 U.S.C. 106(g); 40103, 40113, 40120; E.O. 10854, 24 FR 9565, 3 CFR, 1959–1963 Comp., p. 389.

§ 71.1 [Amended]

■ 2. The incorporation by reference in 14 CFR 71.1 of Federal Aviation Administration Order 7400.9U, Airspace Designations and Reporting Points, dated August 18, 2010, effective September 15, 2010, is amended as follows:

Paragraph 6005 Class E airspace areas extending upward from 700 feet or more above the surface of the earth.

* * * * *

ASO TN E5 Savannah, TN [AMENDED]

Savannah-Hardin County Airport, TN
(Lat. 35°10′13″ N., long. 88°13′00″ W.)

That airspace extending upward from 700 feet above the surface within a 6.5-mile radius of the Savannah-Hardin County Airport and within 3.7 miles each side of the 008° bearing from the airport extending from the 6.5-mile radius to 9.9 miles north of the Savannah-Hardin County Airport.

Issued in College Park, Georgia, on December 10, 2010.

Barry A. Knight,

Acting Manager, Operations Support Group, Eastern Service Center, Air Traffic Organization.

[FR Doc. 2011–202 Filed 1–10–11; 8:45 am]

BILLING CODE 4910–13–P

DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

14 CFR Part 71

[Docket No. FAA–2010–0992; Airspace Docket No. 10–ASO–36]

Amendment of Class E Airspace; Sturgis, KY

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: This action amends Class E airspace at Sturgis, KY. The Tradewater Non-Directional Beacon (NDB) has been decommissioned and new Standard Instrument Approach Procedures (SIAPs) have been developed for Sturgis Municipal Airport. This action enhances the safety and airspace management of Instrument Flight Rules (IFR) operations at the airport.

DATES: Effective 0901 UTC, March 10, 2011. The Director of the Federal Register approves this incorporation by reference action under title 1, Code of Federal Regulations, part 51, subject to the annual revision of FAA Order

7400.9 and publication of conforming amendments.

FOR FURTHER INFORMATION CONTACT: Melinda Giddens, Operations Support Group, Eastern Service Center, Federal Aviation Administration, P. O. Box 20636, Atlanta, Georgia 30320; telephone (404) 305–5610.

SUPPLEMENTARY INFORMATION:

History

On October 22, 2010, the FAA published in the **Federal Register** a notice of proposed rulemaking to amend Class E airspace 700 feet above the surface, at Sturgis, KY (75 FR 65253) Docket No. FAA–2010–0992. Interested parties were invited to participate in this rulemaking effort by submitting written comments on the proposal to the FAA. No comments were received. Class E airspace designations are published in paragraph 6005 of FAA Order 7400.9U dated August 18, 2010, and effective September 15, 2010, which is incorporated by reference in 14 CFR 71.1. The Class E airspace designations listed in this document will be published subsequently in the Order.

The Rule

This amendment to Title 14, Code of Federal Regulations (14 CFR) part 71 amends Class E airspace extending upward from 700 feet above the surface to support new SIAPs developed at Sturgis Municipal Airport, Sturgis, KY. Airspace reconfiguration is necessary due to the decommissioning of the Tradewater NDB and cancellation of the NDB approach, and for continued safety and management of IFR operations at the airport. This action also updates the geographic coordinates of the airport to coincide with the FAAs Aeronautical Products.

The FAA has determined that this regulation only involves an established body of technical regulations for which frequent and routine amendments are necessary to keep them operationally current, is non-controversial and unlikely to result in adverse or negative comments. It, therefore, (1) Is not a "significant regulatory action" under Executive Order 12866; (2) is not a "significant rule" under DOT Regulatory Policies and Procedures (44 FR 11034; February 26, 1979); and (3) does not warrant preparation of a Regulatory Evaluation as the anticipated impact is so minimal. Since this is a routine matter that will only affect air traffic procedures and air navigation, it is certified that this rule, when promulgated, will not have a significant economic impact on a substantial number of small entities under the criteria of the Regulatory Flexibility Act.

The FAA's authority to issue rules regarding aviation safety is found in Title 49 of the United States Code. Subtitle I, section 106 describes the authority of the FAA Administrator. Subtitle VII, Aviation Programs, describes in more detail the scope of the agency's authority.

This rulemaking is promulgated under the authority described in subtitle VII, part A, subpart I, section 40103. Under that section, the FAA is charged with prescribing regulations to assign the use of airspace necessary to ensure the safety of aircraft and the efficient use of airspace. This regulation is within the scope of that authority as it amends Class E airspace at Sturgis, KY.

Lists of Subjects in 14 CFR Part 71

Airspace, Incorporation by reference, Navigation (Air).

Adoption of the Amendment

In consideration of the foregoing, the Federal Aviation Administration amends 14 CFR part 71 as follows:

PART 71—DESIGNATION OF CLASS A, B, C, D AND E AIRSPACE AREAS; AIR TRAFFIC SERVICE ROUTES; AND REPORTING POINTS

■ 1. The authority citation for part 71 continues to read as follows:

Authority: 49 U.S.C. 106(g); 40103, 40113, 40120; E.O. 10854, 24 FR 9565, 3 CFR, 1959–1963 Comp., p. 389.

§ 71.1 [Amended]

■ 2. The incorporation by reference in 14 CFR 71.1 of Federal Aviation Administration Order 7400.9U, Airspace Designations and Reporting Points, dated August 18, 2010, effective September 15, 2010, is amended as follows:

Paragraph 6005 Class E airspace areas extending upward from 700 feet or more above the surface of the earth.

* * * * *

ASO KY E5 Sturgis, KY [AMENDED]

Sturgis Municipal Airport, KY
(Lat. 37°32′30″ N., long. 87°57′16″ W.)

That airspace extending upward from 700 feet above the surface within a 6.5-mile radius of the Sturgis Municipal Airport and within 4 miles each side of the 183° bearing from the airport extending from the 6.5-mile radius to 9.9 miles south of the airport: and within 4 miles each side of the 003° bearing from the airport extending from the 6.5-mile radius to 10 miles north of the Sturgis Municipal Airport.

Issued in College Park, Georgia, on December 10, 2010.

Barry A. Knight,

Acting Manager, Operations Support Group, Eastern Service Center, Air Traffic Organization.

[FR Doc. 2011–203 Filed 1–10–11; 8:45 am]

BILLING CODE 4910–13–P

DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

14 CFR Part 71

[Docket No. FAA–2010–0843; Airspace Docket No. 10–ASW–12]

Amendment of Class E Airspace; Horseshoe Bay, TX

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: This action amends Class E airspace for Horseshoe Bay, TX. Decommissioning of the Horseshoe Bay Resort non-directional beacon (NDB) at Horseshoe Bay Resort Airport, Horseshoe Bay, TX, has made this action necessary to enhance the safety and management of Instrument Flight Rule (IFR) operations at the airport.

DATES: Effective date 0901 UTC, March 10, 2011. The Director of the Federal Register approves this incorporation by reference action under 1 CFR part 51, subject to the annual revision of FAA Order 7400.9 and publication of conforming amendments.

FOR FURTHER INFORMATION CONTACT: Scott Enander, Central Service Center, Operations Support Group, Federal Aviation Administration, Southwest Region, 2601 Meacham Blvd., Fort Worth, TX 76137; telephone (817) 321–7716.

SUPPLEMENTARY INFORMATION:

History

On October 27, 2010, the FAA published in the **Federal Register** a notice of proposed rulemaking to amend Class E airspace for Horseshoe Bay, TX, reconfiguring controlled airspace at Horseshoe Bay Resort Airport (75 FR 66013) Docket No. FAA–2010–0843. Interested parties were invited to participate in this rulemaking effort by submitting written comments on the proposal to the FAA. No comments were received. Class E airspace designations are published in paragraph 6005 of FAA Order 7400.9U dated August 18, 2010, and effective September 15, 2010, which is incorporated by reference in 14 CFR 71.1. The Class E airspace designations

listed in this document will be published subsequently in the Order.

The Rule

This action amends Title 14 Code of Federal Regulations (14 CFR) Part 71 by amending Class E airspace for the Horseshoe Bay, TX area. Decommissioning of the Horseshoe Bay Resort NDB and cancellation of the NDB approach at Horseshoe Bay Resort Airport has made this action necessary for the safety and management of IFR operations at the airport. This action also reflects the name change of the airport from Horseshoe Bay Airpark to Horseshoe Bay Resort Airport.

The FAA has determined that this regulation only involves an established body of technical regulations for which frequent and routine amendments are necessary to keep them operationally current. Therefore, this regulation: (1) Is not a "significant regulatory action" under Executive Order 12866; (2) is not a "significant rule" under DOT Regulatory Policies and Procedures (44 FR 11034; February 26, 1979); and (3) does not warrant preparation of a regulatory evaluation as the anticipated impact is so minimal. Since this is a routine matter that will only affect air traffic procedures and air navigation, it is certified that this rule, when promulgated, will not have a significant economic impact on a substantial number of small entities under the criteria of the Regulatory Flexibility Act.

The FAA's authority to issue rules regarding aviation safety is found in Title 49 of the U.S. Code. Subtitle 1, section 106, describes the authority of the FAA Administrator. Subtitle VII, Aviation Programs, describes in more detail the scope of the agency's authority. This rulemaking is promulgated under the authority described in subtitle VII, part A, subpart I, section 40103. Under that section, the FAA is charged with prescribing regulations to assign the use of airspace necessary to ensure the safety of aircraft and the efficient use of airspace. This regulation is within the scope of that authority as it amends controlled airspace at Horseshoe Bay Resort Airport, Horseshoe Bay, TX.

List of Subjects in 14 CFR Part 71

Airspace, Incorporation by reference, Navigation (Air).

Adoption of the Amendment

In consideration of the foregoing, the Federal Aviation Administration amends 14 CFR part 71 as follows:

PART 71—DESIGNATION OF CLASS A, B, C, D, AND E AIRSPACE AREAS; AIR TRAFFIC SERVICE ROUTES; AND REPORTING POINTS

■ 1. The authority citation for 14 CFR part 71 continues to read as follows:

Authority: 49 U.S.C. 106(g), 40103, 40113, 40120; E. O. 10854, 24 FR 9565, 3 CFR, 1959–1963 Comp., p. 389.

§ 71.1 [Amended]

■ 2. The incorporation by reference in 14 CFR 71.1 of the Federal Aviation Administration Order 7400.9U, Airspace Designations and Reporting Points, dated August 18, 2010, and effective September 15, 2010 is amended as follows:

* * * * *

Paragraph 6005 Class E airspace areas extending upward from 700 feet or more above the surface.

* * * * *

ASW TX E5 Austin, Horseshoe Bay Resort Airport, TX [Amended]

Horseshoe Bay Resort Airport, TX
(Lat. 30°31′37″ N., long. 98°21′32″ W.)

That airspace extending upward from 700 feet above the surface within a 6.5-mile radius of Horseshoe Bay Resort Airport.

Issued in Fort Worth, Texas, on December 21, 2010.

Roger M. Trevino,

Acting Manager, Operations Support Group, ATO Central Service Center.

[FR Doc. 2011–205 Filed 1–10–11; 8:45 am]

BILLING CODE 4910–13–P

SECURITIES AND EXCHANGE COMMISSION

17 CFR Part 232

[Release Nos. 33–9169; 34–63646, 39–2473, IC–29547]

Adoption of Updated EDGAR Filer Manual

AGENCY: Securities and Exchange Commission.

ACTION: Final rule.

SUMMARY: The Securities and Exchange Commission (the Commission) is adopting revisions to the Electronic Data Gathering, Analysis, and Retrieval System (EDGAR) Filer Manual to reflect updates to the EDGAR system. The revisions are being made primarily to implement the new EDGARLink Online Application which will allow filers to submit EDGARLink submission form types online without the use of the offline EDGARLink Tool, to support the electronic filing of submission form types ABS 15G, ABS 15G/A, a new Form 8–K Item 6.10, and to support minor changes in XBRL validations for filings containing Exhibit 101 attachments. The EDGAR system is scheduled to be upgraded to support this functionality on December 13, 2010.

The filer manual is also being revised to address changes previously made in EDGAR to support the electronic filing of new submission form types SC 14N, SC 14N/A, SC 14N–S, SC 14N–S/A, and the new Form 8–K Item 5.08.

The revisions to the Filer Manual reflect changes within Volume I entitled EDGAR Filer Manual, Volume I: "General Information," Version 9 (December 2010) and Volume II entitled EDGAR Filer Manual, Volume II: "EDGAR Filing," Version 16 (December 2010). The updated manual will be incorporated by reference into the Code of Federal Regulations.

DATES: January 11, 2011. The incorporation by reference of the EDGAR Filer Manual is approved by the Director of the Federal Register as of January 11, 2011.

FOR FURTHER INFORMATION CONTACT: In the Division of Corporation Finance, for questions concerning submission form types ABS 15G, ABS 15G/A, SC 14N, SC 14N/A, SC 14N–S, SC 14N–S/A, Form 8–K Item 5.08 and Item 6.10 contact Cecile Peters, Chief, Office of Information Technology, at (202) 551–3600; in the Office of Interactive Disclosure for questions concerning XBRL validation requirements contact Jeffrey Naumann, Assistant Director of the Office of Interactive Disclosure, at (202) 551–5352; and in the Office of Information Technology, contact Rick Heroux, at (202) 551–8800.

SUPPLEMENTARY INFORMATION: We are adopting an updated EDGAR Filer Manual, Volume I and Volume II. The Filer Manual describes the technical formatting requirements for the preparation and submission of electronic filings through the EDGAR system.[1] It also describes the requirements for filing using EDGARLink [2], EDGARLink Online, and the Online Forms/XML Web site.

The Filer Manual contains all the technical specifications for filers to submit filings using the EDGAR system. Filers must comply with the applicable provisions of the Filer Manual in order

[1] We originally adopted the Filer Manual on April 1, 1993, with an effective date of April 26, 1993. Release No. 33–6986 (April 1, 1993) [58 FR 18638]. We implemented the most recent update to the Filer Manual on September 15, 2010. *See* Release No. 33–9140 (September 9, 2010) [75 FR 55965].

[2] This is the filer assistance software we provide filers filing on the EDGAR system.

to assure the timely acceptance and processing of filings made in electronic format.[3] Filers may consult the Filer Manual in conjunction with our rules governing mandated electronic filing when preparing documents for electronic submission.[4]

The EDGAR system will be upgraded to Release 10.4 on December 13, 2010 and will introduce a new EDGARLink Online Application (EDGARLink Online) to allow filers to submit EDGARLink submission form types online, without the use of the offline EDGARLink Tool. EDGARLink Online can be accessed from the EDGAR Filing Web site (*https://www.edgarfiling.sec.gov*), by selecting the "EDGARLink Online Submissions" or by clicking the "Are you an EDGARLink filer or would you like to create a new Asset-Backed Securities Issuing Entity?" link from the EDGAR Portal Web site (*http://www.portal.edgarfiling.sec.gov*). The existing offline EDGARLink Tool and the associated Templates 1–6 will continue to be available. A new chapter, "Preparing and Transmitting EDGARLink Online Submissions", has been added to Volume II of the EDGAR Filer Manual to guide filers through the filing process using the new tool.

Submission type ABS 15G[5] and its amendment will be available on EDGARLink Online only.

A new 8–K Item 6.10 (Alternative Filings of Asset-Backed Issuers) will be available on EDGARLink Submission Template #3 and EDGARLink Online for submission form types 8–K and 8–K/A. Item 6.10 requires a PDF attachment to be included as Exhibit 99.

In addition, the validation rules processed for filings containing EX–101.INS XBRL documents will be changed to remove restrictions to allow domain items to be abstract and to allow footnoteArc elements to omit the order attribute. The validations were relaxed for EX–101.INS XBRL documents to allow a Discoverable Taxonomy Set (DTS) that has type declarations in any standard international or US namespace, to allow internationally recommended type and role declarations to be used in its DTS, and for documents whose DTS has arc role declarations to allow the link:footnoteArc element to have an arcrole that is either standard or is declared in a standard taxonomy schema. Additional validations were added for EX–101.INS XBRL documents to require a DTS that has type declarations in any standard international or US namespace to enforce restrictions on combinations of numeric data types and unit of measure declarations according to internationally recommended and US-specific data types registry.

The filer manual is also being revised to address a changes made previously in EDGAR to support new submission form types SC 14N, SC 14N–S and their amendments on both the offline EDGARLink Template #2 and EDGARLink Online and a new item in Form 8–K Item 5.08 (Shareholder Director Nominations) on both the offline EDGARLink Template #3 and EDGARLink Online for submission form types 8–K, 8–K12B, 8–K12G3, 8–K15D5 and their amendments. However, the use of the SC 14N, SC 14N–S and Form 8–K Item 5.08 is delayed until further notice. *See* Order Rel. No. 33–9149 (Order Granting Stay) and Rel. No. 33–9151 (Notice of stay of effective and compliance dates) for more information.

Along with adoption of the Filer Manual, we are amending Rule 301 of Regulation S–T to provide for the incorporation by reference into the Code of Federal Regulations of today's revisions. This incorporation by reference was approved by the Director of the Federal Register in accordance with 5 U.S.C. 552(a) and 1 CFR part 51.

You may obtain paper copies of the updated Filer Manual at the following address: Public Reference Room, U.S. Securities and Exchange Commission, 100 F Street, NE., Room 1543, Washington DC 20549, on official business days between the hours of 10 a.m. and 3 p.m. We will post electronic format copies on the Commission's Web site; the address for the Filer Manual is *http://www.sec.gov/info/edgar.shtml*.

Since the Filer Manual relates solely to agency procedures or practice, publication for notice and comment is not required under the Administrative Procedure Act (APA).[6] It follows that the requirements of the Regulatory Flexibility Act[7] do not apply.

The effective date for the updated Filer Manual and the rule amendments is January 11, 2011. In accordance with the APA,[8] we find that there is good cause to establish an effective date less than 30 days after publication of these rules. The EDGAR system upgrade to Release 10.4 is scheduled to become available on December 13, 2010. The Commission believes that establishing an effective date less than 30 days after publication of these rules is necessary to coordinate the effectiveness of the updated Filer Manual with the system upgrade.

Statutory Basis

We are adopting the amendments to Regulation S–T under Sections 6, 7, 8, 10, and 19(a) of the Securities Act of 1933,[9] Sections 3, 12, 13, 14, 15, 23, and 35A of the Securities Exchange Act of 1934,[10] Section 319 of the Trust Indenture Act of 1939,[11] and Sections 8, 30, 31, and 38 of the Investment Company Act of 1940.[12]

List of Subjects in 17 CFR Part 232

Incorporation by reference, Reporting and recordkeeping requirements, Securities.

Text of the Amendment

In accordance with the foregoing, Title 17, Chapter II of the Code of Federal Regulations is amended as follows:

PART 232—REGULATION S–T—GENERAL RULES AND REGULATIONS FOR ELECTRONIC FILINGS

■ 1. The authority citation for part 232 continues to read in part as follows:

Authority: 15 U.S.C. 77f, 77g, 77h, 77j, 77s(a), 77z–3, 77sss(a), 78c(b), 78*l,* 78m, 78n, 78o(d), 78w(a), 78*ll,* 80a–6(c), 80a–8, 80a–29, 80a–30, 80a–37, and 7201 *et seq.;* and 18 U.S.C. 1350.

* * * * *

■ 2. Section 232.301 is revised to read as follows:

§ 232.301 EDGAR Filer Manual.

Filers must prepare electronic filings in the manner prescribed by the EDGAR Filer Manual, promulgated by the Commission, which sets out the technical formatting requirements for electronic submissions. The requirements for becoming an EDGAR Filer and updating company data are set forth in the updated EDGAR Filer Manual, Volume I: "General Information," Version 9 (December 2010). The requirements for filing on EDGAR are set forth in the updated EDGAR Filer Manual, Volume II: "EDGAR Filing," Version 16 (December

[3] *See* Rule 301 of Regulation S–T (17 CFR 232.301).

[4] *See* Release No. 33–9140 (September 9, 2010) [75 FR 55965] in which we implemented EDGAR Release 10.3. For a additional history of Filer Manual rules, please see the cites therein.

[5] *See* Proposing Release No. 33–9148, Disclosure for Asset-Backed Securities Required by Section 943 of the Dodd-Frank Wall Street Reform and Consumer Protection Act.

[6] 5 U.S.C. 553(b).

[7] 5 U.S.C. 601–612.

[8] 5 U.S.C. 553(d)(3).

[9] 15 U.S.C. 77f, 77g, 77h, 77j, and 77s(a).

[10] 15 U.S.C. 78c, 78*l,* 78m, 78n, 78o, 78w, and 78*ll.*

[11] 15 U.S.C. 77sss.

[12] 15 U.S.C. 80a–8, 80a–29, 80a–30, and 80a–37.

2010). Additional provisions applicable to Form N–SAR filers are set forth in the EDGAR Filer Manual, Volume III: "N–SAR Supplement," Version 1 (September 2005). All of these provisions have been incorporated by reference into the Code of Federal Regulations, which action was approved by the Director of the Federal Register in accordance with 5 U.S.C. 552(a) and 1 CFR part 51. You must comply with these requirements in order for documents to be timely received and accepted. You can obtain paper copies of the EDGAR Filer Manual from the following address: Public Reference Room, U.S. Securities and Exchange Commission, 100 F Street, NE., Room 1543, Washington, DC 20549, on official business days between the hours of 10 a.m and 3 p.m. Electronic copies are available on the Commission's Web site. The address for the Filer Manual is *http://www.sec.gov/info/edgar.shtml*. You can also inspect the document at the National Archives and Records Administration (NARA). For information on the availability of this material at NARA, call 202–741–6030, or go to: *http://www.archives.gov/federal_register/code_of_federal_regulations/ibr_locations.html.*

By the Commission.

Dated: January 5, 2011.

Elizabeth M. Murphy,

Secretary.

[FR Doc. 2011–378 Filed 1–10–11; 8:45 am]

BILLING CODE 8011–01–P

DEPARTMENT OF JUSTICE

Bureau of Prisons

28 CFR Part 570

[BOP Docket No. 1144–F]

RIN 1120–AB44

Inmate Furloughs

AGENCY: Bureau of Prisons, Justice.

ACTION: Final rule.

SUMMARY: In this document, the Bureau of Prisons (Bureau) revises its federal regulations on the inmate furlough program primarily to more clearly provide for and define transfer furloughs. Also, under this rule, the Bureau is expanding the authority of its Wardens to consider all inmates potentially eligible for non-transfer furloughs, as opposed to the current rule, which limits consideration to inmates with community custody status.

DATES: This rule is effective on February 10, 2011.

FOR FURTHER INFORMATION CONTACT: Sarah Qureshi, Office of General Counsel, Bureau of Prisons, phone (202) 307–2105.

SUPPLEMENTARY INFORMATION: The Bureau revises its federal regulations on the inmate furlough program primarily to more clearly provide for and define transfer furloughs. Through this change, we also seek to reorganize and clarify the rules, while eliminating language that constitutes agency guidance to staff. Any such guidance language will be retained in the relevant Bureau policy. Also, under this rule, the Bureau is expanding the authority of its Wardens to consider all inmates potentially eligible for non-transfer furloughs, as opposed to the current rule, which limits consideration to inmates with community custody status.

A proposed rule on this subject was published on December 6, 2006 (71 FR 70696). We received three comments, which we respond to below.

Payment for Urinalysis, Breathalyzer, and Other Comparable Tests Upon Return From Furlough

Section 570.38(b)(4) of the proposed rule stated that a furlough will only be approved if an inmate agrees to certain conditions, including the condition that the inmate may "be thoroughly searched and given a urinalysis, breathalyzer, and other comparable test, during the furlough or upon return to the institution, and must prepay the cost of such test(s) if the inmate or family members are paying the other costs of the furlough." Further, this regulation provides that the inmate "must pre-authorize all testing fee(s) to be withdrawn directly from his/her inmate deposit fund account."

One commenter questioned the payment process described in § 570.38. The commenter suggested that the inmate should pay for all potential testing before he/she be "allowed to leave." This is not practical. Depending on Bureau resources, the inmate's particular situation, and the particular circumstances surrounding the furlough, it is possible that the inmate will not undergo all of the available testing upon the inmate's return from furlough. It is therefore unnecessary and impractical to require an inmate to pre-pay the costs of tests that he/she may not be required to undergo.

The commenter then suggested that "charging an inmate that is on an emergency non-transferral furlough is not reasonable before they be allowed to leave. Postponing their payment until they return seems to be more reasonable." The Bureau agrees with this statement, which is why the regulation requires not that inmates pre-pay, but only that the inmate sign a form pre-authorizing payment for testing that will be conducted upon the inmate's return.

For clarity, we have modified that part of the regulation to state that the inmate "must pre-authorize the cost of such test(s) if the inmate or family members are paying the other costs of the furlough."

Conditions Under Which a Furlough May Be Granted

One commenter stated that the rule "does not make clear that inmates in Low, Medium, or High security institutions are categorically ineligible for emergency or other non-transfer furloughs."

However, according to the regulation as proposed, "inmates in Low, Medium, or High security institutions" are not "categorically ineligible for emergency or other non-transfer furloughs," but instead will be considered on a case-by-case basis, in accordance with these regulations and in the Warden's discretion.

§ 570.36 specifies the conditions under which a non-transfer furlough may be granted. This section contains a chart which clarifies the eligibility requirements for non-transfer furloughs and describes the types of non-transfer furloughs an inmate may be eligible for, based on the inmate's length of confinement or time remaining on the inmate's sentence. The chart has been revised in the final rule for greater clarity and accuracy. This section also describes circumstances under which Wardens will ordinarily deny non-transfer furloughs.

Under this rule, the Bureau is expanding the authority of its Wardens to consider all inmates potentially eligible for non-transfer furloughs, as opposed to the current rule, which limits consideration to inmates with community custody status. Community custody, the lowest custody level assigned to an inmate, affords the lowest level of security and staff supervision. The Bureau believes this change is justified by the potential prisoner re-entry and rehabilitative benefits to be afforded by a non-transfer furlough. Further, any resulting public safety concerns are adequately addressed by the limitations contained within §§ 570.35(b) and 570.36.

Further, § 570.31 describes inmate eligibility for furloughs, and states that sentenced inmates housed in Bureau facilities, pretrial inmates housed in Bureau facilities, and sentenced inmates housed in Bureau facilities and classified as central inmate monitoring cases may be eligible for furloughs.

Inmates who are not eligible for furloughs through the Bureau include sentenced inmates housed in contract facilities and inmates who are U.S. Marshals prisoners housed in contract facilities.

It should be noted that revised § 570.35(a) states that inmates transferring to administrative, low, medium, or high security facilities are generally not eligible for participation in the Bureau's transfer furlough program. Inmates transferring to facilities with these security designations are considered to pose a potential risk to the community if granted a transfer furlough. An inmate's security level is based on relevant factual information, such as the inmate's current offense, sentence, criminal history, and institutional behavior that requires additional security measures. Because of the potential risk to public safety, inmates transferring to administrative, low, medium, or high security facilities are not appropriate for participation in the Bureau's transfer furlough program.

Guidance to Staff Is Needed

The third commenter recommended that "further guidance be given to the Warden or person making the decision so that there will be more consistency in the granting of furloughs."

Further guidance will be given to all staff regarding furloughs in the corresponding Bureau policy on furloughs, which is a guidance document for staff. The Bureau intends for the corresponding policy guidance to promote consistency in the granting of furloughs.

For the aforementioned reasons, the Bureau finalizes, with minor changes, the proposed rule published on December 6, 2006 (71 FR 70696).

Executive Order 12866

This rule falls within a category of actions that the Office of Management and Budget (OMB) has determined not to constitute "significant regulatory actions" under section 3(f) of Executive Order 12866 and, accordingly, it was not reviewed by OMB.

The Bureau has assessed the costs and benefits of this rule as required by Executive Order 12866 Section 1(b)(6) and has made a reasoned determination that the benefits of this rule justify its costs. This rule will provide a more accurate description of the inmate furlough program. There will be no new costs associated with this rulemaking.

Executive Order 13132

This regulation will not have substantial direct effects on the States, on the relationship between the national government and the States, or on distribution of power and responsibilities among the various levels of government. Therefore, under Executive Order 13132, we determine that this rule does not have sufficient Federalism implications to warrant the preparation of a Federalism Assessment.

Regulatory Flexibility Act

The Director of the Bureau of Prisons, under the Regulatory Flexibility Act (5 U.S.C. 605(b)), reviewed this regulation and by approving it certifies that it will not have a significant economic impact upon a substantial number of small entities for the following reasons: This rule pertains to the correctional management of offenders committed to the custody of the Attorney General or the Director of the Bureau of Prisons, and its economic impact is limited to the Bureau's appropriated funds.

Unfunded Mandates Reform Act of 1995

This rule will not result in the expenditure by State, local and tribal governments, in the aggregate, or by the private sector, of $100,000,000 or more in any one year, and it will not significantly or uniquely affect small governments. Therefore, no actions were deemed necessary under the provisions of the Unfunded Mandates Reform Act of 1995.

Small Business Regulatory Enforcement Fairness Act of 1996

This rule is not a major rule as defined by section 804 of the Small Business Regulatory Enforcement Fairness Act of 1996. This rule will not result in an annual effect on the economy of $100,000,000 or more; a major increase in costs or prices; or significant adverse effects on competition, employment, investment, productivity, innovation, or on the ability of United States-based companies to compete with foreign-based companies in domestic and export markets.

List of Subjects in 28 CFR Part 570

Prisoners.

Harley G. Lappin,

Director, Bureau of Prisons.

Accordingly, under rulemaking authority vested in the Attorney General in 5 U.S.C. 301; 28 U.S.C. 509, 510 and delegated to the Director, Bureau of Prisons in 28 CFR 0.96, we amend 28 CFR part 570 as set forth below.

SUBCHAPTER D—COMMUNITY PROGRAMS AND RELEASE

PART 570—COMMUNITY PROGRAMS

■ 1. The authority citation for 28 CFR part 570 continues to read as follows:

Authority: 5 U.S.C. 301; 18 U.S.C. 751, 3621, 3622, 3624, 4001, 4042, 4081, 4082 (Repealed in part as to offenses committed on or after November 1, 1987), 4161–4166, 5006–5024 (Repealed October 12, 1984 as to offenses committed after that date), 5039; 28 U.S.C. 509, 510.

■ 2. Revise subpart C to read as follows:

Subpart C—Furloughs

Sec.

§ 570.30 Purpose.

The purpose of this subpart is to describe the procedures governing the furlough program of the Federal Bureau of Prisons (Bureau), which is authorized by 18 U.S.C. 3622. Under the furlough program, the Bureau allows inmates who meet certain requirements to be temporarily released from custody under carefully prescribed conditions.

§ 570.31 Inmate eligibility for furloughs.

(a) *Eligible inmates.* The following types of inmates may be eligible for furloughs:

(1) Sentenced inmates housed in Bureau facilities.

(2) Pretrial inmates housed in Bureau facilities (provided that they comply with the requirements of 28 CFR part 551, Subpart J).

(3) Sentenced inmates housed in Bureau facilities and classified as central inmate monitoring cases (provided that they comply with the requirements of 28 CFR part 524, Subpart F).

(b) *Ineligible inmates.* The following types of inmates are not eligible for furloughs:

(1) Sentenced inmates housed in contract facilities are not eligible to participate in the Bureau's furlough program under these rules, but may apply for furloughs as specified in that facility's written agreement with the Bureau.

(2) Inmates who are U.S. Marshals prisoners housed in contract facilities are not eligible to participate, but must

direct any furlough requests to the U.S. Marshals.

§ 570.32 Types of furloughs.

A furlough is an authorized absence from an institution by an inmate who is not under escort of a staff member, U.S. Marshal, or state or federal agents. The two types of furloughs are:

(a) *Transfer furlough*—A furlough for the purpose of transferring an inmate from one Bureau facility to another, a non-federal facility, or community confinement (including home confinement) as noted below at § 570.33(a).

(b) *Non-transfer furlough*—A furlough for any purpose other than a transfer furlough, and which may be defined based on its nature, as either emergency or routine, as follows:

(1) *Emergency furlough*—A furlough allowing an inmate to address a family crisis or other urgent situation as noted below at § 570.33(b).

(2) *Routine furlough*—A furlough for any of the reasons noted below at § 570.33 (a) and (c) through (j).

(c) *Duration and distance of non-transfer furlough*—

(1) *Day furlough*—A furlough within the geographic limits of the commuting area of the institution, which lasts 16 hours or less and ends before midnight.

(2) *Overnight furlough*—A furlough which falls outside the criteria of a day furlough.

§ 570.33 Justification for furlough.

The Warden or designee may authorize a furlough, for 30 calendar days or less, for an inmate to:

(a) Transfer directly to another Bureau institution, a non-federal facility, or community confinement;

(b) Be present during a crisis in the immediate family, or in other urgent situations;

(c) Participate in the development of release plans;

(d) Establish or reestablish family and community ties;

(e) Participate in selected educational, social, civic, and religious activities which will facilitate release transition;

(f) Appear in court in connection with a civil action;

(g) Comply with an official request to appear before a grand jury, or to comply with a request from a legislative body, or regulatory or licensing agency;

(h) Appear in or prepare for a criminal court proceeding, but only when the use of a furlough is requested or recommended by the applicable court or prosecuting attorney;

(i) Participate in special training courses or in institution work assignments, including Federal Prison Industries (FPI) work assignments, when daily commuting from the institution is not feasible; or

(j) Receive necessary medical, surgical, psychiatric, or dental treatment not otherwise available.

§ 570.34 Expenses of furlough.

All expenses of a furlough, including transportation, food, lodging, and incidentals, are the responsibility of the inmate, the inmate's family, or other appropriate source approved by the Warden, except that the government may bear the expense of a furlough if it is for the government's primary benefit.

§ 570.35 Transfer furlough eligibility requirements.

(a) Inmates transferring to administrative, low, medium, or high security facilities are generally not eligible for participation in the Bureau's transfer furlough program.

(b) For a transfer furlough, inmates other than those described in paragraph (a) of this section must:

(1) Be physically and mentally capable of completing the furlough; and

(2) Demonstrate sufficient responsibility to provide reasonable assurance that furlough requirements will be met.

(c) Inmates transferring to minimum security facilities must meet the requirements described in paragraph (b) of this section, and must also be:

(1) Transferring from a low or minimum security facility; and

(2) Appropriate for placement in a minimum security facility based on the inmate's security designation and custody classification at the time of transfer.

(d) Inmates transferring to community confinement must meet the requirements described in paragraph (b) of this section, and must also be appropriate for placement in community confinement based on the inmate's security designation and custody classification at the time of transfer.

§ 570.36 Non-transfer furlough eligibility requirements.

(a) An inmate may be eligible for a non-transfer furlough if the inmate meets the criteria described in 570.35(b) and the following additional criteria:

If an inmate has . . .	Then the inmate may only be considered for . . .
been confined at the initially designated institution for less than 90 days	an emergency furlough.
more than two years remaining until the projected release date	an emergency furlough.
2 years or less remaining until the projected release date	an emergency furlough or a routine day furlough.
18 months or less remaining until the projected release date	an emergency furlough, a routine day furlough, or a routine overnight furlough within the institution's commuting area.
1 year or less remaining until the projected release date	an emergency furlough, a routine day furlough, or a routine overnight furlough either within or outside the institution's commuting area.

(b) Ordinarily, Wardens will not grant a furlough to an inmate if:

(1) The inmate is convicted of a serious crime against a person;

(2) The inmate's presence in the community could attract undue public attention, create unusual concern, or diminish the seriousness of the offense; or

(3) The inmate has been granted a furlough in the past 90 days.

§ 570.37 Procedures to apply for a furlough.

(a) *Application.* Inmates may submit a furlough application to staff, who will review it for compliance with these regulations and Bureau policy.

(b) *Notification of decision.* An inmate will be notified of the Warden's decision on the furlough application. Where a furlough application is denied, the inmate will be notified of the reasons for the denial.

(c) *Appeal.* An inmate may appeal any aspect of the furlough program through the Administrative Remedy Program, 28 CFR Part 542, Subpart B.

§ 570.38 Conditions of Furlough.

(a) An inmate who violates the conditions of a furlough may be considered an escapee under 18 U.S.C. 4082 or 18 U.S.C. 751, and may be subject to criminal prosecution and institution disciplinary action.

(b) A furlough will only be approved if an inmate agrees to the following conditions and understands that, while on furlough, he/she:

(1) Remains in the legal custody of the U.S. Attorney General, in service of a term of imprisonment;

(2) Is subject to prosecution for escape if he/she fails to return to the institution at the designated time;

(3) Is subject to institution disciplinary action, arrest, and criminal prosecution for violating any condition(s) of the furlough;

(4) May be thoroughly searched and given a urinalysis, breathalyzer, and other comparable test, during the furlough or upon return to the institution, and must pre-authorize the cost of such test(s) if the inmate or family members are paying the other costs of the furlough. The inmate must pre-authorize all testing fee(s) to be withdrawn directly from his/her inmate deposit fund account;

(5) Must contact the institution (or United States Probation Officer) in the event of arrest, or any other serious difficulty or illness; and

(6) Must comply with any other special instructions given by the institution.

(c) While on furlough, the inmate must not:

(1) Violate the laws of any jurisdiction (federal, state, or local);

(2) Leave the area of his/her furlough without permission, except for traveling to the furlough destination, and returning to the institution;

(3) Purchase, sell, possess, use, consume, or administer any narcotic drugs, marijuana, alcohol, or intoxicants in any form, or frequent any place where such articles are unlawfully sold, dispensed, used, or given away;

(4) Use medication that is not prescribed and given to the inmate by the institution medical department or a licensed physician;

(5) Have any medical/dental/surgical/psychiatric treatment without staff's written permission, unless there is an emergency. Upon return to the institution, the inmate must notify institution staff if he/she received any prescribed medication or treatment in the community for an emergency;

(6) Possess any firearm or other dangerous weapon;

(7) Get married, sign any legal papers, contracts, loan applications, or conduct any business without staff's written permission;

(8) Associate with persons having a criminal record or with persons who the inmate knows to be engaged in illegal activities without staff's written permission;

(9) Drive a motor vehicle without staff's written permission, which can only be obtained if the inmate has proof of a currently valid driver's license and proof of appropriate insurance; or

(10) Return from furlough with anything the inmate did not take out with him/her (for example, clothing, jewelry, or books).

[FR Doc. 2011–281 Filed 1–10–11; 8:45 am]

BILLING CODE 4410–05–P

DEPARTMENT OF HOMELAND SECURITY

Coast Guard

33 CFR Part 165

[Docket No. USCG–2009–0316]

RIN 1625–AA87

Security Zones; Sabine Bank Channel, Sabine Pass Channel and Sabine-Neches Waterway, TX

AGENCY: Coast Guard, DHS.

ACTION: Final rule.

SUMMARY: The Coast Guard is establishing moving security zones for certain vessels for which the Captain of the Port, Port Arthur deems enhanced security measures necessary. In addition, it is establishing security zones encompassing the mooring basins of LNG carriers while they are moored at the Golden Pass LNG facility in Sabine, TX and/or the Sabine Pass LNG facility located in Cameron Parish, LA.

DATES: This rule is effective February 10, 2011.

ADDRESSES: Comments and material received from the public, as well as documents mentioned in this preamble as being available in the docket, are part of docket USCG–2009–0316 are available online by going to *http://www.regulations.gov,* inserting USCG–2009–0316 in the "Keyword" box, and then clicking "Search." This material is also available for inspection or copying at the Docket Management Facility (M–30), U.S. Department of Transportation, West Building Ground Floor, Room W12–140, 1200 New Jersey Avenue, SE., Washington, DC 20590, between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays.

FOR FURTHER INFORMATION CONTACT: If you have questions on this rule, call or e-mail Mr. Scott Whalen, Marine Safety Unit Port Arthur, TX; telephone 409–719–5086, e-mail *scott.k.whalen@uscg.mil.* If you have questions on viewing the docket, call Renee V. Wright, Program Manager, Docket Operations, telephone 202–366–9826.

SUPPLEMENTARY INFORMATION:

Regulatory Information

On May 27, 2010, we published a notice of proposed rulemaking (NPRM) entitled Security Zones; Sabine Bank Channel, Sabine Pass Channel and Sabine-Neches Waterway, TX in the **Federal Register** (75 FR 29695). We received one comment on the proposed rule. On October 22, 2010, we then published an interim rule discussing and incorporating the recommendation from that one comment and requesting further comments (75 FR 65232).

No public meeting was requested and none was held. Additionally, no comments concerning the interim rule were received.

Basis and Purpose

The Coast Guard is establishing moving security zones for certain vessels, for which the Captain of the Port deems enhanced security measures are necessary. The purpose of these security zones is to protect certain vessels designated as requiring such enhanced security measures. Mariners will be notified of the activation of a moving security zone around designated vessels by Broadcast Notice to Mariners. Vessels with active moving security zones will also be identified by the presence of escort vessels displaying flashing blue law enforcement lights.

The moving security zones would be activated for certain vessels within the U.S. territorial waters through Sabine Bank Channel, Sabine Pass Channel and the Sabine-Neches Waterway, extending from the surface to the bottom. These moving security zones would extend channel edge to channel edge on the Sabine Bank and Sabine Pass Channel and shoreline to shoreline on the Sabine-Neches Waterway, 2 miles ahead and 1 mile astern of the designated vessels while in transit. Meeting, crossing or overtaking situations are not permitted within the security zone unless specifically authorized by the Captain of the Port.

In addition, the Coast Guard is establishing security zones for the mooring basins at the Golden Pass LNG facility in Sabine, TX and the Sabine Pass LNG facility located in Cameron Parish, LA while LNG carriers are moored at these facilities.

These security zones are part of a comprehensive port security regime designed to safeguard human life, vessels, and waterfront facilities against sabotage or terrorist attacks.

All vessels not exempted under paragraph (b) of § 165.819 would be

prohibited from entering or remaining in these security zones unless authorized by the Captain of the Port, Port Arthur or his designated representative. For authorization to enter the proposed security zones, vessels can contact the Captain of the Port's on-scene representative or Vessel Traffic Service Port Arthur on VHF Channel 01A or 65A, by telephone at (409) 719–5070, or by facsimile at (409) 719–5090.

Background

On May 27, 2010 we published a notice of proposed rulemaking (NPRM) to establish this security zone regulation. We received one comment on the proposed rule. Based on that comment, the security zone area proposed in the NPRM was extended to include the entire mooring basins for LNG carriers. The Coast Guard concurs with that recommendation and modified the regulatory language accordingly for the interim rule. Additionally, the same commenter noted that the location of the Sabine Pass facility should be changed from Cheneire, LA to Cameron Parish, LA. This change was also incorporated into the interim rule regulatory language.

Discussion of Comments and Changes

The Coast Guard received no comments concerning the interim rule requesting that the establishment of a security zone extending 100-feet around LNG carriers while moored at Sabine Pass LNG and Golden Pass LNG facilities be extended to include the entire mooring basin. For clarity, the Coast Guard has amended the final rule regulatory text to include "mooring basin" as a body of water description for the fixed security zones. Mooring basin as a descriptive term is in addition to the latitude and longitude positions, which are already part of the regulatory text, and does not change the areas included in the fixed security zone. This final rule contains no substantive changes from the interim rule as published.

Regulatory Analyses

We developed this rule after considering numerous statutes and executive orders related to rulemaking. Below we summarize our analyses based on 13 of these statutes or executive orders.

Regulatory Planning and Review

This rule is not a significant regulatory action under section 3(f) of Executive Order 12866, Regulatory Planning and Review, and does not require an assessment of potential costs and benefits under section 6(a)(3) of that Order. The Office of Management and Budget has not reviewed it under that Order.

We expect the economic impact of this rule to be so minimal that a full Regulatory Evaluation is unnecessary. The basis of this finding is that the proposed fixed security zones around moored LNG carriers are of limited size and duration and the affected area does not hinder or delay regular vessel traffic. The moving security zone is limited and does not create undue delay to vessel traffic because vessel traffic may request permission to enter the zone from the Captain of the Port.

Small Entities

Under the Regulatory Flexibility Act (5 U.S.C. 601–612), we have considered whether this rule would have a significant economic impact on a substantial number of small entities. The term "small entities" comprises small businesses, not-for-profit organizations that are independently owned and operated and are not dominant in their fields, and governmental jurisdictions with populations of less than 50,000.

The Coast Guard certifies under 5 U.S.C. 605(b) that this rule will not have a significant economic impact on a substantial number of small entities.

This rule will affect the following entities, some of which might be small entities: The owners or operators of vessels intending to transit through the fixed or moving security zones. The fixed security zones are of limited size and duration and the affected area will not hinder or delay regular vessel traffic. The moving security zone rule will not create undue delay to vessel traffic because vessel traffic may request permission to enter the zone.

Assistance for Small Entities

Under section 213(a) of the Small Business Regulatory Enforcement Fairness Act of 1996 (Pub. L. 104–121), in the NPRM we offered to assist small entities in understanding the rule so that they could better evaluate its effects on them and participate in the rulemaking process.

Small businesses may send comments on the actions of Federal employees who enforce, or otherwise determine compliance with, Federal regulations to the Small Business and Agriculture Regulatory Enforcement Ombudsman and the Regional Small Business Regulatory Fairness Boards. The Ombudsman evaluates these actions annually and rates each agency's responsiveness to small business. If you wish to comment on actions by employees of the Coast Guard, call 1–888–REG–FAIR (1–888–734–3247). The Coast Guard will not retaliate against small entities that question or complain about this rule or any policy or action of the Coast Guard.

Collection of Information

This rule calls for no new collection of information under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501–3520).

Federalism

A rule has implications for federalism under Executive Order 13132, Federalism, if it has a substantial direct effect on State or local governments and would either preempt State law or impose a substantial direct cost of compliance on them. We have analyzed this rule under that Order and have determined that it does not have implications for federalism.

Unfunded Mandates Reform Act

The Unfunded Mandates Reform Act of 1995 (2 U.S.C. 1531–1538) requires Federal agencies to assess the effects of their discretionary regulatory actions. In particular, the Act addresses actions that may result in the expenditure by a State, local, or tribal government, in the aggregate, or by the private sector of $100,000,000 (adjusted for inflation) or more in any one year. Though this rule will not result in such an expenditure, we do discuss the effects of this rule elsewhere in this preamble.

Taking of Private Property

This rule will not cause a taking of private property or otherwise have taking implications under Executive Order 12630, Governmental Actions and Interference with Constitutionally Protected Property Rights.

Civil Justice Reform

This rule meets applicable standards in sections 3(a) and 3(b)(2) of Executive Order 12988, Civil Justice Reform, to minimize litigation, eliminate ambiguity, and reduce burden.

Protection of Children

We have analyzed this rule under Executive Order 13045, Protection of Children from Environmental Health Risks and Safety Risks. This rule is not an economically significant rule and does not create an environmental risk to health or risk to safety that may disproportionately affect children.

Indian Tribal Governments

This rule does not have tribal implications under Executive Order 13175, Consultation and Coordination

with Indian Tribal Governments, because it does not have a substantial direct effect on one or more Indian tribes, on the relationship between the Federal Government and Indian tribes, or on the distribution of power and responsibilities between the Federal Government and Indian tribes

Energy Effects

We have analyzed this rule under Executive Order 13211, Actions Concerning Regulations That Significantly Affect Energy Supply, Distribution, or Use. We have determined that it is not a "significant energy action" under that order because it is not a "significant regulatory action" under Executive Order 12866 and is not likely to have a significant adverse effect on the supply, distribution, or use of energy. The Administrator of the Office of Information and Regulatory Affairs has not designated it as a significant energy action. Therefore, it does not require a Statement of Energy Effects under Executive Order 13211.

Technical Standards

The National Technology Transfer and Advancement Act (NTTAA) (15 U.S.C. 272 note) directs agencies to use voluntary consensus standards in their regulatory activities unless the agency provides Congress, through the Office of Management and Budget, with an explanation of why using these standards would be inconsistent with applicable law or otherwise impractical. Voluntary consensus standards are technical standards (e.g., specifications of materials, performance, design, or operation; test methods; sampling procedures; and related management systems practices) that are developed or adopted by voluntary consensus standards bodies.

This rule does not use technical standards. Therefore, we did not consider the use of voluntary consensus standards.

Environment

We have analyzed this rule under Department of Homeland Security Management Directive 023–01 and Commandant Instruction M16475.lD, which guide the Coast Guard in complying with the National Environmental Policy Act of 1969 (NEPA) (42 U.S.C. 4321–4370f), and have concluded this action is one of a category of actions that do not individually or cumulatively have a significant effect on the human environment. This rule is categorically excluded, under figure 2–1, paragraph (34)(g), of the Instruction. This rule involves regulations establishing, disestablishing, or changing Regulated Navigation Areas and security or safety zones. An environmental analysis checklist and a categorical exclusion determination are available in the docket where indicated under **ADDRESSES**.

List of Subjects in 33 CFR 165

Harbors, Marine safety, Navigation (water), Reporting and recordkeeping requirements, Security measures, Waterways.

For the reasons discussed in the preamble, the Coast Guard adopts the interim rule amending 33 CFR part 165 that was published at 75 FR 65235 on October 22, 2010, as a final rule with the following changes:

PART 165—REGULATED NAVIGATION AREAS AND LIMITED ACCESS AREAS

■ 1. The authority citation to part 165 continues to read as follows:

Authority: 33 U.S.C. 1231; 46 U.S.C. Chapter 701, 3306, 3703; 50 U.S.C. 191, 195; 33 CFR 1.05–1, 6.04–1, 6.04–6, and 160.5; Pub. L. 107–295, 116 Stat. 2064; Department of Homeland Security Delegation No. 0170.1.

§ 165.819 [Amended]

■ 2. In § 165.819—

■ a. Amend paragraph (a)(1)(i) by inserting the words "mooring basin" immediately before the word "waters", and

■ b. Amend paragraph (a)(1)(ii) by inserting the words "mooring basin" immediately before the word "waters".

Dated: November 22, 2010.

J.J. Plunkett,

Captain, U.S. Coast Guard, Captain of the Port, Port Arthur.

[FR Doc. 2011–172 Filed 1–10–11; 8:45 am]

BILLING CODE 9110–04–P

DEPARTMENT OF HOMELAND SECURITY

Coast Guard

33 CFR Part 165

[Docket No. USCG–2010–0423]

RIN 1625–AA87

Security Zone: Fleet Industrial Supply Center Pier, San Diego, CA

AGENCY: Coast Guard, DHS.

ACTION: Final rule.

SUMMARY: The Coast Guard is removing a security zone on the navigable waters of San Diego Bay, San Diego, CA. The existing zone is around the former Fleet Industrial Supply Center Pier. The pier is no longer owned by the U.S. Navy and the existing security zone is no longer necessary to provide for the security of the U.S. Naval vessels, their crews, and the public from sabotage or other subversive acts, accidents, criminal actions, or other causes of a similar nature.

DATES: This rule is effective February 10, 2011.

ADDRESSES: Documents indicated in this preamble as being available in the docket are part of docket USCG–2010–0423 and are available online by going to *http://www.regulations.gov,* inserting USCG–2010–0423 in the "Keyword" box, and then clicking "Search." They are also available for inspection or copying at the Docket Management Facility (M–30), U.S. Department of Transportation, West Building Ground Floor, Room W12–140, 1200 New Jersey Avenue, SE., Washington, DC 20590, between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays.

FOR FURTHER INFORMATION CONTACT: If you have questions on this rule, call or e-mail Commander Mike Dolan, Waterways Management, U.S. Coast Guard Sector San Diego; telephone 619–278–7261, e-mail *Michael.b.dolan@uscg.mil.* If you have questions on viewing the docket, call Renee V. Wright, Program Manager, Docket Operations, telephone 202–366–9826.

SUPPLEMENTARY INFORMATION:

Regulatory Information

The Coast Guard is issuing this final rule without prior notice and opportunity to comment pursuant to authority under section 4(a) of the Administrative Procedure Act (APA) (5 U.S.C. 553(b)). This provision authorizes an agency to issue a rule without prior notice and opportunity to comment when the agency for good cause finds that those procedures are "impracticable, unnecessary, or contrary to the public interest." Under 5 U.S.C. 553(b)(B), the Coast Guard finds that good cause exists for not publishing a notice of proposed rulemaking (NPRM). It is unnecessary to seek comments on this rulemaking because the purpose of this security zone—to provide for the security of the U.S. Naval vessels, their crews, and the public from sabotage or other subversive acts, accidents, criminal actions, or other causes of a similar nature—no longer exists because the Navy no longer owns this facility.

Under 5 U.S.C. 553(d)(3), the Coast Guard finds that good cause exists for making this rule effective 30 days after publication in the **Federal Register**. The pier is no longer owned by the U.S.

Navy and the existing security zone is no longer necessary.

Basis and Purpose

The Coast Guard is removing a security zone on the navigable waters of the San Diego Bay, San Diego, CA. The existing security zone is around the former Fleet Industrial Supply Center Pier. The security zone encompasses all navigable waters within 100 feet of the former Fleet Industrial Supply Center Pier. The pier is no longer owned by the U.S. Navy and the security zone is no longer needed to protect U.S. Naval vessels, their crews, and the public from sabotage or other subversive acts, accidents, criminal actions or other causes of a similar nature.

Discussion of Rule

The Coast Guard is removing a security zone. The current limits of the security zone include all navigable waters within 100 feet of the former Fleet Industrial Supply Center Pier enclosed by lines connecting the following points: 32°42′50″ N, 117°10′25″ W; 32°42′50″ N, 117°10′38″ W; 32°42′54″ N, 117°10′38″ W; 32°42′54″ N, 117°10′25″ W. The security zone is no longer necessary to protect U.S. Naval vessels, their crews, and the public from sabotage or other subversive acts, accidents, criminal actions, or other causes of a similar nature.

Regulatory Analyses

We developed this rule after considering numerous statutes and executive orders related to rulemaking. Below we summarize our analyses based on 13 of these statutes or executive orders.

Regulatory Planning and Review

This rule is not a significant regulatory action under section 3(f) of Executive Order 12866, Regulatory Planning and Review, and does not require an assessment of potential costs and benefits under section 6(a)(3) of that Order. The Office of Management and Budget has not reviewed it under that Order. The entities most likely to be affected are pleasure craft engaged in recreational activities and sightseeing. As such, the Coast Guard expects the economic impact of this rule to be minimal.

Small Entities

Under the Regulatory Flexibility Act (5 U.S.C. 601–612), we have considered whether this rule would have a significant economic impact on a substantial number of small entities. The term "small entities" comprises small businesses, not-for-profit organizations that are independently owned and operated and are not dominant in their fields, and governmental jurisdictions with populations of less than 50,000.

The Coast Guard certifies under 5 U.S.C. 605(b) that this rule will not have a significant economic impact on a substantial number of small entities.

This rule will affect the following entities, some of which might be small entities: The owners or operators of vessels intending to transit or anchor in a portion of the San Diego Bay. The removal of this security zone will not have a significant economic impact on a substantial number of small entities for the following reason. Removing the security zone will allow the public to access an area of the waterway that is currently restricted.

Assistance for Small Entities

Under section 213(a) of the Small Business Regulatory Enforcement Fairness Act of 1996 (Pub. L. 104–121), we offer to assist small entities in understanding the rule so that they can better evaluate its effects on them and participate in the rulemaking process.

Small businesses may send comments on the actions of Federal employees who enforce, or otherwise determine compliance with, Federal regulations to the Small Business and Agriculture Regulatory Enforcement Ombudsman and the Regional Small Business Regulatory Fairness Boards. The Ombudsman evaluates these actions annually and rates each agency's responsiveness to small business. If you wish to comment on actions by employees of the Coast Guard, call 1–888–REG–FAIR (1–888–734–3247). The Coast Guard will not retaliate against small entities that question or complain about this rule or any policy or action of the Coast Guard.

Collection of Information

This rule calls for no new collection of information under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501–3520).

Federalism

A rule has implications for federalism under Executive Order 13132, Federalism, if it has a substantial direct effect on State or local governments and would either preempt State law or impose a substantial direct cost of compliance on them. We have analyzed this rule under that Order and have determined that it does not have implications for federalism.

Unfunded Mandates Reform Act

The Unfunded Mandates Reform Act of 1995 (2 U.S.C. 1531–1538) requires Federal agencies to assess the effects of their discretionary regulatory actions. In particular, the Act addresses actions that may result in the expenditure by a State, local, or tribal government, in the aggregate, or by the private sector of $100,000,000 (adjusted for inflation) or more in any one year. Though this rule will not result in such an expenditure, we do discuss the effects of this rule elsewhere in this preamble.

Taking of Private Property

This rule will not cause a taking of private property or otherwise have taking implications under Executive Order 12630, Governmental Actions and Interference with Constitutionally Protected Property Rights.

Civil Justice Reform

This rule meets applicable standards in sections 3(a) and 3(b)(2) of Executive Order 12988, Civil Justice Reform, to minimize litigation, eliminate ambiguity, and reduce burden.

Protection of Children

We have analyzed this rule under Executive Order 13045, Protection of Children from Environmental Health Risks and Safety Risks. This rule is not an economically significant rule and does not create an environmental risk to health or risk to safety that may disproportionately affect children.

Indian Tribal Governments

This rule does not have tribal implications under Executive Order 13175, Consultation and Coordination with Indian Tribal Governments, because it does not have a substantial direct effect on one or more Indian tribes, on the relationship between the Federal Government and Indian tribes, or on the distribution of power and responsibilities between the Federal Government and Indian tribes.

Energy Effects

We have analyzed this rule under Executive Order 13211, Actions Concerning Regulations That Significantly Affect Energy Supply, Distribution, or Use. We have determined that it is not a "significant energy action" under that order because it is not a "significant regulatory action" under Executive Order 12866 and is not likely to have a significant adverse effect on the supply, distribution, or use of energy. The Administrator of the Office of Information and Regulatory Affairs has not designated it as a significant energy action. Therefore, it does not

require a Statement of Energy Effects under Executive Order 13211.

Technical Standards

The National Technology Transfer and Advancement Act (NTTAA) (15 U.S.C. 272 note) directs agencies to use voluntary consensus standards in their regulatory activities unless the agency provides Congress, through the Office of Management and Budget, with an explanation of why using these standards would be inconsistent with applicable law or otherwise impractical. Voluntary consensus standards are technical standards (*e.g.,* specifications of materials, performance, design, or operation; test methods; sampling procedures; and related management systems practices) that are developed or adopted by voluntary consensus standards bodies.

This rule does not use technical standards. Therefore, we did not consider the use of voluntary consensus standards.

Environment

We have analyzed this rule under Department of Homeland Security Management Directive 023–01 and Commandant Instruction M16475.lD, which guide the Coast Guard in complying with the National Environmental Policy Act of 1969 (NEPA) (42 U.S.C. 4321–4370f), and have concluded this action is one of a category of actions that do not individually or cumulatively have a significant effect on the human environment. This rule is categorically excluded, under figure 2–1, paragraph (34)(g.), of the Instruction. This rule involves the removal of a security zone.

An environmental analysis checklist and a categorical exclusion determination are available in the docket where indicated under **ADDRESSES**.

List of Subjects in 33 CFR Part 165

Harbors, Marine safety, Navigation (water), Reporting and recordkeeping requirements, Security measures, Waterways.

For the reasons discussed in the preamble, the Coast Guard amends 33 CFR part 165 as follows:

PART 165—REGULATED NAVIGATION AREAS AND LIMITED ACCESS AREAS

■ 1. The authority citation for part 165 continues to read as follows:

Authority: 33 U.S.C. 1226, 1231; 46 U.S.C. Chapter 701, 3306, 3703; 50 U.S.C. 191, 195; 33 CFR 1.05–1, 6.04–1, 6.04–6, and 160.5; Pub. L. 107–295, 116 Stat. 2064; Department of Homeland Security Delegation No. 0170.1.

§ 165.1121 [Removed and Reserved]

■ 2. Remove and reserve § 165.1121.

Dated: December 29, 2010.

P.J. Hill,

Commander, U.S. Coast Guard, Acting Captain of the Port San Diego.

[FR Doc. 2011–309 Filed 1–10–11; 8:45 am]

BILLING CODE 9110–04–P

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

36 CFR Part 1200

[NARA–10–0006]

RIN 3095–AB70

New Agency Logos

AGENCY: National Archives and Records Administration (NARA).

ACTION: Direct final rule.

SUMMARY: NARA is adding four new official logos. One is the new agency-wide official logo for use on agency correspondence and other communications and publicity media. The other three logos are for new offices within NARA—the Office of Government Information Services (OGIS), the Controlled Unclassified Information Office (CUI), and the National Declassification Center (NDC).

DATES: Effective January 11, 2011 without further action, unless adverse comment is received by February 10, 2011. If adverse comment is received, NARA will publish a timely withdrawal of the rule in the **Federal Register**.

ADDRESSES: Adverse comments may be submitted by the deadline. Please include "RIN 3095–AB70," "Attn: Kimberly Keravuori," and your name and mailing address in your comments. Comments may be submitted by any of the following methods:

- *Federal eRulemaking Portal:* Go to: *http://www.regulations.gov*. Follow the instructions for submitting comments.
- *Fax:* Submit comments by facsimile transmission to 301–837–0319.
- *Mail:* Send comments to Regulations Comments Desk (NPOL), Room 4100, National Archives and Records Administration; Policy and Planning Office; *Attn:* Kimberly Keravuori; 8601 Adelphi Road; College Park, MD 20740.
- *Hand Delivery or Courier:* Deliver comments to 8601 Adelphi Road, College Park, MD.

FOR FURTHER INFORMATION CONTACT: Kimberly Keravuori at 301–837–3151.

SUPPLEMENTARY INFORMATION: For the purposes of agency recognition and branding, and in compliance with the Office of Management and Budget's Memorandum 10–23, Guidance for Agency Use of Third-Party Web sites and Applications, and the agency's Open Government initiatives, the Archivist has designated a NARA-wide official agency logo. This logo is for use on agency letterhead, all agency social media sites, and other agency communications or publicity media as a consistent branding image for agency recognition. The logo does not replace NARA's official seals.

The second logo is for the Office of Government Information Services (OGIS). The OPEN Government Act of 2007 amended the Freedom of Information Act, or FOIA (5 U.S.C. 552) to create the OGIS within NARA. As part of its statutory duties as ombudsman of the Federal FOIA program, OGIS has developed an office logo for instant recognition of OGIS and its programs and services across the Federal government and amongst FOIA requesters.

The third logo is for the Controlled Unclassified Information (CUI) Office. The Archivist of the United States' Memorandum, dated May 21, 2008, established the CUI Office within NARA and its purpose is to develop and implement policy standards for CUI, guided by Presidential direction. The CUI logo is a symbol of NARA's policy office for CUI and has been designed to convey recognition of the standardization of CUI policy across the Federal government.

The fourth logo is for the National Declassification Center (NDC). The NDC was established in accordance with Section 3.7 of Executive Order 13526, by the Archivist of the United States on December 30, 2009. Its mission is to align people, processes, and technologies to advance the declassification and public release of historically valuable permanent records while maintaining national security. The NDC logo is being adopted to provide a recognizable, standard brand for the NDC and its activities.

Permission is required for the replication or use of these logos.

This rule is effective upon publication for good cause as permitted by the Administrative Procedure Act (5 U.S.C. 553(d)(3)). NARA believes that delaying the effective date for 30 days is unnecessary as this rule represents minor technical amendments and there are no changes to the public's ability to utilize its logos or of services to the public. In addition, the public will benefit immediately from recognition of NARA's new official logo when it appears on documents.

This direct final rule is not a significant regulatory action for the purposes of Executive Order 12866 and has not been reviewed by the Office of Management and Budget (OMB). As required by the Regulatory Flexibility Act, it is hereby certified that this rule will not have a significant impact on a substantial number of small business entities because this rules applies to the agency by adding new agency logos. This rule does not have any federalism implications.

List of Subjects in 36 CFR Part 1200

Logos, archives and records.

■ For the reasons stated in the preamble, NARA amends Title 36 of the Code of Federal Regulations as follows:

PART 1200—OFFICIAL SEALS

■ 1. The authority citation for part 1200 continues to read as follows:

Authority: 18 U.S.C. 506, 701, 1017; 44 U.S.C. 2104(e), 2116(b), 2302.

Subpart B—How are NARA's Official Seals and Logos Designed and Used?

§ 1200.4 [Amended]

■ 2. Amend § 1200.4 (a)(1) by removing the punctuation and phrase ", e.g., stationery".

■ 3. In § 1200.7:

■ a. Redesignate paragraphs (a) through (d) as paragraphs (d) through (g);

■ b. Add new paragraphs (a) through (c);

■ c. Revise newly redesignated paragraph (d) introductory text;

■ d. In newly redesignated paragraph (d)(7), remove "and";

■ e. In newly redesignated paragraph (d)(8), remove the period and add a semicolon in its place; and

■ f. Add paragraphs (d)(10), (11), and (12).

■ g. In newly redesignated paragraph (e) introductory text, italicize the paragraph heading; and

■ h. Revise newly redesignated paragraphs (f) introductory text and (g).

The revisions and additions read as follows:

§ 1200.7 What are NARA logos and how are they used?

(a) *Agency logo.* NARA has one official agency logo, which is illustrated as follows:

(b) The official agency logo is used:

(1) On agency letterhead and business cards;

(2) On all NARA web and social media sites (intranet and internet), whether hosted internally, remotely, or on a public forum (including sites on which a NARA office or program logo also appears);

(3) On exhibits;

(4) On publicity and other branding materials, and on items associated with a one-time or recurring NARA event or activity;

(5) On agency communications and presentations; and

(6) On other items as approved by the Archivist or his designee.

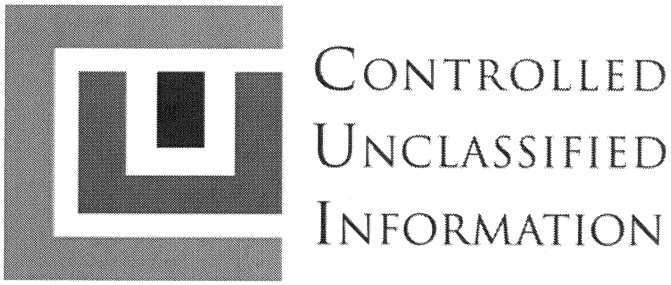
(c) The official agency logo does not replace NARA's official seals on other agency official business, such as certified records, the **Federal Register**, and authenticated copies.

(d) *Office and program logos.* NARA's official office and program logos include, but are not limited to, those illustrated as follows:

* * * * *

(10) The Office of Government Information Services (OGIS);

(11) The Controlled Unclassified Information Office (CUI); and



(12) The National Declassification Center (NDC).

(f) NARA uses its office, program, and other official logos (usually in conjunction with the agency logo) for official business, which includes, but is not limited to:

* * * * *

(g) *Use of logos by others.* NARA logos may be used by the public and other Federal agencies for events or activities co-sponsored by NARA, but only with the written approval of the Archivist or his designee. *See* Subpart C for procedures to request approval for use.

Subpart C—[Amended]

■ 4. Revise the heading for § 1200.8 to read as follows:

§ 1200.8 How do I request to use the official seals and logos?

* * * * *

Dated: January 5, 2011.

David S. Ferriero,

Archivist of the United States.

[FR Doc. 2011–492 Filed 1–10–11; 8:45 am]

BILLING CODE 7515–01–P

ENVIRONMENTAL PROTECTION AGENCY

40 CFR Part 52

[EPA–R06–OAR–2005–TX–0031; FRL–9248–9]

Approval and Promulgation of Air Quality Implementation Plans; Texas; Revisions to Rules and Regulations for Control of Air Pollution; Permitting of Grandfathered and Electing Electric Generating Facilities

AGENCY: Environmental Protection Agency (EPA).

ACTION: Final rule.

SUMMARY: EPA is taking final action to partially approve and partially disapprove revisions of the Texas State Implementation Plan (SIP) submitted by the Texas Commission on Environmental Quality (TCEQ, or Commission) on January 3, 2000, and July 31, 2002, as supplemented on August 5, 2009. These revisions are to regulations of the TCEQ that relate to application and permitting procedures for grandfathered electric generating facilities (EGFs). The revisions address a mandate by the Texas Legislature under Senate Bill 7 to achieve nitrogen oxide (NO_X), sulfur dioxide (SO_2) and particulate matter (PM) emission reductions from grandfathered EGFs. The emissions reductions will contribute to achieving attainment and help ensure attainment and continued maintenance of the National Ambient Air Quality Standards (NAAQS) for ozone, sulfur dioxide, and particulate matter in the State of Texas. As a result of these mandated emissions reductions, in accordance with section 110(l) of the Federal Clean Air Act, as amended (the Act, or CAA), partial approval of these revisions will not interfere with attainment of the NAAQS, reasonable further progress, or any other applicable requirement of the Act. EPA has determined that the revisions, but for a severable provision, meet section 110, part C, and part D of the Federal Clean Air Act (the Act or CAA) and EPA's regulations. Therefore, EPA is taking final action to approve the revisions but for a severable portion that allows collateral emissions increases of carbon monoxide (CO) created by the imposition of technology controls to be permitted under the State's Standard Permit (SP) for Pollution Control Projects (PCP). EPA is taking final action to disapprove this severable portion concerning the issuance of a PCP SP for the CO collateral emissions increases.

DATES: This final rule is effective on February 10, 2011.

ADDRESSES: EPA has established a docket for this action under Docket Identification No. EPA–R06–OAR–2005–TX–0031. All documents in this docket are listed at *http://www.regulations.gov.* Although listed in the index, some information is not publicly available, e.g., Confidential Business Information or other information whose disclosure is restricted by statute. Certain other material, such as copyrighted material, is not placed on the Internet and will be publicly available only in hard copy form. Publicly available docket materials are available either

electronically through *http://www.regulations.gov* or in hard copy at the Air Permits Section (6PD–R), Environmental Protection Agency, 1445 Ross Avenue, Suite 700, Dallas, Texas 75202–2733. The file will be made available by appointment for public inspection in the Region 6 FOIA Review Room between the hours of 8:30 am and 4:30 pm weekdays except for legal holidays. Contact the person listed in the **FOR FURTHER INFORMATION CONTACT** paragraph below to make an appointment. If possible, please make the appointment at least two working days in advance of your visit. There will be a 15 cent per page fee for making photocopies of documents. On the day of the visit, please check in at the EPA Region 6 reception area at 1445 Ross Avenue, Suite 700, Dallas, Texas.

The State submittal, which is part of the EPA record, is also available for public inspection at the State Air Agency listed below during official business hours by appointment:

Texas Commission on Environmental Quality, Office of Air Quality, 12124 Park 35 Circle, Austin, Texas 78753.

FOR FURTHER INFORMATION CONTACT: Mr. Rick Barrett, Air Permits Section (6PD–R), Environmental Protection Agency, Region 6, 1445 Ross Avenue, Suite 700, Dallas, Texas 75202–2733, telephone 214–665–7227; fax number 214–665–7263; e-mail address: *barrett.richard@epa.gov.*

SUPPLEMENTARY INFORMATION: Throughout this document "we," "our," and "us" refers to EPA.

Outline

I. What action is EPA taking?

We are partially approving and partially disapproving the revision to Title 30, Chapter 116, of the TAC submitted by the State of Texas on January 3, 2000. We are also fully approving the revision to Title 30, Chapter 116, of the TAC submitted by the State of Texas on July 31, 2002. The January 3, 2000 submittal concerns Subchapter A: "Definitions," section 116.18; and Subchapter I: "Electric Generating Facility Permits," sections 116.910–914, 116.916, 116.920–922, 116.930, and 116.931. We are fully approving all of this 2000 submittal but for the severable reference in 30 TAC 116.911(a)(2) that, if approved, would allow the use of a Texas PCP SP for the permitting of the CO collateral emissions increases. We are disapproving this reference in submitted 30 TAC 116.911(a)(2) allowing the use of a PCP SP for the collateral CO emissions. The July 31, 2002 submittal concerns Subchapter A: "Definitions," sections 116.10 and 116.18; and Subchapter I: "Electric Generating Facility Permits," sections 116.910, 116.911, 116.913, 116.917, 116.918, 116.921, 116.926, 116.928, and 116.930. The TCEQ adopted these revisions on December 16, 1999, and May 22, 2002, respectively.

Please note that in the July 31, 2002 submittal concerning Subchapter A: "Definitions," section 116.10 is severable and was approved in a separate rulemaking (*See* 75 FR 19468 April 14, 2010).

EPA is taking final action on the submitted application and permitting procedures for grandfathered EGFs, as mandated by the Texas Legislature, to achieve NO_X, SO_2 and PM emission reductions (Texas SB7 SIP) by December 31, 2010, as provided in the Consent Decree entered on January 21, 2010 in BCCA Appeal Group v. EPA, Case No. 3:08–cv–01491–N (N.D. Tex).

II. Background

A. Texas Senate Bill 7

Texas Senate Bill 7 (SB 7), formed under the 76th Texas State Legislature, 1999,amended the Texas Utilities Code (TUC), Title 2, Public Utility Regulatory Act, Subtitle B, Electric Utilities, and created a new Texas Utilities Code Chapter 39, "Restructuring of Electric Utility Industry." SB 7 requires the TCEQ to establish a regulatory program implementing the statute's mandatory emissions reductions for "grandfathered facilities" under the Texas Utilities Code section 39.264. A "grandfathered facility" is one that existed at the time the Legislature amended the Texas Clean Air Act (TCAA) in 1971.

These facilities were not required to comply with (*i.e.*, grandfathered from) the then new requirement to obtain permits for construction or modifications of facilities that emit air contaminants. Texas began permitting new and modified sources in 1971, and sources built before Texas' permitting rules became effective were not required to obtain permits for air emissions as long as they were not modified as defined under Texas' New Source Review SIP program.

Section 39.264 of the TUC now requires EGFs that existed on January 1, 1999, to obtain a permit from the Commission even though these sources were not previously required to obtain a permit under the TCAA, section 382.0518(g).

Section 39.264 of the TUC specifically requires owners or operators of all grandfathered EGFs to apply for a permit to emit NO_X and, for coal-fired grandfathered EGFs, SO_2, and PM through opacity limitations. These applications were due on or before September 1, 2000. A grandfathered EGF that does not obtain a permit may not operate after May 1, 2003, unless the Commission finds good cause for an extension. Section 39.264 of the TUC requires that for the 12-month period beginning May 1, 2003, and for each 12-month period following, annual emissions of NO_X from grandfathered EGFs not exceed 50% of the NO_X emissions reported to the Commission for 1997. Furthermore, it requires that emissions of SO_2 from coal-fired grandfathered EGFs not exceed 75% of the SO_2 emissions reported to the Commission in 1997. In addition, TUC section 39.264(e) requires electric generating facility permits (EGFPs) for coal-fired, grandfathered EGFs to contain appropriate opacity limitations provided by the commission's rules in 30 Texas Administrative Code (TAC) Ch.111.111, "Requirements for Specified Sources." As described in more detail below, the emission limitations may be satisfied by using control technology or by participating in the banking and trading of allowances under Texas' Emission Banking and Trading of Allowances (EBTA) program.

Overall, SB 7 mandates specific pollution reduction in an area, while allowing individual sources flexibility in how they meet emissions reductions. As participants in the program, EGFs must obtain a permit allocating them a certain level of emissions which they cannot exceed. In each defined region, the total level of emissions are restricted, or capped, to a level consistent with the SB 7 statutory goals. The individual EGF, to meet its allocated emissions level, can either choose to install pollution controls, shut down operations, or purchase allowances from another source that already reduced emission levels below its permitted amount.

To achieve SB 7's mandate, the TCEQ revised 30 TAC Chapter 116, "Control of Air Pollution by Permits for New Construction or Modification," by establishing an allowance and permitting program for regulating grandfathered EGFs under Subchapter I.

TCEQ concurrently adopted Chapter 101, Subchapter H, "Emissions Banking and Trading," that establishes a regional cap and trade system to distribute emission allowances for use by EGFs. The new Division 2, Chapter 101, Subchapter H, concerning EBTA, sets out the allowance system to be used to assist grandfathered and electing EGFs in meeting the emission reduction requirements of TUC, section 39.264. Together, the two rules define categories of EGFs that are eligible to use the trading system. As discussed above, the first category consists of grandfathered facilities. The second category of EGFs consist of currently permitted EGFs that are not subject to the permitting requirements mandated by SB 7, yet elect to participate in the allowance trading system. These are referred to as "electing" EGFs and participation in the permitting program will allow electing EGFs to obtain allowances under the EBTA.

Please note that EPA's action on 30 TAC Chapter 101, Subchapter H, Division 2, concerning Emissions Banking and Trading of Allowances, is being finalized in a separate notice and is evaluated in a separate TSD. (RME Docket R06–OAR–2005–TX–0012).

The background for today's actions is also discussed in more detail in our October 19, 2010, proposal to partially approve and partially disapprove revisions to the Texas SIP (75 FR 64235–64240).

B. January 3, 2000 Submittal

Regarding the January 3, 2000 submittal, SB 7 requires that for the 12-month period beginning May 1, 2003, and for each 12-month period following, annual emissions of NO_X from all grandfathered EGFs not exceed 50% of the NO_X emissions reported to the Commission for 1997. Furthermore, the legislation requires that emissions of SO_2 from all coal-fired grandfathered EGFs not exceed 75% of the SO_2 emissions reported to the Commission in 1997, and to contain appropriate opacity limitations by way of permitting the emissions of particulate matter.

C. July 31, 2002 Submittal

Regarding the July 31, 2002 submittal, this submittal allows the owners or operators of previously grandfathered and electing EGFs who have already applied for an electric generating facility (EGF) permit required by SB 7 to also obtain a permit for all air contaminants, certain generators and auxiliary fossil fuel fired combustion facilities.

III. What are the grounds for these actions?

A. January 3, 2000 Submittal

These submitted provisions, with the exception of 116.911(a)(2) discussed below, meet the requirement in 40 CFR 51.160(a) that each plan include legally enforceable procedures to determine whether the construction or modification of a facility, building, structure, or installation, or combination of these will result in (1) a violation of applicable portions of the control strategy; or (2) interference with attainment or maintenance of a national standard in the State in which the proposed source (or modification) is located or in a neighboring State. As such, they are consistent with the Act and its permitting requirements.

Regarding the submitted 30 TAC 116.911(a)(2), EPA approved Texas's general regulations for Standard Permits in 30 TAC Subchapter F of 30 TAC Chapter 116 on November 14, 2003 (68 FR 64548) as meeting the minor NSR SIP requirements. The Texas Clean Air Act provides that the TCEQ may issue a standard permit for "new or existing similar facilities" if it is enforceable and compliance can be adequately monitored. *See* section 382.05195 of the TCAA. EPA approved the State's Standard Permit program as part of the Texas Minor NSR SIP program on November 14, 2003 (68 FR 64548). However, when EPA approved the Texas Standard Permits Program as part of the Texas Minor NSR SIP, it explicitly did not approve the Pollution Control Project (PCP) Standard Permit (30 TAC 116.617). This is the PCP SP referenced in 30 TAC 116.911(a)(2) of this SIP submittal which owners or operators of grandfathered or electing electric generating facilities used to permit collateral emissions of CO which, otherwise, would have triggered PSD review. Following the *State of New York, et al.* v. *EPA,* 413 F.3d 801 (D.C. Cir. 2005) court decision (New York I), Texas submitted a repeal of the previously submitted PCP Standard Permit and submitted the adoption of a new PCP Standard Permit at 30 TAC 116.617—State Pollution Control Project Standard Permit, on February 1, 2006. One of the main reasons Texas adopted a new PCP Standard Permit was to meet the new Federal requirements to explicitly limit this PCP Standard Permit only to Minor NSR. In New York I, the Court vacated the federal pollution control project provisions for NNSR and PSD. Although the new PCP Standard Permit explicitly prohibits the use of it for Major NSR purposes, TCEQ failed to demonstrate how this particular Standard Permit met the Texas Standard Permits NSR SIP since it applies to numerous types of pollution control projects, which can be used at any source that wants to use a PCP, and is not an authorization for similar sources. EPA disapproved the new PCP Standard Permit submittal on September 15, 2010. 75 FR 56,424 (September 15, 2010). Thus, we are disapproving the submitted 116.911 (a)(2) because it refers to and relies on the PCP SP that does not meet the applicable requirements of the Act, and was previously disapproved by EPA as a part of the Texas SIP.

The rationale for today's actions is also discussed in more detail in our October 19, 2010, proposal to partially approve and partially disapprove revisions to the Texas SIP (75 FR 64237–64239). See our Technical Support Document, Attachment A, for additional details.

B. July 31, 2002 Submittal

These provisions meet the requirement in 40 CFR 51.160(a) that each plan include legally enforceable procedures to determine whether the construction or modification of a facility, building, structure, or installation, or combination of these will result in (1) a violation of applicable portions of the control strategy; or (2) interference with attainment or maintenance of a national standard in the state in which the proposed source (or modification) is located or in a neighboring state. As such, they are consistent with the Act and its permitting requirements.

The rationale for today's actions is also discussed in more detail in our October 19, 2010, proposal to partially approve and partially disapprove revisions to the Texas SIP (75 FR 64239). See our Technical Support Document, Attachment B, for additional details.

IV. Did we receive public comments on the proposed rulemaking?

In response to our October 19, 2010, proposal, we received comments from the following: Association of Electric Companies of Texas (AECT); Baker Botts, L.L.P., on behalf of Texas Industrial Project (TIP); Jackson Walker L.L.P., on behalf of the Gulf Coast Lignite Coalition (GCLC); Luminant Generation Company LLC (Luminant); Texas Commission on Environmental Quality (TCEQ); and Texas Mining and Reclamation Association (TMRA).

We respond to these comments in our evaluation and review under this final action below.

Comment 1: TMRA, Luminant, GCLC, AECT, and TCEQ commented generally that the submitted 30 TAC 116.911(a)(2) was in compliance with all federal regulations and policies at the time it was adopted and submitted to EPA, and the subsequent court decisions including the EPA appeal decision, to vacate the provision should not be applied retroactively. Further, these commenters assert that EPA action on this provision should apply prospectively only and not to any permits issued prior to the court decisions.

Response: EPA disagrees with this comment. As discussed above, EPA approved the State's Standard Permit program as part of the Texas Minor NSR SIP program on November 14, 2003 (68 FR 64548). When EPA approved the Texas Standard Permits Program as part of the Texas Minor NSR SIP, it explicitly DID NOT approve the Pollution Control Project (PCP) Standard Permit (30 TAC 116.617). This is the PCP SP referenced in 30 TAC 116.911(a)(2) of this SIP submittal which owners or operators of grandfathered or electing electric generating facilities used to permit collateral emissions of CO which, otherwise, would have triggered PSD review. Following New York 1, Texas submitted a repeal of the previously submitted PCP Standard Permit and submitted the adoption of a new PCP Standard Permit at 30 TAC 116.617—State Pollution Control Project Standard Permit, on February 1, 2006. One of the main reasons Texas adopted a new PCP Standard Permit was to meet the new Federal requirements to explicitly limit this PCP Standard Permit only to Minor NSR. In New York 1, the Court vacated the federal pollution control project provisions for NNSR and PSD. Although the new PCP Standard Permit explicitly prohibits the use of it for Major NSR purposes, TCEQ has failed to demonstrate how this particular Standard Permit meets the Texas Standard Permits NSR SIP since it applies to numerous types of pollution control projects, which can be used at any source that wants to use a PCP, and is not an authorization for similar sources. EPA disapproved the new PCP Standard Permit submittal on September 15, 2010. 75 FR 56,424 (September 15, 2010).

We are disapproving the submitted 116.911(a)(2) because the reference in it which allows obtaining a PCP SP for the collateral emissions does not meet the applicable requirements of the Act, as discussed herein, and was disapproved by EPA as a part of the Texas SIP. EPA is required to review a SIP revision for its compliance with the Act and EPA regulations. See CAA section 110(k)(3); *see* also *BCCA Appeal Group* v. *EPA,* 355 F 3d.817, 822 (5th Cir 2003); *Natural Resources Defense Council, Inc.* v. *Browner,* 57 F.3d 1122, 1123 (D.C. Cir 1995).

Comment 2: TMRA, TIP, Luminant, GCLC, and AECT commented generally that the Clean Air Act requires that EPA "shall not approve a revision of a plan if the revision would interfere with any applicable requirement concerning attainment and reasonable further progress * * *." EPA should therefore approve 116.911(a)(2) because EPA discusses in its proposed rule dated October 19, 2010, that the CO increases do not interfere with attainment or maintenance of the NAAQS for CO, nor cause or contribute to increase in PSD increments, much less a violation of any NAAQS.

Response: This comment misunderstands the basis on which we are disapproving 116.911(a)(2). We are disapproving the submitted 30 TAC 116.911(a)(2) because it allows the source to obtain a permit for its collateral CO emissions that is not a part of the Texas SIP. EPA previously disapproved the permit allowed for the collateral CO emissions because it did not meet the applicable requirements of the Act. EPA is required to review a SIP revision for its compliance with the Act and EPA regulations. See CAA section 110(k)(3); *see* also *BCCA Appeal Group* v. *EPA,* 355 F 3d.817, 822 (5th Cir 2003); *Natural Resources Defense Council, Inc.* v. *Browner,* 57 F.3d 1122, 1123 (D.C. Cir 1995).

Comment 3: TIP, Luminant, and GCLC commented generally that the court decision of June 24, 2005, does not apply to 116.911(a)(2). That court decision dealt with an exclusion from major NSR, whereas the PCP SP is a minor NSR permitting process and authorization tool and the SP cannot be used to circumvent major NSR. One commenter noted that "in light of" the court decision, on February 1, 2006, Texas submitted to EPA a revised version of 30 TAC § 116.617 (Standard Permits for Pollution Control Projects) to "limit the use of the state's PCP SP to Minor NSR".

Response: EPA disagrees with this comment. See response to comment 1.

Comment 4: TMRA, Luminant, and AECT commented generally that they disagree with EPA's allegation that there were two facilities where collateral emissions of Carbon Monoxide (CO) above the PSD significance level occurred following the installation of pollution control equipment. Further, that they disagree with EPA's proposal to disapprove these already issued permits.

Response: EPA disagrees with this comment. EPA is not disapproving these two already issued permits with this SIP action. Our disapproval is strictly limited to the provision 30 TAC 116.911(a)(2) of the January 3, 2000, SIP submittal. Although it is not a basis for EPA's final action here, EPA stands by its previous discussion of the facilities where collateral emissions of CO above PSD significance levels occurred following the installation of pollution control equipment.

Comment 5: TMRA, Luminant, and AECT commented that EPA should follow its established position that Pollution Control Project permits are acceptable under the Clean Air Act.

Response: It is not EPA's position, established or otherwise, that PCP permits are acceptable under the Clean Air Act for Major NSR. Furthermore, the New York I opinion addressed the use of PCPs and disapproved their use for Major NSR requirements. In that decision, the court vacated the provisions of the Federal 2002 NSR Reform rule that specifically related to PCPs. The EPA must comply with the court decision. EPA disapproved the State's submitted PCP SP for Minor NSR. See response to comment 1.

Comment 6: TMRA and AECT commented generally that the proposed disapproval has a chilling effect on much needed economic investment and makes it even more difficult for companies to create jobs and provide for economic growth. Further, that the Senate Bill 7 program has achieved substantial emission reductions while providing a fair and predictable regulatory framework that is protective of human health and the environment.

Response: Under the NAAQS provisions of the CAA, air pollution control at its source is the primary responsibility of States and local governments. EPA is respectful of the Act and cognizant of the cooperative federalism principle contained therein. However, while the Act does give States a fair degree of latitude in choosing the mix of controls necessary to meet and maintain the NAAQS, it also places some limits on the choices States can make. EPA's role is to ensure that the SIP submittal is consistent with the CAA. Any SIP submittal must adhere to applicable requirements of the federal CAA, including the obligation to provide for attainment and maintenance of the NAAQS and to ensure that the SIP may be adequately enforced. EPA's statutory responsibilities in reviewing a SIP are to ensure it meets the requirements of the Act. As explained in

the proposal and above, as part of EPA's review, we determined that the provision providing for the obtaining of a non-SIP PCP SP is inconsistent with the CAA. See CAA section 110(k)(3); *see also BCCA Appeal Group* v. *EPA,* 355 F 3d.817, 822 (5th Cir 2003); *Natural Resources Defense Council, Inc.* v. *Browner,* 57 F.3d 1122, 1123 (D.C. Cir 1995).

Comment 7: Luminant commented that EPA incorrectly concludes that its prior disapproval of 30 TAC 116.617 necessitates disapproval of 30 TAC 116.911(a)(2). Rather, EPA must independently justify its disapproval of these provisions relating to the Texas Senate Bill No. 7 ("SB7") permitting program. Further, that EPA's disapproval of 30 TAC 116.617 does not justify or require disapproval of 30 TAC 116.911(a)(2). Also, the obligation thus originates from the SB7 permit rules, and EPA has an independent obligation to justify its disapproval of the substance of those requirements in this rulemaking and not simply rely on a prior one that did not involve the SB7 permit program.

Response: EPA disagrees with this comment. 30 TAC 116.911(a)(2) allows a SB7 source that has collateral emissions of CO to obtain a TCEQ PCP SP rather than obtaining a Texas NSR SIP permit, for its CO collateral emissions. The PCP SP is not a part of the Texas NSR SIP. See the response to comment 1. Moreover, EPA is required to review a SIP revision for its compliance with the Act and EPA regulations. See CAA section 110(k)(3); *see also BCCA Appeal Group* v. *EPA,* 355 F 3d.817, 822 (5th Cir 2003); *Natural Resources Defense Council, Inc.* v. *Browner,* 57 F.3d 1122, 1123 (D.C. Cir 1995).

Comment 8: Luminant commented that it supports the remainder of proposed approval of the January 3, 2000 and July 31, 2002 submittals. It also supports the EPA's November 16, 2010 direct final rule to approve the EBTA program.

Response: EPA acknowledges this comment.

Comment 9: The TCEQ commented that it maintains its position that § 116.617 is an efficient and legally supportable authorization for pollution control projects in Texas.

Response: EPA disagrees with this comment. We disapproved the PCP SP on September 15, 2010. *See* 75 FR 56,424 (September 15, 2010).

IV. Final Action

EPA is partially approving and partially disapproving revisions to the Texas SIP that include 30 TAC Chapter 116, Subchapter A: "Definitions," section 116.18; and Subchapter I: "Electric Generating Facility Permits," sections 116.910–914, 116.916, 116.920–922, 116.930, and 116.931, which Texas submitted on January 3, 2000.

EPA is approving all of the January 3, 2000, SIP revision submittal as part of the Texas NSR SIP but for 30 TAC 116.911(a)(2). EPA is disapproving the submitted severable 30 TAC 116.911(a)(2) for collateral emissions increases of CO that are allowed to be permitted under the Texas PCP SP.

Further, EPA is approving revisions to the Texas SIP that include 30 TAC Chapter 116, Subchapter A: "Definitions," section 116.18; and Subchapter I: "Electric Generating Facility Permits," sections 116.910, 116.911, 116.913, 116.917, 116.918, 116.921, 116.926, 116.928, and 116.930, which Texas submitted on July 31, 2002. We are taking no action on Chapter 116, Subchapter H: "Permits for Grandfathered Facilities," which Texas submitted on July 31, 2002. The State understands that EPA will take future action on Subchapter H because it is independent from Subchapters A and I, and action is not necessary at this time.

The January 3, 2000 and July 31, 2002 submittals address the applicability and permitting requirements for grandfathered and electing electric generating facilities. The revisions will contribute to improvement in overall air quality in Texas. There will be no increase in ozone, SO_2, and PM concentration levels because of approving the revisions. We have evaluated the State's submittal, determined that it meets the applicable requirements of the CAA and EPA air quality regulations, and is consistent with EPA policy.

V. Statutory and Executive Order Reviews

A. Executive Order 12866, Regulatory Planning and Review

This final action has been determined not to be a "significant regulatory action" subject to review by the Office of Management and Budget under Executive Order 12866 (58 FR 51735, October 4, 1993).

B. Paperwork Reduction Act

This action does not impose an information collection burden under the provisions of the Paperwork Reduction Act, 44 U.S.C. 3501 *et seq.,* because this SIP disapproval under section 110 and subchapter I, part D of the Clean Air Act will not in-and-of itself create any new information collection burdens but simply disapproves certain State requirements for inclusion into the SIP. Burden is defined at 5 CFR 1320.3(b). Because this final action does not impose an information collection burden, the Paperwork Reduction Act does not apply.

C. Regulatory Flexibility Act

The Regulatory Flexibility Act (RFA) generally requires an agency to conduct a regulatory flexibility analysis of any rule subject to notice and comment rulemaking requirements unless the agency certifies that the rule will not have a significant economic impact on a substantial number of small entities. Small entities include small businesses, small not-for-profit enterprises, and small governmental jurisdictions. For purposes of assessing the impacts of today's rule on small entities, small entity is defined as: (1) A small business as defined by the Small Business Administration's (SBA) regulations at 13 CFR 121.201; (2) a small governmental jurisdiction that is a government of a city, county, town, school district or special district with a population of less than 50,000; and (3) a small organization that is any not-for-profit enterprise which is independently owned and operated and is not dominant in its field. This rule will not have a significant impact on a substantial number of small entities because SIP approvals and disapprovals under section 110 and part D of the Clean Air Act do not create any new requirements but simply approve or disapprove requirements that the States are already imposing.

Furthermore, as explained in this action, the submissions do not meet the requirements of the Act and EPA cannot approve the submissions. The final disapproval will not affect any existing State requirements applicable to small entities in the State of Texas. Federal disapproval of a State submittal does not affect its State enforceability. After considering the economic impacts of today's rulemaking on small entities, and because the Federal SIP disapproval does not create any new requirements or impact a substantial number of small entities, I certify that this action will not have a significant economic impact on a substantial number of small entities. Moreover, due to the nature of the Federal-State relationship under the Clean Air Act, preparation of flexibility analysis would constitute Federal inquiry into the economic reasonableness of state action. The Clean Air Act forbids EPA to base its actions concerning SIPs on such grounds. *Union Electric Co.* v. *U.S. EPA,*

427 U.S. 246, 255–66 (1976); 42 7410(a)(2).

D. Unfunded Mandates Reform Act

This action contains no Federal mandates under the provisions of Title II of the Unfunded Mandates Reform Act of 1995 (UMRA), 2 U.S.C. 1531–1538 "for State, local, or tribal governments or the private sector." EPA has determined that the disapproval action does not include a Federal mandate that may result in estimated costs of $100 million or more to either State, local, or tribal governments in the aggregate, or to the private sector. This Federal action determines that pre-existing requirements under State or local law should not be approved as part of the Federally approved SIP. It imposes no new requirements. Accordingly, no additional costs to State, local, or tribal governments, or to the private sector, result from this action.

E. Executive Order 13132, Federalism

Executive Order 13132, entitled "Federalism" (64 FR 43255, August 10, 1999), requires EPA to develop an accountable process to ensure "meaningful and timely input by State and local officials in the development of regulatory policies that have Federalism implications." "Policies that have Federalism implications" is defined in the Executive Order to include regulations that have "substantial direct effects on the States, on the relationship between the national government and the States, or on the distribution of power and responsibilities among the various levels of government."

This action does not have Federalism implications. It will not have substantial direct effects on the States, on the relationship between the national government and the States, or on the distribution of power and responsibilities among the various levels of government, as specified in Executive Order 13132, because it merely disapproves certain State requirements for inclusion into the SIP and does not alter the relationship or the distribution of power and responsibilities established in the Clean Air Act. Thus, Executive Order 13132 does not apply to this action.

F. Executive Order 13175, Coordination With Indian Tribal Governments

This action does not have tribal implications, as specified in Executive Order 13175 (59 FR 22951, November 9, 2000), because the SIP EPA is disapproving would not apply in Indian country located in the State, and EPA notes that it will not impose substantial direct costs on tribal governments or preempt tribal law. This final rule does not have tribal implications, as specified in Executive Order 13175. It will not have substantial direct effects on tribal governments, on the relationship between the Federal government and Indian tribes, or on the distribution of power and responsibilities between the Federal government and Indian tribes. This action does not involve or impose any requirements that affect Indian Tribes. Thus, Executive Order 13175 does not apply to this action.

G. Executive Order 13045, Protection of Children From Environmental Health Risks and Safety Risks

EPA interprets Executive Order 13045 (62 FR 19885, April 23, 1997) as applying only to those regulatory actions that concern health or safety risks, such that the analysis required under section 5–501 of the Executive Order has the potential to influence the regulation. This action is not subject to Executive Order 13045 because it is not an economically significant regulatory action based on health or safety risks subject to Executive Order 13045 (62 FR 19885, April 23, 1997). This SIP disapproval under section 110 and subchapter I, part D of the Clean Air Act will not in-and-of itself create any new regulations but simply disapproves certain State requirements for inclusion into the SIP.

H. Executive Order 13211, Actions That Significantly Affect Energy Supply, Distribution, or Use

This rule is not subject to Executive Order 13211 (66 FR 28355, May 22, 2001) because it is not a significant regulatory action under Executive Order 12866.

I. National Technology Transfer and Advancement Act

Section 12(d) of the National Technology Transfer and Advancement Act of 1995 ("NTTAA"), Public Law 104–113, section 12(d) (15 U.S.C. 272 note) directs EPA to use voluntary consensus standards in its regulatory activities unless to do so would be inconsistent with applicable law or otherwise impractical. Voluntary consensus standards are technical standards (*e.g.,* materials specifications, test methods, sampling procedures, and business practices) that are developed or adopted by voluntary consensus standards bodies. NTTAA directs EPA to provide Congress, through the Office of Management and Budget, explanations when the Agency decides not to use available and applicable voluntary consensus standards.

EPA believes that this action is not subject to requirements of Section 12(d) of NTTAA because application of those requirements would be inconsistent with the Clean Air Act. Today's action does not require the public to perform activities conducive to the use of voluntary consensus standards.

J. Executive Order 12898: Federal Actions To Address Environmental Justice in Minority Populations and Low-Income Populations

Executive Order 12898 (59 FR 7629, (February 16, 1994)) establishes Federal executive policy on environmental justice. Its main provision directs Federal agencies, to the greatest extent practicable and permitted by law, to make environmental justice part of their mission by identifying and addressing, as appropriate, disproportionately high and adverse human health or environmental effects of their programs, policies, and activities on minority populations and low-income populations in the United States.

EPA lacks the discretionary authority to address environmental justice in this action. In reviewing SIP submissions, EPA's role is to approve or disapprove state choices, based on the criteria of the Clean Air Act. Accordingly, this action merely disapproves certain State requirements for inclusion into the SIP under section 110 and subchapter I, part D of the Clean Air Act and will not in-and-of itself create any new requirements. Accordingly, it does not provide EPA with the discretionary authority to address, as appropriate, disproportionate human health or environmental effects, using practicable and legally permissible methods, under E'xecutive Order 12898.

K. Congressional Review Act

The Congressional Review Act, 5 U.S.C. 801 *et seq.,* as added by the Small Business Regulatory Enforcement Fairness Act of 1996, generally provides that before a rule may take effect, the agency promulgating the rule must submit a rule report, which includes a copy of the rule, to each House of the Congress and to the Comptroller General of the United States. EPA will submit a report containing this rule and other required information to the U.S. Senate, the U.S. House of Representatives, and the Comptroller General of the United States prior to publication of the rule in the **Federal Register**. A major rule cannot take effect until 60 days after it is published in the **Federal Register**. This action is not a "major rule" as defined by 5 U.S.C. 804(2).

L. Petitions for Judicial Review

Under section 307(b)(1) of the Clean Air Act, petitions for judicial review of this action must be filed in the United States Court of Appeals for the appropriate circuit by *March 14, 2011.* Filing a petition for reconsideration by the Administrator of this final rule does not affect the finality of this rule for the purposes of judicial review nor does it extend the time within which a petition for judicial review may be filed, and shall not postpone the effectiveness of such rule or action. This action may not be challenged later in proceedings to enforce its requirements. *See* section 307(b)(2).

List of Subjects in 40 CFR Part 52

Environmental protection, Air pollution control, Carbon monoxide, Incorporation by reference, Intergovernmental relations, Nitrogen oxides, Nonattainment, Ozone, Particulate matter, Reporting and recordkeeping requirements, Sulfur dioxide.

Authority: 42 U.S.C. 7401 *et seq.*

Dated: December 29, 2010.

Samuel Coleman,

Acting Regional Administrator, Region 6.

40 CFR part 52 is amended as follows:

PART 52—[AMENDED]

1. The authority citation for part 52 continues to read as follows:

Authority: 42 U.S.C. 7410 *et seq.*

Subpart SS—Texas

■ 2. The table in § 52.2270 (c) entitled "EPA Approved Regulations in the Texas SIP" is amended under Chapter 116—Control of Air Pollution by Permits for New Construction or Modification, as follows:

■ a. Immediately following the entry for Section 116.14, by adding a new entry for Section 116.18, Electric Generating Facility Permits Definitions; and

■ b. Immediately following section 116.615, by adding a new centered heading entitled "Subchapter I—Electric Generating Facility Permits" followed by new entries for Sections 116.910, 116.911, 116.912, 116.913, 116.914, 116.916, 116.917, 116.918, 116.920, 116.921, 116.922, 116.926, 116.928, 116.930, and 116.931.

The additions read as follows:

§ 52.2270 Identification of plan.

(c) * * *

State citation	Title/subject	State approval/ submittal date	EPA approval date	Explanation
*	*	*	*	*
Chapter 116 (Reg 6)—Control of Air Pollution by Permits for New Construction or Modification				
*	*	*	*	*
Subchapter A—Definitions				
*	*	*	*	*
Section 116.18	Electric Generating Facility Permits Definitions.	5/22/2002	1/11/2011, [Insert FR page number where document begins].	
*	*	*	*	*
Subchapter I—Electric Generating Facility Permits				
*	*	*	*	*
Section 116.910	Applicability	5/22/2002	1/11/2011, [Insert FR page number where document begins].	
Section 116.911	Electric Generating Facility Permit.	5/22/2002	1/11/2011, [Insert FR page number where document begins].	116.911(a)(2) is not in the SIP.
Section 116.912	Electric Generating Facilities	12/16/1999	1/11/2011, [Insert FR page number where document begins].	
Section 116.913	General and Special Conditions	5/22/2002	1/11/2011, [Insert FR page number where document begins].	
Section 116.914	Emissions Monitoring and Reporting Requirements.	12/16/1999	1/11/2011, [Insert FR page number where document begins].	
Section 116.916	Permits for Grandfathered and Electing Generating Facilities in El Paso County.	12/16/1999	1/11/2011, [Insert FR page number where document begins].	
Section 116.917	Electric Generating Facility Permit Application for Certain Grandfathered Coal-Fired Electric Generating Facilities and Certain Facilities Located at Electric Generating Facility Sites.	5/22/2002	1/11/2011, [Insert FR page number where document begins].	
Section 116.918	Additional General Special Conditions for Grandfathered Coal-Fired Electric Generating Facilities and Certain Facilities Located at Electric Generating Facility Sites.	5/22/2002	1/11/2011, [Insert FR page number where document begins].	
Section 116.920	Applicability	12/16/1999	1/11/2011, [Insert FR page number where document begins].	
Section 116.921	Notice and Comment Hearings for Initial Issuance.	5/22/2002	1/11/2011, [Insert FR page number where document begins].	

State citation	Title/subject	State approval/ submittal date	EPA approval date	Explanation
Section 116.922	Notice of Final Action	12/16/1999	1/11/2011, [Insert FR page number where document begins].	
Section 116.926	Permit Fee	5/22/2002	1/11/2011, [Insert FR page number where document begins].	
Section 116.928	Delegation	5/22/2002	1/11/2011, [Insert FR page number where document begins].	
Section 116.930	Amendments and Alterations Issued Under this Subchapter.	5/22/2002	1/11/2011, [Insert FR page number where document begins].	
Section 116.931	Renewal	12/16/1999	1/11/2011, [Insert FR page number where document begins].	
*	*	*	*	*	*	*

■ 3. Section 52.2273 is amended by adding a new paragraph (f) to read as follows:

§ 52.2273 Approval status.

* * * * *

(f) EPA is disapproving the Texas SIP revision submittals under 30 TAC Chapter 116—Control of Air Pollution by Permits for New Construction or Modification as follows:

(1) Subchapter I—Electric Generating Facility Permits—Section 116.911(a)(2) (Electric Generating Facility Permit), adopted December 16, 1999, and submitted January 3, 2000.

[FR Doc. 2011–222 Filed 1–10–11; 8:45 am]

BILLING CODE 6560–50–P

ENVIRONMENTAL PROTECTION AGENCY

40 CFR Part 81

[EPA–R09–OAR–2010–0718; FRL–9250–1]

Determinations of Attainment by the Applicable Attainment Date for the Hayden, Nogales, Paul Spur/Douglas PM_{10} Nonattainment Areas, Arizona

AGENCY: Environmental Protection Agency (EPA).

ACTION: Final rule.

SUMMARY: EPA is making final determinations that the Hayden, Nogales, and Paul Spur/Douglas nonattainment areas in Arizona attained the National Ambient Air Quality Standard (NAAQS) for particulate matter with an aerodynamic diameter of less than or equal to a nominal ten micrometers (PM_{10}) by their applicable attainment dates of December 31, 1994. On the basis of these determinations, EPA concludes that these three "moderate" nonattainment areas are not subject to reclassification by operation of law to "serious." EPA is not finalizing determinations with respect to the air quality in these areas subsequent to their 1994 attainment dates.

DATES: *Effective Date:* This rule is effective on February 10, 2011.

ADDRESSES: EPA has established docket number EPA–R09–OAR–2010–0718 for this action. The index to the docket is available electronically at *http://www.regulations.gov* and in hard copy at EPA Region IX, 75 Hawthorne Street, San Francisco, California. While all documents in the docket are listed in the index, some information may be publicly available only at the hard copy location (*e.g.,* copyrighted material), and some may not be publicly available in either location (*e.g.,* CBI). To inspect the hard copy materials, please schedule an appointment during normal business hours with the contact listed in the **FOR FURTHER INFORMATION CONTACT** section.

FOR FURTHER INFORMATION CONTACT: Wienke Tax at telephone number: (415) 947–4192; e-mail address: *tax.wienke@epa.gov,* or the above EPA, Region IX address.

SUPPLEMENTARY INFORMATION: Throughout this document, wherever "we", "us" or "our" are used, we mean EPA. Information is organized as follows:

Table of Contents

I. Context for Today's Actions

On November 2, 2010 (75 FR 67220), we published a direct final rule that made certain determinations we are making in this document. On November 2, 2010 (75 FR 67303), we also published a corresponding proposed rule in the event that we received adverse comment leading us to withdraw the direct final rule. In our direct final rule, we indicated that we would withdraw the direct final rule if we received adverse comments, and address public comments in a subsequent final rule based on the proposed rule. On November 3, 2010, we received adverse comments, and subsequently withdrew the direct final rule (75 FR 72964, November 29, 2010). Today, we take final action based on our November 2, 2010 proposed rule and our consideration of the public comments received.

II. Summary of Proposed Actions

In our November 2, 2010 proposed rule, we proposed to determine, pursuant to section 188(b)(2) of the Clean Air Act, that three Arizona "moderate" PM_{10} nonattainment areas (Hayden, Nogales, and Paul Spur/Douglas) had attained the PM_{10} NAAQS by the applicable attainment date (December 31, 1994), and that, based on these proposed determinations, we concluded that none of these areas is subject to reclassification to serious by operation of law. We also proposed to find that more recent data for 2007–2009 show none of the areas is currently attaining the standard. More detailed information is contained in the November 2 direct final rule, which is summarized in the paragraphs that follow.

First, our direct final rule described the relevant NAAQS, 150 micrograms per cubic meter ($\mu g/m^3$), 24-hour average, against which monitored ambient concentrations of PM_{10} in the three subject areas (Hayden,[1] Nogales,[2]

[1] The Hayden planning area straddles Gila and Pinal counties at the confluence of the Gila and San Pedro rivers in east central Arizona. The nonattainment area covers roughly 700 square miles of mountainous terrain. Cities and towns within this area include Kearney (population roughly 2,800), Hayden (population roughly 800), and Winkelman (population roughly 400).

[2] The Nogales planning area covers approximately 70 square miles along the border with Mexico within Santa Cruz County. The only significant population center in this area is the city of Nogales with a population of roughly 21,000. The population of Nogales, Mexico, which lies just

and Paul Spur/Douglas [3]) are to be compared in evaluating whether the areas attained the standard. Next, we described the designations and classifications of these three areas, all of which are classified as "moderate" nonattainment with an applicable attainment date of December 31, 1994 under CAA section 188(c). Also, we discussed the status of the various air quality plans submitted by the State of Arizona to address moderate area PM_{10} requirements in the three subject areas (Hayden, Nogales, Paul Spur/Douglas).

In our direct final rule, we also described how EPA makes attainment determinations. As explained therein, the 24-hour PM_{10} standard is attained when the expected number of days per calendar year with a 24-hour concentration in excess of the standard (referred to herein as an "exceedance"), as determined in accordance with 40 CFR part 50, appendix K, is equal to or less than one.[4] See 40 CFR 50.6 and 40 CFR part 50, appendix K. Generally, EPA determines whether an area's air quality is meeting the PM_{10} NAAQS based upon complete (minimum of 75 percent of scheduled PM_{10} samples recorded in each quarter), quality-assured data gathered at established state and local air monitoring stations (SLAMS) and national air monitoring stations (NAMS) in the nonattainment area and entered into the EPA Air Quality System (AQS) database. Attainment of the 24-hour PM_{10} standard is determined by calculating the expected number of exceedances of the standard in a year. The 24-hour PM_{10} standard is attained when the expected number of exceedances averaged over a three-year period is less than or equal to one at each monitoring site within the nonattainment area. Generally, three consecutive years of air quality data are required to show attainment of the 24-hour PM_{10} standard. See 40 CFR part 50 and appendix K.

Based on the available monitoring data for the 1992–1994 period collected in the three subject Arizona nonattainment areas (Hayden, Nogales,[5] and Paul Spur/Douglas) and the application of the PM_{10} NAAQS attainment criteria described above, we proposed to determine that all three areas attained the PM_{10} NAAQS by the December 31, 1994 attainment date for "moderate" areas, and thus, are not subject to reclassification to "serious" by operation of law under CAA section 188(b)(2). In addition, we proposed to find that, although the three areas attained the standard by the applicable attainment date, none appears to be currently attaining based on the most recent available data, although Hayden appears likely to attain in the near future if current trends continue. We indicated that we plan to address the PM_{10} needs for Nogales and Paul Spur/Douglas areas over the next few years. In today's action, EPA is not finalizing any of the proposed determinations with respect to recent data. Instead, we plan to further assess recent data, including data available for 2010 and 2011, in the context of future rulemaking actions on the submitted, but not yet approved, air quality plans for these areas. Section 188(b)(2) obligates EPA to make a determination only as to whether these areas have attained by their applicable 1994 attainment dates, and we are not required by that section to make determinations regarding subsequent time periods. Other portions of the Clean Air Act authorize EPA to address current air quality issues as needed through separate statutory authority and mechanisms.

Please see our November 2, 2010 direct final rule for more information about our proposal of the same date.

III. Public Comments and EPA Responses

As noted previously, we published a proposed rule (75 FR 67303) on November 2, 2010. We received comments from WildEarth Guardians ("WildEarth"), dated November 3, 2010, challenging EPA's interpretation of CAA section 188(b)(2) that limits reclassifications by operation of law to the air quality conditions as of the applicable attainment date.

Comment: WildEarth contends that section 188(b)(2) of the Clean Air Act does not state that the EPA is limited only to considering air quality data up until the attainment date when it makes its finding, but rather requires any moderate nonattainment area that fails to attain "after the applicable attainment date" to be reclassified to "serious" regardless of whether EPA makes a timely finding.

WildEarth finds further support for its interpretation by noting that CAA section 188(b)(2) uses both past-tense and present-tense wording with regards to the context of EPA's assessment of an area's attainment status. Specifically, the statute states that EPA's finding "shall determine whether the area *attained* * * *" (emphasis added), but then states "If the Administrator finds that any Moderate Area *is not in attainment* * * *" (emphasis added). WildEarth contends that use of both the past-tense and present-tense in this context indicates that, although the Clean Air Act intended EPA to assess an area's attainment status based on whether it attained the NAAQS by the attainment date, it also required that a moderate nonattainment area be reclassified to "serious" if it "is not in attainment" at the time the EPA makes its finding. If EPA's assessment were to be limited only to whether an area "attained" in the past, WildEarth contends that it would render meaningless the Clean Air Act's substantive requirement that a moderate area be bumped up to "serious" if it "is not in attainment" when EPA makes its finding. WildEarth contends that, as such, EPA's interpretation reads a substantive provision out of the Clean Air Act.

Response: First, we note that WildEarth does not object to any aspect of EPA's proposed rulemaking other than the interpretation as to the legal consequences that they contend would flow from finalizing determinations that, although the three areas attained by their applicable 1994 attainment dates, sixteen years later they are not currently in attainment. First, we note that in today's rulemaking EPA is not finalizing any proposed determinations with respect to the air quality in these areas subsequent to the areas' applicable dates. Nor does section 188(b)(2) impose such an obligation. Pursuant to section

across the border from Nogales, Arizona is roughly 160,000.

[3] The Paul Spur/Douglas planning area covers approximately 220 square miles along the border with Mexico within Cochise County. Cities and towns within this area include Douglas (population roughly 20,000) and Pirtleville (population roughly 1,500). The population of Agua Prieta, Mexico, which lies just across the border from Douglas is roughly 70,000.

[4] An exceedance is defined as a daily value that is above the level of the 24-hour standard (150 µg/m^3) after rounding to the nearest 10 µg/m^3 (*i.e.,* values ending in 5 or greater are to be rounded up). Thus, a recorded value of 154 µg/m^3 would not be an exceedance since it would be rounded to 150 µg/m^3 whereas a recorded value of 155 µg/m^3 would be an exceedance since it would be rounded to 160 µg/m^3. See 40 CFR part 50, appendix K, section 1.0.

[5] Table 2 ("Summary of PM_{10} Monitoring Data, Nogales Nonattainment Area, 1992–1994"), as published in our November 2, 2010 direct final rule, contains a publisher's error that erroneously combines certain columns and rows and thereby causes a mismatch between concentrations and the corresponding years in which they were monitored. The correct values for the highest 24-hour PM_{10} concentrations (µg/m^3) are 153 in 1992, 119 for 1993, and 116 for 1994 from the Nogales Post Office monitor. Also, the maximum concentrations shown for the other three monitors located in Nogales were collected in 1994, not 1993. These errors do not appear in the version of the direct final rule that was signed by the EPA Region IX Regional Administrator. In any event, these errors would not have affected the outcome of our attainment determinations since none of the values for any of the years exceeded 154 µg/m^3.

188(b)(2), EPA is finalizing here its determinations that the areas attained the standard "by that [applicable attainment] date." Section 188(b)(2) does not impose upon EPA any obligation to make a final determination of attainment except with respect to an area's applicable attainment date.

Thus, it is not necessary for the purposes of our final actions here, which are limited to determinations of attainment as of the areas' applicable attainment dates, to respond to WildEarth's assertions regarding the legal consequences of determinations regarding air quality in subsequent decades. Nevertheless, we note our disagreement with WildEarth's interpretation that CAA section 188(b)(2) would require reclassification of any moderate PM_{10} nonattainment area if EPA were to make a final determination that the area was not attaining after the applicable attainment date, regardless of the air quality conditions as of the applicable attainment date itself.

EPA's interpretation of section 188(b)(2) as requiring and authorizing reclassification to serious based only on air quality conditions as of the applicable attainment date, and not thereafter, is confirmed by a reading of that section in its entirety:

> Within 6 months following the applicable attainment date for a PM–10 nonattainment area, the Administrator shall determine whether the area attained the standard by that date. If the Administrator finds that any Moderate Area is not in attainment after the applicable attainment date—
>
> (A) The area shall be reclassified by operation of law as a Serious Area; and
>
> (B) the Administrator shall publish a notice in the **Federal Register** no later than 6 months following the attainment date, identifying the area as having failed to attain and identifying the reclassification described under subparagraph (A).

While the second sentence of section 188(b)(2) contains the language quoted by WildEarth ("any Moderate Area is not in attainment after the applicable attainment date"), it is clear that in the context of the first sentence of the provision, which is the sentence that establishes the duty to make an attainment determination, that the duty is to "determine whether the area attained the standard by that date [referring to the phrase "applicable attainment date" in the opening clause of the first sentence]." Thus, EPA's duty is to determine whether the area attained by its attainment date and the language in the second sentence regarding a finding after the attainment date may reasonably be interpreted as referring to the date the finding is made, which would necessarily be after the attainment date, not to the date used in the determination as the benchmark for determining attainment.

Further, the second sentence of CAA section 188(b)(2), i.e., the one that includes the language cited by WildEarth ("any Moderate Area is not in attainment after the applicable attainment date"), includes two subparagraphs, one of which provides for reclassification of a moderate area to serious by operation of law and another that refers to publication of a notice in the **Federal Register** six months after the attainment date, identifying the area "as having failed to attain" that clearly relates back to the earlier, legally relevant attainment date (in this case, December 31, 1994). Thus, whether EPA's obligation under CAA section 188(b)(2) is viewed in its entirety, or whether the second sentence of CAA section 188(b)(2) is viewed in isolation, it is clear that the question of whether an area must be reclassified is considered along with the question of whether an area has achieved attainment by the attainment date.[6] To accept WildEarth's interpretation would be to ignore the reference to a specific point in time ("no later than 6 months following the attainment date") for publishing a notice in subparagraph (B) of CAA section 188(b)(2) in identifying the appropriate benchmark for reclassifying moderate areas to serious under subparagraph (A).[7]

Commenter's interpretation of section 188(b)(2) fails to harmonize the second sentence of the section with the first sentence and with the sentences that follow. Indeed, it could more plausibly be argued that the second sentence adds a cumulative condition for reclassification—that is, an area will be reclassified if and only it fails to attain by its attainment date and "if the Administrator finds [the area] is not in attainment after the applicable attainment date." Contrary to commenter's contention, EPA does not believe that Congress intended for the language regarding determining attainment as of the attainment date not to apply when an attainment determination occurs more than six months after the attainment date. The second sentence of section 188(b)(2) does not somehow override the language of the first sentence and require reclassification if an area slips back into nonattainment after its attainment date. EPA's reading is consistent with the language of section 188(b)(2) and with other provisions of the Clean Air Act, as well as with its structure and purpose. EPA believes that other parts of the Act, notably section 110(k)(5), provide the means to address nonattainment that occurs after an area's attainment date. Contrary to commenter's contention, EPA's reading does not "nullif[y]" applicable text. Rather, EPA is properly reading 188(b)(2) as requiring EPA to determine whether an area has attained by its attainment date, with reclassification as a consequence for areas that fail to do so.

In the present case, the air quality data from the years 1992–1994 are the relevant data for determining whether the three Arizona areas must be reclassified to serious because their applicable attainment date is December 31, 1994, and because we have

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[6] EPA's sole obligation under CAA section 188(b)(2) is to determine whether the three Arizona areas attained the PM_{10} standard by the applicable attainment date, and while the statute requires EPA to make this determination within six months of the applicable attainment date, the applicable attainment date (in this case, December 31, 1994) remains the same no matter when EPA actually makes the determination. EPA was not obligated in the November 2, 2010 proposed rule, nor in this final rule, to determine whether the areas are attaining the standard at the present time. As stated above, EPA is not here finalizing any determinations as to the current air quality in the area, but is merely noting what more recent monitoring data suggest about the current air quality area quality in these areas, sixteen years after the 1994 attainment dates that are the subject of the final rulemaking here. We included the observations about current air quality in our proposed rule because we believe that such observations, and the related discussion of future Agency actions, is of as much public interest, if not more, as are the determinations of the air quality conditions that occurred sixteen years ago.

[7] While EPA believes that the plain language of section 188(b)(2) supports EPA's interpretation that reclassifications to "serious" are to be based only on air quality conditions as of the applicable attainment date, and not thereafter, EPA believes that, to the extent section 188(b)(2) is ambiguous, EPA's interpretation is reasonable in that it is consistent with the statutory scheme for SIP revisions upon findings of failure to attain under subpart 1 and for mandatory reclassifications under subparts 2 and 3 for ozone and carbon monoxide areas. See CAA sections 179(c) and (d), 181(b)(2) and 186(b)(2) and compare the language from these sections to section 188(b)(2). While the language for such SIP revisions under subpart 1 and for reclassifications for ozone and carbon monoxide areas under subparts 2 and 3 uses slightly different language to link SIP revisions and reclassifications solely to air quality "as of the attainment date" than the language for reclassification of PM_{10} areas under subpart 4, we find no reason that Congress would have established a different scheme for PM_{10} areas under subpart 4 than generally applicable under subpart 1 or for ozone or carbon monoxide areas under subparts 2 and 3. For further explanation of EPA's interpretation of reclassification under the Clean Air Act, see the responses to comments in EPA's final Determination of Attainment of 1-hour Ozone Standard as of November 15, 1993 for the Birmingham, AL Marginal Ozone Nonattainment Area (67 FR 67113, November 4, 2002). To the extent relevant here, EPA reaffirms and incorporates by reference the responses to comments contained in our November 4, 2002 final rule.

determined that the areas did in fact attain by the applicable attainment date, they are not subject to reclassification to serious by operation of law under CAA section 188(b)(2).

This does not mean that the Clean Air Act provides no means to address NAAQS violations in areas that had initially attained the standard by the applicable attainment date but then experience subsequent violations years after the applicable attainment date. For example, EPA could issue a "SIP call" under CAA section 110(k)(5) if EPA were to determine that the SIP is "substantially inadequate" to attain the PM_{10} NAAQS in areas where violations of the PM_{10} NAAQS occur after the applicable attainment date. Such SIP calls require the State to revise the SIP as necessary to correct the inadequacies. The SIP call, unlike reclassification, is capable of addressing and correcting the specific circumstances causing nonattainment sixteen years after the applicable attainment date. While EPA has no current plans to issue SIP calls for any of the three subject Arizona moderate PM_{10} nonattainment areas, EPA is working with the State of Arizona to update the state's earlier-submitted, but not yet EPA-approved air quality plans. EPA intends to ensure that the plans meet all applicable requirements for moderate PM_{10} nonattainment areas through both cooperative efforts with the State and through subsequent EPA rulemaking actions on the updated plans.

IV. Final Action

EPA has reviewed the comments that have been submitted, and concluded that none of them convince us to change our action as proposed on November 2, 2010 with respect to determinations of attainment as of the applicable attainment date. Thus, under section 188(b)(2) of the Clean Air Act, and based on sufficient, quality-assured data, we take final action to determine that the Hayden, Nogales, and Paul Spur/Douglas PM_{10} nonattainment areas attained the 24-hour PM_{10} NAAQS by the applicable attainment date, December 31, 1994. On the basis of this determination, EPA concludes that these three "moderate" nonattainment areas are not subject to reclassification to "serious" by operation of law.

V. Statutory and Executive Order Reviews

This action merely make determinations based on air quality data and does not impose any additional Federal requirements. For that reason, this action:

- Is not a "significant regulatory action" subject to review by the Office of Management and Budget under Executive Order 12866 (58 FR 51735, October 4, 1993);
- Does not impose an information collection burden under the provisions of the Paperwork Reduction Act (44 U.S.C. 3501 *et seq.*);
- Is certified as not having a significant economic impact on a substantial number of small entities under the Regulatory Flexibility Act (5 U.S.C. 601 *et seq.*);
- Does not contain any unfunded mandate or significantly or uniquely affect small governments, as described in the Unfunded Mandates Reform Act of 1995 (Pub. L. 104–4);
- Does not have Federalism implications as specified in Executive Order 13132 (64 FR 43255, August 10, 1999);
- Is not an economically significant regulatory action based on health or safety risks subject to Executive Order 13045 (62 FR 19885, April 23, 1997); is not a significant regulatory action subject to Executive Order 13211 (66 FR 28355, May 22, 2001);
- Is not subject to requirements of Section 12(d) of the National Technology Transfer and Advancement Act of 1995 (15 U.S.C. 272 note) because application of those requirements would be inconsistent with the CAA; and
- Does not provide EPA with the discretionary authority to address, as appropriate, disproportionate human health or environmental effects, using practicable and legally permissible methods, under Executive Order 12898 (59 FR 7629, February 16, 1994).

In addition, this rule does not have tribal implications as specified by Executive Order 13175 (65 FR 67249, November 9, 2000), because it will not impose substantial direct costs on tribal governments or preempt tribal law.

The Congressional Review Act, 5 U.S.C. 801 *et seq.,* as added by the Small Business Regulatory Enforcement Fairness Act of 1996, generally provides that before a rule may take effect, the agency promulgating the rule must submit a rule report, which includes a copy of the rule, to each House of the Congress and to the Comptroller General of the United States. EPA will submit a report containing this action and other required information to the U.S. Senate, the U.S. House of Representatives, and the Comptroller General of the United States prior to publication of the rule in the **Federal Register**. A major rule cannot take effect until 60 days after it is published in the **Federal Register**. This action is not a "major rule" as defined by 5 U.S.C. 804(2).

Under section 307(b)(1) of the Clean Air Act, petitions for judicial review of this action must be filed in the United States Court of Appeals for the appropriate circuit by March 14, 2011. Filing a petition for reconsideration by the Administrator of this final rule does not affect the finality of this action for the purposes of judicial review nor does it extend the time within which a petition for judicial review may be filed, and shall not postpone the effectiveness of such rule or action. This action may not be challenged later in proceedings to enforce its requirements. (*See* section 307(b)(2).)

List of Subjects in 40 CFR Part 81

Environmental protection, Air pollution control, National parks, Particulate matter, Wilderness areas.

Authority: 42 U.S.C. 7401 *et seq.*

Dated: December 30, 2010.

Jared Blumenfeld,

Regional Administrator, EPA Region IX.

[FR Doc. 2011–221 Filed 1–10–11; 8:45 am]

BILLING CODE 6560–50–P

DEPARTMENT OF HOMELAND SECURITY

Federal Emergency Management Agency

44 CFR Part 67

[Docket ID FEMA–2010–0003]

Final Flood Elevation Determinations

AGENCY: Federal Emergency Management Agency, DHS.

ACTION: Final rule.

SUMMARY: Base (1% annual-chance) Flood Elevations (BFEs) and modified BFEs are made final for the communities listed below. The BFEs and modified BFEs are the basis for the floodplain management measures that each community is required either to adopt or to show evidence of being already in effect in order to qualify or remain qualified for participation in the National Flood Insurance Program (NFIP).

DATES: The date of issuance of the Flood Insurance Rate Map (FIRM) showing BFEs and modified BFEs for each community. This date may be obtained by contacting the office where the maps are available for inspection as indicated in the table below.

ADDRESSES: The final BFEs for each community are available for inspection at the office of the Chief Executive Officer of each community. The

respective addresses are listed in the table below.

FOR FURTHER INFORMATION CONTACT: Luis Rodriguez, Chief, Engineering Management Branch, Federal Insurance and Mitigation Administration, Federal Emergency Management Agency, 500 C Street, SW., Washington, DC 20472, (202) 646–4064, or (e-mail) *luis.rodriguez1@dhs.gov.*

SUPPLEMENTARY INFORMATION: The Federal Emergency Management Agency (FEMA) makes the final determinations listed below for the modified BFEs for each community listed. These modified elevations have been published in newspapers of local circulation and ninety (90) days have elapsed since that publication. The Deputy Federal Insurance and Mitigation Administrator has resolved any appeals resulting from this notification.

This final rule is issued in accordance with section 110 of the Flood Disaster Protection Act of 1973, 42 U.S.C. 4104, and 44 CFR part 67. FEMA has developed criteria for floodplain management in floodprone areas in accordance with 44 CFR part 60.

Interested lessees and owners of real property are encouraged to review the proof Flood Insurance Study and FIRM available at the address cited below for each community. The BFEs and modified BFEs are made final in the communities listed below. Elevations at selected locations in each community are shown.

National Environmental Policy Act. This final rule is categorically excluded from the requirements of 44 CFR part 10, Environmental Consideration. An environmental impact assessment has not been prepared.

Regulatory Flexibility Act. As flood elevation determinations are not within the scope of the Regulatory Flexibility Act, 5 U.S.C. 601–612, a regulatory flexibility analysis is not required.

Regulatory Classification. This final rule is not a significant regulatory action under the criteria of section 3(f) of Executive Order 12866 of September 30, 1993, Regulatory Planning and Review, 58 FR 51735.

Executive Order 13132, Federalism. This final rule involves no policies that have federalism implications under Executive Order 13132.

Executive Order 12988, Civil Justice Reform. This final rule meets the applicable standards of Executive Order 12988.

List of Subjects in 44 CFR Part 67

Administrative practice and procedure, Flood insurance, Reporting and recordkeeping requirements.

Accordingly, 44 CFR part 67 is amended as follows:

PART 67—[AMENDED]

■ 1. The authority citation for part 67 continues to read as follows:

Authority: 42 U.S.C. 4001 *et seq.;* Reorganization Plan No. 3 of 1978, 3 CFR, 1978 Comp., p. 329; E.O. 12127, 44 FR 19367, 3 CFR, 1979 Comp., p. 376.

§ 67.11 [Amended]

■ 2. The tables published under the authority of § 67.11 are amended as follows:

State	City/town/county	Source of flooding	Location	* Elevation in feet (NGVD) + Elevation in feet (NAVD) # Depth in feet above ground ^ Elevation in meters (MSL) Modified
Unincorporated Areas of Poinsett County, Arkansas Docket No.: FEMA–B–1083				
Arkansas	Unincorporated Areas of Poinsett County.	Left Hand Chute of Little River.	At the confluence with the St. Francis River.	+212
			Approximately 0.45 mile downstream of Leatherwood Lane.	+216
			Approximately 1.02 miles downstream of State Highway 140.	+220
			Approximately 1,400 feet downstream of State Highway 140.	+223
	Unincorporated Areas of Poinsett County.	St. Francis River	Approximately 0.73 mile downstream of U.S. Route 63.	+211
			At the confluence with Left Hand Chute of Little River.	+212

* National Geodetic Vertical Datum.
+ North American Vertical Datum.
# Depth in feet above ground.
^ Mean Sea Level, rounded to the nearest 0.1 meter.

ADDRESSES

Unincorporated Areas of Poinsett County

Maps are available for inspection at the Poinsett County Hall, Harrisburg, AR 72432.

Village of Jewett, Illinois Docket No.: FEMA–B–1085				
Illinois	Village of Jewett	Embarras River	Approximately 600 feet downstream of River Road extended.	+517
			Approximately 1,150 feet upstream of River Road extended.	+518

State	City/town/county	Source of flooding	Location	* Elevation in feet (NGVD) + Elevation in feet (NAVD) # Depth in feet above ground ∧ Elevation in meters (MSL) Modified

* National Geodetic Vertical Datum.
+ North American Vertical Datum.
# Depth in feet above ground.
∧ Mean Sea Level, rounded to the nearest 0.1 meter.

ADDRESSES

Village of Jewett
Maps are available for inspection at the Village Hall, 2 North 12th Avenue, Jewett, IL 62436.

Unincorporated Areas of Muskogee County, Oklahoma
Docket No.: FEMA–B–1021

Oklahoma	Unincorporated Areas of Muskogee County.	Arkansas River	Approximately 1,371 feet downstream of State Highway 104.	+553
			Approximately 1,625 feet upstream of State Highway 104.	+554

* National Geodetic Vertical Datum.
+ North American Vertical Datum.
# Depth in feet above ground.
∧ Mean Sea Level, rounded to the nearest 0.1 meter.

ADDRESSES

Unincorporated Areas of Muskogee County
Maps are available for inspection at the Muskogee County Courthouse, 4517 Dennison Street, Muskogee, OK 74402.

Unincorporated Areas of Bandera County, Texas
Docket No.: FEMA–B–1066

Texas	Unincorporated Areas of Bandera County.	Medina River (flooding effects from Bandera River).	Just downstream of State Highway 16	+215
			Just upstream of Harvey Ray Drive	+1,213

* National Geodetic Vertical Datum.
+ North American Vertical Datum.
# Depth in feet above ground.
∧ Mean Sea Level, rounded to the nearest 0.1 meter.

ADDRESSES

Unincorporated Areas of Bandera County
Maps are available for inspection at 502 11th Street, Bandera, TX 78003.

Unincorporated Areas of Dawson County, Texas
Docket No.: FEMA–B–1083

Texas	Unincorporated Areas of Dawson County.	Sulphur Springs Draw	Just upstream of County Road L	+2,924
			Just downstream of U.S. Route 180	+2,950

* National Geodetic Vertical Datum.
+ North American Vertical Datum.
# Depth in feet above ground.
∧ Mean Sea Level, rounded to the nearest 0.1 meter.

ADDRESSES

Unincorporated Areas of Dawson County
Maps are available for inspection at 400 South 1st Street, Lamesa, TX 79331.

Flooding source(s)	Location of referenced elevation	* Elevation in feet (NGVD) + Elevation in feet (NAVD) # Depth in feet above ground ∧ Elevation in meters (MSL) Modified	Communities affected
Fulton County, Illinois, and Incorporated Areas Docket No.: FEMA–B–1085			
Copperas Creek	Approximately 0.52 mile downstream of U.S. Route 24	+454	Unincorporated Areas of Fulton County, Village of Banner.
	Approximately 0.51 mile upstream of U.S. Route 24	+454	
Illinois River	Approximately 0.88 mile downstream of County Highway 9 extended.	+453	Unincorporated Areas of Fulton County, Village of Banner, Village of Liverpool.
	Approximately 1.09 miles upstream of Marsh Road extended.	+454	

* National Geodetic Vertical Datum.
+ North American Vertical Datum.
# Depth in feet above ground.
∧ Mean Sea Level, rounded to the nearest 0.1 meter.

ADDRESSES

Village of Banner
Maps are available for inspection at the Village Hall, 396 South Fulton Street, Banner, IL 61520.

Village of Liverpool
Maps are available for inspection at the Village Hall, 116 South State Street, Liverpool, IL 61543.

Unincorporated Areas of Fulton County
Maps are available for inspection at the Fulton County Supervisor's Office, 100 North Main Street, Lewiston, IL 61542.

Flooding source(s)	Location of referenced elevation	* Elevation in feet (NGVD) + Elevation in feet (NAVD) # Depth in feet above ground ∧ Elevation in meters (MSL) Modified	Communities affected
Putnam County, Illinois, and Incorporated Areas Docket No.: FEMA–B–1085			
Illinois River	Approximately 0.83 mile downstream of the I–180 bridge	+462	Unincorporated Areas of Putnam County, Village of Hennepin.
	Approximately 1.93 miles upstream of the IL–89 bridge	+463	

* National Geodetic Vertical Datum.
+ North American Vertical Datum.
# Depth in feet above ground.
∧ Mean Sea Level, rounded to the nearest 0.1 meter.

ADDRESSES

Village of Hennepin
Maps are available for inspection at the Village Hall, 627 East High Street, Hennepin, IL 61327.

Unincorporated Areas of Putnam County
Maps are available for inspection at the Putnam County Courthouse, 120 North 4th Street, Hennepin, IL 61327.

Flooding source(s)	Location of referenced elevation	* Elevation in feet (NGVD) + Elevation in feet (NAVD) # Depth in feet above ground ∧ Elevation in meters (MSL) Modified	Communities affected
Todd County, Minnesota, and Incorporated Areas Docket No.: FEMA–B–1064			
Long Prairie River	Approximately 15,140 feet downstream of U.S. Route 71	+1,284	City of Long Prairie, Unincorporated Areas of Todd County.
	Approximately 3,950 feet upstream of Riverside Drive (County Highway 56).	+1,293	

* National Geodetic Vertical Datum.
+ North American Vertical Datum.
# Depth in feet above ground.
∧ Mean Sea Level, rounded to the nearest 0.1 meter.

ADDRESSES

City of Long Prairie
Maps are available for inspection at 615 Lake Street South, Long Prairie, MN 56347.

Unincorporated Areas of Todd County
Maps are available for inspection at 215 1st Avenue South, Suite 201, Long Prairie, MN 56347.

Flooding source(s)	Location of referenced elevation	* Elevation in feet (NGVD) + Elevation in feet (NAVD) # Depth in feet above ground ∧ Elevation in meters (MSL) Modified	Communities affected
Colorado County, Texas, and Incorporated Areas Docket No.: FEMA–B–1083			
Colorado River	Approximately 0.6 mile downstream of County Road 122	+139	City of Columbus, City of Eagle Lake, Colorado County Water Control Improvement District No. 2, Unincorporated Areas of Colorado County.
	Just downstream of Burnham's Ferry Crossing	+223	

* National Geodetic Vertical Datum.
+ North American Vertical Datum.
# Depth in feet above ground.
∧ Mean Sea Level, rounded to the nearest 0.1 meter.

ADDRESSES

City of Columbus
Maps are available for inspection at 605 Spring Street, Columbus, TX 78934.

City of Eagle Lake
Maps are available for inspection at 400 Spring Street, Columbus, TX 78934.

Colorado County Water Control Improvement District No. 2
Maps are available for inspection at 400 Spring Street, Columbus, TX 78934.

Unincorporated Areas of Colorado County
Maps are available for inspection at 400 Spring Street, Columbus, TX 78934.

Flooding source(s)	Location of referenced elevation	* Elevation in feet (NGVD) + Elevation in feet (NAVD) # Depth in feet above ground ∧ Elevation in meters (MSL) Modified	Communities affected
Duval County, Texas, and Incorporated Areas Docket No.: FEMA–B–1083			
San Diego Creek	Just upstream of Ventura Street	+296	City of San Diego, Unincorporated Areas of Duval County.
	Just upstream of Julian Street	+304	

* National Geodetic Vertical Datum.
+ North American Vertical Datum.
# Depth in feet above ground.
∧ Mean Sea Level, rounded to the nearest 0.1 meter.

ADDRESSES

City of San Diego
Maps are available for inspection at 404 South Meir Street, San Diego, TX 78384.

Unincorporated Areas of Duval County
Maps are available for inspection at 400 East Gravis Avenue, San Diego, TX 78384.

(Catalog of Federal Domestic Assistance No. 97.022, "Flood Insurance.")

Dated: December 30, 2010.

Sandra K. Knight,

Deputy Federal Insurance and Mitigation Administrator, Mitigation, Department of Homeland Security, Federal Emergency Management Agency.

[FR Doc. 2011–293 Filed 1–10–11; 8:45 am]

BILLING CODE 9110–12–P

DEPARTMENT OF COMMERCE

National Oceanic and Atmospheric Administration

50 CFR Part 679

[Docket No. 0910131363–0087–02]

RIN 0648–XA129

Fisheries of the Exclusive Economic Zone Off Alaska; Inseason Adjustment to the 2011 Bering Sea and Aleutian Islands Atka Mackerel Total Allowable Catch Amount

AGENCY: National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Commerce.

ACTION: Temporary rule; inseason adjustment; request for comments.

SUMMARY: NMFS is adjusting the 2011 total allowable catch (TAC) amount for the Bering Sea and Aleutian Island management area (BSAI) Atka mackerel fishery. This action is necessary because NMFS has determined this TAC is incorrectly specified. This action will ensure the BSAI Atka mackerel TAC is the appropriate amount, based on the best available scientific information for Atka mackerel in the BSAI. This action is consistent with the goals and

objectives of the Fishery Management Plan for Groundfish of the Bering Sea and Aleutian Islands Management Area.

DATES: Effective 1200 hrs, Alaska local time (A.l.t.), January 11, 2011, until the effective date of the final 2011 and 2012 harvest specifications for BSAI groundfish, unless otherwise modified or superseded through publication of a notification in the **Federal Register.**

Comments must be received at the following address no later than 4:30 p.m., A.l.t., January 26, 2011.

ADDRESSES: Send comments to James W. Balsiger, Administrator, Alaska Region, NMFS, Attn: Ellen Sebastian. You may submit comments, identified by RIN 0648–XA129, by any one of the following methods:

- *Electronic Submissions:* Submit all electronic public comments via the Federal eRulemaking Portal *http://www.regulations.gov.*
- *Mail:* P.O. Box 21668, Juneau, AK 99802.
- *Fax:* (907) 586–7557.
- *Hand delivery to the Federal Building:* 709 West 9th Street, Room 420A, Juneau, AK.

All comments received are a part of the public record. No comments will be posted to *http://www.regulations.gov* for public viewing until after the comment period has closed. Comment will generally be posted without change. All Personal Identifying Information (for example, name, address, *etc.*) voluntarily submitted by the commenter may be publicly accessible. Do not submit Confidential Business Information or otherwise sensitive or protected information.

NMFS will accept anonymous comments (enter N/A in the required fields, if you wish to remain anonymous). You may submit attachments to electronic comments in Microsoft Word, Excel, WordPerfect, or Adobe PDF file formats only.

FOR FURTHER INFORMATION CONTACT: Steve Whitney, 907–586–7228.

SUPPLEMENTARY INFORMATION: NMFS manages the groundfish fishery in the BSAI exclusive economic zone according to the Fishery Management Plan for Groundfish of the Bering Sea and Aleutian Islands Management Area (FMP) prepared by the North Pacific Fishery Management Council (Council) under authority of the Magnuson-Stevens Fishery Conservation and Management Act. Regulations governing fishing by U.S. vessels in accordance with the FMP appear at subpart H of 50 CFR part 600 and 50 CFR part 679.

The 2011 Atka mackerel TAC in the BSAI was set at 20,900 metric tons (mt) in the Eastern Aleutian District and the Bering Sea subarea, 26,000 mt in the Central Aleutian District, and 18,100 mt in the Western Aleutian District by the final 2010 and 2011 harvest specification for groundfish in the BSAI (75 FR 11778, March 12, 2010).

In December 2010, the Council recommended a 2011 Atka mackerel TACs of 40,300 metric tons (mt) in the Eastern Aleutian District and the Bering Sea subarea, 12,800 mt in the Central Aleutian District, and 1,500 mt in the Western Aleutian District. These amounts are more in the Eastern Aleutian District and Bering Sea subarea, and less in the Central Aleutian District and Western Aleutian District than established by the final 2010 and 2011 harvest specification for groundfish in the BSAI (75 FR 11778, March 12, 2010). The TACs recommended by the Council are based on the Stock Assessment and Fishery Evaluation report (SAFE), dated November 2010, which NMFS has determined is the best available scientific information for this fishery.

Regulations at § 679.20(a)(8)(ii)(A) apportion the Atka mackerel TAC allocated to the BSAI Atka mackerel trawl fisheries seasonally to distribute catch over time because Atka mackerel is a principal prey species for Steller sea lions listed as endangered under the Endangered Species Act. The first seasonal apportionment can be harvested quickly, and must reflect the TAC based on the best available scientific information to provide the opportunity to harvest available TAC in a manner consistent with the established Steller sea lion protection measures.

In accordance with § 679.25(a)(1)(iii) & (a)(2)(i)(B), the Administrator, Alaska Region, NMFS (Regional Administrator), has determined that, based on the November 2010 SAFE report for this fishery, the current BSAI Atka mackerel TAC is incorrectly specified. Consequently, the Regional Administrator is adjusting the 2011 Atka mackerel TACs to 40,300 mt in the Eastern Aleutian District and Bering Sea subarea, 11,280 mt in the Central Aleutian District, and 1,500 mt in the Western Aleutian District.

Pursuant to § 679.20(a)(8), Table 4 of the final 2010 and 2011 harvest specifications for groundfish in the BSAI (75 FR 11778, March 12, 2010) is revised for the 2011 Atka mackerel TAC consistent with this adjustment. Table 4 includes the Steller sea lion protection measures effective January 1, 2011 (75 FR 77535, December 13, 2010), to insure that the BSAI groundfish fisheries off Alaska are not likely to jeopardize the continued existence of the western distinct population segment of Steller sea lions or adversely modify its designated critical habitat.

TABLE 4—FINAL 2011 SEASONAL AND SPATIAL ALLOWANCES, GEAR SHARES, CDQ RESERVE, INCIDENTAL CATCH ALLOWANCE, AND AMENDMENT 80 ALLOCATIONS OF THE BSAI ATKA MACKEREL TAC

[Amounts are in metric tons]

Sector [1]	Season [2 3 4]	2011 allocation by area		
		Eastern Aleutian District/Bering Sea	Central Aleutian District [5]	Western Aleutian District
TAC	n/a	40,300	11,280	1,500
CDQ reserve	Total	4,312	1,207	161
	A	2,156	603	80
	Critical habitat [5]	n/a	60	n/a
	B	2,156	603	80
	Critical habitat [5]	n/a	60	n/a
ICA	Total	75	75	40
Jig [6]	Total	180	0	0
BSAI trawl limited access	Total	2,859	800	0
	A	1,429	400	0
	B	1,429	400	0

TABLE 4—FINAL 2011 SEASONAL AND SPATIAL ALLOWANCES, GEAR SHARES, CDQ RESERVE, INCIDENTAL CATCH ALLOWANCE, AND AMENDMENT 80 ALLOCATIONS OF THE BSAI ATKA MACKEREL TAC—Continued

[Amounts are in metric tons]

Sector [1]	Season [2 3 4]	2011 allocation by area		
		Eastern Aleutian District/Bering Sea	Central Aleutian District [5]	Western Aleutian District
Amendment 80 sectors	Total	32,875	9,198	1,300
	A	16,437	4,599	650
	B	16,437	4,599	650
Alaska Groundfish Cooperative	Total	19,181	5,389	755
	A	9,591	2,695	377
	Critical habitat [5]	n/a	269	n/a
	B	9,591	2,695	377
	Critical habitat [5]	n/a	269	n/a
Alaska Seafood Cooperative	Total	13,694	3,809	545
	A	6,847	1,904	272
	Critical habitat [5]	n/a	190	n/a
	B	6,847	1,904	272
	Critical habitat [5]	n/a	190	n/a

[1] Section 679.20(a)(8)(ii) allocates the Atka mackerel TACs, after subtraction of the CDQ reserves, jig gear allocation, and ICAs to the Amendment 80 and BSAI trawl limited access sectors. The allocation of the ITAC for Atka mackerel to the Amendment 80 and BSAI trawl limited access sectors is established in Table 33 to part 679 and § 679.91. The CDQ reserve is 10.7 percent of the TAC for use by CDQ participants (*see* §§ 679.20(b)(1)(ii)(C) and 679.31).

[2] Sections 679.20(a)(8)(ii)(A) and 679.22(a) establish temporal and spatial limitations for the Atka mackerel fishery.

[3] The seasonal allowances of Atka mackerel are 50 percent in the A season and 50 percent in the B season.

[4] Section 679.23(e)(3) authorizes directed fishing for Atka mackerel with trawl gear during the A season from January 20 to June 10 and the B season from June 10 to November 1.

[5] Section 679.20(a)(8)(ii)(C) requires the TAC in area 542 shall be no more than 47% of ABC, and Amendment 80 cooperatives and CDQ groups are allowed limited to no more than 10% of an allocation may be harvested within waters 10 nm to 20 nm of Gramp Rock and Tag Island, as described on Table 12 to this part.

[6] Section 679.20(a)(8)(i) requires that up to 2 percent of the Eastern Aleutian District and the Bering Sea subarea TAC be allocated to jig gear after subtraction of the CDQ reserve and ICA. The amount of this allocation is 0.5 percent. The jig gear allocation is not apportioned by season.

Classification

This action responds to the best available information recently obtained from the fishery. The Assistant Administrator for Fisheries, NOAA (AA), finds good cause to waive the requirement to provide prior notice and opportunity for public comment pursuant to the authority set forth at 5 U.S.C. 553(b)(B) as such requirement is impracticable and contrary to the public interest. This requirement is impracticable and contrary to the public interest as it would prevent NMFS from responding to the most recent fisheries data in a timely fashion and would require harvests other than the appropriate allocations for Atka mackerel, based on the best scientific information available. NMFS was unable to publish a notice providing time for public comment because the most recent, relevant data only became available as of December 25, 2010, and additional time for prior public comment would result in conservation concerns for the ESA-listed Steller sea lions.

The AA also finds good cause to waive the 30-day delay in the effective date of this action under 5 U.S.C. 553(d)(3). This finding is based upon the reasons provided above for waiver of prior notice and opportunity for public comment.Under § 679.25(c)(2), interested persons are invited to submit written comments on this action to the above address until January 26, 2011.

This action is required by § 679.20 and is exempt from review under Executive Order 12866.

Authority: 16 U.S.C. 1801 *et seq.*

Dated: January 6, 2011.

James P. Burgess,

Acting Director, Office of Sustainable Fisheries,National Marine Fisheries Service.

[FR Doc. 2011–393 Filed 1–10–11; 8:45 am]

BILLING CODE 3510–22–P

Proposed Rules

Federal Register
Vol. 76, No. 7
Tuesday, January 11, 2011

This section of the FEDERAL REGISTER contains notices to the public of the proposed issuance of rules and regulations. The purpose of these notices is to give interested persons an opportunity to participate in the rule making prior to the adoption of the final rules.

ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

1 CFR Part 304

Disclosure of Records or Information

AGENCY: Administrative Conference of the United States.

ACTION: Notice of proposed rulemaking.

SUMMARY: The Administrative Conference of the United States (ACUS or the Conference) is promulgating updated rules identifying its procedures for disclosure of records under the Freedom of Information Act and its procedures for protection of privacy and access to individual records under the Privacy Act of 1974.

DATES: Comments must be received by February 10, 2011.

ADDRESSES: Submit comments to any one of the following:

- *E-rulemaking Portal: http://www.regulations.gov.*
- *E-mail: smcgibbon@acus.gov.*
- *Mail:* FOIA and Privacy Comments, Administrative Conference of the United States, Suite 706 South, 1120 20th Street, NW., Washington, DC 20036.

FOR FURTHER INFORMATION CONTACT: Shawne C. McGibbon, General Counsel, at 202–480–2088 or *smcgibbon@acus.gov.*

SUPPLEMENTARY INFORMATION: ACUS was established by the Administrative Conference Act, 5 U.S.C. 591–96. Following the loss of its funding in 1995, ACUS ceased operations. In 1996, its prior regulations (including Part 304) were eliminated. 61 FR 3539 (1996). Congress has now reauthorized and refunded ACUS, which has now reinitiated operations. These regulations provide the agency's proposed procedures for disclosure of records, as required by the Freedom of Information Act (FOIA), 5 U.S.C. 552, as amended, and its procedures for protection of privacy and access to individual records, as required by the Privacy Act of 1974, 5 U.S.C. 552a, as amended. These regulations also reflect the principles established by President Obama's Presidential Memoranda on "Transparency and Open Government" and "Freedom of Information Act" issued on January 21, 2009 and Attorney General Holder's Memorandum on "The Freedom of Information Act (FOIA)" issued on March 19, 2009. Additionally, the regulations reflect the Conference's commitment to providing the fullest possible disclosure of records to the public.

Required Reviews

a. Paperwork Reduction Act

ACUS has determined that the Paperwork Reduction Act, 44 U.S.C. 3501 *et seq.,* does not apply because these regulations do not contain any information collection requirements.

b. Regulatory Flexibility Act

The Regulatory Flexibility Act (RFA), 5 U.S.C. 601 *et seq.,* requires agencies to perform regulatory flexibility analyses when promulgating rules through notice and comment procedures. ACUS has determined that the proposed regulations do not have a significant economic impact on a substantial number of small entities. Under the FOIA, agencies may recover only the direct costs of searching for, reviewing and duplicating the records processed for certain categories of requesters. The Conference's proposed fee structure is in accordance with Department of Justice guidelines and based upon OMB fee schedules which calculate costs based on the category of requester and kind of employee duplicating the records. Under the Privacy Act, agencies may recover the cost of duplication only. The agency will provide free duplication and search time (up to a certain amount) in certain cases. Where anticipated fees exceed $50, an opportunity is given to the requester to refine the request in order to lower cost. Thus, fees assessed by ACUS are nominal and will not have a significant economic impact on a substantial number of small entities within the meaning of the RFA.

c. Unfunded Mandates Reform Act

For purposes of the Unfunded Mandates Reform Act of 1995 (2 U.S.C. chapter 25, subchapter II), the proposed rule would not significantly or uniquely affect small governments and would not result in increased expenditures by State, local, and tribal governments, in the aggregate, or by the private sector, of $100 million or more (as adjusted for inflation).

d. Executive Order 12866

In issuing this regulation, ACUS has adhered to the regulatory philosophy and the applicable principles of regulation as set forth in Section 1 of Executive Order 12866, Regulatory Planning and Review, 58 FR 51735. This proposed rule has not been reviewed by the Office of Management and Budget under the Executive Order since it is not a significant regulatory action within the meaning of the Executive Order.

List of Subjects in 1 CFR Part 304

Administrative practice and procedure, Freedom of information, Privacy.

For the reasons set forth in the preamble, under the authority at 5 U.S.C. 552, 552a, and 591–96, the Administrative Conference of the United States proposes to amend 1 CFR chapter III to add part 304 as follows:

PART 304—DISCLOSURE OF RECORDS OR INFORMATION

Subpart A—Procedures for Disclosure of Records Under the Freedom of Information Act

Sec.

Subpart B—Protection of Privacy and Access to Individual Records Under the Privacy Act of 1974

Subpart A—Procedures for Disclosure of Records Under the Freedom of Information Act

Authority: 5 U.S.C. 552, 591–96.

§ 304.1 General provisions.

(a) This subpart contains the rules that the Administrative Conference of the United States ("ACUS" or "the agency") follows in processing requests for disclosure of records under the Freedom of Information Act ("FOIA" or "the Act"), 5 U.S.C. 552, as amended, and in meeting its responsibilities under the Act. These rules should be read together with the text of the FOIA itself, which provides additional information about access to records maintained by the agency. They also may be read in conjunction with the agency's "Freedom of Information Act Reference Guide," which provides basic information about use of the Act in relation to the agency's records. Requests made by individuals for access to records about themselves under the Privacy Act of 1974, 5 U.S.C. 552a (2006 & Supp. II 2008), which are processed under subpart B of this part, are also processed under this subpart. Information routinely provided to the public as part of a regular agency activity (for example, press releases or recommendations adopted by the agency pursuant to the Administrative Conference Act, 5 U.S.C. 591 *et seq.*) may be provided to the public without following this subpart.

(b) As a matter of policy, ACUS makes discretionary disclosures of records or information exempt from disclosure under the FOIA whenever it is determined that disclosure would not foreseeably harm an interest protected by a FOIA exemption, but this policy does not create any right enforceable in court.

(c) The agency has designated its General Counsel as its Chief FOIA Officer, who has agency-wide responsibility for efficient and appropriate compliance with the FOIA and these implementing regulations. The General Counsel has designated the agency's Deputy General Counsel as its FOIA Public Liaison.

§ 304.2 Public reading room.

(a) ACUS maintains a public reading room that affords access to the records that the FOIA requires it to make regularly available for public inspection and copying even in the absence of a FOIA request, including a current subject-matter index of its reading room records that will be updated quarterly with respect to newly included records.

(b) ACUS also makes all reading room records that have been created by the agency regularly available to the public electronically on its Web site (*http://www.acus.gov*).

§ 304.3 Requirements for making requests.

(a) *How made and addressed.* You may make a request for records by sending a written request letter to the agency either by mail addressed to FOIA Public Liaison, Administrative Conference of the United States, 1120 20th Street, NW., South Lobby, Suite 706, Washington, DC 20036, or by fax delivery to (202) 386–7190. For the quickest possible handling, you should mark both your request letter and the envelope "Freedom of Information Act Request." At such time as the agency implements the capability of receiving requests electronically, instructions for electronic filing will be posted on its Web site referenced above. (You may find the agency's "Freedom of Information Act Reference Guide"—which is available on its Web site and in paper form—helpful in making your request.) If you are making a request for records about yourself, see § 304.21(d) for additional requirements. If you are making a request for records about another individual, then either a written authorization signed by that individual permitting disclosure of those records to you or proof that that individual is deceased (for example, a copy of a death certificate or an obituary notice) will help the processing of your request. Your request will be considered received as of the date upon which it is logged in as received by the agency's FOIA Public Liaison.

(b) *Description of records sought.* You must describe the records that you seek in enough detail to enable agency personnel to locate them with a reasonable amount of effort. Whenever possible, your request should include specific information about each record sought, such as the date, title or name, author, recipient, and subject matter of the record. If known, you should include any file designations or similar descriptions for the records that you want. As a general rule, the more specific you are about the records or type of records that you want, the more likely that the agency will be able to locate those records in response to your request. If the agency determines that your request does not reasonably describe records, then it will tell you either what additional information is needed or why your request is otherwise insufficient. It also will give you an opportunity to discuss your request by telephone so that you may modify it to meet the requirements of this section. Additionally, if your request does not reasonably describe the records you seek, the agency's response to it may be delayed as an initial matter.

(c) *Agreement to pay fees.* When you make a FOIA request, it will be considered to be an agreement by you to pay all applicable fees charged under § 304.9, up to $50.00, unless you specifically request a waiver of fees. The agency ordinarily will confirm this agreement in an acknowledgment letter. When making a request, you may specify a willingness to pay a greater or lesser amount. Your agreement will not prejudice your ability to seek a waiver or reduction of any applicable fee at a later time.

§ 304.4 Responsibility for responding to requests.

(a) *In general.* The agency will be responsible for responding to a request in all respects, except in the case of a referral to another agency as is described in paragraphs (b), (c), and (d) of this section. In determining which records are responsive to a request, the agency ordinarily will include only records in its possession and control as of the date upon which it begins its search for them. If any other date is used, the agency will inform the requester of that date.

(b) *Consultations and referrals.* When the agency receives a request for a record in its possession and control, it will determine whether another agency of the Federal Government is better able to determine whether the record is exempt from disclosure under the FOIA and, if so, whether it should be disclosed as a matter of administrative discretion. If the agency determines that it is best able to process the record in response to the request, then it will do so. If the agency determines that it is not best able to process the record, then it will either:

(1) Respond to the request regarding that record, after consulting with the agency that is best able to determine whether to disclose it and with any other agency that has a substantial interest in it; or

(2) Refer the responsibility for responding to the request regarding that record to another agency that originated the record (but only if that agency is

subject to the FOIA). Ordinarily, the agency that originated a record will be presumed to be best able to determine whether to disclose it.

(c) *Notice of referral.* When the agency refers all or any part of the responsibility for responding to a request to another agency, it ordinarily will notify the requester of the referral and inform the requester of the name of the agency to which the request has been referred and of the part of the request that has been referred.

(d) *Timing of responses to consultations and referrals.* All consultations and referrals will be handled according to the date upon which the FOIA request initially was received by the first agency, and not any later date.

(e) *Agreements regarding consultations and referrals.* The agency may make agreements with other agencies designed to eliminate the need for consultations or referrals regarding particular types of records.

§ 304.5 Timing of responses to requests.

(a) *In general.* The agency ordinarily will respond to requests according to their order of receipt.

(b) *Multi-track processing.* The agency may use two or more processing tracks by distinguishing between simple and more complex requests based on the amount of work and/or time needed to process the request, including according to the number of pages involved. If it does so, then it will advise requesters in its slower track(s) of the limits of its faster track(s) and may provide requesters in its slower track(s) with an opportunity to limit the scope of their requests in order to qualify for faster processing within the specified limits of its faster track(s). The agency will contact the requester either by telephone or by letter, whichever is more efficient, in each case.

(c) *Unusual circumstances.* (1) Where the statutory time limits for processing a request cannot be met because of "unusual circumstances," as defined in the FOIA, and the agency determines to extend the time limits on that basis, it will as soon as practicable notify the requester in writing of the unusual circumstances and of the date by which processing of the request can be expected to be completed. Where the extension is for more than ten business days, it will provide the requester with an opportunity either to modify the request so that it may be processed within the time limits or to arrange an alternative time period processing the request or a modified request.

(2) Where the agency reasonably believes that multiple requests submitted by a requester, or by a group of requesters acting in concert, constitute a single request that would otherwise involve unusual circumstances, and the requests involve clearly related matters, they may be aggregated. Multiple requests involving unrelated matters will not be aggregated.

(d) *Expedited processing.* (1) Requests and appeals will be taken out of order and given expedited treatment whenever it is determined that they involve:

(i) Circumstances in which the lack of expedited treatment could reasonably be expected to pose an imminent threat to the life or physical safety of an individual;

(ii) An urgency to inform the public concerning actual or alleged federal government activity, if made by a person primarily engaged in disseminating information; or

(iii) Other circumstances as determined by the agency.

(2) A request for expedited processing may be made at the time of the initial request for records (i.e., as part of the initial request) or at any later time.

(3) A requester who seeks expedited processing must submit a statement, certified to be true and correct to the best of that person's knowledge and belief, explaining in detail the basis for requesting expedited processing. For example, a requester within the category in paragraph (d)(1)(ii) of this section, if not a full-time member of the news media, must establish that he or she is a person whose main professional activity or occupation is information dissemination, though it need not be his or her sole occupation. That requester also must establish a particular urgency to inform the public about the government activity involved in the request, beyond the public's right to know about government activity generally. The formality of certification may be waived by the agency as a matter of administrative discretion.

(4) Within ten calendar days of its receipt of a request for expedited processing, the agency will decide whether to grant it and will notify the requester of the decision. If a request for expedited treatment is granted, then the request will be given priority and will be processed as soon as practicable. If a request for expedited processing is denied, then any appeal of that decision will be acted on expeditiously.

§ 304.6 Responses to requests.

(a) *Acknowledgments of requests.* On receipt of a request, the agency ordinarily will send an acknowledgment letter to the requester that will confirm the requester's agreement to pay fees under § 304.3(c) and will provide a request tracking number for further reference. Requesters may use this tracking number to determine the status of their request—including the date of its receipt and the estimated date on which action on it will be completed—by calling the agency's FOIA Public Liaison at (202) 480–2080. In some cases, the agency may seek further information or clarification from the requester.

(b) *Grants of requests.* Ordinarily, the agency will have twenty business days from when a request is received to determine whether to grant or deny the request. Once the agency makes such a determination, it will immediately notify the requester in writing. The agency will inform the requester in the notice of any fee charged under § 304.9 and will disclose records to the requester promptly upon payment of any applicable fee. Records disclosed in part will be marked or annotated to show the amount of information deleted, unless doing so would harm an interest protected by an applicable exemption. The location of the information deleted also will be indicated on the record, if technically feasible.

(c) *Adverse determinations of requests.* Whenever the agency makes an adverse determination denying a request in any respect, it will notify the requester of that determination in writing. Adverse determinations, or denials of requests, consist of: A determination to withhold any requested record in whole or in part; a determination that a requested record does not exist or cannot be located; a determination that a record is not readily reproducible in the form or format sought by the requester; a determination that what has been requested is not a record subject to the FOIA; a determination on any disputed fee matter, including a denial of a request for a fee waiver; and a denial of a request for expedited treatment. The denial letter will include:

(1) The name and title or position of the person responsible for the denial;

(2) A brief statement of the reason(s) for the denial, including any FOIA exemption applied by the agency in denying the request;

(3) An estimate of the volume of records or information withheld, in number of pages or in some other reasonable form of estimation. This estimate does not need to be provided if the volume is otherwise indicated through deletions on records disclosed in part, or if providing an estimate would harm an interest protected by an applicable exemption; and

(4) An indication on the released portion of a record of each exemption applied, at the place at which it was applied, if technically feasible.

(5) A statement that the denial may be appealed under § 304.8(a) and a description of the requirements of § 304.8(a).

§ 304.7 Business information.

(a) *In general.* Business information obtained by the agency will be disclosed under the FOIA only under this section and in accordance with Executive Order 12,600, 3 CFR part 235 (1988).

(b) *Definitions.* For purposes of this section:

(1) "Business information" means commercial or financial information obtained by the agency from a submitter that may be protected from disclosure under Exemption 4 of the FOIA.

(2) "Submitter" means any person or entity from whom the agency obtains business information, either directly or indirectly. The term includes corporations; state, local, and tribal governments; and foreign governments.

(c) *Designation of business information.* A submitter of business information will use good-faith efforts to designate, by appropriate markings, either at the time of submission or at a reasonable time thereafter, any and all portion(s) of its submission that it considers to be protected from disclosure under Exemption 4. These designations will expire ten years after the date of the submission unless the submitter requests, and provides justification for, a longer designation period.

(d) *Notice to submitters.* The agency will provide a submitter with prompt written notice of a FOIA request or administrative appeal that seeks its business information wherever required under paragraph (e) of this section, except as provided in paragraph (h) of this section, in order to give the submitter an opportunity to object to disclosure of any specified portion of that information under paragraph (f) of this section. The notice will either describe the business information requested or include copies of the requested records or record portions containing the information. When notification of a voluminous number of submitters is required, notification may be made by posting or publishing the notice in a place reasonably likely to accomplish it.

(e) *Where notice is required.* Notice will be given to a submitter wherever:

(1) The information has been designated in good faith by the submitter as information considered protected from disclosure under Exemption 4; or

(2) The agency has reason to believe that the information may be protected from disclosure under Exemption 4.

(f) *Opportunity to object to disclosure.* The agency will allow a submitter a reasonable time to respond to the notice described in paragraph (d) of this section and will specify that time period within the notice. If a submitter has any objection to disclosure, it is required to submit a detailed written statement. The statement must specify all grounds for withholding any portion of the information under any exemption of the FOIA and, in the case of Exemption 4, it must show why the information is a trade secret or commercial or financial information that is privileged or confidential. In the event that a submitter fails to respond to the notice within the time specified in it, the submitter will be considered to have no objection to disclosure of the information. Information provided by the submitter that is not received by the agency until after its disclosure decision has been made will not be considered by the agency. Information provided by a submitter under this paragraph may itself be subject to disclosure under the FOIA.

(g) *Notice of intent to disclose.* The agency will consider a submitter's objections and specific grounds for nondisclosure in deciding whether to disclose business information. Whenever the agency decides to disclose business information over the objection of a submitter, it will give the submitter written notice, which will include:

(1) A statement of the reason(s) why each of the submitter's disclosure objections was not sustained;

(2) A description of the business information to be disclosed; and

(3) A specified disclosure date, which will be a reasonable time subsequent to the notice.

(h) *Exceptions to notice requirements.* The notice requirements of paragraphs (d) and (g) of this section will not apply if:

(1) The agency determines that the information should not be disclosed;

(2) The information lawfully has been published or has been officially made available to the public;

(3) Disclosure of the information is required by statute (other than the FOIA) or by a regulation issued in accordance with the requirements of Executive Order 12,600; or

(4) The designation made by the submitter under paragraph (c) of this section appears obviously frivolous—except that, in such a case, the agency will, within a reasonable time prior to a specified disclosure date, give the submitter written notice of any final decision to disclose the information.

(i) *Notice of FOIA lawsuit.* Whenever a requester files a lawsuit seeking to compel the disclosure of business information, the agency will promptly notify the submitter.

(j) *Corresponding notice to requesters.* Whenever the agency provides a submitter with notice and an opportunity to object to disclosure under paragraph (d) of this section, it will also notify the requester(s). Whenever the agency notifies a submitter of its intent to disclose requested information under paragraph (g) of this section, it will also notify the requester(s). Whenever a submitter files a lawsuit seeking to prevent the disclosure of business information, the agency will notify the requester(s).

§ 304.8 Appeals.

(a) *Appeals of adverse determinations.* If you are dissatisfied with the response to your request, you may appeal an adverse determination denying your request, in any respect, to the Chairman of the agency. You must make your appeal in writing and it must be received by the agency within 60 days of the date of the agency's response denying your request. Your appeal letter may include as much or as little related information as you wish, as long as it clearly identifies the particular determination (including the assigned request number, if known) that you are appealing. For the quickest possible handling, you should mark your appeal letter and the envelope "Freedom of Information Act Appeal." The Chairman or his or her designee will act on the appeal, except that:

(1) An initial adverse determination by the Chairman will be the final action of the agency; and

(2) An appeal ordinarily will not be acted on if the request becomes a matter of FOIA litigation.

(b) *Responses to appeals.* The decision on your appeal will be made in writing. A decision affirming an adverse determination in whole or in part will contain a statement of the reason(s) for the affirmance, including any FOIA exemption(s) applied, and will inform you of the FOIA provisions for court review of the decision. (You also may be aware of the mediation services that are offered by the Office of Government Information Services ("OGIS") of the National Archives and Records Administration—see *http://www.archives.gov/ogis/*—as a non-exclusive alternative to FOIA litigation.) If the adverse determination is reversed

or modified on appeal, in whole or in part, then you will be notified in a written decision and your request will be reprocessed in accordance with that appeal decision.

(c) *When appeal is required.* As a general rule, if you wish to seek review by a court of any adverse determination, you must first appeal it in a timely fashion under this section.

§ 304.9 Fees.

(a) *In general.* The agency will charge for processing requests under the FOIA in accordance with paragraph (c) of this section, except where fees are limited under paragraph (d) of this section or where a waiver or reduction of fees is granted under paragraph (k) of this section—and in some cases the agency may seek further information or clarification from the requester for this purpose. The agency ordinarily will collect all applicable fees before sending copies of requested records to a requester. Requesters must pay fees by check or money order made payable to the Treasury of the United States.

(b) *Definitions.* For purposes of this section:

(1) "Commercial use request" means a request from or on behalf of a person who seeks information for a use or purpose that furthers his or her commercial, trade, or profit interests, including furthering those interests through litigation. The agency will determine, whenever reasonably possible, the use to which a requester will put the requested records. When it appears that the requester will put the records to a commercial use, either because of the nature of the request itself or because the agency has reasonable cause to doubt a requester's stated use, the agency will provide the requester a reasonable opportunity to submit further clarification.

(2) "Direct costs" means those expenses that an agency actually incurs in searching for and duplicating (and, in the case of commercial use requests, reviewing) records to respond to a FOIA request. Direct costs include, for example, the salary of the employee performing the work (the basic rate of pay for the employee, plus 16 percent of that rate to cover benefits) and the cost of operating duplication machinery. Not included in direct costs are overhead expenses such as the costs of space and heating or lighting of the facility in which the records are kept.

(3) "Duplication" means the making of a copy of a record, or of the information contained in it, necessary to respond to a FOIA request. Copies can take the form of paper, microform, audiovisual materials, or electronic records (for example, magnetic tape or compact disk), among others. The agency will honor a requester's specified preference of form or format of disclosure if the record is readily reproducible with reasonable efforts in the requested form or format.

(4) "Educational institution" means a preschool, a public or private elementary or secondary school, an institution of undergraduate higher education, an institution of graduate higher education, an institution of professional education, or an institution of vocational education, that operates a program of scholarly research. To qualify under this category, a requester must show that the request is authorized by and is made under the auspices of a qualifying institution and that the records are not sought for a commercial use but are sought to further scholarly research.

(5) "Noncommercial scientific institution" means an institution that is not operated on a "commercial" basis, as that term is defined in paragraph (b)(1) of this section, and that is operated solely for the purpose of conducting scientific research the results of which are not intended to promote any particular product or industry. To qualify under this category, a requester must show that the request is authorized by and is made under the auspices of a qualifying institution and that the records are not sought for a commercial use but are sought to further scientific research.

(6) "Representative of the news media," or "news media requester," means any person or entity that gathers information of potential interest to a segment of the public, uses its editorial skills to turn the raw materials into a distinct work, and distributes that work to an audience. For this purpose, the term "news" means information that is about current events or that would be of current interest to the public. Examples of news-media entities are television or radio stations broadcasting to the public at large and publishers of periodicals (but only if such entities qualify as disseminators of "news") who make their products available for purchase by or subscription by or free distribution to the general public. These examples are not all-inclusive. Moreover, as methods of news delivery evolve (for example, the adoption of the electronic dissemination of newspapers through telecommunications services), such alternative media shall be considered to be news-media entities. A freelance journalist shall be regarded as working for a news-media entity if the journalist can demonstrate a solid basis for expecting publication through that entity, whether or not the journalist is actually employed by the entity. A publication contract would present a solid basis for such an expectation; the agency may also consider the past publication record of the requester in making such a determination. To qualify under this category, a requester must not be seeking the requested records for a commercial use. A request for records supporting the news-dissemination function of the requester will not be considered to be for a commercial use.

(7) "Review" means the examination of a record located in response to a request in order to determine whether any portion of it is exempt from disclosure. It also includes processing any record for disclosure—for example, doing all that is necessary to redact it and prepare it for disclosure. Review costs are recoverable even if a record ultimately is not disclosed. Review time includes time spent considering any formal objection to disclosure made by a business submitter under § 304.7 but does not include time spent resolving general legal or policy issues regarding the application of exemptions.

(8) "Search" means the process of looking for and retrieving records or information responsive to a request. It includes page-by-page or line-by-line identification of information within records and also includes reasonable efforts to locate and retrieve information from records maintained in electronic form or format. The agency will conduct searches in the most efficient and least expensive manner reasonably possible. For example, it will not search on a line-by-line basis where duplicating an entire document would be quicker and less expensive.

(c) *Fees charged.* In responding to FOIA requests, the agency will charge the following fees unless a waiver or reduction of fees has been granted under paragraph (k) of this section:

(1) *Search.* (i) Search fees will be charged for all requests (other than requests made by educational institutions, noncommercial scientific institutions, or representatives of the news media) subject to the limitations of paragraph (d) of this section. The agency may charge for time spent searching even if it does not locate any responsive record or if it withholds the record(s) located as entirely exempt from disclosure.

(ii) For each quarter hour spent by clerical personnel in searching for and retrieving a requested record, the fee will be $5.00. Where a search and retrieval cannot be performed entirely by clerical personnel (for example, where the identification of records within the scope of a request requires

the use of professional personnel) the fee will be $10.00 for each quarter hour of search time spent by professional personnel. Where the time of managerial personnel is required, the fee will be $15.00 for each quarter hour of time spent by those personnel.

(iii) For computer searches of records, requesters will be charged the direct costs of conducting the search, although certain requesters (as provided in paragraph (d)(1) of this section) will be charged no search fee and certain other requesters (as provided in paragraph (d)(3) of this section) will be entitled to the cost equivalent of two hours of manual search time without charge. These direct costs will include the cost of operating a central processing unit for that portion of operating time that is directly attributable to searching for responsive records, as well as the costs of operator/programmer salary apportionable to the search.

(2) *Duplication.* Duplication fees will be charged to all requesters, subject to the limitations of paragraph (d) of this section. For a paper photocopy of a record (no more than one copy of which need be supplied), the fee will be ten cents per page. For copies produced by computer, such as tapes, disks, or printouts, the agency will charge the direct costs, including operator time, of producing the copy. For other forms of duplication, the agency will charge the direct costs of that duplication.

(3) *Review.* Review fees will be charged to requesters who make a commercial use request. Review fees will be charged only for the initial record review, when the agency determines whether an exemption applies to a particular record or record portion at the initial request level. No charge will be made for review at the administrative appeal level regarding an exemption already applied. However, records or record portions withheld under an exemption that is subsequently determined not to apply may be reviewed again to determine whether any other exemption not previously considered applies; the costs of that review are chargeable where it is made necessary by such a change of circumstances. Review fees will be charged at the same rates as those used for a search under paragraph (c)(1)(ii) of this section.

(d) *Limitations on charging fees.* (1) No search fee will be charged for requests by educational institutions, noncommercial scientific institutions, or representatives of the news media.

(2) No search fee or review fee will be charged for a quarter-hour period unless more than half of that period is required for search or review.

(3) Except for requesters seeking records for a commercial use, the agency will provide without charge:

(i) The first 100 pages of duplication (or the cost equivalent); and

(ii) The first two hours of search (or the cost equivalent).

(4) Whenever a total fee calculated under paragraph (c) of this section is $14.00 or less for any request, no fee will be charged.

(5) The provisions of paragraphs (d)(3) and (4) of this section work together. This means that for requesters other than those seeking records for a commercial use, no fee will be charged unless the cost of search in excess of two hours plus the cost of duplication in excess of 100 pages totals more than $14.00.

(6) In the case of any request on which the agency does not comply with any of the time limits of the FOIA and for which no "unusual or exceptional circumstances" exist, as those terms are defined by the FOIA, the agency will not charge any search fee or, for such requests made by educational institutions, noncommercial scientific institutions, or representatives of the news media, will not charge any duplication fee.

(e) *Notice of anticipated fees in excess of $50.00.* When the agency determines or estimates that the fees to be charged under this section will amount to more than $50.00, it will notify the requester of the actual or estimated amount of the fees, unless the requester has indicated a willingness to pay fees as high as those anticipated. If only a portion of the fee can be estimated readily, the agency will advise the requester that the estimated fee might be only a portion of the total fee. In cases in which a requester has been notified that actual or estimated fees amount to more than $50.00, the request will not be considered received and further work will not be done on it until the requester agrees to pay the total anticipated fee. Any such agreement should be memorialized in writing. A notice under this paragraph will offer the requester an opportunity to discuss the matter with agency personnel in order to reformulate the request to meet the requester's needs at a lower cost.

(f) *Charges for other services.* Apart from the other provisions of this section, when the agency chooses as a matter of administrative discretion to provide a special service—such as certifying that records are true copies or sending them by other than ordinary mail—the direct costs of providing the service ordinarily will be charged.

(g) *Charging interest.* The agency may charge interest on any unpaid bill starting on the 31st day following the date of the billing of the requester. Interest charges will be assessed at the rate provided in 31 U.S.C. 3717 and will accrue from the date of the billing until payment is received by the agency. The agency will follow the provisions of the Debt Collection Act of 1982, Public Law 97–365, 96 Stat. 1749, as amended, and regulations pursuant thereto.

(h) *Aggregating requests.* Wherever the agency reasonably believes that a requester or a group of requesters acting together is attempting to divide a request into a series of requests for the purpose of avoiding fees, it may aggregate those requests and charge accordingly. In so doing, it will presume that multiple requests of this type made within a 30-day period have been made in order to avoid fees. Where requests are separated by a longer period, the agency will aggregate them only where there exists a solid basis for determining that aggregation is warranted under all the circumstances involved. Multiple requests involving unrelated matters will not be aggregated.

(i) *Advance payments.* (1) For requests other than those described in paragraphs (i)(2) and (i)(3) of this section, the agency will not require the requester to make an advance payment—in other words, a payment made before work is begun or continued on a request. Payment owed for work already completed (i.e., a prepayment before copies are sent to a requester) is not an advance payment.

(2) Where the agency determines or estimates that a total fee to be charged under this section will be more than $250.00, it may require the requester to make an advance payment of an amount up to the amount of the entire anticipated fee before beginning to process the request, except where it receives a satisfactory assurance of full payment from a requester that has a history of prompt payment.

(3) Where a requester has previously failed to pay a properly charged FOIA fee to any agency within 30 days of the date of billing, the agency may require the requester to pay the full amount due, plus any applicable interest, and to make an advance payment of the full amount of any anticipated fee, before it begins to process a new request or continues to process a pending request from that requester.

(4) In cases in which the agency requires advance payment or payment due under paragraph (i)(2) or (i)(3) of this section, the request will not be considered received and further work will not be done on it until the required payment is received.

(j) *Other statutes specifically providing for fees.* The fee schedule of this section does not apply to fees charged under any statute that specifically requires an agency to set and collect fees for particular types of records. In cases in which records responsive to requests are maintained for distribution by another agency under such a statutorily based fee schedule program, ACUS will inform the requesters of the steps for obtaining records from those sources so that they may do so most economically.

(k) *Requirements for waiver or reduction of fees.* (1) Records responsive to a request will be furnished without charge or at a charge reduced below that established under paragraph (c) of this section where the agency determines, based on all available information, that the requester has demonstrated that:

(i) Disclosure of the requested information is in the public interest because it is likely to contribute significantly to public understanding of the operations or activities of the government, and

(ii) Disclosure of the information is not primarily in the commercial interest of the requester.

(2) To determine whether the first fee waiver requirement is met, the agency will consider the following factors:

(i) The subject of the request: Whether the subject of the requested records concerns "the operations or activities of the government." The subject of the requested records must concern identifiable operations or activities of the federal government, with a connection that is direct and clear, not remote or attenuated.

(ii) The informative value of the information to be disclosed: Whether the disclosure is "likely to contribute" to an understanding of government operations or activities. The disclosable portions of the requested records must be meaningfully informative about government operations or activities in order to be "likely to contribute"' to an increased public understanding of those operations or activities.

(iii) The contribution to an understanding of the subject by the public likely to result from disclosure: Whether disclosure of the requested information will contribute to "public understanding." The disclosure must contribute to the understanding of a reasonably broad audience of persons interested in the subject, as opposed to the individual understanding of the requester. A requester's expertise in the subject area and ability and intention to convey information effectively to the public will be considered. It will be presumed that a representative of the news media satisfies this consideration.

(iv) The significance of the contribution to public understanding: Whether the disclosure is likely to contribute "significantly" to public understanding of government operations or activities. The public's understanding of the subject in question, as compared to the level of public understanding existing prior to the disclosure, must be enhanced by the disclosure to a significant extent. The agency will not make value judgments about whether information that would contribute significantly to public understanding of the operations or activities of the government is "important" enough to be made public.

(3) To determine whether the second fee waiver requirement is met, the agency will consider the following factors:

(i) The existence and magnitude of a commercial interest: Whether the requester has a commercial interest that would be furthered by the requested disclosure. The agency will consider any commercial interest of the requester (with reference to the definition of "commercial use" in paragraph (b)(1) of this section), or of any person on whose behalf the requester may be acting, that would be furthered by the requested disclosure. Requesters will be given an opportunity in the administrative process to provide explanatory information regarding this consideration.

(ii) The primary interest in disclosure: Whether any identified commercial interest of the requester is sufficiently large, in comparison with the public interest in disclosure, that disclosure is "primarily in the commercial interest of the requester." A fee waiver or reduction is justified where the public interest standard is satisfied and that public interest is greater in magnitude than that of any identified commercial interest in disclosure. The agency ordinarily will presume that where a news media requester has satisfied the public interest standard, the public interest will be the interest primarily served by disclosure to that requester. Disclosure to data brokers or others who merely compile and market government information for direct economic return will not be presumed primarily to serve the public interest.

(4) Where only some of the records to be released satisfy the requirements for a waiver of fees, a waiver will be granted for those records.

(5) Requests for the waiver or reduction of fees should address the factors listed in paragraphs (k)(2) and (k)(3) of this section insofar as they apply to each request. The agency will exercise its discretion to consider the cost-effectiveness of its investment of administrative resources in this decisionmaking process in deciding to grant waivers or reductions of fees.

§ 304.10 Preservation of records.

(a) The agency will preserve all correspondence pertaining to the requests that it receives under this subpart, as well as copies of all requested records, until disposition or destruction is authorized by title 44 of the United States Code or the National Archives and Records Administration's General Records Schedule 14. Records will not be disposed of while they are the subject of a pending request, appeal, or lawsuit under the FOIA.

(b) In the event that the agency contracts with another agency, entity, or person to maintain records for the agency for the purposes of records management, it will promptly identify such records in its "Freedom of Information Reference Guide" and specify the particular means by which request for such records can be made.

§ 304.11 Other rights and services.

Nothing in this subpart shall be construed to entitle any person, as of right, to any service or to the disclosure of any record to which such person is not entitled under the FOIA.

Subpart B—Protection of Privacy and Access to Individual Records Under the Privacy Act of 1974

Authority: 5 U.S.C. 552a, 591–96.

§ 304.20 General provisions.

(a) *Purpose and scope.* This subpart contains the rules that the Administrative Conference of the United States ("ACUS" or "the agency") follows under the Privacy Act of 1974 ("the Privacy Act"), 5 U.S.C. 552a, as amended, regarding the protection of, and individual access to, certain records about individuals. These rules should be read together with and are governed by the Privacy Act itself, which provides additional information about records maintained on individuals. The rules in this subpart apply to all records in systems of records maintained by the agency that are retrieved by an individual's name or personal identifier. They describe the procedures by which individuals may request access to records about themselves, request amendment or correction of those records, and request an accounting of disclosures of those records by the agency. In addition, the agency processes all Privacy Act requests for

access to records under the Freedom of Information Act ("FOIA"), 5 U.S.C. 552, *as amended,* following the rules contained in subpart A of this part. Thus, all Privacy Act requests will be subject to exemptions for access to records only applicable under both FOIA and the Privacy Act.

(b) *Definitions.* As used in this subpart:

(1) "Request for access to a record" means a request made under Privacy Act, 5 U.S.C. 552a(d)(1).

(2) "Request for amendment or correction of a record" means a request made under Privacy Act, 5 U.S.C. 552a(d)(2).

(3) "Request for an accounting" means a request made under Privacy Act, 5 U.S.C. 552a(c)(3).

(4) "Requester" means an individual who makes a request for access, a request for amendment or correction, or a request for an accounting under the Privacy Act.

§ 304.21 Requests for access to records.

(a) *How made and addressed.* You may make a request for access to a record about yourself by appearing in person or by sending a written request letter to the agency either by mail addressed to 1120 20th Street, NW., South Lobby, Suite 706, Washington, DC 20036, or by fax delivery to (202) 386–7190. For the quickest possible handling, you should mark both your request letter and the envelope "Privacy Act Request."

(b) *Description of records sought.* You must describe the records that you want in enough detail to enable agency personnel to locate the system of records containing them with a reasonable amount of effort. Whenever possible, your request should describe the records sought, the time periods in which you believe they were compiled, and the name or identifying number of each system of records in which you believe they are kept. The agency publishes a notice in the **Federal Register** that describes its systems of records.

(c) *Agreement to pay fees.* If you make a Privacy Act request for access to records, it will be considered an agreement by you to pay all applicable fees charged under § 304.27, up to $50.00. Duplication fees in excess of $50.00 are subject to the requirements of § 304.27 of this subpart and the notification requirements in § 304.9 of subpart A. The agency ordinarily will confirm this agreement in an acknowledgment letter. When making a request, you may specify a willingness to pay a greater or lesser amount.

(d) *Verification of identity.* When you make a request for access to records about yourself, you must verify your identity. You must state your full name, current address, and date and place of birth. You must sign your request and your signature must either be notarized or submitted by you under 28 U.S.C. 1746, a law that permits statements to be made under penalty of perjury as a substitute for notarization. In order to help the identification and location of requested records, you may also, entirely at your option, include the last four digits of your social security number.

§ 304.22 Responsibility for responding to requests for access to records.

(a) *In general.* The agency will be responsible for responding to a request in all respects, except in the case of a referral to another agency as is described in paragraphs (b), (c), and (d) of this section. In determining which records are responsive to a request, the agency ordinarily will include only records in its possession and control as of the date upon which it begins its search for them. If any other date is used, the agency will inform the requester of that date.

(b) *Consultations and referrals.* When the agency receives a request for access to a record in its possession and control, it will determine whether another agency of the Federal Government, is better able to determine whether the record is exempt from access under the Privacy Act. If the agency determines that it is the agency best able to process the record in response to the request, then it will do so. If it determines that it is not best able to process the record, then it will either:

(1) Respond to the request regarding that record, after consulting with the agency that is best able to determine whether the record is exempt from access and with any other agency that has a substantial interest in it; or

(2) Refer the responsibility for responding to the request regarding that record to the agency that is best able to determine whether it is exempt from access, or to another agency that originated the record (but only if that agency is subject to the Privacy Act). Ordinarily, the agency that originated a record will be presumed to be best able to determine whether it is exempt from access.

(c) *Notice of referral.* When the agency refers all or any part of the responsibility for responding to a request to another agency, it ordinarily will notify the requester of the referral and inform the requester of the name of the agency to which the request has been referred and of the part of the request that has been referred.

(d) *Timing of responses to consultations and referrals.* All consultations and referrals will be handled according to the date upon which the Privacy Act access request was initially received by the first agency, not any later date.

(e) *Agreements regarding consultations and referrals.* The agency may make agreements with other agencies designed to eliminate the need for consultations or referrals for particular types of records.

§ 304.23 Responses to requests for access to records.

(a) *Acknowledgments of requests.* On receipt of a request, the agency ordinarily will send an acknowledgment letter to the requester that will confirm the requester's agreement to pay fees under § 304.21(c) and provide an assigned request number for further reference. In some cases, the agency may seek further information or clarification from the requester.

(b) *Grants of requests for access.* Once the agency makes a determination to grant a request for access in whole or in part, it will notify the requester in writing. The agency will inform the requester in the notice of any fee charged under § 304.27 and will disclose records to the requester promptly on payment of any applicable fee. If a request is made in person, the agency may disclose records to the requester directly, in a manner not unreasonably disruptive of its operations, on payment of any applicable fee and with a written record made of the grant of the request. If a requester is accompanied by another person, the requester will be required to authorize in writing any discussion of the records in the presence of the other person.

(c) *Adverse determinations of requests for access.* Upon making an adverse determination denying a request for access in any respect, the agency will notify the requester of that determination in writing. Adverse determinations, or denials of requests consist of: A determination to withhold any requested record in whole or in part; a determination that a requested record does not exist or cannot be located; a determination that what has been requested is not a record subject to the Privacy Act; a determination on any disputed fee matter; and a denial of a request for expedited treatment. The notification letter will include:

(1) The name and title or position of the person responsible for the denial;

(2) A brief statement of the reason(s) for the denial, including any Privacy Act exemption(s) applied in denying the request; and

(3) A statement that the denial may be appealed under § 304.24(a) and a description of the requirements of § 304.24(a).

§ 304.24 Appeals from denials of requests for access to records.

(a) *Appeals.* If you are dissatisfied with the response to your request, you may appeal an adverse determination denying your request, in any respect, to the head of the agency. You must make your appeal in writing and it must be received by the agency within 60 days of the date of the letter denying your request. Your appeal letter may include as much or as little related information as you wish, as long as it clearly identifies the particular determination (including the assigned request number, if known) that you are appealing. For the quickest possible handling, you should mark your appeal letter and the envelope "Privacy Act Appeal." The Chairman of the agency or his or her designee will act on the appeal, except that:

(1) An initial adverse determination by the Chairman of the agency will be the final action of the agency; and

(2) An appeal ordinarily will not be acted on if the request becomes a matter of FOIA litigation.

(b) *Responses to appeals.* The decision on your appeal will be made in writing. A decision affirming an adverse determination in whole or in part will include a brief statement of the reason(s) for the affirmance, including any exemption applied, and will inform you of thePrivacy Act provisions for court review of the decision. If the adverse determination is reversed or modified on appeal in whole or in part, then you will be notified in a written decision and your request will be reprocessed in accordance with that appeal decision.

(c) *When appeal is required.* As a general rule, if you wish to seek review by a court of any adverse determination or denial of a request, you must first appeal it under this section.

§ 304.25 Requests for amendment or correction of records.

(a) *How made and addressed.* Unless the record is not subject to amendment or correction as stated in paragraph (f) of this section, you may make a request for amendment or correction of an ACUS record about yourself by following the same procedures as in § 304.21. Your request should identify each particular record in question, state the amendment or correction that you want, and state why you believe that the record is not accurate, relevant, timely, or complete. You may submit any documentation that you think would be helpful. If you believe that the same record is maintained in more than one system of records, you should state that.

(b) *Agency responses.* Within ten business days of receiving your request for amendment or correction of records, the agency will send you a written acknowledgment of its receipt of your request. The agency will promptly notify you whether your request is granted or denied. If the agency grants your request in whole or in part, it will describe the amendment or correction made and will advise you of your right to obtain a copy of the corrected or amended record, in disclosable form. If the agency denies your request in whole or in part, it will send you a letter that will state:

(1) The reason(s) for the denial; and

(2) The procedure for appeal of the denial under paragraph (c) of this section, including the name and business address of the official who will act on your appeal.

(c) *Appeals.* You may appeal a denial of a request for amendment or correction in the same manner as a denial of a request for access to records (see § 304.24(a)) and the same procedures will be followed. The agency will ordinarily act on the appeal within 30 business days of the appeal, except that the Chairman of the agency may extend the time for response for good cause shown. If your appeal is denied, you will be advised of your right to file a Statement of Disagreement as described in paragraph (d) of this section and of your right under the Privacy Act for court review of the decision.

(d) *Statements of Disagreement.* If your appeal under this section is denied in whole or in part, you have the right to file a Statement of Disagreement that states your reason(s) for disagreeing with the agency's denial of your request for amendment or correction. Statements of Disagreement must be concise, must clearly identify each part of any record that is disputed, and should be no longer than one typed page for each fact disputed. The agency will place your Statement of Disagreement in the system of records in which the disputed record is maintained and will mark the disputed record to indicate that a Statement of Disagreement has been filed and exactly where in the system of records it may be found.

(e) *Notification of amendment/correction or disagreement.* Within 30 business days of the amendment or correction of a record, the agency will notify all persons, organizations, or agencies to which it previously disclosed the record, if an accounting of that disclosure was made, that the record has been amended or corrected. If an individual has filed a Statement of Disagreement, the agency will append a copy of it to the disputed record whenever the record is disclosed and may also append a concise statement of its reason(s) for denying the request to amend or correct the record.

(f) *Records not subject to amendment or correction.* The following records are not subject to amendment or correction:

(1) Transcripts of testimony given under oath or written statements made under oath;

(2) Transcripts of grand jury proceedings, judicial proceedings, or quasi-judicial proceedings, which are the official record of those proceedings; and

(3) Any other record that originated with the courts.

§ 304.26 Requests for an accounting of record disclosures.

(a) *How made and addressed.* Except where accountings of disclosures are not required to be kept (as stated in paragraph (b) of this section), you may make a request for an accounting of any disclosure that has been made by the agency to another person, organization, or agency of any record about you. This accounting contains the date, nature, and purpose of each disclosure, as well as the name and address of the person, organization, or agency to which the disclosure was made. Your request for an accounting should identify each particular record in question and should be made in writing to the agency, following the procedures in Sec. 304.21.

(b) *Where accountings are not required.* The agency is not required to provide accountings to you where they relate to:

(1) Disclosures for which accountings are not required to be kept (i.e., disclosures that are made to officers and employees of the agency and disclosures required under the FOIA); or

(2) Disclosures made to law enforcement agencies for authorized law enforcement activities in response to written requests from a duly authorized representative of any such law enforcement agency specifying portion of the record desired and the law enforcement activity for which the record is sought.

(c) *Appeals.* You may appeal a denial of a request for an accounting in the same manner as a denial of a request for access to records (see § 304.24(c)) and the same procedures will be followed.

§ 304.27 Fees.

The agency will charge fees for duplication of records under the Privacy Act in the same way in which it charges duplication fees under § 304.9 of subpart A. No search or review fee may be charged for any record under the Privacy Act.

§ 304.28 Notice of court-ordered and emergency disclosures.

(a) *Court-ordered disclosures.* When a record pertaining to an individual is required to be disclosed by a court order, the agency will make reasonable efforts to provide notice of such order to the individual. Notice will be given within a reasonable time after the agency's receipt of the order, except that in a case in which the order is not a matter of public record, the notice will be given only after the order becomes public. This notice will be mailed to the individual's last known address and will contain a copy of the order and a description of the information disclosed.

(b) *Emergency disclosures.* Upon disclosing a record pertaining to an individual made under compelling circumstances affecting health or safety, the agency will notify that individual of the disclosure. This notice will be mailed to the individual's last known address and will state the nature of the information disclosed; the person, organization, or agency to which it was disclosed; the date of disclosure; and the compelling circumstances justifying the disclosure.

§ 304.29 Security of systems of records.

(a) *Administrative and physical controls.* The agency will have administrative and physical controls to prevent unauthorized access to its systems of records, to prevent unauthorized disclosure of records, and to prevent physical damage to or destruction of records. The stringency of these controls corresponds to the sensitivity of the records that the controls protect. At a minimum, these controls are designed to ensure that:

(1) Records are protected from public view;

(2) The area in which records are kept is supervised during business hours in order to prevent unauthorized persons from having access to them;

(3) Records are inaccessible to unauthorized persons outside of business hours; and

(4) Records are not disclosed to unauthorized persons or under unauthorized circumstances in oral, written or any other form.

(b) *Restrictive procedures.* The agency will implement practices and procedures that restrict access to records to only those individuals within the agency who must have access to those records in order to perform their duties and that prevent inadvertent disclosure of records.

§ 304.30 Contracts for the operation of record systems.

Any approved contract for the operation of a record system will contain appropriate requirements issued by the General Services Administration in order to ensure compliance with the requirements of the Privacy Act for that record system. The contracting officer of the agency will be responsible for ensuring that the contractor complies with these contract requirements.

§ 304.31 Use and collection of social security numbers and other information.

The agency will ensure that employees authorized to collect information are aware:

(a) That individuals may not be denied any right, benefit, or privilege as a result of refusing to provide their social security numbers, unless the collection is authorized either by a statute or by a regulation issued prior to 1975;

(b) That individuals requested to provide their social security numbers, or any other information collected from them, must be informed, before providing such information, of:

(1) Whether providing social security numbers (or such other information) is mandatory or voluntary;

(2) Any statutory or regulatory authority that authorizes the collection of social security numbers (or such other information);

(3) The principal purpose(s) for which the information is intended to be used;

(4) The routine uses that may be made of the information; and

(5) The effects, in any, on the individual of not providing all or any part of the requested information; and

(c) That, where the information referred to above is requested on a form, the requirements for informing such individuals are set forth on the form used to collect the information, or on a separate form that can be retained by such individuals.

§ 304.32 Employee standards of conduct.

The agency will inform its employees of the provisions of the Privacy Act, including the scope of its restriction against disclosure of records maintained in a system of records without the prior written consent of the individual involved, and the Act's civil liability and criminal penalty provisions. Unless otherwise permitted by law, an employee of the agency will:

(a) Collect from individuals and maintain only the information that is relevant and necessary to discharge the agency's responsibilities;

(b) Collect information about an individual directly from that individual to the greatest extent practicable when the information may result in an adverse determination about an individual's rights, benefits, or privileges under Federal programs;

(c) Inform each individual from whom information is collected of the information set forth in § 304.31(b);

(d) Ensure that the agency maintains no system of records without public notice and also notify appropriate agency officials of the existence or development of any system of records that is not the subject of a current or planned public notice;

(e) Maintain all records that are used by it in making any determination about an individual with such accuracy, relevance, timeliness, and completeness as is reasonably necessary to ensure fairness to the individual in the determination;

(f) Except as to disclosures made to an agency or made under the FOIA, make reasonable efforts, prior to disseminating any record about an individual, to ensure that the record is accurate, relevant, timely, and complete;

(g) Maintain no record describing how an individual exercises his or her First Amendment rights unless such maintenance is expressly authorized by statute or by the individual about whom the record is maintained or is pertinent to and within the scope of an authorized law enforcement activity;

(h) When required by the Privacy Act, maintain an accounting in the specified form of all disclosures of records by the agency to persons, organizations, or agencies;

(i) Maintain and use records with care in order to prevent the unauthorized or inadvertent disclosure of a record to anyone; and

(j) Notify the appropriate agency official of any record that contains information that the Privacy Act does not permit the agency to maintain.

§ 304.33 Preservation of records.

The agency will preserve all correspondence pertaining to the requests that it receives under this subpart, as well as copies of all requested records, until disposition or destruction is authorized by title 44 of the United States Code or the National Archives and Records Administration's General Records Schedule 14. Records will not be disposed of while they are the subject of a pending request, appeal, or lawsuit under the Act.

§ 304.34 Other rights and services.

Nothing in this subpart shall be construed to entitle any person, as of right, to any service or to the disclosure of any record to which such person is not entitled under the Privacy Act.

Dated: January 4, 2011.

Shawne C. McGibbon,

General Counsel.

[FR Doc. 2011–146 Filed 1–10–11; 8:45 am]

BILLING CODE 6110–01–P

DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

14 CFR Part 39

[Docket No. FAA–2008–1118; Directorate Identifier 2007–NM–318–AD]

RIN 2120–AA64

Airworthiness Directives; The Boeing Company Model 737–600, –700, –700C, –800, –900, and –900ER Series Airplanes

AGENCY: Federal Aviation Administration (FAA), Department of Transportation (DOT).

ACTION: Supplemental notice of proposed rulemaking (NPRM); reopening of comment period.

SUMMARY: The FAA is revising an earlier NPRM for an airworthiness directive (AD) that applies to all Boeing Model 737–600, –700, –700C, –800, and –900 series airplanes. The original NPRM would have superseded an existing AD that currently requires reviewing the airplane maintenance records to determine whether an engine has been removed from the airplane since the airplane was manufactured. For airplanes on which an engine has been removed, the existing AD also requires an inspection of the aft engine mount to determine if the center link assembly is correctly installed, and follow-on actions if necessary. The original NPRM proposed to require the same actions for airplanes on which the engine has not been previously removed. The original NPRM resulted from reports indicating that operators found that the center link assembly for the aft engine mount was reversed on several airplanes that had not had an engine removed since delivery. This new action revises the original NPRM by expanding the applicability to include Model 737–900ER airplanes. We are proposing this supplemental NPRM to prevent increased structural loads on the aft engine mount, which could result in failure of the aft engine mount and consequent separation of the engine from the airplane.

ADDRESSES: We must receive comments on this supplemental NPRM by February 25, 2011.

DATES: You may send comments by any of the following methods:

- *Federal eRulemaking Portal:* Go to *http://www.regulations.gov.* Follow the instructions for submitting comments.
- *Fax:* 202–493–2251.
- *Mail:* U.S. Department of Transportation, Docket Operations, M–30, West Building Ground Floor, Room W12–140, 1200 New Jersey Avenue, SE., Washington, DC 20590.
- *Hand Delivery:* U.S. Department of Transportation, Docket Operations, M–30, West Building Ground Floor, Room W12–140, 1200 New Jersey Avenue, SE., Washington, DC 20590, between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays.

For service information identified in this proposed AD, contact Boeing Commercial Airplanes, Attention: Data & Services Management, P.O. Box 3707, MC 2H–65, Seattle, Washington 98124–2207; telephone 206–544–5000, extension 1; fax 206–766–5680; e-mail *me.boecom@boeing.com*; Internet *https://www.myboeingfleet.com.* You may review copies of the referenced service information at the FAA, Transport Airplane Directorate, 1601 Lind Avenue, SW., Renton, Washington. For information on the availability of this material at the FAA, call 425–227–1221.

Examining the AD Docket

You may examine the AD docket on the Internet at *http://www.regulations.gov;* or in person at the Docket Management Facility between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays. The AD docket contains this proposed AD, the regulatory evaluation, any comments received, and other information. The street address for the Docket Office (telephone 800–647–5527) is in the **ADDRESSES** section. Comments will be available in the AD docket shortly after receipt.

FOR FURTHER INFORMATION CONTACT: Alan Pohl, Aerospace Engineer, Airframe Branch, ANM–120S, FAA, Seattle Aircraft Certification Office, 1601 Lind Avenue, SW., Renton, Washington 98057–3356; telephone (425) 917–6450; fax (425) 917–6590.

SUPPLEMENTARY INFORMATION:

Comments Invited

We invite you to send any written relevant data, views, or arguments about this proposed AD. Send your comments to an address listed under the **ADDRESSES** section. Include "Docket No. FAA–2008–1118; Directorate Identifier 2007–NM–318–AD" at the beginning of your comments. We specifically invite comments on the overall regulatory, economic, environmental, and energy aspects of this proposed AD. We will consider all comments received by the closing date and may amend this proposed AD because of those comments.

We will post all comments we receive, without change, to *http://www.regulations.gov,* including any personal information you provide. We will also post a report summarizing each substantive verbal contact we receive about this proposed AD.

Discussion

We proposed to amend part 39 of the Federal Aviation Regulations (14 CFR part 39) with a notice of proposed rulemaking (NPRM) for an AD (the "original NPRM") to supersede AD 2003–03–01, Amendment 39–13025 (68 FR 4367, January 29, 2003). The original NPRM applied to all Model 737–600, –700, –700C, –800, and –900 series airplanes. The original NPRM was published in the **Federal Register** on October 30, 2008 (73 FR 64568). The original NPRM would have superseded an existing AD that currently requires reviewing the airplane maintenance records to determine whether an engine has been removed from the airplane since the airplane was manufactured. For airplanes on which an engine has been removed, the existing AD also requires an inspection of the aft engine mount to determine if the center link assembly is correctly installed, and follow-on actions if necessary. The original NPRM proposed to require the same actions for airplanes on which the engine has not been previously removed.

Actions Since Original NPRM Was Issued

Since we issued the original NPRM, the manufacturer has informed us that Model 737–900ER airplanes should be included in the applicability of the supplemental NPRM. Model 737–900ER airplanes were not being produced in May 2004 when Revision 3, dated May 20, 2004, of Boeing Alert Service Bulletin 737–71A1462, was issued. (Revision 3 was referred to as an appropriate source of service information for accomplishing the proposed actions in the original NPRM.) Following production it was determined that the affected aft engine mount is interchangeable with Model 737–900ER airplanes; however, those airplanes

were inspected in production to ensure that the center link was properly installed. Therefore, the requirements in the existing AD do not apply to those airplanes. However, since we are including airplanes on which the engines have been removed since production, we have added Model 737–900ER airplanes to the applicability section of this supplemental NPRM.

Comments

We have considered the following comments on the original NPRM.

Request for Exemption From AD Requirements

American Airlines (AA) asks that all operators that have performed the actions specified in Boeing Alert Service Bulletin 737–71A1462, Revision 3, dated May 20, 2004, be exempt from repeating maintenance actions in accordance with the original NPRM for a maintenance program that is already in place and proven effective. AA states that it has exceeded the requirements of AD 2003–03–01 by inspecting both engine aft mount center link assemblies, regardless of the stipulation in the existing AD, which limited the inspection requirement to engines removed since the airplane date of manufacture. AA adds that the inspections revealed that none of its installed or spare engines had incorrectly installed aft mount center link assemblies. AA notes that it is doing Part 2 of the Accomplishment Instructions of the service bulletin at every engine shop visit, and has implemented maintenance task documentation to verify the proper aft mount center link configuration at every engine change. AA concludes that it has not accepted delivery of any additional Model 737 airplanes since the release of the existing AD and Boeing Alert Service Bulletin 737–71A1462, Revision 3, dated May 20, 2004.

We acknowledge the commenter's request. Actions done in accordance with Boeing Alert Service Bulletin 737–71A1462, Revision 3, before the effective date of this AD are acceptable for compliance with the AD, as indicated by the phrase "unless the actions have already been done" in paragraph (f) of this AD. We have made no change to the supplemental NPRM in this regard.

Request To Change Paragraph (d)

Boeing asks that paragraph (d) of the original NPRM be changed to indicate that the center link assembly for the aft engine mount was reversed on one airplane that had not had an engine removed since delivery. Boeing is aware of only one such report.

We do not agree with the commenter. We have received another report indicating that some airplanes were found with the engine mounts installed incorrectly on engines that had not been removed since airplane delivery. Therefore, we have not changed paragraph (d) of the NPRM (paragraph (e) of the supplemental NPRM).

Request To Change Paragraph (n)

Boeing asks that paragraph (n) of the original NPRM be changed to clarify parts not affected by the "Parts Installation" paragraph by including the permanent part marking on the center link assembly, as specified in Part 2 of the Work Instructions of Boeing Alert Service Bulletin 737–71A1462, Revision 3, dated May 20, 2004. Boeing states that this change would be equivalent to an existing alternative method of compliance (AMOC) for AD 2003–03–01, requiring the installation of marked engine mounts, as specified in the approved section of Boeing Alert Service Bulletin 737–71A1462, Revision 3, dated May 20, 2004.

We agree with the commenter for the reasons provided. We have changed paragraph (n) of the supplemental NPRM to include permanent part marking on the center link assembly, as specified in Part 2 of the Work Instructions of Boeing Alert Service Bulletin 737–71A1462, Revision 3, dated May 20, 2004.

Request To Clarify Requirements in Paragraph (n)

Japan Airlines (JAL) asks for clarification whether the requirement in paragraph (n) of the original NPRM applies only to airplanes affected by Boeing Alert Service Bulletin 737–71A1462, Revision 3, dated May 20, 2004; or to all Model 737–600, –700, –700C, –800, and –900 series airplanes. JAL states that if the requirement in paragraph (n) applies to all Model 737NG (next generation) airplanes then a change should be made to paragraph (n) of the supplemental NPRM for clarification.

We acknowledge the commenter's concern and provide the following clarification. As noted under "Actions Since Original NPRM Was Issued," we have added Model 737–900ER airplanes to the applicability section of this supplemental NPRM; therefore, the requirement in paragraph (n) of the supplemental NPRM applies to all Model 737NG airplanes. No change to paragraph (n) of the supplemental NPRM is necessary.

Request To Change Paragraphs (i) and (o)

CFM International states that the acronym CFMI is not accurate and recommends using CFM International (CFM) throughout the NPRM.

We agree that the correct acronym should be used in the supplemental NPRM and in future rulemaking. However, CFMI is not referred to anywhere in this supplemental NPRM; therefore, no change is necessary.

CFM also asks that paragraphs (i) and (o) of the original NPRM be changed to include the Engine and Propeller Directorate, Engine Certification Office (ECO), as an approved source for obtaining repair procedures. CFM states that the engine mounting lugs and adjacent engine turbine rear frame are under the responsibility of CFM as part of the engine type certificate. CFM notes that it is in charge of approval of repairs by delegation of both engine authorities, which are the FAA and European Aviation Safety Agency (EASA); CFM is a joint certification. CFM adds that for any part problems it contacts the ECO, in Burlington, Massachusetts, and the EASA Engine Certification Office, in Cologne, Germany. In light of this, CFM does not recommend the parts be repaired under approval of a Boeing Representative.

We partially agree with the commenter for the reasons provided. We agree that the appropriate office for approval of certain repairs specified in the original NPRM is the ECO. Paragraph (i) of the original NPRM is a restatement of the requirements in AD 2003–03–01. However, paragraph (i) of the supplemental NPRM does refer to paragraph (o) of the supplemental NPRM for AMOC approval. We have changed paragraph (o) of this supplemental NPRM to allow for certain AMOC approvals by the ECO.

Explanation of Additional Changes Made to This Supplemental NPRM

We have changed this supplemental NPRM to identify the legal name of the manufacturer as published in the most recent type certificate data sheet for the affected airplane models.

We have added a new paragraph (d) to this supplemental NPRM to provide the Air Transport Association (ATA) of America subject code 71: Powerplant. This code is added to make this supplemental NPRM parallel with other new AD actions. We have reidentified subsequent paragraphs accordingly.

FAA's Determination and Proposed Requirements of the Supplemental NPRM

Certain changes discussed above expand the scope of the original NPRM; therefore, we have determined that it is necessary to reopen the comment period to provide additional opportunity for public comment on this supplemental NPRM.

Explanation of Change to Costs of Compliance

Since issuance of the original NPRM, we have increased the labor rate in the Costs of Compliance from $80 per work hour to $85 per work hour. The Costs of Compliance information, below, reflects this increase in the specified hourly labor rate.

Costs of Compliance

There are about 1,846 airplanes of the affected design in the worldwide fleet. We estimate that 854 airplanes of U.S. registry would be affected by this proposed AD. There are no new requirements in this proposed AD; however, we have expanded the applicability as noted under "Actions Since Original NPRM Was Issued." The current costs for this proposed AD are recalculated for the convenience of affected operators, as follows:

ESTIMATED COSTS

Action	Work hours	Parts	Cost per airplane	Fleet cost
Maintenance records review (required by AD 2003–03–01)	1	$0	$85	$72,590
Inspection for correct installation of center link assembly (new proposed action)	1	0	85	72,590

Authority for This Rulemaking

Title 49 of the United States Code specifies the FAA's authority to issue rules on aviation safety. Subtitle I, section 106, describes the authority of the FAA Administrator. Subtitle VII, Aviation Programs, describes in more detail the scope of the Agency's authority.

We are issuing this rulemaking under the authority described in subtitle VII, part A, subpart III, section 44701, "General requirements." Under that section, Congress charges the FAA with promoting safe flight of civil aircraft in air commerce by prescribing regulations for practices, methods, and procedures the Administrator finds necessary for safety in air commerce. This regulation is within the scope of that authority because it addresses an unsafe condition that is likely to exist or develop on products identified in this rulemaking action.

Regulatory Findings

We have determined that this proposed AD would not have federalism implications under Executive Order 13132. This proposed AD would not have a substantial direct effect on the States, on the relationship between the national Government and the States, or on the distribution of power and responsibilities among the various levels of government.

For the reasons discussed above, I certify that the proposed regulation:

1. Is not a "significant regulatory action" under Executive Order 12866;

2. Is not a "significant rule" under the DOT Regulatory Policies and Procedures (44 FR 11034, February 26, 1979); and

3. Will not have a significant economic impact, positive or negative, on a substantial number of small entities under the criteria of the Regulatory Flexibility Act.

We prepared a regulatory evaluation of the estimated costs to comply with this supplemental NPRM and placed it in the AD docket. *See* the **ADDRESSES** section for a location to examine the regulatory evaluation.

List of Subjects in 14 CFR Part 39

Air transportation, Aircraft, Aviation safety, Incorporation by reference, Safety.

The Proposed Amendment

Accordingly, under the authority delegated to me by the Administrator, the FAA proposes to amend 14 CFR part 39 as follows:

PART 39—AIRWORTHINESS DIRECTIVES

1. The authority citation for part 39 continues to read as follows:

Authority: 49 U.S.C. 106(g), 40113, 44701.

§ 39.13 [Amended]

2. The FAA amends § 39.13 by removing amendment 39–13025 (68 FR 4367, January 29, 2003) and adding the following new AD:

The Boeing Company: Docket No. FAA–2008–1118; Directorate Identifier 2007–NM–318–AD.

Comments Due Date

(a) The FAA must receive comments on this AD action by February 25, 2011.

Affected ADs

(b) This AD supersedes AD 2003–03–01, Amendment 39–13025.

Applicability

(c) This AD applies to all The Boeing Company Model 737 –600, –700, –700C, –800, –900, and –900ER series airplanes, certificated in any category.

Subject

(d) Air Transport Association (ATA) of America Code 71: Powerplant.

Unsafe Condition

(e) This AD results from reports indicating that operators found that the center link assembly for the aft engine mount was reversed on several airplanes that had not had an engine removed since delivery. We are issuing this AD to prevent increased structural loads on the aft engine mount, which could result in failure of the aft engine mount and consequent separation of the engine from the airplane.

Compliance

(f) You are responsible for having the actions required by this AD performed within the compliance times specified, unless the actions have already been done.

Restatement of the Requirements of AD 2003–03–01

Review of Maintenance Records

(g) For Model 737–600, –700, –700C, –800, and –900 series airplanes: Within 90 days after February 13, 2003 (the effective date of AD 2003–03–01), review the airplane maintenance records to determine whether either engine has been removed since the airplane's date of manufacture. If neither engine has been removed since the airplane's date of manufacture, no further action is required by this paragraph.

Inspection of Engines That Have Been Removed to Determine if Center Link Assembly is Installed Correctly

(h) For Model 737–600, –700, –700C, –800, and –900 series airplanes on which any installed engine has been removed from the airplane since the airplane's date of manufacture: Within 90 days after February 13, 2003, do a one-time general visual inspection to determine if the center link assembly of the aft engine mount is installed correctly, in accordance with the

Accomplishment Instructions of Boeing Alert Service Bulletin 737–71A1462, Revision 1, dated November 7, 2002; or Revision 3, dated May 20, 2004. If the center link assembly is installed correctly, no further action is required by paragraph (h) or (i) of this AD for that engine. As of the effective date of this AD, use only Boeing Alert Service Bulletin 737–71A1462, Revision 3.

Note 1: For the purposes of this AD, a general visual inspection is: "A visual examination of an interior or exterior area, installation, or assembly to detect obvious damage, failure, or irregularity. This level of inspection is made from within touching distance unless otherwise specified. A mirror may be necessary to ensure visual access to all surfaces in the inspection area. This level of inspection is made under normally available lighting conditions such as daylight, hanger lighting, flashlight, or droplight and may require removal or opening of access panels or doors. Stands, ladders, or platforms may be required to gain proximity to the area being checked."

Follow-on and Corrective Actions

(i) For airplanes on which any center link assembly is found installed incorrectly during any inspection required by paragraph (h), (k), or (l) of this AD: Before further flight, do the actions specified in paragraphs (i)(1), (i)(2), and (i)(3) of this AD, in accordance with the Accomplishment Instructions of Boeing Alert Service Bulletin 737–71A1462, Revision 1, dated November 7, 2002; or Revision 3, dated May 20, 2004; except that it is not necessary to submit a report of findings to the airplane manufacturer. As of the effective date of this AD, use only Boeing Alert Service Bulletin 737–71A1462, Revision 3.

(1) Remove the center link assembly and install it correctly.

(2) Perform a detailed inspection of the engine mounting lugs and engine turbine rear frame for cracking, yielding, buckling, or wear damage.

(3) Perform a detailed inspection of the hardware for the aft engine mount; including the center link assembly, right link assembly, aft mount hanger assembly, and link pins; for cracking, yielding, buckling, or wear damage.

Note 2: For the purposes of this AD, a detailed inspection is: "An intensive examination of a specific item, installation, or assembly to detect damage, failure, or irregularity. Available lighting is normally supplemented with a direct source of good lighting at an intensity deemed appropriate. Inspection aids such as mirror, magnifying lenses, etc., may be necessary. Surface cleaning and elaborate procedures may be required."

Repair

(j) If any cracking, yielding, buckling, or wear damage is found during the inspections required by paragraphs (i)(2) and (i)(3) of this AD: Before further flight, replace the discrepant part with a new or serviceable part, or repair in accordance with a method approved in accordance with the procedures specified in paragraph (o) of this AD.

New Requirements of This AD

Inspection of Engines That Have Not Been Removed To Determine if Center Link Assembly Is Installed Correctly

(k) For airplanes identified in Boeing Alert Service Bulletin 737–71A1462, Revision 3, dated May 20, 2004, on which any installed engine has not been removed from the airplane since the airplane's date of manufacture: Within 90 days after the effective date of this AD, do a detailed inspection to determine if the center link assembly of the aft engine mount is installed correctly, in accordance with the Accomplishment Instructions of Boeing Alert Service Bulletin 737–71A1462, Revision 3, dated May 20, 2004. If the center link is installed correctly, no further action is required by this paragraph for that engine.

Follow-on and Corrective Actions

(l) For airplanes on which any center link assembly is found installed incorrectly during the inspection required by paragraph (k) of this AD: Before further flight, do the follow-on and corrective actions required by paragraph (i) of this AD.

Credit for Actions Done Using Previous Service Information

(m) Inspections and corrective actions done before the effective date of this AD in accordance with a Boeing service bulletin listed in Table 1 of this AD are acceptable for compliance with the corresponding requirements of this AD.

TABLE 1—PREVIOUS SERVICE BULLETINS

Boeing service bulletin	Revision—	Dated—
737–71A1462	Original	August 29, 2002.
737–71A1462	1	November 7, 2002.
737–71A1462	2	May 29, 2003.

Parts Installation

(n) As of the effective date of this AD, no person may install an engine on any airplane identified in paragraph (c) of this AD unless the actions required by paragraph (n)(1) or (n)(2) of this AD are accomplished.

(1) The inspection is accomplished in accordance with the Accomplishment Instructions of Boeing Alert Service Bulletin 737–71A1462, Revision 3, dated May 20, 2004, and the center link assembly of the aft engine mount is found to be installed correctly.

(2) The hanger fitting and center link assembly are marked and part marked in accordance with Part 2 of the Accomplishment Instructions of Boeing Alert Service Bulletin 737–71A1462, Revision 3, dated May 20, 2004.

Note 3: For hanger fittings and center link assemblies marked and part marked in production, as specified in Part 2 of the Accomplishment Instructions of Boeing Alert Service Bulletin 737–71A1462, Revision 3, dated May 20, 2004, the actions specified in paragraph (n)(2) of this AD do not apply.

Alternative Methods of Compliance (AMOCs)

(o) The certification office specified in paragraph (o)(1) or (o)(2) of this AD, as applicable, has the authority to approve AMOCs for paragraphs (i) and (j) of this AD, if requested using the procedures found in 14 CFR 39.19.

(1) For the structure identified in paragraph (i)(2) of this AD: The Manager, Engine Certification Office (ECO), FAA. Send information to ATTN: Antonio Cancelliere, Aerospace Engineer, ANE–141, FAA, ECO, 12 New England Executive Park, Burlington, MA 01803–5299; telephone 781–238–7751; fax 781–238–7199.

(2) For the structure identified in paragraph (i)(3) of this AD: The Manager, Seattle Aircraft Certification Office (ACO), FAA. Send information to ATTN: Alan Pohl, Aerospace Engineer, Airframe Branch, ANM–120S, FAA, Seattle ACO, 1601 Lind Avenue, SW., Renton, Washington 98057–3356; telephone (425) 917–6450; fax (425) 917–6590. Information may be e-mailed to: *9-ANM-Seattle-ACO-AMOC-Requests@faa.gov.*

(3) To request a different method of compliance or a different compliance time for this AD, follow the procedures in 14 CFR 39.19. Before using any approved AMOC on any airplane to which the AMOC applies, notify your principal maintenance inspector (PMI) or principal avionics inspector (PAI), as appropriate, or lacking a principal inspector, your local Flight Standards District Office. The AMOC approval letter must specifically reference this AD.

(4) An AMOC that provides an acceptable level of safety may be used for any repair required by paragraph (i)(3) of this AD if it is approved by Boeing Commercial Airplanes Organization Designation Authorization (ODA) that has been authorized by the Manager, Seattle ACO, to make those findings. For a repair method to be approved, the repair must meet the certification basis of the airplane, and the approval must specifically refer to this AD.

Issued in Renton, Washington, on December 17, 2010.

Ali Bahrami,

Manager, Transport Airplane Directorate, Aircraft Certification Service.

Peter A. White,

Assistant Directorate Manager, Engine and Propeller Directorate, Aircraft Certification Service.

[FR Doc. 2011–367 Filed 1–10–11; 8:45 am]

BILLING CODE 4910–13–P

DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

14 CFR Part 39

[Docket No. FAA–2009–0703; Directorate Identifier 2009–NM–093–AD]

RIN 2120–AA64

Airworthiness Directives; Bombardier, Inc. Model CL–600–2C10 (Regional Jet Series 700, 701 & 702) Airplanes, Model CL–600–2D15 (Regional Jet Series 705) Airplanes, and Model CL–600–2D24 (Regional Jet Series 900) Airplanes

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Supplemental notice of proposed rulemaking (NPRM); reopening of comment period.

SUMMARY: We are revising an earlier NPRM for the products listed above. This action revises the earlier NPRM by expanding the scope. This proposed AD results from mandatory continuing airworthiness information (MCAI) originated by an aviation authority of another country to identify and correct an unsafe condition on an aviation product. The MCAI describes the unsafe condition as:

There have been four reports of loose or detached main landing gear torque link apex pin locking plate and the locking plate retainer bolt. This condition could result in torque link apex pin disengagement, heavy vibration during landing, damage to main landing gear components and subsequent main landing gear collapse.

* * * * *

The proposed AD would require actions that are intended to address the unsafe condition described in the MCAI.

DATES: We must receive comments on this proposed AD by February 25, 2011.

ADDRESSES: You may send comments by any of the following methods:

- *Federal eRulemaking Portal:* Go to *http://www.regulations.gov.* Follow the instructions for submitting comments.
- *Fax:* (202) 493–2251.
- *Mail:* U.S. Department of Transportation, Docket Operations, M–30, West Building Ground Floor, Room W12–140, 1200 New Jersey Avenue, SE., Washington, DC 20590.
- *Hand Delivery:* U.S. Department of Transportation, Docket Operations, M–30, West Building Ground Floor, Room W12–40, 1200 New Jersey Avenue, SE., Washington, DC, between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays.

For service information identified in this proposed AD, contact Bombardier, Inc., 400 Cote-Vertu Road West, Dorval, Quebec H4S 1Y9, Canada; telephone 514–855–5000; fax 514–855–7401; e-mail *thd.crj@aero.bombardier.com;* Internet *http://www.bombardier.com.* You may review copies of the referenced service information at the FAA, Transport Airplane Directorate, 1601 Lind Avenue, SW., Renton, Washington. For information on the availability of this material at the FAA, call 425–227–1221.

Examining the AD Docket

You may examine the AD docket on the Internet at *http://www.regulations.gov;* or in person at the Docket Operations office between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays. The AD docket contains this proposed AD, the regulatory evaluation, any comments received, and other information. The street address for the Docket Operations office (telephone (800) 647–5527) is in the **ADDRESSES** section. Comments will be available in the AD docket shortly after receipt.

FOR FURTHER INFORMATION CONTACT: Craig Yates, Aerospace Engineer, Airframe and Mechanical Systems Branch, ANE–171, FAA, New York Aircraft Certification Office, 1600 Stewart Avenue, Suite 410, Westbury, New York 11590; telephone (516) 228–7355; fax (516) 794–5531.

SUPPLEMENTARY INFORMATION:

Comments Invited

We invite you to send any written relevant data, views, or arguments about this proposed AD. Send your comments to an address listed under the **ADDRESSES** section. Include "Docket No. FAA–2009–0703; Directorate Identifier 2009–NM–093–AD" at the beginning of your comments. We specifically invite comments on the overall regulatory, economic, environmental, and energy aspects of this proposed AD. We will consider all comments received by the closing date and may amend this proposed AD based on those comments.

We will post all comments we receive, without change, to *http://www.regulations.gov,* including any personal information you provide. We will also post a report summarizing each substantive verbal contact we receive about this proposed AD.

Discussion

We proposed to amend 14 CFR part 39 with an earlier NPRM for the specified products, which was published in the **Federal Register** on August 5, 2009 (74 FR 38993). That earlier NPRM proposed to require actions intended to address the unsafe condition for the products listed above.

Since that earlier NPRM was issued, we have determined that main landing gear (MLG) shock strut assemblies having part number (P/Ns) 49000–11 through 49000–22 inclusive and serial numbers (S/Ns) 0001 through 0284 inclusive are rotable parts. Therefore, the possibility exists that these parts might be installed on additional airplanes. For this reason, we find it necessary to require an inspection to determine if the subject MLG shock strut assemblies are installed for all Model CL–600–2C10 airplanes having S/Ns 10003 and subsequent, and Model CL–600–2D15 and Cl–600–2D24 airplanes having S/Ns 15001 and subsequent. Therefore, for all affected airplanes, we are revising this supplemental NPRM to add an inspection to determine the part and serial numbers of the MLG shock strut assemblies installed.

You may obtain further information by examining the MCAI in the AD docket.

Comments

We have considered the following comments received on the earlier NPRM.

Request To Revise Paragraphs (f)(1) and (f)(2) of the Earlier NPRM

American Eagle Airlines (American Eagle) requested that we revise paragraphs (f)(1) and (f)(2) of the earlier NPRM to cover Model CL–600–2C10 airplanes having serial numbers (S/Ns) 10003 and subsequent, equipped with MLG shock strut assemblies having part numbers (P/Ns) 49000–11 through 49000–22 inclusive and S/Ns 0001 through 0252 inclusive. The commenter stated the following:

- If one of the affected MLG shock strut assemblies were installed on an airplane with a S/N of 10224 or greater, paragraph (f)(1) of the earlier NPRM would not require the assembly to be inspected.
- If an MLG shock strut assembly that is not in the affected range were installed on an airplane with S/N 10003 through 10223 inclusive, paragraph

(f)(1) of the earlier NPRM would require the assembly to be inspected in accordance with Part A of Bombardier Service Bulletin 670BA–32–019, Revision A, dated September 18, 2008, and, consequently, with Goodrich Service Bulletin 49000–32–30, which is not applicable to that assembly.

- If one of the affected MLG shock strut assemblies were installed on an airplane having a S/N of 10240 or greater, paragraph (f)(2) of the earlier NPRM would not require the assembly to be reworked.
- If an MLG shock strut assembly not in the affected range were installed on an airplane with S/Ns 10003 through 10239 inclusive, paragraph (f)(2) of the earlier NPRM would require the assembly to be reworked in accordance with Part B of Bombardier Service Bulletin 670BA–32–019, Revision A, dated September 18, 2008, and, consequently, with Goodrich Service Bulletin 49000–32–32, which is not applicable to that assembly.

We agree to revise paragraphs (h) and (i) of this supplemental NPRM (specified as paragraphs (f)(1) and (f)(2) of the earlier NPRM). Operators should note that Model CL–600–2C10 airplanes having S/N 10224 and subsequent had Part A of Bombardier Service Bulletin 670BA–32–019, Revision A, dated September 18, 2008, accomplished prior to delivery; those airplanes are still subject to Part B of Bombardier Service Bulletin 670BA–32–019, Revision A, dated September 18, 2008. Operators should also note that Model CL–600–2C10 airplanes having S/N 10240 and subsequent had Part B of Bombardier Service Bulletin 670BA–32–019, Revision A, dated September 18, 2008, accomplished prior to delivery. However, as explained previously, we have determined that MLG shock strut assemblies having P/Ns 49000–11 through 49000–22 inclusive and S/Ns 0001 through 0284 inclusive are rotable parts. Therefore, the possibility exists that these parts might be installed on additional airplanes, as American Eagle describes.

As stated previously, we revised this supplemental NPRM to add an inspection to identify MLG shock strut assemblies having P/Ns 49000–11 through 49000–22 inclusive and S/Ns 0001 through 0284 inclusive. We also revised paragraphs (h) and (i) of this supplemental NPRM (specified as paragraphs (f)(1) and (f)(2) of the earlier NPRM) to apply to any MLG shock strut assemblies having P/Ns 49000–11 through 49000–22 inclusive and S/Ns 0001 through 0284 inclusive identified during the inspection, as specified in paragraph (g) of this supplemental NPRM. We have also added the costs for accomplishing the newly added inspection specified in paragraph (g) of this supplemental NPRM to the Costs of Compliance section of this supplemental NPRM.

Request To Allow Installation of Certain Reworked MLG Shock Strut Assemblies

American Eagle requested that we revise paragraph (f)(3) of the earlier NPRM to allow the installation of MLG shock strut assemblies that have been reworked in accordance with Goodrich Service Bulletin 49000–32–32. The commenter stated that paragraph (f)(3) of the earlier NPRM prohibits installation of certain MLG shock strut assemblies unless they have been reworked in accordance with Part B of the Accomplishment Instructions of Bombardier Service Bulletin 670BA–32–019, Revision A, dated September 18, 2008. The commenter pointed out that Bombardier Service Bulletin 670BA–32–019, Revision A, dated September 18, 2008, specifies reworking the subject MLG shock strut assemblies that are installed on the airplanes, not those that are not installed on the airplane (*e.g.,* spares or replacement assemblies), which will be reworked using Goodrich Service Bulletin 49000–32–32.

We do not agree that a change to this supplemental NPRM is necessary in this regard. Part B of the Accomplishment Instructions of Bombardier Service Bulletin 670BA–32–019, Revision A, dated September 18, 2008, refers to Goodrich Service Bulletin 49000–32–32 as an additional source of guidance for reworking the MLG shock strut assemblies. If an operator has reworked an MLG shock strut assembly using the procedures specified in Goodrich Service Bulletin 49000–32–32, that assembly meets the requirements of paragraph (j) of this supplemental NPRM (specified as paragraph (f)(3) of the earlier NPRM). However, we have revised paragraph (j) of this supplemental NPRM to refer to paragraph B. of Part B of the Accomplishment Instructions of Bombardier Service Bulletin 670BA–32–019, Revision A, dated September 18, 2008. This change eliminates the necessity of accomplishing the opening and closing procedures specified in Part B of the Accomplishment Instructions of Bombardier Service Bulletin 670BA–32–019, Revision A, dated September 18, 2008, for assemblies that are reworked while not installed on the airplane.

Request To Provide Credit for Actions Done Using Goodrich Service Information

American Eagle requested that we revise paragraphs (f)(2) and (f)(3) of the earlier NPRM to allow operators to take credit for accomplishing the required actions in accordance with Goodrich Service Bulletin 49000–32–30 or 49000–32–32, as applicable.

We do not agree to provide credit for operators that have done the required actions in accordance with the applicable Goodrich service information. Bombardier Service Bulletin 670BA–32–019, Revision A, dated September 18, 2008, refers to Goodrich Service Bulletin 49000–32–30 and 49000–32–32 as additional sources of guidance for the actions specified in this supplemental NPRM. If an operator has accomplished the actions specified in Goodrich Service Bulletin 49000–32–30 or 49000–32–32, the operator is already in compliance with the applicable requirements specified in this supplemental NPRM. Therefore, there is no need to revise this supplemental NPRM in this regard.

Explanation of Additional Changes Made to This Supplemental NPRM

We have revised this supplemental NPRM to identify the legal name of the manufacturer as published in the most recent type certificate data sheet for the affected airplane models.

We have added a new paragraph (f) to this supplemental NPRM to make this supplemental NPRM parallel with other new AD actions. We have reidentified subsequent paragraphs accordingly.

FAA's Determination and Requirements of This Proposed AD

This product has been approved by the aviation authority of another country, and is approved for operation in the United States. Pursuant to our bilateral agreement with the State of Design Authority, we have been notified of the unsafe condition described in the MCAI and service information referenced above. We are proposing this AD because we evaluated all pertinent information and determined an unsafe condition exists and is likely to exist or develop on other products of the same type design.

Certain changes described above expand the scope of the earlier NPRM. As a result, we have determined that it is necessary to reopen the comment period to provide additional opportunity for the public to comment on this proposed AD.

Differences Between This AD and the MCAI or Service Information

We have reviewed the MCAI and related service information and, in general, agree with their substance. But we might have found it necessary to use different words from those in the MCAI to ensure the AD is clear for U.S. operators and is enforceable. In making these changes, we do not intend to differ substantively from the information provided in the MCAI and related service information.

We might also have proposed different actions in this AD from those in the MCAI in order to follow FAA policies. Any such differences are highlighted in a Note within the proposed AD.

Explanation of Change to Costs of Compliance

Since issuance of the earlier NPRM, we have increased the labor rate used in the Costs of Compliance from $80 per work-hour to $85 per work-hour. The Costs of Compliance information, below, reflects this increase in the specified hourly labor rate.

Costs of Compliance

Based on the service information, we estimate that this proposed AD would affect about 361 products of U.S. registry. We also estimate that it would take about 5 work-hours per product to comply with the basic requirements of this proposed AD. The average labor rate is $85 per work-hour. Based on these figures, we estimate the cost of the proposed AD on U.S. operators to be $153,425, or $425 per product.

Authority for This Rulemaking

Title 49 of the United States Code specifies the FAA's authority to issue rules on aviation safety. Subtitle I, section 106, describes the authority of the FAA Administrator. "Subtitle VII: Aviation Programs," describes in more detail the scope of the Agency's authority.

We are issuing this rulemaking under the authority described in "Subtitle VII, Part A, Subpart III, Section 44701: General requirements." Under that section, Congress charges the FAA with promoting safe flight of civil aircraft in air commerce by prescribing regulations for practices, methods, and procedures the Administrator finds necessary for safety in air commerce. This regulation is within the scope of that authority because it addresses an unsafe condition that is likely to exist or develop on products identified in this rulemaking action.

Regulatory Findings

We determined that this proposed AD would not have federalism implications under Executive Order 13132. This proposed AD would not have a substantial direct effect on the States, on the relationship between the national Government and the States, or on the distribution of power and responsibilities among the various levels of government.

For the reasons discussed above, I certify this proposed regulation:

1. Is not a "significant regulatory action" under Executive Order 12866;

2. Is not a "significant rule" under the DOT Regulatory Policies and Procedures (44 FR 11034, February 26, 1979); and

3. Will not have a significant economic impact, positive or negative, on a substantial number of small entities under the criteria of the Regulatory Flexibility Act.

We prepared a regulatory evaluation of the estimated costs to comply with this proposed AD and placed it in the AD docket.

List of Subjects in 14 CFR Part 39

Air transportation, Aircraft, Aviation safety, Incorporation by reference, Safety.

The Proposed Amendment

Accordingly, under the authority delegated to me by the Administrator, the FAA proposes to amend 14 CFR part 39 as follows:

PART 39—AIRWORTHINESS DIRECTIVES

1. The authority citation for part 39 continues to read as follows:

Authority: 49 U.S.C. 106(g), 40113, 44701.

§ 39.13 [Amended]

2. The FAA amends § 39.13 by adding the following new AD:

Bombardier, Inc.: Docket No. FAA–2009–0703; Directorate Identifier 2009–NM–093–AD.

Comments Due Date

(a) We must receive comments by February 25, 2011.

Affected ADs

(b) None.

Applicability

(c) This AD applies to the Bombardier airplanes identified in paragraphs (c)(1) and (c)(2) of this AD, certificated in any category.

(1) Model CL–600–2C10 (Regional Jet Series 700, 701 & 702) airplanes, serial numbers (S/Ns) 10003 and subsequent.

(2) Model CL–600–2D15 (Regional Jet Series 705) airplanes and Model CL–600–2D24 (Regional Jet Series 900) airplanes, S/Ns 15001 and subsequent.

Subject

(d) Air Transport Association (ATA) of America Code 32: Landing gear.

Reason

(e) The mandatory continuing airworthiness information (MCAI) states:

There have been four reports of loose or detached main landing gear torque link apex pin locking plate and the locking plate retainer bolt. This condition could result in torque link apex pin disengagement, heavy vibration during landing, damage to main landing gear components and subsequent main landing gear collapse.

Investigation has determined that incorrect stack-up tolerances of the apex joint or improper installation of the locking plate and apex nut could result in torque link apex pin disengagement. This directive mandates [a one-time detailed] inspection of the torque link apex joint [for correct installation and damage, and corrective actions if necessary] and replacement of the torque link apex nut.

Compliance

(f) You are responsible for having the actions required by this AD performed within the compliance times specified, unless the actions have already been done.

Inspection for Part Number (P/N) and Serial Number (S/N)

(g) For all airplanes identified in paragraphs (c)(1) and (c)(2) of this AD: Within 900 flight hours after the effective date of this AD, inspect the main landing gear (MLG) shock strut assemblies to determine whether an MLG shock strut assembly having P/Ns 49000–11 through 49000–22 inclusive and a S/N 0001 through 0284 inclusive is installed. A review of airplane maintenance records is acceptable in lieu of this inspection if the part and serial numbers of the MLG shock strut assembly can be conclusively determined from that review.

Inspection of the Torque Link Apex Joint

(h) For any MLG shock strut assembly having P/Ns 49000–11 through 49000–22 inclusive and a S/N 0001 through 0284 inclusive found installed during the inspection or records check required by paragraph (g) of this AD: Within 900 flight hours after the effective date of this AD, perform a one-time detailed inspection and all applicable corrective actions on the torque link apex joint, in accordance with Part A of the Accomplishment Instructions of Bombardier Service Bulletin 670BA–32–019, Revision A, dated September 18, 2008, except as provided by paragraph (l) of this AD. Do all applicable corrective actions before further flight.

Replacement or Rework of the Apex Nut

(i) For any MLG shock strut assembly identified during the inspection or records check required by paragraph (g) of this AD: Within 4,500 flight hours after the effective date of this AD, replace or rework the apex nut, in accordance with Part B of the Accomplishment Instructions of Bombardier Service Bulletin 670BA–32–019, Revision A, dated September 18, 2008.

Parts Installation

(j) As of the effective date of this AD, no person may install, on any airplane, a replacement MLG shock strut assembly identified in paragraph (j)(1) or (j)(2) of this AD, unless it has been reworked in accordance with paragraph B. of Part B of the Accomplishment Instructions of Bombardier Service Bulletin 670BA–32–019, Revision A, dated September 18, 2008.

(1) Part numbers 49000–11 through 49000–22 inclusive, and with a serial number in the range of S/Ns 0001 through 0284 inclusive (the serial number can start with "MA," "MAL," or "MA–").

(2) Part numbers 49050–5 through 49050–10 inclusive, and with a serial number in the range of S/Ns 1001 through 1114 inclusive (the serial number can start with "MA," "MAL," or "MA–").

Credit for Actions Accomplished in Accordance With Previous Service Information

(k) Inspections, corrective actions, replacements, and rework accomplished before the effective date of this AD in accordance with Bombardier Service Bulletin 670BA–32–019, dated March 16, 2006, are considered acceptable for compliance with the corresponding actions specified in this AD.

(l) The inspections specified in paragraph (h) of this AD are not required if the actions specified in paragraph (i) of this AD have already been accomplished; or if Bombardier Repair Engineering Order 670–32–11–0022, dated October 22, 2005, or Goodrich Service Concession Request SCR 0056–05, dated October 22, 2005; has been incorporated.

FAA AD Differences

Note 1: The MCAI specifies to inspect only airplanes having certain serial numbers that are part of the MCAI applicability. Because the affected part could be rotated onto any of the airplanes listed in the applicability, this AD requires that the inspection be done on all airplanes. We have coordinated this with the Transport Canada Civil Aviation (TCCA).

Other FAA AD Provisions

(m) The following provisions also apply to this AD:

(1) *Alternative Methods of Compliance (AMOCs):* The Manager, New York Aircraft Certification Office (ACO), ANE–170, FAA, has the authority to approve AMOCs for this AD, if requested using the procedures found in 14 CFR 39.19. Send information to *Attn:* Program Manager, Continuing Operational Safety, FAA, New York ACO, 1600 Stewart Avenue, Suite 410, Westbury, New York 11590; telephone 516–228–7300; fax 516–794–5531. Before using any approved AMOC, notify your appropriate principal inspector, or lacking a principal inspector, the manager of the local flight standards district office/certificate holding district office. The AMOC approval letter must specifically reference this AD.

(2) *Airworthy Product:* For any requirement in this AD to obtain corrective actions from a manufacturer or other source, use these actions if they are FAA-approved. Corrective actions are considered FAA-approved if they are approved by the State of Design Authority (or their delegated agent). You are required to assure the product is airworthy before it is returned to service.

(3) *Reporting Requirements:* A federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a current valid OMB Control Number. The OMB Control Number for this information collection is 2120–0056. Public reporting for this collection of information is estimated to be approximately 5 minutes per response, including the time for reviewing instructions, completing and reviewing the collection of information. All responses to this collection of information are mandatory. Comments concerning the accuracy of this burden and suggestions for reducing the burden should be directed to the FAA at: 800 Independence Ave. SW, Washington, DC 20591, *Attn:* Information Collection Clearance Officer, AES–200.

Special Flight Permits

(n) Special flight permits, as described in Section 21.197 and Section 21.199 of the Federal Aviation Regulations (14 CFR 21.197 and 21.199), are not allowed.

Related Information

(o) Refer to MCAI Canadian Airworthiness Directive CF–2009–20, dated May 1, 2009; and Bombardier Service Bulletin 670BA–32–019, Revision A, dated September 18, 2008; for related information.

Issued in Renton, Washington, on December 30, 2010.

Suzanne Masterson,

Acting Manager, Transport Airplane Directorate, Aircraft Certification Service.

[FR Doc. 2011–368 Filed 1–10–11; 8:45 am]

BILLING CODE 4910–13–P

DEPARTMENT OF LABOR

Office of Labor-Management Standards

29 CFR Part 452

RIN 1215–AB84; RIN 1245–AA04

Guidelines for the Use of Electronic Voting Systems in Union Officer Elections

AGENCY: Office of Labor-Management Standards, United States Department of Labor.

ACTION: Request for information from the public.

SUMMARY: This notice is a request for information from the public to assist the Department of Labor ("Department") in issuing guidelines concerning the use of electronic voting systems in union officer elections. "Electronic voting systems" is meant to include: Electronic voting machines used for casting votes at polling sites; electronic voting from remote site personal computers via the Internet; and electronic voting from remote site telephones. "Electronic voting systems" is *not* meant to include electronic tabulation systems where votes are cast non-electronically but counted electronically (such as punch card voting or optical scanning systems).

Title IV of the Labor-Management Reporting and Disclosure Act of 1959 ("LMRDA") establishes democratic standards for the conduct of union officer elections. The LMRDA does not, however, require a particular method or system of voting. Labor organizations are free to establish their own methods or systems of voting for officer elections as long as they are consistent with lawful provisions in the union's constitution and bylaws and the provisions of Title IV of the LMRDA. Labor organizations and other interested parties have sought guidance from the Department regarding the LMRDA compliance of electronic voting systems. This request for information seeks public comment to assist the Department in the consideration and issuance of such guidance.

DATES: Comments must be received on or before March 14, 2011.

ADDRESSES: You may submit comments, identified by RIN 1215–AB84 and 1245–AA04. (The Regulatory Information Number (RIN) identified for this rulemaking changed with the publication of the Spring 2010 Regulatory Agenda due to an organizational restructuring. The old RIN (1215–AB84) was assigned to the Employment Standards Administration, which no longer exists; a new RIN (1245–AA04) has been assigned to the Office of Labor-Management Standards.) The comments can be submitted only by the following methods:

Internet: Federal eRulemaking Portal. Electronic comments may be submitted through *http://www.regulations.gov*. To locate the proposed rule, use RIN 1245–AA04 or RIN 1215–AB84. Follow the instructions for submitting comments.

Delivery: Comments should be sent to Stephen J. Willertz, Director of the Office of Enforcement and International Union Audits, Office of Labor-Management Standards, U.S. Department of Labor, 200 Constitution Avenue, NW., Room N–5119, Washington, DC 20210. Because of security precautions, the Department continues to experience delays in U.S. mail delivery. Commenters should take

this into consideration when preparing to meet the deadline for submitting comments.

Comments will be available for public inspection at *http://www.regulations.gov*, and during normal business hours at the above address.

FOR FURTHER INFORMATION CONTACT: Stephen J. Willertz, Director of the Office of Enforcement and International Union Audits, Office of Labor-Management Standards, U.S. Department of Labor, 200 Constitution Avenue, NW., Room N–5119, Washington, DC 20210, *olms-public@dol.gov*, (202) 693–1182 (this is not a toll-free number). Individuals with hearing impairments may call 1–800–877–8339 (TTY/TDD).

SUPPLEMENTARY INFORMATION: The purpose of this request for information is to seek public comment on the use of electronic voting systems in union officer elections. The comments from interested parties, including unions, union members, union officers, technology experts, academics, election service providers, public interest groups, and the public will help the Department issue guidelines in describing minimum standards that electronic voting systems must meet to comply with the provisions of LMRDA Title IV. In addition, the comments should help determine what issues should be addressed and what specific standards should be included in the guidelines. These guidelines and standards are intended to assist the Department in its obligation to ensure compliance with LMRDA Title IV.

I. Background

A. Description of Electronic Voting Systems

The following are general descriptions of the three basic types of electronic voting systems that OLMS has encountered. They are not all-inclusive definitions of all electronic voting systems.

(1) Electronic voting machines used for casting votes at polling sites.

This is a direct-recording electronic (DRE) voting system in which voters mark their votes directly into an electronic device at a predetermined location monitored by election officials. The system records votes by means of a ballot display provided with mechanical or electro-optical components that can be activated by the voter (typically by buttons or a touchscreen). It is a computer-based voting system, running configured software, using computer voting stations, terminals, or kiosks that are set up in a securable location or locations. Voters must come to a predetermined location where they are first authenticated as eligible voters, and then vote at a computer terminal. Voting data is stored by the electronic device on a computer hard disk or a portable diskette, CD–ROM or smartcard. The system keeps an electronic record and may also keep a paper record, which may be verifiable by the voter, enabling a post-election audit. The system may also provide a means for transmitting individual ballots or vote totals to a central location (on either removable portable devices, such as diskettes, or by a computer network) in order to consolidate and report results at the central location. The system, as described here, is not a Web-based Internet voting system.

(2) Electronic voting from remote site personal computers via the Internet.

This is a DRE voting system that is Web-based in which voters do not have to vote from a predetermined location. Instead, they can register and vote from any Internet-connected personal computer (PC) or other mobile electronic device anywhere in the world. Voters connect to a central server using a standard Internet browser. Both registration and voting are accomplished through the Web interface. This system uses a voter identification number (VIN) for each voter to log into the system and vote. Some such systems then separate the VINs from the particular voted electronic ballots so that one individual or server controls access to the VINs and a separate individual or server controls access to the voted electronic ballots.

(3) Electronic voting from remote site telephones.

This is a DRE voting system in which voters register and vote from remote site telephones. They do not have to vote at any specific predetermined location. Voters identify themselves with voter identification numbers (VINs) and record their votes directly into a computer system using the key pads on their telephones, by following a series of recorded instructions. Voters call a predetermined telephone number and respond to verbal prompts given by the system. Using the phone keypad, the voter enters choices. The computer system records those choices as votes.

B. Statutory, Regulatory and Administrative Framework

Title IV of the LMRDA, 29 U.S.C. 481–484, and interpretive regulations issued by the Department, 29 CFR part 452, establish standards for the conduct of union officer elections, including minimum standards for:

- Voter secrecy.
- Candidate observer rights and election safeguards.
- Preservation of records.

Voter Secrecy

LMRDA Section 3(k), defines a secret ballot as: "the expression by ballot, voting machine, or otherwise, but in no event by proxy, of a choice with respect to any election or vote taken upon any matter, which is cast in such a manner that the person expressing such choice cannot be identified with the choice expressed." 29 U.S.C. 402(k). Section 401(a) requires that "every national or international labor organization * * * shall elect its national officers * * * by secret ballot among the members in good standing or at a convention of delegates chosen by secret ballot." 29 U.S.C. 481(a). Section 401(b) requires that "every local labor organization shall elect its officers * * * by secret ballot." 29 U.S.C. 481(b). Section 401(d) requires that "officers of intermediate bodies * * * shall be elected… by secret ballot among the members in good standing or by labor organization officers representative of such members who have been elected by secret ballot." 29 U.S.C. 481(d).

The Department's regulations at 29 CFR 452.97 state that a prime requisite of elections regulated by title IV is that they be held by secret ballot among the members or in appropriate cases by representatives who themselves have been elected by secret ballot among the members. A secret ballot under the Act is "the expression by ballot, voting machine, or otherwise, but in no event by proxy, of a choice * * * cast in such a manner that the person expressing such choice cannot be identified with the choice expressed." Secrecy may be assured by the use of voting machines, or, if paper ballots are used, by providing voting booths, partitions, or other physical arrangements permitting privacy for the voter while he is marking his ballot. The ballot must not contain any markings which upon examination would enable one to identify it with the voter. Balloting by mail presents special problems in assuring secrecy. Although no particular method of assuring such secrecy is prescribed, secrecy may be assured by the use of a double envelope system for return of the voted ballots with the necessary voter identification appearing only on the outer envelope.

In addition, should any voters be challenged as they are casting their ballots, there should be some means of setting aside the challenged ballots until a decision regarding their validity is reached without compromising the secrecy requirement. For example, each

such ballot might be placed in an envelope with the voter's name on the outside. Of course, it would be a violation of the secrecy requirement to open these envelopes and count the ballots one at a time in such a way that each vote could be identified with a voter.

Candidate Observer Rights and Election Safeguards

Section 401(c) of the LMRDA requires that "adequate safeguards to insure a fair election shall be provided, including the right of any candidate to have an observer at the polls and at the counting of the ballots." 29 U.S.C. 481(c).

The Department's regulations at 29 CFR 452.107(a) state that under the provisions of section 401(c), each candidate must be permitted to have an observer (1) at the polls and (2) at the counting of the ballots. The right encompasses every phase and level of the counting and tallying process, including the counting and tallying of the ballots and the totaling, recording, and reporting of the tally sheets. If there is more than one polling place, the candidate may have an observer at each location. If ballots are being counted at more than one location or at more than one table at a single location, a candidate is entitled to as many observers as necessary to observe the actual counting of the ballots. The observer may note the names of those voting so that the candidates may be able to ascertain whether unauthorized persons voted in the election. The observers should be placed so that they do not compromise, or give the appearance of compromising, the secrecy of the ballot. The observer is not required to be a member of the labor organization unless that union's constitution and bylaws require him to be a member. There is no prohibition on the use of alternate observers, when necessary, or on the candidate serving as his own observer. Observers do not have the right to count the ballots.

And, the Department's regulations at 29 CFR 452.107(c) state that in any secret ballot election which is conducted by mail, regardless of whether the ballots are returned by members to the labor organization office, to a mail box, or to an independent agency such as a firm of certified public accountants, candidates must be permitted to have an observer present at the preparation and mailing of the ballots, their receipt by the counting agency and at the opening and counting of the ballots.

Further, the Department's regulations at 29 CFR 452.110(a) state, in part, that the Act contains a general mandate in Section 401(c), that adequate safeguards to insure a fair election be provided. A labor organization's wide range of discretion regarding the conduct of elections is thus circumscribed by a general rule of fairness.

Preservation of Records

Section 401(e) of the LMRDA provides that "[t]he election officials designated in the constitution and bylaws or the secretary, if no other official is designated, shall preserve for one year the ballots and all other records pertaining to the election." 29 U.S.C. 481(e).

The Department's regulations at 29 CFR 452.106 state that in every secret ballot election which is subject to the Act, the ballots and all other records pertaining to the election must be preserved for one year. The responsibility for preserving the records is that of the election officials designated in the constitution and bylaws of the labor organization or, if none is so designated, its secretary. Since the Act specifies that ballots must be retained, all ballots, marked or unmarked, must be preserved. Independent certification as to the number and kind of ballots destroyed may not be substituted for preservation. In addition, ballots which have been voided, for example, because they were received late or because they were cast for an ineligible candidate, must also be preserved.

C. Court Cases

With passage of the LMRDA, Congress sought to "protect the rights of rank-and-file members to participate fully in the operation of their union through processes of democratic self-government." *Wirtz* v. *Hotel, Motel and Club Employees Union,* Local 6, 391 U.S. 492 (1969). The Supreme Court and other courts have recognized that with respect to union officer elections covered by the LMRDA, "Congress' model of democratic elections was political elections in this country." *Id.* at 502.

This parallel between political elections and union officer elections extends to the interpretation of the LMRDA's ballot secrecy provisions. *See Marshall* v. *Local Union 12447, United Steelworkers of America, AFL–CIO,* 591 F.2d 199, 205 (3d Cir. 1978) ("* * * the facilities available for balloting [in union elections] are * * * similar to their use in political elections in this country, *i.e.,* in such a manner that voters cannot be identified with their choices."). Several cases make clear that the requirement of a secret ballot in union officer elections is to be interpreted strictly: If there is any possibility that a voter can be connected with his or her vote, the procedure does not comply with the LMRDA. *Id.* at 203 ("The definition [of secret ballot] is phrased in mandatory terms: The ballots must be marked in such a manner that the voter cannot be identified with his choice."); *Brennan* v. *Local 3489, United Steelworkers of America, AFL–CIO,* 520 F.2d 516, 522 (7th Cir. 1975) ("The statutory mandate is for a vote that "cannot" be identified with the voter.").

Courts have further clarified that the secret ballot requirement not only applies to the act of voting itself, but "any post-voting procedure designed to determine how individual union members voted or would have voted." *Reich* v. *District Lodge 720, International Association of Machinists and Aerospace Worker,* 11 F.3d 1496, 1500 (9th Cir. 1993); *see also Bachowski* v. *Brennan,* 413 F.Supp 147, 150 (W.D. Pa. 1976). Finally, although "electronic voting systems" are often designed and administered by third parties, the ultimate responsibility for upholding the ballot secrecy requirement remains with the union. *See* Local 3489, 520 F.2d at 522; *Local Union 12447,* 591 F.2d at 204 (3d Cir. 1978).

As of the publication of this RFI, there are no published cases that apply these well-established principles of ballot secrecy to electronic voting systems. The Department addressed the issue in one court proceeding against the Allied Pilots Association in 2007, but the litigation was resolved without a judicial determination. In that union officer election, the union utilized an Internet and telephone voting system designed by a third-party company. To log into the electronic voting system to cast a vote, each member was required to enter an employee identification number (EIN), which was published on the union website, along with a randomly-generated personal identification number (PIN) assigned privately. This information was transmitted to a "member database" on a computer server maintained by the third-party company. This "member database" contained members' names, their EINs, and their PINs. If the EIN and PIN entered by members matched those on the "member database," the system permitted the members to cast their votes, which were recorded in a separate "vote database." However, the electronic voting system also generated number identification markers that linked the members with the votes they cast, which could be accessed by certain employees of the third-party company. Additionally, several individuals from the organization administering the

election had access to members' EINs and PINs, which gave them the ability to log onto the voting system to determine how a member had voted. Upon these facts, the court found that the voting system violated the LMRDA requirements for ballot secrecy, but declined for other reasons to resolve the case on the parties' motions for summary judgment. *Chao* v. *Allied Pilots Ass'n,* 2007 WL 518586 (N.D. Tex. Feb. 20, 2007) (depublished). As a condition of the parties' later settlement agreement, the District Court issued a Consent Decree and Order vacating its February 20, 2007 order. *Secretary of Labor* v. *Allied Pilots Ass'n,* Case 4:05–CV–338–Y (N.D. Tex. Jun. 13, 2007).

D. Legislation

After the disputed U.S. Presidential election in 2000, many states and localities mandated the purchase and use of electronic voting systems. The Help America Vote Act (HAVA) was signed into law in 2002. Public Law 107–252, 116 Stat. 1666 (42 U.S.C. 15301–15545). It was drafted, in part, in reaction to the controversy surrounding the 2000 Presidential election. HAVA provided funds for qualifying states to replace punched card voting systems or lever voting systems with new systems, including electronic systems, in accordance with HAVA's voting system standards. 42 U.S.C. 15302(a)(2). HAVA standards require all electronic voting systems to be auditable and produce a permanent paper record with a manual audit capacity available. 42 U.S.C. 15481(a)(2)(B). This mandatory paper record is the official record for recounts. *Id.*

Since 2002, a number of bills have been introduced in Congress that would require a voter verified paper audit trail (VVPAT) or verified paper record (VPR) in U.S. political elections. A VVPAT or VPR is intended as an independent verification system for voting machines designed to allow voters to verify that their vote was cast correctly, to detect possible election fraud or malfunction, to serve as an independent check on the record produced and stored by the electronic system, and to provide a means to audit the stored electronic results and allow for an accurate recount. Voter verified paper legislation introduced since 2002 include the following: the Voter Confidence and Increased Accessibility Act of 2005 (H.R. 550, 109th Cong.), 2007 (H.R. 811, 110th Cong.; S. 2295, 110th Cong.), and 2009 (H.R. 2894, 111th Cong.; S. 1431, 111th Cong.); the Voting Integrity and Verification Act of 2005 (H.R. 704, 109th Cong.; S. 330, 109th Cong.), 2007 (S. 1869, 110th Cong.), and 2009 (S. 48, 111th Cong.); the Count Every Vote Act of 2005 (H.R. 939, 110th Cong.; S. 450, 109th Cong.) and 2007 (H.R. 1381, 110th Cong.; S. 804, 110th Cong.); and the Ballot Integrity Act of 2007 (S. 1487, 110th Cong.). None of these bills were passed in Congress. Although this national standard for voting has not yet been established, as of the publishing of this RFI, 32 states require VVPATs. VerifiedVoting.org, Voter-Verified Paper Record Legislation, *http://www.verifiedvoting.org/article.php?list=type&type=13* (last visited Sept. 20, 2010). OLMS is not presently aware of an Internet voting system that offers voter-verified paper records or a manual audit.

E. Recent Developments

Electronic voting at polling stations using computer terminals or similar touch-screen machines which store and tabulate votes, but which are not Internet-based, are widely used in U.S. political elections. These are not on-line forms of voting, meaning the systems are not connected to the Internet.

Internet voting has not been widely adopted for political elections in this country and, in one situation, a Federal agency chose not to utilize Internet voting due to security concerns. *See* David Jefferson *et al, A Security Analysis of the Secure Electronic Registration and Voting Experiment ("SERVE"),* available at *http://servesecurityreport.org/paper.pdf* (report advising against Department of Defense use of Internet voting in 2004 political elections for military serving overseas due to security concerns).[1]

Internet voting has been tested overseas in public elections in Switzerland, the United Kingdom, and Estonia. Bryan Mercurio, *Democracy in Decline: Can Internet Voting Save the Electoral Process?,* 22 J. Marshall J. & Info. L. 409, 409–51 (2004). Internet voting has also been tested in the U.S. as a voting option in the 2000 Democratic primary in Arizona and the Republican straw poll in Alaska in 2000. *Id.* Proponents of remote Internet voting make several arguments in its favor. R. Michael Alvarez & Thad E. Hall, *Point, Click, and Vote: The Future of Internet Voting* (2004) Voting would be more convenient for Internet users, allowing them to vote at home, at work, or anywhere the Internet is available. *Id.* Internet voting would be logistically easier for some disabled voters and for military personnel overseas. *Id.* Internet voting might encourage greater voter participation, particularly among younger Americans typically well-versed in using the Internet. *Id.* Internet voting could also lower the cost of voting. *Id.* However, there are still concerns regarding on-line computer security, viruses and attacks, voter fraud, unequal computer and Internet access (the "digital divide"), and potential disintegration of civic life by moving away from a community-based electoral process where voting at the polls is an observable act of citizenship. *Id.*

In 2007, the National Mediation Board ("NMB") announced that it would primarily conduct representation elections offering participants both Internet voting and telephone electronic voting. 34 NMB No. 13, at 71 (Jan. 29, 2007) (Introduction of Internet Voting/Mock Election); 34 NMB No. 41, 200, 206 (Sept. 14, 2007) (Internet Voting Comment Period). The NMB adopted Internet voting based on its conclusion that "offering Internet voting in addition to phone voting will further its mission and enhance the Board's ability to conduct representation elections fairly and effectively." *Id.*

However, the Department's responsibility over union elections differs from NMB's in at least two ways. First, unlike the LMRDA which requires union officer elections to be conducted by secret ballot, the Railway Labor Act (RLA), which the NMB enforces, has no such ballot secrecy requirement. In a section titled, "Statutory Difference Between LMRDA and RLA," the NMB discussed LMRDA section 401(a)'s specific election standards, particularly its requirement of a secret ballot. It then drew a contrast with the RLA. "The language of the RLA gives the Board broad discretion in conducting representation elections. Section 2, Ninth provides that the Board "shall be authorized to take a secret ballot of the employees involved, *or to utilize any other appropriate method of ascertaining the names of their duly*

[1] In March 2007, the Federal Voting Assistance Program (FVAP) and the Department of Defense's Business Transformation Agency released a Request for Information to solicit from industry electronic solutions for three absentee voting tasks: voter registration, ballot request, and blank ballot delivery. *See Department of Defense: Expanding the Use of Electronic Voting Technology for UOCAVA Citizens As Required by Section 596 of the National Defense Authorization Act for Fiscal Year 2007,* May 2007. *http://servesecurityreport.org/DoDMay2007.pdf.* (The acronym UOCAVA stands for *Uniformed and Overseas Citizens Absentee Voting Act.) See also Elections: Action Plans Needed to Fully Address Challenges in Electronic Absentee Voting Initiatives for Military and Overseas Citizens,* Government Accountability Office, June 2007. GAO–07–774. *http://www.gao.gov/new.items/d07774.pdf.* The FVAP program introduced in 2009 is not Internet or online voting. It is the electronic transmission and online marking of the absentee ballot. The voter would still print out the ballot and send it in like any regular absentee ballot. *http://www.fvap.gov/global/news/nr19-2009.html.*

designated and authorized representatives," and further that the Board may "establish the rules to govern the election." 34 NMB No. 41, 200, 206 (Sept. 14, 2007) (Emphasis in original.) Second, the NMB conducts representation elections itself and maintains direct control (along with its contractor) of the electronic voting system. In contrast, elections under the LMRDA are independently conducted by unions. The Department's involvement in an election is not triggered until a post-election complaint is filed, whereupon the Department investigates and, if the claim is substantiated, seeks a remedial election either through a voluntary settlement or by filing a complaint in district court. Because the Department does not have the degree of direct control over the electronic voting system that NMB has, and due to the heightened ballot secrecy requirements under the LMRDA, there are additional questions that must be addressed to ensure that the Department fulfills its legal obligations under the LMRDA.

II. Information Sought

The Secretary seeks public comment from interested parties to help the Department issue guidelines concerning the use of electronic voting systems in union officer elections. "Electronic voting systems" is meant to include: (1) Electronic voting machines used for casting votes at polling sites; (2) electronic voting from remote site personal computers via the Internet; and (3) electronic voting from remote site telephones. The comments should help identify and describe what issues concerning the use of electronic voting systems in union officer elections should be addressed and what specific standards should be included in the guidelines. These guidelines and standards could further the Department's interest in ensuring compliance with LMRDA Title IV.

In particular, the Secretary is seeking written comments in response to the questions enumerated below. We request that all commenters identify themselves and any organizations or entities with which they are affiliated and generally describe their involvement or association with electronic voting systems. In responding to questions, please note and consider the preceding background information provided in Part I. Also, in your responding comments, please provide as much detail and specific examples as possible. Thank you for your cooperation and consideration.

1. Should the Department issue guidelines concerning the use of electronic voting systems in union officer elections? What specific issues concerning electronic voting systems should be addressed? What specific standards should be included in the guidelines?

2. Describe the potential advantages and disadvantages of electronic voting systems in union officer elections. For unions that have considered electronic voting systems, what factors guided your decision to either adopt or reject electronic voting systems?

3. In elections other than union officer elections (for example, contract ratification votes, National Mediation Board elections, National Labor Relations Board elections, and national and local political elections), what are the voting system trends? Are there trends toward: (1) Electronic voting machines used for casting votes at polling sites; (2) electronic voting from remote site personal computers via the Internet; and (3) electronic voting from remote site telephones? How do these systems protect ballot secrecy and have these protections been effective?

4. Are voter verified ballots and paper audit trails necessary safeguards for union officer elections? If so, why? If not, why not?

5. If an electronic voting system has no voter verified paper ballots, how could a voter confirm that his or her vote was recorded accurately on the electronic ballot and stored accurately in the computer memory? Does the electronic display shown to the voter of the votes cast necessarily mean that the votes are stored or tallied as displayed?

6. If an electronic voting system has no voter verified paper ballots, can an observable recount be conducted? If so, how would this be accomplished?

7. If the electronic balloting system includes a function that prints paper versions of electronically stored ballots, but individual paper ballots are not voter-verified, does this function allow for a meaningful recount? Would these non-voter-verified paper ballots produced by the electronic system be independent of the electronic votes stored in the electronic system?

8. Are there technologies or systems that provide a check on the accuracy of the electronic system that is independent of the software in the system? If so, what are those technologies or systems?

9. How can observers participate meaningfully in all phases of the election process in an electronic voting system environment? How can remote site electronic voting systems ensure that candidates have the right to observe all aspects of the election? Are there features of electronic voting systems that establish or replicate processes for candidates to have observers at the polls and at the counting of the ballots? If so, what are those features?

10. Most remote site electronic voting systems use a voter identification number (VIN) for each voter to log into the system and vote. In these systems, what safeguards exist to prevent the connection of a voter's identifying information and his or her vote?

11. Some systems separate the VINs from the particular voted electronic ballots so that one individual or server controls access to the VINs and a separate individual or server controls access to the voted electronic ballots. In those systems, can the voter and the vote be reconnected? How can voters have confidence that there is no connection of voter and vote and that their votes remain secret?

12. Is there a software protocol that can restrict the transfer of any information that could potentially link a voter to his or her vote? If there is such a software protocol, can it be re-programmed to permit the link? Can such re-programming be detected afterwards?

13. In a remote site electronic voting system, if a determination is made that a voter is ineligible after he/she has already voted, can that vote be removed from the system without reconnecting the voter and vote? If not, can an observer challenge a voter's eligibility after voting has begun or must all such challenges be made prior to balloting?

14. How does a remote site electronic voting system deal with a "spoiled" ballot situation, i.e., when a member marks and submits a ballot in error, such as failing to vote for a particular race? Can that ballot be identified and voided and can that member be allowed to vote again? How does the system accomplish this without reconnecting the voter and vote?

15. In a remote site telephone voting system, can the system log and store the caller/voter's telephone number as well as the caller/voter's VIN and voting data?

16. What safeguards exist to prevent malicious or fraudulent software (*e.g.,* software that would delete or change vote totals) from being embedded in an Internet voting system? If such code was introduced or embedded, would it be possible to detect? If so, how? How would an allegation of software tampering be resolved? If electronic voting system software is proprietary, would a third party, such as OLMS, be allowed to inspect the software to resolve an allegation of tampering? If so, how? How would a third party, such as OLMS, be allowed access to the

proprietary software codes to resolve the allegation of tampering?

17. If OLMS receives an election complaint challenging the software code in an electronic voting system, how can OLMS ensure that the code examined by OLMS in the investigation is the same code that was in place and operational during the challenged election?

18. In the electronic voting systems with which you are familiar, are all system activities of the union or third party election administrators permanently recorded or logged into the system? What safeguards exist to prevent accidental deletion from or tampering with the log? How could a third party, such as OLMS, investigate alleged tampering with the log? Does this log file, or other similar system file or database, include each voter's entry into the system, along with that voter's IP address, VIN, and voting data in sequential order?

19. What safeguards exist to prevent vote manipulation by "insiders" such as computer programmers, equipment manufacturers, technicians, system administrators, or election officials who may have legitimate access to election software and/or data? How could a third party, such as OLMS, investigate allegations of insider attacks?

20. How would the use of electronic balloting affect the issue of voter intimidation, if at all? For any voter intimidation that might take place in the context of an election using electronic balloting, what safeguards have been or could be used to address the issue?

21. What safeguards exist to prevent denial of service attacks, "spoofing" (*i.e.,* when one person masquerades as another and gains illegitimate access), automated vote buying, and viral attacks on voter personal computers? How could a third party, such as OLMS, investigate allegations of such activity?

22. There are reported cases of electronic voting system malfunctions in civic elections where votes have either not been recorded or have not been recorded accurately. These cases include: Volusia County, Florida (2000), Broward County, Florida (2004), Franklin County, Ohio (2004), Sarpy County, Nebraska (2004), Carteret County, North Carolina (2004), and Sarasota County, Florida (2006). What safeguards exist to detect such malfunctions? How could a third party, such as OLMS, investigate allegations that such malfunctions occurred?

23. What safeguards exist to prevent "phishing" in remote Internet voting systems? "Phishing" is a scheme that uses a web page set up to look just like the union's voting web page. Union members are brought to the site by email, links, or reminders to vote with an embedded link. The union member "votes" on the fake site. The person who sets up the fake site then has the voter's VIN and other identifying information which the person then uses to log onto the real site and vote in place of the real voter. How could a third party, such as OLMS, investigate allegations of phishing?

24. Are there any other potential issues with the legality or practicality of electronic voting systems that have not been addressed in the preceding questions? If so, please explain.

Signed in Washington, DC, this 5th day of January 2011.

John Lund,

Director, Office of Labor-Management Standards.

[FR Doc. 2011–311 Filed 1–10–11; 8:45 am]

BILLING CODE 4510–CP–P

DEPARTMENT OF HOMELAND SECURITY

Coast Guard

33 CFR Part 100

[Docket No. USCG–2010–1094]

RIN 1625–AA08

Special Local Regulation for Marine Event; Temporary Change of Dates for Recurring Marine Event in the Fifth Coast Guard District

AGENCY: Coast Guard, DHS.

ACTION: Notice of proposed rulemaking.

SUMMARY: The Coast Guard proposes to temporarily change the enforcement periods of special local regulations for recurring marine events in the Fifth Coast Guard District. These regulations apply to four recurring marine events that conduct a rescue at sea demonstration, an air show, a swimming competition, and power boat races. Special local regulations are necessary to provide for the safety of life on navigable waters during these events. This action is intended to restrict vessel traffic in a portion of the Severn River at Annapolis, MD, the Chester River near Chestertown, MD, and Prospect Bay at Kent Island, MD during the events.

DATES: Comments and related material must be received by the Coast Guard on or before February 10, 2011.

The effective dates being proposed for this rule are from April 1 to September 1, 2011.

ADDRESSES: You may submit comments identified by docket number USCG–2010–1094 using any one of the following methods:

(1) *Federal eRulemaking Portal: http://www.regulations.gov.*

(2) *Fax:* 202–493–2251.

(3) *Mail:* Docket Management Facility (M–30), U.S. Department of Transportation, West Building Ground Floor, Room W12–140, 1200 New Jersey Avenue, SE., Washington, DC 20590–0001.

(4) *Hand delivery:* Same as mail address above, between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays. The telephone number is 202–366–9329.

To avoid duplication, please use only one of these four methods. See the "Public Participation and Request for Comments" portion of the **SUPPLEMENTARY INFORMATION** section below for instructions on submitting comments.

FOR FURTHER INFORMATION CONTACT: If you have questions on this proposed rule, call Mr. Ronald L. Houck, Project Manager, Coast Guard Sector Baltimore Waterways Management Division, at 410–576–2674 or e-mail at *Ronald.L.Houck@uscg.mil.* If you have questions on viewing or submitting material to the docket, call Renee V. Wright, Program Manager, Docket Operations, telephone 202–366–9826.

SUPPLEMENTARY INFORMATION:

Public Participation and Request for Comments

We encourage you to participate in this rulemaking by submitting comments and related materials. All comments received will be posted without change to *http://www.regulations.gov* and will include any personal information you have provided.

Submitting Comments

If you submit a comment, please include the docket number for this rulemaking (USCG–2010–1094), indicate the specific section of this document to which each comment applies, and provide a reason for each suggestion or recommendation. You may submit your comments and material online (via *http://www.regulations.gov*) or by fax, mail, or hand delivery, but please use only one of these means. If you submit a comment online via *http://www.regulations.gov,* it will be considered received by the Coast Guard when you successfully transmit the comment. If you fax, hand deliver, or mail your comment, it will be considered as having been received by the Coast Guard when it is received at

the Docket Management Facility. We recommend that you include your name and a mailing address, an e-mail address, or a telephone number in the body of your document so that we can contact you if we have questions regarding your submission.

To submit your comment online, go to *http://www.regulations.gov,* click on the "submit a comment" box, which will then become highlighted in blue. In the "Document Type" drop down menu select "Proposed Rule" and insert "USCG–2010–1094" in the "Keyword" box. Click "Search" then click on the balloon shape in the "Actions" column. If you submit your comments by mail or hand delivery, submit them in an unbound format, no larger than 8½ by 11 inches, suitable for copying and electronic filing. If you submit comments by mail and would like to know that they reached the Facility, please enclose a stamped, self-addressed postcard or envelope. We will consider all comments and material received during the comment period and may change the rule based on your comments.

Viewing Comments and Documents

To view comments, as well as documents mentioned in this preamble as being available in the docket, go to *http://www.regulations.gov,* click on the "read comments" box, which will then become highlighted in blue. In the "Keyword" box insert USCG–2010–1094 and click "Search." Click the "Open Docket Folder" in the "Actions" column. You may also visit the Docket Management Facility in Room W12–140 on the ground floor of the Department of Transportation West Building, 1200 New Jersey Avenue, SE., Washington, DC 20590, between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays. We have an agreement with the Department of Transportation to use the Docket Management Facility.

Privacy Act

Anyone can search the electronic form of comments received into any of our dockets by the name of the individual submitting the comment (or signing the comment, if submitted on behalf of an association, business, labor union, etc.). You may review a Privacy Act notice regarding our public dockets in the January 17, 2008 issue of the **Federal Register** (73 FR 3316).

Public Meeting

We do not now plan to hold a public meeting. But you may submit a request for one using one of the four methods specified under **ADDRESSES**. Please explain why you believe a public meeting would be beneficial. If we determine that one would aid this rulemaking, we will hold one at a time and place announced by a later notice in the **Federal Register**.

Background and Purpose

Marine events are frequently held on the navigable waters within the boundary of the Fifth Coast Guard District. The activities that typically comprise marine events include: Sailing regattas, power boat races, swim races and holiday parades. For a description of the geographical area of each Coast Guard Sector—Captain of the Port Zone, please see 33 CFR 3.25.

This regulation proposes to temporarily change the enforcement period of special local regulations for recurring marine events within the Fifth Coast Guard District. This proposed regulation applies to four marine events previously published at 33 CFR 100.501, Table to § 100.501.

The first event is the annual "Safety at Sea Seminar," sponsored by the U.S. Naval Academy, on the waters of the Severn River at Annapolis, MD. The regulation at 33 CFR 100.501 is effective annually for the Safety at Sea Seminar marine event. The event consists of demonstrations of at sea rescues including surface and air platforms held on and above the waters of the Severn River in Annapolis, Maryland. Visual distress signal devices will be used and a helicopter with small boats will be operating before a large fleet of spectator crafts. Therefore, to ensure the safety of participants and support vessels, 33 CFR 100.501 would be enforced for the duration of the event. Under provisions of 33 CFR 100.501, from 11 a.m. to 1:30 p.m. on April 2, 2011, vessels may not enter the regulated area unless they receive permission from the Coast Guard Patrol Commander. Vessel traffic may be allowed to transit the regulated area only when the Patrol Commander determines it is safe to do so.

The second event is the annual "Blue Angels Air Show," sponsored by the U.S. Naval Academy, on the waters of the Severn River at Annapolis, MD. The regulation at 33 CFR 100.501 is effective annually for the Blue Angels Air Show marine event. The event consists of one day for arrival and practice and another day for the Air Show held above the waters of the Severn River, at Annapolis, Maryland. High performance military aircraft will conduct maneuvers before a large fleet of spectator crafts. Therefore, to ensure the safety of participants and support vessels, 33 CFR 100.501 would be enforced for the duration of the event. Under provisions of 33 CFR 100.501, from 10:30 a.m. to 4 p.m. on May 24, 2011 and from 1:30 p.m. to 4 p.m. on May 25, 2011, vessels may not enter the regulated area unless they receive permission from the Coast Guard Patrol Commander. Vessel traffic may be allowed to transit the regulated area only when the Patrol Commander determines it is safe to do so.

The third event is the annual "Maryland Swim for Life," sponsored by the District of Columbia Aquatics Club, on the waters of the Chester River near Chestertown, MD. The regulation at 33 CFR 100.501 is effective annually for the Maryland Swim for Life marine event. The event is an open water swimming competition held on the waters of the Chester River, near Chestertown, Maryland. Approximately 200 swimmers will start from Rolph's Wharf and swim up-river 2.5 miles then swim down-river returning back to Rolph's Wharf. A large fleet of support vessels accompany the swimmers. Therefore, to ensure the safety of participants and support vessels, 33 CFR 100.501 would be enforced for the duration of the event. Under provisions of 33 CFR 100.501, from 5:30 a.m. to 2:30 p.m. on June 25, 2011, vessels may not enter the regulated area unless they receive permission from the Coast Guard Patrol Commander. Vessel traffic may be allowed to transit the regulated area only when the Patrol Commander determines it is safe to do so.

The fourth event is the annual "Thunder on the Narrows", sponsored by the Kent Narrows Racing Association on the waters of Prospect Bay at Kent Island, MD. The regulation at 33 CFR 100.501 is effective annually for the Thunder on the Narrows marine event. The event consists of two days of power boat racing on the waters of Prospect Bay, at Kent Island, Maryland. High performance power boats will race on a designated course before a large fleet of spectator crafts. Therefore, to ensure the safety of participants and support vessels, 33 CFR 100.501 would be enforced for the duration of the event. Under provisions of 33 CFR 100.501, from 9:30 a.m. to 6:30 p.m. on June 25, 2011 and from 9:30 a.m. to 6:30 p.m. on June 26, 2011, vessels may not enter the regulated area unless they receive permission from the Coast Guard Patrol Commander. Vessel traffic may be allowed to transit the regulated area only when the Patrol Commander determines it is safe to do so.

Discussion of Proposed Rule

The Coast Guard proposes to temporarily suspend the regulations at 33 CFR 100.501 by changing the date of enforcement in the table to § 100.501. The Coast Guard proposes to

temporarily change the enforcement periods of special local regulations for recurring marine events within the Fifth Coast Guard District. This NPRM applies to the marine events below.

Severn River, Annapolis, MD

The Table to § 100.501, event No. 13 establishes the enforcement date for the Safety at Sea Seminar. This regulation proposes to temporarily change the enforcement date from "March—4th or last Saturday" to the first Saturday in April, holding the annual marine event on April 2, 2011. The U.S. Naval Academy, which is the sponsor for this event, intends to hold this event annually; however, they have changed the date of the event for 2011 so that it is outside the scope of the existing enforcement period. Due to the need for vessel control while high performance aircraft are conducting maneuvers above the Severn River, vessel traffic would be temporarily restricted to provide for the safety of participants, spectators and transiting vessels.

Severn River, Annapolis, MD

The Table to § 100.501, event No. 19 establishes the enforcement date for the Blue Angels Air Show. This regulation proposes to temporarily change the enforcement date from "May—3rd Tuesday and Wednesday" to the fourth Tuesday and Wednesday in May, holding the annual marine event on May 24, 2011 and May 25, 2011. The U.S. Naval Academy, which is the sponsor for this event, intends to hold this event as it usually does on the Tuesday and Wednesday before Memorial Day annually; however, the existing enforcement period listed in the permanent regulation does not accurately reflect these dates. Due to the need for vessel control while high performance aircraft are conducting maneuvers above the Severn River, vessel traffic would be temporarily restricted to provide for the safety of participants, spectators and transiting vessels.

Chester River, Chestertown, MD

The Table to § 100.501, event No. 21 establishes the enforcement date for the Maryland Swim for Life. This regulation proposes to temporarily change the enforcement date from "June—3rd Saturday or July—3rd Saturday" to the fourth or last Saturday in June, holding their 20th annual marine event on June 25, 2011. The District of Columbia Aquatics Club, which is the sponsor for this event, intends to hold this event annually; however, they have changed the date of the event for 2011 so that it is outside the scope of the existing enforcement period. Due to the need for vessel control while swimmers are in the water along the Chester River, vessel traffic would be temporarily restricted to provide for the safety of participants, spectators and transiting vessels.

Prospect Bay, Kent Island, MD

The Table to § 100.501, event No. 23 establishes the enforcement date for the Thunder on the Narrows. This regulation proposes to temporarily change the enforcement date from "August—1st Saturday and Sunday" to the fourth Saturday and Sunday in June, holding the annual marine event on June 25, 2011 and June 26, 2011. The Kent Narrows Racing Association, which is the sponsor for this event, intends to hold this event annually; however, they have changed the date of the event for 2011 so that it is outside the scope of the existing enforcement period. Due to the need for vessel control while high performance power boats are racing on Prospect Bay, vessel traffic would be temporarily restricted to provide for the safety of participants, spectators and transiting vessels.

Regulatory Analyses

We developed this proposed rule after considering numerous statutes and executive orders related to rulemaking. Below we summarize our analyses based on 13 of these statutes or executive orders.

Regulatory Planning and Review

The proposed rule is not a significant regulatory action under section 3(f) of Executive Order 12866, Regulatory Planning and Review, and does not require an assessment of potential costs and benefits under section 6(a)(3) of that Order. The Office of Management and Budget has not reviewed it under that Order.

Although this proposed rule prevents traffic from transiting a portion of certain waterways during specified events, the effect of this regulation will not be significant due to the limited duration that the regulated area will be in effect and the extensive advance notifications that will be made to the maritime community via marine information broadcasts, local radio stations and area newspapers, so mariners can adjust their plans accordingly. Additionally, this rulemaking does not change the permanent regulated areas that have been published in 33 CFR 100.501, Table to § 100.501. In some cases vessel traffic may be able to transit the regulated area when the Coast Guard Patrol Commander deems it is safe to do so.

Small Entities

Under the Regulatory Flexibility Act (5 U.S.C. 601–612), we have considered whether this proposed rule would have a significant economic impact on a substantial number of small entities. The term "small entities" comprises small businesses, not-for-profit organizations that are independently owned and operated and are not dominant in their fields, and governmental jurisdictions with populations of less than 50,000.

The Coast Guard certifies under 5 U.S.C. 605(b) that this proposed rule would not have a significant economic impact on a substantial number of small entities. This rule would affect the following entities, some of which might be small entities: The owners or operators of vessels intending to transit or anchor in the area where the marine events are being held. This regulation will not have a significant impact on a substantial number of small entities because it will be enforced only during marine events that have been permitted by the Coast Guard Captain of the Port. The Captain of the Port will ensure that small entities are able to operate in the areas where events are occurring when it is safe to do so. In some cases, vessels will be able to safely transit around the regulated area at various times, and, with the permission of the Patrol Commander, vessels may transit through the regulated area. Before the enforcement period, the Coast Guard will issue maritime advisories so mariners can adjust their plans accordingly.

If you think that your business, organization, or governmental jurisdiction qualifies as a small entity and that this rule would have a significant economic impact on it, please submit a comment (*see* **ADDRESSES**) explaining why you think it qualifies and how and to what degree this rule would economically affect it.

Assistance for Small Entities

Under section 213(a) of the Small Business Regulatory Enforcement Fairness Act of 1996 (Pub. L. 104–121), we want to assist small entities in understanding this proposed rule so that they can better evaluate its effects on them and participate in the rulemaking. If the rule would affect your small business, organization, or governmental jurisdiction and you have questions concerning its provisions or options for compliance, please contact the individual listed under **FOR FURTHER INFORMATION CONTACT** at the beginning of this rule. The Coast Guard will not retaliate against small entities that

question or complain about this proposed rule or any policy or action of the Coast Guard.

Collection of Information

This proposed rule would call for no new collection of information under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501–3520).

Federalism

A rule has implications for federalism under Executive Order 13132, Federalism, if it has a substantial direct effect on State or local governments and would either preempt State law or impose a substantial direct cost of compliance on them. We have analyzed this proposed rule under that Order and have determined that it does not have implications for federalism.

Unfunded Mandates Reform Act

The Unfunded Mandates Reform Act of 1995 (2 U.S.C. 1531–1538) requires Federal agencies to assess the effects of their discretionary regulatory actions. In particular, the Act addresses actions that may result in the expenditure by a State, local, or tribal government, in the aggregate, or by the private sector of $100,000,000 or more in any one year. Though this proposed rule would not result in such an expenditure, we do discuss the effects of this rule elsewhere in this preamble.

Taking of Private Property

This proposed rule would not effect a taking of private property or otherwise have taking implications under Executive Order 12630, Governmental Actions and Interference with Constitutionally Protected Property Rights.

Civil Justice Reform

This proposed rule meets applicable standards in sections 3(a) and 3(b)(2) of Executive Order 12988, Civil Justice Reform, to minimize litigation, eliminate ambiguity, and reduce burden.

Protection of Children

We have analyzed this proposed rule under Executive Order 13045, Protection of Children from Environmental Health Risks and Safety Risks. This rule is not an economically significant rule and would not create an environmental risk to health or risk to safety that might disproportionately affect children.

Indian Tribal Governments

This proposed rule does not have tribal implications under Executive Order 13175, Consultation and Coordination with Indian Tribal Governments, because it would not have a substantial direct effect on one or more Indian tribes, on the relationship between the Federal Government and Indian tribes, or on the distribution of power and responsibilities between the Federal Government and Indian tribes.

Energy Effects

We have analyzed this proposed rule under Executive Order 13211, Actions Concerning Regulations That Significantly Affect Energy Supply, Distribution, or Use. We have determined that it is not a "significant energy action" under that order because it is not a "significant regulatory action" under Executive Order 12866 and is not likely to have a significant adverse effect on the supply, distribution, or use of energy. The Administrator of the Office of Information and Regulatory Affairs has not designated it as a significant energy action. Therefore, it does not require a Statement of Energy Effects under Executive Order 13211.

Technical Standards

The National Technology Transfer and Advancement Act (NTTAA) (15 U.S.C. 272 note) directs agencies to use voluntary consensus standards in their regulatory activities unless the agency provides Congress, through the Office of Management and Budget, with an explanation of why using these standards would be inconsistent with applicable law or otherwise impractical. Voluntary consensus standards are technical standards (e.g., specifications of materials, performance, design, or operation; test methods; sampling procedures; and related management systems practices) that are developed or adopted by voluntary consensus standards bodies.

This proposed rule does not use technical standards. Therefore, we did not consider the use of voluntary consensus standards.

Environment

We have analyzed this proposed rule under Department of Homeland Security Management Directive 023–01 and Commandant Instruction M16475.lD, which guide the Coast Guard in complying with the National Environmental Policy Act of 1969 (NEPA) (42 U.S.C. 4321–4370f), and have made a preliminary determination that this action is one of a category of actions, under paragraph 34(h), which do not individually or cumulatively have a significant effect on the human environment. This rule involves implementation of regulations within 33 CFR Part 100 that apply to organized marine events on the navigable waters of the United States that may have potential for negative impact on the safety or other interest of waterway users and shore side activities in the event area. The category of water activities includes but is not limited to sail boat regattas, boat parades, power boat racing, swimming events, crew racing, and sail board racing. Under figure 2–1, paragraph (34)(h), of the Instruction, an "Environmental Analysis Check List" and a "Categorical Exclusion Determination" are not required for this rule. We seek any comments or information that may lead to the discovery of a significant environmental impact from this proposed rule.

List of Subjects in 33 CFR Part 100

Marine safety, Navigation (water), Reporting and recordkeeping requirements, Waterways.

For the reasons discussed in the preamble, the Coast Guard proposes to amend 33 CFR part 100 as follows:

PART 100—SAFETY OF LIFE ON NAVIGABLE WATERS

1. The authority citation for part 100 continues to read as follows:

Authority: 33 U.S.C. 1233.

2. In § 100.501, suspend entries No. 13, No. 19, No. 21 and No. 23 in the Table to § 100.501.

3. In the Table to § 100.501, add entries 65, 66, 67, and 68 to read as follows:

§ 100.501–T05–1094 Special Local Regulations; Recurring Marine Event in the Fifth Coast Guard District.

* * * * *

Table To § 100.501.-All coordinates listed in the Table to § 100.501 reference Datum NAD 1983.

COAST GUARD SECTOR BALTIMORE—COTP ZONE

Number	Date	Event	Sponsor	Location
*	*	*	*	* * * *
65	April 2, 2011	Safety at Sea Seminar.	U.S. Naval Academy.	All waters of the Severn River from shoreline to shoreline, bounded to the northwest by a line drawn from the south shoreline at latitude 39°00′38.9″ N., longitude 076°31′05.2″ W. thence to the north shoreline at latitude 39°00′54.7″ N., longitude 076°30′44.8″ W., this line is approximately 1300 yards northwest of the U.S. 50 fixed highway bridge. The regulated area is bounded to the southeast by a line drawn from the Naval Academy Light at latitude 38°58′39.5″ N., longitude 076°28′49″ W. thence southeast to a point 700 yards east of Chinks Point, MD, at latitude 38°58′1.9″ N., longitude 076°28′1.7″ W. thence northeast to Greenbury Point at latitude 38°58′29″ N., longitude 076°27′16″ W.
*	*	*	*	* * * *
66	May 24 and 25, 2011.	Blue Angels Air Show.	U.S. Naval Academy.	All waters of the Severn River from shoreline to shoreline, bounded to the northwest by a line drawn from the south shoreline at latitude 39°00′38.9″ N., longitude 076°31′05.2″ W. thence to the north shoreline at latitude 39°00′54.7″ N., longitude 076°30′44.8″ W., this line is approximately 1300 yards northwest of the U.S. 50 fixed highway bridge. The regulated area is bounded to the southeast by a line drawn from the Naval Academy Light at latitude 38°58′39.5″ N., longitude 076°28′49″ W. thence southeast to a point 700 yards east of Chinks Point, MD, at latitude 38°58′1.9″ N., longitude 076°28′1.7″ W. thence northeast to Greenbury Point at latitude 38°58′29″ N., longitude 076°27′16″ W.
*	*	*	*	* * * *
67	June 25, 2011.	Maryland Swim for Life.	District of Columbia Aquatics Club.	The waters of the Chester River from shoreline to shoreline, bounded on the south by a line drawn at latitude 39°10′16″ N., near the Chester River Channel Buoy 35 (LLN–26795) and bounded on the north at latitude 39°12′30″ N by the Maryland S.R. 213 Highway Bridge.
*	*	*	*	* * * *
68	June 25 and 26, 2011.	Thunder on the Narrows.	Kent Narrows Racing Association.	All waters of Prospect Bay enclosed by the following points: Latitude 38°57′52.0″ N., longitude 076°14′48.0″ W., to latitude 38°58′02.0″ N., longitude 076°15′05.0″ W., to latitude 38°57′38.0″ N., longitude 076°15′29.0″ W., to latitude 38°57′28.0″ N., longitude 076°15′23.0″ W., to latitude 38°57′52.0″ N., longitude 076°14′48.0″ W.

* * * * *

Dated: December 16, 2010.

Mark P. O'Malley,

Captain, U.S. Coast Guard, Captain of the Port Baltimore, MD.

[FR Doc. 2011–169 Filed 1–10–11; 8:45 am]

BILLING CODE 9110–04–P

DEPARTMENT OF HOMELAND SECURITY

Coast Guard

33 CFR Parts 100 and 165

[Docket No. USCG–2010–0110]

RIN 1625–AA08; AA01

Special Local Regulations and Safety Zones; Recurring Events in Northern New England

AGENCY: Coast Guard, DHS.

ACTION: Notice of proposed rulemaking.

SUMMARY: The Coast Guard proposes to amend special local regulations and to establish permanent safety zones in the Coast Guard Northern New England Captain of the Port Zone for annual recurring marine events. When these special local regulations or safety zones are activated, and thus subject to enforcement, this rule would restrict vessels from portions of water areas during annual events listed in TABLES 1 and 2 that pose a hazard to public safety. The revised special local regulations and safety zones are proposed to reduce administrative overhead, expedite public notification of events, and to ensure the protection of the maritime public and event participants from the hazards associated with firework displays, boat races, and other marine events.

DATES: Comments and related material must be received by the Coast Guard on or before February 10, 2011. Requests for public meetings must be received by the Coast Guard on or before February 1, 2011.

ADDRESSES: You may submit comments identified by docket number USCG–2010–0110 using any one of the following methods:

(1) *Federal e-Rulemaking Portal: http://www.regulations.gov.*

(2) *Fax:* 202–493–2251.

(3) *Mail:* Docket Management Facility (M–30), U.S. Department of Transportation, West Building Ground Floor, Room W12–140, 1200 New Jersey Avenue, SE., Washington, DC 20590–0001.

(4) *Hand Delivery:* Same as mail address above, between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays. The telephone number is 202–366–9329.

To avoid duplication, please use only one of these four methods. See the "Public Participation and Request for Comments" portion of the **SUPPLEMENTARY INFORMATION** section below for instructions on submitting comments.

FOR FURTHER INFORMATION CONTACT: If you have questions on this proposed rule, call or e-mail Lieutenant Junior Grade Terence Leahy, Waterways Management Division at Coast Guard Sector Northern New England, telephone 207–767–0398, e-mail *Terence.O.Leahy@uscg.mil.* If you have questions on viewing or submitting material to the docket, call Renee V. Wright, Program Manager, Docket Operations, telephone 202–366–9826.

SUPPLEMENTARY INFORMATION:

Public Participation and Request for Comments

We encourage you to participate in this rulemaking by submitting comments and related materials. All comments received will be posted without change to *http://www.regulations.gov* and will include any personal information you have provided.

Submitting Comments

If you submit a comment, please include the docket number for this rulemaking (USCG–2010–0110), indicate the specific section of this document to which each comment applies, and provide a reason for each suggestion or recommendation. You may submit your comments and material online (via *http://www.regulations.gov*) or by fax, mail, or hand delivery, but please use only one of these means. If you submit a comment online via *http://www.regulations.gov,* it will be considered received by the Coast Guard when you successfully transmit the comment. If you fax, hand deliver, or mail your comment, it will be considered as having been received by the Coast Guard when it is received at the Docket Management Facility. We recommend that you include your name and a mailing address, an e-mail address, or a telephone number in the body of your document so that we can contact you if we have questions regarding your submission.

To submit your comment online, go to *http://www.regulations.gov,* click on the "submit a comment" box, which will then become highlighted in blue. In the "Document Type" drop down menu select "Proposed Rule" and insert "USCG–2010–0110" in the "Keyword" box. Click "Search" then click on the balloon shape in the "Actions" column. If you submit your comments by mail or hand delivery, submit them in an unbound format, no larger than 8½ by 11 inches, suitable for copying and electronic filing. If you submit comments by mail and would like to know that they reached the Facility, please enclose a stamped, self-addressed postcard or envelope. We will consider all comments and material received during the comment period and may change the rule based on your comments.

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Anyone can search the electronic form of comments received into any of our dockets by the name of the individual submitting the comment (or signing the comment, if submitted on behalf of an association, business, labor union, etc.). You may review a Privacy Act notice regarding our public dockets in the January 17, 2008, issue of the **Federal Register** (73 FR 3316).

Public Meeting

We do not now plan to hold a public meeting. But you may submit a request for one on or before February 1, 2011, using one of the four methods specified under **ADDRESSES**. Please explain why you believe a public meeting would be beneficial. If we determine that one would aid this rulemaking, we will hold one at a time and place announced by a later notice in the **Federal Register**.

For information on facilities or services for individuals with disabilities or to request special assistance at the public meeting, contact Lieutenant Junior Grade Terence Leahy at the telephone number or e-mail address indicated under the **FOR FURTHER INFORMATION CONTACT** section of this notice.

Basis and Purpose

Marine events are annually held on a recurring basis on the navigable waters within the Coast Guard Northern New England Captain of the Port Zone. These events include sailing regattas, powerboat races, rowboat races, parades, swim events, and fireworks displays. In the past, the Coast Guard has established special local regulations and regulated navigation areas for these events on a case by case basis to ensure the protection of the maritime public and event participants from the hazards associated with these marine events. Issuing individual regulations annually has proved to be administratively cumbersome.

This proposed rule will significantly relieve administrative overhead and consistently apprise the public in a timely manner through permanent publication in Title 33 of the Code of Federal Regulations. The TABLES in this proposed regulation list each recurring marine event requiring a regulated area as administered by the Coast Guard.

By establishing permanent regulations for these events, the Coast Guard will eliminate the need to establish temporary rules for events that occur on an annual basis. This provides opportunity for the public to comment while limiting the unnecessary burden of continually establishing temporary rules every year. Some of the events discussed below are duplicated in 33 CFR 100.114, a citation that no longer meets the Coast Guard's intended purposes. While 33 CFR part 100 is designed for Regattas and Marine Parades, 33 CFR part 165 is for Regulated Navigation Areas and Limited Access Areas. The Coast Guard has identified a number of events in 33 CFR part 100 which would be more appropriately located in 33 CFR part 165. This rulemaking will amend local regulations for events already contained in 33 CFR part 100 both to update event information as well as to move firework displays to part 165, a citation that better meets the Coast Guard's intended purpose of ensuring safety during these events.

In addition, the Coast Guard has promulgated safety zones or special local regulations for all of these 52 areas in the past, and has not received public comments or concerns regarding the impact to waterway traffic from these annually recurring events.

Discussion of Proposed Rule

The Coast Guard proposes to remove sections 33 CFR 100.107, 100.108, 100.109, 100.110, 100.111, 100.118, to revise 33 CFR 100.114, and to add 33 CFR 100.120, and 33 CFR 165.171. The proposed changes will effectively remove six outdated special local regulations and establish 52 new permanent regulated areas. The proposed rule will apply to each recurring marine event listed in the attached TABLES in the Coast Guard Northern New England Captain of the Port Zone. The TABLES provide the event name, sponsor, and type, as well as approximate dates and locations of the events. Additionally, the specific times, dates, regulated areas, and enforcement period for each event will be provided in a Notice of Enforcement published in the **Federal Register** and through Local Notice to Mariners and Broadcast Notice to Mariners prior to each event. The particular size of the

safety zones established for each event will be reevaluated on an annual basis in accordance with Navigational and Vessel Inspection Circular (NVIC) 07–02, Marine Safety at Firework Displays, the National Fire Protection Association Standard 1123, Code for Fireworks Displays (100-foot distance per inch of diameter of the fireworks mortars), and other pertinent regulations and publications.

This proposed regulation would prevent vessels from transiting areas specifically designated as special local regulations or safety zones during the periods of enforcement to ensure the protection of the maritime public and event participants from the hazards associated with listed marine events. Only event sponsors, designated participants, and official patrol vessels will be allowed to enter safety zones and special local regulation areas. Spectators and other vessels not registered as event participants may not enter the regulated areas without the permission of the Captain of the Port or his assigned representatives.

Regulatory Analyses

We developed this proposed rule after considering numerous statutes and executive orders related to rulemaking. Below we summarize our analyses based on 13 of these statutes or executive orders.

Regulatory Planning and Review

This proposed rule is not a significant regulatory action under section 3(f) of Executive Order 12866, Regulatory Planning and Review, and does not require an assessment of potential costs and benefits under section 6(a)(3) of that Order. The Office of Management and Budget has not reviewed it under that Order.

We expect the economic impact of this proposed rule to be so minimal that a full Regulatory Evaluation is unnecessary. Although this regulation may have some impact on the public, the potential impact will be minimized for the following reasons: vessels will only be restricted from safety zones and special local regulation areas for a short duration of time unless otherwise noted; vessels may transit in all portions of the affected waterway except for those areas covered by the proposed zones; the Coast Guard has promulgated safety zones or special local regulations in accordance with 33 CFR parts 100 and 165 for all event areas in the past and has not received notice of any negative impact caused by any of the safety zones or special local regulations; and notifications will also be made to the local maritime community by the Local Notice to Mariners and Broadcast Notice to Mariners well in advance of the events. The effect of this proposed action simply establishes the approximate dates on which the existing regulations would be enforced and consolidates them within one regulation. No new or additional restrictions will be imposed on vessel traffic.

Small Entities

Under the Regulatory Flexibility Act (5 U.S.C. 601–612), we have considered whether this proposed rule would have a significant economic impact on a substantial number of small entities. The term "small entities" comprises small businesses, not-for-profit organizations that are independently owned and operated and are not dominant in their fields, and governmental jurisdictions with populations of less than 50,000.

The Coast Guard certifies under 5 U.S.C. 605(b) that this proposed rule would not have a significant economic impact on a substantial number of small entities. This proposed rule would affect the following entities, some of which might be small entities: owners or operators of vessels intending to transit, fish, or anchor in the areas where marine events are being held. For the reasons outlined in the Regulatory Planning and Review section above, this rule would not have a significant impact on a substantial number of small entities.

If you think that your business, organization, or governmental jurisdiction qualifies as a small entity and that this rule would have a significant economic impact on it, please submit a comment (*see* **ADDRESSES**) explaining why you think it qualifies and how and to what degree this rule would economically affect it.

Assistance for Small Entities

Under section 213(a) of the Small Business Regulatory Enforcement Fairness Act of 1996 (Pub. L. 104–121), we want to assist small entities in understanding this proposed rule so that they can better evaluate its effects on them and participate in the rulemaking. If the rule would affect your small business, organization, or governmental jurisdiction and you have questions concerning its provisions or options for compliance, please contact the person listed under **FOR FURTHER INFORMATION CONTACT**. The Coast Guard will not retaliate against small entities that question or complain about this proposed rule or any policy or action of the Coast Guard.

Collection of Information

This proposed rule would call for no new collection of information under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501–3520).

Federalism

A rule has implications for federalism under Executive Order 13132, Federalism, if it has a substantial direct effect on State or local governments and would either preempt State law or impose a substantial direct cost of compliance on them. We have analyzed this proposed rule under that Order and have determined that it does not have implications for federalism.

Unfunded Mandates Reform Act

The Unfunded Mandates Reform Act of 1995 (2 U.S.C. 1531–1538) requires Federal agencies to assess the effects of their discretionary regulatory actions. In particular, the Act addresses actions that may result in the expenditure by a State, local, or tribal government, in the aggregate, or by the private sector of $100,000,000 (adjusted for inflation) or more in any one year. Though this proposed rule would not result in such expenditure, we do discuss the effects of this rule elsewhere in this preamble.

Taking of Private Property

This proposed rule would not effect a taking of private property or otherwise have taking implications under Executive Order 12630, Governmental Actions and Interference with Constitutionally Protected Property Rights.

Civil Justice Reform

This proposed rule meets applicable standards in sections 3(a) and 3(b)(2) of Executive Order 12988, Civil Justice Reform, to minimize litigation, eliminate ambiguity, and reduce burden.

Protection of Children

We have analyzed this proposed rule under Executive Order 13045, Protection of Children from Environmental Health Risks and Safety Risks. This rule is not an economically significant rule and would not create an environmental risk to health or risk to safety that might disproportionately affect children.

Indian Tribal Governments

This proposed rule does not have tribal implications under Executive Order 13175, Consultation and Coordination with Indian Tribal Governments, because it would not have a substantial direct effect on one or more Indian tribes, on the relationship

between the Federal Government and Indian tribes, or on the distribution of power and responsibilities between the Federal Government and Indian tribes.

Energy Effects

We have analyzed this proposed rule under Executive Order 13211, Actions Concerning Regulations That Significantly Affect Energy Supply, Distribution, or Use. We have determined that it is not a "significant energy action" under that order because it is not a "significant regulatory action" under Executive Order 12866 and is not likely to have a significant adverse effect on the supply, distribution, or use of energy. The Administrator of the Office of Information and Regulatory Affairs has not designated it as a significant energy action. Therefore, it does not require a Statement of Energy Effects under Executive Order 13211.

Technical Standards

The National Technology Transfer and Advancement Act (NTTAA) (15 U.S.C. 272) directs agencies to use voluntary consensus standards in their regulatory activities unless the agency provides Congress, through the Office of Management and Budget, with an explanation of why using these standards would be inconsistent with applicable law or otherwise impractical. Voluntary consensus standards are technical standards (*e.g.,* specifications of materials, performance, design, or operation; test methods; sampling procedures; and related management systems practices) that are developed or adopted by voluntary consensus standards bodies.

This proposed rule does not use technical standards. Therefore, we did not consider the use of voluntary consensus standards.

Environment

We have analyzed this proposed rule under Department of Homeland Security Management Directive 023–01 and Commandant Instruction M16475.lD, which guide the Coast Guard in complying with the National Environmental Policy Act of 1969 (NEPA) (42 U.S.C. 4321–4370f), and have made a preliminary determination that this action appears to be one of a category of actions which do not individually or cumulatively have a significant effect on the human environment.

A preliminary environmental analysis checklist supporting this determination is available in the docket where indicated under **ADDRESSES**. This proposed rule involves safety zones and special local regulations concerning water activities including boat regattas, parades and races, swimming events, and fireworks displays. This rule appears to be categorically excluded, under paragraphs (34)(g) and 34(h) of the Instruction.

We seek any comments or information that may lead to the discovery of a significant environmental impact from this proposed rule.

List of Subjects

33 CFR Part 100

Marine safety, Navigation (water), Reporting and recordkeeping requirements, Waterways.

33 CFR Part 165

Harbors, Marine safety, Navigation (water), Reporting and recordkeeping requirements, Security measures, Waterways.

For the reasons discussed in the preamble, the Coast Guard proposes to amend 33 CFR parts 100 and 165 as follows:

PART 100—SAFETY OF LIFE ON NAVIGABLE WATERS

1. The authority citation for part 100 reads as follows:

Authority: 33 U.S.C. 1233.

§§ 100.107, 100.108, 100.109, 100.110, 100.111, and 100.118 [Removed]

2. Remove §§ 100.107, 100.108, 100.109, 100.110, 100.111, and 100.118.

§ 100.114 [Amended]

3. In § 100.114, amend the table in paragraph (a) by removing the entries for 6.1, 7.3, 7.8, 7.12, 7.13, 7.14, 7.15, 7.41, 8.8, and 9.2,

4. Add a new § 100.120 to read as follows:

§ 100.120 Special Local Regulations; Marine Events Held in the Coast Guard Sector Northern New England Captain of the Port Zone.

The following regulations apply to the marine events listed in TABLE to § *100.120*. These regulations will be enforced for the duration of each event, on or about the dates indicated. Annual notice of the exact dates and times of the effective period of the regulations with respect to each event, the geographical description of each regulated area, and details concerning the nature of the event and the number of participants and type(s) of vessels involved will be published in a Notice of Enforcement in the **Federal Register** and in Local Notices to Mariners. Mariners should consult the **Federal Register** or their Local Notice to Mariners to remain apprised of schedule or event changes. First Coast Guard District Local Notice to Mariners can be found at: *http://www.navcen.uscg.gov/.* The Sector Northern New England Marine Events schedule can also be viewed electronically at *http://www.homeport.uscg.mil.*

Note to introductory paragraph of § 100.20: *Although listed in the Code of Federal Regulations, sponsors of events* listed in TABLE to § *100.120* are still required to submit marine event applications in accordance with 33 CFR 100.15.

(a) The Coast Guard may patrol each event area under the direction of a designated Coast Guard Patrol Commander. The Patrol Commander may be contacted on Channel 16 VHF–FM (156.8 MHz) by the call sign "PATCOM." Official patrol vessels may consist of any Coast Guard, Coast Guard Auxiliary, state, or local law enforcement vessels assigned or approved by the Captain of the Port, Sector Northern New England.

(b) Vessels may not transit the regulated areas without the Patrol Commander approval. Vessels permitted to transit must operate at a no wake speed, in a manner which will not endanger participants or other crafts in the event.

(c) Spectators or other vessels shall not anchor, block, loiter, or impede the transit of event participants or official patrol vessels in the regulated areas during the effective dates and times, or dates and times as modified through the Local Notice to Mariners, unless authorized by an official patrol vessel.

(d) The Patrol Commander may control the movement of all vessels in the regulated area. When hailed or signaled by an official patrol vessel, a vessel shall come to an immediate stop and comply with the lawful directions issued. Failure to comply with a lawful direction may result in expulsion from the area, citation for failure to comply, or both.

(e) The Patrol Commander may delay or terminate any marine event in this subpart at any time it is deemed necessary to ensure the safety of life or property.

(f) For all power boat races listed, vessels operating within the regulated area must be at anchor within a designated spectator area or moored to a waterfront facility in a way that will not interfere with the progress of the event.

(g) For all regattas and boat parades listed, spectator vessels operating within the regulated area shall maintain a separation of at least 50 yards from the participants.

(h) For all rowing and paddling boat races listed, vessels not associated with

the event shall maintain a separation of at least 50 yards from the participants.

TABLE TO § 100.120

5.0	MAY
5.1 Tall Ships Visiting Portsmouth	• Event Type: Regatta and Boat Parade. • Sponsor: Portsmouth Maritime Commission, Inc. • Date: A four day event from Friday through Monday during the last weekend in May, as specified in the USCG District 1 Local Notice to Mariners. • Time: 9:00 am to 8:00 pm each day. • Location: The regulated area includes all waters of Portsmouth Harbor, New Hampshire in the vicinity of Castle Island within the following points (NAD 83):43°03′11″ N, 070°42′26″ W;43°03′18″ N, 070°41′51″ W;43°04′42″ N, 070°42′11″ W;43°04′28″ N, 070°44′12″ W;43°05′36″ N, 070°45′56″ W;43°05′29″ N, 070°46′09″ W;43°04′19″ N, 070°44′16″ W;43°04′22″ N, 070°42′33″ W.
6.0	JUNE
6.1 Bar Harbor Blessing of the Fleet	• Event Type: Regatta and Boat Parade. • Sponsor: Town of Bar Harbor, Maine. • Date: A one day event on Sunday during the first weekend of June, as specified in the USCG District 1 Local Notice to Mariners. • Time: 12:00 pm to 1:30 pm. • Location: The regulated area includes all waters of Bar Harbor, Maine within the following points (NAD 83):44°23′32″ N, 068°12′19″ W;44°23′30″ N, 068°12′00″ W;44°23′37″ N, 068°12′00″ W;44°23′35″ N, 068°12′19″ W.
6.2 Charlie Begin Memorial Lobster Boat Races.	• Event Type: Power Boat Race. • Sponsor: Boothbay Harbor Lobster Boat Race Committee. • Date: A one day event on Saturday during the third weekend of June, as specified in the USCG District 1 Local Notice to Mariners. • Time: 10:00 am to 3:00 pm. • Location: The regulated area includes all waters of Boothbay Harbor, Maine in the vicinity of John's Island within the following points (NAD 83):43°50′04″ N, 069°38′37″ W;43°50′54″ N, 069°38′06″ W;43°50′49″ N, 069°37′50″ W;43°50′00″ N, 069°38′20″ W.
6.3 Rockland Harbor Lobster Boat Races	• Event Type: Power Boat Race. • Sponsor: Rockland Harbor Lobster Boat Race Committee. • Date: A one day event on Sunday during the third weekend of June, as specified in the USCG District 1 Local Notice to Mariners. • Time: 9:00 am to 5:00 pm. • Location: The regulated area includes all waters of Rockland Harbor, Maine in the vicinity of the Rockland Breakwater Light within the following points (NAD 83):44°05′59″ N, 069°04′53″ W;44°06′43″ N, 069°05′25″ W;44°06′50″ N, 069°05′05″ W;44°06′05″ N, 069°04′34″ W.
6.4 Windjammer Days Parade of Ships	• Event Type: Tall Ship Parade. • Sponsor: Boothbay Region Chamber of Commerce. • Date: A one day event on Wednesday during the last week of June, as specified in the USCG District 1 Local Notice to Mariners. • Time: 12:00 pm to 5:00 pm. • Location: The regulated area includes all waters of Boothbay Harbor, Maine in the vicinity of Tumbler's Island within the following points (NAD 83):43°51′02″ N, 069°37′33″ W;43°50′47″ N, 069°37′31″ W;43°50′23″ N, 069°37′57″ W;43°50′01″ N, 069°37′45″ W;43°50′01″ N, 069°38′31″ W;43°50′25″ N, 069°38′25″ W;43°50′49″ N, 069°37′45″ W.
7.0	JULY
7.1 Moosabec Lobster Boat Races	• Event Type: Power Boat Race. • Sponsor: Moosabec Boat Race Committee. • Date: A one day event held on July 4th, as specified in the USCG District 1 Local Notice to Mariners. • Time: 10:00 am to 12:30 pm. • Location: The regulated area includes all waters of Jonesport, Maine within the following points (NAD 83):44°31′21″ N, 067°36′44″ W;44°31′36″ N, 067°36′47″ W;44°31′44″ N, 067°35′36″ W;44°31′29″ N, 067°35′33″ W.
7.2 The Great Race	• Event Type: Rowing and Paddling Boat Race. • Sponsor: Franklin County Chamber of Commerce. • Date: A one day event on Sunday during the first week of July, as specified in the USCG District 1 Local Notice to Mariners. • Time: 10:00 am to 12:30 pm. • Location: The regulated area includes all waters of Lake Champlain in the vicinity of Saint Albans Bay within the following points (NAD 83):44°47′18″ N, 073°10′27″ W;44°47′10″ N, 073°08′51″ W.
7.3 Searsport Lobster Boat Races	• Event Type: Power Boat Race. • Sponsor: Searsport Lobster Boat Race Committee. • Date: A one day event on Saturday during the second week of July, as specified in the USCG District 1 Local Notice to Mariners.

TABLE TO § 100.120—Continued

	• Time: 9:00 am to 4:00 pm. • Location: The regulated area includes all waters of Searsport Harbor, Maine within the following points (NAD 83):44°26′50″ N, 068°55′20″ W;44°27′04″ N, 068°55′26″ W;44°27′12″ N, 068°54′35″ W;44°26′59″ N, 068°54′29″ W.
7.4 Stonington Lobster Boat Races	• Event Type: Power Boat Race. • Sponsor: Stonington Lobster Boat Race Committee. • Date: A one day event on Saturday during the second week of July, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:00 am to 3:30 pm. • Location: The regulated area includes all waters of Stonington, Maine within the following points (NAD 83):44°08′55″ N, 068°40′12″ W;44°09′00″ N, 068°40′15″ W;44°09′11″ N, 068°39′42″ W;44°09′07″ N, 068°39′39″ W.
7.5 Mayor's Cup Regatta	• Event Type: Sailboat Parade. • Sponsor: Plattsburgh Sunrise Rotary. • Date: A one day event on Saturday during the second week of July, as specified in the USCG District 1 Local Notice to Mariners. • Time: 10:00 am to 4:00 pm. • Location: The regulated area includes all waters of Cumberland Bay on Lake Champlain in the vicinity of Plattsburgh, New York within the following points (NAD 83):44°39′26″ N, 073°26′25″ W;44°41′27″ N, 073°23′12″ W.
7.6 The Challenge Race	• Event Type: Rowing and Paddling Boat Race. • Sponsor: Lake Champlain Maritime Museum. • Date: A one day event on Saturday during the third week of July, as specified in the USCG District 1 Local Notice to Mariners. • Time: 11:00 am to 3:00 pm. • Location: The regulated area includes all waters of Lake Champlain in the vicinity of Button Bay State Park within the following points (NAD 83):44°12′25″ N, 073°22′32″ W;44°12′00″ N, 073°21′42″ W;44°12′19″ N, 073°21′25″ W;44°13′16″ N, 073°21′36″ W.
7.7 Friendship Lobster Boat Races	• Event Type: Power Boat Race. • Sponsor: Friendship Lobster Boat Race Committee. • Date: A one day event on Saturday during the last week of July, as specified in the USCG District 1 Local Notice to Mariners. • Time: 9:30 am to 3:00 pm. • Location: The regulated area includes all waters of Friendship Harbor, Maine within the following points (NAD 83):43°57′51″ N, 069°20′46″ W;43°58′14″ N, 069°19′53″ W;43°58′19″ N, 069°20′01″ W;43°58′00″ N, 069°20′46″ W.
7.8 Arthur Martin Memorial Regatta	• Event Type: Rowing and Paddling Boat Race. • Sponsor: I Row. • Date: A one day event on Saturday during the last week of July, as specified in the USCG District 1 Local Notice to Mariners. • Time: 9:00 am to 1:00 pm. • Location: The regulated area includes all waters of the Piscataqua River, in the vicinity of Kittery Point, Maine within the following points (NAD 83):43°03′51″ N, 070°41′55″ W;43°04′35″ N, 070°42′18″ W;43°04′42″ N, 070°43′15″ W;43°05′14″ N, 070°43′12″ W;43°05′14″ N, 070°43′06″ W;43°04′44″ N, 070°43′11″ W;43°04′35″ N, 070°42′13″ W;43°03′53″ N, 070°41′40″ W.
7.9 Harpswell Lobster Boat Races	• Event Type: Power Boat Race. • Sponsor: Harpswell Lobster Boat Race Committee. • Date: A one day event on Sunday during the last week of July, as specified in the USCG District 1 Local Notice to Mariners. • Time: 10:00 am to 3:00 pm. • Location: The regulated area includes all waters of Potts Harbor, Maine within the following points (NAD 83):43°46′50″ N, 070°01′37″ W;43°46′50″ N, 070°01′18″ W;43°46′28″ N, 070°01′36″ W;43°46′28″ N, 070°01′19″ W.
8.0	AUGUST
8.1 Eggemoggin Reach Regatta	• Event Type: Wooden Boat Parade. • Sponsor: Rockport Marine, Inc. and Brookline Boat Yard. • Date: A one day event on Saturday during the first week of August, as specified in the USCG District 1 Local Notice to Mariners. • Time: 11:00 am to 7:00 pm. • Location: The regulated area includes all waters of Eggemoggin Reach and Jericho Bay in the vicinity of Naskeag Harbor, Maine within the following points (NAD 83):44°15′16″ N, 068°36′26″ W;44°12′41″ N, 068°29′26″ W;44°07′38″ N, 068°31′30″ W;44°12′54″ N, 068°33′46″ W.
8.2 Southport Rowgatta Rowing and Paddling Boat Race.	• Event Type: Rowing and Paddling Boat Race. • Sponsor: Boothbay Region YMCA. • Date: A one day event on Saturday during the second week of August, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:00 am to 3:00 pm.

TABLE TO § 100.120—Continued

	• Location: The regulated area includes all waters of Sheepscot Bay and Boothbay, on the shore side of Southport Island, Maine within the following points (NAD 83):43°50′26″ N, 069°39′10″ W;43°49′10″ N, 069°38′35″ W;43°46′53″ N, 069°39′06″ W;43°46′50″ N, 069°39′32″ W;43°49′07″ N, 069°41′43″ W;43°50′19″ N, 069°41′14″ W;43°51′11″ N, 069°40′06″ W.
8.3 Winter Harbor Lobster Boat Races	• Event Type: Power Boat Race. • Sponsor: Winter Harbor Chamber of Commerce. • Date: A one day event on Saturday during the second week of August, as specified in the USCG District 1 Local Notice to Mariners. • Time: 9:00 am to 3:00 pm. • Location: The regulated area includes all waters of Winter Harbor, Maine within the following points (NAD 83):44°22′06″ N, 068°05′13″ W;44°23′06″ N, 068°05′08″ W;44°23′04″ N, 068°04′37″ W;44°22′05″ N, 068°04′44″ W.
8.4 Lake Champlain Dragon Boat Festival	• Event Type: Rowing and Paddling Boat Race. • Sponsor: Dragonheart Vermont. • Date: A one day event on Sunday during the second week of August, as specified in the USCG District 1 Local Notice to Mariners. • Time: 7:00 am to 5:00 pm. • Location: The regulated area includes all waters of Burlington Bay within the following points (NAD 83):44°28′51″ N, 073°13′28″ W;44°28′40″ N, 073°13′40″ W;44°28′37″ N, 073°13′29″ W;44°28′40″ N, 073°13′17″ W.
8.5 Merritt Brackett Lobster Boat Races	• Event Type: Power Boat Race. • Sponsor: Town of Bristol, Maine. • Date: A one day event on Sunday during the second week of August, as specified in the USCG District 1 Local Notice to Mariners. • Time: 10:00 am to 3:00 pm. • Location: The regulated area includes all waters of Pemaquid Harbor, Maine within the following points (NAD 83):43°52′16″ N, 069°32′10″ W;43°52′41″ N, 069°31′43″ W;43°52′35″ N, 069°31′29″ W;43°52′09″ N, 069°31′56″ W.
8.6 Multiple Sclerosis Regatta	• Event Type: Regatta and Sailboat Race. • Sponsor: Maine Chapter, Multiple Sclerosis Society. • Date: A one day event on Saturday during the third week of August, as specified in the USCG District 1 Local Notice to Mariners. • Time: 10:00 am to 4:00 pm. • Location: The regulated area for the start of the race includes all waters of Casco Bay, Maine in the vicinity of Peaks Island within the following points (NAD 83):43°40′24″ N, 070°14′20″ W;43°40′36″ N, 070°13′56″ W;43°39′58″ N, 070°13′21″ W;43°39′46″ N, 070°13′51″ W.
8.7 Multiple Sclerosis Harborfest Tugboat Race.	• Event Type: Power Boat Race. • Sponsor: Maine Chapter, National Multiple Sclerosis Society. • Date: A one day event on Sunday during the third week of August, as specified in the USCG District 1 Local Notice to Mariners. • Time: 10:00 am to 3:00 pm. • Location: The regulated area includes all waters of Portland Harbor, Maine in the vicinity of Maine State Pier within the following points (NAD 83):43°40′25″ N, 070°14′21″ W;43°40′36″ N, 070°13′56″ W;43°39′58″ N, 070°13′21″ W;43°39′47″ N, 070°13′51″ W.
9.0	SEPTEMBER
9.1 Eastport Pirates Festival Lobster Boat Races.	• Event Type: Power Boat Race. • Sponsor: Eastport Pirates Festival. • Date: A one day event on Sunday during the second weekend of September, as specified in the USCG District 1 Local Notice to Mariners. • Time: 11:00 am to 6:00 pm. • Location: The regulated area includes all waters in the vicinity of Eastport Harbor, Maine within the following points (NAD 83):44°54′14″ N, 066°58′52″ W;44°54′14″ N, 068°58′56″ W;44°54′24″ N, 066°58′52″ W;44°54′24″ N, 066°58′56″ W.

PART 165—REGULATED NAVIGATION AREAS AND LIMITED ACCESS AREAS

5. The authority citation for part 165 reads as follows:

Authority: 33 U.S.C. 1226, 1231; 46 U.S.C. Chapter 701, 3306, 3703; 50 U.S.C. 191, 195; 33 CFR 1.05–1, 6.04–1, 6.04–6, and 160.5; Pub. L. 107–295, 116 Stat. 2064; Department of Homeland Security Delegation No. 0170.1.

6. Add a new § 165.171 to read as follows:

§ 165.171 Safety Zones for Fireworks Displays held in Coast Guard Sector Northern New England Captain of the Port Zone.

The Coast Guard is establishing safety zones for the fireworks displays listed in TABLE to § *165.171.* These regulations will be enforced for the duration of each event, on or about the dates indicated in TABLE to § *165.171.* Annual notice of the exact dates and times of the effective period of the regulations with respect to each firework displays, the geographical description of each regulated area, and details concerning the nature of the event and the number of participants and type(s) of vessels involved will be published in a Notice of Enforcement in the Federal Register and in Local Notices to Mariners. Mariners should consult the **Federal Register** and their Local Notice to Mariners to remain apprised of minor schedule or event changes. First Coast Guard District Local Notice to Mariners can be found at: *http://www.navcen.uscg.gov/.* The

Sector Northern New England Marine Events schedule can also be viewed electronically at: *http://www.homeport.uscg.mil*.

Note to introductory paragraph of § 165.171: *Although listed in the Code of Federal Regulations, sponsors of events listed in* TABLE to § *165.171 shall submit an application each year in accordance with 33 CFR 100.15.*

(a) The Coast Guard may patrol each event area under the direction of a designated Coast Guard Patrol Commander. The Patrol Commander may be contacted on Channel 16 VHF–FM (156.8 MHz) by the call sign "PATCOM." The "official patrol vessels" may consist of any Coast Guard, Coast Guard Auxiliary, state, or local law enforcement vessels assigned or approved by the Captain of the Port, Sector Northern New England.

(b) Vessels may not transit the regulated areas without Patrol Commander approval. Vessels permitted to transit must operate at a no wake speed, in a manner which will not endanger participants or other crafts in the event.

(c) Spectators or other vessels shall not anchor, block, loiter, or impede the movement of event participants or official patrol vessels in the regulated areas during the effective dates and times, or dates and times as modified through the Local Notice to Mariners, unless authorized by an official patrol vessel.

(d) The Patrol Commander may control the movement of all vessels in the regulated area. When hailed or signaled by an official patrol vessel, a vessel shall come to an immediate stop and comply with the lawful directions issued. Failure to comply with a lawful direction may result in expulsion from the area, citation for failure to comply, or both.

(e) The Patrol Commander may delay or terminate any event in this subpart at any time to assure safety. Such action may be justified as a result of weather, traffic density, spectator operation or participant behavior.

(f) For all swim events listed, vessels not associated with the event shall maintain a separation zone of 200 feet from participating swimmers.

(g) For all fireworks displays listed below, the regulated area is that area of navigable waters within a 350 yard radius of the launch platform or launch site for each fireworks display, unless modified in USCG District 1 Local Notice to Mariners at: *http://www.navcen.uscg.gov/*.

TABLE TO § 165.171

6.0	JUNE
6.1 Windjammer Days Fireworks	• Event Type: Fireworks Display. • Sponsor: Boothbay Harbor Region Chamber of Commerce. • Date: One night event on Wednesday during the last week of June, as specified in the USCG District 1 Local Notice to Mariners at:*www.navcen.uscg.gov/?pageName=lnmDistrict&region=1*. • Time: 8:00 pm to 10:30 pm. • Location: In the vicinity of McFarland Island, Boothbay Harbor, Maine in approximate position: 43°50′38″ N, 069°37′57″ W (NAD 83).
7.0	JULY
7.1 Burlington Independence Day Fireworks	• Event Type: Firework Display. • Sponsor: City of Burlington, Vermont. • Date: July 3rd, as specified in the USCG District 1 Local Notice to Mariners. • Time: 9:00 pm to 11:00 pm. • Location: From a barge in the vicinity of Burlington Harbor, Burlington, Vermont in approximate position: 44°28′31″ N, 073°13′31″ W (NAD 83).
7.2 Camden 3rd of July Fireworks	• Event Type: Fireworks Display. • Sponsor: Camden, Rockport, Lincolnville Chamber of Commerce. • Date: July 3rd, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:00 pm to 10:00 pm. • Location: In the vicinity of Hampton Beach, New Hampshire in approximate position: 44°12′32″ N, 069°02′58″ W (NAD 83).
7.3 Bangor 4th of July Fireworks	• Event Type: Fireworks Display. • Sponsor: Bangor 4th of July Fireworks. • Date: July 4th, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:00 pm to 10:30 pm. • Location: In the vicinity of the Bangor Waterfront, Bangor, Maine in approximate position: 44°47′27″ N, 068°46′31″ W (NAD 83).
7.4 Bar Harbor 4th of July Fireworks	• Event Type: Fireworks Display. • Sponsor: Bar Harbor Chamber of Commerce. • Date: July 4th, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:00 pm to 10:30 pm. • Location: In the vicinity of Bar Harbor Town Pier, Bar Harbor, Maine in approximate position: 44°23′31″ N, 068°12′15″ W (NAD 83).
7.5 Boothbay Harbor 4th of July Fireworks	• Event Type: Fireworks Display. • Sponsor: Town of Boothbay Harbor. • Date: July 4th, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:00 pm to 10:30 pm. • Location: In the vicinity of McFarland Island, Boothbay Harbor, Maine in approximate position: 43°50′38″ N, 069°37′57″ W (NAD 83).
7.6 Colchester 4th of July Fireworks	• Event Type: Fireworks Display. • Sponsor: Town of Colchester, Recreation Department. • Date: July 4th, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:00 pm to 10:00 pm.

TABLE TO § 165.171—Continued

	• Location: In the vicinity of Bayside Beach and Mallets Bay in Colchester, Vermont at approximate position: 44°32′44″ N, 073°13′10″ W (NAD 83).
7.7 Eastport 4th of July Fireworks	• Event Type: Fireworks Display. • Sponsor: Eastport 4th of July Committee. • Date: July 4th, as specified in the USCG District 1 Local Notice to Mariners. • Time: 9:00 pm to 9:30 pm. • Location: From the Waterfront Public Pier in Eastport, Maine at approximate position: 44°54′25″ N, 066°58′55″ W (NAD 83).
7.8 Hampton Beach 4th of July Fireworks	• Event Type: Fireworks Display. • Sponsor: Hampton Beach Village District. • Date: July 4th, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:30 pm to 11:00 pm. • Location: In the vicinity of Hampton Beach, New Hampshire in approximate position: 42°54′40″ N, 070°48′31″ W (NAD 83).
7.9 Jonesport 4th of July Fireworks	• Event Type: Fireworks Display. • Sponsor: Jonesport 4th of July Committee. • Date: July 4th, as specified in the USCG District 1 Local Notice to Mariners. • Time: 9:30 pm to 10:00 pm. • Location: In the vicinity of Beals Island, Jonesport, Maine in approximate position: 44°31′18″ N, 067°36′43″ W (NAD 83).
7.10 Main Street Heritage Days 4th of July Fireworks.	• Event Type: Fireworks Display. • Sponsor: Main Street Inc. • Date: July 4th, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:00 pm to 10:30 pm. • Location: In the vicinity of Reed and Reed Boat Yard, Woolwich, Maine in approximate position: 43°54′56″ N, 069°48′16″ W (NAD 83).
7.11 Portland Harbor 4th of July Fireworks	• Event Type: Fireworks Display. • Sponsor: Department of Parks and Recreation, Portland, Maine. • Date: July 4th, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:30 pm to 10:30 pm. • Location: In the vicinity of East End Beach, Portland, Maine in approximate position: 43°40′16″ N, 070°14′44″ W (NAD 83).
7.12 St. Albans Day Fireworks	• Event Type: Fireworks Display. • Sponsor: St. Albans Area Chamber of Commerce. • Date: July 4th, as specified in the USCG District 1 Local Notice to Mariners. • Time: 9:00 pm to 10:00 pm. • Location: From the St. Albans Bay dock in St. Albans Bay, Vermont in the approximate position: 44°48′25″ N, 073°08′23″ W (NAD 83).
7.13 Stonington 4th of July Fireworks	• Event Type: Fireworks Display. • Sponsor: Deer Isle—Stonington Chamber of Commerce. • Date: July 4th, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:00 pm to 10:30 pm. • Location: In the vicinity of Two Bush Island, Stonington, Maine in approximate position: 44°08′57″ N, 068°39′54″ W (NAD 83).
7.14 Urban/EPIC Triathlon	• Event Type: Swim Event. • Sponsor: Tri-Maine Productions. • Date: A one day event on Saturday during the second week of July, as specified in the USCG District 1 Local Notice to Mariners. • Time: 7:00 am to 11:00 am. • Location: The regulated area includes all waters of Portland Harbor in the vicinity of East End Beach in Portland, Maine within the following points (NAD 83): 43°40′00″ N, 070°14′20″ W; 43°40′00″ N, 070°14′00″ W; 43°40′15″ N, 070°14′29″ W; 43°40′17″ N, 070°13′22″ W.
7.15 Tri for a Cure Swim Clinics	• Event Type: Swim Event. • Sponsor: Maine Cancer Foundation. • Date: A two day event held on third Sunday and Thursday in July, as specified in the USCG District 1 Local Notice to Mariners. • Time: 12:30 pm to 7:30 pm. • Location: The regulated area includes all waters of Portland Harbor, Maine in the vicinity of Spring Point Light within the following points (NAD 83): 43°39′01″ N, 070°13′32″ W; 43°39′07″ N, 070°13′29″ W; 43°39′06″ N, 070°13′41″ W; 43°39′01″ N, 070°13′36″ W.
7.16 Richmond Days Fireworks	• Event Type: Fireworks Display. • Sponsor: Town of Richmond, Maine. • Date: A one day event on Saturday during the fourth weekend of July, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:00 pm to 10:00 pm. • Location: From a barge in the vicinity of the inner harbor, Tenants Harbor, Maine in approximate position: 44°08′42″ N, 068°27′06″ W (NAD 83).
7.17 Colchester Triathlon	• Event Type: Swim Event. • Sponsor: Colchester Parks and Recreation Department. • Date: A one day event on Wednesday during the last week of July, as specified in the USCG District 1 Local Notice to Mariners. • Time: 7:00 am to 11:00 am.

TABLE TO § 165.171—Continued

	• Location: The regulated area includes all waters of Malletts Bay on Lake Champlain, Vermont within the following points (NAD 83): 44°32′18″ N, 073°12′35″ W; 44°32′28″ N, 073°12′56″ W; 44°32′57″ N, 073°12′38″ W.
7.18 Peaks to Portland Swim	• Event Type: Swim Event. • Sponsor: Cumberland County YMCA. • Date: A one day event on Saturday during the last week of July, as specified in the USCG District 1 Local Notice to Mariners. • Time: 5:00 am to 1:00 pm. • Location: The regulated area includes all waters of Portland Harbor between Peaks Island and East End Beach in Portland, Maine within the following points (NAD 83): 43°39′20″ N, 070°11′58″ W; 43°39′45″ N, 070°13′19″ W; 43°40′11″ N, 070°14′13″ W; 43°40′08″ N, 070°14′29″ W; 43°40′00″ N, 070°14′23″ W; 43°39′34″ N, 070°13′31″ W; 43°39′13″ N, 070°11′59″ W.
8.0	AUGUST
8.1 Sprucewold Cabbage Island Swim	• Event Type: Swim Event. • Sponsor: Sprucewold Association. • Date: A one day event on Saturday during the first week of August, as specified in the USCG District 1 Local Notice to Mariners. • Time: 1:00 pm to 6:00 pm. • Location: The regulated area includes all waters of Linekin Bay between Cabbage Island and Sprucewold Beach in Boothbay Harbor, Maine within the following points (NAD 83): 43°50′37″ N, 069°36′23″ W; 43°50′37″ N, 069°36′59″ W; 43°50′16″ N, 069°36′46″ W; 43°50′22″ N, 069°36′21″ W.
8.2 Westerlund's Landing Party Fireworks	• Event Type: Fireworks Display. • Sponsor: Portside Marina. • Date: A one day event on Saturday during the first weekend of August, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:00 pm to 10:30 pm. • Location: In the vicinity of Westerlund's Landing in South Gardiner, Maine in approximate position:44°10′19″ N, 069°45′24″ W (NAD 83).
8.3 Y-Tri Triathlon	• Event Type: Swim Event. • Sponsor: Plattsburgh YMCA. • Date: A one day event on Saturday during the first week of August, as specified in the USCG District 1 Local Notice to Mariners. • Time: 9:00 am to 10:00 am. • Location: The regulated area includes all waters of Treadwell Bay on Lake Champlain in the vicinity of Point Au Roche State Park, Plattsburgh, New York within the following points (NAD 83): 44°46′30″ N, 073°23′26″ W; 44°46′17″ N, 073°23′26″ W; 44°46′17″ N, 073°23′46″ W; 44°46′29″ N, 073°23′46″ W.
8.4 Greater Burlington YMCA Lake Swim	• Event Type: Swim Event. • Sponsor: Greater Burlington YMCA. • Date: A one day event on Saturday during the second week of August, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:00 am to 6:00 pm. • Location: The regulated area includes all waters in Lake Champlain in the vicinity of North Hero Island within the following points (NAD 83): 44°46′55″ N, 073°22′14″ W; 44°47′08″ N, 073°19′05″ W; 44°46′48″ N, 073°17′13″ W; 44°46′10″ N, 073°16′39″ W; 44°41′08″ N, 073°20′58″ W; 44°41′36″ N, 073°23′01″ W.
8.5 Tri for a Cure Triathlon	• Event Type: Swim Event. • Sponsor: Maine Cancer Foundation. • Date: A one day event on the second Sunday in August, as specified in the USCG District 1 Local Notice to Mariners. • Time: 12:30 pm to 4:30 pm. • Location: The regulated area includes all waters of Portland Harbor, Maine in the vicinity of Spring Point Light within the following points (NAD 83): 43°39′01″ N, 070°13′32″ W; 43°39′07″ N, 070°13′29″ W; 43°39′06″ N, 070°13′41″ W; 43°39′01″ N, 070°13′36″ W.
8.6 Tri for a Cure Swim Clinics	• Event Type: Swim Event. • Sponsor: Maine Cancer Foundation. • Date: A two day event held on the first and second Saturday in August, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:30 am to 11:30 am. • Location: The regulated area includes all waters of Portland Harbor, Maine in the vicinity of Spring Point Light within the following points (NAD 83): 43°39′01″ N, 070°13′32″ W; 43°39′07″ N, 070°13′29″ W; 43°39′06″ N, 070°13′41″ W; 43°39′01″ N, 070°13′36″ W.
8.7 Rockland Breakwater Swim	• Event Type: Swim Event. • Sponsor: Pen-Bay Masters. • Date: A one day event on Saturday during the fourth week of August, as specified in the USCG District 1 Local Notice to Mariners. • Time: 7:30 am to 1:30 pm.

TABLE TO § 165.171—Continued

	• Location: The regulated area includes all waters of Rockland Harbor, Maine in the vicinity of Jameson Point within the following points (NAD 83): 44°06′16″ N, 069°04′39″ W; 44°06′13″ N, 069°04′36″ W; 44°06′12″ N, 069°04′43″ W; 44°06′17″ N, 069°04′44″ W; 44°06′18″ N, 069°04′40″ W.
9.0	SEPTEMBER
9.1 Windjammer Weekend Fireworks	• Event Type: Fireworks Display. • Sponsor: Town of Camden, Maine. • Date: A one day event on Friday during the first weekend of September, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:00 pm to 9:30 pm. • Location: From a barge in the vicinity of Northeast Point, Camden Harbor, Maine in approximate position: 44°12′10″ N, 069°03′11″ W (NAD 83).
9.2 The Lobsterman Triathlon	• Event Type: Swim Event. • Sponsor: Tri-Maine Productions. • Date: A one day swim event on Saturday during the second weekend of September, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:00 am to 11:00 am. • Location: The regulated area includes all waters in the vicinity of Winslow Park in South Freeport, Maine within the following points (NAD 83): 43°47′59″ N, 070°06′56″ W; 43°47′44″ N, 070°06′56″ W; 43°47′44″ N, 070°07′27″ W; 43°47′57″ N, 070°07′27″ W.
9.3 Burlington Triathlon	• Event Type: Swim Event. • Sponsor: Race Vermont. • Date: A one day swim event on Sunday during the second weekend of September, as specified in the USCG District 1 Local Notice to Mariners. • Time: 7:00 am to 10:00 am. • Location: The regulated area includes all waters in the vicinity of North Beach, Burlington, Vermont within the following points (NAD 83): 44°29′31″ N 073°14′22″ W; 44°29′12″ N 073°14′14″ W; 44°29′17″ N 073°14′34″ W.
9.4 Eliot Festival Day Fireworks	• Event Type: Fireworks Display. • Sponsor: Eliot Festival Day Committee. • Date: A one day event on Saturday during the fourth weekend of September, as specified in the USCG District 1 Local Notice to Mariners. • Time: 8:00 pm to 10:30 pm. • Location: In the vicinity of Eliot Town Boat Launch, Eliot, Maine in approximate position: 43°08′56″ N, 070°49′52″ W (NAD 83).

Dated: December 21, 2010.

J.B. McPherson,

Captain, U.S. Coast Guard, Captain of the Port Sector Northern New England.

[FR Doc. 2011–173 Filed 1–10–11; 8:45 am]

BILLING CODE 9110–04–P

ENVIRONMENTAL PROTECTION AGENCY

40 CFR Part 52

[EPA–R06–OAR–2010–0846; FRL–9250–5]

Approval and Promulgation of Implementation Plans; New Mexico; Federal Implementation Plan for Interstate Transport of Pollution Affecting Visibility and Best Available Retrofit Technology Determination

AGENCY: Environmental Protection Agency (EPA).

ACTION: Notice of public hearing.

SUMMARY: On January 5, 2011, EPA published a proposal in the **Federal Register** to disapprove a portion of a State Implementation Plan (SIP) revision submitted by the State of New Mexico and promulgate a Federal Implementation Plan (FIP) to prevent emissions from New Mexico sources from interfering with other states' measures to protect visibility, and to address the requirement for best available retrofit technology (BART) for nitrogen oxide (NO_X) emissions. EPA has scheduled an open house and public hearing for the proposal to be held in Farmington, New Mexico on February 17, 2011. More information is provided in **SUPPLEMENTARY INFORMATION.**

DATES: The open house and public hearing will be held February 17, 2011, in Farmington, New Mexico.

ADDRESSES: The open house and public hearing will be held at San Juan College, 4601 College Boulevard, Computer Science Building, Room 7103, Farmington, New Mexico 87402, (505) 326–3311. The open house will begin at 3 p.m. and end at 5 p.m. local time. The public hearing will begin at 6 p.m.

FOR FURTHER INFORMATION CONTACT: Joe Kordzi, Air Planning Section (6PD–L), Environmental Protection Agency, Region 6, 1445 Ross Avenue, Suite 700, Dallas, Texas 75202–2733, telephone (214) 665–7186, fax number (214) 665–7263; e-mail address *kordzi.joe@epa.gov.*

SUPPLEMENTARY INFORMATION: Throughout this document whenever "we", "us", or "our" is used, we mean the EPA. On January 5, 2011 (76 FR 491), we published a proposal in the **Federal Register** to (1) disapprove a portion of a SIP revision submitted by the State of New Mexico and (2) promulgate a FIP to prevent emissions from New Mexico sources from interfering with other states' measures to protect visibility, and address the requirement for BART for NO_X emissions. Our proposal can be accessed through the regulations.gov Web site (Docket No. EPA–R06–OAR–2010–0846). We have scheduled an open house and public hearing for our proposal to be held on February 17, 2011, at San Juan College, 4601 College Boulevard, Computer Science Building, Room 7103, Farmington, New Mexico 87402, (505) 326–3311. The open house will begin at 3 p.m. and end at 5 p.m. local time. The public hearing will begin at 6 p.m.

The public hearing will provide interested parties the opportunity to present views or arguments concerning

our proposal. Interested parties may also submit written comments, as discussed in the proposal. Written statements and supporting information submitted during the comment period will be considered with the same weight as any oral comments and supporting information presented at the public hearing. We will not respond to comments during the public hearing. When we publish our final action, we will provide written responses to all oral and written comments received on our proposal. To provide opportunities for questions and discussion, we will hold an open house prior to the public hearing. During the open house, EPA staff will be available to informally answer questions on our proposed action. Any comments made to EPA staff during the open house must still be provided formally in writing or orally during the public hearing in order to be considered in the record.

Oral testimony may be limited to 5 minutes for each commenter to address the proposal. We will not be providing equipment for commenters to show overhead slides or make computerized slide presentations. Any person may provide written or oral comments and data pertaining to our proposal at the Public Hearing. Verbatim transcripts, in English, of the hearing and written statements will be included in the rulemaking docket.

Dated: January 4, 2011.

Carl E. Edlund,

Multimedia Planning and Permitting Division Director, Region 6.

[FR Doc. 2011–374 Filed 1–10–11; 8:45 am]

BILLING CODE 6560–50–P

ENVIRONMENTAL PROTECTION AGENCY

40 CFR Part 52

[EPA–R10–OAR–2010–1072, FRL–9250–2]

Approval and Promulgation of Implementation Plans; State of Idaho; Regional Haze State Implementation Plan and Interstate Transport Plan

AGENCY: Environmental Protection Agency (EPA).

ACTION: Proposed rule.

SUMMARY: EPA is proposing to approve a State Implementation Plan (SIP) revision submitted by the State of Idaho on October 25, 2010, as meeting the requirements of Clean Air Act (CAA) section 110(a)(2)(D)(i)(II) as it applies to visibility for the 1997 8-hour ozone and 1997 particulate matter (PM2.5) National Ambient Air Quality Standards (NAAQS). EPA is also proposing to approve a portion of the revision as meeting certain requirements of the regional haze program, including the requirements for best available retrofit technology (BART).

DATES: Written comments must be received at the address below on or before February 10, 2011.

ADDRESSES: Submit your comments, identified by Docket ID No. EPA–R10–OAR–2010–1072 by one of the following methods:

- *http://www.regulations.gov.* Follow the on-line instructions for submitting comments.
- *E-mail: R10-Public_Comments@epa.gov.*
- *Mail:* Steve Body, EPA Region 10, Suite 900, Office of Air, Waste and Toxics, 1200 Sixth Avenue, Seattle, WA 98101.
- *Hand Delivery:* EPA Region 10, 1200 Sixth Avenue, Suite 900, Seattle, WA 98101.

Attention: Steve Body, Office of Air, Waste and Toxics, AWT–107. Such deliveries are only accepted during normal hours of operation, and special arrangements should be made for deliveries of boxed information.

Instructions: Direct your comments to Docket ID No. EPA–R10–OAR–2010–1072. EPA's policy is that all comments received will be included in the public docket without change and may be made available online at *http://www.regulations.gov,* including any personal information provided, unless the comment includes information claimed to be Confidential Business Information (CBI) or other information whose disclosure is restricted by statute. Do not submit information that you consider to be CBI or otherwise protected through *http://www.regulations.gov* or e-mail. The *http://www.regulations.gov* Web site is an "anonymous access" system, which means EPA will not know your identity or contact information unless you provide it in the body of your comment. If you send an e-mail comment directly to EPA, without going through *http://www.regulations.gov,* your e-mail address will be automatically captured and included as part of the comment that is placed in the public docket and made available on the Internet. If you submit an electronic comment, EPA recommends that you include your name and other contact information in the body of your comment and with any disk or CD–ROM you submit. If EPA cannot read your comment due to technical difficulties and cannot contact you for clarification, EPA may not be able to consider your comment. Electronic files should avoid the use of special characters, any form of encryption, and be free of any defects or viruses.

Docket: All documents in the docket are listed in the *http://www.regulations.gov* index. Although listed in the index, some information is not publicly available (*e.g.,* CBI or other information whose disclosure is restricted by statute). Certain other material, such as copyrighted material, will be publicly available only in hard copy form. Publicly available docket materials are available either electronically at *http://www.regulations.gov* or in hard copy at the Office of Air, Waste and Toxics, EPA Region 10, 1200 Sixth Avenue, Seattle, WA 98101. EPA requests that if at all possible, you contact the individual listed below to view a hard copy of the docket.

FOR FURTHER INFORMATION CONTACT: Steve Body at telephone number (206) 553–0782, *body.steve@epa.gov,* or the above EPA, Region 10 address.

SUPPLEMENTARY INFORMATION: Throughout this document whenever "we," "us," or "our" is used, we mean the EPA. Information is organized as follows:

Table of Contents

I. Background for EPA's Proposed Action

In the CAA Amendments of 1977, Congress established a program to protect and improve visibility in the national parks and wilderness areas. *See*

CAA section 169(A). Congress amended the visibility provisions in the CAA in 1990 to focus attention on the problem of regional haze. *See* CAA section 169(B). EPA promulgated regulations in 1999 to implement sections 169A and 169B of the Act. These regulations require states to develop and implement plans to ensure reasonable progress toward improving visibility in mandatory Class I Federal areas [1] (Class I areas). 64 FR 35714 (July 1, 1999); *see also* 70 FR 39104 (July 6, 2005) and 71 FR 60612 (October 13, 2006).

In this action, EPA is proposing to approve certain provisions of Idaho's Regional Haze SIP submission addressing the requirements for best available retrofit technology (BART), the calculation of baseline and natural visibility conditions, and the statewide inventory of visibility-impairing pollutants. EPA is also proposing to approve the provisions of Idaho's SIP submittal addressing BART as meeting Idaho's obligations under section 110(a)(2)(D)(i)(I) of the Act for visibility. EPA is not taking action today on those provisions of the Regional Haze SIP submittal related to reasonable progress goals and the long term strategy.

A. Definition of Regional Haze

Regional haze is impairment of visual range or colorization caused by emission of air pollution produced by numerous sources and activities, located across a broad regional area. The sources include but are not limited to, major and minor stationary sources, mobile sources, and area sources including non-anthropogenic sources. Visibility impairment is primarily caused by fine particulate matter (PM2.5) or secondary aerosol formed in the atmosphere from precursor gasses (*e.g.,* sulfur dioxide, nitrogen oxides, and in some cases, ammonia and volatile organic compounds). Atmospheric fine particulate reduces clarity, color, and visual range of visual scenes. Visibility reducing fine particulate is primarily composed of sulfate, nitrate, organic carbon compounds, elemental carbon, and soil dust, and impairs visibility by scattering and absorbing light. Fine particulate can also cause serious health effects and mortality in humans, and contributes to environmental effects such as acid deposition and eutrophication.[2]

Data from the existing visibility monitoring network, the "Interagency Monitoring of Protected Visual Environments" (IMPROVE) monitoring network, show that visibility impairment caused by air pollution occurs virtually all the time at most national parks and wilderness areas. Average visual range in many Class I areas in the Western United States is 100–150 kilometers, or about one-half to two-thirds the visual range that would exist without manmade air pollution.[3] Visibility impairment also varies day-to-day and by season depending on variation in meteorology and emission rates.

B. Regional Haze Rules and Regulations

In section 169A of the 1977 CAA Amendments, Congress created a program for protecting visibility in the nation's national parks and wilderness areas. This section of the CAA establishes as a national goal the "prevention of any future, and the remedying of any existing, impairment of visibility in Class I areas which impairment results from manmade air pollution." CAA section 169A(a)(1). On December 2, 1980, EPA promulgated regulations to address visibility impairment in Class I areas that is "reasonably attributable" to a single source or small group of sources, *i.e.,* "reasonably attributable visibility impairment". 45 FR 80084. These regulations represented the first phase in addressing visibility impairment. EPA deferred action on regional haze that emanates from a variety of sources until monitoring, modeling and scientific knowledge about the relationships between pollutants and visibility impairment were improved.

Congress added section 169B to the CAA in 1990 to address regional haze issues. EPA promulgated a rule to address regional haze on July 1, 1999 (64 FR 35713) (the RHR). The RHR revised the existing visibility regulations to integrate into the regulation provisions addressing regional haze impairment and established a comprehensive visibility protection program for Class I areas. The requirements for regional haze, found at 40 CFR 51.308 and 51.309, are included in EPA's visibility protection regulations at 40 CFR 51.300–309. Some of the main elements of the regional haze requirements are summarized in section III of this rulemaking. The requirement to submit a regional haze SIP applies to all 50 states, the District of Columbia and the Virgin Islands.[4] 40 CFR 51.308(b) requires states to submit the first implementation plan addressing regional haze visibility impairment no later than December 17, 2007.

C. Roles of Agencies in Addressing Regional Haze

Successful implementation of the regional haze program will require long-term regional coordination among states, tribal governments and various Federal agencies. As noted above, pollution affecting the air quality in Class I areas can be transported over long distances, even hundreds of kilometers. Therefore, to effectively address the problem of visibility impairment in Class I areas, states need to develop strategies in coordination with one another, taking into account the effect of emissions from one jurisdiction on the air quality in another.

Because the pollutants that lead to regional haze impairment can originate from across state lines, EPA has encouraged the States and Tribes to address visibility impairment from a regional perspective. Five regional planning organizations (RPOs) were created nationally to address regional haze and related issues. One of the main objectives of the RPOs is to develop and analyze data and conduct pollutant transport modeling to assist the States or Tribes in developing their regional haze plans.

The Western Regional Air Partnership (WRAP), one of the five RPOs nationally, is a voluntary partnership of State, Tribal, Federal, and local air agencies dealing with air quality in the West. WRAP member States include: Alaska, Arizona, California, Colorado, Idaho, Montana, New Mexico, North Dakota, Oregon, South Dakota, Utah, Washington, and Wyoming. WRAP Tribal members include Campo Band of Kumeyaay Indians, Confederated Salish and Kootenai Tribes, Cortina Indian Rancheria, Hopi Tribe, Hualapai Nation

[1] Areas designated as mandatory Class I Federal areas consist of national parks exceeding 6,000 acres, wilderness areas and national memorial parks exceeding 5,000 acres, and all international parks that were in existence on August 7, 1977. 42 U.S.C. 7472(a). In accordance with section 169A of the CAA, EPA, in consultation with the Department of Interior, promulgated a list of 156 areas where visibility is identified as an important value. 44 FR 69122 (November 30, 1979). The extent of a mandatory Class I area includes subsequent changes in boundaries, such as park expansions. 42 U.S.C. 7472(a). Although states and tribes may designate as Class I additional areas which they consider to have visibility as an important value, the requirements of the visibility program set forth in section 169A of the CAA apply only to "mandatory Class I Federal areas." Each mandatory Class I Federal area is the responsibility of a "Federal Land Manager." 42 U.S.C. 7602(i). When we use the term "Class I area" in this action, we mean a "mandatory Class I Federal area."

[2] *See* 64 FR at 35715.

[3] *Id.*

[4] Albuquerque/Bernalillo County in New Mexico must also submit a regional haze SIP to completely satisfy the requirements of section 110(a)(2)(D) of the CAA for the entire State of New Mexico under the New Mexico Air Quality Control Act (section 74–2–4).

of the Grand Canyon, Native Village of Shungnak, Nez Perce Tribe, Northern Cheyenne Tribe, Pueblo of Acoma, Pueblo of San Felipe, and Shoshone-Bannock Tribes of Fort Hall.

D. Interstate Transport for Visibility

On July 18, 1997, EPA promulgated new NAAQS for 8-hour ozone and for PM2.5. 62 FR 38652. Section 110(a)(1) of the CAA requires states to submit a plan to address certain requirements for a new or revised NAAQS within three years after promulgation of such standards, or within such shorter time as EPA may prescribe. Section 110(a)(2) of the CAA lists the elements that such new plan submissions must address, as applicable, including section 110(a)(2)(D)(i), which pertains to the interstate transport of certain emissions.

On April 25, 2005, EPA published a "Finding of Failure to Submit SIPs for Interstate Transport for the 8-hour Ozone and PM2.5 NAAQS." 70 FR 21147. This included a finding that Idaho and other states had failed to submit SIPs to address interstate transport of emissions affecting visibility and started a 2-year clock for the promulgation of a Federal Implementation Plan (FIP) by EPA, unless the state made a submission to meet the requirements of section 110(a)(2)(D)(i) and EPA approves such submission. *Id.*

On August 15, 2006, EPA issued guidance on this topic entitled "Guidance for State Implementation Plan (SIP) Submissions to Meet Current Outstanding Obligations Under section 110(a)(2)(D)(i) for the 8-Hour Ozone and PM2.5 National Ambient Air Quality Standards" (2006 Guidance). We developed the 2006 Guidance to make recommendations to states for making submissions to meet the requirements of section 110(a)(2)(D)(i) for the 1997 8-hour ozone standards and the 1997 PM2.5 standards.

As identified in the 2006 Guidance, the "good neighbor" provisions in section 110(a)(2)(D)(i) of the CAA require each state to have a SIP that prohibits emissions that adversely affect other states in ways contemplated in the statute. Section 110(a)(2)(D)(i) contains four distinct requirements related to the impacts of interstate transport. The SIP must prevent sources in the state from emitting pollutants in amounts which will: (1) Contribute significantly to nonattainment of the NAAQS in other states; (2) interfere with maintenance of the NAAQS in other states; (3) interfere with provisions to prevent significant deterioration of air quality in other states; or (4) interfere with efforts to protect visibility in other states.

With respect to establishing that emissions from sources in the state would not interfere with measures in other states to protect visibility, the 2006 Guidance recommended that states make a submission indicating that it was premature, at that time, to determine whether there would be any interference with measures in the applicable SIP for another state designed to "protect visibility" until the submission and approval of regional haze SIPs. Regional haze SIPs were required to be submitted by December 17, 2007. *See* 74 FR 2392. At this later point in time, however, EPA believes it is now necessary to evaluate such 110(a)(2)(D)(i) submissions from a state to ensure that the existing SIP, or the SIP as modified by the submission, contains adequate provisions to prevent interference with the visibility programs of other states, such as for consistency with the assumptions for controls relied upon by other states in establishing reasonable progress goals to address regional haze.

The regional haze program, as reflected in the RHR, recognizes the importance of addressing the long-range transport of pollutants for visibility and encourages states to work together to develop plans to address haze. The regulations explicitly require each state to address its "share" of the emission reductions needed to meet the reasonable progress goals for neighboring Class I areas. States working together through a regional planning process, are required to address an agreed upon share of their contribution to visibility impairment in the Class I areas of their neighbors. 40 CFR 51.308(d)(3)(ii). Given these requirements, we anticipate that regional haze SIPs will contain measures that will achieve these emissions reductions, and that these measures will meet the requirements of section 110(a)(2)(D)(i).

As a result of the regional planning efforts in the West, all states in the WRAP region contributed information to a Technical Support System (TSS) which provides an analysis of the causes of haze, and the levels of contribution from all sources within each state to the visibility degradation of each Class I area. The WRAP States consulted in the development of reasonable progress goals, using the products of this technical consultation process to co-develop their reasonable progress goals for the Western Class I areas. The modeling done by the WRAP relied on assumptions regarding emissions over the relevant planning period and embedded in these assumptions were anticipated emissions reductions in each of the States in the WRAP, including reductions from BART and other measures to be adopted as part of the State's long term strategy for addressing regional haze. The reasonable progress goals in the draft and final regional haze SIPs that have now been prepared by States in the West accordingly are based, in part, on the emissions reductions from nearby States that were agreed on through the WRAP process.

Idaho submitted a Regional Haze SIP on October 25, 2010, to address the requirements of the RHR and the good neighbor provisions of section 110(a)(2)(D)(i) regarding visibility for the 1997 8-hour ozone NAAQS and the 1997 PM2.5 NAAQS. EPA has reviewed the submittal and concluded at this time to propose to take action on only certain elements of Idaho's Regional Haze SIP. EPA is required at this time, to propose to take action either to approve Idaho's SIP submittal, or otherwise to take action to meet the requirements of section 110(a)(2)(D)(i)(II) regarding visibility.[5] EPA is proposing to find that certain elements of Idaho's Regional Haze SIP submittal meet these requirements. In particular, as explained in section IV of this action, EPA is proposing to find that the BART measures in Idaho's Regional Haze SIP submittal, which EPA is proposing to approve in this action, will also mean that the Idaho SIP meets the requirements of section 110(a)(2)(D)(i)(II) regarding visibility for the 1997 8-hour ozone and 1997 PM2.5 NAAQS.

II. Requirements for Regional Haze SIPs

A. The CAA and the Regional Haze Rule

Regional haze SIPs must assure reasonable progress towards the national goal of achieving natural visibility conditions in Class I areas. Section 169A of the CAA and EPA's implementing regulations require states to establish long-term strategies for making reasonable progress toward meeting this goal. Implementation plans must also give specific attention to certain stationary sources that were in existence on August 7, 1977, but were not in operation before August 7, 1962, and require these sources, where appropriate, to install BART controls for the purpose of eliminating or reducing visibility impairment. The specific regional haze SIP requirements are discussed in further detail below.

[5] *Wildearth Guardians* v. *Jackson,* Case No. 4:09–CV–02453–CW (N.D. Calif.)

B. Determination of Baseline, Natural, and Current Visibility Conditions

The RHR establishes the deciview (dv) as the principal metric for measuring visibility. This visibility metric expresses uniform changes in haziness in terms of common increments across the entire range of visibility conditions, from pristine to extremely hazy conditions. Visibility is determined by measuring the visual range (or deciview), which is the greatest distance, in kilometers or miles, at which a dark object can be viewed against the sky. The deciview is a useful measure for tracking progress in improving visibility, because each deciview change is an equal incremental change in visibility perceived by the human eye. Most people can detect a change in visibility at one deciview.[6]

The deciview is used in expressing reasonable progress goals (which are interim visibility goals towards meeting the national visibility goal), defining baseline, current, and natural conditions, and tracking changes in visibility. The regional haze SIPs must contain measures that ensure "reasonable progress" toward the national goal of preventing and remedying visibility impairment in Class I areas caused by manmade air pollution by reducing anthropogenic emissions that cause regional haze. The national goal is a return to natural conditions, *i.e.,* manmade sources of air pollution would no longer impair visibility in Class I areas.

To track changes in visibility over time at each of the 156 Class I areas covered by the visibility program (40 CFR 81.401–437), and as part of the process for determining reasonable progress, states must calculate the degree of existing visibility impairment at each Class I area at the time of each regional haze SIP submittal and periodically review progress every five years midway through each 10-year implementation period. To do this, the RHR requires states to determine the degree of impairment (in deciviews) for the average of the 20% least impaired ("best") and 20% most impaired ("worst") visibility days over a specified time period at each of their Class I areas. In addition, states must also develop an estimate of natural visibility conditions for the purpose of comparing progress toward the national goal. Natural visibility is determined by estimating the natural concentrations of pollutants that cause visibility impairment and then calculating total light extinction based on those estimates. EPA has provided guidance to states regarding how to calculate baseline, natural and current visibility conditions in documents titled, EPA's *Guidance for Estimating Natural Visibility Conditions Under the Regional Haze Rule,* September 2003, (EPA-454/B-03-005 located at *http://www.epa.gov/ttncaaa1/t1/memoranda/rh_envcurhr_gd.pdf),* (hereinafter referred to as "EPA's 2003Natural Visibility Guidance"), and *Guidance for Tracking Progress Under the Regional Haze Rule* (EPA–454/B–03–004 September 2003 located at *http://www.epa.gov/ttncaaa1/t1/memoranda/rh_tpurhr_gd.pdf*), (hereinafter referred to as "EPA's 2003 Tracking Progress Guidance").

For the first regional haze SIPs that were due by December 17, 2007, "baseline visibility conditions" were the starting points for assessing "current" visibility impairment. Baseline visibility conditions represent the degree of visibility impairment for the 20% least impaired days and 20% most impaired days for each calendar year from 2000 to 2004. Using monitoring data for 2000 through 2004, states are required to calculate the average degree of visibility impairment for each Class I area, based on the average of annual values over the five-year period. The comparison of initial baseline visibility conditions to natural visibility conditions indicates the amount of improvement necessary to attain natural visibility, while the future comparison of baseline conditions to the then current conditions will indicate the amount of progress made. In general, the 2000–2004 baseline time period is considered the time from which improvement in visibility is measured.

C. Consultation With States and Federal Land Managers

The RHR requires that states consult with Federal Land Managers (FLMs) before adopting and submitting their SIPs. 40 CFR 51.308(i). States must provide FLMs an opportunity for consultation, in person and at least 60 days prior to holding any public hearing on the SIP. This consultation must include the opportunity for the FLMs to discuss their assessment of visibility impairment in any Class I area and to offer recommendations on the development of the reasonable progress goals and on the development and implementation of strategies to address visibility impairment. Further, a state must include in its SIP a description of how it addressed any comments provided by the FLMs. Finally, a SIP must provide procedures for continuing consultation between the state and FLMs regarding the state's visibility protection program, including development and review of SIP revisions, five-year progress reports, and the implementation of other programs having the potential to contribute to impairment of visibility in Class I areas.

D. Best Available Retrofit Technology

Section 169A of the CAA directs states to evaluate the use of retrofit controls at certain larger, often uncontrolled, older stationary sources in order to address visibility impacts from these sources. Specifically, section 169A(b)(2)(A) of the CAA requires states to revise their SIPs to contain such measures as may be necessary to make reasonable progress towards the natural visibility goal, including a requirement that certain categories of existing major stationary sources[7] built between 1962 and 1977 procure, install, and operate the "Best Available Retrofit Technology" as determined by the state. States are directed to conduct BART determinations for such sources that may be anticipated to cause or contribute to any visibility impairment in a Class I area. Rather than requiring source-specific BART controls, states also have the flexibility to adopt an emissions trading program or other alternative program as long as the alternative provides greater reasonable progress towards improving visibility than BART.

On July 6, 2005, EPA published the *Guidelines for BART Determinations Under the Regional Haze Rule* at appendix Y to 40 CFR Part 51 (hereinafter referred to as the "BART Guidelines") to assist states in determining which of their sources should be subject to the BART requirements and in determining appropriate emission limits for each applicable source. In making a BART applicability determination for a fossil fuel-fired electric generating plant with a total generating capacity in excess of 750 megawatts, a state must use the approach set forth in the BART Guidelines. A state is encouraged, but not required, to follow the BART Guidelines in making BART determinations for other types of sources.

States must address all visibility-impairing pollutants emitted by a source in the BART determination process. The most significant visibility impairing pollutants are SO_2, NO_X, and PM. EPA has indicated that states should use their best judgment in determining

[6] The preamble to the RHR provides additional details about the deciview. 64 FR 35714, 35725 (July 1, 1999).

[7] The set of "major stationary sources" potentially subject to BART is listed in CAA section 169A(g)(7).

whether VOC or NH_3 compounds impair visibility in Class I areas.

The RPOs provided air quality modeling to the states to help them in determining whether potential BART sources can be reasonably expected to cause or contribute to visibility impairment in a Class I area. Under the BART Guidelines, states may select an exemption threshold value for their BART modeling, below which a BART-eligible source would not be expected to cause or contribute to visibility impairment in any Class I area. The state must document this exemption threshold value in the SIP and must state the basis for its selection of that value. Any source with emissions that model above the threshold value would be subject to a BART determination review. The BART Guidelines acknowledge varying circumstances affecting different Class I areas. States should consider the number of emission sources affecting the Class I areas at issue and the magnitude of the individual sources' impacts. Generally, an exemption threshold set by the state should not be higher than 0.5 deciview.

In their SIPs, states must identify potential BART sources, described as "BART-eligible sources" in the RHR, and document their BART control determination analyses. The term "BART-eligible source" used in the BART Guidelines means the collection of individual emission units at a facility that together comprises the BART-eligible source. In making BART determinations, section 169A(g)(2) of the CAA requires that states consider the following factors: (1) The costs of compliance, (2) the energy and non-air quality environmental impacts of compliance, (3) any existing pollution control technology in use at the source, (4) the remaining useful life of the source, and (5) the degree of improvement in visibility which may reasonably be anticipated to result from the use of such technology. States are free to determine the weight and significance to be assigned to each factor.

A regional haze SIP must include source-specific BART emission limits and compliance schedules for each source subject to BART. Once a state has made its BART determination, the BART controls must be installed and in operation as expeditiously as practicable, but no later than five years after the date EPA approves the regional haze SIP. CAA section 169(g)(4). 40 CFR 51.308(e)(1)(iv). In addition to what is required by the RHR, general SIP requirements mandate that the SIP must also include all regulatory requirements related to monitoring, recordkeeping, and reporting for the BART controls on the source. States have the flexibility to choose the type of control measures they will use to meet the requirements of BART.

III. EPA's Analysis of Idaho Regional Haze SIP

A. Affected Class I Areas

There are five mandatory Class I areas, or portions of such areas, within Idaho. Craters of the Moon National Monument, Sawtooth Wilderness Area, and Selway-Bitterroot Wilderness Area lie completely within Idaho State borders. Hells Canyon Wilderness Area is a shared Class I area with Oregon, and Yellowstone National Park is a shared Class I area with Wyoming. See 40 CFR 81.410. Oregon and Wyoming respectively will address reasonable progress goals, monitoring, and other core requirements for these Class I areas. Idaho consulted with Oregon and Wyoming to determine Idaho's contribution to regional haze in those Class I areas and to determine appropriate measures for Idaho's long-term strategy. *See* chapter 13, section 13.2 of the Idaho Regional Haze SIP submittal. *See also* the WRAP Technical Support Document [8] (WRAP TSD) supporting this action.

The Idaho SIP submittal addresses the three Class I areas that are completely within the State border and, as appropriate, Class I areas with shared jurisdiction with Oregon and Wyoming and Class I areas in neighboring states.

B. Baseline and Natural Conditions

Idaho, using data from the IMPROVE monitoring network and analyzed by WRAP, established baseline and natural visibility conditions as well as the uniform rate of progress (URP) to achieve natural visibility conditions in 2064 for all Idaho Class I areas within its borders. While Idaho is responsible for establishing baseline and natural conditions for three Class I areas, the SIP also included these values for Hells Canyon Wilderness Area and Yellowstone National Park, as determined by WRAP and established by Oregon and Wyoming.

Baseline visibility was calculated from monitoring data collected by IMPROVE monitors for the most-impaired (20% worst) days and the least-impaired (20% best) days. Idaho used the WRAP derived natural visibility conditions. In general, WRAP based their estimates on EPA guidance, *Guidance for Estimating Natural Visibility Conditions Under the Regional Haze Program* (EPA–45/B–03–0005 September 2003) but incorporated refinements which EPA believes provides results more appropriate for western states than the general EPA default approach. *See* section 2.E of the WRAP TSD.

Craters of the Moon National Monument: An IMPROVE monitor is located in Craters of the Moon National Monument. Based on baseline 2000 to 2004 data, the average 20% worst days visibility is 14 dv and the average 20% best days visibility is 4.3 dv. Natural visibility for the average 20% worst days is 7.53 dv.

Hells Canyon Wilderness Area: Hells Canyon Wilderness Area has an IMPROVE monitor located within the Wilderness Area at Oxbow Dam. Based on baseline 2000 to 2004 data, Oregon determined the average 20% worst days visibility is 18.55 dv and the average 20% best days visibility is 5.52 dv. Natural visibility for the average 20% worst days is 8.32 dv.

Sawtooth Wilderness Area: Sawtooth Wilderness Area has an IMPROVE monitor located within the Wilderness Area. Based on baseline 2000 to 2004 data, the average 20% worst days visibility is 13.78 dv and the average 20% best days visibility is 3.99 dv. Natural visibility for the average 20% worst days is 6.42 dv.

Selway-Bitterroot Wilderness Area: Selway-Bitterroot Wilderness Area visibility is represented by an IMPROVE monitor located 20 km east of the Wilderness Area in Sula, Montana. This site also represents visibility in the Anaconda-Pintler Wilderness Area. Based on baseline 2000 to 2004 data, the average 20% worst days visibility is 13.41 dv and the average 20% best days visibility is 2.58 dv for both areas. Natural visibility for the Selway-Bitteroot and the Anaconda-Pintler Wilderness Areas average 20% worst days is 7.43 dv.

Yellowstone National Park: Yellowstone National Park has an IMPROVE monitor located within the park. Based on baseline 2000 to 2004 data Wyoming determined the average 20% worst days visibility is 11.76 dv and the average 20% best days visibility is 2.58 dv. Natural visibility for the average 20% worst days is 6.24 dv.

Based on our evaluation of the State's baseline and natural conditions analysis, EPA is proposing to find that Idaho has appropriately determined baseline visibility for the average 20% worst and 20% best days and natural visibility conditions for the average 20%

[8] EPA evaluated the technical work products of the WRAP used by Idaho in support of this Regional Haze SIP submittal. The results of that evaluation are included in the document "WRAP Technical Support Document" or WRAP TSD.

worst days in each Class I area within the state. *See* the WRAP TSD supporting this action (section 2.D and 2.E).

C. Idaho Emission Inventories

There are three main categories of air pollution emission sources: Point sources, area sources, and mobile sources. Point sources are larger stationary sources that emit pollutants through a stack or duct. Area sources are large numbers of small sources that are widely distributed across an area, such as residential heating units or re-entrained dust from unpaved roads or windblown dust form agricultural fields. Mobile sources are sources such as motor vehicles, locomotives and aircraft.

The RHR requires a statewide emission inventory of pollutants that are reasonably anticipated to cause or contribute to visibility impairment in any mandatory Class I area. 40 CFR 51.308(d)(4)(v). The WRAP, with data supplied by the states, compiled emission inventories for all major source categories in Idaho for the 2002 baseline year and estimated emission inventories for 2018. Emission estimates for 2018 were generated from anticipated population growth, growth in industrial activity, and emission reductions from implementation of control measures, e.g., implementation of BART limitations, and motor vehicle tailpipe emissions. Appendix D of the Idaho Regional Haze SIP discusses how emission estimates were determined and contains the emission inventory. Detailed estimates of the emissions, used in the modeling conducted by the WRAP and Idaho, can be found at the WRAP Web site: *http://vista.cira.colostate.edu/TSS/Results/Emissions.aspx.*

There are a number of emission inventory source categories identified in the Idaho SIP: point, area, on-road mobile, off-road mobile, anthropogenic fire (prescribed forest fire, agricultural field burning, and residential wood combustion), natural fire, road dust, fugitive dust and windblown dust. The 2002 baseline and 2018 projected emissions, as well as the net changes of emissions between these two years, are presented in Tables 8–1 through 8–8 in the SIP submittal for SO_2, NO_X, Volatile Organic Carbon (VOC), Organic Carbon (OC), Elemental Carbon (EC), fine particulate (PM2.5), coarse particulate (PM coarse) and ammonia. The methods that WRAP used to develop these emission inventories are described in more detail in the WRAP TSD. As explained in the WRAP TSD, emissions were calculated using best available data and approved EPA methods. *See* WRAP TSD section 12.

SO_2 emissions in Idaho come mostly from coal combustion at industrial boilers and from other industrial activities. SO_2 emissions estimates for point sources came either from source test data (where available) or calculations based on fuel type and quantity burned. These industrial point sources contribute 45% of total statewide SO_2 emissions. The second largest contributor to SO_2 emissions in Idaho is fire: 31% from natural fire and 2% from anthropogenic fire.

Idaho projects a 45% statewide reduction in point source SO_2 emissions by 2018 due to implementation of BART emission limitations. Idaho also projects total 2018 statewide SO_2 emissions to be reduced by 33.9% below 2002 levels as a result of BART and additional reductions from mobile sources and anthropogenic fire emissions. According to the State's analysis, overall point source emissions, the largest source category in 2002, are projected to be reduced by 46.7%. Area source emissions (8% of statewide SO_2 emissions) are projected to increase 7.9% between 2002 and 2018 due to population growth. Idaho projects SO_2 emissions associated with natural fire, the second largest source category in 2002, to remain unchanged and would become the largest source category in 2018.

NO_X emissions in Idaho come mostly from mobile sources, both from on-road and off-road mobile sources, which contribute 46% of total statewide NO_X emissions. The second largest source category of NO_X emissions is area source emissions from combustion to heat buildings. Area source emissions account for 19% of statewide NO_X emissions. Idaho projects that 2018 total statewide emissions of NO_X will be 20.6% lower than 2002 levels. Idaho also projects on-road and off-road mobile source emissions to be reduced by 72.4% and 38.3% respectively by 2018, due to new Federal motor vehicle emission standards and fleet turnover. Idaho projects area source NO_X emissions to increase by 38.8% to become the largest source category in 2018 due to population growth and new industrial sources. Idaho projects natural fire emissions to remain unchanged and become the second largest NO_X source category in 2018.

Volatile organic compounds (VOC) in Idaho come mostly from area sources such as industrial solvent use, paints, pharmaceuticals, and refrigerants, which contribute 46% of total VOC emissions. The second largest source category in VOC emissions is non-anthropogenic fire which contributes 25% of total VOC emissions, while the second largest source category of anthropogenic VOC is mobile sources. Idaho projects 2018 statewide VOC emissions to increase by 19.2% over 2002 levels even though on-road mobile, off-road mobile and anthropogenic VOC emissions are projected to decrease 61.7%, 32.2% and 52.3% respectively. This increase in VOC emissions is due to a projected 64.2% increase in area source VOC emissions primarily due to population growth and increased business activity.

Organic carbon in Idaho comes from natural fire, anthropogenic fire and mobile sources. Natural fire is the largest source category, which contributes 82% of organic carbon emissions. The second largest source category is anthropogenic fire which contributes 15% of the total organic carbon emissions. Idaho projects 2018 statewide organic carbon emissions to decrease 7.6% from 2002 emission levels due to reductions in on-road mobile, off-road mobile, and anthropogenic fire of 10.8%, 43.1% and 51.6% respectively.

Elemental carbon is associated with incomplete combustion. The largest source category is natural fire, which contributes 72% of total elemental carbon emissions. The second largest source category is off-road mobile sources (diesel) which contributes 14% of total elemental carbon emissions. Idaho projects 2018 statewide elemental carbon emissions to decrease by 50.7% from 2002 emission levels. These projected reductions are the result of anticipated emission reductions in on-road mobile and off-road mobile emissions of 73.8% and 64.3% respectively.

Fine particulate, particles with an aerodynamic diameter of less than 2.5 micrometers, is emitted from a variety of area sources. Point sources account for only 2% of statewide fine particulate. Wind blown dust is the largest source category contributing 26% of total fine particulate. Wood stoves and small manufacturing and industrial sources contribute 24% of total fine particulate. Natural fire, anthropogenic fire, road dust and other fugitive dust sources also emit approximately equal amounts of fine particulate. Idaho projects that 2018 fine particulate emissions will increase by 12.1% over 2002 emission levels due to population and industrial growth. Emissions increases are projected from point, area, road dust, fugitive dust at 26.8%, 33.6%, 32.0%, and 30.1% respectively. Fine particulate emissions associated with anthropogenic fire are expected to decrease by 53.6%.

Coarse particulate is particulate with an aerodynamic diameter between 2.5 and 10 micrometers. It is composed of larger particles in wind blown dust, natural fire and other particulate from industrial grinding sources. The largest source category is wind blown dust which contributes 40% of total coarse particulate emissions. The second largest source is natural fire which contributes 22% of coarse particulate emissions. Idaho projects that 2018 emissions of coarse particulate to increase by 11.9% over 2002 emission levels. Idaho projects course particulate emissions from most categories to increase, with the exception of anthropogenic fire which will decrease by 51.7%.

Ammonia does not directly impair visibility but can be a precursor to the formation of particulate in the atmosphere through chemical reaction with SO_2 and NO_X to form a "secondary aerosol." Area sources are the primary source category contributing to ammonia emissions and account for 85% of total ammonia emissions. The second largest source category is natural fire which contributes 10% of ammonia emissions. Idaho projects ammonia emissions in 2018 to increase by 1.3% over 2002 emission levels with increasing emissions in all categories with the exception of anthropogenic fire which Idaho projects to decrease by 53.4%.

D. Sources of Visibility Impairment in Idaho Class I Areas

Each pollutant species has its own visibility impairing property; 1 $\mu g/m^3$ of sulfate, for example, is more effective in scattering light than 1 $\mu g/m^3$ of organic carbon and therefore impairs visibility more than organic carbon. Following the approach recommended by the WRAP and as explain more fully below, Idaho used a two step process to identify the contribution of each source or source category to existing visibility impairment. First, ambient pollutant concentration by species (sulfate, nitrate, organic carbon, fine particulate, etc.) was determined from the IMPROVE sampler in each Class I area. These concentrations were then converted into deciview values to distribute existing impairment among the measured pollutant species. This calculation used the "improved IMPROVE equation" (*See* section 2.C of the WRAP TSD) to calculate extinction from each pollutant specie concentration. Extinction, in inverse megameters, was then converted to deciview using the equation defining deciview. Second, the Comprehensive Air Quality Model with Extensions (CAMx) and PM Source Apportionment Technology (PSAT) models were used to determine which sources and source categories contributed to the ambient concentration of each pollutant species. Thus, impairment was distributed by source and source category.

After considering the available models, the WRAP and Western States selected two source apportionment analysis tools. The first source apportionment tool was the Comprehensive Air Quality Model with Extensions (CAMx) in conjunction with PM Source Apportionment Technology (PSAT). This model uses emission source characterization, meteorology and atmospheric chemistry for aerosol formation to predict pollutant concentrations in the Class I area. The predicted results are compared to measured concentrations to assess accuracy of model output. CAMx PSAT modeling was used to determine source contribution to ambient sulfate and nitrate concentrations. The WRAP used state-of-the-science source apportionment tools within a widely used photochemical model. EPA has reviewed the PSAT analysis and considers the modeling, methodology, and analysis acceptable. *See* section 6.A of the WRAP TSD.

The second tool was the Weighted Emissions Potential (WEP) model, used primarily as a screening tool to decide which geographic source regions have the potential to contribute to haze at specific Class I areas. WEP does not account for atmospheric chemistry (secondary aerosol formation) or removal processes, and thus is used for estimating inert particulate concentrations. The model uses back trajectory wind flow calculations and resident time of an air parcel to determine source and source category and location for ambient organic carbon, elemental carbon, $PM_{2.5}$, and coarse PM concentrations. These modeling tools were the state-of-the-science and EPA has determined that these tools were appropriately used by WRAP for regional haze planning. Description of these tools and our evaluation of them are described in more detail in section 6 of the WRAP TSD.

Figure 7–1 in the Idaho Regional Haze SIP submittal presents the light extinction for the base year at each Class I area by visibility impairing pollutant species for the average of the 20% worst days. The visibility impairing pollutant species identified are: Fine particulate (*i.e.* sea salt, fine soil, elemental carbon, organic carbon, ammonium sulfate and ammonium nitrate) and coarse material. In addition the SIP submission identifies in Figures 7.2 through Figure 7.52, light extinction by pollutant species for the average of the 20% worst and average of the 20% best days for each of the Class I areas.

Figure 7–1 of the SIP indicates that on the 20% worst days organic carbon is the primary pollutant impairing visibility in the Sawtooth and Selway-Bitterroot Wilderness Areas. In Craters of the Moon National Monument the primary pollutant impairing visibility on the 20% worst days is ammonium nitrate.

Idaho also analyzed the monthly variation of light extinction and pollutant specie concentrations for the 20% worst days. *See* Idaho SIP Figures 7–6 and 7–7, Figures 7–24 through 7–27, Figures 7–35 through 7–38. Each Class I area shows a distinct monthly and seasonal variation in impairment. For example, the 20% worst days in Craters of the Moon National Monument occur during the winter months of December through February. The 20% worst days in the Sawtooth and Selway-Bitterroot Wilderness Areas occur from April through November. This variation in impairment is due to monthly and seasonal variation in meteorology and emission rates.

To determine potential impacts of emission sources in Idaho on Class I areas in other states, Idaho considered the WRAP analysis of interstate impacts. Ambient air sulfate and nitrate concentrations for the 20% worst and best days for baseline (2002–2004) and 2018 at each western Class I area is distributed among all states in the WRAP using PSAT modeling. The SIP submittal provides an analysis of the Class I areas in nearby states. *See* chapter 9.3 of the Idaho Regional Haze SIP submission. These Class I areas are:

Shared Class I Areas With Oregon and Wyoming

- Hells Canyon Wilderness Area
- Yellowstone National Park

Class I Areas Outside Idaho

- Glacier National Park in Montana: Idaho is ranked 3rd behind Montana and Washington in contribution of visibility impairing pollutants on the 20% worst days
- Cabinet Mountain Wilderness Area in Montana: Idaho is ranked 3rd behind Oregon and Washington in contribution to visibility impairing pollutants on the 20% worst days
- Bob Marshall Wilderness Area in Montana: Idaho is ranked 3rd behind Montana and Washington in contribution to visibility impairing pollutants on the 20% worst days
- Gates of the Mountain Wilderness in Montana: Idaho is "ranked 3rd" behind Montana and Washington in

contribution to visibility impairing pollutants on the 20% worst days

- North Absaroka Wilderness in Wyoming: Idaho is ranked 2nd behind Wyoming in contribution to visibility impairing pollutants on the 20% worst days
- Bridger Wilderness in Wyoming: Idaho is ranked 2nd behind Wyoming in contribution to visibility impairing pollutants on the 20% worst days
- Eagle Cap Wilderness Area Oregon: Idaho is ranked 3rd behind Oregon and Washington in contribution to visibility impairing pollutant on the 20% worst days
- Jarbidge Wilderness Area in Nevada: Idaho is ranked 1st in contribution of sulfate and nitrate to the Jarbidge Wilderness area.

EPA is proposing to find that Idaho has appropriately identified the primary pollutants impacting its Class I areas. EPA is also proposing to find that the SIP contains an appropriate analysis of the impacts of emissions from Idaho on nearby Class I areas.

E. Best Available Retrofit Technology

The first phase of a BART evaluation is to identify all the BART-eligible sources within the State's boundaries. Table 10–1 in the SIP submission presents the list of all BART-eligible sources located in Idaho. These sources are: The Amalgamated Sugar Company (TASCO) in Twin Falls, TASCO in Nampa, TASCO in Paul, NU West/Agrium in Soda Springs, the J.R. Simplot Don Plant in Pocatello, the Monsanto/P4 Production LLC facility at Soda Springs, and the Potlatch Pulp & Paper mill in Lewiston Idaho.

The second phase of the BART determination process is to identify those BART-eligible sources that may reasonably be anticipated to cause or contribute to any impairment of visibility at any Class I area and are, therefore, subject to BART. As explained above, EPA has issued guidelines that provide states with guidance for addressing the BART requirements. 40 CFR Part 51 appendix Y; *see* also 70 FR 39,104 (July 6, 2005). The BART Guidelines describe how states may consider exempting some BART-eligible sources from further BART review based on dispersion modeling showing that the sources contribute below a certain threshold amount. Idaho conducted dispersion modeling for the BART-eligible sources to determine the visibility impacts of these sources on Class I areas with the exception of the Monsanto/P4 Production LLC facility which was categorized as subject to BART without analysis.[9]

The BART Guidelines require States to set a contribution threshold to assess whether the impact of a single source is sufficient to cause or contribute to visibility impairment at a Class I area. Generally, States may not establish a contribution threshold that exceeds 0.5 dv impact. 70 FR at 39,161. Idaho established a contribution threshold of 0.5 dv through negotiated rulemaking with industry, FLMs, and the public. In its SIP submittal, Idaho notes that the 0.5 dv threshold is also consistent with the threshold used by all other states in the WRAP. Any source with an impact of greater than 0.5 dv in any Class I area, including Class I areas in other states, would be subject to a BART analysis and BART emission limitations.

The explanation given by Idaho for adopting a 0.5 dv threshold for determining whether a BART source may be reasonably anticipated to cause or contribute to any visibility impairment in a Class I area is not adequate to justify the selection of such a threshold. Although a number of stakeholders may have agreed that a 0.5 dv threshold is appropriate, and other states in the Region may have adopted such a threshold, such agreement does not provide sufficient basis concluding that such a threshold was appropriate in the case of Idaho. Based on EPA's review of the BART-eligible sources in Idaho, however, EPA is proposing to find that a 0.5 dv threshold is appropriate, given the specific facts in Idaho.

In the BART Guidelines, EPA recommended that States "consider the number of BART sources affecting the Class I areas at issue and the magnitude of the individual sources' impacts. In general, a larger number of BART sources causing impacts in a Class I area may warrant a lower contribution threshold." 70 FR 39104, 39161 July 6, 2005. In developing its regional haze SIP, Idaho modeled the impacts of six of the seven BART-eligible sources on Class I areas within a 300 km radius. (*See* Table 10–3 through Table 10–8 of the SIP submittal). As noted above, the State and Monsanto/P4 Production mutually agreed that Monsanto/P4 was subject to BART. Of these BART-eligible sources, only TASCO, Nampa exceeded the 0.5 dv threshold, based on consideration of the 22nd highest impact during 2003–2005.[10] For the remaining five BART-eligible sources, the modeling showed maximum impacts below 0.4 dv. These sources are generally widely distributed across the State, and only TASCO Twin Falls and TASCO Paul showed modeled impacts affecting the same Class I area. Given the relatively limited impact on visibility from these sources, Idaho could have reasonably concluded that a 0.5 dv threshold was appropriate for capturing those BART-eligible sources with significant impacts on visibility in Class I areas. For these reasons, EPA is proposing to approve the 0.5 dv threshold adopted by Idaho in its Regional Haze SIP.

To determine those sources subject to BART, Idaho used the CALPUFF model. The dispersion modeling was conducted in accord with the *BART Modeling Protocol7.* This Protocol was jointly developed by the states of Idaho, Washington, Oregon and EPA and has undergone public review. The Protocol was used by all three states in determining which BART-eligible sources are subject to BART. See appendix F of the SIP submission for details of the modeling protocol, its application and results. As noted above, Idaho determined through modeling that one, of the six modeled BART-eligible sources in Idaho, was subject to BART: The TASCO facility in Nampa. In addition, the Monsanto/P4 Production LLC facility in Soda Springs was determined to be subject to BART based on agreement by the source and the State.

F. TASCO BART Analysis

TASCO Nampa is a sugar beet processing facility that operates a 350 million BTU per hour, coal-fired boiler known as the Riley boiler. The Riley boiler emits sulfur dioxide, oxides of nitrogen and particulate matter. It is anticipated to operate into the foreseeable future, thus expected life of the source is not a factor in the BART determination.

The first step in a BART analysis is the identification of all available retrofit control options. Available retrofit control options are those air pollution control technologies with a practical potential for application to the emission unit. 40 CFR part 51, appendix Y provides guidance on identifying available options that includes review of EPA's Clean Air Technology Center RACT/BACT/LAER clearinghouse, state and local Best Available Control Technology Guidelines, and a number of other documents. *See* 40 CFR part 51

[9] Monsanto agreed to forego exemption modeling and to move directly to a BART determination.

[10] The 22nd highest impact during 2003–2004 corresponds to the 98th percentile of modeling results, an approach to applicability that EPA concluded was appropriate in the BART Guidelines. 70 FR at 39,123.

appendix Y(IV)(D)(1). Generally EPA does not expect states to consider control technologies that have not already been demonstrated in practice to be technically feasible.

Idaho identified the pollutants of concern for the BART determination at the Riley boiler to be sulfur dioxide, oxides of nitrogen and particulate matter. BART controls for each pollutant will be discussed below. Following an evaluation of available controls, described below, Idaho determined that the following emission limits represent BART for the Riley Boiler:

SO_2—104 lb/hr
NO_X—31 lb/hr
PM—12.4 lb/hr

The Idaho Regional Haze SIP submittal includes the federally enforceable Tier II operating permit for TASCO, Nampa, (permit No. T2–2009.0109) that contains these emission limits. See letter and attachments dated September 7, 2010, from Mike Simon, Stationary Source Manager, Idaho Air Quality Division, to Kent Quinney, Plant Manager, The Amalgamated Sugar Company, LLC–Nampa Factory. The BART emission limits in the Tier II operating permit are slightly higher than those limits in the SIP submittal to allow for slight variation in test method results.

The emission limits for NO_X and SO_2 can be achieved respectively through use of low NO_X burners with overfire air and spray dry gas desulfurization. BART will result in a 65% reduction in SO_2 emissions and 80% reduction in NO_X emissions. Idaho found that the bag house currently in place at the facility will result in compliance with the PM BART limitation.

1. TASCO SO_2 BART Evaluation

The TASCO Riley boiler currently burns low-sulfur coal limited to 1% sulfur by weight. The alternative control options considered for SO_2 include: low-sulfur coal limited to 0.6% sulfur by weight that would provide an additional 15% control efficiency, wet flue gas desulfurization (Wet FGD) with a 95% control efficiency, spray dryer flue gas desulfurization (Spray Dry FGD) with an 80% control efficiency, dry lime flue gas desulfurization (Dry Lime FGD) with a 55% control efficiency, dry Trona flue gas desulfurization (Dry Trona FGD) with a 65% control efficiency. Idaho found that all these technologies are technically feasible, but, as explained below, that wet FGD and spray dry FGD were the best options for further evaluation.

With a removal efficiency of 95% or greater, wet FGD systems offer one of the highest SO_2 removal efficiencies of the available control technologies. However, the installation of wet FGD at TASCO Nampa would require significant modification of the facility that would increase the cost of this option. As explained in the SIP submittal, wet FGD results in a saturated exhaust stream. The resulting condensation that would form in the stack would likely have a very low pH that would require installation of a stack liner to protect the integrity of the stack. Idaho concluded that installation of a stack liner would cost $2,000,000. Cost effectiveness of wet FGD was accordingly estimated at $3353/ton, with an incremental cost of $6940/ton as compared to the next most efficient control technology, spray dry FGD.

Spray dry FGD typically has an estimated control efficiency of 80–90% depending on exit flue gas temperature as it approaches the adiabatic saturation temperature. Idaho used 80% control efficiency in this evaluation. Cost effectiveness of spray dry FGD is $2163/ton and the incremental cost over the next most efficient control technology, dry Trona FGD is $360/ton.

Idaho also evaluated the energy and non-air related environmental impacts of the SO_2 control options. Waste-water treatment from wet FGD is a major concern to Idaho and would need to be treated onsite. The SIP submittal explains that it would be difficult and expensive to expand the TASCO on-site treatment facility due to limited available land and the City of Nampa water treatment system might not be able to handle the increased water volume. *See* State of Idaho Department of Environmental Quality, Regional Haze Plan, 10/8/10, appendix F,

Table 32 of appendix F of the SIP submittal provides the estimated visibility impact of the five control options. Wet FGD would reduce the number of days with greater than 0.5 dv impact over a three year period from 127 days to 43 days. Spray dry FGD would reduce the number of days with greater than 0.5 dv from 127 days to 51 days. Considering the incremental cost of wet FGD over spray dry FGD of $6940/ton, the waste water treatment limitations, and achieving a reduction of only 8 more days with impact greater than 0.5 dv over a three year period, Idaho concluded that wet FGD is not warranted.

Idaho has determined that spray dry FGD is the appropriate control technology for SO_2 and established 104 lb/hr as BART based on cost effectiveness and improvement in visibility. EPA agrees with Idaho's BART determination for SO_2.

2. NO_X BART Evaluation

Idaho identified potential control options for oxides of nitrogen (NO_X) for the Riley boiler as: low NO_X burners (LNB) with a 50% control efficiency, low NO_X burners with overfire air (LNB/OFA) with a 65% control efficiency, ultra low NO_X burners (ULNB) which was determined to be infeasible, selective catalytic reduction (SCR) with a 90% control efficiency, and selective non-catalytic (SNCR) determined to be infeasible. Idaho evaluated the technical feasibility of each control option. Idaho found that ULNB is not technologically feasible as the fire box at the Riley boiler is not large enough to accommodate the flame management system necessary for this type of control. Idaho also concluded that SNCR is also not technologically feasible as the boiler exhaust path does not have enough residence time for reliable control. Idaho accordingly identified three technically feasible control options: LNB, LNB/OFA, and SCR.

Idaho determined the cost effectiveness and incremental cost effectiveness for the three technically feasible control options. *See* Table 35 of appendix F of the SIP submittal. Idaho concluded that LNB/OFA provides a reasonable cost effectiveness of $1270/ton and incremental cost effectiveness of $2430/ton over low-$NO_X$ burners. SCR would provide a 90% reduction in NO_X emissions at a cost effectiveness of $3768 and incremental cost of $10,245/ton over LNB/OFA. LNB/OFA would reduce the number of days with impacts greater than 0.5 dv over a three year period from 127 days to 56 days. SCR would reduce the number of days with impact greater than 0.5 dv over a three year period from 127 days to 40 days. Considering the incremental cost of SCR over LNB/OFA of $10,245/ton and achieving an incremental reduction of 16 days with impact greater than 0.5 dv over a three year period, Idaho concluded SCR is not warranted and that LNB/OFA represents BART. In addition, as described below in section F(d), TASCO argued that it could not afford to install an SCR. In view of this and Idaho's conclusion that the incremental cost of $10,245/ton for reducing the number of days with an impact greater than 0.5 dv by 16 over a three year period EPA is proposing to approve Idaho's determination of BART for NO_X TASCO.

3. PM BART Evaluation

The TASCO Nampa Riley boiler has a baghouse to control particulate matter. In its PM BART evaluation Idaho considered other alternative control

technologies including: An enhanced baghouse with a control efficiency of 99%, wet electrostatic precipitator with a control efficiency of 99%, and dry electrostatic precipitator with a control efficiency of 99%. Idaho compared these technologies to the control efficiency of the current baghouse. The existing baghouse with a control efficiency of 99% emits 0.036 lbs/MMbtu (350 MMbtu/hour boiler with a limit of 0.036 lbs/MMbtu the emissions are 12.6 lbs/hour).

Idaho determined that the existing baghouse is the best BART control technology since it will not incur additional cost and has control efficiency comparable to the identified alternate control technologies. The existing baghouse has the added environmental benefits of not requiring additional water or electricity. The benefit of adding an additional baghouse is so small the benefits are outweighed by the costs. In conclusion, the best BART alternative for particulate is the existing baghouse.

Idaho determined that the current baghouse and an emission limitation of 12.4 lbs/hr is BART. EPA agrees with this determination.

4. TASCO Affordability

TASCO appealed to Idaho that the company could not afford the identified BART (Spray Dry FGD and LNB/OFA) and remain viable. At Idaho's request, EPA conducted an evaluation and analysis of TASCO's financial status and health. Based on this evaluation, EPA determined TASCO could afford implementation of the identified BART. EPA also concluded that TASCO could not reasonably afford the more costly control options of Wet FGD for SO_2 control and SCR for NO_X control. *See* Idaho Regional Haze Plan 10/8/10, appendix F, page F–317: Executive Summary excerpt from: An Affordability Analysis of The Amalgamated Sugar Company LLC's Affordability Claim with respect to the Best Available Retrofit Technology (BART) for the Riley Boiler at the Nampa, Idaho facility, February 12, 2010.

Based on EPA's review and evaluation we propose to approve the BART determination for TASCO.

G. Monsanto/P4 BART Analysis

Monsanto/P4 Production is a thermal process elemental phosphorus production facility. Idaho identified two BART units at the facility: The #5 Rotary Kiln and the #9 Furnace Exhaust and carbon monoxide Flare. Phosphate ore is processed in a high temperature electric arc furnace in a reducing atmosphere produced by the introduction of coke. Carbon monoxide gas from the arc furnace is used as fuel for the #5 Rotary Kiln. Excess carbon monoxide is flared to the atmosphere.

Idaho concluded, as discussed below, that the following emissions limit is BART for #5 Rotary Kiln:

SO_2—143 lb/hr

Idaho determined, as discussed below, that there are no technically feasible NO_X control options for the #9 Furnace Exhaust and CO Flare.

1. #5 Rotary Kiln, SO_2 Evaluation

Idaho conducted a thorough SO_2 BART evaluation for the #5 Rotary Kiln. The #5 Rotary Kiln heats phosphate ore to remove volatile impurities and harden ore nodules for further handling and introduction into the electric arc furnace. Carbon monoxide from the furnace off gases is the primary fuel with coal and natural gas as backup. Existing federally enforceable process and air pollution controls for the kiln are included in the facility's current Tier I (title V) operating permit No. T1–2009.0121, issued July 24, 2009. These requirements consist of:

- A limit on the sulfur content of the coal to no more than 1% by weight.
- A dust knockout chamber, spray tower, four parallel Hydro-Sonic© scrubbers, and four parallel cyclonic separators. The tandem nozzle fixed-throat free-jet scrubbers are required for control of PM/PM10 and polonium-210 emissions (a radionuclide) found in the phosphate ore.

The initial SO_2 control device is a settling chamber where large particles are removed. The exhaust flow is then routed to a concrete tower where it passes through water sprays to remove soluble gases and particulate matter. The exhaust flow is then routed to four parallel Hydro-Sonic© scrubbers for removal of submicron particles and entrained particle-laden water. The exhaust gases exit the scrubbers and pass through cyclonic separators and fans prior to exiting to the atmosphere through four stacks.

A lime concentrated dual alkali (LCDA) scrubber to control SO_2 emissions from the kiln was installed by Monsanto/P4 in 2005. The LCDA scrubbing process uses the existing Hydro-Sonic© scrubbers to absorb SO_2 with a solution of sodium salts comprised of sodium sulfite and bisulfite, the active absorbent species. Some sodium sulfate will also be produced. The spent solution of sodium sulfite/bisulfite/sulfate is continuously withdrawn to a dual-reactor system, where it is treated with hydrated lime. The lime regenerates the scrubbing solution and precipitates calcium sulfite/sulfate solids. The solids are removed from the system through thickening and filtration, and the regenerated solution is returned to the scrubber as feed material.

Additional SO_2 controls would be add-on (or retrofit) control to the existing control technology. Idaho analyzed the technically feasible retrofit control technologies for SO_2 emissions from the #5 Rotary Kiln. These alternative controls included: Wet FGD with lime and amine scrubbing.

Idaho evaluated the control efficiencies of these feasible technologies and found that both are capable of 97% control. As determined by Idaho, the costs of these controls are $466/ton for wet FGD and $881/ton for amine scrubbing. *See* appendix F, Table 5.1.1 (page 338) of the Idaho Regional Haze SIP. The energy impacts were evaluated and both options require more energy, but not disproportionate amounts. Neither of the available options constitute significant adverse non-air environmental effects. The #5 Rotary Kiln is expected to remain in operation for the life of the P4 facility.

Idaho selected wet-FGD with lime as the most suitable control technology based on the fact that control efficiency is comparable to amine scrubbing, has a lower cost, and is a proven mature technology. Idaho determined that 143 lb/hr is BART for the #5 Rotary Kiln. EPA agrees with this determination.

2. #5 Rotary Kiln NO_X BART Evaluation

Idaho searched EPA's RACT/BACT/LAER clearinghouse (RBLC) for potential NO_X control options. The available options include: Combustion control, LNB, and SNCR.

Idaho determined that NO_X combustion controls are technically infeasible due to the temperatures required for sintering the phosphate ore and the change in temperature resulting from combustion control. Thermal NO_X is formed at approximately 1300 °C (2372 °F) and above. The minimum temperature at which sintering of the phosphate ore occurs is 1400 °C to 1459 °C (2552 °F to 2658 °F). Therefore, it is not feasible to lower the temperature in the kiln to minimize or prevent the formation of thermal NO_X and still sinter the ore.

Likewise, LNB was eliminated because the temperature required for a low NO_X burner is too low to sinter the phosphate ore and form the required nodules. Sintering of the ore takes place at 1400 °C to 1459 °C, and low NO_X burners must be controlled to operate at temperatures well below 1300 °C (2372

°F), the temperature at which thermal NO_X is formed.

SNCR was eliminated because the kiln off gas temperature at the exit of the kiln and prior to the existing Hydro-Sonic© particulate control is too low for operation of SNCR.

EPA agrees that there are no technically feasible NO_X control options for the #5 Rotary Kiln. The current emission limitation is 3750.7 ton/yr.

3. #5 Rotary Kiln Particulate Matter BART Evaluation

As described above, the #5 Rotary Kiln emissions are currently controlled with Hydro-Sonic© high energy venture scrubbers to control particulate matter. The Tier I operating permit includes a federally enforceable limit of 89.4 tons of PM/year.

Idaho conducted a brief evaluation of alternative PM control technologies but concluded, and EPA agrees, that there are no other technically feasible alternative control technologies with greater control efficiency than the existing Hydro-Sonic© high energy venturi scrubbers. Thus, the existing PM emission limit of 98.4 t/yr constitutes BART for this source.

4. BART for the #9 Furnace CO Flare Evaluation

Ore nodules from the #5 Rotary Kiln are combined with coke and quartzite and heated in the #9 electric arc furnace. The resulting thermal process releases elemental phosphorus (as a gas), carbon monoxide and entrained particulate matter. The furnace off gas is cooled to liquefy and collect the elemental phosphorus and the remaining gases are ducted to the #5 Rotary Kiln as fuel. Excess furnace off gas is treated in a thermal oxidizer and flared to the atmosphere. The source of concern is the furnace flare, since most of the furnace gases fuel the #5 Rotary kiln and are controlled by technology applied to that source.

A review of the RBLC Clearinghouse revealed there are no available control technologies for particulate matter, SO_2, or NO_X for the #9 Furnace CO Flare. The RBLC Clearinghouse flare control options are exclusively for organic fuels and are not applicable for carbon monoxide fueled flares.

EPA agrees with Idaho's conclusion because there are no known retrofit control technologies that are technically feasible for the Monsanto/P4 #9 Furnace Exhaust and CO Flare. EPA is proposing to approve the BART determination for Monsanto/P4.

The Monsanto/P4 BART emission limits are contained in federally enforceable Tier I and Tier II operating permits. The BART requirements are contained in the Tier II operating permit, T2–2009.0109, issued November 17, 2009.

H. Improvement in Visibility From BART at TASCO, Nampa and Monsanto/P4

Table 10–14 of the SIP submittal presents the visibility improvement at several Class I areas in Idaho and surrounding states from implementation of BART at TASCO Nampa and Monsanto/P4. The metric used to measure improvement is the number of days (or reduction in number of days) with a deciview impact larger than 0.5 dv from each BART facility over a three year period.

The greatest improvement from BART controls at Monsanto/P4 is seen in the Teton Wilderness Area in Wyoming. Idaho estimated a reduction in the number of days with visibility impairment greater than 0.5 dv from Monsanto/P4 of 50 days over a three year period. Table 10–15 of the SIP submittal presents the visibility improvement at several other Class I areas in Idaho and surrounding states from implementation of BART at the Monsanto/P4 facility in Soda Springs.

The greatest improvement from BART controls at TASCO Nampa is seen in the Eagle Cap Wilderness Area in Oregon, with a reduction in days with greater than 0.5 dv of 127 days over a three year period.

Idaho included in the SIP submittal, federally enforceable Tier I and Tier II operating permits for TASCO Nampa and Monsanto/P4 which contain the necessary emission limitations representing BART and schedules for compliance.

IV. EPA's Analysis of Whether Regional Haze SIP Submittal Meets Interstate Transport Requirements

In its October 25, 2010, transmittal letter, Idaho also indicated that it intends the Regional Haze SIP submittal also to be a SIP submission for purposes of the visibility requirements of section 110(a)(2)(D)(i) with respect to the 1997 8-hour ozone and 1997 PM2.5 NAAQS. In the submission, Idaho stated that: "Idaho's Regional Haze SIP also satisfies the Clean Air Act Interstate Transport requirements of section 110(a)(2)(D)(ii). Chapters 2 and 13 and the associated appendix for chapter 2 describe Idaho's consultation with other states through the WRAP. Chapter 9 identifies Idaho's contribution and future visibility improvements at mandatory Class I Federal Areas impacted by Idaho's emissions." In its SIP transmittal letter, the state referred to section 110(a)(2)(D)(ii), but from the context it is clear that the state intended this reference to be to section 110(a)(2)(D)(i), and more particularly to section 110(a)(2)(D)(i)(II).

Section 110(a)(2)(D)(i)(II) of the Act requires SIP revisions to "contain" adequate provisions * * * prohibiting * * * any source or other types of emission activity within the State from emitting any air pollutant in amounts which will * * * interfere with measures required to be included in the applicable implementation plan for any other State * * * to protect visibility." EPA is proposing to find that the SIP submitted by Idaho to address regional haze contains adequate provisions to meet the "good neighbor" provisions of section 110(a)(2)(D)(i)(II) with respect to visibility.

As an initial matter, EPA notes that section 110(a)(2)(D)(i)(II) does not explicitly specify how EPA should ascertain whether a state's SIP contains adequate provisions to prevent emissions from sources in that state from interfering with measures required in another state to protect visibility. Thus, the statute is ambiguous on its face, and EPA must interpret that provision.

Our 2006 Guidance recommended that a state could meet the visibility prong of the transport requirements for section 110(a)(2)(D)(i)(II) by submission of the regional haze SIP, due in December 2007. EPA's reasoning was that the development of the regional haze SIPs was intended to occur in a collaborative environment among the states, and that through this process states would coordinate on emissions controls to protect visibility on an interstate basis. In fact, in developing their respective reasonable progress goals, WRAP states consulted with each other through the WRAP's work groups. As a result of this process, the common understanding was that each state would take action to achieve the emissions reductions relied upon by other states in their reasonable progress demonstrations under the RHR. This interpretation is consistent with the requirement in the regional haze rule that a state participating in a regional planning process must include "all measures needed to achieve its apportionment of emission reduction obligations agreed upon through that process." 40 CFR 51.308(d)(3)(ii).

We believe that with approval of the portions of the Idaho RH SIP that we are proposing to take action on today, Idaho's SIP will also contain adequate provisions to prevent interstate transport that would interfere with the measures required in other states to

protect visibility. Chapter 13 of the Idaho SIP submittal explains the consultation process followed by Idaho and its neighboring states to meet the requirements in the regional haze rule to address the interstate transport of visibility impairing pollutants and the outcome of that process. Section 13.2.3 indicates that Idaho and neighboring states agreed that "no major contributions were identified that supported developing new interstate strategies, mitigation measures, or emissions reductions obligations," and that each state could achieve its share of emission reductions through the implementation of BART and other existing measures in state regional haze plans. The state agreed that future consultation would address any new strategies or measures needed. The measures addressing BART in the Idaho SIP submittal accordingly would appear to be adequate to prevent emissions from source in Idaho from interfering with the measures required to be in the regional haze SIPs of its neighbors.

This conclusion is consistent with the analysis conducted by the WRAP, an analysis that provides an appropriate means for further evaluating whether emissions from sources in a state are interfering with the visibility programs of other states, as contemplated in section 110(a)(2)(D)(i)(II). As described below, EPA's evaluation shows that the BART measures of the Regional Haze SIP submittal, that we are proposing to approve today, are generally consistent with the emissions reductions assumptions of the WRAP modeling from Idaho sources. Accordingly, EPA is proposing to approve Idaho's SIP as ensuring that emissions from Idaho do not interfere with the reasonable progress goals of other states.

In developing their visibility projections using photochemical grid modeling, the WRAP states assumed a certain level of emissions from sources within Idaho. The visibility projection modeling was in turn used by the states to establish their own reasonable progress goals. We have reviewed the WRAP photochemical modeling emissions projections used in the demonstration of reasonable progress towards natural visibility conditions and compared them to the emissions limits that will result from the imposition of BART on sources in Idaho. We have concluded that with the emissions reductions achieved by these measures, the emissions from Idaho sources in the projected inventory for 2018 (which included both reductions and increases) will be below that assumed in the WRAP analysis. In addition, EPA notes that these projections also included estimated emissions from a new coal fired power plant to be located in Jerome, Idaho. The Governor of Idaho subsequently issued a ban on the construction of new coal fired power plants that is still in effect. Thus, EPA anticipates that the actual emissions in 2018 may be significantly less than the emissions used in modeling 2018 conditions because the Jerome, Idaho facility will likely not be constructed during the time period covered by the Regional Haze SIP.

As a result of the foregoing determination, EPA is proposing to find that the Idaho Regional Haze SIP submission contains the emission reductions needed to achieve Idaho's share of emission reductions agreed upon through the regional planning process. As reflected in its Regional Haze SIP submittal, Idaho committed to achieve these emission reductions to address impacts on visibility on Class I areas in surrounding states. The portions of the Idaho Regional Haze SIP that we are proposing to approve ensure that emissions from Idaho will not interfere with the reasonable progress goals for neighboring state's Class I areas. EPA is accordingly proposing to find that these emission reductions also meet the requirements of section 110(a)(2)(D)(i)(II) of the Act with respect to the visibility prong for the 1997 8-hour ozone and 1997 PM2.5 NAAQS.

V. What action is EPA proposing?

EPA is proposing to approve portions of the Idaho Regional Haze plan, submitted on October 25, 2010, as meeting the requirements set forth in section 169A of the Act and in 40 CFR 51.308(e) regarding BART. EPA is also proposing to approve the Idaho submittal as meeting the requirements of 51.308(d)(2) and (4)(v) regarding the calculation of baseline and natural conditions for Craters of the Moon National Monument, Sawtooth Wilderness Area, and Selway-bitterroot Wilderness, and the statewide inventory of emissions of pollutants that are reasonably anticipated to cause or contribute to visibility impairment in any mandatory Class I Federal Area. In addition, EPA is proposing to find that the BART measures in the Idaho Regional Haze plan meet the requirements of section 110(a)(D)(ii)(II) of the CAA with respect to the 1997 8-hour ozone and 1997 PM2.5 NAAQS.

VI. Scope of Action

Idaho has not demonstrated authority to implement and enforce IDAPA chapter 58 within "Indian Country" as defined in 18 U.S.C. 1151.[11] Therefore, EPA proposes that this SIP approval not extend to "Indian Country" in Idaho. *See* CAA sections 110(a)(2)(A) (SIP shall include enforceable emission limits), 110(a)(2)(E)(i) (State must have adequate authority under State law to carry out SIP), and 172(c)(6) (nonattainment SIPs shall include enforceable emission limits). This is consistent with EPA's previous approval of Idaho's prevention of significant deterioration (PSD) program, in which EPA specifically disapproved the program for sources within Indian Reservations in Idaho because the State had not shown it had authority to regulate such sources. *See* 40 CFR 52.683(b). It is also consistent with EPA's approval of Idaho's title V air operating permits program. *See* 61 FR 64622, 64623 (December 6, 1996) (interim approval does not extend to Indian Country); 66 FR 50574, 50575 (October 4, 2001) (full approval does not extend to Indian Country).

VII. Statutory and Executive Order Reviews

Under the Clean Air Act, the Administrator is required to approve a SIP submission that complies with the provisions of the Act and applicable Federal regulations. 42 U.S.C. 7410(k); 40 CFR 52.02(a). Thus, in reviewing SIP submissions, EPA's role is to approve state choices, provided that they meet the criteria of the Clean Air Act. Accordingly, this proposed action merely approves state law as meeting Federal requirements and does not impose additional requirements beyond those imposed by state law. For that reason, this proposed action:

- Is not a "significant regulatory action" subject to review by the Office of Management and Budget under Executive Order 12866 (58 FR 51735, October 4, 1993);
- Does not impose an information collection burden under the provisions

[11] "Indian country" is defined under 18 U.S.C. 1151 as: (1) All land within the limits of any Indian reservation under the jurisdiction of the United States Government, notwithstanding the issuance of any patent, and including rights-of-way running through the reservation, (2) all dependent Indian communities within the borders of the United States, whether within the original or subsequently acquired territory thereof, and whether within or without the limits of a State, and (3) all Indian allotments, the Indian titles to which have not been extinguished, including rights-of-way running through the same. Under this definition, EPA treats as reservations trust lands validly set aside for the use of a Tribe even if the trust lands have not been formally designated as a reservation. In Idaho, Indian country includes, but is not limited to, the Coeur d'Alene Reservation, the Duck Valley Reservation, the Reservation of the Kootenai Tribe, the Fort Hall Indian Reservation, and the Nez Perce Reservation as described in the 1863 Nez Perce Treaty.

of the Paperwork Reduction Act (44 U.S.C. 3501 *et seq.*);

• Is certified as not having a significant economic impact on a substantial number of small entities under the Regulatory Flexibility Act (5 U.S.C. 601 *et seq.*);

• Does not contain any unfunded mandate or significantly or uniquely affect small governments, as described in the Unfunded Mandates Reform Act of 1995 (Pub. L. 104–4);

• Does not have Federalism implications as specified in Executive Order 13132 (64 FR 43255, August 10, 1999);

• Is not an economically significant regulatory action based on health or safety risks subject to Executive Order 13045 (62 FR 19885, April 23, 1997);

• Is not a significant regulatory action subject to Executive Order 13211 (66 FR 28355, May 22, 2001);

• Is not subject to requirements of Section 12(d) of the National Technology Transfer and Advancement Act of 1995 (15 U.S.C. 272 note) because application of those requirements would be inconsistent with the Clean Air Act; and

• Does not provide EPA with the discretionary authority to address, as appropriate, disproportionate human health or environmental effects, using practicable and legally permissible methods, under Executive Order 12898 (59 FR 7629, February 16, 1994).

In addition, this rule does not have tribal implications as specified by Executive Order 13175 (65 FR 67249, November 9, 2000), because the SIP is not approved to apply in Indian country located in the state, and EPA notes that it will not impose substantial direct costs on tribal governments or preempt tribal law.

List of Subjects in 40 CFR Part 52

Environmental protection, Air pollution control, Intergovernmental relations, Nitrogen dioxide, Particulate matter, Reporting and recordkeeping requirements, Sulfur oxides, visibility, and Volatile organic compounds.

Dated: December 22, 2010.

Dennis J. McLerran,

Regional Administrator, Region 10.

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Notices

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This section of the FEDERAL REGISTER contains documents other than rules or proposed rules that are applicable to the public. Notices of hearings and investigations, committee meetings, agency decisions and rulings, delegations of authority, filing of petitions and applications and agency statements of organization and functions are examples of documents appearing in this section.

DEPARTMENT OF AGRICULTURE

Submission for OMB Review; Comment Request

January 6, 2011.

The Department of Agriculture has submitted the following information collection requirement(s) to OMB for review and clearance under the Paperwork Reduction Act of 1995, Public Law 104–13. Comments regarding (a) Whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; (b) the accuracy of the agency's estimate of burden including the validity of the methodology and assumptions used; (c) ways to enhance the quality, utility and clarity of the information to be collected; (d) ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology should be addressed to: Desk Officer for Agriculture, Office of Information and Regulatory Affairs, Office of Management and Budget (OMB), *OIRA_Submission@OMB.EOP.GOV* or fax (202) 395–5806 and to Departmental Clearance Office, USDA, OCIO, Mail Stop 7602, Washington, D.C. 20250–7602. Comments regarding these information collections are best assured of having their full effect if received within 30 days of this notification. Copies of the submission(s) may be obtained by calling (202) 720–8958.

An agency may not conduct or sponsor a collection of information unless the collection of information displays a currently valid OMB control number and the agency informs potential persons who are to respond to the collection of information that such persons are not required to respond to the collection of information unless it displays a currently valid OMB control number.

Food Safety and Inspection Service

Title: Specified Risk Materials.

OMB Control Number: 0583–0129.

Summary of Collection: The Food Safety and Inspection Service (FSIS) has been delegated the authority to exercise the functions of the Secretary as provided in the Federal Meat Inspection Act (FMIA) (21 U.S.C. 601 *et seq.*) This statutes mandate that FSIS protect the public by ensuring that meat products are safe, wholesome, not adulterated, and properly labeled and packaged. FSIS requires that official establishments that slaughter cattle and or process carcasses or parts of cattle develop written procedures for the removal, segregation, and disposition of specified risk materials (SRMs). Establishments are also required by FSIS to maintain daily records sufficient document the implementation and monitoring of their procedures for the removal, segregation, and disposition of SRMs, and any corrective actions taken to ensure that such procedures are effective.

Need and Use of the Information: FSIS will collect information from establishments to ensure meat and meat products distributed in commerce for use as human food do not contain SMRs.

Description of Respondents: Business or other for-profit.

Number of Respondents: 3,512.

Frequency of Responses: Recordkeeping; *Reporting:* On occasion.

Total Burden Hours: 123,916.

Food Safety and Inspection Service

Title: Advanced Meat Recovery Systems.

OMB Control Number: 0583–0130.

Summary of Collection: The Food Safety and Inspection Service (FSIS) has been delegated the authority to exercise the functions of the Secretary as provided in the Federal Meat Inspection Act (FMIA) (21 U.S.C. 601 *et seq.*) This statutes mandate that FSIS protect the public by ensuring that meat products are safe, wholesome, not adulterated, and properly labeled and packaged. FSIS requires that official establishments that produce meat from Advanced Meat Recovery (AMR) systems ensure that bones used for AMR systems do not contain brain, trigeminal ganglia, or spinal cord; to test for calcium (at a different level than previously required), iron, spinal cord, and dorsal root ganglia (DRG); to document their testing protocols, to assess manner that does not cause product to be misbranded or adulterated; and to maintain records of their documentation and test results.

Need and Use of the Information: FSIS will collect information from establishments to ensure that the meat products produced by the use of AMR systems is free from Bovine Spongiform Encephalopathy (BSE).

Description of Respondents: Business or other for-profit.

Number of Respondents: 56.

Frequency of Responses: Recordkeeping; *Reporting:* On occasion.

Total Burden Hours: 25,209.

Ruth Brown,

Departmental Information Collection Clearance Officer.

[FR Doc. 2011–358 Filed 1–10–11; 8:45 am]

BILLING CODE 3410–DM–P

DEPARTMENT OF AGRICULTURE

Animal and Plant Health Inspection Service

[Docket No. APHIS–2010–0039]

National Poultry Improvement Plan; General Conference Committee Meeting

AGENCY: Animal and Plant Health Inspection Service, USDA.

ACTION: Notice of meeting.

SUMMARY: We are giving notice of a meeting of the General Conference Committee of the National Poultry Improvement Plan.

DATES: The General Conference Committee meeting will be held on January 26, 2011, from 1:30 p.m. to 5 p.m.

ADDRESSES: The meeting will be held at the Georgia World Congress Center, 285 Andrew Young International Boulevard NW., Atlanta, GA.

FOR FURTHER INFORMATION CONTACT: Mr. Andrew R. Rhorer, Senior Coordinator, National Poultry Improvement Plan, VS, APHIS, 1498 Klondike Road, Suite 101, Conyers, GA 30094–5104; (770) 922–3496.

SUPPLEMENTARY INFORMATION: The General Conference Committee (the

Committee) of the National Poultry Improvement Plan (NPIP), representing cooperating State agencies and poultry industry members, serves an essential function by acting as a liaison between the poultry industry and the Department in matters pertaining to poultry health. The Committee meets to discuss significant poultry health issues and makes recommendations to improve the NPIP program.

Topics for discussion at the upcoming meeting include:

1. Salmonella methodology.
2. Approval of rapid assays.
3. FDA equivalency.
4. NPIP database.

The meeting will be open to the public. However, due to time constraints, the public will not be allowed to participate in the discussions during the meeting. Written statements on meeting topics may be filed with the Committee before or after the meeting by sending them to the person listed under **FOR FURTHER INFORMATION CONTACT**. Written statements may also be filed at the meeting. Please refer to Docket No. APHIS–2010–0039 when submitting your statements.

This notice of meeting is given pursuant to section 10 of the Federal Advisory Committee Act (5 U.S.C. App. 2).

Done in Washington, DC, this 5th day of January 2011.

Kevin Shea,

Acting Administrator, Animal and Plant Health Inspection Service.

[FR Doc. 2011–346 Filed 1–10–11; 8:45 am]

BILLING CODE 3410–34–P

DEPARTMENT OF AGRICULTURE

Farm Service Agency

Information Collection; Measurement Service Records

AGENCY: Farm Service Agency, USDA.

ACTION: Notice; request for comments.

SUMMARY: In accordance with the Paperwork Reduction Act of 1995, the Farm Service Agency (FSA) is seeking comments from all interested individuals and organizations on an extension of a currently approved information collection associated with the Measurement Service Records.

DATES: We will consider comments that we receive by March 14, 2011.

ADDRESSES: We invite you to submit comments on this notice. In your comments, include date, volume and page number, the OMB Control Number and the title of the information collection of this issue of the **Federal Register**. You may submit comments by any of the following methods:

- *Mail:* Joe Lewis, Common Provisions Branch, Production Emergencies and Compliance Division, USDA, FSA, Farm Programs 1400 Independence Avenue, SW., STOP 0517, Washington, DC 20250–0523.
- *E-mail: Joe.Lewis@wdc.usda.gov.*
- *Fax:* (202) 720–4941.

You may also send comments to the Desk Officer for Agriculture, Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

FOR FURTHER INFORMATION CONTACT: Joe Lewis, USDA, Farm Service Agency, Production Emergencies and Compliance Division, (202) 720–0795, or *Todd.Anderson@wdc.usda.gov.*

SUPPLEMENTARY INFORMATION:

Description of Information Collection

Title: Measurement Service Records.
OMB Control Number: 0560–0260.
Expiration Date: 05/31/2011.
Type of Request: Extension.
Abstract: The producers request a measurement of acreage or production from the FSA. Producers use form FSA–409 (Measurement Service Record) to make a request, which requires a measurement fee to be paid FSA. Producers provide the acreage and production information to the FSA when obtaining program benefits. Measurement service procedure is followed in accordance with 7 CFR part 718 and FSA Handbook 2–CP. The FSA is using the collected information to provide acreage or production measurements to producers and to ensure that measurements are accurate.

Estimate of Annual Burden: Public reporting burden for this collection of information is estimated to average .25 hours per response. The travel time, which is included in the total annual burden, is estimated to be 1 hour per respondent.

Respondents: Producers.
Estimated Number of Respondents: 135,000.
Estimated Number of Responses per Respondent: 1.
Estimated Total Annual of Responses: 135,000.
Estimated Total Annual Burden Hours: 168,750 hours.

We are requesting comments on all aspects of this information collection to help us to:

(1) Evaluate whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;

(2) Evaluate the accuracy of the agency's estimate of burden including the validity of the methodology and assumptions used;

(3) Enhance the quality, utility and clarity of the information to be collected;

(4) Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology.

All comments received in response to this notice, including names and addresses when provided, will be a matter of public record. Comments will be summarized and included in the submission for OMB approval.

Signed in Washington, DC, on January 4, 2011.

Jonathan Coppess,

Administrator, Farm Service Agency.

[FR Doc. 2011–345 Filed 1–10–11; 8:45 am]

BILLING CODE 3410–05–P

DEPARTMENT OF AGRICULTURE

Forest Service

Notice of Central Idaho Resource Advisory Committee Meeting

AGENCY: Forest Service, USDA.

ACTION: Notice of meeting.

SUMMARY: Pursuant to the authorities in the Federal Advisory Committee Act (Pub. L. 92–463) and under the Secure Rural Schools and Community Self-Determination Act of 2000 (Pub. L. 110–343), the Salmon-Challis National Forest's Central Idaho Resource Advisory Committee will conduct a business meeting which is open to the public.

DATES: Monday, January 25, 2011, beginning at 10 a.m.

ADDRESSES: Salmon-Challis N.F. South Zone Office, Highway 93, Challis, Idaho.

SUPPLEMENTARY INFORMATION: Agenda topics will include, presentation of proposed projects, evaluation of some projects proposals, and approval and recommendation of some projects for Title II funding for 2011 and 2012. Some RAC members may attend the meeting by conference call, telephone, or electronically. The meeting is open to the public.

FOR FURTHER INFORMATION CONTACT: Frank V. Guzman, Forest Supervisor and Designated Federal Officer, at 208–756–5111.

Dated: January 5, 2011.

Frank V. Guzman,

Forest Supervisor, Salmon-Challis National Forest.

[FR Doc. 2011–320 Filed 1–10–11; 8:45 am]

BILLING CODE 3410–11–M

DEPARTMENT OF AGRICULTURE

Forest Service

North Central Idaho Resource Advisory Committee

AGENCY: Forest Service, USDA.

ACTION: Notice of meetings.

SUMMARY: The North Central Idaho RAC will meet in Grangeville, Idaho. The committee is meeting as authorized under the Secure Rural Schools and Community Self-Determination Act (Pub. L. 110–343) and in compliance with the Federal Advisory Committee Act. The purpose of the meetings are to discuss and select projects for 2011 and 2012.

DATES: The meetings will be held January 26th, February 23rd and 24th, and March 24, 2011, at 10 a.m. (PST).

ADDRESSES: The meetings will be held at the Nez Perce National Forest Supervisors Office, 104 Airport Road, Grangeville, Idaho. Written comments should be sent to Laura Smith at 104 Airport Road in Grangeville, Idaho 83530. Comments may also be sent via email to *lasmith@fs.fed.us* or via facsimile to Laura at 208–983–4099.

FOR FURTHER INFORMATION CONTACT: Laura Smith, Designated Forest Official at 208–983–5143.

SUPPLEMENTARY INFORMATION: The meeting is open to the public. A public forum will begin at 3:15 p.m. (PST) on each meeting day. The following business will be conducted: Comments and questions from the public to the committee. Persons who wish to bring related matters to the attention of the Committee may file written statements with the Committee staff before or after the meeting.

Dated: January 3, 2011.

Ralph E. Rau,

Deputy Forest Supervisor.

[FR Doc. 2011–96 Filed 1–10–11; 8:45 am]

BILLING CODE 3410–11–P

DEPARTMENT OF AGRICULTURE

Forest Service

Francis Marion Sumter National Forests Resource Advisory Committee

AGENCY: Forest Service, USDA.

ACTION: Notice of meeting.

SUMMARY: The Francis Marion Sumter National Forests Resource Advisory Committee will meet in Columbia, South Carolina. The committee is meeting as authorized under the Secure Rural Schools and Community Self-Determination Act (Pub. L. 110–343) and in compliance with the Federal Advisory Committee Act. The purpose of the meeting is to review proposals that were submitted for Title II funding.

DATES: The meeting will be held on February 3, 2010, and will begin at 9:30 a.m.

ADDRESSES: The meeting will be held at the Forest Service office, Large Conference Room, 4931 Broad River Road, Columbia, SC. Written comments should be sent to Mary Morrison, Francis Marion Sumter National Forests, 4931 Broad River Road, Columbia, SC 29212. Comments may also be sent via e-mail to *mwmorrison@fs.fed.us,* or via facsimile to 803–561–4004.

All comments, including names and addresses when provided, are placed in the record and are available for public inspection and copying. The public may inspect comments received at Francis Marion Sumter National Forests Office, 4931 Broad River Road, Columbia, SC 29212. Visitors are encouraged to call ahead to 803–561–4058 to facilitate review of the comments.

FOR FURTHER INFORMATION CONTACT: Mary Morrison, RAC coordinator, USDA, Francis Marion Sumter National Forests, 4931 Broad River Road, Columbia, SC 29212; (803) 561–4058; E-mail *mwmorrison@fs.fed.us.*

Individuals who use telecommunication devices for the deaf (TDD) may call the Federal Information Relay Service (FIRS) at 1–800–877–8339 between 8 a.m. and 8 p.m., Eastern Standard Time, Monday through Friday.

SUPPLEMENTARY INFORMATION: The meeting is open to the public. The following business will be conducted: (1) Introductions of all committee members, replacement members and Forest Service personnel; (2) Receive materials explaining the process for considering and recommending Title II projects; (3) Review and Recommend Title II proposals; and (4) Public Comment. Persons who wish to bring related matters to the attention of the Committee may file written statements with the Committee staff before or after the meeting.

Dated: January 3, 2011.

Paul Bradley,

Designated Federal Officer.

[FR Doc. 2011–340 Filed 1–10–11; 8:45 am]

BILLING CODE 3410–11–P

DEPARTMENT OF AGRICULTURE

Forest Service

Amador County Resource Advisory Committee

AGENCY: Forest Service, USDA.

ACTION: Notice of meeting.

SUMMARY: The Amador County Resource Advisory Committee will meet in Sutter Creek, California. The committee is meeting as authorized under the Secure Rural Schools and Community Self-Determination Act (Pub. L. 110–343) and in compliance with the Federal Advisory Committee Act. Project proposals will be discussed and voted on to determine which projects will be recommended to Eldorado National Forest Supervisor Ramior Villalvazo for approval and implementation.

DATES: The meeting will be held on January 18, 2011 beginning at 6 p.m.

ADDRESSES: The meeting will be held at 10877 Conductor Blvd., Sutter Creek, CA. Written comments should be sent to Frank Mosbacher; Forest Supervisor's Office; 100 Forni Road; Placerville, CA 95667. Comments may also be sent via e-mail to *fmosbacher@fs.fed.us,* or via facsimile to 530–621–5297.

All comments, including names and addresses when provided, are placed in the record and are available for public inspection and copying. The public may inspect comments received at 100 Forni Road; Placerville, CA 95667. Visitors are encouraged to call ahead to 530–622–5061 to facilitate entry into the building.

FOR FURTHER INFORMATION CONTACT: Frank Mosbacher, Public Affairs Officer, Eldorado National Forest Supervisors Office, (530) 621–5268.

Individuals who use telecommunication devices for the deaf (TDD) may call the Federal Information Relay Service (FIRS) at 1–800–877–8339 between 8 a.m. and 8 p.m., Eastern Standard Time, Monday through Friday.

SUPPLEMENTARY INFORMATION: The meeting is open to the public. The following business will be conducted: At that meeting the RAC will review project proposals submitted by the Forest Service and the public, listen to project proponents explain their projects, deliberate and vote on projects to recommend for approval and implementation and conduct RAC management business.

More information will be postd on the Eldorado National Forest Web site *@http://www.fs.fed.us/r5/eldorado.* A public comment opportunity will be made available following the business activity. Future meetings will have a formal public imput period for those

following the yet to be developed public imput process.

Dated: January 5, 2011.

Ramiro Villalvazo,

Forest Supervisor.

[FR Doc. 2011–362 Filed 1–10–11; 8:45 am]

BILLING CODE 3410–11–P

DEPARTMENT OF AGRICULTURE

Natural Resources Conservation Service

Notice of Proposed Changes to the National Handbook of Conservation Practices for the Natural Resources Conservation Service

AGENCY: Natural Resources Conservation Service (NRCS), USDA.

ACTION: Notice of availability of proposed changes in the NRCS National Handbook of Conservation Practices for public review and comment.

SUMMARY: Notice is hereby given of the intention of NRCS to issue a series of revised conservation practice standards in the National Handbook of Conservation Practices. These standards include: Aquatic Organism Passage (Code 396), Bivalve Aquaculture Waste Control (Code 400), Cross Wind Trap Strips (Code 589c), Irrigation Field Ditch (Code 388), Nutrient Management (Code 590), and Waste Facility Closure (Code 360).

NRCS State Conservationists who choose to adopt these practices for use within their States will incorporate them into section IV of their respective electronic Field Office Technical Guide. These practices may be used in conservation systems that treat highly erodible land (HEL) or on land determined to be a wetland. Section 343 of the Federal Agriculture Improvement and Reform Act of 1996 requires NRCS to make available for public review and comment all proposed revisions to conservation practice standards used to carry out HEL and wetland provisions of the law.

DATES: *Effective Date:* This is effective January 11, 2011.

Comment Date: Submit comments on or before February 25, 2011. Final versions of these new or revised conservation practice standards will be adopted after the close of the 45-day period, and after consideration of all comments.

ADDRESSES: Comments should be submitted using any of the following methods:

- *Mail:* Wayne Bogovich, National Agricultural Engineer, Conservation Engineering Division, Department of Agriculture, Natural Resources Conservation Service, 1400 Independence Avenue, SW., Room 6136 South Building, Washington, DC 20250.
- *E-mail: wayne.bogovich@wdc.usda.gov*.

FOR FURTHER INFORMATION CONTACT: Wayne Bogovich, National Agricultural Engineer, Conservation Engineering Division, Department of Agriculture, Natural Resources Conservation Service, 1400 Independence Avenue, SW., Room 6136 South Building, Washington, DC 20250.

Electronic copies of these standards can be downloaded or printed from the following Web site: *ftp://ftp-fc.sc.egov.usda.gov/NHQ/practice-standards/federal-register/*. Requests for paper versions or inquiries may be directed to Wayne Bogovich, National Agricultural Engineer, Conservation Engineering Division, Department of Agriculture, Natural Resources Conservation Service, 1400 Independence Avenue, SW., Room 6136 South Building, Washington, DC 20250.

SUPPLEMENTARY INFORMATION: The amount of the proposed changes varies considerably for each of the Conservation Practice Standards addressed in this notice. To fully understand the proposed changes, individuals are encouraged to compare these changes with each standard's current version as shown at: *http://www.nrcs.usda.gov/technical/Standards/nhcp.html*. To aid in this comparison, following are highlights of the proposed revisions to each standard:

Aquatic Organism Passage (Code 396)—The title was changed from "Fish Passage" to "Aquatic Organism Passage" in an effort to better reflect the full range of passage projects completed under the standard across the United States and its protectorates. Both the Definition and Purpose were modified by removing unnecessary words. Two new Criteria were added. Textual references to additional relevant standards were added, and the references cited section was updated to match material presented in the body of the standard. Minor edits were made to improve the readability and clarity of the standard.

Bivalve Aquaculture Waste Control (Code 400)—This is a new conservation practice standard.

Cross Wind Trap Strips (Code589c)—The purpose to induce deposition and reduce transport of wind-borne sediment and sediment-borne contaminants downwind changed to induce wind-borne sediment deposition. Deleted the purpose to provide food and cover for wildlife. Added two new purposes: Induce snow deposition and improve air quality by reducing the generation of airborne particulate matter. The existing criteria in the standard were reformatted to be listed under the appropriate additional criteria for the intended purpose.

Irrigation Field Ditch (Code 388)—Revised Purpose, adding Improvement of Energy Efficiency; revised Criteria, to remove compliance with Federal, State, and local laws and regulations; revised Criteria, changing reference for maximum design velocity from Technical Release 25 to National Engineering Handbook 654; expanded Considerations; expanded Plans and Specifications; and added References.

Nutrient Management (Code 590)—This standard has been updated to promote enhanced nutrient management planning activities at the State level. The standard delivers the minimum requirements for nutrient planning associated with USDA programs. Focus has been added on erosion control, nutrient use efficiency, adaptive nitrogen management tactics, tile drainage, and better management of the 4Rs of nutrient application (Right source, Right timing, Right amount, and Right placement).

Waste Facility Closure (Code 360)—This standard has been updated to include the remediation of contaminated soil in dry waste storage facilities. The name of the standard has also been changed to reflect the expanded definition of decommissioning dry waste facilities. A more widespread use of this standard is anticipated with the inclusion of dry waste facilities. We do not anticipate controversial issues or problems with these changes, nor do we envision the changes will make it more difficult for the States to implement this standard.

Signed this 5th day of January 2011, in Washington, DC.

Dave White,

Chief, Natural Resources Conservation Service.

[FR Doc. 2011–373 Filed 1–10–11; 8:45 am]

BILLING CODE 3410–16–P

DEPARTMENT OF AGRICULTURE

Rural Housing Service

Notice of Request for Extension of a Currently Approved Information Collection

AGENCY: Rural Housing Service.

ACTION: Proposed collection; Comments requested.

SUMMARY: In accordance with the Paperwork Reduction Act of 1995, this notice announces the Rural Housing Service's intention to request an extension for a currently approved information collection in support of the program of the Agency's use of supervised bank accounts (SBA).

DATES: Comments on this notice must be received by March 14, 2011 to be assured of consideration.

FOR FURTHER INFORMATION CONTACT: Ancil Green, Financial Loan Analyst, Multi-Family Housing Portfolio Management Division, RHS, STOP 0782, U.S. Department of Agriculture, 1400 Independence Avenue, SW., Washington, DC 20250–0782. *Telephone:* (202) 690–0760.

SUPPLEMENTARY INFORMATION:

Title: 7 CFR 1902–A, Supervised Bank Accounts.

OMB Number: 0575–0158.

Expiration Date of Approval: 04/30/2011

Type of Request: Extension of a Currently Approved Information Collection.

Abstract: The Agency extends financial assistance to applicants that do not qualify for loans under commercial rates and terms.

The Agency use SBAs as a mechanism to (1) Ensure correct disbursement and expenditure of all funds designated for a project; (2) help a borrower properly manage its financial affairs; (3) ensure that the Government's security is protected adequately from fraud, waste and abuse.

SBAs are mandatory for Multi-Family Housing (MFH) reserve accounts. The MFH funds must be kept in the SBA for the full term of a loan. Any funds withdrawn for disbursement for an authorized purpose require a countersignature from an Agency official.

This regulation prescribes the policies and responsibilities for the use of SBAs. In carrying out the mission as a supervised credit Agency, this regulation authorizes the use of supervised accounts for the disbursement of funds. The use may be necessitated to disburse Government funds consistent with the various stages of any development (construction) work actually achieved. On limited occasions, a supervised account is used to provide temporary credit counseling and oversight of those being assisted who demonstrate an inability to handle their financial affairs responsibly. Another use is for depositing MFH reserve account funds in a manner requiring Agency co-signature for withdrawals. MFH reserve account funds are held in a reserve account for the future capital improvement needs for apartment properties. Supervised accounts are established to ensure Government security is adequately protected against fraud, waste and abuse.

The legislative authority for requiring the use of supervised accounts is contained section 510 of the Housing Act of 1949, as amended (42 U.S.C. 1480). These provisions authorize the Secretary of Agriculture to make such rules and regulations as deemed necessary to carry out the responsibilities and duties the Government is charged with administering.

Estimate of Burden: Public reporting burden for this information collection is estimated to average .38 hours per response.

Respondents: Small Business.

Estimated Average Number of Respondents: 20,000.

Estimated Total Annual Responses: 70,292.

Estimated Total Number of Man Hours: 26,929.

Estimated Hourly Salary Rate: $22.

Estimated Total Cost (Man Hours × Hourly Rate): $593,317.

Statistical Data obtained from the Bureau of Labor Statistics, Employment, Hours and Earning year 2009–10 (*http://bls.gov/*).

Copies of this information collection can be obtained from Jeanne Jacobs, Regulations and Paperwork Management Branch, at (202) 692–0040.

Comments

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the Agency, including whether the information will have practical utility; (b) the accuracy of the Agency's estimate of the burden of the proposed collection of information including the validity of the methodology and assumptions used; (c) ways to enhance the quality, utility and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology. Comments may be sent to Jeanne Jacobs, Regulations and Paperwork Management Branch, U.S. Department of Agriculture, Rural Development, STOP 0732, 1400 Independence Ave., SW., Washington, DC 20250.

All responses to this notice will be summarized and included in the request for OMB approval. All comments will also become a matter of public record.

Dated: January 4, 2011.

Tammye H. Trevino,

Administrator, Rural Housing Service.

[FR Doc. 2011–304 Filed 1–10–11; 8:45 am]

BILLING CODE P

DEPARTMENT OF AGRICULTURE

Rural Housing Service

Notice of Request for Extension of a Currently Approved Information Collection

AGENCY: Rural Housing Service, USDA.

ACTION: Proposed collection; comments requested.

SUMMARY: In accordance with the Paperwork Reduction Act of 1995, this Notice announces the Rural Housing Service's intention to request an extension for a currently approved information collection in support of the program for the Guaranteed Rural Rental Housing Program.

DATES: Comments on this Notice must be received by March 14, 2011 to be assured of consideration.

FOR FURTHER INFORMATION CONTACT: Tammy Daniels, Financial and Loan Analyst, Multi-Family Housing Guaranteed Loan Division, Rural Housing Service, USDA, Stop 0781, 1400 Independence Avenue, SW., Washington, DC 20250, telephone: (202) 720–0021.

SUPPLEMENTARY INFORMATION:

Title: Guaranteed Rural Rental Housing Program.

OMB Number: 0575–0174.

Expiration Date of Approval: June 30, 2011.

Type of Request: Extension of a Currently Approved Information Collection.

Abstract: On March 28, 1996, President Clinton signed the "Housing Opportunity Program Extension Act of 1996." One of the provisions of the Act was the authorization of the Section 538 Guaranteed Rural Rental Housing Loan Program, adding the program to the Housing Act of 1949. The program has been designed to increase the supply of affordable Multi-Family Housing (MFH) through partnerships between RHS and major lending sources, as well as State and local housing finance agencies and bond issuers. Qualified lenders will be authorized to originate, underwrite, and close loans for MFH projects. To be considered, these projects must be either new construction or acquisition with rehabilitation with at least $6,500 per unit.

The housing must be available for occupancy only to low- or moderate-income families or persons, whose incomes at the time of initial occupancy do not exceed 115 percent of the median income of the area. After initial occupancy, the tenant's income may exceed these limits; however, rents, including utilities, are restricted to no more than 30 percent of the 115 percent of area median income for the term of the loan.

The Secretary is authorized under Section 510(k) of the Housing Act of 1949 to prescribe regulations to ensure that these federally-funded loans are made to eligible applicants for authorized purposes. The lender must evaluate the eligibility, cost, benefits, feasibility, and financial performance of the proposed project. The Agency collects this information from the lender to determine if funds are being used to meet the goals and mission of Rural Development. The information submitted by the lender to the Agency is used by the Agency to manage, plan, evaluate, and account for Government resources.

Estimate of Burden: Public reporting burden for this collection of information is estimated to average 1.8 man hours per response.

Respondents: Non-profit and for-profit lending corporations and public bodies.

Estimated Number of Respondents: 150.

Estimated Number of Responses per Respondent: 16.7.

Estimated Number of Responses: 2,498.

Estimated Total Annual Burden on Respondents: 1,389 hours.

Copies of this information collection can be obtained from Jeanne Jacobs, Regulations and Paperwork Management Branch, at (202) 692–0040.

Comments:

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the Agency, including whether the information will have practical utility; (b) the accuracy of the Agency's estimate of the burden of the proposed collection of information including the validity of the methodology and assumptions used; (c) ways to enhance the quality, utility and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology. Comments may be sent to Jeanne Jacobs, Regulations and Paperwork Management Branch, U.S. Department of Agriculture, Rural Development, STOP 0742, 1400 Independence Avenue, SW., Washington, DC 20250. All responses to this notice will be summarized and included in the request for OMB approval. All comments will also become a matter of public record.

Dated: January 3, 2011.

Tammye Treviño,

Administrator, Rural Housing Service.

[FR Doc. 2011–305 Filed 1–10–11; 8:45 am]

BILLING CODE P

DEPARTMENT OF AGRICULTURE

Rural Utilities Service

Information Collection Activity; Comment Request

AGENCY: Rural Utilities Service, USDA.

ACTION: Notice and request for comments.

SUMMARY: In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35, as amended), the Rural Utilities Service, an agency delivering the United States Department of Agriculture's (USDA) Rural Development Utilities Programs, hereinafter referred to as Rural Development and/or Agency, invites comments on this information collection for which the Agency intends to request approval from the Office of Management and Budget (OMB).

DATES: Comments on this notice must be received by March 14, 2011.

FOR FURTHER INFORMATION CONTACT: Michele L. Brooks, Director, Program Development and Regulatory Analysis, Rural Utilities Service, 1400 Independence Ave., SW., STOP 1522, Room 5162 South Building, Washington, DC 20250–1522. Telephone: (202) 690–1078, FAX: (202) 690–1078.

SUPPLEMENTARY INFORMATION: The Office of Management and Budget's (OMB) regulation (5 CFR 1320) implementing provisions of the Paperwork Reduction Act of 1995 (Pub. L. 104–13) requires that interested members of the public and affected agencies have an opportunity to comment on information collection and recordkeeping activities (*see* 5 CFR 1320.8(d)). This notice identifies an information collection that the Agency is submitting to OMB for extension.

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the Agency, including whether the information will have practical utility; (b) the accuracy of the Agency's estimate of the burden of the proposed collection of information including the validity of the methodology and assumptions used; (c) ways to enhance the quality, utility and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology. Comments may be sent to: Michele L. Brooks, Director, Program Development and Regulatory Analysis, Rural Utilities Service, U.S. Department of Agriculture, STOP 1522, Room 5162, 1400 Independence Ave., SW., Washington, DC 20250–1522. FAX: (202)720–4120.

Title: Public Television Station Digital Transition Grant Program.

OMB Control Number: 0572–0134.

Type of Request: Extension of a currently approved information collection.

Abstract: As part of the nation's evolution to digital television, the Federal Communications Commission had ordered all television broadcasters to initiate the broadcast of a digital television signal. Public television stations rely largely on community financial support to operate. In many rural areas the cost of the transition to digital broadcasting may exceed community resources. Since rural communities depend on public television stations for services ranging from educational course content in their schools to local news, weather, and agricultural reports, any disruption of public television broadcasting would be detrimental.

Initiating a digital broadcast requires the installation of a new antenna, transmitter or translator, and new digital program management facilities consisting of processing and storage systems. Public television stations use a combination of transmitters and translators to serve the rural public. If the public television station is to perform program origination functions, as most do, digital cameras, editing and mastering systems are required. A new studio-to-tower site communications link may be required to transport the digital broadcast signal to each transmitter and translator. The capability to broadcast some programming in a high definition television format is inherent in the digital television standard, and this can require additional facilities at the

studio. These are the new components of the digital transition.

In designing the national competition for the distribution of these grant funds, priority is given to public television stations serving the areas that would be most unable to fund the digital transition without a grant. The largest sources of funding for public television stations are public membership and business contributions. In rural areas, lower population density reduces the field of membership, and rural areas have fewer businesses per capita than urban and suburban areas. Therefore, rurality is a primary predictor of the need for grant funding for a public television station's digital transition. In addition, some rural areas have per capita income levels that are lower than the national average, and public television stations covering these areas in particular are likely to have difficulty funding the digital transition. As a result, the consideration of the per capita income of a public television station's coverage area is a secondary predictor of the need for grant funding. Finally, some public television stations may face special difficulty accomplishing the transition, and a third scoring factor for station hardship will account for conditions that make these public television stations less likely to accomplish the digital transition without a grant.

Estimate of Burden: Public reporting burden for this collection of information is estimated to average 21 hours per response.

Respondents: Not-for-profit institutions; State, Local or Tribal Government.

Estimated Number of Respondents: 50.

Estimated Number of Responses per Respondent: 1.12.

Estimated Total Annual Burden on Respondents: 1,168 hours.

Copies of this information collection can be obtained from MaryPat Daskal, Program Development and Regulatory Analysis, at (202) 720–7853. FAX: (202) 720–4120

All responses to this notice will be summarized and included in the request for OMB approval. All comments will also become a matter of public record.

Dated: January 5, 2011.

Jonathan Adelstein,

Administrator, Rural Utilities Service.

[FR Doc. 2011–372 Filed 1–10–11; 8:45 am]

BILLING CODE P

DEPARTMENT OF COMMERCE

Submission for OMB Review; Comment Request

The Department of Commerce will submit to the Office of Management and Budget (OMB) for clearance the following proposal for collection of information under the provisions of the Paperwork Reduction Act (44 U.S.C. chapter 35).

Agency: U.S. Census Bureau.

Title: National Survey of Fishing, Hunting, and Wildlife-Associated Recreation (FHWAR) Cell Phone and Debit Card Test.

OMB Control Number: None.

Form Number(s): Control Advance Letter FHW–W1[T], Prenotice Postcard for Cell Phone Sample FHW–W1[C1], Advance Letter for Cell Phone Sample FHW–W1[C2], Advance Letter for Debit Card Sample FHW–W1[D].

Type of Request: New collection.

Burden Hours: 254.

Number of Respondents: 1,500.

Average Hours per Response: 8 minutes.

Needs and Uses: The U.S. Fish and Wildlife Service (FWS) and the U.S. Census Bureau plan to conduct (covered under separate OMB clearance number 1018–0088) the 2011 National Survey of Fishing, Hunting, and Wildlife-Associated Recreation (FHWAR) which is authorized under the Fish and Wildlife Act of 1956 and the Wildlife and Sport Fish Restoration Programs Improvement Act of 2000. The Census Bureau is authorized to conduct the FHWAR under Title 13, United States Code Section 8(b). The FHWAR data, collected approximately every five years, assist Federal and State agencies in administering the Sport Fish and Wildlife Restoration grant programs and provide up-to-date information on the uses and demands for wildlife-related recreation resources, trends in uses of those resources, and a basis for developing and evaluating programs and projects to meet existing and future needs.

The FHWAR uses an address-based sample selected from the Census Bureau's Master Address File (MAF). Interviewing is conducted using Computer-Assisted Telephone Interviewing (CATI) and Computer-Assisted Personal Interviewing (CAPI). Through research conducted by Relevate, Lexis Nexis, and by researchers at the Census Bureau's three telephone centers, we estimate that we will obtain telephone numbers for 47,891 sample households that will be eligible for CATI interviewing. With a total household sample of 81,955, this leaves 34,064 households eligible for a CAPI interview. Due to the cost of conducting personal visit interviews, the 2011 FHWAR budget will only fund 5,154 CAPI interviews. These 5,154 cases will be subsampled from the 34,064 cases for which we do not have a household telephone number.

A CAPI sample in the FHWAR is particularly important because households with available phone numbers may differ in characteristics from those without telephones and those with unlisted phone numbers. By decreasing our sample from 34,064 to 5,154, we are introducing additional variance in our survey data.

The purpose of the Cell Phone and Debit Card Test is to research alternative survey designs that could increase the number of CATI interviews while reducing the variance associated with conducting fewer CAPI interviews.

Researching comparable alternatives to CAPI interviewing is important since the FWS has limited funding to conduct the survey. An FHWAR CAPI interview is estimated to cost approximately $600 per case, while a CATI interview is estimated to cost $65 per case.

We plan to conduct a test in the first wave of interviewing (the FHWAR is conducted in three waves) that includes three panels of 500 households each. We will select the test cases from the remaining cases (approximately 28,910 cases) without phone numbers after the production CAPI sample is selected. These 1,500 cases will remain in the CATI sample; they will not be sent for CAPI interviewing.

The first panel will receive an advance letter with a cell phone. The advance letter will ask that a household member call the telephone center and complete an interview using the cell phone. The telephone centers will also attempt to contact these households using the assigned cell phone telephone number. The second panel will receive an advance letter and a $25 incentive. The advance letter will ask that a household member call the telephone center to complete an interview and accept the prepaid debit or gift card as a "thank you" for participating. The third panel will only receive an advance letter that requests a household member call the telephone center to complete an interview. (**NOTE:** The only way that contact will be made with households in the second and third panels will be if household respondents call the telephone center.)

The test data from these three panels will not be included with the production FHWAR data and the FWS will not have access to the data.

If this study proves successful, it may also provide an option for future FHWAR surveys and other Census Bureau surveys interested in reducing field data collection costs.

Affected Public: Households or individuals.

Frequency: One-time.

Respondent's Obligation: Voluntary.

Legal Authority: Title 13 U.S.C., Section 8(b).

OMB Desk Officer: Brian Harris-Kojetin, (202) 395–7314.

Copies of the above information collection proposal can be obtained by calling or writing Diana Hynek, Departmental Paperwork Clearance Officer, (202) 482–0266, Department of Commerce, Room 6616, 14th and Constitution Avenue, NW., Washington, DC 20230 (or via the Internet at *dhynek@doc.gov*).

Written comments and recommendations for the proposed information collection should be sent within 30 days of publication of this notice to Brian Harris-Kojetin,OMB Desk Officer either by fax (202–395–7245) or e-mail (*bharrisk@omb.eop.gov*).

Dated: January 6, 2011.

Glenna Mickelson,

Management Analyst, Office of the Chief Information Officer.

[FR Doc. 2011–313 Filed 1–10–11; 8:45 am]

BILLING CODE 3510–07–P

DEPARTMENT OF COMMERCE

Foreign-Trade Zones Board

[Docket 4–2011]

Foreign-Trade Zone 203—Moses Lake, Washington; Application for Manufacturing Authority, SGL Automotive Carbon Fibers, LLC, (Carbon Fiber Manufacturing), Moses Lake, WA

An application has been submitted to the Foreign-Trade Zones Board (the Board) by the Port of Moses Lake Public Corporation, grantee of FTZ 203, requesting export-only manufacturing authority on behalf of SGL Automotive Carbon Fibers, LLC (SGL Automotive), located in Moses Lake, Washington. The application was submitted pursuant to the provisions of the Foreign-Trade Zones Act, as amended (19 U.S.C. 81a–81u), and the regulations of the Board (15 CFR part 400), specifically Section 400.32(b)(1). It was formally filed on January 4, 2011.

The SGL Automotive facility (12 employees initially and up to 250 employees at full production; 60 acres) is located within Site 3 of FTZ 203. This new facility will be used for the manufacture of carbon fiber, all of which will be exported for the exclusive use of BMW Group in its new electric car production. This application requests authority to allow SGL Automotive to conduct manufacturing of carbon fiber (1,500 metric tons at the outset and up to 15,000 metric tons at full capacity) under FTZ procedures for export. Foreign-origin polyacrylonitrile (PAN) fiber (HTSUS 5501.30, duty rate: 7.5%) will be used as the primary production input, which represents some 45 percent of finished product value.

FTZ procedures could exempt SGL Automotive from customs duty payments on the PAN fiber used in export production (100 percent of shipments). FTZ designation could further allow SGL Automotive to realize certain customs-related logistical benefits. Customs duties also could possibly be deferred or reduced on foreign status production equipment. The request indicates that the savings from FTZ procedures would help improve the plant's international competitiveness.

In accordance with the Board's regulations, Diane Finver of the FTZ Staff is designated examiner to evaluate and analyze the facts and information presented in the application and case record and to report findings and recommendations to the Board.

Public comment is invited from interested parties. Submissions (original and 3 copies) shall be addressed to the Board's Executive Secretary at the address below. The closing period for their receipt is February 10, 2011. Rebuttal comments in response to material submitted during the foregoing period may be submitted during the subsequent 15-day period to February 25, 2011.

A copy of the application will be available for public inspection at the Office of the Executive Secretary, Foreign-Trade Zones Board, Room 2111, U.S. Department of Commerce, 1401 Constitution Avenue, NW., Washington, DC 20230–0002, and in the "Reading Room" section of the Board's Web site, which is accessible via *http://www.trade.gov/ftz.*

For further information, contact Diane Finver at *Diane.Finver@trade.gov* or (202) 482–1367.

Dated: January 4, 2011.

Andrew McGilvray,

Executive Secretary.

[FR Doc. 2011–398 Filed 1–10–11; 8:45 am]

BILLING CODE 3510–DS–P

DEPARTMENT OF COMMERCE

International Trade Administration

[A–351–825]

Stainless Steel Bar From Brazil: Final Results of Antidumping Duty Administrative Review

AGENCY: Import Administration, International Trade Administration, Department of Commerce.

SUMMARY: On November 3, 2010, the Department of Commerce (the Department) published the preliminary results of its administrative review of the antidumping duty order on stainless steel bar from Brazil. The review covers one producer/exporter of the subject merchandise, Villares Metals S.A. (VMSA). The period of review is February 1, 2009, through January 31, 2010. We gave interested parties an opportunity to comment on our preliminary results. We received no comments on our preliminary results. The final weighted-average dumping margin for VMSA is listed below in the "Final Results of Review" section of this notice.

DATES: *Effective Date:* January 11, 2011.

FOR FURTHER INFORMATION CONTACT: Sandra Stewart or Minoo Hatten, AD/CVD Operations, Office 5, Import Administration, International Trade Administration, U.S. Department of Commerce, 14th Street and Constitution Avenue, NW., Washington, DC 20230, telephone: (202) 482–0768 or (202) 482–1690, respectively.

SUPPLEMENTARY INFORMATION:

Background

On November 3, 2010, the Department published the preliminary results of its administrative review of the antidumping duty order on stainless steel bar (SSB) from Brazil. See *Stainless Steel Bar From Brazil: Preliminary Results of Antidumping Duty Administrative Review,* 75 FR 67689 (November 3, 2010) (*Preliminary Results*). We invited interested parties to comment on the *Preliminary Results.* We did not receive comments from any interested parties.

The Department has conducted this administrative review in accordance with section 751 of the Tariff Act of 1930, as amended (the Act).

Scope of the Order

The scope of the order covers SSB. The term SSB with respect to the order means articles of stainless steel in straight lengths that have been either hot-rolled, forged, turned, cold-drawn, cold-rolled or otherwise cold-finished,

or ground, having a uniform solid cross section along their whole length in the shape of circles, segments of circles, ovals, rectangles (including squares), triangles, hexagons, octagons or other convex polygons. SSB includes cold-finished SSBs that are turned or ground in straight lengths, whether produced from hot-rolled bar or from straightened and cut rod or wire, and reinforcing bars that have indentations, ribs, grooves, or other deformations produced during the rolling process. Except as specified above, the term does not include stainless steel semi-finished products, cut-length flat-rolled products (*i.e.,* cut-length rolled products which if less than 4.75 mm in thickness have a width measuring at least 10 times the thickness, or if 4.75 mm or more in thickness having a width which exceeds 150 mm and measures at least twice the thickness), wire (*i.e.,* cold-formed products in coils, of any uniform solid cross section along their whole length, which do not conform to the definition of flat-rolled products), and angles, shapes and sections. The SSB subject to the order is currently classifiable under subheadings 7222.10.0005, 7222.10.0050, 7222.20.0005, 7222.20.0045, 7222.20.0075, and 7222.30.0000 of the Harmonized Tariff Schedule of the United States (HTSUS). Although the HTSUS subheadings are provided for convenience and customs purposes, the written description of the scope of the order is dispositive.

Changes Since the Preliminary Results

We identified a slight programming syntax error in our calculations after publication of the *Preliminary Results.* We have corrected the syntax error for the final results. Despite this correction, the dumping margin for VMSA remains unchanged. For a more detailed description of this change please see the final analysis memorandum for VMSA, dated concurrently with this notice, which is on file in the Department's Central Records Unit, Room 7046 of the main Commerce building.

Final Results of Review

As announced in the *Preliminary Results,* we disregarded sales at prices below cost in the home market when determining normal value in the course of this administrative review. As a result of our review, we determine that the weighted-average dumping margin of 4.07 percent exists for VMSA for the period February 1, 2009, through January 31, 2010.

Assessment Rates

The Department shall determine, and CBP shall assess, antidumping duties on all appropriate entries. In accordance with 19 CFR 351.212(b)(1), we have calculated importer/customer-specific assessment rates for these final results of review. For sales where VMSA reported entered value, we divided the total dumping margins (calculated as the difference between normal value and EP) for the reviewed sales by the total entered value of those reviewed sales for each reported importer or customer. For sales where entered value was not reported, we divided the total dumping margins for each exporter's importer or customer by the total number of units the exporter sold to that importer or customer. We will instruct CBP to assess the resulting importer/customer-specific *ad-valorem* rate or per-unit dollar amount, as appropriate, on all entries of subject merchandise made by the relevant importer or customer during the period of review. See 19 CFR 351.212(b).

The Department clarified its "automatic assessment" regulation on May 6, 2003. This clarification will apply to entries of subject merchandise during the period of review produced by VMSA for which VMSA did not know its merchandise was destined for the United States. In such instances, we will instruct CBP to liquidate unreviewed entries of VMSA-produced merchandise at the all-others rate if there is no rate for the intermediate company(ies) involved in the transaction. For a full discussion of this clarification, see *Antidumping and Countervailing Duty Proceedings: Assessment of Antidumping Duties,* 68 FR 23954 (May 6, 2003).

The Department intends to issue instructions to CBP 15 days after the publication of these final results of review.

Cash-Deposit Requirements

The following deposit requirements will be effective upon publication of this notice of final results of administrative review for all shipments of SSB from Brazil entered, or withdrawn from warehouse, for consumption on or after the date of publication, as provided by section 751(a)(2)(C) of the Act: (1) The cash-deposit rate for VMSA will be 4.07 percent; (2) for previously reviewed or investigated companies not listed above, the cash-deposit rate will continue to be the company-specific rate published for the most recent period; (3) if the exporter is not a firm covered in this review, a prior review, or the less-than-fair-value investigation but the manufacturer is, the cash-deposit rate will be the rate established for the most recent period for the manufacturer of the merchandise; (4) if neither the exporter nor the manufacturer has its own rate, the cash-deposit rate will be the all-others rate for this proceeding, 19.43 percent. See *Notice of Final Determination of Sales at Less Than Fair Value: Stainless Steel Bar From Brazil,* 59 FR 66914 (December 28, 1994). These deposit requirements shall remain in effect until further notice.

Notification to Parties

This notice serves as a reminder to importers of their responsibility under 19 CFR 351.402(f) to file a certificate regarding the reimbursement of antidumping duties prior to liquidation of the relevant entries during this review period. Failure to comply with this requirement could result in the Department's presumption that reimbursement of antidumping duties occurred and the subsequent assessment of doubled antidumping duties.

This notice also serves as a reminder to parties subject to administrative protective order (APO) of their responsibility concerning the disposition of proprietary information disclosed under APO in accordance with 19 CFR 351.305(a)(3). Timely notification of the destruction of APO materials or conversion to judicial protective order is hereby requested. Failure to comply with the regulations and the terms of an APO is a sanctionable violation.

These final results of administrative review are issued and published in accordance with sections 751(a)(1) and 777(i)(1) of the Act.

Dated: January 4, 2011.

Ronald K. Lorentzen,

Deputy Assistant Secretary for Import Administration.

[FR Doc. 2011–395 Filed 1–10–11; 8:45 am]

BILLING CODE 3510–DS–P

DEPARTMENT OF COMMERCE

International Trade Administration

U.S. Aerospace Supplier & Investment Mission

AGENCY: International Trade Administration, Department of Commerce.

ACTION: Notice.

Mission Description

The United States Department of Commerce, International Trade Administration, U.S. and Foreign Commercial Service is organizing a U.S. Aerospace Supplier & Investment Mission to Montreal, Canada on May 2–4, 2011. This aerospace mission is an

ideal opportunity for U.S. aerospace companies to gain valuable international business leads in a low risk, highly important international aerospace market. Canada has the fifth largest aerospace industry in the world; in 2009 it generated over $22 billion in revenues. Participating U.S. companies will receive market briefings by Canadian industry experts, seminars on exporting best practices, participate in pre-scheduled, pre-qualified one-on-one meetings with Canadian aerospace supply chain contacts, engage in networking activities and visit key Canadian aerospace OEM plants such as Bombardier. This mission is designed to provide U.S. aerospace companies with a highly effective and unique opportunity to establish supplier relations with major Canadian aerospace companies. This mission presents strong potential for high returns given these factors and the ongoing support of USCS Canada.

Commercial Setting

Canada is a receptive market to U.S. aerospace goods and services and presents an ideal opportunity for the U.S. Commercial Service to contribute to the President's National Export Initiative. The United States and Canada share the largest and most dynamic commercial relationship in the world; U.S. trade with Canada exceeds total U.S. trade with the 27 countries of the European Union combined. Canada also represents the number one export market for 36 of our 50 states and is among the top five export markets for another ten states. The aerospace sector is one of CS Canada's best prospects.

Canada's aerospace industry is the fifth largest in the world; in 2009 total aerospace sales were US $22.2 billion. The United States is Canada's largest supplier of aircraft parts and components; on average, Canadian aerospace companies purchased 55% of their inputs from the United States. In 2009, U.S.-Canada aerospace bilateral trade exceeded $13 billion, and total U.S. aerospace exports to Canada were approximately $6 billion. In 2009 Canada was the United States' 6th largest aerospace export market, and in many aerospace sub-markets was often in the top 5. Industry estimates show an expected recovery of the global aerospace industry to begin in 2011 that will positively impact Canada's largely commercial aircraft manufacturing sector. Further, industry analysts also predict a positive long term growth in commercial aircraft production over military aircraft; since Canada's aerospace sector is 83% civil, this anticipated trend will bode well for U.S. companies wanting to sell to this market. Canada is a world leader in business and regional aircraft, commercial helicopters, turbine engines, flight simulators, avionics, and a broad range of aircraft systems, components and equipment.

Quebec and Ontario are at the heart of the Canadian aerospace industry with about 51% and 29% of local production respectively. Montreal is the world's third largest aerospace cluster after Toulouse and Seattle, and is the only place in the world where an aircraft can be assembled within a 30-mile radius. Montreal is home to renowned industry leaders such as Bombardier Aerospace, Bell Helicopter Textron, Pratt & Whitney Canada, and CAE. To this exceptional concentration of world leaders, we can add other big names such as Rolls-Royce Canada, Héroux Devtek, Messier-Dowty, CMC Electronics—Esterline, Thales Canada, and many other suppliers.

Canada's geographic proximity, open market economy, stable business climate and receptivity to U.S. goods and services make it the ideal market for contributing to the goals of the Administration pursuant to the National Export Initiative. The North American Free Trade Agreement (NAFTA) allows for most U.S. products to enter Canada duty-free and therefore further contributes to the relatively low-cost, low-risk, access that U.S. SMEs can use to prosper and grow in this foreign marketplace. Canada is a party to the World Trade Organization agreement on trade and civil aircraft.

Mission Goals

The trade mission's goal is to advance the goals of the Administration pursuant to the National Export Initiative by providing U.S. suppliers of aerospace products the opportunity to meet with key potential customers such as Canadian aerospace OEMs, sales agents and distributors and obtain export successes in Canada.

Mission Scenario

Participants in the mission to Canada will benefit from a full range of business facilitation and trade promotion services provided by the U.S. Commercial Service in Canada. Participants will receive a briefing by a panel of experts on the Canadian, Quebec and Ontario aerospace markets, an overview of doing business in Canada, and seminars with additional key information for U.S. exporters. It will also include one-on-one business meetings between U.S. participants and potential Canadian business partners, networking opportunities, and tours of some of the largest aerospace OEMs, where companies will have the opportunity to meet senior representatives and learn about planned projects and expected procurement needs. Please see the timetable below with detailed information on the program. Prior to the end of the mission, Commercial Service staff will counsel participants on follow-up.

Timetable

The proposed schedule allows for three days in Montreal and describes the programming we are planning for participating U.S. companies.

Sunday, May 1	Participants arrive in Montreal. 6:00 p.m. No-Host Ice Breaker and No-Host Dinner.
Monday, May 2	8:00–8:30 Mission welcoming remarks by Consul General/SCO & Mission Logistics Briefing. 8:30–9:30 Presentation: Doing Business in Canada. 9:30–10:30 Presentations: Trends in the Canadian Aerospace Sector Panel: Deloitte Touche, AIAC, Minister of Transport, NRC. 10:30–11:00 Coffee break—Networking. 11:00–12:30 Presentations: Canada's Aerospace Market, Quebec's Aerospace Market, Ontario's Aerospace Market. 12:30–13:30 Lunch break (on their own). 14:00–16:00 Seminars: Exporting to Canada Best Practices; U.S. EXIM BANK; U.S. Export Controls in Canada-U.S. Aerospace Trade.
Tuesday, May 3	PROGRAM FOR U.S. COMPANIES. 8:30–12:00 Business matchmaking appointments. 12:00–14:00 General event networking lunch. 14:00–16:30 Business matchmaking appointments. 17:30–19:30 General event reception hosted by CG.

Wednesday, May 4	9:00–15:00 Plant tours of Canadian aerospace OEMs for U.S. Companies. 16:00–16:30 Mission debriefing at hotel. PROGRAM END.

Participation Requirements

All parties interested in participating in the U.S. Aerospace Trade and Investment Mission must complete and submit an application package for consideration by the Department of Commerce.

All applicants will be evaluated on their ability to meet certain conditions and best satisfy the selection criteria as outlined below. The mission is designed for a minimum of 15 and a maximum of 20 companies will be considered for this mission. U.S. companies already doing business in the target markets as well as U.S. companies seeking to enter these markets for the first time are encouraged to apply.

Fees and Expenses

After a company has been selected to participate in the mission, a participation fee paid to the U.S. Department of Commerce is required. The participation fee will be $3,000 for large firms and $2,000 for a small or medium-sized enterprise (SME),* with up to two company representatives. The fee for a third company representative is $250. Expenses for travel, lodging, in-country transportation (except for bus transportation to visit local aerospace OEMs on the third day of the mission), meals and incidentals will be the responsibility of each mission participant.

Conditions for Participation

- An applicant must submit a completed and signed mission application and supplemental application materials, including adequate information on the company's products and/or services, primary market objectives, and goals for participation. If the Department of Commerce receives an incomplete application, the Department may reject the application, request additional information, or take the lack of information into account when evaluating the applications.
- Each applicant must also certify that the products and services to be promoted through the mission are either produced in the United States or marketed under the name of a U.S. firm and have at least 51 percent U.S. content of the value of the finished product or service.

Selection Criteria for Participation

For Companies:

- Suitability of the company's products or services for the Canadian aerospace market
- Applicant's potential for business in Canada, including the likelihood of exports resulting from the mission
- Consistency of the applicant's goals and objectives with the stated scope of the mission

Diversity of company size, type, location, and demographics and traditional underrepresentation in business, may also be considered during the review process.

Referrals from political organizations and any documents containing references to partisan political activities (including political contributions) will be removed from an applicant's submission and not considered during the selection process.

Timeframe for Recruitment and Applications

Mission recruitment will be conducted in an open and public manner, and will commence as soon as the trade mission is approved. Outreach will include publication in the **Federal Register**, posting on the Commerce Department trade mission calendar (*http://www.ita.doc.gov/doctm/tmcal.html*) and other Internet Web sites, press releases to general and trade media, direct mail, broadcast fax, notices by industry trade associations and other multiplier groups, and publicity at industry meetings, symposia, conferences, and trade shows. CS Canada intends to conduct a webinar on "Opportunities in the Canadian Aerospace Market" to supplement recruitment efforts in January/February 2011.

Recruitment for the mission will begin immediately and close on March 14, 2011. Applications received after March 21, 2011 will be considered only if space and scheduling constraints permit. Applications will be available online on the mission Web site at: *http://www.buyusa.gov/Canada.*

Information can also be obtained by contacting the mission contacts listed below.

Contacts: Gina Rebelo Bento, Commercial Specialist—Aerospace, U.S. Consulate General in Montreal, PO Box 65 Desjardins Station, Montreal, QC H5B 1G1, *Tel:* 514–908–3660, *E-mail: Gina.Bento@trade.gov.*

Frank Spector,

Global Trade Programs, U.S. & Foreign Commercial Service.

[FR Doc. 2011–308 Filed 1–10–11; 8:45 am]

BILLING CODE 3510–FP–P

* An SME is defined as a firm with 500 or fewer employees or that otherwise qualifies as a small business under SBA regulations (*see http://www.sba.gov/services/contracting opportunities/sizestandardstopics/index.html*). Parent companies, affiliates, and subsidiaries will be considered when determining business size. The dual pricing reflects the Commercial Service's user fee schedule that became effective May 1, 2008 (*see http://www.export.gov/newsletter/march2008/initiatives.html* for additional information).

DEPARTMENT OF COMMERCE

National Oceanic and Atmospheric Administration

Proposed Information Collection; Comment Request; Scientific Research, Exempted Fishing, and Exempted Activity Submissions

AGENCY: National Oceanic and Atmospheric Administration (NOAA).

ACTION: Notice.

SUMMARY: The Department of Commerce, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995.

DATES: Written comments must be submitted on or before March 14, 2011.

ADDRESSES: Direct all written comments to Diana Hynek, Departmental Paperwork Clearance Officer, Department of Commerce, Room 6616, 14th and Constitution Avenue, NW., Washington, DC 20230 (or via the Internet at *dHynek@doc.gov).*

FOR FURTHER INFORMATION CONTACT: Requests for additional information or copies of the information collection instrument and instructions should be directed to Jackie Wilson, (240) 338–3936 or *Jackie.Wilson@noaa.gov.*

SUPPLEMENTARY INFORMATION:

I. Abstract

Exempted Fishing Permits (EFPs), Scientific Research Permits (SRPs), Display Permits, Letters of Acknowledgment (LOAs), and Shark Research Permits are issued under the authority of the Magnuson-Stevens Fishery Conservation and Management Reauthorization Act (Magnuson-Stevens Act) (16 U.S.C. 1801 *et seq.*) and/or the

Atlantic Tunas Convention Act (ATCA) (16 U.S.C. 971 *et seq.*). Issuance of EFPs and related permits are necessary for the collection of Highly Migratory Species (HMS) for public display and scientific research that is exempt from regulations (*e.g.,* seasons, prohibited species, authorized gear, and minimum sizes) that may prohibit the collection of live animals or biological samples. A Display Permit is issued for the collection of HMS for the purpose of public display whereas a Shark Research Permit allows the National Marine Fisheries Service (NMFS) and commercial shark fishermen to conduct cooperative research to collect fishery-dependent data for management of the Atlantic shark fishery.

The regulations at 50 CFR 600.745 and 50 CFR 635.32 govern scientific research activity, exempted fishing, and exempted educational activities with respect to Atlantic HMS. Since the Magnuson-Stevens Act does not consider scientific research to be "fishing," scientific research is exempt from this statute, and NMFS does not issue EFPs for bona fide research activities (*e.g.,* research conducted from a research vessel and not a commercial or recreational fishing vessel) involving species that are regulated only under the Magnuson-Stevens Act (*e.g.,* most species of sharks) and not under ATCA. NMFS requests copies of scientific research plans for these activities and indicates concurrence by issuing a LOA to researchers to indicate that the proposed activity meets the definition of research and is therefore exempt from regulation.

Scientific research is not exempt from regulation under ATCA. NMFS issues SRPs for collection of species managed under this statute (*e.g.,* tunas, swordfish, billfish), which authorize researchers to collect HMS from bona fide research vessels (*e.g.,* NMFS or university research vessel.) NMFS will issue an EFP when research/collection involving Atlantic tunas, swordfish, and billfishes occurs from commercial or recreational fishing platforms.

To regulate these fishing activities, NMFS needs information to determine the justification of granting an EFP, LOA, SRP, Display or Shark Research Permit. The application requirements are detailed at 50 CFR 600.745(b)(2). Interim, annual and no-catch/fishing reports must also be submitted to the HMS Management Division within NMFS. The authority for the HMS Management Division for requiring this information is found at 50 CFR 635.32(a).

II. Method of Collection

Respondents have a choice of either electronic or paper forms. Methods of submittal include e-mail of electronic forms, mail and facsimile transmission of paper forms.

III. Data

OMB Control Number: 0648–0471.

Form Number: None.

Type of Review: Regular submission (extension of a currently approved collection).

Affected Public: Non-profit institutions; State, local, or tribal government; business or other for-profit organizations.

Estimated Number of Respondents: 75.

Estimated Time Per Response: 2 hours for a scientific research plan; 40 minutes for an application for an EFP, Display Permit, SRP, Shark Research Permit or LOA for HMS; 1 hour for an interim report; 40 minutes for an annual fishing report; 15 minutes for an application for an amendment; 5 minutes for notification of departure phone calls to NMFS Enforcement; 2 minutes for "no-catch" reports; and 2 minutes for tag applications.

Estimated Total Annual Burden Hours: 236.

Estimated Total Annual Cost to Public: $119 in recordkeeping/reporting costs.

IV. Request for Comments

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: January 5, 2011.

Gwellnar Banks,

Management Analyst, Office of the Chief Information Officer.

[FR Doc. 2011–275 Filed 1–10–11; 8:45 am]

BILLING CODE 3510–22–P

COMMODITY FUTURES TRADING COMMISSION

Agency Information Collection Activities: Proposed Collection, Comment Request: Reporting of Pre-enactment Swap Transactions

AGENCY: Commodity Futures Trading Commission.

ACTION: Notice.

SUMMARY: The Commodity Futures Trading Commission ("Commission" or "CFTC") is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act ("PRA"), 44 U.S.C. 3501 *et seq.,* Federal agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information and to allow 60 days for public comment. The Commission recently adopted an interim final rule, as required by the Wall Street Reform and Consumer Protection Act ("Dodd-Frank Act"), requiring counterparties to a pre-enactment unexpired swap to report such swaps according to such rules as the Commission may in the future adopt. This notice solicits comments on the record retention requirement that is embedded in the interim final rule's reporting requirement, which was recognized by the Commission in an interpretive note to the final rule.

DATES: Comments must be submitted on or before March 14, 2011.

ADDRESSES: You may submit comments, identified by "Pre-Enactment Swap Collection," by any of the following methods:

- The Agency's Web site, at *http://comments.cftc.gov/.* Follow the instructions for submitting comments through the Web site.
- *Mail:* David A. Stawick, Secretary of the Commission, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street, NW., Washington, DC 20581.
- *Hand Delivery/Courier:* Same as mail above.

Please submit your comments using only one method.

All comments must be submitted in English, or if not, accompanied by an English translation. Comments will be posted as received to *http://www.cftc.gov.*

FOR FURTHER INFORMATION CONTACT: Susan Nathan, Division of Market Oversight, Senior Special Counsel, CFTC, (202) 418–5133; e-mail: *snathan@cftc.gov.*

SUPPLEMENTARY INFORMATION: Under the PRA, Federal agencies must obtain approval from the Office of Management and Budget ("OMB") for each collection of information they conduct or sponsor. "Collection of Information" is defined in 44 U.S.C. 3502(3) and 5 CFR 1320.3 and includes agency requests or requirements that members of the public submit reports, keep records, or provide information to a third party. Section 3506(c)(2)(A) of the PRA, 44 U.S.C. 3506(c)(2)(A), requires Federal agencies to provide a 60-day notice in the **Federal Register** concerning each proposed collection of information before submitting the collection to OMB for approval. To comply with this requirement, the CFTC is publishing notice of the proposed collection of information listed below.

Abstract: Section 729 of the Dodd-Frank Act required the CFTC to adopt, within 90 days of enactment of the Dodd-Frank Act, an interim final rule for the reporting of swap transactions entered into before July 21, 2010 whose terms had not expired as of that date ("pre-enactment unexpired swaps"). Pursuant to this mandate, the CFTC adopted an interim final rule requiring specified parties to pre-enactment unexpired swap transactions to report certain information related to such transactions to a swap data repository ("SDR") or to the Commission by the compliance date to be established in reporting rules required under Section 2(h)(5) of the CEA, or within 60 days after an appropriate SDR becomes registered under Section 21 of the CEA and commences operations to receive and maintain data related to such swap, whichever occurs first. An interpretative note to the rule advises that counterparties that may be required to report to an SDR or the CFTC will need to preserve information pertaining to the terms of such swaps.

Burden Statement: The respondent burden for this collection is estimated to be .5 hours per response. These estimates include the time to locate the information related to the pre-enactment unexpired swap transactions and the time to ensure such information is maintained in such form as it currently exists.

Respondents/Affected Entities: Swap Dealers, Major Swap Participants, and other counterparties to a swap transaction (*i.e.,* end-user, non-SD/non-MSP counterparties).

Estimated Number of Respondents: 1,800.

Estimated Total Annual Burden on Respondents: 900 hours.

Frequency of Collection: Once.

Issued by the Commission this 5th day of January, 2011.

David Stawick,

Secretary of the Commission.

[FR Doc. 2011–326 Filed 1–10–11; 8:45 am]

BILLING CODE 6351–01–P

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

Sunshine Act Meeting Notice

The White House Council for Community Solutions gives notice of their following first meeting:

DATE AND TIME: Friday, February 4, 2011, 1 p.m.–3 p.m. Eastern Standard Time.

PLACE: The Council will meet in the Eisenhower Executive Office Building. This meeting will be streamed live for public viewing and a link will be available on the council's Web site: *http://www.serve.gov/communitysolutions.*

PUBLIC COMMENT: The public is invited to submit publicly available comments through the Council's Web site. To send statements to the Council, please send written statements to the Council's electronic mailbox at *WhiteHouseCouncil@cns.gov.* The public can also follow the Council's work by visiting its Web site: *http://www.serve.gov/communitysolutions.*

STATUS: Open.

MATTERS TO BE CONSIDERED: The purpose of this meeting is to review the Council's charge, discuss the key issues impacting youth employment, education, work preparedness and the healthy transition to adulthood, and establish committees to carry out the Council's work.

CONTACT PERSON FOR MORE INFORMATION: Susannah Washburn, Executive Director, White House Council for Community Solutions, Corporation for National and Community Service, 10th Floor, Room 10911, 1201 New York Avenue, NW., Washington, DC 20525. Phone (202) 606–6740. Fax (202) 606–3464. E-mail: *swashburn@cns.gov*.

Dated: January 6, 2011.

Susannah Washburn,

Executive Director.

[FR Doc. 2011–467 Filed 1–7–11; 11:15 am]

BILLING CODE 6050–$$–P

DEPARTMENT OF DEFENSE

Office of the Secretary

Renewal of Department of Defense Federal Advisory Committees

AGENCY: Department of Defense.

ACTION: Notice of Renewal of Federal Advisory Committee

SUMMARY: Under the provisions of section 596 of Public Law 110–417, section 594 of Public Law 111–84 and the Federal Advisory Committee Act of 1972, (5 U.S.C. Appendix), the Government in the Sunshine Act of 1976 (5 U.S.C. 552b), and 41 CFR 102–3.50, the Department of Defense gives notice that it is renewing the charter for the Military Leadership Diversity Commission (hereafter referred to as the "Commission").

The Commission is a non-discretionary federal advisory committee that shall provide the President and Congress a comprehensive evaluation and assessment of minority promotion and advancement policies.

The commission, pursuant to section 596(d) of Public Law 110–417, shall:

a. Carry out a comprehensive study to evaluate and assess policies that provide opportunities for the promotion and advancement of minority members of the U.S. Armed Forces, including minority members who are senior officers; and

b. In carrying out the study, the Commission shall examine the following:

(1) The efforts to develop and maintain diverse leadership at all levels of the Armed Forces.

(2) The successes and failures of developing and maintaining a diverse leadership, particularly at the general and flag officer positions.

(3) The effect of expanding Department of Defense secondary educational programs to diverse civilian populations, to include military service academy preparatory schools.

(4) The ability of current recruitment and retention practices to attract and maintain a diverse pool of qualified individuals in sufficient numbers in officer pre-commissioning programs.

(5) The ability of current activities to increase continuation rates for ethnic- and gender-specific members of the Armed Forces.

(6) The benefits of conducting an annual conference attended by civilian military, active-duty and retired military and corporate leaders on diversity, to include a review of current policy and the annual demographic data from the Defense Equal Opportunity Management Institute.

(7) The status of prior recommendations made to the Department of Defense and to Congress concerning diversity initiatives within the Armed Forces.

(8) The incorporation of private sector practices that have been successful in cultivating diverse leadership.

(9) The establishment and maintenance of fair promotion and command opportunities for ethnic- and gender-specific members of the Armed Forces at the 0–5 grade level and above.

(10) An assessment of pre-command billet assignments of ethnic-specific members of the Armed Forces.

(11) An assessment of command selection of ethnic-specific members of the Armed Forces.

(12) The development of a uniform definition, to be used throughout the Department of Defense, of diversity that is congruent with the core values and vision of the Department of Defense for the future workforce.

(13) The existing metrics and milestones for evaluating the diversity plans of the Department of Defense (including the plans of the Military Departments) and for facilitating future evaluation and oversight.

(14) The existence and maintenance of fair promotion, assignment, and command opportunities for ethnic- and gender-specific members of the Armed Forces at the levels of warrant officer, chief warrant officer, company and junior grade, field and mid-grade, and general and flag officer.

(15) The current institutional structure of the Office of Diversity Management and Equal Opportunity of the Department of Defense, and of similar officers of the Military Departments, and their ability to ensure effective and accountable diversity management across the Department of Defense.

(16) The options available for improving the substance or implementation of current plans and policies of the Department of Defense and the Military Departments.

No later than 12 months after the date on which the Commission first meets, the Commission shall submit to the President and Congress a report on its study. The Commission's final report shall include, as a minimum, the following:

a. The findings and conclusions of the Commission;

b. The recommendations of the Commission for improving diversity within the U.S. Armed Forces; and

c. Such other information and recommendations as the Commission considers appropriate.

In addition, the Commission may submit interim reports to the President and Congress as the Commission considers appropriate.

In carrying out its study the Commission, pursuant to section 596(d)(3) of Public Law 110–417, may consult with appropriate private, for-profit, and non-profit organizations and advocacy groups to learn methods for developing, implementing, and sustaining senior diverse leadership within the Department of Defense.

The Commission, pursuant to section 596(b) of Public Law 110–417 and amended by section 594 of Public Law 111–84, shall be comprised of no more than thirty members to include the following:

a. The Director of the Defense Manpower Data Center;

b. The Commandant of the Defense Equal Opportunity Management Institute;

c. An active commissioned officer from each of the Army, Navy, Air Force, and Marine Corps;

d. An active commissioned officer from the National Guard, and an active commissioned officer from the Reserves, each of whom serves or has served in a leadership position with either a Military Department command or combatant command;

e. A commissioned officer or noncommissioned officer of the Coast Guard on active duty;

f. A retired general or flag officer from each of the Army, Navy, Air Force and Marine Corps, a retired general or flag officer from the National Guard, and a retired general or flag officer from the Reserves;

g. A retired flag officer of the Coast Guard;

h. A retired noncommissioned officer from each of the Army, Navy, Air Force and Marine Corps, a retired noncommissioned officer from the National Guard, and a retired noncommissioned officer from the Reserves;

i. Five retired commissioned officers who served in leadership positions with either a Military Department command or combatant command, of whom no less than three shall represent the views of minority veterans;

j. Four individuals with expertise in cultivating diverse leaders in private or non-profit organizations; and

k. An attorney with appropriate experience and expertise in constitutional and legal matters related to the duties and responsibilities of the commission.

The appointment of the Director of the Defense Manpower Data Center and the Commandant of the Defense Equal Opportunity Management Institute shall be based upon their ex-officio position within the Department of Defense.

The Secretary of Defense shall appoint the remaining Commission members, who are not required to be appointed by ex-officio position. Commission members appointed by the Secretary of Defense, who are not full-time or permanent part-time employees of the Federal Government, shall be appointed to serve as experts and consultants under the authority of 5 U.S.C. 3109, and these individuals shall serve as special government employees, whose appointments shall be renewed on an annual basis.

Pursuant to section 596(g)(1) of Public Law 110–417, the Secretary of Homeland Security, in consultation with the Commandant of the Coast Guard, shall appoint the two individuals who represent interests of the U.S. Coast Guard, *see* 13(e) and (g) above.

All Commission members shall be appointed for the life of the Commission; however, each non-ex-officio appointment must be renewed by the Secretary of Defense, or the Secretary of Homeland Security (as applicable) on an annual basis. Any Commission vacancy shall be filled in the same manner as the original appointment and shall be renewed on an annual basis.

Commission members, who are not full-time or permanent part-time federal employees, shall serve without compensation. All Commission members shall be provided travel and per diem for official committee travel.

The Secretary of Defense, pursuant to section 596(b)(3) of Public Law 110–417, shall designate one member as the chairman of the Commission.

With DoD approval, the Commission is authorized to establish subcommittees, as necessary and consistent with its mission. These subcommittees shall operate under the provisions of the Federal Advisory Committee Act of 1972, the Government in the Sunshine Act of 1976 (5 U.S.C. 552b), and other Governing Federal statutes and regulations.

Such subcommittees shall not work independently of the chartered Commission, and shall report all their recommendations and advice to the Commission for full deliberation and discussion. Subcommittees have no authority to make decisions on behalf of the chartered Commission; nor can they report directly to the Department of Defense or any Federal officers or employees who are not Commission members.

Subcommittee members, who are not Commission members, shall be appointed in the same manner as the Commission members. Such individuals, if not full-time or part-time government employees, shall be appointed to serve as experts and

consultants under the authority of 5 U.S.C. 3019, and serve as special government employees, whose appointments must be renewed on an annual basis.

FOR FURTHER INFORMATION CONTACT: Contact Jim Freeman, Deputy Advisory Committee Management Officer for the Department of Defense, 703–601–6128.

SUPPLEMENTARY INFORMATION: The Commission pursuant to section 596(c)(2) of Public Law 110–417, shall meet at the call of the Commission's Designated Federal Officer, in consultation with the Chairperson. The estimated number of Commission meetings is one per year.

The Designated Federal Officer, pursuant to DoD policy, shall be a full-time or permanent part-time DoD employee, and shall be appointed in accordance with governing DoD policies and procedures. In addition, the Designated Federal Officer is required to be in attendance at all Commission and subcommittee meetings; however, in the absence of the Designated Federal Officer, the Alternate Designated Federal Officer shall attend the meeting.

Pursuant to 41 CFR 102–3.105(j) and 102–3.140, the public or interested organizations may submit written statements to the Military Leadership Diversity Commission's membership about the Commission's mission and functions. Written statements may be submitted at any time or in response to the stated agenda of planned meeting of Military Leadership Diversity Commission.

All written statements shall be submitted to the Designated Federal Officer for the Military Leadership Diversity Commission, and this individual will ensure that the written statements are provided to the membership for their consideration. Contact information for the Military Leadership Diversity Commission Designated Federal Officer can be obtained from the GSA's FACA Database—*https://www.fido.gov/facadatabase/public.asp.*

The Designated Federal Officer, pursuant to 41 CFR 102–3.150, will announce planned meetings of the Military Leadership Diversity Commission. The Designated Federal Officer, at that time, may provide additional guidance on the submission of written statements that are in response to the stated agenda for the planned meeting in question.

Dated: January 6, 2011.

Morgan F. Park,

Alternate OSD Federal Register Liaison Officer,Department of Defense.

[FR Doc. 2011–329 Filed 1–10–11; 8:45 am]

BILLING CODE 5001–06–P

DEPARTMENT OF DEFENSE

Department of the Army

[Docket ID: USA–2011–0001]

Privacy Act of 1974; System of Records

AGENCY: Department of the Army, DoD.

ACTION: Notice to add a system of records.

SUMMARY: The Department of the Army proposes to add a system of records to its inventory of record systems subject to the Privacy Act of 1974 (5 U.S.C. 552a), as amended.

DATES: This proposed action would be effective without further notice on February 10, 2011 unless comments are received which result in a contrary determination.

ADDRESSES: You may submit comments, identified by docket number and/Regulatory Information Number (RIN) and title, by any of the following methods:

* *Federal Rulemaking Portal: http://www.regulations.gov.* Follow the instructions for submitting comments.

* *Mail:* Federal Docket Management System Office, Room 3C843, 1160 Defense Pentagon, Washington, DC 20301–1160.

Instructions: All submissions received must include the agency name and docket number or Regulatory Information Number (RIN) for this **Federal Register** document. The general policy for comments and other submissions from members of the public is to make these submissions available for public viewing on the Internet at *http://www.regulations.gov* as they are received without change, including any personal identifiers or contact information.

FOR FURTHER INFORMATION CONTACT: Mr. Leroy Jones at (703) 428–6185, or Department of the Army, Privacy Office, U.S. Army Records Management and Declassification Agency, 7701 Telegraph Road, Casey Building, Suite 144, Alexandria, VA 22325–3905.

SUPPLEMENTARY INFORMATION: The Department of the Army notices for systems of records subject to the Privacy Act of 1974 (5 U.S.C. 552a), as amended, have been published in the **Federal Register** and are available from the **FOR FURTHER INFORMATION CONTACT** address above.

The proposed system report, as required by 5 U.S.C. 552a(r) of the Privacy Act of 1974, as amended, was submitted on January 5, 2011 to the House Committee on Oversight and Government Reform, the Senate Committee on Governmental Affairs, and the Office of Management and Budget (OMB) pursuant to paragraph 4c of Appendix I to OMB Circular No. A–130, "Federal Agency Responsibilities for Maintaining Records About Individuals," dated February 8, 1996 (February 20, 1996, 61 FR 6427).

Dated: January 5, 2011.

Morgan F. Park,

Alternate OSD Federal Register Liaison Officer, Department of Defense.

A0350–1c TRADOC

SYSTEM NAME:

Digital Training Management System.

SYSTEM LOCATION:

U.S. Army Combined Arms Center, Network Enterprise Center, 645 Biddle Blvd, Fort Leavenworth, KS 66027–2309.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Department of the Army military personnel (Active, National Guard and Reserve Components), Department of the Army civilian personnel, Department of the Army contractor personnel requiring or requesting access to Digital Training Management System (DTMS) and other Department of Defense personnel who have completed any Department of the Army courses of instruction.

CATEGORIES OF RECORDS IN THE SYSTEM:

Name, Social Security Number (SSN), rank, gender, birth date, medical appointment scheduling information, employment information includes, work e-mail and work phone number, unit number, military occupational specialty, and skill level. Additional information is provided voluntarily that consists of driver's license, personal cell telephone number, home telephone number, personal e-mail address, mailing/home address, spouse's first name, children's names, emergency contact, and education information.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

10 U.S.C. 3013, Secretary of the Army; Army Regulation 350–1, Army Training and Leader Development; and E.O. 9397 (SSN), as amended.

PURPOSE(S):

To support the on-going digital training management task. The system

provides training managers with a comprehensive password protected management tool for conducting and tracking digital systems training online. DTMS allows users to completely manage the entire learning environment for all soldiers. Training managers at separate companies, battalions, brigades, divisions, corps, program managers, and other Department of Army agencies can access and utilize the system. DTMS tracks not only new equipment training (NET), but also sustainment, collective and individual training.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

In addition to those disclosures generally permitted under 5 U.S.C. 552a(b) of the Privacy Act of 1974, these records contained therein may specifically be disclosed outside the DoD as a routine use pursuant to 5 U.S.C. 552a(b)(3) as follows:

The DoD 'Blanket Routine Uses' set forth at the beginning of the Army's compilation of systems of records notices also apply to this system.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are stored on electronic storage media.

RETRIEVABILITY:

Data is retrieved by name, unit number, military occupational specialty, and skill level queries from within the DTMS application.

SAFEGUARDS:

Computerized records maintained in a controlled area are accessible only to authorized personnel. Records are maintained in a controlled facility. Physical entry is restricted by the use of locks, guards, and is accessible only to authorized personnel. Physical and electronic access is restricted to designated individuals having a need to know in the performance of official duties and who are properly screened and cleared.

RETENTION AND DISPOSAL:

Records are archived after training is completed and maintained in the current file area until no longer needed for conducting business, but not longer than 6 years after the member's separation, then destroyed electronically.

SYSTEM MANAGER(S) AND ADDRESS:

Program Manager, U.S. Army Combined Arms Center, Collective Training Directorate, 513 Grant Ave, Fort Leavenworth, KS 66027–2309.

NOTIFICATION PROCEDURE:

Individuals seeking to determine whether this system of records contains information about themselves should address written inquiries to the Program Manager, U.S. Army Combined Arms Center, Collective Training Directorate, 513 Grant Ave, Fort Leavenworth, KS 66027–2309.

For verification purposes, individuals should provide full name, unit number, rank, military occupational specialty, skill level, and signature.

In addition, the requester must provide a notarized statement or an unsworn declaration made in accordance with 28 U.S.C. 1746, in the following format:

If executed outside the United States: 'I declare (or certify, verify, or state) under penalty of perjury under the laws of the United States of America that the foregoing is true and correct. Executed on (date). (Signature)'.

If executed within the United States, its territories, possessions, or commonwealths: 'I declare (or certify, verify, or state) under penalty of perjury that the foregoing is true and correct. Executed on (date). (Signature)'.

RECORD ACCESS PROCEDURES:

Individuals seeking to access records about themselves should address written inquiries to the Program Manager, U.S. Army Combined Arms Center, Collective Training Directorate, 513 Grant Ave, Fort Leavenworth, KS 66027–2309.

For verification purposes, individuals should provide full name, unit number, rank, military occupational specialty, skill level, and signature.

IN ADDITION, THE REQUESTER MUST PROVIDE A NOTARIZED STATEMENT OR AN UNSWORN DECLARATION MADE IN ACCORDANCE WITH 28 U.S.C. 1746, IN THE FOLLOWING FORMAT:

If executed outside the United States: 'I declare (or certify, verify, or state) under penalty of perjury under the laws of the United States of America that the foregoing is true and correct. Executed on (date). (Signature)'.

If executed within the United States, its territories, possessions, or commonwealths: 'I declare (or certify, verify, or state) under penalty of perjury that the foregoing is true and correct. Executed on (date). (Signature)'.

CONTESTING RECORD PROCEDURES:

The Army's rules for accessing records, contesting contents; and appealing initial agency determinations are contained in Army Regulation 340–21; 32 CFR part 505; or may be obtained from the system manager.

RECORD SOURCE CATEGORIES:

From the individual, DoD staff, personnel, training and medical systems for use in appointment scheduling.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

None.

[FR Doc. 2011–330 Filed 1–10–11; 8:45 am]

BILLING CODE 5001–06–P

DEPARTMENT OF ENERGY

Blue Ribbon Commission on America's Nuclear Future

AGENCY: Department of Energy, Office of Nuclear Energy.

ACTION: Notice of open meeting.

SUMMARY: This notice announces an open meeting of the Blue Ribbon Commission on America's Nuclear Future (the Commission). The Commission was organized pursuant to the Federal Advisory Committee Act (Pub. L. 92–463, 86 Stat. 770) (the Act). This notice is provided in accordance with the Act.

DATES: Wednesday, January 26, 2011, 1 p.m.–5 p.m. MST;Thursday, January 27, 2011, 8:30 a.m.–3:15 p.m. MST.

ADDRESSES: Pecos River Village Conference Center,711 Muscatel Avenue,Carlsbad, New Mexico 88220,(575) 887–6516.

FOR FURTHER INFORMATION CONTACT: Timothy A. Frazier, Designated Federal Officer, U.S. Department of Energy, 1000 Independence Avenue, SW., Washington, DC 20585; telephone (202) 586–4243 or facsimile (202) 586–0544; e-mail *CommissionDFO@nuclear.energy.gov*. Additional information may also be available at *http://www.brc.gov*.

SUPPLEMENTARY INFORMATION:

Background: The President directed that the Blue Ribbon Commission on America's Nuclear Future (the Commission) be established to conduct a comprehensive review of policies for managing the back end of the nuclear fuel cycle. The Commission will provide advice and make recommendations on issues including alternatives for the storage, processing, and disposal of civilian and defense spent nuclear fuel and nuclear waste.

The Commission is scheduled to submit a draft report to the Secretary of Energy by July 2011, and a final report by January 2012.

Purpose of the Meeting: The meeting will provide the Commission with a

range of local and regional perspectives from a wide variety of individuals and organizations. The Commission will also tour the Waste Isolation Pilot Plant to see first-hand the disposal of defense related transuranic waste.

Tentative Agenda: The site tour is expected to start at 1 p.m. on January 26th with the Commissioners touring relevant areas of the Waste Isolation Pilot Plant. The meeting on January 27th will begin at 8:30 a.m. at the Pecos Village River Conference Center. The Commission will hear presentations and statements from various stakeholder groups, and ask questions of the presenters, to provide additional information for Commission consideration. The meeting on January 27th is expected to conclude with public statements starting at approximately 2:15 p.m. MST. The meeting will end by 3:15 p.m. MST.

Public Participation: A tour of the Waste Isolation Pilot Plant surface and underground facilities is being offered to the general public on a first come, first served basis. Registration for the public tour will open at 8 a.m. MST, on Tuesday, January 18, 2011, and close at 5 p.m. MST, on Thursday, January 20, 2011. Individuals interested in the public tour may register by calling (575) 234–7512. A limited number of seats are available.

Individuals and representatives of organizations who would like to offer comments and suggestions may do so at the end of the meeting on January 27, 2011. Approximately one hour will be reserved for public comments. Time allotted per speaker will depend on the number who wish to speak but will not exceed 5 minutes. The Designated Federal Officer is empowered to conduct the meeting in a fashion that will facilitate the orderly conduct of business. Those wishing to speak should register to do so beginning at 8 a.m. MST, on January 27, 2011, at the Pecos River Conference Center. Registration to speak will close at 12 p.m. MST, January 27, 2011.

Those not able to attend the meeting or having insufficient time to address the committee are invited to send a written statement to Timothy A. Frazier, U.S. Department of Energy, 1000 Independence Avenue, SW., Washington, DC 20585, e-mail to *CommissionDFO@nuclear.energy.gov*, or post comments on the Commission Web site at *http://www.brc.gov*.

Additionally, the meeting will be available via live webcast. The link will be available at *http://www.brc.gov*.

Minutes: The minutes of the meeting will be available at *http://www.brc.gov* or by contacting Mr. Frazier. He may be reached at the postal address or e-mail address above.

Issued in Washington, DC, on January 5, 2011.

LaTanya R. Butler,

Acting Deputy Committee Management Officer.

[FR Doc. 2011–349 Filed 1–10–11; 8:45 am]

BILLING CODE 6450–01–P

DEPARTMENT OF ENERGY

Blue Ribbon Commission on America's Nuclear Future

AGENCY: Department of Energy, Office of Nuclear Energy.

ACTION: Notice of open meeting.

SUMMARY: This notice announces an open meeting of the Blue Ribbon Commission on America's Nuclear Future (the Commission). The Commission was organized pursuant to the Federal Advisory Committee Act (Pub. L. 92–463, 86 Stat. 770) (the Act). This notice is provided in accordance with the Act.

DATES: Friday, January 28, 2011, 8:30 a.m.–1:15 p.m. MST.

ADDRESSES: Hyatt Regency Albuquerque, 330 Tijeras NW., Albuquerque, New Mexico 87102, (505) 842–1234.

FOR FURTHER INFORMATION CONTACT: Timothy A. Frazier, Designated Federal Officer, U.S. Department of Energy, 1000 Independence Avenue, SW., Washington, DC 20585; telephone (202) 586–4243 or facsimile (202) 586–0544; e-mail *CommissionDFO@nuclear.energy.gov*. Additional information may also be available at *http://www.brc.gov*.

SUPPLEMENTARY INFORMATION:

Background: The President directed that the Blue Ribbon Commission on America's Nuclear Future (the Commission) be established to conduct a comprehensive review of policies for managing the back end of the nuclear fuel cycle. The Commission will provide advice and make recommendations on issues including alternatives for the storage, processing, and disposal of civilian and defense spent nuclear fuel and nuclear waste.

The Commission is scheduled to submit a draft report to the Secretary of Energy by July 2011, and a final report by January 2012.

Purpose of the Meeting: The meeting will provide the Commission with a range of local and regional perspectives from a wide variety of individuals and organizations.

Tentative Agenda: The meeting on January 28th will begin at 8:30 a.m. MST, at the Hyatt Regency Albuquerque. The Commission will hear presentations and statements from various stakeholder groups, and ask questions of the presenters, to provide additional information for Commission consideration. The meeting on January 28th is expected to conclude with public statements starting at approximately 12:15 p.m. MST. The meeting will end by 1:15 p.m.

Public Participation: Individuals and representatives of organizations who would like to offer comments and suggestions may do so at the end of the meeting on January 28, 2011. Approximately one hour will be reserved for public comments. Time allotted per speaker will depend on the number who wish to speak but will not exceed 5 minutes. The Designated Federal Officer is empowered to conduct the meeting in a fashion that will facilitate the orderly conduct of business. Those wishing to speak should register to do so beginning at 8 a.m. MST on January 28, 2011, at the Hyatt Regency Albuquerque. Registration to speak will close at 11 a.m. MST, January 28, 2011.

Those not able to attend the meeting or having insufficient time to address the committee are invited to send a written statement to Timothy A. Frazier, U.S. Department of Energy, 1000 Independence Avenue, SW., Washington DC 20585, e-mail to *CommissionDFO@nuclear.energy.gov,* or post comments on the Commission Web site at *http://www.brc.gov.*

Additionally, the meeting will be available via live webcast. The link will be available at *http://www.brc.gov.*

Minutes: The minutes of the meeting will be available at *http://www.brc.gov* or by contacting Mr. Frazier. He may be reached at the postal address or e-mail address above.

Issued in Washington, DC, on January 5, 2011.

LaTanya R. Butler,

Acting Deputy Committee Management Officer.

[FR Doc. 2011–351 Filed 1–10–11; 8:45 am]

BILLING CODE 6450–01–P

DEPARTMENT OF ENERGY

Federal Energy Regulatory Commission

[Project No. 2232–587]

Duke Energy Carolinas, LLC; Notice of Application for Amendment of License and Soliciting Comments, Motions To Intervene, and Protests

January 4, 2011.

Take notice that the following hydroelectric application has been filed with the Commission and is available for public inspection:

a. *Application Type:* Non-Project Use of Public Lands and Waters: Water Withdrawal.

b. *Project No.:* 2232–587.

c. *Date Filed:* December 1, 2010.

d. *Applicant:* Duke Energy Carolinas, LLC.

e. *Name of Project:* Catawba-Wateree Project.

f. *Location:* The Catawba-Wateree Project is located in Alexander, Burke, Caldwell, Catawba, Gaston, Iredell, Lincoln, McDowell and Mecklenburg Counties, North Carolina and Chester, Fairfield, Kershaw, Lancaster, and York Counties, South Carolina. This project does not occupy any federal lands.

g. *Filed Pursuant to:* Federal Power Act, 16 U.S.C. 791a–825r.

h. *Applicant Contact:* Mr. Kelvin K. Reagan, Lake Services Manager, Duke Energy Carolinas, LLC, P.O. Box 1006, Charlotte, North Carolina 28201–1006, (704) 382–9386.

i. *FERC Contact:* Rachel Price, (202) 502–8907 and e-mail: *rachel.price@ferc.gov*

j. *Deadline for filing comments, motions to intervene, and protest:* February 3, 2011.

All documents should be filed with: Secretary, Federal Energy Regulatory Commission, 888 First Street, NE., Washington, DC 20426. Please include the project number (P–2232–587) on any comments or motions filed. Comments, protests, and interventions may be filed electronically via the Internet, see 18 CFR 385.2001 (a)(1)(iii) and the instructions on the Commission's Web site under the "e-filing" link. The Commission strongly encourages electronic filings. In lieu of electronic filing, an original and eight copies of all documents may be mailed to the Secretary at the address above.

The Commission's Rules of Practice and Procedure require all interveners filing documents with the Commission to serve a copy of that document on each person whose name appears on the official service list for the project. Further, if an intervener files comments or documents with the Commission relating to the merits of an issue that may affect the responsibilities of a particular resource agency, they must also serve a copy of the document on that resource agency. A copy of any motion to intervene must also be served upon each representative of the Applicant specified in the particular application.

k. *Description of Request:* Duke Energy Carolinas, LLC requests Commission approval of an agreement that would allow Duke to authorize the City of Rock Hill, North Carolina to construct and operate expanded water intake facilities on, and to withdraw water from, Lake Wylie. A new water intake structure would be constructed beside the existing structure. The new intake structure and water line would occupy approximately 0.33 acres of land within the project boundary. Additionally, new screens with screen openings that do not exceed 0.375 inches would be installed on the new intake structure. Intake velocities at the new intake structure would not exceed 0.50 feet per second. Under the agreement, the expanded facility would have a maximum withdrawal capacity of 60 million gallons per day (MGD), a 30 MGD increase from the current approved withdrawal rate. The water intake and pump facility is located in York County, South Carolina.

l. *Locations of the Application:* A copy of the application is available for inspection and reproduction at the Commission's Public Reference Room, located at 888 First Street, NE., Room 2A, Washington, DC 20426, or by calling (202) 502–8371. This filing may also be viewed on the Commission's Web site at *http://www.ferc.gov* using the "eLibrary" link. Enter the docket number excluding the last three digits in the docket number field to access the document. You may also register online at *http://www.ferc.gov/docs-filing/esubscription.asp* to be notified via email of new filings and issuances related to this or other pending projects. For assistance, call 1–866–208–3676 or e-mail *FERCOnlineSupport@ferc.gov,* for TTY, call (202) 502–8659. A copy is also available for inspection and reproduction at the address in item (h) above.

m. Individuals desiring to be included on the Commission's mailing list should so indicate by writing to the Secretary of the Commission.

n. *Comments, Protests, or Motions to Intervene:* Anyone may submit comments, a protest, or a motion to intervene in accordance with the requirements of Rules of Practice and Procedure, 18 CFR 385.210, .211, .214. In determining the appropriate action to take, the Commission will consider all protests or other comments filed, but only those who file a motion to intervene in accordance with the Commission's Rules may become a party to the proceeding. Any comments, protests, or motions to intervene must be received on or before the specified comment date for the particular application.

o. Any filing must bear in all capital letters the title "COMMENTS", "PROTEST", or "MOTION TO INTERVENE", as applicable, and the Project Number of the particular application to which the filing refers.

p. *Agency Comments:* Federal, state, and local agencies are invited to file comments on the described application. A copy of the application may be obtained by agencies directly from the Applicant. If an agency does not file comments within the time specified for filing comments, it will be presumed to have no comments. One copy of an agency's comments must also be sent to the Applicant's representatives.

Kimberly D. Bose,

Secretary.

[FR Doc. 2011–301 Filed 1–10–11; 8:45 am]

BILLING CODE 6717–01–P

DEPARTMENT OF ENERGY

Federal Energy Regulatory Commission

[Docket No. ER11–2534–000]

Morris Cogeneration, LLC; Supplemental Notice That Initial Market-Based Rate Filing Includes Request for Blanket Section 204 Authorization

January 4, 2011.

This is a supplemental notice in the above-referenced proceeding Morris Cogeneration, LLC's application for market-based rate authority, with an accompanying rate tariff, noting that such application includes a request for blanket authorization, under 18 CFR part 34, of future issuances of securities and assumptions of liability.

Any person desiring to intervene or to protest should file with the Federal Energy Regulatory Commission, 888 First Street, NE., Washington, DC 20426, in accordance with Rules 211 and 214 of the Commission's Rules of Practice and Procedure(18 CFR 385.211 and 385.214). Anyone filing a motion to intervene or protest must serve a copy of that document on the Applicant.

Notice is hereby given that the deadline for filing protests with regard

to the applicant's request for blanket authorization, under 18 CFR part 34, of future issuances of securities and assumptions of liability, is January 24, 2011.

The Commission encourages electronic submission of protests and interventions in lieu of paper, using the FERC Online links at *http://www.ferc.gov.* To facilitate electronic service, persons with Internet access who will eFile a document and/or be listed as a contact for an intervenor must create and validate an eRegistration account using the eRegistration link. Select the eFiling link to log on and submit the intervention or protests.

Persons unable to file electronically should submit an original and 14 copies of the intervention or protest to the Federal Energy Regulatory Commission, 888 First Street, NE., Washington, DC 20426.

The filings in the above-referenced proceeding are accessible in the Commission's eLibrary system by clicking on the appropriate link in the above list. They are also available for review in the Commission's Public Reference Room in Washington, DC. There is an eSubscription link on the Web site that enables subscribers to receive e-mail notification when a document is added to a subscribed docket(s). For assistance with any FERC Online service, please e-mail *FERCOnlineSupport@ferc.gov* or call (866) 208–3676 (toll free). For TTY, call (202) 502–8659.

Kimberly D. Bose,

Secretary.

[FR Doc. 2011–297 Filed 1–10–11; 8:45 am]

BILLING CODE 6717–01–P

DEPARTMENT OF ENERGY

Federal Energy Regulatory Commission

[Docket No. ER11–2589–000]

Evraz Claymont Steel, Inc.; Supplemental Notice That Initial Market-Based Rate Filing Includes Request for Blanket Section 204 Authorization

January 4, 2011.

This is a supplemental notice in the above-referenced proceeding Evraz Claymont Steel, Inc.'s application for market-based rate authority, with an accompanying rate tariff, noting that such application includes a request for blanket authorization, under 18 CFR part 34, of future issuances of securities and assumptions of liability.

Any person desiring to intervene or to protest should file with the Federal Energy Regulatory Commission, 888 First Street, NE., Washington, DC 20426, in accordance with Rules 211 and 214 of the Commission's Rules of Practice and Procedure (18 CFR 385.211 and 385.214). Anyone filing a motion to intervene or protest must serve a copy of that document on the Applicant.

Notice is hereby given that the deadline for filing protests with regard to the applicant's request for blanket authorization, under 18 CFR part 34, of future issuances of securities and assumptions of liability, is January 24, 2011.

The Commission encourages electronic submission of protests and interventions in lieu of paper, using the FERC Online links at *http://www.ferc.gov.* To facilitate electronic service, persons with Internet access who will eFile a document and/or be listed as a contact for an intervenor must create and validate an eRegistration account using the eRegistration link. Select the eFiling link to log on and submit the intervention or protests.

Persons unable to file electronically should submit an original and 14 copies of the intervention or protest to the Federal Energy Regulatory Commission, 888 First Street, NE., Washington, DC 20426.

The filings in the above-referenced proceeding are accessible in the Commission's eLibrary system by clicking on the appropriate link in the above list. They are also available for review in the Commission's Public Reference Room in Washington, DC. There is an eSubscription link on the Web site that enables subscribers to receive e-mail notification when a document is added to a subscribed dockets(s). For assistance with any FERC Online service, please e-mail *FERCOnlineSupport@ferc.gov.* or call (866) 208–3676 (toll free). For TTY, call (202) 502–8659.

Kimberly D. Bose,

Secretary.

[FR Doc. 2011–298 Filed 1–10–11; 8:45 am]

BILLING CODE 6717–01–P

DEPARTMENT OF ENERGY

Federal Energy Regulatory Commission

[Project No. 2246–058]

Yuba County Water Agency; Notice of Intent To File License Application, Filing of Pre-Application Document (PAD), Commencement of Pre-Filing Process, and Scoping; Request for Comments on the PAD and Scoping Document, and Identification of Issues and Associated Study Requests

January 4, 2011.

a. *Type of Filing:* Notice of Intent to File License Application for a New License and Commencing Pre-filing Process.

b. *Project No.:* 2246–058.

c. *Dated Filed:* November 5, 2010.

d. *Submitted By:* Yuba County Water Agency.

e. *Name of Project:* Yuba River Hydroelectric Project.

f. *Location:* The Yuba River Project facilities are located on the western slope of the Sierra Nevada on the main stems of the Yuba River, the North Yuba River, the Middle Yuba River, and Oregon Creek (a tributary to the Middle Yuba River) in Yuba, Sierra, and Nevada Counties, California. Portions of the Yuba River Project occupy lands of the Plumas and Tahoe National Forests.

g. *Filed Pursuant to:* 18 CFR Part 5 of the Commission's Regulations.

h. *Potential Applicant Contact:* Curt Aikens, General Manager, Yuba County Water Agency, 1220 F Street, Marysville, California 95901, 530–741–6278.

i. *FERC Contact:* Alan Mitchnick at (202) 502–6074 or *alan.mitchnick@ferc.gov.*

j. *Cooperating agencies:* Federal, state, local, and tribal agencies with jurisdiction and/or special expertise with respect to environmental issues that wish to cooperate in the preparation of the environmental document should follow the instructions for filing such requests described in item o below. Cooperating agencies should note the Commission's policy that agencies that cooperate in the preparation of the environmental document cannot also intervene. *See* 94 FERC ¶ 61,076 (2001).

k. With this notice, we are initiating informal consultation with: (a) The U.S. Fish and Wildlife Service and/or NOAA Fisheries under section 7 of the Endangered Species Act and the joint agency regulations thereunder at 50 CFR Part 402 and (b) the California State Historic Preservation Officer, as required by section 106, National

Historical Preservation Act, and the implementing regulations of the Advisory Council on Historic Preservation at 36 CFR 800.2.

l. With this notice, we are designating the Yuba County Water Agency as the Commission's non-federal representative for carrying out informal consultation, pursuant to Section 7 of the Endangered Species Act and section 106 of the National Historic Preservation Act.

m. Yuba County Water Agency filed with the Commission a Pre-Application Document (PAD; including a proposed process plan and schedule), pursuant to 18 CFR 5.6 of the Commission's regulations.

n. A copy of the PAD is available for review at the Commission in the Public Reference Room or may be viewed on the Commission's website (*http://www.ferc.gov*), using the "eLibrary" link. Enter the docket number, excluding the last three digits in the docket number field to access the document. For assistance, contact FERC Online Support at *FERCOnlineSupport@ferc.gov* or toll free at 1–866–208–3676, or for TTY, (202) 502–8659. A copy is also available for inspection and reproduction at the address in paragraph h.

Register online at *http://www.ferc.gov/docs-filing/esubscription.asp* to be notified via e-mail of new filing and issuances related to this or other pending projects. For assistance, contact FERC Online Support.

o. With this notice, we are soliciting comments on the PAD and Commission's staff Scoping Document 1 (SD1), as well as study requests. All comments on the PAD and SD1, and study requests should be sent to the address above in paragraph h. In addition, all comments on the PAD and SD1, study requests, requests for cooperating agency status, and all communications to and from Commission staff related to the merits of the potential application must be filed with the Commission. Documents may be filed electronically via the Internet. See 18 CFR 385.2001(a)(1)(iii) and the instructions on the Commission's website *http://www.ferc.gov/docs-filing/efiling.asp*. Commenters can submit brief comments up to 6,000 characters, without prior registration, using the eComment system at *http://www.ferc.gov/docs-filing/ecomment.asp*. You must include your name and contact information at the end of your comments. For assistance, please contact FERC Online Support. Although the Commission strongly encourages electronic filing, documents may also be paper-filed. To paper-file, mail an original and seven copies to: Kimberly D. Bose, Secretary, Federal Energy Regulatory Commission, 888 First Street, NE., Washington, DC 20426.

All filings with the Commission must include on the first page, the project name (Yuba River Hydroelectric Project) and number (P–2246–058), and bear the appropriate heading: "Comments on Pre-Application Document," "Study Requests," "Comments on Scoping Document 1," "Request for Cooperating Agency Status," or "Communications to and from Commission Staff." Any individual or entity interested in submitting study requests, commenting on the PAD or SD1, and any agency requesting cooperating status must do so by March 7, 2011.

p. Although our current intent is to prepare an environmental assessment (EA), there is the possibility that an Environmental Impact Statement (EIS) will be required. Nevertheless, this meeting will satisfy the NEPA scoping requirements, irrespective of whether an EA or EIS is issued by the Commission.

Scoping Meetings and Site Visit

Commission staff will hold two scoping meetings in the vicinity of the project at the time and place noted below. The daytime meeting will focus on resource agency, Indian tribes, and non-governmental organization concerns, while the evening meeting is primarily for receiving input from the public. We invite all interested individuals, organizations, and agencies to attend one or both of the meetings, and to assist staff in identifying particular study needs, as well as the scope of environmental issues to be addressed in the environmental document. The times and locations of these meetings are as follows:

Daytime Scoping Meeting

Date and Time: Wednesday, February 2, 2011, 1 p.m. (PST)

Location: Yuba County Government Center, Conference Rooms 1 and 2, 915 8th Street, Marysville, California

Evening Scoping Meeting

Date and Time: Wednesday, February 2, 2011, 7 p.m. (PST)

Location: Yuba County Government Center, Conference Rooms 1 and 2, 915 8th Street, Marysville, California

Scoping Document 1 (SD1), which outlines the subject areas to be addressed in the environmental document, was mailed to the individuals and entities on the Commission's and Yuba County's mailing lists. Copies of SD1 will be available at the scoping meetings, or may be viewed on the web at *http://www.ferc.gov,* using the "eLibrary" link. Follow the directions for accessing information in paragraph n. Based on all oral and written comments, a Scoping Document 2 (SD2) may be issued. SD2 may include a revised process plan and schedule, as well as a list of issues, identified through the scoping process.

Site Visit

Date and Time: Tuesday, February 1, 2011, 8 a.m.–4:30 p.m. (PST)

Location: Meet at Yuba County Water Agency office, 1220 F Street, Marysville, California

Please notify Alan Mitchnick at 202–502–6074 or *alan.mitchnick@ferc.gov* by January 21, 2011, if you plan to attend the site visit.

Meeting Objectives

At the scoping meetings, staff will: (1) Initiate scoping of the issues; (2) review and discuss existing conditions and resource management objectives; (3) review and discuss existing information and identify preliminary information and study needs; (4) review and discuss the process plan and schedule for pre-filing activity that incorporates the time frames provided for in Part 5 of the Commission's regulations and, to the extent possible, maximizes coordination of federal, state, and tribal permitting and certification processes; and (5) discuss the appropriateness of any federal or state agency or Indian tribe acting as a cooperating agency for development of an environmental document.

Meeting participants should come prepared to discuss their issues and/or concerns. Please review the PAD in preparation for the scoping meetings. Directions on how to obtain a copy of the PAD and SD1 are included in item n. of this document.

Meeting Procedures

The meetings will be recorded by a stenographer and will be placed in the public record of the project.

Kimberly D. Bose,

Secretary.

[FR Doc. 2011–302 Filed 1–10–11; 8:45 am]

BILLING CODE 6717–01–P

DEPARTMENT OF ENERGY

Federal Energy Regulatory Commission

[Project No. 13247–001]

Natural Currents Energy Services, LLC; Notice of Intent To File License Application, Filing of Draft Application, Request for Waivers of Integrated Licensing Process Regulations Necessary for Expedited Processing of a Hydrokinetic Pilot Project License Application, and Soliciting Comments

January 4, 2011.

a. *Type of Filing:* Notice of Intent to File a License Application for an Original License for a Hydrokinetic Pilot Project.

b. *Project No.:* 13247–001.

c. *Date Filed:* December 22, 2010.

d. *Submitted By:* Natural Currents Energy Services, LLC.

e. *Name of Project:* Will's Hole Tidal Electric Project.

f. *Location:* The project would be located in the Manasquan River in Ocean County, New Jersey. The project would not occupy Federal lands.

g. *Filed Pursuant to:* 18 CFR 5.3 of the Commission's regulations.

h. *Applicant Contact:* Mr. Roger Bason, Natural Currents Energy Services, LLC, 24 Roxanne Boulevard, Highland, New York 12561, (845) 691–4009.

i. *FERC Contact:* Timothy Konnert, (202) 502–6359.

j. *Natural Currents Energy Services, LLC (Natural Currents) has filed with the Commission:* (1) A notice of intent (NOI) to file an application for an original license for a hydrokinetic pilot project and a draft license application with monitoring plans; (2) a request for waivers of the integrated licensing process regulations necessary for expedited processing of a hydrokinetic pilot project license application; (3) a proposed process plan and schedule; (4) a request to be designated as the non-federal representative for section 7 of the Endangered Species Act (ESA) consultation; and (5) a request to be designated as the non-Federal representative for section 106 consultation under the National Historic Preservation Act (collectively the pre-filing materials).

k. With this notice, we are soliciting comments on the pre-filing materials listed in paragraph j above, including the draft license application and monitoring plans. All comments should be sent to the address above in paragraph h. In addition, all comments may be filed electronically via the Internet. See 18 CFR 385.2001(a)(1)(iii) and the instructions on the Commission's Web site *http://www.ferc.gov/docs-filing/efiling.asp.* Commenters can submit brief comments up to 6,000 characters, without prior registration, using the eComment system at *http://www.ferc.gov/docs-filing/ecomment.asp.* You must include your name and contact information at the end of your comments. For assistance, please contact FERC Online Support at *FERCOnlineSupport@ferc.gov* or toll free at 1–866–208–3676, or for TTY, (202) 502–8659. Although the Commission strongly encourages electronic filing, documents may also be paper-filed. To paper-file, mail an original and seven copies to: Kimberly D. Bose, Secretary, Federal Energy Regulatory Commission, 888 First Street, NE., Washington, DC 20426. Any individual or entity interested in submitting comments on the pre-filing materials must do so by March 7, 2011.

l. With this notice, we are approving Natural Currents' request to be designated as the non-federal representative for section 7 of the ESA and its request to initiate consultation under section 106 of the National Historic Preservation Act; and recommending that it begin informal consultation with: (a) The U.S. Fish and Wildlife Service and the National Marine Fisheries Service as required by section 7 of ESA; and (b) the New Jersey State Historic Preservation Officer, as required by section 106, National Historical Preservation Act, and the implementing regulations of the Advisory Council on Historic Preservation at 36 CFR 800.2.

m. This notice does not constitute the Commission's approval of Natural Currents' request to use the Pilot Project Licensing Procedures. Upon its review of the project's overall characteristics relative to the pilot project criteria, the draft license application contents, any comments filed, and Natural Currents' response to any additional information requests by the Commission, the Commission will determine whether there is adequate information to conclude the pre-filing process and approve the use the Pilot Project Licensing Procedures.

n. The proposed Will's Hole Tidal Electric Project would consist of: (1) An approximately 50-foot by 20-foot floating dock structure that would be anchored by thirteen 12-inch diameter pilings; (2) two 7.9-foot-diameter Natural Currents Red Hawk Tidal generating units, with a total installed capacity of 40 kilowatts, each surrounded by a 5-foot by 11-foot stainless steel cage; (3) a 200-foot-long underwater transmission cable that would interconnect with the existing Jersey Central Power and Light system, and (4) appurtenant facilities for operating and maintaining the project. The project is estimated to have an annual generation of 70-megawatt-hours, which would be sold to the Kingsbridge Financial Group, Inc. and Will's Hole Marina.

o. A copy of the draft license application and all pre-filing materials are available for review at the Commission in the Public Reference Room or may be viewed on the Commission's Web site at *http://www.ferc.gov* using the "eLibrary" link. Enter the docket number, excluding the last three digits in the docket number field to access the document. For assistance, contact FERC Online Support at *FERCOnlineSupport@ferc.gov* or toll free at 1–866–208–3676, or for TTY, (202) 502–8659.

p. Pre-filing process schedule. The pre-filing process will be conducted pursuant to the following tentative schedule. Revisions to the schedule below may be made based on staff's review of the draft application and any comments received.

Milestone	Date
Comments on pre-filing materials due.	March 7, 2011.
Issuance of Notice of Site Visit/Meetings.	March 22, 2011.
Site Visit & Public Meetings/Technical Conference.	April 21, 2011.

q. Register online at *http://www.ferc.gov/docs-filing/esubscription.asp* to be notified via e-mail of new filing and issuances related to this or other pending projects. For assistance, contact FERC Online Support.

Kimberly D. Bose,

Secretary.

[FR Doc. 2011–303 Filed 1–10–11; 8:45 am]

BILLING CODE 6717–01–P

DEPARTMENT OF ENERGY

Federal Energy Regulatory Commission

Notice of Change in Time of Commission Meeting

January 4, 2011.

The Commission's open meeting scheduled for Thursday, January 20, 2011, will begin at 9 a.m.

Kimberly D. Bose,

Secretary.

[FR Doc. 2011–300 Filed 1–10–11; 8:45 am]

BILLING CODE 6717–01–P

DEPARTMENT OF ENERGY

Federal Energy Regulatory Commission

Notice of Membership of Performance Review Board for Senior Executives (PRB)

January 4, 2011.

The Federal Energy Regulatory Commission hereby provides notice of the membership of its Performance Review Board (PRB) for the Commission's Senior Executive Service (SES) members. The function of this board is to make recommendations relating to the performance of senior executives in the Commission. This action is undertaken in accordance with Title 5, U.S.C., Section 4314(c)(4).

The Commission's PRB will remove the following members: Thomas R. Herlihy; Thomas R. Sheets.

The Commission's PRB will add the following members: Michael A. Bardee; Charles H. Schneider, PRB Chairman.

Kimberly D. Bose,

Secretary.

[FR Doc. 2011–299 Filed 1–10–11; 8:45 am]

BILLING CODE 6717–01–P

DEPARTMENT OF ENERGY

Federal Energy Regulatory Commission

[Project No. 13877–000]

Mahoning Hydropower, LLC; Notice of Preliminary Permit Application Accepted for Filing and Soliciting Comments, Motions To Intervene, and Competing Applications

January 4, 2011.

On November 4, 2010, Mahoning Hydro, LLC filed an application for a preliminary permit, pursuant to section 4(f) of the Federal Power Act, proposing to study the feasibility of the Stonewall Jackson Hydroelectric Project, to be located at the U.S. Army Corps of Engineers' Stonewall Jackson dam on the West Fork River, in the Town of Weston, Lewis County, West Virginia. The sole purpose of a preliminary permit, if issued, is to grant the permit holder priority to file a license application during the permit term. A preliminary permit does not authorize the permit holder to perform any land-disturbing activities or otherwise enter upon lands or waters owned by others without the owners' express permission.

The proposed project would utilize the existing U.S. Army Corps of Engineers' Stonewall Jackson dam and would consist of: (1) An existing 42-inch-diameter, 100-foot-long penstock; (2) an existing 50-foot by 50-foot powerhouse, containing one existing generating unit with a generating capacity of 300 kilowatts (kW), discharging directly into the existing stilling basin through a 10-foot-wide, 4-foot-high draft tube opening; (3) an approximately 400-foot-long, 12.4-kilovolt (kV) existing transmission line connecting to an existing distribution system owned by Allegheny Power; and (4) appurtenant facilities. The estimated annual generation of the Stonewall Jackson Hydroelectric Project would be 1,800 megawatt-hours.

Applicant Contact: Anthony J. Marra III, 11365 Normandy Lane, Auburn Township, Ohio 44023; phone: (440) 804–6627.

FERC Contact: Timothy Konnert, (202) 502–6359.

Deadline for filing comments, motions to intervene, competing applications (without notices of intent), or notices of intent to file competing applications: 60 days from the issuance of this notice. Competing applications and notices of intent must meet the requirements of 18 CFR 4.36. Comments, motions to intervene, notices of intent, and competing applications may be filed electronically via the Internet. See 18 CFR 385.2001(a)(1)(iii) and the instructions on the Commission's Web site *http://www.ferc.gov/docs-filing/efiling.asp*. Commenters can submit brief comments up to 6,000 characters, without prior registration, using the eComment system at *http://www.ferc.gov/docs-filing/ecomment.asp*. You must include your name and contact information at the end of your comments. For assistance, please contact FERC Online Support. Although the Commission strongly encourages electronic filing, documents may also be paper-filed. To paper-file, mail an original and seven copies to: Kimberly D. Bose, Secretary, Federal Energy Regulatory Commission, 888 First Street, NE., Washington, DC 20426.

More information about this project, including a copy of the application, can be viewed or printed on the "eLibrary" link of the Commission's Web site at *http://www.ferc.gov/docs-filing/elibrary.asp*. Enter the docket number (P–13877–000) in the docket number field to access the document. For assistance, contact FERC Online Support.

Kimberly D. Bose,

Secretary.

[FR Doc. 2011–296 Filed 1–10–11; 8:45 am]

BILLING CODE 6717–01–P

FEDERAL RESERVE SYSTEM

Agency Information Collection Activities: Submission for OMB Review; Comment Request

AGENCY: Board of Governors of the Federal Reserve System (Board).

ACTION: Notice of information collection to be submitted to OMB for review and approval under the Paperwork Reduction Act of 1995.

SUMMARY: In accordance with the requirements of the Paperwork Reduction Act of 1995 (44 U.S.C. chapter 35), the Board, the Federal Deposit Insurance Corporation, and the Office of the Comptroller of the Currency (the "agencies"), may not conduct or sponsor, and the respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number.

On September 17, 2010, the Board, under the auspices of the Federal Financial Institutions Examination Council (FFIEC) and on behalf of the agencies, published a notice in the **Federal Register** (75 FR 57020) requesting public comment on the extension, with revision, of the Report of Assets and Liabilities of U.S. Branches and Agencies of Foreign Banks (FFIEC 002) and the Report of Assets and Liabilities of a Non-U.S. Branch that is Managed or Controlled by a U.S. Branch or Agency of a Foreign (Non-U.S.) Bank (FFIEC 002S), which are currently approved information collections. The comment period for this notice expired on November 16, 2010. One comment was received expressing support for the proposed revisions. The Board hereby gives notice that it plans to submit to OMB on behalf of the agencies a request for approval of the FFIEC 002 and the FFIEC 002S.

DATES: Comments must be submitted on or before February 10, 2011.

ADDRESSES: Interested parties are invited to submit written comments to the agency listed below. All comments will be shared among the agencies.

You may submit comments, which should refer to "FFIEC002, 7100–0032" by any of the following methods:

- *Agency Web Site: http://www.federalreserve.gov.* Follow the instructions for submitting comments on the *http://www.federalreserve.gov/generalinfo/foia/ProposedRegs.cfm.*
- *Federal eRulemaking Portal: http://www.regulations.gov.* Follow the instructions for submitting comments.
- *E-mail: regs.comments@federalreserve.gov.* Include docket number in the subject line of the message.
- *FAX:* 202–452–3819 or 202–452–3102.
- *Mail:* Jennifer J. Johnson, Secretary, Board of Governors of the Federal Reserve System, 20th Street and Constitution Avenue, NW., Washington, DC 20551.

All public comments are available from the Board's Web site at *http://www.federalreserve.gov/generalinfo/foia/ProposedRegs.cfm* as submitted, unless modified for technical reasons. Accordingly, your comments will not be edited to remove any identifying or contact information. Public comments may also be viewed electronically or in paper in Room MP–500 of the Board's Martin Building (20th and C Streets, NW.) between 9 a.m. and 5 p.m. on weekdays.

Additionally, commenters may send a copy of their comments to the OMB desk officer for the agencies by mail to the Office of Information and Regulatory Affairs, U.S. Office of Management and Budget, New Executive Office Building, Room 10235, 725 17th Street, NW., Washington, DC 20503, or by fax to (202) 395–6974.

FOR FURTHER INFORMATION CONTACT: Additional information or a copy of the collections may be requested from Cynthia M. Ayouch, Acting Federal Reserve Board Clearance Officer, (202) 452–3829, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20th and C Streets, NW., Washington, DC 20551. Telecommunications Device for the Deaf (TDD) users may call (202) 263–4869.

SUPPLEMENTARY INFORMATION:

Proposal to request approval from OMB of the extension for three years, with revision, of the following report:

Title: Report of Assets and Liabilities of U.S. Branches and Agencies of Foreign Banks; Report of Assets and Liabilities of a Non-U.S. Branch that is Managed or Controlled by a U.S. Branch or Agency of a Foreign (Non-U.S.) Bank.

Form Numbers: FFIEC 002; FFIEC 002S.

OMB Number: 7100–0032.

Frequency of Response: Quarterly.

Affected Public: U.S. branches and agencies of foreign banks.

Estimated annual reporting hours: FFIEC 002—24,200 hours; FFIEC 002S—1,368 hours.

Estimated average hours per response: FFIEC 002—25.42 hours; FFIEC 002S—6.0 hours.

Number of respondents: FFIEC 002—238; FFIEC 002S—57.

General Description of Report: These information collections are mandatory: 12 U.S.C. 3105(c)(2), 1817(a)(1) and (3), and 3102(b). Except for select sensitive items, the FFIEC 002 is not given confidential treatment; the FFIEC 002S is given confidential treatment [5 U.S.C. 552(b)(4) and (8)].

Abstract: On a quarterly basis, all U.S. branches and agencies of foreign banks are required to file the FFIEC 002, which is a detailed report of condition with a variety of supporting schedules. This information is used to fulfill the supervisory and regulatory requirements of the International Banking Act of 1978. The data are also used to augment the bank credit, loan, and deposit information needed for monetary policy and other public policy purposes. The FFIEC 002S is a supplement to the FFIEC 002 that collects information on assets and liabilities of any non-U.S. branch that is managed or controlled by a U.S. branch or agency of the foreign bank. Managed or controlled means that a majority of the responsibility for business decisions, including but not limited to decisions with regard to lending or asset management or funding or liability management, or the responsibility for recordkeeping in respect of assets or liabilities for that foreign branch resides at the U.S. branch or agency. A separate FFIEC 002S must be completed for each managed or controlled non-U.S. branch. The FFIEC 002S must be filed quarterly along with the U.S. branch or agency's FFIEC 002. The data from both reports are used for: (1) Monitoring deposit and credit transactions of U.S. residents; (2) monitoring the impact of policy changes; (3) analyzing structural issues concerning foreign bank activity in U.S. markets; (4) understanding flows of banking funds and indebtedness of developing countries in connection with data collected by the International Monetary Fund and the Bank for International Settlements that are used in economic analysis; and (5) assisting in the supervision of U.S. offices of foreign banks. The Federal Reserve System collects and processes these reports on behalf of all three agencies.

Current Actions: The agencies propose to implement a number of revisions to the existing reporting requirements of the FFIEC 002, principally to help achieve consistency with the Consolidated Reports of Condition and Income (Call Report) (FFIEC 031 and FFIEC 041) filed by insured commercial banks and state-chartered savings banks. The proposed revisions to the FFIEC 002 summarized below have been approved for publication by the FFIEC. The agencies would implement the proposed changes for the March 31, 2011, reporting date.

Discussion of Proposed Revisions to the FFIEC 002

A. Additional Detail on Trading Assets

U.S. branches and agencies of foreign banks (branches) currently report mortgage-backed securities (MBS) issued or guaranteed by U.S. Government agencies that are held for investment in Schedule RAL, item 1.c.(2)(a), all other MBS that are held for investment in Schedule RAL, item 1.c.(2)(b), and other asset-backed securities (other than MBS) held for investment in Schedule RAL, item 1.c.(3). However, branches currently report only a two-way split of trading assets between U.S. Treasury and Agency securities held for trading (Schedule RAL, item 1.f.(1)) and all other trading assets (Schedule RAL, item 1.f.(2)). The agencies propose to collect information on Schedule RAL, Assets and Liabilities, for mortgage-backed securities (MBS) held for trading, with a split between MBS issued or guaranteed by U.S. Government agencies (new Schedule RAL, item 1.f.(2)(a)) and all other MBS (new Schedule RAL, item 1.f.(2)(b)), and for other asset-backed securities (other than MBS) held for trading (new Schedule RAL, item 1.f.(3)). Current Schedule RAL, item 1.f.(2), Other trading assets, would be defined to exclude all asset-backed securities held for trading and would be renumbered as item 1.f.(4).

The additional detail would allow the agencies to better monitor movements in trading securities over time, and provide for more meaningful analysis of the existing categories of trading assets. For example, from March 2003 to December 2006 U.S. Treasury and Agency securities held for trading by branches fell from $33.0 billion to $23.7 billion, and by December 2009 had declined to $19.3 billion. From March 2003 to

December 2006 other trading assets [1] held by branches rose from $41.5 billion to $120.6 billion, and by December 2009 had declined to $52.0 billion.

B. Time Deposits of $100,000 or More

The reporting instructions for Schedule E, Deposit Liabilities and Credit Balances, memorandum item 1.a, Time deposits of $100,000 or more, indicate that branches should *include* in this item all brokered deposits issued in amounts of $100,000 or more, regardless of whether they were participated out in shares of less than $100,000. However, in March 2007 the Call Report instructions for a comparable item were modified to *exclude* all brokered deposits issued in amounts of $100,000 or more that have been participated out by the broker in shares of less than $100,000. The agencies propose to revise the reporting instructions for Schedule E, memorandum item 1.a, to exclude such brokered deposits. Thus, the instructions would be amended to state "Exclude from this item all time deposits issued to deposit brokers in the form of large ($100,000 or more) certificates of deposit that have been participated out by the broker in shares of less than $100,000." This will make the instructions consistent across these reporting series and also simplify reporting for those foreign banks that own both domestically chartered banks (which file the FFIEC 031 or 041 Call Report) and U.S. agencies or branches (which file the FFIEC 002).

Schedule E, memorandum item 1.c, Time certificates of deposit in denominations of $100,000 or more with remaining maturity of more than 12 months, is currently defined to include those time certificates of deposit issued in denominations of $100,000 or more, and to exclude open-account time deposits. The agencies propose to revise the caption to this item as "Time deposits of $100,000 or more with remaining maturity of more than 12 months included in Memorandum item 1.a, 'Time deposits of $100,000 or more,' above" to include both time certificates of deposit and open-account time deposits. The agencies also propose to revise the reporting instructions for this item to report such deposits "with outstanding balances of $100,000 or more" rather than "issued in denominations of $100,000 or more" and to indicate that amounts reported in memorandum item 1.c are included in memorandum item 1.a. These changes would make the reporting of memorandum item 1.c more consistent with the reporting of memorandum item 1.a and with the reporting of comparable items collected on the bank Call Report.

C. Financial Assets and Liabilities Measured at Fair Value

Effective for the September 30, 2008, report date, the banking agencies began collecting information on certain assets and liabilities measured at fair value on FFIEC 002 Schedule Q, Financial Assets and Liabilities Measured at Fair Value. Currently, this schedule is completed by branches with a significant level of trading activity or that use a fair value option. The information collected on Schedule Q is intended to be consistent with the fair value disclosures and other requirements in Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) Topic 820, *Fair Value Measurements and Disclosures* [formerly FASB Statement No. 157, *Fair Value Measurements* (FAS 157)]. Based on the agencies' ongoing review of industry reporting and disclosure practices since the inception of this standard, and the reporting of items at fair value on Schedule RAL, Assets and Liabilities, the agencies propose to expand the data collected on Schedule Q in two material respects.

First, to improve the consistency of data collected on Schedule Q with the ASC Topic 820 disclosure requirements and industry disclosure practices, the agencies propose to expand the detail of the collected data. The agencies propose to expand the detail on Schedule Q to collect fair value information on all assets and liabilities reported at fair value on a recurring basis in a manner consistent with the asset and liability breakdowns on Schedule RAL. Thus, the agencies propose to change the title of Schedule Q to Assets and Liabilities Measured at Fair Value on a Recurring Basis and add items to collect fair value information on:

- Available-for-sale securities (new item 1);
- Federal funds sold and securities purchased under agreements to resell (new item 2);
- Federal funds purchased and securities sold under agreements to repurchase (new item 9);
- Other borrowed money (new item 11); and
- Subordinated notes and debentures (new item 12).

The agencies also propose to modify the existing collection of loan and lease data and trading asset and liability data to collect data separately for:

- Loans and leases held for sale (new item 3);
- Loans and leases held for investment (new item 4);
- Trading derivative assets (new item 5.a);
- Other trading assets (new item 5.b);
- Trading derivative liabilities (new item 10.a); and
- Other trading liabilities (new item 10.b).

The agencies also propose to add totals to capture total assets (new item 7) and total liabilities (new item 14) for items reported on the schedule. In addition, the agencies propose to modify the existing items for "other financial assets and servicing assets" and "other financial liabilities and servicing liabilities" to collect information on "all other assets" (new item 6) and "all other liabilities" (new item 14) reported at fair value on a recurring basis, including nontrading derivatives. Components of "all other assets" and "all other liabilities" would be separately reported (in new memorandum items 1 and 2, respectively) if they are greater than $25,000 and exceed 25 percent of the total fair value of "all other assets" and "all other liabilities," respectively. In conjunction with this change, the existing reporting for loan commitments accounted for under a fair value option would be revised to include these instruments, based on whether their fair values are positive or negative, in the items for "all other assets" and "all other liabilities" reported at fair value on a recurring basis, with separate disclosure of these commitments if significant. Furthermore, current item 2.a, Nontrading securities at fair value with changes in fair value reported in current earnings, and current item 4, Deposits, would be renumbered as items 5.b.(1) and 8, respectively.

Second, the agencies propose to modify the reporting criteria for Schedule Q. The current instructions require all branches that have adopted ASC Topic 820 and (1) have elected to account for financial instruments or servicing assets and liabilities at fair value under a fair value option or (2) have trading assets of $2 million or more in any of the four preceding calendar quarters, to complete Schedule Q. The agencies propose to maintain this reporting requirement for branches that use a fair value option or that have significant trading activity. In addition, the agencies propose to extend the requirement to complete Schedule Q to all branches that reported $500 million or more in total assets as of the preceding December 31, regardless of whether they have elected to apply a

[1] As reported in Schedule RAL, item 1.f.(2), less the amount of trading derivatives with a positive fair value, as such amounts are separately disclosed on the FFIEC 002.

fair value option to financial or servicing assets and liabilities.

The agencies believe that the proposed information is necessary to more accurately assess the impact of fair value accounting and fair value measurements for safety and soundness purposes. The collection of the information on Schedule Q, as proposed, will facilitate and enhance the banking agencies' ability to monitor the extent of fair value accounting by branches, including the elective use of fair value accounting and the nature of the inputs used in the valuation process, pursuant to the disclosure requirements of ASC Topic 820. The information collected on Schedule Q is consistent with the disclosures required by ASC Topic 820 and consistent with industry practice for reporting fair value measurements and should, therefore, not impose significant incremental burden on branches.

Request for Comment

Comments are invited on:

a. Whether the information collections are necessary for the proper performance of the agencies' functions, including whether the information has practical utility;

b. The accuracy of the agencies' estimates of the burden of the information collections, including the validity of the methodology and assumptions used;

c. Ways to enhance the quality, utility, and clarity of the information to be collected;

d. Ways to minimize the burden of information collections on respondents, including through the use of automated collection techniques or other forms of information technology; and

e. Estimates of capital or start up costs and costs of operation, maintenance, and purchase of services to provide information.

Comments submitted in response to this notice will be shared among the agencies. All comments will become a matter of public record. Written comments should address the accuracy of the burden estimates and ways to minimize burden including the use of automated collection techniques or the use of other forms of information technology as well as other relevant aspects of the information collection request.

Board of Governors of the Federal Reserve System, January 5, 2011.

Jennifer J. Johnson,

Secretary of the Board.

[FR Doc. 2011–270 Filed 1–10–11; 8:45 am]

BILLING CODE 6210–01–P

FEDERAL RESERVE SYSTEM

Federal Open Market Committee; Domestic Policy Directive of December 14, 2010

In accordance with Section 271.25 of its rules regarding availability of information (12 CFR part 271), there is set forth below the domestic policy directive issued by the Federal Open Market Committee at its meeting held on December 14, 2010.[1]

The Federal Open Market Committee seeks monetary and financial conditions that will foster price stability and promote sustainable growth in output. To further its long-run objectives, the Committee seeks conditions in reserve markets consistent with federal funds trading in a range from 0 to ¼ percent. The Committee directs the Desk to execute purchases of longer-term Treasury securities in order to increase the total face value of domestic securities held in the System Open Market Account to approximately $2.6 trillion by the end of June 2011. The Committee also directs the Desk to reinvest principal payments from agency debt and agency mortgage-backed securities in longer-term Treasury securities. The System Open Market Account Manager and the Secretary will keep the Committee informed of ongoing developments regarding the System's balance sheet that could affect the attainment over time of the Committee's objectives of maximum employment and price stability.

By order of the Federal Open Market Committee, January 5, 2011.

William B. English,

Secretary, Federal Open Market Committee.

[FR Doc. 2011–348 Filed 1–10–11; 8:45 am]

BILLING CODE 6210–01–P

FEDERAL RESERVE SYSTEM

Formations of, Acquisitions by, and Mergers of Bank Holding Companies

The companies listed in this notice have applied to the Board for approval, pursuant to the Bank Holding Company Act of 1956 (12 U.S.C. 1841 *et seq.*) (BHC Act), Regulation Y (12 CFR part 225), and all other applicable statutes and regulations to become a bank holding company and/or to acquire the assets or the ownership of, control of, or the power to vote shares of a bank or bank holding company and all of the banks and nonbanking companies owned by the bank holding company, including the companies listed below.

The applications listed below, as well as other related filings required by the Board, are available for immediate inspection at the Federal Reserve Bank indicated. The application also will be available for inspection at the offices of the Board of Governors. Interested persons may express their views in writing on the standards enumerated in the BHC Act (12 U.S.C. 1842(c)). If the proposal also involves the acquisition of a nonbanking company, the review also includes whether the acquisition of the nonbanking company complies with the standards in section 4 of the BHC Act (12 U.S.C. 1843). Unless otherwise noted, nonbanking activities will be conducted throughout the United States.

Unless otherwise noted, comments regarding each of these applications must be received at the Reserve Bank indicated or the offices of the Board of Governors not later than February 7, 2011.

A. Federal Reserve Bank of Dallas (E. Ann Worthy, Vice President)2200 North Pearl Street, Dallas, Texas 75201–2272:

1. Sulphur Springs Bancshares, Inc., Sulphur Springs, Texas, to acquire by merger 100 percent of First Mineola, Inc., and indirectly acquire The First National Bank of Mineola, both of Mineola, Texas.

Board of Governors of the Federal Reserve System, January 6, 2011.

Robert deV. Frierson,

Deputy Secretary of the Board.

[FR Doc. 2011–341 Filed 1–10–11; 8:45 am]

BILLING CODE 6210–01–P

DEPARTMENT OF HEALTH AND HUMAN SERVICES

[Document Identifier: OS–0990–New; 60-Day Notice]

Agency Information Collection Request; 60-Day Public Comment Request

AGENCY: Office of the Secretary, HHS.

In compliance with the requirement of section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, the Office of the Secretary (OS), Department of Health and Human Services, is publishing the following summary of a proposed information collection request for public comment. Interested persons are invited to send comments regarding this burden estimate or any other aspect of this collection of information,

[1] Copies of the Minutes of the Federal Open Market Committee at its meeting held on December 14, 2010, which includes the domestic policy directive issued at the meeting, are available upon request to the Board of Governors of the Federal Reserve System, Washington, DC 20551. The minutes are published in the Federal Reserve Bulletin and in the Board's Annual Report.

including any of the following subjects: (1) The necessity and utility of the proposed information collection for the proper performance of the agency's functions; (2) the accuracy of the estimated burden; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) the use of automated collection techniques or other forms of information technology to minimize the information collection burden.

To obtain copies of the supporting statement and any related forms for the proposed paperwork collections referenced above, e-mail your request, including your address, phone number, OMB number, and OS document identifier, to *Sherette.funncoleman@hhs.gov,* or call the Reports Clearance Office on (202) 690–6162. Written comments and recommendations for the proposed information collections must be directed to the OS Paperwork Clearance Officer at the above e-mail address within 60 days.

Proposed Project: Comparative Effectiveness Research Inventory—OMB No. 0990–New-Assistant Secretary for Planning and Evaluation (ASPE).

Abstract: The Office of the Assistance Secretary for Planning and Evaluation (ASPE) is requesting approval by OMB for the collection of information submitted by content users directly to a web-based inventory of comparative effectiveness research (CER). The CER Inventory will categorize and catalogue Federal and non-Federal CER outputs and activities across four main domains: Research, human & scientific capital (e.g., training/education, methods development), data infrastructure, and dissemination & translation. The CER inventory will serve as a valuable tool for researchers, providers, patients, policymakers, and other users.

The CER inventory will draw upon primary data sources, including PubMed, HSRProj, ClinicalTrials.gov, and NIH RePORTER. Working with these four major sources and using the Federal Coordinating Council for CER's definition of CER and strategic framework, selection criteria and tools to select and extract the appropriate subsets of these datasets for inclusion in the CER inventory will be identified. In addition, content owners wishing to submit CER records to the CER inventory will be directed first to submit such records to one of these main primary source databases, as appropriate. This method will not only help to augment these existing databases, it will enable efficient and effective capture of CER information for the CER Inventory via CER search filters, etc., that have been developed for those respective source databases. If candidate CER records under consideration are not suitable for submission to one of these main databases, an alternative method that allows for direct submissions to the CER inventory will be made available to content users. Examples include reports and published articles or projects and programs that focus on areas of CER outside of primary research (e.g., training and education). The pilot inventory tool will provide a web form that may be used by content owners to submit CER records, subject to validation. This process for direct submission will draw from the experience with content owner submissions for such established databases as HSRProj and ClinicalTrials.gov.

ESTIMATED ANNUALIZED BURDEN TABLE

Form	Type of respondent	Number of respondents	Number of responses per respondent	Total responses	Average burden hours per response	Total burden hours
CER Inventory Direct Submission Form for Reports or Other Publications.	Researchers/ Research Assistants.	400	1	400	25/60	167
CER Inventory Direct Submission Form for Projects.	Researchers/ Research Assistants.	100	1	100	28/60	47
Total						214

Seleda M. Perryman,

Office of the Secretary, Paperwork Reduction Act Reports Clearance Officer.

[FR Doc. 2011–310 Filed 1–10–11; 8:45 am]

BILLING CODE 4150–05–P

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

[30 Day-11–0210]

Agency Forms Undergoing Paperwork Reduction Act Review

The Centers for Disease Control and Prevention (CDC) publishes a list of information collection requests under review by the Office of Management and Budget (OMB) in compliance with the Paperwork Reduction Act (44 U.S.C. Chapter 35). To request a copy of these requests, call the CDC Reports Clearance Officer at (404) 639–5960 or send an e-mail to *omb@cdc.gov.* Send written comments to CDC Desk Officer, Office of Management and Budget, Washington, DC or by fax to (202) 395–5806. Written comments should be received within 30 days of this notice.

Proposed Project

List of Ingredients Added to Tobacco in the Manufacture of Cigarette Products (OMB# 0920–0210 Exp. 04/30/2011)—Extension—Office on Smoking and Health, National Center for Chronic Disease Prevention and Health Promotion (NCCDPHP), Centers for Disease Control and Prevention, (CDC).

Background and Brief Description

The Centers for Disease Control and Prevention (CDC), Office on Smoking and Health (OSH) has the primary responsibility for the Department of Health and Human Services (HHS) smoking and health program. HHS's overall goal is to reduce death and disability resulting from cigarette smoking and other forms of tobacco use through programs of information, education and research.

Since 1986, as required by the Comprehensive Smoking Education Act of 1984 (CSEA, 15 U.S.C. 1336 or Pub. L. 98–474), CDC has collected information about the ingredients used in cigarette products. Respondents are commercial cigarette manufacturers, packagers, or importers (or their representatives), who are required by

the CSEA to submit ingredient reports to HHS on an annual basis.

Respondents are not required to submit specific forms; however, they are required to submit a list of all ingredients used in their products. CDC requires the ingredient report to be submitted by chemical name and Chemical Abstract Service (CAS) Registration Number, consistent with accepted reporting practices for other companies currently required to report ingredients added to other consumer products. Typically, respondents submit a summary report to CDC with the ingredient information for multiple products, or a statement that there are no changes to their previously submitted ingredient report.

Ingredient reports for new products are due at the time of first importation. Thereafter, ingredient reports are due annually on March 31. Information is submitted to OSH by mailing a written report on the respondent's letterhead, by CD, three-inch floppy disk, or thumb drive. Electronic mail submissions are not accepted.

Upon receipt and verification of the annual ingredient report, OSH issues a Certificate of Compliance to the respondent. OSH also uses the information to report to the Congress (as deemed appropriate) discussing the health effects of these ingredients.

In this Extension request, there are no changes to the estimated number of respondents, the estimated burden per response, or the information collection methods. There are no costs to respondents other than their time. The total estimated annualized burden hours are 930.

ESTIMATED ANNUALIZED BURDEN HOURS

Type of respondents	Number of respondents	Number of responses per respondent	Estimated burden hours
Cigarette Manufacturers, Packagers, and Importers	143	1	6.5

Dated: January 5, 2011.

Carol E. Walker,

Acting Reports Clearance Officer, Centers for Disease Control and Prevention.

[FR Doc. 2011–335 Filed 1–10–11; 8:45 am]

BILLING CODE 4163–18–P

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

[60 Day-11–0672]

Proposed Data Collections Submitted for Public Comment and Recommendations

In compliance with the requirement of Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995 for opportunity for public comment on proposed data collection projects, the Centers for Disease Control and Prevention (CDC) will publish periodic summaries of proposed projects. To request more information on the proposed projects or to obtain a copy of the data collection plans and instruments, call 404–639–5960 or send comments to Carol E. Walker, CDC Acting Reports Clearance Officer, 1600 Clifton Road, MS D–74, Atlanta, GA 30333 or send an e-mail to *omb@cdc.gov.*

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Written comments should be received within 60 days of this notice.

Proposed Project

Indicators of the Performance of Local, State, Territorial, and Tribal Education Agencies in HIV Prevention, Coordinated School Health Program, and Asthma Management Activities for Adolescent and School Health Programs (OMB No. 0920–0672, exp. 6/30/2011)—Revision—Division of Adolescent and School Health, National Center for Chronic Disease Prevention and Health Promotion (NCCDPHP), Centers for Disease Control and Prevention (CDC).

Background and Brief Description

The Division of Adolescent and School Health (DASH), CDC, supports HIV prevention activities, coordinated school health program (CSHP) activities, and asthma management activities conducted by local education agencies (LEA), state education agencies (SEA), territorial education agencies (TEA), and tribal governments (TG). DASH currently collects information about these activities under OMB control number 0920–0672 (exp. 6/30/2011). Because there is currently no other standardized annual reporting process for DASH-funded HIV prevention activities, CSHP activities, and asthma management activities, DASH seeks OMB approval to continue the information collection for three years (FY2010—FY2012 data). The previously approved questionnaires will be used to collect FY2010 data. Minor changes to the questionnaires will be implemented for the FY2011 and FY2012 data collections.

Information collection consists of four Web-based questionnaires that correspond to specific funding sources within DASH. Two questionnaires pertain to HIV-prevention program activities among LEAs and SEAs/TEAs/TGs, the third questionnaire pertains to CSHP activities among SEAs, and the fourth questionnaire pertains to asthma management activities among LEAs. There are no changes to the estimated burden per response for any of the questionnaires.

The two HIV questionnaires include questions about planning and improving projects; development and distribution of materials, professional development and individualized technical assistance on school policies; development and distribution of materials, professional development and individualized technical assistance on education curricula and instruction; collaboration with external partners; reducing disparities among populations of youth at disproportionate risk; and information about additional program activities.

The CSHP/PANT questionnaire also asks the questions above, but focuses on physical activity, healthy eating, and tobacco-use prevention activities. It includes additional questions about joint activities of the State Education Agency and State Health Agency (SHA); activities of the CSHP state-wide coalition; and development and

distribution of materials, professional development and individualized technical assistance on health promotion programs and environmental approaches to Physical Activity, Nutrition and Tobacco (PANT).

The asthma management questionnaire includes questions about planning and improving projects; joint activities of the Local Education Agency and Local Health Agency (LHA); policies; asthma-related education; health promotion and environmental approaches to asthma management; provision of health services; collaboration with external partners; reducing disparities among populations of youth at disproportionate risk; and information about additional program activities. The sections on policies, asthma-related education, health services and health promotion and environmental approaches to asthma management include questions that address the development and distribution of materials, professional development, and individualized technical assistance.

Information gathered will: (1) Provide standardized information about how HIV prevention, CSHP, and asthma management funds are used by LEAs, SEAs, TEAs, and TGs; (2) assess the extent to which programmatic adjustments are indicated; (3) provide descriptive and process information about program activities; and (4) provide greater accountability for use of public funds.

Participation in the information collection is required for programs that receive funding through DASH. Each Web-based questionnaire will be completed annually by the program coordinator for the activity. There are no costs to respondents other than their time.

ESTIMATED ANNUALIZED BURDEN HOURS

Type of respondents	Form name	Number of respondents	Number of responses per respondent	Avg. burden per response (in hrs)	Total burden (in hrs)
Local Education Agency Officials	Indicators for School Health Programs: HIV Prevention (LEA).	16	1	7	112
	Indicators for School Health Programs: Asthma Management (LEA).	10	1	7	70
State and Territorial Education Agency and Tribal Government Officials.	Indicators for School Health Programs: HIV Prevention (SEA).	57	1	7	399
	Indicators for School Health Programs: Coordinated School Health Programs.	23	1	10	230
Total	.....	.....	.....	.....	811

Dated: January 5, 2011.

Carol E. Walker,

Acting Reports Clearance Officer, Centers for Disease Control and Prevention.

[FR Doc. 2011–328 Filed 1–10–11; 8:45 am]

BILLING CODE 4163–18–P

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

[OMB No. 0970–0159]

Proposed Information Collection Activity; Comment Request

Proposed Projects

Title: Statewide Automated Child Welfare Information System (SACWIS) Assessment Review Guide.

Description: The Department of Health and Human Services is authorized under section 474 of the Social Security Act to provide funding to state title IV–E agencies for information systems that support the provision of services to the nation's foster care and adoption populations. The Act authorizes funding for the planning, design, development, or installation of statewide automated child welfare systems (SACWIS). The data from these systems allows the Department to report accurate, meaningful and reliable information to Congress about the extent of problems facing these children and the effectiveness of assistance provided to this population.

Currently, SACWIS enable State efforts to meet the following Federal reporting requirements: The Adoption and Foster Care Analysis and Reporting System (AFCARS) required by section 479(b)(2) of the Social Security Act; the National Child Abuse and Neglect Data System (NCANDS); Child Abuse Prevention and Treatment Act (CAPTA); and the Chafee Independent Living Program National Youth in Transition Database (NYTD). SACWIS systems also support States' efforts to provide the information to conduct the Child and Family Service Reviews. Currently, 40 States and the District of Columbia have developed, or are developing, a SACWIS with Federal financial participation.

The SACWIS Assessment Reviews validate that all aspects of the project, as described in the approved Advance Planning Document, have been adequately completed, and conform to applicable regulations and policies. States use the SACWIS Assessment Review Guide (SARG) to document system components and functioning; each State's submission is unique and State-specific. These reviews are usually initiated by the State; however, ACF reserves the right to initiate SACWIS Assessment Reviews, at any time in the system life cycle. Submission of the SACWIS SARG and other supporting documentation by States, completed at the point that they have completed system development and the system is operational statewide, initiates a SACWIS Assessment Review. The additional supporting documentation submitted as part of the review process should be readily available to States as a result of their routine good project management practices. The SARG and supporting documentation may be submitted electronically.

The information collected in the SACWIS Assessment Review Guide will allow State and Federal officials to determine if the State's SACWIS meets the requirements of title IV–E Federal Financial Participation (FFP) defined at 45 CFR 1355.50. Additionally, other States will be able to use the documentation provided as part of this

review process to inform their own system development efforts.

No small businesses will be involved in this data collection effort.

Respondents: Title IV–E Agencies.

ANNUAL BURDEN ESTIMATES

Instrument	Number of respondents	Number of responses per respondent	Average burden hours per response	Total burden hours
SACWIS Assessment Review Guide (SARG)	3	1	250	750

Estimated Total Annual Burden Hours: 750.

In compliance with the requirements of Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, the Administration for Children and Families is soliciting public comment on the specific aspects of the information collection described above. Copies of the proposed collection of information can be obtained and comments may be forwarded by writing to the Administration for Children and Families, Office of Administration, Office of Information Services, 370 L'Enfant Promenade, SW., Washington, DC 20447, *Attn:* ACF Reports Clearance Officer. *E-mail address: infocollection@acf.hhs.gov.* All requests should be identified by the title of the information collection.

The Department specifically requests comments on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted within 60 days of this publication.

Robert Sargis,

Reports Clearance Officer.

[FR Doc. 2011–332 Filed 1–10–11; 8:45 am]

BILLING CODE 4184–01–P

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Food and Drug Administration

[Docket No. FDA–2010–N–0637]

Trials to Verify and Describe Clinical Benefit of Midodrine Hydrochloride; Establishment of Public Docket

AGENCY: Food and Drug Administration, HHS.

ACTION: Notice.

SUMMARY: The Food and Drug Administration (FDA) is announcing the opening of a public docket to provide a forum to facilitate communication regarding the conduct of clinical trials needed to verify and describe the clinical benefit of midodrine hydrochloride (HCl) when used to treat symptomatic orthostatic hypotension.

DATES: Submit either electronic or written comments by July 11, 2011.

ADDRESSES: Submit electronic comments to *http://www.regulations.gov*. Submit written comments to the Division of Dockets Management (HFA–305), Food and Drug Administration, 5630 Fishers Lane, rm. 1061, Rockville, MD 20852. All comments should be identified with the docket number found in brackets in the heading of this document.

FOR FURTHER INFORMATION CONTACT: Wei Lu, Center for Drug Evaluation and Research, Food and Drug Administration, 10903 New Hampshire Ave., Bldg. 51, rm. 6196, Silver Spring, MD 20993–0002, *e-mail: Wei.Lu@fda.hhs.gov*.

SUPPLEMENTARY INFORMATION: FDA approved PROAMATINE (midodrine HCl) for marketing under its accelerated approval regulations, 21 CFR part 314, subpart H, on September 6, 1996, to treat patients with symptomatic orthostatic hypotension. Since that time, FDA has approved five generic versions of this product. Orthostatic hypotension is a condition in which patients are unable to maintain blood pressure in the upright position and become dizzy or faint upon standing. Subpart H allows approval of drugs to treat serious or life-threatening illnesses based on adequate and well-controlled clinical trials establishing that the drug has an effect on a surrogate endpoint that is reasonably likely to predict clinical benefit or based on a clinical endpoint other than survival or irreversible morbidity. Approval of PROAMATINE was based on trials demonstrating that PROAMATINE increased 1-minute standing systolic blood pressure, a surrogate marker considered likely to correspond to a clinical benefit, principally relief of symptoms of orthostatic hypotension and improved ability to perform life activities.

The subpart H regulations specify that approvals based upon surrogate endpoints are "subject to the requirement that the applicant study the drug further to verify and describe its clinical benefit" in postmarketing studies. The postmarketing study requirement for midodrine HCl was described in the new drug application (NDA) submission seeking its approval and referenced in the Agency's 1996 approval letter. In the time since PROAMATINE was approved, the NDA holder has sponsored clinical trials and information regarding the drug's efficacy has been published, but data submitted to the Agency have not verified the drug's clinical benefit to FDA's satisfaction. Accordingly, on August 16, 2010, FDA issued a notice of opportunity for a hearing (NOOH) on a proposal to withdraw approval of the NDA for midodrine HCl.

Although the NOOH process is proceeding on a separate track, FDA recognizes that existing and potential sponsors may wish to conduct the clinical trials needed to support continued marketing authorization of midodrine HCl. To assist sponsors in planning and designing such trials, we are placing in the docket a brief description of a recommended clinical trial design. We are also inviting interested parties to submit information to the docket such as any existing controlled studies that verify the clinical benefit of midodrine HCl when used to treat orthostatic hypotension. Physicians who treat orthostatic hypotension and patient organizations that would like to work with any

sponsors of new clinical trials are invited to submit correspondence to the docket identifying themselves. We anticipate that any sponsor planning to conduct new clinical studies may contact interested physicians and organizations to solicit information and suitable volunteer test subjects.

The public docket is available for public review in the Division of Dockets Management (*see* **ADDRESSES**) between 9 a.m. and 4 p.m., Monday through Friday.

Dated: January 6, 2011.

David Dorsey,

Acting Deputy Commissioner for Policy, Planning and Budget.

[FR Doc. 2011–355 Filed 1–10–11; 8:45 am]

BILLING CODE 4160–01–P

DEPARTMENT OF HEALTH AND HUMAN SERVICES

National Institutes of Health

Proposed Collection; Comment Request; NIH Toolbox for Assessment of Neurological and Behavioral Function

SUMMARY: In compliance with the requirement of Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, for opportunity for public comment on proposed data collection projects, the National Institute on Aging (NIA), the National Institutes of Health (NIH) will publish periodic summaries of proposed projects to be submitted to the Office of Management and Budget (OMB) for review and approval.

Proposed Collection: Title: NIH Toolbox for Assessment of Neurological and Behavioral Function. *Type of Information Collection Request:* New. *Need and Use of Information Collection:* The overall goal of the NIH Toolbox project is to develop unified, integrated methods and measures of four domains of neurological and behavioral functioning (cognitive, emotional, motor and sensory) for use in large longitudinal or epidemiological studies where functioning is monitored over time. The current phase ("Norming"), will involve a large sample of 12,900 for the purpose of establishing comparative norms. Existing recruitment databases will be randomly sampled and screened for household members' age, gender, race/ethnicity, education and primary language. The targeted population will be non-institutionalized U.S. residents, aged 3–85, with 70% English-speaking and 30% Spanish-speaking. *Frequency of Response:* Once or twice (depending on subsample). *Affected Public:* Individuals. *Type of Respondents:* U.S. residents (persons aged 3–85 years). The annual reporting burden is as follows: *Estimated Number of Respondents:* 12,900; *Estimated Number of Responses per Respondent:* 1–2; *Average Burden Hours per Response:* 1.96; and *Estimated Total Annual Burden Hours Requested:* 29,700. The annualized cost to respondents is estimated at: $414,375. There are no Capital Costs, Operating Costs, and/or Maintenance Costs to report.

Type of respondents	Estimated number of respondents	Estimated number of responses per respondent	Average burden hours per response	Estimated total annual burden hours requested
Adults *				
Adult study participants, single assessment	3,150	1	3	9,450
Adult study participants, two assessments	750	2	3	4,500
Parent proxies for child participants, single assessment	3,750	1	0.5	1,875
Parent proxies for child participants, two assessments	750	2	0.5	750
Children				
Single assessment	3,750	1	2.5	9,375
Two assessments	750	2	2.5	3,750
Totals	* 12,900			29,700

* Some adults may participate both as a study participant and as a parent proxy if their child is also a study participant.

Request for Comments: Written comments and/or suggestions from the public and affected agencies are invited on one or more of the following points: (1) Whether the proposed collection of information is necessary for the proper performance of the function of the agency, including whether the information will have practical utility; (2) The accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used; (3) Ways to enhance the quality, utility, and clarity of the information to be collected; and (4) Ways to minimize the burden of the collection of information on those who are to respond, including the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology.

FOR FURTHER INFORMATION CONTACT: To request more information on the proposed project or to obtain a copy of the data collection plans and instruments, contact Eddie Billingslea, PhD, Division of Neuroscience, National Institute on Aging, NIH, DHHS, 7201 Wisconsin Avenue, Suite 350, Bethesda, Maryland 20892–9205 or call non-toll-free number 301–496–9350 or e-mail your request, including your address to: *billingsleae@nia.nih.gov.*

Comments Due Date: Comments regarding this information collection are best assured of having their full effect if received within 60 days of the date of this publication.

Dated: January 4, 2011.

Melissa Fraczkowski,

National Institute on Aging, Project Clearance Liaison, National Institutes of Health.

[FR Doc. 2011–379 Filed 1–10–11; 8:45 am]

BILLING CODE 4140–01–P

DEPARTMENT OF HEALTH AND HUMAN SERVICES

National Institutes of Health

Submission for OMB Review; Comment Request; Online Skills Training for PCPs on Substance Abuse

SUMMARY: Under the provisions of Section 3507(a)(1)(D) of the Paperwork Reduction Act of 1995, the National Institute on Drug Abuse, the National Institutes of Health has submitted to the Office of Management and Budget (OMB) a request to review and approve the information collection listed below. This proposed information collection was previously published in the **Federal Register** in Vol. 75 No. 144, pages 44265–44266, on July 28, 2010 and allowed 60 days for public comment. One public comment was received on the instruments outlined in the 60-day notice. A response to this request was sent to the interested party. The purpose of this notice is to allow an additional 30 days for public comment.

5 CFR 1320.5 (General requirements) Reporting and Recordkeeping Requirements: Final Rule requires that the agency inform the potential persons who are to respond to the collection of information that such persons are not required to respond to the collection of information unless it displays a currently valid OMB control number.

Proposed Collection:

Title: Online Skills Training for PCPs on Substance Abuse.

Type of Information Collection Request: New.

Need and Use of Information Collection: This research will evaluate the effectiveness of the Online Skills Training for PCPs on Substance Abuse, via the Web site SBIRTTraining.com, to positively impact the knowledge, attitudes, intended behaviors and clinical skills of primary care physicians in the U.S. who treat substance abuse patients. The Online Skills Training for PCPs on Substance Abuse is a new program developed with funding from the National Institute on Drug Abuse. The primary goal is to assess the impact of the training program on knowledge, attitude, intended behavior, and clinical skills. A secondary goal is to assess learner satisfaction with the program. If the program is a success, there will be a new, proven resource available to primary care physicians to improve their ability to assess and treat substance use disorders. In order to evaluate the effectiveness of the program, information will be collected from primary care physicians before exposure to the Web based materials (pre-test), after exposure to the Web based materials (post-test), and 4–6 weeks after the program has been completed (follow-up).

Frequency of Response: On occasion.

Affected Public: Primary care physicians who treat patients who have substance abuse.

Type of Respondents: Physicians.

The annual reporting burden is as follows:

Estimated Number of Respondents: 80.

Estimated Number of Responses per Respondent: 3.

Average Burden Hours per Response: 0.75.

Estimated Total Annual Burden Hours Requested: 180.

The annualized cost to respondents is estimated at: $13,500. There are no Capital Costs, Operating Costs, and/or Maintenance Costs to report.

Type of respondents	Estimated number of respondents	Estimated number of responses per respondent	Average burden hours per response	Estimated annual burden hours requested
Primary care physicians	80	3	0.75	180

Request for Comments: Written comments and/or suggestions from the public and affected agencies are invited on one or more of the following points: (1) Whether the proposed collection of information is necessary for the proper performance of the function of the agency, including whether the information will have practical utility; (2) The accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used; (3) Ways to enhance the quality, utility, and clarity of the information to be collected; and (4) Ways to minimize the burden of the collection of information on those who are to respond, including the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology.

Direct Comments to OMB: Written comments and/or suggestions regarding the item(s) contained in this notice, especially regarding the estimated public burden and associated response time, should be directed to the: Office of Management and Budget, Office of Regulatory Affairs, OIRA *submission@omb.eop.gov* or by fax to 202–395–6974, Attention: Desk Officer for NIH. To request more information on the proposed project or to obtain a copy of the data collection plans and instruments, contact: Quandra Scudder, Project Officer, NIH/NIDA/CCTN, Room 3105, MSC 9557, 6001 Executive Boulevard, Bethesda, MD 20892–9557 or e-mail your request, including your address to *scudderq@nida.nih.gov.*

Comments Due Date: Comments regarding this information collection are best assured of having their full effect if received within 30 days of the date of this publication.

Dated: January 4, 2011.

Mary Affeldt,

Executive Officer, (OM Director) NIDA.

[FR Doc. 2011–381 Filed 1–10–11; 8:45 am]

BILLING CODE 4140–01–P

DEPARTMENT OF HEALTH AND HUMAN SERVICES

National Institutes of Health

Center for Scientific Review; Notice of Closed Meetings

Pursuant to section 10(d) of the Federal Advisory Committee Act, as amended (5 U.S.C. App.), notice is hereby given of the following meetings. The meetings will be closed to the public in accordance with the provisions set forth in sections 552b(c)(4) and 552b(c)(6), Title 5 U.S.C., as amended. The grant applications and the discussions could disclose confidential trade secrets or commercial property such as patentable material, and personal information concerning individuals associated with the grant applications, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

Name of Committee: Center for Scientific Review Special Emphasis Panel; Member Conflict: Cell Biology.

Date: January 25, 2011.

Time: 3 p.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892, (Telephone Conference Call).

Contact Person: Jonathan Arias, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 5170, MSC 7840, Bethesda, MD 20892, 301–435–2406, *ariasj@csr.nih.gov.*

This notice is being published less than 15 days prior to the meeting due to the timing limitations imposed by the review and funding cycle.

Name of Committee: Center for Scientific Review Special Emphasis Panel; Osteogenesis and Chondrogenesis Review.

Date: January 31, 2011.

Time: 1:30 p.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892, (Telephone Conference Call).

Contact Person: Rajiv Kumar, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 4122, MSC 7802, Bethesda, MD 20892, 301–435–1212, *kumarra@csr.nih.gov.*

Name of Committee: Biological Chemistry and Macromolecular Biophysics Integrated Review Group; Macromolecular Structure and Function B Study Section.

Date: February 3–4, 2011.

Time: 8:30 a.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: Residence Inn by Marriott, 800 Fairview Avenue North, Seattle, WA 98109.

Contact Person: Arnold Revzin, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 4146, MSC 7824, Bethesda, MD 20892, (301) 435–1153, *revzina@csr.nih.gov.*

Name of Committee: Healthcare Delivery and Methodologies Integrated Review Group; Health Disparities and Equity Promotion Study Section.

Date: February 8–9, 2011.

Time: 8 a.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: Renaissance M Street Hotel, 1143 New Hampshire Avenue, NW., Washington, DC 20037.

Contact Person: Delia Olufokunbi Sam, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 3158, MSC 7770, Bethesda, MD 20892, 301–435–0684, *olufokunbisamd@csr.nih.gov.*

Name of Committee: Risk, Prevention and Health Behavior Integrated Review Group; Social Psychology, Personality and Interpersonal Processes Study Section.

Date: February 10–11, 2011.

Time: 8 a.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: Renaissance Mayflower Hotel, 1127 Connecticut Avenue, NW., Washington, DC 20036.

Contact Person: Michael Micklin, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 3136, MSC 7759, Bethesda, MD 20892, (301) 435–1258, *micklinm@csr.nih.gov.*

Name of Committee: Endocrinology, Metabolism, Nutrition and Reproductive Sciences Integrated Review Group Cellular, Molecular and Integrative Reproduction Study Section.

Date: February 10–11, 2011.

Time: 8 a.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: Hyatt Regency Bethesda, One Bethesda Metro Center, 7400 Wisconsin Avenue, Bethesda, MD 20814.

Contact Person: Gary Hunnicutt, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 6164, MSC 7892, Bethesda, MD 20892, 301–435–0229, *gary.hunnicutt@nih.gov.*

Name of Committee: Biobehavioral and Behavioral Processes Integrated Review Group; Biobehavioral Mechanisms of Emotion, Stress and Health Study Section.

Date: February 10–11, 2011.

Time: 8 a.m. to 6 p.m.

Agenda: To review and evaluate grant applications.

Place: Sir Francis Drake Hotel, 450 Powell Street, San Francisco, CA 94102.

Contact Person: Maribeth Champoux, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 3170, MSC 7848, Bethesda, MD 20892, (301) 594–3163, *champoum@csr.nih.gov.*

Name of Committee: Biobehavioral and Behavioral Processes Integrated Review Group; Child Psychopathology and Developmental Disabilities Study Section.

Date: February 10–11, 2011.

Time: 8 a.m. to 5:30 p.m.

Agenda: To review and evaluate grant applications.

Place: Sir Francis Drake Hotel, 450 Powell Street, San Francisco, CA 94102.

Contact Person: Jane A Doussard-Roosevelt, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 3184, MSC 7848, Bethesda, MD 20892, (301) 435–4445, *doussarj@csr.nih.gov.*

Name of Committee: Genes, Genomes, and Genetics Integrated Review Group; Therapeutic Approaches to Genetic Diseases.

Date: February 10–11, 2011.

Time: 8 a.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892, (Virtual Meeting).

Contact Person: Michael K Schmidt, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 2214, MSC 7890, Bethesda, MD 20892, (301) 435–1147, *mschmidt@mail.nih.gov.*

Name of Committee: Infectious Diseases and Microbiology Integrated Review Group; Drug Discovery and Mechanisms of Antimicrobial Resistance Study Section.

Date: February 10–11, 2011.

Time: 8 a.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: Hotel Monaco, 501 Geary Street, San Francisco, CA 94102.

Contact Person: Guangyong Ji, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 3188, MSC 7808, Bethesda, MD 20892, 301–435–1146, *jig@csr.nih.gov.*

Name of Committee: Cell Biology Integrated Review Group; Intercellular Interactions Study Section.

Date: February 10, 2011.

Time: 8 a.m. to 6 p.m.

Agenda: To review and evaluate grant applications.

Place: Mandarin Oriental, 1330 Maryland Avenue, SW., Washington, DC 20024.

Contact Person: Noni Byrnes, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 5130, MSC 7840, Bethesda, MD 20892, (301) 435–1023, *byrnesn@csr.nih.gov.*

Name of Committee: Infectious Diseases and Microbiology Integrated Review Group; Virology—A Study Section.

Date: February 10–11, 2011.

Time: 8 a.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: Hilton Alexandria Old Town, 1767 King Street, Alexandria, VA 22314.

Contact Person: Joanna M Pyper, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 3198, MSC 7808, Bethesda, MD 20892, (301) 435–1151, *pyperj@csr.nih.gov.*

Name of Committee: Biological Chemistry and Macromolecular Biophysics Integrated Review Group; Macromolecular Structure and Function C Study Section.

Date: February 10–11, 2011.

Time: 8 a.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: Hotel Helix, 1430 Rhode Island Avenue, NW., Washington, DC 20005.

Contact Person: William A. Greenberg, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 4168, MSC 7806, Bethesda, MD 20892, (301) 435–1726, *greenbergwa@csr.nih.gov.*

Name of Committee: Cardiovascular and Respiratory Sciences Integrated Review Group; Cardiovascular Differentiation and Development Study Section.

Date: February 10, 2011.

Time: 8 a.m. to 7 p.m.

Agenda: To review and evaluate grant applications.

Place: Ritz Carlton Hotel, 1150 22nd Street, NW., Washington, DC 20037.

Contact Person: Maqsood A Wani, PhD, DVM, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 4136, MSC 7814, Bethesda, MD 20892, 301–435–2270, *wanimaqs@csr.nih.gov.*

Name of Committee: Center for Scientific Review Special Emphasis Panel: Nursing and Related Clinical Sciences Overflow.

Date: February 10, 2011.

Time: 8 a.m. to 6 p.m.

Agenda: To review and evaluate grant applications.

Place: Renaissance M Street Hotel, 1143 New Hampshire Avenue, NW., Washington, DC 20037.

Contact Person: Priscah Mujuru, DRPH, RN, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 3139, MSC 7770, Bethesda, MD 20892, 301–594–6594, *mujurup@mail.nih.gov.*

Name of Committee: Genes, Genomes, and Genetics Integrated Review Group; Prokaryotic Cell and Molecular Biology Study Section.

Date: February 10–11, 2011.

Time: 8 a.m. to 6 p.m.

Agenda: To review and evaluate grant applications.

Place: Hilton San Francisco Fisherman's Wharf, 2620 Jones Street, San Francisco, CA 94133.

Contact Person: Diane L Stassi, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 3202, MSC 7808, Bethesda, MD 20892, 301–435–2514, *stassid@csr.nih.gov.*

Name of Committee: Oncology 1—Basic Translational Integrated Review Group; Cancer Molecular Pathobiology Study Section.

Date: February 10–11, 2011.

Time: 8 a.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: Sheraton Delfina Santa Monica Hotel, 530 West Pico Boulevard, Santa Monica, CA 90405.

Contact Person: Manzoor Zarger, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 6208, MSC 7804, Bethesda, MD 20892, (301) 435–2477, *zargerma@csr.nih.gov.*

Name of Committee: Oncology 2—Translational Clinical Integrated Review Group; Chemo/Dietary Prevention Study Section.

Date: February 10–11, 2011.

Time: 8 a.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: Crowne Plaza Hotel Washington DC—Silver Spring, 8777 Georgia Avenue, Silver Spring, MD 20910.

Contact Person: Sally A Mulhern, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 6198, MSC 7804, Bethesda, MD 20892, (301) 408–9724, *mulherns@csr.nih.gov.*

Name of Committee: Brain Disorders and Clinical Neuroscience Integrated Review Group: Clinical Neuroimmunology and Brain Tumors Study Section.

Date: February 10–11, 2011.

Time: 8 a.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: The Westin St. Francis, 335 Powell Street, San Francisco, CA 94102.

Contact Person: Jay Joshi, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 5196, MSC 7846, Bethesda, MD 20892, (301) 408–9135, *joshij@csr.nih.gov.*

Name of Committee: Brain Disorders and Clinical Neuroscience Integrated Review Group; Clinical Neuroplasticity and Neurotransmitters Study Section.

Date: February 10–11, 2011.

Time: 8 a.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: The Westin St. Francis, 335 Powell Street, San Francisco, CA 94102.

Contact Person: Suzan Nadi, PhD, Scientific Review Officer, Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 5217B, MSC 7846, Bethesda, MD 20892, 301–435–1259, *nadis@csr.nih.gov.*

(Catalogue of Federal Domestic Assistance Program Nos. 93.306, Comparative Medicine; 93.333, Clinical Research, 93.306, 93.333, 93.337, 93.393–93.396, 93.837–93.844, 93.846–93.878, 93.892, 93.893, National Institutes of Health, HHS)

Dated: January 5, 2011.

Jennifer S. Spaeth,

Director, Office of Federal Advisory Committee Policy.

[FR Doc. 2011–385 Filed 1–10–11; 8:45 am]

BILLING CODE 4140–01–P

DEPARTMENT OF HEALTH AND HUMAN SERVICES

National Institutes of Health

National Institute of Diabetes and Digestive and Kidney Diseases; Notice of Closed Meetings

Pursuant to section 10(d) of the Federal Advisory Committee Act, as amended (5 U.S.C. App.), notice is hereby given of the following meetings.

The meetings will be closed to the public in accordance with the provisions set forth in sections 552b(c)(4) and 552b(c)(6), Title 5 U.S.C., as amended. The grant applications and the discussions could disclose confidential trade secrets or commercial property such as patentable material, and personal information concerning individuals associated with the grant applications, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

Name of Committee: National Institute of Diabetes and Digestive and Kidney Diseases Special Emphasis Panel; R13 Conference Application.

Date: January 26, 2011.

Time: 2 p.m. to 4 p.m.

Agenda: To review and evaluate grant applications.

Place: National Institutes of Health, Two Democracy Plaza, 6707 Democracy Boulevard, Bethesda, MD 20892, (Telephone Conference Call).

Contact Person: D.G. Patel, PhD, Scientific Review Officer, Review Branch, DEA, NIDDK, National Institutes of Health, Room 756, 6707 Democracy Boulevard, Bethesda, MD 20892–5452, (301) 594–7682, *pateldg@niddk.nih.gov.*

This notice is being published less than 15 days prior to the meeting due to the timing limitations imposed by the review and funding cycle.

Name of Committee: National Institute of Diabetes and Digestive and Kidney Diseases Special Emphasis Panel; KUH Fellowship Review.

Date: January 31, 2011.

Time: 8 a.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: Hilton Washington/Rockville, 1750 Rockville Pike, Rockville, MD 20852.

Contact Person: Xiaodu Guo, MD, PhD, Scientific Review Officer, Review Branch, DEA, NIDDK, National Institutes of Health, Room 761, 6707 Democracy Boulevard, Bethesda, MD 20892–5452, (301) 594–4719, *guox@extra.niddk.nih.gov.*

This notice is being published less than 15 days prior to the meeting due to the timing limitations imposed by the review and funding cycle.

Name of Committee: National Institute of Diabetes and Digestive and Kidney Diseases Special Emphasis Panel; NIDDK DEM Fellowships.

Date: February 16–17, 2011.

Time: 5 p.m. to 5 p.m.

Agenda: To review and evaluate grant applications.

Place: Hilton Crystal City, 2399 Jefferson Davis Hwy, Arlington, VA 22202.

Contact Person: Michael W. Edwards, PhD, Scientific Review Officer, Review Branch, DEA, NIDDK, National Institutes of Health, Room 750, 6707 Democracy Boulevard, Bethesda, MD 20892–5452, (301) 594–8886, *edwardsm@extra.niddk.nih.gov.*

Name of Committee: National Institute of Diabetes and Digestive and Kidney Diseases Special Emphasis Panel; Mouse Metabolic Phenotyping Centers Consortium (U24).

Date: February 28–March 1, 2011.

Time: 8 a.m. to 6 p.m.

Agenda: To review and evaluate grant applications.

Place: Hyatt Regency Bethesda, One Bethesda Metro Center (7400 Wisconsin Ave), Bethesda, MD 20814.

Contact Person: Najma Begum, PhD, Scientific Review Officer, Review Branch, DEA, NIDDK, National Institutes of Health, Room 749, 6707 Democracy Boulevard, Bethesda, MD 20892–5452, (301) 594–8894, *begumn@niddk.nih.gov.*

(Catalogue of Federal Domestic Assistance Program Nos. 93.847, Diabetes, Endocrinology and Metabolic Research; 93.848, Digestive Diseases and Nutrition Research; 93.849, Kidney Diseases, Urology and Hematology Research, National Institutes of Health, HHS)

Dated: January 5, 2011.

Jennifer S. Spaeth,

Director, Office of Federal Advisory Committee Policy.

[FR Doc. 2011–387 Filed 1–10–11; 8:45 am]

BILLING CODE 4140–01–P

DEPARTMENT OF HEALTH AND HUMAN SERVICES

National Institutes of Health

National Institute of Mental Health; Amended Notice of Meeting

Notice is hereby given of a change in the telephone Access Code for the meeting of the Interagency Autism Coordinating Committee (IACC) Subcommittee on Safety on January 12, 2011, 11 a.m. to 1 p.m. This meeting is a conference call only. The notice was published in the **Federal Register** on December 29, 2010, 75 FR 82034.

The Conference Call Access Code was missing one number and should be: Dial 888–456–0356, Access Code: 1427016. The Conference Call meeting is open to the public. The meeting will be held at the same time.

Dated: January 4, 2011.

Jennifer S. Spaeth,

Director, Office of Federal Advisory Committee Policy.

[FR Doc. 2011–384 Filed 1–10–11; 8:45 am]

BILLING CODE 4140–01–P

DEPARTMENT OF HEALTH AND HUMAN SERVICES

National Institutes of Health

National Institute on Aging; Notice of Closed Meetings

Pursuant to section 10(d) of the Federal Advisory Committee Act, as amended (5 U.S.C. App.), notice is hereby given of the following meetings.

The meetings will be closed to the public in accordance with the provisions set forth in sections 552b(c)(4) and 552b(c)(6), Title 5 U.S.C., as amended. The grant applications and the discussions could disclose confidential trade secrets or commercial property such as patentable material, and personal information concerning individuals associated with the grant applications, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

Name of Committee: National Institute on Aging Special Emphasis Panel; Drug Development for Alzheimer's Disease 2011/05.

Date: February 3, 2011.

Time: 11 a.m. to 3 p.m.

Agenda: To review and evaluate grant applications.

Place: National Institute on Aging, Gateway Building, 7201 Wisconsin Avenue, Suite 2C212, Bethesda, MD 20892, (Telephone Conference Call).

Contact Person: Alexander Parsadanian, PhD, Scientific Review Officer, National Institute on Aging, Gateway Building 2C/212, 7201 Wisconsin Avenue, Bethesda, MD 20892, 301–496–9666, *PARSADANIANA@NIA.NIH.GOV.*

Name of Committee: National Institute on Aging Special Emphasis Panel; GEMSSTAR.

Date: February 11, 2011.

Time: 8 a.m. to 8 p.m.

Agenda: To review and evaluate grant applications.

Place: Embassy Suites at the Chevy Chase Pavilion, 4300 Military Road, NW., Washington, DC 20015.

Contact Person: Rebecca J. Ferrell, PhD, Scientific Review Officer, National Institute on Aging, Gateway Building RM. 2C212, 7201 Wisconsin Avenue, Bethesda, MD 20892, 301–402–7703, *ferrellrj@mail.nih.gov.*

(Catalogue of Federal Domestic Assistance Program Nos. 93.866, Aging Research, National Institutes of Health, HHS)

Dated: January 4, 2011.

Jennifer S. Spaeth,

Director, Office of Federal Advisory Committee Policy.

[FR Doc. 2011–383 Filed 1–10–11; 8:45 am]

BILLING CODE 4140–01–P

DEPARTMENT OF HEALTH AND HUMAN SERVICES

National Institutes of Health

National Cancer Institute; Notice of Closed Meeting

Pursuant to section 10(d) of the Federal Advisory Committee Act, as amended (5 U.S.C. App.), notice is hereby given of the following meeting.

The meeting will be closed to the public in accordance with the provisions set forth in sections 552b(c)(4) and 552b(c)(6), Title 5 U.S.C., as amended. The grant applications and the discussions could disclose confidential trade secrets or commercial property such as patentable material, and personal information concerning individuals associated with the grant applications, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

Name of Committee: National Cancer Institute Initial Review Group; Subcommittee I—Career Development, Career Development.

Date: February 22–23, 2011.

Time: February 22, 2011, 8 a.m. to 6 p.m.

Agenda: To review and evaluate to review and evaluate grant applications.

Place: Hilton Alexandria Old Town, 1767 King Street, Alexandria, VA 22314.

Time: February 23, 2011, 8 a.m. to 6 p.m.

Agenda: To review and evaluate to review and evaluate grant applications.

Place: Hilton Alexandria Old Town, 1767 King Street, Alexandria, VA 22314.

Contact Person: Sergei Radaev, PhD, Scientific Review Officer, Resources And Training Review Branch, Division Of Extramural Activities, National Cancer Institute, NIH, 6116 Executive Blvd., RM 8113, Bethesda, MD 20892, 301–435–5655, *SRADAEV@MAIL.NIH.GOV.*

(Catalogue of Federal Domestic Assistance Program Nos. 93.392, Cancer Construction; 93.393, Cancer Cause and Prevention Research; 93.394, Cancer Detection and Diagnosis Research; 93.395, Cancer Treatment Research; 93.396, Cancer Biology Research; 93.397, Cancer Centers Support; 93.398, Cancer Research Manpower; 93.399, Cancer Control, National Institutes of Health, HHS)

Dated: January 4, 2011.

Jennifer S. Spaeth,

Director, Office of Federal Advisory Committee Policy.

[FR Doc. 2011–382 Filed 1–10–11; 8:45 am]

BILLING CODE 4140–01–P

DEPARTMENT OF HOMELAND SECURITY

Federal Emergency Management Agency

[Internal Agency Docket No. FEMA–1936–DR; Docket ID FEMA–2011–0001]

New Mexico; Amendment No. 1 to Notice of a Major Disaster Declaration

AGENCY: Federal Emergency Management Agency, DHS.

ACTION: Notice.

SUMMARY: This notice amends the notice of a major disaster declaration for the State of New Mexico (FEMA–1936–DR), dated September 13, 2010, and related determinations.

DATES: *Effective Date:* January 4, 2011.

FOR FURTHER INFORMATION CONTACT: Peggy Miller, Office of Response and Recovery, Federal Emergency Management Agency, 500 C Street, SW., Washington, DC 20472, (202) 646–3886.

SUPPLEMENTARY INFORMATION: The notice of a major disaster declaration for the State of New Mexico is hereby amended to include the following areas among those areas determined to have been adversely affected by the event declared a major disaster by the President in his declaration of September 13, 2010.

The Navajo Nation and the Pueblo of Acoma for Public Assistance.

The following Catalog of Federal Domestic Assistance Numbers (CFDA) are to be used for reporting and drawing funds: 97.030, Community Disaster Loans; 97.031, Cora Brown Fund; 97.032, Crisis Counseling;

97.033, Disaster Legal Services; 97.034, Disaster Unemployment Assistance (DUA); 97.046, Fire Management Assistance Grant; 97.048, Disaster Housing Assistance to Individuals and Households In Presidentially Declared Disaster Areas; 97.049, Presidentially Declared Disaster Assistance—Disaster Housing Operations for Individuals and Households; 97.050 Presidentially Declared Disaster Assistance to Individuals and Households—Other Needs; 97.036, Disaster Grants—Public Assistance (Presidentially Declared Disasters); 97.039, Hazard Mitigation Grant.

W. Craig Fugate,

Administrator, Federal Emergency Management Agency.

[FR Doc. 2011–386 Filed 1–10–11; 8:45 am]

BILLING CODE 9111–23–P

DEPARTMENT OF HOMELAND SECURITY

Federal Emergency Management Agency

[Docket ID: FEMA–2007–0008]

National Advisory Council Meeting

AGENCY: Federal Emergency Management Agency, DHS.

ACTION: Notice of Federal Advisory Committee meeting.

SUMMARY: This notice announces the date, time, location, and agenda for the next meeting of the National Advisory Council (NAC). At the meeting, the subcommittees will report on their work since the August 4–5, 2010 meeting. This meeting will be open to the public.

DATES: *Meeting Dates:* Wednesday, January 26, 2011, from approximately 10 a.m. EST to 5:45 p.m. EST and Thursday, January 27, 2011, 8:30 a.m. EST to 2 p.m. EST. A public comment period will take place on the afternoon of January 27, 2011, between approximately 12:45 p.m. EST and 1:15 p.m. EST.

Comment Date: Persons wishing to make an oral presentation, or who are unable to attend or speak at the meeting, may submit written comments. Written comments or requests to make oral presentations must be received by January 14, 2011.

ADDRESSES: The meeting will be held at the Hilton Garden Inn, 815 14th Street NW., Washington, DC 20005. Written comments and requests to make oral presentations at the meeting should be provided to the address listed in the **FOR FURTHER INFORMATION CONTACT** section and must be received by January 14, 2011. All submissions received must include the Docket ID FEMA–2007–0008 and may be submitted by any one of the following methods:

Federal Rulemaking Portal: http://www.regulations.gov. Follow the instructions for submitting comments on the Web site.

E-mail: FEMA–RULES@dhs.gov. Include Docket ID FEMA–2007–0008 in the subject line of the message.

Facsimile: (703) 483–2999.

Mail: Office of Chief Counsel, Federal Emergency Management Agency (Room 835), 500 C Street SW., Washington, DC 20472–3100.

Hand Delivery/Courier: Office of Chief Counsel, Federal Emergency Management Agency (Room 835), 500 C Street SW., Washington, DC 20472–3100.

Instructions: All submissions received must include the Docket ID FEMA–2007–0008. Comments received also will be posted without alteration at *http://www.regulations.gov,* including any personal information provided.

Docket: For access to the docket to read documents or comments received by the National Advisory Council, go to *http://www.regulations.gov.*

FOR FURTHER INFORMATION CONTACT: The National Advisory Council Office, Federal Emergency Management Agency (Room 832), 500 C Street SW., Washington, DC 20472–3100, telephone (202) 646–3746, fax (202) 646–3930, and email *mailto: FEMA–NAC@dhs.gov.* The NAC Web site is located at: *http://www.fema.gov/about/nac/.*

SUPPLEMENTARY INFORMATION: Notice of this meeting is required under the Federal Advisory Committee Act (FACA), Public Law 92–463, as amended (5 U.S.C. App. 1, *et seq.*). The National Advisory Council (NAC) will meet for the purpose of reviewing the progress and/or potential recommendations of the following NAC subcommittees: Preparedness and Protection, Response and Recovery, Public Engagement and Mission Support, and Federal Insurance and Mitigation. The Council may receive updates on response, recovery, preparedness, and on the Regional Advisory Councils.

Public Attendance: The meeting is open to the public. Please note that the meeting may adjourn early if all business is finished. Persons with disabilities who require special assistance should advise the NAC Office of their anticipated needs as early as possible. Members of the public who wish to make comments on Thursday, January 27, 2011 between 12:45 p.m. EST and 1:15 p.m. EST are requested to register in advance, and if the meeting is running ahead of schedule the public comment period may take place as early as 11 a.m. EST; therefore, all speakers must be present and seated by 10:45 a.m. EST. In order to allow as many people as possible to speak, speakers are requested to limit their remarks to 3 minutes. For those wishing to submit written comments, please follow the procedure noted above. In certain weather circumstances, a teleconference line for members of the public to call in may be set up. Please contact the NAC Office to request the public listen-only call in information using the contact information provided in the **FOR FURTHER INFORMATION CONTACT** section.

Dated: January 5, 2011.

W. Craig Fugate,

Administrator, Federal Emergency Management Agency.

[FR Doc. 2011–391 Filed 1–10–11; 8:45 am]

BILLING CODE 9111–48–P

DEPARTMENT OF HOMELAND SECURITY

U.S. Customs and Border Protection

Customs Brokers User Fee Payment for 2011

AGENCY: Customs and Border Protection, Department of Homeland Security.

ACTION: General notice.

SUMMARY: This document provides notice to customs brokers that the annual fee of $138 that is assessed for each permit held by a broker, whether it may be an individual, partnership, association, or corporation, is due by March 18, 2011. Customs and Border Protection announces this date of payment for 2011 in accordance with the Tax Reform Act of 1986.

DATES: Payment of the 2011 Customs Broker User Fee is due March 18, 2011.

FOR FURTHER INFORMATION CONTACT: Russell Morris, Broker Compliance Branch, Trade Policy and Programs, (202) 863–6543.

SUPPLEMENTARY INFORMATION:

Background

CBP Dec. 07–01 amended section 111.96 of title 19 of the Code of Federal Regulations (19 CFR 111.96(c)) pursuant to the amendment of section 13031 of the Consolidated Omnibus Budget Reconciliation Act (COBRA) of 1985 (19 U.S.C. 58c) by section 892 of the American Jobs Creation Act of 2004, to establish that effective April 1, 2007, an annual user fee of $138 is to be assessed for each customs broker permit and national permit held by an individual, partnership, association, or corporation.

The Customs and Border Protection (CBP) regulations provide that this fee is payable for each calendar year in each

broker district where the broker was issued a permit to do business by the due date which is published in the Federal Register annually. See 19 CFR 24.22(h) and (i)(9). Broker districts are defined in the General Notice entitled, "Geographical Boundaries of Customs Brokerage, Cartage and Lighterage Districts" published in the **Federal Register** on September 27, 1995 (60 FR 49971).

Section 1893 of the Tax Reform Act of 1986 (Pub. L. 99–514) provides that notices of the date on which the payment is due for each broker permit shall be published by the Secretary of the Treasury in the **Federal Register** by no later than 60 days before such due date. Please note that section 403 of the Homeland Security Act of 2002, 6 U.S.C. 101 *et seq.,* (Pub. L. 107–296) and Treasury Department Order No. 100–16 (see Appendix to 19 CFR Part 0) delegated general authority vested in the Secretary of the Treasury over customs revenue functions (with certain specified exceptions) to the Secretary of Homeland Security.

This document notifies customs brokers that for calendar year 2011, the due date for payment of the user fee is March 18, 2011. It is anticipated that for subsequent years, the annual user fee for customs brokers will be due on or about the twentieth of January of each year.

Dated: December 29, 2010.

Daniel Baldwin,

Assistant Commissioner, Office of International Trade.

[FR Doc. 2011–312 Filed 1–10–11; 8:45 am]

BILLING CODE 9111–14–P

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR–5480–N–03]

Notice of Submission of Proposed Information Collection to OMB Exigent Health and Safety Deficiency Correction Certification

AGENCY: Office of the Chief Information Officer, HUD.

ACTION: Notice.

SUMMARY: The proposed information collection requirement described below has been submitted to the Office of Management and Budget (OMB) for review, as required by the Paperwork Reduction Act. The Department is soliciting public comments on the subject proposal.

HUD's Uniform Physical Condition Standards (UPCS) regulation (24 CFR part 5, subpart G) provides that HUD housing must be decent, safe, sanitary, and in good repair. Public housing agencies (PHAs) must maintain housing in a manner that meets prescribed physical condition standards to be considered decent, safe, sanitary, and in good repair. The UPCS regulation also provides that all area and components of the housing must be free of health and safety hazards. HUD conducts physical inspections of the HUD-funded housing to determine if the UPCS standards are being met. Pursuant to the UPCS inspection protocol, at the end of the inspection (or at the end of each day of a multi-day inspection) the inspector provides the property representative with a copy of the "Notification of Exigent and Fire Safety Hazards Observed" form. Each exigent health and safety (EHS) deficiency that the inspector observed that day is listed on the form. The property representative signs the form acknowledging receipt. PHAs are to correct EHS deficiencies (i.e., emergency work orders) within 24 hours. PHAs are to notify HUD, using the electronic format, within three business days of the date of inspection, which is the date the PHA was provided notice of these deficiencies, that the deficiencies were corrected within the prescribed time frames.

DATES: *Comments Due Date:* February 10, 2011.

ADDRESSES: Interested persons are invited to submit comments regarding this proposal. Comments should refer to the proposal by name and/or OMB approval Number (2577–0241) and should be sent to: HUD Desk Officer, Office of Management and Budget, New Executive Office Building, Washington, DC 20503; fax: 202–395–5806.

FOR FURTHER INFORMATION CONTACT: Colette Pollard, Reports Management Officer, QDAM, Department of Housing and Urban Development, 451 Seventh Street, SW., Washington, DC 20410; e-mail Colette Pollard at *Colette.Pollard@hud.gov;* or telephone (202) 402–3400. This is not a toll-free number. Copies of available documents submitted to OMB may be obtained from Ms. Pollard.

SUPPLEMENTARY INFORMATION: This notice informs the public that the Department of Housing and Urban Development has submitted to OMB a request for approval of the Information collection described below. This notice is soliciting comments from members of the public and affecting agencies concerning the proposed collection of information to: (1) Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; (2) Evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information; (3) Enhance the quality, utility, and clarity of the information to be collected; and (4) Minimize the burden of the collection of information on those who are to respond; including through the use of appropriate automated collection techniques or other forms of information technology, e.g., permitting electronic submission of responses.

This notice also lists the following information:

Title of Proposal: Exigent Health and Safety Deficiency Correction Certification.

OMB Approval Number: 2577–0241.

Form Numbers: None.

Description of the Need for the Information and its Proposed Use: HUD's Uniform Physical Condition Standards (UPCS) regulation (24 CFR part 5, subpart G) provides that HUD housing must be decent, safe, sanitary, and in good repair. Public housing agencies (PHAs) must maintain housing in a manner that meets prescribed physical condition standards to be considered decent, safe, sanitary, and in good repair. The UPCS regulation also provides that all area and components of the housing must be free of health and safety hazards. HUD conducts physical inspections of the HUD-funded housing to determine if the UPCS standards are being met. Pursuant to the UPCS inspection protocol, at the end of the inspection (or at the end of each day of a multi-day inspection) the inspector provides the property representative with a copy of the "Notification of Exigent and Fire Safety Hazards Observed" form. Each exigent health and safety (EHS) deficiency that the inspector observed that day is listed on the form. The property representative signs the form acknowledging receipt. PHAs are to correct EHS deficiencies (*i.e.,* emergency work orders) within 24 hours. PHAs are to notify HUD, using the electronic format, within three business days of the date of inspection, which is the date the PHA was provided notice of these deficiencies, that the deficiencies were corrected within the prescribed time frames.

Frequency of Submission: Annually.

Estimation of the total number of hours needed to prepare the information collection including number of respondents: 1,236 respondents annually with one response per respondent. Average time per response is .44 hours and the total burden hours are 333.57.

Total Estimated Burden Hours: 333.57.

Status: Extension of a currently approved collection.

Authority: Section 3507 of the Paperwork Reduction Act of 1995, 44 U.S.C. 35, as amended.

Dated: January 6, 2011.

Colette Pollard,

Departmental Reports Management Officer, Office of the Chief Information Officer.

[FR Doc. 2011–396 Filed 1–10–11; 8:45 am]

BILLING CODE 4210–67–P

DEPARTMENT OF THE INTERIOR

Fish and Wildlife Service

[FWS–R9–EA–2010–N280; 97600–9792–0000 5D]

Sport Fishing and Boating Partnership Council

AGENCY: Fish and Wildlife Service, Interior.

ACTION: Notice of teleconference.

SUMMARY: We, the Fish and Wildlife Service (Service), announce a public teleconference of the Sport Fishing and Boating Partnership Council (Council).

DATE(S): We will hold the teleconference on Thursday, January 27, 2011, 2–4 p.m. (Eastern time). If you wish to listen to or participate in the teleconference proceedings, or submit written material for the Council to consider during the teleconference, notify Douglas Hobbs by Monday, January 24, 2011. See instructions under **SUPPLEMENTARY INFORMATION**.

FOR FURTHER INFORMATION CONTACT: Douglas Hobbs, Council Coordinator, 4401 N. Fairfax Dr., Mailstop 3103–AEA, Arlington, VA 22203; (703) 358–2336 (phone); (703) 358–2548 (fax); or *doug_hobbs@fws.gov* (e-mail).

SUPPLEMENTARY INFORMATION: In accordance with the requirements of the Federal Advisory Committee Act, 5 U.S.C. App., we give notice that the Council will hold a teleconference (*see* **DATES**).

The Council was formed in January 1993 to advise the Secretary of the Interior, through the Director of the U.S. Fish and Wildlife Service, on nationally significant recreational fishing, boating, and aquatic resource conservation issues. The Council represents the interests of the public and private sectors of the sport fishing, boating, and conservation communities and is organized to enhance partnerships among industry, constituency groups, and government. The 18-member Council, appointed by the Secretary of the Interior, includes the Service Director and the president of the Association of Fish and Wildlife Agencies, who both serve in ex officio capacities. Other Council members are directors from State agencies responsible for managing recreational fish and wildlife resources and individuals who represent the interests of saltwater and freshwater recreational fishing, recreational boating, the recreational fishing and boating industries, recreational fisheries resource conservation, Native American tribes, aquatic resource outreach and education, and tourism. Background information on the Council is available at *http://www.fws.gov/sfbpc.*

The Council will convene to: (1) Approve recommendations to the Director of the Fish and Wildlife Service for funding Fiscal Year 2011 Boating Infrastructure Grant proposals; and (2) to consider other Council business. We will post the final agenda on the Internet at *http://www.fws.gov/sfbpc.*

Procedures for Public Input: Interested members of the public may listen to or present oral information, or submit a relevant written statement for the Council to consider during the public meeting. Questions from the public will not be considered during this period. Speakers who wish to expand upon their oral statements or those who had wished to speak but could not be accommodated on the agenda are invited to submit written statements to the Council. Individuals or groups can listen to or make an oral presentation at the public Council teleconference. Oral presentations will be limited to 2 minutes per speaker, with no more than a total of 30 minutes for all speakers. In order to listen to or participate in this teleconference, you must register by close of business on January 24, 2011. Please submit your name, e-mail address, and phone number to Douglas Hobbs, Council Coordinator (*see* **FOR FURTHER INFORMATION CONTACT**).

Written statements must be received by January 24, 2011, so that the information may be made available to the Council for their consideration prior to this meeting. Written statements must be supplied to the Council Coordinator in both of the following formats: One hard copy with original signature, and one electronic copy via e-mail (acceptable file format: Adobe Acrobat PDF, WordPerfect, MS Word, MS PowerPoint, or Rich Text files in IBM–PC/Windows 98/2000/XP format). Please submit your statement to Douglas Hobbs, Council Coordinator (*see* **FOR FURTHER INFORMATION CONTACT**).

The Council Coordinator will maintain the teleconference's summary minutes, which will be available for public inspection at the location under **FOR FURTHER INFORMATION CONTACT** during regular business hours within 30 days after the teleconference. You may purchase personal copies for the cost of duplication.

Dated: December 27, 2010.

Paul R. Schmidt,

Acting Director.

[FR Doc. 2011–338 Filed 1–10–11; 8:45 am]

BILLING CODE 4310–55–P

DEPARTMENT OF THE INTERIOR

Bureau of Land Management

[LLAK–963000–L1410000–FQ0000; AA–3084]

Public Land Order No. 7756; Revocation of a Secretarial Order Dated October 8, 1947; Alaska

AGENCY: Bureau of Land Management, Interior.

ACTION: Public Land Order.

SUMMARY: This order revokes a Secretarial Order in its entirety as it affects approximately 266 acres of public land withdrawn on behalf of the Federal Aviation Administration for Air Navigation Site No. 237 on Shuyak Island, Alaska. The land is no longer needed for air navigation purposes. The land is within the Alaska Maritime National Wildlife Refuge.

DATES: *Effective Date:* January 11, 2011.

FOR FURTHER INFORMATION CONTACT: Robert L. Lloyd, BLM Alaska State Office, 222 W. Seventh Avenue, #13, Anchorage, Alaska 99513, 907–271–4682.

SUPPLEMENTARY INFORMATION: The Federal Aviation Administration has determined that the withdrawal is no longer needed for air navigation purposes. The land is within the Alaska Maritime National Wildlife Refuge pursuant to Section 303(1)(v) of Public Law 96–487 and will continue to be managed by the U.S. Fish and Wildlife Service. The land is also subject to the terms and conditions of Public Land Order No. 5184 (37 FR 5588 (1972)) and Public Land Order No. 5186 (37 FR 5589 and 5590 (1972)), both as amended, and any other withdrawal, application, or segregation of record.

Order

By virtue of the authority vested in the Secretary of the Interior by Section 204 of the Federal Land Policy and Management Act of 1976, 43 U.S.C. 1714, it is ordered as follows:

The Secretarial Order dated October 8, 1947 (12 FR 6769 (1947)), which withdrew approximately 266 acres of public land on behalf of the Federal Aviation Administration, formerly the Civil Aeronautics Administration, for Air Navigation Site No. 237, is hereby revoked in its entirety.

Dated: December 21, 2010.

Wilma A. Lewis,

Assistant Secretary—Land and Minerals Management.

[FR Doc. 2011–317 Filed 1–10–11; 8:45 am]

BILLING CODE 4310–JA–P

DEPARTMENT OF THE INTERIOR

Bureau of Land Management

[MTM 91636]

Public Land Order No. 7757; Withdrawal of National Forest System Land for the Big Ice Cave; Montana

AGENCY: Bureau of Land Management, Interior.

ACTION: Public Land Order.

SUMMARY: This order withdraws 170 acres of National Forest System land from location and entry under the United States mining laws for a period of 20 years on behalf of the United States Forest Service to protect the Big Ice Cave, its subterranean water supply, and Federal improvements. The land has been and will remain open to such forms of disposition as may by law be made of National Forest System land and to mineral leasing.

DATES: *Effective Date:* January 11, 2011.

FOR FURTHER INFORMATION CONTACT: Scott Bixler, U.S. Forest Service, Region 1, P.O. Box 7669, Missoula, Montana 59807, 406–329–3655, or Sandra Ward, Bureau of Land Management, Montana State Office, 5001 Southgate Drive, Billings, Montana 59101, 406–896–5052.

SUPPLEMENTARY INFORMATION: The Forest Service will manage the land to protect the Big Ice Cave, its subterranean water supply, and Federal improvements. The Big Ice Cave is a unique geologic and hydrologic formation with important cultural and recreational values.

Order

By virtue of the authority vested in the Secretary of the Interior by Section 204 of the Federal Land Policy and Management Act of 1976, 43 U.S.C. 1714, it is ordered as follows:

1. Subject to valid existing rights, the following-described National Forest System land is hereby withdrawn from location and entry under the United States mining laws, but not from leasing under the mineral leasing laws, to protect the Big Ice Cave, its subterranean water supply, and Federal improvements:

Custer National Forest

Principal Meridian, Montana

T. 8 S., R. 27 E.,
Sec. 3, SE¼;
Sec. 10, N½N½NW¼NE¼.

The area described contains 170 acres in Carbon County.

2. The withdrawal made by this order does not alter the applicability of those public land laws governing the use of National Forest System land under lease, license, or permit, or governing the disposal of the mineral or vegetative resources other than under the mining laws.

3. This withdrawal will expire 20 years from the effective date of this order, unless, as a result of a review conducted before the expiration date pursuant to Section 204(f) of the Federal Land Policy and Management Act of 1976, 43 U.S.C. 1714(f), the Secretary determines that the withdrawal shall be extended.

Dated: December 17, 2010.

Wilma A. Lewis,

Assistant Secretary—Land and Minerals Management.

[FR Doc. 2011–319 Filed 1–10–11; 8:45 am]

BILLING CODE 4310–11–P

DEPARTMENT OF THE INTERIOR

Bureau of Land Management

[WYW 71725]

Public Land Order No. 7758; Revocation of Secretarial Order Dated March 7, 1932; Wyoming

AGENCY: Bureau of Land Management, Interior.

ACTION: Public land order.

SUMMARY: This order revokes a Secretarial Order in its entirety as it affects the remaining 27,825 acres of National Forest System lands withdrawn for the Bureau of Reclamation's Willow and Fremont Lakes Reservoir Sites, Sublette Project. The lands are no longer needed for reclamation purposes.

DATES: *Effective Date:* January 11, 2011.

FOR FURTHER INFORMATION CONTACT: Janelle Wrigley, BLM Wyoming State Office, 5353 North Yellowstone Road, Cheyenne, Wyoming 82003, 307–775–6257.

SUPPLEMENTARY INFORMATION: The Sublette Project was never constructed and the withdrawal is no longer needed. The lands will not be opened to the public land or mining laws until completion of an analysis to determine if any of the lands warrant special designation. The March 7, 1932 Order originally withdrew approximately 29,600 acres but has since been partially revoked. A copy of the original withdrawal order containing a legal description of the lands involved is available from the Bureau of Land Management Wyoming State Office at the address above.

Order

By virtue of the authority vested in the Secretary of the Interior by Section 204 of the Federal Land Policy and Management Act of 1976, 43 U.S.C. 1714, it is ordered as follows:

The Secretarial Order dated March 7, 1932, which originally withdrew approximately 29,600 acres National Forest System lands in Sublette County, Wyoming, for the Bureau of Reclamation's Willow and Fremont Lakes Sites, Sublette Project, is hereby revoked in its entirety as to any remaining withdrawn lands.

Authority: 43 CFR 2370; 43 CFR 2310.3–3.

Dated: December 21, 2010.

Wilma A. Lewis,

Assistant Secretary—Land and Minerals Management.

[FR Doc. 2011–318 Filed 1–10–11; 8:45 am]

BILLING CODE 4310–MN–P

DEPARTMENT OF JUSTICE

Office on Violence Against Women Meeting

AGENCY: Office on Violence Against Women, United States Department of Justice.

ACTION: Notice of meeting.

SUMMARY: This notice sets forth the schedule and proposed agenda of the forthcoming public meeting of the National Advisory Committee on Violence Against Women (hereinafter "NAC").

DATES: The meeting will take place on Friday, January 28, 2011 from 8:30 a.m. to 5 p.m.

ADDRESSES: The meeting will take place at the Department of Justice, 950 Pennsylvania Ave, NW., Conference Center, 7th Floor, Washington, DC 20530. The public is asked to pre-register by January 21, 2011 for the meeting due to security considerations (*see* below for information on pre-registration).

FOR FURTHER INFORMATION CONTACT: Catherine Poston, Attorney Advisor, Office on Violence Against Women, United States Department of Justice, 145 N Street, NE., Suite 10W 121, Washington, DC 20530; by telephone at: (202) 514–5430; e-mail: *Catherine.poston@usdoj.gov;* or fax: (202) 305–2589. You may also view information about the NAC on the Office on Violence Against Women Web site at: *http://www.ovw.usdoj.gov.*

SUPPLEMENTARY INFORMATION: Notice of this meeting is required under section 10(a)(2) of the Federal Advisory Committee Act. The National Advisory Committee on Violence Against Women (NAC) was re-chartered on March 3, 2010 by the Attorney General. The purpose of this Federal advisory committee is to provide advice and recommendations to the Department of Justice and the Department of Health and Human Services on how to improve the Nation's response to violence against women, with a specific focus on successful interventions with children and teens who witness and/or are victimized by domestic violence, dating violence, and sexual assault. The NAC will bring together experts, advocates, researchers, and criminal justice professionals for the exchange of innovative ideas and the development of practical solutions to help the Federal government address and prevent these serious problems. This Federal advisory committee will develop recommendations for successful interventions with children and teens who witness and/or are victimized by domestic violence, dating violence, and sexual assault. The NAC members will also examine the relationship between children and teens who are witnesses to or victims of such violence and the overall public safety of communities across the country.

This is the first meeting of the NAC and will include an introduction of Federal advisory committee members, presentations by Department of Justice staff on Federal efforts to address these problems, and a discussion of the goals for the NAC. The Director of the Office on Violence Against Women, the Honorable Susan B. Carbon, serves as the Designated Federal Official of the NAC.

The NAC is also welcoming public oral comment at this meeting and has reserved an estimated 30 minutes for this purpose. Time will be reserved for public comment on January 28, 2011 from 12:05 p.m. to 12:20 p.m. and from 4:30 p.m. to 4:45 p.m. See the section below for information on reserving time for public comment.

Access: This meeting will be open to the pubic but registration on a space available basis and for security reasons is required. All members of the public who wish to attend must register at least six (6) days in advance of the meeting by contacting Catherine Poston, Attorney Advisor, Office on Violence Against Women, United States Department of Justice, 145 N Street, NE., Suite 10W 121, Washington, DC 20530; by telephone at: (202) 514–5430; e-mail: *Catherine.poston@usdoj.gov;* or fax: (202) 305–2589. All attendees will be required to sign in at the Department of Justice security entrance and at the meeting registration desk. Please bring photo identification and allow extra time prior to the start of the meeting.

The meeting site is accessible to individuals with disabilities. Individuals who require special accommodation in order to attend the meeting should notify Catherine Poston no later than January 21, 2011.

Written Comments: Interested parties are invited to submit written comments by January 21, 2011 to Catherine Poston, Attorney Advisor, Office on Violence Against Women, United States Department of Justice, 145 N Street, NE., Suite 10W 121, Washington, DC 20530; by telephone at: (202) 514–5430; e-mail: *Catherine.poston@usdoj.gov;* or fax: (202) 305–2589.

Public Comment: Persons interested in participating during the public comment periods of the meeting are requested to reserve time on the agenda by contacting Catherine Poston, Attorney Advisor, Office on Violence Against Women, United States Department of Justice, 145 N Street, NE., Suite 10W 121, Washington, DC 20530; by telephone at: (202) 514–5430; e-mail: *Catherine.poston@usdoj.gov*; or fax: (202) 305–2589. Requests must include the participant's name, organization represented, if appropriate, and a brief description of the subject of the comments. Each participant will be permitted approximately 3 to 5 minutes to present comments, depending on the number of individuals reserving time on the agenda. Participants are also encouraged to submit written copies of their comments. Comments that are submitted to Catherine Poston, Attorney Advisor, Office on Violence Against Women, United States Department of Justice, 145 N Street, NE., Suite 10W 121, Washington, DC 20530; by telephone at: (202) 514–5430; e-mail: *Catherine.poston@usdoj.gov*; or fax: (202) 305–2589 will be circulated to NAC members prior to the meeting. Given the expected number of individuals interested in presenting comments at the meeting, reservations should be made as soon as possible. Persons unable to obtain reservations to speak during the meeting are encouraged to submit written comments, which will be accepted at the meeting location or may be mailed to the NAC, to the attention of Catherine Poston, Attorney Advisor, Office on Violence Against Women, United States Department of Justice, 145 N Street, NE., Suite 10W 121, Washington, DC 20530; by telephone at: (202) 514–5430; e-mail: *Catherine.poston@usdoj.gov;* or fax: (202) 305–2589.

Dated: January 5, 2010.

Susan B. Carbon,

Director, Office on Violence Against Women.

[FR Doc. 2011–365 Filed 1–10–11; 8:45 am]

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DEPARTMENT OF JUSTICE

Office of the Attorney General

[Docket No. OAG 134; AG Order No. 3241–2011]

RIN 1105–AB36

Supplemental Guidelines for Sex Offender Registration and Notification

AGENCY: Department of Justice.

ACTION: Final guidelines.

SUMMARY: The Sex Offender Registration and Notification Act (SORNA), establishes minimum national standards for sex offender registration and notification. The Attorney General issued the *National Guidelines for Sex Offender Registration and Notification* ("SORNA Guidelines" or "Guidelines") on July 2, 2008, to provide guidance and assistance to jurisdictions in implementing the SORNA standards in their sex offender registration and notification programs. These supplemental guidelines augment or modify certain features of the SORNA Guidelines in order to make a change required by the KIDS Act and to address other issues arising in jurisdictions' implementation of the SORNA requirements. The matters addressed include certain aspects of public Web site posting of sex offender information, interjurisdictional tracking and information sharing regarding sex offenders, the review process concerning jurisdictions' SORNA implementation, the classes of sex offenders to be registered by jurisdictions retroactively, and the treatment of Indian tribes newly recognized by the Federal government subsequent to the enactment of SORNA.

DATES: *Effective Date:* January 11, 2011.

FOR FURTHER INFORMATION CONTACT: Linda M. Baldwin, Director, Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking; Office of Justice Programs, United States Department of Justice, Washington, DC, 202 305–2463.

SUPPLEMENTARY INFORMATION:

Overview

The Sex Offender Registration and Notification Act, which is title I of the Adam Walsh Child Protection and Safety Act of 2006, Public Law 109–248, was enacted on July 27, 2006. SORNA (42 U.S.C. 16901 *et seq.*) establishes minimum national standards for sex offender registration and notification in the jurisdictions to which it applies. "Jurisdictions" in the relevant sense are the 50 states, the District of Columbia, the five principal U.S. territories, and Indian tribes that satisfy certain criteria. 42 U.S.C. 16911(10). SORNA directs the Attorney General to issue guidelines and regulations to interpret and implement SORNA. *See id.* 16912(b).

To this end, the Attorney General issued the *National Guidelines for Sex Offender Registration and Notification,* 73 FR 38030, on July 2, 2008. The SORNA standards are administered by the Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking ("SMART Office"), which assists all jurisdictions in their SORNA implementation efforts and determines whether jurisdictions have successfully completed these efforts. *See* 42 U.S.C. 16945; 73 FR at 38044, 38047–48.

Since the publication of the SORNA Guidelines, issues have arisen in SORNA implementation that require that some aspects of the Guidelines be augmented or modified. Consequently, the Department of Justice proposed and solicited public comment on supplemental guidelines addressing these issues, which were published in the **Federal Register** on May 14, 2010, at 75 FR 27362. The public comment period closed on July 13, 2010.

Following consideration of the public comments received, the Department of Justice is now finalizing the supplemental guidelines, which do the following:

(1) Allow jurisdictions, in their discretion, to exempt information concerning sex offenders required to register on the basis of juvenile delinquency adjudications from public Web site posting.

(2) Require jurisdictions to exempt sex offenders' e-mail addresses and other Internet identifiers from public Web site posting, pursuant to the KIDS Act, 42 U.S.C. 16915a.

(3) Require jurisdictions to have sex offenders report international travel 21 days in advance of such travel and to submit information concerning such travel to the appropriate Federal agencies and databases.

(4) Clarify the means to be utilized to ensure consistent interjurisdictional information sharing and tracking of sex offenders.

(5) Expand required registration information to include the forms signed by sex offenders acknowledging that they were advised of their registration obligations.

(6) Provide additional information concerning the review process for determining that jurisdictions have substantially implemented the SORNA requirements in their programs and continue to comply with these requirements.

(7) Afford jurisdictions greater latitude regarding the registration of sex offenders who have fully exited the justice system but later reenter through a new (non-sex-offense) criminal conviction by providing that jurisdictions may limit such registration to cases in which the new conviction is for a felony.

(8) Provide, for Indian tribes that are newly recognized by the Federal government following the enactment of SORNA, authorization and time frames for such tribes to elect whether to become SORNA registration jurisdictions and to implement SORNA.

Summary of Comments on the Proposed Supplemental Guidelines

About 280 separate comments were received from a wide variety of agencies, organizations, and individuals. Many of the comments were favorable to the supplemental guidelines, either generally or with respect to particular measures therein. The grounds of support included the value of the changes in the supplemental guidelines in facilitating jurisdictions' implementation of SORNA or enhancing the efficacy of sex offender registration and notification.

Some commenters criticized the supplemental guidelines as potentially resulting in greater disparity among jurisdictions in sex offender registration or notification standards by increasing jurisdictions' discretion in certain areas. SORNA, however, does not aim at complete uniformity among jurisdictions, but rather establishes a national baseline of sex offender registration and notification standards and generally leaves jurisdictions free to adopt different approaches and provisions beyond the required minimum. *See* 73 FR at 38032–35. The provisions in the supplemental guidelines that broaden jurisdictions' discretion affect limited areas, specifically, whether jurisdictions will publicly disclose information concerning sex offenders required to register on the basis of juvenile delinquency adjudications, and whether jurisdictions will require registration by sex offenders who have left the justice system but later reenter the system through subsequent non-felony, non-sex-offense convictions. By relaxing a couple of requirements that have been impediments to SORNA implementation in some jurisdictions, these changes further the nationwide implementation of the remainder of the SORNA requirements and hence are likely to promote greater overall uniformity among jurisdictions in sex offender registration and notification standards. Considering the foregoing, the public comments that criticized certain features of the supplemental guidelines as resulting in an undesirable loss of uniformity do not persuasively establish that there will be such an effect that outweighs the benefits of these changes.

Some commenters criticized changes made in these supplemental guidelines as an inappropriate or impermissible exercise of legislative power by the Attorney General, and urged that such changes could properly be made only by Congress. However, SORNA expressly affords the Attorney General authority to expand the range of required registration information and to create exceptions to the required disclosure of registration information. *See* 42 U.S.C. 16914(a)(7), (b)(8), 16918(b)(4), (c)(4), 16921(b). SORNA further charges the Attorney General with responsibility for issuing guidelines and regulations to interpret and implement SORNA and for determining whether jurisdictions have substantially implemented SORNA in their programs. *See* 42 U.S.C. 16912(b), 16925. These authorities adequately support the measures adopted in these supplemental guidelines.

Some of the comments received concerned matters outside the scope of these supplemental guidelines. Those comments, and the Department's responses thereto, include the following: (i) Some comments generally criticized SORNA, state sex offender registration and notification laws, or state laws imposing measures that SORNA does not require, such as residency restrictions on sex offenders, and explicitly or implicitly urged that such laws should be repealed or amended. The Attorney General has no authority to repeal or amend Federal or

State laws by issuing guidelines. (ii) Some comments criticized measures in the preexisting SORNA Guidelines that the proposed supplemental guidelines did not attempt to address. The final supplemental guidelines have not been changed on the basis of such comments because they did not concern matters within the scope of these supplemental guidelines. Moreover, these comments did not provide persuasive reasons for changing other requirements under SORNA or its implementing guidelines. (iii) Some comments raised questions regarding SORNA implementation by jurisdictions that did not specifically concern the measures adopted in these supplemental guidelines. Questions of this type should be addressed directly to the SMART Office. The SMART Office is available at all times to answer jurisdictions' questions regarding SORNA implementation and to assist them in such implementation.

Some commenters, on varying grounds, were critical of particular changes made by these supplemental guidelines or urged that the changes do not go far enough in qualifying or supplementing SORNA's requirements. The main substantive comments and criticisms are most conveniently discussed on a topic-by-topic basis:

Juvenile Delinquents

Many favorable comments were received concerning Part I.A of these supplemental guidelines, which provides that it is within jurisdictions' discretion whether they will publicly disclose information concerning juvenile delinquent sex offenders. Some commenters, however, urged that the Attorney General should go further in limiting public disclosure of such information, or that the Attorney General should also restrict or eliminate SORNA's registration requirements for juvenile delinquent sex offenders. The grounds urged for further changes included that, absent such changes, juvenile delinquent sex offenders would be improperly equated to adult sex offenders, stigmatized, unjustifiably subjected to lifetime registration, and not effectively rehabilitated in conformity with the objectives of juvenile justice systems.

In assessing these comments, it must be understood that, following the issuance of these supplemental guidelines, there is no remaining requirement under SORNA that jurisdictions publicly disclose information about sex offenders whose predicate sex offense "convictions" are juvenile delinquency adjudications. There are two provisions in SORNA that require public disclosure of certain information concerning sex offenders. One of these provisions is 42 U.S.C. 16918, which generally requires that jurisdictions make sex offender information available on publicly accessible Internet sites. The other is 42 U.S.C. 16921(b), which requires targeted disclosures of sex offender information, some aspects of which could be characterized as involving public disclosure. Specifically, the required disclosures under the latter provision include disclosure to certain school, public housing, social service, and volunteer entities, and to other organizations, companies, or individuals who request notification. As a practical matter, the public disclosures required under § 16921(b) may effectively merge with the Internet disclosure required under § 16918(b), because the SORNA Guidelines explain that jurisdictions may satisfy the public disclosure aspects of § 16921(b) by including functions on their public sex offender Web sites that enable members of the public to request automatic notification when sex offenders commence residence, employment, or school attendance in specified areas. *See* 73 FR at 38061.

Under both public disclosure provisions in SORNA, the Attorney General has express statutory authority to limit the required disclosure of information. See 42 U.S.C. 16918(c)(4) ("[a] jurisdiction may exempt from disclosure * * * any other information exempted from disclosure by the Attorney General"); id. § 16921(b) (registry information to be provided to specified entities "other than information exempted from disclosure by the Attorney General"). Moreover, under both of these provisions, the Attorney General has exercised his authority in these supplemental guidelines to provide that jurisdictions need not publicly disclose information concerning persons required to register on the basis of juvenile delinquency adjudications.

Given this change, the effect of the remaining registration requirements under SORNA for certain juvenile delinquent sex offenders is, in essence, to enable registration authorities to track such offenders following their release and to make information about them available to law enforcement agencies. *See* 73 FR at 38060; Part I.A of these supplemental guidelines. There is no remaining requirement under SORNA that jurisdictions engage in any form of public disclosure or notification regarding juvenile delinquent sex offenders. Jurisdictions are free to do so, but need not do so to any greater extent than they may wish.

The comments that proposed some further restriction or elimination of SORNA's registration requirements in relation to juveniles often appeared to reflect misunderstanding of the foregoing points or other misunderstandings regarding SORNA's provisions relating to juveniles. One possible misunderstanding concerns the Attorney General's legal authorities under SORNA. As noted above, the Attorney General has express statutory authority to create exceptions to the required public disclosure of registration information under SORNA. In contrast, SORNA affords the Attorney General no open-ended authority to restrict or eliminate registration (as opposed to information disclosure) requirements under SORNA. Hence, these comments misconceived the legal situation to the extent they assumed the Attorney General could simply eliminate registration requirements under SORNA in relation to juveniles or other classes of offenders, parallel to his authority to create exceptions to SORNA's information disclosure requirements.

Regarding other apparent misunderstandings that appeared in the comments, the following points may help to provide a clear picture of SORNA's registration requirements and their effects on juveniles:

First, SORNA's treatment of juvenile sex offenders is very different from its treatment of adult sex offenders. Registration is required on the basis of a juvenile delinquency adjudication only if the juvenile is at least 14 years old at the time of the offense and the adjudication is for an offense comparable to or more severe than aggravated sexual abuse as defined in Federal law or an attempt or conspiracy to commit such a crime. See 42 U.S.C. 16911(8). The SORNA Guidelines explain that it suffices for substantial implementation of SORNA if jurisdictions register individuals in this class who have been adjudicated delinquent for the most serious types of sexually assaultive crimes, which generally limits the required coverage to juveniles adjudicated delinquent for committing nonconsensual sex offenses involving penetration or related attempts or conspiracies. *See* 73 FR at 38030, 38040–41, 38050. There is no requirement that jurisdictions register juveniles adjudicated delinquent for lesser sexual assaults or for nonviolent sexual conduct whose criminality depends on the age of the victim. *See id.* Moreover, SORNA does not require lifetime registration without qualification even for juveniles adjudicated delinquent for the most

serious sexually assaultive crimes, but allows registration to be terminated after 25 years for those maintaining a clean record. *See* 42 U.S.C. 16915(b)(2)(B), (3)(B); 73 FR at 38068–69.

Second, SORNA does not bar taking account of differences between juveniles and adults in the manner in which registration is carried out. For example, SORNA requires in-person appearances to report certain important changes in registration information and for periodic verification, *see* 42 U.S.C. 16913(c), 16916, but this does not mean that juveniles must be required to appear at locations that will result in their being exposed to adult sex offenders or in public exposure of their status as sex offenders. Rather, jurisdictions have discretion as to how meetings between sex offenders and persons responsible for their registration will be carried out and may adopt different approaches for different classes of registrants. *See* 73 FR at 38065, 38067.

Third, following the adoption of these supplemental guidelines, there is no requirement that jurisdictions engage in any form of public disclosure or notification for juvenile delinquents subject to SORNA's requirements. Rather, as discussed above, the effect of the remaining registration requirements under SORNA is essentially to enable registration authorities to track such delinquents following their release and to make information about them available to law enforcement.

Internet Identifiers

Part I.B of these supplemental guidelines creates a mandatory exemption of sex offenders' e-mail addresses and other Internet identifiers from public Web site posting, a measure required by 42 U.S.C. 16915a(c). Some commenters urged that there should be further restriction of the disclosure of such information. Specifically, some argued that jurisdictions should also be restrained from disclosing sex offenders' Internet identifiers by means other than public Web site posting, and that entities other than registration jurisdictions should be prohibited or prevented from disclosing such information.

As noted, the measure concerning Internet identifiers included in these supplemental guidelines is required by 42 U.S.C. 16915a(c), which directs the Attorney General to utilize the authority provided in 42 U.S.C. 16918(b)(4) to exempt Internet identifier information from disclosure. Section 16918 is the statute that directs registration jurisdictions to establish Internet sites that disclose information on registered sex offenders to the public, and subsection (b)(4) in that section authorizes the Attorney General to create mandatory exemptions of information from such disclosure. There is no corresponding authorization in SORNA to prohibit jurisdictions from disseminating registration information by means other than public Web site posting, or to prohibit entities other than registration jurisdictions from disclosing information about sex offenders.

Looking beyond the question of legal authority, the comments received did not provide persuasive reasons for adopting new Federal restrictions on the disclosure of information about sex offenders' Internet identifiers, supplementary to the limitation required by 42 U.S.C. 16915a(c) and other existing legal restrictions. As a practical matter, there are legitimate reasons for disclosure of such information by means other than public Web site posting and by entities other than registration jurisdictions, such as disclosure by jurisdictions or private individuals or entities of information about sex offenders' Internet identifiers to law enforcement agencies investigating sex crimes involving solicitation of the victims through the Internet.

Some of the comments received included complaints or criticisms relating to 42 U.S.C. 16915b, which directs the Attorney General to establish a system enabling social networking Web sites to compare the Internet identifiers of their users to information in the National Sex Offender Registry. Section 16915b was separately enacted by the KIDS Act, Public Law 110–400. It is not part of SORNA. Any measures that may be needed in the implementation of § 16915b would not belong in these supplemental guidelines, which are concerned with the implementation of SORNA.

International Travel

Part II.A of these supplemental guidelines exercises "[t]he authority under 42 U.S.C. 16914(a)(7) to expand the range of required registration information * * * to provide that registrants must be required to inform their residence jurisdictions of intended travel outside of the United States at least 21 days in advance of such travel."

Some commenters objected to this requirement on the ground that it would prevent sex offenders from engaging in legitimate international travel, because it may be necessary for sex offenders to travel abroad for business, familial, or other reasons without being able to anticipate the need three weeks in advance. However, these supplemental guidelines recognize that there may be circumstances in which requiring 21 days advance notice would be unnecessary or inappropriate, and expressly allow jurisdictions to adopt policies accommodating such situations subject to approval by the SMART Office.

Some commenters claimed that there is no authority for the Attorney General to adopt notice requirements concerning sex offenders leaving the United States, or concerning domestic travel by sex offenders, because 42 U.S.C. 16928 only directs the Attorney General to establish a system for informing relevant jurisdictions about persons entering the United States who are required to register under SORNA. These commenters apparently did not understand the legal basis for the Attorney General's adoption of additional requirements relating to reporting of travel or intended travel by sex offenders. Such requirements are adequately supported by 42 U.S.C. 16914(a)(7), which provides general authority for the Attorney General to expand the information sex offenders are required to provide for inclusion in sex offender registries. The reporting requirement relating to intended international travel adopted in these supplemental guidelines is expressly premised on § 16914(a)(7), as are pre-existing reporting requirements adopted in the SORNA Guidelines relating to international and domestic travel that go beyond those expressly stated in SORNA itself, see 73 FR at 38056.

Some comments expressed concern or frustration that jurisdictions have been presented with a moving target in their SORNA implementation efforts, a concern apparently felt with particular force in relation to the new reporting requirement regarding international travel. Relatively little time remains until the end of the compliance periods allowed under 42 U.S.C. 16924, which can create a difficult situation for jurisdictions attempting to carry out new requirements.

These comments are well taken. Congress in SORNA has authorized the Attorney General to augment or modify SORNA's express requirements in certain areas, including authority to expand the range of required registration information and authority to create discretionary or mandatory exceptions to disclosure of such information. See 42 U.S.C. 16914(a)(7), (b)(8), 16918(b)(4), (c)(4), 16921(b). These authorities could be exercised by the Attorney General at any time during the periods afforded for SORNA implementation under 42 U.S.C. 16924 or thereafter. Given the inclusion in

SORNA of these express authorities to augment or modify certain SORNA requirements, SORNA is reasonably read so as not to require that jurisdictions be regarded as falling short of substantial implementation based on new requirements without time afforded to correct the deficiency. Accordingly, the SMART Office will take account of the novelty of requirements and the time that has been available to carry them out in determining whether jurisdictions have substantially implemented SORNA, and will afford jurisdictions a reasonable amount of time to implement new requirements, which may extend beyond the implementation deadlines otherwise applicable under SORNA. *Cf. Chicago & Alton R.R. Co.* v. *Tranbarger,* 238 U.S. 67, 73–74 (1915) (statute may be construed to allow a reasonable amount of time to take an action where the normal statutory time limit for taking such actions cannot sensibly be applied).

The comments received included a concern that the new requirement relating to international travel reporting will unduly burden jurisdictions. This concern appears to reflect an exaggerated impression of the nature of the requirement and its impact on jurisdictions. Under pre-existing requirements of SORNA and the SORNA Guidelines, jurisdictions are required to obtain a range of information from sex offenders and to make that information available to other registration jurisdictions and appropriate Federal agencies, including information regarding domestic and international travel by sex offenders. *See* 42 U.S.C. 16913(c), 16919(b), 16921; 73 FR at 38055–56, 38065–67. The requirement under these supplemental guidelines to obtain information concerning international travel by sex offenders more consistently does not differ fundamentally in character from these pre-existing requirements and the mechanisms utilized in carrying out the pre-existing requirements can be extended and adapted to encompass this additional information. To the extent the concern about a resulting burden on jurisdictions reflects the novelty of this requirement and the apprehension that inadequate time will be afforded to implement it, the information in the preceding paragraph about how implementation of new requirements will be treated is responsive to the concern.

While the comments received did not provide persuasive reasons to abrogate or restrict the international travel reporting requirements as set forth in Part II.A of the proposed supplemental guidelines, in one respect the provisions regarding this requirement are modified in the final supplemental guidelines. The proposed supplemental guidelines noted that, as the international tracking system continues to develop, the SMART Office may issue additional directions to jurisdictions to notify certain agencies concerning international travel by sex offenders. Additional direction may also be needed concerning the specific information sex offenders should be required to provide in notifying their residence jurisdictions about intended international travel. This is so because obtaining the bare information that a registrant will be going somewhere outside of the United States at some time three weeks or more in the future may not be sufficient to achieve the objectives of the international tracking system—objectives that include reliably tracking sex offenders as they leave and return to the United States, and notifying as appropriate U.S. or foreign authorities in foreign countries to which sex offenders travel. *See* 73 FR at 38066–67. More specific information may be needed to realize these objectives, such as information concerning expected itinerary, departure and return dates, and means and purpose of travel.

The final supplemental guidelines accordingly state that the SMART Office may issue additional directions concerning the information to be required in international travel notifications by sex offenders. To the extent that the SMART Office's exercise of the authority to flesh out the international tracking system results in new, more specific requirements relating to international travel reporting, the novelty of these requirements will be taken into account, as with other new requirements under SORNA as discussed above. The amount of time that has been available to carry out such requirements will be considered by the SMART Office in assessing substantial implementation and jurisdictions will be afforded a reasonable amount of time to carry them out.

Domestic Interjurisdictional Tracking

Part II.B of the supplemental guidelines, relating to use of the SORNA Exchange Portal in domestic interjurisdictional sex offender tracking, was commented on favorably as improving and facilitating such tracking. There were also some general questions in the comments relating to use of the SORNA Exchange Portal and interjurisdictional notifications. As noted above, the SMART Office is available at all times to answer questions from jurisdictions regarding SORNA implementation and such questions should be addressed directly to the SMART Office.

The second paragraph in Part II.B explains that regular use of the SORNA Exchange Portal is essential to effective interjurisdictional information sharing and sex offender tracking. In relation to these objectives, the wording of the final sentence in this paragraph in the proposed supplemental guidelines was unduly narrow, referring to use of the Portal to access messages from other jurisdictions but not to use of the Portal for other information sharing purposes required under SORNA. The sentence accordingly has been modified in the final supplemental guidelines to reference more generally use of the Portal in information sharing in conformity with guidance issued by the SMART Office.

Acknowledgment Forms

Part II.C of these supplemental guidelines expands the range of required registration information to include the acknowledgment forms used to inform sex offenders of their registration obligations. Favorable comment was received on this change as facilitating the prosecution of sex offenders who violate those obligations.

Other commenters were critical of this change on the ground that acknowledgment forms should be utilized to inform sex offenders of their registration obligations, rather than to prosecute them if they violate those obligations. However, there is no inconsistency in using the acknowledgment forms for both purposes. The forms both advise sex offenders of the registration requirements to which they are subject and can help to show that they were aware of those requirements in prosecutions for violations.

Some commenters complained that the acknowledgment forms do not provide sufficient information, for example, because they only advise sex offenders of their registration obligations under state law and do not advise them of their registration obligations under SORNA. However, the SORNA standards require that sex offenders be informed of their duties under SORNA and that sex offenders be required to sign a form stating that the duty to register has been explained and understood. See 42 U.S.C. 16917(a); 73 FR at 38063. In jurisdictions that have implemented SORNA in their registration programs, the jurisdictions' registration laws and policies will encompass the SORNA requirements and sex offenders will be informed

concerning these requirements. In any event, regardless of what limitations there may be in the information currently provided in particular jurisdictions' acknowledgment forms, that does not weigh against requiring the inclusion of these forms in sex offenders' registration information. The forms do provide sex offenders with information concerning their registration obligations and may be useful in the prosecution of violations of those obligations by helping to establish that sex offenders were aware of the requirement to register.

Ongoing Implementation Assurance

Some comments objected to the requirements of Part III of the supplemental guidelines, relating to "ongoing implementation assurance," on the ground that they would unduly burden jurisdictions and would inappropriately require the state administering agencies for the Byrne Justice Assistance Grant program to certify the state's SORNA implementation status, though these agencies are not generally responsible for sex offender registration matters. These comments reflect misunderstandings of this part of the supplemental guidelines. The supplemental guidelines state that Byrne grantees will need to establish that their systems continue to meet the SORNA standards in connection with the annual grant application process because such continuing compliance is a condition of full Byrne Grant eligibility in each program year. *See* 42 U.S.C. 16925. This does not mean that the state agencies responsible for Byrne Grant matters must verify the status of SORNA implementation. Rather, states (and other jurisdictions that apply for Byrne Grants) may obtain information concerning ongoing implementation from their agencies that generally deal with the SMART Office on SORNA implementation matters and include the information with their Byrne Grant applications.

The requirement appearing in Part III of the supplemental guidelines is not new in principle. SORNA was preceded by the original Federal law setting national standards for sex offender registration and notification, the Jacob Wetterling Crimes Against Children and Sexually Violent Offender Registration Act. The Attorney General's guidelines under the Wetterling Act similarly required an annual determination of continuing compliance with the national standards. *See, e.g.,* 64 FR 572, 587 (1999) ("After the reviewing authority has determined that a state is in compliance with the [Wetterling] Act, the state will be required as part of the Byrne Formula Grant application process in subsequent program years to certify that the state remains in compliance with the Act."). Given the connection to eligibility for full Byrne Grant funding under both Acts, annual determinations of continuing compliance are as necessary under SORNA as they were under the predecessor law, and in neither case should this requirement be unduly burdensome for jurisdictions.

Retroactive Classes

Many commenters approved of the change in Part IV of these supplemental guidelines. Part IV provides that it suffices for substantial implementation of SORNA, with respect to sex offenders reentering the justice system through subsequent (non-sex offense) criminal convictions, if registration of such offenders by jurisdictions is limited to cases in which the subsequent conviction is for a felony. However, some commenters proposed that the requirement to register sex offenders whose convictions predate SORNA or SORNA's implementation in particular jurisdictions should be further restricted or eliminated. The grounds urged for such further limitation included the following:

Some commenters argued that requiring sex offenders who reenter the justice system through subsequent (non-sex offense) criminal convictions to register discriminates against sex offenders because non-sex offenders who reenter the justice system through subsequent (non-sex offense) criminal convictions are not subject to such a requirement. However, differences in the treatment of different classes of offenders are not intrinsically unfair and such differences are not unconstitutionally discriminatory where there is a rational basis for the distinction. See *Chapman* v. *United States,* 500 U.S. 453, 465 (1991). Sex offender registration by its nature involves imposing certain requirements on sex offenders that are not applied to non-sex offenders. This is so regardless of whether registration requirements are imposed on sex offenders whose convictions occur after SORNA's enactment or its implementation or on sex offenders whose convictions occurred at earlier times.

Some commenters claimed that the remaining retroactivity requirements under SORNA would, absent further changes, have anomalous and unwarranted effects on juvenile delinquent sex offenders. For example, some comments asserted that juveniles adjudicated delinquent for sex offenses committed when they were below the age of 14 will have to be registered if they have subsequent adult convictions for (non-sex offense) felonies, and some claimed that public notification will be required concerning persons qualifying as sex offenders on the basis of juvenile delinquency adjudications if they have subsequent adult convictions for (non-sex offense) felonies. These comments reflect misunderstandings of SORNA and its implementing guidelines. SORNA and the guidelines never require registration on the basis of juvenile delinquency adjudications except for adjudications for offenses comparable to aggravated sexual abuse (or related attempt or conspiracy) committed when the juvenile was at least 14 years old. Persons with juvenile adjudications not satisfying these criteria are not "sex offenders" as defined in SORNA and are not subject to SORNA's requirements at all. See 42 U.S.C. 16911(1), (8). Likewise, following the adoption of these supplemental guidelines, public disclosure or notification is never required under SORNA regarding persons whose predicate sex offense convictions are juvenile delinquency adjudications.

Some comments pointed in this connection to the decision in *United States* v. *Juvenile Male,* 590 F.3d 924 (9th Cir. 2010), which held that SORNA cannot constitutionally be applied to a sex offender on the basis of a Federal juvenile delinquency adjudication predating SORNA's enactment. However, *Juvenile Male* is not binding precedent for Federal courts outside of the Ninth Circuit and not binding precedent for state courts anywhere. Considered on its own terms, the decision has no bearing on SORNA's application to sex offenders with adult convictions. The Department of Justice has sought review of the *Juvenile Male* decision by the U.S. Supreme Court and, as a result, further proceedings in the case are pending before the U.S. Supreme Court and the Montana Supreme Court. *See United States* v. *Juvenile Male,* 130 S.Ct. 2518 (2010). Considering the foregoing, there is no basis at this time for making changes in the implementing guidelines or rules for SORNA on the basis of the *Juvenile Male* decision.

Some commenters expressed the concern that the remaining retroactivity requirements under SORNA will unduly burden jurisdictions. However, under the SORNA Guidelines, it suffices for substantial implementation of SORNA if a jurisdiction registers sex offenders who remain in the justice system as prisoners, supervisees, or registrants, or who reenter the justice system through

a subsequent criminal conviction. The Guidelines note that such offenders are within the cognizance of the jurisdiction, and the jurisdiction will often have independent reasons to review their criminal histories for penal, correctional, or registration/notification purposes. See 73 FR at 38046. This point applies with greater force now that the covered class of "reentrants" who must be registered is limited to those with subsequent felony convictions, as provided in these supplemental guidelines.

Various other features of SORNA and the SORNA Guidelines limit any resulting burden on jurisdictions. Jurisdictions are not required to register sex offenders in the retroactive classes whose SORNA registration periods have already run, and jurisdictions may credit such sex offenders with the time that has elapsed from their release (or from sentencing in case of a nonincarcerative sentence) in determining what, if any, remaining registration time is required, even if they have never actually been registered. *See* 73 FR at 38035–36, 38046–47. Jurisdictions may rely on their normal methods and standards for obtaining and reviewing criminal history information, and on the information available in the records obtained by such means, in ascertaining SORNA registration requirements for sex offenders in the retroactive classes. This point applies both in determining whether such sex offenders need to be registered at all and in determining the sex offender's "tier" for SORNA purposes. *See* 73 FR at 38043, 38064. In relation to sex offenders in the retroactive classes, there is no requirement that jurisdictions make special efforts to obtain records or information that would not turn up through the normal type of criminal history searches they conduct.

In light of these considerations, the comments received do not persuasively establish that the public safety benefits of registering in conformity with SORNA sex offenders who remain in the justice system as prisoners, supervisees, or registrants, or who reenter through subsequent felony convictions, are outweighed by a resulting burden on jurisdictions.

Newly Recognized Tribes

A number of favorable comments were received about affording newly recognized Indian tribes the option of becoming SORNA registration jurisdictions, as provided in Part V of these supplemental guidelines.

Tribal commenters urged that additional matters under SORNA affecting the tribes should be addressed, including particularly the possibility of involuntary delegation of tribal registration functions to the states pursuant to 42 U.S.C. 16927(a)(2)(C), which permits such delegation if the Attorney General determines that a tribal jurisdiction has not substantially implemented SORNA and is not likely to become capable of doing so within a reasonable amount of time. The comments urged that such involuntary delegations should occur only as an absolute last resort and through a transparent process. Comments submitted on behalf of state jurisdictions also expressed concern about the resulting burden on states if they were required to assume responsibility for tribal registration functions based on the failure of a tribe or tribes to substantially implement SORNA.

The Department of Justice and the SMART Office fully agree that involuntary delegation of tribal registration functions to the states should occur only as a last resort, if at all. The SORNA Guidelines state: "The Department of Justice hopes and expects * * * that the occurrence of such an involuntary delegation will never be necessary, given the strong interest of the tribes in effective registration and notification for sex offenders subject to their jurisdictions, and the priority that the SMART Office gives to working with all tribes and other jurisdictions to facilitate the implementation of SORNA's requirements in relation to tribal areas." 73 FR at 38039. This matter is not addressed in these supplemental guidelines because the Department did not solicit public comment about it in the proposed supplemental guidelines and further input from the affected jurisdictions would be desirable prior to any articulation of more detailed standards or procedures for such delegations.

Some additional tribal issues were raised in the comments, including the need for cooperative activities between the tribes that are not SORNA registration jurisdictions and the states in order to effect the registration of sex offenders within the jurisdiction of such tribes, and concern that law enforcement agencies in such tribes will not be adequately notified or informed concerning sex offenders in their territories. These issues were previously raised by tribal commenters in the public comments on the SORNA Guidelines and they are addressed at some length in those Guidelines. See 73 FR at 38039, 38049, 38060. The measures relating to these matters outlined in the Guidelines are integral elements of SORNA's implementation in relation to tribal areas and the SMART Office will continue to work with all tribes and state jurisdictions to ensure that they are effectively carried out.

The Department of Justice and the SMART Office seek and welcome the counsel and views of Indian tribal governments and communities at all times and will continue to consult with them on SORNA implementation matters affecting the tribes in conformity with Executive Order 13175.

Supplemental Guidelines for Sex Offender Registration and Notification

Contents

I. Public Notification

A. Juvenile Delinquents

SORNA includes as covered "sex offender[s]" juveniles at least 14 years old who are adjudicated delinquent for particularly serious sex offenses. *See* 42 U.S.C. 16911(1), (8). While the SORNA Guidelines endeavored to facilitate jurisdictions' compliance with this aspect of SORNA, *see* 73 FR at 38030, 38040–41, 38050, resistance by some jurisdictions to public disclosure of information about sex offenders in this class has continued to be one of the largest impediments to SORNA implementation.

Hence, the Attorney General is exercising his authority under 42 U.S.C. 16918(c)(4) to create additional discretionary exemptions from public Web site disclosure to allow jurisdictions to exempt from public Web site disclosure information concerning sex offenders required to register on the basis of juvenile delinquency adjudications. This change creates a new discretionary, not mandatory, exemption from public Web site disclosure. It does not limit the discretion of jurisdictions to include information concerning sex offenders required to register on the basis of juvenile delinquency adjudications on their public Web sites if they so wish.

The change regarding public Web site disclosure does not authorize treating sex offenders required to register on the basis of juvenile delinquency adjudications differently from sex

offenders with adult convictions in other respects. Whether a case involves a juvenile delinquency adjudication in the category covered by SORNA or an adult conviction, SORNA's registration requirements remain applicable, *see* 42 U.S.C. 16913–16, as do the requirements to transmit or make available registration information to the national (non-public) databases of sex offender information, to law enforcement and supervision agencies, and to registration authorities in other jurisdictions, *see* 73 FR at 38060.

Jurisdictions are not required to provide registration information concerning sex offenders required to register on the basis of juvenile delinquency adjudications to the entities described in the SORNA Guidelines at 73 FR 38061, *i.e.,* certain school, public housing, social service, and volunteer entities, and other organizations, companies, or individuals who request notification. This reflects an exercise of the Attorney General's authority to create exceptions to required information disclosure under 42 U.S.C. 16921(b). Accordingly, if a jurisdiction decides not to include information on a juvenile delinquent sex offender on its public Web site, as is allowed by these supplemental guidelines, information on the sex offender does not have to be disclosed to these entities.

B. Internet Identifiers

The KIDS Act, which was enacted in 2008, directed the Attorney General to utilize pre-existing legal authorities under SORNA to adopt certain measures relating to sex offenders' "Internet identifiers," defined to mean e-mail addresses and other designations used for self-identification or routing in Internet communication or posting. The KIDS Act requires the Attorney General to (i) include appropriate Internet identifier information in the registration information sex offenders are required to provide, (ii) specify the time and manner for keeping that information current, (iii) exempt such information from public Web site posting, and (iv) ensure that procedures are in place to notify sex offenders of resulting obligations. *See* 42 U.S.C. 16915a.

The SORNA Guidelines incorporate requirements (i)–(ii) and (iv), as described above. *See* 73 FR at 38055 (Internet identifiers to be included in registration information), 38066 (reporting of changes in Internet identifiers), 38063–65 (notifying sex offenders of SORNA requirements). However, while the Guidelines discouraged the inclusion of sex offenders' Internet identifiers on the public Web sites, they did not adopt a mandatory exclusion of this information from public Web site posting, which the KIDS Act now requires. *See* 42 U.S.C. 16915a(c); 73 FR at 38059–60.

The authority under 42 U.S.C. 16918(b)(4) to create additional mandatory exemptions from public Web site disclosure is accordingly exercised to exempt sex offenders' Internet identifiers from public Web site posting. This means that jurisdictions cannot, consistent with SORNA, include sex offenders' Internet identifiers (such as e-mail addresses) in the sex offenders' public Web site postings or otherwise list or post sex offenders' Internet identifiers on the public sex offender Web sites.

This change does not limit jurisdictions' retention and use of sex offenders' Internet identifier information for purposes other than public disclosure, including submission of the information to the national (non-public) databases of sex offender information, sharing of the information with law enforcement and supervision agencies, and sharing of the information with registration authorities in other jurisdictions. *See* 73 FR at 38060. The change also does not limit the discretion of jurisdictions to include on their public Web sites functions by which members of the public can ascertain whether a specified e-mail address or other Internet identifier is reported as that of a registered sex offender, *see id.* at 38059–60, or to disclose Internet identifier information to any one by means other than public Web site posting.

The exemption of sex offenders' Internet identifiers from public Web site disclosure does not override or limit the requirement that sex offenders' names, including any aliases, be included in their public Web site postings. *See* 73 FR at 38059. A sex offender's use of his name or an alias to identify himself or for other purposes in Internet communications or postings does not exempt the name or alias from public Web site disclosure.

II. Interjurisdictional Tracking and Information Sharing

A. International Travel

Certain features of SORNA and the SORNA Guidelines require the Department of Justice, in conjunction with other Federal agencies, to develop reliable means for identifying and tracking sex offenders who enter or leave the United States. *See* 42 U.S.C. 16928; 73 FR at 38066–67. To that end, the Guidelines provide that sex offenders must be required to inform their residence jurisdictions if they intend to commence residence, employment, or school attendance outside of the United States, and that jurisdictions that are so informed must notify the U.S. Marshals Service and update the sex offender's registration information in the national databases. *See* 73 FR at 38067. (Regarding the general requirement to provide registration information for inclusion in the National Sex Offender Registry and other appropriate databases at the national level, *see* 42 U.S.C. 16921(b)(1); 73 FR at 38060.) In addition, the Guidelines provide that sex offenders must be required to inform their residence jurisdictions about lodging at places away from their residences for seven days or more, regardless of whether that results from domestic or international travel. See 73 FR at 38056, 38066.

Since the issuance of the Guidelines, the SMART Office has continued to work with other agencies of the Department of Justice, the Department of Homeland Security, the Department of State, and the Department of Defense on the development of a system for consistently identifying and tracking sex offenders who engage in international travel. Although, as noted, the current Guidelines require reporting of international travel information in certain circumstances, the existing requirements are not sufficient to provide the information needed for tracking such travel consistently.

The authority under 42 U.S.C. 16914(a)(7) to expand the range of required registration information is accordingly exercised to provide that registrants must be required to inform their residence jurisdictions of intended travel outside of the United States at least 21 days in advance of such travel. Pursuant to 42 U.S.C. 16921(b), jurisdictions so informed must provide the international travel information to the U.S. Marshals Service, and must transmit or make available that information to national databases, law enforcement and supervision agencies, and other jurisdictions as provided in the Guidelines. *See* 73 FR at 38060. Jurisdictions need not disclose international travel information to the entities described in the SORNA Guidelines at 73 FR 38061—*i.e.,* certain school, public housing, social service, and volunteer entities, and other organizations, companies, or individuals who request notification. *See* 42 U.S.C. 16921(b). As the international tracking system continues to develop, the SMART Office may issue additional directions to jurisdictions to provide notification concerning

international travel by sex offenders, such as notice to Interpol, or notice to Department of Defense agencies concerning sex offenders who may live on U.S. military bases abroad. Likewise, the SMART Office may issue additional directions to jurisdictions concerning the information to be required in sex offenders' reports of intended international travel, such as information concerning expected itinerary, departure and return dates, and means and purpose of travel.

While notice of international travel will generally be required as described above, it is recognized that requiring 21 days advance notice may occasionally be unnecessary or inappropriate. For example, a sex offender may need to travel abroad unexpectedly because of a family or work emergency. Or separate advance notice of intended international trips may be unworkable and pointlessly burdensome for a sex offender who lives in a northern border state and commutes to Canada for work on a daily basis. Jurisdictions that wish to accommodate such situations should include information about their policies or practices in this area in their submissions to the SMART Office and the SMART Office will determine whether they adequately serve SORNA's international tracking objectives.

B. Domestic Interjurisdictional Tracking

SORNA and the SORNA Guidelines require interjurisdictional sharing of registration information in various contexts and SORNA directs the Attorney General, in consultation with the jurisdictions, to develop and support software facilitating the immediate exchange of information among jurisdictions. *See* 42 U.S.C. 16913(c), 16919(b), 16921(b)(3), 16923; 73 FR at 38047, 38062–68. The SMART Office accordingly has created and maintains the SORNA Exchange Portal, which enables the immediate exchange of information about registered sex offenders among the jurisdictions.

Regular use of this tool is essential to ensuring that information is reliably shared among jurisdictions and that interjurisdictional tracking of sex offenders occurs consistently and effectively as SORNA contemplates. For example, if a jurisdiction sends notice that a sex offender has reported an intention to change his residence to another jurisdiction, but the destination jurisdiction fails to access the notice promptly, the sex offender's failure to appear or register in the destination jurisdiction may go unnoticed or detection of the violation may be delayed. Accordingly, as a necessary part of SORNA implementation, jurisdictions must use the SORNA Exchange Portal in their information sharing regarding sex offenders in conformity with any guidance issued by the SMART Office on use of the Portal.

Technological improvements may facilitate the creation of new tools that may eventually replace the existing SORNA Exchange Portal. If that occurs, the SMART Office may issue directions to jurisdictions concerning the use of these new tools that jurisdictions will need to follow to be approved as substantially implementing SORNA.

C. Acknowledgment Forms

SORNA provides that sex offenders are to be informed of their registration obligations and required to sign acknowledgments that this information has been provided upon their initial registration. *See* 42 U.S.C. 16917. Even before the enactment of SORNA, similar requirements were included in the predecessor national standards for sex offender registration and notification of the Jacob Wetterling Crimes Against Children and Sexually Violent Offender Registration Act (42 U.S.C. 14071(b)(1)(A), prior to its repeal by SORNA).

SORNA requires jurisdictions to provide criminal penalties for sex offenders who fail to comply with SORNA's requirements, *see* 42 U.S.C. 16913(e), and Federal criminal liability is authorized for sex offenders who knowingly fail to register or update a registration as required by SORNA under circumstances supporting Federal jurisdiction, see 18 U.S.C. 2250. Successful prosecution of sex offenders for registration violations under these provisions may require proof that they were aware of a requirement to register.

The acknowledgment forms signed by sex offenders regarding their registration obligations are likely to be the most consistently available and definitive proof of such knowledge. Including these forms in registration information will make them readily available in the jurisdictions in which sex offenders are initially registered, and will make them available to other jurisdictions pursuant to the provisions of SORNA and the Guidelines for transmission of registration information to other jurisdictions. See 42 U.S.C. 16921(b)(3); 73 FR at 38060.

The authority under 42 U.S.C. 16914(b)(8) to expand the range of required registration information is accordingly exercised to require that sex offenders' signed acknowledgment forms be included in their registration information. The existing Guidelines already provide that acknowledgment forms covering the SORNA requirements are to be obtained from registrants as part of the SORNA implementation process and thereafter. *See* 73 FR at 38063–65. As with other forms of documentary registration information, the inclusion of these forms in registration information can be effected by scanning the forms and including the resulting electronic documents in the registry databases or by including links or information that provides access to other databases in which the signed acknowledgments are available in electronic form. *See* 73 FR at 38055.

III. Ongoing Implementation Assurance

The SORNA Guidelines explain that the SMART Office will determine whether jurisdictions have substantially implemented the SORNA requirements in their programs and that jurisdictions are to provide submissions to the SMART Office to facilitate this determination. *See* 42 U.S.C. 16924–25; 73 FR at 38047–48.

SORNA itself and the Guidelines assume throughout that jurisdictions must implement SORNA in practice, not just on paper, and the Guidelines provide many directions and suggestions for putting the SORNA standards into effect. *See, e.g.,* 42 U.S.C. 16911(9), 16912(a), 16913(c), 16914(b), 16917, 16918, 16921(b), 16922; 73 FR at 38059–61, 38063–70. The Department of Justice and the SMART Office are making available to jurisdictions a wide range of practical aids to SORNA implementation, including software and communication systems to facilitate the exchange of sex offender information among jurisdictions and other technology and documentary tools. *See* 42 U.S.C. 16923; 73 FR at 38031–32, 38047.

Hence, implementation of SORNA is not just a matter of adopting laws or rules that facially direct the performance of the measures required by SORNA. It entails actually carrying out those measures and, as noted, various forms of guidance and assistance have been provided to that end. Accordingly, in reviewing jurisdictions' requests for approval as having substantially implemented SORNA, the SMART Office will not be limited to facial examination of registration laws and policies, but rather will undertake such inquiry as is needed to ensure that jurisdictions are substantially implementing SORNA's requirements in practice. Jurisdictions can facilitate approval of their systems by including in their submissions to the SMART Office information concerning practical implementation measures and mechanisms, in addition to relevant

laws and rules, such as policy and procedure manuals, description of infrastructure and technology resources, and information about personnel and budgetary measures relating to the operation of the jurisdiction's registration and notification system. The SMART Office may require jurisdictions to provide additional information, beyond that proffered in their submissions, as needed for a determination.

Jurisdictions that have substantially implemented SORNA have a continuing obligation to maintain their system's consistency with current SORNA standards. Those that are grantees under the Byrne Justice Assistance Grant program will be required in connection with the annual grant application process to establish that their systems continue to meet SORNA standards. This will entail providing information as directed by the SMART Office, in addition to the information otherwise included in Byrne Grant applications, so that the SMART Office can verify continuing implementation. Jurisdictions that do not apply for Byrne Grants will also be required to demonstrate periodically that their systems continue to meet SORNA standards as directed by the SMART Office, and to provide such information as the SMART Office may require to make this determination.

If a jurisdiction's Byrne Justice Assistance Grant funding is reduced because of non-implementation of SORNA, it may regain eligibility for full funding in later program years by substantially implementing SORNA in such later years. The SMART Office will continue to work with all jurisdictions to ensure substantial implementation of SORNA and verify that they continue to meet the requirements of SORNA on an ongoing basis.

IV. Retroactive Classes

SORNA's requirements apply to all sex offenders, regardless of when they were convicted. *See* 28 CFR 72.3. However, the SORNA Guidelines state that it will be deemed sufficient for substantial implementation if jurisdictions register sex offenders with pre-SORNA or pre-SORNA-implementation sex offense convictions who remain in the system as prisoners, supervisees, or registrants, or who reenter the system through a subsequent criminal conviction. *See* 73 FR at 38035–36, 38043, 38046–47, 38063–64. This feature of the Guidelines reflects an assumption that it may not be possible for jurisdictions to identify and register all sex offenders who fall within the SORNA registration categories, particularly where they have left the justice system and merged into the general population long ago, but that it will be feasible for jurisdictions to do so in relation to sex offenders who remain in the justice system or reenter it through a subsequent criminal conviction. *See* 73 FR at 38046.

Experience supports a qualification of this assumption in relation to sex offenders who have fully exited the justice system but later reenter it through a subsequent criminal conviction for a non-sex offense that is relatively minor in character. (Where the subsequent conviction is for a sex offense it independently requires registration under SORNA.) In many jurisdictions the volume of misdemeanor prosecutions is large and most such cases may need to be disposed of in a manner that leaves little time or opportunity for examining the defendant's criminal history and ascertaining whether it contains some past sex offense conviction that would entail a present registration requirement under SORNA. In contrast, where the subsequent offense is a serious crime, ordinary practice is likely to involve closer scrutiny of the defendant's past criminal conduct, and ascertaining whether it includes a prior conviction requiring registration under SORNA should not entail an onerous new burden on jurisdictions.

These supplemental guidelines accordingly are modifying the requirements for substantial implementation of SORNA in relation to sex offenders who have fully exited the justice system, i.e., those who are no longer prisoners, supervisees, or registrants. It will be sufficient if a jurisdiction registers such offenders who reenter the system through a subsequent criminal conviction in cases in which the subsequent criminal conviction is for a felony, i.e., for an offense for which the statutory maximum penalty exceeds a year of imprisonment. This allowance is limited to cases in which the subsequent conviction is for a non-sex offense. As noted above, a later conviction for a sex offense independently requires registration under SORNA, regardless of whether it is a felony or a misdemeanor.

This allowance only establishes the minimum required for substantial implementation of SORNA in this context. Jurisdictions remain free to look more broadly and to establish systems to identify and register sex offenders who reenter the justice system through misdemeanor convictions, or even those who do not reenter the system through later criminal convictions but fall within the registration categories of SORNA or the jurisdiction's registration law.

V. Newly Recognized Tribes

SORNA affords eligible federally-recognized Indian tribes a one-year period, running from the date of SORNA's enactment on July 27, 2006, to elect whether to become SORNA registration jurisdictions or to delegate their registration functions to the states within which they are located. *See* 42 U.S.C. 16927(a)(1), (2)(B); 73 FR at 38049–50. In principle there is no reason why an Indian tribe that initially receives recognition by the Federal government following the enactment of SORNA should be treated differently for SORNA purposes from other federally recognized tribes. But if such a tribe is initially recognized more than a year after the enactment of SORNA, then the limitation period of § 16927 will have passed before the tribe became the kind of entity (a federally recognized tribe) that may be eligible to become a SORNA registration jurisdiction.

Where the normal starting point of a statutory time limit for taking an action cannot sensibly be applied to a certain entity, statutes have been construed in some circumstances to allow the entity a reasonable amount of time to take the action. *See Chicago & Alton R.R. Co.* v. *Tranbarger,* 238 U.S. 67, 73–74 (1915).

This principle will be applied to 42 U.S.C. 16927 to allow Indian tribes that receive Federal recognition following the enactment of SORNA a reasonable amount of time to elect whether to become SORNA registration jurisdictions as provided in that section, and to allow such tribes a reasonable amount of time for substantial implementation of SORNA if they elect to be SORNA registration jurisdictions. In assessing what constitutes a reasonable amount of time for these purposes, the Department of Justice will look to the amount of time SORNA generally affords for tribal elections and for jurisdictions' implementation of the SORNA requirements. Hence, a tribe receiving Federal recognition after SORNA's enactment that otherwise qualifies to make the election under § 16927(a) will be afforded a period of one year to make the election, running from the date of the tribe's recognition or the date of publication of these supplemental guidelines, whichever is later. Likewise, such a tribe will be afforded a period of three years for SORNA implementation, running from the same starting point, subject to up to two possible one-year extensions. *See* 42 U.S.C. 16924.

Dated: January 7, 2011.

Eric H. Holder, Jr.,

Attorney General.

[FR Doc. 2011–505 Filed 1–10–11; 8:45 am]

BILLING CODE 4410–18–P

DEPARTMENT OF JUSTICE

Bureau of Alcohol, Tobacco, Firearms, and Explosives

[OMB Number 1140–0098]

Agency Information Collection Activities: Proposed Collection; Comments Requested

ACTION: 30-Day Notice of Information Collection Under Review: Prevent All Cigarette Trafficking (PACT) Act Registration Form.

The Department of Justice (DOJ), Bureau of Alcohol, Tobacco, Firearms, and Explosives (ATF) will be submitting the following information collection request to the Office of Management and Budget (OMB) for review and approval in accordance with the Paperwork Reduction Act of 1995. The proposed information collection is published to obtain comments from the public and affected agencies. This proposed information collection was previously published in the **Federal Register** Volume 75, Number 210, page 67119 on November 1, 2010, allowing for a 60-day comment period.

The purpose of this notice is to allow for an additional 30 days for public comment until February 10, 2011. This process is conducted in accordance with 5 CFR 1320.10.

Written comments and/or suggestions regarding the items contained in this notice, especially the estimated public burden and associated response time, should be directed to the Office of Management and Budget. To ensure that comments on the information collection are received, OMB recommends that written comments be faxed to the Office of Information and Regulatory Affairs, OMB, *Attn:* DOJ Desk Officer, *Fax:* 202–395–7285, or e-mailed to *oira_submission@omb.eop.gov.* All comments should be identified with the OMB control number [1140–XXXX]. Also include the DOJ docket number found in brackets in the heading of this document.

Comments and suggestions from the public and affected agencies concerning the proposed collection of information are encouraged. Your comments should address one or more of the following four points:

—Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;

—Evaluate the accuracy of the agencies estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;

—Enhance the quality, utility, and clarity of the information to be collected; and

—Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, *e.g.,* permitting electronic submission of responses.

Overview of This Information Collection

(1) *Type of Information Collection:* Extension of a currently approved collection.

(2) *Title of the Form/Collection:* Prevent All Cigarette Trafficking (PACT) Act Registration Form.

(3) *Agency form number, if any, and the applicable component of the Department of Justice sponsoring the collection:* Form Number: ATF F 5070.1. Bureau of Alcohol, Tobacco, Firearms and Explosives.

(4) *Affected public who will be asked or required to respond, as well as a brief abstract: Primary:* Business or For-Profit. *Other:* None. *Abstract:* The purpose of this information collection is to register delivery sellers of cigarettes and/or smokeless tobacco products with the Attorney General in order to continue to sell and/or advertise these tobacco products. Respondents will register the information on ATF F 5070.1.

(5) *An estimate of the total number of respondents and the amount of time estimated for an average respondent to respond:* There will be an estimated 3,000 respondents, who will take 1 hour to complete the form.

(6) *An estimate of the total burden (in hours) associated with the collection:* There are an estimated 3,000 total burden hours associated with this collection.

If additional information is required contact: Lynn Murray, Department Clearance Officer, United States Department of Justice, Policy and Planning Staff, Justice Management Division, Two Constitution Square, Room 2E–502, 145 N Street, NE., Washington, DC 20530.

Dated: January 6, 2011.

Lynn Murray,

Department Clearance Officer, PRA, United States Department of Justice.

[FR Doc. 2011–388 Filed 1–10–11; 8:45 am]

BILLING CODE 4810–FY–P

DEPARTMENT OF JUSTICE

Office of Justice Programs

[OJP (BJA) Docket No. 1542]

Establishment of the Office of Justice Programs' Science Advisory Board

AGENCY: Office of Justice Programs (OJP), Justice.

ACTION: Notice of establishment of federal advisory committee.

SUMMARY: The OJP Science Advisory Board is being established in accordance with the provisions of the Federal Advisory Committee Act (FACA), as amended, 5 U.S.C., App. 2. The OJP Science Advisory Board will provide OJP, a component of the Department of Justice, with valuable advice in the areas of social science and statistics for the purpose of enhancing the overall impact and performance of its programs and activities in criminal and juvenile justice. The Board will provide input into developing long-range plans, advise on program development, and provide guidance to ensure adherence to the highest levels of scientific rigor, as appropriate. The Board will provide an important base of contact with the criminal justice academic and practitioner communities, and is necessary and in the public interest. The Board's Charter is subject to renewal and will expire two years from its filing. The OJP Science Advisory Board is continuing in nature, to remain functional until the Attorney General determines that all necessary duties have been performed.

FOR FURTHER INFORMATION CONTACT: Marlene Beckman, Designated Federal Officer (DFO), Office of the Assistant Attorney General, Office of Justice Programs, 810 7th Street Northwest, Washington, DC 20531; Phone: (202) 616–3562 [**Note:** this is not a toll-free number]; E-mail: *marlene.beckman@usdoj.gov.*

Dated: January 5, 2011.

Marlene Beckman,

Counsel and SAB DFO, Office of the Assistant Attorney General, Office of Justice Programs.

[FR Doc. 2011–290 Filed 1–10–11; 8:45 am]

BILLING CODE 4410–18–P

DEPARTMENT OF JUSTICE

Office of Justice Programs

[OJP (OJP) Docket No. 1543]

Meeting of the Office of Justice Programs' Science Advisory Board

AGENCY: Office of Justice Programs (OJP), Justice.

ACTION: Notice of meeting.

SUMMARY: This is an announcement of the first meeting of OJP's Science Advisory Board ("Board"). The Board is chartered to provide OJP, a component of the Department of Justice, with valuable advice in the areas of social science and statistics for the purpose of enhancing the overall impact and performance of its programs and activities in criminal and juvenile justice. The Board will provide input into developing long-range plans, advise on program development, and provide guidance to ensure adherence to the highest levels of scientific rigor, as appropriate. The Board will provide an important base of contact with the criminal justice academic and practitioner communities.

DATES: The meeting will take place on Friday, January 28, 2011, from 10 a.m. to 4 p.m. ET.

ADDRESSES: The meeting will take place at OJP's offices at 810 7th Street, NW., Washington, DC.

FOR FURTHER INFORMATION CONTACT: Marlene Beckman, Designated Federal Officer (DFO), Office of the Assistant Attorney General, Office of Justice Programs, 810 7th Street Northwest, Washington, DC 20531; Phone: (202) 616–3562 [**Note:** this is not a toll-free number]; E-mail: *marlene.beckman@usdoj.gov.*

SUPPLEMENTARY INFORMATION: This inaugural meeting is being convened to brief the Board members about OJP's mission and goals, and discuss how their advice in the areas of social science and statistics can enhance the overall impact and performance of OJP's activities and programs in criminal and juvenile justice. The final agenda is subject to adjustment, but it is anticipated that there will be a morning and afternoon session, with a break for lunch. The morning session will likely include welcoming remarks and introductions, a review of the Board's Charter and By-Laws, a review of ethics rules applicable to the Board's activities, and briefings from OJP bureaus and program offices. The afternoon session will likely include a briefing on OJP's Evidence Integration Initiative and a discussion of the Board's role and priorities.

This meeting is open to the public. Members of the public who wish to attend this meeting must register with Marlene Beckman at the above address at least seven (7) days in advance of the meeting. Registrations will be accepted on a space available basis. Access to the meeting will not be allowed without registration. Please bring photo identification and allow extra time prior to the meeting. Persons interested in communicating with the Board should submit their written comments to the DFO, as the time available will not allow the public to directly address the Board at the meeting. Anyone requiring special accommodations should notify Ms. Beckman at least seven (7) days in advance of the meeting.

Dated: January 5, 2011.

Marlene Beckman,

Counsel and SAB DFO, Office of the Assistant Attorney General, Office of Justice Programs.

[FR Doc. 2011–287 Filed 1–10–11; 8:45 am]

BILLING CODE 4410–18–P

DEPARTMENT OF JUSTICE

Office of Justice Programs

Office for Victims of Crime

[OMB Number 1121–0114]

Agency Information Collection Activities: Proposed Collection; Comments Requested

ACTION: 30-Day Notice of Information Collection Under Review; Extension of a Currently Approved Collection; Victims of Crime Act, Victim Compensation Grant Program, State Performance Report.

The Department of Justice (DOJ), Office of Justice Programs (OJP), Office for Victims of Crime (OVC), will be submitting the following information collection request to the Office of Management and Budget (OMB) for review and approval in accordance with the Paperwork Reduction Act of 1995. The proposed information collection is published to obtain comments from the public and affected agencies. This proposed information collection was previously published in the **Federal Register** Volume 75, Number 210 page 67116 on November 1, 2010, allowing for a 60 day comment period.

The purpose of this notice is to allow for an additional 30 days for public comment until February 10, 2011. This process is conducted in accordance with 5 CFR 1320.10. Written comments and/or suggestions regarding the items contained in this notice, especially the estimated public burden and associated response time, should be directed to The Office of Management and Budget, Office of Information and Regulatory Affairs, Attention Department of Justice Desk Officer, Washington, DC 20503. Additionally, comments may be submitted to OMB via facsimile to (202) 395–5806. Written comments and suggestions from the public and affected agencies concerning the proposed collection of information are encouraged. Your comments should address one or more of the following four points:

—Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;

—Evaluate the accuracy of the agencies estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;

—Enhance the quality, utility, and clarity of the information to be collected; and

—Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, *e.g.,* permitting electronic submission of responses.

Overview of This Information Collection

(1) *Type of Information Collection:* Extension of a currently approved collection.

(2) *Title of the Form/Collection:* Victims of Crime Act, Victim Compensation Grant Program, State Performance Report.

(3) *Agency form number, if any, and the applicable component of the Department sponsoring the collection:* Form Number: 1121–0114. Office for Victims of Crime, Office of Justice Programs, Department of Justice.

(4) *Affected public who will be asked or required to respond, as well as a brief abstract: Primary:* State Government. The form is used by State Government to submit Annual Performance Report data about claims for victim compensation.

(5) *An estimate of the total number of respondents and the amount of time estimated for an average respondent to respond:* It is estimated that 53 respondents will complete the form within 2 hours.

(6) *An estimate of the total public burden (in hours) associated with the collection:* There are an estimated 106 total annual burden hours associated with this collection.

If additional information is required contact: Lynn Murray, Department Clearance Officer, United States Department of Justice, Justice Management Division, Policy and Planning Staff, Two Constitution Square, 145 N Street, NE., Suite 2E–502, Washington, DC 20530.

Dated: January 6, 2011.

Lynn Murray,

Department Clearance Officer, PRA, U.S. Department of Justice.

[FR Doc. 2011–389 Filed 1–10–11; 8:45 am]

BILLING CODE 4410–18–P

DEPARTMENT OF LABOR

Mine Safety and Health Administration

State's Mine Health and Safety Grants

AGENCY: Mine Safety and Health Administration, Labor.

ACTION: Notice of posting of the Solicitation for Grant Applications for the Fiscal Year 2011 State grant program.

Announcement Type: New.

Funding Opportunity Number: MSHA2011–1.

Catalog of Federal Domestic Assistance (CFDA) Number: 17.600.

SUMMARY: The United States Department of Labor, Mine Safety and Health Administration (MSHA), has posted its solicitation for grant applications (SGA) for the States grant program on *http://www.grants.gov.* The SGA contains all of the necessary information needed to apply for grant funding.

Applicants for these grants are States or State-designated entities. The purpose of these grants is to improve and secure safe and healthy workplaces for U.S. miners. The final amount of each individual grant will be determined by the formula in Section 503(h) of the Federal Mine Safety and Health Act of 1977 (30 U.S.C. 953(h)) and MSHA's final Fiscal Year 2011 appropriation. Application should be submitted at this time. The closing date for applications will be July 1, 2011.

DATES: All applications must be received by Midnight Eastern Daylight Savings Time on July 1, 2011.

FOR FURTHER INFORMATION CONTACT: Robert Glatter at *glatter.robert@dol.gov,* at 202–693–9570 (voice), or 202–693–9571 (facsimile) or Darrell Cooper at *cooper.darrell@dol.gov,* 202–693–9831. These are not toll-free numbers.

Authority: 30 U.S.C. 953.

Dated: December 17, 2010.

Joseph A. Main,

Assistant Secretary of Labor for Mine Safety and Health.

[FR Doc. 2011–268 Filed 1–10–11; 8:45 am]

BILLING CODE 4510–43–P

LEGAL SERVICES CORPORATION

Notice of Availability of Calendar Year 2011 Competitive Grant Funds

AGENCY: Legal Services Corporation.

ACTION: Solicitation for Proposals for the Provision of Civil Legal Services in Louisiana for service area LA–1.

SUMMARY: The Legal Services Corporation (LSC) is the national organization charged with administering Federal funds provided for civil legal services to low-income people. LSC hereby announces the availability of competitive grant funds for the provision of a full range of civil legal services to eligible clients in Louisiana for service area LA–1. Grants will be awarded on or around June 2011. The estimated annualized grant amount for service area LA–1 in Louisiana is: $1,629,216. Service area LA–1 comprises the following parishes/counties in Louisiana: Ascension Parish, Assumption Parish, East Baton Rouge Parish, East Feliciana Parish, Iberville Parish, Lafourche Parish, Pointe Coupee Parish, St. James Parish, St. John the Baptist Parish, Terrebonne Parish, West Baton Rouge Parish, and West Feliciana Parish.

DATES: See **SUPPLEMENTARY INFORMATION** section for grants competition dates.

ADDRESSES: Legal Services Corporation—Competitive Grants, 3333 K Street, NW., Third Floor, Washington, DC 20007–3522.

FOR FURTHER INFORMATION CONTACT: Reginald Haley, Office of Program Performance, 202.295.1545.

SUPPLEMENTARY INFORMATION: The Request for Proposals (RFP) is available at *http://www.grants.lsc.gov.* Once at the Web site, click on FY 2011 Request for Proposals Narrative Instruction to access the RFP and other information pertaining to the LSC competitive grants process. Refer to the RFP for instructions on preparing the grant proposal; the regulations and guidelines governing LSC funding; the definition of a full range of legal services; and grant proposal submission requirements.

Applicants must file a Notice of Intent to Compete (NIC; RFP Form-H) to participate in the competitive grants process. The deadline for filing the NIC is February 7, 2011, 5 p.m. E.D.T. The deadline for filing grant proposals is March 14, 2011, 5 p.m. E.D.T. The dates shown in this notice for filing the NIC and the grant proposals supersede the dates in the RFP. All other instructions, regulations, guidelines, definitions, and grant proposal submission requirements remain in effect unless otherwise noted.

The following persons, groups, and entities are qualified Applicants who may submit a NIC and a grant proposal to participate in the competitive grants process: (1) Current recipients of LSC grants; (2) non-profit organizations that have as a purpose the provision of legal assistance to eligible clients; (3) private attorneys, groups of attorneys or law firms; (4) state or local governments; and (5) sub-state regional planning and coordination agencies that are composed of sub-state areas and whose governing boards are controlled by locally elected officials.

LSC will not fax the RFP to interested parties. Interested parties are asked to visit *http://www.grants.lsc.gov* regularly for updates and correction notices pertaining to the LSC competitive grants process.

Dated: January 5, 2011.

Janet LaBella,

Director, Office of Program Performance, Legal Services Corporation.

[FR Doc. 2011–278 Filed 1–10–11; 8:45 am]

BILLING CODE 7050–01–P

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

Records Schedules; Availability and Request for Comments

AGENCY: National Archives and Records Administration (NARA).

ACTION: Notice of Availability of proposed records schedules; request for comments.

SUMMARY: The National Archives and Records Administration (NARA) publishes notice at least once monthly of certain Federal agency requests for records disposition authority (records schedules). Once approved by NARA, records schedules provide mandatory instructions on what happens to records when no longer needed for current Government business. They authorize the preservation of records of continuing value in the National Archives of the United States and the destruction, after a specified period, of records lacking administrative, legal, research, or other value. Notice is published for records schedules in which agencies propose to destroy records not previously authorized for

disposal or reduce the retention period of records already authorized for disposal. NARA invites public comments on such records schedules, as required by 44 U.S.C. 3303a(a).

DATES: Requests for copies must be received in writing on or before February 10, 2011. Once the appraisal of the records is completed, NARA will send a copy of the schedule. NARA staff usually prepare appraisal memorandums that contain additional information concerning the records covered by a proposed schedule. These, too, may be requested and will be provided once the appraisal is completed. Requesters will be given 30 days to submit comments.

ADDRESSES: You may request a copy of any records schedule identified in this notice by contacting the Life Cycle Management Division (NWML) using one of the following means:

Mail: NARA (NWML), 8601 Adelphi Road, College Park, MD 20740–6001.

E-mail: request.schedule@nara.gov.

FAX: 301–837–3698

Requesters must cite the control number, which appears in parentheses after the name of the agency which submitted the schedule, and must provide a mailing address. Those who desire appraisal reports should so indicate in their request.

FOR FURTHER INFORMATION CONTACT: Laurence Brewer, Director, Life Cycle Management Division (NWML), National Archives and Records Administration, 8601 Adelphi Road, College Park, MD 20740–6001. Telephone: 301–837–1539. E-mail: *records.mgt@nara.gov.*

SUPPLEMENTARY INFORMATION: Each year Federal agencies create billions of records on paper, film, magnetic tape, and other media. To control this accumulation, agency records managers prepare schedules proposing retention periods for records and submit these schedules for NARA's approval, using the Standard Form (SF) 115, Request for Records Disposition Authority. These schedules provide for the timely transfer into the National Archives of historically valuable records and authorize the disposal of all other records after the agency no longer needs them to conduct its business. Some schedules are comprehensive and cover all the records of an agency or one of its major subdivisions. Most schedules, however, cover records of only one office or program or a few series of records. Many of these update previously approved schedules, and some include records proposed as permanent.

The schedules listed in this notice are media neutral unless specified otherwise. An item in a schedule is media neutral when the disposition instructions may be applied to records regardless of the medium in which the records are created and maintained. Items included in schedules submitted to NARA on or after December 17, 2007, are media neutral unless the item is limited to a specific medium. (*See* 36 CFR 1225.12(e).)

No Federal records are authorized for destruction without the approval of the Archivist of the United States. This approval is granted only after a thorough consideration of their administrative use by the agency of origin, the rights of the Government and of private persons directly affected by the Government's activities, and whether or not they have historical or other value.

Besides identifying the Federal agencies and any subdivisions requesting disposition authority, this public notice lists the organizational unit(s) accumulating the records or indicates agency-wide applicability in the case of schedules that cover records that may be accumulated throughout an agency. This notice provides the control number assigned to each schedule, the total number of schedule items, and the number of temporary items (the records proposed for destruction). It also includes a brief description of the temporary records. The records schedule itself contains a full description of the records at the file unit level as well as their disposition. If NARA staff has prepared an appraisal memorandum for the schedule, it too includes information about the records. Further information about the disposition process is available on request.

Schedules Pending:

1. Department of Agriculture, Food and Nutrition Service (N1–462–10–1, 1 item, 1 temporary item). Case files for implementing and managing records hold, freezes, and destruction moratoriums.

2. Department of Commerce, National Institute of Standards and Technology (N1–167–09–4, 4 items, 4 temporary items). Records of the National Voluntary Laboratory Accreditation Program, including laboratory applications, assessment reports, testing results, correspondence, assessor records, and copies of assessor contracts.

3. Department of Commerce, National Oceanic and Atmospheric Administration (N1–370–11–1, 3 items, 3 temporary items). Asset forfeiture records maintained by the National Marine Fisheries Service, including forfeited property case files and an asset forfeiture database used in collection of penalties, fines, and proceeds of forfeited property.

4. Department of Homeland Security, U.S. Customs and Border Protection (N1–568–09–6, 2 items, 2 temporary items). Master files and associated case files of an electronic information system containing asset tracking information regarding firearms, scopes, batons, body armor, and related law enforcement equipment.

5. Department of the Interior, Bureau of Indian Affairs (N1–75–07–14, 4 items, 1 temporary item). Non-archival standard scanned images in an electronic information system used to enroll Alaska Native tribal and corporation members to obtain certification. Proposed for permanent retention are master files and archival standard images.

6. Department of the Interior, Office of the Secretary (N1–48–11–2, 1 item, 1 temporary item). Master files for an electronic system used to generate agency self-assessment and workforce demographic reports for the Equal Employment Opportunity Commission.

7. Department of the Interior, Office of Surface Mining and Reclamation Enforcement (N1–471–10–1, 2 items, 1 temporary item). Reference copies of master files of an electronic information system used to track permits and violations of surface coal mining. Proposed for permanent retention are record copies of the master files.

8. Department of the Interior, U.S. Geological Survey (DAA–57–2011–1, 5 items, 5 temporary items). Passport and visa records, including passport applications, registers, and reports; copies of issued visas; communications between U.S. Geological Survey and the Department of State; and master files of an electronic information system used to manage passport information.

9. Department of Justice, Justice Management Division (N1–060–10–1, 3 items, 3 temporary items). Records relating to allocation and obligation of asset forfeiture funds. Records include agreements, invoices, reports, and other supporting documentation for the obligating and paying out of funds.

10. Department of State, Bureau of International Information Programs (N1–59–11–2, 1 item, 1 temporary item). Records relating to web management training files.

11. Department of Veterans Affairs, Office of the General Counsel (N1–15–11–1, 5 items, 5 temporary items). Records relating to the accreditation of and fee agreements with veterans service organizations representing

veterans seeking benefits as a result of military service.

Dated: January 6, 2011.

Michael J. Kurtz,

Assistant Archivist for Records Services—Washington, DC.

[FR Doc. 2011–496 Filed 1–10–11; 8:45 am]

BILLING CODE 7515–01–P

NATIONAL SCIENCE FOUNDATION

Sunshine Act Meeting Notice

National Science Board

The National Science Board's Task Force on Merit Review, pursuant to NSF regulations (45 CFR part 614), the National Science Foundation Act, as amended (42 U.S.C. 1862n–5), and the Government in the Sunshine Act (5 U.S.C. 552b), hereby gives notice in regard to the scheduling of a meeting held by teleconference for the transaction of National Science Board business and other matters specified, as follows:

DATE AND TIME: January 19, 2011, 11 a.m. to 12 p.m. EST.

SUBJECT MATTER: Chairman's remarks and a discussion of Section 526 of the FY10 America Competes Reauthorization Act (Broader Impacts Review Criterion).

STATUS: Open.

LOCATION: This meeting will be held by teleconference at the National Science Board Office, National Science Foundation, 4201 Wilson Blvd., Arlington, VA 22230. A room will be available for the public to listen-in to this meeting held by teleconference. All visitors must contact the Board Office at least 24 hours prior to the meeting held by teleconference to arrange for a visitor's badge and to obtain the room number. Call 703–292–7000 or send an e-mail message to *nationalsciencebrd@nsf.gov* with your name and organizational affiliation to request the room number and your badge, which will be ready for pick-up at the visitor's desk the day of the meeting. All visitors must report to the NSF visitor desk located in the lobby at the 9th and N. Stuart Streets entrance to receive your visitor's badge on the day of the teleconference.

UPDATES & POINT OF CONTACT: Please refer to the National Science Board Web site *http://www.nsf.gov/nsb* for additional information and schedule updates (time, place, subject matter or status of meeting) may be found at *http://www.nsf.gov/nsb/notices/*. Point of contact for this meeting is: Kim Silverman, National Science Board Office, 4201 Wilson Blvd., Arlington, VA 22230. Telephone: (703) 292–7000.

Daniel A. Lauretano,

Counsel to the National Science Board.

[FR Doc. 2011–434 Filed 1–7–11; 11:15 am]

BILLING CODE 7555–01–P

NUCLEAR REGULATORY COMMISSION

[NRC–2011–0005]

Biweekly Notice; Applications and Amendments to Facility Operating Licenses Involving No Significant Hazards Considerations

I. Background

Pursuant to section 189a.(2) of the Atomic Energy Act of 1954, as amended (the Act), the U.S. Nuclear Regulatory Commission (the Commission or NRC) is publishing this regular biweekly notice. The Act requires the Commission publish notice of any amendments issued, or proposed to be issued and grants the Commission the authority to issue and make immediately effective any amendment to an operating license upon a determination by the Commission that such amendment involves no significant hazards consideration, notwithstanding the pendency before the Commission of a request for a hearing from any person.

This biweekly notice includes all notices of amendments issued, or proposed to be issued from December 16 to December 29, 2010. The last biweekly notice was published on December 28, 2010 (75 FR 81667).

Notice of Consideration of Issuance of Amendments to Facility Operating Licenses, Proposed No Significant Hazards Consideration Determination, and Opportunity for a Hearing

The Commission has made a proposed determination that the following amendment requests involve no significant hazards consideration. Under the Commission's regulations in Title 10 of the Code of Federal Regulations (10 CFR) 50.92, this means that operation of the facility in accordance with the proposed amendment would not (1) Involve a significant increase in the probability or consequences of an accident previously evaluated; or (2) create the possibility of a new or different kind of accident from any accident previously evaluated; or (3) involve a significant reduction in a margin of safety. The basis for this proposed determination for each amendment request is shown below.

The Commission is seeking public comments on this proposed determination. Any comments received within 30 days after the date of publication of this notice will be considered in making any final determination.

Normally, the Commission will not issue the amendment until the expiration of 60 days after the date of publication of this notice. The Commission may issue the license amendment before expiration of the 60-day period provided that its final determination is that the amendment involves no significant hazards consideration. In addition, the Commission may issue the amendment prior to the expiration of the 30-day comment period should circumstances change during the 30-day comment period such that failure to act in a timely way would result, for example in derating or shutdown of the facility. Should the Commission take action prior to the expiration of either the comment period or the notice period, it will publish in the **Federal Register** a notice of issuance. Should the Commission make a final No Significant Hazards Consideration Determination, any hearing will take place after issuance. The Commission expects that the need to take this action will occur very infrequently.

Written comments may be submitted by mail to the Chief, Rules, Announcements and Directives Branch (RADB), TWB–05–B01M, Division of Administrative Services, Office of Administration, U.S. Nuclear Regulatory Commission, Washington, DC 20555–0001, and should cite the publication date and page number of this **Federal Register** notice. Written comments may also be faxed to the RADB at 301–492–3446. Documents may be examined, and/or copied for a fee, at the NRC's Public Document Room (PDR), located at One White Flint North, Room O1–F21, 11555 Rockville Pike (first floor), Rockville, Maryland.

Within 60 days after the date of publication of this notice, any person(s) whose interest may be affected by this action may file a request for a hearing and a petition to intervene with respect to issuance of the amendment to the subject facility operating license. Requests for a hearing and a petition for leave to intervene shall be filed in accordance with the Commission's "Rules of Practice for Domestic Licensing Proceedings" in 10 CFR part 2. Interested person(s) should consult a current copy of 10 CFR 2.309, which is available at the Commission's PDR, located at One White Flint North, Room O1–F21, 11555 Rockville Pike (first floor), Rockville, Maryland. Publicly available records will be accessible from

the Agencywide Documents Access and Management System's (ADAMS) Public Electronic Reading Room on the Internet at the NRC Web site, *http://www.nrc.gov/reading-rm/doc-collections/cfr/.* If a request for a hearing or petition for leave to intervene is filed by the above date, the Commission or a presiding officer designated by the Commission or by the Chief Administrative Judge of the Atomic Safety and Licensing Board Panel, will rule on the request and/or petition; and the Secretary or the Chief Administrative Judge of the Atomic Safety and Licensing Board will issue a notice of a hearing or an appropriate order.

As required by 10 CFR 2.309, a petition for leave to intervene shall set forth with particularity the interest of the petitioner in the proceeding, and how that interest may be affected by the results of the proceeding. The petition should specifically explain the reasons why intervention should be permitted with particular reference to the following general requirements: (1) The name, address, and telephone number of the requestor or petitioner; (2) the nature of the requestor's/petitioner's right under the Act to be made a party to the proceeding; (3) the nature and extent of the requestor's/petitioner's property, financial, or other interest in the proceeding; and (4) the possible effect of any decision or order which may be entered in the proceeding on the requestor's/petitioner's interest. The petition must also identify the specific contentions which the requestor/petitioner seeks to have litigated at the proceeding.

Each contention must consist of a specific statement of the issue of law or fact to be raised or controverted. In addition, the requestor/petitioner shall provide a brief explanation of the bases for the contention and a concise statement of the alleged facts or expert opinion which support the contention and on which the requestor/petitioner intends to rely in proving the contention at the hearing. The requestor/petitioner must also provide references to those specific sources and documents of which the petitioner is aware and on which the requestor/petitioner intends to rely to establish those facts or expert opinion. The petition must include sufficient information to show that a genuine dispute exists with the applicant on a material issue of law or fact. Contentions shall be limited to matters within the scope of the amendment under consideration. The contention must be one which, if proven, would entitle the requestor/petitioner to relief. A requestor/petitioner who fails to satisfy these requirements with respect to at least one contention will not be permitted to participate as a party.

Those permitted to intervene become parties to the proceeding, subject to any limitations in the order granting leave to intervene, and have the opportunity to participate fully in the conduct of the hearing.

If a hearing is requested, the Commission will make a final determination on the issue of no significant hazards consideration. The final determination will serve to decide when the hearing is held. If the final determination is that the amendment request involves no significant hazards consideration, the Commission may issue the amendment and make it immediately effective, notwithstanding the request for a hearing. Any hearing held would take place after issuance of the amendment. If the final determination is that the amendment request involves a significant hazards consideration, any hearing held would take place before the issuance of any amendment.

All documents filed in NRC adjudicatory proceedings, including a request for hearing, a petition for leave to intervene, any motion or other document filed in the proceeding prior to the submission of a request for hearing or petition to intervene, and documents filed by interested governmental entities participating under 10 CFR 2.315(c), must be filed in accordance with the NRC E-Filing rule (72 FR 49139, August 28, 2007). The E-Filing process requires participants to submit and serve all adjudicatory documents over the internet, or in some cases to mail copies on electronic storage media. Participants may not submit paper copies of their filings unless they seek an exemption in accordance with the procedures described below.

To comply with the procedural requirements of E-Filing, at least ten (10) days prior to the filing deadline, the participant should contact the Office of the Secretary by e-mail at *hearing.docket@nrc.gov,* or by telephone at (301) 415–1677, to request (1) a digital ID certificate, which allows the participant (or its counsel or representative) to digitally sign documents and access the E-Submittal server for any proceeding in which it is participating; and (2) advise the Secretary that the participant will be submitting a request or petition for hearing (even in instances in which the participant, or its counsel or representative, already holds an NRC-issued digital ID certificate). Based upon this information, the Secretary will establish an electronic docket for the hearing in this proceeding if the Secretary has not already established an electronic docket.

Information about applying for a digital ID certificate is available on NRC's public Web site at *http://www.nrc.gov/site-help/e-submittals/apply-certificates.html.* System requirements for accessing the E-Submittal server are detailed in NRC's "Guidance for Electronic Submission," which is available on the agency's public Web site at *http://www.nrc.gov/site-help/e-submittals.html.* Participants may attempt to use other software not listed on the Web site, but should note that the NRC's E-Filing system does not support unlisted software, and the NRC Meta System Help Desk will not be able to offer assistance in using unlisted software.

If a participant is electronically submitting a document to the NRC in accordance with the E-Filing rule, the participant must file the document using the NRC's online, Web-based submission form. In order to serve documents through EIE, users will be required to install a Web browser plug-in from the NRC Web site. Further information on the Web-based submission form, including the installation of the Web browser plug-in, is available on the NRC's public Web site at *http://www.nrc.gov/site-help/e-submittals.html.*

Once a participant has obtained a digital ID certificate and a docket has been created, the participant can then submit a request for hearing or petition for leave to intervene. Submissions should be in Portable Document Format (PDF) in accordance with NRC guidance available on the NRC public Web site at *http://www.nrc.gov/site-help/e-submittals.html.* A filing is considered complete at the time the documents are submitted through the NRC's E-Filing system. To be timely, an electronic filing must be submitted to the E-Filing system no later than 11:59 p.m. Eastern Time on the due date. Upon receipt of a transmission, the E-Filing system time-stamps the document and sends the submitter an e-mail notice confirming receipt of the document. The E-Filing system also distributes an e-mail notice that provides access to the document to the NRC Office of the General Counsel and any others who have advised the Office of the Secretary that they wish to participate in the proceeding, so that the filer need not serve the documents on those participants separately. Therefore, applicants and other participants (or their counsel or representative) must

apply for and receive a digital ID certificate before a hearing request/petition to intervene is filed so that they can obtain access to the document via the E-Filing system.

A person filing electronically using the agency's adjudicatory E-Filing system may seek assistance by contacting the NRC Meta System Help Desk through the "Contact Us" link located on the NRC Web site at *http://www.nrc.gov/site-help/e-submittals.html,* by e-mail at *MSHD.Resource@nrc.gov,* or by a toll-free call at 1–866–672–7640. The NRC Meta System Help Desk is available between 8 a.m. and 8 p.m., Eastern Time, Monday through Friday, excluding government holidays.

Participants who believe that they have a good cause for not submitting documents electronically must file an exemption request, in accordance with 10 CFR 2.302(g), with their initial paper filing requesting authorization to continue to submit documents in paper format. Such filings must be submitted by: (1) First class mail addressed to the Office of the Secretary of the Commission, U.S. Nuclear Regulatory Commission, Washington, DC 20555–0001, Attention: Rulemaking and Adjudications Staff; or (2) courier, express mail, or expedited delivery service to the Office of the Secretary, Sixteenth Floor, One White Flint North, 11555 Rockville Pike, Rockville, Maryland 20852, Attention: Rulemaking and Adjudications Staff. Participants filing a document in this manner are responsible for serving the document on all other participants. Filing is considered complete by first-class mail as of the time of deposit in the mail, or by courier, express mail, or expedited delivery service upon depositing the document with the provider of the service. A presiding officer, having granted an exemption request from using E-Filing, may require a participant or party to use E-Filing if the presiding officer subsequently determines that the reason for granting the exemption from use of E-Filing no longer exists.

Documents submitted in adjudicatory proceedings will appear in NRC's electronic hearing docket which is available to the public at *http://ehd.nrc.gov/EHD_Proceeding/home.asp,* unless excluded pursuant to an order of the Commission, or the presiding officer. Participants are requested not to include personal privacy information, such as social security numbers, home addresses, or home phone numbers in their filings, unless an NRC regulation or other law requires submission of such information. With respect to copyrighted works, except for limited excerpts that serve the purpose of the adjudicatory filings and would constitute a Fair Use application, participants are requested not to include copyrighted materials in their submission.

Petitions for leave to intervene must be filed no later than 60 days from the date of publication of this notice. Non-timely filings will not be entertained absent a determination by the presiding officer that the petition or request should be granted or the contentions should be admitted, based on a balancing of the factors specified in 10 CFR 2.309(c)(1)(i)–(viii).

For further details with respect to this license amendment application, see the application for amendment which is available for public inspection at the Commission's PDR, located at One White Flint North, Room O1–F21, 11555 Rockville Pike (first floor), Rockville, Maryland. Publicly available records will be accessible from the ADAMS Public Electronic Reading Room on the Internet at the NRC Web site, *http://www.nrc.gov/reading-rm/adams.html.* Persons who do not have access to ADAMS or who encounter problems in accessing the documents located in ADAMS, should contact the NRC PDR Reference staff at 1–800–397–4209, 301–415–4737, or by e-mail to *pdr.resource@nrc.gov.*

Calvert Cliffs Nuclear Power Plant, LLC, Docket No. 50–318, Calvert Cliffs Nuclear Power Plant, Unit 2, Calvert County, Maryland

Date of amendment request: October 4, 2010.

Description of amendment request: The proposed amendment revises Calvert Cliffs Technical Specification 5.5.16, "Containment Leakage Rate Testing Program" to allow a one-time extension of the Type A Integrated Leakage Rate test interval for no more than 5 years.

Basis for proposed no significant hazards consideration determination: As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration, which is presented below:

1. Does the proposed amendment involve a significant increase in the probability or consequences of an accident previously evaluated?

No.

This proposed one-time extension of the Type A test interval from 10 years to 15 years does not increase the probability of an accident since there are no design or operating changes involved and the test is not an accident initiator. The proposed extension of the test interval does not involve a significant increase in the consequences of an accident since research documented in NUREG–1493 has found that, generically, fewer than 3% of the potential containment leak paths are not identified by Types B and C testing. Calvert Cliffs, through testing and containment inspections, also provides a high degree of assurance that the Containment will not degrade in a manner detectable only by a Type A test. Inspections required by the American Society of Mechanical Engineers Boiler and Pressure Vessel Code are performed to identify containment degradation that could affect leak tightness.

Therefore, this proposed change does not involve a significant increase in the probability or consequences of any accident previously evaluated.

2. Does the proposed amendment create the possibility of a new or different kind of accident from any accident previously evaluated?

No.

This proposed one-time extension of the Type A test interval from 10 years to 15 years does not involve any design or operational changes that could lead to a new or different kind of accident from any accident previously evaluated. The test itself is not changing and will be performed after a longer interval. The proposed change does not involve a physical alteration of the plant (no new or different type of equipment will be installed) or a change in the methods governing normal plant operation.

Therefore, this proposed change does not create the possibility of a new or different kind of accident from any previously evaluated.

3. Does the proposed amendment involve a significant reduction in a margin of safety?

No.

The proposed one-time extension of the Type A test interval from 10 years to 15 years does not involve a significant reduction in the margin of safety of the containment's ability to maintain its integrity during a design basis accident. The generic study of the increase in the Type A test interval, NUREG–1493, concluded there is an imperceptible increase in the plant risk associated with extending the test interval out to 20 years. Further, the extended test interval would have a minimal effect on this risk since Types B and C testing detect 97% of potential leakage paths. For the requested change in the Calvert Cliffs Integrated Leakage Rate Test interval, it was determined that the risk contribution of leakage will increase 0.07% (based on change in offsite dose). This change is considered very small and does not represent a significant reduction in the margin of safety.

Therefore, this change does not involve a significant reduction in the margin of safety.

The NRC staff has reviewed the licensee's analysis and, based on this review, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the amendment request involves no significant hazards consideration.

Attorney for licensee: Carey Fleming, Sr. Counsel—Nuclear Generation,

Constellation Generation Group, LLC, 750 East Pratt Street, 17th floor, Baltimore, MD 21202.

NRC Branch Chief: Nancy L. Salgado.

Entergy Nuclear Vermont Yankee, LLC and Entergy Nuclear Operations, Inc., Docket No. 50–271, Vermont Yankee Nuclear Power Station, Vernon, Vermont

Date of amendment request: November 8, 2010.

Description of amendment request: The proposed amendment would revise Technical Specifications (TS) to eliminate provisions allowing the High Pressure Coolant Injection (HPCI) system and the Reactor Core Isolation Cooling (RCIC) system to be aligned to the suppression pool when required instrument channels are inoperable. In this configuration, the HPCI and RICI systems would not be capable of mitigating some plant events. Also, an administrative change to the TS Table of Contents is proposed.

Basis for proposed no significant hazards consideration determination: As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration which is presented below:

1. Does the proposed amendment involve a significant increase in the probability or consequences of an accident previously evaluated?

Response: No.

The proposed amendment does not significantly increase the probability of an accident since it does not involve a change to any plant equipment that initiates a plant accident. The proposed amendment is more restrictive than the current TS in that it no longer allows the HPCI and RCIC systems to be aligned to the suppression pool when required instrument channels are inoperable. The change requires HPCI and RCIC to be declared inoperable within one hour when the associated trip functions are not operable. The change also updates the TS Table of Contents. The HPCI system is credited to mitigate small break loss-of-coolant accidents and the RCIC System is not credited for accident mitigation. The proposed change ensures the systems are aligned consistent with station analysis assumptions. Therefore, the proposed amendment does not involve a significant increase in the probability or consequences of an accident previously evaluated.

2. Does the proposed amendment create the possibility of a new or different kind of accident from any accident previously evaluated?

Response: No.

The proposed change does not involve any physical alteration of plant equipment and does not change the method by which any safety-related system performs its function. The proposed amendment is more restrictive than the current technical specifications in that it no longer allows the HPCI and RCIC systems to be aligned to the suppression pool when required instrument channels are inoperable. The change requires HPCI and RCIC to be declared inoperable within one hour when the associated trip functions are not operable. The change also updates the TS Table of Contents. No new or different types of equipment will be installed and the basic operation of installed equipment is unchanged. The methods governing plant operation and testing remain consistent with current safety analysis assumptions. Therefore, the proposed change does not create the possibility of a new or different kind of accident from any accident previously evaluated.

3. Does the proposed amendment involve a significant reduction in a margin of safety?

Response: No.

The proposed amendment is more restrictive than the current technical specifications in that it no longer allows the HPCI and RCIC systems to be aligned to the suppression pool when required instrument channels are inoperable. This ensures that safety margins established in station safety analysis are maintained. The proposed amendment does not involve a physical modification of the plant and does not change the design or function of any component or system. The proposed amendment is more restrictive than the current TS in that it no longer allows the HPCI and RCIC systems to be aligned to the suppression pool when required instrument channels are inoperable. The change requires the HPCI and RCIC systems to be declared inoperable within one hour when the associated trip functions are not operable. The change also updates the TS Table of Contents. This ensures analyzed safety margins are maintained. Therefore, operation of VY in accordance with the proposed amendment will not involve a significant reduction in the margin to safety.

The NRC staff has reviewed the licensee's analysis and, based on this review, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the amendment request involves no significant hazards consideration.

Attorney for licensee: Mr. William C. Dennis, Assistant General Counsel, Entergy Nuclear Operations, Inc., 400 Hamilton Avenue, White Plains, NY 10601.

NRC Branch Chief: Nancy Salgado.

Exelon Generation Company, LLC, Docket No. 50–219, Oyster Creek Nuclear Generating Station, Ocean County, New Jersey

Date of amendment request: June 25, 2010.

Description of amendment request: The amendment would revise the Oyster Creek Nuclear Generating Station Technical Specifications (TSs) governing actions to be taken if a single emergency diesel generator (EDG) is inoperable. Specifically, the proposed amendment would remove the requirement to test the other EDG daily. Instead, the licensee would be required to either test the other EDG once or determine that it is not inoperable due to a common cause failure.

Basis for proposed no significant hazards consideration determination: As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration, which is presented below:

1. [The proposed changes do not involve a significant increase in the probability or consequences of an accident previously evaluated.]

The proposed changes are associated with the testing requirements of the two Emergency Diesel Generators (EDGs). The changes will eliminate unnecessary EDG testing requirements that contribute to potential mechanical degradation of the EDGs. The changes are based on the NRC guidance and recommendations provided in Generic Letter (GL) 93–05, "Line-Item Technical Specifications Improvement to Reduce Surveillance Requirements for Testing During Power Operation," and GL 94–01, "Removal of Accelerated Testing and Special Reporting Requirements for Emergency Diesel Generators," and are consistent with NUREG–1433, "Standard Technical Specifications, General Electric Plants, BWR/4." These proposed changes implement a recommendation promulgated in NUREG–1366, "Improvements To Technical Specifications Surveillance Requirements" to curtail daily testing of remaining operable diesel generator[s] when one of the required diesel generators is inoperable except for when a valid concern (e.g., potential for common cause failure) is posed.

The probability of an accident is not increased by these changes because the EDGs are not initiators of any design basis event. Additionally, the proposed changes do not involve any physical changes to plant systems, structures, or components (SSC[s]), or the manner in which these SSC[s] are maintained [ ]. The surveillance testing required for the limiting condition for operation for one EDG inoperable will be eliminated for the operable EDG when the inoperability is not due to a common cause failure. The EDG reliability will thereby be potentially increased by reducing the stresses on the EDG caused by unnecessary testing while maintaining the requirement to perform a single test if a common cause failure potentially exists. The consequences of an accident will not be increased because the proposed changes to the EDG surveillance requirements will continue to provide a high degree of assurance that their operability is maintained.

Therefore, the proposed changes do not involve a significant increase in the probability or consequences of an accident previously evaluated.

2. [The proposed changes do not create the possibility of a new or different kind of accident from any previously evaluated.]

The proposed changes do not alter the physical design, safety limits, or safety analysis assumptions associated with the operation of the plant. Accordingly, the proposed changes do not introduce any new accident initiators, nor do they reduce or adversely affect the capabilities of any plant structure or system in the performance of their safety function.

Therefore, the proposed changes do not create the possibility of a new or different kind of accident from any previously evaluated.

3. [The proposed changes do not involve a significant reduction in the margin of safety.]

The proposed changes modify the EDG accelerated testing requirements, are consistent with NRC guidance, and [potentially] improve EDG reliability. There are no changes being made to the current periodic surveillance requirements. The proposed changes do not impact the assumptions of any design basis accident, and do not alter assumptions relative to the mitigation of an accident or transient event.

Testing the operable EDG every day for the duration of the inoperable EDG inspection (i.e., 7 days) may be too excessive and may lead to degradation of the EDG and possibly result in [the] potential for unnecessary shutdowns. By reducing the possibility of degradation from this excessive testing, the margin of safety is [not significantly affected.]

The NRC staff has reviewed the licensee's analysis and, based on this review, and with the changes noted above in square brackets, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the amendment request involves no significant hazards consideration.

Attorney for licensee: Mr. J. Bradley Fewell, Associate General Counsel, Exelon Generation Company LLC, 4300 Winfield Road, Warrenville, IL 60555.

NRC Branch Chief: Harold Chernoff.

FirstEnergy Nuclear Operating Company, et al., Docket No. 50–412, Beaver Valley Power Station, Unit 2 (BVPS–2), Beaver County, Pennsylvania

Date of amendment request: February 26, 2010.

Description of amendment request: The proposed amendment would revise Technical Specifications (TSs) by expanding the scope of the steam generator (SG) tubesheet inspections using the F* inspection methodology to the SG cold-leg tubesheet region for BVPS–2.

Basis for proposed no significant hazards consideration determination: As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration, which is presented below:

1. Does the proposed change involve a significant increase in the probability or consequences of an accident previously evaluated?

No. The proposed change modifies the BVPS–2 Technical Specifications to expand the scope of steam generator [SG] tubesheet inspections using the F* inspection methodology to the SG cold-leg tubesheet region based on WCAP–16385–P, Revision 1. Of the various accidents previously evaluated in the BVPS–2 Updated Final Safety Analysis Report (UFSAR), the proposed change only affects the SG tube rupture (SGTR) event evaluation and the postulated steam line break (SLB) accident evaluation. Loss-of-coolant accident (LOCA) conditions cause a compressive axial load to act on the tube. Therefore, since the LOCA tends to force the tube into the tubesheet rather than pull it out, it is not a factor in this amendment request. Another faulted load consideration is a safe shutdown earthquake (SSE); however, the seismic analysis of Model 51M SGs has shown that axial loading of the tubes is negligible during an SSE.

For the SGTR event, the required structural margins of the steam generator tubes will be maintained by the presence of the tubesheet. Tube rupture is precluded for cracks in the tube expansion region due to the constraint provided by the tubesheet. Therefore, Regulatory Guide (RG) 1.121, "Bases for Plugging Degraded PWR [pressurized-water reactor] Steam Generator Tubes," margins against burst are maintained for both normal and postulated accident conditions.

The F* length supplies the necessary resistive force to preclude pullout loads under both normal operating and accident conditions. The contact pressure results from the tube expansion process used during manufacturing and from the differential pressure between the primary and secondary side. The proposed changes do not affect other systems, structures, components or operational features. Therefore, the proposed change results in no significant increase in the probability of the occurrence of an SGTR or SLB accident.

The consequences of an SGTR event are affected by the primary-to-secondary leakage flow during the event. Primary-to-secondary leakage flow through a postulated broken tube is not affected by the proposed change since the tubesheet enhances the tube integrity in the region of the expansion by precluding tube deformation beyond its initial expanded outside diameter. The resistance to both tube rupture and collapse is strengthened by the tubesheet in that region. At normal operating pressures, leakage from primary water stress corrosion cracking (PWSCC) below the F* distance is limited by both the tube-to-tubesheet crevice and the limited crack opening permitted by the tubesheet constraint. Consequently, negligible normal operating leakage is expected from cracks within the tubesheet region.

SLB leakage is limited by leakage flow restrictions resulting from the crack and tube-to-tubesheet contact pressures that provide a restricted leakage path above the indications and also limit the degree of crack face opening compared to free span indications. The total leakage (i.e., the combined leakage for all such tubes) meets the industry performance criterion, plus the combined leakage developed by any other alternate repair criteria, and will be maintained below the maximum allowable SLB leak rate limit, such that off-site doses are maintained less than 10 CFR [Title 10 of the *Code of Federal Regulation*] [Part] 100 guideline values and the limits evaluated in the BVPS–2 UFSAR.

Therefore, based on the above evaluation, the proposed changes do not involve a significant increase in the probability or consequences of an accident previously evaluated.

2. Does the proposed change create the possibility of a new or different kind of accident from any accident previously evaluated?

No. The proposed changes do not introduce any changes or mechanisms that create the possibility of a new or different kind of accident. Tube bundle integrity will continue to be maintained for all plant conditions upon implementation of the F* methodology to the cold-leg tubesheet region.

The proposed changes do not introduce any new equipment or any change to existing equipment. No new effects on existing equipment are created nor are any new malfunctions introduced.

Therefore, the proposed change does not create the possibility of a new or different kind of accident from any previously evaluated.

3. Does the proposed change involve a significant reduction in a margin of safety?

No. The proposed changes maintain the required structural margins of the SG tubes for both normal and accident conditions. NRC Regulatory Guide (RG) 1.121 is used as the basis in the development of the F* methodology for determining that SG tube integrity considerations are maintained within acceptable limits. Regulatory Guide 1.121 describes a method acceptable to the NRC staff for meeting General Design Criteria 14, 15, 31, and 32. Regulatory Guide 1.121 describes the limiting safe conditions of tube wall degradation beyond which tubes with unacceptable cracking, as established by inservice inspection, should be removed from service or repaired. This RG uses safety factors on loads for tube burst that are consistent with the requirements of Section III of the American Society of Mechanical Engineers (ASME) Code.

For primarily axially oriented cracking located within the tubesheet, tube burst is precluded due to the presence of the tubesheet. WCAP–16385–P, Revision 1, defines a length, F*, of degradation-free expanded tubing that provides the necessary resistance to tube pullout due to the pressure-induced forces (with applicable safety factors applied). Expansion of the application of the F* criteria to the cold-leg tubesheet region will preclude unacceptable primary-to-secondary leakage during all plant conditions. The methodology for determining leakage provides for large margins between calculated and actual leakage values in the F* criteria.

Plugging of the steam generator tubes reduces the reactor coolant flow margin for core cooling. Expansion of the F* methodology to the cold-leg tubesheet region at BVPS–2 will result in maintaining the

margin of flow that may have otherwise been reduced by tube plugging.

Therefore, the proposed change does not involve a significant reduction in a margin of safety.

The Nuclear Regulatory Commission (NRC) staff has reviewed the licensee's analysis and, based on this review, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the amendment request involves no significant hazards consideration.

Attorney for licensee: David W. Jenkins, FirstEnergy Nuclear Operating Company, FirstEnergy Corporation, 76 South Main Street, Akron, OH 44308.

NRC Branch Chief: Nancy L. Salgado.

FirstEnergy Nuclear Operating Company (FENOC), et al., Docket No. 50–440, Perry Nuclear Power Plant, Unit No. 1 (PNPP), Lake County, Ohio

Date of amendment request: October 21, 2010.

Description of amendment request: The proposed amendment would modify Technical Specification (TS) 2.1.1, "Reactor Core SLs," by incorporating revised safety limit minimum critical power ratio (SLMCPR) values resulting from a plant-specific analysis performed for PNPP Cycle 14 core.

Basis for proposed no significant hazards consideration determination: As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration which is presented below:

1. Does the proposed amendment involve a significant increase in the probability or consequences of an accident previously evaluated?

Response: No.

The proposed SLMCPR value will continue to ensure that during normal operation and abnormal operational transients, at 99.9 percent of all fuel rods in the core do not experience transition boiling if the limit is not violated, thereby preserving the fuel cladding integrity. The proposed TS changes do not involve any modifications or operational changes to system, structures, or components (SSC). The proposed TS changes do not affect any postulated accident precursors, do not affect any accident mitigating systems, and do no introduce any new accident initiation mechanisms. Therefore, the proposed TS changes do not involve a significant increase in the probability or consequences of an accident previously evaluated.

2. Does the proposed amendment create the possibility of a new or different kind of accident from any accident previously evaluated?

Response: No.

The proposed TS changes do not involve any new modes of operation, any changes to setpoints, or any plant modifications. The proposed SLMCPR values do not result in the creation of any new precursors to an accident. Therefore, the proposed TS changes do not create the possibility of an accident of a different kind than previously evaluated.

3. Does the proposed amendment involve a significant reduction in a margin of safety?

Response: No.

The proposed SLMCPR value will continue to ensure that during normal operation and abnormal operational transients, at 99.9 percent of all fuel rods in the core do not experience transition boiling if the limit is not violated, thereby preserving the fuel cladding integrity. The proposed TS changes do involve modifications or operational changes that could adversely affect the function or performance of a SSC. The proposed TS changes do not affect any postulated accident precursors, do not affect any accident mitigating systems, and do not introduce any new accident initiation mechanisms. Therefore, the proposed TS changes do not involve a significant reduction in margin of safety.

The U.S. Nuclear Regulatory Commission (NRC) staff has reviewed the licensee's analysis and, based on this review, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the amendment request involves no significant hazards consideration.

Attorney for licensee: David W. Jenkins, Attorney, FirstEnergy Corporation, Mail Stop A–GO–15, 76 South Main Street, Akron, OH 44308.

NRC Branch Chief: Robert D. Carlson.

Union Electric Company, Docket No. 50–483, Callaway Plant, Unit 1, Callaway County, Missouri

Date of amendment request: August 5, 2010.

Description of amendment request: The proposed amendment would modify the Callaway Plant, Unit 1, Technical Specifications (TS) by relocating specific surveillance frequencies to a licensee-controlled program with the guidance of Nuclear Energy Institute (NEI) 04–10, "Risk-Informed Technical Specifications Initiative 5b, Risk-Informed Method for Control of Surveillance Frequencies."

Basis for proposed no significant hazards consideration determination: As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration, which is presented below:

1. Does the proposed change involve a significant increase in the probability or consequences of any accident previously evaluated?

Response: No.

The proposed change relocates the specified frequencies for periodic surveillance requirements to licensee control under a new Surveillance Frequency Control Program [(SFCP)]. Surveillance frequencies are not an initiator to any accident previously evaluated. As a result, the probability of any accident previously evaluated is not significantly increased. The systems and components required by the technical specifications for which the surveillance frequencies are relocated are still required to be operable, meet the acceptance criteria for the surveillance requirements, and be capable of performing any mitigation function assumed in the accident analysis. As a result, the consequences of any accident previously evaluated are not significantly increased.

Therefore, the proposed change does not involve a significant increase in the probability or consequences of an accident previously evaluated.

2. Does the proposed change create the possibility of a new or different kind of accident from any previously evaluated?

Response: No.

No new or different accidents result from utilizing the proposed change. The changes do not involve a physical alteration of the plant (i.e., no new or different type of equipment will be installed) or a change in the methods governing normal plant operation. In addition, the changes do not impose any new or different requirements. The changes do not alter assumptions made in the safety analysis. The proposed changes are consistent with the safety analysis assumptions and current plant operating practice.

Therefore, the proposed changes do not create the possibility of a new or different kind of accident from any accident previously evaluated.

3. Does the proposed change involve a significant reduction in the margin of safety?

Response: No.

The design, operation, testing methods, and acceptance criteria for systems, structures, and components (SSCs), specified in applicable codes and standards (or alternatives approved for use by the NRC) will continue to be met as described in the plant licensing basis (including the Final Safety Analysis Report and Bases to TS), since these are not affected by changes to the surveillance frequencies. Similarly, there is no impact to safety analysis acceptance criteria as described in the plant licensing basis. To evaluate a change in the relocated surveillance frequency, [the licensee] will perform a probabilistic risk evaluation using the guidance contained in NRC approved NEI 04–10, Rev. 1 in accordance with the TS SFCP. NEI 04–10, Rev. 1, methodology provides reasonable acceptance guidelines and methods for evaluating the risk increase of proposed changes to surveillance frequencies consistent with Regulatory Guide 1.177.

Therefore, the proposed changes do not involve a significant reduction in a margin of safety.

The NRC staff has reviewed the licensee's analysis and, based on this review, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the

amendment request involves no significant hazards consideration.

Attorney for licensee: John O'Neill, Esq., Pillsbury Winthrop Shaw Pittman LLP, 2300 N Street, NW., Washington, DC 20037.

NRC Branch Chief: Michael T. Markley.

ZionSolutions LLC, Docket Nos. 50–295 and 50–304, Zion Nuclear Power Station (Zion), Units 1 and 2, Lake County, Illinois

Date of amendment request: November 15, 2010.

Description of amendment request: The proposed amendments would delete license conditions that impose specific requirements for the decommissioning trust agreement. In lieu of the license conditions, ZionSolutions will directly implement the requirements of 10 CFR 50.75(h)(1) through (h)(3). ZionSolutions will provide a revised trust agreement as required by 10 CFR 50.75(h)(1)(iii) within 60 days of NRC approval of this proposal. The licensee has stated that the trust agreement will conform with 10 CFR 50.75(h) and ZionSolutions will take no action under the existing trust agreement in the interim that would be inconsistent with the provisions of the regulation.

Basis for proposed no significant hazards consideration determination: As required by 10 CFR 50.91(a), the licensee has provided its analysis of the issue of no significant hazards consideration, which is presented below:

(1) Does the change involve a significant increase in the probability or consequences of an accident previously evaluated?

Response: No.

The proposed amendments alter the requirements for the decommissioning trust fund. These revisions of the financial assurance requirements do not involve any changes to any structures, systems or components (SSCs) or any method of operation, maintenance or testing. The proposed amendments will continue to provide assurance that adequate decommissioning funding is maintained. Changes to the terms of the trust fund will not alter previously evaluated Defueled Safety Analysis Report (DSAR) design basis accident assumptions, add any accident initiators, or affect the function of the plant SSCs as to how they are operated, maintained, modified, tested, or inspected.

Therefore, the proposed change does not involve a significant increase in the probability or consequences of an accident previously evaluated.

(2) Does the change create the possibility of a new or different kind of accident from any accident evaluated?

Response: No.

Implementation of the proposed changes to decommissioning trust fund requirements will have no impact upon the design function of any SSC. Modifying the precise language of the administrative controls on the fund in the trust agreement does not result in the need for any new or different DSAR design basis accident analyses. It does not introduce new equipment that could create a new or different kind of accident, and no new equipment failure modes are created. As a result, no new accident scenarios, failure mechanisms, or limiting single failures are introduced as a result of the proposed amendments.

Therefore, the proposed change does not create the possibility of a new or different kind of accident from any accident previously evaluated.

(3) Does the change involve a significant reduction in a margin of safety?

Response: No.

The margin of safety is associated with the confidence in the ability of the fission product barriers to limit the level of radiation to the public. The proposed amendments would not alter any SSC functions and would not alter the way the plant is operated. The amendments do not alter the way in which financial assurance for decommissioning is achieved. The proposed amendments would not introduce any new uncertainties associated with any safety limit. The proposed amendments would have no impact upon the structural integrity of the fuel cladding or any other barrier to fission product release. There would be no reduction in the effectiveness of the fission product barriers to limit the level of radiation to the public. Therefore, the proposed change does not involve a significant reduction in the margin of safety.

The NRC staff has reviewed the licensee's analysis and, based on this review, it appears that the three standards of 10 CFR 50.92(c) are satisfied. Therefore, the NRC staff proposes to determine that the amendment request involves no significant hazards consideration.

Attorney for licensee: Russ Workman, Deputy General Counsel, EnergySolutions, 423 West 300 South, Suite 200, Salt Lake City, UT 84101.

NRC Branch Chief: Bruce Watson.

Notice of Issuance of Amendments to Facility Operating Licenses

During the period since publication of the last biweekly notice, the Commission has issued the following amendments. The Commission has determined for each of these amendments that the application complies with the standards and requirements of the Atomic Energy Act of 1954, as amended (the Act), and the Commission's rules and regulations. The Commission has made appropriate findings as required by the Act and the Commission's rules and regulations in 10 CFR Chapter I, which are set forth in the license amendment.

Notice of Consideration of Issuance of Amendment to Facility Operating License, Proposed No Significant Hazards Consideration Determination, and Opportunity for A Hearing in connection with these actions was published in the **Federal Register** as indicated.

Unless otherwise indicated, the Commission has determined that these amendments satisfy the criteria for categorical exclusion in accordance with 10 CFR 51.22. Therefore, pursuant to 10 CFR 51.22(b), no environmental impact statement or environmental assessment need be prepared for these amendments. If the Commission has prepared an environmental assessment under the special circumstances provision in 10 CFR 51.22(b) and has made a determination based on that assessment, it is so indicated.

For further details with respect to the action see (1) the applications for amendment, (2) the amendment, and (3) the Commission's related letter, Safety Evaluation and/or Environmental Assessment as indicated. All of these items are available for public inspection at the Commission's Public Document Room (PDR), located at One White Flint North, Public File Area 01F21, 11555 Rockville Pike (first floor), Rockville, Maryland. Publicly available records will be accessible from the Agencywide Documents Access and Management System (ADAMS) Public Electronic Reading Room on the internet at the NRC Web site, *http://www.nrc.gov/reading-rm/adams.html.* If you do not have access to ADAMS or if there are problems in accessing the documents located in ADAMS, contact the PDR Reference staff at 1–800–397–4209, 301–415–4737 or by e-mail to *pdr.resource@nrc.gov.*

Arizona Public Service Company, et al., Docket Nos. STN 50–528, STN 50–529, and STN 50–530, Palo Verde Nuclear Generating Station, Unit Nos. 1, 2, and 3, Maricopa County, Arizona

Date of application for amendment: April 8, 2010.

Brief description of amendment: The amendments deleted redundant reporting and operational restriction provisions from Technical Specification (TS) Section 2.2, "Safety Limit Violations," consistent with Technical Specification Task Force (TSTF) change traveler TSTF–5–A, Revision 1, "Delete Safety Limit Violation Notification Requirements," and replaced plant-specific titles with generic titles in TS Section 5.2.1, "Onsite and Offsite Organizations," consistent with TSTF–65–A, Revision 1, "Use of Generic Titles for Utility Positions."

Date of issuance: December 29, 2010.

Effective date: As of the date of issuance and shall be implemented within 90 days from the date of issuance.

Amendment No.: Unit 1—183; Unit 2—183; Unit 3—183.

Facility Operating License Nos. NPF–41, NPF–51, and NPF–74: The amendments revised the Operating Licenses and Technical Specifications.

Date of initial notice in ***Federal Register:*** July 27, 2010 (75 FR 44022).

The Commission's related evaluation of the amendments is contained in a Safety Evaluation dated December 29, 2010.

No significant hazards consideration comments received: No.

Carolina Power and Light Company, et al., Docket No. 50–400, Shearon Harris Nuclear Power Plant, Unit 1, Wake and Chatham Counties, North Carolina

Date of application for amendment: July 21, 2009, as supplemented March 3 and July 28, 2010.

Brief description of amendment: The amendment revises Technical Specification (TS) Section 6.9.1.6 to add NRC approved Topical Report (TR) EMF–2310(P)(A), "SRP Chapter 15 Non-LOCA Methodology for Pressurized Water Reactors," to the Core Operating Limits Report methodologies list. This change will allow the use of thermal-hydraulic analysis code S–RELAP5 for Final Safety Analysis Report (FSAR) Chapter 15 non-loss-of-coolant accident (LOCA) transients in the HNP safety analyses. TR EMF–2310(P)(A), Revision 0, was approved by the NRC on May 11, 2001, for the application of the S–RELAP5 thermal-hydraulic analysis computer code to FSAR Chapter 15 non-LOCA transients. EMF–2310(P)(A), Revision 1, approved by the NRC on May 19, 2004, updated Section 5.6 of the TR.

Date of issuance: December 23, 2010.

Effective date: Effective as of the date of issuance and shall be implemented within 60 days.

Amendment No.: 135.

Renewed Facility Operating License No. NPF–63: The amendment revises the TSs and facility operating license.

Date of initial notice in ***Federal Register:*** November 10, 2009 (74 FR 58060). The supplements dated March 3, and July 28, 2010, provided additional information that clarified the application, did not expand the scope of the application as originally noticed, and did not change the staff's original proposed no significant hazards consideration determination as published in the ***Federal Register***.

The Commission's related evaluation of the amendment is contained in a safety evaluation dated December 23, 2010.

No significant hazards consideration comments received: No.

Duke Energy Carolinas, LLC, et al., Docket Nos. 50–413 and 50–414, Catawba Nuclear Station, Units 1 and 2, York County, South Carolina

Date of application for amendments: December 14, 2009, as supplemented by letters dated September 8, 2010, and October 28, 2010.

Brief description of amendments: The amendments revised the Technical Specifications by revising Surveillance Requirements 3.8.4.3 and 3.8.4.6. These TS SRs address battery connection resistance values.

Date of issuance: December 20, 2010.

Effective date: As of the date of issuance and shall be implemented within 60 days from the date of issuance.

Amendment Nos.: 262, 258.

Renewed Facility Operating License Nos. NPF–35 and NPF–52: Amendments revised the licenses and the technical specifications.

Date of initial notice in ***Federal Register:*** August 10, 2010 (75 FR 48375). The supplements dated September 8, 2010, and October 28, 2010, provided additional information that clarified the application, did not expand the scope of the application as originally noticed, and did not change the staff's original proposed no significant hazards consideration determination.

The Commission's related evaluation of the amendments is contained in a Safety Evaluation dated December 20, 2010.

No significant hazards consideration comments received: No.

Duke Power Company LLC, Docket Nos. 50–369 and 50–370, McGuire Nuclear Station, Units 1 and 2, Mecklenburg County, North Carolina

Date of application for amendments: December 14, 2009, as supplemented by letters dated September 8, 2010, and October 28, 2010.

Brief description of amendments: The amendments revised the Technical Specifications by revising Surveillance Requirements 3.8.4.2 and 3.8.4.5. These TS SRs address battery connection resistance values.

Date of issuance: December 20, 2010.

Effective date: As of the date of issuance and shall be implemented within 60 days from the date of issuance.

Amendment Nos.: 260, 240.

Renewed Facility Operating License Nos. NPF–9 and NPF–17: Amendments revised the licenses and the technical specifications.

Date of initial notice in ***Federal Register:*** August 10, 2010 (75 FR 48375). The supplements dated September 8, 2010, and October 28, 2010, provided additional information that clarified the application, did not expand the scope of the application as originally noticed, and did not change the staff's original proposed no significant hazards consideration determination.

The Commission's related evaluation of the amendments is contained in a Safety Evaluation dated December 20, 2010.

No significant hazards consideration comments received: No.

Exelon Generation Company, LLC, Docket Nos. STN 50–456 and STN 50–457, Braidwood Station, Units 1 and 2 (Braidwood), Will County, Illinois Docket Nos. STN 50–454 and STN 50–455, Byron Station, Unit Nos. 1 and 2 (Byron), Ogle County, Illinois

Date of application for amendment: December 16, 2009, as supplemented by letters dated April 26 and October 25, 2010.

Brief description of amendment: The amendments revise Technical Specifications Section 5.6.5, "Core Operating Limits Report," to replace the existing reference for the large break loss-of-coolant accident (LOCA) analysis methodology with a reference to WCAP–16009–P–A, Revision 0, "Realistic Large Break LOCA Evaluation Methodology Using the Automated Statistical Treatment of Uncertainty Method," January 2005.

Date of issuance: December 21, 2010.

Effective date: As of the date of issuance and shall be implemented within 60 days.

Amendment Nos.: Braidwood Unit 1—164; Braidwood Unit 2—164; Byron Unit No. 1—170; and Byron Unit No. 2—170.

Facility Operating License Nos. NPF–72, NPF–77, NPF–37, and NPF–66: The amendments revise the TSs and Licenses.

Date of initial notice in ***Federal Register:*** February 23, 2010 (75 FR 8141). The supplemental letters dated April 26, and October 25, 2010, contained clarifying information, did not change the initial no significant hazards consideration determination, and did not expand the scope of the original **Federal Register** notice.

The Commission's related evaluation of the amendments is contained in a Safety Evaluation dated December 21, 2010.

No significant hazards consideration comments received: No.

Florida Power and Light Company, et al., Docket Nos. 50–335 and 50–389, St. Lucie Plant, Unit 1 and 2, St.. Lucie County, Florida.

Date of application for amendments: December 14, 2009, as supplemented on July 30, 2010.

Brief description of amendments: Amendment modifies Technical Specification (TS) 3/4 .4.10 "Structural Integrity," in Unit 1 (TS 3/4.4.11 in Unit 2), TS 3.3.3.8, "Accident Monitoring Instrumentation," in Unit 1 (TS 3.3.3.6 in Unit 2), TS 6.4.1, "Training," in Units 1 and 2, and several administrative changes in the TSs for both units . The changes delete the Structural Integrity TS, update Accident Monitoring Instrumentation requirements and make various administrative TS changes.

Date of Issuance: December 28, 2010.

Effective Date: As of the date of issuance and shall be implemented within 60 days.

Amendment Nos.: 210, 159.

Renewed Facility Operating License Nos. DPR–67 and NPF–16: Amendments revised the TSs.

Date of initial notice in ***Federal Register:*** April 20, 2010 (75 FR 20638). The supplement dated July 30, 2010, provided additional information that clarified the application, did not expand the scope of the application as originally noticed, and did not change the staff's original proposed no significant hazards consideration determination as published in the **Federal Register**.

The Commission's related evaluation of the amendments is contained in a Safety Evaluation dated December 28, 2010.

No significant hazards consideration comments received: No.

PSEG Nuclear LLC, Docket Nos. 50–354, 50–272 and 50–311, Hope Creek Generating Station and Salem Nuclear Generating Station, Unit 1 and 2, Salem County, New Jersey

Date of application for amendments: March 25, 2010.

Brief description of amendments: The amendments revise the Technical Specifications (TSs) associated with reactor coolant system (RCS) structural integrity requirements for Hope Creek Generating Station (HCGS) and Salem Nuclear Generating Station (Salem), Unit Nos. 1 and 2. Specifically, the amendments revise the TSs to: (1) Delete the RCS structural integrity requirements contained in HCGS TS 3/4.4.8, Salem Unit 1 TS 3/4.4.10, and Salem Unit 2 TS 3/4.4.11; (2) relocate the augmented inservice inspection requirements for the reactor coolant pump flywheel, currently contained in Salem Unit 1 surveillance requirement (SR) 4.4.10.1.1 and Salem Unit 2 SR 4.4.11.1, to a new program in TS 6.8.4.k; and (3) delete the augmented inservice inspection program requirements for the steam generator channel heads currently contained in Salem Unit 1 SR 4.4.10.1.2.

Date of issuance: December 15, 2010.

Effective date: As of the date of issuance, to be implemented within 60 days.

Amendment Nos.: 186, 298 and 281.

Facility Operating License Nos. NPF–57, DPR–70 and DPR–75: The amendments revised the TSs and the Licenses.

Date of initial notice in ***Federal Register:*** June 15, 2010 (75 FR 33843).

The Commission's related evaluation of the amendments is contained in a Safety Evaluation dated December 15, 2010.

No significant hazards consideration comments received: No.

Tennessee Valley Authority, Docket Nos. 50–327 and 50–328, Sequoyah Nuclear Plant, Units 1 and 2, Hamilton County, Tennessee

Date of application for amendment: January 26, 2010 (TS 09–05).

Brief description of amendment: The amendments revised the Technical Specification (TS) Table 3.3–1, "Reactor Trip System Instrumentation," Functional Unit 5, "Intermediate Range, Neutron Flux," to resolve an oversight regarding the operability requirements for the intermediate range neutron flux channels. The amendments added an action to TS Table 3.3–1 to define that the provisions of Specification 3.0.3 are not applicable above 10 percent of thermal rated power with the number of operable intermediate range neutron flux channels two less than the minimum channels operable requirement.

Date of issuance: December 21, 2010.

Effective date: As of the date of issuance and shall be implemented within 60 days of issuance.

Amendment Nos.: 328, 321.

Facility Operating License Nos. DPR–77 and DPR–79: Amendments revised the License and Technical Specifications.

Date of initial notice in ***Federal Register:*** March 23, 2010 (75 FR 13791).

The Commission's related evaluation of the amendment is contained in a safety evaluation dated December 21, 2010.

No significant hazards consideration comments received: No.

Virginia Electric and Power Company, et al., Docket Nos. 50–280 and 50–281, Surry Power Station, Units 1 and 2, Surry County, Virginia

Date of application for amendments: February 10, 2010.

Brief Description of amendments: These amendments revise the Technical Specifications 5.2.1, "Fuel Assemblies," to add Optimized ZIRLO™ as an acceptable fuel rod cladding material. In addition, the amendments propose adding the Westinghouse topical report for Optimized ZIRLO™ to the analytical methods used to determine the core operating limits listed in TS 6.2.C.

Date of issuance: December 22, 2010.

Effective date: As of the date of issuance and shall be implemented within 60 days.

Amendment Nos.: 271, 270.

Renewed Facility Operating License Nos. DPR–32 and DPR–37: Amendments change the licenses and the technical specifications.

Date of initial notice in ***Federal Register:*** August 27, 2010 (75 FR 52781).

The Commission's related evaluation of the amendments is contained in a Safety Evaluation dated December 22, 2010.

No significant hazards consideration comments received: No.

Wolf Creek Nuclear Operating Corporation, Docket No. 50–482, Wolf Creek Generating Station, Coffey County, Kansas

Date of amendment request: March 4, 2009, as supplemented by letters dated March 25 and November 17, 2010.

Brief description of amendment: The amendment revised the approved fire protection program as described in the Wolf Creek Generating Station (WCGS) Updated Safety Analysis Report (USAR). Specifically, a deviation from certain technical requirements of Title 10 of the Code of Federal Regulations (10 CFR), part 50, appendix R, section III.G.2, as documented in Appendix 9.5E of the WCGS USAR, was requested regarding the use of operator manual actions in lieu of meeting circuit separation protection criteria. Table 3–1 of the submittal dated March 4, 2009 (Agencywide Documents Access and Management System (ADAMS) Accession No. ML090771269), identified the proposed feasible and reliable operator manual actions requested for permanent approval and Table 3–2 of the submittal identified the proposed feasible operator manual actions requested for approval on an interim basis. The interim operator actions will be eliminated with the

implementation of associated design change package. The amendment also revised license condition 2.C.(5)(a) to include the deviation approved by the amendment request.

Date of issuance: December 16, 2010.

Effective date: As of the date of issuance and shall be implemented within 90 days from the date of issuance.

Amendment No.: 191.

Renewed Facility Operating License No. NPF–42. The amendment revised the Operating License and Technical Specifications.

Date of initial notice in ***Federal Register:*** April 21, 2009 (75 FR 18258). The supplemental letters dated March 25 and November 17, 2010, provided additional information that clarified the application, did not expand the scope of the application as originally noticed, and did not change the staff's original proposed no significant hazards consideration determination as published in the **Federal Register**.

The Commission's related evaluation of the amendment is contained in a Safety Evaluation dated December 16, 2010.

No significant hazards consideration comments received: No.

Wolf Creek Nuclear Operating Corporation, Docket No. 50–482, Wolf Creek Generating Station, Coffey County, Kansas

Date of amendment request: December 16, 2009, as supplemented by letter dated August 26, 2010.

Brief description of amendment: The amendment revised the battery acceptance criteria in Technical Specification 3.8.4, "DC [Direct Current] Sources—Operating," Surveillance Requirements (SRs) 3.8.4.2 and 3.8.4.5. Specifically, the amendment modified SR 3.8.4.2 and SR 3.8.4.5 by providing limits for inter-cell, inter-tier/inter-bank/terminal, and field jumper connections for 60-cell, 59-cell, and 58-cell configurations.

Date of issuance: December 20, 2010.

Effective date: As of the date of issuance and shall be implemented within 90 days from the date of issuance.

Amendment No.: 192.

Renewed Facility Operating License No. NPF–42. The amendment revised the Operating License and Technical Specifications.

Date of initial notice in ***Federal Register:*** April 6, 2010 (75 FR 17448). The supplemental letter dated August 26, 2010, provided additional information that clarified the application, did not expand the scope of the application as originally noticed, and did not change the staff's original proposed no significant hazards consideration determination as published in the **Federal Register**.

The Commission's related evaluation of the amendment is contained in a Safety Evaluation dated December 20, 2010.

No significant hazards consideration comments received: No.

Dated at Rockville, Maryland, this 30th day of December 2010.

For the Nuclear Regulatory Commission.

Joseph G. Giitter,

Director, Division of Operating Reactor Licensing, Office of Nuclear Reactor Regulation.

[FR Doc. 2011–218 Filed 1–10–11; 8:45 am]

BILLING CODE 7590–01–P

NUCLEAR REGULATORY COMMISSION

[NRC–2011–0006]

Sunshine Federal Register Notice

AGENCY HOLDING THE MEETINGS: Nuclear Regulatory Commission.

DATES: Weeks of January 10, 17, 24, 31, February 7, 14, 2011.

PLACE: Commissioners' Conference Room, 11555 Rockville Pike, Rockville, Maryland.

STATUS: Public and Closed.

Week of January 10, 2011

Tuesday, January 11, 2011

9:30 a.m. Discussion of Management Issues (Closed—Ex. 2).

Week of January 17, 2011—Tentative

There are no meetings scheduled for the week of January 17, 2011.

Week of January 24, 2011—Tentative

Monday, January 24, 2011

1 p.m. Briefing on Safety Culture Policy Statement (Public Meeting) (Contact: Diane Sieracki, 301–415–3297).

This meeting will be webcast live at the Web address—*http://www.nrc.gov*.

Week of January 31, 2011—Tentative

Tuesday, February 1, 2011

9 a.m. Briefing on Digital Instrumentation and Controls (Public Meeting) (Contact: Steven Arndt, 301–415–6502).

This meeting will be webcast live at the Web address—*http://www.nrc.gov.*

Week of February 7, 2011—Tentative

Tuesday, February 8, 2011

9 a.m. Briefing on Implementation of Part 26 (Public Meeting) (Contact: Shana Helton, 301–415–7198).

This meeting will be webcast live at the Web address—*http://www.nrc.gov.*

Week of February 14, 2011—Tentative

There are no meetings scheduled for the week of February 14, 2011.

*The schedule for Commission meetings is subject to change on short notice. To verify the status of meetings, call (recording)—(301) 415–1292. Contact person for more information: Rochelle Bavol, (301) 415–1651.

The NRC Commission Meeting Schedule can be found on the Internet at: *http://www.nrc.gov/about-nrc/policy-making/schedule.html.*

The NRC provides reasonable accommodation to individuals with disabilities where appropriate. If you need a reasonable accommodation to participate in these public meetings, or need this meeting notice or the transcript or other information from the public meetings in another format (*e.g.,* braille, large print), please notify Angela Bolduc, Chief, Employee/Labor Relations and Work Life Branch, at 301–492–2230, TDD: 301–415–2100, or by e-mail at *angela.bolduc@nrc.gov*. Determinations on requests for reasonable accommodation will be made on a case-by-case basis.

This notice is distributed electronically to subscribers. If you no longer wish to receive it, or would like to be added to the distribution, please contact the Office of the Secretary, Washington, DC 20555 (301–415–1969), or send an e-mail to *darlene.wright@nrc.gov*.

Dated: January 6, 2011.

Rochelle C. Bavol,

Policy Coordinator, Office of the Secretary.

[FR Doc. 2011–490 Filed 1–7–11; 4:15 pm]

BILLING CODE 7590–01–P

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–63642; File No. SR–NYSE–2010–87]

Self-Regulatory Organizations; New York Stock Exchange LLC; Notice of Filing and Immediate Effectiveness of Proposed Rule Change To Amend the Exchange Price List

January 4, 2011.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934

("Act"),[1] and Rule 19b–4 [2] thereunder, notice is hereby given that on December 22, 2010, New York Stock Exchange LLC ("NYSE" or "Exchange") filed with the Securities and Exchange Commission (the "Commission") the proposed rule change as described in Items I and II below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to amend its 2011 Price List ("Price List") for equity transactions to (i) Increase the credits to customers and floor brokers for transactions when adding liquidity in NYSE-listed securities, (ii) increase the fees charged to customers, floor brokers and Designated Market Makers ("DMMs") for transactions when taking liquidity in NYSE-listed securities, (iii) create a second tier of charges for executions of Market-On-Close ("MOC") and Limit-On-Close ("LOC") orders in NYSE-listed securities, with a reduced charge per share for member organizations that execute an average daily trading volume ("ADV") of greater than 14 million shares of MOC/LOC activity on the Exchange in the current month, (iv) create a tiered structure of credits to Supplemental Liquidity Providers ("SLPs") for adding liquidity to the Exchange in NYSE-listed securities, based on an SLP's ADV in added liquidity in the applicable month, and (v) adopt a trading license fee for calendar year 2011. All of the foregoing changes will only apply to those NYSE-listed securities with a per share stock price of $1.00 or more. The amended pricing will take effect on January 3, 2011. The text of the proposed rule change is available at the Exchange, at *http://www.nyse.com,* at the Commission's Public Reference Room, and on the Commission's Web site at *http://www.sec.gov.*

II. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the self-regulatory organization included statements concerning the purpose of, and basis for, the proposed rule change and discussed any comments it received on the proposed rule change. The text of those statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant parts of such statements.

A. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

The Exchange proposes to amend its Price List for equity transactions to increase the credits to customers and floor brokers for transactions when adding liquidity in NYSE-listed securities. Under the proposed new pricing for the trading of NYSE-listed securities, customers will receive a credit of $0.0015 per share for adding liquidity, and floor brokers will receive a credit of $0.0017 per share for adding liquidity. In each case, this is an increase of $0.0002 per share from the currently applicable rate.

The Exchange proposes to further amend its Price List for equity transactions to increase the fees charged to customers, floor brokers and DMMs for transactions when taking liquidity in NYSE-listed securities. Under the proposed new pricing for the trading of NYSE-listed securities, customers and floor brokers will be charged a fee of $0.0023 per share for taking liquidity, and DMMs will be charged a fee of $0.0015 per share for taking liquidity. In each case, this is an increase of $0.0002 per share from the currently applicable rate.

In addition, the Exchange is proposing to create a second tier of charges for executions of MOC and LOC orders in NYSE-listed securities, with a reduced charge of $0.00055 per share for member organizations that execute an ADV of greater than 14 million shares of MOC/LOC activity on the Exchange in the current month. Otherwise, the current rate of $0.00085 per share for executed MOC/LOC orders will be applicable. The Exchange notes that it has, in the past, had a tiered structure of charges for MOC/LOC orders based on ADV parameters.[3] The proposed second tier of charges for executions of MOC and LOC orders will reduce charges for those member organizations executing greater volume at the NYSE close, thereby encouraging market participants to increase their MOC/LOC activity on the NYSE and facilitating greater liquidity and improved pricing at the close.[4]

The Exchange further proposes to create a tiered structure of credits to SLPs for adding liquidity to the Exchange in NYSE-listed securities, based on an SLP's ADV in added liquidity in the applicable month. Under the proposal, SLPs that meet the SLP 10% quoting requirement will receive a credit per share per transaction for adding liquidity, based on total ADV of added liquidity in the applicable month for all assigned SLP securities, as follows:

- $0.0022 credit per share per transaction if total ADV of added liquidity is more than 50 million shares
- $0.0021 credit per share per transaction if total ADV of added liquidity is more than 20 million shares but not more than 50 million shares
- $0.0020 credit per share per transaction if total ADV of added liquidity is more than 10 million shares but not more than 20 million shares

For all other SLP transactions that add liquidity to the Exchange but do not qualify for any of the foregoing credits, the credit will be $0.0015 per share per transaction, representing an increased credit of $0.0002 per share from the current rate for that lowest tier.

The Exchange is also adding a new footnote 4 to the Price List stating that the ADV calculations described above will exclude early closing days. The Exchange notes that it had this same footnote in its Price List in the recent past,[5] but it was inadvertently eliminated when a paragraph containing it was deleted.

These changes are intended to be effective immediately for all transactions beginning January 3, 2011 and are only applicable to those NYSE-listed securities with a per share stock price of $1.00 or more.

Finally, NYSE Rule 300(b) provides that, in each annual offering, up to 1366 trading licenses for the following calendar year will be sold annually at a price per trading license to be established each year by the Exchange pursuant to a rule filing submitted to the Commission and that the price per trading license will be published each year in the Exchange's price list. The Exchange proposes to establish a trading license fee for calendar year 2011 of $40,000. This is the same as the trading

[1] 15 U.S.C. 78s(b)(1).

[2] 17 CFR 240.19b–4.

[3] *See* Securities Exchange Act Release No. 60436 (August 5, 2009), 74 FR 40252 (August 11, 2009) (File No. SR–NYSE–2009–77) (notice of filing and immediate effectiveness of proposed rule change by NYSE adding a second MOC/LOC tier).

[4] *See* e-mail from William Love, Chief Counsel, NYSE Euronext, to Nathan Saunders, Special Counsel, and Andrew Madar, Special Counsel, Commission, dated January 3, 2011 ("NYSE e-mail").

[5] *See,* e.g., Exhibit 5, footnote 9, in File No. SR–NYSE–2010–34.

license fee charged in calendar years 2009 and 2010.

2. Statutory Basis

The Exchange believes that the proposed rule change is consistent with the provisions of Section 6 of the Securities Exchange Act of 1934 (the "Act"),[6] in general, and Section 6(b)(4) of the Act,[7] in particular, in that it is designed to provide for the equitable allocation of reasonable dues, fees, and other charges among its members and other persons using its facilities. The Exchange believes that the proposal does not constitute an inequitable allocation of fees, as all similarly situated member organizations will be subject to the same fee structure and access to the Exchange's market is offered on fair and non-discriminatory terms. The Exchange believes that the proposed amendments to the Price List represent an equitable allocation of dues and fees in that the increase in the credit to customers and floor brokers when adding liquidity is the same ($0.0002 per share) and such credits are intended to encourage greater liquidity at the NYSE quote and narrower spreads.[8] The proposed increase in the charge for transactions taking liquidity from the NYSE is the same for customers, floor brokers and DMMs ($0.0002 per share) and corresponds to the increase in credits for providing liquidity.[9]

B. Self-Regulatory Organization's Statement on Burden on Competition

The Exchange does not believe that the proposed rule change will impose any burden on competition that is not necessary or appropriate in furtherance of the purposes of the Act.

C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants or Others

No written comments were solicited or received with respect to the proposed rule change.

III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action

The foregoing rule change is effective upon filing pursuant to Section 19(b)(3)(A)[10] of the Act and subparagraph (f)(2) of Rule 19b–4[11] thereunder, because it establishes a due, fee, or other charge imposed on its members by the NYSE.

At any time within 60 days of the filing of the proposed rule change, the Commission summarily may temporarily suspend such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act. If the Commission takes such action, the Commission shall institute proceedings to determine whether the proposed rule change should be approved or disapproved.

IV. Solicitation of Comments

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

Electronic Comments

- Use the Commission's Internet comment form *(http://www.sec.gov/rules/sro.shtml);* or
- Send an e-mail to *rule-comments@sec.gov.* Please include File Number SR–NYSE–2010–87 on the subject line.

Paper Comments

- Send paper comments in triplicate to Elizabeth M. Murphy, Secretary, Securities and Exchange Commission, 100 F Street, NE., Washington, DC 20549–1090.

All submissions should refer to File Number SR–NYSE–2010–87. This file number should be included on the subject line if e-mail is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's Internet Web site (*http://www.sec.gov/rules/sro.shtml*). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for Web site viewing and printing in the Commission's Public Reference Room, 100 F Street, NE., Washington, DC 20549, on official business days between the hours of 10 a.m. and 3 p.m. Copies of the filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR–NYSE–2010–87 and should be submitted on or before February 1, 2011.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.[12]

Elizabeth M. Murphy,

Secretary.

[FR Doc. 2011–321 Filed 1–10–11; 8:45 am]

BILLING CODE 8011–01–P

[6] 15 U.S.C. 78f.

[7] 15 U.S.C. 78f(b)(4).

[8] *See* NYSE e-mail, *supra* note 4. The Exchange notes that the reasons for the difference between floor broker and customer credits on the NYSE (the floor broker credit is currently $0.0002 higher and will remain $0.0002 higher after the proposed fee changes are effective) were originally discussed in a 2008 filing by the Exchange, SR–NYSE–2008–15. In that filing, which established a credit of $0.0004 per share for execution of orders sent directly to the floor broker for representation on the NYSE when adding liquidity to the NYSE Display Book system, the Exchange stated: "Technological limitations make it impossible for floor brokers to post orders on other markets while at the point of sale on the Exchange. Therefore, unlike other Exchange users, they are unable to benefit from the incentives certain other markets provide to customers who provide liquidity. The time that would elapse if a floor broker sent the order to his booth or upstairs trading desk for execution on another market means that, if the floor broker utilized this alternative, the trade would likely not get executed at the desired price. The Exchange believes this disparity places floor brokers at a competitive disadvantage to other Exchange customers and believes that the proposed credit will mitigate the effects of that disadvantage while also attracting additional liquidity to the Exchange." The Statutory Basis section of that 2008 filing further stated that, "The Exchange believes that the proposed credit represents an equitable allocation of reasonable dues, fees, and other charges because floor brokers are integral to the Exchange's market model and the proposed credit lessens the impact on floor brokers of the competitive disadvantage arising out of the difficulty they experience in availing themselves or their customers of liquidity credits on other markets." *See* Securities Exchange Act Release No. 57433 (March 5, 2008), 73 FR 13064 (March 11, 2008) (File No. SR–NYSE–2008–15). The Exchange believes that the rationale stated in the 2008 filing applies equally to the current situation in which floor broker credits for adding liquidity are slightly higher than customer credits for adding liquidity. *See* NYSE e-mail, *supra* note 4.

[9] *See* NYSE e-mail, *supra* note 4.

[10] 15 U.S.C. 78s(b)(3)(A).

[11] 17 CFR 240.19b–4(f)(2).

[12] 17 CFR 200.30–3(a)(12).

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–63644; File No. SR–NYSEAmex–2010–125]

Self-Regulatory Organizations; NYSE Amex LLC; Notice of Filing and Immediate Effectiveness of Proposed Rule Change Amending the Exchange Price List

January 5, 2011.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act"),[1] and Rule 19b–4 [2] thereunder, notice is hereby given that on December 22, 2010, NYSE Amex LLC ("NYSE Amex" or "Exchange") filed with the Securities and Exchange Commission (the "Commission") the proposed rule change as described in Items I and II below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to amend its 2011 Price List for equities ("Price List") to increase (i) the fees charged to customers and floor brokers for taking liquidity in Exchange-listed securities priced at $1.00 or more and (ii) the credits to customers and floor brokers for adding liquidity in Exchange-listed securities priced at $1.00 or more. The Exchange also proposes to modify the fees and credits applicable to Designated Market Makers ("DMMs"), including the creation of a two-tiered credit structure, based on consolidated average daily volume ("CADV") in all Exchange-listed stocks, for adding liquidity in Exchange-listed securities priced at $1.00 or more, and the addition of a flat fee of $100 per month (in addition to the current rate on transactions) in issues for which the DMM has met its 10% quoting requirement and whose CADV is less than 50,000 shares per day. Finally, the Exchange proposes to increase the credits applicable to Supplemental Liquidity Providers ("SLPs") when adding liquidity to the Exchange in securities priced at $1.00 or more. The amended pricing will take effect on January 3, 2011. The text of the proposed rule change is available at the Exchange, at *http://www.nyse.com,* at the Commission's Public Reference Room, and on the Commission's Web site at *http://www.sec.gov.*

[1] 15 U.S.C. 78s(b)(1).

[2] 17 CFR 240.19b–4.

II. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the self-regulatory organization included statements concerning the purpose of, and basis for, the proposed rule change and discussed any comments it received on the proposed rule change. The text of those statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant parts of such statements.

A. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

The Exchange proposes to amend its Price List for equities to increase (i) the fees charged to customers and floor brokers for taking liquidity in Exchange-listed securities priced at $1.00 or more and (ii) the credits to customers and floor brokers for adding liquidity in Exchange-listed securities priced at $1.00 or more.

Customers and floor brokers, with certain exceptions, are currently charged a fee of $0.0025 per share for transactions in Exchange-listed securities priced at $1.00 or more that take liquidity from the Exchange. Under the proposal, the fee will be increased to $0.0028 per share for such transactions.

Customers and floor brokers currently receive a credit of $0.0015 per share for transactions in Exchange-listed securities priced at $1.00 or more that add liquidity to the Exchange. Under the proposal, the credit will be increased to $0.0016 per share for such transactions.

The Exchange proposes to further amend its Price List for equities to modify the fees and credits applicable to DMMs. Currently, DMMs are charged a fee of $0.0015 per share for transactions in Exchange-listed securities priced at $1.00 or more that take liquidity from the Exchange. Under the proposal, the fee will be increased to $0.0016 per share for transactions that take liquidity.

Additionally, DMMs currently receive a credit of $0.0035 per share for transactions in Exchange-listed securities priced at $1.00 or more that add liquidity to the Exchange. The Exchange is proposing to replace this with a two-tiered structure based on the CADV in all Exchange-listed stocks during the current month. CADV for these purposes includes all U.S. trading of Amex-listed stocks across all trading platforms whose volume is included in published numbers, not just shares traded on the Exchange during that month.[3] Under the proposal the credit will be $0.0042 per share for transactions in Exchange-listed securities priced at $1.00 or more that add liquidity, if the CADV in all Exchange-listed stocks during the current month is equal to or greater than 135 million shares per day. The credit will be $0.0045 per share for such transactions if the CADV in all Exchange-listed stocks during the current month is less than 135 million shares per day. The higher credit of $0.0045 per share provided when the CADV in Amex-listed stocks is under 135 million shares will provide the DMMs with a greater incentive to provide liquidity when the markets in Amex-listed stocks are less active. This tiered structure, with a higher credit per share in lower-volume months and a lower credit per share in higher volume months, is also expected to result in more consistent month-to-month payments to DMMs by the Exchange.[4] The Exchange is also adding a footnote stating that, for purposes of determining these liquidity credits that are based on the CADV in all Exchange-listed stocks in the current month, ADV calculations will exclude early closing days.[5]

For transactions in Exchange-listed securities priced below $1.00 that add liquidity to the Exchange, DMMs currently receive a credit of 0.15% of the total dollar value of the transaction. The Exchange is proposing that the credit for such transactions be increased to 0.25% of the total dollar value of the transaction.

Further, for a less active security with a CADV during the current month of less than 50,000 shares per day for which the DMM has met its 10% quoting requirement in that month, the Exchange is proposing to pay the DMM a monthly credit of $100 for each such security in addition to the current rate on transactions in that security. This additional flat dollar credit will supplement the DMM rebate in securities that do not trade actively. This flat monthly credit will be applicable to all Exchange-listed stocks regardless of price.

[3] *See* e-mail from William Love, Chief Counsel, NYSE Euronext, to Nathan Saunders, Special Counsel, and Andrew Madar, Special Counsel, Commission, dated January 4, 2011.

[4] *Id.*

[5] The New York Stock Exchange has had a similar footnote in its equities price list in the recent past, excluding early closing days in certain calculations based on average daily volume for a group of stocks. *See,* e.g., Exhibit 5, footnote 9, in File No. SR–NYSE–2010–34.

Finally, the Exchange proposes to increase the credits applicable to SLPs when adding liquidity to the Exchange in Exchange-listed securities priced at $1.00 or more. For such transactions in which the SLP also meets the 5% average or more quoting requirement in an assigned security pursuant to Rule 107B (the "5% quoting requirement"), the credit per share for the SLP will increase from the current rate of $0.0020 to $0.0027. For such transactions in which the SLP does not meet the 5% quoting requirement, the credit per share for the SLP will increase from the current rate of $0.0015 to $0.0016.

The Exchange has also expanded the heading of the first section of the Price List relating to fees and credits applicable to DMMs in Exchange-listed securities to clarify that this section describing DMM fees and credits relates only to securities priced at $1.00 or more per share. This is a clean-up change and is not substantive in nature.

These changes are intended to be effective immediately for all transactions beginning January 3, 2011.

2. Statutory Basis

The Exchange believes that the proposed rule change is consistent with the provisions of Section 6 of the Securities Exchange Act of 1934 (the "Act"),[6] in general, and Section 6(b)(4) of the Act,[7] in particular, in that it is designed to provide for the equitable allocation of reasonable dues, fees, and other charges among its members and other persons using its facilities. The Exchange believes that the proposal does not constitute an inequitable allocation of fees, as all similarly situated member organizations will be subject to the same fee structure and access to the Exchange's market is offered on fair and non-discriminatory terms. The Exchange believes that the proposed amendments to its equities Price List represent an equitable allocation of dues and fees in that the proposed increased credit of $0.0001 per share for adding liquidity is the same for floor brokers and customers, as is the increase of $0.0003 per share in the charge when taking liquidity. The Exchange further notes that the new equity per share credit of $0.0016 for adding liquidity is exactly the same for both customers and floor brokers, as is the new equity per share charge of $0.0028 for taking liquidity.[8]

[6] 15 U.S.C. 78f.

[7] 15 U.S.C. 78f(b)(4).

[8] *See* e-mail from William Love, Chief Counsel, NYSE Euronext, to Nathan Saunders, Special Counsel, and Andrew Madar, Special Counsel, Commission, dated January 3, 2011.

B. Self-Regulatory Organization's Statement on Burden on Competition

The Exchange does not believe that the proposed rule change will impose any burden on competition that is not necessary or appropriate in furtherance of the purposes of the Act.

C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants or Others

No written comments were solicited or received with respect to the proposed rule change.

III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action

The foregoing rule change is effective upon filing pursuant to Section 19(b)(3)(A)[9] of the Act and subparagraph (f)(2) of Rule 19b–4[10] thereunder, because it establishes a due, fee, or other charge imposed on its members by NYSE Amex.

At any time within 60 days of the filing of the proposed rule change, the Commission summarily may temporarily suspend such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act. If the Commission takes such action, the Commission shall institute proceedings to determine whether the proposed rule change should be approved or disapproved.

IV. Solicitation of Comments

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

Electronic Comments

- Use the Commission's Internet comment form (*http://www.sec.gov/rules/sro.shtml*); or
- Send an e-mail to *rule-comments@sec.gov*. Please include File Number SR–NYSEAmex–2010–125 on the subject line.

Paper Comments

- Send paper comments in triplicate to Elizabeth M. Murphy, Secretary, Securities and Exchange Commission, 100 F Street, NE., Washington, DC 20549–1090.

[9] 15 U.S.C. 78s(b)(3)(A).

[10] 17 CFR 240.19b–4(f)(2).

All submissions should refer to File Number SR–NYSEAmex–2010–125. This file number should be included on the subject line if e-mail is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's Internet website (*http://www.sec.gov/rules/sro.shtml*). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for website viewing and printing in the Commission's Public Reference Room, 100 F Street, NE., Washington, DC 20549, on official business days between the hours of 10 a.m. and 3 p.m. Copies of the filing also will be available for inspection and copying at the principal office of the Exchange. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR–NYSEAmex–2010–125 and should be submitted on or before February 1, 2011.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.[11]

Elizabeth M. Murphy,

Secretary.

[FR Doc. 2011–322 Filed 1–10–11; 8:45 am]

BILLING CODE 8011–01–P

[11] 17 CFR 200.30–3(a)(12).

SMALL BUSINESS ADMINISTRATION

National Small Business Development Center Advisory Board

AGENCY: U.S. Small Business Administration (SBA).

ACTION: Notice of open Federal Advisory Committee meetings.

SUMMARY: The SBA is issuing this notice to announce the location, date, time and agenda for the second quarter meetings of the National Small Business Development Center (SBDC) Advisory Board.

DATES: The meetings for the fourth quarter will be held on the following dates:

Tuesday, January 18, 2011 at 1 p.m. EST.

Tuesday, February 15, 2011 at 1 p.m. EST.

Tuesday, March 15, 2011 at 1 p.m. EST.

ADDRESSES: These meetings will be held via conference call.

SUPPLEMENTARY INFORMATION: Pursuant to section 10(a) of the Federal Advisory Committee Act (5 U.S.C. Appendix 2), SBA announces the meetings of the National SBDC Advisory Board. This Board provides advice and counsel to the SBA Administrator and Associate Administrator for Small Business Development Centers.

The purpose of these meetings is to discuss following issues pertaining to the SBDC Advisory Board:

—SBA Update

—White Paper follow-up

—ASBDC Annual Spring Meeting

—Member Roundtable

FOR FURTHER INFORMATION CONTACT: The meeting is open to the public however advance notice of attendance is requested. Anyone wishing to be a listening participant must contact Alanna Falcone by fax or e-mail. Her contact information is Alanna Falcone, Program Analyst, 409 Third Street, SW., Washington, DC 20416, Phone, 202–619–1612, Fax 202–481–0134, e-mail, *alanna.falcone@sba.gov.*

Additionally, if you need accommodations because of a disability or require additional information, please contact Alanna Falcone at the information above.

Dan S. Jones,

Committee Management Officer.

[FR Doc. 2011–314 Filed 1–10–11; 8:45 am]

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SMALL BUSINESS ADMINISTRATION

Surrender of License of Small Business Investment Company

Pursuant to the authority granted to the United States Small Business Administration under the Small Business Investment Act of 1958, under Section 309 of the Act and Section 107.1900 of the Small Business Administration Rules and Regulations (13 CFR 107.1900) to function as a small business investment company under the Small Business Investment Company License No. 03/73–0228 issued to Toucan Capital Fund II and said license is hereby declared null and void.

United States Small Business Administration.

Sean J. Greene,

AA/Investment.

[FR Doc. 2011–316 Filed 1–10–11; 8:45 am]

BILLING CODE P

DEPARTMENT OF STATE

[Public Notice: 7267]

60-Day Notice of Proposed Information Collection: DS 4053, Department of State Mentor-Protégé Program Application, OMB 1405–0161

ACTION: Notice of request for public comments.

SUMMARY: The Department of State is seeking Office of Management and Budget (OMB) approval for the information collection described below. The purpose of this notice is to allow 60 days for public comment in the **Federal Register** preceding submission to OMB. We are conducting this process in accordance with the Paperwork Reduction Act of 1995.

- *Title of Information Collection:* Department of State Mentor-Protégé Program Application.
- *OMB Control Number:* OMB 1405–0161.
- *Type of Request:* Extension of a Currently Approved Collection.
- *Originating Office:* Bureau of Administration, Office of Small and Disadvantaged Business Utilization—A/SDBU.
- *Form Number:* DS–4053.
- *Respondents:* Small and large for-profit companies planning to team together in an official mentor-protégé capacity to improve the likelihood of winning DOS contracts.
- *Estimated Number of Respondents:* 14 respondents per year.
- *Estimated Number of Responses:* 14 per year.
- *Average Hours Per Response:* 21.
- *Total Estimated Burden:* 294.
- *Frequency:* On occasion.
- *Obligation to Respond:* Required to Obtain Benefit.

DATES: The Department will accept comments from the public up to 60 days from January 11, 2011.

ADDRESSES: You may submit comments by any of the following methods:

- *E-mail: culbrethpb@state.gov.*
- *Mail (paper, disk, or CD–ROM submissions):* A/SDBU, Patricia Culbreth, SA–6, Room L–500, Washington DC 20522–0602.
- *Fax:* 703–875–6825.
- *Hand Delivery or Courier:* 1701 North Ft. Myer Drive, Arlington, Virginia 22209. You must include the DS form number, information collection title, and OMB control number in any correspondence.
- If you have access to the Internet you can view this notice and provide comments by going to *http://www.regulations.gov/search/Regs/home.html#home.*

FOR FURTHER INFORMATION CONTACT: Direct requests for additional information regarding the collection listed in this notice, including requests for copies of the proposed information collection and supporting documents, to Patricia Culbreth, A/SDBU, Patricia Culbreth, SA–6, Room L–500, Washington DC 20522–0602 who may be reached on 703–875–6881. E-mail: *culbrethpb@state.gov.*

SUPPLEMENTARY INFORMATION:

We are soliciting public comments to permit the Department to:

- Evaluate whether the proposed information collection is necessary for the proper performance of our functions.
- Evaluate the accuracy of our estimate of the burden of the proposed collection, including the validity of the methodology and assumptions used.
- Enhance the quality, utility, and clarity of the information to be collected.
- Minimize the reporting burden on those who are to respond, including the use of automated collection techniques or other forms of technology.

Abstract of proposed collection: This information collection facilitates continuation of a mentor-protégé program that encourages business agreements between small and large for-profit companies planning to team together in an official mentor-protégé capacity to improve the likelihood of winning DOS contracts. This program assists the State Department OSDBU office in reaching its small business goals.

Methodology: Respondents may submit the information by e-mail using DS–4053, or by letter using fax or postal mail.

Additional Information: None.

Dated: January 4, 2011.

Shapleigh C. Drisko,

Operations Director, Office of Small and Disadvantaged Business Utilization, Department of State.

[FR Doc. 2011–359 Filed 1–10–11; 8:45 am]

BILLING CODE 4710–24–P

DEPARTMENT OF STATE

[Public Notice 7291]

Notice of Receipt of Application for a Presidential Permit To Construct, Operate and Maintain Pipeline Facilities on the Border of the United States

Notice is hereby given that the Department of State has received an application to construct, operate and maintain pipeline facilities on the border of the United States from Vantage Pipeline US LP ("Applicant" or "Vantage"), a limited partnership duly organized under the laws of the State of Delaware. According to the application, Vantage's general partner is Vantage Pipeline US GP LLC, a Delaware limited liability company, and its limited partner is Mistral Energy US Inc., a subsidiary of Mistral Energy Inc., which is a private company based in Calgary, Alberta, Canada with over 25 years experience in the design, construction and operation of energy infrastructure projects in western Canada.

The application also states that Mistral Energy US Inc. intends to transfer its ownership position in Vantage prior to construction of the pipeline facilities to a yet to be formed limited partnership named Riverstone-Mistral US LP ("Riverstone-Mistral"). Riverstone-Mistral US LP will be a Delaware limited partnership located at: 712 Fifth Avenue, 19th Floor, New York, NY 10019. According to information submitted to the Department, the limited partners of Riverstone-Mistral US LP will be Mistral Energy US Inc, an affiliate of Mistral Energy, Inc., and Riverstone/Carlyle Fund IV, which is managed by Riverstone Holdings, LLC. The Department has also been advised that Riverstone Holdings, LLC, a Delaware entity owned by the two founders of Riverstone Holdings LLC, David Leuschen and Pierre Lepeyre, Jr, is an energy and power-focused private equity firm founded in 2000.

In a supplemental submission from the Vantage Pipeline's legal counsel, it was explained that Riverstone/Carlyle Fund IV LP is also a Delaware partnership based at the same address as Riverstone-Mistral US LP and that its General Partner is Riverstone/Carlyle Energy Partners IV, LP. The submission also explained that the Carlyle Group, a Washington DC-based asset management firm, holds an indirect minority interest (less than 20%) in Riverstone/Carlyle Energy Partners IV, LP and, through that ownership, has an indirect ownership interest in Riverstone/Carlyle Fund IV LP. Lastly, the submission explains that Riverstone/Carlyle Fund IV LP is controlled by an investment committee, which is in turn controlled by Riverstone/Carlyle Energy Partners, LP, and that Riverstone Holdings LLC is the General Partner of Riverstone/Carlyle Energy Partners, LP and thus indirectly controls Riverstone/Carlyle Fund IV LP.

The applicant seeks a Presidential Permit authorizing the construction, operation, and maintenance of a 10–12 inch diameter liquid pipeline, known as the Vantage Pipeline, at the U.S.-Canada border near Fortuna, North Dakota. Vantage seeks authorization to construct, operate and maintain this cross-border pipeline between the northern-most valve in the United States and the U.S.-Canada border (*i.e.,* the border crossing facilities).

The planned Vantage Pipeline will be a high vapor pressure ("HVP") pipeline designed to transport liquid ethane from Hess Corporation's natural gas processing plant in Tioga, North Dakota to the Alberta Ethane Gathering System (AEGS) in Alberta, Canada, a distance of approximately 430 miles. Approximately 80 of those miles of pipeline will be located in the United States.

According to the application, the ethane transported in the Vantage Pipeline is a flammable liquid that is non-corrosive, odorless, and colorless It has similar characteristics to natural gas, the fuel that is used in furnaces to heat homes. Ethane is currently used as a feedstock by the Alberta petrochemical industry and is ultimately converted to plastics, anti-freeze, rubber, detergents, solvents and like products.

The Applicant submits that the Vantage Pipeline will serve the national interest by providing the natural gas, oil and ethane-producing Bakken Formation region of North Dakota with access to the existing ethane AEGS infrastructure and market in Alberta. Currently no market exists for petrochemical grade (also known as "specification" or "pure grade") ethane in North Dakota; however, the construction of the Vantage Pipeline will make it feasible to extract the ethane byproduct from North Dakota-produced natural gas and export it for use in the Canadian petrochemical industry. The Applicant contends that the pipeline therefore will enhance exports from the United States, allow U.S. natural gas producers to recognize benefits from an existing resource from which they are not presently recognizing any financial benefit, and will contribute to the national economy in terms of job creation and tax payments.

As required by E.O. 13337, the Department of State is circulating this application to concerned federal agencies for comment. Consistent with Section 102(C) of the National Environmental Policy Act of 1969 (NEPA) (42 U.S.C. 4332(C)) and implementing regulations promulgated by the Council on Environmental Quality (40 CFR Parts 1500–1508) and the Department of State (22 CFR Part 161), including in particular 22 CFR 161.7(c)(1), the Department of State intends to prepare an environmental assessment (EA) to evaluate the potential environmental effects of the proposed project and to determine whether to prepare an environmental impact statement. In that connection, the applicant states that it intends to provide the Department with an environmental report in the coming weeks in support of the application. The Department also intends to conduct consultations on possible impacts to traditional or cultural properties with interested Native American tribes consistent with Section 106 of the National Historical Preservation Act (NHPA).

The purpose of this Notice of Intent is to inform the public about the application and to solicit public comments.

DATES: Interested parties are invited to submit, in duplicate, comments relative to this application on or before [30 days from publication of this notice] to Alexander Yuan, OES/ENV, NEPA Compliance Officer, Room 2627, Office of Environment, Oceans and International Environmental Affairs, Department of State, Washington, DC 20520. Comments can also be e-mailed to *YuanAW@state.gov.* The application and related documents that are part of the record to be considered by the Department of State in connection with this application are available for inspection in the Office of International Energy and Commodities Policy during normal business hours.

FOR FURTHER INFORMATION CONTACT: Alex Yuan at (202) 647–4284; or by e-mail at *YuanAW@State.gov* or Michael P. Stewart, Office of International Energy and Commodity Policy (EB/ESC/IEC/EPC), Department of State, Washington, DC 20520; or by telephone at (202) 647–1291; or by e-mail at *StewartMP@State.gov.*

Dated: January 5, 2011.

Stephen J. Gallogly,

Director, Office of International Energy and Commodity Policy, Department of State.

[FR Doc. 2011–352 Filed 1–10–11; 8:45 am]

BILLING CODE 4710–07–P

DEPARTMENT OF STATE

[Public Notice 7290]

Culturally Significant Objects Imported for Exhibition Determinations: "Reconfiguring an African Icon: Odes to the Mask by Modern and Contemporary Artists From Three Continents"

SUMMARY: Notice is hereby given of the following determinations: Pursuant to the authority vested in me by the Act of October 19, 1965 (79 Stat. 985; 22 U.S.C. 2459), Executive Order 12047 of March 27, 1978, the Foreign Affairs Reform and Restructuring Act of 1998 (112 Stat. 2681, *et seq.;* 22 U.S.C. 6501 note, *et seq.*), Delegation of Authority No. 234 of October 1, 1999, and Delegation of Authority No. 236–3 of August 28, 2000, I hereby determine that the objects to be included in the exhibition "Reconfiguring an African Icon: Odes to the Mask by Modern and Contemporary Artists from Three Continents," imported from abroad for temporary exhibition within the United States, are of cultural significance. The objects are imported pursuant to a loan agreement with the foreign owner or custodian. I also determine that the exhibition or display of the exhibit objects at the Metropolitan Museum of Art, New York, NY, from on or about March 8, 2011, until on or about August 21, 2011, and at possible additional exhibitions or venues yet to be determined, is in the national interest. Public Notice of these Determinations is ordered to be published in the **Federal Register**.

FOR FURTHER INFORMATION CONTACT: For further information, including a list of the exhibit objects, contact Carol B. Epstein, Attorney-Adviser, Office of the Legal Adviser, U.S. Department of State (*telephone:* 202/632–6473). The address is U.S. Department of State, SA–5, L/PD, Fifth Floor, Washington, DC 20522–0505.

Dated: January 4, 2011.

Ann Stock,

Assistant Secretary, Bureau of Educational and Cultural Affairs, Department of State.

[FR Doc. 2011–361 Filed 1–10–11; 8:45 am]

BILLING CODE 4710–05–P

DEPARTMENT OF STATE

[Public Notice: 7289]

Culturally Significant Objects Imported for Exhibition Determinations: "Art in Cameroon: Sculptural Dialogues"

SUMMARY: Notice is hereby given of the following determinations: Pursuant to the authority vested in me by the Act of October 19, 1965 (79 Stat. 985; 22 U.S.C. 2459), Executive Order 12047 of March 27, 1978, the Foreign Affairs Reform and Restructuring Act of 1998 (112 Stat. 2681, *et seq.;* 22 U.S.C. 6501 note, *et seq.*), Delegation of Authority No. 234 of October 1, 1999, and Delegation of Authority No. 236–3 of August 28, 2000, I hereby determine that the objects to be included in the exhibition "Art in Cameroon: Sculptural Dialogues," imported from abroad for temporary exhibition within the United States, are of cultural significance. The objects are imported pursuant to loan agreements with the foreign owners or custodians. I also determine that the exhibition or display of the exhibit objects at the Neuberger Museum of Art, Purchase, NY, from on or about April 23, 2011, until on or about August 14, 2011, and at possible additional exhibitions or venues yet to be determined, is in the national interest. Public Notice of these Determinations is ordered to be published in the **Federal Register.**

FOR FURTHER INFORMATION CONTACT: For further information, including a list of the exhibit objects, contact Carol B. Epstein, Attorney-Adviser, Office of the Legal Adviser, U.S. Department of State (telephone: 202/632–6473). The address is U.S. Department of State, SA–5, L/PD, Fifth Floor, Washington, DC 20522–0505.

Dated: January 4, 2011.

Ann Stock,

Assistant Secretary, Bureau of Educational and Cultural Affairs, Department of State.

[FR Doc. 2011–363 Filed 1–10–11; 8:45 am]

BILLING CODE 4710–05–P

DEPARTMENT OF STATE

[Public Notice: 7293]

Request for Information for the 2011 Trafficking in Persons Report

SUMMARY: The Department of State ("the Department") requests written information to assist in reporting on the degree to which the United States and foreign governments comply with the minimum standards for the elimination of trafficking in persons ("minimum standards") that are prescribed by the Trafficking Victims Protection Act of 2000, (Div. A, Pub. L. 106–386) as amended ("TVPA"). This information will assist in the preparation of the Trafficking in Persons Report ("TIP Report") that the Department submits annually to appropriate committees in the U.S. Congress on countries' level of compliance with the minimum standards. Foreign governments that do not comply with the minimum standards and are not making significant efforts to do so may be subject to restrictions on nonhumanitarian, nontrade-related foreign assistance from the United States. Submissions must be made in writing to the Office to Monitor and Combat Trafficking in Persons at the Department of State by February 15, 2011. Please refer to the Addresses, Scope of Interest and Information Sought sections of this Notice for additional instructions on submission requirements.

DATES: Submissions must be received by the Office to Monitor and Combat Trafficking in Persons by 5 p.m. on February 15, 2011.

ADDRESSES: Written submissions and supporting documentation may be submitted to the Office to Monitor and Combat Trafficking in Persons by the following methods:

- *Facsimile (fax):* 202–312–9637
- *Mail, Express Delivery, Hand Delivery and Messenger Service:* U.S. Department of State, Office to Monitor and Combat Trafficking in Persons, 1800 G Street, NW., Suite 2148, Washington, DC 20520. Please note that materials submitted by mail may be delayed due to security screenings and processing.
- *Email (preferred):* *tipreport@state.gov* for submissions related to foreign governments and *tipreportUS@state.gov* for submissions related to the United States.

Scope of Interest: The Department requests information relevant to assessing the United States' and foreign governments' compliance with the minimum standards for the elimination of trafficking in persons in the year 2010. The minimum standards for the elimination of trafficking in persons are listed in the *Background* section. Submissions must include information relevant and probative of the minimum standards for the elimination of trafficking in persons and should include, but need not be limited to, answering the questions in the *Information Sought* section. These questions are designed to elicit information relevant to the minimum standards for the elimination of trafficking in persons. Only those questions for which the submitter has direct professional experience should be answered and that experience should be noted. For any critique or deficiency described, please provide a recommendation to remedy it. Note the country or countries that are the focus of the submission.

Submissions may include written narratives that answer the questions presented in this Notice, research, studies, statistics, fieldwork, training

materials, evaluations, assessments and other relevant evidence of local, state and federal government efforts. To the extent possible, precise dates should be included.

Where applicable, written narratives providing factual information should provide citations to sources and copies of the source material should be provided. If possible, send electronic copies of the entire submission, including source material. If primary sources are utilized, such as research studies, interviews, direct observations, or other sources of quantitative or qualitative data, details on the research or data-gathering methodology should be provided. The Department does not include in the report, and is therefore not seeking, information on prostitution, human smuggling, visa fraud, or child abuse, unless such conduct occurs in the context of human trafficking.

Confidentiality: Please provide the name, phone number and email address of a single point of contact for any submission. It is Department practice not to identify in the TIP Report information concerning sources in order to safeguard those sources. Please note, however, that any information submitted to the Department may be releasable pursuant to the provisions of the Freedom of Information Act or other applicable law. When applicable, portions of submissions relevant to efforts by other U.S. government agencies may be shared with those agencies.

Response: This is a request for information only; there will be no response to submissions.

SUPPLEMENTARY INFORMATION:

I. Background

The TIP Report: The TIP Report is the most comprehensive worldwide report on foreign governments' efforts to combat trafficking in persons. It represents an updated, global look at the nature and scope of trafficking in persons and the broad range of government actions to confront and eliminate it. The U.S. Government uses the TIP Report to engage in public diplomacy to encourage partnership in creating and implementing laws and policies to combat trafficking and to target resources on prevention, protection and prosecution programs. Worldwide, the report is used by international organizations, foreign governments, and nongovernmental organizations alike as a tool to examine where resources are most needed. Freeing victims, preventing trafficking, and bringing traffickers to justice are the ultimate goals of the report and of the U.S government's anti-human trafficking policy.

The Department prepares the TIP Report using information from across the U.S. Government, U.S. Embassies, foreign government officials, nongovernmental and international organizations, published reports, and research trips to every region. The TIP Report focuses on concrete actions that governments take to fight trafficking in persons, including prosecutions, convictions, and prison sentences for traffickers as well as victim protection measures and prevention efforts. Each TIP Report narrative also includes a section on recommendations. These recommendations are then used to assist in measuring progress from one year to the next and determining whether governments comply with the minimum standards to eliminate trafficking in persons or are making significant efforts to do so.

The TVPA creates a three tier ranking system. This placement is based more on the extent of government action to combat trafficking than on the size of the problem, although that is also an important factor. The Department first evaluates whether the government fully complies with the TVPA's minimum standards for the elimination of trafficking. Governments that fully comply are placed on Tier 1. For other governments, the Department considers the extent of efforts to reach compliance. Governments that are making significant efforts to meet the minimum standards are placed on Tier 2. Governments that do not fully comply with the minimum standards and are not making significant efforts to do so are placed on Tier 3. Finally, the Department considers Special Watch List criteria and, when applicable, moves Tier 2 countries to Tier 2 Watch List. For more information, the 2010 TIP Report can be found at *http://www.state.gov/g/tip/rls/tiprpt/2010/index.htm.*

Since the inception of the TIP Report in 2001, the number of countries included and ranked has more than doubled to include 177 countries in the 2010 TIP Report. The number of countries on Tier 1 has grown from 12 to 30 and the number of countries on Tier 3 has decreased from 23 to 12. Around the world, the TIP Report and the best practices reflected therein have inspired legislation, national action plans, implementation of policies and funded programs, protection mechanisms that complement prosecution efforts, and a comprehensive understanding of the issue.

Since 2003, the primary reporting on the United States' anti-trafficking activities has been through the Attorney General's Report to Congress and Assessment of U.S. Government Activities to Combat Human Trafficking ("AG Report") mandated by section 105 of the TVPA (22 U.S.C. 7103(d)(7)). The United States voluntarily, through a collaborative interagency process, includes in the TIP Report an analysis of U.S. government anti-trafficking efforts in light of the minimum standards to eliminate trafficking in persons set forth by the TVPA. This analysis in the TIP report is done in addition to the AG Report, resulting in a multi-faceted self-assessment process of expanded scope.

II. Minimum Standards for the Elimination of Trafficking in Persons

The TVPA sets forth the minimum standards for the elimination of trafficking in persons as follows:

(1) The government of the country should prohibit severe forms of trafficking in persons and punish acts of such trafficking.

(2) For the knowing commission of any act of sex trafficking involving force, fraud, coercion, or in which the victim of sex trafficking is a child incapable of giving meaningful consent, or of trafficking which includes rape or kidnapping or which causes a death, the government of the country should prescribe punishment commensurate with that for grave crimes, such as forcible sexual assault.

(3) For the knowing commission of any act of a severe form of trafficking in persons, the government of the country should prescribe punishment that is sufficiently stringent to deter and that adequately reflects the heinous nature of the offense.

(4) The government of the country should make serious and sustained efforts to eliminate severe forms of trafficking in persons.

The following factors should be considered as indicia of serious and sustained efforts to eliminate severe forms of trafficking in persons:

(1) Whether the government of the country vigorously investigates and prosecutes acts of severe forms of trafficking in persons, and convicts and sentences persons responsible for such acts, that take place wholly or partly within the territory of the country, including, as appropriate, requiring incarceration of individuals convicted of such acts. For purposes of the preceding sentence, suspended or significantly reduced sentences for convictions of principal actors in cases of severe forms of trafficking in persons

shall be considered, on a case-by-case basis, whether to be considered as an indicator of serious and sustained efforts to eliminate severe forms of trafficking in persons. After reasonable requests from the Department of State for data regarding investigations, prosecutions, convictions, and sentences, a government which does not provide such data, consistent with the capacity of such government to obtain such data, shall be presumed not to have vigorously investigated, prosecuted, convicted or sentenced such acts. During the periods prior to the annual report submitted on June 1, 2004, and on June 1, 2005, and the periods afterwards until September 30 of each such year, the Secretary of State may disregard the presumption contained in the preceding sentence if the government has provided some data to the Department of State regarding such acts and the Secretary has determined that the government is making a good faith effort to collect such data.

(2) Whether the government of the country protects victims of severe forms of trafficking in persons and encourages their assistance in the investigation and prosecution of such trafficking, including provisions for legal alternatives to their removal to countries in which they would face retribution or hardship, and ensures that victims are not inappropriately incarcerated, fined, or otherwise penalized solely for unlawful acts as a direct result of being trafficked, including by providing training to law enforcement and immigration officials regarding the identification and treatment of trafficking victims using approaches that focus on the needs of the victims.

(3) Whether the government of the country has adopted measures to prevent severe forms of trafficking in persons, such as measures to inform and educate the public, including potential victims, about the causes and consequences of severe forms of trafficking in persons, measures to establish the identity of local populations, including birth registration, citizenship, and nationality, measures to ensure that its nationals who are deployed abroad as part of a peacekeeping or other similar mission do not engage in or facilitate severe forms of trafficking in persons or exploit victims of such trafficking, and measures to prevent the use of forced labor or child labor in violation of international standards.

(4) Whether the government of the country cooperates with other governments in the investigation and prosecution of severe forms of trafficking in persons.

(5) Whether the government of the country extradites persons charged with acts of severe forms of trafficking in persons on substantially the same terms and to substantially the same extent as persons charged with other serious crimes (or, to the extent such extradition would be inconsistent with the laws of such country or with international agreements to which the country is a party, whether the government is taking all appropriate measures to modify or replace such laws and treaties so as to permit such extradition).

(6) Whether the government of the country monitors immigration and emigration patterns for evidence of severe forms of trafficking in persons and whether law enforcement agencies of the country respond to any such evidence in a manner that is consistent with the vigorous investigation and prosecution of acts of such trafficking, as well as with the protection of human rights of victims and the internationally recognized human right to leave any country, including one's own, and to return to one's own country.

(7) Whether the government of the country vigorously investigates, prosecutes, convicts, and sentences public officials who participate in or facilitate severe forms of trafficking in persons, including nationals of the country who are deployed abroad as part of a peacekeeping or other similar mission who engage in or facilitate severe forms of trafficking in persons or exploit victims of such trafficking, and takes all appropriate measures against officials who condone such trafficking. After reasonable requests from the Department of State for data regarding such investigations, prosecutions, convictions, and sentences, a government which does not provide such data consistent with its resources shall be presumed not to have vigorously investigated, prosecuted, convicted, or sentenced such acts. During the periods prior to the annual report submitted on June 1, 2004, and on June 1, 2005, and the periods afterwards until September 30 of each such year, the Secretary of State may disregard the presumption contained in the preceding sentence if the government has provided some data to the Department of State regarding such acts and the Secretary has determined that the government is making a good faith effort to collect such data.

(8) Whether the percentage of victims of severe forms of trafficking in the country that are non-citizens of such countries is insignificant.

(9) Whether the government of the country, consistent with the capacity of such government, systematically monitors its efforts to satisfy the criteria described in paragraphs (1) through (8) and makes available publicly a periodic assessment of such efforts.

(10) Whether the government of the country achieves appreciable progress in eliminating severe forms of trafficking when compared to the assessment in the previous year.

(11) Whether the government of the country has made serious and sustained efforts to reduce the demand for (A) commercial sex acts; and (B) participation in international sex tourism by nationals of the country.

III. Information Sought Relevant to the Minimum Standards

Submissions should include, but need not be limited to, answers to relevant questions below for which the submitter has direct professional experience and that experience should be noted. Citations to source material must also be provided. Note the country or countries that are the focus of the submission. Please see the *Scope of Interest* section for detailed information regarding submission requirements.

1. How have trafficking methods changed in the past 12 months? e.g. Are there victims from new countries of origin? Is internal trafficking or child trafficking increasing? Has sex trafficking changed from brothels to private apartments? Is labor trafficking now occurring in additional types of industries or agricultural operations? Is forced begging a problem?

2. In what ways has the government's efforts to combat trafficking in persons changed in the past year? What new laws, regulations, policies and implementation strategies exist? e.g. substantive criminal laws and procedures, mechanisms for civil remedies, victim-witness security generally and in relation to court proceedings.

3. Please provide observations regarding the implementation of existing laws and procedures.

4. Is the government equally vigorous in pursuing labor trafficking and sex trafficking?

5. Are the anti-trafficking laws and sentences strict enough to reflect the nature of the crime? Are sex trafficking sentences commensurate with rape sentences?

6. Do government officials understand the nature of trafficking? If not, please provide examples of misconceptions or misunderstandings.

7. Do judges appear appropriately knowledgeable and sensitized to

trafficking cases? What sentences have courts imposed upon traffickers? How common are suspended sentences and prison time of less than one year for convicted traffickers?

8. Please provide observations regarding the efforts of police and prosecutors to pursue trafficking cases.

9. Are government officials (including law enforcement) complicit in human trafficking by, for example, profiting from, taking bribes or receiving sexual services for allowing it to continue? Are government officials operating trafficking rings or activities? If so, have these government officials been subject to an investigation and/or prosecution? What punishments have been imposed?

10. Has the government vigorously investigated, prosecuted, convicted and sentenced nationals of the country deployed abroad as part of a peacekeeping or other similar mission who engage in or facilitate trafficking?

11. Has the government investigated, prosecuted, convicted and sentenced organized crime groups that are involved in trafficking?

12. Is the country a source of sex tourists and, if so, what are their destination countries? Is the country a destination for sex tourists and, if so, what are their source countries?

13. Please provide observations regarding government efforts to address the issue of unlawful child soldiering.

14. Does the government make a coordinated, proactive effort to identify victims? Is there any screening conducted before deportation to determine whether individuals were trafficked?

15. What victim services are provided (legal, medical, food, shelter, interpretation, mental health care, health care, repatriation)? Who provides these services? If nongovernment organizations provide the services, does the government support their work either financially or otherwise?

16. How could victim services be improved?

17. Are services provided equally and adequately to victims of labor and sex trafficking? Men, women and children? Citizen and noncitizen?

18. Do service organizations and law enforcement work together cooperatively, for instance, to share information about trafficking trends or to plan for services after a raid? What is the level of cooperation, communication and trust between service organizations and law enforcement?

19. May victims file civil suits or seek legal action against their trafficker? Do victims avail themselves of those remedies?

20. Does the government repatriate victims? Does the government assist with third country resettlement? Does the government engage in any analysis of whether victims may face retribution or hardship upon repatriation to their country of origin? Are victims awaiting repatriation or third country resettlement offered services? Are victims indeed repatriated or are they deported?

21. Does the government inappropriately detain or imprison identified trafficking victims?

22. Does the government punish trafficking victims for forgery of documents, illegal immigration, unauthorized employment, or participation in illegal activities directed by the trafficker?

23. What efforts has the government made to prevent human trafficking?

24. Are there efforts to address root causes of trafficking such as poverty; lack of access to education and economic opportunity; and discrimination against women, children and minorities?

25. Does the government undertake activities that could prevent or reduce vulnerability to trafficking, such as registering births of indigenous populations?

26. Does the government provide financial support to NGOs working to promote public awareness or does the government implement such campaigns itself? Have public awareness campaigns proven to be effective?

27. Please provide additional recommendations to improve the government's anti-trafficking efforts.

28. Please highlight effective strategies and practices that other governments could consider adopting.

Dated: January 6, 2011.

Luis CdeBaca,

Ambassador-at-Large, Office to Monitor and Combat Trafficking in Persons, U.S. Department of State.

[FR Doc. 2011–354 Filed 1–10–11; 8:45 am]

BILLING CODE 4710–02–P

DEPARTMENT OF STATE

[Public Notice 7236]

Announcement of a Meeting of the International Telecommunication Advisory Committee

SUMMARY: This notice announces a meeting of the International Telecommunication Advisory Committee (ITAC) to prepare for the International Telecommunication Union (ITU) World Conference on International Telecommunications, as well as for the Organization of American States' Inter-American Telecommunication Commission (CITEL) Permanent Consultative Committee I.

The ITAC will meet to begin preparation of advice for the U.S. government for the ITU World Conference on International Telecommunications, as well as the CITEL Permanent Consultative Committee I meeting. There will also be reports on the upcoming World Radiocommunication Conference Preparatory Meeting, the ITU Telecommunication Standardization Advisory Group meeting, and on other recent meetings of the sectors of the ITU, the Organization for Economic Cooperation and Development, and the Asia-Pacific Economic Cooperation's telecommunications meetings.

The ITAC will meet from 2 to 4 p.m. on February 3, 2011 at 1120 20th Street, NW., 10th floor, Washington, DC 20036. This meeting is open to the public as seating capacity allows. The public will have an opportunity to provide comments at this meeting. Any requests for reasonable accommodation should be made at least 7 days before the meeting. All such requests will be considered, however, requests made after that date might not be possible to fulfill. Those desiring further information on this meeting may contact the Secretariat at *jillsonad@state.gov mailto: jillsonad@state.gov* or at (202) 647–2592. Anyone interested in the work of this advisory committee may subscribe to an e-mail service that provides time-sensitive information about preparations for upcoming international meetings. This service is free. To sign up, contact Ms. Anne Jillson at the e-mail above.

Dated: January 4, 2011.

Richard C. Beaird,

International Communications & Information Policy, U.S. Department of State.

[FR Doc. 2011–360 Filed 1–10–11; 8:45 am]

BILLING CODE 4710–07–P

DEPARTMENT OF TRANSPORTATION

Federal Highway Administration

Notice of Final Federal Agency Actions on Proposed Highway in North Carolina

AGENCY: Federal Highway Administration (FHWA), DOT.

ACTION: Notice of Limitation on Claims for Judicial Review of Actions by FHWA and Other Federal Agencies.

SUMMARY: This notice announces action taken by the FHWA and other federal agencies that is final within the meaning of 23 U.S.C. 139(l)(1). This final agency action relates to a proposed highway project, Bonner Bridge Replacement Project along NC 12, from Rodanthe to Bodie Island in Dare County, North Carolina. The FHWA's Record of Decision (ROD) identifies the Parallel Bridge with NC 12 Transportation Management Plan as the selected alternative.

DATES: By this notice, the FHWA is advising the public of final agency actions subject to 23 U.S.C. 139(l)(1). A claim seeking judicial review of the final Federal agency actions on the highway project will be barred unless the claim is filed on or before July 10, 2011. If the Federal law that authorizes judicial review of a claim provides a time period of less than 180 days for filing such claim, then that shorter time period still applies.

FOR FURTHER INFORMATION CONTACT: Mr. Clarence W. Coleman, P. E., Director of Preconstruction and Environment, Federal Highway Administration, 310 New Bern Avenue, Suite 410, Raleigh, North Carolina 27601–1418, *Telephone:* (919) 747–7014; *e-mail: clarence.coleman@dot.gov.* FHWA North Carolina Division Office's normal business hours are 8 a.m. to 5 p.m. (Eastern Time). Mr. Gregory Thorpe, PhD, Environmental Director, North Carolina Department of Transportation (NCDOT), 1548 Mail Service Center, Raleigh, North Carolina, 27699–1548, *Telephone:* (919) 733–3141; *e-mail: gthorpe@ncdot.gov.* NCDOT's normal business hours are 8 a.m. to 5 p.m. (Eastern Time).

SUPPLEMENTARY INFORMATION: Notice is hereby given that FHWA has taken final agency action by issuing a Record of Decision (ROD) for the following highway project in the State of North Carolina: The Bonner Bridge Replacement Project along Highway NC 12, from Rodanthe to Bodie Island, in Dare County, North Carolina. The project is also known as State Transportation Improvement Program (STIP) Project B–2500. Located in the Outer Banks of North Carolina, the selected alternative will replace the deteriorating Bonner Bridge over Oregon Inlet as Phase 1 of the project and includes an NC 12 Transportation Management Plan that establishes a process for future decision-making for the section of NC 12 from Oregon Inlet to the Village of Rodanthe. The NC 12 Transportation Management Plan requires coastal monitoring and various studies of project area conditions through the year 2060 on Hatteras Island and the Plan sets forth a process for planning and implementing possible future phases of the project. The FHWA's action, related actions by other Federal agencies and the laws under which such actions were taken, are described in the Final Environmental Impact Statement (FEIS)/Final Section 4(f) Evaluation for the project, approved on September 17, 2008; the Revised Final Section 4(f) Evaluation, approved on October 9, 2009; the Environmental Assessment, approved on May 7, 2010; and the FHWA ROD issued on December 20, 2010 approving the Bonner Bridge Replacement project, and in other documents in the project file. The FEIS/Final Section 4(f) Evaluation, Revised Final Section 4(f) Evaluation, EA, ROD, are available for review by contacting the FHWA or the NCDOT at the addresses provided above. In addition, the FEIS, Revised Final Section 4(f) Evaluation, EA, and ROD can be viewed and downloaded from the project Web site at *http://www.ncdot.gov/projects/bonnerbridgerepairs/.* This notice applies to all final Federal agency actions and agency decisions as of the issuance date of this notice, and to all laws under which such actions or decisions were taken, including but not limited to:

1. *General:* National Environmental Policy Act (NEPA) [42 U.S.C. 4321–4351]; Federal-Aid Highway Act [23 U.S.C. 101 *et seq.*].

2. *Air:* Clean Air Act [42 U.S.C. 7401–7671(q)].

3. *Land:* Section 4(f) of the Department of Transportation Act of 1966 [49 U.S.C. 303]; Coastal Barrier Resources Act [16 U.S.C. 3501–3510].

4. *Wildlife:* Endangered Species Act [16 U.S.C. 1531–1544]; Marine Mammal Protection Act [16 U.S.C. 1361–1407]; Anadromous Fish Conservation Act [16 U.S.C. 757(a)–757(g)]; Fish and Wildlife Coordination Act [16 U.S.C. 661–667(d)]; Migratory Bird Treaty Act [16 U.S.C. 703–712]; Magnuson-Stevenson Fishery Conservation and Management Act [16 U.S.C. 1801 *et. seq.*].

5. *Historic and Cultural Resources:* Section 106 of the National Historic Preservation Act of 1966 [16 U.S.C. 470(f)].

6. *Social and Economic:* Civil Rights Act of 1964 [42 U.S.C. 2000(d)–2000(d)(1)].

7. *Wetlands and Water Resources:* Safe Drinking Water Act (SDWA) [42 U.S.C. 300(f)–300(j)(6)]; Emergency Wetlands Resources Act of 1986 [16 U.S.C. 3921, 3931]; Flood Disaster Protection Act [42 U.S.C. 4001–4128].

8. *Executive Orders:* E.O. 11514 Protection and Enhancement of Environmental Quality; E.O. 11593 Protection and Enhancement of Cultural Resources; E.O. 11988 Floodplain Management; E.O. 11990 Protection of Wetlands; E.O.13112 Invasive Species; E.O. 13287 Preserve America; E.O. 13547 Stewardship of the Ocean, Our Coasts, and the Great Lakes.

The ROD describes the environmental permitting processes that must be concluded with the U.S. Army Corps of Engineers, U.S. Coast Guard, U.S. Fish and Wildlife Service, and National Park Service before construction will begin on Phase 1 of the project. This notice does not apply to those pending environmental permitting decisions.

(Catalog of Federal Domestic Assistance Program Number 20.205, Highway Research, Planning and Construction. The regulations implementing Executive Order 12372 regarding intergovernmental consultation on Federal programs and activities apply to this program.)

Authority: 23 U.S.C. 139(l)(1).

Issued on: January 5, 2011.

John Sullivan, III,

Division Administrator, Federal Highway Administration, Raleigh, North Carolina.

[FR Doc. 2011–366 Filed 1–10–11; 8:45 am]

BILLING CODE 4910–RY–P

DEPARTMENT OF TRANSPORTATION

Federal Highway Administration

Notice of Final Federal Agency Actions on State Highway 99 (Segment G)

AGENCY: Federal Highway Administration (FHWA), DOT.

ACTION: Notice of Limitation on Claims for Judicial Review of Actions by FHWA and Other Federal Agencies.

SUMMARY: This notice announces actions taken by the FHWA and other Federal agencies that are final within the meaning of 23 U.S.C. 139(l)(1). The actions relate to a proposed highway project, Grand Parkway (State Highway 99) Segment G, from Interstate Highway 45 (I–45) to US 59 in Harris and Montgomery Counties, Texas. Those actions grant licenses, permits, and approvals for the project.

DATES: By this notice, the FHWA is advising the public of final agency actions subject to 23 U.S.C. 139(l)(1). A claim seeking judicial review of the Federal agency actions on the highway project will be barred unless the claim is filed on or before July 10, 2011. If the Federal law that authorizes judicial review of a claim provides a time period of less than 180 days for filing such

claim, then that shorter time period still applies.

FOR FURTHER INFORMATION CONTACT: Mr. Gregory Punske, P.E., District Engineer, District B (South), Federal Highway Administration, 300 East 8th Street, Room 826 Austin, Texas 78701; *telephone:* (512) 536–5960; *e-mail: gregory.punske@dot.gov.* The FHWA Texas Division Office's normal business hours are 7:45 a.m. to 4:15 p.m. (central time) Monday through Friday.

You may also contact Dianna Noble, P.E., Environmental Affairs Division, Texas Department of Transportation, 118 E. Riverside Drive, Austin, Texas 78704; *telephone:* (512) 416–2734; *e-mail: Dianna.Noble@txdot.gov.* The Texas Department of Transportation normal business hours are 8 a.m. to 5 p.m. (central time) Monday through Friday.

SUPPLEMENTARY INFORMATION: Notice is hereby given that the FHWA and other Federal agencies have taken final agency actions by issuing licenses, permits, and approvals for the following highway project in the State of Texas: Grand Parkway (State Highway 99) Segment G from I–45 to US 59 in Harris and Montgomery Counties; FHWA Project Reference Number: FHWA–TX–EIS–03–03–F. The project will be a 22.05 km (13.7 mi) long, four-lane controlled access toll road with intermittent frontage roads, grade-separated intersections with exit and entrance ramps at eight intersections, while the need for elevated directional interchanges will be determined during final design. It will begin in northern Harris County at I–45 and then proceed northeast through Montgomery County and end at US 59. The purpose of the project is to efficiently link the suburban communities and major roadways, enhance mobility and safety, and respond to economic growth. The actions by the Federal agencies, and the laws under which such actions were taken, are described in the Final Environmental Impact Statement (FEIS) for the project, approved on January 15, 2009, in the FHWA Record of Decision (ROD) issued on December 29, 2010 and in other documents in the FHWA administrative record. The FEIS, ROD, and other documents in the FHWA administrative record file are available by contacting the FHWA or the Texas Department of Transportation at the addresses provided above. The FHWA FEIS and ROD can be viewed and downloaded from the Grand Parkway Association Web site at *http://www.grandpky.com/segments/g/.*

This notice applies to all Federal agency decisions as of the issuance date of this notice and all laws under which such actions were taken, including but not limited to:

1. *General:* National Environmental Policy Act (NEPA) [42 U.S.C. 4321 *et seq.*]; Federal-Aid Highway Act [23 U.S.C. 109].

2. *Air:* Clean Air Act [42 U.S.C. 7401–7671(q)].

3. *Land:* Section 4(f) of the Department of Transportation Act of 1966 [23 U.S.C. 138 and 49 U.S.C. 303].

4. *Wildlife:* Endangered Species Act [16 U.S.C. 1531–1544]; Fish and Wildlife Coordination Act [16 U.S.C. 661–667(d)]; and, Migratory Bird Treaty Act [16 U.S.C. 703–712].

5. *Historic and Cultural Resources:* Section 106 of the National Historic Preservation Act of 1966, as amended [16 U.S.C. 470]; Archaeological Resources Protection Act of 1979 [16 U.S.C. 470]; Archaeological and Historical Preservation Act [16 U.S.C. 469].

6. *Social and Economic:* Title VI of the Civil Rights Act of 1964 [42 U.S.C. 2000(d) *et seq.*]; Farmland Protection Policy Act (FPPA) [7 U.S.C. 4201–4209].

7. *Wetlands and Water Resources:* Clean Water Act [33 U.S.C. 1251–1342]; Land and Water Conservation Fund (LWCF) [16 U.S.C. 4601–4604].

8. *Executive Orders:* E.O. 11990 Protection of Wetlands; E.O. 11988 Floodplain Management; E.O. 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low Income Populations; E.O. 11514 Protection and Enhancement of Environmental Quality.

(Catalog of Federal Domestic Assistance Program Number 20.205, Highway Planning and Construction. The regulations implementing Executive Order 12372 regarding intergovernmental consultation on Federal programs and activities apply to this program.)

Authority: 23 U.S.C. 139(l)(1).

Issued on: January 4, 2011.

Gregory S. Punske,

District Engineer.

[FR Doc. 2011–336 Filed 1–10–11; 8:45 am]

BILLING CODE 4910–22–P

DEPARTMENT OF TRANSPORTATION

Maritime Administration

[Docket No. MARAD–2010–0111]

Stakeholder Meetings Regarding the U.S.-Flag Great Lakes Fleet Revitalization Study; Correction

AGENCY: Maritime Administration, Department of Transportation.

ACTION: Correction Notice.

SUMMARY: On December 29, 2010, at 75 FR 82141, the Maritime Administration (MARAD) published notice of three public listening-session meetings it is conducting to gather data and comments to inform the Maritime Administration's U.S.-Flag Great Lakes Fleet Revitalization Study. MARAD inadvertently listed the incorrect time zone for the listening-session meetings and this notice corrects that error.

Dates and Addresses: The Cleveland, Ohio meeting will take place on February 15, 2011, from 8 a.m. to 5 p.m., local time. The meeting will be held at Hyatt Regency Cleveland at The Arcade, 420 East Superior Avenue, Cleveland, Ohio 44114.

Persons interested in attending the meeting should register by February 4, 2011.

The Duluth, Minnesota meeting will take place on February 23, 2011, from 8 a.m. to 5 p.m., local time. The meeting will be held at the Inn on Lake Superior, 350 Canal Park Drive, Duluth, Minnesota 55802.

Persons interested in attending the meeting should register by February 11, 2011.

The Chicago, Illinois meeting will take place on February 25, 2011, from 8 a.m. to 5 p.m., local time. The meeting will be held at the Sheraton Chicago Hotel and Towers, 301 East North Water Street, Chicago, Illinois 60611.

Persons interested in attending the meeting should register by February 11, 2011.

FOR FURTHER INFORMATION CONTACT: For general background information or technical information, contact Stephen Shafer, Maritime Administration, Office of Policy and Plans, 1200 New Jersey Avenue, SE., Washington, DC 20590, or by e-mail: *GreatLakesStudy@dot.gov.*

SUPPLEMENTARY INFORMATION:

Registration: The meetings are open to the public. Advanced registration is recommended. To register, interested parties should send their name, group affiliation, and which of the three meetings they will attend to *GreatLakesStudy@absconsulting.com.* The meeting agenda will be sent to registered participants prior to the meeting.

The Public Meeting will be held at a site accessible to individuals with disabilities. Individuals who require accommodations such as sign language interpreters should contact ABS Consulting at *GreatLakesStudy@absconsulting.com,* as soon as possible, but preferably no less than five business days before the scheduled meeting.

By Order of the Maritime Administrator.

Dated: January 4, 2011.

Christine Gurland,

Secretary, Maritime Administration.

[FR Doc. 2011–327 Filed 1–10–11; 8:45 am]

BILLING CODE 4910–81–P

DEPARTMENT OF TRANSPORTATION

Surface Transportation Board

[STB FD 35343]

Susquehanna Union Railroad Company—Control Exemption—North Shore Railroad Company, Nittany & Bald Eagle Railroad Company, Shamokin Valley Railroad Company, Juniata Valley Railroad Company, Lycoming Valley Railroad Company, and Union County Industrial Railroad Company

On April 12, 2010, Susquehanna Union Railroad Company (SURC), a noncarrier holding company, filed a petition for exemption (petition) from the prior approval requirements of 49 U.S.C. 11323(a)(4) to acquire 100% stock control of 6 Class III railroads: North Shore Railroad Company, Nittany & Bald Eagle Railroad Company, Shamokin Valley Railroad Company, Juniata Valley Railroad Company, Lycoming Valley Railroad Company, and Union County Industrial Railroad Company (collectively, System Carriers). By a decision served on August 27, 2010, the Board instituted a proceeding. The Board will grant the exemption.[1]

SURC is a noncarrier holding company owned by Richard D. Robey. Robey also is the sole owner of the System Carriers. Currently, significant management, budgeting, maintenance, and operational functions for the 6 System Carriers take place at a central office in Northumberland, Pa., all overseen by Robey. SURC states that, for the purpose of conforming the corporate structure of the System Carriers with the day-to-day functional management and operations of the System Carriers, it seeks to consolidate the System Carriers into SURC. SURC would obtain 100% stock control of the System Carriers by a noncash tender of 100% of shares in the System Carriers stock from Robey to SURC in exchange for issuance of additional shares of SURC to Robey. As a result, Robey would own and control the 6 System Carriers through SURC.

The acquisition of control of at least 2 rail carriers by a person that is not a rail carrier requires prior approval by the Board under 49 U.S.C. 11323(a)(4). Under 49 U.S.C. 10502(a), however, the Board must exempt a transaction or service from regulation if it finds that: (1) Regulation is not necessary to carry out the rail transportation policy (RTP) of 49 U.S.C. 10101; and (2) either (a) the transaction or service is limited in scope; or (b) regulation is not needed to protect shippers from the abuse of market power.[2]

In this case, an exemption from the prior approval requirements of 49 U.S.C. 11323–25 is consistent with the standards of 49 U.S.C. 10502. Detailed scrutiny of the proposed transaction through an application for review and approval under 49 U.S.C. 11323–25 is not necessary to carry out the RTP. Rather, an exemption will promote that policy by minimizing the need for Federal regulatory control over the proposed transaction and ensuring the development and continuation of a sound rail transportation system that will continue to meet the needs of the shipping public. 49 U.S.C. 10101(2) and (4). By allowing the consolidation of control of the System Carriers through SURC, an exemption would encourage the efficient management of the System Carriers. 49 U.S.C. 10101(9). An exemption also would allow for the expeditious handling and resolution of this transaction. 49 U.S.C. 10101(15). Other aspects of the RTP will not be adversely affected.

Regulation of this transaction is not needed to protect shippers from an abuse of market power. SURC has indicated that the proposed transaction will not result in a change in rail operations or a lessening of competition. The transaction involves only a nominal change of control by means of consolidating 100% stock control of the System Carriers, which Robey currently owns and controls, into a noncarrier holding company, which is owned and controlled by Robey, as well. Given our finding regarding the probable effect of the transaction on market power, we need not determine whether the transaction is limited in scope.

Under 49 U.S.C. 10502(g), the Board may not use its exemption authority to relieve a rail carrier of its statutory obligation to protect the interests of its employees. Section 11326(c), however, does not provide for labor protection for transactions under §§ 11324 and 11325 that involve only Class III rail carriers. Accordingly, the Board may not impose labor protective conditions here because all the carriers involved are Class III rail carriers.

The acquisition of control is exempt from environmental reporting requirements under 49 CFR 1105.6(c)(2)(i) because it will not result in any significant change in carrier operations. Similarly, the transaction is exempt from the historic reporting requirements under 49 CFR 1105.8(b)(3) because it will not substantially change the level of maintenance of railroad properties.

This action will not significantly affect either the quality of the human environment or the conservation of energy resources.

It is ordered:

1. Under 49 U.S.C. 10502, the Board exempts from the prior approval requirements of 49 U.S.C. 11323–25 SURC's acquisition of stock control of the System Carriers.

2. Notice will be published in the **Federal Register** on January 11, 2011.

3. This exemption will be effective on February 10, 2011. Petitions for stay must be filed by January 21, 2011. Petitions to reopen must be filed by January 31, 2011.

By the Board, Chairman Elliott, Vice Chairman Nottingham, and Commissioner Mulvey.

Andrea Pope-Matheson,

Clearance Clerk.

[FR Doc. 2011–350 Filed 1–10–11; 8:45 am]

BILLING CODE 4912–01–P

DEPARTMENT OF THE TREASURY

Internal Revenue Service

Proposed Collection; Comment Request for Form 15597

AGENCY: Internal Revenue Service (IRS), Treasury.

ACTION: Notice and request for comments.

SUMMARY: The Department of the Treasury, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the

[1] SURC's petition also requested that the Board preempt and nullify, under 49 U.S.C. 11321(a), a provision of an operating agreement between SEDA–COG Joint Rail Authority (JRA) and certain System Carriers that lease and operate separate lines owned by JRA. The provision requires JRA to approve any change of control of certain System Carriers. In a letter filed on July 28, 2010, JRA states that the parties successfully concluded settlement negotiations and that it consents to the proposed transaction.

[2] This transaction would normally be subject to the Board's class exemption under 49 CFR 1180.2(d)(3), which exempts a transaction that is within a corporate family that does not result in adverse changes in service levels, significant operational changes, or a change in the competitive balance with carriers outside the corporate family. However, SURC instead filed a petition for exemption in light of the now resolved issues arising from the operating agreement with JRA.

Paperwork Reduction Act of 1995, Public Law 104–13 (44 U.S.C. 3506(c)(2)(A)). Currently, the IRS is soliciting comments concerning Form 15597, Foreclosure Sale Purchaser Contact Information Request.

DATES: Written comments should be received on or before March 14, 2011 to be assured of consideration.

ADDRESSES: Direct all written comments to Yvette Lawrence, Internal Revenue Service, room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224.

FOR FURTHER INFORMATION CONTACT: Requests for additional information or copies of the form and instructions should be directed to Ralph Terry, (202) 622–8144, at Internal Revenue Service, room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224, or through the internet at *Ralph.M.Terry@irs.gov.*

SUPPLEMENTARY INFORMATION:

Title: Foreclosure Sale Purchaser Contact Information Request.

OMB Number: 1545–2199.

Form Number: Form 15597.

Abstract: Form 15597, Foreclosure Sale Purchaser Contact Information Request, is information requested of individuals or businesses that have purchased real property at a third party foreclosure sale. If the IRS has filed a "Notice of Federal Tax Lien" publically notifying a taxpayer's creditors that the taxpayer owes the IRS a tax debt, AND a creditor senior to the IRS position later forecloses on their creditor note (such as the mortgage holder of a TP's primary residence) THEN the IRS tax claim is discharged or removed from the property (if the appropriate foreclosure rules are followed) and the foreclosure sale purchaser buys the property free and clear of the IRS claim EXCEPT that the IRS retains the right to "redeem" or buy back the property from the foreclosure sale purchaser w/in 120 days after the foreclosure sale. Collection of this information is authorized by 28 U.S.C. 2410 and IRC 7425.

Current Actions: There were no changes made to the document that resulted in any change to the burden previously reported to OMB. We are making this submission to renew the OMB approval.

Type of Review: Extension of previously approved collection.

Affected Public: Individuals or households, business or other for-profit groups, not-for-profit institutions, farms, Federal Government, State, local, or Tribal Governments.

Estimated Number of Responses: 550.

Estimated Time per Respondent: 5 minutes.

Estimated Total Annual Burden Hours: 49.

The following paragraph applies to all of the collections of information covered by this notice:

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection of information displays a valid OMB control number. Books or records relating to a collection of information must be retained as long as their contents may become material in the administration of any internal revenue law. Generally, tax returns and tax return information are confidential, as required by 26 U.S.C. 6103.

Request for Comments: Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval. All comments will become a matter of public record. *Comments are invited on:* (a) Whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology; and (e) estimates of capital or start-up costs and costs of operation, maintenance, and purchase of services to provide information.

Approved: January 5, 2010.

Yvette Lawrence,

IRS Reports Clearance Officer.

[FR Doc. 2011–277 Filed 1–10–11; 8:45 am]

BILLING CODE 4830–01–P

DEPARTMENT OF THE TREASURY

Internal Revenue Service

Proposed Collection; Comment Request for Notices 2010–83 and 2011–3

AGENCY: Internal Revenue Service (IRS), Treasury.

ACTION: Notice and request for comments.

SUMMARY: The Department of the Treasury, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995, Public Law 104–13 (44 U.S.C. 3506(c)(2)(A)). Currently, the IRS is soliciting comments concerning Notice 2010–83, Funding Relief for Multiemployer Defined Benefit Plans under PRA 2010 and Notice 2011–3, Special Rules Relating to Funding Relief for Single-Employer Pension Plans under PRA 2010.

DATES: Written comments should be received on or before March 14, 2011 to be assured of consideration.

ADDRESSES: Direct all written comments to Yvette Lawrence, Internal Revenue Service, room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224.

FOR FURTHER INFORMATION CONTACT: Requests for additional information or copies of the form and instructions should be directed to Ralph Terry, (202) 622–8144, at Internal Revenue Service, room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224, or through the Internet at *Ralph.M.Terry@irs.gov.*

SUPPLEMENTARY INFORMATION: *Title:* Funding Relief for Multiemployer Defined Benefit Plans under PRA 2010 and Special Rules Relating to Funding Relief for Single-Employer Pension Plans under PRA 2010.

OMB Number: 1545–2196.

Form Number: Notice 2010–83 and Notice 2011–3.

Abstract: One notice provides guidance in the form of questions and answers for sponsors of multiemployer defined benefit plans with respect to the special funding rules under § 431(b)(8), as added by section 211(a)(2) of the Preservation of Access to Care for Medicare Beneficiaries and Pension Relief Act of 2010 (PRA 2010), Public Law 111–192.

The other notice provides guidance on the special rules relating to funding relief for single-employer defined benefit pension plans (including multiple employer defined benefit pension plans) under the Preservation of Access to Care for Medicare Beneficiaries and Pension Relief Act of 2010 (PRA 2010), Public Law 111–192.

Current Actions: There is no change in the paperwork burden previously approved by OMB. This form is being submitted for renewal purposes only.

Type of Review: Extension of a currently approved collection.

Affected Public: Not-for-profit institutions.

Estimated Number of Respondents: 47,500.

Estimated Time per Respondent: 34 minutes.

Estimated Total Annual Burden Hours: 26,700.

The following paragraph applies to all of the collections of information covered by this notice:

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection of information displays a valid OMB control number. Books or records relating to a collection of information must be retained as long as their contents may become material in the administration of any internal revenue law. Generally, tax returns and tax return information are confidential, as required by 26 U.S.C. 6103.

Request for Comments: Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval. All comments will become a matter of public record. Comments are invited on: (a) Whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology; and (e) estimates of capital or start-up costs and costs of operation, maintenance, and purchase of services to provide information.

Approved: January 5, 2011.

Yvette Lawrence,

IRS Reports Clearance Officer.

[FR Doc. 2011–279 Filed 1–10–11; 8:45 am]

BILLING CODE 4830–01–P

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Part II

Department of Health and Human Services

Center for Medicare & Medicaid Services

42 CFR Parts 405, 409, 410, et al.
Medicare Program; Payment Policies Under the Physician Fee Schedule and Other Revisions to Part B CY 2011; Corrections; Final Rule

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Medicare & Medicaid Services

42 CFR Parts 405, 409, 410, 411, 413, 414, 415, and 424

[CMS–1503–CN2]

RIN 0938–AP79

Medicare Program; Payment Policies Under the Physician Fee Schedule and Other Revisions to Part B for CY 2011; Corrections

AGENCY: Centers for Medicare & Medicaid Services (CMS), HHS.

ACTION: Correction of final rule with comment period.

SUMMARY: This document corrects several technical and typographical errors in the final rule with comment period that appeared in the November 29, 2010 **Federal Register** entitled "Medicare Program; Payment Policies Under the Physician Fee Schedule and Other Revisions to Part B for CY 2011; Final Rule" (75 FR 73170).

DATES: *Effective Date:* This correction is effective January 1, 2011.

FOR FURTHER INFORMATION CONTACT: Rebecca Cole or Erin Smith, (410) 786–4497.

SUPPLEMENTARY INFORMATION:

I. Background

In FR Doc. 2010–27969 of November 29, 2010 (75 FR 73170) (hereinafter referred to as the Calendar Year (CY) 2011 Physician Fee Schedule (PFS) final rule with comment period), there were a number of technical and typographical errors that are identified and corrected in the Correction of Errors section of this notice. The provisions of this notice are effective as if they had been included in the CY 2011 PFS final rule with comment period. Accordingly, the corrections are effective January 1, 2011.

On November 30, 2010, the Physician Payment and Therapy Relief Act of 2010 (Pub. L. 111–286) was signed into law. Section 3 of Public Law 111–286 changed the policy finalized in the CY 2011 PFS final rule with comment period regarding payment reductions applied to multiple therapy services provided to the same patient on the same day and paid for under the PFS effective January 1, 2011.

Further, on December 15, 2010, the Medicare and Medicaid Extenders Act of 2010 (Pub. L. 111–309) was signed into law. Section 101 of Public Law 111–309 provides for a 1-year zero-percent update to the Medicare physician fee schedule (PFS) for CY 2011.

As this correction notice corrects the CY 2011 physician fee schedule final rule with comment period that was released prior to enactment of the statutory changes contained in the two laws noted above, the statutory changes to PFS payments for CY 2011 are not reflected in this correction notice. Payment files reflecting current law as of January 1, 2011 will be made available through usual CMS notices and data files.

II. Summary of Errors

A. Errors in the Preamble

1. Errors in the Budget Neutrality, Conversion, Anesthesia, and Other Factors

On page 73276, we are correcting the adjustments to the PE and malpractice RVUs to match the proportions of the RVU shares to the rebased and revised Medicare Economic Index (MEI), resulting from the corrections of work, PE, and malpractice RVUs discussed in this section. We are making the corresponding changes to Table 45—Calculation of the CY 2011 PFS CF.

On pages 73283 and 73284, we are correcting the figures for the CY 2011 budget neutrality factors, conversion factor (CF), and anesthesia CF to reflect the updated values resulting from the corrections to the work, PE, and malpractice RVUs discussed in this section.

On page 73388, we are correcting Table 60—CY 2011 RVUs for CPT code 77080 to reflect the corrected CF.

2. Errors in Work RVUs

On page 73328, we are correcting the count of AMA RUC work RVU recommendations with which we agreed or disagreed. Due to a typographical error, the published count was incorrect.

On page 73340, we are correcting the CY 2011 Interim Final Work RVU value for CPT code 52332 that was listed incorrectly due to a typographical error.

On page 73341, we are correcting the CY 2011 Interim Final Work RVU value for CPT code 77427 that was incorrect due to a technical error.

On pages 73342 through 73349, we are correcting Table 53: AMA RUC Recommendations and Interim Final Work RVUs for CY 2011 New, Revised, and Potentially Misvalued Codes to reflect the corrections previously listed for CPT codes 52332 and 77427, and to make these additional corrections:

- The AMA RUC–Recommended Work RVU value for CPT code 77427 was incorrect due to a typographical error.
- The CMS Decision and CY 2011 Interim Final Work RVU fields for CPT code 64483 were incorrect due to typographical errors.

3. Errors in the PE RVUs

On pages 73352 and 73353, in Table 54—CPT Codes With Accepted AMA RUC Direct PE Recommendations for CY 2011 Codes, we listed codes for which we accepted on an interim final basis the AMA RUC direct PE recommendations. However, due to technical errors, we did not apply the correct inputs when calculating the PE values for the following CPT codes: 31296, 31297, 37223, 90945, 95800, and 95801. Similar technical errors in the creation of the direct practice expense database resulted in incorrect direct PE inputs for five other CPT codes: 77750, 92506, 93224, 93225, and 93226. The corrections to these direct PE inputs are included in the corrected final CY 2011 direct PE database available under downloads for the CY 2011 PFS final rule with comment period on the CMS Web site at: *http://www.cms.gov/PhysicianFeeSched/PFSFRN/list.asp#TopOfPage.*

Changes to the PE RVUs resulting from the corrections to the direct PE inputs and the work RVU corrections previously noted are reflected in changes to Addendum B and Addendum C. We also note that because work RVUs factor into the calculation for both the malpractice (MP) and practice expense (PE) RVUs, those values for these CPT codes may have also changed, and these subsequent changes are reflected in Addenda B and C. In addition, on page 73188, we are correcting errors in Table 2—Calculation of PE RVUs Under Methodology for Selected Codes (we are correcting the table in its entirety) as a result of changed values for PE RVUs that indirectly resulted from the changes to work RVUs.

Finally, we note that changes in the RVUs for these codes affect additional codes due to various factors related to the relativity of the system including budget neutrality and adjustments to maintain PE RVU shares.

4. Errors in the Malpractice RVUs

In section II.B.2 of the preamble to the CY 2011 PFS final rule with comment period, we discussed malpractice RVUs for new and revised services. These codes are listed on pages 73209 through 73213 in Table 8: Source Codes for CY 2011 New/Revised Codes Used to Set the Malpractice RVUs, and their MP RVU values are listed in Addendum B

and Addendum C. Due to a technical error in the application of the methodology used to calculate the MP RVUs as described in the CY 2011 PFS final rule with comment period, the MP RVU values listed for the following CPT codes were incorrect: 92606, 92607, 92608, 92609, 93452, 93452TC, 93453, 93453TC, 93454, 93454TC, 93457, 93457TC, 93458, 93458TC, 93459, 93459TC, 93460, 93460TC, 93461, 93461TC.

Additionally, changes to the MP RVUs resulting from the correction to the work RVUs for CPT codes 77427 and 52332 as previously described are also reflected in Addendum B and Addendum C. Finally, we note that changes in RVUs previously described affect MP RVUs for additional codes due to various factors related to the relativity of the system.

Due to the changes previously noted, we are correcting errors on pages 73595 through 73596 in Table 101: CY 2011 PFS Final Rule Total Allowed Charge Estimated Impact for RVU, MPPR, and MEI Rebasing Changes and on pages 73598 through 73600 in Table 102: Impact of Final Rule with Comment Period and Estimated Physician Update on CY 2011 Payment for Selected Procedures by replacing the tables in their entirety.

B. Errors in the Addenda

On pages 73630 through 73809 and 73810 through 73815, in Addendum B: CY 2011—Relative Value Units and Related Information Used in Determining Medicare Payments and Addendum C: Codes with Interim RVUs, respectively, we need to correct errors in the work, PE, or MP RVUs (or combinations of these RVUs) for certain existing new and revised CY 2011 CPT codes. These errors are a result of the technical and typographical errors identified and summarized in section II.A. of this correction notice. We note that we are providing these addenda in their entirety.

On page 73831 in Addendum J: List of CPT [1]/HCPCS Codes Used to Define Certain Designated Health Service Categories [2] under Section 1877 of the Social Security Act Effective January 1, 2011 we are correcting a technical error in the short descriptor for HCPCS code G0431.

On pages 73841 through 73859, in Addendum K: CY 2011 ESRD Wage Index for Urban Areas Based on CBSA Labor Market Areas, we made technical and typographical errors in the composite rate wage index for CBSA codes 11540, 12060, 19060, 27740, and 35380, and in the ESRD PPS wage index for CBSA codes 40980 and 43780. On page 73848, we also made a typographical error in the CBSA code for Houston-Sugar Land-Baytown, TX.

On page 73859, in Addendum L: CY 2011 ESRD Wage Index for Rural Areas Based on CBSA Labor Market Areas, we made a technical error in the composite wage index for the nonurban area of Alaska.

III. Correction of Errors

In FR Doc. 2010–27969 of November 29, 2010 make the following corrections:

A. Corrections to the Preamble

1. On page 73188, in Table 2—Calculation of PE RVUs Under Methodology for Selected Codes, the table is corrected to read as follows:

TABLE 2—CALCULATION OF PE RVUS UNDER METHODOLOGY FOR SELECTED CODES

	Step	Source	Formula	99213 Office visit, est nonfacility	33533 CABG, arterial, single facility	71020 Chest x-ray nonfacility	71020–TC	71020–26 Chest xray nonfacility	93000 ECG, complete nonfacility	93005 ECG, tracing nonfacility	93010 ECG, report nonfacility
(1) Labor cost (Lab)	Step 1	AMA		13.32	77.52	5.74	5.74	0.00	6.12	6.12	0.00
(2) Supply cost (Sup)	Step 1	AMA		2.98	7.34	3.39	3.39	0.00	1.19	1.19	0.00
(3) Equipment cost (Eqp.).	Step 1	AMA		0.19	0.65	8.17	8.17	0.00	0.12	0.12	0.00
(4) Direct cost (Dir)	Step 1		=(1)+(2)+(3)	16.50	85.51	17.31	17.31	0.00	7.43	7.43	0.00
(5) Direct adjustment (Dir. Adj).	Steps 2–4	See footnote*		0.50	0.50	0.50	0.50	0.50	0.50	0.50	0.50
(6) Adjusted Labor	Steps 2–4	=Lab * Dir Adj	=(1)*(5)	6.65	38.68	2.86	2.86	0.00	3.05	3.05	0.00
(7) Adjusted Supplies	Steps 2–4	= Sup * Dir Adj	=(2)*(5)	1.49	3.66	1.69	1.69	0.00	0.60	0.60	0.00
(8) Adjusted Equipment	Steps 2–4	= Eqp * Dir Adj	=(3)*(5)	0.10	0.33	4.08	4.08	0.00	0.06	0.06	0.00
(9) Adjusted direct	Steps 2–4		=(6)+(7)+(8)	8.23	42.67	8.64	8.64	0.00	3.71	3.71	0.00
(10) Conversion Factor (CF).	Step 5	PFS		36.87	36.87	36.87	36.87	36.87	36.87	36.87	36.87
(11) Adj. labor cost converted.	Step 5	=(Lab * Dir Adj)/CF	=(6)/(10)	0.18	1.05	0.08	0.08	0.00	0.08	0.08	0.00
(12) Adj. supply cost converted.	Step 5	=(Sup * Dir Adj)/CF	=(7)/(10)	0.04	0.10	0.05	0.05	0.00	0.02	0.02	0.00
(13) Adj. equipment cost converted.	Step 5	=(Eqp * Dir Adj)/CF	=(8)/(10)	0.00	0.01	0.11	0.11	0.00	0.00	0.00	0.00
(14) Adj. direct cost converted.	Step 5		=(11)+(12)+(13)	0.22	1.16	0.23	0.23	0.00	0.10	0.10	0.00
(15) Work RVU	Setup File	PFS		0.97	33.75	0.22	0.00	0.22	0.17	0.00	0.17
(16) Dir_pct	Steps 6, 7	Surveys		0.26	0.18	0.29	0.29	0.29	0.29	0.29	0.29
(17) Ind_pct	Steps 6, 7	Surveys		0.74	0.82	0.71	0.71	0.71	0.71	0.71	0.71
(18) Ind. Alloc. Formula (1st part).	Step 8	See Step 8		((14)/(16)) * (17)	((14)/(16)) * (17)	((14)/(16)) * (17)	((14)/(16)) * (17)	((14)/(16)) * (17)	((14)/(16)) * (17)	((14)/(16)) * (17)	((14)/(16)) * (17)
(19) Ind. Alloc. (1st part)	Step 8		See (18)	0.65	5.27	0.58	0.58	0.00	0.25	0.25	0.00
(20) Ind. Alloc. Formulas (2nd part).	Step 8	See Step 8		(15)	(15)	(15)+(11)	(11)	(15)	(15)+(11)	(11)	(15)
(21) Ind. Alloc. (2nd part).	Step 8		See (20)	0.97	33.75	0.30	0.08	0.22	0.25	0.08	0.17
(22) Indirect Allocator (1st + 2nd).	Step 8		=(19)+(21)	1.62	39.02	0.88	0.66	0.22	0.50	0.33	0.17
(23) Indirect Adjustment (Ind. Adj.).	Steps 9–11	See footnote**		0.37	0.37	0.37	0.37	0.37	0.37	0.37	0.37
(24) Adjusted indirect allocator.	Steps 9–11	=Ind Alloc * Ind Adj		0.60	14.46	0.32	0.24	0.08	0.19	0.12	0.06
(25) Ind. Practice Cost Index (IPCI).	Steps 12–16	See Steps 12–16		1.11	0.83	0.89	0.89	0.89	0.92	0.92	0.92
(26) Adjusted Indirect	Step 17	= Adj.Ind Alloc * PCI.	=(24) * (25)	0.67	12.05	0.29	0.22	0.07	0.17	0.11	0.06
(27) MEI Rebasing Adjustment.	Step 18	PFS		1.18	1.18	1.18	1.18	1.18	1.18	1.18	1.18
(28) MPPR Adjustment	Step 18	PFS		1.01	1.01	1.01	1.01	1.01	1.01	1.01	1.01
(29) PE RVU	Step 18	=(Adj Dir + Adj Ind) * budn * MEI Adj * MPPR Adj.	=((14)+(26)) * budn * (27) * (28)	1.06	15.69	0.62	0.53	0.09	0.32	0.25	0.07

Note: PE RVUs in table 2, row 29, may not match Addendum B due to rounding.
* The direct adj = [current pe rvus * CF * avg dir pct]/[sum direct inputs] = [Step 2]/[Step 3].
** The indirect adj = [current pe rvus * avg ind pct]/[sum of ind allocators] = [Step 9]/[Step 10].

2. On page 73276, third column,
a. Second full paragraph,
(1) Line 23, the figure "1.181" is corrected to read "1.182."
(2) Line 24, the figure "1.358" is corrected to read "1.361."
(3) Line 28, the figure "1.358" is corrected to read "1.361."
b. Last partial paragraph,
(1) Line 10, the figure "0.9181" is corrected to read "0.9175."
(2) Line 11, the figure "1.181" is corrected to read "1.182."
(3) Line 12, the figure "1.358" is corrected to read "1.361."

3. On page 73283,
a. Top half of the page,
(1) First column, first full paragraph,
(a) Line 1, the figure "$25.5217" is corrected to read "$25.4999."
(b) Line 3, the figure "$15.8085" is corrected to read "$15.7999."
(2) Second column, second partial paragraph, last line, the figure "1.0045" is corrected to read "1.0043."
(3) Third column, first partial paragraph,
(a) Line 6, the figure "0.9181" is corrected to read "0.9175."
(b) Line 15, the figure "$25.5217" is corrected to read "$25.4999"
b. Bottom half of the page, in Table 45—Calculation of the CY 2011 PFS CF, the listed entries are corrected to read as follows:

CY 2011 RVU Budget Neutrality Adjustment	0.4 percent (1.0043).	
CY 2011 Rescaling to Match MEI Weights Budget Neutrality Adjustment	−8.3 percent (0.9175).	
CY 2011 Conversion Factor		$25.4999

4. On pages 73283 and 73284, top of the page, in Table 46—Calculation of the CY 2011 Anesthesia Conversion Factor, the listed entries are corrected to read as follows:

CY 2011 Anesthesia Adjustment	−2.4 percent (0.9760).	
CY 2011 Anesthesia Conversion Factor		$15.7999

5. On page 73328, first column, last paragraph,
a. Line 4, the figure "207" is corrected to read "206."
b. Line 7, the figure "84" is corrected to read "85."

6. On page 73340, first column, first full paragraph,
a. Line 9, the number "1.47" is corrected to read "2.60."
b. Line 10, the phrase "25th percentile" is corrected to read "low value."
c. Line 14, the number "1.47" is corrected to read "2.60."

7. On page 73341, third column, last paragraph, line 12, the figure "2.92" is corrected to read "3.37."

8. On pages 73342 through 73349, in Table 53—AMA RUC Recommendations and Interim Final Work RVUs for CY 2011 New, Revised, and Potentially Misvalued Codes the listed entries are corrected to read as follows:

CPT code	Short descriptor	Valued in relation to a potentially misvalued code screen	AMA RUC-recommended work RVUs	CMS decision	CY 2011 interim final work RVUs
52332	Cystoscopy and treatment	x	2.83	Disagree ..	2.60
64483	Inj foramen epidural l/s	x	1.90	Disagree ..	1.75
77427	Radiation tx management x5	x	3.45	Disagree ..	3.37

9. On page 73388, lower third of the page,
a. First column, first paragraph, last line, the figure "$25.5217" is corrected to read "$25.4999."
b. Table 60—CY 2011 RVUs for CPT Code 77080, the table is corrected to read as follows:

TABLE 60—CY 2011 RVUS FOR CPT CODE 77080

[**Note:** Calculated using the current law CY 2011 CF of $25.4999]

CY 2011 CPT code	Mod	CY 2011 physician work RVUs	CY 2011 non-facility PE RVUs	CY 2011 facility PE RVUs	CY 2011 malpractice RVUs
77080	26	0.31	0.10	0.10	0.01
77080	TC	0.00	3.23	NA	0.18
77080		0.31	3.33	NA	0.19

10. On pages 73595 and 73596, in Table 101—CY 2011 PFS Final Rule Total Allowed Charge Estimate Impact for RVU, MPPR, and MEI Rebasing Changes, the table is corrected to read as follows:

BILLING CODE 4120–01–P

TABLE 101: CY 2011 PFS Final Rule Total Allowed Charge Impact for RVU, MPPR, and MEI Rebasing Changes

(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)
Specialty	Allowed Charges (mil)	Impact of Work and MP RVU Changes	Impact of PE RVU and MPPR Changes		Impact of MEI Rebasing	Combined Impact	
			Full	Tran		Full	Tran
Total	$81,980	0%	0%	0%	0%	0%	0%
01-ALLERGY/IMMUNOLOGY	$181	0%	0%	1%	4%	4%	5%
02-ANESTHESIOLOGY	$1,793	0%	4%	2%	-3%	1%	-1%
03-CARDIAC SURGERY	$382	0%	-1%	0%	0%	-1%	-1%
04-CARDIOLOGY	$6,951	0%	-5%	-2%	1%	-5%	-2%
05-COLON AND RECTAL SURGERY	$138	0%	4%	2%	0%	5%	3%
06-CRITICAL CARE	$240	0%	3%	1%	-2%	1%	-1%
07-DERMATOLOGY	$2,749	0%	2%	2%	3%	5%	4%
08-EMERGENCY MEDICINE	$2,600	0%	2%	1%	-3%	-2%	-3%
09-ENDOCRINOLOGY	$395	1%	4%	2%	0%	4%	2%
10-FAMILY PRACTICE	$5,512	0%	4%	2%	0%	4%	2%
11-GASTROENTEROLOGY	$1,800	0%	3%	1%	-1%	2%	1%
12-GENERAL PRACTICE	$728	0%	3%	1%	0%	3%	1%
13-GENERAL SURGERY	$2,286	0%	3%	1%	0%	3%	1%
14-GERIATRICS	$188	0%	5%	2%	-2%	4%	1%
15-HAND SURGERY	$103	0%	4%	2%	2%	6%	4%
16-HEMATOLOGY/ONCOLOGY	$1,912	0%	-4%	-2%	2%	-2%	0%
17-INFECTIOUS DISEASE	$584	0%	4%	2%	-2%	3%	0%
18-INTERNAL MEDICINE	$10,696	0%	3%	2%	-1%	3%	1%
19-INTERVENTIONAL PAIN MGMT	$390	-2%	2%	1%	1%	2%	0%
20-INTERVENTIONAL RADIOLOGY	$224	-2%	-8%	-4%	0%	-9%	-5%
21-MULTISPECIALTY CLINIC/OTHER	$46	0%	-7%	-5%	1%	-6%	-4%
22-NEPHROLOGY	$1,946	1%	1%	1%	-1%	1%	1%
23-NEUROLOGY	$1,457	0%	5%	2%	0%	5%	2%
24-NEUROSURGERY	$642	-2%	1%	0%	1%	0%	-1%
25-NUCLEAR MEDICINE	$59	0%	-7%	-4%	0%	-7%	-4%
27-OBSTETRICS/GYNECOLOGY	$670	0%	1%	1%	1%	2%	2%
28-OPHTHALMOLOGY	$5,287	-1%	4%	0%	1%	4%	0%
29-ORTHOPEDIC SURGERY	$3,432	0%	3%	1%	1%	4%	2%
30-OTOLARNGOLOGY	$941	0%	3%	2%	1%	5%	3%
31-PATHOLOGY	$1,069	-1%	-1%	0%	0%	-2%	-1%
32-PEDIATRICS	$68	0%	2%	1%	0%	2%	1%
33-PHYSICAL MEDICINE	$895	0%	4%	2%	-1%	4%	1%
34-PLASTIC SURGERY	$317	0%	4%	2%	1%	5%	3%
35-PSYCHIATRY	$1,149	1%	2%	1%	-3%	0%	-1%
36-PULMONARY DISEASE	$1,786	-1%	2%	1%	-1%	1%	-1%
37-RADIATION ONCOLOGY	$1,939	-1%	-9%	-3%	4%	-6%	0%
38-RADIOLOGY	$5,052	-2%	-12%	-7%	-1%	-14%	-10%
39-RHEUMATOLOGY	$511	0%	1%	0%	2%	2%	2%
40-THORACIC SURGERY	$398	0%	-1%	0%	0%	-1%	0%
41-UROLOGY	$1,950	-1%	-6%	-3%	1%	-7%	-3%
42-VASCULAR SURGERY	$708	-1%	-3%	-2%	0%	-4%	-3%
43-AUDIOLOGIST	$54	0%	-6%	-1%	1%	-5%	0%
44-CHIROPRACTOR	$756	0%	4%	2%	-2%	2%	0%
45-CLINICAL PSYCHOLOGIST	$577	0%	-6%	-2%	-4%	-10%	-6%
46-CLINICAL SOCIAL WORKER	$390	0%	-5%	-2%	-4%	-9%	-5%
47-DIAGNOSTIC TESTING FACILITY	$909	0%	-27%	-16%	2%	-23%	-15%
48-INDEPENDENT LABORATORY	$1,039	-1%	-7%	-3%	5%	-4%	1%
49-NURSE ANES / ANES ASST	$726	0%	4%	2%	-4%	1%	-1%
50-NURSE PRACTITIONER	$1,212	0%	4%	2%	-1%	4%	1%
51-OPTOMETRY	$970	0%	5%	1%	1%	6%	2%
52-ORAL/MAXILLOFACIAL SURGERY	$40	0%	5%	3%	2%	7%	5%

(A) Specialty	(B) Allowed Charges (mil)	(C) Impact of Work and MP RVU Changes	(D) Impact of PE RVU and MPPR Changes		(F) Impact of MEI Rebasing	(G) Combined Impact	
			Full	Tran		Full	Tran
53-PHYSICAL/OCCUPATIONAL THERAPY	$2,204	0%	0%	-3%	-2%	-1%	-5%
54-PHYSICIAN ASSISTANT	$893	0%	3%	2%	0%	3%	1%
55-PODIATRY	$1,801	0%	6%	3%	1%	7%	4%
56-PORTABLE X-RAY SUPPLIER	$94	0%	2%	1%	6%	7%	6%
57-RADIATION THERAPY CENTERS	$71	0%	-13%	-5%	8%	-6%	3%
98-OTHER	$69	2%	3%	1%	-1%	5%	3%

* Table 101 shows only the payment impact on PFS services. We note that these impacts do not include the effects of the December 2010 and January 2011 conversion factor changes under changes to the law subsequent to November 2, 2010.

11. On pages 73598 through 73600, in Table 102—Impact of Final Rule with Comment Period and Estimated Physician Update on CY 2011 Payment for Selected Procedures, the table is corrected to read as follows:

TABLE 102: Impact of Final Rule With Comment Period and Estimated Physician Update on CY 2011 Payment for Selected Procedures

CPT/HCPCS Code	MOD	Short Descriptor	Facility			Nonfacility		
			CY 2010 [1]	CY 2011 [2]	Percent Change	CY 2010 [1]	CY 2011 [2]	Percent Change
11721		Debride nail 6 or more	$20.72	$19.38	-7%	$31.23	$31.36	0%
17000		Destruct premalg lesion	$40.88	$41.56	2%	$57.91	$59.67	3%
27130		Total hip arthroplasty	$1,084.09	$1,082.94	0%	NA	NA	NA
27244		Treat thigh fracture	$918.31	$920.77	0%	NA	NA	NA
27447		Total knee arthroplasty	$1,159.32	$1,157.65	0%	NA	NA	NA
33533		Cabg arterial single	$1,536.01	$1,491.18	-3%	NA	NA	NA
35301		Rechanneling of artery	$869.49	$848.10	-3%	NA	NA	NA
43239		Upper gi endoscopy biopsy	$133.42	$131.32	-2%	$256.05	$260.09	2%
66821		After cataract laser surgery	$216.59	$223.63	3%	$228.80	$236.63	3%
66984		Cataract surg w/iol 1 stage	$549.57	$558.43	2%	NA	NA	NA
67210		Treatment of retinal lesion	$479.17	$487.03	2%	$494.21	$503.10	2%
71010		Chest x-ray	NA	NA	NA	$18.17	$18.10	0%
71010	26	Chest x-ray	$7.10	$6.63	-7%	$7.10	$6.63	-7%
77056		Mammogram both breasts	NA	NA	NA	$82.61	$83.38	1%
77056	26	Mammogram both breasts	$34.63	$32.64	-6%	$34.63	$32.64	-6%
77057		Mammogram screening	NA	NA	NA	$61.60	$61.20	-1%
77057	26	Mammogram screening	$27.82	$26.26	-6%	$27.82	$26.26	-6%
77427		Radiation tx management x5	$153.00	$135.65	-13%	$153.00	$135.65	-13%
88305	26	Tissue exam by pathologist	$28.67	$27.28	-5%	$28.67	$27.28	-5%
90801		Psy dx interview	$100.21	$92.56	-8%	$120.93	$115.77	-4%
90862		Medication management	$35.77	$33.66	-6%	$44.28	$43.35	-2%
90935		Hemodialysis one evaluation	$53.08	$56.10	5%	NA	NA	NA
92012		Eye exam established pat	$38.32	$38.25	0%	$58.48	$60.18	3%
92014		Eye exam & treatment	$58.48	$58.14	-1%	$85.44	$87.21	2%
92980		Insert intracoronary stent	$689.80	$656.34	-5%	NA	NA	NA
93000		Electrocardiogram complete	NA	NA	NA	$15.61	$15.04	-4%
93010		Electrocardiogram report	$7.10	$6.63	-7%	$7.10	$6.63	-7%
93015		Cardiovascular stress test	NA	NA	NA	$72.67	$69.87	-4%
93307	26	Tte w/o doppler complete	$38.32	$35.70	-7%	$38.32	$35.70	-7%
93458	26	L hrt artery/ventricle angio	NA [3]	$240.46	NA	NA [3]	$240.46	NA
98941		Chiropractic manipulation	$24.13	$23.20	-4%	$27.25	$26.77	-2%
99203		Office/outpatient visit new	$57.34	$56.10	-2%	$76.93	$77.52	1%
99213		Office/outpatient visit est	$38.04	$37.23	-2%	$51.38	$51.76	1%
99214		Office/outpatient visit est	$58.48	$56.86	-3%	$76.93	$77.01	0%
99222		Initial hospital care	$101.62	$99.19	-2%	NA	NA	NA
99223		Initial hospital care	$149.60	$145.60	-3%	NA	NA	NA
99231		Subsequent hospital care	$29.81	$28.81	-3%	NA	NA	NA
99232		Subsequent hospital care	$53.93	$52.27	-3%	NA	NA	NA
99233		Subsequent hospital care	$77.50	$74.97	-3%	NA	NA	NA

CPT/HCPCS Code	MOD	Short Descriptor	Facility			Nonfacility		
			CY 2010 [1]	CY 2011 [2]	Percent Change	CY 2010 [1]	CY 2011 [2]	Percent Change
99236		Observ/hosp same date	$166.06	$160.64	-3%	NA	NA	NA
99239		Hospital discharge day	$77.78	$76.24	-2%	NA	NA	NA
99283		Emergency dept visit	$48.26	$45.90	-5%	NA	NA	NA
99284		Emergency dept visit	$91.41	$86.95	-5%	NA	NA	NA
99291		Critical care first hour	$170.04	$163.19	-4%	$203.25	$198.64	-2%
99292		Critical care addl 30 min	$85.16	$81.85	-4%	$91.97	$89.25	-3%
99348		Home visit est patient	NA	NA	NA	$63.59	$61.71	-3%
99350		Home visit est patient	NA	NA	NA	$130.58	$127.50	-2%
G0008		Immunization admin	NA	NA	NA	$16.75	$17.34	3%

[1] Payments based upon corrected CY 2010 conversion factor of $28.3868 that would have been in effect on December 31, 2010 under the law as of November 2, 2010.
[2] Payments based upon the CY 2011 conversion factor of $25.4999 (under the law as of November 2, 2010), which includes the MEI rescaling factor of 0.9175.
[3]New code for CY 2011. No CY 2010 payment rate is provided as the code did not exist in CY 2010. Prior coding has been completely revised for this service.

B. Corrections to the Addenda

1. On pages 73630 through 73809, in Addendum B: CY 2011–Relative Value Units and Related Information Used in Determining Medicare Payments, the addendum is corrected to read as follows:

ADDENDUM B.--RELATIVE VALUE UNITS AND RELATED INFORMATION USED IN DETERMINING MEDICARE PAYMENTS FOR 2011

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
0001F		I	Heart failure composite	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0005F		I	Osteoarthritis composite	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0012F		I	Cap bacterial assess	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0014F		I	Comp preop assess cat surg	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0015F		I	Melan follow-up complete	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0019T		C	Extracorp shock wv tx ms nos	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0030T		C	Antiprothrombin antibody	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0042T		C	Ct perfusion w/contrast cbf	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0048T		C	Implant ventricular device	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0050T		C	Removal circulation assist	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0051T		C	Implant total heart system	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0052T		C	Replace component heart syst	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0053T		C	Replace component heart syst	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0054T		C	Bone surgery using computer	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0055T		C	Bone surgery using computer	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0058T		C	Cryopreservation ovary tiss	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0059T		C	Cryopreservation oocyte	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0071T		C	U/s leiomyomata ablate <200	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0072T		C	U/s leiomyomata ablate >200	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0073T		A	Delivery comp imrt	0.00	13.34	15.37	NA	NA	0.01	XXX
0075T		C	Perq stent/chest vert art	0.00	0.00	0.00	NA	NA	0.00	XXX
0075T	TC	C	Perq stent/chest vert art	0.00	0.00	0.00	NA	NA	0.00	XXX
0075T	26	C	Perq stent/chest vert art	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0076T		C	S&i stent/chest vert art	0.00	0.00	0.00	NA	NA	0.00	XXX
0076T	TC	C	S&i stent/chest vert art	0.00	0.00	0.00	NA	NA	0.00	XXX
0076T	26	C	S&i stent/chest vert art	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
0078T		C	Endovasc aort repr w/device	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0079T		C	Endovasc visc extnsn repr	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0080T		C	Endovasc aort repr rad s&i	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0081T		C	Endovasc visc extnsn s&i	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0085T		N	Breath test heart reject	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0092T		C	Artific disc addl	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0095T		C	Artific diskectomy addl	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0098T		C	Rev artific disc addl	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0099T		C	Implant corneal ring	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0100T		C	Prosth retina receive&gen	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0101T		C	Extracorp shockwv tx hi enrg	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0102T		C	Extracorp shockwv tx anesth	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0103T		C	Holotranscobalamin	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0106T		C	Touch quant sensory test	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0107T		C	Vibrate quant sensory test	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0108T		C	Cool quant sensory test	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0109T		C	Heat quant sensory test	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0110T		C	Nos quant sensory test	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0111T		C	Rbc membranes fatty acids	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0123T		C	Scleral fistulization	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0124T		C	Conjunctival drug placement	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0126T		C	Chd risk imt study	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0141T		I	Perq islet transplant	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0142T		I	Open islet transplant	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0143T		I	Laparoscopic islet transplant	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0155T		C	Lap impl gast curve electrd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0156T		C	Lap remv gast curve electrd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0157T		C	Open impl gast curve electrd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0158T		C	Open remv gast curve electrd	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
0159T		C	Cad breast mri	0.00	0.00	0.00	NA	NA	0.00	ZZZ
0159T	TC	C	Cad breast mri	0.00	0.00	0.00	NA	NA	0.00	ZZZ
0159T	26	C	Cad breast mri	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
0163T		C	Lumb artif diskectomy addl	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0164T		C	Remove lumb artif disc addl	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0165T		C	Revise lumb artif disc addl	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0166T		C	Tcath vsd close w/o bypass	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0167T		C	Tcath vsd close w/bypass	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0168T		C	Rhinophototx light app bilat	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0169T		C	Place stereo cath brain	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0171T		C	Lumbar spine proces distract	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0172T		C	Lumbar spine process addl	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0173T		C	Iop monit io pressure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0174T		C	Cad cxr with interp	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0175T		C	Cad cxr remote	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0178T		C	64 lead ecg w/i&r	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0179T		C	64 lead ecg w/tracing	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0180T		C	64 lead ecg w/i&r only	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0181T		C	Corneal hysteresis	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0182T		C	Hdr elect brachytherapy	0.00	0.00	0.00	NA	NA	0.00	XXX
0182T	TC	C	Hdr elect brachytherapy	0.00	0.00	0.00	NA	NA	0.00	XXX
0182T	26	C	Hdr elect brachytherapy	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0183T		C	Wound ultrasound	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0184T		C	Exc rectal tumor endoscopic	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0185T		C	Comptr probability analysis	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0186T		C	Suprachoroidal drug delivery	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0188T		N	Videoconf crit care 74 min	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0189T		N	Videoconf crit care addl 30	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0190T		C	Place intraoc radiation src	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
0191T		C	Insert ant segment drain int	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0192T		C	Insert ant segment drain ext	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0195T		C	Arthrod presac interbody	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0196T		C	Arthrod presac interbody eac	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0197T		C	Intrafraction track motion	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0198T		C	Ocular blood flow measure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0199T		C	Physiologic tremor record	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0200T		C	Perq sacral augmt unilat inj	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0201T		C	Perq sacral augmt bilat inj	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0202T		C	Post vert arthrplst 1 lumbar	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0205T		C	Inirs each vessel add-on	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
0206T		C	Remote algorithm analys ecg	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0207T		C	Clear eyelid gland w/heat	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0208T		C	Audiometry air only	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0209T		C	Audiometry air & bone	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0210T		C	Speech audiometry threshold	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0211T		C	Speech audiom thresh & recog	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0212T		C	Compre audiometry evaluation	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0213T		C	Njx paravert w/us cer/thor	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0214T		C	Njx paravert w/us cer/thor	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
0215T		C	Njx paravert w/us cer/thor	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
0216T		C	Njx paravert w/us lumb/sac	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0217T		C	Njx paravert w/us lumb/sac	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
0218T		C	Njx paravert w/us lumb/sac	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
0219T		C	Plmt post facet implt cerv	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0220T		C	Plmt post facet implt thor	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0221T		C	Plmt post facet implt lumb	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0222T		C	Plmt post facet implt addl	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
0223T		C	Acoustic ecg w/i&r	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
0224T		C	Acoustic ecg 1+ analysis	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0225T		C	Acoustic ecg analy & reprog	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0226T		C	Anoscopy hra w/spec collect	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0227T		C	Anoscopy hra w/biopsy	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0228T		C	Njx tfrml eprl w/us cer/thor	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0229T		C	Njx tfrml eprl w/us cer/thor	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0230T		C	Njx tfrml eprl w/us lumb/sac	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0231T		C	Njx tfrml eprl w/us lumb/sac	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0232T		C	Njx platelet plasma	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0233T		C	Skin glycation spectroscopy	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0234T		C	Trluml perip athrc renal art	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0235T		C	Trluml perip athrc visceral	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0236T		C	Trluml perip athrc abd aorta	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0237T		C	Trluml perip athrc brchiocph	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0238T		C	Trluml perip athrc iliac art	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0239T		C	Bioimpedance spectroscopy	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0240T		C	Esoph motility 3d topography	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0240T	TC	C	Esoph motility 3d topography	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0240T	26	C	Esoph motility 3d topography	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0241T		C	Esoph motility w/stim/perf	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0241T	TC	C	Esoph motility w/stim/perf	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0241T	26	C	Esoph motility w/stim/perf	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0242T		C	Gi tract transit & pres meas	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0242T	TC	C	Gi tract transit & pres meas	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0242T	26	C	Gi tract transit & pres meas	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0243T		C	Intm msr bronchodil wheeze	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0243T	TC	C	Intm msr bronchodil wheeze	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0243T	26	C	Intm msr bronchodil wheeze	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0244T		C	Cont msr bronchodil wheeze	0.00	0.00	0.00	0.00	0.00	0.00	YYY

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
0244T	TC	C	Cont msr bronchodil wheeze	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0244T	26	C	Cont msr bronchodil wheeze	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0245T		C	Opn tx rib fx 1-2 ribs	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0246T		C	Opn tx rib fx 3-4 ribs	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0247T		C	Opn tx rib fx 5-6 ribs	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0248T		C	Opn tx rib fx 7+ ribs	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0249T		C	Ligation hemorrhoid w/us	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0250T		C	Insert bronchial valve	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0251T		C	Remov bronchial valve addl	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0252T		C	Bronchscpc rmvl bronch valve	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0253T		C	Insert aqueous drain device	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0254T		C	Evasc rpr iliac art bifur	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0255T		C	Evasc rpr iliac art bifr s&i	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0255T	TC	C	Evasc rpr iliac art bifr s&i	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0255T	26	C	Evasc rpr iliac art bifr s&i	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0256T		C	Evasc aortic hrt valve	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0257T		C	Opn tthrc aortic hrt valve	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0258T		C	Aortic hrt valv w/o card byp	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0259T		C	Aortic hrt valve w/card byp	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0260T		C	Hypthrm bdy neonate 28d/<	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0261T		C	Hypthrm head neonate 28d/<	0.00	0.00	0.00	0.00	0.00	0.00	YYY
0501F		I	Prenatal flow sheet	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0502F		I	Subsequent prenatal care	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0503F		I	Postpartum care visit	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0516F		I	Anemia plan of care docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0519F		I	Pland chemo docd b/4 txmnt	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0525F		I	Initial visit for episode	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0528F		I	Rcmnd flw-up 10 yrs docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
0535F		I	Dyspnea mngmnt plan docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
0545F		I	Follow up care plan mdd docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1000F		I	Tobacco use assessed	0.00	0.00	0.00	0.00	0.00	0.00	XXX
10021		A	Fna w/o image	1.27	2.78	2.72	0.64	0.59	0.22	XXX
10022		A	Fna w/image	1.27	2.47	2.62	0.52	0.52	0.14	XXX
1003F		I	Level of activity assess	0.00	0.00	0.00	0.00	0.00	0.00	XXX
10040		A	Acne surgery	1.21	1.65	1.60	1.29	1.23	0.18	010
1004F		I	Clin symp vol ovrld assess	0.00	0.00	0.00	0.00	0.00	0.00	XXX
10060		A	Drainage of skin abscess	1.22	2.00	1.87	1.46	1.37	0.12	010
10061		A	Drainage of skin abscess	2.45	2.75	2.60	2.04	1.94	0.30	010
10080		A	Drainage of pilonidal cyst	1.22	3.62	3.50	1.58	1.47	0.20	010
10081		A	Drainage of pilonidal cyst	2.50	4.78	4.63	2.14	1.98	0.45	010
1008F		I	Gi/renal risk assess	0.00	0.00	0.00	0.00	0.00	0.00	XXX
10120		A	Remove foreign body	1.25	2.67	2.55	1.34	1.26	0.16	010
10121		A	Remove foreign body	2.74	4.82	4.54	2.37	2.22	0.41	010
10140		A	Drainage of hematoma/fluid	1.58	2.93	2.76	1.70	1.64	0.20	010
1015F		I	Copd symptoms assess	0.00	0.00	0.00	0.00	0.00	0.00	XXX
10160		A	Puncture drainage of lesion	1.25	2.37	2.27	1.41	1.36	0.16	010
10180		A	Complex drainage wound	2.30	4.35	4.13	2.50	2.40	0.48	010
1018F		I	Assess dyspnea not present	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1019F		I	Assess dyspnea present	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1022F		I	Pneumo imm status assess	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1026F		I	Co-morbid condition assess	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1030F		I	Influenza imm status assess	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1034F		I	Current tobacco smoker	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1035F		I	Smokeless tobacco user	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1050F		I	History of mole changes	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1055F		I	Visual funct status assess	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1060F		I	Doc perm/cont/parox atr fib	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1061F		I	Doc lack perm+cont+parox fib	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
1065F		I	Ischm stroke symp lt3 hrsb/4	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1066F		I	Ischm stroke symp ge3 hrsb/4	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1070F		I	Alarm symp assessed-absent	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1071F		I	Alarm symp assessed-1+ prsnt	0.00	0.00	0.00	0.00	0.00	0.00	XXX
11000		A	Debride infected skin	0.60	0.93	0.88	0.21	0.22	0.05	000
11001		A	Debride infected skin add-on	0.30	0.29	0.29	0.10	0.11	0.03	ZZZ
11004		A	Debride genitalia & perineum	10.80	NA	NA	4.73	4.56	1.89	000
11005		A	Debride abdom wall	14.24	NA	NA	6.33	5.90	2.97	000
11006		A	Debride genit/per/abdom wall	13.10	NA	NA	5.85	5.60	2.34	000
11008		A	Remove mesh from abd wall	5.00	NA	NA	2.21	2.07	1.05	ZZZ
11010		A	Debride skin at fx site	4.19	9.45	8.95	3.50	3.28	0.76	010
11011		A	Debride skin musc at fx site	4.94	9.62	9.34	3.11	2.92	0.98	000
11012		A	Deb skin bone at fx site	6.87	12.50	12.29	4.68	4.46	1.31	000
11042		A	Deb subq tissue 20 sq cm/<	0.80	2.13	1.66	0.62	0.50	0.10	000
11043		A	Deb musc/fascia 20 sq cm/<	2.00	3.30	3.30	1.28	1.28	0.33	000
11044		A	Deb bone 20 sq cm/<	3.60	4.35	4.35	2.03	2.03	0.63	000
11045		A	Deb subq tissue add-on	0.33	0.51	0.51	0.13	0.13	0.07	ZZZ
11046		A	Deb musc/fascia add-on	0.70	0.77	0.77	0.31	0.31	0.12	ZZZ
11047		A	Deb bone add-on	1.20	1.19	1.19	0.54	0.54	0.22	ZZZ
11055		R	Trim skin lesion	0.43	1.01	0.95	0.13	0.14	0.03	000
11056		R	Trim skin lesions 2 to 4	0.61	1.09	1.04	0.18	0.20	0.04	000
11057		R	Trim skin lesions over 4	0.79	1.23	1.17	0.24	0.26	0.05	000
11100		A	Biopsy skin lesion	0.81	2.10	2.10	0.59	0.54	0.11	000
11101		A	Biopsy skin add-on	0.41	0.51	0.50	0.30	0.28	0.05	ZZZ
1118F		I	GERD symps assessed 12 month	0.00	0.00	0.00	0.00	0.00	0.00	XXX
11200		A	Removal of skin tags	0.82	1.61	1.53	1.22	1.15	0.11	010
11201		A	Remove skin tags add-on	0.29	0.24	0.22	0.18	0.17	0.04	ZZZ
11300		A	Shave skin lesion	0.51	1.45	1.42	0.33	0.30	0.07	000
11301		A	Shave skin lesion	0.85	1.78	1.75	0.59	0.54	0.11	000

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
11302		A	Shave skin lesion	1.05	2.08	2.05	0.75	0.68	0.14	000
11303		A	Shave skin lesion	1.24	2.45	2.40	0.87	0.79	0.18	000
11305		A	Shave skin lesion	0.67	1.33	1.27	0.26	0.27	0.05	000
11306		A	Shave skin lesion	0.99	1.71	1.67	0.52	0.51	0.11	000
11307		A	Shave skin lesion	1.14	2.04	2.00	0.68	0.66	0.14	000
11308		A	Shave skin lesion	1.41	2.15	2.08	0.71	0.69	0.14	000
11310		A	Shave skin lesion	0.73	1.67	1.64	0.49	0.45	0.10	000
11311		A	Shave skin lesion	1.05	1.95	1.92	0.74	0.69	0.14	000
11312		A	Shave skin lesion	1.20	2.27	2.24	0.86	0.79	0.18	000
11313		A	Shave skin lesion	1.62	2.69	2.64	1.14	1.04	0.24	000
1134F		I	Epsd bk pain for =< 6 wks	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1135F		I	Epsd bk pain for > 6 wks	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1136F		I	Epsd bk pain for <= 12 wks	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1137F		I	Epsd bk pain for > 12 wks	0.00	0.00	0.00	0.00	0.00	0.00	XXX
11400		A	Exc tr-ext b9+marg 0.5 < cm	0.90	2.50	2.43	1.32	1.23	0.12	010
11401		A	Exc tr-ext b9+marg 0.6-1 cm	1.28	2.84	2.74	1.61	1.50	0.20	010
11402		A	Exc tr-ext b9+marg 1.1-2 cm	1.45	3.11	3.01	1.71	1.59	0.24	010
11403		A	Exc tr-ext b9+marg 2.1-3 cm	1.84	3.40	3.25	2.21	2.04	0.31	010
11404		A	Exc tr-ext b9+marg 3.1-4 cm	2.11	3.83	3.66	2.33	2.16	0.37	010
11406		A	Exc tr-ext b9+marg > 4.0 cm	3.52	4.91	4.57	3.11	2.81	0.67	010
11420		A	Exc h-f-nk-sp b9+marg 0.5 <	1.03	2.39	2.30	1.26	1.20	0.12	010
11421		A	Exc h-f-nk-sp b9+marg 0.6-1	1.47	2.89	2.78	1.62	1.52	0.22	010
11422		A	Exc h-f-nk-sp b9+marg 1.1-2	1.68	3.16	3.04	2.09	1.96	0.26	010
11423		A	Exc h-f-nk-sp b9+marg 2.1-3	2.06	3.52	3.38	2.30	2.15	0.33	010
11424		A	Exc h-f-nk-sp b9+marg 3.1-4	2.48	3.90	3.75	2.46	2.30	0.41	010
11426		A	Exc h-f-nk-sp b9+marg > 4 cm	4.09	4.96	4.69	3.38	3.12	0.71	010
11440		A	Exc face-mm b9+marg 0.5 < cm	1.05	2.66	2.59	1.82	1.72	0.16	010
11441		A	Exc face-mm b9+marg 0.6-1 cm	1.53	3.12	3.02	2.14	2.02	0.24	010
11442		A	Exc face-mm b9+marg 1.1-2 cm	1.77	3.45	3.33	2.30	2.17	0.29	010

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
11443		A	Exc face-mm b9+marg 2.1-3 cm	2.34	3.86	3.71	2.63	2.46	0.38	010
11444		A	Exc face-mm b9+marg 3.1-4 cm	3.19	4.58	4.37	3.12	2.90	0.52	010
11446		A	Exc face-mm b9+marg > 4 cm	4.80	5.96	5.53	4.21	3.82	0.80	010
11450		A	Removal sweat gland lesion	3.22	7.06	6.67	3.54	3.21	0.65	090
11451		A	Removal sweat gland lesion	4.43	8.44	8.13	4.19	3.84	0.90	090
11462		A	Removal sweat gland lesion	3.00	7.06	6.75	3.49	3.20	0.60	090
11463		A	Removal sweat gland lesion	4.43	8.66	8.43	4.33	4.01	0.88	090
11470		A	Removal sweat gland lesion	3.74	7.54	7.07	3.92	3.55	0.71	090
11471		A	Removal sweat gland lesion	4.89	8.93	8.45	4.52	4.09	0.91	090
1150F		I	Doc pt rsk death w/in 1yr	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1151F		I	Doc no pt rsk death w/in 1yr	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1152F		I	Doc advncd dis comfort 1st	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1153F		I	Doc advncd dis cmfrt not 1st	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1157F		I	Advnc care plan in rcrd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1158F		I	Advnc care plan tlk docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1159F		I	Med list docd in rcrd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
11600		A	Exc tr-ext mlg+marg 0.5 < cm	1.63	3.66	3.49	1.68	1.53	0.26	010
11601		A	Exc tr-ext mlg+marg 0.6-1 cm	2.07	4.30	4.16	2.13	1.97	0.31	010
11602		A	Exc tr-ext mlg+marg 1.1-2 cm	2.27	4.66	4.54	2.35	2.18	0.34	010
11603		A	Exc tr-ext mlg+marg 2.1-3 cm	2.82	5.07	4.88	2.69	2.45	0.42	010
11604		A	Exc tr-ext mlg+marg 3.1-4 cm	3.17	5.54	5.31	2.83	2.57	0.52	010
11606		A	Exc tr-ext mlg+marg > 4 cm	5.02	7.33	6.85	3.77	3.35	0.88	010
1160F		I	Rvw meds by rx/dr in rcrd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
11620		A	Exc h-f-nk-sp mlg+marg 0.5 <	1.64	3.72	3.57	1.73	1.58	0.26	010
11621		A	Exc h-f-nk-sp mlg+marg 0.6-1	2.08	4.34	4.20	2.15	2.00	0.31	010
11622		A	Exc h-f-nk-sp mlg+marg 1.1-2	2.41	4.76	4.64	2.45	2.28	0.37	010
11623		A	Exc h-f-nk-sp mlg+marg 2.1-3	3.11	5.28	5.07	2.87	2.62	0.49	010
11624		A	Exc h-f-nk-sp mlg+marg 3.1-4	3.62	5.78	5.53	3.09	2.82	0.60	010
11626		A	Exc h-f-nk-sp mlg+mar > 4 cm	4.61	6.67	6.36	3.56	3.27	0.80	010

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11640		A	Exc face-mm malig+marg 0.5 <	1.67	3.90	3.76	1.84	1.70	0.26	010
11641		A	Exc face-mm malig+marg 0.6-1	2.17	4.49	4.38	2.26	2.13	0.33	010
11642		A	Exc face-mm malig+marg 1.1-2	2.62	4.99	4.88	2.60	2.44	0.39	010
11643		A	Exc face-mm malig+marg 2.1-3	3.42	5.52	5.33	3.08	2.86	0.54	010
11644		A	Exc face-mm malig+marg 3.1-4	4.34	6.65	6.39	3.68	3.40	0.71	010
11646		A	Exc face-mm mlg+marg > 4 cm	6.26	8.06	7.67	4.83	4.46	1.05	010
11719		R	Trim nail(s)	0.17	0.47	0.44	0.05	0.06	0.01	000
11720		A	Debride nail 1-5	0.32	0.58	0.55	0.10	0.10	0.03	000
11721		A	Debride nail 6 or more	0.54	0.67	0.65	0.16	0.18	0.04	000
11730		A	Removal of nail plate	1.10	1.66	1.59	0.34	0.36	0.08	000
11732		A	Remove nail plate add-on	0.57	0.68	0.65	0.17	0.19	0.04	ZZZ
11740		A	Drain blood from under nail	0.37	1.00	0.94	0.54	0.52	0.03	000
11750		A	Removal of nail bed	2.50	3.75	3.54	2.39	2.31	0.22	010
11752		A	Remove nail bed/finger tip	3.63	5.39	5.01	3.71	3.59	0.39	010
11755		A	Biopsy nail unit	1.31	2.50	2.40	0.94	0.94	0.11	000
11760		A	Repair of nail bed	1.63	4.72	4.32	2.04	1.95	0.26	010
11762		A	Reconstruction of nail bed	2.94	4.89	4.56	2.23	2.25	0.31	010
11765		A	Excision of nail fold toe	0.74	3.38	3.16	1.27	1.20	0.05	010
11770		A	Removal of pilonidal lesion	2.66	4.78	4.51	2.26	2.07	0.52	010
11771		A	Removal of pilonidal lesion	6.09	9.29	8.62	5.47	5.01	1.24	090
11772		A	Removal of pilonidal lesion	7.35	10.93	10.31	7.84	7.28	1.47	090
1180F		I	Thromboemb risk assessed	0.00	0.00	0.00	0.00	0.00	0.00	XXX
11900		A	Injection into skin lesions	0.52	1.04	1.04	0.38	0.34	0.07	000
11901		A	Added skin lesions injection	0.80	1.18	1.16	0.59	0.54	0.11	000
11920		R	Correct skin color defects	1.61	3.13	3.22	1.59	1.48	0.31	000
11921		R	Correct skin color defects	1.93	3.60	3.62	1.85	1.71	0.37	000
11922		R	Correct skin color defects	0.49	1.23	1.20	0.35	0.32	0.08	ZZZ
11950		R	Therapy for contour defects	0.84	1.05	1.13	0.49	0.49	0.10	000
11951		R	Therapy for contour defects	1.19	1.51	1.50	0.75	0.69	0.24	000

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11952		R	Therapy for contour defects	1.69	1.62	1.85	0.81	0.88	0.24	000
11954		R	Therapy for contour defects	1.85	2.57	2.50	1.39	1.24	0.35	000
11960		A	Insert tissue expander(s)	11.49	NA	NA	13.06	13.08	1.84	090
11970		A	Replace tissue expander	8.01	NA	NA	9.05	8.36	1.57	090
11971		A	Remove tissue expander(s)	3.41	9.51	9.48	5.38	5.10	0.64	090
11975		N	Insert contraceptive cap	1.48	2.14	2.12	0.65	0.65	0.10	XXX
11976		R	Removal of contraceptive cap	1.78	2.14	2.17	0.83	0.76	0.30	000
11977		N	Removal/reinsert contra cap	3.30	2.97	2.97	1.45	1.43	0.24	XXX
11980		A	Implant hormone pellet(s)	1.48	1.37	1.34	0.73	0.68	0.23	000
11981		A	Insert drug implant device	1.48	2.18	2.23	0.71	0.74	0.24	XXX
11982		A	Remove drug implant device	1.78	2.24	2.37	0.84	0.90	0.24	XXX
11983		A	Remove/insert drug implant	3.30	2.55	2.87	1.36	1.56	0.35	XXX
12001		A	Repair superficial wound(s)	0.84	1.52	1.84	0.38	0.64	0.14	000
12002		A	Repair superficial wound(s)	1.14	1.72	1.98	0.46	0.75	0.19	000
12004		A	Repair superficial wound(s)	1.44	1.92	2.25	0.55	0.85	0.24	000
12005		A	Repair superficial wound(s)	1.97	2.39	2.77	0.73	1.04	0.33	000
12006		A	Repair superficial wound(s)	2.39	2.87	3.31	0.91	1.27	0.41	000
12007		A	Repair superficial wound(s)	2.90	3.20	3.73	1.07	1.50	0.50	000
1200F		I	Seizure type& frequ docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
12011		A	Repair superficial wound(s)	1.07	1.87	2.12	0.43	0.68	0.19	000
12013		A	Repair superficial wound(s)	1.22	1.88	2.22	0.46	0.77	0.20	000
12014		A	Repair superficial wound(s)	1.57	2.08	2.47	0.57	0.89	0.26	000
12015		A	Repair superficial wound(s)	1.98	2.47	2.97	0.67	1.04	0.33	000
12016		A	Repair superficial wound(s)	2.68	2.92	3.46	0.91	1.29	0.46	000
12017		A	Repair superficial wound(s)	3.18	NA	NA	0.76	1.34	0.56	000
12018		A	Repair superficial wound(s)	3.61	NA	NA	0.85	1.78	0.64	000
12020		A	Closure of split wound	2.67	4.93	4.73	2.47	2.35	0.42	010
12021		A	Closure of split wound	1.89	2.63	2.45	1.94	1.80	0.31	010
12031		A	Intmd wnd repair s/tr/ext	2.20	4.76	4.53	2.41	2.20	0.35	010

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
12032		A	Intmd wnd repair s/tr/ext	2.52	6.07	6.02	2.98	2.85	0.38	010
12034		A	Intmd wnd repair s/tr/ext	2.97	5.66	5.43	2.72	2.53	0.50	010
12035		A	Intmd wnd repair s/tr/ext	3.47	6.91	6.68	2.99	2.82	0.64	010
12036		A	Intmd wnd repair s/tr/ext	4.09	7.24	6.93	3.26	3.07	0.78	010
12037		A	Intmd wnd repair s/tr/ext	4.71	7.90	7.60	3.79	3.59	0.90	010
12041		A	Intmd wnd repair n-hf/genit	2.42	4.86	4.61	2.47	2.26	0.37	010
12042		A	Intmd wnd repair n-hg/genit	2.79	5.39	5.27	2.87	2.67	0.41	010
12044		A	Intmd wnd repair n-hg/genit	3.19	6.73	6.32	2.71	2.53	0.52	010
12045		A	Intmd wnd repair n-hg/genit	3.68	6.62	6.44	2.93	2.79	0.63	010
12046		A	Intmd wnd repair n-hg/genit	4.29	7.80	7.63	3.47	3.30	0.84	010
12047		A	Intmd wnd repair n-hg/genit	4.69	8.61	8.25	3.52	3.48	0.91	010
12051		A	Intmd wnd repair face/mm	2.52	5.04	4.91	2.63	2.45	0.39	010
12052		A	Intmd wnd repair face/mm	2.87	5.80	5.64	3.36	3.11	0.42	010
12053		A	Intmd wnd repair face/mm	3.17	6.46	6.19	2.89	2.69	0.50	010
12054		A	Intmd wnd repair face/mm	3.50	6.71	6.37	2.77	2.60	0.59	010
12055		A	Intmd wnd repair face/mm	4.47	7.84	7.36	2.98	2.81	0.73	010
12056		A	Intmd wnd repair face/mm	5.28	9.98	9.03	4.88	4.14	0.67	010
12057		A	Intmd wnd repair face/mm	6.00	11.67	10.33	4.80	4.42	0.76	010
1205F		I	EPI etiol synd rvwd and docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
1220F		I	Pt screened for depression	0.00	0.00	0.00	0.00	0.00	0.00	XXX
13100		A	Repair of wound or lesion	3.17	5.53	5.47	3.38	3.24	0.50	010
13101		A	Repair of wound or lesion	3.96	7.14	7.04	4.05	3.86	0.61	010
13102		A	Repair wound/lesion add-on	1.24	1.77	1.69	0.84	0.76	0.23	ZZZ
13120		A	Repair of wound or lesion	3.35	5.71	5.63	3.54	3.37	0.52	010
13121		A	Repair of wound or lesion	4.42	8.01	7.86	4.84	4.58	0.67	010
13122		A	Repair wound/lesion add-on	1.44	1.85	1.79	0.94	0.85	0.26	ZZZ
13131		A	Repair of wound or lesion	3.83	6.18	6.08	3.92	3.75	0.59	010
13132		A	Repair of wound or lesion	6.58	9.72	9.45	6.72	6.37	0.98	010
13133		A	Repair wound/lesion add-on	2.19	2.51	2.40	1.54	1.42	0.34	ZZZ

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
13150		A	Repair of wound or lesion	3.85	6.09	5.98	3.86	3.65	0.61	010
13151		A	Repair of wound or lesion	4.49	6.86	6.73	4.45	4.25	0.67	010
13152		A	Repair of wound or lesion	6.37	9.35	9.12	5.58	5.31	0.95	010
13153		A	Repair wound/lesion add-on	2.38	2.79	2.65	1.63	1.49	0.38	ZZZ
13160		A	Late closure of wound	12.04	NA	NA	10.05	9.46	2.23	090
14000		A	Skin tissue rearrangement	6.37	11.01	10.70	7.76	7.41	1.13	090
14001		A	Skin tissue rearrangement	8.78	13.57	13.18	9.64	9.27	1.54	090
1400F		I	Prkns diag rviewed	0.00	0.00	0.00	0.00	0.00	0.00	XXX
14020		A	Skin tissue rearrangement	7.22	12.34	12.00	8.87	8.54	1.21	090
14021		A	Skin tissue rearrangement	9.72	14.82	14.40	10.74	10.39	1.55	090
14040		A	Skin tissue rearrangement	8.60	12.98	12.60	9.50	9.17	1.32	090
14041		A	Skin tissue rearrangement	10.83	15.87	15.47	11.45	11.07	1.62	090
14060		A	Skin tissue rearrangement	9.23	12.70	12.24	9.97	9.55	1.42	090
14061		A	Skin tissue rearrangement	11.48	17.20	16.77	12.32	11.92	1.71	090
14301		A	Skin tissue rearrangement	12.65	17.56	17.56	12.31	12.31	2.14	090
14302		A	Skin tissue rearrange add-on	3.73	2.55	2.55	2.55	2.55	0.63	ZZZ
14350		A	Skin tissue rearrangement	11.05	NA	NA	8.72	8.62	1.55	090
15002		A	Wound prep trk/arm/leg	3.65	5.86	5.51	2.61	2.37	0.65	000
15003		A	Wound prep addl 100 cm	0.80	1.23	1.17	0.42	0.38	0.16	ZZZ
15004		A	Wound prep f/n/hf/g	4.58	6.52	6.28	3.00	2.82	0.67	000
15005		A	Wnd prep f/n/hf/g addl cm	1.60	1.74	1.63	0.86	0.76	0.31	ZZZ
15040		A	Harvest cultured skin graft	2.00	5.05	5.02	1.47	1.38	0.37	000
15050		A	Skin pinch graft	5.57	10.52	9.82	7.13	6.68	0.90	090
15100		A	Skin splt grft trnk/arm/leg	9.90	13.67	13.31	9.81	9.26	1.95	090
15101		A	Skin splt grft t/a/l add-on	1.72	3.35	3.38	1.29	1.23	0.34	ZZZ
15110		A	Epidrm autogrft trnk/arm/leg	10.97	12.20	11.68	9.13	8.52	2.15	090
15111		A	Epidrm autogrft t/a/l add-on	1.85	1.22	1.23	0.90	0.88	0.38	ZZZ
15115		A	Epidrm a-grft face/nck/hf/g	11.28	12.91	12.07	9.88	9.17	1.85	090
15116		A	Epidrm a-grft f/n/hf/g addl	2.50	2.24	1.99	1.81	1.55	0.49	ZZZ

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
15120		A	Skn splt a-grft fac/nck/hf/g	11.16	15.61	14.70	10.94	10.17	1.93	090
15121		A	Skn splt a-grft f/n/hf/g add	2.67	4.71	4.60	2.02	1.89	0.50	ZZZ
15130		A	Derm autograft trnk/arm/leg	7.53	11.05	10.67	8.04	7.57	1.48	090
15131		A	Derm autograft t/a/l add-on	1.50	1.31	1.17	1.09	0.91	0.31	ZZZ
15135		A	Derm autograft face/nck/hf/g	11.03	13.33	12.47	10.32	9.62	1.88	090
15136		A	Derm autograft f/n/hf/g add	1.50	1.18	0.99	1.00	0.80	0.10	ZZZ
15150		A	Cult epiderm grft t/arm/leg	9.39	8.98	8.85	7.33	7.18	1.99	090
15151		A	Cult epiderm grft t/a/l addl	2.00	1.67	1.46	1.41	1.17	0.42	ZZZ
15152		A	Cult epiderm graft t/a/l +%	2.50	1.38	1.57	1.12	1.27	0.49	ZZZ
15155		A	Cult epiderm graft f/n/hf/g	10.14	6.93	7.94	5.57	6.55	0.76	090
15156		A	Cult epidrm grft f/n/hfg add	2.75	1.47	1.62	1.20	1.35	0.59	ZZZ
15157		A	Cult epiderm grft f/n/hfg +%	3.00	1.21	1.60	0.90	1.25	0.22	ZZZ
15170		A	Acell graft trunk/arms/legs	5.99	6.10	5.59	4.28	3.81	1.09	090
15171		A	Acell graft t/arm/leg add-on	1.55	0.98	0.91	0.81	0.75	0.31	ZZZ
15175		A	Acellular graft f/n/hf/g	7.99	6.23	6.05	4.54	4.39	1.05	090
15176		A	Acell graft f/n/hf/g add-on	2.45	1.58	1.47	1.27	1.19	0.39	ZZZ
15200		A	Skin full graft trunk	9.15	13.91	13.11	9.62	8.85	1.65	090
15201		A	Skin full graft trunk add-on	1.32	2.81	2.75	0.90	0.80	0.26	ZZZ
15220		A	Skin full graft sclp/arm/leg	8.09	13.53	13.02	9.30	8.84	1.39	090
15221		A	Skin full graft add-on	1.19	2.62	2.59	0.80	0.74	0.23	ZZZ
15240		A	Skin full grft face/genit/hf	10.41	15.84	15.09	12.31	11.58	1.70	090
15241		A	Skin full graft add-on	1.86	3.34	3.21	1.30	1.19	0.33	ZZZ
15260		A	Skin full graft een & lips	11.64	16.84	16.09	12.90	12.21	1.77	090
15261		A	Skin full graft add-on	2.23	3.85	3.71	1.78	1.67	0.37	ZZZ
15300		A	Apply skinallogrft t/arm/lg	4.65	5.06	4.65	3.37	3.07	0.86	090
15301		A	Apply sknallogrft t/a/l addl	1.00	0.68	0.64	0.51	0.48	0.20	ZZZ
15320		A	Apply skin allogrft f/n/hf/g	5.36	4.98	4.79	3.18	3.08	0.73	090
15321		A	Aply sknallogrft f/n/hfg add	1.50	1.04	0.96	0.81	0.75	0.30	ZZZ
15330		A	Aply acell alogrft t/arm/leg	3.99	5.12	4.69	3.37	3.07	0.76	090

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15331		A	Aply acell grft t/a/l add-on	1.00	0.75	0.68	0.59	0.53	0.20	ZZZ
15335		A	Apply acell graft f/n/hf/g	4.50	4.46	4.27	2.80	2.71	0.50	090
15336		A	Aply acell grft f/n/hf/g add	1.43	1.34	1.07	1.03	0.80	0.10	ZZZ
15340		A	Apply cult skin substitute	3.82	4.93	4.77	3.57	3.43	0.52	010
15341		A	Apply cult skin sub add-on	0.50	0.83	0.79	0.20	0.20	0.07	ZZZ
15360		A	Apply cult derm sub t/a/l	4.02	5.87	5.77	4.35	4.23	0.60	090
15361		A	Aply cult derm sub t/a/l add	1.15	0.50	0.58	0.35	0.40	0.20	ZZZ
15365		A	Apply cult derm sub f/n/hf/g	4.30	5.34	5.23	3.92	3.82	0.41	090
15366		A	Apply cult derm f/hf/g add	1.45	0.76	0.76	0.53	0.54	0.16	ZZZ
15400		A	Apply skin xenograft t/a/l	4.47	7.12	6.62	5.53	5.24	0.69	090
15401		A	Apply skn xenogrft t/a/l add	1.00	1.37	1.44	0.51	0.48	0.22	ZZZ
15420		A	Apply skin xgraft f/n/hf/g	4.98	7.32	7.14	5.81	5.63	0.67	090
15421		A	Apply skn xgrft f/n/hf/g add	1.50	1.69	1.59	0.83	0.74	0.30	ZZZ
15430		A	Apply acellular xenograft	6.20	9.05	8.50	8.34	7.86	1.05	090
15431		C	Apply acellular xgraft add	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
15570		A	Form skin pedicle flap	10.21	14.45	13.79	9.68	9.01	2.07	090
15572		A	Form skin pedicle flap	10.12	14.41	13.47	10.65	9.73	1.82	090
15574		A	Form skin pedicle flap	10.70	14.87	14.05	10.90	10.12	1.82	090
15576		A	Form skin pedicle flap	9.37	13.33	12.69	9.68	9.02	1.54	090
15600		A	Skin graft	2.01	6.99	7.08	3.78	3.63	0.38	090
15610		A	Skin graft	2.52	7.39	6.96	4.25	4.07	0.45	090
15620		A	Skin graft	3.75	8.52	8.41	5.41	5.11	0.61	090
15630		A	Skin graft	4.08	8.90	8.78	5.78	5.54	0.65	090
15650		A	Transfer skin pedicle flap	4.77	9.42	9.37	6.08	5.89	0.78	090
15731		A	Forehead flap w/vasc pedicle	14.38	17.65	16.71	14.45	13.50	2.41	090
15732		A	Muscle-skin graft head/neck	19.90	21.94	20.65	17.58	15.99	3.54	090
15734		A	Muscle-skin graft trunk	19.86	21.73	20.96	16.91	15.96	3.99	090
15736		A	Muscle-skin graft arm	17.04	19.72	19.04	14.93	13.88	3.36	090
15738		A	Muscle-skin graft leg	19.04	19.78	19.13	15.26	14.32	3.81	090

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15740		A	Island pedicle flap graft	11.80	17.14	16.49	12.83	12.18	1.77	090
15750		A	Neurovascular pedicle graft	12.96	NA	NA	12.53	11.77	2.29	090
15756		A	Free myo/skin flap microvasc	36.94	NA	NA	29.03	26.66	6.36	090
15757		A	Free skin flap microvasc	37.15	NA	NA	28.48	26.18	5.92	090
15758		A	Free fascial flap microvasc	36.90	NA	NA	28.30	26.13	5.92	090
15760		A	Composite skin graft	9.86	14.23	13.51	10.31	9.62	1.58	090
15770		A	Derma-fat-fascia graft	8.96	NA	NA	9.83	9.09	1.63	090
15775		R	Hair transplant punch grafts	3.95	3.85	4.18	2.00	1.98	0.29	000
15776		R	Hair transplant punch grafts	5.53	6.64	6.42	3.38	3.17	0.38	000
15780		A	Abrasion treatment of skin	8.73	14.20	14.10	8.63	8.65	1.20	090
15781		A	Abrasion treatment of skin	5.02	10.46	10.10	7.21	6.94	0.76	090
15782		A	Abrasion treatment of skin	4.44	10.84	11.09	6.41	6.61	0.63	090
15783		A	Abrasion treatment of skin	4.41	9.34	9.20	6.32	6.01	0.63	090
15786		A	Abrasion lesion single	2.08	4.72	4.64	1.75	1.68	0.33	010
15787		A	Abrasion lesions add-on	0.33	1.01	1.02	0.16	0.15	0.04	ZZZ
15788		R	Chemical peel face epiderm	2.09	11.13	10.63	5.07	4.79	0.35	090
15789		R	Chemical peel face dermal	4.91	10.77	10.80	7.15	6.94	0.68	090
15792		R	Chemical peel nonfacial	1.86	10.61	10.40	5.65	5.57	0.30	090
15793		A	Chemical peel nonfacial	3.96	10.00	9.67	6.52	6.20	0.56	090
15819		A	Plastic surgery neck	10.65	NA	NA	7.62	8.17	2.10	090
15820		A	Revision of lower eyelid	6.27	9.48	8.85	8.02	7.36	1.24	090
15821		A	Revision of lower eyelid	6.84	10.07	9.29	8.42	7.62	1.33	090
15822		A	Revision of upper eyelid	4.62	7.76	7.24	6.32	5.80	0.82	090
15823		A	Revision of upper eyelid	6.81	10.16	9.80	8.49	8.17	1.27	090
15824		R	Removal of forehead wrinkles	0.00	0.00	0.00	0.00	0.00	0.00	000
15825		R	Removal of neck wrinkles	0.00	0.00	0.00	0.00	0.00	0.00	000
15826		R	Removal of brow wrinkles	0.00	0.00	0.00	0.00	0.00	0.00	000
15828		R	Removal of face wrinkles	0.00	0.00	0.00	0.00	0.00	0.00	000
15829		R	Removal of skin wrinkles	0.00	0.00	0.00	0.00	0.00	0.00	000

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15830		R	Exc skin abd	17.11	NA	NA	15.14	13.82	3.39	090
15832		A	Excise excessive skin tissue	12.85	NA	NA	13.29	11.76	2.57	090
15833		A	Excise excessive skin tissue	11.90	NA	NA	12.60	11.30	2.33	090
15834		A	Excise excessive skin tissue	12.17	NA	NA	12.80	11.13	2.40	090
15835		A	Excise excessive skin tissue	12.99	NA	NA	13.36	11.64	2.56	090
15836		A	Excise excessive skin tissue	10.61	NA	NA	8.55	8.54	2.08	090
15837		A	Excise excessive skin tissue	9.55	14.52	12.67	10.05	8.95	2.01	090
15838		A	Excise excessive skin tissue	8.25	NA	NA	8.20	7.66	1.06	090
15839		A	Excise excessive skin tissue	10.50	13.51	12.78	9.54	8.93	1.93	090
15840		A	Graft for face nerve palsy	14.99	NA	NA	13.88	12.76	2.40	090
15841		A	Graft for face nerve palsy	25.99	NA	NA	22.92	20.44	3.36	090
15842		A	Flap for face nerve palsy	41.01	NA	NA	29.42	28.40	5.28	090
15845		A	Skin and muscle repair face	14.32	NA	NA	14.24	12.64	1.84	090
15847		C	Exc skin abd add-on	0.00	0.00	0.00	0.00	0.00	0.00	YYY
15850		B	Removal of sutures	0.78	1.61	1.69	0.34	0.34	0.05	XXX
15851		A	Removal of sutures	0.86	1.85	1.78	0.42	0.37	0.12	000
15852		A	Dressing change not for burn	0.86	NA	NA	0.42	0.39	0.14	000
15860		A	Test for blood flow in graft	1.95	NA	NA	0.91	0.90	0.38	000
15876		R	Suction assisted lipectomy	0.00	0.00	0.00	0.00	0.00	0.00	000
15877		R	Suction assisted lipectomy	0.00	0.00	0.00	0.00	0.00	0.00	000
15878		R	Suction assisted lipectomy	0.00	0.00	0.00	0.00	0.00	0.00	000
15879		R	Suction assisted lipectomy	0.00	0.00	0.00	0.00	0.00	0.00	000
15920		A	Removal of tail bone ulcer	8.29	NA	NA	8.23	7.58	1.71	090
15922		A	Removal of tail bone ulcer	10.38	NA	NA	11.57	10.23	2.03	090
15931		A	Remove sacrum pressure sore	10.07	NA	NA	8.08	7.53	2.07	090
15933		A	Remove sacrum pressure sore	11.77	NA	NA	10.94	10.19	2.41	090
15934		A	Remove sacrum pressure sore	13.68	NA	NA	11.56	10.62	2.78	090
15935		A	Remove sacrum pressure sore	15.78	NA	NA	14.00	13.10	3.18	090
15936		A	Remove sacrum pressure sore	13.16	NA	NA	11.16	10.31	2.67	090

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15937		A	Remove sacrum pressure sore	15.14	NA	NA	13.41	12.39	3.06	090
15940		A	Remove hip pressure sore	10.20	NA	NA	8.67	8.00	2.07	090
15941		A	Remove hip pressure sore	12.41	NA	NA	12.13	11.40	2.48	090
15944		A	Remove hip pressure sore	12.44	NA	NA	12.19	11.21	2.50	090
15945		A	Remove hip pressure sore	13.75	NA	NA	13.63	12.53	2.74	090
15946		A	Remove hip pressure sore	24.12	NA	NA	20.96	19.31	4.82	090
15950		A	Remove thigh pressure sore	8.03	NA	NA	7.42	7.05	1.59	090
15951		A	Remove thigh pressure sore	11.58	NA	NA	13.11	11.25	2.27	090
15952		A	Remove thigh pressure sore	12.31	NA	NA	9.57	9.59	2.63	090
15953		A	Remove thigh pressure sore	13.57	NA	NA	10.50	10.67	2.67	090
15956		A	Remove thigh pressure sore	16.79	NA	NA	14.94	13.70	3.42	090
15958		A	Remove thigh pressure sore	16.75	NA	NA	15.72	14.47	3.39	090
15999		C	Removal of pressure sore	0.00	0.00	0.00	0.00	0.00	0.00	YYY
16000		A	Initial treatment of burn(s)	0.89	1.03	0.98	0.39	0.34	0.12	000
16020		A	Dress/debrid p-thick burn s	0.80	1.57	1.49	0.82	0.76	0.11	000
16025		A	Dress/debrid p-thick burn m	1.85	2.28	2.14	1.34	1.23	0.31	000
16030		A	Dress/debrid p-thick burn l	2.08	2.87	2.72	1.53	1.41	0.37	000
16035		A	Incision of burn scab initi	3.74	NA	NA	1.58	1.62	0.63	000
16036		A	Escharotomy addl incision	1.50	NA	NA	0.67	0.65	0.27	ZZZ
17000		A	Destruct premalg lesion	0.65	1.64	1.61	0.94	0.90	0.08	010
17003		A	Destruct premalg les 2-14	0.07	0.12	0.13	0.05	0.05	0.01	ZZZ
17004		A	Destroy premlg lesions 15+	1.85	2.93	2.96	1.86	1.84	0.27	010
17106		A	Destruction of skin lesions	3.69	5.88	5.79	4.04	3.93	0.53	090
17107		A	Destruction of skin lesions	4.79	7.40	7.47	4.97	5.00	0.73	090
17108		A	Destruction of skin lesions	7.49	10.11	9.74	6.89	6.68	1.31	090
17110		A	Destruct b9 lesion 1-14	0.70	2.41	2.42	1.28	1.24	0.08	010
17111		A	Destruct lesion 15 or more	0.97	2.73	2.72	1.47	1.42	0.12	010
17250		A	Chemical cautery tissue	0.50	1.69	1.63	0.52	0.49	0.07	000
17260		A	Destruction of skin lesions	0.96	1.70	1.69	0.97	0.92	0.12	010

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17261		A	Destruction of skin lesions	1.22	2.81	2.79	1.39	1.33	0.18	010
17262		A	Destruction of skin lesions	1.63	3.24	3.21	1.70	1.61	0.23	010
17263		A	Destruction of skin lesions	1.84	3.52	3.49	1.84	1.74	0.26	010
17264		A	Destruction of skin lesions	1.99	3.74	3.71	1.92	1.82	0.29	010
17266		A	Destruction of skin lesions	2.39	4.11	4.06	2.18	2.04	0.34	010
17270		A	Destruction of skin lesions	1.37	2.84	2.79	1.47	1.39	0.20	010
17271		A	Destruction of skin lesions	1.54	3.06	3.02	1.63	1.55	0.23	010
17272		A	Destruction of skin lesions	1.82	3.43	3.39	1.83	1.74	0.26	010
17273		A	Destruction of skin lesions	2.10	3.73	3.69	2.03	1.91	0.30	010
17274		A	Destruction of skin lesions	2.64	4.23	4.17	2.37	2.25	0.37	010
17276		A	Destruction of skin lesions	3.25	4.69	4.58	2.74	2.58	0.48	010
17280		A	Destruction of skin lesions	1.22	2.72	2.69	1.36	1.29	0.18	010
17281		A	Destruction of skin lesions	1.77	3.20	3.15	1.79	1.70	0.26	010
17282		A	Destruction of skin lesions	2.09	3.67	3.61	2.03	1.92	0.30	010
17283		A	Destruction of skin lesions	2.69	4.23	4.15	2.45	2.30	0.38	010
17284		A	Destruction of skin lesions	3.26	4.76	4.66	2.81	2.66	0.45	010
17286		A	Destruction of skin lesions	4.48	5.62	5.45	3.58	3.38	0.67	010
17311		A	Mohs 1 stage h/n/hf/g	6.20	12.22	12.65	4.67	4.35	0.87	000
17312		A	Mohs addl stage	3.30	7.68	8.02	2.48	2.31	0.45	ZZZ
17313		A	Mohs 1 stage t/a/l	5.56	11.24	11.65	4.19	3.90	0.78	000
17314		A	Mohs addl stage t/a/l	3.06	7.12	7.43	2.30	2.15	0.42	ZZZ
17315		A	Mohs surg addl block	0.87	1.36	1.38	0.66	0.61	0.11	ZZZ
17340		A	Cryotherapy of skin	0.77	0.65	0.58	0.59	0.52	0.10	010
17360		A	Skin peel therapy	1.46	2.21	2.19	1.38	1.31	0.22	010
17380		R	Hair removal by electrolysis	0.00	0.00	0.00	0.00	0.00	0.00	000
17999		C	Skin tissue procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
19000		A	Drainage of breast lesion	0.84	2.15	2.26	0.33	0.35	0.11	000
19001		A	Drain breast lesion add-on	0.42	0.30	0.31	0.16	0.17	0.05	ZZZ
19020		A	Incision of breast lesion	3.83	8.90	8.46	4.32	3.99	0.78	090

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19030		A	Injection for breast x-ray	1.53	2.83	3.10	0.57	0.64	0.14	000
19100		A	Bx breast percut w/o image	1.27	2.77	2.66	0.55	0.51	0.26	000
19101		A	Biopsy of breast open	3.23	5.91	5.66	2.62	2.45	0.67	010
19102		A	Bx breast percut w/image	2.00	3.74	4.06	0.76	0.83	0.22	000
19103		A	Bx breast percut w/device	3.69	11.16	11.97	1.44	1.51	0.48	000
19105		A	Cryosurg ablate fa each	3.69	49.42	56.10	1.62	1.61	0.39	000
19110		A	Nipple exploration	4.44	8.75	8.20	4.70	4.31	0.93	090
19112		A	Excise breast duct fistula	3.81	8.62	8.13	4.52	4.15	0.80	090
19120		A	Removal of breast lesion	5.92	7.17	6.65	4.98	4.56	1.25	090
19125		A	Excision breast lesion	6.69	7.84	7.24	5.41	4.94	1.42	090
19126		A	Excision addl breast lesion	2.93	NA	NA	1.28	1.16	0.63	ZZZ
19260		A	Removal of chest wall lesion	17.78	NA	NA	14.22	13.63	3.96	090
19271		A	Revision of chest wall	22.19	NA	NA	21.00	20.74	5.05	090
19272		A	Extensive chest wall surgery	25.17	NA	NA	22.12	21.99	5.96	090
19290		A	Place needle wire breast	1.27	3.08	3.31	0.48	0.53	0.12	000
19291		A	Place needle wire breast	0.63	1.22	1.32	0.24	0.26	0.05	ZZZ
19295		A	Place breast clip percut	0.00	2.45	2.67	NA	NA	0.01	ZZZ
19296		A	Place po breast cath for rad	3.63	108.73	112.42	1.89	1.76	0.73	000
19297		A	Place breast cath for rad	1.72	NA	NA	0.75	0.69	0.35	ZZZ
19298		A	Place breast rad tube/caths	6.00	24.90	29.05	2.85	2.82	0.76	000
19300		A	Removal of breast tissue	5.31	8.57	8.23	5.55	5.09	1.13	090
19301		A	Partical mastectomy	10.13	NA	NA	7.05	6.26	2.15	090
19302		A	P-mastectomy w/ln removal	13.99	NA	NA	9.37	8.60	2.98	090
19303		A	Mast simple complete	15.85	NA	NA	10.71	9.48	3.38	090
19304		A	Mast subq	7.95	NA	NA	7.34	6.71	1.67	090
19305		A	Mast radical	17.46	NA	NA	12.35	11.24	3.73	090
19306		A	Mast rad urban type	18.13	NA	NA	13.48	12.17	3.87	090
19307		A	Mast mod rad	18.23	NA	NA	13.28	12.08	3.87	090
19316		A	Suspension of breast	11.09	NA	NA	10.22	9.51	2.19	090

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19318		A	Reduction of large breast	16.03	NA	NA	14.92	13.89	3.16	090
19324		A	Enlarge breast	6.80	NA	NA	6.17	5.87	1.46	090
19325		A	Enlarge breast with implant	8.64	NA	NA	9.46	8.75	1.69	090
19328		A	Removal of breast implant	6.48	NA	NA	7.35	6.80	1.28	090
19330		A	Removal of implant material	8.54	NA	NA	9.10	8.39	1.66	090
19340		A	Immediate breast prosthesis	13.99	NA	NA	14.11	8.98	2.75	090
19342		A	Delayed breast prosthesis	12.63	NA	NA	13.29	12.26	2.44	090
19350		A	Breast reconstruction	9.11	13.86	13.62	9.73	9.07	1.78	090
19355		A	Correct inverted nipple(s)	8.52	10.14	10.15	6.52	6.24	1.82	090
19357		A	Breast reconstruction	18.50	NA	NA	23.81	21.56	3.62	090
19361		A	Breast reconstr w/lat flap	23.36	NA	NA	24.46	22.03	4.60	090
19364		A	Breast reconstruction	42.58	NA	NA	34.59	31.71	8.25	090
19366		A	Breast reconstruction	21.84	NA	NA	15.74	14.43	4.46	090
19367		A	Breast reconstruction	26.80	NA	NA	23.31	21.50	5.27	090
19368		A	Breast reconstruction	33.90	NA	NA	28.15	25.66	6.67	090
19369		A	Breast reconstruction	31.31	NA	NA	26.27	23.68	6.15	090
19370		A	Surgery of breast capsule	9.17	NA	NA	10.05	9.28	1.80	090
19371		A	Removal of breast capsule	10.62	NA	NA	11.39	10.52	2.07	090
19380		A	Revise breast reconstruction	10.41	NA	NA	11.23	10.38	2.03	090
19396		A	Design custom breast implant	2.17	5.14	4.44	1.59	1.40	0.45	000
19499		C	Breast surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
20005		A	I&d abscess subfascial	3.58	4.64	4.55	2.66	2.62	0.59	010
2001F		I	Weight record	0.00	0.00	0.00	0.00	0.00	0.00	XXX
2002F		I	Clin sign vol ovrld assess	0.00	0.00	0.00	0.00	0.00	0.00	XXX
2004F		I	Initial exam involved joints	0.00	0.00	0.00	0.00	0.00	0.00	XXX
20100		A	Explore wound neck	10.38	NA	NA	5.76	5.16	1.96	010
20101		A	Explore wound chest	3.23	7.92	7.75	2.04	1.98	0.69	010
20102		A	Explore wound abdomen	3.98	9.30	8.98	2.80	2.58	0.80	010
20103		A	Explore wound extremity	5.34	10.63	10.22	4.16	3.93	0.99	010

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20150		A	Excise epiphyseal bar	14.75	NA	NA	12.59	11.31	2.93	090
2018F		I	Hydration status assess	0.00	0.00	0.00	0.00	0.00	0.00	XXX
20200		A	Muscle biopsy	1.46	4.13	3.96	1.03	0.97	0.33	000
20205		A	Deep muscle biopsy	2.35	5.29	5.00	1.68	1.55	0.56	000
20206		A	Needle biopsy muscle	0.99	5.66	6.22	0.63	0.69	0.10	000
2020F		I	Dilated fundus eval done	0.00	0.00	0.00	0.00	0.00	0.00	XXX
20220		A	Bone biopsy trocar/needle	1.27	2.95	3.42	0.75	0.82	0.11	000
20225		A	Bone biopsy trocar/needle	1.87	13.34	15.90	1.14	1.25	0.23	000
20240		A	Bone biopsy excisional	3.28	NA	NA	2.85	2.77	0.52	010
20245		A	Bone biopsy excisional	8.95	NA	NA	8.35	7.88	1.65	010
20250		A	Open bone biopsy	5.19	NA	NA	4.98	4.70	1.22	010
20251		A	Open bone biopsy	5.72	NA	NA	5.29	5.10	1.32	010
2029F		I	Complete phys skin exam done	0.00	0.00	0.00	0.00	0.00	0.00	XXX
2030F		I	H2o stat docd normal	0.00	0.00	0.00	0.00	0.00	0.00	XXX
2031F		I	H2o stat docd dehydrated	0.00	0.00	0.00	0.00	0.00	0.00	XXX
2044F		I	Doc mntl tst b/4 bk trxmnt	0.00	0.00	0.00	0.00	0.00	0.00	XXX
20500		A	Injection of sinus tract	1.28	1.67	1.80	1.11	1.20	0.12	010
20501		A	Inject sinus tract for x-ray	0.76	2.53	2.80	0.28	0.32	0.07	000
2050F		I	Wound char size etc docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
20520		A	Removal of foreign body	1.90	3.63	3.45	2.10	1.99	0.30	010
20525		A	Removal of foreign body	3.54	9.69	9.51	3.25	3.07	0.64	010
20526		A	Ther injection carp tunnel	0.94	1.13	1.10	0.63	0.59	0.14	000
20550		A	Inj tendon sheath/ligament	0.75	0.85	0.83	0.40	0.37	0.08	000
20551		A	Inj tendon origin/insertion	0.75	0.91	0.85	0.45	0.41	0.08	000
20552		A	Inj trigger point 1/2 muscl	0.66	0.86	0.81	0.40	0.35	0.07	000
20553		A	Inject trigger points =/> 3	0.75	1.01	0.93	0.45	0.39	0.07	000
20555		A	Place ndl musc/tis for rt	6.00	NA	NA	2.83	2.85	0.86	000
20600		A	Drain/inject joint/bursa	0.66	0.86	0.84	0.42	0.41	0.07	000
20605		A	Drain/inject joint/bursa	0.68	0.98	0.95	0.46	0.44	0.08	000

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2060F		I	Pt talk eval hlthwkr re mdd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
20610		A	Drain/inject joint/bursa	0.79	1.43	1.36	0.58	0.55	0.12	000
20612		A	Aspirate/inj ganglion cyst	0.70	0.97	0.92	0.46	0.44	0.08	000
20615		A	Treatment of bone cyst	2.33	3.78	3.69	2.08	2.00	0.29	010
20650		A	Insert and remove bone pin	2.28	3.32	3.15	1.96	1.89	0.29	010
20660		A	Apply rem fixation device	4.00	NA	NA	2.23	2.10	1.10	000
20661		A	Application of head brace	5.26	NA	NA	7.78	7.37	1.65	090
20662		A	Application of pelvis brace	6.38	NA	NA	4.74	5.62	0.61	090
20663		A	Application of thigh brace	5.74	NA	NA	6.99	6.46	1.14	090
20664		A	Application of halo	10.06	NA	NA	10.64	10.17	3.61	090
20665		A	Removal of fixation device	1.36	1.56	1.74	1.16	1.24	0.11	010
20670		A	Removal of support implant	1.79	8.82	9.16	2.30	2.25	0.30	010
20680		A	Removal of support implant	5.96	11.17	10.68	5.75	5.37	1.06	090
20690		A	Apply bone fixation device	8.78	NA	NA	7.27	6.42	1.62	090
20692		A	Apply bone fixation device	16.27	NA	NA	14.39	12.54	2.80	090
20693		A	Adjust bone fixation device	6.06	NA	NA	6.40	6.17	1.08	090
20694		A	Remove bone fixation device	4.28	7.41	7.26	5.01	4.79	0.76	090
20696		A	Comp multiplane ext fixation	17.56	NA	NA	15.52	12.71	1.25	090
20697		A	Comp ext fixate strut change	0.00	58.05	49.52	NA	NA	0.01	000
20802		A	Replantation arm complete	42.62	NA	NA	23.12	22.15	3.05	090
20805		A	Replant forearm complete	51.46	NA	NA	18.07	22.28	10.14	090
20808		A	Replantation hand complete	63.09	NA	NA	50.36	47.27	12.43	090
20816		A	Replantation digit complete	31.95	NA	NA	26.96	27.25	3.99	090
20822		A	Replantation digit complete	26.66	NA	NA	24.11	23.91	5.27	090
20824		A	Replantation thumb complete	31.95	NA	NA	24.16	25.65	6.29	090
20827		A	Replantation thumb complete	27.48	NA	NA	24.71	25.09	5.42	090
20838		A	Replantation foot complete	42.88	NA	NA	23.53	23.69	3.06	090
20900		A	Removal of bone for graft	3.00	8.63	8.51	2.78	3.25	0.56	000
20902		A	Removal of bone for graft	4.58	NA	NA	3.75	4.17	0.87	000

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20910		A	Remove cartilage for graft	5.53	NA	NA	6.31	6.16	0.71	090
20912		A	Remove cartilage for graft	6.54	NA	NA	7.25	6.83	0.99	090
20920		A	Removal of fascia for graft	5.51	NA	NA	5.89	5.61	0.69	090
20922		A	Removal of fascia for graft	6.93	9.01	9.29	6.22	6.28	1.28	090
20924		A	Removal of tendon for graft	6.68	NA	NA	7.22	6.89	1.20	090
20926		A	Removal of tissue for graft	5.79	NA	NA	5.99	5.83	1.17	090
20930		B	Sp bone algrft morsel add-on	0.00	0.00	0.00	0.00	0.00	0.00	XXX
20931		A	Sp bone algrft struct add-on	1.81	NA	NA	1.03	0.99	0.56	ZZZ
20936		B	Sp bone agrft local add-on	0.00	0.00	0.00	0.00	0.00	0.00	XXX
20937		A	Sp bone agrft morsel add-on	2.79	NA	NA	1.62	1.57	0.68	ZZZ
20938		A	Sp bone agrft struct add-on	3.02	NA	NA	1.74	1.68	0.83	ZZZ
20950		A	Fluid pressure muscle	1.26	5.61	5.68	1.22	1.16	0.23	000
20955		A	Fibula bone graft microvasc	40.26	NA	NA	30.98	28.60	6.67	090
20956		A	Iliac bone graft microvasc	41.18	NA	NA	30.68	28.76	8.13	090
20957		A	Mt bone graft microvasc	42.61	NA	NA	28.65	24.95	8.41	090
20962		A	Other bone graft microvasc	39.21	NA	NA	33.21	30.46	7.73	090
20969		A	Bone/skin graft microvasc	45.43	NA	NA	33.82	31.20	6.67	090
20970		A	Bone/skin graft iliac crest	44.58	NA	NA	31.41	29.98	8.81	090
20972		A	Bone/skin graft metatarsal	44.51	NA	NA	17.76	19.75	3.43	090
20973		A	Bone/skin graft great toe	47.27	NA	NA	34.65	28.52	3.38	090
20974		A	Electrical bone stimulation	0.62	1.43	1.29	0.72	0.68	0.12	000
20975		A	Electrical bone stimulation	2.60	NA	NA	2.10	2.02	0.59	000
20979		A	Us bone stimulation	0.62	0.84	0.82	0.29	0.29	0.08	000
20982		A	Ablate bone tumor(s) perq	7.27	89.01	97.59	3.06	3.32	0.80	000
20985		A	Cptr-asst dir ms px	2.50	NA	NA	1.50	1.40	0.49	ZZZ
20999		C	Musculoskeletal surgery	0.00	0.00	0.00	0.00	0.00	0.00	YYY
21010		A	Incision of jaw joint	11.04	NA	NA	9.56	8.81	1.42	090
21011		A	Exc face les sc < 2 cm	2.99	6.26	6.26	3.95	3.95	0.45	090
21012		A	Exc face les sbq 2+ cm	4.45	NA	NA	5.04	5.04	0.72	090

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21013		A	Exc face tum deep < 2 cm	5.42	8.75	8.75	5.66	5.66	0.82	090
21014		A	Exc face tum deep 2+ cm	7.13	NA	NA	7.47	7.47	1.16	090
21015		A	Resect face tum < 2 cm	9.89	NA	NA	9.51	7.59	1.86	090
21016		A	Resect face tum + cm	15.26	NA	NA	13.08	13.08	2.91	090
21025		A	Excision of bone lower jaw	10.03	15.18	14.44	11.30	10.51	1.44	090
21026		A	Excision of facial bone(s)	5.70	11.76	11.14	8.42	7.94	0.83	090
21029		A	Contour of face bone lesion	8.39	13.22	12.49	9.57	8.93	1.65	090
21030		A	Excise max/zygoma b9 tumor	4.91	9.72	9.14	6.88	6.40	0.76	090
21031		A	Remove exostosis mandible	3.30	7.81	7.45	5.06	4.73	0.41	090
21032		A	Remove exostosis maxilla	3.34	7.96	7.59	4.94	4.59	0.42	090
21034		A	Excise max/zygoma mlg tumor	17.38	20.61	19.32	16.06	14.82	2.44	090
21040		A	Excise mandible lesion	4.91	9.85	9.27	6.96	6.40	0.75	090
21044		A	Removal of jaw bone lesion	12.80	NA	NA	12.38	11.48	1.78	090
21045		A	Extensive jaw surgery	18.37	NA	NA	16.73	15.42	2.52	090
21046		A	Remove mandible cyst complex	14.21	NA	NA	17.42	16.04	1.82	090
21047		A	Excise lwr jaw cyst w/repair	20.07	NA	NA	17.24	15.67	2.59	090
21048		A	Remove maxilla cyst complex	14.71	NA	NA	17.82	16.19	1.89	090
21049		A	Excis uppr jaw cyst w/repair	19.32	NA	NA	15.83	14.98	2.46	090
21050		A	Removal of jaw joint	11.76	NA	NA	12.46	11.80	2.30	090
21060		A	Remove jaw joint cartilage	11.07	NA	NA	11.71	10.70	2.34	090
21070		A	Remove coronoid process	8.62	NA	NA	9.13	8.67	1.10	090
21073		A	Mnpj of tmj w/anesth	3.45	7.65	7.16	3.70	3.29	0.68	090
21076		A	Prepare face/oral prosthesis	13.40	15.07	13.33	10.50	9.16	1.70	010
21077		A	Prepare face/oral prosthesis	33.70	37.57	32.80	26.55	23.47	4.33	090
21079		A	Prepare face/oral prosthesis	22.31	25.80	22.93	17.73	15.56	2.87	090
21080		A	Prepare face/oral prosthesis	25.06	29.07	26.03	19.65	17.29	3.23	090
21081		A	Prepare face/oral prosthesis	22.85	26.92	24.05	17.89	15.81	2.95	090
21082		A	Prepare face/oral prosthesis	20.84	26.34	23.25	17.59	15.31	2.67	090
21083		A	Prepare face/oral prosthesis	19.27	25.68	22.78	16.41	14.25	1.37	090

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21084		A	Prepare face/oral prosthesis	22.48	28.83	25.84	18.81	16.54	2.90	090
21085		A	Prepare face/oral prosthesis	8.99	12.66	10.87	7.24	6.39	3.35	010
21086		A	Prepare face/oral prosthesis	24.88	28.07	23.94	19.40	16.90	3.20	090
21087		A	Prepare face/oral prosthesis	24.88	27.85	23.84	19.05	16.75	3.20	090
21088		C	Prepare face/oral prosthesis	0.00	0.00	0.00	0.00	0.00	0.00	090
21089		C	Prepare face/oral prosthesis	0.00	0.00	0.00	0.00	0.00	0.00	YYY
21100		A	Maxillofacial fixation	4.73	11.86	13.69	4.71	5.47	0.64	090
21110		A	Interdental fixation	5.99	16.73	15.81	13.09	12.31	0.76	090
21116		A	Injection jaw joint x-ray	0.81	3.33	3.42	0.38	0.35	0.05	000
21120		A	Reconstruction of chin	5.10	13.05	12.59	9.49	8.97	1.01	090
21121		A	Reconstruction of chin	7.81	15.08	14.12	11.24	10.50	0.54	090
21122		A	Reconstruction of chin	8.71	NA	NA	11.79	11.17	0.61	090
21123		A	Reconstruction of chin	11.34	NA	NA	15.57	13.48	0.80	090
21125		A	Augmentation lower jaw bone	10.80	74.37	76.30	11.49	10.33	2.14	090
21127		A	Augmentation lower jaw bone	12.44	96.55	94.96	13.25	11.89	1.59	090
21137		A	Reduction of forehead	10.24	NA	NA	9.26	8.92	2.00	090
21138		A	Reduction of forehead	12.87	NA	NA	12.36	11.44	1.82	090
21139		A	Reduction of forehead	15.02	NA	NA	14.18	12.93	1.06	090
21141		A	Reconstruct midface lefort	19.57	NA	NA	18.26	17.09	3.85	090
21142		A	Reconstruct midface lefort	20.28	NA	NA	18.61	16.56	4.00	090
21143		A	Reconstruct midface lefort	21.05	NA	NA	19.77	17.74	4.49	090
21145		A	Reconstruct midface lefort	23.94	NA	NA	20.44	18.36	1.69	090
21146		A	Reconstruct midface lefort	24.87	NA	NA	23.24	20.75	4.90	090
21147		A	Reconstruct midface lefort	26.47	NA	NA	21.00	19.87	1.88	090
21150		A	Reconstruct midface lefort	25.96	NA	NA	22.76	20.51	1.84	090
21151		A	Reconstruct midface lefort	29.02	NA	NA	24.55	25.09	3.74	090
21154		A	Reconstruct midface lefort	31.29	NA	NA	31.35	27.39	4.01	090
21155		A	Reconstruct midface lefort	35.22	NA	NA	28.64	26.13	2.50	090
21159		A	Reconstruct midface lefort	43.14	NA	NA	39.92	33.86	5.53	090

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21160		A	Reconstruct midface lefort	47.19	NA	NA	28.87	28.61	3.36	090
21172		A	Reconstruct orbit/forehead	28.20	NA	NA	23.98	20.85	3.62	090
21175		A	Reconstruct orbit/forehead	33.56	NA	NA	28.85	25.20	12.03	090
21179		A	Reconstruct entire forehead	22.65	NA	NA	20.20	17.93	4.46	090
21180		A	Reconstruct entire forehead	25.58	NA	NA	22.42	20.16	3.29	090
21181		A	Contour cranial bone lesion	10.28	NA	NA	10.59	9.40	1.31	090
21182		A	Reconstruct cranial bone	32.58	NA	NA	22.70	21.85	4.18	090
21183		A	Reconstruct cranial bone	35.70	NA	NA	24.55	23.92	7.02	090
21184		A	Reconstruct cranial bone	38.62	NA	NA	30.64	27.14	7.62	090
21188		A	Reconstruction of midface	23.15	NA	NA	22.23	21.43	2.98	090
21193		A	Reconst lwr jaw w/o graft	18.90	NA	NA	17.95	15.77	4.04	090
21194		A	Reconst lwr jaw w/graft	21.82	NA	NA	17.59	16.59	2.80	090
21195		A	Reconst lwr jaw w/o fixation	19.16	NA	NA	19.42	18.03	2.45	090
21196		A	Reconst lwr jaw w/fixation	20.83	NA	NA	21.82	19.88	2.67	090
21198		A	Reconstr lwr jaw segment	15.71	NA	NA	17.63	16.38	2.18	090
21199		A	Reconstr lwr jaw w/advance	16.73	NA	NA	12.33	11.31	2.15	090
21206		A	Reconstruct upper jaw bone	15.59	NA	NA	19.53	17.20	3.09	090
21208		A	Augmentation of facial bones	11.42	41.15	39.39	11.50	11.11	2.25	090
21209		A	Reduction of facial bones	7.82	15.74	15.19	10.71	10.08	1.54	090
21210		A	Face bone graft	11.69	53.04	50.27	12.88	11.55	1.51	090
21215		A	Lower jaw bone graft	12.23	101.41	96.12	13.14	11.80	2.40	090
21230		A	Rib cartilage graft	11.17	NA	NA	10.25	9.59	2.19	090
21235		A	Ear cartilage graft	7.50	13.34	12.88	8.80	8.31	1.10	090
21240		A	Reconstruction of jaw joint	16.07	NA	NA	15.63	14.26	2.04	090
21242		A	Reconstruction of jaw joint	14.59	NA	NA	14.27	13.22	1.88	090
21243		A	Reconstruction of jaw joint	24.53	NA	NA	23.29	21.18	3.16	090
21244		A	Reconstruction of lower jaw	13.62	NA	NA	16.82	15.70	1.93	090
21245		A	Reconstruction of jaw	13.12	19.09	18.42	12.54	11.93	1.67	090
21246		A	Reconstruction of jaw	12.92	NA	NA	10.80	10.12	1.65	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
21247		A	Reconstruct lower jaw bone	24.37	NA	NA	19.77	18.85	4.82	090
21248		A	Reconstruction of jaw	12.74	18.64	17.24	12.92	11.62	1.63	090
21249		A	Reconstruction of jaw	18.77	24.42	22.32	17.93	15.68	2.40	090
21255		A	Reconstruct lower jaw bone	18.46	NA	NA	19.81	19.89	2.35	090
21256		A	Reconstruction of orbit	17.66	NA	NA	16.58	14.98	2.26	090
21260		A	Revise eye sockets	17.90	NA	NA	21.81	19.96	1.27	090
21261		A	Revise eye sockets	34.07	NA	NA	23.68	24.33	6.72	090
21263		A	Revise eye sockets	31.01	NA	NA	22.33	22.40	2.20	090
21267		A	Revise eye sockets	20.69	NA	NA	24.15	22.56	4.08	090
21268		A	Revise eye sockets	27.07	NA	NA	20.60	21.75	5.34	090
21270		A	Augmentation cheek bone	10.63	17.29	15.70	10.63	9.30	1.51	090
21275		A	Revision orbitofacial bones	11.76	NA	NA	11.85	10.63	2.30	090
21280		A	Revision of eyelid	7.13	NA	NA	8.91	8.04	1.40	090
21282		A	Revision of eyelid	4.27	NA	NA	6.37	5.86	0.78	090
21295		A	Revision of jaw muscle/bone	1.90	NA	NA	2.90	2.92	0.37	090
21296		A	Revision of jaw muscle/bone	4.78	NA	NA	5.94	6.40	0.61	090
21299		C	Cranio/maxillofacial surgery	0.00	0.00	0.00	0.00	0.00	0.00	YYY
21310		A	Treatment of nose fracture	0.58	2.74	2.60	0.16	0.16	0.10	000
21315		A	Treatment of nose fracture	1.83	6.04	5.80	2.45	2.33	0.29	010
21320		A	Treatment of nose fracture	1.88	5.52	5.32	2.00	1.89	0.27	010
21325		A	Treatment of nose fracture	4.18	NA	NA	9.16	9.07	0.67	090
21330		A	Treatment of nose fracture	5.79	NA	NA	10.55	10.27	0.73	090
21335		A	Treatment of nose fracture	9.02	NA	NA	11.87	11.40	1.22	090
21336		A	Treat nasal septal fracture	6.77	NA	NA	11.93	11.44	0.91	090
21337		A	Treat nasal septal fracture	3.39	8.12	7.82	5.01	4.71	0.52	090
21338		A	Treat nasoethmoid fracture	6.87	NA	NA	14.28	13.81	1.36	090
21339		A	Treat nasoethmoid fracture	8.50	NA	NA	13.43	13.58	1.66	090
21340		A	Treatment of nose fracture	11.49	NA	NA	10.15	9.98	1.48	090
21343		A	Treatment of sinus fracture	14.32	NA	NA	17.13	16.85	2.83	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
21344		A	Treatment of sinus fracture	21.57	NA	NA	22.11	19.98	7.74	090
21345		A	Treat nose/jaw fracture	9.06	13.11	12.88	8.76	8.57	1.17	090
21346		A	Treat nose/jaw fracture	11.45	NA	NA	14.83	14.53	1.48	090
21347		A	Treat nose/jaw fracture	13.53	NA	NA	18.48	17.30	1.71	090
21348		A	Treat nose/jaw fracture	17.52	NA	NA	16.58	14.47	2.25	090
21355		A	Treat cheek bone fracture	4.45	8.49	8.05	5.17	4.74	0.56	010
21356		A	Treat cheek bone fracture	4.83	9.32	8.97	5.85	5.53	0.71	010
21360		A	Treat cheek bone fracture	7.19	NA	NA	8.16	7.54	0.91	090
21365		A	Treat cheek bone fracture	16.77	NA	NA	14.67	13.41	2.80	090
21366		A	Treat cheek bone fracture	18.60	NA	NA	17.37	15.14	3.67	090
21385		A	Treat eye socket fracture	9.57	NA	NA	10.19	9.79	1.22	090
21386		A	Treat eye socket fracture	9.57	NA	NA	8.48	8.20	1.88	090
21387		A	Treat eye socket fracture	10.11	NA	NA	10.50	10.04	1.97	090
21390		A	Treat eye socket fracture	11.23	NA	NA	11.32	10.26	1.89	090
21395		A	Treat eye socket fracture	14.70	NA	NA	13.75	12.14	1.88	090
21400		A	Treat eye socket fracture	1.50	3.81	3.62	2.80	2.64	0.27	090
21401		A	Treat eye socket fracture	3.68	9.57	9.52	4.62	4.43	0.72	090
21406		A	Treat eye socket fracture	7.42	NA	NA	8.74	7.83	0.95	090
21407		A	Treat eye socket fracture	9.02	NA	NA	9.23	8.51	1.59	090
21408		A	Treat eye socket fracture	12.78	NA	NA	12.61	11.35	2.52	090
21421		A	Treat mouth roof fracture	6.02	15.29	14.74	11.99	11.49	1.18	090
21422		A	Treat mouth roof fracture	8.73	NA	NA	10.30	9.68	1.13	090
21423		A	Treat mouth roof fracture	10.85	NA	NA	12.41	11.26	2.14	090
21431		A	Treat craniofacial fracture	7.90	NA	NA	12.54	12.42	1.55	090
21432		A	Treat craniofacial fracture	8.82	NA	NA	11.35	10.17	1.71	090
21433		A	Treat craniofacial fracture	26.29	NA	NA	18.96	18.40	5.19	090
21435		A	Treat craniofacial fracture	20.26	NA	NA	16.22	15.61	2.60	090
21436		A	Treat craniofacial fracture	30.30	NA	NA	27.38	24.34	5.97	090
21440		A	Treat dental ridge fracture	3.44	12.59	12.10	9.60	9.25	0.67	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
21445		A	Treat dental ridge fracture	6.26	15.58	15.06	11.54	11.08	0.80	090
21450		A	Treat lower jaw fracture	3.71	13.29	12.64	9.95	9.60	0.65	090
21451		A	Treat lower jaw fracture	5.65	16.64	15.67	12.73	12.09	0.72	090
21452		A	Treat lower jaw fracture	2.40	13.42	14.20	7.23	7.11	0.48	090
21453		A	Treat lower jaw fracture	6.64	19.18	18.04	15.52	14.78	1.05	090
21454		A	Treat lower jaw fracture	7.36	NA	NA	8.76	8.07	0.93	090
21461		A	Treat lower jaw fracture	9.31	51.84	48.76	17.56	16.71	1.40	090
21462		A	Treat lower jaw fracture	11.01	53.34	50.65	18.77	17.64	1.42	090
21465		A	Treat lower jaw fracture	13.12	NA	NA	13.77	12.32	2.59	090
21470		A	Treat lower jaw fracture	17.54	NA	NA	16.71	15.16	2.90	090
21480		A	Reset dislocated jaw	0.61	2.02	1.98	0.26	0.24	0.10	000
21485		A	Reset dislocated jaw	4.77	15.30	14.43	11.97	11.33	0.61	090
21490		A	Repair dislocated jaw	12.95	NA	NA	12.90	11.98	2.56	090
21495		A	Treat hyoid bone fracture	6.79	NA	NA	13.84	13.04	0.86	090
21497		A	Interdental wiring	4.64	14.73	14.33	11.72	11.38	0.90	090
21499		C	Head surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
21501		A	Drain neck/chest lesion	3.98	8.63	8.32	4.88	4.67	0.75	090
21502		A	Drain chest lesion	7.55	NA	NA	5.74	5.88	1.61	090
21510		A	Drainage of bone lesion	6.20	NA	NA	6.35	6.19	1.46	090
21550		A	Biopsy of neck/chest	2.11	5.21	5.12	2.30	2.24	0.30	010
21552		A	Exc neck les sc 3+ cm	6.49	NA	NA	5.64	5.64	1.28	090
21554		A	Exc neck tum deep 5+ cm	11.13	NA	NA	8.80	8.80	2.08	090
21555		A	Exc neck les sc < 3 cm	3.96	7.46	7.26	4.38	4.29	0.78	090
21556		A	Exc neck tum deep < 5 cm	7.66	NA	NA	6.93	6.05	1.44	090
21557		A	Resect neck tum < 5 cm	14.75	NA	NA	11.40	8.73	2.79	090
21558		A	Resect neck tum 5+ cm	21.58	NA	NA	15.26	15.26	4.08	090
21600		A	Partial removal of rib	7.26	NA	NA	8.12	7.71	1.57	090
21610		A	Partial removal of rib	15.91	NA	NA	14.08	12.50	5.72	090
21615		A	Removal of rib	10.45	NA	NA	6.53	6.67	2.46	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
21616		A	Removal of rib and nerves	12.69	NA	NA	6.95	8.20	3.04	090
21620		A	Partial removal of sternum	7.28	NA	NA	6.75	6.59	1.62	090
21627		A	Sternal debridement	7.30	NA	NA	7.36	7.28	1.65	090
21630		A	Extensive sternum surgery	19.18	NA	NA	14.92	14.30	4.04	090
21632		A	Extensive sternum surgery	19.68	NA	NA	12.87	12.71	4.94	090
21685		A	Hyoid myotomy & suspension	15.26	NA	NA	13.55	12.49	1.96	090
21700		A	Revision of neck muscle	6.31	NA	NA	4.71	4.89	1.50	090
21705		A	Revision of neck muscle/rib	9.92	NA	NA	4.73	5.70	2.34	090
21720		A	Revision of neck muscle	5.80	NA	NA	5.87	5.33	2.07	090
21725		A	Revision of neck muscle	7.19	NA	NA	7.46	7.02	1.42	090
21740		A	Reconstruction of sternum	17.57	NA	NA	9.36	9.81	3.47	090
21742		C	Repair stern/nuss w/o scope	0.00	0.00	0.00	0.00	0.00	0.00	090
21743		C	Repair sternum/nuss w/scope	0.00	0.00	0.00	0.00	0.00	0.00	090
21750		A	Repair of sternum separation	11.40	NA	NA	6.68	6.87	2.71	090
21800		A	Treatment of rib fracture	1.01	1.92	1.78	2.01	1.85	0.18	090
21805		A	Treatment of rib fracture	2.88	NA	NA	4.23	4.16	0.67	090
21810		A	Treatment of rib fracture(s)	7.03	NA	NA	7.27	6.66	1.63	090
21820		A	Treat sternum fracture	1.36	2.50	2.34	2.59	2.41	0.26	090
21825		A	Treat sternum fracture	7.76	NA	NA	7.14	7.11	1.82	090
21899		C	Neck/chest surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
21920		A	Biopsy soft tissue of back	2.11	5.15	5.09	2.42	2.31	0.34	010
21925		A	Biopsy soft tissue of back	4.63	7.37	6.97	4.84	4.51	0.93	090
21930		A	Exc back les sc < 3 cm	4.94	7.79	7.57	4.78	4.68	1.02	090
21931		A	Exc back les sc 3+ cm	6.88	NA	NA	5.71	5.71	1.42	090
21932		A	Exc back tum deep < 5 cm	9.82	NA	NA	8.09	8.09	2.08	090
21933		A	Exc back tum deep 5+ cm	11.13	NA	NA	8.54	8.54	2.35	090
21935		A	Resect back tum < 5 cm	15.72	NA	NA	11.72	11.42	3.21	090
21936		A	Resect back tum 5+ cm	22.55	NA	NA	15.51	15.51	4.60	090
22010		A	I&d p-spine c/t/cerv-thor	12.75	NA	NA	12.03	11.37	3.29	090

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22015		A	I&d p-spine l/s/ls	12.64	NA	NA	11.80	11.26	3.08	090
22100		A	Remove part of neck vertebra	11.00	NA	NA	11.34	10.62	3.96	090
22101		A	Remove part thorax vertebra	11.08	NA	NA	10.07	9.99	3.97	090
22102		A	Remove part lumbar vertebra	11.08	NA	NA	10.77	10.31	2.67	090
22103		A	Remove extra spine segment	2.34	NA	NA	1.37	1.32	0.64	ZZZ
22110		A	Remove part of neck vertebra	14.00	NA	NA	13.11	12.42	5.04	090
22112		A	Remove part thorax vertebra	14.07	NA	NA	12.94	11.90	5.05	090
22114		A	Remove part lumbar vertebra	14.07	NA	NA	12.81	12.17	2.78	090
22116		A	Remove extra spine segment	2.32	NA	NA	1.33	1.27	0.60	ZZZ
22206		A	Cut spine 3 col thor	37.18	NA	NA	26.45	24.72	7.32	090
22207		A	Cut spine 3 col lumb	36.68	NA	NA	26.15	24.46	9.20	090
22208		A	Cut spine 3 col addl seg	9.66	NA	NA	5.63	5.28	2.59	ZZZ
22210		A	Revision of neck spine	25.38	NA	NA	20.76	19.75	6.75	090
22212		A	Revision of thorax spine	20.99	NA	NA	18.03	17.05	4.97	090
22214		A	Revision of lumbar spine	21.02	NA	NA	17.99	17.14	5.12	090
22216		A	Revise extra spine segment	6.03	NA	NA	3.52	3.40	1.51	ZZZ
22220		A	Revision of neck spine	22.94	NA	NA	19.06	17.86	6.42	090
22222		A	Revision of thorax spine	23.09	NA	NA	19.35	16.17	4.55	090
22224		A	Revision of lumbar spine	23.09	NA	NA	18.90	17.80	5.38	090
22226		A	Revise extra spine segment	6.03	NA	NA	3.49	3.36	1.58	ZZZ
22305		A	Treat spine process fracture	2.13	3.08	2.89	2.60	2.44	0.41	090
22310		A	Treat spine fracture	3.89	4.38	4.03	3.72	3.42	0.78	090
22315		A	Treat spine fracture	10.11	13.57	12.82	10.47	9.84	2.55	090
22318		A	Treat odontoid fx w/o graft	22.72	NA	NA	18.29	17.44	7.68	090
22319		A	Treat odontoid fx w/graft	25.33	NA	NA	20.13	18.86	9.11	090
22325		A	Treat spine fracture	19.87	NA	NA	17.18	16.26	5.69	090
22326		A	Treat neck spine fracture	20.84	NA	NA	17.18	16.30	6.30	090
22327		A	Treat thorax spine fracture	20.77	NA	NA	17.90	16.75	5.62	090
22328		A	Treat each add spine fx	4.60	NA	NA	2.63	2.53	1.36	ZZZ

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22505		A	Manipulation of spine	1.87	NA	NA	1.43	1.31	0.29	010
22520		A	Percut vertebroplasty thor	9.22	53.36	55.62	4.80	5.15	1.06	010
22521		A	Percut vertebroplasty lumb	8.65	53.12	54.99	4.61	4.93	1.01	010
22522		A	Percut vertebroplasty addl	4.30	NA	NA	1.89	1.97	0.54	ZZZ
22523		A	Percut kyphoplasty thor	9.26	NA	NA	6.14	6.23	1.82	010
22524		A	Percut kyphoplasty lumbar	8.86	NA	NA	5.97	6.04	1.74	010
22525		A	Percut kyphoplasty add-on	4.47	NA	NA	2.33	2.35	0.95	ZZZ
22526		N	Idet single level	6.10	59.04	55.16	3.49	2.93	0.54	010
22527		N	Idet 1 or more levels	3.03	50.69	46.44	1.33	1.02	0.24	ZZZ
22532		A	Lat thorax spine fusion	25.99	NA	NA	19.92	18.84	7.57	090
22533		A	Lat lumbar spine fusion	24.79	NA	NA	19.25	18.20	6.38	090
22534		A	Lat thor/lumb addl seg	5.99	NA	NA	3.43	3.32	1.59	ZZZ
22548		A	Neck spine fusion	27.06	NA	NA	21.83	20.36	9.72	090
22551		A	Neck spine fuse&remove addl	25.00	NA	NA	18.66	18.66	7.57	090
22552		A	Addl neck spine fusion	6.50	NA	NA	3.66	3.66	1.78	ZZZ
22554		A	Neck spine fusion	17.69	NA	NA	14.71	14.29	5.47	090
22556		A	Thorax spine fusion	24.70	NA	NA	18.65	17.78	6.63	090
22558		A	Lumbar spine fusion	23.53	NA	NA	17.16	16.20	5.66	090
22585		A	Additional spinal fusion	5.52	NA	NA	3.11	3.00	1.59	ZZZ
22590		A	Spine & skull spinal fusion	21.76	NA	NA	18.27	17.39	7.05	090
22595		A	Neck spinal fusion	20.64	NA	NA	17.57	16.70	6.57	090
22600		A	Neck spine fusion	17.40	NA	NA	15.55	14.79	5.32	090
22610		A	Thorax spine fusion	17.28	NA	NA	15.36	14.60	4.86	090
22612		A	Lumbar spine fusion	23.53	NA	NA	18.06	17.24	6.23	090
22614		A	Spine fusion extra segment	6.43	NA	NA	3.69	3.58	1.77	ZZZ
22630		A	Lumbar spine fusion	22.09	NA	NA	17.69	16.93	6.27	090
22632		A	Spine fusion extra segment	5.22	NA	NA	2.99	2.89	1.50	ZZZ
22800		A	Fusion of spine	19.50	NA	NA	16.07	15.38	4.90	090
22802		A	Fusion of spine	32.11	NA	NA	23.67	22.67	7.51	090

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22804		A	Fusion of spine	37.50	NA	NA	26.93	25.76	8.53	090
22808		A	Fusion of spine	27.51	NA	NA	20.23	19.36	7.27	090
22810		A	Fusion of spine	31.50	NA	NA	21.73	20.80	7.93	090
22812		A	Fusion of spine	34.25	NA	NA	25.86	24.11	6.75	090
22818		A	Kyphectomy 1-2 segments	34.33	NA	NA	24.87	23.29	6.76	090
22819		A	Kyphectomy 3 or more	39.38	NA	NA	28.73	26.90	14.15	090
22830		A	Exploration of spinal fusion	11.22	NA	NA	10.00	9.59	2.90	090
22840		A	Insert spine fixation device	12.52	NA	NA	7.17	6.94	3.48	ZZZ
22841		B	Insert spine fixation device	0.00	0.00	0.00	0.00	0.00	0.00	XXX
22842		A	Insert spine fixation device	12.56	NA	NA	7.21	6.98	3.44	ZZZ
22843		A	Insert spine fixation device	13.44	NA	NA	7.78	7.47	3.50	ZZZ
22844		A	Insert spine fixation device	16.42	NA	NA	9.63	9.36	3.69	ZZZ
22845		A	Insert spine fixation device	11.94	NA	NA	6.77	6.54	3.66	ZZZ
22846		A	Insert spine fixation device	12.40	NA	NA	7.03	6.79	3.77	ZZZ
22847		A	Insert spine fixation device	13.78	NA	NA	7.71	7.52	4.95	ZZZ
22848		A	Insert pelv fixation device	5.99	NA	NA	3.52	3.42	1.39	ZZZ
22849		A	Reinsert spinal fixation	19.17	NA	NA	14.66	14.06	5.14	090
22850		A	Remove spine fixation device	9.82	NA	NA	9.00	8.62	2.61	090
22851		A	Apply spine prosth device	6.70	NA	NA	3.83	3.69	1.89	ZZZ
22852		A	Remove spine fixation device	9.37	NA	NA	8.73	8.35	2.41	090
22855		A	Remove spine fixation device	15.86	NA	NA	12.80	12.25	4.75	090
22856		A	Cerv artific diskectomy	24.05	NA	NA	18.17	17.48	7.28	090
22857		R	Lumbar artif diskectomy	27.13	NA	NA	16.20	16.56	5.78	090
22861		A	Revise cerv artific disc	33.36	NA	NA	17.44	17.06	9.12	090
22862		R	Revise lumbar artif disc	32.63	NA	NA	17.62	17.26	6.42	090
22864		A	Remove cerv artif disc	29.40	NA	NA	21.78	18.46	8.04	090
22865		R	Remove lumb artif disc	31.75	NA	NA	21.17	21.78	6.25	090
22899		C	Spine surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
22900		A	Exc back tum deep < 5 cm	8.32	NA	NA	6.61	5.49	1.71	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
22901		A	Exc back tum deep 5+ cm	10.11	NA	NA	7.40	7.40	2.10	090
22902		A	Exc abd les sc < 3 cm	4.42	7.76	7.76	4.95	4.95	0.71	090
22903		A	Exc abd les sc > 3 cm	6.39	NA	NA	5.57	5.57	1.21	090
22904		A	Resect abd tum < 5 cm	16.69	NA	NA	10.41	10.41	3.55	090
22905		A	Resect abd tum > 5 cm	21.58	NA	NA	13.69	13.69	4.60	090
22999		C	Abdomen surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
23000		A	Removal of calcium deposits	4.48	11.37	10.67	5.58	5.25	0.86	090
23020		A	Release shoulder joint	9.36	NA	NA	9.25	8.88	1.80	090
23030		A	Drain shoulder lesion	3.47	8.60	8.31	3.47	3.31	0.68	010
23031		A	Drain shoulder bursa	2.79	8.70	8.28	3.12	2.93	0.53	010
23035		A	Drain shoulder bone lesion	9.16	NA	NA	9.21	8.92	1.80	090
23040		A	Exploratory shoulder surgery	9.75	NA	NA	9.75	9.30	1.91	090
23044		A	Exploratory shoulder surgery	7.59	NA	NA	7.83	7.53	1.50	090
23065		A	Biopsy shoulder tissues	2.30	3.72	3.59	2.36	2.24	0.38	010
23066		A	Biopsy shoulder tissues	4.30	10.50	9.99	5.15	4.87	0.84	090
23071		A	Exc shoulder les sc > 3 cm	5.91	NA	NA	5.36	5.36	1.21	090
23073		A	Exc shoulder tum deep > 5 cm	10.13	NA	NA	8.51	8.51	2.04	090
23075		A	Exc shoulder les sc < 3 cm	4.21	8.50	6.54	4.54	3.37	0.86	090
23076		A	Exc shoulder tum deep < 5 cm	7.41	NA	NA	7.03	6.86	1.51	090
23077		A	Resect shoulder tum < 5 cm	17.66	NA	NA	13.16	12.72	3.59	090
23078		A	Resect shoulder tum > 5 cm	22.55	NA	NA	14.11	14.11	4.82	090
23100		A	Biopsy of shoulder joint	6.20	NA	NA	7.38	6.94	1.22	090
23101		A	Shoulder joint surgery	5.72	NA	NA	6.46	6.20	1.13	090
23105		A	Remove shoulder joint lining	8.48	NA	NA	8.78	8.40	1.66	090
23106		A	Incision of collarbone joint	6.13	NA	NA	7.33	6.81	1.21	090
23107		A	Explore treat shoulder joint	8.87	NA	NA	9.03	8.63	1.71	090
23120		A	Partial removal collar bone	7.39	NA	NA	8.46	8.02	1.46	090
23125		A	Removal of collar bone	9.64	NA	NA	9.58	9.02	1.89	090
23130		A	Remove shoulder bone part	7.77	NA	NA	8.76	8.36	1.52	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
23140		A	Removal of bone lesion	7.12	NA	NA	7.02	6.59	1.42	090
23145		A	Removal of bone lesion	9.40	NA	NA	9.44	8.96	1.85	090
23146		A	Removal of bone lesion	8.08	NA	NA	8.76	8.10	1.59	090
23150		A	Removal of humerus lesion	8.91	NA	NA	8.92	8.50	1.71	090
23155		A	Removal of humerus lesion	10.86	NA	NA	10.64	10.09	2.14	090
23156		A	Removal of humerus lesion	9.11	NA	NA	9.26	8.77	1.80	090
23170		A	Remove collar bone lesion	7.21	NA	NA	7.98	7.25	1.42	090
23172		A	Remove shoulder blade lesion	7.31	NA	NA	8.04	7.47	1.46	090
23174		A	Remove humerus lesion	10.05	NA	NA	10.52	10.00	1.97	090
23180		A	Remove collar bone lesion	8.99	NA	NA	9.13	8.99	1.81	090
23182		A	Remove shoulder blade lesion	8.61	NA	NA	9.40	9.03	1.69	090
23184		A	Remove humerus lesion	9.90	NA	NA	10.00	9.73	1.91	090
23190		A	Partial removal of scapula	7.47	NA	NA	8.03	7.50	1.48	090
23195		A	Removal of head of humerus	10.36	NA	NA	10.12	9.58	2.03	090
23200		A	Resect clavicle tumor	22.71	NA	NA	18.43	14.26	4.48	090
23210		A	Resect scapula tumor	27.21	NA	NA	21.12	15.93	5.36	090
23220		A	Resect prox humerus tumor	30.21	NA	NA	22.60	17.38	5.96	090
23330		A	Remove shoulder foreign body	1.90	4.54	4.38	2.18	2.10	0.35	010
23331		A	Remove shoulder foreign body	7.63	NA	NA	8.41	8.02	1.50	090
23332		A	Remove shoulder foreign body	12.37	NA	NA	11.53	11.02	2.40	090
23350		A	Injection for shoulder x-ray	1.00	2.98	3.28	0.40	0.43	0.10	000
23395		A	Muscle transfer shoulder/arm	18.54	NA	NA	16.31	15.52	3.59	090
23397		A	Muscle transfers	16.76	NA	NA	14.17	13.49	3.31	090
23400		A	Fixation of shoulder blade	13.87	NA	NA	12.44	11.88	2.74	090
23405		A	Incision of tendon & muscle	8.54	NA	NA	8.42	8.10	1.66	090
23406		A	Incise tendon(s) & muscle(s)	11.01	NA	NA	10.02	9.63	2.16	090
23410		A	Repair rotator cuff acute	11.39	NA	NA	10.87	10.47	2.22	090
23412		A	Repair rotator cuff chronic	11.93	NA	NA	11.19	10.81	2.31	090
23415		A	Release of shoulder ligament	9.23	NA	NA	9.64	9.21	1.81	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
23420		A	Repair of shoulder	13.54	NA	NA	12.74	12.24	2.65	090
23430		A	Repair biceps tendon	10.17	NA	NA	10.08	9.52	1.97	090
23440		A	Remove/transplant tendon	10.64	NA	NA	9.80	9.40	2.07	090
23450		A	Repair shoulder capsule	13.70	NA	NA	11.93	11.38	2.71	090
23455		A	Repair shoulder capsule	14.67	NA	NA	12.53	11.99	2.86	090
23460		A	Repair shoulder capsule	15.82	NA	NA	13.72	13.11	3.13	090
23462		A	Repair shoulder capsule	15.72	NA	NA	13.33	12.67	3.12	090
23465		A	Repair shoulder capsule	16.30	NA	NA	13.93	13.28	3.21	090
23466		A	Repair shoulder capsule	15.80	NA	NA	14.53	13.83	3.12	090
23470		A	Reconstruct shoulder joint	17.89	NA	NA	14.82	14.19	3.51	090
23472		A	Reconstruct shoulder joint	22.65	NA	NA	17.85	17.03	4.42	090
23480		A	Revision of collar bone	11.54	NA	NA	10.72	10.18	2.26	090
23485		A	Revision of collar bone	13.91	NA	NA	12.06	11.53	2.72	090
23490		A	Reinforce clavicle	12.16	NA	NA	12.15	10.99	2.40	090
23491		A	Reinforce shoulder bones	14.54	NA	NA	12.90	12.32	2.87	090
23500		A	Treat clavicle fracture	2.21	3.68	3.50	3.77	3.53	0.41	090
23505		A	Treat clavicle fracture	3.83	5.68	5.38	5.15	4.85	0.72	090
23515		A	Treat clavicle fracture	9.69	NA	NA	10.11	9.41	1.89	090
23520		A	Treat clavicle dislocation	2.29	3.99	3.70	4.09	3.77	0.44	090
23525		A	Treat clavicle dislocation	3.79	6.92	6.01	5.85	5.15	0.73	090
23530		A	Treat clavicle dislocation	7.48	NA	NA	8.03	7.22	1.48	090
23532		A	Treat clavicle dislocation	8.20	NA	NA	8.72	8.29	1.61	090
23540		A	Treat clavicle dislocation	2.36	3.63	3.46	3.73	3.47	0.42	090
23545		A	Treat clavicle dislocation	3.43	5.43	5.11	4.60	4.34	0.60	090
23550		A	Treat clavicle dislocation	7.59	NA	NA	7.89	7.56	1.47	090
23552		A	Treat clavicle dislocation	8.82	NA	NA	9.05	8.63	1.70	090
23570		A	Treat shoulder blade fx	2.36	3.91	3.71	4.10	3.85	0.44	090
23575		A	Treat shoulder blade fx	4.23	6.65	6.20	5.95	5.53	0.83	090
23585		A	Treat scapula fracture	14.23	NA	NA	12.41	11.49	2.76	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
23600		A	Treat humerus fracture	3.11	5.68	5.42	5.12	4.80	0.60	090
23605		A	Treat humerus fracture	5.06	7.58	7.24	6.51	6.19	0.98	090
23615		A	Treat humerus fracture	12.30	NA	NA	11.72	11.08	2.40	090
23616		A	Treat humerus fracture	18.37	NA	NA	15.32	14.88	3.58	090
23620		A	Treat humerus fracture	2.55	4.76	4.51	4.41	4.12	0.49	090
23625		A	Treat humerus fracture	4.10	6.22	5.92	5.51	5.23	0.78	090
23630		A	Treat humerus fracture	10.57	NA	NA	10.68	9.89	2.07	090
23650		A	Treat shoulder dislocation	3.53	4.59	4.36	3.94	3.67	0.61	090
23655		A	Treat shoulder dislocation	4.76	NA	NA	6.01	5.60	0.88	090
23660		A	Treat shoulder dislocation	7.66	NA	NA	8.16	7.76	1.50	090
23665		A	Treat dislocation/fracture	4.66	6.83	6.50	6.05	5.75	0.88	090
23670		A	Treat dislocation/fracture	12.28	NA	NA	11.46	10.58	2.40	090
23675		A	Treat dislocation/fracture	6.27	8.67	8.21	7.34	6.95	1.20	090
23680		A	Treat dislocation/fracture	13.15	NA	NA	12.02	11.23	2.57	090
23700		A	Fixation of shoulder	2.57	NA	NA	2.71	2.59	0.49	010
23800		A	Fusion of shoulder joint	14.73	NA	NA	13.07	12.43	2.93	090
23802		A	Fusion of shoulder joint	18.42	NA	NA	16.41	15.15	3.62	090
23900		A	Amputation of arm & girdle	20.72	NA	NA	16.91	15.03	4.10	090
23920		A	Amputation at shoulder joint	16.23	NA	NA	14.34	12.93	3.20	090
23921		A	Amputation follow-up surgery	5.72	NA	NA	6.98	5.76	1.36	090
23929		C	Shoulder surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
23930		A	Drainage of arm lesion	2.99	6.69	6.63	2.83	2.72	0.61	010
23931		A	Drainage of arm bursa	1.84	6.03	5.91	2.53	2.41	0.34	010
23935		A	Drain arm/elbow bone lesion	6.38	NA	NA	7.43	6.98	1.24	090
24000		A	Exploratory elbow surgery	6.08	NA	NA	6.92	6.55	1.17	090
24006		A	Release elbow joint	9.74	NA	NA	9.66	9.17	1.82	090
24065		A	Biopsy arm/elbow soft tissue	2.13	5.06	4.94	2.57	2.45	0.34	010
24066		A	Biopsy arm/elbow soft tissue	5.35	11.46	10.92	5.75	5.35	1.08	090
24071		A	Exc arm/elbow les sc 3+ cm	5.70	NA	NA	5.32	5.32	1.16	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
24073		A	Ex arm/elbow tum deep > 5 cm	10.13	NA	NA	8.61	8.61	2.01	090
24075		A	Exc arm/elbow les sc < 3 cm	4.24	9.15	9.00	4.66	4.39	0.84	090
24076		A	Ex arm/elbow tum deep < 5 cm	7.41	NA	NA	7.17	6.50	1.48	090
24077		A	Resect arm/elbow tum < 5 cm	15.72	NA	NA	12.16	10.52	3.18	090
24079		A	Resect arm/elbow tum > 5 cm	20.61	NA	NA	13.26	13.26	4.38	090
24100		A	Biopsy elbow joint lining	5.07	NA	NA	6.26	5.84	1.01	090
24101		A	Explore/treat elbow joint	6.30	NA	NA	7.32	6.97	1.21	090
24102		A	Remove elbow joint lining	8.26	NA	NA	8.48	8.05	1.55	090
24105		A	Removal of elbow bursa	3.78	NA	NA	5.76	5.44	0.72	090
24110		A	Remove humerus lesion	7.58	NA	NA	8.34	7.92	1.50	090
24115		A	Remove/graft bone lesion	10.12	NA	NA	9.87	9.29	1.99	090
24116		A	Remove/graft bone lesion	12.23	NA	NA	11.13	10.56	2.41	090
24120		A	Remove elbow lesion	6.82	NA	NA	7.57	7.14	1.29	090
24125		A	Remove/graft bone lesion	8.14	NA	NA	8.68	8.14	1.59	090
24126		A	Remove/graft bone lesion	8.62	NA	NA	8.97	8.51	1.69	090
24130		A	Removal of head of radius	6.42	NA	NA	7.40	7.05	1.20	090
24134		A	Removal of arm bone lesion	10.22	NA	NA	10.04	9.65	2.00	090
24136		A	Remove radius bone lesion	8.40	NA	NA	8.69	7.79	1.65	090
24138		A	Remove elbow bone lesion	8.50	NA	NA	9.81	9.30	1.66	090
24140		A	Partial removal of arm bone	9.55	NA	NA	9.64	9.37	1.78	090
24145		A	Partial removal of radius	7.81	NA	NA	8.23	8.06	1.54	090
24147		A	Partial removal of elbow	7.84	NA	NA	9.13	8.86	1.51	090
24149		A	Radical resection of elbow	16.22	NA	NA	15.94	14.91	2.98	090
24150		A	Resect distal humerus tumor	23.46	NA	NA	18.77	15.05	4.63	090
24152		A	Resect radius tumor	19.99	NA	NA	16.69	12.77	3.93	090
24155		A	Removal of elbow joint	12.09	NA	NA	11.04	10.41	2.38	090
24160		A	Remove elbow joint implant	8.00	NA	NA	8.56	8.11	1.50	090
24164		A	Remove radius head implant	6.43	NA	NA	7.06	6.74	1.27	090
24200		A	Removal of arm foreign body	1.81	3.86	3.69	2.03	1.89	0.31	010

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
24201		A	Removal of arm foreign body	4.70	10.55	10.35	5.22	4.99	0.93	090
24220		A	Injection for elbow x-ray	1.31	3.00	3.32	0.58	0.60	0.12	000
24300		A	Manipulate elbow w/anesth	4.04	NA	NA	7.24	6.87	0.72	090
24301		A	Muscle/tendon transfer	10.38	NA	NA	9.95	9.52	2.03	090
24305		A	Arm tendon lengthening	7.62	NA	NA	8.27	7.85	1.36	090
24310		A	Revision of arm tendon	6.12	NA	NA	6.84	6.53	1.18	090
24320		A	Repair of arm tendon	10.86	NA	NA	10.30	9.72	2.14	090
24330		A	Revision of arm muscles	9.79	NA	NA	9.66	9.19	1.92	090
24331		A	Revision of arm muscles	10.95	NA	NA	11.26	10.38	2.16	090
24332		A	Tenolysis triceps	7.91	NA	NA	8.70	8.26	1.55	090
24340		A	Repair of biceps tendon	8.08	NA	NA	8.57	8.18	1.58	090
24341		A	Repair arm tendon/muscle	9.49	NA	NA	10.83	10.18	1.85	090
24342		A	Repair of ruptured tendon	10.86	NA	NA	10.29	9.83	2.07	090
24343		A	Repr elbow lat ligmnt w/tiss	9.16	NA	NA	10.10	9.63	1.67	090
24344		A	Reconstruct elbow lat ligmnt	15.21	NA	NA	14.58	13.80	3.01	090
24345		A	Repr elbw med ligmnt w/tissu	9.16	NA	NA	10.00	9.50	1.67	090
24346		A	Reconstruct elbow med ligmnt	15.21	NA	NA	14.58	13.85	3.01	090
24357		A	Repair elbow perc	5.44	NA	NA	6.72	6.43	1.03	090
24358		A	Repair elbow w/deb open	6.66	NA	NA	7.65	7.27	1.25	090
24359		A	Repair elbow deb/attch open	8.98	NA	NA	9.05	8.45	1.67	090
24360		A	Reconstruct elbow joint	12.67	NA	NA	11.70	11.16	2.48	090
24361		A	Reconstruct elbow joint	14.41	NA	NA	12.86	12.30	2.84	090
24362		A	Reconstruct elbow joint	15.32	NA	NA	13.40	12.74	3.04	090
24363		A	Replace elbow joint	22.65	NA	NA	18.20	17.11	4.21	090
24365		A	Reconstruct head of radius	8.62	NA	NA	8.70	8.32	1.69	090
24366		A	Reconstruct head of radius	9.36	NA	NA	9.21	8.77	1.77	090
24400		A	Revision of humerus	11.33	NA	NA	10.89	10.42	2.19	090
24410		A	Revision of humerus	15.11	NA	NA	13.54	12.68	2.99	090
24420		A	Revision of humerus	13.73	NA	NA	13.14	12.51	2.71	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
24430		A	Repair of humerus	15.25	NA	NA	13.54	12.72	2.95	090
24435		A	Repair humerus with graft	14.99	NA	NA	14.34	13.54	2.93	090
24470		A	Revision of elbow joint	8.93	NA	NA	9.26	8.25	1.77	090
24495		A	Decompression of forearm	8.41	NA	NA	9.08	8.98	1.80	090
24498		A	Reinforce humerus	12.28	NA	NA	11.21	10.72	2.41	090
24500		A	Treat humerus fracture	3.41	6.24	5.92	5.37	5.02	0.64	090
24505		A	Treat humerus fracture	5.39	8.18	7.81	6.88	6.55	1.05	090
24515		A	Treat humerus fracture	12.12	NA	NA	11.68	11.12	2.34	090
24516		A	Treat humerus fracture	12.19	NA	NA	11.13	10.64	2.38	090
24530		A	Treat humerus fracture	3.69	6.63	6.31	5.65	5.31	0.69	090
24535		A	Treat humerus fracture	7.11	9.63	9.21	8.34	7.94	1.37	090
24538		A	Treat humerus fracture	9.77	NA	NA	10.40	9.97	1.92	090
24545		A	Treat humerus fracture	13.15	NA	NA	12.10	11.33	2.56	090
24546		A	Treat humerus fracture	14.91	NA	NA	13.34	12.78	2.90	090
24560		A	Treat humerus fracture	2.98	5.69	5.42	4.78	4.46	0.56	090
24565		A	Treat humerus fracture	5.78	8.69	8.03	7.48	6.87	1.14	090
24566		A	Treat humerus fracture	9.06	NA	NA	10.40	9.86	1.80	090
24575		A	Treat humerus fracture	9.71	NA	NA	10.20	9.75	1.88	090
24576		A	Treat humerus fracture	3.06	6.18	5.85	5.24	4.90	0.59	090
24577		A	Treat humerus fracture	6.01	8.89	8.28	7.61	7.05	1.18	090
24579		A	Treat humerus fracture	11.44	NA	NA	11.26	10.69	2.20	090
24582		A	Treat humerus fracture	10.14	NA	NA	11.81	11.09	1.99	090
24586		A	Treat elbow fracture	15.78	NA	NA	13.71	13.09	3.05	090
24587		A	Treat elbow fracture	15.79	NA	NA	13.85	13.13	2.93	090
24600		A	Treat elbow dislocation	4.37	5.27	5.10	4.48	4.24	0.76	090
24605		A	Treat elbow dislocation	5.64	NA	NA	7.05	6.66	1.09	090
24615		A	Treat elbow dislocation	9.83	NA	NA	9.55	9.11	1.84	090
24620		A	Treat elbow fracture	7.22	NA	NA	7.80	7.43	1.36	090
24635		A	Treat elbow fracture	8.80	NA	NA	9.52	10.03	1.67	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
24640		A	Treat elbow dislocation	1.25	2.37	2.14	1.18	1.08	0.23	010
24650		A	Treat radius fracture	2.31	4.79	4.56	4.21	3.92	0.42	090
24655		A	Treat radius fracture	4.62	7.13	6.86	6.11	5.83	0.86	090
24665		A	Treat radius fracture	8.36	NA	NA	9.41	8.95	1.59	090
24666		A	Treat radius fracture	9.86	NA	NA	10.09	9.61	1.86	090
24670		A	Treat ulnar fracture	2.69	5.19	4.96	4.42	4.15	0.50	090
24675		A	Treat ulnar fracture	4.91	7.49	7.17	6.42	6.11	0.93	090
24685		A	Treat ulnar fracture	8.37	NA	NA	9.40	8.95	1.62	090
24800		A	Fusion of elbow joint	11.41	NA	NA	11.07	10.12	2.25	090
24802		A	Fusion/graft of elbow joint	14.32	NA	NA	12.82	12.14	2.83	090
24900		A	Amputation of upper arm	10.18	NA	NA	9.64	9.02	2.01	090
24920		A	Amputation of upper arm	10.13	NA	NA	9.72	8.97	1.99	090
24925		A	Amputation follow-up surgery	7.30	NA	NA	8.03	7.61	1.44	090
24930		A	Amputation follow-up surgery	10.83	NA	NA	10.14	9.38	2.14	090
24931		A	Amputate upper arm & implant	13.44	NA	NA	8.43	8.18	0.95	090
24935		A	Revision of amputation	16.45	NA	NA	7.58	8.57	3.24	090
24940		C	Revision of upper arm	0.00	0.00	0.00	0.00	0.00	0.00	090
24999		C	Upper arm/elbow surgery	0.00	0.00	0.00	0.00	0.00	0.00	YYY
25000		A	Incision of tendon sheath	3.55	NA	NA	5.68	5.76	0.64	090
25001		A	Incise flexor carpi radialis	3.79	NA	NA	5.70	5.34	0.67	090
25020		A	Decompress forearm 1 space	6.06	NA	NA	9.90	9.61	1.06	090
25023		A	Decompress forearm 1 space	13.83	NA	NA	16.23	15.62	2.74	090
25024		A	Decompress forearm 2 spaces	10.79	NA	NA	10.28	9.77	2.12	090
25025		A	Decompress forearm 2 spaces	17.94	NA	NA	15.29	14.04	3.54	090
25028		A	Drainage of forearm lesion	5.39	NA	NA	8.83	8.58	1.03	090
25031		A	Drainage of forearm bursa	4.26	NA	NA	5.15	5.44	0.83	090
25035		A	Treat forearm bone lesion	7.65	NA	NA	8.20	8.81	1.48	090
25040		A	Explore/treat wrist joint	7.50	NA	NA	7.85	7.62	1.36	090
25065		A	Biopsy forearm soft tissues	2.04	5.12	5.00	2.58	2.49	0.33	010

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
25066		A	Biopsy forearm soft tissues	4.27	NA	NA	5.49	5.62	0.80	090
25071		A	Exc forearm les sc > 3 cm	5.91	NA	NA	5.71	5.71	1.17	090
25073		A	Exc forearm tum deep 3+ cm	7.13	NA	NA	7.51	7.51	1.36	090
25075		A	Exc forearm les sc < 3 cm	3.96	9.21	9.21	4.66	4.78	0.76	090
25076		A	Exc forearm tum deep < 3 cm	6.74	NA	NA	7.28	7.04	1.28	090
25077		A	Resect forearm/wrist tum<3cm	12.93	NA	NA	10.93	10.25	2.61	090
25078		A	Resect forearm/wrist tum3+cm	17.69	NA	NA	11.99	11.99	3.78	090
25085		A	Incision of wrist capsule	5.64	NA	NA	6.63	6.58	1.10	090
25100		A	Biopsy of wrist joint	4.02	NA	NA	5.37	5.24	0.78	090
25101		A	Explore/treat wrist joint	4.83	NA	NA	6.21	6.03	0.90	090
25105		A	Remove wrist joint lining	6.02	NA	NA	7.19	7.06	1.10	090
25107		A	Remove wrist joint cartilage	7.70	NA	NA	9.27	8.92	1.36	090
25109		A	Excise tendon forearm/wrist	6.94	NA	NA	7.84	7.28	1.24	090
25110		A	Remove wrist tendon lesion	4.04	NA	NA	5.22	5.39	0.75	090
25111		A	Remove wrist tendon lesion	3.53	NA	NA	5.20	5.01	0.67	090
25112		A	Reremove wrist tendon lesion	4.67	NA	NA	5.88	5.64	0.87	090
25115		A	Remove wrist/forearm lesion	10.09	NA	NA	10.83	11.05	1.80	090
25116		A	Remove wrist/forearm lesion	7.56	NA	NA	8.96	9.39	1.33	090
25118		A	Excise wrist tendon sheath	4.51	NA	NA	5.98	5.81	0.80	090
25119		A	Partial removal of ulna	6.21	NA	NA	7.30	7.20	1.22	090
25120		A	Removal of forearm lesion	6.27	NA	NA	7.33	7.92	1.17	090
25125		A	Remove/graft forearm lesion	7.67	NA	NA	8.40	8.96	1.51	090
25126		A	Remove/graft forearm lesion	7.74	NA	NA	8.44	8.95	1.52	090
25130		A	Removal of wrist lesion	5.43	NA	NA	6.89	6.67	0.99	090
25135		A	Remove & graft wrist lesion	7.08	NA	NA	8.04	7.84	1.39	090
25136		A	Remove & graft wrist lesion	6.14	NA	NA	7.22	7.02	1.21	090
25145		A	Remove forearm bone lesion	6.54	NA	NA	7.47	8.04	1.28	090
25150		A	Partial removal of ulna	7.38	NA	NA	8.04	7.92	1.36	090
25151		A	Partial removal of radius	7.68	NA	NA	8.19	8.72	1.51	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
25170		A	Resect radius/ulnar tumor	22.21	NA	NA	17.91	14.91	4.37	090
25210		A	Removal of wrist bone	6.12	NA	NA	7.33	7.09	1.08	090
25215		A	Removal of wrist bones	8.14	NA	NA	8.87	8.65	1.40	090
25230		A	Partial removal of radius	5.37	NA	NA	6.53	6.32	0.90	090
25240		A	Partial removal of ulna	5.31	NA	NA	6.46	6.40	0.91	090
25246		A	Injection for wrist x-ray	1.45	2.94	3.24	0.59	0.63	0.14	000
25248		A	Remove forearm foreign body	5.31	NA	NA	5.82	6.10	1.05	090
25250		A	Removal of wrist prosthesis	6.77	NA	NA	7.59	7.24	1.32	090
25251		A	Removal of wrist prosthesis	9.82	NA	NA	9.67	9.22	1.93	090
25259		A	Manipulate wrist w/anesthes	4.04	NA	NA	7.32	6.94	0.72	090
25260		A	Repair forearm tendon/muscle	8.04	NA	NA	9.26	9.65	1.48	090
25263		A	Repair forearm tendon/muscle	8.04	NA	NA	8.96	9.48	1.58	090
25265		A	Repair forearm tendon/muscle	10.10	NA	NA	10.18	10.64	1.97	090
25270		A	Repair forearm tendon/muscle	6.17	NA	NA	7.30	7.85	1.16	090
25272		A	Repair forearm tendon/muscle	7.21	NA	NA	7.94	8.51	1.32	090
25274		A	Repair forearm tendon/muscle	8.94	NA	NA	9.16	9.69	1.77	090
25275		A	Repair forearm tendon sheath	8.96	NA	NA	9.33	8.93	1.77	090
25280		A	Revise wrist/forearm tendon	7.39	NA	NA	8.10	8.62	1.28	090
25290		A	Incise wrist/forearm tendon	5.43	NA	NA	6.53	7.68	0.98	090
25295		A	Release wrist/forearm tendon	6.72	NA	NA	7.66	8.19	1.18	090
25300		A	Fusion of tendons at wrist	9.02	NA	NA	9.53	9.21	1.78	090
25301		A	Fusion of tendons at wrist	8.59	NA	NA	9.02	8.70	1.57	090
25310		A	Transplant forearm tendon	8.08	NA	NA	8.95	9.37	1.39	090
25312		A	Transplant forearm tendon	9.82	NA	NA	9.85	10.27	1.78	090
25315		A	Revise palsy hand tendon(s)	10.68	NA	NA	10.19	10.70	2.10	090
25316		A	Revise palsy hand tendon(s)	12.90	NA	NA	11.85	12.11	1.61	090
25320		A	Repair/revise wrist joint	12.75	NA	NA	14.47	13.65	2.26	090
25332		A	Revise wrist joint	11.74	NA	NA	11.36	10.75	2.18	090
25335		A	Realignment of hand	13.39	NA	NA	8.62	10.05	0.95	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
25337		A	Reconstruct ulna/radioulnar	11.73	NA	NA	12.82	12.23	2.01	090
25350		A	Revision of radius	9.09	NA	NA	9.35	9.87	1.61	090
25355		A	Revision of radius	10.53	NA	NA	10.22	10.71	2.07	090
25360		A	Revision of ulna	8.74	NA	NA	9.05	9.62	1.65	090
25365		A	Revise radius & ulna	12.91	NA	NA	11.87	12.14	2.55	090
25370		A	Revise radius or ulna	14.10	NA	NA	13.16	13.36	2.78	090
25375		A	Revise radius & ulna	13.55	NA	NA	12.25	12.66	0.95	090
25390		A	Shorten radius or ulna	10.70	NA	NA	10.42	10.82	1.88	090
25391		A	Lengthen radius or ulna	14.28	NA	NA	12.69	12.99	2.83	090
25392		A	Shorten radius & ulna	14.58	NA	NA	12.87	13.19	2.90	090
25393		A	Lengthen radius & ulna	16.56	NA	NA	15.50	15.07	3.28	090
25394		A	Repair carpal bone shorten	10.85	NA	NA	10.37	9.85	2.14	090
25400		A	Repair radius or ulna	11.28	NA	NA	10.66	11.14	2.07	090
25405		A	Repair/graft radius or ulna	15.01	NA	NA	13.27	13.59	2.74	090
25415		A	Repair radius & ulna	13.80	NA	NA	12.90	13.19	2.72	090
25420		A	Repair/graft radius & ulna	17.04	NA	NA	14.60	14.91	3.38	090
25425		A	Repair/graft radius or ulna	13.72	NA	NA	12.35	13.46	2.71	090
25426		A	Repair/graft radius & ulna	16.45	NA	NA	13.99	13.20	3.24	090
25430		A	Vasc graft into carpal bone	9.71	NA	NA	10.11	9.51	1.21	090
25431		A	Repair nonunion carpal bone	10.89	NA	NA	10.48	9.87	2.15	090
25440		A	Repair/graft wrist bone	10.68	NA	NA	10.31	9.94	1.89	090
25441		A	Reconstruct wrist joint	13.29	NA	NA	13.20	12.15	1.65	090
25442		A	Reconstruct wrist joint	11.12	NA	NA	11.09	10.51	1.39	090
25443		A	Reconstruct wrist joint	10.66	NA	NA	10.50	10.06	2.10	090
25444		A	Reconstruct wrist joint	11.42	NA	NA	11.88	10.93	0.80	090
25445		A	Reconstruct wrist joint	9.88	NA	NA	9.83	9.33	1.80	090
25446		A	Wrist replacement	17.30	NA	NA	14.95	14.11	2.95	090
25447		A	Repair wrist joint(s)	11.14	NA	NA	11.60	10.89	1.96	090
25449		A	Remove wrist joint implant	14.94	NA	NA	13.52	12.70	2.95	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
25450		A	Revision of wrist joint	8.06	NA	NA	6.06	6.83	1.58	090
25455		A	Revision of wrist joint	9.71	NA	NA	7.00	7.76	0.68	090
25490		A	Reinforce radius	9.73	NA	NA	8.84	9.56	1.25	090
25491		A	Reinforce ulna	10.15	NA	NA	9.88	10.40	1.99	090
25492		A	Reinforce radius and ulna	12.66	NA	NA	11.83	12.14	2.48	090
25500		A	Treat fracture of radius	2.60	4.75	4.45	4.15	3.82	0.45	090
25505		A	Treat fracture of radius	5.45	8.14	7.79	7.02	6.68	1.03	090
25515		A	Treat fracture of radius	8.80	NA	NA	9.38	8.92	1.67	090
25520		A	Treat fracture of radius	6.50	8.89	8.21	8.08	7.43	1.28	090
25525		A	Treat fracture of radius	10.55	NA	NA	10.77	10.46	2.01	090
25526		A	Treat fracture of radius	13.15	NA	NA	12.73	12.61	2.60	090
25530		A	Treat fracture of ulna	2.24	4.87	4.62	4.19	3.91	0.41	090
25535		A	Treat fracture of ulna	5.36	7.92	7.51	6.94	6.58	1.02	090
25545		A	Treat fracture of ulna	7.94	NA	NA	9.00	8.63	1.51	090
25560		A	Treat fracture radius & ulna	2.59	4.84	4.55	4.13	3.80	0.48	090
25565		A	Treat fracture radius & ulna	5.85	8.30	7.95	6.98	6.65	1.10	090
25574		A	Treat fracture radius & ulna	8.80	NA	NA	9.45	8.95	1.70	090
25575		A	Treat fracture radius/ulna	12.29	NA	NA	12.14	11.53	2.34	090
25600		A	Treat fracture radius/ulna	2.78	5.14	4.90	4.46	4.16	0.52	090
25605		A	Treat fracture radius/ulna	7.25	9.67	9.15	8.69	8.18	1.39	090
25606		A	Treat fx distal radial	8.31	NA	NA	9.71	9.40	1.61	090
25607		A	Treat fx rad extra-articul	9.56	NA	NA	10.46	9.77	1.82	090
25608		A	Treat fx rad intra-articul	11.07	NA	NA	11.38	10.64	2.07	090
25609		A	Treat fx radial 3+ frag	14.38	NA	NA	14.21	13.25	2.69	090
25622		A	Treat wrist bone fracture	2.79	5.47	5.18	4.73	4.39	0.52	090
25624		A	Treat wrist bone fracture	4.77	7.73	7.46	6.61	6.32	0.88	090
25628		A	Treat wrist bone fracture	9.67	NA	NA	10.03	9.50	1.74	090
25630		A	Treat wrist bone fracture	3.03	5.26	5.00	4.58	4.25	0.56	090
25635		A	Treat wrist bone fracture	4.61	7.76	7.21	6.66	5.99	0.90	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
25645		A	Treat wrist bone fracture	7.42	NA	NA	7.99	7.61	1.47	090
25650		A	Treat wrist bone fracture	3.23	5.47	5.18	4.93	4.56	0.60	090
25651		A	Pin ulnar styloid fracture	5.82	NA	NA	7.46	7.00	1.10	090
25652		A	Treat fracture ulnar styloid	8.06	NA	NA	8.98	8.49	1.47	090
25660		A	Treat wrist dislocation	4.98	NA	NA	5.93	5.70	0.90	090
25670		A	Treat wrist dislocation	8.09	NA	NA	8.34	8.01	1.50	090
25671		A	Pin radioulnar dislocation	6.46	NA	NA	8.01	7.54	1.27	090
25675		A	Treat wrist dislocation	4.89	6.85	6.53	5.84	5.54	0.87	090
25676		A	Treat wrist dislocation	8.29	NA	NA	8.95	8.51	1.54	090
25680		A	Treat wrist fracture	6.23	NA	NA	6.37	5.99	1.08	090
25685		A	Treat wrist fracture	10.09	NA	NA	9.84	9.31	1.97	090
25690		A	Treat wrist dislocation	5.72	NA	NA	7.31	6.81	1.14	090
25695		A	Treat wrist dislocation	8.51	NA	NA	8.64	8.25	1.66	090
25800		A	Fusion of wrist joint	10.07	NA	NA	9.96	9.62	1.81	090
25805		A	Fusion/graft of wrist joint	11.73	NA	NA	11.15	10.85	2.30	090
25810		A	Fusion/graft of wrist joint	11.95	NA	NA	11.88	11.29	2.12	090
25820		A	Fusion of hand bones	7.64	NA	NA	9.21	8.81	1.37	090
25825		A	Fuse hand bones with graft	9.69	NA	NA	11.13	10.61	1.69	090
25830		A	Fusion radioulnar jnt/ulna	10.88	NA	NA	15.02	14.67	2.15	090
25900		A	Amputation of forearm	9.61	NA	NA	9.76	9.97	1.82	090
25905		A	Amputation of forearm	9.59	NA	NA	9.41	9.61	1.89	090
25907		A	Amputation follow-up surgery	8.09	NA	NA	8.51	8.77	1.59	090
25909		A	Amputation follow-up surgery	9.31	NA	NA	9.24	9.49	1.84	090
25915		A	Amputation of forearm	17.52	NA	NA	10.18	12.61	3.10	090
25920		A	Amputate hand at wrist	9.03	NA	NA	9.85	9.35	1.78	090
25922		A	Amputate hand at wrist	7.65	NA	NA	6.23	6.84	0.53	090
25924		A	Amputation follow-up surgery	8.81	NA	NA	7.67	8.14	1.71	090
25927		A	Amputation of hand	9.09	NA	NA	12.93	12.31	1.80	090
25929		A	Amputation follow-up surgery	7.82	NA	NA	8.95	7.83	1.54	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
25931		A	Amputation follow-up surgery	8.04	NA	NA	10.01	10.48	1.70	090
25999		C	Forearm or wrist surgery	0.00	0.00	0.00	0.00	0.00	0.00	YYY
26010		A	Drainage of finger abscess	1.59	5.53	5.47	2.17	2.04	0.27	010
26011		A	Drainage of finger abscess	2.24	8.51	8.47	2.84	2.70	0.39	010
26020		A	Drain hand tendon sheath	5.08	NA	NA	6.85	6.48	0.93	090
26025		A	Drainage of palm bursa	5.08	NA	NA	6.48	6.12	0.91	090
26030		A	Drainage of palm bursa(s)	6.25	NA	NA	7.26	6.86	1.16	090
26034		A	Treat hand bone lesion	6.63	NA	NA	8.07	7.65	1.22	090
26035		A	Decompress fingers/hand	11.37	NA	NA	11.88	10.98	2.23	090
26037		A	Decompress fingers/hand	7.57	NA	NA	8.04	7.59	1.44	090
26040		A	Release palm contracture	3.46	NA	NA	5.16	4.88	0.56	090
26045		A	Release palm contracture	5.73	NA	NA	7.01	6.67	1.09	090
26055		A	Incise finger tendon sheath	3.11	12.32	12.48	5.42	5.10	0.56	090
26060		A	Incision of finger tendon	2.91	NA	NA	4.45	4.22	0.54	090
26070		A	Explore/treat hand joint	3.81	NA	NA	4.68	4.33	0.65	090
26075		A	Explore/treat finger joint	3.91	NA	NA	4.96	4.65	0.67	090
26080		A	Explore/treat finger joint	4.47	NA	NA	6.25	5.90	0.78	090
26100		A	Biopsy hand joint lining	3.79	NA	NA	5.29	4.95	0.73	090
26105		A	Biopsy finger joint lining	3.83	NA	NA	5.32	5.03	0.75	090
26110		A	Biopsy finger joint lining	3.65	NA	NA	5.21	4.92	0.64	090
26111		A	Exc hand les sc > 1.5 cm	5.42	NA	NA	6.09	6.09	0.99	090
26113		A	Exc hand tum deep > 1.5 cm	7.13	NA	NA	8.00	8.00	1.25	090
26115		A	Exc hand les sc < 1.5 cm	3.96	9.92	11.44	5.18	5.29	0.71	090
26116		A	Exc hand tum deep < 1.5 cm	6.74	NA	NA	7.76	7.29	1.20	090
26117		A	Exc hand tum ra < 3 cm	10.13	NA	NA	10.53	9.30	1.85	090
26118		A	Exc hand tum ra > 3 cm	14.81	NA	NA	14.29	14.29	2.94	090
26121		A	Release palm contracture	7.73	NA	NA	8.66	8.22	1.40	090
26123		A	Release palm contracture	10.88	NA	NA	12.08	11.30	1.92	090
26125		A	Release palm contracture	4.60	NA	NA	2.96	2.79	0.82	ZZZ

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
26130		A	Remove wrist joint lining	5.59	NA	NA	7.05	6.62	1.06	090
26135		A	Revise finger joint each	7.13	NA	NA	8.01	7.59	1.27	090
26140		A	Revise finger joint each	6.34	NA	NA	7.53	7.14	1.14	090
26145		A	Tendon excision palm/finger	6.49	NA	NA	7.56	7.16	1.18	090
26160		A	Remove tendon sheath lesion	3.57	12.28	12.17	5.61	5.28	0.65	090
26170		A	Removal of palm tendon each	4.91	NA	NA	6.27	5.95	0.86	090
26180		A	Removal of finger tendon	5.35	NA	NA	6.78	6.47	0.90	090
26185		A	Remove finger bone	6.52	NA	NA	8.42	7.91	1.28	090
26200		A	Remove hand bone lesion	5.65	NA	NA	6.69	6.36	1.03	090
26205		A	Remove/graft bone lesion	7.93	NA	NA	8.37	8.02	1.57	090
26210		A	Removal of finger lesion	5.32	NA	NA	6.83	6.48	0.95	090
26215		A	Remove/graft finger lesion	7.27	NA	NA	7.97	7.55	1.44	090
26230		A	Partial removal of hand bone	6.47	NA	NA	7.23	6.89	1.13	090
26235		A	Partial removal finger bone	6.33	NA	NA	7.30	6.90	1.10	090
26236		A	Partial removal finger bone	5.46	NA	NA	6.65	6.31	0.98	090
26250		A	Extensive hand surgery	15.21	NA	NA	13.83	10.67	3.01	090
26260		A	Resect prox finger tumor	11.16	NA	NA	11.55	9.30	2.19	090
26262		A	Resect distal finger tumor	8.29	NA	NA	8.91	7.50	1.62	090
26320		A	Removal of implant from hand	4.10	NA	NA	5.48	5.18	0.71	090
26340		A	Manipulate finger w/anesth	2.80	NA	NA	6.43	6.08	0.52	090
26350		A	Repair finger/hand tendon	6.21	NA	NA	13.13	13.18	1.13	090
26352		A	Repair/graft hand tendon	7.87	NA	NA	14.09	14.01	1.55	090
26356		A	Repair finger/hand tendon	10.62	NA	NA	19.21	18.77	1.92	090
26357		A	Repair finger/hand tendon	8.77	NA	NA	14.63	14.55	1.71	090
26358		A	Repair/graft hand tendon	9.36	NA	NA	15.81	15.53	1.85	090
26370		A	Repair finger/hand tendon	7.28	NA	NA	13.42	13.46	1.36	090
26372		A	Repair/graft hand tendon	9.01	NA	NA	14.77	14.81	1.78	090
26373		A	Repair finger/hand tendon	8.41	NA	NA	14.41	14.40	1.65	090
26390		A	Revise hand/finger tendon	9.43	NA	NA	13.02	12.77	1.86	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
26392		A	Repair/graft hand tendon	10.50	NA	NA	15.66	15.49	2.07	090
26410		A	Repair hand tendon	4.77	NA	NA	10.58	10.65	0.87	090
26412		A	Repair/graft hand tendon	6.48	NA	NA	12.13	12.11	1.16	090
26415		A	Excision hand/finger tendon	8.51	NA	NA	10.29	10.46	1.17	090
26416		A	Graft hand or finger tendon	9.56	NA	NA	14.38	12.75	1.88	090
26418		A	Repair finger tendon	4.47	NA	NA	11.22	11.25	0.82	090
26420		A	Repair/graft finger tendon	6.94	NA	NA	12.10	12.18	1.37	090
26426		A	Repair finger/hand tendon	6.32	NA	NA	7.43	8.16	1.14	090
26428		A	Repair/graft finger tendon	7.40	NA	NA	12.93	12.86	1.47	090
26432		A	Repair finger tendon	4.16	NA	NA	9.41	9.40	0.73	090
26433		A	Repair finger tendon	4.70	NA	NA	9.72	9.74	0.86	090
26434		A	Repair/graft finger tendon	6.26	NA	NA	11.12	11.01	1.24	090
26437		A	Realignment of tendons	5.99	NA	NA	10.97	10.87	1.03	090
26440		A	Release palm/finger tendon	5.16	NA	NA	11.74	11.85	0.90	090
26442		A	Release palm & finger tendon	9.75	NA	NA	16.57	16.20	1.74	090
26445		A	Release hand/finger tendon	4.45	NA	NA	11.30	11.44	0.78	090
26449		A	Release forearm/hand tendon	8.59	NA	NA	10.69	11.20	1.51	090
26450		A	Incision of palm tendon	3.79	NA	NA	7.22	7.13	0.68	090
26455		A	Incision of finger tendon	3.76	NA	NA	7.23	7.12	0.69	090
26460		A	Incise hand/finger tendon	3.58	NA	NA	7.18	7.05	0.63	090
26471		A	Fusion of finger tendons	5.90	NA	NA	10.88	10.74	1.03	090
26474		A	Fusion of finger tendons	5.49	NA	NA	10.88	10.67	1.08	090
26476		A	Tendon lengthening	5.35	NA	NA	10.79	10.47	1.06	090
26477		A	Tendon shortening	5.32	NA	NA	10.51	10.41	1.02	090
26478		A	Lengthening of hand tendon	5.97	NA	NA	10.91	10.92	1.09	090
26479		A	Shortening of hand tendon	5.91	NA	NA	10.91	10.85	1.17	090
26480		A	Transplant hand tendon	6.90	NA	NA	13.60	13.58	1.21	090
26483		A	Transplant/graft hand tendon	8.48	NA	NA	14.35	14.33	1.57	090
26485		A	Transplant palm tendon	7.89	NA	NA	14.06	14.06	1.40	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
26489		A	Transplant/graft palm tendon	9.86	NA	NA	15.28	14.42	1.93	090
26490		A	Revise thumb tendon	8.60	NA	NA	12.92	12.62	1.69	090
26492		A	Tendon transfer with graft	9.84	NA	NA	13.85	13.61	1.93	090
26494		A	Hand tendon/muscle transfer	8.66	NA	NA	12.81	12.64	1.69	090
26496		A	Revise thumb tendon	9.78	NA	NA	13.58	13.28	1.65	090
26497		A	Finger tendon transfer	9.76	NA	NA	13.47	13.27	1.92	090
26498		A	Finger tendon transfer	14.21	NA	NA	16.47	16.13	2.80	090
26499		A	Revision of finger	9.17	NA	NA	13.12	12.90	1.81	090
26500		A	Hand tendon reconstruction	6.13	NA	NA	10.92	10.81	1.14	090
26502		A	Hand tendon reconstruction	7.31	NA	NA	12.15	11.82	1.46	090
26508		A	Release thumb contracture	6.18	NA	NA	11.07	10.87	1.10	090
26510		A	Thumb tendon transfer	5.60	NA	NA	10.59	10.57	0.98	090
26516		A	Fusion of knuckle joint	7.32	NA	NA	11.70	11.57	1.29	090
26517		A	Fusion of knuckle joints	9.08	NA	NA	13.06	12.93	1.80	090
26518		A	Fusion of knuckle joints	9.27	NA	NA	13.40	13.12	1.82	090
26520		A	Release knuckle contracture	5.47	NA	NA	12.28	12.35	0.99	090
26525		A	Release finger contracture	5.50	NA	NA	12.28	12.37	0.95	090
26530		A	Revise knuckle joint	6.88	NA	NA	7.85	7.43	1.22	090
26531		A	Revise knuckle with implant	8.13	NA	NA	9.06	8.55	1.39	090
26535		A	Revise finger joint	5.41	NA	NA	6.08	5.55	0.83	090
26536		A	Revise/implant finger joint	6.56	NA	NA	12.94	12.24	1.13	090
26540		A	Repair hand joint	6.60	NA	NA	11.29	11.20	1.18	090
26541		A	Repair hand joint with graft	8.81	NA	NA	12.84	12.71	1.52	090
26542		A	Repair hand joint with graft	6.95	NA	NA	11.56	11.45	1.25	090
26545		A	Reconstruct finger joint	7.11	NA	NA	11.88	11.69	1.27	090
26546		A	Repair nonunion hand	10.83	NA	NA	16.19	15.74	1.85	090
26548		A	Reconstruct finger joint	8.22	NA	NA	12.54	12.33	1.50	090
26550		A	Construct thumb replacement	21.68	NA	NA	22.54	19.75	4.26	090
26551		A	Great toe-hand transfer	48.48	NA	NA	28.91	30.90	9.55	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
26553		A	Single transfer toe-hand	48.17	NA	NA	44.81	35.30	3.43	090
26554		A	Double transfer toe-hand	57.01	NA	NA	32.98	34.24	4.06	090
26555		A	Positional change of finger	17.08	NA	NA	19.78	19.32	3.38	090
26556		A	Toe joint transfer	49.75	NA	NA	32.56	29.50	3.96	090
26560		A	Repair of web finger	5.52	NA	NA	10.59	10.19	1.09	090
26561		A	Repair of web finger	11.10	NA	NA	15.04	13.93	2.35	090
26562		A	Repair of web finger	16.68	NA	NA	19.41	18.71	1.18	090
26565		A	Correct metacarpal flaw	6.91	NA	NA	11.41	11.31	1.36	090
26567		A	Correct finger deformity	6.99	NA	NA	11.44	11.36	1.24	090
26568		A	Lengthen metacarpal/finger	9.27	NA	NA	14.93	14.79	1.82	090
26580		A	Repair hand deformity	19.75	NA	NA	15.22	15.90	3.89	090
26587		A	Reconstruct extra finger	14.50	NA	NA	14.83	12.53	3.10	090
26590		A	Repair finger deformity	18.67	NA	NA	15.19	14.41	3.70	090
26591		A	Repair muscles of hand	3.38	NA	NA	8.50	8.58	0.61	090
26593		A	Release muscles of hand	5.50	NA	NA	10.87	10.77	0.93	090
26596		A	Excision constricting tissue	9.14	NA	NA	11.35	10.65	1.81	090
26600		A	Treat metacarpal fracture	2.60	5.38	5.01	4.91	4.48	0.48	090
26605		A	Treat metacarpal fracture	3.03	5.73	5.45	4.96	4.67	0.56	090
26607		A	Treat metacarpal fracture	5.48	NA	NA	6.96	6.38	1.06	090
26608		A	Treat metacarpal fracture	5.55	NA	NA	7.51	7.18	1.03	090
26615		A	Treat metacarpal fracture	7.07	NA	NA	8.75	8.04	1.29	090
26641		A	Treat thumb dislocation	4.13	5.44	5.36	4.67	4.52	0.72	090
26645		A	Treat thumb fracture	4.58	7.01	6.50	6.05	5.56	0.88	090
26650		A	Treat thumb fracture	5.35	NA	NA	7.72	7.41	1.02	090
26665		A	Treat thumb fracture	7.94	NA	NA	9.20	8.62	1.47	090
26670		A	Treat hand dislocation	3.83	5.06	4.82	4.27	3.97	0.67	090
26675		A	Treat hand dislocation	4.83	7.49	6.95	6.49	5.97	0.95	090
26676		A	Pin hand dislocation	5.74	NA	NA	7.93	7.61	1.06	090
26685		A	Treat hand dislocation	7.07	NA	NA	8.66	8.11	1.39	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
26686		A	Treat hand dislocation	8.17	NA	NA	8.72	8.28	1.61	090
26700		A	Treat knuckle dislocation	3.83	4.68	4.43	4.19	3.88	0.65	090
26705		A	Treat knuckle dislocation	4.38	7.06	6.53	6.07	5.56	0.82	090
26706		A	Pin knuckle dislocation	5.31	NA	NA	6.80	6.35	0.95	090
26715		A	Treat knuckle dislocation	7.03	NA	NA	8.63	7.99	1.31	090
26720		A	Treat finger fracture each	1.76	3.57	3.39	3.21	2.98	0.33	090
26725		A	Treat finger fracture each	3.48	5.70	5.47	4.81	4.53	0.64	090
26727		A	Treat finger fracture each	5.42	NA	NA	7.47	7.13	0.99	090
26735		A	Treat finger fracture each	7.42	NA	NA	8.94	8.24	1.37	090
26740		A	Treat finger fracture each	2.07	4.21	3.96	3.83	3.57	0.35	090
26742		A	Treat finger fracture each	3.99	5.93	5.72	5.01	4.77	0.72	090
26746		A	Treat finger fracture each	9.80	NA	NA	10.55	9.55	1.78	090
26750		A	Treat finger fracture each	1.80	3.13	2.97	3.14	2.91	0.33	090
26755		A	Treat finger fracture each	3.23	5.20	5.01	4.13	3.89	0.59	090
26756		A	Pin finger fracture each	4.58	NA	NA	6.90	6.58	0.83	090
26765		A	Treat finger fracture each	5.86	NA	NA	7.92	7.19	1.09	090
26770		A	Treat finger dislocation	3.15	4.11	3.90	3.60	3.32	0.54	090
26775		A	Treat finger dislocation	3.90	6.49	6.19	5.50	5.14	0.69	090
26776		A	Pin finger dislocation	4.99	NA	NA	7.14	6.82	0.91	090
26785		A	Treat finger dislocation	6.60	NA	NA	8.42	7.62	1.21	090
26820		A	Thumb fusion with graft	8.45	NA	NA	12.69	12.58	1.66	090
26841		A	Fusion of thumb	7.35	NA	NA	12.38	12.28	1.39	090
26842		A	Thumb fusion with graft	8.49	NA	NA	12.74	12.64	1.66	090
26843		A	Fusion of hand joint	7.78	NA	NA	12.03	11.90	1.54	090
26844		A	Fusion/graft of hand joint	8.98	NA	NA	13.00	12.86	1.78	090
26850		A	Fusion of knuckle	7.14	NA	NA	11.71	11.59	1.24	090
26852		A	Fusion of knuckle with graft	8.71	NA	NA	12.97	12.73	1.47	090
26860		A	Fusion of finger joint	4.88	NA	NA	10.50	10.44	0.84	090
26861		A	Fusion of finger jnt add-on	1.74	NA	NA	1.10	1.04	0.31	ZZZ

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
26862		A	Fusion/graft of finger joint	7.56	NA	NA	12.21	12.02	1.31	090
26863		A	Fuse/graft added joint	3.89	NA	NA	2.33	2.26	0.75	ZZZ
26910		A	Amputate metacarpal bone	7.79	NA	NA	11.60	11.38	1.50	090
26951		A	Amputation of finger/thumb	6.04	NA	NA	11.68	11.27	1.14	090
26952		A	Amputation of finger/thumb	6.48	NA	NA	11.09	11.00	1.21	090
26989		C	Hand/finger surgery	0.00	0.00	0.00	0.00	0.00	0.00	YYY
26990		A	Drainage of pelvis lesion	7.95	NA	NA	8.96	8.53	1.58	090
26991		A	Drainage of pelvis bursa	7.06	12.24	11.87	7.19	6.77	1.39	090
26992		A	Drainage of bone lesion	13.48	NA	NA	12.54	11.94	2.67	090
27000		A	Incision of hip tendon	5.74	NA	NA	6.07	5.96	1.08	090
27001		A	Incision of hip tendon	7.14	NA	NA	7.51	7.17	1.40	090
27003		A	Incision of hip tendon	7.81	NA	NA	8.34	7.84	1.54	090
27005		A	Incision of hip tendon	10.07	NA	NA	9.62	9.17	1.97	090
27006		A	Incision of hip tendons	10.11	NA	NA	9.91	9.43	1.96	090
27025		A	Incision of hip/thigh fascia	12.89	NA	NA	11.83	11.08	2.57	090
27027		A	Buttock fasciotomy	13.04	NA	NA	11.46	10.40	0.91	090
27030		A	Drainage of hip joint	13.65	NA	NA	11.58	11.15	2.67	090
27033		A	Exploration of hip joint	14.11	NA	NA	12.25	11.70	2.78	090
27035		A	Denervation of hip joint	17.37	NA	NA	14.54	12.74	3.43	090
27036		A	Excision of hip joint/muscle	14.38	NA	NA	12.92	12.28	2.79	090
27040		A	Biopsy of soft tissues	2.92	6.38	6.42	2.46	2.45	0.48	010
27041		A	Biopsy of soft tissues	10.18	NA	NA	8.00	7.82	1.81	090
27043		A	Exc hip pelvis les sc > 3 cm	6.88	NA	NA	5.70	5.70	1.42	090
27045		A	Exc hip/pelv tum deep > 5 cm	11.13	NA	NA	8.83	8.83	2.26	090
27047		A	Exc hip/pelvis les sc < 3 cm	4.94	7.74	8.20	4.79	5.23	1.02	090
27048		A	Exc hip/pelv tum deep < 5 cm	8.85	NA	NA	7.54	6.69	1.81	090
27049		A	Resect hip/pelv tum < 5 cm	21.55	NA	NA	14.96	12.69	4.33	090
27050		A	Biopsy of sacroiliac joint	4.74	NA	NA	6.17	5.30	0.93	090
27052		A	Biopsy of hip joint	7.42	NA	NA	8.19	7.65	1.47	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
27054		A	Removal of hip joint lining	9.21	NA	NA	9.35	8.88	1.81	090
27057		A	Buttock fasciotomy w/dbrdmt	14.91	NA	NA	12.58	11.43	1.06	090
27059		A	Resect hip/pelv tum > 5 cm	29.35	NA	NA	19.27	19.27	5.78	090
27060		A	Removal of ischial bursa	5.87	NA	NA	6.74	6.04	1.17	090
27062		A	Remove femur lesion/bursa	5.75	NA	NA	6.65	6.30	1.13	090
27065		A	Remove hip bone les super	6.55	NA	NA	7.14	6.82	1.28	090
27066		A	Remove hip bone les deep	11.20	NA	NA	10.82	10.27	2.20	090
27067		A	Remove/graft hip bone lesion	14.72	NA	NA	13.32	12.67	2.91	090
27070		A	Part remove hip bone super	11.56	NA	NA	11.53	11.00	2.27	090
27071		A	Part removal hip bone deep	12.39	NA	NA	12.29	11.77	2.44	090
27075		A	Resect hip tumor	32.71	NA	NA	23.30	22.62	6.44	090
27076		A	Resect hip tum incl acetabul	40.21	NA	NA	28.25	22.55	7.93	090
27077		A	Resect hip tum w/innom bone	45.21	NA	NA	31.80	28.75	8.91	090
27078		A	Rsect hip tum incl femur	32.21	NA	NA	24.01	17.90	6.34	090
27080		A	Removal of tail bone	6.89	NA	NA	6.87	6.39	1.42	090
27086		A	Remove hip foreign body	1.92	4.91	4.86	2.13	2.05	0.31	010
27087		A	Remove hip foreign body	8.83	NA	NA	8.14	7.83	1.70	090
27090		A	Removal of hip prosthesis	11.69	NA	NA	10.80	10.31	2.29	090
27091		A	Removal of hip prosthesis	24.35	NA	NA	18.98	17.90	4.80	090
27093		A	Injection for hip x-ray	1.30	4.04	4.15	0.66	0.64	0.14	000
27095		A	Injection for hip x-ray	1.50	5.07	5.16	0.78	0.74	0.18	000
27096		A	Inject sacroiliac joint	1.40	4.16	3.93	0.68	0.56	0.12	000
27097		A	Revision of hip tendon	9.27	NA	NA	9.21	8.55	1.82	090
27098		A	Transfer tendon to pelvis	9.32	NA	NA	9.49	8.25	1.84	090
27100		A	Transfer of abdominal muscle	11.35	NA	NA	11.04	10.47	2.23	090
27105		A	Transfer of spinal muscle	12.04	NA	NA	11.45	10.90	2.35	090
27110		A	Transfer of iliopsoas muscle	13.77	NA	NA	12.49	11.71	2.72	090
27111		A	Transfer of iliopsoas muscle	12.60	NA	NA	11.79	10.59	2.46	090
27120		A	Reconstruction of hip socket	19.25	NA	NA	16.01	14.98	3.80	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
27122		A	Reconstruction of hip socket	16.09	NA	NA	13.77	13.12	3.16	090
27125		A	Partial hip replacement	16.64	NA	NA	14.09	13.31	3.28	090
27130		A	Total hip arthroplasty	21.79	NA	NA	17.28	16.39	4.29	090
27132		A	Total hip arthroplasty	25.69	NA	NA	19.79	18.83	5.06	090
27134		A	Revise hip joint replacement	30.28	NA	NA	21.73	20.78	5.96	090
27137		A	Revise hip joint replacement	22.70	NA	NA	17.28	16.49	4.46	090
27138		A	Revise hip joint replacement	23.70	NA	NA	17.88	17.06	4.67	090
27140		A	Transplant femur ridge	12.78	NA	NA	11.41	10.94	2.52	090
27146		A	Incision of hip bone	18.92	NA	NA	15.94	14.84	3.74	090
27147		A	Revision of hip bone	22.07	NA	NA	17.83	16.84	4.34	090
27151		A	Incision of hip bones	24.12	NA	NA	19.06	17.02	4.76	090
27156		A	Revision of hip bones	26.23	NA	NA	20.32	19.07	5.16	090
27158		A	Revision of pelvis	21.04	NA	NA	16.98	15.77	4.15	090
27161		A	Incision of neck of femur	17.89	NA	NA	15.01	14.34	3.51	090
27165		A	Incision/fixation of femur	20.29	NA	NA	17.01	16.07	3.99	090
27170		A	Repair/graft femur head/neck	17.61	NA	NA	14.30	13.59	3.47	090
27175		A	Treat slipped epiphysis	9.38	NA	NA	8.70	8.23	1.85	090
27176		A	Treat slipped epiphysis	12.92	NA	NA	11.98	11.31	2.56	090
27177		A	Treat slipped epiphysis	16.09	NA	NA	14.13	13.38	3.17	090
27178		A	Treat slipped epiphysis	12.92	NA	NA	11.98	11.22	2.56	090
27179		A	Revise head/neck of femur	13.97	NA	NA	12.50	11.84	2.75	090
27181		A	Treat slipped epiphysis	16.18	NA	NA	14.30	13.41	3.20	090
27185		A	Revision of femur epiphysis	9.79	NA	NA	6.82	7.08	0.69	090
27187		A	Reinforce hip bones	14.23	NA	NA	12.63	12.07	2.80	090
27193		A	Treat pelvic ring fracture	6.09	6.67	6.31	6.85	6.46	1.20	090
27194		A	Treat pelvic ring fracture	10.20	NA	NA	8.56	8.39	1.66	090
27200		A	Treat tail bone fracture	1.92	2.98	2.78	3.18	2.94	0.35	090
27202		A	Treat tail bone fracture	7.31	NA	NA	7.86	8.79	1.46	090
27215		I	Treat pelvic fracture(s)	10.45	NA	NA	6.59	7.41	0.73	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
27216		I	Treat pelvic ring fracture	15.73	NA	NA	9.53	10.61	1.13	090
27217		I	Treat pelvic ring fracture	14.65	NA	NA	9.06	10.23	1.05	090
27218		I	Treat pelvic ring fracture	20.93	NA	NA	11.82	13.07	1.50	090
27220		A	Treat hip socket fracture	6.83	7.56	7.15	7.43	7.03	1.33	090
27222		A	Treat hip socket fracture	14.11	NA	NA	12.28	11.74	2.76	090
27226		A	Treat hip wall fracture	15.57	NA	NA	13.19	12.14	3.08	090
27227		A	Treat hip fracture(s)	25.41	NA	NA	19.74	18.73	5.01	090
27228		A	Treat hip fracture(s)	29.33	NA	NA	22.03	20.99	5.78	090
27230		A	Treat thigh fracture	5.81	7.03	6.70	6.94	6.56	1.14	090
27232		A	Treat thigh fracture	11.72	NA	NA	8.90	8.50	2.25	090
27235		A	Treat thigh fracture	13.00	NA	NA	11.69	11.14	2.56	090
27236		A	Treat thigh fracture	17.61	NA	NA	14.86	14.02	3.47	090
27238		A	Treat thigh fracture	5.75	NA	NA	6.66	6.33	1.13	090
27240		A	Treat thigh fracture	13.81	NA	NA	12.06	11.47	2.69	090
27244		A	Treat thigh fracture	18.18	NA	NA	15.22	14.36	3.57	090
27245		A	Treat thigh fracture	18.18	NA	NA	15.25	14.76	3.57	090
27246		A	Treat thigh fracture	4.83	5.60	5.33	5.65	5.37	0.93	090
27248		A	Treat thigh fracture	10.78	NA	NA	9.35	9.02	2.12	090
27250		A	Treat hip dislocation	3.82	NA	NA	0.98	1.54	0.67	000
27252		A	Treat hip dislocation	11.03	NA	NA	9.38	8.93	2.14	090
27253		A	Treat hip dislocation	13.58	NA	NA	11.93	11.40	2.67	090
27254		A	Treat hip dislocation	18.94	NA	NA	15.34	14.59	3.73	090
27256		A	Treat hip dislocation	4.28	3.54	3.43	1.79	1.86	0.75	010
27257		A	Treat hip dislocation	5.38	NA	NA	3.59	3.41	0.98	010
27258		A	Treat hip dislocation	16.18	NA	NA	13.93	13.18	3.20	090
27259		A	Treat hip dislocation	23.26	NA	NA	18.87	17.81	4.57	090
27265		A	Treat hip dislocation	5.24	NA	NA	5.31	5.17	0.93	090
27266		A	Treat hip dislocation	7.78	NA	NA	7.99	7.60	1.52	090
27267		A	Cltx thigh fx	5.50	NA	NA	6.26	5.77	1.09	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
27268		A	Cltx thigh fx w/mnpj	7.12	NA	NA	7.47	6.78	1.40	090
27269		A	Optx thigh fx	18.89	NA	NA	14.60	13.40	3.70	090
27275		A	Manipulation of hip joint	2.32	NA	NA	2.54	2.45	0.39	010
27280		A	Fusion of sacroiliac joint	14.64	NA	NA	13.12	12.49	3.04	090
27282		A	Fusion of pubic bones	11.85	NA	NA	11.34	10.15	2.31	090
27284		A	Fusion of hip joint	25.06	NA	NA	18.86	16.68	4.95	090
27286		A	Fusion of hip joint	25.17	NA	NA	19.69	18.74	4.97	090
27290		A	Amputation of leg at hip	24.55	NA	NA	19.53	17.75	4.85	090
27295		A	Amputation of leg at hip	19.66	NA	NA	14.49	13.71	4.01	090
27299		C	Pelvis/hip joint surgery	0.00	0.00	0.00	0.00	0.00	0.00	YYY
27301		A	Drain thigh/knee lesion	6.78	11.43	11.09	6.75	6.38	1.37	090
27303		A	Drainage of bone lesion	8.63	NA	NA	8.70	8.29	1.69	090
27305		A	Incise thigh tendon & fascia	6.18	NA	NA	6.80	6.36	1.22	090
27306		A	Incision of thigh tendon	4.74	NA	NA	5.37	5.23	0.93	090
27307		A	Incision of thigh tendons	6.06	NA	NA	6.95	6.47	1.20	090
27310		A	Exploration of knee joint	10.00	NA	NA	9.83	9.32	1.96	090
27323		A	Biopsy thigh soft tissues	2.33	5.24	5.09	2.60	2.50	0.41	010
27324		A	Biopsy thigh soft tissues	5.04	NA	NA	5.55	5.22	1.05	090
27325		A	Neurectomy hamstring	7.20	NA	NA	7.90	7.19	1.42	090
27326		A	Neurectomy popliteal	6.47	NA	NA	7.46	6.81	1.27	090
27327		A	Exc thigh/knee les sc < 3 cm	3.96	8.49	7.96	4.44	4.47	0.80	090
27328		A	Exc thigh/knee tum deep <5cm	8.85	NA	NA	7.79	6.51	1.81	090
27329		A	Resect thigh/knee tum < 5 cm	15.72	NA	NA	12.33	11.61	3.18	090
27330		A	Biopsy knee joint lining	5.11	NA	NA	6.14	5.71	0.95	090
27331		A	Explore/treat knee joint	6.02	NA	NA	6.89	6.56	1.18	090
27332		A	Removal of knee cartilage	8.46	NA	NA	8.92	8.50	1.65	090
27333		A	Removal of knee cartilage	7.55	NA	NA	8.33	7.91	1.50	090
27334		A	Remove knee joint lining	9.19	NA	NA	9.35	8.86	1.81	090
27335		A	Remove knee joint lining	10.55	NA	NA	10.13	9.68	2.07	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
27337		A	Exc thigh/knee les sc 3+ cm	5.91	NA	NA	5.41	5.41	1.21	090
27339		A	Exc thigh/knee tum deep 5+cm	11.13	NA	NA	9.13	9.13	2.26	090
27340		A	Removal of kneecap bursa	4.32	NA	NA	5.79	5.50	0.84	090
27345		A	Removal of knee cyst	6.09	NA	NA	6.94	6.66	1.20	090
27347		A	Remove knee cyst	6.73	NA	NA	7.61	7.16	1.32	090
27350		A	Removal of kneecap	8.66	NA	NA	9.02	8.60	1.69	090
27355		A	Remove femur lesion	8.00	NA	NA	8.32	7.96	1.58	090
27356		A	Remove femur lesion/graft	10.09	NA	NA	9.86	9.40	1.97	090
27357		A	Remove femur lesion/graft	11.16	NA	NA	10.91	10.38	2.20	090
27358		A	Remove femur lesion/fixation	4.73	NA	NA	2.83	2.73	0.91	ZZZ
27360		A	Partial removal leg bone(s)	11.46	NA	NA	11.61	11.10	2.26	090
27364		A	Resect thigh/knee tum 5+ cm	24.49	NA	NA	17.31	17.31	4.97	090
27365		A	Resect femur/knee tumor	32.21	NA	NA	23.86	18.81	6.36	090
27370		A	Injection for knee x-ray	0.96	3.85	3.86	0.51	0.48	0.12	000
27372		A	Removal of foreign body	5.21	11.42	11.11	5.79	5.53	1.03	090
27380		A	Repair of kneecap tendon	7.45	NA	NA	8.62	8.29	1.47	090
27381		A	Repair/graft kneecap tendon	10.76	NA	NA	10.85	10.43	2.12	090
27385		A	Repair of thigh muscle	8.11	NA	NA	9.05	8.69	1.59	090
27386		A	Repair/graft of thigh muscle	11.13	NA	NA	11.39	10.91	2.19	090
27390		A	Incision of thigh tendon	5.53	NA	NA	6.64	6.27	1.09	090
27391		A	Incision of thigh tendons	7.49	NA	NA	8.14	7.73	1.48	090
27392		A	Incision of thigh tendons	9.63	NA	NA	9.68	9.08	1.89	090
27393		A	Lengthening of thigh tendon	6.59	NA	NA	7.09	6.81	1.29	090
27394		A	Lengthening of thigh tendons	8.79	NA	NA	8.78	8.42	1.70	090
27395		A	Lengthening of thigh tendons	12.24	NA	NA	11.57	11.02	2.41	090
27396		A	Transplant of thigh tendon	8.15	NA	NA	8.54	8.15	1.61	090
27397		A	Transplants of thigh tendons	12.66	NA	NA	12.19	11.50	2.48	090
27400		A	Revise thigh muscles/tendons	9.33	NA	NA	9.50	8.99	1.84	090
27403		A	Repair of knee cartilage	8.62	NA	NA	8.75	8.37	1.67	090

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27405		A	Repair of knee ligament	9.08	NA	NA	9.26	8.84	1.80	090
27407		A	Repair of knee ligament	10.85	NA	NA	10.63	9.82	2.14	090
27409		A	Repair of knee ligaments	13.71	NA	NA	12.45	11.83	2.71	090
27412		A	Autochondrocyte implant knee	24.74	NA	NA	19.97	18.88	4.89	090
27415		A	Osteochondral knee allograft	20.00	NA	NA	17.31	16.27	3.93	090
27416		A	Osteochondral knee autograft	14.16	NA	NA	12.43	11.56	2.79	090
27418		A	Repair degenerated kneecap	11.60	NA	NA	10.92	10.46	2.26	090
27420		A	Revision of unstable kneecap	10.26	NA	NA	9.96	9.52	2.00	090
27422		A	Revision of unstable kneecap	10.21	NA	NA	9.92	9.49	1.99	090
27424		A	Revision/removal of kneecap	10.24	NA	NA	9.87	9.47	2.00	090
27425		A	Lat retinacular release open	5.39	NA	NA	6.78	6.45	1.06	090
27427		A	Reconstruction knee	9.79	NA	NA	9.65	9.22	1.92	090
27428		A	Reconstruction knee	15.58	NA	NA	14.60	13.84	3.09	090
27429		A	Reconstruction knee	17.54	NA	NA	16.14	15.36	3.47	090
27430		A	Revision of thigh muscles	10.16	NA	NA	9.89	9.44	1.99	090
27435		A	Incision of knee joint	10.88	NA	NA	10.97	10.41	2.15	090
27437		A	Revise kneecap	8.93	NA	NA	8.99	8.56	1.77	090
27438		A	Revise kneecap with implant	11.89	NA	NA	10.89	10.36	2.33	090
27440		A	Revision of knee joint	11.09	NA	NA	10.54	9.62	2.18	090
27441		A	Revision of knee joint	11.54	NA	NA	10.81	9.83	2.26	090
27442		A	Revision of knee joint	12.37	NA	NA	11.20	10.62	2.42	090
27443		A	Revision of knee joint	11.41	NA	NA	10.73	10.20	2.25	090
27445		A	Revision of knee joint	18.66	NA	NA	15.26	14.56	3.70	090
27446		A	Revision of knee joint	16.38	NA	NA	13.55	12.99	3.23	090
27447		A	Total knee arthroplasty	23.25	NA	NA	18.46	17.58	4.57	090
27448		A	Incision of thigh	11.60	NA	NA	10.56	10.07	2.29	090
27450		A	Incision of thigh	14.61	NA	NA	12.82	12.29	2.90	090
27454		A	Realignment of thigh bone	19.17	NA	NA	16.09	15.01	3.78	090
27455		A	Realignment of knee	13.36	NA	NA	12.19	11.60	2.63	090

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27457		A	Realignment of knee	14.03	NA	NA	12.07	11.52	2.76	090
27465		A	Shortening of thigh bone	18.60	NA	NA	15.40	14.26	3.69	090
27466		A	Lengthening of thigh bone	17.28	NA	NA	14.54	13.94	3.42	090
27468		A	Shorten/lengthen thighs	19.97	NA	NA	16.45	15.47	3.93	090
27470		A	Repair of thigh	17.14	NA	NA	14.83	14.12	3.39	090
27472		A	Repair/graft of thigh	18.72	NA	NA	15.53	14.86	3.70	090
27475		A	Surgery to stop leg growth	8.93	NA	NA	6.34	7.22	1.77	090
27477		A	Surgery to stop leg growth	10.14	NA	NA	9.73	9.25	1.99	090
27479		A	Surgery to stop leg growth	13.16	NA	NA	11.79	11.25	0.93	090
27485		A	Surgery to stop leg growth	9.13	NA	NA	9.05	8.63	1.81	090
27486		A	Revise/replace knee joint	21.12	NA	NA	17.06	16.24	4.15	090
27487		A	Revise/replace knee joint	27.11	NA	NA	20.61	19.66	5.34	090
27488		A	Removal of knee prosthesis	17.60	NA	NA	14.98	14.23	3.47	090
27495		A	Reinforce thigh	16.54	NA	NA	14.03	13.40	3.25	090
27496		A	Decompression of thigh/knee	6.78	NA	NA	7.97	7.15	1.33	090
27497		A	Decompression of thigh/knee	7.79	NA	NA	7.99	7.12	1.54	090
27498		A	Decompression of thigh/knee	8.66	NA	NA	9.10	7.88	1.69	090
27499		A	Decompression of thigh/knee	9.43	NA	NA	9.56	8.53	1.86	090
27500		A	Treatment of thigh fracture	6.30	7.77	7.37	6.71	6.31	1.22	090
27501		A	Treatment of thigh fracture	6.45	7.21	6.87	7.09	6.71	1.27	090
27502		A	Treatment of thigh fracture	11.36	NA	NA	9.70	9.32	2.19	090
27503		A	Treatment of thigh fracture	11.27	NA	NA	10.54	10.00	2.20	090
27506		A	Treatment of thigh fracture	19.65	NA	NA	16.68	15.79	3.87	090
27507		A	Treatment of thigh fracture	14.48	NA	NA	11.91	11.40	2.86	090
27508		A	Treatment of thigh fracture	6.20	8.02	7.66	7.17	6.80	1.20	090
27509		A	Treatment of thigh fracture	8.14	NA	NA	9.42	9.04	1.59	090
27510		A	Treatment of thigh fracture	9.80	NA	NA	8.84	8.55	1.88	090
27511		A	Treatment of thigh fracture	15.11	NA	NA	11.96	11.63	2.98	090
27513		A	Treatment of thigh fracture	19.25	NA	NA	14.42	14.09	3.80	090

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27514		A	Treatment of thigh fracture	14.60	NA	NA	11.64	11.69	2.87	090
27516		A	Treat thigh fx growth plate	5.59	8.22	7.71	7.36	6.88	1.10	090
27517		A	Treat thigh fx growth plate	9.12	NA	NA	9.48	8.99	1.80	090
27519		A	Treat thigh fx growth plate	13.25	NA	NA	10.92	10.82	2.61	090
27520		A	Treat kneecap fracture	3.04	5.73	5.46	4.96	4.65	0.59	090
27524		A	Treat kneecap fracture	10.37	NA	NA	10.05	9.60	2.03	090
27530		A	Treat knee fracture	4.09	6.76	6.43	6.01	5.67	0.78	090
27532		A	Treat knee fracture	7.55	9.21	8.75	8.17	7.74	1.48	090
27535		A	Treat knee fracture	13.41	NA	NA	10.94	10.62	2.63	090
27536		A	Treat knee fracture	17.39	NA	NA	14.95	14.17	3.43	090
27538		A	Treat knee fracture(s)	5.09	7.77	7.40	6.94	6.56	0.99	090
27540		A	Treat knee fracture	11.30	NA	NA	10.77	10.41	2.22	090
27550		A	Treat knee dislocation	5.98	7.47	7.13	6.49	6.15	1.10	090
27552		A	Treat knee dislocation	8.18	NA	NA	8.83	8.37	1.61	090
27556		A	Treat knee dislocation	13.00	NA	NA	10.77	10.68	2.57	090
27557		A	Treat knee dislocation	15.90	NA	NA	12.51	12.37	3.14	090
27558		A	Treat knee dislocation	18.39	NA	NA	14.00	13.63	3.62	090
27560		A	Treat kneecap dislocation	3.99	6.37	5.98	5.64	5.13	0.76	090
27562		A	Treat kneecap dislocation	5.98	NA	NA	7.13	6.56	1.18	090
27566		A	Treat kneecap dislocation	12.71	NA	NA	11.42	10.91	2.50	090
27570		A	Fixation of knee joint	1.79	NA	NA	2.31	2.19	0.34	010
27580		A	Fusion of knee	21.10	NA	NA	17.92	17.12	4.15	090
27590		A	Amputate leg at thigh	13.47	NA	NA	8.45	8.12	2.95	090
27591		A	Amputate leg at thigh	13.94	NA	NA	10.18	9.96	2.91	090
27592		A	Amputate leg at thigh	10.98	NA	NA	7.83	7.52	2.34	090
27594		A	Amputation follow-up surgery	7.29	NA	NA	6.45	6.26	1.55	090
27596		A	Amputation follow-up surgery	11.29	NA	NA	8.37	8.08	2.40	090
27598		A	Amputate lower leg at knee	11.22	NA	NA	8.86	8.52	2.33	090
27599		C	Leg surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
27600		A	Decompression of lower leg	6.03	NA	NA	5.15	5.10	1.27	090
27601		A	Decompression of lower leg	6.05	NA	NA	6.01	5.79	1.27	090
27602		A	Decompression of lower leg	7.82	NA	NA	5.68	5.65	1.74	090
27603		A	Drain lower leg lesion	5.23	9.64	9.22	5.54	5.24	1.01	090
27604		A	Drain lower leg bursa	4.59	8.64	8.15	4.70	4.51	0.78	090
27605		A	Incision of achilles tendon	2.92	6.56	6.81	2.20	2.28	0.34	010
27606		A	Incision of achilles tendon	4.18	NA	NA	3.68	3.59	0.72	010
27607		A	Treat lower leg bone lesion	8.62	NA	NA	8.15	7.76	1.58	090
27610		A	Explore/treat ankle joint	9.13	NA	NA	8.76	8.36	1.63	090
27612		A	Exploration of ankle joint	8.15	NA	NA	7.38	7.11	1.16	090
27613		A	Biopsy lower leg soft tissue	2.22	4.92	4.77	2.39	2.32	0.31	010
27614		A	Biopsy lower leg soft tissue	5.80	10.14	9.67	5.31	5.14	1.03	090
27615		A	Resect leg/ankle tum < 5 cm	15.72	NA	NA	12.27	11.04	3.14	090
27616		A	Resect leg/ankle tum 5+ cm	19.63	NA	NA	14.68	14.68	3.91	090
27618		A	Exc leg/ankle tum < 3 cm	3.96	8.37	8.08	4.40	4.59	0.73	090
27619		A	Exc leg/ankle tum deep <5 cm	6.91	NA	NA	6.34	6.53	1.22	090
27620		A	Explore/treat ankle joint	6.15	NA	NA	6.45	6.21	1.05	090
27625		A	Remove ankle joint lining	8.49	NA	NA	7.35	7.19	1.27	090
27626		A	Remove ankle joint lining	9.10	NA	NA	8.13	7.86	1.52	090
27630		A	Removal of tendon lesion	4.94	10.65	10.09	5.18	5.02	0.78	090
27632		A	Exc leg/ankle les sc 3+ cm	5.91	NA	NA	5.36	5.36	1.13	090
27634		A	Exc leg/ankle tum deep 5+ cm	10.13	NA	NA	8.17	8.17	1.80	090
27635		A	Remove lower leg bone lesion	8.03	NA	NA	8.17	7.82	1.50	090
27637		A	Remove/graft leg bone lesion	10.31	NA	NA	10.42	9.86	2.01	090
27638		A	Remove/graft leg bone lesion	10.99	NA	NA	9.94	9.60	2.16	090
27640		A	Partial removal of tibia	12.24	NA	NA	10.75	10.53	2.20	090
27641		A	Partial removal of fibula	9.84	NA	NA	8.49	8.38	1.67	090
27645		A	Resect tibia tumor	27.21	NA	NA	21.12	16.78	5.36	090
27646		A	Resect fibula tumor	23.21	NA	NA	18.73	14.81	4.57	090

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27647		A	Resect talus/calcaneus tum	20.26	NA	NA	10.90	9.54	2.03	090
27648		A	Injection for ankle x-ray	0.96	3.64	3.66	0.49	0.46	0.12	000
27650		A	Repair achilles tendon	9.21	NA	NA	9.19	8.85	1.51	090
27652		A	Repair/graft achilles tendon	10.78	NA	NA	8.61	8.55	1.51	090
27654		A	Repair of achilles tendon	10.53	NA	NA	9.19	8.82	1.51	090
27656		A	Repair leg fascia defect	4.71	12.69	11.34	6.07	5.32	0.91	090
27658		A	Repair of leg tendon each	5.12	NA	NA	5.31	5.15	0.78	090
27659		A	Repair of leg tendon each	7.10	NA	NA	6.46	6.26	0.99	090
27664		A	Repair of leg tendon each	4.73	NA	NA	5.40	5.18	0.75	090
27665		A	Repair of leg tendon each	5.57	NA	NA	5.73	5.61	0.88	090
27675		A	Repair lower leg tendons	7.35	NA	NA	6.19	6.11	1.02	090
27676		A	Repair lower leg tendons	8.73	NA	NA	8.14	7.83	1.70	090
27680		A	Release of lower leg tendon	5.88	NA	NA	6.07	5.80	0.98	090
27681		A	Release of lower leg tendons	7.05	NA	NA	7.77	7.15	1.39	090
27685		A	Revision of lower leg tendon	6.69	11.88	11.06	6.26	6.09	0.91	090
27686		A	Revise lower leg tendons	7.75	NA	NA	7.50	7.27	1.29	090
27687		A	Revision of calf tendon	6.41	NA	NA	6.22	6.01	0.99	090
27690		A	Revise lower leg tendon	9.17	NA	NA	8.57	8.12	1.32	090
27691		A	Revise lower leg tendon	10.49	NA	NA	10.20	9.71	1.82	090
27692		A	Revise additional leg tendon	1.87	NA	NA	1.06	1.01	0.34	ZZZ
27695		A	Repair of ankle ligament	6.70	NA	NA	6.52	6.42	1.08	090
27696		A	Repair of ankle ligaments	8.58	NA	NA	7.04	6.92	1.16	090
27698		A	Repair of ankle ligament	9.61	NA	NA	8.12	7.87	1.51	090
27700		A	Revision of ankle joint	9.66	NA	NA	6.82	6.70	1.20	090
27702		A	Reconstruct ankle joint	14.42	NA	NA	12.19	11.83	2.65	090
27703		A	Reconstruction ankle joint	16.94	NA	NA	13.79	13.36	3.18	090
27704		A	Removal of ankle implant	7.81	NA	NA	8.01	7.53	1.42	090
27705		A	Incision of tibia	10.86	NA	NA	9.96	9.52	2.01	090
27707		A	Incision of fibula	4.78	NA	NA	6.36	6.03	0.90	090

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27709		A	Incision of tibia & fibula	17.48	NA	NA	14.67	13.34	3.38	090
27712		A	Realignment of lower leg	15.87	NA	NA	14.12	13.36	3.14	090
27715		A	Revision of lower leg	15.50	NA	NA	13.04	12.60	3.08	090
27720		A	Repair of tibia	12.36	NA	NA	11.43	10.96	2.40	090
27722		A	Repair/graft of tibia	12.45	NA	NA	11.70	11.01	2.44	090
27724		A	Repair/graft of tibia	19.31	NA	NA	15.13	14.46	3.80	090
27725		A	Repair of lower leg	17.41	NA	NA	15.71	14.79	3.44	090
27726		A	Repair fibula nonunion	14.34	NA	NA	12.14	10.93	2.78	090
27727		A	Repair of lower leg	14.84	NA	NA	13.28	11.72	2.94	090
27730		A	Repair of tibia epiphysis	7.70	NA	NA	8.19	7.78	1.52	090
27732		A	Repair of fibula epiphysis	5.46	NA	NA	6.68	5.88	1.08	090
27734		A	Repair lower leg epiphyses	8.83	NA	NA	8.95	7.80	0.63	090
27740		A	Repair of leg epiphyses	9.61	NA	NA	6.77	7.11	1.89	090
27742		A	Repair of leg epiphyses	10.63	NA	NA	9.02	8.11	2.08	090
27745		A	Reinforce tibia	10.49	NA	NA	9.94	9.54	2.04	090
27750		A	Treatment of tibia fracture	3.37	6.04	5.75	5.25	4.95	0.64	090
27752		A	Treatment of tibia fracture	6.27	8.39	8.00	7.25	6.90	1.21	090
27756		A	Treatment of tibia fracture	7.45	NA	NA	8.16	7.77	1.46	090
27758		A	Treatment of tibia fracture	12.54	NA	NA	11.67	11.09	2.45	090
27759		A	Treatment of tibia fracture	14.45	NA	NA	12.66	12.09	2.84	090
27760		A	Cltx medial ankle fx	3.21	5.90	5.63	5.10	4.79	0.59	090
27762		A	Cltx med ankle fx w/mnpj	5.47	7.61	7.33	6.48	6.23	1.01	090
27766		A	Optx medial ankle fx	7.89	NA	NA	8.78	8.39	1.51	090
27767		A	Cltx post ankle fx	2.64	5.08	4.67	5.12	4.71	0.50	090
27768		A	Cltx post ankle fx w/mnpj	5.14	NA	NA	6.81	6.13	1.02	090
27769		A	Optx post ankle fx	10.14	NA	NA	9.86	8.85	1.99	090
27780		A	Treatment of fibula fracture	2.83	5.48	5.17	4.73	4.40	0.53	090
27781		A	Treatment of fibula fracture	4.59	6.98	6.64	6.14	5.81	0.87	090
27784		A	Treatment of fibula fracture	9.67	NA	NA	9.89	9.19	1.88	090

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27786		A	Treatment of ankle fracture	3.02	5.62	5.36	4.79	4.50	0.54	090
27788		A	Treatment of ankle fracture	4.64	6.89	6.61	5.90	5.64	0.84	090
27792		A	Treatment of ankle fracture	9.71	NA	NA	9.79	9.20	1.84	090
27808		A	Treatment of ankle fracture	3.03	6.07	5.80	5.14	4.85	0.56	090
27810		A	Treatment of ankle fracture	5.32	7.45	7.19	6.29	6.07	1.01	090
27814		A	Treatment of ankle fracture	10.62	NA	NA	10.45	9.99	2.04	090
27816		A	Treatment of ankle fracture	3.07	5.63	5.30	4.73	4.41	0.54	090
27818		A	Treatment of ankle fracture	5.69	7.34	7.12	6.03	5.85	1.05	090
27822		A	Treatment of ankle fracture	11.21	NA	NA	11.76	11.41	2.16	090
27823		A	Treatment of ankle fracture	13.16	NA	NA	12.92	12.48	2.55	090
27824		A	Treat lower leg fracture	3.31	5.20	4.96	4.95	4.66	0.61	090
27825		A	Treat lower leg fracture	6.69	8.17	7.83	6.78	6.48	1.28	090
27826		A	Treat lower leg fracture	11.10	NA	NA	11.78	11.14	2.14	090
27827		A	Treat lower leg fracture	14.79	NA	NA	14.75	14.18	2.90	090
27828		A	Treat lower leg fracture	18.43	NA	NA	16.88	16.14	3.59	090
27829		A	Treat lower leg joint	8.80	NA	NA	9.89	9.29	1.69	090
27830		A	Treat lower leg dislocation	3.96	6.40	5.86	5.68	5.17	0.76	090
27831		A	Treat lower leg dislocation	4.73	NA	NA	6.13	5.65	0.91	090
27832		A	Treat lower leg dislocation	10.17	NA	NA	10.36	9.42	1.99	090
27840		A	Treat ankle dislocation	4.77	NA	NA	4.96	4.71	0.83	090
27842		A	Treat ankle dislocation	6.46	NA	NA	7.08	6.62	1.21	090
27846		A	Treat ankle dislocation	10.28	NA	NA	9.68	9.30	1.95	090
27848		A	Treat ankle dislocation	11.68	NA	NA	10.55	10.29	2.25	090
27860		A	Fixation of ankle joint	2.39	NA	NA	2.38	2.33	0.39	010
27870		A	Fusion of ankle joint open	15.41	NA	NA	12.98	12.46	2.80	090
27871		A	Fusion of tibiofibular joint	9.54	NA	NA	9.31	8.91	1.85	090
27880		A	Amputation of lower leg	15.37	NA	NA	9.55	9.08	3.32	090
27881		A	Amputation of lower leg	13.47	NA	NA	10.29	10.02	2.80	090
27882		A	Amputation of lower leg	9.79	NA	NA	6.71	6.66	2.15	090

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27884		A	Amputation follow-up surgery	8.76	NA	NA	7.04	6.80	1.86	090
27886		A	Amputation follow-up surgery	10.02	NA	NA	7.94	7.70	2.14	090
27888		A	Amputation of foot at ankle	10.37	NA	NA	8.19	8.15	1.93	090
27889		A	Amputation of foot at ankle	10.86	NA	NA	7.08	7.06	2.41	090
27892		A	Decompression of leg	7.94	NA	NA	7.03	6.68	1.63	090
27893		A	Decompression of leg	7.90	NA	NA	8.64	7.62	1.67	090
27894		A	Decompression of leg	12.67	NA	NA	10.49	10.02	2.67	090
27899		C	Leg/ankle surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
28001		A	Drainage of bursa of foot	2.78	5.00	4.76	1.98	2.02	0.23	010
28002		A	Treatment of foot infection	5.93	8.57	8.09	4.60	4.53	0.67	010
28003		A	Treatment of foot infection	9.06	9.83	9.43	5.78	5.81	1.06	090
28005		A	Treat foot bone lesion	9.44	NA	NA	7.15	7.06	1.02	090
28008		A	Incision of foot fascia	4.59	7.66	7.28	3.69	3.68	0.41	090
28010		A	Incision of toe tendon	2.97	3.65	3.48	2.99	2.92	0.27	090
28011		A	Incision of toe tendons	4.28	5.07	4.79	4.06	3.94	0.49	090
28020		A	Exploration of foot joint	5.15	9.90	9.20	4.86	4.71	0.67	090
28022		A	Exploration of foot joint	4.81	8.76	8.29	4.19	4.20	0.49	090
28024		A	Exploration of toe joint	4.52	8.29	7.90	3.93	3.99	0.42	090
28035		A	Decompression of tibia nerve	5.23	9.51	9.01	4.66	4.62	0.65	090
28039		A	Exc foot/toe tum sc > 1.5 cm	5.42	8.38	8.38	4.06	4.06	0.54	090
28041		A	Exc foot/toe tum deep 1.5cm+	7.13	NA	NA	5.30	5.30	0.73	090
28043		A	Exc foot/toe tum sc < 1.5 cm	3.96	7.54	6.52	3.54	3.50	0.39	090
28045		A	Exc foot/toe tum deep <1.5cm	5.45	8.90	8.46	4.56	4.32	0.56	090
28046		A	Resect foot/toe tumor < 3 cm	12.38	NA	NA	8.56	7.95	1.66	090
28047		A	Resect foot/toe tumor > 3 cm	17.45	NA	NA	8.57	8.57	1.33	090
28050		A	Biopsy of foot joint lining	4.39	7.73	7.73	3.57	3.83	0.39	090
28052		A	Biopsy of foot joint lining	4.06	8.07	7.61	3.67	3.67	0.49	090
28054		A	Biopsy of toe joint lining	3.57	7.14	7.09	3.12	3.32	0.27	090
28055		A	Neurectomy foot	6.29	NA	NA	4.42	4.38	0.53	090

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28060		A	Partial removal foot fascia	5.40	9.21	8.65	4.60	4.52	0.54	090
28062		A	Removal of foot fascia	6.69	9.97	9.53	4.83	4.78	0.60	090
28070		A	Removal of foot joint lining	5.24	9.92	9.03	4.79	4.57	0.56	090
28072		A	Removal of foot joint lining	4.72	9.82	9.21	4.69	4.68	0.64	090
28080		A	Removal of foot lesion	4.86	9.88	9.17	5.41	5.17	0.48	090
28086		A	Excise foot tendon sheath	4.92	10.27	9.82	5.03	4.94	0.72	090
28088		A	Excise foot tendon sheath	3.98	9.86	9.05	4.59	4.39	0.54	090
28090		A	Removal of foot lesion	4.55	8.75	8.22	4.10	4.03	0.48	090
28092		A	Removal of toe lesions	3.78	8.35	7.87	3.87	3.82	0.39	090
28100		A	Removal of ankle/heel lesion	5.83	10.85	10.37	5.43	5.32	0.76	090
28102		A	Remove/graft foot lesion	7.92	NA	NA	8.54	7.82	0.61	090
28103		A	Remove/graft foot lesion	6.67	NA	NA	4.46	4.92	0.50	090
28104		A	Removal of foot lesion	5.26	9.23	8.70	4.41	4.38	0.54	090
28106		A	Remove/graft foot lesion	7.35	NA	NA	4.83	5.08	0.56	090
28107		A	Remove/graft foot lesion	5.73	9.01	9.12	4.18	4.48	0.42	090
28108		A	Removal of toe lesions	4.30	8.12	7.61	3.80	3.75	0.38	090
28110		A	Part removal of metatarsal	4.22	8.87	8.35	3.91	3.84	0.41	090
28111		A	Part removal of metatarsal	5.15	9.23	8.82	4.20	4.15	0.60	090
28112		A	Part removal of metatarsal	4.63	9.33	8.82	4.22	4.15	0.52	090
28113		A	Part removal of metatarsal	6.11	10.81	10.13	5.97	5.75	0.63	090
28114		A	Removal of metatarsal heads	12.00	18.06	16.94	11.30	10.78	1.65	090
28116		A	Revision of foot	9.14	12.23	11.37	6.90	6.64	0.95	090
28118		A	Removal of heel bone	6.13	10.48	9.85	5.36	5.23	0.75	090
28119		A	Removal of heel spur	5.56	9.29	8.72	4.59	4.49	0.53	090
28120		A	Part removal of ankle/heel	8.27	12.12	10.85	6.97	6.00	1.14	090
28122		A	Partial removal of foot bone	7.72	10.73	10.26	6.16	6.09	0.82	090
28124		A	Partial removal of toe	5.00	8.57	8.10	4.35	4.31	0.42	090
28126		A	Partial removal of toe	3.64	7.59	7.13	3.37	3.36	0.34	090
28130		A	Removal of ankle bone	9.50	NA	NA	10.30	8.96	1.86	090

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28140		A	Removal of metatarsal	7.14	9.89	9.61	5.25	5.26	0.90	090
28150		A	Removal of toe	4.23	8.13	7.66	3.81	3.76	0.42	090
28153		A	Partial removal of toe	3.80	8.00	7.46	3.71	3.58	0.37	090
28160		A	Partial removal of toe	3.88	8.14	7.63	3.76	3.73	0.38	090
28171		A	Resect tarsal tumor	16.41	NA	NA	7.72	7.08	1.25	090
28173		A	Resect metatarsal tumor	14.16	NA	NA	7.49	6.69	1.57	090
28175		A	Resect phalanx of toe tumor	8.29	NA	NA	5.59	5.02	0.80	090
28190		A	Removal of foot foreign body	2.01	5.22	4.94	1.76	1.72	0.20	010
28192		A	Removal of foot foreign body	4.78	8.62	8.16	4.10	4.06	0.49	090
28193		A	Removal of foot foreign body	5.90	9.23	8.77	4.55	4.53	0.56	090
28200		A	Repair of foot tendon	4.74	8.81	8.28	4.11	4.08	0.45	090
28202		A	Repair/graft of foot tendon	7.07	9.53	9.39	4.76	4.88	0.64	090
28208		A	Repair of foot tendon	4.51	8.76	8.16	4.17	4.05	0.49	090
28210		A	Repair/graft of foot tendon	6.52	9.64	9.20	4.94	4.88	0.64	090
28220		A	Release of foot tendon	4.67	8.09	7.64	3.84	3.84	0.41	090
28222		A	Release of foot tendons	5.76	8.79	8.30	4.20	4.24	0.50	090
28225		A	Release of foot tendon	3.78	7.57	7.10	3.39	3.35	0.35	090
28226		A	Release of foot tendons	4.67	8.94	8.35	4.21	4.19	0.35	090
28230		A	Incision of foot tendon(s)	4.36	7.89	7.47	3.59	3.66	0.39	090
28232		A	Incision of toe tendon	3.51	7.64	7.19	3.43	3.44	0.34	090
28234		A	Incision of foot tendon	3.54	8.22	7.69	3.99	3.92	0.35	090
28238		A	Revision of foot tendon	7.96	11.14	10.50	5.81	5.68	0.86	090
28240		A	Release of big toe	4.48	7.98	7.62	3.67	3.74	0.44	090
28250		A	Revision of foot fascia	6.06	10.13	9.41	5.16	5.01	0.76	090
28260		A	Release of midfoot joint	8.19	11.53	10.54	6.35	6.05	1.03	090
28261		A	Revision of foot tendon	13.11	14.14	13.29	8.39	8.26	1.28	090
28262		A	Revision of foot and ankle	17.21	21.10	19.72	13.54	12.99	3.01	090
28264		A	Release of midfoot joint	10.65	17.01	14.66	10.22	9.17	0.82	090
28270		A	Release of foot contracture	4.93	9.08	8.43	4.52	4.41	0.50	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
28272		A	Release of toe joint each	3.92	7.31	6.91	3.28	3.28	0.31	090
28280		A	Fusion of toes	5.33	9.35	8.95	4.52	4.58	0.60	090
28285		A	Repair of hammertoe	4.76	8.69	8.12	4.32	4.22	0.45	090
28286		A	Repair of hammertoe	4.70	8.32	7.82	3.87	3.82	0.39	090
28288		A	Partial removal of foot bone	6.02	11.35	10.46	6.22	5.99	0.67	090
28289		A	Repair hallux rigidus	8.31	12.47	11.72	7.09	6.88	1.01	090
28290		A	Correction of bunion	5.83	10.82	10.06	5.26	5.16	0.69	090
28292		A	Correction of bunion	9.05	13.42	12.55	7.98	7.66	0.87	090
28293		A	Correction of bunion	11.48	18.27	17.29	8.67	8.42	0.95	090
28294		A	Correction of bunion	8.75	11.66	11.25	5.80	5.82	0.93	090
28296		A	Correction of bunion	8.35	11.93	11.43	6.38	6.32	0.76	090
28297		A	Correction of bunion	9.43	13.66	12.96	6.98	6.92	1.18	090
28298		A	Correction of bunion	8.13	12.27	11.48	6.06	5.93	0.87	090
28299		A	Correction of bunion	11.57	13.76	13.06	7.45	7.33	1.14	090
28300		A	Incision of heel bone	9.73	NA	NA	8.46	8.16	1.61	090
28302		A	Incision of ankle bone	9.74	NA	NA	9.67	8.72	1.92	090
28304		A	Incision of midfoot bones	9.41	13.29	12.30	7.17	6.88	1.21	090
28305		A	Incise/graft midfoot bones	10.77	NA	NA	7.67	7.73	0.82	090
28306		A	Incision of metatarsal	6.00	11.42	10.64	5.34	5.14	0.83	090
28307		A	Incision of metatarsal	6.50	12.56	12.47	5.95	5.95	1.28	090
28308		A	Incision of metatarsal	5.48	10.45	9.71	5.05	4.86	0.61	090
28309		A	Incision of metatarsals	14.16	NA	NA	10.61	10.01	2.10	090
28310		A	Revision of big toe	5.57	9.83	9.21	4.44	4.33	0.54	090
28312		A	Revision of toe	4.69	9.61	9.00	4.21	4.16	0.50	090
28313		A	Repair deformity of toe	5.15	9.81	9.14	4.90	4.93	0.69	090
28315		A	Removal of sesamoid bone	5.00	8.52	8.00	4.09	4.02	0.49	090
28320		A	Repair of foot bones	9.37	NA	NA	7.51	7.38	1.39	090
28322		A	Repair of metatarsals	8.53	13.42	12.57	7.43	7.17	1.31	090
28340		A	Resect enlarged toe tissue	7.15	9.39	9.22	4.61	4.75	0.54	090

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28341		A	Resect enlarged toe	8.72	10.46	10.13	5.27	5.36	0.67	090
28344		A	Repair extra toe(s)	4.40	7.85	8.12	3.64	3.96	0.34	090
28345		A	Repair webbed toe(s)	6.09	8.84	8.93	4.29	4.67	0.45	090
28360		A	Reconstruct cleft foot	14.92	NA	NA	14.53	12.57	3.18	090
28400		A	Treatment of heel fracture	2.31	4.56	4.37	3.96	3.78	0.37	090
28405		A	Treatment of heel fracture	4.74	6.23	5.85	5.20	4.97	0.65	090
28406		A	Treatment of heel fracture	6.56	NA	NA	7.85	7.58	1.17	090
28415		A	Treat heel fracture	16.19	NA	NA	14.45	14.05	2.83	090
28420		A	Treat/graft heel fracture	17.52	NA	NA	16.54	15.18	3.46	090
28430		A	Treatment of ankle fracture	2.22	4.27	4.06	3.54	3.33	0.35	090
28435		A	Treatment of ankle fracture	3.54	6.30	5.57	5.23	4.69	0.69	090
28436		A	Treatment of ankle fracture	4.90	NA	NA	7.34	6.87	0.98	090
28445		A	Treat ankle fracture	15.76	NA	NA	13.14	12.69	2.78	090
28446		A	Osteochondral talus autogrft	17.71	NA	NA	15.53	14.34	3.50	090
28450		A	Treat midfoot fracture each	2.03	3.94	3.77	3.28	3.12	0.30	090
28455		A	Treat midfoot fracture each	3.24	4.99	4.70	4.15	4.00	0.44	090
28456		A	Treat midfoot fracture	2.86	NA	NA	6.11	5.34	0.56	090
28465		A	Treat midfoot fracture each	8.80	NA	NA	8.08	7.79	1.27	090
28470		A	Treat metatarsal fracture	2.03	3.82	3.67	3.23	3.08	0.33	090
28475		A	Treat metatarsal fracture	3.01	4.14	4.01	3.33	3.31	0.38	090
28476		A	Treat metatarsal fracture	3.60	NA	NA	6.07	5.83	0.53	090
28485		A	Treat metatarsal fracture	7.44	NA	NA	7.44	7.11	0.95	090
28490		A	Treat big toe fracture	1.17	2.83	2.67	2.27	2.15	0.16	090
28495		A	Treat big toe fracture	1.68	3.32	3.12	2.52	2.43	0.20	090
28496		A	Treat big toe fracture	2.48	9.88	9.47	4.03	3.85	0.35	090
28505		A	Treat big toe fracture	7.44	11.40	10.80	6.55	6.11	0.93	090
28510		A	Treatment of toe fracture	1.17	2.26	2.12	2.16	2.04	0.14	090
28515		A	Treatment of toe fracture	1.56	2.93	2.76	2.41	2.32	0.18	090
28525		A	Treat toe fracture	5.62	10.48	9.94	5.60	5.24	0.71	090

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28530		A	Treat sesamoid bone fracture	1.11	2.18	2.04	1.80	1.73	0.11	090
28531		A	Treat sesamoid bone fracture	2.57	7.23	7.36	2.61	2.60	0.50	090
28540		A	Treat foot dislocation	2.19	3.54	3.35	2.97	2.87	0.22	090
28545		A	Treat foot dislocation	2.60	5.50	4.73	4.56	3.97	0.50	090
28546		A	Treat foot dislocation	3.40	12.59	10.99	5.88	5.27	0.67	090
28555		A	Repair foot dislocation	9.65	14.67	13.91	8.69	8.22	1.61	090
28570		A	Treat foot dislocation	1.76	2.86	2.86	2.20	2.27	0.12	090
28575		A	Treat foot dislocation	3.49	6.49	5.86	5.51	5.06	0.68	090
28576		A	Treat foot dislocation	4.60	NA	NA	6.17	5.46	0.90	090
28585		A	Repair foot dislocation	11.13	14.53	13.72	8.77	8.55	1.65	090
28600		A	Treat foot dislocation	2.02	4.13	3.87	3.26	3.12	0.29	090
28605		A	Treat foot dislocation	2.89	4.99	4.63	4.21	3.99	0.56	090
28606		A	Treat foot dislocation	5.09	NA	NA	5.73	5.45	0.84	090
28615		A	Repair foot dislocation	10.70	NA	NA	11.08	10.56	1.77	090
28630		A	Treat toe dislocation	1.75	2.55	2.35	1.27	1.21	0.23	010
28635		A	Treat toe dislocation	1.96	3.11	2.91	1.83	1.77	0.22	010
28636		A	Treat toe dislocation	2.77	4.44	4.69	1.99	2.29	0.39	010
28645		A	Repair toe dislocation	7.44	10.86	10.01	6.01	5.66	0.80	090
28660		A	Treat toe dislocation	1.28	1.84	1.71	1.11	1.04	0.20	010
28665		A	Treat toe dislocation	1.97	2.39	2.25	1.74	1.70	0.24	010
28666		A	Treat toe dislocation	2.66	NA	NA	3.10	2.79	0.52	010
28675		A	Repair of toe dislocation	5.62	10.69	10.26	5.79	5.50	0.75	090
28705		A	Fusion of foot bones	20.33	NA	NA	14.97	14.47	3.46	090
28715		A	Fusion of foot bones	14.60	NA	NA	12.02	11.54	2.48	090
28725		A	Fusion of foot bones	12.18	NA	NA	9.62	9.25	1.88	090
28730		A	Fusion of foot bones	12.42	NA	NA	10.92	10.40	1.92	090
28735		A	Fusion of foot bones	12.23	NA	NA	9.75	9.36	1.78	090
28737		A	Revision of foot bones	11.03	NA	NA	7.79	7.78	1.28	090
28740		A	Fusion of foot bones	9.29	14.69	13.97	8.21	7.87	1.36	090

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28750		A	Fusion of big toe joint	8.57	14.59	14.06	8.08	7.82	1.31	090
28755		A	Fusion of big toe joint	4.88	9.41	8.95	4.34	4.29	0.52	090
28760		A	Fusion of big toe joint	9.14	13.42	12.49	7.22	6.93	1.05	090
28800		A	Amputation of midfoot	8.79	NA	NA	6.58	6.50	1.31	090
28805		A	Amputation thru metatarsal	12.71	NA	NA	7.84	7.57	2.14	090
28810		A	Amputation toe & metatarsal	6.64	NA	NA	5.39	5.27	1.18	090
28820		A	Amputation of toe	5.00	9.68	9.47	4.58	4.51	0.73	090
28825		A	Partial amputation of toe	6.01	10.34	9.91	5.35	5.11	0.84	090
28890		A	High energy eswt plantar f	3.45	6.24	6.07	3.04	2.85	0.35	090
28899		C	Foot/toes surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
29000		A	Application of body cast	2.25	7.01	5.97	2.77	2.47	0.18	000
29010		A	Application of body cast	2.06	6.90	5.67	2.66	2.32	0.39	000
29015		A	Application of body cast	2.41	4.13	4.01	1.92	1.85	0.41	000
29020		A	Application of body cast	2.11	3.91	3.93	1.53	1.59	0.08	000
29025		A	Application of body cast	2.40	4.27	4.33	1.99	2.06	0.48	000
29035		A	Application of body cast	1.77	5.25	4.98	2.16	2.04	0.34	000
29040		A	Application of body cast	2.22	4.45	4.16	1.99	1.90	0.42	000
29044		A	Application of body cast	2.12	5.75	5.29	2.47	2.30	0.41	000
29046		A	Application of body cast	2.41	4.55	4.83	2.06	2.25	0.48	000
29049		A	Application of figure eight	0.89	1.81	1.59	1.02	0.85	0.18	000
29055		A	Application of shoulder cast	1.78	4.31	4.00	2.00	1.86	0.35	000
29058		A	Application of shoulder cast	1.31	1.23	1.39	0.79	0.80	0.23	000
29065		A	Application of long arm cast	0.87	1.75	1.67	1.00	0.94	0.16	000
29075		A	Application of forearm cast	0.77	1.69	1.61	0.94	0.88	0.14	000
29085		A	Apply hand/wrist cast	0.87	1.74	1.65	0.99	0.91	0.14	000
29086		A	Apply finger cast	0.62	1.56	1.42	0.81	0.74	0.08	000
29105		A	Apply long arm splint	0.87	1.49	1.44	0.76	0.70	0.14	000
29125		A	Apply forearm splint	0.59	1.33	1.26	0.61	0.56	0.10	000
29126		A	Apply forearm splint	0.77	1.42	1.35	0.70	0.65	0.11	000

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29130		A	Application of finger splint	0.50	0.61	0.58	0.28	0.26	0.07	000
29131		A	Application of finger splint	0.55	0.88	0.84	0.37	0.34	0.08	000
29200		A	Strapping of chest	0.65	0.86	0.81	0.49	0.46	0.05	000
29240		A	Strapping of shoulder	0.71	0.88	0.87	0.52	0.49	0.05	000
29260		A	Strapping of elbow or wrist	0.55	0.90	0.86	0.51	0.47	0.05	000
29280		A	Strapping of hand or finger	0.51	0.92	0.88	0.52	0.48	0.04	000
29305		A	Application of hip cast	2.03	4.74	4.43	2.32	2.19	0.39	000
29325		A	Application of hip casts	2.32	5.16	4.84	2.55	2.42	0.45	000
29345		A	Application of long leg cast	1.40	2.30	2.19	1.35	1.28	0.27	000
29355		A	Application of long leg cast	1.53	2.33	2.19	1.39	1.32	0.29	000
29358		A	Apply long leg cast brace	1.43	2.95	2.75	1.40	1.32	0.29	000
29365		A	Application of long leg cast	1.18	2.18	2.07	1.22	1.16	0.23	000
29405		A	Apply short leg cast	0.86	1.61	1.54	0.90	0.86	0.12	000
29425		A	Apply short leg cast	1.01	1.63	1.56	0.88	0.86	0.12	000
29435		A	Apply short leg cast	1.18	2.05	1.97	1.12	1.08	0.23	000
29440		A	Addition of walker to cast	0.57	0.66	0.73	0.25	0.28	0.08	000
29445		A	Apply rigid leg cast	1.78	2.08	2.03	1.20	1.16	0.24	000
29450		A	Application of leg cast	2.08	1.92	1.89	1.07	1.10	0.22	000
29505		A	Application long leg splint	0.69	1.46	1.40	0.64	0.60	0.11	000
29515		A	Application lower leg splint	0.73	1.30	1.22	0.63	0.60	0.10	000
29520		A	Strapping of hip	0.54	0.86	0.84	0.48	0.47	0.04	000
29530		A	Strapping of knee	0.57	0.90	0.86	0.50	0.46	0.05	000
29540		A	Strapping of ankle and/or ft	0.32	0.63	0.62	0.32	0.35	0.03	000
29550		A	Strapping of toes	0.15	0.62	0.62	0.27	0.31	0.01	000
29580		A	Application of paste boot	0.55	0.92	0.89	0.44	0.43	0.07	000
29581		A	Apply multlay comprs lwr leg	0.60	2.04	2.04	0.27	0.27	0.07	000
29590		A	Application of foot splint	0.76	0.74	0.71	0.31	0.32	0.05	000
29700		A	Removal/revision of cast	0.57	1.25	1.20	0.36	0.35	0.10	000
29705		A	Removal/revision of cast	0.76	1.07	1.02	0.53	0.50	0.12	000

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29710		A	Removal/revision of cast	1.34	2.03	1.87	0.93	0.85	0.27	000
29715		A	Removal/revision of cast	0.94	1.32	1.38	0.53	0.54	0.12	000
29720		A	Repair of body cast	0.68	1.61	1.52	0.51	0.48	0.12	000
29730		A	Windowing of cast	0.75	1.03	0.98	0.49	0.46	0.11	000
29740		A	Wedging of cast	1.12	1.30	1.29	0.60	0.59	0.18	000
29750		A	Wedging of clubfoot cast	1.26	1.47	1.41	0.78	0.74	0.26	000
29799		C	Casting/strapping procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
29800		A	Jaw arthroscopy/surgery	6.84	NA	NA	7.48	7.12	1.33	090
29804		A	Jaw arthroscopy/surgery	8.87	NA	NA	9.18	8.51	1.74	090
29805		A	Shoulder arthroscopy dx	6.03	NA	NA	6.80	6.51	1.18	090
29806		A	Shoulder arthroscopy/surgery	15.14	NA	NA	13.62	13.02	2.98	090
29807		A	Shoulder arthroscopy/surgery	14.67	NA	NA	13.48	12.86	2.87	090
29819		A	Shoulder arthroscopy/surgery	7.79	NA	NA	8.17	7.82	1.52	090
29820		A	Shoulder arthroscopy/surgery	7.21	NA	NA	7.46	7.15	1.40	090
29821		A	Shoulder arthroscopy/surgery	7.89	NA	NA	8.16	7.82	1.55	090
29822		A	Shoulder arthroscopy/surgery	7.60	NA	NA	8.00	7.68	1.50	090
29823		A	Shoulder arthroscopy/surgery	8.36	NA	NA	8.67	8.32	1.63	090
29824		A	Shoulder arthroscopy/surgery	8.98	NA	NA	9.36	8.94	1.77	090
29825		A	Shoulder arthroscopy/surgery	7.79	NA	NA	8.11	7.78	1.52	090
29826		A	Shoulder arthroscopy/surgery	9.16	NA	NA	8.92	8.57	1.80	090
29827		A	Arthroscop rotator cuff repr	15.59	NA	NA	13.57	13.04	3.08	090
29828		A	Arthroscopy biceps tenodesis	13.16	NA	NA	11.87	11.15	2.59	090
29830		A	Elbow arthroscopy	5.88	NA	NA	6.48	6.19	1.17	090
29834		A	Elbow arthroscopy/surgery	6.42	NA	NA	7.02	6.72	1.22	090
29835		A	Elbow arthroscopy/surgery	6.62	NA	NA	7.17	6.85	1.29	090
29836		A	Elbow arthroscopy/surgery	7.72	NA	NA	8.13	7.79	1.52	090
29837		A	Elbow arthroscopy/surgery	7.01	NA	NA	7.40	7.08	1.36	090
29838		A	Elbow arthroscopy/surgery	7.88	NA	NA	8.27	7.90	1.50	090
29840		A	Wrist arthroscopy	5.68	NA	NA	6.61	6.31	1.13	090

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29843		A	Wrist arthroscopy/surgery	6.15	NA	NA	7.00	6.69	1.21	090
29844		A	Wrist arthroscopy/surgery	6.51	NA	NA	7.20	6.81	1.18	090
29845		A	Wrist arthroscopy/surgery	7.69	NA	NA	8.18	7.68	1.37	090
29846		A	Wrist arthroscopy/surgery	6.89	NA	NA	7.48	7.09	1.22	090
29847		A	Wrist arthroscopy/surgery	7.22	NA	NA	7.53	7.22	1.42	090
29848		A	Wrist endoscopy/surgery	6.39	NA	NA	7.68	7.19	1.17	090
29850		A	Knee arthroscopy/surgery	8.27	NA	NA	8.68	7.58	1.62	090
29851		A	Knee arthroscopy/surgery	13.26	NA	NA	12.05	11.48	2.61	090
29855		A	Tibial arthroscopy/surgery	10.76	NA	NA	10.60	10.13	2.12	090
29856		A	Tibial arthroscopy/surgery	14.28	NA	NA	12.77	12.21	2.83	090
29860		A	Hip arthroscopy dx	9.00	NA	NA	9.12	8.53	1.78	090
29861		A	Hip arthro w/fb removal	10.10	NA	NA	9.78	9.18	1.97	090
29862		A	Hip arthr0 w/debridement	11.17	NA	NA	11.09	10.49	2.19	090
29863		A	Hip arthr0 w/synovectomy	11.17	NA	NA	11.04	10.41	2.20	090
29866		A	Autgrft implnt knee w/scope	14.67	NA	NA	13.83	13.14	2.91	090
29867		A	Allgrft implnt knee w/scope	18.39	NA	NA	16.34	15.38	3.62	090
29868		A	Meniscal trnspl knee w/scpe	25.10	NA	NA	20.36	19.21	4.95	090
29870		A	Knee arthroscopy dx	5.19	10.84	10.84	6.05	5.76	1.02	090
29871		A	Knee arthroscopy/drainage	6.69	NA	NA	7.31	6.96	1.31	090
29873		A	Knee arthroscopy/surgery	6.24	NA	NA	8.06	7.68	1.22	090
29874		A	Knee arthroscopy/surgery	7.19	NA	NA	7.49	7.13	1.40	090
29875		A	Knee arthroscopy/surgery	6.45	NA	NA	7.04	6.74	1.27	090
29876		A	Knee arthroscopy/surgery	8.87	NA	NA	9.01	8.54	1.74	090
29877		A	Knee arthroscopy/surgery	8.30	NA	NA	8.67	8.22	1.62	090
29879		A	Knee arthroscopy/surgery	8.99	NA	NA	9.06	8.61	1.77	090
29880		A	Knee arthroscopy/surgery	9.45	NA	NA	9.36	8.88	1.85	090
29881		A	Knee arthroscopy/surgery	8.71	NA	NA	8.92	8.45	1.70	090
29882		A	Knee arthroscopy/surgery	9.60	NA	NA	9.41	8.90	1.89	090
29883		A	Knee arthroscopy/surgery	11.77	NA	NA	11.02	10.55	2.30	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
29884		A	Knee arthroscopy/surgery	8.28	NA	NA	8.64	8.19	1.62	090
29885		A	Knee arthroscopy/surgery	10.21	NA	NA	10.25	9.70	2.00	090
29886		A	Knee arthroscopy/surgery	8.49	NA	NA	8.81	8.35	1.66	090
29887		A	Knee arthroscopy/surgery	10.16	NA	NA	10.16	9.63	1.99	090
29888		A	Knee arthroscopy/surgery	14.30	NA	NA	12.54	11.96	2.80	090
29889		A	Knee arthroscopy/surgery	17.41	NA	NA	15.69	14.94	3.42	090
29891		A	Ankle arthroscopy/surgery	9.67	NA	NA	9.27	8.92	1.65	090
29892		A	Ankle arthroscopy/surgery	10.27	NA	NA	6.18	7.21	2.01	090
29893		A	Scope plantar fasciotomy	6.32	11.05	10.45	5.80	5.61	0.50	090
29894		A	Ankle arthroscopy/surgery	7.35	NA	NA	6.89	6.53	1.22	090
29895		A	Ankle arthroscopy/surgery	7.13	NA	NA	6.42	6.18	1.13	090
29897		A	Ankle arthroscopy/surgery	7.32	NA	NA	6.76	6.57	1.24	090
29898		A	Ankle arthroscopy/surgery	8.49	NA	NA	7.27	7.04	1.29	090
29899		A	Ankle arthroscopy/surgery	15.41	NA	NA	12.99	12.48	2.87	090
29900		A	Mcp joint arthroscopy dx	5.88	NA	NA	7.59	6.87	0.41	090
29901		A	Mcp joint arthroscopy surg	6.59	NA	NA	7.91	7.21	1.29	090
29902		A	Mcp joint arthroscopy surg	7.16	NA	NA	5.77	6.27	2.57	090
29904		A	Subtalar arthro w/fb rmvl	8.65	NA	NA	8.68	8.09	1.69	090
29905		A	Subtalar arthro w/exc	9.18	NA	NA	9.59	8.93	1.81	090
29906		A	Subtalar arthro w/deb	9.65	NA	NA	10.11	9.42	1.89	090
29907		A	Subtalar arthro w/fusion	12.18	NA	NA	11.63	10.84	2.40	090
29914		A	Hip arthro w/femoroplasty	14.67	NA	NA	12.81	12.81	2.91	090
29915		A	Hip arthro acetabuloplasty	15.00	NA	NA	13.00	13.00	2.95	090
29916		A	Hip arthro w/labral repair	15.00	NA	NA	13.00	13.00	2.95	090
29999		C	Arthroscopy of joint	0.00	0.00	0.00	0.00	0.00	0.00	YYY
30000		A	Drainage of nose lesion	1.48	5.16	5.06	1.91	1.80	0.22	010
30020		A	Drainage of nose lesion	1.48	5.27	5.01	1.95	1.84	0.20	010
3006F		I	Cxr doc rev	0.00	0.00	0.00	0.00	0.00	0.00	XXX
3008F		I	Body mass index docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
30100		A	Intranasal biopsy	0.94	3.18	3.07	1.03	0.99	0.11	000
30110		A	Removal of nose polyp(s)	1.68	5.00	4.78	2.07	1.96	0.23	010
30115		A	Removal of nose polyp(s)	4.44	NA	NA	8.01	7.66	0.56	090
30117		A	Removal of intranasal lesion	3.26	22.18	21.35	6.49	6.22	0.41	090
30118		A	Removal of intranasal lesion	9.92	NA	NA	12.05	11.44	1.31	090
3011F		I	Lipid panel doc rev	0.00	0.00	0.00	0.00	0.00	0.00	XXX
30120		A	Revision of nose	5.39	9.33	9.02	7.02	6.88	0.84	090
30124		A	Removal of nose lesion	3.20	NA	NA	4.69	4.43	0.41	090
30125		A	Removal of nose lesion	7.30	NA	NA	10.23	9.80	0.93	090
30130		A	Excise inferior turbinate	3.47	NA	NA	7.49	7.21	0.44	090
30140		A	Resect inferior turbinate	3.57	NA	NA	9.17	8.80	0.45	090
30150		A	Partial removal of nose	9.55	NA	NA	12.37	12.04	1.42	090
3015F		I	Cerv cancer screen docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
30160		A	Removal of nose	9.99	NA	NA	12.28	11.81	1.28	090
3018F		I	Pre-prxd rsk et al docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
30200		A	Injection treatment of nose	0.78	2.53	2.43	0.95	0.90	0.10	000
30210		A	Nasal sinus therapy	1.13	3.23	3.09	1.75	1.67	0.14	010
30220		A	Insert nasal septal button	1.59	7.24	6.93	2.02	1.92	0.22	010
30300		A	Remove nasal foreign body	1.09	5.54	5.44	2.53	2.42	0.14	010
30310		A	Remove nasal foreign body	2.01	NA	NA	3.91	3.77	0.26	010
30320		A	Remove nasal foreign body	4.64	NA	NA	8.40	8.07	0.60	090
3035F		I	O2 saturation<=88% /pao<=55	0.00	0.00	0.00	0.00	0.00	0.00	XXX
3037F		I	O2 saturation> 88% /pao>55	0.00	0.00	0.00	0.00	0.00	0.00	XXX
30400		R	Reconstruction of nose	10.86	NA	NA	18.23	17.93	1.39	090
3040F		I	Fev<40% predicted value	0.00	0.00	0.00	0.00	0.00	0.00	XXX
30410		R	Reconstruction of nose	14.00	NA	NA	20.08	19.68	1.80	090
30420		R	Reconstruction of nose	16.90	NA	NA	22.28	21.31	2.40	090
3042F		I	Fev>=40% predicted value	0.00	0.00	0.00	0.00	0.00	0.00	XXX
30430		R	Revision of nose	8.24	NA	NA	16.68	16.89	1.62	090

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30435		R	Revision of nose	12.73	NA	NA	22.29	21.18	1.63	090
30450		R	Revision of nose	19.66	NA	NA	23.42	23.12	2.52	090
30460		A	Revision of nose	10.32	NA	NA	10.65	10.29	2.01	090
30462		A	Revision of nose	20.28	NA	NA	23.52	21.86	4.00	090
30465		A	Repair nasal stenosis	12.36	NA	NA	15.74	14.90	1.74	090
30520		A	Repair of nasal septum	7.01	NA	NA	11.00	10.26	0.90	090
30540		A	Repair nasal defect	7.92	NA	NA	11.90	11.10	1.02	090
30545		A	Repair nasal defect	11.62	NA	NA	12.30	13.13	0.82	090
30560		A	Release of nasal adhesions	1.31	6.57	6.42	2.68	2.60	0.18	010
30580		A	Repair upper jaw fistula	6.88	11.46	10.75	7.58	6.94	0.87	090
30600		A	Repair mouth/nose fistula	6.16	10.36	9.93	6.18	5.87	0.78	090
30620		A	Intranasal reconstruction	6.16	NA	NA	11.65	11.22	0.88	090
30630		A	Repair nasal septum defect	7.29	NA	NA	10.68	10.16	0.99	090
3073F		I	Pre-surg eye measures docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
30801		A	Ablate inf turbinate superf	1.14	5.46	5.35	2.81	2.68	0.14	010
30802		A	Ablate inf turbinate submuc	2.08	6.33	6.15	3.41	3.23	0.27	010
3088F		I	Mdd mild	0.00	0.00	0.00	0.00	0.00	0.00	XXX
3089F		I	Mdd moderate	0.00	0.00	0.00	0.00	0.00	0.00	XXX
30901		A	Control of nosebleed	1.10	1.55	1.57	0.47	0.43	0.16	000
30903		A	Control of nosebleed	1.54	4.23	4.02	0.70	0.63	0.23	000
30905		A	Control of nosebleed	1.97	5.16	4.92	0.84	0.79	0.30	000
30906		A	Repeat control of nosebleed	2.45	5.58	5.36	1.30	1.22	0.33	000
3090F		I	Mdd severe w/o psych	0.00	0.00	0.00	0.00	0.00	0.00	XXX
30915		A	Ligation nasal sinus artery	7.44	NA	NA	9.12	8.61	0.99	090
3091F		I	Mdd severe w/psych	0.00	0.00	0.00	0.00	0.00	0.00	XXX
30920		A	Ligation upper jaw artery	11.14	NA	NA	12.81	11.99	1.46	090
30930		A	Ther fx nasal inf turbinate	1.31	NA	NA	2.25	2.13	0.18	010
3093F		I	Doc new diag 1st/addl mdd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
30999		C	Nasal surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
31000		A	Irrigation maxillary sinus	1.20	4.05	3.93	1.82	1.75	0.14	010
31002		A	Irrigation sphenoid sinus	1.96	NA	NA	3.77	3.69	0.26	010
31020		A	Exploration maxillary sinus	3.07	10.83	10.66	7.21	6.96	0.39	090
31030		A	Exploration maxillary sinus	6.01	13.74	13.46	9.00	8.55	0.75	090
31032		A	Explore sinus remove polyps	6.69	NA	NA	9.74	9.25	0.87	090
31040		A	Exploration behind upper jaw	9.77	NA	NA	11.56	10.93	1.39	090
31050		A	Exploration sphenoid sinus	5.37	NA	NA	8.60	8.33	0.68	090
31051		A	Sphenoid sinus surgery	7.25	NA	NA	11.34	10.82	0.91	090
31070		A	Exploration of frontal sinus	4.40	NA	NA	8.25	7.90	0.59	090
31075		A	Exploration of frontal sinus	9.51	NA	NA	12.98	12.37	1.22	090
31080		A	Removal of frontal sinus	12.74	NA	NA	16.91	15.70	1.63	090
31081		A	Removal of frontal sinus	14.19	NA	NA	24.20	21.41	5.09	090
31084		A	Removal of frontal sinus	14.95	NA	NA	18.22	17.60	1.92	090
31085		A	Removal of frontal sinus	15.64	NA	NA	18.62	18.19	5.62	090
31086		A	Removal of frontal sinus	14.36	NA	NA	17.87	16.87	1.85	090
31087		A	Removal of frontal sinus	14.57	NA	NA	16.51	15.74	1.86	090
31090		A	Exploration of sinuses	11.17	NA	NA	18.28	17.29	1.47	090
31200		A	Removal of ethmoid sinus	5.14	NA	NA	10.63	10.20	0.73	090
31201		A	Removal of ethmoid sinus	8.60	NA	NA	12.55	11.87	1.14	090
31205		A	Removal of ethmoid sinus	10.58	NA	NA	14.62	13.68	1.59	090
31225		A	Removal of upper jaw	26.70	NA	NA	26.80	24.67	3.55	090
31230		A	Removal of upper jaw	30.82	NA	NA	28.86	26.50	3.96	090
31231		A	Nasal endoscopy dx	1.10	4.48	4.39	1.13	1.06	0.12	000
31233		A	Nasal/sinus endoscopy dx	2.18	5.50	5.37	1.76	1.65	0.29	000
31235		A	Nasal/sinus endoscopy dx	2.64	6.00	5.91	2.00	1.88	0.33	000
31237		A	Nasal/sinus endoscopy surg	2.98	6.40	6.25	2.24	2.08	0.38	000
31238		A	Nasal/sinus endoscopy surg	3.26	6.37	6.22	2.40	2.24	0.41	000
31239		A	Nasal/sinus endoscopy surg	9.33	NA	NA	10.12	9.38	1.24	010
31240		A	Nasal/sinus endoscopy surg	2.61	NA	NA	2.02	1.89	0.34	000

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31254		A	Revision of ethmoid sinus	4.64	NA	NA	3.23	3.00	0.60	000
31255		A	Removal of ethmoid sinus	6.95	NA	NA	4.58	4.25	0.88	000
31256		A	Exploration maxillary sinus	3.29	NA	NA	2.42	2.26	0.41	000
31267		A	Endoscopy maxillary sinus	5.45	NA	NA	3.70	3.43	0.69	000
31276		A	Sinus endoscopy surgical	8.84	NA	NA	5.69	5.27	1.14	000
31287		A	Nasal/sinus endoscopy surg	3.91	NA	NA	2.78	2.60	0.50	000
31288		A	Nasal/sinus endoscopy surg	4.57	NA	NA	3.18	2.96	0.60	000
31290		A	Nasal/sinus endoscopy surg	18.61	NA	NA	14.46	13.47	2.64	010
31291		A	Nasal/sinus endoscopy surg	19.56	NA	NA	15.18	14.11	3.14	010
31292		A	Nasal/sinus endoscopy surg	15.90	NA	NA	12.80	11.94	2.04	010
31293		A	Nasal/sinus endoscopy surg	17.47	NA	NA	13.80	12.85	2.23	010
31294		A	Nasal/sinus endoscopy surg	20.31	NA	NA	15.48	14.38	2.61	010
31295		A	Sinus endo w/balloon dil	2.70	57.07	57.07	2.12	2.12	0.35	000
31296		A	Sinus endo w/balloon dil	3.29	108.85	108.85	2.46	2.46	0.42	000
31297		A	Sinus endo w/balloon dil	2.64	108.53	108.53	2.08	2.08	0.34	000
31299		C	Sinus surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
31300		A	Removal of larynx lesion	15.91	NA	NA	20.57	19.46	2.03	090
3130F		I	Upper gi endoscopy performed	0.00	0.00	0.00	0.00	0.00	0.00	XXX
31320		A	Diagnostic incision larynx	5.73	NA	NA	13.28	12.83	0.72	090
3132F		I	Doc ref upper gi endoscopy	0.00	0.00	0.00	0.00	0.00	0.00	XXX
31360		A	Removal of larynx	29.91	NA	NA	29.88	27.05	3.92	090
31365		A	Removal of larynx	38.81	NA	NA	35.09	31.69	5.08	090
31367		A	Partial removal of larynx	30.57	NA	NA	32.89	30.46	3.97	090
31368		A	Partial removal of larynx	34.19	NA	NA	36.18	33.62	4.38	090
31370		A	Partial removal of larynx	27.57	NA	NA	32.11	29.96	3.54	090
31375		A	Partial removal of larynx	26.07	NA	NA	30.59	28.47	3.36	090
31380		A	Partial removal of larynx	25.57	NA	NA	30.29	28.17	3.29	090
31382		A	Partial removal of larynx	28.57	NA	NA	32.70	30.33	3.69	090
31390		A	Removal of larynx & pharynx	42.51	NA	NA	38.97	35.65	5.84	090

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31395		A	Reconstruct larynx & pharynx	43.80	NA	NA	42.74	39.27	5.63	090
31400		A	Revision of larynx	11.60	NA	NA	17.19	16.55	1.50	090
3140F		I	Upper gi endo shows barrtts	0.00	0.00	0.00	0.00	0.00	0.00	XXX
3141F		I	Upper gi endo not barrtts	0.00	0.00	0.00	0.00	0.00	0.00	XXX
31420		A	Removal of epiglottis	11.43	NA	NA	12.64	11.90	1.47	090
3142F		I	Barium swallow test ordered	0.00	0.00	0.00	0.00	0.00	0.00	XXX
31500		A	Insert emergency airway	2.33	NA	NA	0.64	0.60	0.31	000
31502		A	Change of windpipe airway	0.65	NA	NA	0.34	0.32	0.07	000
31505		A	Diagnostic laryngoscopy	0.61	1.79	1.77	0.81	0.77	0.07	000
3150F		I	Forceps esoph biopsy done	0.00	0.00	0.00	0.00	0.00	0.00	XXX
31510		A	Laryngoscopy with biopsy	1.92	4.15	4.07	1.55	1.45	0.26	000
31511		A	Remove foreign body larynx	2.16	3.85	3.77	1.42	1.37	0.31	000
31512		A	Removal of larynx lesion	2.07	3.95	3.84	1.70	1.58	0.27	000
31513		A	Injection into vocal cord	2.10	NA	NA	1.72	1.61	0.27	000
31515		A	Laryngoscopy for aspiration	1.80	4.16	4.12	1.31	1.25	0.24	000
31520		A	Dx laryngoscopy newborn	2.56	NA	NA	1.99	1.80	0.33	000
31525		A	Dx laryngoscopy excl nb	2.63	4.62	4.46	1.95	1.83	0.34	000
31526		A	Dx laryngoscopy w/oper scope	2.57	NA	NA	1.99	1.86	0.33	000
31527		A	Laryngoscopy for treatment	3.27	NA	NA	2.41	2.19	0.41	000
31528		A	Laryngoscopy and dilation	2.37	NA	NA	1.82	1.69	0.31	000
31529		A	Laryngoscopy and dilation	2.68	NA	NA	2.00	1.87	0.34	000
31530		A	Laryngoscopy w/fb removal	3.38	NA	NA	2.33	2.16	0.44	000
31531		A	Laryngoscopy w/fb & op scope	3.58	NA	NA	2.57	2.40	0.45	000
31535		A	Laryngoscopy w/biopsy	3.16	NA	NA	2.33	2.17	0.41	000
31536		A	Laryngoscopy w/bx & op scope	3.55	NA	NA	2.57	2.40	0.45	000
31540		A	Laryngoscopy w/exc of tumor	4.12	NA	NA	2.90	2.70	0.53	000
31541		A	Larynscop w/tumr exc + scope	4.52	NA	NA	3.14	2.93	0.59	000
31545		A	Remove vc lesion w/scope	6.30	NA	NA	4.22	3.89	0.80	000
31546		A	Remove vc lesion scope/graft	9.73	NA	NA	6.27	5.67	1.25	000

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31560		A	Laryngoscop w/arytenoidectom	5.45	NA	NA	3.65	3.38	0.69	000
31561		A	Larynscop remve cart + scop	5.99	NA	NA	3.96	3.66	0.76	000
31570		A	Laryngoscope w/vc inj	3.86	5.90	5.81	2.72	2.53	0.53	000
31571		A	Laryngoscop w/vc inj + scope	4.26	NA	NA	2.98	2.77	0.54	000
31575		A	Diagnostic laryngoscopy	1.10	2.21	2.18	1.11	1.06	0.12	000
31576		A	Laryngoscopy with biopsy	1.97	4.52	4.46	1.60	1.50	0.24	000
31577		A	Remove foreign body larynx	2.47	4.52	4.40	1.78	1.68	0.33	000
31578		A	Removal of larynx lesion	2.84	5.30	5.16	2.16	1.96	0.35	000
31579		A	Diagnostic laryngoscopy	2.26	3.85	3.83	1.81	1.69	0.30	XXX
31580		A	Revision of larynx	14.66	NA	NA	20.63	19.45	1.88	090
31582		A	Revision of larynx	23.22	NA	NA	31.48	30.27	2.99	090
31584		A	Treat larynx fracture	20.47	NA	NA	23.03	21.75	2.63	090
31587		A	Revision of larynx	15.27	NA	NA	13.61	12.44	1.95	090
31588		A	Revision of larynx	14.99	NA	NA	17.95	16.95	1.93	090
31590		A	Reinnervate larynx	7.85	NA	NA	17.94	17.54	1.02	090
31595		A	Larynx nerve surgery	8.84	NA	NA	13.23	12.72	1.14	090
31599		C	Larynx surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
31600		A	Incision of windpipe	7.17	NA	NA	3.66	3.44	1.27	000
31601		A	Incision of windpipe	4.44	NA	NA	3.06	2.84	0.56	000
31603		A	Incision of windpipe	4.14	NA	NA	2.02	1.87	0.69	000
31605		A	Incision of windpipe	3.57	NA	NA	1.34	1.26	0.63	000
31610		A	Incision of windpipe	9.38	NA	NA	10.99	10.39	1.32	090
31611		A	Surgery/speech prosthesis	6.00	NA	NA	9.60	9.17	0.76	090
31612		A	Puncture/clear windpipe	0.91	1.44	1.38	0.44	0.40	0.11	000
31613		A	Repair windpipe opening	4.71	NA	NA	8.16	7.84	0.72	090
31614		A	Repair windpipe opening	8.63	NA	NA	13.10	12.36	1.18	090
31615		A	Visualization of windpipe	2.09	3.12	3.05	1.58	1.48	0.26	000
31620		A	Endobronchial us add-on	1.40	6.28	6.70	0.50	0.50	0.12	ZZZ
31622		A	Dx bronchoscope/wash	2.78	5.85	6.15	1.27	1.23	0.34	000

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
31623		A	Dx bronchoscope/brush	2.88	6.29	6.80	1.25	1.20	0.26	000
31624		A	Dx bronchoscope/lavage	2.88	5.76	6.15	1.27	1.22	0.26	000
31625		A	Bronchoscopy w/biopsy(s)	3.36	5.92	6.31	1.43	1.38	0.31	000
31626		A	Bronchoscopy w/markers	4.16	8.60	8.60	1.73	1.73	0.31	000
31627		A	Navigational bronchoscopy	2.00	35.45	35.45	0.88	0.88	0.14	ZZZ
31628		A	Bronchoscopy/lung bx each	3.80	6.81	7.59	1.57	1.51	0.30	000
31629		A	Bronchoscopy/needle bx each	4.09	12.46	13.75	1.69	1.61	0.34	000
31630		A	Bronchoscopy dilate/fx repr	3.81	NA	NA	1.76	1.76	0.49	000
31631		A	Bronchoscopy dilate w/stent	4.36	NA	NA	1.98	1.95	0.60	000
31632		A	Bronchoscopy/lung bx addl	1.03	0.99	1.00	0.37	0.35	0.07	ZZZ
31633		A	Bronchoscopy/needle bx addl	1.32	1.14	1.16	0.47	0.45	0.10	ZZZ
31634		A	Bronch w/balloon occlusion	4.00	49.01	49.01	1.76	1.76	0.33	000
31635		A	Bronchoscopy w/fb removal	3.67	5.80	6.18	1.60	1.56	0.39	000
31636		A	Bronchoscopy bronch stents	4.30	NA	NA	1.83	1.83	0.56	000
31637		A	Bronchoscopy stent add-on	1.58	NA	NA	0.61	0.59	0.11	ZZZ
31638		A	Bronchoscopy revise stent	4.88	NA	NA	2.15	2.12	0.65	000
31640		A	Bronchoscopy w/tumor excise	4.93	NA	NA	2.17	2.15	0.64	000
31641		A	Bronchoscopy treat blockage	5.02	NA	NA	2.18	2.09	0.59	000
31643		A	Diag bronchoscope/catheter	3.49	NA	NA	1.45	1.40	0.29	000
31645		A	Bronchoscopy clear airways	3.16	5.17	5.50	1.37	1.31	0.29	000
31646		A	Bronchoscopy reclear airway	2.72	4.87	5.17	1.20	1.15	0.26	000
31656		A	Bronchoscopy inj for x-ray	2.17	6.08	6.75	0.93	0.92	0.16	000
31715		A	Injection for bronchus x-ray	1.11	NA	NA	0.37	0.39	0.08	000
31717		A	Bronchial brush biopsy	2.12	5.43	6.15	1.03	0.97	0.16	000
31720		A	Clearance of airways	1.06	NA	NA	0.41	0.38	0.08	000
31725		A	Clearance of airways	1.96	NA	NA	0.73	0.65	0.20	000
31730		A	Intro windpipe wire/tube	2.85	29.79	26.79	1.20	1.13	0.45	000
31750		A	Repair of windpipe	15.39	NA	NA	23.74	22.69	2.27	090
31755		A	Repair of windpipe	17.54	NA	NA	32.41	31.21	2.25	090

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31760		A	Repair of windpipe	23.48	NA	NA	12.38	12.80	5.48	090
31766		A	Reconstruction of windpipe	31.67	NA	NA	14.68	15.02	7.40	090
31770		A	Repair/graft of bronchus	23.54	NA	NA	11.20	11.45	5.50	090
31775		A	Reconstruct bronchus	24.59	NA	NA	10.50	11.11	5.76	090
31780		A	Reconstruct windpipe	19.84	NA	NA	13.54	12.76	3.23	090
31781		A	Reconstruct windpipe	24.85	NA	NA	11.22	12.18	5.81	090
31785		A	Remove windpipe lesion	18.35	NA	NA	12.19	11.39	2.61	090
31786		A	Remove windpipe lesion	25.42	NA	NA	12.20	13.05	5.96	090
31800		A	Repair of windpipe injury	8.18	NA	NA	12.04	11.50	1.05	090
31805		A	Repair of windpipe injury	13.42	NA	NA	7.95	8.19	3.16	090
31820		A	Closure of windpipe lesion	4.64	7.89	7.53	4.77	4.49	0.67	090
31825		A	Repair of windpipe defect	7.07	10.28	9.80	6.73	6.34	0.99	090
31830		A	Revise windpipe scar	4.62	8.00	7.64	5.13	4.85	0.71	090
31899		C	Airways surgical procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
3200F		I	Barium swallow test not req	0.00	0.00	0.00	0.00	0.00	0.00	XXX
32035		A	Exploration of chest	11.29	NA	NA	8.01	7.82	2.57	090
32036		A	Exploration of chest	12.30	NA	NA	8.29	8.22	2.90	090
32095		A	Biopsy through chest wall	10.14	NA	NA	6.60	6.60	2.34	090
32100		A	Exploration/biopsy of chest	16.16	NA	NA	8.96	9.10	3.80	090
32110		A	Explore/repair chest	25.28	NA	NA	13.31	13.11	5.74	090
32120		A	Re-exploration of chest	14.39	NA	NA	8.65	8.71	3.40	090
32124		A	Explore chest free adhesions	15.45	NA	NA	9.04	9.01	3.67	090
32140		A	Removal of lung lesion(s)	16.66	NA	NA	9.38	9.44	3.89	090
32141		A	Remove/treat lung lesions	27.18	NA	NA	13.03	12.77	6.40	090
32150		A	Removal of lung lesion(s)	16.82	NA	NA	9.61	9.56	3.93	090
32151		A	Remove lung foreign body	16.94	NA	NA	9.44	9.75	3.97	090
32160		A	Open chest heart massage	13.10	NA	NA	7.53	7.39	2.99	090
32200		A	Drain open lung lesion	18.68	NA	NA	11.46	11.28	4.29	090
32201		A	Drain percut lung lesion	3.99	21.29	22.94	1.49	1.66	0.38	000

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32215		A	Treat chest lining	13.05	NA	NA	8.07	8.16	3.05	090
32220		A	Release of lung	26.65	NA	NA	15.24	15.42	6.30	090
32225		A	Partial release of lung	16.75	NA	NA	9.52	9.55	3.92	090
3230F		I	Note hring tst w/in 6 mon	0.00	0.00	0.00	0.00	0.00	0.00	XXX
32310		A	Removal of chest lining	15.28	NA	NA	8.83	8.91	3.62	090
32320		A	Free/remove chest lining	27.25	NA	NA	14.96	14.97	6.33	090
32400		A	Needle biopsy chest lining	1.76	2.31	2.49	0.65	0.69	0.18	000
32402		A	Open biopsy chest lining	8.97	NA	NA	6.06	6.12	2.03	090
32405		A	Biopsy lung or mediastinum	1.93	0.71	0.81	0.71	0.80	0.18	000
32420		A	Puncture/clear lung	2.18	NA	NA	0.82	0.88	0.24	000
32421		A	Thoracentesis for aspiration	1.54	2.66	2.91	0.59	0.60	0.14	000
32422		A	Thoracentesis w/tube insert	2.19	3.14	3.40	1.20	1.27	0.22	000
32440		A	Removal of lung	27.28	NA	NA	13.90	14.28	6.36	090
32442		A	Sleeve pneumonectomy	56.47	NA	NA	23.24	22.82	4.25	090
32445		A	Removal of lung	63.84	NA	NA	28.26	27.41	14.94	090
32480		A	Partial removal of lung	25.82	NA	NA	13.17	13.45	6.06	090
32482		A	Bilobectomy	27.44	NA	NA	14.33	14.60	6.42	090
32484		A	Segmentectomy	25.38	NA	NA	12.40	12.65	5.91	090
32486		A	Sleeve lobectomy	42.88	NA	NA	18.68	18.66	10.14	090
32488		A	Completion pneumonectomy	42.99	NA	NA	19.88	19.62	10.11	090
32491		R	Lung volume reduction	25.24	NA	NA	13.54	14.06	5.88	090
32500		A	Partial removal of lung	24.64	NA	NA	13.19	13.51	5.80	090
32501		A	Repair bronchus add-on	4.68	NA	NA	1.70	1.77	1.09	ZZZ
32503		A	Resect apical lung tumor	31.74	NA	NA	15.63	16.01	7.50	090
32504		A	Resect apical lung tum/chest	36.54	NA	NA	16.89	17.76	8.52	090
32540		A	Removal of lung lesion	30.35	NA	NA	14.86	14.74	7.10	090
32550		A	Insert pleural cath	4.17	17.52	18.67	1.86	1.94	0.69	000
32551		A	Insertion of chest tube	3.29	NA	NA	1.30	1.34	0.52	000
32552		A	Remove lung catheter	2.53	2.43	2.43	1.76	1.76	0.60	010

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32553		A	Ins mark thor for rt perq	3.80	13.64	13.64	1.54	1.54	0.88	000
32560		A	Treat pleurodesis w/agent	1.54	5.21	5.89	0.57	0.68	0.27	000
32561		A	Lyse chest fibrin init day	1.39	1.22	1.22	0.52	0.52	0.24	000
32562		A	Lyse chest fibrin subq day	1.24	1.08	1.08	0.46	0.46	0.23	000
32601		A	Thoracoscopy diagnostic	5.45	NA	NA	2.65	2.71	1.27	000
32602		A	Thoracoscopy diagnostic	5.95	NA	NA	2.84	2.89	1.36	000
32603		A	Thoracoscopy diagnostic	7.80	NA	NA	3.43	3.57	1.95	000
32604		A	Thoracoscopy diagnostic	8.77	NA	NA	3.78	3.97	2.04	000
32605		A	Thoracoscopy diagnostic	6.92	NA	NA	3.12	3.20	1.62	000
32606		A	Thoracoscopy diagnostic	8.39	NA	NA	3.72	3.83	1.93	000
32650		A	Thoracoscopy surgical	10.83	NA	NA	6.82	6.98	2.48	090
32651		A	Thoracoscopy surgical	18.78	NA	NA	10.12	9.90	4.31	090
32652		A	Thoracoscopy surgical	29.13	NA	NA	14.44	14.24	6.72	090
32653		A	Thoracoscopy surgical	18.17	NA	NA	9.64	9.50	4.12	090
32654		A	Thoracoscopy surgical	20.52	NA	NA	10.47	10.32	4.65	090
32655		A	Thoracoscopy surgical	16.17	NA	NA	9.04	8.99	3.77	090
32656		A	Thoracoscopy surgical	13.26	NA	NA	7.84	8.01	3.01	090
32657		A	Thoracoscopy surgical	12.93	NA	NA	7.82	7.99	3.04	090
32658		A	Thoracoscopy surgical	11.71	NA	NA	7.03	7.35	2.75	090
32659		A	Thoracoscopy surgical	11.94	NA	NA	7.37	7.62	2.80	090
32660		A	Thoracoscopy surgical	17.77	NA	NA	9.36	9.72	4.45	090
32661		A	Thoracoscopy surgical	13.33	NA	NA	7.60	7.92	3.13	090
32662		A	Thoracoscopy surgical	14.99	NA	NA	8.53	8.83	3.50	090
32663		A	Thoracoscopy surgical	24.64	NA	NA	12.03	12.31	5.74	090
32664		A	Thoracoscopy surgical	14.28	NA	NA	7.94	8.17	3.36	090
32665		A	Thoracoscopy surgical	21.53	NA	NA	10.69	10.76	4.60	090
3268F		I	Psa/t/glsc docd b/4 txmnt	0.00	0.00	0.00	0.00	0.00	0.00	XXX
32800		A	Repair lung hernia	15.71	NA	NA	9.03	9.05	3.69	090
32810		A	Close chest after drainage	14.95	NA	NA	8.70	8.89	3.51	090

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32815		A	Close bronchial fistula	50.03	NA	NA	23.33	22.46	11.90	090
32820		A	Reconstruct injured chest	22.51	NA	NA	12.34	12.93	5.28	090
32850		X	Donor pneumonectomy	0.00	0.00	0.00	0.00	0.00	0.00	XXX
32851		A	Lung transplant single	41.61	NA	NA	26.33	27.37	9.80	090
32852		A	Lung transplant with bypass	45.48	NA	NA	29.64	31.02	10.66	090
32853		A	Lung transplant double	50.78	NA	NA	29.63	30.88	12.02	090
32854		A	Lung transplant with bypass	54.74	NA	NA	33.47	34.85	12.90	090
32855		C	Prepare donor lung single	0.00	0.00	0.00	0.00	0.00	0.00	XXX
32856		C	Prepare donor lung double	0.00	0.00	0.00	0.00	0.00	0.00	XXX
32900		A	Removal of rib(s)	23.81	NA	NA	13.13	12.77	5.48	090
32905		A	Revise & repair chest wall	23.29	NA	NA	11.65	11.95	5.44	090
32906		A	Revise & repair chest wall	29.30	NA	NA	13.77	14.21	6.87	090
3290F		I	Pt=D(Rh)- and unsensitized	0.00	0.00	0.00	0.00	0.00	0.00	XXX
3291F		I	Pt=d(rh)+ or sensitized	0.00	0.00	0.00	0.00	0.00	0.00	XXX
3292F		I	Hiv tstng asked/docd/revwd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
3293F		I	Abo rh blood typing docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
32940		A	Revision of lung	21.34	NA	NA	11.05	11.14	5.01	090
3294F		I	Grp b strep screening docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
32960		A	Therapeutic pneumothorax	1.84	1.76	1.92	0.83	0.86	0.42	000
32997		A	Total lung lavage	7.31	NA	NA	2.43	2.36	0.91	000
32998		A	Perq rf ablate tx pul tumor	5.68	74.45	79.10	2.22	2.55	0.60	000
32999		C	Chest surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
33010		A	Drainage of heart sac	2.24	NA	NA	0.84	1.03	0.45	000
33011		A	Repeat drainage of heart sac	2.24	NA	NA	0.87	1.00	0.49	000
33015		A	Incision of heart sac	8.52	NA	NA	4.81	5.64	1.67	090
33020		A	Incision of heart sac	14.95	NA	NA	8.22	8.28	3.51	090
33025		A	Incision of heart sac	13.70	NA	NA	7.36	7.52	3.25	090
33030		A	Partial removal of heart sac	22.39	NA	NA	11.61	11.72	5.32	090
33031		A	Partial removal of heart sac	25.38	NA	NA	12.14	12.41	6.11	090

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33050		A	Removal of heart sac lesion	16.97	NA	NA	9.67	9.65	3.97	090
33120		A	Removal of heart lesion	27.45	NA	NA	13.33	13.69	6.57	090
33130		A	Removal of heart lesion	24.17	NA	NA	11.96	12.29	6.04	090
33140		A	Heart revascularize (tmr)	28.34	NA	NA	13.08	13.46	7.08	090
33141		A	Heart tmr w/other procedure	2.54	NA	NA	0.93	1.10	0.61	ZZZ
3317F		I	Path rpt malig cancer docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
3318F		I	Path rpt malig cancer docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
33202		A	Insert epicard eltrd open	13.20	NA	NA	7.11	7.43	3.16	090
33203		A	Insert epicard eltrd endo	13.97	NA	NA	7.00	7.71	3.28	090
33206		A	Insertion of heart pacemaker	7.39	NA	NA	4.37	5.22	1.62	090
33207		A	Insertion of heart pacemaker	8.05	NA	NA	4.42	5.34	1.77	090
33208		A	Insertion of heart pacemaker	8.77	NA	NA	4.69	5.69	1.92	090
33210		A	Insertion of heart electrode	3.30	NA	NA	1.29	1.61	0.71	000
33211		A	Insertion of heart electrode	3.39	NA	NA	1.30	1.56	0.75	000
33212		A	Insertion of pulse generator	5.52	NA	NA	3.17	3.82	1.21	090
33213		A	Insertion of pulse generator	6.37	NA	NA	3.49	4.26	1.40	090
33214		A	Upgrade of pacemaker system	7.84	NA	NA	4.61	5.48	1.70	090
33215		A	Reposition pacing-defib lead	4.92	NA	NA	2.89	3.53	1.08	090
33216		A	Insert 1 electrode pm-defib	5.87	NA	NA	3.76	4.61	1.28	090
33217		A	Insert 2 electrode pm-defib	5.84	NA	NA	3.77	4.57	1.28	090
33218		A	Repair lead pace-defib one	6.07	NA	NA	4.05	4.88	1.32	090
33220		A	Repair lead pace-defib dual	6.15	NA	NA	4.05	4.89	1.33	090
33222		A	Revise pocket pacemaker	5.10	NA	NA	3.85	4.55	1.14	090
33223		A	Revise pocket for defib	6.55	NA	NA	4.02	4.95	1.46	090
33224		A	Insert pacing lead & connect	9.04	NA	NA	3.88	4.83	1.99	000
33225		A	L ventric pacing lead add-on	8.33	NA	NA	3.27	4.15	1.82	ZZZ
33226		A	Reposition l ventric lead	8.68	NA	NA	3.77	4.69	1.91	000
33233		A	Removal of pacemaker system	3.39	NA	NA	2.73	3.34	0.73	090
33234		A	Removal of pacemaker system	7.91	NA	NA	4.55	5.54	1.74	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
33235		A	Removal pacemaker electrode	10.15	NA	NA	6.15	7.43	2.25	090
33236		A	Remove electrode/thoracotomy	12.73	NA	NA	7.90	8.20	3.18	090
33237		A	Remove electrode/thoracotomy	13.84	NA	NA	7.62	8.74	3.24	090
33238		A	Remove electrode/thoracotomy	15.40	NA	NA	9.38	9.61	3.69	090
33240		A	Insert pulse generator	7.64	NA	NA	4.13	5.17	1.66	090
33241		A	Remove pulse generator	3.29	NA	NA	2.43	3.03	0.71	090
33243		A	Remove eltrd/thoracotomy	23.57	NA	NA	12.13	13.07	5.57	090
33244		A	Remove eltrd transven	13.99	NA	NA	7.81	9.61	3.10	090
33249		A	Eltrd/insert pace-defib	15.17	NA	NA	7.95	9.89	3.32	090
3324F		I	Mri ct scan ord rvwd rqstd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
33250		A	Ablate heart dysrhythm focus	25.90	NA	NA	12.57	12.98	6.46	090
33251		A	Ablate heart dysrhythm focus	28.92	NA	NA	14.18	14.34	7.01	090
33254		A	Ablate atria lmtd	23.71	NA	NA	12.27	12.52	5.92	090
33255		A	Ablate atria w/o bypass ext	29.04	NA	NA	14.04	14.84	7.25	090
33256		A	Ablate atria w/bypass exten	34.90	NA	NA	16.17	17.13	8.75	090
33257		A	Ablate atria lmtd add-on	9.63	NA	NA	5.85	6.03	2.31	ZZZ
33258		A	Ablate atria x10sv add-on	11.00	NA	NA	6.37	6.58	2.63	ZZZ
33259		A	Ablate atria w/bypass add-on	14.14	NA	NA	8.25	8.54	3.43	ZZZ
3325F		I	Preop asses 4 cataract surg	0.00	0.00	0.00	0.00	0.00	0.00	XXX
33261		A	Ablate heart dysrhythm focus	28.92	NA	NA	13.50	13.92	7.23	090
33265		A	Ablate atria lmtd endo	23.71	NA	NA	11.89	12.28	5.65	090
33266		A	Ablate atria x10sv endo	33.04	NA	NA	15.30	15.91	7.98	090
33282		A	Implant pat-active ht record	4.80	NA	NA	3.45	4.26	1.05	090
33284		A	Remove pat-active ht record	3.14	NA	NA	2.81	3.46	0.68	090
33300		A	Repair of heart wound	44.97	NA	NA	19.26	18.62	10.74	090
33305		A	Repair of heart wound	76.93	NA	NA	30.64	29.64	18.43	090
3330F		I	Imaging study ordered (bkp)	0.00	0.00	0.00	0.00	0.00	0.00	XXX
33310		A	Exploratory heart surgery	20.34	NA	NA	10.50	10.78	4.49	090
33315		A	Exploratory heart surgery	26.17	NA	NA	12.75	13.20	6.29	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
3331F		I	Bk imaging tst not ordered	0.00	0.00	0.00	0.00	0.00	0.00	XXX
33320		A	Repair major blood vessel(s)	18.54	NA	NA	9.57	9.83	4.30	090
33321		A	Repair major vessel	20.81	NA	NA	10.61	10.83	4.87	090
33322		A	Repair major blood vessel(s)	24.42	NA	NA	12.32	12.63	5.87	090
33330		A	Insert major vessel graft	25.29	NA	NA	12.23	12.28	6.33	090
33332		A	Insert major vessel graft	24.56	NA	NA	11.81	12.39	6.12	090
33335		A	Insert major vessel graft	33.91	NA	NA	15.65	16.04	8.18	090
33400		A	Repair of aortic valve	41.50	NA	NA	18.60	19.21	9.89	090
33401		A	Valvuloplasty open	24.63	NA	NA	12.40	14.21	5.38	090
33403		A	Valvuloplasty w/cp bypass	25.61	NA	NA	13.30	14.20	6.40	090
33404		A	Prepare heart-aorta conduit	31.37	NA	NA	14.77	15.56	7.34	090
33405		A	Replacement of aortic valve	41.32	NA	NA	18.93	19.75	9.92	090
33406		A	Replacement of aortic valve	52.68	NA	NA	22.76	23.47	12.77	090
33410		A	Replacement of aortic valve	46.41	NA	NA	20.73	21.15	11.15	090
33411		A	Replacement of aortic valve	62.07	NA	NA	26.39	26.61	14.93	090
33412		A	Replacement of aortic valve	43.94	NA	NA	20.23	21.38	11.00	090
33413		A	Replacement of aortic valve	59.87	NA	NA	25.10	26.11	14.02	090
33414		A	Repair of aortic valve	39.37	NA	NA	17.02	17.89	9.84	090
33415		A	Revision subvalvular tissue	37.27	NA	NA	16.38	16.45	8.52	090
33416		A	Revise ventricle muscle	36.56	NA	NA	17.16	17.29	8.81	090
33417		A	Repair of aortic valve	29.33	NA	NA	14.64	15.21	7.04	090
33420		A	Revision of mitral valve	25.79	NA	NA	15.79	13.71	3.54	090
33422		A	Revision of mitral valve	29.73	NA	NA	14.46	15.02	7.42	090
33425		A	Repair of mitral valve	49.96	NA	NA	22.04	21.74	11.99	090
33426		A	Repair of mitral valve	43.28	NA	NA	19.70	20.30	10.41	090
33427		A	Repair of mitral valve	44.83	NA	NA	19.60	20.56	10.78	090
33430		A	Replacement of mitral valve	50.93	NA	NA	23.17	23.46	12.26	090
33460		A	Revision of tricuspid valve	44.70	NA	NA	18.42	18.64	11.17	090
33463		A	Valvuloplasty tricuspid	57.08	NA	NA	24.28	23.91	13.79	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
33464		A	Valvuloplasty tricuspid	44.62	NA	NA	20.09	19.96	10.74	090
33465		A	Replace tricuspid valve	50.72	NA	NA	21.87	21.65	12.29	090
33468		A	Revision of tricuspid valve	32.94	NA	NA	15.11	16.46	8.25	090
33470		A	Revision of pulmonary valve	21.54	NA	NA	12.51	12.17	5.05	090
33471		A	Valvotomy pulmonary valve	22.96	NA	NA	13.10	12.81	1.62	090
33472		A	Revision of pulmonary valve	23.06	NA	NA	11.10	11.90	1.63	090
33474		A	Revision of pulmonary valve	39.40	NA	NA	17.34	16.79	9.23	090
33475		A	Replacement pulmonary valve	42.40	NA	NA	18.80	19.21	10.60	090
33476		A	Revision of heart chamber	26.57	NA	NA	13.34	13.38	6.64	090
33478		A	Revision of heart chamber	27.54	NA	NA	13.54	14.09	6.89	090
33496		A	Repair prosth valve clot	29.84	NA	NA	14.22	14.69	6.98	090
33500		A	Repair heart vessel fistula	27.94	NA	NA	13.29	13.79	6.98	090
33501		A	Repair heart vessel fistula	19.51	NA	NA	9.92	10.16	4.89	090
33502		A	Coronary artery correction	21.85	NA	NA	11.55	11.94	5.46	090
33503		A	Coronary artery graft	22.51	NA	NA	11.58	13.56	4.93	090
33504		A	Coronary artery graft	25.46	NA	NA	12.86	13.16	6.36	090
33505		A	Repair artery w/tunnel	38.40	NA	NA	15.39	15.73	9.60	090
33506		A	Repair artery translocation	37.85	NA	NA	22.97	20.11	8.87	090
33507		A	Repair art intramural	31.40	NA	NA	13.49	14.33	7.35	090
33508		A	Endoscopic vein harvest	0.31	NA	NA	0.11	0.12	0.07	ZZZ
33510		A	Cabg vein single	34.98	NA	NA	16.31	17.06	8.41	090
33511		A	Cabg vein two	38.45	NA	NA	17.77	18.53	9.25	090
33512		A	Cabg vein three	43.98	NA	NA	19.83	20.54	10.60	090
33513		A	Cabg vein four	45.37	NA	NA	20.20	20.63	10.97	090
33514		A	Cabg vein five	48.08	NA	NA	21.09	21.86	11.54	090
33516		A	Cabg vein six or more	49.76	NA	NA	21.79	22.73	12.43	090
33517		A	Cabg artery-vein single	3.61	NA	NA	1.32	1.34	0.86	ZZZ
33518		A	Cabg artery-vein two	7.93	NA	NA	2.90	2.89	1.91	ZZZ
33519		A	Cabg artery-vein three	10.49	NA	NA	3.84	3.87	2.52	ZZZ

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
3351F		I	Neg scrn dep symp by deptool	0.00	0.00	0.00	0.00	0.00	0.00	XXX
33521		A	Cabg artery-vein four	12.59	NA	NA	4.63	4.69	3.05	ZZZ
33522		A	Cabg artery-vein five	14.14	NA	NA	5.19	5.32	3.43	ZZZ
33523		A	Cabg art-vein six or more	16.08	NA	NA	5.86	6.04	3.87	ZZZ
3352F		I	No sig dep symp by dep tool	0.00	0.00	0.00	0.00	0.00	0.00	XXX
33530		A	Coronary artery bypass/reop	10.13	NA	NA	3.69	3.67	2.42	ZZZ
33533		A	Cabg arterial single	33.75	NA	NA	15.69	16.60	8.13	090
33534		A	Cabg arterial two	39.88	NA	NA	18.27	19.15	9.58	090
33535		A	Cabg arterial three	44.75	NA	NA	20.05	20.92	10.75	090
33536		A	Cabg arterial four or more	48.43	NA	NA	21.44	22.07	11.70	090
3353F		I	Mild-mod dep symp by deptool	0.00	0.00	0.00	0.00	0.00	0.00	XXX
33542		A	Removal of heart lesion	48.21	NA	NA	21.16	20.93	11.64	090
33545		A	Repair of heart damage	57.06	NA	NA	24.28	24.20	13.68	090
33548		A	Restore/remodel ventricle	54.14	NA	NA	24.08	24.89	13.11	090
3354F		I	Clin sig dep sym by dep tool	0.00	0.00	0.00	0.00	0.00	0.00	XXX
33572		A	Open coronary endarterectomy	4.44	NA	NA	1.62	1.69	1.08	ZZZ
33600		A	Closure of valve	30.31	NA	NA	14.71	15.04	7.08	090
33602		A	Closure of valve	29.34	NA	NA	14.37	14.38	6.25	090
33606		A	Anastomosis/artery-aorta	31.53	NA	NA	17.06	16.54	6.72	090
33608		A	Repair anomaly w/conduit	31.88	NA	NA	15.26	16.06	7.46	090
33610		A	Repair by enlargement	31.40	NA	NA	14.94	15.53	7.35	090
33611		A	Repair double ventricle	35.57	NA	NA	15.44	16.12	8.90	090
33612		A	Repair double ventricle	36.57	NA	NA	15.62	16.26	7.98	090
33615		A	Repair modified fontan	35.89	NA	NA	16.29	17.35	8.41	090
33617		A	Repair single ventricle	39.09	NA	NA	17.47	17.82	9.16	090
33619		A	Repair single ventricle	48.76	NA	NA	25.85	23.75	11.42	090
33620		A	Apply r&l pulm art bands	30.00	NA	NA	13.47	13.47	7.50	090
33621		A	Transthor cath for stent	16.18	NA	NA	7.43	7.43	3.76	090
33622		A	Redo compl cardiac anomaly	64.00	NA	NA	28.34	28.34	14.98	090

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33641		A	Repair heart septum defect	29.58	NA	NA	13.83	13.77	7.12	090
33645		A	Revision of heart veins	28.10	NA	NA	13.25	13.80	7.02	090
33647		A	Repair heart septum defects	29.53	NA	NA	14.43	15.39	7.38	090
33660		A	Repair of heart defects	31.83	NA	NA	20.14	17.65	7.96	090
33665		A	Repair of heart defects	34.85	NA	NA	15.18	15.83	8.74	090
33670		A	Repair of heart chambers	36.63	NA	NA	14.86	15.52	9.17	090
33675		A	Close mult vsd	35.95	NA	NA	15.44	16.08	8.98	090
33676		A	Close mult vsd w/resection	36.95	NA	NA	18.65	18.21	2.63	090
33677		A	Cl mult vsd w/rem pul band	38.45	NA	NA	13.43	15.86	2.74	090
33681		A	Repair heart septum defect	32.34	NA	NA	16.23	16.54	7.80	090
33684		A	Repair heart septum defect	34.37	NA	NA	15.01	15.65	8.60	090
33688		A	Repair heart septum defect	34.75	NA	NA	14.29	14.63	8.70	090
33690		A	Reinforce pulmonary artery	20.36	NA	NA	13.27	12.35	4.76	090
33692		A	Repair of heart defects	31.54	NA	NA	16.34	15.98	2.23	090
33694		A	Repair of heart defects	35.57	NA	NA	15.27	16.26	8.90	090
33697		A	Repair of heart defects	37.57	NA	NA	16.44	18.62	8.19	090
33702		A	Repair of heart defects	27.24	NA	NA	13.27	13.59	6.81	090
33710		A	Repair of heart defects	30.41	NA	NA	14.24	17.12	7.12	090
33720		A	Repair of heart defect	27.26	NA	NA	13.14	13.78	6.38	090
33722		A	Repair of heart defect	29.21	NA	NA	15.32	14.60	7.29	090
33724		A	Repair venous anomaly	27.63	NA	NA	12.60	13.56	6.45	090
33726		A	Repair pul venous stenosis	37.12	NA	NA	19.40	18.63	9.28	090
33730		A	Repair heart-vein defect(s)	36.14	NA	NA	16.13	16.01	9.05	090
33732		A	Repair heart-vein defect	28.96	NA	NA	14.23	14.76	7.24	090
33735		A	Revision of heart chamber	22.20	NA	NA	11.72	11.98	5.57	090
33736		A	Revision of heart chamber	24.32	NA	NA	12.46	12.93	6.07	090
33737		A	Revision of heart chamber	22.47	NA	NA	11.47	11.95	5.27	090
33750		A	Major vessel shunt	22.22	NA	NA	9.55	12.16	7.98	090
33755		A	Major vessel shunt	22.60	NA	NA	11.98	12.02	4.94	090

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33762		A	Major vessel shunt	22.60	NA	NA	12.41	12.25	1.61	090
33764		A	Major vessel shunt & graft	22.60	NA	NA	13.23	12.40	4.83	090
33766		A	Major vessel shunt	23.57	NA	NA	11.21	12.61	5.13	090
33767		A	Major vessel shunt	25.30	NA	NA	11.94	12.11	6.33	090
33768		A	Cavopulmonary shunting	8.00	NA	NA	3.52	3.41	0.56	ZZZ
33770		A	Repair great vessels defect	39.07	NA	NA	16.02	16.86	9.16	090
33771		A	Repair great vessels defect	40.63	NA	NA	19.38	18.50	2.90	090
33774		A	Repair great vessels defect	31.73	NA	NA	15.26	15.97	7.93	090
33775		A	Repair great vessels defect	32.99	NA	NA	17.70	17.54	2.33	090
33776		A	Repair great vessels defect	34.75	NA	NA	18.82	18.63	2.45	090
33777		A	Repair great vessels defect	34.17	NA	NA	12.36	14.87	2.42	090
33778		A	Repair great vessels defect	42.75	NA	NA	21.71	21.24	3.05	090
33779		A	Repair great vessels defect	43.23	NA	NA	20.80	20.18	3.09	090
33780		A	Repair great vessels defect	43.90	NA	NA	21.29	21.18	3.13	090
33781		A	Repair great vessels defect	43.21	NA	NA	20.51	19.62	3.09	090
33782		A	Nikaidoh proc	60.08	NA	NA	24.15	24.15	14.07	090
33783		A	Nikaidoh proc w/ostia implt	65.08	NA	NA	25.92	25.92	15.24	090
33786		A	Repair arterial trunk	41.87	NA	NA	17.36	18.36	2.98	090
33788		A	Revision of pulmonary artery	27.42	NA	NA	12.55	13.33	1.93	090
33800		A	Aortic suspension	17.28	NA	NA	8.52	8.63	4.31	090
33802		A	Repair vessel defect	18.37	NA	NA	12.02	11.07	4.60	090
33803		A	Repair vessel defect	20.31	NA	NA	9.73	9.83	5.08	090
33813		A	Repair septal defect	21.36	NA	NA	12.39	13.09	5.01	090
33814		A	Repair septal defect	26.57	NA	NA	13.25	13.79	6.64	090
33820		A	Revise major vessel	16.69	NA	NA	8.75	9.20	4.18	090
33822		A	Revise major vessel	17.71	NA	NA	10.14	10.13	1.25	090
33824		A	Revise major vessel	20.23	NA	NA	11.86	11.61	4.74	090
33840		A	Remove aorta constriction	21.34	NA	NA	13.32	12.16	5.34	090
33845		A	Remove aorta constriction	22.93	NA	NA	11.97	13.08	5.73	090

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33851		A	Remove aorta constriction	21.98	NA	NA	18.52	15.18	5.48	090
33852		A	Repair septal defect	24.41	NA	NA	11.83	12.47	6.10	090
33853		A	Repair septal defect	32.51	NA	NA	15.32	16.80	8.14	090
33860		A	Ascending aortic graft	59.46	NA	NA	25.11	25.19	14.25	090
33863		A	Ascending aortic graft	58.79	NA	NA	24.04	24.65	14.07	090
33864		A	Ascending aortic graft	60.08	NA	NA	24.44	25.43	14.32	090
33870		A	Transverse aortic arch graft	46.06	NA	NA	20.11	20.98	11.00	090
33875		A	Thoracic aortic graft	35.78	NA	NA	17.20	17.17	8.55	090
33877		A	Thoracoabdominal graft	69.03	NA	NA	26.68	26.31	16.35	090
33880		A	Endovasc taa repr incl subcl	34.58	NA	NA	13.66	14.40	7.72	090
33881		A	Endovasc taa repr w/o subcl	29.58	NA	NA	12.02	12.59	6.60	090
33883		A	Insert endovasc prosth taa	21.09	NA	NA	9.16	9.56	4.70	090
33884		A	Endovasc prosth taa add-on	8.20	NA	NA	2.77	2.84	1.84	ZZZ
33886		A	Endovasc prosth delayed	18.09	NA	NA	7.98	8.30	4.30	090
33889		A	Artery transpose/endovas taa	15.92	NA	NA	5.49	5.52	3.78	000
33891		A	Car-car bp grft/endovas taa	20.00	NA	NA	5.97	6.39	4.75	000
33910		A	Remove lung artery emboli	29.71	NA	NA	14.33	14.66	7.42	090
33915		A	Remove lung artery emboli	24.95	NA	NA	11.16	11.46	5.44	090
33916		A	Surgery of great vessel	28.42	NA	NA	13.32	15.00	7.10	090
33917		A	Repair pulmonary artery	25.30	NA	NA	12.94	14.17	5.92	090
33920		A	Repair pulmonary atresia	32.74	NA	NA	14.57	15.23	8.18	090
33922		A	Transect pulmonary artery	24.22	NA	NA	12.08	12.48	6.06	090
33924		A	Remove pulmonary shunt	5.49	NA	NA	1.92	2.00	1.28	ZZZ
33925		A	Rpr pul art unifocal w/o cpb	31.30	NA	NA	13.60	14.67	7.32	090
33926		A	Repr pul art unifocal w/cpb	44.73	NA	NA	26.87	22.02	11.17	090
33930		X	Removal of donor heart/lung	0.00	0.00	0.00	0.00	0.00	0.00	XXX
33933		C	Prepare donor heart/lung	0.00	0.00	0.00	0.00	0.00	0.00	XXX
33935		R	Transplantation heart/lung	62.01	NA	NA	28.02	29.39	15.50	090
33940		X	Removal of donor heart	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
33944		C	Prepare donor heart	0.00	0.00	0.00	0.00	0.00	0.00	XXX
33945		R	Transplantation of heart	89.50	NA	NA	37.52	37.20	21.34	090
33960		A	External circulation assist	19.33	NA	NA	7.14	7.12	3.82	000
33961		A	External circulation assist	10.91	NA	NA	4.02	4.15	1.66	ZZZ
33967		A	Insert ia percut device	4.84	NA	NA	1.88	2.35	1.08	000
33968		A	Remove aortic assist device	0.64	NA	NA	0.25	0.29	0.14	000
33970		A	Aortic circulation assist	6.74	NA	NA	2.50	2.86	1.57	000
33971		A	Aortic circulation assist	11.99	NA	NA	6.73	7.24	2.80	090
33973		A	Insert balloon device	9.75	NA	NA	3.67	4.19	2.26	000
33974		A	Remove intra-aortic balloon	15.03	NA	NA	7.93	8.99	3.77	090
33975		A	Implant ventricular device	20.97	NA	NA	7.66	8.03	4.99	XXX
33976		A	Implant ventricular device	22.97	NA	NA	8.15	9.01	5.74	XXX
33977		A	Remove ventricular device	20.28	NA	NA	11.43	11.99	4.82	090
33978		A	Remove ventricular device	22.72	NA	NA	12.50	12.81	5.69	090
33979		A	Insert intracorporeal device	45.93	NA	NA	16.49	17.39	11.00	XXX
33980		A	Remove intracorporeal device	65.20	NA	NA	29.87	30.73	15.73	090
33981		C	Replace vad pump ext	0.00	0.00	0.00	0.00	0.00	0.00	XXX
33982		C	Replace vad intra w/o bp	0.00	0.00	0.00	0.00	0.00	0.00	XXX
33983		C	Replace vad intra w/bp	0.00	0.00	0.00	0.00	0.00	0.00	XXX
33999		C	Cardiac surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
34001		A	Removal of artery clot	17.88	NA	NA	8.86	8.64	4.07	090
34051		A	Removal of artery clot	16.99	NA	NA	9.12	9.21	4.25	090
34101		A	Removal of artery clot	10.93	NA	NA	5.68	5.72	2.46	090
34111		A	Removal of arm artery clot	10.93	NA	NA	5.70	5.73	2.44	090
34151		A	Removal of artery clot	26.52	NA	NA	11.81	11.64	5.97	090
34201		A	Removal of artery clot	19.48	NA	NA	8.81	8.41	4.48	090
34203		A	Removal of leg artery clot	17.86	NA	NA	8.47	8.56	4.11	090
34401		A	Removal of vein clot	26.52	NA	NA	14.60	13.97	5.66	090
34421		A	Removal of vein clot	13.37	NA	NA	6.86	6.89	2.97	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
34451		A	Removal of vein clot	28.52	NA	NA	10.37	11.43	6.78	090
34471		A	Removal of vein clot	21.11	NA	NA	12.24	10.46	4.50	090
34490		A	Removal of vein clot	10.91	NA	NA	6.06	5.98	2.42	090
34501		A	Repair valve femoral vein	16.85	NA	NA	7.51	8.28	4.00	090
34502		A	Reconstruct vena cava	28.07	NA	NA	13.18	13.51	5.89	090
3450F		I	Dyspnea scrnd no-mild dysp	0.00	0.00	0.00	0.00	0.00	0.00	XXX
34510		A	Transposition of vein valve	19.91	NA	NA	11.73	10.68	4.23	090
3451F		I	Dyspnea scrnd mod-high dysp	0.00	0.00	0.00	0.00	0.00	0.00	XXX
34520		A	Cross-over vein graft	19.18	NA	NA	7.69	8.39	4.55	090
3452F		I	Dyspnea not screened	0.00	0.00	0.00	0.00	0.00	0.00	XXX
34530		A	Leg vein fusion	17.93	NA	NA	7.63	8.34	3.82	090
34800		A	Endovas aaa repr w/sm tube	21.54	NA	NA	9.06	9.57	4.57	090
34802		A	Endovas aaa repr w/2-p part	23.79	NA	NA	10.14	10.55	5.09	090
34803		A	Endovas aaa repr w/3-p part	24.82	NA	NA	10.23	10.58	5.34	090
34804		A	Endovas aaa repr w/1-p part	23.79	NA	NA	10.13	10.54	5.14	090
34805		A	Endovas aaa repr w/long tube	22.67	NA	NA	9.63	9.82	5.04	090
34806		A	Aneurysm press sensor add-on	2.06	NA	NA	0.70	0.74	0.45	ZZZ
34808		A	Endovas iliac a device addon	4.12	NA	NA	1.42	1.44	0.90	ZZZ
34812		A	Xpose for endoprosth femorl	6.74	NA	NA	2.34	2.35	1.55	000
34813		A	Femoral endovas graft add-on	4.79	NA	NA	1.61	1.62	1.10	ZZZ
34820		A	Xpose for endoprosth iliac	9.74	NA	NA	3.35	3.40	2.18	000
34825		A	Endovasc extend prosth init	12.80	NA	NA	6.28	6.57	2.75	090
34826		A	Endovasc exten prosth addl	4.12	NA	NA	1.44	1.49	0.88	ZZZ
34830		A	Open aortic tube prosth repr	35.23	NA	NA	12.47	13.52	8.36	090
34831		A	Open aortoiliac prosth repr	37.98	NA	NA	13.29	13.93	9.02	090
34832		A	Open aortofemor prosth repr	37.98	NA	NA	13.29	14.40	9.02	090
34833		A	Xpose for endoprosth iliac	11.98	NA	NA	4.40	4.50	2.79	000
34834		A	Xpose endoprosth brachial	5.34	NA	NA	2.06	2.13	1.24	000
34900		A	Endovasc iliac repr w/graft	16.85	NA	NA	7.63	7.97	3.58	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
3491F		I	HIV unsure baby of HIV+moms	0.00	0.00	0.00	0.00	0.00	0.00	XXX
3497F		I	CD4+ cell percentage <15%	0.00	0.00	0.00	0.00	0.00	0.00	XXX
3498F		I	Cd4+ cell % >=15% (hiv)	0.00	0.00	0.00	0.00	0.00	0.00	XXX
35001		A	Repair defect of artery	20.81	NA	NA	9.73	10.05	4.82	090
35002		A	Repair artery rupture neck	22.23	NA	NA	8.57	9.57	4.75	090
35005		A	Repair defect of artery	19.29	NA	NA	11.58	10.80	4.57	090
35011		A	Repair defect of artery	18.58	NA	NA	8.70	8.66	4.22	090
35013		A	Repair artery rupture arm	23.23	NA	NA	11.15	10.86	5.28	090
35021		A	Repair defect of artery	22.17	NA	NA	8.78	9.98	5.20	090
35022		A	Repair artery rupture chest	25.70	NA	NA	12.17	12.05	6.02	090
35045		A	Repair defect of arm artery	18.01	NA	NA	9.19	8.84	4.00	090
35081		A	Repair defect of artery	33.53	NA	NA	14.45	14.22	7.77	090
35082		A	Repair artery rupture aorta	42.09	NA	NA	17.75	17.48	9.66	090
35091		A	Repair defect of artery	35.35	NA	NA	13.40	13.69	8.19	090
35092		A	Repair artery rupture aorta	50.97	NA	NA	19.73	19.72	11.79	090
35102		A	Repair defect of artery	36.53	NA	NA	15.09	14.97	8.45	090
35103		A	Repair artery rupture groin	43.62	NA	NA	17.37	17.41	9.99	090
3510F		I	Doc tb scrng-rslts interpd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
35111		A	Repair defect of artery	26.28	NA	NA	14.51	13.08	5.61	090
35112		A	Repair artery rupture spleen	32.57	NA	NA	17.40	15.68	6.94	090
35121		A	Repair defect of artery	31.52	NA	NA	13.65	13.43	7.25	090
35122		A	Repair artery rupture belly	37.89	NA	NA	13.26	14.57	8.08	090
35131		A	Repair defect of artery	26.40	NA	NA	11.60	11.68	6.10	090
35132		A	Repair artery rupture groin	32.57	NA	NA	11.67	12.64	7.44	090
3513F		I	Hep B scrng docd as done	0.00	0.00	0.00	0.00	0.00	0.00	XXX
35141		A	Repair defect of artery	20.91	NA	NA	9.34	9.40	4.83	090
35142		A	Repair artery rupture thigh	25.16	NA	NA	11.02	11.14	5.78	090
3514F		I	Hep C scrng docd as done	0.00	0.00	0.00	0.00	0.00	0.00	XXX
35151		A	Repair defect of artery	23.72	NA	NA	10.40	10.47	5.47	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
35152		A	Repair artery rupture knee	27.66	NA	NA	10.20	11.20	6.57	090
3515F		I	Pt has docd immun to hep C	0.00	0.00	0.00	0.00	0.00	0.00	XXX
35180		A	Repair blood vessel lesion	15.10	NA	NA	11.40	10.13	3.58	090
35182		A	Repair blood vessel lesion	31.71	NA	NA	14.80	15.03	6.76	090
35184		A	Repair blood vessel lesion	18.82	NA	NA	9.33	9.11	4.01	090
35188		A	Repair blood vessel lesion	15.16	NA	NA	6.46	7.33	3.24	090
35189		A	Repair blood vessel lesion	29.98	NA	NA	16.27	14.76	7.50	090
35190		A	Repair blood vessel lesion	13.42	NA	NA	7.14	7.09	3.06	090
35201		A	Repair blood vessel lesion	16.93	NA	NA	8.80	8.70	3.76	090
35206		A	Repair blood vessel lesion	13.84	NA	NA	7.40	7.24	3.06	090
35207		A	Repair blood vessel lesion	10.94	NA	NA	9.90	9.24	1.93	090
35211		A	Repair blood vessel lesion	24.58	NA	NA	12.06	12.32	5.91	090
35216		A	Repair blood vessel lesion	36.61	NA	NA	17.95	17.01	8.64	090
35221		A	Repair blood vessel lesion	26.62	NA	NA	12.29	11.78	5.83	090
35226		A	Repair blood vessel lesion	15.30	NA	NA	7.40	7.60	3.52	090
35231		A	Repair blood vessel lesion	21.16	NA	NA	11.53	11.20	4.16	090
35236		A	Repair blood vessel lesion	18.02	NA	NA	8.73	8.66	3.99	090
35241		A	Repair blood vessel lesion	25.58	NA	NA	13.29	13.20	6.30	090
35246		A	Repair blood vessel lesion	28.23	NA	NA	10.49	12.07	6.70	090
35251		A	Repair blood vessel lesion	31.91	NA	NA	13.99	13.47	7.00	090
35256		A	Repair blood vessel lesion	19.06	NA	NA	8.64	8.73	4.36	090
35261		A	Repair blood vessel lesion	18.96	NA	NA	9.56	9.45	4.64	090
35266		A	Repair blood vessel lesion	15.83	NA	NA	7.76	7.71	3.62	090
35271		A	Repair blood vessel lesion	24.58	NA	NA	12.07	12.30	6.14	090
35276		A	Repair blood vessel lesion	25.83	NA	NA	12.61	12.82	6.04	090
35281		A	Repair blood vessel lesion	30.06	NA	NA	13.75	13.36	6.79	090
35286		A	Repair blood vessel lesion	17.19	NA	NA	8.43	8.51	3.96	090
35301		A	Rechanneling of artery	19.61	NA	NA	9.08	9.09	4.56	090
35302		A	Rechanneling of artery	21.35	NA	NA	9.43	9.20	4.93	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
35303		A	Rechanneling of artery	23.60	NA	NA	10.45	10.10	5.43	090
35304		A	Rechanneling of artery	24.60	NA	NA	10.52	10.28	5.65	090
35305		A	Rechanneling of artery	23.60	NA	NA	10.32	10.01	5.43	090
35306		A	Rechanneling of artery	9.25	NA	NA	4.04	3.51	2.19	ZZZ
35311		A	Rechanneling of artery	28.60	NA	NA	12.28	12.51	6.70	090
35321		A	Rechanneling of artery	16.59	NA	NA	7.86	7.88	3.77	090
35331		A	Rechanneling of artery	27.72	NA	NA	12.18	12.33	6.44	090
35341		A	Rechanneling of artery	26.21	NA	NA	10.94	11.20	6.06	090
35351		A	Rechanneling of artery	24.61	NA	NA	10.54	10.50	5.65	090
35355		A	Rechanneling of artery	19.86	NA	NA	8.58	8.64	4.56	090
35361		A	Rechanneling of artery	30.24	NA	NA	10.98	11.95	7.19	090
35363		A	Rechanneling of artery	32.35	NA	NA	14.33	14.65	7.57	090
35371		A	Rechanneling of artery	15.31	NA	NA	7.27	7.28	3.52	090
35372		A	Rechanneling of artery	18.58	NA	NA	8.30	8.36	4.26	090
35390		A	Reoperation carotid add-on	3.19	NA	NA	1.11	1.13	0.73	ZZZ
35400		A	Angioscopy	3.00	NA	NA	1.01	1.06	0.68	ZZZ
35450		A	Repair arterial blockage	10.05	NA	NA	3.82	3.93	2.25	000
35452		A	Repair arterial blockage	6.90	NA	NA	2.80	2.82	1.58	000
35458		A	Repair arterial blockage	9.48	NA	NA	3.80	3.79	2.14	000
35460		A	Repair venous blockage	6.03	NA	NA	2.55	2.49	1.32	000
35471		A	Repair arterial blockage	10.05	61.28	75.29	3.99	4.80	1.99	000
35472		A	Repair arterial blockage	6.90	47.77	54.90	2.80	3.11	1.44	000
35475		R	Repair arterial blockage	9.48	54.22	57.63	3.78	4.10	1.52	000
35476		A	Repair venous blockage	6.03	42.41	45.01	2.52	2.71	0.83	000
35500		A	Harvest vein for bypass	6.44	NA	NA	2.22	2.22	1.50	ZZZ
35501		A	Artery bypass graft	29.09	NA	NA	14.29	13.95	6.70	090
35506		A	Artery bypass graft	25.33	NA	NA	10.74	11.05	6.02	090
35508		A	Artery bypass graft	26.09	NA	NA	12.34	12.18	6.52	090
35509		A	Artery bypass graft	28.09	NA	NA	12.04	12.48	6.67	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
3550F		I	Low rsk thromboembolism	0.00	0.00	0.00	0.00	0.00	0.00	XXX
35510		A	Artery bypass graft	24.39	NA	NA	8.97	9.87	5.78	090
35511		A	Artery bypass graft	22.20	NA	NA	12.99	11.68	5.28	090
35512		A	Artery bypass graft	23.89	NA	NA	8.82	9.57	5.68	090
35515		A	Artery bypass graft	26.09	NA	NA	9.98	10.18	6.19	090
35516		A	Artery bypass graft	24.21	NA	NA	8.87	9.08	5.74	090
35518		A	Artery bypass graft	22.65	NA	NA	8.31	9.34	5.38	090
3551F		I	Intrmed rsk thromboembolism	0.00	0.00	0.00	0.00	0.00	0.00	XXX
35521		A	Artery bypass graft	24.13	NA	NA	13.71	12.22	5.73	090
35522		A	Artery bypass graft	23.15	NA	NA	10.19	10.26	5.48	090
35523		A	Artery bypass graft	24.13	NA	NA	10.88	11.20	5.48	090
35525		A	Artery bypass graft	21.69	NA	NA	9.81	9.72	4.83	090
35526		A	Artery bypass graft	31.55	NA	NA	11.40	12.82	7.89	090
3552F		I	Hgh risk for thromboembolism	0.00	0.00	0.00	0.00	0.00	0.00	XXX
35531		A	Artery bypass graft	39.11	NA	NA	16.25	16.15	8.91	090
35533		A	Artery bypass graft	29.92	NA	NA	16.24	14.68	7.10	090
35535		A	Artery bypass graft	38.13	NA	NA	13.38	15.01	2.71	090
35536		A	Artery bypass graft	33.73	NA	NA	12.02	12.86	8.02	090
35537		A	Artery bypass graft	41.88	NA	NA	21.60	19.31	9.94	090
35538		A	Artery bypass graft	47.03	NA	NA	23.90	21.54	11.16	090
35539		A	Artery bypass graft	44.11	NA	NA	15.20	16.04	10.48	090
35540		A	Artery bypass graft	49.33	NA	NA	21.00	20.03	11.35	090
35548		A	Artery bypass graft	22.68	NA	NA	8.71	9.52	5.39	090
35549		A	Artery bypass graft	24.45	NA	NA	16.14	13.82	5.21	090
35551		A	Artery bypass graft	27.83	NA	NA	15.31	14.20	5.95	090
35556		A	Artery bypass graft	26.75	NA	NA	11.68	11.52	6.14	090
35558		A	Artery bypass graft	23.13	NA	NA	10.85	10.71	5.34	090
3555F		I	Pt inr measurement performed	0.00	0.00	0.00	0.00	0.00	0.00	XXX
35560		A	Artery bypass graft	34.03	NA	NA	12.11	13.29	8.08	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
35563		A	Artery bypass graft	26.12	NA	NA	9.75	10.47	6.19	090
35565		A	Artery bypass graft	25.13	NA	NA	11.06	11.12	5.74	090
35566		A	Artery bypass graft	32.35	NA	NA	13.53	13.36	7.50	090
35570		A	Artery bypass graft	29.15	NA	NA	10.80	12.11	2.07	090
35571		A	Artery bypass graft	25.52	NA	NA	10.98	11.10	5.89	090
35572		A	Harvest femoropopliteal vein	6.81	NA	NA	2.48	2.55	1.59	ZZZ
35583		A	Vein bypass graft	27.75	NA	NA	12.05	11.82	6.36	090
35585		A	Vein bypass graft	32.35	NA	NA	13.84	13.66	7.40	090
35587		A	Vein bypass graft	26.21	NA	NA	11.72	11.72	6.04	090
35600		A	Harvest art for cabg add-on	4.94	NA	NA	1.85	1.93	1.20	ZZZ
35601		A	Artery bypass graft	27.09	NA	NA	13.29	12.84	6.34	090
35606		A	Artery bypass graft	22.46	NA	NA	9.64	9.75	5.25	090
35612		A	Artery bypass graft	16.82	NA	NA	6.96	7.83	4.00	090
35616		A	Artery bypass graft	21.82	NA	NA	12.06	10.72	4.65	090
35621		A	Artery bypass graft	21.03	NA	NA	9.12	9.18	4.87	090
35623		A	Bypass graft not vein	25.92	NA	NA	14.50	12.95	6.14	090
35626		A	Artery bypass graft	29.14	NA	NA	12.91	13.26	6.98	090
35631		A	Artery bypass graft	36.03	NA	NA	14.17	14.36	8.42	090
35632		A	Artery bypass graft	36.13	NA	NA	12.78	14.34	2.57	090
35633		A	Artery bypass graft	39.11	NA	NA	15.09	16.07	2.78	090
35634		A	Artery bypass graft	35.33	NA	NA	13.59	14.61	2.50	090
35636		A	Artery bypass graft	31.75	NA	NA	17.04	15.30	7.54	090
35637		A	Artery bypass graft	33.05	NA	NA	14.05	13.79	7.65	090
35638		A	Artery bypass graft	33.60	NA	NA	14.51	14.28	7.83	090
35642		A	Artery bypass graft	18.94	NA	NA	11.95	10.94	4.49	090
35645		A	Artery bypass graft	18.43	NA	NA	10.92	9.73	4.61	090
35646		A	Artery bypass graft	32.98	NA	NA	13.94	14.05	7.59	090
35647		A	Artery bypass graft	29.73	NA	NA	12.97	12.97	6.90	090
35650		A	Artery bypass graft	20.16	NA	NA	9.30	9.20	4.60	090

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35651		A	Artery bypass graft	26.08	NA	NA	9.73	10.82	5.58	090
35654		A	Artery bypass graft	26.28	NA	NA	11.36	11.39	6.07	090
35656		A	Artery bypass graft	20.47	NA	NA	9.28	9.28	4.71	090
35661		A	Artery bypass graft	20.35	NA	NA	9.52	9.56	4.70	090
35663		A	Artery bypass graft	23.93	NA	NA	10.29	10.50	5.47	090
35665		A	Artery bypass graft	22.35	NA	NA	9.87	9.96	5.12	090
35666		A	Artery bypass graft	23.66	NA	NA	11.30	11.38	5.44	090
35671		A	Artery bypass graft	20.77	NA	NA	10.01	10.11	4.78	090
35681		A	Composite bypass graft	1.60	NA	NA	0.56	0.57	0.37	ZZZ
35682		A	Composite bypass graft	7.19	NA	NA	2.38	2.42	1.66	ZZZ
35683		A	Composite bypass graft	8.49	NA	NA	2.53	2.72	2.00	ZZZ
35685		A	Bypass graft patency/patch	4.04	NA	NA	1.38	1.38	0.93	ZZZ
35686		A	Bypass graft/av fist patency	3.34	NA	NA	1.13	1.17	0.75	ZZZ
35691		A	Arterial transposition	18.41	NA	NA	7.26	7.98	4.37	090
35693		A	Arterial transposition	15.73	NA	NA	7.04	7.79	3.74	090
35694		A	Arterial transposition	19.28	NA	NA	8.56	8.62	4.57	090
35695		A	Arterial transposition	20.06	NA	NA	7.75	8.43	4.76	090
35697		A	Reimplant artery each	3.00	NA	NA	1.02	1.04	0.69	ZZZ
35700		A	Reoperation bypass graft	3.08	NA	NA	1.07	1.08	0.71	ZZZ
35701		A	Exploration carotid artery	9.19	NA	NA	6.57	6.18	1.80	090
35721		A	Exploration femoral artery	7.72	NA	NA	4.74	4.84	1.74	090
3572F		I	Pt consid poss risk fx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
3573F		I	Pt not consid poss risk fx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
35741		A	Exploration popliteal artery	8.69	NA	NA	5.33	5.24	1.93	090
35761		A	Exploration of artery/vein	5.93	NA	NA	4.83	4.68	1.29	090
35800		A	Explore neck vessels	8.07	NA	NA	5.59	5.41	1.70	090
35820		A	Explore chest vessels	36.89	NA	NA	16.04	15.54	8.83	090
35840		A	Explore abdominal vessels	10.96	NA	NA	6.78	6.49	2.35	090
35860		A	Explore limb vessels	6.80	NA	NA	4.45	4.46	1.55	090

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35870		A	Repair vessel graft defect	24.50	NA	NA	13.86	12.33	5.81	090
35875		A	Removal of clot in graft	10.72	NA	NA	5.74	5.71	2.44	090
35876		A	Removal of clot in graft	17.82	NA	NA	8.15	8.13	4.08	090
35879		A	Revise graft w/vein	17.41	NA	NA	8.08	8.09	4.01	090
35881		A	Revise graft w/vein	19.35	NA	NA	8.70	8.83	4.49	090
35883		A	Revise graft w/nonauto graft	23.15	NA	NA	10.04	9.75	5.34	090
35884		A	Revise graft w/vein	24.65	NA	NA	8.98	9.30	5.84	090
35901		A	Excision graft neck	8.38	NA	NA	5.62	5.62	1.91	090
35903		A	Excision graft extremity	9.53	NA	NA	6.17	6.21	2.15	090
35905		A	Excision graft thorax	33.52	NA	NA	11.96	12.99	7.96	090
35907		A	Excision graft abdomen	37.27	NA	NA	15.26	15.14	8.59	090
36000		A	Place needle in vein	0.18	0.49	0.54	0.08	0.08	0.03	XXX
36002		A	Pseudoaneurysm injection trt	1.96	2.45	2.71	0.95	1.05	0.30	000
36005		A	Injection ext venography	0.95	8.58	9.24	0.35	0.40	0.14	000
36010		A	Place catheter in vein	2.43	12.01	14.00	0.88	0.96	0.35	XXX
36011		A	Place catheter in vein	3.14	21.63	23.94	1.18	1.25	0.44	XXX
36012		A	Place catheter in vein	3.51	21.27	22.65	1.30	1.45	0.52	XXX
36013		A	Place catheter in artery	2.52	19.60	21.33	0.95	1.01	0.45	XXX
36014		A	Place catheter in artery	3.02	20.59	22.12	1.12	1.28	0.33	XXX
36015		A	Place catheter in artery	3.51	21.93	23.80	1.30	1.47	0.37	XXX
36100		A	Establish access to artery	3.02	10.85	12.20	1.14	1.29	0.67	XXX
36120		A	Establish access to artery	2.01	10.44	11.16	0.72	0.76	0.33	XXX
36140		A	Establish access to artery	2.01	10.66	12.01	0.73	0.81	0.39	XXX
36147		A	Access av dial grft for eval	3.72	20.21	20.21	1.43	1.43	0.50	XXX
36148		A	Access av dial grft for proc	1.00	6.58	6.58	0.37	0.37	0.12	ZZZ
36160		A	Establish access to aorta	2.52	11.16	12.71	0.91	1.06	0.38	XXX
36200		A	Place catheter in aorta	3.02	14.32	15.88	1.07	1.18	0.60	XXX
36215		A	Place catheter in artery	4.67	26.64	29.15	1.81	2.06	0.82	XXX
36216		A	Place catheter in artery	5.27	29.43	31.91	2.10	2.34	0.93	XXX

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36217		A	Place catheter in artery	6.29	50.87	54.89	2.55	2.81	1.06	XXX
36218		A	Place catheter in artery	1.01	4.18	4.59	0.41	0.44	0.16	ZZZ
36245		A	Place catheter in artery	4.67	26.57	31.00	1.79	2.15	0.87	XXX
36246		A	Place catheter in artery	5.27	27.37	30.66	1.94	2.22	0.98	XXX
36247		A	Place catheter in artery	6.29	45.30	50.58	2.29	2.62	1.18	XXX
36248		A	Place catheter in artery	1.01	3.21	3.67	0.36	0.42	0.16	ZZZ
36260		A	Insertion of infusion pump	9.91	NA	NA	7.21	6.56	2.12	090
36261		A	Revision of infusion pump	5.63	NA	NA	5.02	4.61	1.33	090
36262		A	Removal of infusion pump	4.11	NA	NA	4.07	3.75	0.87	090
36299		C	Vessel injection procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
36400		A	Bl draw < 3 yrs fem/jugular	0.38	0.52	0.43	0.08	0.09	0.05	XXX
36405		A	Bl draw < 3 yrs scalp vein	0.31	0.36	0.36	0.13	0.12	0.05	XXX
36406		A	Bl draw < 3 yrs other vein	0.18	0.28	0.30	0.07	0.07	0.03	XXX
36410		A	Non-routine bl draw > 3 yrs	0.18	0.27	0.33	0.08	0.07	0.03	XXX
36415		X	Routine venipuncture	0.00	0.00	0.00	0.00	0.00	0.00	XXX
36416		B	Capillary blood draw	0.00	0.00	0.00	0.00	0.00	0.00	XXX
36420		A	Vein access cutdown < 1 yr	1.01	NA	NA	0.24	0.27	0.12	XXX
36425		A	Vein access cutdown > 1 yr	0.76	NA	NA	0.34	0.31	0.11	XXX
36430		A	Blood transfusion service	0.00	0.90	1.03	NA	NA	0.01	XXX
36440		A	Bl push transfuse 2 yr or <	1.03	NA	NA	0.50	0.43	0.24	XXX
36450		A	Bl exchange/transfuse nb	2.23	NA	NA	1.09	1.04	0.11	XXX
36455		A	Bl exchange/transfuse non-nb	2.43	NA	NA	0.85	0.99	0.14	XXX
36460		A	Transfusion service fetal	6.58	NA	NA	3.07	2.72	1.40	XXX
36468		R	Injection(s) spider veins	0.00	0.00	0.00	0.00	0.00	0.00	000
36469		R	Injection(s) spider veins	0.00	0.00	0.00	0.00	0.00	0.00	000
36470		A	Injection therapy of vein	1.10	2.92	2.96	0.85	0.84	0.22	010
36471		A	Injection therapy of veins	1.65	3.23	3.28	1.10	1.08	0.33	010
36475		A	Endovenous rf 1st vein	6.72	43.98	46.55	2.79	2.75	1.42	000
36476		A	Endovenous rf vein add-on	3.38	7.60	7.84	1.24	1.22	0.72	ZZZ

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36478		A	Endovenous laser 1st vein	6.72	31.46	35.19	2.73	2.77	1.32	000
36479		A	Endovenous laser vein addon	3.38	7.81	8.26	1.29	1.27	0.65	ZZZ
36481		A	Insertion of catheter vein	6.98	53.71	29.63	3.02	3.02	0.88	000
36500		A	Insertion of catheter vein	3.51	NA	NA	1.36	1.49	0.53	000
3650F		I	Eeg ordered rvwd reqstd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
36510		A	Insertion of catheter vein	1.09	1.53	1.82	0.53	0.50	0.24	000
36511		A	Apheresis wbc	1.74	NA	NA	0.89	0.83	0.27	000
36512		A	Apheresis rbc	1.74	NA	NA	0.88	0.85	0.16	000
36513		A	Apheresis platelets	1.74	NA	NA	0.98	0.91	0.34	000
36514		A	Apheresis plasma	1.74	12.19	13.40	0.80	0.77	0.27	000
36515		A	Apheresis adsorp/reinfuse	1.74	50.36	55.45	0.88	0.78	0.24	000
36516		A	Apheresis selective	1.22	54.41	61.91	0.56	0.53	0.35	000
36522		A	Photopheresis	1.67	34.98	38.56	1.20	1.20	0.16	000
36555		A	Insert non-tunnel cv cath	2.68	4.69	5.10	0.67	0.74	0.22	000
36556		A	Insert non-tunnel cv cath	2.50	3.94	4.15	0.85	0.81	0.31	000
36557		A	Insert tunneled cv cath	5.14	22.73	21.70	3.60	3.38	1.09	010
36558		A	Insert tunneled cv cath	4.84	16.90	18.48	2.82	2.95	0.72	010
36560		A	Insert tunneled cv cath	6.29	32.02	30.75	4.12	3.84	0.59	010
36561		A	Insert tunneled cv cath	6.04	26.87	28.09	3.61	3.54	1.10	010
36563		A	Insert tunneled cv cath	6.24	30.50	29.91	3.89	3.66	1.31	010
36565		A	Insert tunneled cv cath	6.04	21.61	22.59	3.35	3.32	1.29	010
36566		A	Insert tunneled cv cath	6.54	141.15	125.38	3.72	3.60	1.27	010
36568		A	Insert picc cath	1.92	5.88	6.76	0.75	0.77	0.18	000
36569		A	Insert picc cath	1.82	4.98	5.68	0.74	0.79	0.18	000
36570		A	Insert picvad cath	5.36	24.29	27.70	3.00	3.22	0.49	010
36571		A	Insert picvad cath	5.34	30.43	31.53	3.35	3.27	1.02	010
36575		A	Repair tunneled cv cath	0.67	3.87	4.06	0.30	0.30	0.10	000
36576		A	Repair tunneled cv cath	3.24	7.15	7.35	2.06	2.07	0.56	010
36578		A	Replace tunneled cv cath	3.54	10.53	11.18	2.38	2.49	0.53	010

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36580		A	Replace cvad cath	1.31	4.59	5.18	0.54	0.55	0.16	000
36581		A	Replace tunneled cv cath	3.48	17.60	18.91	1.97	2.13	0.44	010
36582		A	Replace tunneled cv cath	5.24	25.59	26.70	3.12	3.18	0.88	010
36583		A	Replace tunneled cv cath	5.29	32.45	30.16	3.68	3.44	1.14	010
36584		A	Replace picc cath	1.20	4.36	5.08	0.66	0.72	0.11	000
36585		A	Replace picvad cath	4.84	25.74	27.48	2.88	3.01	0.67	010
36589		A	Removal tunneled cv cath	2.28	2.28	2.36	1.55	1.58	0.37	010
36590		A	Removal tunneled cv cath	3.35	4.67	4.54	2.23	2.15	0.63	010
36591		T	Draw blood off venous device	0.00	0.61	0.67	NA	NA	0.01	XXX
36592		T	Collect blood from picc	0.00	0.69	0.75	NA	NA	0.01	XXX
36593		A	Declot vascular device	0.00	0.82	0.85	NA	NA	0.01	XXX
36595		A	Mech remov tunneled cv cath	3.59	11.93	13.59	1.53	1.69	0.39	000
36596		A	Mech remov tunneled cv cath	0.75	2.89	3.19	0.48	0.52	0.10	000
36597		A	Reposition venous catheter	1.21	2.21	2.42	0.49	0.54	0.11	000
36598		T	Inj w/fluor eval cv device	0.74	2.32	2.57	0.27	0.67	0.07	000
36600		A	Withdrawal of arterial blood	0.32	0.53	0.56	0.11	0.11	0.03	XXX
36620		A	Insertion catheter artery	1.15	NA	NA	0.29	0.25	0.10	000
36625		A	Insertion catheter artery	2.11	NA	NA	0.71	0.70	0.42	000
36640		A	Insertion catheter artery	2.10	NA	NA	1.41	1.30	0.42	000
36660		A	Insertion catheter artery	1.40	NA	NA	0.69	0.54	0.34	000
36680		A	Insert needle bone cavity	1.20	NA	NA	0.35	0.37	0.22	000
36800		A	Insertion of cannula	2.43	NA	NA	2.02	2.01	0.42	000
36810		A	Insertion of cannula	3.96	NA	NA	1.81	1.75	0.73	000
36815		A	Insertion of cannula	2.62	NA	NA	1.46	1.43	0.56	000
36818		A	Av fuse uppr arm cephalic	11.89	NA	NA	6.37	6.29	2.65	090
36819		A	Av fuse uppr arm basilic	14.47	NA	NA	7.24	7.09	3.25	090
36820		A	Av fusion/forearm vein	14.47	NA	NA	7.52	7.28	3.24	090
36821		A	Av fusion direct any site	12.11	NA	NA	6.80	6.46	2.71	090
36822		A	Insertion of cannula(s)	5.57	NA	NA	4.72	4.81	1.29	090

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36823		A	Insertion of cannula(s)	22.98	NA	NA	12.47	11.97	5.01	090
36825		A	Artery-vein autograft	15.13	NA	NA	7.83	6.74	3.38	090
36830		A	Artery-vein nonautograft	12.03	NA	NA	5.81	5.71	2.71	090
36831		A	Open thrombect av fistula	8.04	NA	NA	4.45	4.36	1.80	090
36832		A	Av fistula revision open	10.53	NA	NA	5.28	5.18	2.34	090
36833		A	Av fistula revision	11.98	NA	NA	5.86	5.73	2.69	090
36835		A	Artery to vein shunt	7.51	NA	NA	5.95	5.53	1.78	090
36838		A	Dist revas ligation hemo	21.69	NA	NA	9.48	9.56	4.94	090
36860		A	External cannula declotting	2.01	3.81	3.73	1.05	0.94	0.26	000
36861		A	Cannula declotting	2.52	NA	NA	1.62	1.63	0.48	000
36870		A	Percut thrombect av fistula	5.20	46.47	50.00	3.12	3.36	0.68	090
3700F		I	Psych disorders assessed	0.00	0.00	0.00	0.00	0.00	0.00	XXX
37140		A	Revision of circulation	25.23	NA	NA	14.18	12.94	5.38	090
37145		A	Revision of circulation	26.24	NA	NA	14.52	13.74	5.72	090
37160		A	Revision of circulation	23.24	NA	NA	13.31	12.05	4.97	090
37180		A	Revision of circulation	26.24	NA	NA	14.62	13.14	5.61	090
37181		A	Splice spleen/kidney veins	28.37	NA	NA	15.55	14.15	6.04	090
37182		A	Insert hepatic shunt (tips)	16.97	NA	NA	6.35	7.34	1.62	000
37183		A	Remove hepatic shunt (tips)	7.99	152.36	152.36	3.01	3.54	0.73	000
37184		A	Prim art mech thrombectomy	8.66	54.69	61.30	3.57	3.92	1.51	000
37185		A	Prim art m-thrombect add-on	3.28	17.51	19.71	1.20	1.33	0.61	ZZZ
37186		A	Sec art m-thrombect add-on	4.92	34.39	40.65	1.81	2.10	0.95	ZZZ
37187		A	Venous mech thrombectomy	8.03	52.33	58.87	3.19	3.59	1.13	000
37188		A	Venous m-thrombectomy add-on	5.71	44.95	50.99	2.39	2.70	0.69	000
37195		C	Thrombolytic therapy stroke	0.00	0.00	0.00	0.00	0.00	0.00	XXX
37200		A	Transcatheter biopsy	4.55	NA	NA	1.64	1.90	0.42	000
37201		A	Transcatheter therapy infuse	4.99	NA	NA	2.49	2.77	0.76	000
37202		A	Transcatheter therapy infuse	5.67	NA	NA	2.97	3.51	1.18	000
37203		A	Transcatheter retrieval	5.02	31.65	34.54	2.09	2.37	0.68	000

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37204		A	Transcatheter occlusion	18.11	NA	NA	6.53	7.36	2.22	000
37205		A	Transcath iv stent percut	8.27	108.52	118.76	3.06	3.65	1.57	000
37206		A	Transcath iv stent/perc addl	4.12	65.97	72.40	1.49	1.71	0.82	ZZZ
37207		A	Transcath iv stent open	8.27	NA	NA	3.23	3.28	1.86	000
37208		A	Transcath iv stent/open addl	4.12	NA	NA	1.42	1.43	0.93	ZZZ
37209		A	Change iv cath at thromb tx	2.27	NA	NA	0.80	0.90	0.33	000
3720F		I	Cognit impairment assessed	0.00	0.00	0.00	0.00	0.00	0.00	XXX
37210		A	Embolization uterine fibroid	10.60	88.69	95.30	4.01	4.68	1.02	000
37215		R	Transcath stent cca w/eps	19.68	NA	NA	8.95	10.52	4.19	090
37216		N	Transcath stent cca w/o eps	18.95	NA	NA	10.11	10.05	1.33	090
37220		A	Iliac revasc	8.15	83.95	83.95	3.04	3.04	1.67	000
37221		A	Iliac revasc w/stent	10.00	126.67	126.67	3.75	3.75	1.89	000
37222		A	Iliac revasc add-on	3.73	22.54	22.54	1.35	1.35	0.76	ZZZ
37223		A	Iliac revasc w/stent add-on	4.25	71.23	71.23	1.53	1.53	0.84	ZZZ
37224		A	Fem/popl revas w/tla	9.00	101.84	101.84	3.35	3.35	1.81	000
37225		A	Fem/popl revas w/ather	12.00	303.60	303.60	4.56	4.56	2.52	000
37226		A	Fem/popl revasc w/stent	10.49	254.49	254.49	3.93	3.93	1.29	000
37227		A	Fem/popl revasc stnt & ather	14.50	412.53	412.53	5.49	5.49	3.05	000
37228		A	Tib/per revasc w/tla	11.00	147.11	147.11	4.04	4.04	2.26	000
37229		A	Tib/per revasc w/ather	14.05	298.36	298.36	5.31	5.31	2.98	000
37230		A	Tib/per revasc w/stent	13.80	231.37	231.37	5.14	5.14	2.61	000
37231		A	Tib/per revasc stent & ather	15.00	379.77	379.77	5.58	5.58	2.84	000
37232		A	Tib/per revasc add-on	4.00	31.20	31.20	1.43	1.43	0.82	ZZZ
37233		A	Tibper revasc w/ather add-on	6.50	36.14	36.14	2.41	2.41	1.37	ZZZ
37234		A	Revsc opn/prq tib/pero stent	5.50	108.11	108.11	1.98	1.98	1.09	ZZZ
37235		A	Tib/per revasc stnt & ather	7.80	113.20	113.20	2.81	2.81	1.55	ZZZ
37250		A	Iv us first vessel add-on	2.10	NA	NA	0.74	0.85	0.45	ZZZ
37251		A	Iv us each add vessel add-on	1.60	NA	NA	0.53	0.58	0.35	ZZZ
37500		A	Endoscopy ligate perf veins	11.67	NA	NA	7.16	7.18	2.63	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
37501		C	Vascular endoscopy procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
37565		A	Ligation of neck vein	12.05	NA	NA	7.67	7.19	2.57	090
37600		A	Ligation of neck artery	12.42	NA	NA	7.14	6.91	2.55	090
37605		A	Ligation of neck artery	14.28	NA	NA	7.55	7.39	3.39	090
37606		A	Ligation of neck artery	8.81	NA	NA	4.34	4.88	1.88	090
37607		A	Ligation of a-v fistula	6.25	NA	NA	4.11	4.03	1.37	090
37609		A	Temporal artery procedure	3.05	5.62	5.44	2.68	2.50	0.64	010
37615		A	Ligation of neck artery	7.80	NA	NA	6.99	6.09	1.65	090
37616		A	Ligation of chest artery	18.97	NA	NA	10.41	10.31	4.06	090
37617		A	Ligation of abdomen artery	23.79	NA	NA	11.76	11.11	5.02	090
37618		A	Ligation of extremity artery	6.03	NA	NA	4.52	4.41	1.32	090
37620		A	Revision of major vein	11.57	NA	NA	6.02	6.56	1.70	090
37650		A	Revision of major vein	8.49	NA	NA	4.09	4.75	1.88	090
37660		A	Revision of major vein	22.28	NA	NA	12.26	11.16	4.75	090
37700		A	Revise leg vein	3.82	NA	NA	3.16	3.13	0.84	090
37718		A	Ligate/strip short leg vein	7.13	NA	NA	4.87	4.75	1.57	090
37722		A	Ligate/strip long leg vein	8.16	NA	NA	5.13	5.01	1.81	090
37735		A	Removal of leg veins/lesion	10.90	NA	NA	6.17	6.15	2.40	090
37760		A	Ligate leg veins radical	10.78	NA	NA	7.48	6.75	2.29	090
37761		A	Ligate leg veins open	9.13	NA	NA	6.21	6.21	1.96	090
37765		A	Stab phleb veins xtr 10-20	7.71	10.70	10.70	4.68	4.76	1.57	090
37766		A	Phleb veins - extrem 20+	9.66	12.12	12.12	5.45	5.52	2.01	090
37780		A	Revision of leg vein	3.93	NA	NA	3.26	3.24	0.86	090
37785		A	Ligate/divide/excise vein	3.93	5.99	6.04	3.28	3.26	0.86	090
37788		A	Revascularization penis	23.33	NA	NA	12.87	13.74	4.98	090
37790		A	Penile venous occlusion	8.43	NA	NA	5.02	5.28	0.82	090
37799		C	Vascular surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
38100		A	Removal of spleen total	19.55	NA	NA	10.79	9.68	4.08	090
38101		A	Removal of spleen partial	19.55	NA	NA	11.08	9.86	4.16	090

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38102		A	Removal of spleen total	4.79	NA	NA	2.09	1.91	0.99	ZZZ
38115		A	Repair of ruptured spleen	21.88	NA	NA	11.83	10.61	4.29	090
38120		A	Laparoscopy splenectomy	17.07	NA	NA	10.75	9.83	3.61	090
38129		C	Laparoscope proc spleen	0.00	0.00	0.00	0.00	0.00	0.00	YYY
38200		A	Injection for spleen x-ray	2.64	NA	NA	1.15	1.18	0.63	000
38204		B	Bl donor search management	2.00	NA	NA	0.88	0.85	0.14	XXX
38205		R	Harvest allogenic stem cells	1.50	NA	NA	0.81	0.77	0.08	000
38206		R	Harvest auto stem cells	1.50	NA	NA	0.82	0.78	0.11	000
38207		I	Cryopreserve stem cells	0.89	NA	NA	0.39	0.47	0.05	XXX
38208		I	Thaw preserved stem cells	0.56	NA	NA	0.25	0.29	0.04	XXX
38209		I	Wash harvest stem cells	0.24	NA	NA	0.11	0.13	0.01	XXX
38210		I	T-cell depletion of harvest	1.57	NA	NA	0.69	0.82	0.10	XXX
38211		I	Tumor cell deplete of harvst	1.42	NA	NA	0.63	0.75	0.10	XXX
38212		I	Rbc depletion of harvest	0.94	NA	NA	0.41	0.49	0.05	XXX
38213		I	Platelet deplete of harvest	0.24	NA	NA	0.11	0.13	0.01	XXX
38214		I	Volume deplete of harvest	0.81	NA	NA	0.36	0.42	0.05	XXX
38215		I	Harvest stem cell concentrte	0.94	NA	NA	0.41	0.49	0.05	XXX
38220		A	Bone marrow aspiration	1.08	2.94	3.28	0.63	0.62	0.11	XXX
38221		A	Bone marrow biopsy	1.37	2.96	3.37	0.80	0.79	0.08	XXX
38230		R	Bone marrow collection	4.85	NA	NA	4.45	4.17	1.10	010
38240		R	Bone marrow/stem transplant	2.24	NA	NA	1.36	1.30	0.16	XXX
38241		R	Bone marrow/stem transplant	2.24	NA	NA	1.34	1.30	0.14	XXX
38242		A	Lymphocyte infuse transplant	1.71	NA	NA	1.06	1.00	0.10	000
38300		A	Drainage lymph node lesion	2.36	5.30	5.26	2.70	2.62	0.41	010
38305		A	Drainage lymph node lesion	6.68	NA	NA	5.71	5.49	1.33	090
38308		A	Incision of lymph channels	6.81	NA	NA	5.17	4.84	1.46	090
38380		A	Thoracic duct procedure	8.46	NA	NA	8.09	7.30	1.09	090
38381		A	Thoracic duct procedure	13.38	NA	NA	7.67	7.80	3.14	090
38382		A	Thoracic duct procedure	10.65	NA	NA	7.09	6.97	2.26	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
38500		A	Biopsy/removal lymph nodes	3.79	5.15	4.88	2.98	2.77	0.78	010
38505		A	Needle biopsy lymph nodes	1.14	2.33	2.46	0.84	0.89	0.12	000
38510		A	Biopsy/removal lymph nodes	6.74	7.63	7.19	4.80	4.42	1.21	010
38520		A	Biopsy/removal lymph nodes	7.03	NA	NA	5.53	5.19	1.42	090
38525		A	Biopsy/removal lymph nodes	6.43	NA	NA	5.13	4.71	1.36	090
38530		A	Biopsy/removal lymph nodes	8.34	NA	NA	6.28	5.80	1.82	090
38542		A	Explore deep node(s) neck	7.95	NA	NA	6.52	6.03	1.33	090
38550		A	Removal neck/armpit lesion	7.11	NA	NA	6.39	5.81	1.52	090
38555		A	Removal neck/armpit lesion	15.59	NA	NA	11.13	10.47	3.35	090
38562		A	Removal pelvic lymph nodes	11.06	NA	NA	7.67	7.48	1.96	090
38564		A	Removal abdomen lymph nodes	11.38	NA	NA	7.36	6.96	2.25	090
38570		A	Laparoscopy lymph node biop	9.34	NA	NA	5.15	5.17	1.37	010
38571		A	Laparoscopy lymphadenectomy	14.76	NA	NA	7.07	7.81	1.48	010
38572		A	Laparoscopy lymphadenectomy	16.94	NA	NA	8.92	8.46	2.40	010
38589		C	Laparoscope proc lymphatic	0.00	0.00	0.00	0.00	0.00	0.00	YYY
38700		A	Removal of lymph nodes neck	12.81	NA	NA	10.18	9.18	1.77	090
38720		A	Removal of lymph nodes neck	21.95	NA	NA	15.94	14.35	3.39	090
38724		A	Removal of lymph nodes neck	23.95	NA	NA	17.66	15.66	3.35	090
38740		A	Remove armpit lymph nodes	10.70	NA	NA	7.56	6.94	2.26	090
38745		A	Remove armpit lymph nodes	13.87	NA	NA	9.26	8.46	2.95	090
38746		A	Remove thoracic lymph nodes	4.88	NA	NA	1.80	1.86	1.14	ZZZ
38747		A	Remove abdominal lymph nodes	4.88	NA	NA	2.10	1.94	1.03	ZZZ
38760		A	Remove groin lymph nodes	13.62	NA	NA	8.74	8.17	2.79	090
38765		A	Remove groin lymph nodes	21.91	NA	NA	12.35	11.65	4.23	090
38770		A	Remove pelvis lymph nodes	14.06	NA	NA	7.87	8.10	1.77	090
38780		A	Remove abdomen lymph nodes	17.70	NA	NA	10.27	10.36	2.56	090
38790		A	Inject for lymphatic x-ray	1.29	NA	NA	0.96	0.95	0.24	000
38792		A	Identify sentinel node	0.52	NA	NA	0.59	0.59	0.08	000
38794		A	Access thoracic lymph duct	4.62	NA	NA	3.43	3.80	0.42	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
38900		A	Io map of sent lymph node	2.50	1.02	1.02	1.02	1.02	0.53	ZZZ
38999		C	Blood/lymph system procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
39000		A	Exploration of chest	7.57	NA	NA	5.80	5.70	1.67	090
39010		A	Exploration of chest	13.19	NA	NA	7.63	7.90	3.13	090
39200		A	Removal chest lesion	15.09	NA	NA	8.03	8.19	3.54	090
39220		A	Removal chest lesion	19.55	NA	NA	10.50	10.62	4.44	090
39400		A	Visualization of chest	8.05	NA	NA	5.34	5.41	1.88	010
39499		C	Chest procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
39501		A	Repair diaphragm laceration	13.98	NA	NA	8.48	8.04	2.95	090
39503		A	Repair of diaphragm hernia	108.91	NA	NA	52.22	46.04	23.23	090
39540		A	Repair of diaphragm hernia	14.57	NA	NA	8.45	7.91	3.12	090
39541		A	Repair of diaphragm hernia	15.75	NA	NA	9.24	8.59	3.40	090
39545		A	Revision of diaphragm	14.67	NA	NA	8.79	8.87	3.42	090
39560		A	Resect diaphragm simple	13.06	NA	NA	7.89	7.57	2.79	090
39561		A	Resect diaphragm complex	19.99	NA	NA	13.05	12.45	4.38	090
39599		C	Diaphragm surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
4014F		I	Written discharge instr prvd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4016F		I	Anti-inflm/anlgsc agent rx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4017F		I	Gi prophylaxis for nsaid rx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4018F		I	Therapy exercise joint rx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4019F		I	Doc recpt counsl vit d/calc+	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4030F		I	Oxygen therapy rx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4033F		I	Pulmonary rehab rec	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4035F		I	Influenza imm rec	0.00	0.00	0.00	0.00	0.00	0.00	XXX
40490		A	Biopsy of lip	1.22	2.40	2.41	0.89	0.83	0.18	000
40500		A	Partial excision of lip	4.47	10.05	9.69	5.99	5.68	0.67	090
40510		A	Partial excision of lip	4.82	9.03	8.66	5.40	5.05	0.71	090
40520		A	Partial excision of lip	4.79	9.23	8.97	5.49	5.16	0.75	090
40525		A	Reconstruct lip with flap	7.72	NA	NA	7.99	7.54	1.27	090

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40527		A	Reconstruct lip with flap	9.32	NA	NA	8.66	8.39	1.20	090
4052F		I	Hemodialysis via AV fistula	0.00	0.00	0.00	0.00	0.00	0.00	XXX
40530		A	Partial removal of lip	5.54	9.90	9.61	6.02	5.69	0.86	090
4053F		I	Hemodialysis via AV graft	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4054F		I	Hemodialysis via catheter	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4055F		I	Pt rcvng periton dialysis	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4056F		I	Approp oral rehyd recommd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4058F		I	Ped gastro ed given caregvr	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4060F		I	Psych svcs provided	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4062F		I	Pt referral psych docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4063F		I	Antidepres rxthxpy not rxd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4064F		I	Antidepressant rx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
40650		A	Repair lip	3.78	8.04	7.80	4.33	4.14	0.65	090
40652		A	Repair lip	4.43	9.28	9.07	5.52	5.26	0.76	090
40654		A	Repair lip	5.48	10.63	10.37	6.55	6.24	0.93	090
4065F		I	Antipsychotic rx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4066F		I	ECT provided	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4067F		I	Pt referral for ect docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
40700		A	Repair cleft lip/nasal	14.17	NA	NA	14.39	12.57	1.82	090
40701		A	Repair cleft lip/nasal	17.23	NA	NA	13.90	13.59	2.20	090
40702		A	Repair cleft lip/nasal	14.27	NA	NA	9.15	9.19	1.02	090
40720		A	Repair cleft lip/nasal	14.72	NA	NA	12.12	11.61	2.91	090
40761		A	Repair cleft lip/nasal	15.84	NA	NA	14.94	13.53	3.13	090
4077F		I	Doc t-pa admin considered	0.00	0.00	0.00	0.00	0.00	0.00	XXX
40799		C	Lip surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
40800		A	Drainage of mouth lesion	1.23	4.79	4.61	2.54	2.42	0.18	010
40801		A	Drainage of mouth lesion	2.63	6.40	6.09	3.76	3.53	0.37	010
40804		A	Removal foreign body mouth	1.30	4.95	4.72	2.51	2.39	0.16	010
40805		A	Removal foreign body mouth	2.79	6.56	6.29	3.73	3.53	0.35	010

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40806		A	Incision of lip fold	0.31	2.73	2.75	0.52	0.58	0.04	000
40808		A	Biopsy of mouth lesion	1.01	4.41	4.26	2.14	2.05	0.12	010
40810		A	Excision of mouth lesion	1.36	4.63	4.45	2.36	2.23	0.18	010
40812		A	Excise/repair mouth lesion	2.37	5.92	5.63	3.34	3.11	0.31	010
40814		A	Excise/repair mouth lesion	3.52	7.58	7.18	5.31	4.99	0.48	090
40816		A	Excision of mouth lesion	3.77	7.87	7.48	5.43	5.11	0.52	090
40818		A	Excise oral mucosa for graft	2.83	7.27	7.14	4.89	4.82	0.37	090
40819		A	Excise lip or cheek fold	2.51	6.32	6.06	4.28	4.06	0.33	090
40820		A	Treatment of mouth lesion	1.34	6.33	6.24	3.66	3.58	0.18	010
40830		A	Repair mouth laceration	1.82	5.30	5.08	2.72	2.60	0.31	010
40831		A	Repair mouth laceration	2.57	6.95	6.60	3.78	3.63	0.42	010
40840		R	Reconstruction of mouth	9.15	15.76	14.14	9.92	8.75	1.18	090
40842		R	Reconstruction of mouth	9.15	14.13	13.12	9.46	8.31	1.18	090
40843		R	Reconstruction of mouth	12.79	17.25	16.02	10.06	9.12	2.52	090
40844		R	Reconstruction of mouth	16.80	22.41	21.05	16.07	14.42	3.32	090
40845		R	Reconstruction of mouth	19.36	22.64	21.41	15.94	14.85	2.48	090
40899		C	Mouth surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
41000		A	Drainage of mouth lesion	1.35	3.33	3.19	1.89	1.78	0.18	010
41005		A	Drainage of mouth lesion	1.31	5.11	5.07	2.41	2.31	0.16	010
41006		A	Drainage of mouth lesion	3.34	7.09	6.77	4.34	3.98	0.42	090
41007		A	Drainage of mouth lesion	3.20	7.14	6.91	3.97	3.78	0.41	090
41008		A	Drainage of mouth lesion	3.46	7.39	6.99	4.32	4.01	0.44	090
41009		A	Drainage of mouth lesion	3.71	7.84	7.39	4.74	4.41	0.48	090
41010		A	Incision of tongue fold	1.11	4.91	4.77	2.10	2.02	0.14	010
41015		A	Drainage of mouth lesion	4.08	8.81	8.11	5.94	5.45	0.52	090
41016		A	Drainage of mouth lesion	4.19	8.44	7.99	5.95	5.55	0.53	090
41017		A	Drainage of mouth lesion	4.19	8.55	8.10	5.98	5.59	0.53	090
41018		A	Drainage of mouth lesion	5.22	9.04	8.60	6.35	5.99	0.67	090
41019		A	Place needles h&n for rt	8.84	NA	NA	4.23	4.29	0.72	000

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41100		A	Biopsy of tongue	1.42	3.46	3.34	1.71	1.63	0.20	010
41105		A	Biopsy of tongue	1.47	3.48	3.33	1.78	1.66	0.20	010
41108		A	Biopsy of floor of mouth	1.10	3.21	3.08	1.54	1.45	0.14	010
41110		A	Excision of tongue lesion	1.56	4.65	4.46	2.29	2.16	0.22	010
41112		A	Excision of tongue lesion	2.83	6.86	6.54	4.54	4.27	0.37	090
41113		A	Excision of tongue lesion	3.29	7.27	6.92	4.85	4.54	0.42	090
41114		A	Excision of tongue lesion	8.82	NA	NA	9.65	8.95	1.17	090
41115		A	Excision of tongue fold	1.79	5.29	5.13	2.61	2.42	0.23	010
41116		A	Excision of mouth lesion	2.52	7.11	6.77	3.90	3.68	0.34	090
41120		A	Partial removal of tongue	11.14	NA	NA	19.34	18.70	1.48	090
41130		A	Partial removal of tongue	15.74	NA	NA	22.06	20.93	2.08	090
41135		A	Tongue and neck surgery	30.14	NA	NA	32.01	29.91	4.04	090
41140		A	Removal of tongue	29.15	NA	NA	34.18	32.41	3.76	090
41145		A	Tongue removal neck surgery	37.93	NA	NA	42.13	39.44	4.87	090
41150		A	Tongue mouth jaw surgery	29.86	NA	NA	33.20	31.28	3.96	090
41153		A	Tongue mouth neck surgery	33.59	NA	NA	35.12	32.74	4.41	090
41155		A	Tongue jaw & neck surgery	44.30	NA	NA	41.67	38.18	5.92	090
41250		A	Repair tongue laceration	1.96	5.23	4.76	2.26	2.04	0.33	010
41251		A	Repair tongue laceration	2.32	5.46	4.75	2.54	2.29	0.30	010
41252		A	Repair tongue laceration	3.02	6.00	5.65	3.01	2.81	0.48	010
4133F		I	Antihist/decong rx/recom	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4134F		I	No antihist/decong rx/recom	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4135F		I	Systemic corticosteroids rx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4136F		I	Syst corticosteroids not rx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
41500		A	Fixation of tongue	3.80	NA	NA	9.40	9.09	0.49	090
41510		A	Tongue to lip surgery	3.51	NA	NA	6.61	7.33	0.44	090
41512		A	Tongue suspension	6.86	NA	NA	11.76	11.09	0.49	090
41520		A	Reconstruction tongue fold	2.83	7.28	6.99	4.60	4.36	0.35	090
41530		A	Tongue base vol reduction	4.51	90.99	90.48	7.62	7.24	0.31	010

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
4155F		I	Hep A vac series prev recvd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4157F		I	Hep B vac series prev recvd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
41599		C	Tongue and mouth surgery	0.00	0.00	0.00	0.00	0.00	0.00	YYY
4163F		I	Pt couns 4 txmnt opt prost	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4167F		I	Hd bed tilted 1st day vent	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4168F		I	Pt care icu&vent w/in 24hrs	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4169F		I	No pt care ICU/vent in 24hrs	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4174F		I	Couns potent glauc impct	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4176F		I	Talk re uv light pt/crgvr	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4178F		I	Antid glbln rcvd w/in 26wks	0.00	0.00	0.00	0.00	0.00	0.00	XXX
41800		A	Drainage of gum lesion	1.27	6.20	5.69	2.81	2.57	0.22	010
41805		A	Removal foreign body gum	1.34	5.74	5.52	3.58	3.44	0.18	010
41806		A	Removal foreign body jawbone	2.79	7.70	7.12	4.81	4.45	0.54	010
4181F		I	Conformal radn thxpy rcvd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
41820		R	Excision gum each quadrant	0.00	0.00	0.00	0.00	0.00	0.00	000
41821		R	Excision of gum flap	0.00	0.00	0.00	0.00	0.00	0.00	000
41822		R	Excision of gum lesion	2.41	5.93	5.67	2.89	2.56	0.31	010
41823		R	Excision of gum lesion	3.77	8.52	8.20	5.60	5.24	0.48	090
41825		A	Excision of gum lesion	1.41	4.65	4.49	2.11	2.09	0.20	010
41826		A	Excision of gum lesion	2.41	6.60	6.03	3.73	3.40	0.31	010
41827		A	Excision of gum lesion	3.83	8.80	8.34	5.08	4.71	0.49	090
41828		R	Excision of gum lesion	3.14	5.66	5.30	3.04	2.76	0.39	010
4182F		I	No conformal radn thxpy	0.00	0.00	0.00	0.00	0.00	0.00	XXX
41830		R	Removal of gum tissue	3.45	7.83	7.41	4.76	4.39	0.44	010
41850		R	Treatment of gum lesion	0.00	0.00	0.00	0.00	0.00	0.00	000
4185F		I	Continuous ppi or h2ra rcvd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4186F		I	No cont ppi or h2ra rcvd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
41870		R	Gum graft	0.00	0.00	0.00	0.00	0.00	0.00	000
41872		R	Repair gum	3.01	7.41	7.20	4.53	4.32	0.60	090

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41874		R	Repair tooth socket	3.19	7.51	7.13	4.19	3.88	0.39	090
4188F		I	Approp ACE/ARB tstng done	0.00	0.00	0.00	0.00	0.00	0.00	XXX
41899		C	Dental surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
4189F		I	Approp digoxin tstng done	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4190F		I	Approp diuretic tstng done	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4191F		I	Approp anticonvuls tstng	0.00	0.00	0.00	0.00	0.00	0.00	XXX
42000		A	Drainage mouth roof lesion	1.28	3.26	3.17	1.75	1.64	0.16	010
42100		A	Biopsy roof of mouth	1.36	2.99	2.86	1.82	1.71	0.18	010
42104		A	Excision lesion mouth roof	1.69	4.60	4.33	2.37	2.20	0.23	010
42106		A	Excision lesion mouth roof	2.15	5.74	5.42	3.02	2.86	0.29	010
42107		A	Excision lesion mouth roof	4.56	8.78	8.32	5.48	5.11	0.59	090
4210F		I	ACE/ARB thxpy for >= 6 mons	0.00	0.00	0.00	0.00	0.00	0.00	XXX
42120		A	Remove palate/lesion	11.86	NA	NA	17.06	16.05	1.57	090
42140		A	Excision of uvula	1.70	5.78	5.55	2.86	2.73	0.23	090
42145		A	Repair palate pharynx/uvula	9.78	NA	NA	10.79	10.05	1.25	090
42160		A	Treatment mouth roof lesion	1.85	4.93	4.88	2.44	2.38	0.24	010
42180		A	Repair palate	2.55	4.01	4.02	2.40	2.40	0.33	010
42182		A	Repair palate	3.87	5.51	5.23	3.61	3.43	0.49	010
42200		A	Reconstruct cleft palate	12.53	NA	NA	12.27	11.73	1.61	090
42205		A	Reconstruct cleft palate	13.66	NA	NA	14.47	12.90	1.77	090
4220F		I	Digoxin thxpy for >= 6 mons	0.00	0.00	0.00	0.00	0.00	0.00	XXX
42210		A	Reconstruct cleft palate	15.03	NA	NA	13.77	13.35	2.97	090
42215		A	Reconstruct cleft palate	8.99	NA	NA	11.53	10.63	1.78	090
4221F		I	Diuretic thxpy for >= 6 mons	0.00	0.00	0.00	0.00	0.00	0.00	XXX
42220		A	Reconstruct cleft palate	7.16	NA	NA	8.37	7.97	0.50	090
42225		A	Reconstruct cleft palate	9.77	NA	NA	15.96	16.19	1.25	090
42226		A	Lengthening of palate	10.35	NA	NA	15.81	15.60	1.32	090
42227		A	Lengthening of palate	9.90	NA	NA	14.62	14.87	1.27	090
42235		A	Repair palate	8.01	NA	NA	13.51	13.03	1.03	090

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42260		A	Repair nose to lip fistula	10.22	13.50	12.77	9.01	8.38	1.31	090
42280		A	Preparation palate mold	1.59	3.09	2.88	1.58	1.37	0.34	010
42281		A	Insertion palate prosthesis	1.98	4.03	3.81	2.44	2.30	0.26	010
42299		C	Palate/uvula surgery	0.00	0.00	0.00	0.00	0.00	0.00	YYY
42300		A	Drainage of salivary gland	1.98	4.14	3.94	2.47	2.32	0.27	010
42305		A	Drainage of salivary gland	6.31	NA	NA	6.10	5.71	0.86	090
4230F		I	Anticonv thxpy for >= 6 mons	0.00	0.00	0.00	0.00	0.00	0.00	XXX
42310		A	Drainage of salivary gland	1.61	3.14	2.98	2.04	1.91	0.22	010
42320		A	Drainage of salivary gland	2.40	4.95	4.70	2.74	2.58	0.31	010
42330		A	Removal of salivary stone	2.26	4.52	4.32	2.55	2.38	0.30	010
42335		A	Removal of salivary stone	3.41	7.55	7.19	4.13	3.89	0.42	090
42340		A	Removal of salivary stone	4.72	8.81	8.40	5.08	4.78	0.61	090
42400		A	Biopsy of salivary gland	0.78	2.34	2.32	0.82	0.82	0.08	000
42405		A	Biopsy of salivary gland	3.34	5.27	5.10	3.18	2.99	0.42	010
42408		A	Excision of salivary cyst	4.66	8.68	8.22	4.94	4.57	0.60	090
42409		A	Drainage of salivary cyst	2.91	6.91	6.57	3.61	3.41	0.37	090
4240F		I	Instr xrcz 4bk pn >12 weeks	0.00	0.00	0.00	0.00	0.00	0.00	XXX
42410		A	Excise parotid gland/lesion	9.57	NA	NA	8.21	7.62	1.44	090
42415		A	Excise parotid gland/lesion	18.12	NA	NA	13.86	12.79	2.42	090
42420		A	Excise parotid gland/lesion	21.00	NA	NA	15.59	14.35	2.83	090
42425		A	Excise parotid gland/lesion	13.42	NA	NA	10.67	9.93	1.84	090
42426		A	Excise parotid gland/lesion	22.66	NA	NA	16.19	14.96	3.12	090
4242F		I	Sprvsd xrcz bk pn >12 weeks	0.00	0.00	0.00	0.00	0.00	0.00	XXX
42440		A	Excise submaxillary gland	7.13	NA	NA	6.37	5.91	0.95	090
42450		A	Excise sublingual gland	4.74	8.44	8.05	5.71	5.38	0.64	090
42500		A	Repair salivary duct	4.42	8.19	7.81	5.54	5.23	0.60	090
42505		A	Repair salivary duct	6.32	9.85	9.37	6.88	6.47	0.80	090
42507		A	Parotid duct diversion	6.25	NA	NA	8.79	8.37	0.80	090
42508		A	Parotid duct diversion	9.33	NA	NA	10.75	10.61	1.20	090

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42509		A	Parotid duct diversion	11.76	NA	NA	10.18	10.50	2.30	090
42510		A	Parotid duct diversion	8.35	NA	NA	10.02	9.47	1.08	090
42550		A	Injection for salivary x-ray	1.25	2.43	2.76	0.48	0.53	0.11	000
42600		A	Closure of salivary fistula	4.94	9.13	8.76	5.24	4.97	0.64	090
4260F		I	Wound srfc culturetech used	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4261F		I	Tech other than surfc cultr	0.00	0.00	0.00	0.00	0.00	0.00	XXX
42650		A	Dilation of salivary duct	0.77	1.68	1.60	0.94	0.89	0.10	000
4265F		I	Wet-dry dressings rx recmd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
42660		A	Dilation of salivary duct	1.13	1.98	1.88	1.13	1.06	0.14	000
42665		A	Ligation of salivary duct	2.63	6.62	6.30	3.44	3.26	0.34	090
4266F		I	No wet-dry drssings rx recmd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4268F		I	Pt ed re comp thxpy rcvd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
42699		C	Salivary surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
4269F		I	Appropos mthd offloading Rxd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
42700		A	Drainage of tonsil abscess	1.67	3.86	3.70	2.28	2.18	0.23	010
42720		A	Drainage of throat abscess	6.31	6.88	6.43	5.07	4.67	0.83	010
42725		A	Drainage of throat abscess	12.41	NA	NA	11.14	10.30	1.59	090
4275F		I	Hep b vac inj admin/rcvd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4279F		I	PCP prophylaxis Rxd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
42800		A	Biopsy of throat	1.44	3.22	3.08	1.84	1.74	0.20	010
42802		A	Biopsy of throat	1.59	5.22	5.23	2.30	2.25	0.22	010
42804		A	Biopsy of upper nose/throat	1.29	4.53	4.48	2.05	1.99	0.16	010
42806		A	Biopsy of upper nose/throat	1.63	4.87	4.82	2.24	2.17	0.22	010
42808		A	Excise pharynx lesion	2.35	4.31	4.12	2.38	2.25	0.30	010
42809		A	Remove pharynx foreign body	1.86	3.06	2.92	1.91	1.78	0.27	010
42810		A	Excision of neck cyst	3.38	8.06	7.73	5.13	4.82	0.42	090
42815		A	Excision of neck cyst	7.31	NA	NA	8.87	8.36	1.01	090
42820		A	Remove tonsils and adenoids	4.22	NA	NA	4.25	3.98	0.53	090
42821		A	Remove tonsils and adenoids	4.36	NA	NA	4.44	4.18	0.56	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
42825		A	Removal of tonsils	3.51	NA	NA	4.15	3.93	0.44	090
42826		A	Removal of tonsils	3.45	NA	NA	3.89	3.68	0.44	090
42830		A	Removal of adenoids	2.65	NA	NA	3.42	3.24	0.34	090
42831		A	Removal of adenoids	2.81	NA	NA	3.74	3.55	0.35	090
42835		A	Removal of adenoids	2.38	NA	NA	2.60	2.67	0.30	090
42836		A	Removal of adenoids	3.26	NA	NA	3.78	3.59	0.41	090
42842		A	Extensive surgery of throat	12.23	NA	NA	16.83	15.73	1.58	090
42844		A	Extensive surgery of throat	17.78	NA	NA	22.08	20.83	2.27	090
42845		A	Extensive surgery of throat	32.56	NA	NA	31.93	29.69	4.18	090
42860		A	Excision of tonsil tags	2.30	NA	NA	3.22	3.06	0.30	090
42870		A	Excision of lingual tonsil	5.52	NA	NA	11.47	11.07	0.71	090
42890		A	Partial removal of pharynx	19.13	NA	NA	21.96	20.34	2.52	090
42892		A	Revision of pharyngeal walls	26.03	NA	NA	28.44	25.96	3.42	090
42894		A	Revision of pharyngeal walls	33.92	NA	NA	34.68	31.90	4.42	090
42900		A	Repair throat wound	5.29	NA	NA	4.58	4.27	0.68	010
42950		A	Reconstruction of throat	8.27	NA	NA	14.81	14.35	1.14	090
42953		A	Repair throat esophagus	9.45	NA	NA	18.27	18.12	1.31	090
42955		A	Surgical opening of throat	8.01	NA	NA	14.02	13.36	1.03	090
42960		A	Control throat bleeding	2.38	NA	NA	2.54	2.39	0.31	010
42961		A	Control throat bleeding	5.77	NA	NA	6.52	6.13	0.73	090
42962		A	Control throat bleeding	7.40	NA	NA	7.68	7.22	0.95	090
42970		A	Control nose/throat bleeding	5.82	NA	NA	5.58	5.16	0.84	090
42971		A	Control nose/throat bleeding	6.60	NA	NA	6.76	6.30	0.84	090
42972		A	Control nose/throat bleeding	7.59	NA	NA	7.34	6.82	0.98	090
42999		C	Throat surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
4300F		I	Pt rcvng warf thxpy	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4301F		I	Pt not rcvng warf thxpy	0.00	0.00	0.00	0.00	0.00	0.00	XXX
43020		A	Incision of esophagus	8.23	NA	NA	7.49	6.64	1.06	090
43030		A	Throat muscle surgery	7.99	NA	NA	6.90	6.47	1.17	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
43045		A	Incision of esophagus	21.88	NA	NA	12.25	12.42	5.12	090
4305F		I	Pt ed re ft care inspct rcvd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
4306F		I	Pt tlk psych & Rx opd addic	0.00	0.00	0.00	0.00	0.00	0.00	XXX
43100		A	Excision of esophagus lesion	9.66	NA	NA	8.59	7.82	1.24	090
43101		A	Excision of esophagus lesion	17.07	NA	NA	9.30	9.42	4.00	090
43107		A	Removal of esophagus	44.18	NA	NA	22.79	22.20	9.87	090
43108		A	Removal of esophagus	82.87	NA	NA	40.38	35.02	17.67	090
43112		A	Removal of esophagus	47.48	NA	NA	22.71	22.63	10.77	090
43113		A	Removal of esophagus	80.06	NA	NA	40.53	36.63	17.08	090
43116		A	Partial removal of esophagus	92.99	NA	NA	58.60	47.62	11.94	090
43117		A	Partial removal of esophagus	43.65	NA	NA	20.93	20.66	9.87	090
43118		A	Partial removal of esophagus	67.07	NA	NA	33.48	29.37	14.30	090
43121		A	Partial removal of esophagus	51.43	NA	NA	23.21	22.51	12.03	090
43122		A	Partial removal of esophagus	44.18	NA	NA	22.77	21.66	9.64	090
43123		A	Partial removal of esophagus	83.12	NA	NA	41.87	35.94	17.71	090
43124		A	Removal of esophagus	69.09	NA	NA	36.61	32.32	16.18	090
43130		A	Removal of esophagus pouch	12.53	NA	NA	9.67	9.10	1.99	090
43135		A	Removal of esophagus pouch	26.17	NA	NA	13.22	12.69	5.97	090
43200		A	Esophagus endoscopy	1.59	4.55	4.59	1.39	1.32	0.23	000
43201		A	Esoph scope w/submucous inj	2.09	6.23	6.37	1.50	1.49	0.30	000
43202		A	Esophagus endoscopy biopsy	1.89	6.07	6.21	1.33	1.28	0.29	000
43204		A	Esoph scope w/sclerosis inj	3.76	NA	NA	2.29	2.33	0.59	000
43205		A	Esophagus endoscopy/ligation	3.78	NA	NA	2.41	2.40	0.56	000
4320F		I	Pt talk psychsoc&rx oh dpnd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
43215		A	Esophagus endoscopy	2.60	NA	NA	1.68	1.63	0.41	000
43216		A	Esophagus endoscopy/lesion	2.40	3.66	3.39	1.59	1.55	0.35	000
43217		A	Esophagus endoscopy	2.90	7.68	7.84	1.81	1.75	0.49	000
43219		A	Esophagus endoscopy	2.80	NA	NA	1.88	1.88	0.48	000
43220		A	Esoph endoscopy dilation	2.10	NA	NA	1.45	1.41	0.31	000

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
43226		A	Esoph endoscopy dilation	2.34	NA	NA	1.56	1.54	0.37	000
43227		A	Esoph endoscopy repair	3.59	NA	NA	2.23	2.19	0.54	000
43228		A	Esoph endoscopy ablation	3.76	NA	NA	2.39	2.36	0.59	000
43231		A	Esoph endoscopy w/us exam	3.19	NA	NA	2.07	2.06	0.48	000
43232		A	Esoph endoscopy w/us fn bx	4.47	NA	NA	2.74	2.73	0.69	000
43234		A	Upper gi endoscopy exam	2.01	5.80	5.96	1.33	1.28	0.34	000
43235		A	Uppr gi endoscopy diagnosis	2.39	5.74	6.04	1.62	1.61	0.37	000
43236		A	Uppr gi scope w/submuc inj	2.92	7.15	7.56	1.93	1.94	0.42	000
43237		A	Endoscopic us exam esoph	3.98	NA	NA	2.50	2.51	0.60	000
43238		A	Uppr gi endoscopy w/us fn bx	5.02	NA	NA	3.05	3.07	0.75	000
43239		A	Upper gi endoscopy biopsy	2.87	6.60	6.91	1.88	1.86	0.42	000
43240		A	Esoph endoscope w/drain cyst	6.85	NA	NA	4.09	4.06	1.03	000
43241		A	Upper GI endoscopy with tube	2.59	NA	NA	1.72	1.70	0.39	000
43242		A	Uppr gi endoscopy w/us fn bx	7.30	NA	NA	4.38	4.37	1.08	000
43243		A	Upper gi endoscopy & inject	4.56	NA	NA	2.81	2.81	0.68	000
43244		A	Upper GI endoscopy/ligation	5.04	NA	NA	3.12	3.12	0.73	000
43245		A	Uppr gi scope dilate strictr	3.18	NA	NA	2.01	1.98	0.50	000
43246		A	Place gastrostomy tube	4.32	NA	NA	2.59	2.56	0.69	000
43247		A	Operative upper GI endoscopy	3.38	NA	NA	2.15	2.13	0.52	000
43248		A	Uppr gi endoscopy/guide wire	3.15	NA	NA	2.07	2.07	0.45	000
43249		A	Esoph endoscopy dilation	2.90	NA	NA	1.91	1.91	0.42	000
4324F		I	Pt queried prkns complic	0.00	0.00	0.00	0.00	0.00	0.00	XXX
43250		A	Upper GI endoscopy/tumor	3.20	NA	NA	2.00	1.96	0.52	000
43251		A	Operative upper GI endoscopy	3.69	NA	NA	2.33	2.31	0.56	000
43255		A	Operative upper GI endoscopy	4.81	NA	NA	2.99	2.99	0.71	000
43256		A	Uppr gi endoscopy w/stent	4.34	NA	NA	2.65	2.65	0.68	000
43257		A	Uppr gi scope w/thrml txmnt	5.50	NA	NA	3.47	3.28	0.80	000
43258		A	Operative upper GI endoscopy	4.54	NA	NA	2.82	2.81	0.68	000
43259		A	Endoscopic ultrasound exam	5.19	NA	NA	3.21	3.20	0.75	000

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
4325F		I	Med txmnt options rvwd w/pt	0.00	0.00	0.00	0.00	0.00	0.00	XXX
43260		A	Endo cholangiopancreatograph	5.95	NA	NA	3.62	3.62	0.87	000
43261		A	Endo cholangiopancreatograph	6.26	NA	NA	3.80	3.80	0.91	000
43262		A	Endo cholangiopancreatograph	7.38	NA	NA	4.43	4.43	1.09	000
43263		A	Endo cholangiopancreatograph	7.28	NA	NA	4.30	4.35	1.08	000
43264		A	Endo cholangiopancreatograph	8.89	NA	NA	5.27	5.27	1.31	000
43265		A	Endo cholangiopancreatograph	10.00	NA	NA	5.87	5.88	1.48	000
43267		A	Endo cholangiopancreatograph	7.38	NA	NA	4.37	4.37	1.09	000
43268		A	Endo cholangiopancreatograph	7.38	NA	NA	4.59	4.59	1.09	000
43269		A	Endo cholangiopancreatograph	8.20	NA	NA	4.87	4.88	1.21	000
4326F		I	Pt asked re symp auto dysfxn	0.00	0.00	0.00	0.00	0.00	0.00	XXX
43271		A	Endo cholangiopancreatograph	7.38	NA	NA	4.41	4.42	1.09	000
43272		A	Endo cholangiopancreatograph	7.38	NA	NA	4.45	4.42	1.09	000
43273		A	Endoscopic pancreatoscopy	2.24	NA	NA	1.25	1.29	0.33	ZZZ
43279		A	Lap myotomy heller	22.10	NA	NA	12.07	11.02	4.71	090
43280		A	Laparoscopy fundoplasty	18.10	NA	NA	10.45	9.57	3.85	090
43281		A	Lap paraesophag hern repair	26.60	NA	NA	14.17	14.17	5.66	090
43282		A	Lap paraesoph her rpr w/mesh	30.10	NA	NA	15.69	15.69	6.38	090
43283		A	Lap esoph lengthening	2.95	NA	NA	1.29	1.29	0.60	ZZZ
43289		C	Laparoscope proc esoph	0.00	0.00	0.00	0.00	0.00	0.00	YYY
4328F		I	Pt asked re sleep disturb	0.00	0.00	0.00	0.00	0.00	0.00	XXX
43300		A	Repair of esophagus	9.33	NA	NA	8.60	7.89	1.20	090
43305		A	Repair esophagus and fistula	18.10	NA	NA	13.62	12.49	2.31	090
4330F		I	Cnslng epi spec sfty issues	0.00	0.00	0.00	0.00	0.00	0.00	XXX
43310		A	Repair of esophagus	26.26	NA	NA	12.50	12.84	6.14	090
43312		A	Repair esophagus and fistula	29.25	NA	NA	12.36	13.24	6.86	090
43313		A	Esophagoplasty congenital	48.45	NA	NA	26.13	23.70	11.35	090
43314		A	Tracheo-esophagoplasty cong	53.43	NA	NA	23.26	24.90	6.87	090
43320		A	Fuse esophagus & stomach	23.31	NA	NA	13.42	12.59	4.98	090

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43325		A	Revise esophagus & stomach	22.60	NA	NA	12.42	11.60	4.83	090
43327		A	Esoph fundoplasty lap	13.35	NA	NA	8.16	8.16	2.84	090
43328		A	Esoph fundoplasty thor	19.91	NA	NA	10.88	10.88	4.98	090
43330		A	Esophagomyotomy abdominal	22.19	NA	NA	12.47	11.49	4.78	090
43331		A	Esophagomyotomy thoracic	23.06	NA	NA	12.32	12.42	5.40	090
43332		A	Transab esoph hiat hern rpr	19.62	NA	NA	11.08	11.08	4.18	090
43333		A	Transab esoph hiat hern rpr	21.46	NA	NA	11.86	11.86	4.55	090
43334		A	Transthor diaphrag hern rpr	22.12	NA	NA	11.45	11.45	4.71	090
43335		A	Transthor diaphrag hern rpr	23.97	NA	NA	12.19	12.19	5.08	090
43336		A	Thorabd diaphr hern repair	25.81	NA	NA	13.53	13.53	5.85	090
43337		A	Thorabd diaphr hern repair	27.65	NA	NA	15.41	15.41	6.27	090
43338		A	Esoph lengthening	2.21	NA	NA	1.30	1.30	0.50	ZZZ
43340		A	Fuse esophagus & intestine	22.99	NA	NA	13.28	12.23	4.90	090
43341		A	Fuse esophagus & intestine	24.23	NA	NA	14.88	14.18	5.68	090
43350		A	Surgical opening esophagus	19.49	NA	NA	14.49	12.44	4.15	090
43351		A	Surgical opening esophagus	22.05	NA	NA	12.02	12.24	5.16	090
43352		A	Surgical opening esophagus	17.81	NA	NA	10.21	10.32	4.16	090
43360		A	Gastrointestinal repair	40.11	NA	NA	18.80	19.04	9.40	090
43361		A	Gastrointestinal repair	45.68	NA	NA	20.64	20.93	9.74	090
43400		A	Ligate esophagus veins	25.60	NA	NA	14.42	15.68	3.77	090
43401		A	Esophagus surgery for veins	26.49	NA	NA	12.56	12.39	5.65	090
43405		A	Ligate/staple esophagus	24.73	NA	NA	15.96	14.59	5.28	090
4340F		I	Cnslng chldbrng women epi	0.00	0.00	0.00	0.00	0.00	0.00	XXX
43410		A	Repair esophagus wound	16.41	NA	NA	11.44	10.66	3.84	090
43415		A	Repair esophagus wound	28.91	NA	NA	16.37	15.73	6.60	090
43420		A	Repair esophagus opening	16.78	NA	NA	12.81	11.26	2.15	090
43425		A	Repair esophagus opening	25.04	NA	NA	15.23	14.47	5.35	090
43450		A	Dilate esophagus	1.38	2.90	3.06	1.08	1.08	0.22	000
43453		A	Dilate esophagus	1.51	6.59	7.03	1.15	1.16	0.23	000

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
43456		A	Dilate esophagus	2.57	13.85	14.79	1.73	1.71	0.38	000
43458		A	Dilate esophagus	3.06	7.69	7.98	1.98	1.95	0.45	000
43460		A	Pressure treatment esophagus	3.79	NA	NA	2.44	2.32	0.54	000
43496		C	Free jejunum flap microvasc	0.00	0.00	0.00	0.00	0.00	0.00	090
43499		C	Esophagus surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
43500		A	Surgical opening of stomach	12.79	NA	NA	7.95	7.26	2.71	090
43501		A	Surgical repair of stomach	22.60	NA	NA	12.82	11.61	4.78	090
43502		A	Surgical repair of stomach	25.69	NA	NA	14.41	12.98	5.47	090
43510		A	Surgical opening of stomach	15.14	NA	NA	11.41	10.34	2.22	090
43520		A	Incision of pyloric muscle	11.29	NA	NA	6.84	6.55	2.50	090
43605		A	Biopsy of stomach	13.72	NA	NA	8.68	7.72	2.87	090
43610		A	Excision of stomach lesion	16.34	NA	NA	9.53	8.63	3.46	090
43611		A	Excision of stomach lesion	20.38	NA	NA	11.80	10.72	4.30	090
43620		A	Removal of stomach	34.04	NA	NA	17.64	16.05	7.25	090
43621		A	Removal of stomach	39.53	NA	NA	19.96	17.91	8.41	090
43622		A	Removal of stomach	40.03	NA	NA	20.39	18.21	8.55	090
43631		A	Removal of stomach partial	24.51	NA	NA	13.68	12.42	5.20	090
43632		A	Removal of stomach partial	35.14	NA	NA	18.24	16.04	7.42	090
43633		A	Removal of stomach partial	33.14	NA	NA	17.40	15.39	7.00	090
43634		A	Removal of stomach partial	36.64	NA	NA	19.19	16.97	7.81	090
43635		A	Removal of stomach partial	2.06	NA	NA	0.89	0.81	0.42	ZZZ
43640		A	Vagotomy & pylorus repair	19.56	NA	NA	11.58	10.45	4.12	090
43641		A	Vagotomy & pylorus repair	19.81	NA	NA	11.84	10.58	4.22	090
43644		A	Lap gastric bypass/roux-en-y	29.40	NA	NA	16.20	14.76	6.22	090
43645		A	Lap gastr bypass incl smll i	31.53	NA	NA	17.15	15.59	6.74	090
43647		C	Lap impl electrode antrum	0.00	0.00	0.00	0.00	0.00	0.00	YYY
43648		C	Lap revise/remv eltrd antrum	0.00	0.00	0.00	0.00	0.00	0.00	YYY
43651		A	Laparoscopy vagus nerve	10.13	NA	NA	7.18	6.56	2.16	090
43652		A	Laparoscopy vagus nerve	12.13	NA	NA	8.05	7.34	2.59	090

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43653		A	Laparoscopy gastrostomy	8.48	NA	NA	6.66	6.09	1.81	090
43659		C	Laparoscope proc stom	0.00	0.00	0.00	0.00	0.00	0.00	YYY
43752		A	Nasal/orogastric w/stent	0.81	NA	NA	0.32	0.33	0.08	000
43753		A	Tx gastro intub w/asp	0.45	NA	NA	0.13	0.13	0.03	000
43754		A	Dx gastr intub w/asp spec	0.45	1.84	1.84	0.44	0.44	0.04	000
43755		A	Dx gastr intub w/asp specs	0.94	2.54	2.54	0.68	0.68	0.08	000
43756		A	Dx duod intub w/asp spec	0.77	5.62	5.62	0.71	0.71	0.05	000
43757		A	Dx duod intub w/asp specs	1.26	6.96	6.96	0.87	0.87	0.08	000
43760		A	Change gastrostomy tube	0.90	12.33	10.91	0.42	0.44	0.14	000
43761		A	Reposition gastrostomy tube	2.01	1.22	1.30	0.83	0.88	0.24	000
43770		A	Lap place gastr adj device	18.00	NA	NA	11.56	10.55	3.81	090
43771		A	Lap revise gastr adj device	20.79	NA	NA	12.81	11.64	4.44	090
43772		A	Lap rmvl gastr adj device	15.70	NA	NA	9.52	8.71	3.36	090
43773		A	Lap replace gastr adj device	20.79	NA	NA	12.79	11.64	4.44	090
43774		A	Lap rmvl gastr adj all parts	15.76	NA	NA	9.53	8.74	3.36	090
43775		N	Lap sleeve gastrectomy	21.56	NA	NA	12.14	12.14	4.60	XXX
43800		A	Reconstruction of pylorus	15.43	NA	NA	9.02	8.24	3.31	090
43810		A	Fusion of stomach and bowel	16.88	NA	NA	9.89	8.90	3.59	090
43820		A	Fusion of stomach and bowel	22.53	NA	NA	12.80	11.32	4.76	090
43825		A	Fusion of stomach and bowel	21.76	NA	NA	12.73	11.42	4.64	090
43830		A	Place gastrostomy tube	10.85	NA	NA	7.72	7.08	2.23	090
43831		A	Place gastrostomy tube	8.49	NA	NA	7.32	6.74	1.81	090
43832		A	Place gastrostomy tube	17.34	NA	NA	10.42	9.68	3.58	090
43840		A	Repair of stomach lesion	22.83	NA	NA	12.96	11.48	4.82	090
43842		N	V-band gastroplasty	21.03	NA	NA	11.68	11.27	1.50	090
43843		A	Gastroplasty w/o v-band	21.21	NA	NA	12.50	11.19	4.52	090
43845		A	Gastroplasty duodenal switch	33.30	NA	NA	18.39	16.44	7.06	090
43846		A	Gastric bypass for obesity	27.41	NA	NA	15.59	14.13	5.80	090
43847		A	Gastric bypass incl small i	30.28	NA	NA	17.13	15.24	6.45	090

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43848		A	Revision gastroplasty	32.75	NA	NA	18.11	16.35	6.94	090
43850		A	Revise stomach-bowel fusion	27.58	NA	NA	15.24	13.61	5.88	090
43855		A	Revise stomach-bowel fusion	28.69	NA	NA	15.72	14.18	6.11	090
43860		A	Revise stomach-bowel fusion	27.89	NA	NA	15.10	13.70	5.88	090
43865		A	Revise stomach-bowel fusion	29.05	NA	NA	15.88	14.29	6.19	090
43870		A	Repair stomach opening	11.44	NA	NA	7.44	6.76	2.35	090
43880		A	Repair stomach-bowel fistula	27.18	NA	NA	14.85	13.47	5.70	090
43881		C	Impl/redo electrd antrum	0.00	0.00	0.00	0.00	0.00	0.00	YYY
43882		C	Revise/remove electrd antrum	0.00	0.00	0.00	0.00	0.00	0.00	YYY
43886		A	Revise gastric port open	4.64	NA	NA	5.06	4.63	0.99	090
43887		A	Remove gastric port open	4.32	NA	NA	4.42	4.08	0.91	090
43888		A	Change gastric port open	6.44	NA	NA	5.82	5.33	1.37	090
43999		C	Stomach surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
44005		A	Freeing of bowel adhesion	18.46	NA	NA	10.41	9.44	3.84	090
4400F		I	Rehab thxpy options w/pt	0.00	0.00	0.00	0.00	0.00	0.00	XXX
44010		A	Incision of small bowel	14.26	NA	NA	8.62	7.83	3.01	090
44015		A	Insert needle cath bowel	2.62	NA	NA	1.10	1.03	0.56	ZZZ
44020		A	Explore small intestine	16.22	NA	NA	9.48	8.56	3.40	090
44021		A	Decompress small bowel	16.31	NA	NA	9.59	8.73	3.44	090
44025		A	Incision of large bowel	16.51	NA	NA	9.61	8.69	3.43	090
44050		A	Reduce bowel obstruction	15.52	NA	NA	9.17	8.32	3.24	090
44055		A	Correct malrotation of bowel	25.63	NA	NA	13.70	12.35	5.42	090
44100		A	Biopsy of bowel	2.01	NA	NA	1.09	1.10	0.31	000
44110		A	Excise intestine lesion(s)	14.04	NA	NA	8.43	7.65	2.90	090
44111		A	Excision of bowel lesion(s)	16.52	NA	NA	9.49	8.61	3.44	090
44120		A	Removal of small intestine	20.82	NA	NA	11.45	10.30	4.33	090
44121		A	Removal of small intestine	4.44	NA	NA	1.93	1.76	0.90	ZZZ
44125		A	Removal of small intestine	20.03	NA	NA	11.26	10.16	4.07	090
44126		A	Enterectomy w/o taper cong	42.23	NA	NA	22.58	20.11	9.00	090

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44127		A	Enterectomy w/taper cong	49.30	NA	NA	25.57	22.82	10.51	090
44128		A	Enterectomy cong add-on	4.44	NA	NA	1.94	1.77	0.93	ZZZ
44130		A	Bowel to bowel fusion	22.11	NA	NA	12.63	11.14	4.56	090
44132		R	Enterectomy cadaver donor	0.00	0.00	0.00	0.00	0.00	0.00	XXX
44133		R	Enterectomy live donor	0.00	0.00	0.00	0.00	0.00	0.00	XXX
44135		R	Intestine transplnt cadaver	0.00	0.00	0.00	0.00	0.00	0.00	XXX
44136		R	Intestine transplant live	0.00	0.00	0.00	0.00	0.00	0.00	XXX
44137		C	Remove intestinal allograft	0.00	0.00	0.00	0.00	0.00	0.00	XXX
44139		A	Mobilization of colon	2.23	NA	NA	0.97	0.88	0.44	ZZZ
44140		A	Partial removal of colon	22.59	NA	NA	12.90	11.70	4.64	090
44141		A	Partial removal of colon	29.91	NA	NA	18.49	16.49	6.18	090
44143		A	Partial removal of colon	27.79	NA	NA	16.31	14.78	5.74	090
44144		A	Partial removal of colon	29.91	NA	NA	16.95	15.11	6.18	090
44145		A	Partial removal of colon	28.58	NA	NA	15.52	14.05	5.66	090
44146		A	Partial removal of colon	35.30	NA	NA	21.25	19.06	6.87	090
44147		A	Partial removal of colon	33.69	NA	NA	17.79	15.52	6.78	090
44150		A	Removal of colon	30.18	NA	NA	19.66	17.72	6.12	090
44151		A	Removal of colon/ileostomy	34.92	NA	NA	21.72	19.60	7.44	090
44155		A	Removal of colon/ileostomy	34.42	NA	NA	21.46	19.23	6.46	090
44156		A	Removal of colon/ileostomy	37.42	NA	NA	23.65	21.26	7.99	090
44157		A	Colectomy w/ileoanal anast	35.70	NA	NA	21.82	19.72	7.62	090
44158		A	Colectomy w/neo-rectum pouch	36.70	NA	NA	22.10	19.99	7.83	090
44160		A	Removal of colon	20.89	NA	NA	12.04	10.86	4.26	090
44180		A	Lap enterolysis	15.27	NA	NA	9.06	8.29	3.17	090
44186		A	Lap jejunostomy	10.38	NA	NA	6.85	6.36	2.22	090
44187		A	Lap ileo/jejuno-stomy	17.40	NA	NA	12.30	11.22	3.31	090
44188		A	Lap colostomy	19.35	NA	NA	13.43	12.24	3.84	090
44202		A	Lap enterectomy	23.39	NA	NA	13.29	12.05	4.85	090
44203		A	Lap resect s/intestine addl	4.44	NA	NA	1.92	1.74	0.93	ZZZ

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
44204		A	Laparo partial colectomy	26.42	NA	NA	14.56	13.14	5.23	090
44205		A	Lap colectomy part w/ileum	22.95	NA	NA	12.78	11.55	4.50	090
44206		A	Lap part colectomy w/stoma	29.79	NA	NA	16.87	15.24	6.06	090
44207		A	L colectomy/coloproctostomy	31.92	NA	NA	16.87	15.15	6.18	090
44208		A	L colectomy/coloproctostomy	33.99	NA	NA	19.36	17.48	6.40	090
44210		A	Laparo total proctocolectomy	30.09	NA	NA	17.97	16.22	5.81	090
44211		A	Lap colectomy w/proctectomy	37.08	NA	NA	22.48	20.02	7.92	090
44212		A	Laparo total proctocolectomy	34.58	NA	NA	20.80	18.82	6.37	090
44213		A	Lap mobil splenic fl add-on	3.50	NA	NA	1.53	1.38	0.67	ZZZ
44227		A	Lap close enterostomy	28.62	NA	NA	15.66	14.15	5.84	090
44238		C	Laparoscope proc intestine	0.00	0.00	0.00	0.00	0.00	0.00	YYY
44300		A	Open bowel to skin	13.75	NA	NA	8.52	7.78	2.91	090
44310		A	Ileostomy/jejunostomy	17.59	NA	NA	10.21	9.24	3.46	090
44312		A	Revision of ileostomy	9.43	NA	NA	6.47	6.07	1.71	090
44314		A	Revision of ileostomy	16.74	NA	NA	10.23	9.40	3.16	090
44316		A	Devise bowel pouch	23.59	NA	NA	13.62	12.23	5.04	090
44320		A	Colostomy	19.91	NA	NA	11.97	10.83	4.07	090
44322		A	Colostomy with biopsies	13.32	NA	NA	13.44	12.29	2.84	090
44340		A	Revision of colostomy	9.28	NA	NA	7.39	6.69	1.88	090
44345		A	Revision of colostomy	17.22	NA	NA	10.85	9.82	3.44	090
44346		A	Revision of colostomy	19.63	NA	NA	11.92	10.72	3.88	090
44360		A	Small bowel endoscopy	2.59	NA	NA	1.76	1.77	0.38	000
44361		A	Small bowel endoscopy/biopsy	2.87	NA	NA	1.92	1.93	0.41	000
44363		A	Small bowel endoscopy	3.49	NA	NA	2.25	2.20	0.52	000
44364		A	Small bowel endoscopy	3.73	NA	NA	2.39	2.38	0.54	000
44365		A	Small bowel endoscopy	3.31	NA	NA	2.15	2.14	0.49	000
44366		A	Small bowel endoscopy	4.40	NA	NA	2.78	2.79	0.64	000
44369		A	Small bowel endoscopy	4.51	NA	NA	2.84	2.84	0.65	000
44370		A	Small bowel endoscopy/stent	4.79	NA	NA	3.19	3.16	0.69	000

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44372		A	Small bowel endoscopy	4.40	NA	NA	2.64	2.59	0.69	000
44373		A	Small bowel endoscopy	3.49	NA	NA	2.16	2.16	0.53	000
44376		A	Small bowel endoscopy	5.25	NA	NA	3.11	3.08	0.82	000
44377		A	Small bowel endoscopy/biopsy	5.52	NA	NA	3.34	3.33	0.82	000
44378		A	Small bowel endoscopy	7.12	NA	NA	4.28	4.25	1.05	000
44379		A	S bowel endoscope w/stent	7.46	NA	NA	4.69	4.61	1.09	000
44380		A	Small bowel endoscopy	1.05	NA	NA	0.84	0.86	0.14	000
44382		A	Small bowel endoscopy	1.27	NA	NA	1.01	1.02	0.20	000
44383		A	Ileoscopy w/stent	2.94	NA	NA	1.62	1.79	0.33	000
44385		A	Endoscopy of bowel pouch	1.82	5.38	5.39	1.11	1.08	0.26	000
44386		A	Endoscopy bowel pouch/biop	2.12	7.67	7.85	1.39	1.32	0.33	000
44388		A	Colonoscopy	2.82	6.98	7.03	1.76	1.70	0.45	000
44389		A	Colonoscopy with biopsy	3.13	7.93	8.18	1.97	1.93	0.49	000
44390		A	Colonoscopy for foreign body	3.82	9.20	9.34	2.45	2.32	0.56	000
44391		A	Colonoscopy for bleeding	4.31	9.56	10.07	2.63	2.59	0.65	000
44392		A	Colonoscopy & polypectomy	3.81	8.42	8.50	2.21	2.14	0.63	000
44393		A	Colonoscopy lesion removal	4.83	9.33	9.39	2.86	2.76	0.76	000
44394		A	Colonoscopy w/snare	4.42	9.53	9.82	2.63	2.58	0.69	000
44397		A	Colonoscopy w/stent	4.70	NA	NA	2.95	2.89	0.68	000
44500		A	Intro gastrointestinal tube	0.49	NA	NA	0.19	0.21	0.04	000
44602		A	Suture small intestine	24.72	NA	NA	12.46	10.91	5.10	090
44603		A	Suture small intestine	28.16	NA	NA	14.61	12.80	5.76	090
44604		A	Suture large intestine	18.16	NA	NA	9.77	8.83	3.72	090
44605		A	Repair of bowel lesion	22.08	NA	NA	12.55	11.35	4.56	090
44615		A	Intestinal stricturoplasty	18.16	NA	NA	10.37	9.40	3.73	090
44620		A	Repair bowel opening	14.43	NA	NA	8.72	7.84	2.83	090
44625		A	Repair bowel opening	17.28	NA	NA	9.96	8.94	3.36	090
44626		A	Repair bowel opening	27.90	NA	NA	14.58	13.16	5.70	090
44640		A	Repair bowel-skin fistula	24.20	NA	NA	12.98	11.74	4.89	090

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44650		A	Repair bowel fistula	25.12	NA	NA	13.24	12.09	5.06	090
44660		A	Repair bowel-bladder fistula	23.91	NA	NA	12.16	11.94	3.85	090
44661		A	Repair bowel-bladder fistula	27.35	NA	NA	13.99	13.05	5.10	090
44680		A	Surgical revision intestine	17.96	NA	NA	10.27	9.30	3.84	090
44700		A	Suspend bowel w/prosthesis	17.48	NA	NA	9.99	9.01	3.04	090
44701		A	Intraop colon lavage add-on	3.10	NA	NA	1.34	1.21	0.59	ZZZ
44715		C	Prepare donor intestine	0.00	0.00	0.00	0.00	0.00	0.00	XXX
44720		A	Prep donor intestine/venous	5.00	NA	NA	2.19	2.13	0.35	XXX
44721		A	Prep donor intestine/artery	7.00	NA	NA	3.08	2.83	1.50	XXX
44799		C	Unlisted procedure intestine	0.00	0.00	0.00	0.00	0.00	0.00	YYY
44800		A	Excision of bowel pouch	12.05	NA	NA	8.25	7.57	2.44	090
44820		A	Excision of mesentery lesion	13.73	NA	NA	8.50	7.79	2.83	090
44850		A	Repair of mesentery	12.11	NA	NA	7.77	7.01	2.52	090
44899		C	Bowel surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
44900		A	Drain app abscess open	12.57	NA	NA	7.91	7.12	2.64	090
44901		A	Drain app abscess percut	3.37	21.52	24.16	1.26	1.39	0.35	000
44950		A	Appendectomy	10.60	NA	NA	6.35	5.79	2.23	090
44955		A	Appendectomy add-on	1.53	NA	NA	0.68	0.62	0.31	ZZZ
44960		A	Appendectomy	14.50	NA	NA	8.55	7.72	3.08	090
44970		A	Laparoscopy appendectomy	9.45	NA	NA	6.45	5.86	1.97	090
44979		C	Laparoscope proc app	0.00	0.00	0.00	0.00	0.00	0.00	YYY
45000		A	Drainage of pelvic abscess	6.30	NA	NA	5.15	4.74	1.09	090
45005		A	Drainage of rectal abscess	2.02	5.32	5.09	2.26	2.10	0.38	010
45020		A	Drainage of rectal abscess	8.56	NA	NA	6.70	6.02	1.62	090
45100		A	Biopsy of rectum	4.04	NA	NA	4.08	3.73	0.72	090
45108		A	Removal of anorectal lesion	5.12	NA	NA	4.71	4.25	1.09	090
45110		A	Removal of rectum	30.76	NA	NA	18.91	17.08	5.83	090
45111		A	Partial removal of rectum	18.01	NA	NA	11.11	10.03	3.55	090
45112		A	Removal of rectum	33.18	NA	NA	17.44	15.62	6.08	090

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45113		A	Partial proctectomy	33.22	NA	NA	19.00	17.09	7.08	090
45114		A	Partial removal of rectum	30.79	NA	NA	16.99	15.10	6.57	090
45116		A	Partial removal of rectum	27.72	NA	NA	15.64	13.79	3.96	090
45119		A	Remove rectum w/reservoir	33.48	NA	NA	19.32	17.19	5.87	090
45120		A	Removal of rectum	26.40	NA	NA	15.48	13.92	5.63	090
45121		A	Removal of rectum and colon	29.08	NA	NA	16.65	14.93	6.19	090
45123		A	Partial proctectomy	18.86	NA	NA	11.51	10.17	3.17	090
45126		A	Pelvic exenteration	49.10	NA	NA	26.74	24.98	10.47	090
45130		A	Excision of rectal prolapse	18.50	NA	NA	11.08	9.81	3.13	090
45135		A	Excision of rectal prolapse	22.36	NA	NA	13.96	12.36	4.76	090
45136		A	Excise ileoanal reservior	30.82	NA	NA	19.06	17.19	4.41	090
45150		A	Excision of rectal stricture	5.85	NA	NA	5.16	4.74	0.83	090
45160		A	Excision of rectal lesion	16.33	NA	NA	10.31	9.37	3.48	090
45171		A	Exc rect tum transanal part	8.13	NA	NA	8.07	8.07	1.51	090
45172		A	Exc rect tum transanal full	12.13	NA	NA	9.81	9.81	2.23	090
45190		A	Destruction rectal tumor	10.42	NA	NA	8.27	7.45	1.86	090
45300		A	Proctosigmoidoscopy dx	0.80	2.59	2.43	0.68	0.60	0.12	000
45303		A	Proctosigmoidoscopy dilate	1.50	24.75	24.21	1.00	0.86	0.26	000
45305		A	Proctosigmoidoscopy w/bx	1.25	4.09	3.90	0.90	0.82	0.23	000
45307		A	Proctosigmoidoscopy fb	1.70	4.25	4.01	1.07	0.93	0.33	000
45308		A	Proctosigmoidoscopy removal	1.40	4.37	4.02	0.98	0.85	0.26	000
45309		A	Proctosigmoidoscopy removal	1.50	4.46	4.29	1.03	0.97	0.27	000
45315		A	Proctosigmoidoscopy removal	1.80	4.86	4.57	1.14	1.07	0.33	000
45317		A	Proctosigmoidoscopy bleed	2.00	4.45	4.13	1.23	1.08	0.33	000
45320		A	Proctosigmoidoscopy ablate	1.78	4.27	4.19	1.15	1.08	0.31	000
45321		A	Proctosigmoidoscopy volvul	1.75	NA	NA	1.17	1.07	0.33	000
45327		A	Proctosigmoidoscopy w/stent	2.00	NA	NA	1.45	1.32	0.42	000
45330		A	Diagnostic sigmoidoscopy	0.96	2.94	2.96	0.80	0.77	0.14	000
45331		A	Sigmoidoscopy and biopsy	1.15	3.58	3.74	0.94	0.93	0.18	000

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45332		A	Sigmoidoscopy w/fb removal	1.79	6.25	6.38	1.27	1.23	0.29	000
45333		A	Sigmoidoscopy & polypectomy	1.79	6.37	6.48	1.24	1.21	0.29	000
45334		A	Sigmoidoscopy for bleeding	2.73	NA	NA	1.81	1.80	0.39	000
45335		A	Sigmoidoscopy w/submuc inj	1.46	5.82	5.78	1.09	1.07	0.23	000
45337		A	Sigmoidoscopy & decompress	2.36	NA	NA	1.56	1.52	0.38	000
45338		A	Sigmoidoscopy w/tumr remove	2.34	6.47	6.68	1.57	1.55	0.35	000
45339		A	Sigmoidoscopy w/ablate tumr	3.14	6.29	6.26	2.00	1.98	0.48	000
45340		A	Sig w/balloon dilation	1.89	11.34	11.24	1.31	1.28	0.30	000
45341		A	Sigmoidoscopy w/ultrasound	2.60	NA	NA	1.74	1.73	0.38	000
45342		A	Sigmoidoscopy w/us guide bx	4.05	NA	NA	2.57	2.55	0.60	000
45345		A	Sigmoidoscopy w/stent	2.92	NA	NA	1.90	1.88	0.44	000
45355		A	Surgical colonoscopy	3.51	NA	NA	2.10	1.99	0.59	000
45378		A	Diagnostic colonoscopy	3.69	7.16	7.41	2.28	2.23	0.59	000
45378	53	A	Diagnostic colonoscopy	0.96	2.94	2.96	0.80	0.77	0.14	000
45379		A	Colonoscopy w/fb removal	4.68	9.27	9.50	2.83	2.75	0.72	000
45380		A	Colonoscopy and biopsy	4.43	8.52	8.87	2.73	2.70	0.67	000
45381		A	Colonoscopy submucous inj	4.19	8.39	8.77	2.60	2.58	0.63	000
45382		A	Colonoscopy/control bleeding	5.68	11.17	11.77	3.45	3.43	0.84	000
45383		A	Lesion removal colonoscopy	5.86	9.80	10.00	3.39	3.30	0.91	000
45384		A	Lesion remove colonoscopy	4.69	8.20	8.40	2.78	2.71	0.73	000
45385		A	Lesion removal colonoscopy	5.30	9.28	9.63	3.19	3.15	0.80	000
45386		A	Colonoscopy dilate stricture	4.57	13.61	14.24	2.75	2.69	0.72	000
45387		A	Colonoscopy w/stent	5.90	NA	NA	3.64	3.59	0.88	000
45391		A	Colonoscopy w/endoscope us	5.09	NA	NA	3.10	3.08	0.73	000
45392		A	Colonoscopy w/endoscopic fnb	6.54	NA	NA	3.90	3.87	1.02	000
45395		A	Lap removal of rectum	33.00	NA	NA	20.69	18.74	5.99	090
45397		A	Lap remove rectum w/pouch	36.50	NA	NA	22.05	19.75	6.02	090
45400		A	Laparoscopic proc	19.44	NA	NA	11.56	10.41	3.57	090
45402		A	Lap proctopexy w/sig resect	26.51	NA	NA	14.65	13.13	4.82	090

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45499		C	Laparoscope proc rectum	0.00	0.00	0.00	0.00	0.00	0.00	YYY
45500		A	Repair of rectum	7.73	NA	NA	6.63	5.95	1.21	090
45505		A	Repair of rectum	8.36	NA	NA	7.64	6.81	1.51	090
45520		A	Treatment of rectal prolapse	0.55	3.76	3.43	0.57	0.52	0.07	000
45540		A	Correct rectal prolapse	18.12	NA	NA	10.44	9.38	3.12	090
45541		A	Correct rectal prolapse	14.85	NA	NA	10.32	9.23	2.59	090
45550		A	Repair rectum/remove sigmoid	24.80	NA	NA	14.68	13.10	4.48	090
45560		A	Repair of rectocele	11.50	NA	NA	7.56	7.18	1.80	090
45562		A	Exploration/repair of rectum	17.98	NA	NA	11.89	10.93	3.38	090
45563		A	Exploration/repair of rectum	26.38	NA	NA	17.07	15.31	5.63	090
45800		A	Repair rect/bladder fistula	20.31	NA	NA	11.96	11.54	3.39	090
45805		A	Repair fistula w/colostomy	23.32	NA	NA	15.23	13.70	4.98	090
45820		A	Repair rectourethral fistula	20.37	NA	NA	12.49	11.76	1.96	090
45825		A	Repair fistula w/colostomy	24.17	NA	NA	15.56	14.47	3.46	090
45900		A	Reduction of rectal prolapse	2.99	NA	NA	2.44	2.23	0.56	010
45905		A	Dilation of anal sphincter	2.35	NA	NA	2.23	2.09	0.41	010
45910		A	Dilation of rectal narrowing	2.85	NA	NA	2.46	2.34	0.48	010
45915		A	Remove rectal obstruction	3.19	5.73	5.46	2.94	2.73	0.52	010
45990		A	Surg dx exam anorectal	1.80	NA	NA	1.10	1.02	0.31	000
45999		C	Rectum surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
46020		A	Placement of seton	3.00	4.53	4.09	3.37	3.05	0.54	010
46030		A	Removal of rectal marker	1.26	2.56	2.35	1.19	1.09	0.23	010
46040		A	Incision of rectal abscess	5.37	9.01	8.36	5.73	5.28	1.05	090
46045		A	Incision of rectal abscess	5.87	NA	NA	5.73	5.16	1.17	090
46050		A	Incision of anal abscess	1.24	4.24	3.96	1.40	1.28	0.24	010
46060		A	Incision of rectal abscess	6.37	NA	NA	6.46	5.81	1.18	090
46070		A	Incision of anal septum	2.79	NA	NA	3.83	3.50	0.20	090
46080		A	Incision of anal sphincter	2.52	4.22	3.89	1.75	1.58	0.49	010
46083		A	Incise external hemorrhoid	1.45	3.33	3.33	1.45	1.38	0.24	010

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46200		A	Removal of anal fissure	3.59	8.50	7.73	5.25	4.79	0.63	090
46220		A	Excise anal ext tag/papilla	1.61	4.05	3.76	1.62	1.47	0.30	010
46221		A	Ligation of hemorrhoid(s)	2.36	5.00	4.62	2.84	2.62	0.41	010
46230		A	Removal of anal tags	2.62	4.83	4.51	2.04	1.86	0.48	010
46250		A	Remove ext hem groups 2+	4.25	8.26	7.71	4.20	3.84	0.82	090
46255		A	Remove int/ext hem 1 group	4.96	8.65	8.15	4.50	4.13	0.95	090
46257		A	Remove in/ex hem grp & fiss	5.76	NA	NA	5.62	5.05	1.08	090
46258		A	Remove in/ex hem grp w/fistu	6.41	NA	NA	6.10	5.46	1.37	090
46260		A	Remove in/ex hem groups 2+	6.73	NA	NA	6.02	5.42	1.27	090
46261		A	Remove in/ex hem grps & fiss	7.76	NA	NA	6.51	5.84	1.39	090
46262		A	Remove in/ex hem grps w/fist	7.91	NA	NA	7.02	6.31	1.44	090
46270		A	Remove anal fist subq	4.92	8.78	8.09	5.62	5.07	0.98	090
46275		A	Remove anal fist inter	5.42	9.14	8.34	5.77	5.20	0.98	090
46280		A	Remove anal fist complex	6.39	NA	NA	6.29	5.65	1.13	090
46285		A	Remove anal fist 2 stage	5.42	9.09	8.15	5.81	5.18	0.91	090
46288		A	Repair anal fistula	7.81	NA	NA	7.04	6.31	1.33	090
46320		A	Removal of hemorrhoid clot	1.64	3.35	3.11	1.35	1.23	0.30	010
46500		A	Injection into hemorrhoid(s)	1.69	4.82	4.39	1.84	1.68	0.29	010
46505		A	Chemodenervation anal musc	3.18	4.61	4.30	3.33	3.04	0.59	010
46600		A	Diagnostic anoscopy	0.55	1.87	1.80	0.57	0.51	0.08	000
46604		A	Anoscopy and dilation	1.03	15.94	14.96	0.78	0.72	0.16	000
46606		A	Anoscopy and biopsy	1.20	4.95	4.84	0.87	0.78	0.23	000
46608		A	Anoscopy remove for body	1.30	5.11	4.93	0.86	0.80	0.24	000
46610		A	Anoscopy remove lesion	1.28	4.97	4.84	0.91	0.83	0.24	000
46611		A	Anoscopy	1.30	3.57	3.44	0.93	0.86	0.23	000
46612		A	Anoscopy remove lesions	1.50	5.79	5.70	1.01	0.97	0.31	000
46614		A	Anoscopy control bleeding	1.00	2.50	2.49	0.78	0.76	0.14	000
46615		A	Anoscopy	1.50	2.40	2.37	1.01	0.98	0.29	000
46700		A	Repair of anal stricture	9.81	NA	NA	7.97	7.08	1.63	090

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46705		A	Repair of anal stricture	7.43	NA	NA	6.23	6.02	0.52	090
46706		A	Repr of anal fistula w/glue	2.44	NA	NA	2.09	1.95	0.42	010
46707		A	Repair anorectal fist w/plug	6.39	NA	NA	6.53	6.53	0.90	090
46710		A	Repr per/vag pouch sngl proc	17.14	NA	NA	11.60	10.82	3.67	090
46712		A	Repr per/vag pouch dbl proc	36.45	NA	NA	22.22	20.01	2.59	090
46715		A	Rep perf anoper fistu	7.62	NA	NA	6.38	5.87	0.53	090
46716		A	Rep perf anoper/vestib fistu	17.54	NA	NA	13.81	14.52	1.24	090
46730		A	Construction of absent anus	30.65	NA	NA	19.26	18.51	2.18	090
46735		A	Construction of absent anus	36.14	NA	NA	21.68	20.81	2.57	090
46740		A	Construction of absent anus	33.90	NA	NA	22.61	20.30	7.23	090
46742		A	Repair of imperforated anus	40.14	NA	NA	25.24	23.01	8.56	090
46744		A	Repair of cloacal anomaly	58.94	NA	NA	35.41	30.61	8.42	090
46746		A	Repair of cloacal anomaly	65.44	NA	NA	34.72	33.71	4.64	090
46748		A	Repair of cloacal anomaly	71.42	NA	NA	33.53	33.79	5.08	090
46750		A	Repair of anal sphincter	12.15	NA	NA	8.65	7.88	1.97	090
46751		A	Repair of anal sphincter	9.30	NA	NA	6.98	6.92	1.57	090
46753		A	Reconstruction of anus	8.89	NA	NA	7.01	6.31	1.51	090
46754		A	Removal of suture from anus	3.01	5.22	4.89	3.41	3.03	0.42	010
46760		A	Repair of anal sphincter	17.45	NA	NA	12.55	11.17	2.48	090
46761		A	Repair of anal sphincter	15.29	NA	NA	10.20	9.14	2.42	090
46762		A	Implant artificial sphincter	14.82	NA	NA	10.49	9.47	2.12	090
46900		A	Destruction anal lesion(s)	1.91	4.76	4.48	1.90	1.77	0.30	010
46910		A	Destruction anal lesion(s)	1.91	4.91	4.70	1.71	1.60	0.34	010
46916		A	Cryosurgery anal lesion(s)	1.91	4.57	4.53	2.16	2.05	0.27	010
46917		A	Laser surgery anal lesions	1.91	10.97	10.89	1.74	1.62	0.33	010
46922		A	Excision of anal lesion(s)	1.91	5.39	5.08	1.75	1.60	0.35	010
46924		A	Destruction anal lesion(s)	2.81	12.05	11.74	2.25	2.06	0.45	010
46930		A	Destroy internal hemorrhoids	1.61	3.94	4.14	2.41	2.49	0.26	090
46940		A	Treatment of anal fissure	2.35	3.95	3.59	1.65	1.49	0.37	010

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46942		A	Treatment of anal fissure	2.07	3.85	3.50	1.53	1.37	0.33	010
46945		A	Remove by ligat int hem grp	2.21	6.17	5.76	3.93	3.71	0.38	090
46946		A	Remove by ligat int hem grps	2.63	5.87	5.62	3.49	3.33	0.44	090
46947		A	Hemorrhoidopexy by stapling	5.57	NA	NA	4.68	4.23	1.09	090
46999		C	Anus surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
47000		A	Needle biopsy of liver	1.90	8.21	8.09	0.74	0.82	0.20	000
47001		A	Needle biopsy liver add-on	1.90	NA	NA	0.82	0.75	0.38	ZZZ
47010		A	Open drainage liver lesion	19.40	NA	NA	12.20	11.37	3.96	090
47011		A	Percut drain liver lesion	3.69	NA	NA	1.36	1.54	0.35	000
47015		A	Inject/aspirate liver cyst	18.50	NA	NA	12.18	10.99	3.93	090
47100		A	Wedge biopsy of liver	12.91	NA	NA	9.48	8.68	2.69	090
47120		A	Partial removal of liver	39.01	NA	NA	22.19	20.25	8.26	090
47122		A	Extensive removal of liver	59.48	NA	NA	30.33	27.67	12.64	090
47125		A	Partial removal of liver	53.04	NA	NA	27.51	25.11	11.20	090
47130		A	Partial removal of liver	57.19	NA	NA	29.19	26.69	12.04	090
47133		X	Removal of donor liver	0.00	0.00	0.00	0.00	0.00	0.00	XXX
47135		R	Transplantation of liver	83.64	NA	NA	44.92	40.82	17.71	090
47136		R	Transplantation of liver	70.74	NA	NA	37.63	34.89	15.09	090
47140		A	Partial removal donor liver	59.40	NA	NA	34.48	31.14	12.67	090
47141		A	Partial removal donor liver	71.50	NA	NA	38.52	35.69	5.08	090
47142		A	Partial removal donor liver	79.44	NA	NA	44.11	39.90	16.93	090
47143		C	Prep donor liver whole	0.00	0.00	0.00	0.00	0.00	0.00	XXX
47144		C	Prep donor liver 3-segment	0.00	0.00	0.00	0.00	0.00	0.00	090
47145		C	Prep donor liver lobe split	0.00	0.00	0.00	0.00	0.00	0.00	XXX
47146		A	Prep donor liver/venous	6.00	NA	NA	2.62	2.39	1.28	XXX
47147		A	Prep donor liver/arterial	7.00	NA	NA	3.06	2.78	1.48	XXX
47300		A	Surgery for liver lesion	18.14	NA	NA	11.87	10.72	3.84	090
47350		A	Repair liver wound	22.49	NA	NA	13.73	12.51	4.70	090
47360		A	Repair liver wound	31.31	NA	NA	18.07	16.31	6.67	090

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47361		A	Repair liver wound	52.60	NA	NA	26.75	24.44	10.70	090
47362		A	Repair liver wound	23.54	NA	NA	14.67	13.15	4.94	090
47370		A	Laparo ablate liver tumor rf	20.80	NA	NA	11.94	10.92	4.22	090
47371		A	Laparo ablate liver cryosurg	20.80	NA	NA	12.26	11.40	4.44	090
47379		C	Laparoscope procedure liver	0.00	0.00	0.00	0.00	0.00	0.00	YYY
47380		A	Open ablate liver tumor rf	24.56	NA	NA	13.39	12.36	4.95	090
47381		A	Open ablate liver tumor cryo	24.88	NA	NA	11.37	11.58	5.31	090
47382		A	Percut ablate liver rf	15.22	120.21	120.21	6.22	7.18	1.48	010
47399		C	Liver surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
47400		A	Incision of liver duct	36.36	NA	NA	20.28	18.35	7.74	090
47420		A	Incision of bile duct	22.03	NA	NA	13.35	12.15	4.67	090
47425		A	Incision of bile duct	22.31	NA	NA	13.70	12.36	4.76	090
47460		A	Incise bile duct sphincter	20.52	NA	NA	12.92	12.11	4.37	090
47480		A	Incision of gallbladder	13.25	NA	NA	9.91	9.04	2.75	090
47490		A	Incision of gallbladder	4.76	NA	NA	4.32	5.58	0.44	010
47500		A	Injection for liver x-rays	1.96	NA	NA	0.71	0.82	0.20	000
47505		A	Injection for liver x-rays	0.76	NA	NA	0.28	0.32	0.07	000
47510		A	Insert catheter bile duct	8.03	NA	NA	4.88	5.47	0.80	090
47511		A	Insert bile duct drain	10.77	NA	NA	5.17	5.89	1.02	090
47525		A	Change bile duct catheter	1.54	12.37	13.53	0.81	1.23	0.14	000
47530		A	Revise/reinsert bile tube	6.05	33.67	36.14	3.66	4.10	0.61	090
47550		A	Bile duct endoscopy add-on	3.02	NA	NA	1.32	1.21	0.63	ZZZ
47552		A	Biliary endoscopy thru skin	6.03	NA	NA	2.60	2.94	0.63	000
47553		A	Biliary endoscopy thru skin	6.34	NA	NA	2.34	2.63	0.63	000
47554		A	Biliary endoscopy thru skin	9.05	NA	NA	4.07	4.16	1.51	000
47555		A	Biliary endoscopy thru skin	7.55	NA	NA	2.72	3.15	0.71	000
47556		A	Biliary endoscopy thru skin	8.55	NA	NA	3.11	3.57	0.80	000
47560		A	Laparoscopy w/cholangio	4.88	NA	NA	2.13	1.96	1.05	000
47561		A	Laparo w/cholangio/biopsy	5.17	NA	NA	2.55	2.34	1.10	000

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47562		A	Laparoscopic cholecystectomy	11.76	NA	NA	8.09	7.33	2.48	090
47563		A	Laparo cholecystectomy/graph	12.11	NA	NA	7.87	7.18	2.57	090
47564		A	Laparo cholecystectomy/explr	14.24	NA	NA	8.50	7.78	3.04	090
47570		A	Laparo cholecystoenterostomy	12.56	NA	NA	7.87	7.18	2.67	090
47579		C	Laparoscope proc biliary	0.00	0.00	0.00	0.00	0.00	0.00	YYY
47600		A	Removal of gallbladder	17.48	NA	NA	11.16	9.99	3.70	090
47605		A	Removal of gallbladder	15.98	NA	NA	9.93	9.03	3.40	090
47610		A	Removal of gallbladder	20.92	NA	NA	12.09	10.97	4.45	090
47612		A	Removal of gallbladder	21.21	NA	NA	12.16	11.03	4.50	090
47620		A	Removal of gallbladder	23.07	NA	NA	13.19	11.91	4.94	090
47630		A	Remove bile duct stone	9.65	NA	NA	5.28	5.72	1.21	090
47700		A	Exploration of bile ducts	16.50	NA	NA	11.29	10.35	3.52	090
47701		A	Bile duct revision	28.73	NA	NA	16.93	16.23	6.11	090
47711		A	Excision of bile duct tumor	25.90	NA	NA	15.19	13.79	5.48	090
47712		A	Excision of bile duct tumor	33.72	NA	NA	18.84	16.98	7.20	090
47715		A	Excision of bile duct cyst	21.55	NA	NA	13.52	12.15	4.60	090
47720		A	Fuse gallbladder & bowel	18.34	NA	NA	11.92	10.82	3.88	090
47721		A	Fuse upper gi structures	21.99	NA	NA	13.71	12.34	4.70	090
47740		A	Fuse gallbladder & bowel	21.23	NA	NA	13.38	12.01	4.52	090
47741		A	Fuse gallbladder & bowel	24.21	NA	NA	14.68	13.23	5.16	090
47760		A	Fuse bile ducts and bowel	38.32	NA	NA	21.02	18.54	8.11	090
47765		A	Fuse liver ducts & bowel	52.19	NA	NA	27.63	23.87	11.13	090
47780		A	Fuse bile ducts and bowel	42.32	NA	NA	22.65	19.93	8.98	090
47785		A	Fuse bile ducts and bowel	56.19	NA	NA	28.95	25.22	11.99	090
47800		A	Reconstruction of bile ducts	26.17	NA	NA	15.51	14.00	5.59	090
47801		A	Placement bile duct support	17.60	NA	NA	10.01	10.41	2.44	090
47802		A	Fuse liver duct & intestine	24.93	NA	NA	15.28	13.80	5.32	090
47900		A	Suture bile duct injury	22.44	NA	NA	13.50	12.30	4.72	090
47999		C	Bile tract surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY

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48000		A	Drainage of abdomen	31.95	NA	NA	16.99	15.70	6.18	090
48001		A	Placement of drain pancreas	39.69	NA	NA	20.94	18.89	8.48	090
48020		A	Removal of pancreatic stone	19.09	NA	NA	11.94	10.84	4.08	090
48100		A	Biopsy of pancreas open	14.46	NA	NA	9.01	8.21	2.99	090
48102		A	Needle biopsy pancreas	4.70	10.26	10.83	1.98	2.25	0.44	010
48105		A	Resect/debride pancreas	49.26	NA	NA	25.87	23.22	10.30	090
48120		A	Removal of pancreas lesion	18.41	NA	NA	10.74	9.74	3.91	090
48140		A	Partial removal of pancreas	26.32	NA	NA	14.78	13.40	5.58	090
48145		A	Partial removal of pancreas	27.39	NA	NA	15.57	13.98	5.83	090
48146		A	Pancreatectomy	30.60	NA	NA	18.93	17.02	6.52	090
48148		A	Removal of pancreatic duct	20.39	NA	NA	12.51	11.24	4.34	090
48150		A	Partial removal of pancreas	52.84	NA	NA	28.56	26.05	11.23	090
48152		A	Pancreatectomy	48.65	NA	NA	27.25	24.65	10.38	090
48153		A	Pancreatectomy	52.79	NA	NA	28.53	25.98	11.21	090
48154		A	Pancreatectomy	48.88	NA	NA	27.35	24.65	10.43	090
48155		A	Removal of pancreas	29.45	NA	NA	18.36	16.70	6.27	090
48160		N	Pancreas removal/transplant	0.00	0.00	0.00	0.00	0.00	0.00	XXX
48400		A	Injection intraop add-on	1.95	NA	NA	0.85	0.86	0.29	ZZZ
48500		A	Surgery of pancreatic cyst	18.16	NA	NA	12.24	11.04	3.87	090
48510		A	Drain pancreatic pseudocyst	17.19	NA	NA	11.57	10.53	3.59	090
48511		A	Drain pancreatic pseudocyst	3.99	21.87	23.47	1.48	1.68	0.38	000
48520		A	Fuse pancreas cyst and bowel	18.15	NA	NA	10.65	9.68	3.84	090
48540		A	Fuse pancreas cyst and bowel	21.94	NA	NA	11.98	10.96	4.67	090
48545		A	Pancreatorrhaphy	22.23	NA	NA	13.19	11.77	4.75	090
48547		A	Duodenal exclusion	30.38	NA	NA	16.75	14.99	6.46	090
48548		A	Fuse pancreas and bowel	28.09	NA	NA	15.65	14.22	5.97	090
48550		X	Donor pancreatectomy	0.00	0.00	0.00	0.00	0.00	0.00	XXX
48551		C	Prep donor pancreas	0.00	0.00	0.00	0.00	0.00	0.00	XXX
48552		A	Prep donor pancreas/venous	4.30	NA	NA	1.88	1.74	0.90	XXX

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48554		R	Transpl allograft pancreas	37.80	NA	NA	30.18	27.67	7.89	090
48556		A	Removal allograft pancreas	19.47	NA	NA	14.14	12.85	4.15	090
48999		C	Pancreas surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
49000		A	Exploration of abdomen	12.54	NA	NA	7.85	7.26	2.57	090
49002		A	Reopening of abdomen	17.63	NA	NA	9.97	8.85	3.66	090
49010		A	Exploration behind abdomen	16.06	NA	NA	8.90	8.39	3.20	090
49020		A	Drain abdominal abscess	26.67	NA	NA	15.42	14.07	5.42	090
49021		A	Drain abdominal abscess	3.37	21.12	22.83	1.24	1.41	0.31	000
49040		A	Drain open abdom abscess	16.52	NA	NA	9.99	9.13	3.39	090
49041		A	Drain percut abdom abscess	3.99	21.55	22.95	1.46	1.66	0.38	000
49060		A	Drain open retrop abscess	18.53	NA	NA	10.60	9.95	3.69	090
49061		A	Drain percut retroper absc	3.69	21.19	22.71	1.36	1.54	0.34	000
49062		A	Drain to peritoneal cavity	12.22	NA	NA	7.51	7.08	2.46	090
49080		A	Puncture peritoneal cavity	1.35	2.97	3.36	0.53	0.58	0.12	000
49081		A	Removal of abdominal fluid	1.26	3.32	3.40	0.57	0.58	0.16	000
49180		A	Biopsy abdominal mass	1.73	2.64	2.96	0.64	0.73	0.16	000
49203		A	Exc abd tum 5 cm or less	20.13	NA	NA	11.59	10.75	3.93	090
49204		A	Exc abd tum over 5 cm	26.13	NA	NA	14.21	13.13	5.08	090
49205		A	Exc abd tum over 10 cm	30.13	NA	NA	15.98	14.73	6.03	090
49215		A	Excise sacral spine tumor	37.81	NA	NA	20.81	18.79	7.54	090
49220		A	Multiple surgery abdomen	15.79	NA	NA	9.78	8.97	3.39	090
49250		A	Excision of umbilicus	9.01	NA	NA	6.53	6.00	1.84	090
49255		A	Removal of omentum	12.56	NA	NA	8.39	7.75	2.55	090
49320		A	Diag laparo separate proc	5.14	NA	NA	3.57	3.36	1.03	010
49321		A	Laparoscopy biopsy	5.44	NA	NA	3.77	3.51	1.13	010
49322		A	Laparoscopy aspiration	6.01	NA	NA	3.89	3.67	1.16	010
49323		A	Laparo drain lymphocele	10.23	NA	NA	6.92	6.39	2.14	090
49324		A	Lap insert tunnel ip cath	6.32	NA	NA	4.13	3.83	1.33	010
49325		A	Lap revision perm ip cath	6.82	NA	NA	4.33	4.00	1.47	010

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49326		A	Lap w/omentopexy add-on	3.50	NA	NA	1.47	1.32	0.73	ZZZ
49327		A	Lap ins device for rt	2.38	NA	NA	1.04	1.04	0.48	ZZZ
49329		C	Laparo proc abdm/per/oment	0.00	0.00	0.00	0.00	0.00	0.00	YYY
49400		A	Air injection into abdomen	1.88	1.81	2.53	0.71	0.77	0.24	000
49402		A	Remove foreign body adbomen	14.09	NA	NA	8.57	7.82	2.90	090
49411		A	Ins mark abd/pel for rt perq	3.82	11.25	11.25	1.66	1.66	0.35	000
49412		A	Ins device for rt guide open	1.50	NA	NA	0.63	0.63	0.30	ZZZ
49418		A	Insert tun ip cath perc	4.21	40.06	40.06	2.08	2.08	0.63	000
49419		A	Insert tun ip cath w/port	7.08	NA	NA	4.81	4.61	1.22	090
49421		A	Ins tun ip cath for dial opn	4.21	NA	NA	1.91	2.91	0.83	000
49422		A	Remove tunneled ip cath	6.29	NA	NA	3.85	3.63	1.29	010
49423		A	Exchange drainage catheter	1.46	14.20	15.29	0.56	0.65	0.12	000
49424		A	Assess cyst contrast inject	0.76	3.34	3.66	0.31	0.35	0.07	000
49425		A	Insert abdomen-venous drain	12.22	NA	NA	7.58	7.22	2.67	090
49426		A	Revise abdomen-venous shunt	10.41	NA	NA	6.47	6.17	2.07	090
49427		A	Injection abdominal shunt	0.89	NA	NA	0.34	0.38	0.10	000
49428		A	Ligation of shunt	6.87	NA	NA	4.53	4.36	1.47	010
49429		A	Removal of shunt	7.44	NA	NA	4.66	4.33	1.59	010
49435		A	Insert subq exten to ip cath	2.25	NA	NA	0.89	0.82	0.45	ZZZ
49436		A	Embedded ip cath exit-site	2.72	NA	NA	2.22	2.10	0.59	010
49440		A	Place gastrostomy tube perc	4.18	25.15	27.55	2.01	2.18	0.48	010
49441		A	Place duod/jej tube perc	4.77	28.33	30.39	2.30	2.45	0.54	010
49442		A	Place cecostomy tube perc	4.00	21.80	25.59	2.02	2.05	0.37	010
49446		A	Change g-tube to g-j perc	3.31	24.28	26.17	1.22	1.40	0.31	000
49450		A	Replace g/c tube perc	1.36	17.16	19.77	0.52	0.55	0.12	000
49451		A	Replace duod/jej tube perc	1.84	18.32	19.48	0.69	0.78	0.20	000
49452		A	Replace g-j tube perc	2.86	21.96	23.63	1.06	1.21	0.27	000
49460		A	Fix g/colon tube w/device	0.96	19.56	22.40	0.38	0.40	0.10	000
49465		A	Fluoro exam of g/colon tube	0.62	4.14	4.44	0.23	0.26	0.05	000

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
49491		A	Rpr hern preemie reduc	12.53	NA	NA	8.40	7.57	2.67	090
49492		A	Rpr ing hern premie blocked	15.43	NA	NA	9.76	8.86	3.29	090
49495		A	Rpr ing hernia baby reduc	6.20	NA	NA	4.65	4.13	1.32	090
49496		A	Rpr ing hernia baby blocked	9.42	NA	NA	6.80	6.17	2.20	090
49500		A	Rpr ing hernia init reduce	5.84	NA	NA	3.96	4.01	1.24	090
49501		A	Rpr ing hernia init blocked	9.36	NA	NA	6.62	6.02	1.99	090
49505		A	Prp i/hern init reduc >5 yr	7.96	NA	NA	5.83	5.34	1.66	090
49507		A	Prp i/hern init block >5 yr	10.05	NA	NA	6.80	6.22	2.12	090
49520		A	Rerepair ing hernia reduce	9.99	NA	NA	6.71	6.13	2.10	090
49521		A	Rerepair ing hernia blocked	12.44	NA	NA	7.74	7.07	2.61	090
49525		A	Repair ing hernia sliding	8.93	NA	NA	6.23	5.71	1.86	090
49540		A	Repair lumbar hernia	10.74	NA	NA	7.17	6.52	2.26	090
49550		A	Rpr rem hernia init reduce	8.99	NA	NA	6.25	5.72	1.89	090
49553		A	Rpr fem hernia init blocked	9.92	NA	NA	6.77	6.17	2.10	090
49555		A	Rerepair fem hernia reduce	9.39	NA	NA	6.41	5.88	1.97	090
49557		A	Rerepair fem hernia blocked	11.62	NA	NA	7.50	6.84	2.45	090
49560		A	Rpr ventral hern init reduc	11.92	NA	NA	7.56	6.91	2.48	090
49561		A	Rpr ventral hern init block	15.38	NA	NA	9.14	8.30	3.24	090
49565		A	Rerepair ventrl hern reduce	12.37	NA	NA	7.94	7.23	2.60	090
49566		A	Rerepair ventrl hern block	15.53	NA	NA	9.24	8.40	3.29	090
49568		A	Hernia repair w/mesh	4.88	NA	NA	2.13	1.94	1.03	ZZZ
49570		A	Rpr epigastric hern reduce	6.05	NA	NA	4.99	4.57	1.28	090
49572		A	Rpr epigastric hern blocked	7.87	NA	NA	5.79	5.25	1.65	090
49580		A	Rpr umbil hern reduc < 5 yr	4.47	NA	NA	4.39	4.00	0.95	090
49582		A	Rpr umbil hern block < 5 yr	7.13	NA	NA	5.65	5.13	1.52	090
49585		A	Rpr umbil hern reduc > 5 yr	6.59	NA	NA	5.21	4.78	1.37	090
49587		A	Rpr umbil hern block > 5 yr	8.04	NA	NA	5.84	5.33	1.69	090
49590		A	Repair spigelian hernia	8.90	NA	NA	6.24	5.70	1.88	090
49600		A	Repair umbilical lesion	11.55	NA	NA	7.71	7.19	2.45	090

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49605		A	Repair umbilical lesion	87.09	NA	NA	42.24	39.21	18.58	090
49606		A	Repair umbilical lesion	19.00	NA	NA	10.75	9.81	4.06	090
49610		A	Repair umbilical lesion	10.91	NA	NA	7.22	6.67	2.31	090
49611		A	Repair umbilical lesion	9.34	NA	NA	6.04	6.15	0.65	090
49650		A	Lap ing hernia repair init	6.36	NA	NA	5.00	4.58	1.33	090
49651		A	Lap ing hernia repair recur	8.38	NA	NA	6.38	5.82	1.78	090
49652		A	Lap vent/abd hernia repair	12.88	NA	NA	8.15	7.43	0.90	090
49653		A	Lap vent/abd hern proc comp	16.21	NA	NA	10.13	9.21	1.16	090
49654		A	Lap inc hernia repair	15.03	NA	NA	9.12	8.28	1.06	090
49655		A	Lap inc hern repair comp	18.11	NA	NA	10.94	9.94	1.28	090
49656		A	Lap inc hernia repair recur	15.08	NA	NA	9.16	8.30	1.08	090
49657		A	Lap inc hern recur comp	22.11	NA	NA	12.71	11.49	1.57	090
49659		C	Laparo proc hernia repair	0.00	0.00	0.00	0.00	0.00	0.00	YYY
49900		A	Repair of abdominal wall	12.41	NA	NA	9.31	8.61	2.55	090
49904		A	Omental flap extra-abdom	22.35	NA	NA	15.88	15.86	4.75	090
49905		A	Omental flap intra-abdom	6.54	NA	NA	2.81	2.60	1.24	ZZZ
49906		C	Free omental flap microvasc	0.00	0.00	0.00	0.00	0.00	0.00	090
49999		C	Abdomen surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
50010		A	Exploration of kidney	12.28	NA	NA	7.79	7.95	1.80	090
50020		A	Renal abscess open drain	18.08	NA	NA	10.82	10.82	2.41	090
50021		A	Renal abscess percut drain	3.37	22.59	24.32	1.23	1.40	0.31	000
50040		A	Drainage of kidney	16.68	NA	NA	8.80	9.82	1.69	090
50045		A	Exploration of kidney	16.82	NA	NA	8.92	9.83	1.62	090
5005F		I	Pt counsld on exam for moles	0.00	0.00	0.00	0.00	0.00	0.00	XXX
50060		A	Removal of kidney stone	20.95	NA	NA	10.54	11.78	2.01	090
50065		A	Incision of kidney	22.32	NA	NA	11.08	12.12	2.16	090
50070		A	Incision of kidney	21.85	NA	NA	10.90	12.26	2.12	090
50075		A	Removal of kidney stone	27.09	NA	NA	13.18	14.80	2.63	090
50080		A	Removal of kidney stone	15.74	NA	NA	8.26	9.27	1.55	090

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50081		A	Removal of kidney stone	23.50	NA	NA	11.77	13.19	2.31	090
50100		A	Revise kidney blood vessels	17.45	NA	NA	7.26	8.36	3.73	090
50120		A	Exploration of kidney	17.21	NA	NA	9.00	9.95	1.66	090
50125		A	Explore and drain kidney	17.82	NA	NA	11.12	11.12	1.71	090
50130		A	Removal of kidney stone	18.82	NA	NA	9.76	10.89	1.82	090
50135		A	Exploration of kidney	20.59	NA	NA	10.40	11.56	1.99	090
50200		A	Renal biopsy perq	2.63	14.17	14.17	1.29	1.43	0.31	000
50205		A	Renal biopsy open	12.29	NA	NA	7.65	7.24	2.40	090
5020F		I	Txmnts 2 main Dr by 1 mon	0.00	0.00	0.00	0.00	0.00	0.00	XXX
50220		A	Remove kidney open	18.68	NA	NA	9.88	10.70	2.25	090
50225		A	Removal kidney open complex	21.88	NA	NA	11.06	12.06	2.38	090
50230		A	Removal kidney open radical	23.81	NA	NA	11.51	12.79	2.45	090
50234		A	Removal of kidney & ureter	24.05	NA	NA	11.86	13.16	2.44	090
50236		A	Removal of kidney & ureter	26.94	NA	NA	13.53	15.15	2.64	090
50240		A	Partial removal of kidney	24.21	NA	NA	12.34	13.72	2.42	090
50250		A	Cryoablate renal mass open	22.22	NA	NA	11.39	12.86	2.18	090
50280		A	Removal of kidney lesion	17.09	NA	NA	9.24	10.08	1.86	090
50290		A	Removal of kidney lesion	16.15	NA	NA	10.39	9.90	1.57	090
50300		X	Remove cadaver donor kidney	0.00	0.00	0.00	0.00	0.00	0.00	XXX
50320		A	Remove kidney living donor	22.43	NA	NA	15.50	15.41	3.88	090
50323		C	Prep cadaver renal allograft	0.00	0.00	0.00	0.00	0.00	0.00	XXX
50325		C	Prep donor renal graft	0.00	0.00	0.00	0.00	0.00	0.00	XXX
50327		A	Prep renal graft/venous	4.00	NA	NA	1.70	1.61	0.78	XXX
50328		A	Prep renal graft/arterial	3.50	NA	NA	1.49	1.41	0.67	XXX
50329		A	Prep renal graft/ureteral	3.34	NA	NA	1.37	1.42	0.48	XXX
50340		A	Removal of kidney	14.04	NA	NA	11.06	10.11	3.01	090
50360		A	Transplantation of kidney	40.90	NA	NA	27.24	24.94	8.30	090
50365		A	Transplantation of kidney	46.13	NA	NA	29.84	27.54	9.84	090
50370		A	Remove transplanted kidney	18.88	NA	NA	13.02	11.99	3.76	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
50380		A	Reimplantation of kidney	30.11	NA	NA	22.87	22.04	6.41	090
50382		A	Change ureter stent percut	5.50	28.07	31.85	2.08	2.38	0.52	000
50384		A	Remove ureter stent percut	5.00	22.04	26.15	1.86	2.16	0.48	000
50385		A	Change stent via transureth	4.44	28.19	32.14	1.98	2.31	0.42	000
50386		A	Remove stent via transureth	3.30	18.15	20.50	1.56	1.81	0.31	000
50387		A	Change ext/int ureter stent	2.00	13.52	15.36	0.73	0.85	0.20	000
50389		A	Remove renal tube w/fluoro	1.10	7.10	8.57	0.41	0.47	0.10	000
50390		A	Drainage of kidney lesion	1.96	NA	NA	0.72	0.82	0.18	000
50391		A	Instll rx agnt into rnal tub	1.96	1.43	1.66	0.79	0.88	0.20	000
50392		A	Insert kidney drain	3.37	NA	NA	1.56	1.77	0.31	000
50393		A	Insert ureteral tube	4.15	NA	NA	1.84	2.10	0.38	000
50394		A	Injection for kidney x-ray	0.76	1.99	2.28	0.61	0.68	0.07	000
50395		A	Create passage to kidney	3.37	NA	NA	1.59	1.80	0.33	000
50396		A	Measure kidney pressure	2.09	NA	NA	1.10	1.26	0.20	000
50398		A	Change kidney tube	1.46	12.75	14.31	0.57	0.65	0.12	000
50400		A	Revision of kidney/ureter	21.27	NA	NA	10.72	11.86	2.10	090
50405		A	Revision of kidney/ureter	25.86	NA	NA	12.70	14.14	2.50	090
50500		A	Repair of kidney wound	21.22	NA	NA	12.05	11.66	4.52	090
50520		A	Close kidney-skin fistula	18.88	NA	NA	9.73	10.71	1.84	090
50525		A	Repair renal-abdomen fistula	24.39	NA	NA	14.22	13.95	5.20	090
50526		A	Repair renal-abdomen fistula	26.31	NA	NA	14.25	13.78	1.86	090
50540		A	Revision of horseshoe kidney	21.10	NA	NA	10.60	11.50	2.03	090
50541		A	Laparo ablate renal cyst	16.86	NA	NA	8.55	9.51	1.70	090
50542		A	Laparo ablate renal mass	21.36	NA	NA	10.91	12.17	2.10	090
50543		A	Laparo partial nephrectomy	27.41	NA	NA	13.72	15.32	2.72	090
50544		A	Laparoscopy pyeloplasty	23.37	NA	NA	11.08	12.42	2.31	090
50545		A	Laparo radical nephrectomy	25.06	NA	NA	11.99	13.40	2.55	090
50546		A	Laparoscopic nephrectomy	21.87	NA	NA	11.23	12.42	2.30	090
50547		A	Laparo removal donor kidney	26.34	NA	NA	16.41	15.92	4.83	090

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50548		A	Laparo remove w/ureter	25.36	NA	NA	11.90	13.34	2.50	090
50549		C	Laparoscope proc renal	0.00	0.00	0.00	0.00	0.00	0.00	YYY
50551		A	Kidney endoscopy	5.59	4.27	4.95	2.57	2.89	0.54	000
50553		A	Kidney endoscopy	5.98	4.56	5.14	2.69	3.01	0.65	000
50555		A	Kidney endoscopy & biopsy	6.52	4.78	5.50	2.94	3.30	0.63	000
50557		A	Kidney endoscopy & treatment	6.61	4.88	5.63	2.97	3.34	0.64	000
50561		A	Kidney endoscopy & treatment	7.58	5.47	6.30	3.35	3.78	0.75	000
50562		A	Renal scope w/tumor resect	10.90	NA	NA	5.18	5.87	1.06	090
50570		A	Kidney endoscopy	9.53	NA	NA	4.08	4.62	0.91	000
50572		A	Kidney endoscopy	10.33	NA	NA	4.40	4.99	1.01	000
50574		A	Kidney endoscopy & biopsy	11.00	NA	NA	4.66	5.27	1.08	000
50575		A	Kidney endoscopy	13.96	NA	NA	5.83	6.60	1.36	000
50576		A	Kidney endoscopy & treatment	10.97	NA	NA	4.65	5.27	1.06	000
50580		A	Kidney endoscopy & treatment	11.84	NA	NA	4.99	5.59	1.16	000
50590		A	Fragmenting of kidney stone	9.77	11.28	15.40	5.83	6.50	0.95	090
50592		A	Perc rf ablate renal tumor	6.80	76.71	94.52	3.17	3.57	0.64	010
50593		A	Perc cryo ablate renal tum	9.13	115.75	130.30	4.23	4.32	0.86	010
50600		A	Exploration of ureter	17.17	NA	NA	8.76	9.69	1.66	090
50605		A	Insert ureteral support	16.79	NA	NA	9.42	9.53	2.65	090
5060F		I	Fndngs mammo 2pt w/in 3 days	0.00	0.00	0.00	0.00	0.00	0.00	XXX
50610		A	Removal of ureter stone	17.25	NA	NA	8.86	9.90	1.66	090
50620		A	Removal of ureter stone	16.43	NA	NA	8.54	9.54	1.59	090
5062F		I	Mammo result com to pt 5 day	0.00	0.00	0.00	0.00	0.00	0.00	XXX
50630		A	Removal of ureter stone	16.21	NA	NA	8.45	9.23	1.57	090
50650		A	Removal of ureter	18.82	NA	NA	9.77	10.83	1.89	090
50660		A	Removal of ureter	21.02	NA	NA	10.57	11.71	2.03	090
50684		A	Injection for ureter x-ray	0.76	2.15	3.63	0.62	0.68	0.07	000
50686		A	Measure ureter pressure	1.51	2.63	2.63	1.03	1.12	0.22	000
50688		A	Change of ureter tube/stent	1.20	NA	NA	0.99	1.12	0.11	010

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50690		A	Injection for ureter x-ray	1.16	1.48	1.71	0.76	0.85	0.10	000
50700		A	Revision of ureter	16.69	NA	NA	8.87	9.81	1.62	090
50715		A	Release of ureter	20.64	NA	NA	11.64	11.32	3.01	090
50722		A	Release of ureter	17.95	NA	NA	10.56	10.07	3.05	090
50725		A	Release/revise ureter	20.20	NA	NA	12.16	12.02	1.95	090
50727		A	Revise ureter	8.28	NA	NA	5.67	6.24	0.84	090
50728		A	Revise ureter	12.18	NA	NA	7.09	7.70	1.18	090
50740		A	Fusion of ureter & kidney	20.07	NA	NA	11.46	11.26	4.29	090
50750		A	Fusion of ureter & kidney	21.22	NA	NA	10.65	11.97	2.04	090
50760		A	Fusion of ureters	20.07	NA	NA	10.62	11.25	2.67	090
50770		A	Splicing of ureters	21.22	NA	NA	10.65	11.30	2.04	090
50780		A	Reimplant ureter in bladder	19.95	NA	NA	10.37	11.26	2.31	090
50782		A	Reimplant ureter in bladder	19.66	NA	NA	10.03	10.89	4.19	090
50783		A	Reimplant ureter in bladder	20.70	NA	NA	12.38	11.99	2.00	090
50785		A	Reimplant ureter in bladder	22.23	NA	NA	11.19	12.37	2.25	090
50800		A	Implant ureter in bowel	16.41	NA	NA	9.12	10.00	1.74	090
50810		A	Fusion of ureter & bowel	22.61	NA	NA	11.87	12.15	4.83	090
50815		A	Urine shunt to intestine	22.26	NA	NA	11.50	12.77	2.16	090
50820		A	Construct bowel bladder	24.07	NA	NA	12.24	13.24	2.65	090
50825		A	Construct bowel bladder	30.68	NA	NA	15.04	16.59	3.17	090
50830		A	Revise urine flow	33.77	NA	NA	16.03	17.51	3.29	090
50840		A	Replace ureter by bowel	22.39	NA	NA	11.56	12.88	2.18	090
50845		A	Appendico-vesicostomy	22.46	NA	NA	12.03	13.38	2.18	090
50860		A	Transplant ureter to skin	17.08	NA	NA	9.02	9.98	1.65	090
50900		A	Repair of ureter	15.04	NA	NA	8.52	9.08	1.47	090
50920		A	Closure ureter/skin fistula	15.81	NA	NA	8.52	9.48	1.54	090
50930		A	Closure ureter/bowel fistula	20.19	NA	NA	10.24	10.75	4.30	090
50940		A	Release of ureter	15.93	NA	NA	8.57	9.42	1.55	090
50945		A	Laparoscopy ureterolithotomy	17.97	NA	NA	8.92	9.95	1.74	090

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50947		A	Laparo new ureter/bladder	25.78	NA	NA	12.44	13.73	2.50	090
50948		A	Laparo new ureter/bladder	23.82	NA	NA	11.42	12.86	2.30	090
50949		C	Laparoscope proc ureter	0.00	0.00	0.00	0.00	0.00	0.00	YYY
50951		A	Endoscopy of ureter	5.83	4.47	5.18	2.68	3.02	0.56	000
50953		A	Endoscopy of ureter	6.23	4.69	5.41	3.16	3.55	0.61	000
50955		A	Ureter endoscopy & biopsy	6.74	4.93	5.94	3.37	3.81	0.65	000
50957		A	Ureter endoscopy & treatment	6.78	4.99	5.73	3.04	3.42	0.65	000
50961		A	Ureter endoscopy & treatment	6.04	4.56	5.26	2.75	3.10	0.59	000
50970		A	Ureter endoscopy	7.13	NA	NA	3.14	3.55	0.68	000
50972		A	Ureter endoscopy & catheter	6.88	NA	NA	3.04	3.42	0.67	000
50974		A	Ureter endoscopy & biopsy	9.16	NA	NA	3.94	4.46	0.88	000
50976		A	Ureter endoscopy & treatment	9.03	NA	NA	3.89	4.38	0.87	000
50980		A	Ureter endoscopy & treatment	6.84	NA	NA	3.03	3.43	0.65	000
5100F		I	Rsk fx ref w/n 24 hrs xray	0.00	0.00	0.00	0.00	0.00	0.00	XXX
51020		A	Incise & treat bladder	7.69	NA	NA	5.21	5.81	0.78	090
51030		A	Incise & treat bladder	7.81	NA	NA	5.17	5.59	0.75	090
51040		A	Incise & drain bladder	4.49	NA	NA	3.48	3.92	0.44	090
51045		A	Incise bladder/drain ureter	7.81	NA	NA	5.59	5.88	1.06	090
51050		A	Removal of bladder stone	7.97	NA	NA	5.06	5.65	0.78	090
51060		A	Removal of ureter stone	9.95	NA	NA	6.08	6.80	0.98	090
51065		A	Remove ureter calculus	9.95	NA	NA	6.00	6.68	0.98	090
51080		A	Drainage of bladder abscess	6.71	NA	NA	4.56	5.03	0.65	090
51100		A	Drain bladder by needle	0.78	0.95	1.02	0.31	0.33	0.08	000
51101		A	Drain bladder by trocar/cath	1.02	2.46	2.71	0.45	0.46	0.12	000
51102		A	Drain bl w/cath insertion	2.70	3.51	4.03	1.31	1.50	0.29	000
51500		A	Removal of bladder cyst	11.05	NA	NA	7.96	7.60	1.08	090
51520		A	Removal of bladder lesion	10.21	NA	NA	6.18	6.73	0.99	090
51525		A	Removal of bladder lesion	15.42	NA	NA	8.27	9.21	1.57	090
51530		A	Removal of bladder lesion	13.71	NA	NA	8.01	8.49	1.65	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
51535		A	Repair of ureter lesion	13.90	NA	NA	7.62	8.31	1.33	090
51550		A	Partial removal of bladder	17.23	NA	NA	9.22	9.93	2.14	090
51555		A	Partial removal of bladder	23.18	NA	NA	11.74	12.77	2.60	090
51565		A	Revise bladder & ureter(s)	23.68	NA	NA	12.11	13.19	2.42	090
51570		A	Removal of bladder	27.46	NA	NA	13.54	14.67	2.80	090
51575		A	Removal of bladder & nodes	34.18	NA	NA	16.16	18.03	3.35	090
51580		A	Remove bladder/revise tract	35.37	NA	NA	17.01	19.07	3.44	090
51585		A	Removal of bladder & nodes	39.64	NA	NA	18.69	20.95	3.85	090
51590		A	Remove bladder/revise tract	36.33	NA	NA	17.02	18.92	3.67	090
51595		A	Remove bladder/revise tract	41.32	NA	NA	19.18	21.40	4.11	090
51596		A	Remove bladder/create pouch	44.26	NA	NA	20.77	23.20	4.34	090
51597		A	Removal of pelvic structures	42.86	NA	NA	20.43	22.42	4.53	090
51600		A	Injection for bladder x-ray	0.88	4.23	4.83	0.34	0.38	0.08	000
51605		A	Preparation for bladder xray	0.64	NA	NA	0.42	0.47	0.05	000
51610		A	Injection for bladder x-ray	1.05	1.88	2.17	0.71	0.78	0.10	000
51700		A	Irrigation of bladder	0.88	1.40	1.63	0.36	0.39	0.08	000
51701		A	Insert bladder catheter	0.50	1.00	1.21	0.26	0.28	0.05	000
51702		A	Insert temp bladder cath	0.50	1.43	1.73	0.33	0.36	0.05	000
51703		A	Insert bladder cath complex	1.47	2.08	2.51	0.79	0.87	0.14	000
51705		A	Change of bladder tube	1.05	1.85	2.20	0.81	0.90	0.10	010
51710		A	Change of bladder tube	1.52	2.48	3.01	1.11	1.24	0.14	010
51715		A	Endoscopic injection/implant	3.73	4.18	4.75	1.80	1.95	0.39	000
51720		A	Treatment of bladder lesion	1.50	1.49	1.77	0.72	0.83	0.14	000
51725		A	Simple cystometrogram	1.51	3.64	4.59	NA	NA	0.13	000
51725	TC	A	Simple cystometrogram	0.00	3.01	3.93	NA	NA	0.01	000
51725	26	A	Simple cystometrogram	1.51	0.63	0.66	0.63	0.66	0.12	000
51726		A	Complex cystometrogram	1.71	5.72	7.22	NA	NA	0.17	000
51726	TC	A	Complex cystometrogram	0.00	5.01	6.46	NA	NA	0.03	000
51726	26	A	Complex cystometrogram	1.71	0.71	0.76	0.71	0.76	0.14	000

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51727		A	Cystometrogram w/up	2.11	6.71	6.71	NA	NA	0.23	000
51727	TC	A	Cystometrogram w/up	0.00	5.81	5.81	NA	NA	0.01	000
51727	26	A	Cystometrogram w/up	2.11	0.90	0.90	0.90	0.90	0.22	000
51728		A	Cystometrogram w/vp	2.11	6.66	6.66	NA	NA	0.19	000
51728	TC	A	Cystometrogram w/vp	0.00	5.79	5.79	NA	NA	0.01	000
51728	26	A	Cystometrogram w/vp	2.11	0.87	0.87	0.87	0.87	0.18	000
51729		A	Cystometrogram w/vp&up	2.51	7.06	7.06	NA	NA	0.25	000
51729	TC	A	Cystometrogram w/vp&up	0.00	5.99	5.99	NA	NA	0.01	000
51729	26	A	Cystometrogram w/vp&up	2.51	1.07	1.07	1.07	1.07	0.24	000
51736		A	Urine flow measurement	0.17	0.66	0.85	NA	NA	0.02	XXX
51736	TC	A	Urine flow measurement	0.00	0.59	0.67	NA	NA	0.01	XXX
51736	26	A	Urine flow measurement	0.17	0.07	0.18	0.07	0.18	0.01	XXX
51741		A	Electro-uroflowmetry first	0.17	0.76	1.09	NA	NA	0.02	XXX
51741	TC	A	Electro-uroflowmetry first	0.00	0.69	0.78	NA	NA	0.01	XXX
51741	26	A	Electro-uroflowmetry first	0.17	0.07	0.31	0.07	0.31	0.01	XXX
51784		A	Anal/urinary muscle study	1.53	3.92	4.42	NA	NA	0.13	000
51784	TC	A	Anal/urinary muscle study	0.00	3.28	3.75	NA	NA	0.01	000
51784	26	A	Anal/urinary muscle study	1.53	0.64	0.67	0.64	0.67	0.12	000
51785		A	Anal/urinary muscle study	1.53	4.52	5.02	NA	NA	0.13	000
51785	TC	A	Anal/urinary muscle study	0.00	3.87	4.34	NA	NA	0.01	000
51785	26	A	Anal/urinary muscle study	1.53	0.65	0.68	0.65	0.68	0.12	000
51792		A	Urinary reflex study	1.10	4.99	5.69	NA	NA	0.11	000
51792	TC	A	Urinary reflex study	0.00	4.51	5.19	NA	NA	0.01	000
51792	26	A	Urinary reflex study	1.10	0.48	0.50	0.48	0.50	0.10	000
51797		A	Intraabdominal pressure test	0.80	2.28	3.13	NA	NA	0.06	ZZZ
51797	TC	A	Intraabdominal pressure test	0.00	1.95	2.74	NA	NA	0.01	ZZZ
51797	26	A	Intraabdominal pressure test	0.80	0.33	0.39	0.33	0.39	0.05	ZZZ
51798		A	Us urine capacity measure	0.00	0.51	0.57	NA	NA	0.01	XXX
51800		A	Revision of bladder/urethra	18.89	NA	NA	9.94	10.99	1.95	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
51820		A	Revision of urinary tract	19.59	NA	NA	10.32	10.94	1.89	090
51840		A	Attach bladder/urethra	11.36	NA	NA	6.82	7.05	1.50	090
51841		A	Attach bladder/urethra	13.68	NA	NA	7.96	8.18	1.84	090
51845		A	Repair bladder neck	10.15	NA	NA	6.08	6.56	1.20	090
51860		A	Repair of bladder wound	12.60	NA	NA	7.72	7.98	1.85	090
51865		A	Repair of bladder wound	15.80	NA	NA	8.75	9.42	1.92	090
51880		A	Repair of bladder opening	7.87	NA	NA	5.00	5.36	0.99	090
51900		A	Repair bladder/vagina lesion	14.63	NA	NA	8.38	8.89	1.42	090
51920		A	Close bladder-uterus fistula	13.41	NA	NA	7.65	8.22	1.29	090
51925		A	Hysterectomy/bladder repair	17.53	NA	NA	11.71	11.35	2.97	090
51940		A	Correction of bladder defect	30.66	NA	NA	14.69	15.56	2.98	090
51960		A	Revision of bladder & bowel	25.40	NA	NA	12.89	14.32	2.65	090
51980		A	Construct bladder opening	12.57	NA	NA	7.11	7.87	1.22	090
51990		A	Laparo urethral suspension	13.36	NA	NA	7.47	7.68	1.77	090
51992		A	Laparo sling operation	14.87	NA	NA	8.55	8.43	2.23	090
51999		C	Laparoscope proc bla	0.00	0.00	0.00	0.00	0.00	0.00	YYY
52000		A	Cystoscopy	2.23	3.30	3.85	1.25	1.38	0.23	000
52001		A	Cystoscopy removal of clots	5.44	4.70	5.52	2.52	2.82	0.53	000
52005		A	Cystoscopy & ureter catheter	2.37	5.11	6.03	1.31	1.46	0.24	000
52007		A	Cystoscopy and biopsy	3.02	9.57	12.19	1.56	1.75	0.30	000
5200F		I	Eval appros surg thxpy epi	0.00	0.00	0.00	0.00	0.00	0.00	XXX
52010		A	Cystoscopy & duct catheter	3.02	7.22	8.64	1.56	1.66	0.30	000
52204		A	Cystoscopy w/biopsy(s)	2.59	7.38	9.69	1.33	1.47	0.26	000
52214		A	Cystoscopy and treatment	3.70	13.91	14.68	1.76	2.32	0.35	000
52224		A	Cystoscopy and treatment	3.14	13.19	18.94	1.54	1.73	0.31	000
52234		A	Cystoscopy and treatment	4.62	NA	NA	2.19	2.47	0.44	000
52235		A	Cystoscopy and treatment	5.44	NA	NA	2.55	2.87	0.53	000
52240		A	Cystoscopy and treatment	9.71	NA	NA	4.22	4.77	0.95	000
52250		A	Cystoscopy and radiotracer	4.49	NA	NA	2.24	2.50	0.45	000

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52260		A	Cystoscopy and treatment	3.91	NA	NA	1.91	2.12	0.39	000
52265		A	Cystoscopy and treatment	2.94	7.02	8.94	1.58	1.67	0.35	000
52270		A	Cystoscopy & revise urethra	3.36	6.26	8.04	1.67	1.88	0.33	000
52275		A	Cystoscopy & revise urethra	4.69	8.32	10.81	2.19	2.47	0.45	000
52276		A	Cystoscopy and treatment	4.99	NA	NA	2.36	2.66	0.49	000
52277		A	Cystoscopy and treatment	6.16	NA	NA	2.87	3.20	0.60	000
52281		A	Cystoscopy and treatment	2.60	4.56	5.80	1.40	1.61	0.27	000
52282		A	Cystoscopy implant stent	6.39	NA	NA	2.93	3.26	0.65	000
52283		A	Cystoscopy and treatment	3.73	3.84	4.43	1.86	2.06	0.37	000
52285		A	Cystoscopy and treatment	3.60	4.01	4.63	1.82	2.01	0.37	000
52290		A	Cystoscopy and treatment	4.58	NA	NA	2.18	2.46	0.44	000
52300		A	Cystoscopy and treatment	5.30	NA	NA	2.55	2.82	0.56	000
52301		A	Cystoscopy and treatment	5.50	NA	NA	2.60	2.94	0.53	000
52305		A	Cystoscopy and treatment	5.30	NA	NA	2.42	2.72	0.52	000
52310		A	Cystoscopy and treatment	2.81	3.64	4.39	1.38	1.55	0.29	000
52315		A	Cystoscopy and treatment	5.20	6.01	7.42	2.39	2.70	0.50	000
52317		A	Remove bladder stone	6.71	15.17	19.82	2.91	3.29	0.65	000
52318		A	Remove bladder stone	9.18	NA	NA	3.94	4.44	0.88	000
52320		A	Cystoscopy and treatment	4.69	NA	NA	2.14	2.41	0.45	000
52325		A	Cystoscopy stone removal	6.15	NA	NA	2.73	3.07	0.60	000
52327		A	Cystoscopy inject material	5.18	NA	NA	2.06	2.33	0.52	000
52330		A	Cystoscopy and treatment	5.03	8.37	14.38	2.27	2.56	0.49	000
52332		A	Cystoscopy and treatment	2.60	10.83	11.95	1.39	1.62	0.26	000
52334		A	Create passage to kidney	4.82	NA	NA	2.28	2.56	0.48	000
52341		A	Cysto w/ureter stricture tx	5.35	NA	NA	2.64	3.01	0.52	000
52342		A	Cysto w/up stricture tx	5.85	NA	NA	2.84	3.23	0.56	000
52343		A	Cysto w/renal stricture tx	6.55	NA	NA	3.12	3.55	0.64	000
52344		A	Cysto/uretero stricture tx	7.05	NA	NA	3.46	3.92	0.68	000
52345		A	Cysto/uretero w/up stricture	7.55	NA	NA	3.66	4.15	0.72	000

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52346		A	Cystouretero w/renal strict	8.58	NA	NA	4.05	4.61	0.83	000
52351		A	Cystouretero & or pyeloscope	5.85	NA	NA	2.84	3.20	0.56	000
52352		A	Cystouretero w/stone remove	6.87	NA	NA	3.33	3.75	0.67	000
52353		A	Cystouretero w/lithotripsy	7.96	NA	NA	3.76	4.24	0.76	000
52354		A	Cystouretero w/biopsy	7.33	NA	NA	3.51	3.96	0.71	000
52355		A	Cystouretero w/excise tumor	8.81	NA	NA	4.10	4.62	0.86	000
52400		A	Cystouretero w/congen repr	8.69	NA	NA	4.52	5.13	0.84	090
52402		A	Cystourethro cut ejacul duct	5.27	NA	NA	2.12	2.40	0.50	000
52450		A	Incision of prostate	7.78	NA	NA	5.18	5.77	0.75	090
52500		A	Revision of bladder neck	8.14	NA	NA	5.32	5.96	0.78	090
52601		A	Prostatectomy (TURP)	15.26	NA	NA	8.08	8.72	1.50	090
52630		A	Remove prostate regrowth	7.73	NA	NA	4.56	5.05	0.75	090
52640		A	Relieve bladder contracture	4.79	NA	NA	3.33	3.82	0.45	090
52647		A	Laser surgery of prostate	11.30	37.08	48.92	6.56	7.31	1.10	090
52648		A	Laser surgery of prostate	12.15	37.65	49.46	6.90	7.68	1.20	090
52649		A	Prostate laser enucleation	17.29	NA	NA	8.95	10.52	1.67	090
52700		A	Drainage of prostate abscess	7.49	NA	NA	4.67	5.10	0.72	090
53000		A	Incision of urethra	2.33	NA	NA	1.75	1.97	0.24	010
53010		A	Incision of urethra	4.45	NA	NA	3.67	4.10	0.42	090
53020		A	Incision of urethra	1.77	NA	NA	0.91	1.02	0.18	000
53025		A	Incision of urethra	1.13	NA	NA	0.84	0.81	0.07	000
53040		A	Drainage of urethra abscess	6.55	NA	NA	4.28	4.75	0.64	090
53060		A	Drainage of urethra abscess	2.68	2.41	2.45	1.90	1.86	0.44	010
53080		A	Drainage of urinary leakage	6.92	NA	NA	4.67	5.45	0.67	090
53085		A	Drainage of urinary leakage	11.18	NA	NA	6.72	6.85	1.46	090
53200		A	Biopsy of urethra	2.59	1.69	1.86	1.33	1.44	0.27	000
53210		A	Removal of urethra	13.72	NA	NA	7.71	8.48	1.32	090
53215		A	Removal of urethra	16.85	NA	NA	8.79	9.86	1.63	090
53220		A	Treatment of urethra lesion	7.63	NA	NA	4.95	5.44	0.73	090

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53230		A	Removal of urethra lesion	10.44	NA	NA	6.31	6.90	1.17	090
53235		A	Removal of urethra lesion	10.99	NA	NA	6.47	7.31	1.06	090
53240		A	Surgery for urethra pouch	7.08	NA	NA	4.63	5.23	0.68	090
53250		A	Removal of urethra gland	6.52	NA	NA	4.85	5.17	1.42	090
53260		A	Treatment of urethra lesion	3.03	2.51	2.74	1.95	2.06	0.35	010
53265		A	Treatment of urethra lesion	3.17	2.81	3.19	1.97	2.14	0.34	010
53270		A	Removal of urethra gland	3.14	2.66	2.79	2.12	2.15	0.53	010
53275		A	Repair of urethra defect	4.57	NA	NA	2.67	3.01	0.45	010
53400		A	Revise urethra stage 1	14.13	NA	NA	8.02	8.89	1.42	090
53405		A	Revise urethra stage 2	15.66	NA	NA	8.45	9.52	1.52	090
53410		A	Reconstruction of urethra	17.68	NA	NA	9.39	10.49	1.71	090
53415		A	Reconstruction of urethra	20.70	NA	NA	10.49	11.71	2.04	090
53420		A	Reconstruct urethra stage 1	15.17	NA	NA	8.05	8.36	1.48	090
53425		A	Reconstruct urethra stage 2	17.07	NA	NA	8.80	9.94	1.65	090
53430		A	Reconstruction of urethra	17.43	NA	NA	9.24	9.89	1.97	090
53431		A	Reconstruct urethra/bladder	21.18	NA	NA	10.70	11.94	2.04	090
53440		A	Male sling procedure	15.54	NA	NA	8.87	9.82	1.52	090
53442		A	Remove/revise male sling	13.49	NA	NA	8.13	8.96	1.31	090
53444		A	Insert tandem cuff	14.19	NA	NA	7.71	8.66	1.37	090
53445		A	Insert uro/ves nck sphincter	15.39	NA	NA	8.81	9.93	1.51	090
53446		A	Remove uro sphincter	11.02	NA	NA	6.71	7.53	1.09	090
53447		A	Remove/replace ur sphincter	14.28	NA	NA	8.05	9.06	1.40	090
53448		A	Remov/replc ur sphinctr comp	23.44	NA	NA	11.92	13.37	2.26	090
53449		A	Repair uro sphincter	10.56	NA	NA	6.32	7.09	1.05	090
53450		A	Revision of urethra	6.77	NA	NA	4.49	5.04	0.65	090
53460		A	Revision of urethra	7.75	NA	NA	4.88	5.47	0.73	090
53500		A	Urethrlys transvag w/ scope	13.00	NA	NA	7.63	8.29	1.50	090
53502		A	Repair of urethra injury	8.26	NA	NA	5.16	5.70	0.80	090
53505		A	Repair of urethra injury	8.26	NA	NA	5.15	5.77	0.80	090

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53510		A	Repair of urethra injury	10.96	NA	NA	6.46	7.24	1.06	090
53515		A	Repair of urethra injury	14.22	NA	NA	7.74	8.61	1.37	090
53520		A	Repair of urethra defect	9.48	NA	NA	5.87	6.57	0.91	090
53600		A	Dilate urethra stricture	1.21	1.07	1.25	0.56	0.63	0.11	000
53601		A	Dilate urethra stricture	0.98	1.24	1.45	0.50	0.56	0.08	000
53605		A	Dilate urethra stricture	1.28	NA	NA	0.51	0.57	0.12	000
53620		A	Dilate urethra stricture	1.62	1.56	1.88	0.80	0.89	0.16	000
53621		A	Dilate urethra stricture	1.35	1.64	1.98	0.65	0.73	0.12	000
53660		A	Dilation of urethra	0.71	1.21	1.42	0.44	0.48	0.07	000
53661		A	Dilation of urethra	0.72	1.17	1.38	0.40	0.44	0.07	000
53665		A	Dilation of urethra	0.76	NA	NA	0.31	0.33	0.08	000
53850		A	Prostatic microwave thermotx	10.08	43.42	58.40	5.61	6.25	0.99	090
53852		A	Prostatic rf thermotx	10.83	40.94	55.09	6.34	7.06	1.06	090
53855		A	Insert prost urethral stent	1.64	19.36	19.36	0.64	0.64	0.16	000
53860		A	Transurethral rf treatment	3.97	38.51	38.51	2.24	2.24	0.68	090
53899		C	Urology surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
54000		A	Slitting of prepuce	1.59	2.45	2.93	1.39	1.54	0.14	010
54001		A	Slitting of prepuce	2.24	2.81	3.31	1.59	1.76	0.23	010
54015		A	Drain penis lesion	5.36	NA	NA	3.10	3.49	0.56	010
54050		A	Destruction penis lesion(s)	1.29	2.40	2.44	1.67	1.65	0.16	010
54055		A	Destruction penis lesion(s)	1.25	2.01	2.17	1.32	1.36	0.14	010
54056		A	Cryosurgery penis lesion(s)	1.29	2.72	2.70	1.87	1.82	0.18	010
54057		A	Laser surg penis lesion(s)	1.29	2.47	2.77	1.33	1.42	0.12	010
54060		A	Excision of penis lesion(s)	1.98	2.94	3.38	1.62	1.75	0.22	010
54065		A	Destruction penis lesion(s)	2.47	3.66	3.75	2.38	2.32	0.31	010
54100		A	Biopsy of penis	1.90	3.63	3.79	1.69	1.63	0.24	000
54105		A	Biopsy of penis	3.54	3.70	4.37	2.34	2.64	0.35	010
54110		A	Treatment of penis lesion	10.92	NA	NA	6.31	7.03	1.06	090
54111		A	Treat penis lesion graft	14.42	NA	NA	7.68	8.63	1.40	090

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54112		A	Treat penis lesion graft	16.98	NA	NA	8.91	10.05	1.63	090
54115		A	Treatment of penis lesion	6.95	5.47	6.15	4.74	5.28	0.67	090
54120		A	Partial removal of penis	11.01	NA	NA	6.42	7.18	1.09	090
54125		A	Removal of penis	14.56	NA	NA	7.87	8.77	1.48	090
54130		A	Remove penis & nodes	21.84	NA	NA	11.12	12.47	2.12	090
54135		A	Remove penis & nodes	28.17	NA	NA	13.60	15.29	2.74	090
54150		A	Circumcision w/regionl block	1.90	2.34	2.84	0.80	0.86	0.23	000
54160		A	Circumcision neonate	2.53	3.46	4.14	1.44	1.62	0.24	010
54161		A	Circum 28 days or older	3.32	NA	NA	2.11	2.35	0.34	010
54162		A	Lysis penil circumic lesion	3.32	3.73	4.44	2.18	2.39	0.33	010
54163		A	Repair of circumcision	3.32	NA	NA	2.72	3.03	0.33	010
54164		A	Frenulotomy of penis	2.82	NA	NA	2.50	2.79	0.29	010
54200		A	Treatment of penis lesion	1.11	1.83	2.12	1.21	1.36	0.10	010
54205		A	Treatment of penis lesion	8.97	NA	NA	5.72	6.49	0.86	090
54220		A	Treatment of penis lesion	2.42	3.07	3.66	1.29	1.44	0.26	000
54230		A	Prepare penis study	1.34	1.30	1.49	0.86	0.96	0.12	000
54231		A	Dynamic cavernosometry	2.04	1.80	2.04	1.17	1.32	0.20	000
54235		A	Penile injection	1.19	1.29	1.45	0.85	0.94	0.11	000
54240		A	Penis study	1.31	1.43	1.60	NA	NA	0.09	000
54240	TC	A	Penis study	0.00	0.92	1.03	NA	NA	0.01	000
54240	26	A	Penis study	1.31	0.51	0.57	0.51	0.57	0.08	000
54250		A	Penis study	2.22	1.16	1.33	NA	NA	0.15	000
54250	TC	A	Penis study	0.00	0.29	0.34	NA	NA	0.01	000
54250	26	A	Penis study	2.22	0.87	0.99	0.87	0.99	0.14	000
54300		A	Revision of penis	11.20	NA	NA	6.52	7.41	1.09	090
54304		A	Revision of penis	13.28	NA	NA	7.42	8.46	1.28	090
54308		A	Reconstruction of urethra	12.62	NA	NA	7.90	8.50	1.22	090
54312		A	Reconstruction of urethra	14.51	NA	NA	8.96	9.67	1.40	090
54316		A	Reconstruction of urethra	18.05	NA	NA	10.56	11.35	1.74	090

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54318		A	Reconstruction of urethra	12.43	NA	NA	7.97	8.54	0.87	090
54322		A	Reconstruction of urethra	13.98	NA	NA	7.58	8.64	1.36	090
54324		A	Reconstruction of urethra	17.55	NA	NA	9.21	10.51	1.69	090
54326		A	Reconstruction of urethra	17.02	NA	NA	9.10	9.77	1.65	090
54328		A	Revise penis/urethra	16.89	NA	NA	9.06	10.11	1.63	090
54332		A	Revise penis/urethra	18.37	NA	NA	9.64	10.91	1.78	090
54336		A	Revise penis/urethra	21.62	NA	NA	12.48	12.35	2.10	090
54340		A	Secondary urethral surgery	9.71	NA	NA	6.01	6.64	0.93	090
54344		A	Secondary urethral surgery	17.06	NA	NA	10.12	10.90	1.65	090
54348		A	Secondary urethral surgery	18.32	NA	NA	16.83	14.69	1.29	090
54352		A	Reconstruct urethra/penis	26.13	NA	NA	22.85	19.85	2.55	090
54360		A	Penis plastic surgery	12.78	NA	NA	7.12	8.10	1.24	090
54380		A	Repair penis	14.18	NA	NA	7.88	8.97	1.37	090
54385		A	Repair penis	16.56	NA	NA	9.06	11.07	2.42	090
54390		A	Repair penis and bladder	22.77	NA	NA	12.79	12.47	2.20	090
54400		A	Insert semi-rigid prosthesis	9.17	NA	NA	5.45	6.15	0.88	090
54401		A	Insert self-contd prosthesis	10.44	NA	NA	7.63	8.56	1.03	090
54405		A	Insert multi-comp penis pros	14.52	NA	NA	7.83	8.78	1.42	090
54406		A	Remove muti-comp penis pros	12.89	NA	NA	7.28	8.15	1.25	090
54408		A	Repair multi-comp penis pros	13.91	NA	NA	7.92	8.83	1.37	090
54410		A	Remove/replace penis prosth	15.18	NA	NA	8.56	9.62	1.48	090
54411		A	Remov/replc penis pros comp	18.35	NA	NA	10.01	11.16	1.78	090
54415		A	Remove self-contd penis pros	8.88	NA	NA	5.69	6.37	0.86	090
54416		A	Remv/repl penis contain pros	12.08	NA	NA	7.53	8.39	1.18	090
54417		A	Remv/replc penis pros compl	16.10	NA	NA	8.71	9.73	1.57	090
54420		A	Revision of penis	12.39	NA	NA	7.06	7.98	1.21	090
54430		A	Revision of penis	11.06	NA	NA	6.61	7.45	1.08	090
54435		A	Revision of penis	6.81	NA	NA	4.68	5.27	0.65	090
54440		C	Repair of penis	0.00	0.00	0.00	0.00	0.00	0.00	090

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54450		A	Preputial stretching	1.12	0.80	0.95	0.47	0.54	0.10	000
54500		A	Biopsy of testis	1.31	NA	NA	0.75	0.85	0.12	000
54505		A	Biopsy of testis	3.50	NA	NA	2.29	2.60	0.34	010
54512		A	Excise lesion testis	9.33	NA	NA	5.52	6.10	0.93	090
54520		A	Removal of testis	5.30	NA	NA	3.68	4.04	0.61	090
54522		A	Orchiectomy partial	10.25	NA	NA	6.03	6.50	1.01	090
54530		A	Removal of testis	8.46	NA	NA	5.46	6.12	0.87	090
54535		A	Extensive testis surgery	13.19	NA	NA	7.35	8.01	1.28	090
54550		A	Exploration for testis	8.41	NA	NA	5.17	5.71	0.82	090
54560		A	Exploration for testis	12.10	NA	NA	6.86	7.25	1.18	090
54600		A	Reduce testis torsion	7.64	NA	NA	4.86	5.42	0.73	090
54620		A	Suspension of testis	5.21	NA	NA	3.07	3.47	0.50	010
54640		A	Suspension of testis	7.73	NA	NA	5.47	5.89	0.87	090
54650		A	Orchiopexy (Fowler-Stephens)	12.39	NA	NA	7.25	7.95	1.21	090
54660		A	Revision of testis	5.74	NA	NA	4.11	4.56	0.54	090
54670		A	Repair testis injury	6.65	NA	NA	4.53	5.04	0.64	090
54680		A	Relocation of testis(es)	14.04	NA	NA	7.69	8.42	1.36	090
54690		A	Laparoscopy orchiectomy	11.70	NA	NA	6.45	6.66	2.48	090
54692		A	Laparoscopy orchiopexy	13.74	NA	NA	7.23	8.13	1.32	090
54699		C	Laparoscope proc testis	0.00	0.00	0.00	0.00	0.00	0.00	YYY
54700		A	Drainage of scrotum	3.47	NA	NA	2.40	2.62	0.39	010
54800		A	Biopsy of epididymis	2.33	NA	NA	2.07	1.82	0.33	000
54830		A	Remove epididymis lesion	6.01	NA	NA	4.26	4.71	0.63	090
54840		A	Remove epididymis lesion	5.27	NA	NA	3.59	4.03	0.52	090
54860		A	Removal of epididymis	6.95	NA	NA	4.60	5.13	0.68	090
54861		A	Removal of epididymis	9.70	NA	NA	5.92	6.59	0.93	090
54865		A	Explore epididymis	5.77	NA	NA	4.12	4.57	0.56	090
54900		A	Fusion of spermatic ducts	14.20	NA	NA	7.89	8.03	1.02	090
54901		A	Fusion of spermatic ducts	19.10	NA	NA	11.18	11.88	1.36	090

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55000		A	Drainage of hydrocele	1.43	1.78	2.07	0.92	1.00	0.14	000
55040		A	Removal of hydrocele	5.45	NA	NA	3.85	4.26	0.61	090
55041		A	Removal of hydroceles	8.54	NA	NA	5.52	6.09	0.90	090
55060		A	Repair of hydrocele	6.15	NA	NA	4.33	4.77	0.67	090
55100		A	Drainage of scrotum abscess	2.45	3.42	3.88	2.12	2.28	0.30	010
55110		A	Explore scrotum	6.33	NA	NA	4.39	4.81	0.68	090
55120		A	Removal of scrotum lesion	5.72	NA	NA	4.10	4.51	0.61	090
55150		A	Removal of scrotum	8.14	NA	NA	5.41	5.94	0.86	090
55175		A	Revision of scrotum	5.87	NA	NA	4.19	4.63	0.60	090
55180		A	Revision of scrotum	11.78	NA	NA	7.32	7.98	1.29	090
55200		A	Incision of sperm duct	4.55	7.34	9.22	3.13	3.43	0.44	090
55250		A	Removal of sperm duct(s)	3.37	7.32	8.98	2.96	3.25	0.33	090
55300		A	Prepare sperm duct x-ray	3.50	NA	NA	1.68	1.73	0.34	000
55400		A	Repair of sperm duct	8.61	NA	NA	5.14	5.81	0.83	090
55450		A	Ligation of sperm duct	4.43	5.38	6.47	2.67	2.94	0.42	010
55500		A	Removal of hydrocele	6.22	NA	NA	4.67	4.81	0.88	090
55520		A	Removal of sperm cord lesion	6.66	NA	NA	5.39	5.00	1.36	090
55530		A	Revise spermatic cord veins	5.75	NA	NA	3.98	4.41	0.63	090
55535		A	Revise spermatic cord veins	7.19	NA	NA	4.68	5.17	0.69	090
55540		A	Revise hernia & sperm veins	8.30	NA	NA	6.12	5.69	1.65	090
55550		A	Laparo ligate spermatic vein	7.20	NA	NA	4.62	5.02	0.69	090
55559		C	Laparo proc spermatic cord	0.00	0.00	0.00	0.00	0.00	0.00	YYY
55600		A	Incise sperm duct pouch	7.01	NA	NA	4.62	5.17	0.68	090
55605		A	Incise sperm duct pouch	8.76	NA	NA	6.21	6.24	0.84	090
55650		A	Remove sperm duct pouch	12.65	NA	NA	7.15	7.88	1.22	090
55680		A	Remove sperm pouch lesion	5.67	NA	NA	3.88	4.17	0.54	090
55700		A	Biopsy of prostate	2.58	3.37	4.05	1.27	1.39	0.26	000
55705		A	Biopsy of prostate	4.61	NA	NA	2.75	3.11	0.45	010
55706		A	Prostate saturation sampling	6.28	NA	NA	4.01	4.69	0.44	010

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55720		A	Drainage of prostate abscess	7.73	NA	NA	4.75	5.29	0.73	090
55725		A	Drainage of prostate abscess	10.05	NA	NA	6.32	6.96	0.98	090
55801		A	Removal of prostate	19.80	NA	NA	10.40	11.49	1.92	090
55810		A	Extensive prostate surgery	24.29	NA	NA	12.06	13.39	2.48	090
55812		A	Extensive prostate surgery	29.89	NA	NA	14.57	16.28	2.91	090
55815		A	Extensive prostate surgery	32.95	NA	NA	15.78	17.67	3.20	090
55821		A	Removal of prostate	15.76	NA	NA	8.39	9.37	1.55	090
55831		A	Removal of prostate	17.19	NA	NA	8.94	10.01	1.66	090
55840		A	Extensive prostate surgery	24.63	NA	NA	12.34	13.80	2.41	090
55842		A	Extensive prostate surgery	26.49	NA	NA	13.07	14.65	2.60	090
55845		A	Extensive prostate surgery	30.67	NA	NA	14.53	16.26	3.04	090
55860		A	Surgical exposure prostate	15.84	NA	NA	8.31	9.33	1.52	090
55862		A	Extensive prostate surgery	20.04	NA	NA	10.26	11.56	1.93	090
55865		A	Extensive prostate surgery	24.57	NA	NA	12.29	13.83	2.38	090
55866		A	Laparo radical prostatectomy	32.06	NA	NA	15.98	17.65	3.18	090
55870		A	Electroejaculation	2.58	2.24	2.52	1.35	1.54	0.26	000
55873		A	Cryoablate prostate	13.60	172.30	172.30	7.49	10.58	1.36	090
55875		A	Transperi needle place pros	13.46	NA	NA	7.61	8.49	1.29	090
55876		A	Place rt device/marker pros	1.73	1.98	2.27	1.05	1.20	0.16	000
55899		C	Genital surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
55920		A	Place needles pelvic for rt	8.31	NA	NA	4.07	4.14	0.80	000
55970		N	Sex transformation m to f	0.00	0.00	0.00	0.00	0.00	0.00	XXX
55980		N	Sex transformation f to m	0.00	0.00	0.00	0.00	0.00	0.00	XXX
56405		A	I & D of vulva/perineum	1.49	1.50	1.50	1.48	1.45	0.26	010
56420		A	Drainage of gland abscess	1.44	1.88	1.99	1.06	1.05	0.24	010
56440		A	Surgery for vulva lesion	2.89	NA	NA	2.12	2.07	0.49	010
56441		A	Lysis of labial lesion(s)	2.02	1.93	2.04	1.78	1.84	0.29	010
56442		A	Hymenotomy	0.68	NA	NA	0.64	0.64	0.11	000
56501		A	Destroy vulva lesions sim	1.58	2.00	2.03	1.57	1.55	0.27	010

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56515		A	Destroy vulva lesion/s compl	3.08	3.10	3.07	2.43	2.32	0.50	010
56605		A	Biopsy of vulva/perineum	1.10	1.15	1.17	0.56	0.52	0.18	000
56606		A	Biopsy of vulva/perineum	0.55	0.47	0.48	0.26	0.24	0.08	ZZZ
56620		A	Partial removal of vulva	7.53	NA	NA	6.29	6.07	1.27	090
56625		A	Complete removal of vulva	9.68	NA	NA	6.86	6.53	1.62	090
56630		A	Extensive vulva surgery	14.80	NA	NA	9.47	8.83	2.56	090
56631		A	Extensive vulva surgery	18.99	NA	NA	11.79	10.99	3.21	090
56632		A	Extensive vulva surgery	21.86	NA	NA	14.11	13.02	3.70	090
56633		A	Extensive vulva surgery	19.62	NA	NA	11.97	11.11	3.32	090
56634		A	Extensive vulva surgery	20.66	NA	NA	12.80	11.83	3.50	090
56637		A	Extensive vulva surgery	24.75	NA	NA	14.50	13.43	4.18	090
56640		A	Extensive vulva surgery	24.78	NA	NA	13.72	12.80	4.18	090
56700		A	Partial removal of hymen	2.84	NA	NA	2.30	2.25	0.48	010
56740		A	Remove vagina gland lesion	4.88	NA	NA	3.28	3.14	0.83	010
56800		A	Repair of vagina	3.93	NA	NA	2.68	2.62	0.64	010
56805		A	Repair clitoris	19.88	NA	NA	11.35	10.85	3.38	090
56810		A	Repair of perineum	4.29	NA	NA	2.85	2.75	0.69	010
56820		A	Exam of vulva w/scope	1.50	1.52	1.52	0.83	0.77	0.26	000
56821		A	Exam/biopsy of vulva w/scope	2.05	1.95	1.97	1.08	1.02	0.34	000
57000		A	Exploration of vagina	3.02	NA	NA	2.17	2.14	0.50	010
57010		A	Drainage of pelvic abscess	6.84	NA	NA	5.08	4.90	1.16	090
57020		A	Drainage of pelvic fluid	1.50	1.05	1.03	0.73	0.67	0.26	000
57022		A	I & d vaginal hematoma pp	2.73	NA	NA	1.88	1.81	0.45	010
57023		A	I & d vag hematoma non-ob	5.18	NA	NA	3.35	3.22	0.87	010
57061		A	Destroy vag lesions simple	1.30	1.80	1.85	1.39	1.38	0.22	010
57065		A	Destroy vag lesions complex	2.66	2.58	2.59	2.03	1.98	0.44	010
57100		A	Biopsy of vagina	1.20	1.20	1.21	0.60	0.56	0.20	000
57105		A	Biopsy of vagina	1.74	1.93	1.98	1.67	1.68	0.29	010
57106		A	Remove vagina wall partial	7.50	NA	NA	5.74	5.55	1.21	090

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57107		A	Remove vagina tissue part	24.56	NA	NA	13.93	13.01	4.15	090
57109		A	Vaginectomy partial w/nodes	28.40	NA	NA	15.62	14.48	4.80	090
57110		A	Remove vagina wall complete	15.48	NA	NA	9.12	8.67	2.59	090
57111		A	Remove vagina tissue compl	28.40	NA	NA	15.62	14.76	4.80	090
57112		A	Vaginectomy w/nodes compl	30.52	NA	NA	9.64	12.02	2.42	090
57120		A	Closure of vagina	8.28	NA	NA	5.73	5.57	1.36	090
57130		A	Remove vagina lesion	2.46	2.40	2.45	1.91	1.89	0.41	010
57135		A	Remove vagina lesion	2.70	2.53	2.56	2.03	1.99	0.44	010
57150		A	Treat vagina infection	0.55	0.69	0.79	0.26	0.24	0.08	000
57155		A	Insert uteri tandems/ovoids	3.37	6.02	6.02	1.67	1.67	0.30	000
57156		A	Ins vag brachytx device	1.87	2.40	2.40	1.00	1.00	0.16	000
57160		A	Insert pessary/other device	0.89	1.21	1.24	0.41	0.38	0.14	000
57170		A	Fitting of diaphragm/cap	0.91	0.75	0.87	0.41	0.38	0.14	000
57180		A	Treat vaginal bleeding	1.63	2.21	2.29	1.25	1.26	0.27	010
57200		A	Repair of vagina	4.42	NA	NA	3.77	3.72	0.71	090
57210		A	Repair vagina/perineum	5.71	NA	NA	4.33	4.26	0.91	090
57220		A	Revision of urethra	4.85	NA	NA	3.92	3.88	0.80	090
57230		A	Repair of urethral lesion	6.30	NA	NA	4.55	4.55	1.06	090
57240		A	Repair bladder & vagina	11.50	NA	NA	6.91	6.69	1.63	090
57250		A	Repair rectum & vagina	11.50	NA	NA	7.08	6.53	1.86	090
57260		A	Repair of vagina	14.44	NA	NA	8.43	7.80	2.34	090
57265		A	Extensive repair of vagina	15.94	NA	NA	9.10	8.57	2.57	090
57267		A	Insert mesh/pelvic flr addon	4.88	NA	NA	2.18	2.14	0.72	ZZZ
57268		A	Repair of bowel bulge	7.57	NA	NA	5.67	5.54	1.22	090
57270		A	Repair of bowel pouch	13.67	NA	NA	8.22	7.83	2.25	090
57280		A	Suspension of vagina	16.72	NA	NA	9.45	9.20	2.60	090
57282		A	Colpopexy extraperitoneal	7.97	NA	NA	5.80	5.74	1.24	090
57283		A	Colpopexy intraperitoneal	11.66	NA	NA	7.27	7.00	1.92	090
57284		A	Repair paravag defect open	14.33	NA	NA	8.07	8.02	2.16	090

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57285		A	Repair paravag defect vag	11.60	NA	NA	6.97	6.78	1.80	090
57287		A	Revise/remove sling repair	11.15	NA	NA	7.53	7.86	1.47	090
57288		A	Repair bladder defect	12.13	NA	NA	7.19	7.53	1.59	090
57289		A	Repair bladder & vagina	12.80	NA	NA	7.31	7.73	1.24	090
57291		A	Construction of vagina	8.64	NA	NA	9.11	7.55	1.47	090
57292		A	Construct vagina with graft	14.01	NA	NA	8.41	8.17	2.34	090
57295		A	Revise vag graft via vagina	7.82	NA	NA	5.33	5.36	1.20	090
57296		A	Revise vag graft open abd	16.56	NA	NA	9.49	8.99	2.79	090
57300		A	Repair rectum-vagina fistula	8.71	NA	NA	6.51	6.04	1.52	090
57305		A	Repair rectum-vagina fistula	15.35	NA	NA	9.64	8.79	2.97	090
57307		A	Fistula repair & colostomy	17.17	NA	NA	11.05	9.95	3.67	090
57308		A	Fistula repair transperine	10.59	NA	NA	7.43	6.85	1.80	090
57310		A	Repair urethrovaginal lesion	7.65	NA	NA	5.05	5.50	0.73	090
57311		A	Repair urethrovaginal lesion	8.91	NA	NA	5.54	6.04	0.86	090
57320		A	Repair bladder-vagina lesion	8.88	NA	NA	5.79	6.13	1.05	090
57330		A	Repair bladder-vagina lesion	13.21	NA	NA	7.19	7.76	1.28	090
57335		A	Repair vagina	20.02	NA	NA	11.72	11.33	3.39	090
57400		A	Dilation of vagina	2.27	NA	NA	1.37	1.34	0.38	000
57410		A	Pelvic examination	1.75	NA	NA	1.19	1.14	0.29	000
57415		A	Remove vaginal foreign body	2.49	NA	NA	1.90	1.89	0.37	010
57420		A	Exam of vagina w/scope	1.60	1.56	1.57	0.87	0.81	0.26	000
57421		A	Exam/biopsy of vag w/scope	2.20	2.05	2.05	1.16	1.08	0.37	000
57423		A	Repair paravag defect lap	16.08	NA	NA	8.96	8.67	2.72	090
57425		A	Laparoscopy surg colpopexy	17.03	NA	NA	9.67	9.21	2.67	090
57426		A	Revise prosth vag graft lap	14.30	NA	NA	8.37	8.37	2.41	090
57452		A	Exam of cervix w/scope	1.50	1.47	1.48	1.02	0.98	0.24	000
57454		A	Bx/curett of cervix w/scope	2.33	1.87	1.84	1.41	1.34	0.38	000
57455		A	Biopsy of cervix w/scope	1.99	1.92	1.92	1.05	0.98	0.33	000
57456		A	Endocerv curettage w/scope	1.85	1.84	1.85	0.98	0.92	0.31	000

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57460		A	Bx of cervix w/scope leep	2.83	4.91	5.32	1.64	1.56	0.48	000
57461		A	Conz of cervix w/scope leep	3.43	5.30	5.70	1.72	1.61	0.59	000
57500		A	Biopsy of cervix	1.20	2.29	2.46	0.88	0.84	0.20	000
57505		A	Endocervical curettage	1.19	1.59	1.63	1.33	1.33	0.20	010
57510		A	Cauterization of cervix	1.90	1.69	1.70	1.27	1.22	0.31	010
57511		A	Cryocautery of cervix	1.95	2.03	2.04	1.68	1.65	0.33	010
57513		A	Laser surgery of cervix	1.95	1.98	1.99	1.68	1.66	0.33	010
57520		A	Conization of cervix	4.11	4.23	4.29	3.35	3.30	0.68	090
57522		A	Conization of cervix	3.67	3.52	3.54	2.99	2.93	0.63	090
57530		A	Removal of cervix	5.27	NA	NA	4.17	4.09	0.87	090
57531		A	Removal of cervix radical	29.95	NA	NA	17.29	15.89	5.06	090
57540		A	Removal of residual cervix	13.29	NA	NA	8.09	7.66	2.23	090
57545		A	Remove cervix/repair pelvis	14.10	NA	NA	8.47	8.01	2.35	090
57550		A	Removal of residual cervix	6.34	NA	NA	4.84	4.74	1.08	090
57555		A	Remove cervix/repair vagina	9.94	NA	NA	6.56	6.27	1.66	090
57556		A	Remove cervix repair bowel	9.36	NA	NA	6.15	6.06	1.46	090
57558		A	D&c of cervical stump	1.72	1.70	1.71	1.40	1.37	0.29	010
57700		A	Revision of cervix	4.35	NA	NA	4.19	4.17	0.72	090
57720		A	Revision of cervix	4.61	NA	NA	3.83	3.77	0.76	090
57800		A	Dilation of cervical canal	0.77	0.88	0.89	0.56	0.55	0.12	000
58100		A	Biopsy of uterus lining	1.53	1.46	1.47	0.88	0.83	0.26	000
58110		A	Bx done w/colposcopy add-on	0.77	0.55	0.55	0.36	0.33	0.12	ZZZ
58120		A	Dilation and curettage	3.59	3.44	3.34	2.38	2.27	0.61	010
58140		A	Myomectomy abdom method	15.79	NA	NA	9.28	8.70	2.80	090
58145		A	Myomectomy vag method	8.91	NA	NA	6.00	5.76	1.50	090
58146		A	Myomectomy abdom complex	20.34	NA	NA	11.31	10.66	3.44	090
58150		A	Total hysterectomy	17.31	NA	NA	9.98	9.31	2.94	090
58152		A	Total hysterectomy	21.86	NA	NA	12.25	11.52	3.74	090
58180		A	Partial hysterectomy	16.60	NA	NA	9.60	9.03	2.80	090

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58200		A	Extensive hysterectomy	23.10	NA	NA	12.92	11.98	3.89	090
58210		A	Extensive hysterectomy	30.91	NA	NA	17.23	15.90	5.27	090
58240		A	Removal of pelvis contents	49.33	NA	NA	26.98	24.97	8.33	090
58260		A	Vaginal hysterectomy	14.15	NA	NA	8.53	8.09	2.38	090
58262		A	Vag hyst including t/o	15.94	NA	NA	9.34	8.83	2.69	090
58263		A	Vag hyst w/t/o & vag repair	17.23	NA	NA	9.95	9.41	2.91	090
58267		A	Vag hyst w/urinary repair	18.36	NA	NA	10.54	9.95	3.12	090
58270		A	Vag hyst w/enterocele repair	15.30	NA	NA	8.86	8.39	2.57	090
58275		A	Hysterectomy/revise vagina	17.03	NA	NA	9.90	9.37	2.90	090
58280		A	Hysterectomy/revise vagina	18.33	NA	NA	10.55	9.92	3.08	090
58285		A	Extensive hysterectomy	23.38	NA	NA	12.58	11.79	3.93	090
58290		A	Vag hyst complex	20.27	NA	NA	11.28	10.62	3.43	090
58291		A	Vag hyst incl t/o complex	22.06	NA	NA	12.11	11.43	3.73	090
58292		A	Vag hyst t/o & repair compl	23.35	NA	NA	12.70	11.94	3.93	090
58293		A	Vag hyst w/uro repair compl	24.33	NA	NA	13.16	12.33	4.11	090
58294		A	Vag hyst w/enterocele compl	21.55	NA	NA	11.86	11.12	3.66	090
58300		N	Insert intrauterine device	1.01	0.94	1.05	0.44	0.44	0.07	XXX
58301		A	Remove intrauterine device	1.27	1.33	1.35	0.59	0.54	0.22	000
58321		A	Artificial insemination	0.92	1.18	1.24	0.42	0.40	0.05	000
58322		A	Artificial insemination	1.10	1.25	1.29	0.51	0.47	0.18	000
58323		A	Sperm washing	0.23	0.19	0.25	0.11	0.10	0.04	000
58340		A	Catheter for hysterography	0.88	2.34	2.64	0.72	0.73	0.12	000
58345		A	Reopen fallopian tube	4.70	NA	NA	2.96	2.86	0.78	010
58346		A	Insert heyman uteri capsule	7.56	NA	NA	4.73	4.75	0.63	090
58350		A	Reopen fallopian tube	1.06	1.58	1.64	1.10	1.10	0.18	010
58353		A	Endometr ablate thermal	3.60	23.92	27.73	2.41	2.34	0.61	010
58356		A	Endometrial cryoablation	6.41	45.34	51.69	3.07	2.87	1.08	010
58400		A	Suspension of uterus	7.14	NA	NA	4.95	4.92	1.08	090
58410		A	Suspension of uterus	13.80	NA	NA	8.26	7.81	2.31	090

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58520		A	Repair of ruptured uterus	13.48	NA	NA	8.11	7.62	2.90	090
58540		A	Revision of uterus	15.71	NA	NA	9.22	8.67	2.65	090
58541		A	Lsh uterus 250 g or less	14.70	NA	NA	9.01	8.43	2.46	090
58542		A	Lsh w/t/o ut 250 g or less	16.56	NA	NA	9.89	9.22	2.80	090
58543		A	Lsh uterus above 250 g	16.87	NA	NA	10.05	9.35	2.86	090
58544		A	Lsh w/t/o uterus above 250 g	18.37	NA	NA	10.73	9.94	3.12	090
58545		A	Laparoscopic myomectomy	15.55	NA	NA	8.94	8.41	2.67	090
58546		A	Laparo-myomectomy complex	19.94	NA	NA	10.93	10.28	3.39	090
58548		A	Lap radical hyst	31.63	NA	NA	17.89	16.17	5.32	090
58550		A	Laparo-asst vag hysterectomy	15.10	NA	NA	9.09	8.63	2.56	090
58552		A	Laparo-vag hyst incl t/o	16.91	NA	NA	9.92	9.38	2.87	090
58553		A	Laparo-vag hyst complex	20.06	NA	NA	11.04	10.35	3.40	090
58554		A	Laparo-vag hyst w/t/o compl	23.11	NA	NA	12.91	12.07	3.93	090
58555		A	Hysteroscopy dx sep proc	3.33	4.97	4.12	1.88	1.77	0.56	000
58558		A	Hysteroscopy biopsy	4.74	6.09	5.09	2.57	2.42	0.80	000
58559		A	Hysteroscopy lysis	6.16	NA	NA	3.22	3.04	1.05	000
58560		A	Hysteroscopy resect septum	6.99	NA	NA	3.61	3.40	1.18	000
58561		A	Hysteroscopy remove myoma	9.99	NA	NA	5.01	4.69	1.67	000
58562		A	Hysteroscopy remove fb	5.20	6.02	5.04	2.75	2.59	0.87	000
58563		A	Hysteroscopy ablation	6.16	39.23	45.07	3.23	3.04	1.05	000
58565		A	Hysteroscopy sterilization	7.12	43.95	48.58	4.77	4.60	1.20	090
58570		A	Tlh uterus 250 g or less	15.88	NA	NA	9.60	8.95	2.69	090
58571		A	Tlh w/t/o 250 g or less	17.69	NA	NA	10.61	9.78	3.01	090
58572		A	Tlh uterus over 250 g	20.09	NA	NA	11.60	10.70	3.40	090
58573		A	Tlh w/t/o uterus over 250 g	23.11	NA	NA	13.16	12.03	3.89	090
58578		C	Laparo proc uterus	0.00	0.00	0.00	0.00	0.00	0.00	YYY
58579		C	Hysteroscope procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
58600		A	Division of fallopian tube	5.91	NA	NA	4.09	3.92	1.01	090
58605		A	Division of fallopian tube	5.28	NA	NA	3.76	3.63	0.88	090

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58611		A	Ligate oviduct(s) add-on	1.45	NA	NA	0.68	0.62	0.24	ZZZ
58615		A	Occlude fallopian tube(s)	3.94	NA	NA	2.75	2.73	0.67	010
58660		A	Laparoscopy lysis	11.59	NA	NA	6.76	6.36	2.04	090
58661		A	Laparoscopy remove adnexa	11.35	NA	NA	6.24	5.86	1.93	010
58662		A	Laparoscopy excise lesions	12.15	NA	NA	7.13	6.75	2.07	090
58670		A	Laparoscopy tubal cautery	5.91	NA	NA	4.11	3.96	1.01	090
58671		A	Laparoscopy tubal block	5.91	NA	NA	4.10	3.95	1.01	090
58672		A	Laparoscopy fimbrioplasty	12.91	NA	NA	7.26	6.84	2.18	090
58673		A	Laparoscopy salpingostomy	14.04	NA	NA	7.89	7.47	2.35	090
58679		C	Laparo proc oviduct-ovary	0.00	0.00	0.00	0.00	0.00	0.00	YYY
58700		A	Removal of fallopian tube	12.95	NA	NA	8.13	7.62	2.41	090
58720		A	Removal of ovary/tube(s)	12.16	NA	NA	7.54	7.11	2.15	090
58740		A	Adhesiolysis tube ovary	14.90	NA	NA	8.95	8.50	2.64	090
58750		A	Repair oviduct	15.64	NA	NA	9.08	8.60	2.64	090
58752		A	Revise ovarian tube(s)	15.64	NA	NA	8.86	8.61	1.10	090
58760		A	Fimbrioplasty	13.93	NA	NA	8.29	7.91	2.34	090
58770		A	Create new tubal opening	14.77	NA	NA	8.61	7.92	2.48	090
58800		A	Drainage of ovarian cyst(s)	4.62	4.10	4.14	3.57	3.53	0.76	090
58805		A	Drainage of ovarian cyst(s)	6.42	NA	NA	4.54	4.49	1.09	090
58820		A	Drain ovary abscess open	4.70	NA	NA	4.63	4.21	0.78	090
58822		A	Drain ovary abscess percut	11.81	NA	NA	7.40	7.17	2.52	090
58823		A	Drain pelvic abscess percut	3.37	21.63	23.24	1.27	1.40	0.38	000
58825		A	Transposition ovary(s)	11.78	NA	NA	7.59	7.08	1.97	090
58900		A	Biopsy of ovary(s)	6.59	NA	NA	5.45	4.97	1.40	090
58920		A	Partial removal of ovary(s)	11.95	NA	NA	7.26	6.84	2.00	090
58925		A	Removal of ovarian cyst(s)	12.43	NA	NA	7.75	7.28	2.23	090
58940		A	Removal of ovary(s)	8.22	NA	NA	5.88	5.50	1.54	090
58943		A	Removal of ovary(s)	19.52	NA	NA	11.24	10.45	3.52	090
58950		A	Resect ovarian malignancy	18.37	NA	NA	11.14	10.37	3.25	090

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58951		A	Resect ovarian malignancy	24.26	NA	NA	13.71	12.67	4.18	090
58952		A	Resect ovarian malignancy	27.29	NA	NA	15.54	14.36	4.74	090
58953		A	Tah rad dissect for debulk	34.13	NA	NA	18.86	17.39	5.88	090
58954		A	Tah rad debulk/lymph remove	37.13	NA	NA	20.29	18.72	6.36	090
58956		A	Bso omentectomy w/tah	22.80	NA	NA	13.36	12.44	3.99	090
58957		A	Resect recurrent gyn mal	26.22	NA	NA	15.07	13.72	4.80	090
58958		A	Resect recur gyn mal w/lym	29.22	NA	NA	16.43	15.02	4.94	090
58960		A	Exploration of abdomen	15.79	NA	NA	9.55	8.97	2.76	090
58970		A	Retrieval of oocyte	3.52	2.58	2.52	1.99	1.84	0.26	000
58974		C	Transfer of embryo	0.00	0.00	0.00	0.00	0.00	0.00	000
58976		A	Transfer of embryo	3.82	3.01	3.05	2.08	2.08	0.27	000
58999		C	Genital surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
59000		A	Amniocentesis diagnostic	1.30	2.02	2.13	0.79	0.76	0.37	000
59001		A	Amniocentesis therapeutic	3.00	NA	NA	1.64	1.63	0.86	000
59012		A	Fetal cord puncture prenatal	3.44	NA	NA	1.80	1.69	0.99	000
59015		A	Chorion biopsy	2.20	1.86	1.84	1.22	1.16	0.63	000
59020		A	Fetal contract stress test	0.66	1.23	1.22	NA	NA	0.17	000
59020	TC	A	Fetal contract stress test	0.00	0.92	0.94	NA	NA	0.01	000
59020	26	A	Fetal contract stress test	0.66	0.31	0.28	0.31	0.28	0.16	000
59025		A	Fetal non-stress test	0.53	0.75	0.73	NA	NA	0.13	000
59025	TC	A	Fetal non-stress test	0.00	0.50	0.50	NA	NA	0.01	000
59025	26	A	Fetal non-stress test	0.53	0.25	0.23	0.25	0.23	0.12	000
59030		A	Fetal scalp blood sample	1.99	NA	NA	0.88	0.83	0.12	000
59050		A	Fetal monitor w/report	0.89	NA	NA	0.42	0.38	0.26	XXX
59051		A	Fetal monitor/interpret only	0.74	NA	NA	0.35	0.31	0.22	XXX
59070		A	Transabdom amnioinfus w/us	5.24	5.75	5.83	2.71	2.65	1.51	000
59072		A	Umbilical cord occlud w/us	8.99	NA	NA	4.36	4.22	2.59	000
59074		A	Fetal fluid drainage w/us	5.24	5.92	5.59	3.02	2.77	1.51	000
59076		A	Fetal shunt placement w/us	8.99	NA	NA	4.36	4.05	2.59	000

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
59100		A	Remove uterus lesion	13.37	NA	NA	8.22	7.73	3.84	090
59120		A	Treat ectopic pregnancy	12.67	NA	NA	7.89	7.51	3.66	090
59121		A	Treat ectopic pregnancy	12.74	NA	NA	7.83	7.47	3.67	090
59130		A	Treat ectopic pregnancy	15.08	NA	NA	8.92	8.41	1.08	090
59135		A	Treat ectopic pregnancy	14.92	NA	NA	8.66	8.59	1.06	090
59136		A	Treat ectopic pregnancy	14.25	NA	NA	8.47	8.01	4.10	090
59140		A	Treat ectopic pregnancy	5.94	NA	NA	4.48	4.29	0.41	090
59150		A	Treat ectopic pregnancy	12.29	NA	NA	7.63	7.24	3.54	090
59151		A	Treat ectopic pregnancy	12.11	NA	NA	7.25	6.90	3.48	090
59160		A	D & c after delivery	2.76	2.57	2.72	1.73	1.76	0.78	010
59200		A	Insert cervical dilator	0.79	1.11	1.17	0.37	0.34	0.23	000
59300		A	Episiotomy or vaginal repair	2.41	2.65	2.65	1.44	1.33	0.68	000
59320		A	Revision of cervix	2.48	NA	NA	1.48	1.41	0.69	000
59325		A	Revision of cervix	4.06	NA	NA	2.21	2.09	0.29	000
59350		A	Repair of uterus	4.94	NA	NA	2.31	2.06	1.42	000
59400		A	Obstetrical care	28.69	NA	NA	20.69	19.41	7.99	MMM
59409		A	Obstetrical care	12.82	NA	NA	6.03	5.66	3.55	MMM
59410		A	Obstetrical care	16.07	NA	NA	8.03	7.40	4.45	MMM
59412		A	Antepartum manipulation	1.53	NA	NA	0.88	0.87	0.44	MMM
59414		A	Deliver placenta	1.44	NA	NA	0.67	0.65	0.41	MMM
59425		A	Antepartum care only	5.63	5.34	5.31	2.62	2.43	1.55	MMM
59426		A	Antepartum care only	9.96	9.73	9.67	4.64	4.30	2.69	MMM
59430		A	Care after delivery	2.20	2.27	1.84	1.03	1.00	0.60	MMM
59510		A	Cesarean delivery	31.80	NA	NA	22.69	21.60	9.06	MMM
59514		A	Cesarean delivery only	14.39	NA	NA	6.78	6.53	4.08	MMM
59515		A	Cesarean delivery	19.15	NA	NA	10.07	9.26	5.43	MMM
59525		A	Remove uterus after cesarean	8.53	NA	NA	3.98	3.67	2.44	ZZZ
59610		A	Vbac delivery	30.22	NA	NA	21.33	20.19	8.70	MMM
59612		A	Vbac delivery only	14.35	NA	NA	6.70	6.36	4.12	MMM

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
59614		A	Vbac care after delivery	17.60	NA	NA	8.66	7.92	5.05	MMM
59618		A	Attempted vbac delivery	32.26	NA	NA	22.84	22.09	9.28	MMM
59620		A	Attempted vbac delivery only	14.86	NA	NA	6.94	6.92	4.27	MMM
59622		A	Attempted vbac after care	19.63	NA	NA	10.32	9.78	5.65	MMM
59812		A	Treatment of miscarriage	4.44	3.87	3.78	3.25	3.13	1.25	090
59820		A	Care of miscarriage	4.84	5.09	5.12	4.47	4.40	1.39	090
59821		A	Treatment of miscarriage	5.09	4.88	4.90	4.21	4.12	1.47	090
59830		A	Treat uterus infection	6.59	NA	NA	4.74	4.62	1.89	090
59840		R	Abortion	3.01	2.67	2.61	2.42	2.38	0.80	010
59841		R	Abortion	5.65	4.28	4.15	3.70	3.52	1.62	010
59850		R	Abortion	5.90	NA	NA	3.96	3.95	0.41	090
59851		R	Abortion	5.92	NA	NA	4.44	4.34	1.69	090
59852		R	Abortion	8.23	NA	NA	6.02	6.04	0.59	090
59855		R	Abortion	6.43	NA	NA	4.33	4.18	1.85	090
59856		R	Abortion	7.79	NA	NA	4.83	4.63	2.23	090
59857		R	Abortion	9.33	NA	NA	5.44	5.40	0.65	090
59866		R	Abortion (mpr)	3.99	NA	NA	2.16	2.08	0.29	000
59870		A	Evacuate mole of uterus	6.57	NA	NA	5.74	5.72	1.89	090
59871		A	Remove cerclage suture	2.13	NA	NA	1.34	1.29	0.61	000
59897		C	Fetal invas px w/us	0.00	0.00	0.00	0.00	0.00	0.00	YYY
59898		C	Laparo proc ob care/deliver	0.00	0.00	0.00	0.00	0.00	0.00	YYY
59899		C	Maternity care procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
60000		A	Drain thyroid/tongue cyst	1.81	2.86	2.74	2.37	2.28	0.24	010
6005F		I	Care level rationale doc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
60100		A	Biopsy of thyroid	1.56	1.48	1.58	0.61	0.65	0.16	000
60200		A	Remove thyroid lesion	10.02	NA	NA	8.26	7.68	1.70	090
60210		A	Partial thyroid excision	11.23	NA	NA	8.14	7.46	2.07	090
60212		A	Partial thyroid excision	16.43	NA	NA	11.42	10.31	3.06	090
60220		A	Partial removal of thyroid	12.37	NA	NA	8.91	8.14	2.15	090

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60225		A	Partial removal of thyroid	14.79	NA	NA	10.73	9.83	2.64	090
60240		A	Removal of thyroid	16.22	NA	NA	10.33	9.44	2.95	090
60252		A	Removal of thyroid	22.01	NA	NA	14.16	12.92	3.88	090
60254		A	Extensive thyroid surgery	28.42	NA	NA	18.43	16.84	4.53	090
60260		A	Repeat thyroid surgery	18.26	NA	NA	11.93	10.90	3.13	090
60270		A	Removal of thyroid	23.20	NA	NA	14.05	13.18	4.38	090
60271		A	Removal of thyroid	17.62	NA	NA	11.44	10.55	3.01	090
60280		A	Remove thyroid duct lesion	6.16	NA	NA	6.53	6.10	0.84	090
60281		A	Remove thyroid duct lesion	8.82	NA	NA	8.22	7.49	1.14	090
60300		A	Aspir/inj thyroid cyst	0.97	2.18	2.20	0.38	0.39	0.11	000
6040F		I	Appro rad ds dvcs techs docd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
60500		A	Explore parathyroid glands	16.78	NA	NA	10.84	9.88	3.24	090
60502		A	Re-explore parathyroids	21.15	NA	NA	13.42	12.26	4.15	090
60505		A	Explore parathyroid glands	23.06	NA	NA	14.75	13.63	4.33	090
60512		A	Autotransplant parathyroid	4.44	NA	NA	2.10	1.90	0.83	ZZZ
60520		A	Removal of thymus gland	17.16	NA	NA	10.54	9.88	3.47	090
60521		A	Removal of thymus gland	19.18	NA	NA	10.42	10.67	4.53	090
60522		A	Removal of thymus gland	23.48	NA	NA	12.53	12.71	5.46	090
60540		A	Explore adrenal gland	18.02	NA	NA	10.24	10.27	3.04	090
60545		A	Explore adrenal gland	20.93	NA	NA	11.62	11.36	3.69	090
60600		A	Remove carotid body lesion	25.09	NA	NA	12.94	12.50	5.31	090
60605		A	Remove carotid body lesion	31.96	NA	NA	18.80	16.86	4.11	090
60650		A	Laparoscopy adrenalectomy	20.73	NA	NA	11.15	10.75	3.74	090
60659		C	Laparo proc endocrine	0.00	0.00	0.00	0.00	0.00	0.00	YYY
60699		C	Endocrine surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
6070F		I	Pt asked/cnsld aed effects	0.00	0.00	0.00	0.00	0.00	0.00	XXX
6080F		I	Pt/caregiver queried falls	0.00	0.00	0.00	0.00	0.00	0.00	XXX
6090F		I	Pt/caregiver counsel safety	0.00	0.00	0.00	0.00	0.00	0.00	XXX
61000		A	Remove cranial cavity fluid	1.58	NA	NA	1.53	1.46	0.14	000

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
61001		A	Remove cranial cavity fluid	1.49	NA	NA	1.32	1.36	0.53	000
61020		A	Remove brain cavity fluid	1.51	NA	NA	2.09	1.99	0.50	000
61026		A	Injection into brain canal	1.69	NA	NA	1.70	1.68	0.38	000
61050		A	Remove brain canal fluid	1.51	NA	NA	1.38	1.43	0.12	000
61055		A	Injection into brain canal	2.10	NA	NA	1.55	1.62	0.29	000
61070		A	Brain canal shunt procedure	0.89	NA	NA	1.40	1.36	0.20	000
61105		A	Twist drill hole	5.45	NA	NA	6.33	5.91	1.92	090
61107		A	Drill skull for implantation	4.99	NA	NA	2.77	2.67	1.78	000
61108		A	Drill skull for drainage	11.64	NA	NA	11.23	10.56	4.14	090
61120		A	Burr hole for puncture	9.60	NA	NA	9.21	8.64	3.46	090
61140		A	Pierce skull for biopsy	17.23	NA	NA	14.23	13.54	6.11	090
61150		A	Pierce skull for drainage	18.90	NA	NA	14.89	14.05	6.78	090
61151		A	Pierce skull for drainage	13.49	NA	NA	11.39	10.65	4.85	090
61154		A	Pierce skull & remove clot	17.07	NA	NA	14.66	13.87	6.10	090
61156		A	Pierce skull for drainage	17.45	NA	NA	13.50	12.94	6.26	090
61210		A	Pierce skull implant device	5.83	NA	NA	3.23	3.12	2.08	000
61215		A	Insert brain-fluid device	5.85	NA	NA	7.01	6.62	2.07	090
61250		A	Pierce skull & explore	11.49	NA	NA	10.27	9.56	4.12	090
61253		A	Pierce skull & explore	13.49	NA	NA	10.17	9.61	1.71	090
61304		A	Open skull for exploration	23.41	NA	NA	17.61	16.71	8.15	090
61305		A	Open skull for exploration	28.64	NA	NA	21.36	20.25	10.28	090
61312		A	Open skull for drainage	30.17	NA	NA	21.37	20.29	10.79	090
61313		A	Open skull for drainage	28.09	NA	NA	21.24	20.15	10.03	090
61314		A	Open skull for drainage	25.90	NA	NA	19.56	18.52	9.27	090
61315		A	Open skull for drainage	29.65	NA	NA	21.65	20.66	10.66	090
61316		A	Implt cran bone flap to abdo	1.39	NA	NA	0.77	0.73	0.49	ZZZ
61320		A	Open skull for drainage	27.42	NA	NA	19.81	18.99	9.69	090
61321		A	Open skull for drainage	30.53	NA	NA	22.42	20.93	10.97	090
61322		A	Decompressive craniotomy	34.26	NA	NA	24.68	23.05	12.24	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
61323		A	Decompressive lobectomy	35.06	NA	NA	24.35	22.80	12.45	090
61330		A	Decompress eye socket	25.30	NA	NA	19.43	17.57	9.09	090
61332		A	Explore/biopsy eye socket	28.60	NA	NA	20.71	18.98	10.26	090
61333		A	Explore orbit/remove lesion	29.27	NA	NA	23.29	20.54	10.51	090
61334		A	Explore orbit/remove object	19.60	NA	NA	14.28	12.88	7.02	090
61340		A	Subtemporal decompression	20.11	NA	NA	15.96	15.00	7.23	090
61343		A	Incise skull (press relief)	31.86	NA	NA	22.63	21.58	11.35	090
61345		A	Relieve cranial pressure	29.23	NA	NA	21.48	20.42	10.49	090
61440		A	Incise skull for surgery	28.66	NA	NA	21.16	20.03	10.28	090
61450		A	Incise skull for surgery	27.69	NA	NA	20.08	18.94	9.94	090
61458		A	Incise skull for brain wound	28.84	NA	NA	21.07	20.11	10.25	090
61460		A	Incise skull for surgery	30.24	NA	NA	22.05	20.56	10.85	090
61470		A	Incise skull for surgery	27.62	NA	NA	20.04	19.01	9.91	090
61480		A	Incise skull for surgery	28.05	NA	NA	14.48	14.78	1.97	090
61490		A	Incise skull for surgery	27.22	NA	NA	19.82	18.89	9.79	090
61500		A	Removal of skull lesion	19.18	NA	NA	15.34	14.43	5.78	090
61501		A	Remove infected skull bone	16.35	NA	NA	13.72	12.85	4.67	090
61510		A	Removal of brain lesion	30.83	NA	NA	23.47	22.37	11.01	090
61512		A	Remove brain lining lesion	37.14	NA	NA	26.04	24.89	13.28	090
61514		A	Removal of brain abscess	27.23	NA	NA	19.90	19.06	9.72	090
61516		A	Removal of brain lesion	26.58	NA	NA	19.65	18.73	9.23	090
61517		A	Implt brain chemotx add-on	1.38	NA	NA	0.76	0.73	0.49	ZZZ
61518		A	Removal of brain lesion	39.89	NA	NA	28.48	27.13	14.30	090
61519		A	Remove brain lining lesion	43.43	NA	NA	29.63	28.26	15.49	090
61520		A	Removal of brain lesion	57.09	NA	NA	37.91	36.15	17.97	090
61521		A	Removal of brain lesion	46.99	NA	NA	31.89	30.20	16.86	090
61522		A	Removal of brain abscess	31.54	NA	NA	22.78	21.70	11.32	090
61524		A	Removal of brain lesion	29.89	NA	NA	21.85	20.61	10.74	090
61526		A	Removal of brain lesion	54.08	NA	NA	36.29	33.67	19.41	090

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61530		A	Removal of brain lesion	45.56	NA	NA	30.62	28.65	16.37	090
61531		A	Implant brain electrodes	16.41	NA	NA	14.20	13.47	5.89	090
61533		A	Implant brain electrodes	21.46	NA	NA	16.51	15.65	7.69	090
61534		A	Removal of brain lesion	23.01	NA	NA	18.00	17.08	8.26	090
61535		A	Remove brain electrodes	13.15	NA	NA	11.94	11.31	4.72	090
61536		A	Removal of brain lesion	37.72	NA	NA	26.24	25.08	13.54	090
61537		A	Removal of brain tissue	36.45	NA	NA	24.65	22.91	13.01	090
61538		A	Removal of brain tissue	39.45	NA	NA	26.67	24.66	14.18	090
61539		A	Removal of brain tissue	34.28	NA	NA	24.31	22.92	12.30	090
61540		A	Removal of brain tissue	31.43	NA	NA	22.81	21.75	11.28	090
61541		A	Incision of brain tissue	30.94	NA	NA	22.44	21.25	11.11	090
61542		A	Removal of brain tissue	33.16	NA	NA	20.50	20.99	11.90	090
61543		A	Removal of brain tissue	31.31	NA	NA	22.65	21.16	11.23	090
61544		A	Remove & treat brain lesion	27.36	NA	NA	19.90	17.25	9.83	090
61545		A	Excision of brain tumor	46.43	NA	NA	32.57	30.80	16.66	090
61546		A	Removal of pituitary gland	33.44	NA	NA	23.84	22.57	11.99	090
61548		A	Removal of pituitary gland	23.37	NA	NA	16.88	15.99	6.67	090
61550		A	Release of skull seams	15.59	NA	NA	10.57	10.63	1.10	090
61552		A	Release of skull seams	20.40	NA	NA	11.45	12.82	1.46	090
61556		A	Incise skull/sutures	24.09	NA	NA	15.90	15.72	8.66	090
61557		A	Incise skull/sutures	23.31	NA	NA	18.63	17.86	8.37	090
61558		A	Excision of skull/sutures	26.50	NA	NA	14.28	16.25	9.51	090
61559		A	Excision of skull/sutures	34.02	NA	NA	25.47	24.45	2.41	090
61563		A	Excision of skull tumor	28.44	NA	NA	20.76	19.77	10.21	090
61564		A	Excision of skull tumor	34.74	NA	NA	25.03	23.88	12.45	090
61566		A	Removal of brain tissue	32.45	NA	NA	23.38	22.41	11.64	090
61567		A	Incision of brain tissue	37.00	NA	NA	26.65	25.61	13.30	090
61570		A	Remove foreign body brain	26.51	NA	NA	19.96	18.71	9.51	090
61571		A	Incise skull for brain wound	28.42	NA	NA	21.03	20.05	10.19	090

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61575		A	Skull base/brainstem surgery	36.56	NA	NA	25.59	23.62	13.12	090
61576		A	Skull base/brainstem surgery	55.31	NA	NA	43.71	42.30	7.10	090
61580		A	Craniofacial approach skull	34.51	NA	NA	34.42	31.97	5.89	090
61581		A	Craniofacial approach skull	39.13	NA	NA	38.57	35.60	5.04	090
61582		A	Craniofacial approach skull	35.14	NA	NA	42.63	39.80	12.62	090
61583		A	Craniofacial approach skull	38.50	NA	NA	34.98	33.46	12.94	090
61584		A	Orbitocranial approach/skull	37.70	NA	NA	34.67	33.09	12.70	090
61585		A	Orbitocranial approach/skull	42.57	NA	NA	38.84	35.21	15.28	090
61586		A	Resect nasopharynx skull	27.48	NA	NA	35.14	31.31	9.87	090
61590		A	Infratemporal approach/skull	47.04	NA	NA	38.58	35.70	8.45	090
61591		A	Infratemporal approach/skull	47.02	NA	NA	38.23	35.70	9.60	090
61592		A	Orbitocranial approach/skull	43.08	NA	NA	36.97	35.45	14.51	090
61595		A	Transtemporal approach/skull	33.74	NA	NA	30.77	28.98	7.15	090
61596		A	Transcochlear approach/skull	39.43	NA	NA	31.59	29.65	5.06	090
61597		A	Transcondylar approach/skull	40.82	NA	NA	31.27	30.04	14.66	090
61598		A	Transpetrosal approach/skull	36.53	NA	NA	34.86	30.97	13.11	090
61600		A	Resect/excise cranial lesion	30.01	NA	NA	28.86	26.84	6.22	090
61601		A	Resect/excise cranial lesion	31.14	NA	NA	29.89	28.41	10.17	090
61605		A	Resect/excise cranial lesion	32.57	NA	NA	29.74	27.60	4.95	090
61606		A	Resect/excise cranial lesion	42.05	NA	NA	33.82	32.66	13.68	090
61607		A	Resect/excise cranial lesion	40.93	NA	NA	31.98	30.00	14.69	090
61608		A	Resect/excise cranial lesion	45.54	NA	NA	36.07	34.45	15.38	090
61609		A	Transect artery sinus	9.88	NA	NA	4.35	4.45	3.55	ZZZ
61610		A	Transect artery sinus	29.63	NA	NA	16.59	15.76	10.64	ZZZ
61611		A	Transect artery sinus	7.41	NA	NA	3.26	3.57	0.52	ZZZ
61612		A	Transect artery sinus	27.84	NA	NA	12.26	12.85	1.96	ZZZ
61613		A	Remove aneurysm sinus	45.03	NA	NA	37.02	34.84	16.17	090
61615		A	Resect/excise lesion skull	35.77	NA	NA	29.58	28.45	4.60	090
61616		A	Resect/excise lesion skull	46.74	NA	NA	38.34	36.37	14.41	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
61618		A	Repair dura	18.69	NA	NA	14.73	13.87	5.76	090
61619		A	Repair dura	22.10	NA	NA	16.63	15.59	6.33	090
61623		A	Endovasc tempory vessel occl	9.95	NA	NA	4.49	4.70	1.85	000
61624		A	Transcath occlusion cns	20.12	NA	NA	8.80	9.15	3.77	000
61626		A	Transcath occlusion non-cns	16.60	NA	NA	6.45	7.11	2.14	000
61630		R	Intracranial angioplasty	22.07	NA	NA	11.24	11.64	4.18	XXX
61635		R	Intracran angioplsty w/stent	24.28	NA	NA	12.15	12.61	4.07	XXX
61640		N	Dilate ic vasospasm init	12.32	NA	NA	5.43	5.26	0.87	000
61641		N	Dilate ic vasospasm add-on	4.33	NA	NA	1.91	1.85	0.31	ZZZ
61642		N	Dilate ic vasospasm add-on	8.66	NA	NA	3.81	3.69	0.61	ZZZ
61680		A	Intracranial vessel surgery	32.55	NA	NA	23.54	22.51	11.68	090
61682		A	Intracranial vessel surgery	63.41	NA	NA	39.82	38.18	22.76	090
61684		A	Intracranial vessel surgery	41.64	NA	NA	28.89	27.14	14.94	090
61686		A	Intracranial vessel surgery	67.50	NA	NA	43.80	41.88	24.24	090
61690		A	Intracranial vessel surgery	31.34	NA	NA	23.00	21.76	11.26	090
61692		A	Intracranial vessel surgery	54.59	NA	NA	36.01	34.14	19.60	090
61697		A	Brain aneurysm repr complx	63.40	NA	NA	41.21	38.79	22.52	090
61698		A	Brain aneurysm repr complx	69.63	NA	NA	44.99	41.74	24.99	090
61700		A	Brain aneurysm repr simple	50.62	NA	NA	34.06	32.77	18.06	090
61702		A	Inner skull vessel surgery	60.04	NA	NA	39.62	36.96	21.54	090
61703		A	Clamp neck artery	18.80	NA	NA	15.11	14.40	6.75	090
61705		A	Revise circulation to head	38.10	NA	NA	26.45	24.61	13.68	090
61708		A	Revise circulation to head	37.20	NA	NA	22.60	20.69	3.08	090
61710		A	Revise circulation to head	31.29	NA	NA	16.15	17.20	6.52	090
61711		A	Fusion of skull arteries	38.23	NA	NA	26.45	25.03	13.72	090
61720		A	Incise skull/brain surgery	17.62	NA	NA	14.07	12.59	6.33	090
61735		A	Incise skull/brain surgery	22.35	NA	NA	15.02	14.17	8.02	090
61750		A	Incise skull/brain biopsy	19.83	NA	NA	15.21	14.46	7.06	090
61751		A	Brain biopsy w/ct/mr guide	18.79	NA	NA	15.58	14.85	6.70	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
61760		A	Implant brain electrodes	22.39	NA	NA	16.94	15.60	8.03	090
61770		A	Incise skull for treatment	23.19	NA	NA	17.18	15.67	8.19	090
61781		A	Scan proc cranial intra	3.75	NA	NA	2.14	2.14	1.25	ZZZ
61782		A	Scan proc cranial extra	3.18	NA	NA	1.81	1.81	0.87	ZZZ
61783		A	Scan proc spinal	3.75	NA	NA	2.14	2.14	1.25	ZZZ
61790		A	Treat trigeminal nerve	11.60	NA	NA	10.45	9.67	4.07	090
61791		A	Treat trigeminal tract	15.41	NA	NA	12.68	11.94	5.20	090
61796		A	Srs cranial lesion simple	13.93	NA	NA	11.41	9.95	4.63	090
61797		A	Srs cran les simple addl	3.48	NA	NA	1.94	1.80	1.16	ZZZ
61798		A	Srs cranial lesion complex	19.85	NA	NA	14.70	11.62	6.60	090
61799		A	Srs cran les complex addl	4.81	NA	NA	2.68	2.48	1.59	ZZZ
61800		A	Apply srs headframe add-on	2.25	NA	NA	1.59	1.48	0.73	ZZZ
61850		A	Implant neuroelectrodes	13.34	NA	NA	8.23	9.18	4.80	090
61860		A	Implant neuroelectrodes	22.26	NA	NA	16.66	15.87	7.99	090
61863		A	Implant neuroelectrode	20.71	NA	NA	16.70	16.00	7.40	090
61864		A	Implant neuroelectrde addl	4.49	NA	NA	2.51	2.43	1.61	ZZZ
61867		A	Implant neuroelectrode	33.03	NA	NA	23.64	22.56	11.83	090
61868		A	Implant neuroelectrde addl	7.91	NA	NA	4.41	4.26	2.84	ZZZ
61870		A	Implant neuroelectrodes	16.34	NA	NA	13.20	12.67	5.87	090
61875		A	Implant neuroelectrodes	16.46	NA	NA	13.27	12.55	1.17	090
61880		A	Revise/remove neuroelectrode	6.95	NA	NA	7.45	6.96	2.46	090
61885		A	Insrt/redo neurostim 1 array	6.05	NA	NA	7.22	7.83	2.07	090
61886		A	Implant neurostim arrays	9.93	NA	NA	11.60	10.89	3.52	090
61888		A	Revise/remove neuroreceiver	5.23	NA	NA	4.69	4.54	1.71	010
62000		A	Treat skull fracture	13.93	NA	NA	11.85	10.11	5.01	090
62005		A	Treat skull fracture	17.63	NA	NA	14.07	13.15	6.33	090
62010		A	Treatment of head injury	21.43	NA	NA	16.74	15.73	7.69	090
62100		A	Repair brain fluid leakage	23.53	NA	NA	17.23	16.27	7.51	090
62115		A	Reduction of skull defect	22.91	NA	NA	13.00	11.63	1.62	090

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62116		A	Reduction of skull defect	25.02	NA	NA	19.13	18.21	8.98	090
62117		A	Reduction of skull defect	28.35	NA	NA	20.38	19.52	3.66	090
62120		A	Repair skull cavity lesion	24.59	NA	NA	23.73	22.84	3.17	090
62121		A	Incise skull repair	23.03	NA	NA	19.60	18.81	8.27	090
62140		A	Repair of skull defect	14.55	NA	NA	11.94	11.29	4.75	090
62141		A	Repair of skull defect	16.07	NA	NA	12.86	12.23	5.31	090
62142		A	Remove skull plate/flap	11.83	NA	NA	10.58	10.03	4.08	090
62143		A	Replace skull plate/flap	14.15	NA	NA	11.85	11.22	5.01	090
62145		A	Repair of skull & brain	20.09	NA	NA	15.03	14.36	7.21	090
62146		A	Repair of skull with graft	17.28	NA	NA	13.88	12.90	6.21	090
62147		A	Repair of skull with graft	20.67	NA	NA	15.77	14.85	7.40	090
62148		A	Retr bone flap to fix skull	2.00	NA	NA	1.12	1.05	0.71	ZZZ
62160		A	Neuroendoscopy add-on	3.00	NA	NA	1.66	1.61	1.08	ZZZ
62161		A	Dissect brain w/scope	21.23	NA	NA	16.56	15.75	7.62	090
62162		A	Remove colloid cyst w/scope	26.80	NA	NA	20.24	19.36	9.61	090
62163		A	Neuroendoscopy w/fb removal	16.53	NA	NA	14.11	13.51	5.92	090
62164		A	Remove brain tumor w/scope	29.43	NA	NA	22.61	21.03	10.57	090
62165		A	Remove pituit tumor w/scope	23.23	NA	NA	17.36	16.46	6.37	090
62180		A	Establish brain cavity shunt	22.58	NA	NA	17.28	16.44	8.11	090
62190		A	Establish brain cavity shunt	12.17	NA	NA	11.02	10.40	4.37	090
62192		A	Establish brain cavity shunt	13.35	NA	NA	11.19	10.56	4.63	090
62194		A	Replace/irrigate catheter	5.78	NA	NA	6.56	5.29	0.45	010
62200		A	Establish brain cavity shunt	19.29	NA	NA	15.00	14.25	6.93	090
62201		A	Brain cavity shunt w/scope	16.04	NA	NA	14.14	13.36	5.73	090
62220		A	Establish brain cavity shunt	14.10	NA	NA	11.51	10.85	4.83	090
62223		A	Establish brain cavity shunt	14.05	NA	NA	12.50	11.90	4.85	090
62225		A	Replace/irrigate catheter	6.19	NA	NA	7.04	6.58	2.20	090
62230		A	Replace/revise brain shunt	11.43	NA	NA	9.70	9.22	3.97	090
62252		A	Csf shunt reprogram	0.74	1.43	1.73	NA	NA	0.25	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
62252	TC	A	Csf shunt reprogram	0.00	1.02	1.33	NA	NA	0.01	XXX
62252	26	A	Csf shunt reprogram	0.74	0.41	0.40	0.41	0.40	0.24	XXX
62256		A	Remove brain cavity shunt	7.38	NA	NA	7.79	7.36	2.63	090
62258		A	Replace brain cavity shunt	15.64	NA	NA	12.44	11.88	5.43	090
62263		A	Epidural lysis mult sessions	6.54	15.70	13.87	5.78	4.73	0.52	010
62264		A	Epidural lysis on single day	4.42	8.09	7.41	2.55	2.08	0.35	010
62267		A	Interdiscal perq aspir dx	3.00	3.81	3.98	1.38	1.40	0.30	000
62268		A	Drain spinal cord cyst	4.73	2.04	5.21	2.54	2.46	0.45	000
62269		A	Needle biopsy spinal cord	5.01	1.92	5.62	2.31	2.27	0.56	000
62270		A	Spinal fluid tap diagnostic	1.37	2.86	2.98	0.71	0.72	0.23	000
62272		A	Drain cerebro spinal fluid	1.35	4.08	4.01	0.84	0.83	0.33	000
62273		A	Inject epidural patch	2.15	2.77	2.59	1.08	0.93	0.20	000
62280		A	Treat spinal cord lesion	2.63	6.75	6.42	1.93	1.64	0.50	010
62281		A	Treat spinal cord lesion	2.66	4.04	4.72	1.74	1.49	0.27	010
62282		A	Treat spinal canal lesion	2.33	5.91	6.04	1.70	1.50	0.29	010
62284		A	Injection for myelogram	1.54	4.18	4.59	0.81	0.86	0.18	000
62287		A	Percutaneous diskectomy	9.03	NA	NA	6.91	6.35	0.82	090
62290		A	Inject for spine disk x-ray	3.00	6.66	6.50	1.92	1.73	0.30	000
62291		A	Inject for spine disk x-ray	2.91	6.35	6.07	1.89	1.67	0.27	000
62292		A	Injection into disk lesion	9.24	NA	NA	8.51	6.06	0.75	090
62294		A	Injection into spinal artery	12.87	NA	NA	4.64	6.34	1.02	090
62310		A	Inject spine c/t	1.91	5.16	4.73	1.20	0.98	0.16	000
62311		A	Inject spine l/s (cd)	1.54	4.37	4.18	0.99	0.84	0.12	000
62318		A	Inject spine w/cath c/t	2.04	4.99	4.80	0.84	0.72	0.16	000
62319		A	Inject spine w/cath l/s (cd)	1.87	2.98	3.55	0.87	0.74	0.16	000
62350		A	Implant spinal canal cath	6.05	NA	NA	4.96	4.39	1.05	010
62351		A	Implant spinal canal cath	11.66	NA	NA	10.90	10.11	3.39	090
62355		A	Remove spinal canal catheter	4.35	NA	NA	4.03	3.59	0.73	010
62360		A	Insert spine infusion device	4.33	NA	NA	4.18	3.67	0.87	010

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62361		A	Implant spine infusion pump	5.65	NA	NA	4.96	4.70	1.10	010
62362		A	Implant spine infusion pump	6.10	NA	NA	5.11	4.67	1.22	010
62365		A	Remove spine infusion device	4.65	NA	NA	4.43	4.03	0.88	010
62367		A	Analyze spine infusion pump	0.48	0.72	0.66	0.24	0.19	0.05	XXX
62368		A	Analyze spine infusion pump	0.75	1.01	0.88	0.38	0.30	0.07	XXX
63001		A	Removal of spinal lamina	17.61	NA	NA	13.72	12.98	5.69	090
63003		A	Removal of spinal lamina	17.74	NA	NA	13.79	13.06	5.63	090
63005		A	Removal of spinal lamina	16.43	NA	NA	13.73	13.09	5.08	090
63011		A	Removal of spinal lamina	15.91	NA	NA	12.78	11.94	4.01	090
63012		A	Removal of spinal lamina	16.85	NA	NA	13.54	12.97	5.10	090
63015		A	Removal of spinal lamina	20.85	NA	NA	16.49	15.70	7.01	090
63016		A	Removal of spinal lamina	22.03	NA	NA	16.67	15.75	6.78	090
63017		A	Removal of spinal lamina	17.33	NA	NA	14.41	13.72	5.57	090
63020		A	Neck spine disk surgery	16.20	NA	NA	13.63	12.99	5.06	090
63030		A	Low back disk surgery	13.18	NA	NA	11.90	11.31	3.87	090
63035		A	Spinal disk surgery add-on	3.15	NA	NA	1.80	1.74	0.88	ZZZ
63040		A	Laminotomy single cervical	20.31	NA	NA	15.48	14.75	6.27	090
63042		A	Laminotomy single lumbar	18.76	NA	NA	14.99	14.28	5.20	090
63043		C	Laminotomy addl cervical	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
63044		C	Laminotomy addl lumbar	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
63045		A	Removal of spinal lamina	17.95	NA	NA	14.35	13.67	5.73	090
63046		A	Removal of spinal lamina	17.25	NA	NA	13.84	13.18	5.14	090
63047		A	Removal of spinal lamina	15.37	NA	NA	13.10	12.52	4.41	090
63048		A	Remove spinal lamina add-on	3.47	NA	NA	1.98	1.90	1.01	ZZZ
63050		A	Cervical laminoplasty	22.01	NA	NA	17.42	16.28	7.91	090
63051		A	C-laminoplasty w/graft/plate	25.51	NA	NA	18.79	17.77	7.24	090
63055		A	Decompress spinal cord	23.55	NA	NA	17.56	16.76	7.66	090
63056		A	Decompress spinal cord	21.86	NA	NA	16.35	15.53	6.19	090
63057		A	Decompress spine cord add-on	5.25	NA	NA	3.00	2.88	1.51	ZZZ

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63064		A	Decompress spinal cord	26.22	NA	NA	18.95	17.94	7.95	090
63066		A	Decompress spine cord add-on	3.26	NA	NA	1.82	1.77	1.17	ZZZ
63075		A	Neck spine disk surgery	19.60	NA	NA	15.41	14.80	6.11	090
63076		A	Neck spine disk surgery	4.04	NA	NA	2.29	2.21	1.25	ZZZ
63077		A	Spine disk surgery thorax	22.88	NA	NA	16.31	15.51	5.87	090
63078		A	Spine disk surgery thorax	3.28	NA	NA	1.87	1.79	0.75	ZZZ
63081		A	Removal of vertebral body	26.10	NA	NA	19.20	18.27	7.89	090
63082		A	Remove vertebral body add-on	4.36	NA	NA	2.48	2.40	1.31	ZZZ
63085		A	Removal of vertebral body	29.47	NA	NA	19.54	18.66	7.93	090
63086		A	Remove vertebral body add-on	3.19	NA	NA	1.72	1.68	0.87	ZZZ
63087		A	Removal of vertebral body	37.53	NA	NA	24.51	23.46	9.73	090
63088		A	Remove vertebral body add-on	4.32	NA	NA	2.48	2.39	1.06	ZZZ
63090		A	Removal of vertebral body	30.93	NA	NA	21.12	19.98	7.20	090
63091		A	Remove vertebral body add-on	3.03	NA	NA	1.70	1.63	0.68	ZZZ
63101		A	Removal of vertebral body	34.10	NA	NA	25.00	23.75	10.63	090
63102		A	Removal of vertebral body	34.10	NA	NA	24.65	23.42	8.60	090
63103		A	Remove vertebral body add-on	4.82	NA	NA	2.75	2.67	1.29	ZZZ
63170		A	Incise spinal cord tract(s)	22.21	NA	NA	17.50	16.26	7.98	090
63172		A	Drainage of spinal cyst	19.76	NA	NA	15.34	14.52	7.08	090
63173		A	Drainage of spinal cyst	24.31	NA	NA	18.68	17.75	8.75	090
63180		A	Revise spinal cord ligaments	20.53	NA	NA	16.56	15.00	7.36	090
63182		A	Revise spinal cord ligaments	22.82	NA	NA	17.85	15.16	8.19	090
63185		A	Incise spinal column/nerves	16.49	NA	NA	13.35	12.47	5.92	090
63190		A	Incise spinal column/nerves	18.89	NA	NA	14.92	14.13	4.29	090
63191		A	Incise spinal column/nerves	18.92	NA	NA	15.51	12.24	3.74	090
63194		A	Incise spinal column & cord	22.10	NA	NA	16.16	15.43	2.84	090
63195		A	Incise spinal column & cord	21.64	NA	NA	16.65	15.55	7.77	090
63196		A	Incise spinal column & cord	25.27	NA	NA	13.49	15.31	1.80	090
63197		A	Incise spinal column & cord	24.08	NA	NA	18.55	17.55	8.66	090

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63198		A	Incise spinal column & cord	29.90	NA	NA	15.75	14.85	2.12	090
63199		A	Incise spinal column & cord	31.47	NA	NA	16.45	17.97	2.23	090
63200		A	Release of spinal cord	21.44	NA	NA	16.61	15.71	7.58	090
63250		A	Revise spinal cord vessels	43.86	NA	NA	29.67	27.95	15.75	090
63251		A	Revise spinal cord vessels	44.64	NA	NA	30.57	29.01	16.03	090
63252		A	Revise spinal cord vessels	44.63	NA	NA	30.56	28.95	16.03	090
63265		A	Excise intraspinal lesion	23.82	NA	NA	18.06	17.19	8.08	090
63266		A	Excise intraspinal lesion	24.68	NA	NA	18.43	17.50	8.40	090
63267		A	Excise intraspinal lesion	19.45	NA	NA	15.44	14.72	6.26	090
63268		A	Excise intraspinal lesion	20.02	NA	NA	16.33	15.18	7.19	090
63270		A	Excise intraspinal lesion	29.80	NA	NA	21.80	20.57	10.71	090
63271		A	Excise intraspinal lesion	29.92	NA	NA	21.60	20.55	10.57	090
63272		A	Excise intraspinal lesion	27.50	NA	NA	20.05	19.12	9.47	090
63273		A	Excise intraspinal lesion	26.47	NA	NA	19.94	18.30	9.50	090
63275		A	Biopsy/excise spinal tumor	25.86	NA	NA	19.14	18.17	8.82	090
63276		A	Biopsy/excise spinal tumor	25.69	NA	NA	19.11	18.15	8.71	090
63277		A	Biopsy/excise spinal tumor	22.39	NA	NA	17.13	16.26	7.00	090
63278		A	Biopsy/excise spinal tumor	22.12	NA	NA	17.50	16.32	7.95	090
63280		A	Biopsy/excise spinal tumor	30.29	NA	NA	22.16	21.25	10.83	090
63281		A	Biopsy/excise spinal tumor	29.99	NA	NA	22.11	21.09	10.67	090
63282		A	Biopsy/excise spinal tumor	28.15	NA	NA	20.98	20.07	9.95	090
63283		A	Biopsy/excise spinal tumor	26.76	NA	NA	20.56	19.37	9.60	090
63285		A	Biopsy/excise spinal tumor	38.05	NA	NA	26.60	25.11	13.66	090
63286		A	Biopsy/excise spinal tumor	37.62	NA	NA	26.29	25.07	13.20	090
63287		A	Biopsy/excise spinal tumor	40.08	NA	NA	28.02	26.48	14.40	090
63290		A	Biopsy/excise spinal tumor	40.82	NA	NA	28.02	26.66	14.66	090
63295		A	Repair of laminectomy defect	5.25	NA	NA	2.94	2.74	1.88	ZZZ
63300		A	Removal of vertebral body	26.80	NA	NA	19.49	18.52	8.68	090
63301		A	Removal of vertebral body	31.57	NA	NA	23.25	21.09	11.34	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
63302		A	Removal of vertebral body	31.15	NA	NA	23.02	20.98	11.17	090
63303		A	Removal of vertebral body	33.55	NA	NA	23.90	21.68	12.03	090
63304		A	Removal of vertebral body	33.85	NA	NA	24.53	23.09	12.14	090
63305		A	Removal of vertebral body	36.24	NA	NA	25.77	23.23	13.01	090
63306		A	Removal of vertebral body	35.55	NA	NA	15.92	19.31	12.77	090
63307		A	Removal of vertebral body	34.96	NA	NA	24.77	23.09	12.56	090
63308		A	Remove vertebral body add-on	5.24	NA	NA	2.91	2.82	1.57	ZZZ
63600		A	Remove spinal cord lesion	15.12	NA	NA	10.49	8.22	1.58	090
63610		A	Stimulation of spinal cord	8.72	2.04	15.96	2.41	2.33	0.68	000
63615		A	Remove lesion of spinal cord	17.32	NA	NA	13.51	12.26	6.21	090
63620		A	Srs spinal lesion	15.60	NA	NA	12.20	10.35	5.19	090
63621		A	Srs spinal lesion addl	4.00	NA	NA	2.24	2.07	1.32	ZZZ
63650		A	Implant neuroelectrodes	7.20	NA	NA	5.28	4.39	0.64	010
63655		A	Implant neuroelectrodes	11.56	NA	NA	10.75	10.05	3.62	090
63661		A	Remove spine eltrd perq aray	5.08	11.85	11.85	3.95	3.95	0.71	010
63662		A	Remove spine eltrd plate	11.00	NA	NA	8.58	8.58	1.55	090
63663		A	Revise spine eltrd perq aray	7.75	16.37	16.37	5.30	5.30	1.09	010
63664		A	Revise spine eltrd plate	11.52	NA	NA	8.85	8.85	1.61	090
63685		A	Insrt/redo spine n generator	6.05	NA	NA	5.11	4.55	1.10	010
63688		A	Revise/remove neuroreceiver	5.30	NA	NA	4.75	4.26	1.01	010
63700		A	Repair of spinal herniation	17.47	NA	NA	15.03	13.86	6.26	090
63702		A	Repair of spinal herniation	19.41	NA	NA	16.12	15.26	6.97	090
63704		A	Repair of spinal herniation	22.43	NA	NA	18.80	16.97	8.04	090
63706		A	Repair of spinal herniation	25.35	NA	NA	20.44	19.11	9.12	090
63707		A	Repair spinal fluid leakage	12.65	NA	NA	11.08	10.43	3.50	090
63709		A	Repair spinal fluid leakage	15.65	NA	NA	12.75	12.11	4.50	090
63710		A	Graft repair of spine defect	15.40	NA	NA	12.79	12.14	4.86	090
63740		A	Install spinal shunt	12.63	NA	NA	11.02	10.65	4.26	090
63741		A	Install spinal shunt	9.12	NA	NA	7.61	6.70	2.19	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
63744		A	Revision of spinal shunt	8.94	NA	NA	8.10	7.40	3.06	090
63746		A	Removal of spinal shunt	7.33	NA	NA	7.85	7.21	2.63	090
64400		A	N block inj trigeminal	1.11	2.18	2.04	0.78	0.67	0.18	000
64402		A	N block inj facial	1.25	2.00	1.89	0.79	0.72	0.18	000
64405		A	N block inj occipital	1.32	1.95	1.74	0.90	0.76	0.26	000
64408		A	N block inj vagus	1.41	2.21	2.05	1.24	1.11	0.16	000
64410		A	N block inj phrenic	1.43	2.75	2.61	0.76	0.70	0.33	000
64412		A	N block inj spinal accessor	1.18	3.27	3.02	0.92	0.81	0.20	000
64413		A	N block inj cervical plexus	1.40	2.01	1.90	0.83	0.73	0.20	000
64415		A	N block inj brachial plexus	1.48	1.90	2.02	0.36	0.40	0.11	000
64416		A	N block cont infuse b plex	1.81	NA	NA	0.43	0.44	0.14	000
64417		A	N block inj axillary	1.44	2.25	2.24	0.56	0.50	0.11	000
64418		A	N block inj suprascapular	1.32	2.71	2.60	0.83	0.73	0.12	000
64420		A	N block inj intercost sng	1.18	2.01	2.72	0.77	0.66	0.12	000
64421		A	N block inj intercost mlt	1.68	2.65	3.89	0.97	0.82	0.20	000
64425		A	N block inj ilio-ing/hypogi	1.75	2.08	1.90	0.96	0.82	0.20	000
64430		A	N block inj pudendal	1.46	2.26	2.62	0.79	0.85	0.14	000
64435		A	N block inj paracervical	1.45	2.36	2.48	0.79	0.77	0.24	000
64445		A	N block inj sciatic sng	1.48	2.30	2.30	0.54	0.58	0.16	000
64446		A	N blk inj sciatic cont inf	1.81	NA	NA	0.44	0.48	0.14	000
64447		A	N block inj fem single	1.50	1.90	1.90	0.36	0.33	0.11	000
64448		A	N block inj fem cont inf	1.63	NA	NA	0.39	0.41	0.12	000
64449		A	N block inj lumbar plexus	1.81	NA	NA	0.51	0.53	0.14	000
64450		A	N block other peripheral	1.27	1.73	1.63	0.68	0.64	0.11	000
64455		A	N block inj plantar digit	0.75	0.59	0.61	0.24	0.27	0.08	000
64479		A	Inj foramen epidural c/t	2.29	4.77	5.28	1.54	1.31	0.27	000
64480		A	Inj foramen epidural add-on	1.20	2.37	2.35	0.64	0.59	0.18	ZZZ
64483		A	Inj foramen epidural l/s	1.75	4.48	5.20	1.27	1.12	0.15	000
64484		A	Inj foramen epidural add-on	1.00	1.61	2.07	0.52	0.48	0.08	ZZZ

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
64490		A	Inj paravert f jnt c/t 1 lev	1.82	3.78	3.78	1.27	1.27	0.20	000
64491		A	Inj paravert f jnt c/t 2 lev	1.16	1.59	1.59	0.58	0.58	0.11	ZZZ
64492		A	Inj paravert f jnt c/t 3 lev	1.16	1.63	1.63	0.61	0.61	0.11	ZZZ
64493		A	Inj paravert f jnt l/s 1 lev	1.52	3.51	3.51	1.10	1.10	0.14	000
64494		A	Inj paravert f jnt l/s 2 lev	1.00	1.51	1.51	0.49	0.49	0.08	ZZZ
64495		A	Inj paravert f jnt l/s 3 lev	1.00	1.55	1.55	0.52	0.52	0.08	ZZZ
64505		A	N block spenopalatine gangl	1.36	1.45	1.43	1.02	0.95	0.10	000
64508		A	N block carotid sinus s/p	1.12	0.50	1.72	0.94	0.85	0.24	000
64510		A	N block stellate ganglion	1.22	2.49	2.66	0.90	0.74	0.10	000
64517		A	N block inj hypogas plxs	2.20	3.00	2.74	1.36	1.15	0.18	000
64520		A	N block lumbar/thoracic	1.35	4.34	4.18	1.01	0.85	0.11	000
64530		A	N block inj celiac pelus	1.58	4.07	3.99	1.06	0.94	0.14	000
64550		A	Apply neurostimulator	0.18	0.29	0.27	0.08	0.07	0.01	000
64553		A	Implant neuroelectrodes	2.36	3.40	3.33	2.01	1.97	0.38	010
64555		A	Implant neuroelectrodes	2.32	3.02	3.35	1.72	1.80	0.26	010
64560		A	Implant neuroelectrodes	2.41	4.85	4.19	2.50	2.20	0.16	010
64561		A	Implant neuroelectrodes	7.15	14.87	20.89	3.88	4.17	0.78	010
64565		A	Implant neuroelectrodes	1.81	3.32	3.15	1.81	1.62	0.24	010
64566		A	Neuroeltrd stim post tibial	0.60	3.17	3.17	0.23	0.23	0.05	000
64568		A	Inc for vagus n elect impl	9.00	NA	NA	8.68	8.68	1.25	090
64569		A	Revise/repl vagus n eltrd	11.00	NA	NA	4.51	4.51	3.16	090
64570		A	Remove vagus n eltrd	9.10	NA	NA	4.07	4.07	3.27	090
64575		A	Implant neuroelectrodes	4.42	NA	NA	4.22	3.58	0.44	090
64577		A	Implant neuroelectrodes	4.69	NA	NA	2.75	3.54	1.67	090
64580		A	Implant neuroelectrodes	4.19	NA	NA	3.92	3.83	0.88	090
64581		A	Implant neuroelectrodes	12.20	NA	NA	6.03	7.05	1.58	090
64585		A	Revise/remove neuroelectrode	2.11	4.75	6.23	1.89	2.10	0.27	010
64590		A	Insrt/redo pn/gastr stimul	2.45	4.76	5.71	1.96	2.23	0.29	010
64595		A	Revise/rmv pn/gastr stimul	1.78	4.97	6.38	1.70	1.92	0.22	010

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64600		A	Injection treatment of nerve	3.49	8.25	8.09	2.81	2.46	0.53	010
64605		A	Injection treatment of nerve	5.65	15.96	13.01	4.84	3.94	0.44	010
64610		A	Injection treatment of nerve	7.20	13.03	12.13	5.27	5.01	2.15	010
64611		A	Chemodenerv saliv glands	1.03	1.64	1.64	1.36	1.36	0.29	010
64612		A	Destroy nerve face muscle	2.01	2.53	2.39	2.19	1.94	0.65	010
64613		A	Destroy nerve neck muscle	2.01	2.30	2.24	1.93	1.69	0.59	010
64614		A	Destroy nerve extrem musc	2.20	2.60	2.54	2.09	1.86	0.42	010
64620		A	Injection treatment of nerve	2.89	3.07	3.87	2.13	1.82	0.29	010
64622		A	Destr paravertebrl nerve l/s	3.05	6.98	6.58	2.51	2.08	0.26	010
64623		A	Destr paravertebral n add-on	0.99	2.77	2.62	0.51	0.40	0.08	ZZZ
64626		A	Destr paravertebrl nerve c/t	3.92	8.17	7.51	3.67	3.05	0.34	010
64627		A	Destr paravertebral n add-on	1.16	3.97	3.79	0.60	0.47	0.10	ZZZ
64630		A	Injection treatment of nerve	3.05	3.16	3.26	2.17	2.15	0.34	010
64632		A	N block inj common digit	1.23	1.17	1.17	0.72	0.75	0.10	010
64640		A	Injection treatment of nerve	2.81	3.27	3.37	1.94	1.91	0.24	010
64650		A	Chemodenerv eccrine glands	0.70	2.61	1.90	0.42	0.38	0.11	000
64653		A	Chemodenerv eccrine glands	0.88	2.94	2.12	0.48	0.44	0.24	000
64680		A	Injection treatment of nerve	2.67	6.48	6.30	2.04	1.84	0.30	010
64681		A	Injection treatment of nerve	3.78	6.51	6.94	1.71	1.79	0.30	010
64702		A	Revise finger/toe nerve	6.26	NA	NA	7.54	6.80	1.06	090
64704		A	Revise hand/foot nerve	4.69	NA	NA	4.34	4.25	0.54	090
64708		A	Revise arm/leg nerve	6.36	NA	NA	7.10	6.63	1.16	090
64712		A	Revision of sciatic nerve	8.07	NA	NA	7.26	6.72	1.33	090
64713		A	Revision of arm nerve(s)	11.40	NA	NA	9.06	8.56	2.34	090
64714		A	Revise low back nerve(s)	10.55	NA	NA	8.67	7.45	1.71	090
64716		A	Revision of cranial nerve	6.99	NA	NA	7.98	7.50	1.13	090
64718		A	Revise ulnar nerve at elbow	7.26	NA	NA	8.91	8.30	1.48	090
64719		A	Revise ulnar nerve at wrist	4.97	NA	NA	5.99	5.64	0.93	090
64721		A	Carpal tunnel surgery	4.97	6.75	6.41	6.67	6.34	0.98	090

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64722		A	Relieve pressure on nerve(s)	4.82	NA	NA	4.83	4.41	0.84	090
64726		A	Release foot/toe nerve	4.27	NA	NA	3.57	3.47	0.39	090
64727		A	Internal nerve revision	3.10	NA	NA	1.90	1.77	0.60	ZZZ
64732		A	Incision of brow nerve	4.89	NA	NA	6.33	5.66	1.77	090
64734		A	Incision of cheek nerve	5.55	NA	NA	7.16	6.19	0.71	090
64736		A	Incision of chin nerve	5.23	NA	NA	5.98	5.58	1.88	090
64738		A	Incision of jaw nerve	6.36	NA	NA	7.61	6.57	2.27	090
64740		A	Incision of tongue nerve	6.22	NA	NA	7.01	6.49	0.80	090
64742		A	Incision of facial nerve	6.85	NA	NA	7.11	6.30	0.87	090
64744		A	Incise nerve back of head	5.72	NA	NA	6.79	5.78	2.04	090
64746		A	Incise diaphragm nerve	6.56	NA	NA	4.78	4.88	1.54	090
64752		A	Incision of vagus nerve	7.69	NA	NA	6.06	5.68	1.81	090
64755		A	Incision of stomach nerves	15.05	NA	NA	9.13	8.29	3.21	090
64760		A	Incision of vagus nerve	7.59	NA	NA	6.02	5.35	1.61	090
64761		A	Incision of pelvis nerve	7.04	NA	NA	5.44	5.12	1.01	090
64763		A	Incise hip/thigh nerve	7.56	NA	NA	5.91	6.31	1.61	090
64766		A	Incise hip/thigh nerve	9.47	NA	NA	7.09	6.97	0.91	090
64771		A	Sever cranial nerve	8.15	NA	NA	7.55	7.36	1.05	090
64772		A	Incision of spinal nerve	7.84	NA	NA	7.68	7.18	1.81	090
64774		A	Remove skin nerve lesion	5.80	NA	NA	5.64	5.27	1.05	090
64776		A	Remove digit nerve lesion	5.60	NA	NA	5.30	4.96	0.84	090
64778		A	Digit nerve surgery add-on	3.11	NA	NA	2.25	1.94	0.61	ZZZ
64782		A	Remove limb nerve lesion	6.86	NA	NA	5.76	5.46	0.91	090
64783		A	Limb nerve surgery add-on	3.71	NA	NA	2.58	2.28	0.45	ZZZ
64784		A	Remove nerve lesion	10.62	NA	NA	9.50	8.77	2.00	090
64786		A	Remove sciatic nerve lesion	16.25	NA	NA	13.08	12.11	3.21	090
64787		A	Implant nerve end	4.29	NA	NA	2.29	2.26	0.68	ZZZ
64788		A	Remove skin nerve lesion	5.24	NA	NA	5.68	5.26	1.08	090
64790		A	Removal of nerve lesion	12.10	NA	NA	10.33	9.56	2.75	090

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64792		A	Removal of nerve lesion	15.86	NA	NA	12.22	11.78	5.69	090
64795		A	Biopsy of nerve	3.01	NA	NA	2.15	2.00	0.82	000
64802		A	Remove sympathetic nerves	10.37	NA	NA	8.86	6.90	0.82	090
64804		A	Remove sympathetic nerves	15.91	NA	NA	6.63	6.83	1.27	090
64809		A	Remove sympathetic nerves	14.71	NA	NA	10.83	9.10	1.17	090
64818		A	Remove sympathetic nerves	11.34	NA	NA	6.78	6.23	2.07	090
64820		A	Remove sympathetic nerves	10.74	NA	NA	10.52	9.67	1.91	090
64821		A	Remove sympathetic nerves	9.33	NA	NA	9.46	9.00	1.84	090
64822		A	Remove sympathetic nerves	9.33	NA	NA	9.46	8.85	1.84	090
64823		A	Remove sympathetic nerves	10.94	NA	NA	10.43	9.63	2.15	090
64831		A	Repair of digit nerve	9.16	NA	NA	9.80	9.13	1.63	090
64832		A	Repair nerve add-on	5.65	NA	NA	3.72	3.45	1.01	ZZZ
64834		A	Repair of hand or foot nerve	10.81	NA	NA	9.81	9.12	1.85	090
64835		A	Repair of hand or foot nerve	11.73	NA	NA	10.37	9.73	2.30	090
64836		A	Repair of hand or foot nerve	11.73	NA	NA	10.37	9.75	2.30	090
64837		A	Repair nerve add-on	6.25	NA	NA	3.74	3.63	0.76	ZZZ
64840		A	Repair of leg nerve	14.02	NA	NA	11.23	10.61	1.08	090
64856		A	Repair/transpose nerve	15.07	NA	NA	12.80	11.92	2.84	090
64857		A	Repair arm/leg nerve	15.82	NA	NA	13.18	12.32	2.91	090
64858		A	Repair sciatic nerve	17.82	NA	NA	15.93	14.51	3.51	090
64859		A	Nerve surgery	4.25	NA	NA	3.08	2.72	0.83	ZZZ
64861		A	Repair of arm nerves	20.89	NA	NA	12.47	12.96	4.12	090
64862		A	Repair of low back nerves	21.09	NA	NA	16.86	14.54	7.57	090
64864		A	Repair of facial nerve	13.41	NA	NA	11.13	10.36	1.70	090
64865		A	Repair of facial nerve	16.09	NA	NA	16.12	15.50	2.04	090
64866		A	Fusion of facial/other nerve	16.83	NA	NA	14.72	14.81	2.16	090
64868		A	Fusion of facial/other nerve	14.90	NA	NA	14.58	13.85	1.91	090
64870		A	Fusion of facial/other nerve	17.08	NA	NA	12.20	10.99	4.00	090
64872		A	Subsequent repair of nerve	1.99	NA	NA	1.19	1.16	0.26	ZZZ

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64874		A	Repair & revise nerve add-on	2.98	NA	NA	2.08	1.84	0.37	ZZZ
64876		A	Repair nerve/shorten bone	3.37	NA	NA	1.89	1.74	0.65	ZZZ
64885		A	Nerve graft head or neck	17.60	NA	NA	13.90	13.10	2.25	090
64886		A	Nerve graft head or neck	20.82	NA	NA	15.85	15.18	2.67	090
64890		A	Nerve graft hand or foot	16.24	NA	NA	13.07	12.46	3.20	090
64891		A	Nerve graft hand or foot	17.35	NA	NA	15.59	13.86	3.43	090
64892		A	Nerve graft arm or leg	15.74	NA	NA	12.77	12.09	3.12	090
64893		A	Nerve graft arm or leg	16.87	NA	NA	14.18	12.91	3.35	090
64895		A	Nerve graft hand or foot	20.39	NA	NA	17.79	15.55	4.01	090
64896		A	Nerve graft hand or foot	21.96	NA	NA	15.96	15.49	7.89	090
64897		A	Nerve graft arm or leg	19.38	NA	NA	15.59	14.42	3.82	090
64898		A	Nerve graft arm or leg	20.97	NA	NA	16.35	15.50	4.14	090
64901		A	Nerve graft add-on	10.20	NA	NA	7.38	6.41	2.00	ZZZ
64902		A	Nerve graft add-on	11.81	NA	NA	8.55	7.36	2.31	ZZZ
64905		A	Nerve pedicle transfer	15.11	NA	NA	13.05	12.10	2.98	090
64907		A	Nerve pedicle transfer	20.03	NA	NA	11.03	12.45	1.42	090
64910		A	Nerve repair w/allograft	11.39	NA	NA	11.51	10.71	1.97	090
64911		A	Neurorraphy w/vein autograft	14.39	NA	NA	14.32	12.80	2.84	090
64999		C	Nervous system surgery	0.00	0.00	0.00	0.00	0.00	0.00	YYY
65091		A	Revise eye	7.26	NA	NA	10.44	9.70	1.44	090
65093		A	Revise eye with implant	7.04	NA	NA	10.44	9.79	1.39	090
65101		A	Removal of eye	8.30	NA	NA	12.27	11.38	1.63	090
65103		A	Remove eye/insert implant	8.84	NA	NA	12.65	11.69	1.74	090
65105		A	Remove eye/attach implant	9.93	NA	NA	13.78	12.70	1.95	090
65110		A	Removal of eye	15.70	NA	NA	18.39	16.78	2.00	090
65112		A	Remove eye/revise socket	18.51	NA	NA	21.27	19.41	2.35	090
65114		A	Remove eye/revise socket	19.65	NA	NA	22.07	20.04	2.52	090
65125		A	Revise ocular implant	3.27	9.18	9.02	4.84	4.47	0.64	090
65130		A	Insert ocular implant	8.42	NA	NA	12.00	11.06	1.65	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
65135		A	Insert ocular implant	8.60	NA	NA	12.13	11.20	1.67	090
65140		A	Attach ocular implant	9.46	NA	NA	13.09	12.09	1.22	090
65150		A	Revise ocular implant	6.43	NA	NA	9.66	9.06	0.45	090
65155		A	Reinsert ocular implant	10.10	NA	NA	13.54	12.50	1.97	090
65175		A	Removal of ocular implant	7.40	NA	NA	10.95	10.15	0.95	090
65205		A	Remove foreign body from eye	0.71	0.82	0.77	0.51	0.45	0.10	000
65210		A	Remove foreign body from eye	0.84	1.09	1.01	0.67	0.58	0.12	000
65220		A	Remove foreign body from eye	0.71	0.87	0.81	0.46	0.41	0.11	000
65222		A	Remove foreign body from eye	0.93	1.18	1.10	0.71	0.62	0.14	000
65235		A	Remove foreign body from eye	9.01	NA	NA	10.97	9.79	1.24	090
65260		A	Remove foreign body from eye	12.54	NA	NA	14.39	12.91	0.88	090
65265		A	Remove foreign body from eye	14.34	NA	NA	15.99	14.30	3.08	090
65270		A	Repair of eye wound	1.95	5.33	5.24	2.00	1.79	0.27	010
65272		A	Repair of eye wound	4.62	9.28	8.79	5.27	4.68	0.33	090
65273		A	Repair of eye wound	5.16	NA	NA	5.58	4.97	0.37	090
65275		A	Repair of eye wound	6.29	9.83	8.87	6.75	5.87	0.86	090
65280		A	Repair of eye wound	9.10	NA	NA	9.67	8.64	1.80	090
65285		A	Repair of eye wound	14.71	NA	NA	14.51	12.80	2.60	090
65286		A	Repair of eye wound	6.63	12.87	12.25	7.33	6.51	0.90	090
65290		A	Repair of eye socket wound	6.53	NA	NA	7.29	6.52	1.28	090
65400		A	Removal of eye lesion	7.50	11.46	10.55	9.43	8.47	1.03	090
65410		A	Biopsy of cornea	1.47	2.50	2.36	1.47	1.30	0.31	000
65420		A	Removal of eye lesion	4.36	9.88	9.51	6.19	5.67	0.56	090
65426		A	Removal of eye lesion	6.05	12.01	11.42	7.39	6.66	0.83	090
65430		A	Corneal smear	1.47	1.74	1.59	1.45	1.29	0.22	000
65435		A	Curette/treat cornea	0.92	1.31	1.22	1.04	0.94	0.16	000
65436		A	Curette/treat cornea	4.82	6.05	5.46	5.63	5.04	0.84	090
65450		A	Treatment of corneal lesion	3.47	5.60	5.17	5.51	5.07	0.48	090
65600		A	Revision of cornea	4.20	6.81	6.28	5.47	4.89	0.60	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
65710		A	Corneal transplant	14.45	NA	NA	16.71	14.99	1.97	090
65730		A	Corneal transplant	16.35	NA	NA	18.23	16.29	2.23	090
65750		A	Corneal transplant	16.90	NA	NA	17.90	15.97	2.16	090
65755		A	Corneal transplant	16.79	NA	NA	17.83	15.90	2.29	090
65756		A	Corneal trnspl endothelial	16.84	NA	NA	16.59	14.57	1.20	090
65757		C	Prep corneal endo allograft	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
65760		N	Revision of cornea	0.00	0.00	0.00	0.00	0.00	0.00	XXX
65765		N	Revision of cornea	0.00	0.00	0.00	0.00	0.00	0.00	XXX
65767		N	Corneal tissue transplant	0.00	0.00	0.00	0.00	0.00	0.00	XXX
65770		A	Revise cornea with implant	19.74	NA	NA	19.89	17.69	7.08	090
65771		N	Radial keratotomy	0.00	0.00	0.00	0.00	0.00	0.00	XXX
65772		A	Correction of astigmatism	5.09	7.51	6.92	6.33	5.68	0.65	090
65775		A	Correction of astigmatism	6.91	NA	NA	8.59	7.77	0.49	090
65778		A	Cover eye w/membrane	1.19	35.74	35.74	0.84	0.84	0.18	010
65779		A	Cover eye w/membrane stent	3.92	29.07	29.07	4.09	4.09	0.56	010
65780		A	Ocular reconst transplant	10.73	NA	NA	14.19	12.95	1.39	090
65781		A	Ocular reconst transplant	18.14	NA	NA	19.45	17.48	1.28	090
65782		A	Ocular reconst transplant	15.43	NA	NA	17.00	15.30	3.06	090
65800		A	Drainage of eye	1.91	2.25	2.08	1.77	1.57	0.27	000
65805		A	Drainage of eye	1.91	2.64	2.47	1.78	1.58	0.34	000
65810		A	Drainage of eye	5.82	NA	NA	7.47	6.70	0.84	090
65815		A	Drainage of eye	6.00	11.66	11.09	7.41	6.65	1.06	090
65820		A	Relieve inner eye pressure	8.91	NA	NA	12.04	11.05	0.63	090
65850		A	Incision of eye	11.39	NA	NA	12.26	11.00	1.99	090
65855		A	Laser surgery of eye	3.99	5.49	5.08	4.39	3.95	0.63	010
65860		A	Incise inner eye adhesions	3.59	5.06	4.71	3.56	3.18	1.32	090
65865		A	Incise inner eye adhesions	5.77	NA	NA	7.48	6.86	0.39	090
65870		A	Incise inner eye adhesions	7.39	NA	NA	9.20	8.33	1.29	090
65875		A	Incise inner eye adhesions	7.81	NA	NA	9.87	8.93	1.08	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
65880		A	Incise inner eye adhesions	8.36	NA	NA	10.25	9.25	0.60	090
65900		A	Remove eye lesion	12.51	NA	NA	14.59	13.15	0.88	090
65920		A	Remove implant of eye	9.99	NA	NA	12.15	10.94	1.29	090
65930		A	Remove blood clot from eye	8.39	NA	NA	9.53	8.61	1.48	090
66020		A	Injection treatment of eye	1.64	3.51	3.37	2.05	1.86	0.11	010
66030		A	Injection treatment of eye	1.30	3.27	3.16	1.81	1.65	0.18	010
66130		A	Remove eye lesion	7.83	11.58	10.86	8.21	7.35	1.66	090
66150		A	Glaucoma surgery	10.53	NA	NA	14.04	12.70	0.73	090
66155		A	Glaucoma surgery	10.52	NA	NA	14.03	12.68	0.73	090
66160		A	Glaucoma surgery	12.39	NA	NA	15.35	13.82	0.87	090
66165		A	Glaucoma surgery	10.24	NA	NA	13.84	12.51	0.72	090
66170		A	Glaucoma surgery	15.02	NA	NA	18.70	16.79	1.92	090
66172		A	Incision of eye	18.86	NA	NA	23.68	21.23	2.41	090
66174		A	Transluminal eye canal	12.85	NA	NA	13.86	13.86	2.27	090
66175		A	Trnslum dil eye canal w/stnt	13.60	NA	NA	14.38	14.38	4.87	090
66180		A	Implant eye shunt	16.30	NA	NA	16.56	14.69	2.12	090
66185		A	Revise eye shunt	9.58	NA	NA	11.49	10.29	1.67	090
66220		A	Repair eye lesion	9.21	NA	NA	11.67	10.37	1.27	090
66225		A	Repair/graft eye lesion	12.63	NA	NA	13.60	12.10	2.48	090
66250		A	Follow-up surgery of eye	7.10	13.64	12.97	8.56	7.66	1.40	090
66500		A	Incision of iris	3.83	NA	NA	6.03	5.60	0.27	090
66505		A	Incision of iris	4.22	NA	NA	6.59	6.11	0.30	090
66600		A	Remove iris and lesion	10.12	NA	NA	13.20	11.85	0.76	090
66605		A	Removal of iris	14.22	NA	NA	15.55	13.80	1.02	090
66625		A	Removal of iris	5.30	NA	NA	6.74	6.12	0.72	090
66630		A	Removal of iris	7.28	NA	NA	8.72	7.82	1.22	090
66635		A	Removal of iris	7.37	NA	NA	8.79	7.88	0.52	090
66680		A	Repair iris & ciliary body	6.39	NA	NA	8.11	7.30	1.36	090
66682		A	Repair iris & ciliary body	7.33	NA	NA	10.48	9.46	1.46	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
66700		A	Destruction ciliary body	5.14	7.45	6.82	5.92	5.31	0.49	090
66710		A	Ciliary transscleral therapy	5.14	7.19	6.59	5.92	5.29	1.02	090
66711		A	Ciliary endoscopic ablation	7.93	NA	NA	10.13	9.09	0.56	090
66720		A	Destruction ciliary body	5.00	8.17	7.50	6.81	6.18	0.68	090
66740		A	Destruction ciliary body	5.14	7.10	6.50	5.92	5.32	0.35	090
66761		A	Revision of iris	3.00	5.25	5.85	3.63	4.47	0.45	010
66762		A	Revision of iris	5.38	7.92	7.26	6.60	5.92	0.68	090
66770		A	Removal of inner eye lesion	6.13	8.64	7.89	7.44	6.67	0.42	090
66820		A	Incision secondary cataract	4.01	NA	NA	6.92	6.54	0.67	090
66821		A	After cataract laser surgery	3.42	5.78	5.33	5.26	4.82	0.53	090
66825		A	Reposition intraocular lens	9.01	NA	NA	12.24	11.24	1.17	090
66830		A	Removal of lens lesion	9.47	NA	NA	10.61	9.47	0.67	090
66840		A	Removal of lens material	9.18	NA	NA	10.42	9.29	1.81	090
66850		A	Removal of lens material	10.55	NA	NA	11.76	10.49	1.46	090
66852		A	Removal of lens material	11.41	NA	NA	12.38	11.04	2.00	090
66920		A	Extraction of lens	10.13	NA	NA	11.11	9.91	0.71	090
66930		A	Extraction of lens	11.61	NA	NA	12.53	11.16	0.82	090
66940		A	Extraction of lens	10.37	NA	NA	11.63	10.38	1.74	090
66982		A	Cataract surgery complex	15.02	NA	NA	14.71	13.08	2.33	090
66983		A	Cataract surg w/iol 1 stage	10.43	NA	NA	10.41	9.29	0.83	090
66984		A	Cataract surg w/iol 1 stage	10.52	NA	NA	10.86	9.73	1.65	090
66985		A	Insert lens prosthesis	9.98	NA	NA	11.69	10.44	1.29	090
66986		A	Exchange lens prosthesis	12.26	NA	NA	13.33	12.00	1.57	090
66990		A	Ophthalmic endoscope add-on	1.51	NA	NA	1.06	0.92	0.10	ZZZ
66999		C	Eye surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
67005		A	Partial removal of eye fluid	5.89	NA	NA	7.36	6.64	1.25	090
67010		A	Partial removal of eye fluid	7.06	NA	NA	8.18	7.34	0.98	090
67015		A	Release of eye fluid	7.14	NA	NA	9.12	8.29	0.99	090
67025		A	Replace eye fluid	8.11	12.15	11.24	9.67	8.65	1.44	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
67027		A	Implant eye drug system	11.62	NA	NA	12.32	10.98	2.03	090
67028		A	Injection eye drug	1.44	1.43	2.15	1.38	1.52	0.20	000
67030		A	Incise inner eye strands	6.11	NA	NA	8.78	7.96	0.42	090
67031		A	Laser surgery eye strands	4.47	6.40	5.86	5.55	4.99	0.59	090
67036		A	Removal of inner eye fluid	13.32	NA	NA	13.72	12.21	1.82	090
67039		A	Laser treatment of retina	16.74	NA	NA	18.00	16.09	2.97	090
67040		A	Laser treatment of retina	19.61	NA	NA	20.42	18.18	2.69	090
67041		A	Vit for macular pucker	19.25	NA	NA	18.18	15.89	2.64	090
67042		A	Vit for macular hole	22.38	NA	NA	20.38	17.75	3.09	090
67043		A	Vit for membrane dissect	23.24	NA	NA	21.71	18.96	4.10	090
67101		A	Repair detached retina	8.80	13.12	12.01	10.17	9.08	1.55	090
67105		A	Repair detached retina	8.53	11.67	10.63	9.60	8.56	1.17	090
67107		A	Repair detached retina	16.71	NA	NA	17.56	15.57	2.95	090
67108		A	Repair detached retina	22.89	NA	NA	22.42	19.79	3.14	090
67110		A	Repair detached retina	10.25	14.07	12.88	11.56	10.30	1.31	090
67112		A	Rerepair detached retina	18.75	NA	NA	18.67	16.48	2.57	090
67113		A	Repair retinal detach cplx	25.35	NA	NA	23.94	20.92	3.48	090
67115		A	Release encircling material	6.11	NA	NA	7.90	7.11	0.78	090
67120		A	Remove eye implant material	7.10	11.24	10.43	8.59	7.70	1.40	090
67121		A	Remove eye implant material	12.25	NA	NA	13.35	11.86	2.16	090
67141		A	Treatment of retina	6.15	8.52	7.75	7.55	6.77	1.09	090
67145		A	Treatment of retina	6.32	8.47	7.67	7.67	6.87	0.86	090
67208		A	Treatment of retinal lesion	7.65	9.19	8.26	8.62	7.68	0.53	090
67210		A	Treatment of retinal lesion	9.45	9.96	8.88	9.35	8.25	1.40	090
67218		A	Treatment of retinal lesion	20.36	NA	NA	18.73	16.49	1.46	090
67220		A	Treatment of choroid lesion	14.39	15.46	13.83	14.11	12.45	2.55	090
67221		R	Ocular photodynamic ther	3.45	4.59	4.36	2.62	2.29	0.48	000
67225		A	Eye photodynamic ther add-on	0.47	0.38	0.33	0.33	0.28	0.03	ZZZ
67227		A	Treatment of retinal lesion	7.53	9.60	8.70	8.53	7.62	0.53	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
67228		A	Treatment of retinal lesion	13.82	18.55	17.50	16.03	14.26	2.10	090
67229		A	Tr retinal les preterm inf	16.30	NA	NA	15.63	13.99	1.17	090
67250		A	Reinforce eye wall	9.61	NA	NA	12.26	11.23	1.62	090
67255		A	Reinforce/graft eye wall	10.17	NA	NA	13.38	12.26	1.99	090
67299		C	Eye surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
67311		A	Revise eye muscle	7.77	NA	NA	9.04	8.12	1.31	090
67312		A	Revise two eye muscles	9.66	NA	NA	10.37	9.24	1.91	090
67314		A	Revise eye muscle	8.79	NA	NA	10.13	9.05	1.48	090
67316		A	Revise two eye muscles	10.93	NA	NA	11.60	10.30	2.15	090
67318		A	Revise eye muscle(s)	9.12	NA	NA	10.72	9.58	0.64	090
67320		A	Revise eye muscle(s) add-on	5.40	NA	NA	3.78	3.18	0.38	ZZZ
67331		A	Eye surgery follow-up add-on	5.13	NA	NA	3.56	2.99	0.86	ZZZ
67332		A	Rerevise eye muscles add-on	5.56	NA	NA	3.91	3.28	0.93	ZZZ
67334		A	Revise eye muscle w/suture	5.05	NA	NA	3.56	2.98	0.35	ZZZ
67335		A	Eye suture during surgery	2.49	NA	NA	1.74	1.50	0.41	ZZZ
67340		A	Revise eye muscle add-on	6.00	NA	NA	4.23	3.56	0.42	ZZZ
67343		A	Release eye tissue	8.47	NA	NA	9.89	8.86	1.66	090
67345		A	Destroy nerve of eye muscle	3.01	3.57	3.22	2.94	2.61	0.80	010
67346		A	Biopsy eye muscle	2.87	NA	NA	2.79	2.49	0.61	000
67399		C	Eye muscle surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
67400		A	Explore/biopsy eye socket	11.20	NA	NA	14.83	13.65	2.07	090
67405		A	Explore/drain eye socket	9.20	NA	NA	12.96	12.01	1.18	090
67412		A	Explore/treat eye socket	10.30	NA	NA	13.51	12.53	1.86	090
67413		A	Explore/treat eye socket	10.24	NA	NA	13.66	12.66	2.00	090
67414		A	Explr/decompress eye socket	17.94	NA	NA	19.35	17.21	2.29	090
67415		A	Aspiration orbital contents	1.76	NA	NA	1.24	1.05	0.24	000
67420		A	Explore/treat eye socket	21.87	NA	NA	23.63	21.40	4.31	090
67430		A	Explore/treat eye socket	15.29	NA	NA	19.63	18.00	1.09	090
67440		A	Explore/drain eye socket	14.84	NA	NA	18.93	17.35	1.91	090

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67445		A	Explr/decompress eye socket	19.12	NA	NA	20.31	18.23	3.77	090
67450		A	Explore/biopsy eye socket	15.41	NA	NA	19.71	18.05	1.97	090
67500		A	Inject/treat eye socket	1.44	0.89	0.83	0.71	0.62	0.11	000
67505		A	Inject/treat eye socket	1.27	1.25	1.09	1.04	0.86	0.26	000
67515		A	Inject/treat eye socket	1.40	1.34	1.14	1.13	0.93	0.26	000
67550		A	Insert eye socket implant	11.77	NA	NA	15.25	14.02	2.30	090
67560		A	Revise eye socket implant	12.18	NA	NA	15.57	14.23	1.57	090
67570		A	Decompress optic nerve	14.40	NA	NA	17.48	16.19	5.16	090
67599		C	Orbit surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
67700		A	Drainage of eyelid abscess	1.40	5.87	5.85	1.85	1.68	0.23	010
67710		A	Incision of eyelid	1.07	5.00	5.03	1.65	1.52	0.22	010
67715		A	Incision of eyelid fold	1.27	5.17	5.16	1.78	1.64	0.26	010
67800		A	Remove eyelid lesion	1.41	2.14	1.98	1.51	1.35	0.24	010
67801		A	Remove eyelid lesions	1.91	2.62	2.42	1.86	1.65	0.37	010
67805		A	Remove eyelid lesions	2.27	3.37	3.11	2.38	2.13	0.44	010
67808		A	Remove eyelid lesion(s)	4.60	NA	NA	5.74	5.17	0.90	090
67810		A	Biopsy of eyelid	1.48	4.53	4.58	1.08	0.99	0.22	000
67820		A	Revise eyelashes	0.71	0.70	0.65	0.79	0.72	0.11	000
67825		A	Revise eyelashes	1.43	2.15	2.01	1.97	1.81	0.27	010
67830		A	Revise eyelashes	1.75	5.54	5.47	2.13	1.93	0.34	010
67835		A	Revise eyelashes	5.70	NA	NA	6.64	5.99	1.13	090
67840		A	Remove eyelid lesion	2.09	5.47	5.39	2.37	2.14	0.34	010
67850		A	Treat eyelid lesion	1.74	4.26	4.24	2.11	2.00	0.24	010
67875		A	Closure of eyelid by suture	1.35	3.36	3.30	1.39	1.25	0.24	000
67880		A	Revision of eyelid	4.60	8.13	7.65	5.75	5.18	0.82	090
67882		A	Revision of eyelid	6.02	9.68	9.03	7.26	6.53	1.18	090
67900		A	Repair brow defect	6.82	11.02	10.38	7.52	6.79	1.22	090
67901		A	Repair eyelid defect	7.59	13.35	11.75	8.61	7.69	1.50	090
67902		A	Repair eyelid defect	9.82	NA	NA	10.60	9.25	1.93	090

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
67903		A	Repair eyelid defect	6.51	10.04	9.61	7.13	6.50	1.27	090
67904		A	Repair eyelid defect	7.97	12.42	11.56	8.85	7.84	1.52	090
67906		A	Repair eyelid defect	6.93	NA	NA	7.40	6.64	0.49	090
67908		A	Repair eyelid defect	5.30	8.45	7.88	6.63	6.12	1.05	090
67909		A	Revise eyelid defect	5.57	9.30	8.81	6.73	6.14	1.10	090
67911		A	Revise eyelid defect	7.50	NA	NA	8.33	7.35	1.40	090
67912		A	Correction eyelid w/implant	6.36	18.06	18.01	7.48	6.86	0.93	090
67914		A	Repair eyelid defect	3.75	6.99	6.70	4.35	3.94	0.68	090
67915		A	Repair eyelid defect	3.26	6.29	6.09	3.86	3.53	0.45	090
67916		A	Repair eyelid defect	5.48	9.48	8.98	6.66	6.06	0.95	090
67917		A	Repair eyelid defect	6.19	10.11	9.54	7.17	6.49	1.16	090
67921		A	Repair eyelid defect	3.47	6.77	6.51	4.15	3.75	0.68	090
67922		A	Repair eyelid defect	3.14	6.10	5.91	3.71	3.40	0.42	090
67923		A	Repair eyelid defect	6.05	9.75	9.17	7.06	6.38	1.13	090
67924		A	Repair eyelid defect	5.93	10.33	9.80	6.69	6.04	1.13	090
67930		A	Repair eyelid wound	3.65	6.48	6.18	3.16	2.80	0.71	010
67935		A	Repair eyelid wound	6.36	10.19	9.60	6.15	5.51	1.25	090
67938		A	Remove eyelid foreign body	1.38	5.26	5.23	1.87	1.71	0.22	010
67950		A	Revision of eyelid	5.99	9.95	9.44	7.04	6.43	1.10	090
67961		A	Revision of eyelid	5.86	10.13	9.61	6.94	6.32	1.10	090
67966		A	Revision of eyelid	8.97	12.51	11.50	9.57	8.43	1.70	090
67971		A	Reconstruction of eyelid	10.01	NA	NA	10.40	9.34	1.96	090
67973		A	Reconstruction of eyelid	13.13	NA	NA	13.17	11.81	2.59	090
67974		A	Reconstruction of eyelid	13.10	NA	NA	13.14	11.76	2.59	090
67975		A	Reconstruction of eyelid	9.35	NA	NA	9.96	8.95	1.84	090
67999		C	Revision of eyelid	0.00	0.00	0.00	0.00	0.00	0.00	YYY
68020		A	Incise/drain eyelid lining	1.42	1.92	1.77	1.69	1.54	0.20	010
68040		A	Treatment of eyelid lesions	0.85	0.97	0.89	0.65	0.57	0.16	000
68100		A	Biopsy of eyelid lining	1.35	3.30	3.25	1.41	1.27	0.20	000

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68110		A	Remove eyelid lining lesion	1.82	4.38	4.25	2.35	2.14	0.35	010
68115		A	Remove eyelid lining lesion	2.41	6.13	6.00	2.76	2.49	0.31	010
68130		A	Remove eyelid lining lesion	5.10	9.82	9.38	6.47	5.89	0.35	090
68135		A	Remove eyelid lining lesion	1.89	2.49	2.28	2.34	2.14	0.26	010
68200		A	Treat eyelid by injection	0.49	0.70	0.64	0.49	0.44	0.08	000
68320		A	Revise/graft eyelid lining	6.64	13.43	12.76	8.51	7.66	1.29	090
68325		A	Revise/graft eyelid lining	8.63	NA	NA	9.92	8.89	1.69	090
68326		A	Revise/graft eyelid lining	8.42	NA	NA	9.76	8.73	1.65	090
68328		A	Revise/graft eyelid lining	9.45	NA	NA	10.58	9.48	1.86	090
68330		A	Revise eyelid lining	5.78	10.95	10.41	7.17	6.45	1.14	090
68335		A	Revise/graft eyelid lining	8.46	NA	NA	9.75	8.72	1.66	090
68340		A	Separate eyelid adhesions	4.97	10.08	9.63	6.24	5.61	0.99	090
68360		A	Revise eyelid lining	5.17	9.50	9.02	6.35	5.73	1.02	090
68362		A	Revise eyelid lining	8.61	NA	NA	9.87	8.82	1.69	090
68371		A	Harvest eye tissue alograft	5.09	NA	NA	6.51	5.93	0.35	010
68399		C	Eyelid lining surgery	0.00	0.00	0.00	0.00	0.00	0.00	YYY
68400		A	Incise/drain tear gland	1.74	5.97	5.90	1.96	1.84	0.34	010
68420		A	Incise/drain tear sac	2.35	6.43	6.31	2.39	2.21	0.30	010
68440		A	Incise tear duct opening	0.99	1.85	1.83	1.77	1.64	0.20	010
68500		A	Removal of tear gland	12.77	NA	NA	14.67	13.14	2.99	090
68505		A	Partial removal tear gland	12.69	NA	NA	14.61	13.24	2.48	090
68510		A	Biopsy of tear gland	4.60	7.76	7.47	3.71	3.19	0.90	000
68520		A	Removal of tear sac	8.78	NA	NA	10.50	9.53	1.14	090
68525		A	Biopsy of tear sac	4.42	NA	NA	3.11	2.67	0.86	000
68530		A	Clearance of tear duct	3.70	8.06	7.91	3.56	3.21	0.72	010
68540		A	Remove tear gland lesion	12.18	NA	NA	13.96	12.54	1.57	090
68550		A	Remove tear gland lesion	15.16	NA	NA	16.90	15.10	1.93	090
68700		A	Repair tear ducts	7.87	NA	NA	9.13	8.18	1.54	090
68705		A	Revise tear duct opening	2.11	4.41	4.27	2.56	2.32	0.41	010

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68720		A	Create tear sac drain	9.96	NA	NA	11.32	10.21	1.66	090
68745		A	Create tear duct drain	9.90	NA	NA	11.47	10.35	1.95	090
68750		A	Create tear duct drain	10.10	NA	NA	12.03	10.86	1.97	090
68760		A	Close tear duct opening	1.78	3.76	3.63	2.31	2.11	0.34	010
68761		A	Close tear duct opening	1.41	2.68	2.55	1.92	1.76	0.22	010
68770		A	Close tear system fistula	8.29	NA	NA	9.42	7.96	1.62	090
68801		A	Dilate tear duct opening	1.00	2.45	2.35	2.00	1.89	0.16	010
68810		A	Probe nasolacrimal duct	2.15	4.55	4.30	3.09	2.90	0.39	010
68811		A	Probe nasolacrimal duct	2.45	NA	NA	3.34	3.06	0.48	010
68815		A	Probe nasolacrimal duct	3.30	9.02	8.76	3.94	3.57	0.59	010
68816		A	Probe nl duct w/balloon	3.06	17.10	16.35	4.00	3.61	0.60	010
68840		A	Explore/irrigate tear ducts	1.30	2.26	2.09	1.96	1.76	0.24	010
68850		A	Injection for tear sac x-ray	0.80	0.84	0.90	0.71	0.75	0.07	000
68899		C	Tear duct system surgery	0.00	0.00	0.00	0.00	0.00	0.00	YYY
69000		A	Drain external ear lesion	1.50	3.80	3.67	1.89	1.78	0.22	010
69005		A	Drain external ear lesion	2.16	4.00	3.84	2.35	2.22	0.29	010
69020		A	Drain outer ear canal lesion	1.53	5.24	5.12	2.61	2.51	0.20	010
69090		N	Pierce earlobes	0.00	0.00	0.00	0.00	0.00	0.00	XXX
69100		A	Biopsy of external ear	0.81	2.03	2.12	0.60	0.55	0.11	000
69105		A	Biopsy of external ear canal	0.85	3.26	3.20	1.00	0.95	0.10	000
69110		A	Remove external ear partial	3.53	9.61	9.45	5.80	5.66	0.53	090
69120		A	Removal of external ear	4.14	NA	NA	7.53	7.21	0.60	090
69140		A	Remove ear canal lesion(s)	8.14	NA	NA	17.35	16.82	1.05	090
69145		A	Remove ear canal lesion(s)	2.70	8.89	8.54	4.57	4.35	0.35	090
69150		A	Extensive ear canal surgery	13.61	NA	NA	16.24	15.60	1.95	090
69155		A	Extensive ear/neck surgery	23.35	NA	NA	24.98	23.65	3.01	090
69200		A	Clear outer ear canal	0.77	2.80	2.75	0.88	0.81	0.10	000
69205		A	Clear outer ear canal	1.21	NA	NA	1.72	1.64	0.16	010
69210		A	Remove impacted ear wax	0.61	0.85	0.79	0.32	0.28	0.07	000

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69220		A	Clean out mastoid cavity	0.83	3.21	3.14	0.97	0.92	0.10	000
69222		A	Clean out mastoid cavity	1.45	4.99	4.90	2.54	2.47	0.18	010
69300		R	Revise external ear	6.69	13.43	12.23	7.09	6.61	0.86	YYY
69310		A	Rebuild outer ear canal	10.97	NA	NA	20.58	19.93	1.44	090
69320		A	Rebuild outer ear canal	17.18	NA	NA	27.23	26.33	2.20	090
69399		C	Outer ear surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
69400		A	Inflate middle ear canal	0.83	3.49	3.36	0.97	0.91	0.10	000
69401		A	Inflate middle ear canal	0.63	1.90	1.80	0.80	0.76	0.07	000
69405		A	Catheterize middle ear canal	2.68	4.93	4.69	2.90	2.74	0.34	010
69420		A	Incision of eardrum	1.38	4.22	4.11	2.13	2.03	0.18	010
69421		A	Incision of eardrum	1.78	NA	NA	2.56	2.48	0.23	010
69424		A	Remove ventilating tube	0.85	2.90	2.85	0.95	0.90	0.10	000
69433		A	Create eardrum opening	1.57	4.26	4.12	2.21	2.10	0.22	010
69436		A	Create eardrum opening	2.01	NA	NA	2.66	2.57	0.26	010
69440		A	Exploration of middle ear	7.71	NA	NA	12.38	11.76	0.99	090
69450		A	Eardrum revision	5.69	NA	NA	10.21	9.72	0.72	090
69501		A	Mastoidectomy	9.21	NA	NA	12.08	11.41	1.18	090
69502		A	Mastoidectomy	12.56	NA	NA	15.59	14.74	1.69	090
69505		A	Remove mastoid structures	13.17	NA	NA	21.83	21.01	1.70	090
69511		A	Extensive mastoid surgery	13.70	NA	NA	22.14	21.35	1.77	090
69530		A	Extensive mastoid surgery	20.38	NA	NA	27.61	26.41	2.61	090
69535		A	Remove part of temporal bone	37.42	NA	NA	39.57	37.38	5.23	090
69540		A	Remove ear lesion	1.25	4.88	4.79	2.47	2.39	0.16	010
69550		A	Remove ear lesion	11.15	NA	NA	19.13	18.45	1.44	090
69552		A	Remove ear lesion	19.81	NA	NA	25.75	24.56	2.55	090
69554		A	Remove ear lesion	35.97	NA	NA	37.13	34.19	4.61	090
69601		A	Mastoid surgery revision	13.45	NA	NA	16.99	16.05	1.71	090
69602		A	Mastoid surgery revision	13.76	NA	NA	17.91	16.94	1.77	090
69603		A	Mastoid surgery revision	14.20	NA	NA	22.44	21.71	1.82	090

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69604		A	Mastoid surgery revision	14.20	NA	NA	18.17	17.32	1.82	090
69605		A	Mastoid surgery revision	18.69	NA	NA	26.62	25.52	2.40	090
69610		A	Repair of eardrum	4.47	6.71	6.50	3.96	3.72	0.59	010
69620		A	Repair of eardrum	6.03	14.14	13.78	8.13	7.76	0.76	090
69631		A	Repair eardrum structures	10.05	NA	NA	15.72	14.94	1.29	090
69632		A	Rebuild eardrum structures	12.96	NA	NA	18.43	17.50	1.66	090
69633		A	Rebuild eardrum structures	12.31	NA	NA	18.00	17.10	1.59	090
69635		A	Repair eardrum structures	13.51	NA	NA	22.04	21.16	1.74	090
69636		A	Rebuild eardrum structures	15.43	NA	NA	24.69	23.76	1.97	090
69637		A	Rebuild eardrum structures	15.32	NA	NA	24.66	23.72	2.00	090
69641		A	Revise middle ear & mastoid	12.89	NA	NA	17.35	16.47	1.67	090
69642		A	Revise middle ear & mastoid	17.06	NA	NA	21.82	20.68	2.19	090
69643		A	Revise middle ear & mastoid	15.59	NA	NA	19.97	18.90	2.00	090
69644		A	Revise middle ear & mastoid	17.23	NA	NA	25.68	24.71	2.22	090
69645		A	Revise middle ear & mastoid	16.71	NA	NA	25.45	24.47	2.18	090
69646		A	Revise middle ear & mastoid	18.37	NA	NA	26.37	25.27	2.35	090
69650		A	Release middle ear bone	9.80	NA	NA	13.61	12.75	1.25	090
69660		A	Revise middle ear bone	12.03	NA	NA	14.92	14.11	1.55	090
69661		A	Revise middle ear bone	15.92	NA	NA	19.18	18.18	2.00	090
69662		A	Revise middle ear bone	15.60	NA	NA	17.96	16.98	2.00	090
69666		A	Repair middle ear structures	9.89	NA	NA	13.64	12.89	1.28	090
69667		A	Repair middle ear structures	9.90	NA	NA	13.63	12.92	1.28	090
69670		A	Remove mastoid air cells	11.73	NA	NA	15.74	14.88	1.51	090
69676		A	Remove middle ear nerve	9.69	NA	NA	14.53	13.86	1.24	090
69700		A	Close mastoid fistula	8.37	NA	NA	11.58	11.11	1.08	090
69710		N	Implant/replace hearing aid	0.00	0.00	0.00	0.00	0.00	0.00	XXX
69711		A	Remove/repair hearing aid	10.62	NA	NA	14.49	13.81	1.36	090
69714		A	Implant temple bone w/stimul	14.45	NA	NA	16.77	15.84	1.86	090
69715		A	Temple bne implnt w/stimulat	18.96	NA	NA	19.63	18.44	2.42	090

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69717		A	Temple bone implant revision	15.43	NA	NA	17.39	16.59	1.97	090
69718		A	Revise temple bone implant	19.21	NA	NA	19.77	18.60	2.45	090
69720		A	Release facial nerve	14.71	NA	NA	19.46	18.44	1.89	090
69725		A	Release facial nerve	27.64	NA	NA	26.94	25.15	3.55	090
69740		A	Repair facial nerve	16.27	NA	NA	17.64	16.61	2.08	090
69745		A	Repair facial nerve	17.02	NA	NA	19.08	18.07	2.18	090
69799		C	Middle ear surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
69801		A	Incise inner ear	2.06	3.63	3.63	1.58	6.62	0.27	000
69802		A	Incise inner ear	13.50	NA	NA	16.78	15.86	1.71	090
69805		A	Explore inner ear	14.71	NA	NA	15.92	14.91	1.89	090
69806		A	Explore inner ear	12.63	NA	NA	14.81	13.99	1.63	090
69820		A	Establish inner ear window	10.52	NA	NA	14.43	13.78	1.36	090
69840		A	Revise inner ear window	10.44	NA	NA	12.75	13.69	0.73	090
69905		A	Remove inner ear	11.26	NA	NA	15.46	14.71	1.46	090
69910		A	Remove inner ear & mastoid	13.91	NA	NA	15.65	14.78	1.80	090
69915		A	Incise inner ear nerve	22.77	NA	NA	21.87	20.37	2.97	090
69930		A	Implant cochlear device	17.73	NA	NA	17.73	16.82	2.27	090
69949		C	Inner ear surgery procedure	0.00	0.00	0.00	0.00	0.00	0.00	YYY
69950		A	Incise inner ear nerve	27.63	NA	NA	28.88	25.15	3.55	090
69955		A	Release facial nerve	29.42	NA	NA	28.01	26.17	3.78	090
69960		A	Release inner ear canal	29.42	NA	NA	26.41	24.54	3.78	090
69970		A	Remove inner ear lesion	32.41	NA	NA	29.75	27.80	4.16	090
69979		C	Temporal bone surgery	0.00	0.00	0.00	0.00	0.00	0.00	YYY
69990		R	Microsurgery add-on	3.46	NA	NA	1.94	1.87	1.16	ZZZ
70010		A	Contrast x-ray of brain	1.19	0.80	2.39	0.80	2.39	0.22	XXX
70015		A	Contrast x-ray of brain	1.19	3.02	3.10	NA	NA	0.08	XXX
70015	TC	A	Contrast x-ray of brain	0.00	2.57	2.60	NA	NA	0.01	XXX
70015	26	A	Contrast x-ray of brain	1.19	0.45	0.50	0.45	0.50	0.07	XXX
70030		A	X-ray eye for foreign body	0.17	0.63	0.67	NA	NA	0.02	XXX

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70030	TC	A	X-ray eye for foreign body	0.00	0.57	0.60	NA	NA	0.01	XXX
70030	26	A	X-ray eye for foreign body	0.17	0.06	0.07	0.06	0.07	0.01	XXX
70100		A	X-ray exam of jaw	0.18	0.80	0.78	NA	NA	0.02	XXX
70100	TC	A	X-ray exam of jaw	0.00	0.72	0.70	NA	NA	0.01	XXX
70100	26	A	X-ray exam of jaw	0.18	0.08	0.08	0.08	0.08	0.01	XXX
70110		A	X-ray exam of jaw	0.25	0.86	0.90	NA	NA	0.02	XXX
70110	TC	A	X-ray exam of jaw	0.00	0.76	0.80	NA	NA	0.01	XXX
70110	26	A	X-ray exam of jaw	0.25	0.10	0.10	0.10	0.10	0.01	XXX
70120		A	X-ray exam of mastoids	0.18	0.85	0.85	NA	NA	0.02	XXX
70120	TC	A	X-ray exam of mastoids	0.00	0.77	0.77	NA	NA	0.01	XXX
70120	26	A	X-ray exam of mastoids	0.18	0.08	0.08	0.08	0.08	0.01	XXX
70130		A	X-ray exam of mastoids	0.34	1.28	1.31	NA	NA	0.02	XXX
70130	TC	A	X-ray exam of mastoids	0.00	1.14	1.17	NA	NA	0.01	XXX
70130	26	A	X-ray exam of mastoids	0.34	0.14	0.14	0.14	0.14	0.01	XXX
70134		A	X-ray exam of middle ear	0.34	0.95	1.02	NA	NA	0.02	XXX
70134	TC	A	X-ray exam of middle ear	0.00	0.82	0.88	NA	NA	0.01	XXX
70134	26	A	X-ray exam of middle ear	0.34	0.13	0.14	0.13	0.14	0.01	XXX
70140		A	X-ray exam of facial bones	0.19	0.66	0.69	NA	NA	0.02	XXX
70140	TC	A	X-ray exam of facial bones	0.00	0.56	0.60	NA	NA	0.01	XXX
70140	26	A	X-ray exam of facial bones	0.19	0.10	0.09	0.10	0.09	0.01	XXX
70150		A	X-ray exam of facial bones	0.26	0.94	0.99	NA	NA	0.02	XXX
70150	TC	A	X-ray exam of facial bones	0.00	0.83	0.88	NA	NA	0.01	XXX
70150	26	A	X-ray exam of facial bones	0.26	0.11	0.11	0.11	0.11	0.01	XXX
70160		A	X-ray exam of nasal bones	0.17	0.77	0.79	NA	NA	0.02	XXX
70160	TC	A	X-ray exam of nasal bones	0.00	0.70	0.72	NA	NA	0.01	XXX
70160	26	A	X-ray exam of nasal bones	0.17	0.07	0.07	0.07	0.07	0.01	XXX
70170		C	X-ray exam of tear duct	0.00	0.00	0.00	NA	NA	0.00	XXX
70170	TC	C	X-ray exam of tear duct	0.00	0.00	0.00	NA	NA	0.00	XXX
70170	26	A	X-ray exam of tear duct	0.30	0.11	0.12	0.11	0.12	0.03	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
70190		A	X-ray exam of eye sockets	0.21	0.80	0.84	NA	NA	0.02	XXX
70190	TC	A	X-ray exam of eye sockets	0.00	0.71	0.75	NA	NA	0.01	XXX
70190	26	A	X-ray exam of eye sockets	0.21	0.09	0.09	0.09	0.09	0.01	XXX
70200		A	X-ray exam of eye sockets	0.28	0.94	1.01	NA	NA	0.02	XXX
70200	TC	A	X-ray exam of eye sockets	0.00	0.83	0.89	NA	NA	0.01	XXX
70200	26	A	X-ray exam of eye sockets	0.28	0.11	0.12	0.11	0.12	0.01	XXX
7020F		I	Mammo assess cat in dbase	0.00	0.00	0.00	0.00	0.00	0.00	XXX
70210		A	X-ray exam of sinuses	0.17	0.71	0.73	NA	NA	0.02	XXX
70210	TC	A	X-ray exam of sinuses	0.00	0.63	0.65	NA	NA	0.01	XXX
70210	26	A	X-ray exam of sinuses	0.17	0.08	0.08	0.08	0.08	0.01	XXX
70220		A	X-ray exam of sinuses	0.25	0.85	0.89	NA	NA	0.02	XXX
70220	TC	A	X-ray exam of sinuses	0.00	0.74	0.79	NA	NA	0.01	XXX
70220	26	A	X-ray exam of sinuses	0.25	0.11	0.10	0.11	0.10	0.01	XXX
70240		A	X-ray exam pituitary saddle	0.19	0.64	0.68	NA	NA	0.02	XXX
70240	TC	A	X-ray exam pituitary saddle	0.00	0.56	0.60	NA	NA	0.01	XXX
70240	26	A	X-ray exam pituitary saddle	0.19	0.08	0.08	0.08	0.08	0.01	XXX
70250		A	X-ray exam of skull	0.24	0.81	0.84	NA	NA	0.02	XXX
70250	TC	A	X-ray exam of skull	0.00	0.70	0.73	NA	NA	0.01	XXX
70250	26	A	X-ray exam of skull	0.24	0.11	0.11	0.11	0.11	0.01	XXX
70260		A	X-ray exam of skull	0.34	0.99	1.05	NA	NA	0.02	XXX
70260	TC	A	X-ray exam of skull	0.00	0.84	0.91	NA	NA	0.01	XXX
70260	26	A	X-ray exam of skull	0.34	0.15	0.14	0.15	0.14	0.01	XXX
70300		A	X-ray exam of teeth	0.10	0.31	0.32	NA	NA	0.02	XXX
70300	TC	A	X-ray exam of teeth	0.00	0.24	0.26	NA	NA	0.01	XXX
70300	26	A	X-ray exam of teeth	0.10	0.07	0.06	0.07	0.06	0.01	XXX
70310		A	X-ray exam of teeth	0.16	0.97	0.93	NA	NA	0.02	XXX
70310	TC	A	X-ray exam of teeth	0.00	0.86	0.84	NA	NA	0.01	XXX
70310	26	A	X-ray exam of teeth	0.16	0.11	0.09	0.11	0.09	0.01	XXX
70320		A	Full mouth x-ray of teeth	0.22	1.26	1.25	NA	NA	0.02	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
70320	TC	A	Full mouth x-ray of teeth	0.00	1.13	1.14	NA	NA	0.01	XXX
70320	26	A	Full mouth x-ray of teeth	0.22	0.13	0.11	0.13	0.11	0.01	XXX
70328		A	X-ray exam of jaw joint	0.18	0.70	0.72	NA	NA	0.02	XXX
70328	TC	A	X-ray exam of jaw joint	0.00	0.62	0.64	NA	NA	0.01	XXX
70328	26	A	X-ray exam of jaw joint	0.18	0.08	0.08	0.08	0.08	0.01	XXX
70330		A	X-ray exam of jaw joints	0.24	1.14	1.17	NA	NA	0.02	XXX
70330	TC	A	X-ray exam of jaw joints	0.00	1.02	1.06	NA	NA	0.01	XXX
70330	26	A	X-ray exam of jaw joints	0.24	0.12	0.11	0.12	0.11	0.01	XXX
70332		A	X-ray exam of jaw joint	0.54	1.88	1.96	NA	NA	0.04	XXX
70332	TC	A	X-ray exam of jaw joint	0.00	1.57	1.69	NA	NA	0.01	XXX
70332	26	A	X-ray exam of jaw joint	0.54	0.31	0.27	0.31	0.27	0.03	XXX
70336		A	Magnetic image jaw joint	1.48	8.95	11.81	NA	NA	0.09	XXX
70336	TC	A	Magnetic image jaw joint	0.00	8.41	11.21	NA	NA	0.01	XXX
70336	26	A	Magnetic image jaw joint	1.48	0.54	0.60	0.54	0.60	0.08	XXX
70350		A	X-ray head for orthodontia	0.17	0.44	0.44	NA	NA	0.02	XXX
70350	TC	A	X-ray head for orthodontia	0.00	0.32	0.34	NA	NA	0.01	XXX
70350	26	A	X-ray head for orthodontia	0.17	0.12	0.10	0.12	0.10	0.01	XXX
70355		A	Panoramic x-ray of jaws	0.20	0.39	0.42	NA	NA	0.02	XXX
70355	TC	A	Panoramic x-ray of jaws	0.00	0.27	0.32	NA	NA	0.01	XXX
70355	26	A	Panoramic x-ray of jaws	0.20	0.12	0.10	0.12	0.10	0.01	XXX
70360		A	X-ray exam of neck	0.17	0.60	0.63	NA	NA	0.02	XXX
70360	TC	A	X-ray exam of neck	0.00	0.53	0.56	NA	NA	0.01	XXX
70360	26	A	X-ray exam of neck	0.17	0.07	0.07	0.07	0.07	0.01	XXX
70370		A	Throat x-ray & fluoroscopy	0.32	2.15	2.08	NA	NA	0.02	XXX
70370	TC	A	Throat x-ray & fluoroscopy	0.00	2.01	1.94	NA	NA	0.01	XXX
70370	26	A	Throat x-ray & fluoroscopy	0.32	0.14	0.14	0.14	0.14	0.01	XXX
70371		A	Speech evaluation complex	0.84	1.77	1.92	NA	NA	0.04	XXX
70371	TC	A	Speech evaluation complex	0.00	1.41	1.58	NA	NA	0.01	XXX
70371	26	A	Speech evaluation complex	0.84	0.36	0.34	0.36	0.34	0.03	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
70373		A	Contrast x-ray of larynx	0.44	1.92	1.98	NA	NA	0.02	XXX
70373	TC	A	Contrast x-ray of larynx	0.00	1.74	1.81	NA	NA	0.01	XXX
70373	26	A	Contrast x-ray of larynx	0.44	0.18	0.17	0.18	0.17	0.01	XXX
70380		A	X-ray exam of salivary gland	0.17	1.00	0.99	NA	NA	0.02	XXX
70380	TC	A	X-ray exam of salivary gland	0.00	0.89	0.90	NA	NA	0.01	XXX
70380	26	A	X-ray exam of salivary gland	0.17	0.11	0.09	0.11	0.09	0.01	XXX
70390		A	X-ray exam of salivary duct	0.38	2.48	2.60	NA	NA	0.04	XXX
70390	TC	A	X-ray exam of salivary duct	0.00	2.33	2.44	NA	NA	0.01	XXX
70390	26	A	X-ray exam of salivary duct	0.38	0.15	0.16	0.15	0.16	0.03	XXX
70450		A	Ct head/brain w/o dye	0.85	3.85	4.94	NA	NA	0.05	XXX
70450	TC	A	Ct head/brain w/o dye	0.00	3.53	4.58	NA	NA	0.01	XXX
70450	26	A	Ct head/brain w/o dye	0.85	0.32	0.36	0.32	0.36	0.04	XXX
70460		A	Ct head/brain w/dye	1.13	5.06	6.41	NA	NA	0.06	XXX
70460	TC	A	Ct head/brain w/dye	0.00	4.63	5.94	NA	NA	0.01	XXX
70460	26	A	Ct head/brain w/dye	1.13	0.43	0.47	0.43	0.47	0.05	XXX
70470		A	Ct head/brain w/o & w/dye	1.27	6.18	7.85	NA	NA	0.08	XXX
70470	TC	A	Ct head/brain w/o & w/dye	0.00	5.70	7.32	NA	NA	0.01	XXX
70470	26	A	Ct head/brain w/o & w/dye	1.27	0.48	0.53	0.48	0.53	0.07	XXX
70480		A	Ct orbit/ear/fossa w/o dye	1.28	6.66	7.99	NA	NA	0.08	XXX
70480	TC	A	Ct orbit/ear/fossa w/o dye	0.00	6.17	7.45	NA	NA	0.01	XXX
70480	26	A	Ct orbit/ear/fossa w/o dye	1.28	0.49	0.54	0.49	0.54	0.07	XXX
70481		A	Ct orbit/ear/fossa w/dye	1.38	7.79	9.37	NA	NA	0.09	XXX
70481	TC	A	Ct orbit/ear/fossa w/dye	0.00	7.27	8.80	NA	NA	0.01	XXX
70481	26	A	Ct orbit/ear/fossa w/dye	1.38	0.52	0.57	0.52	0.57	0.08	XXX
70482		A	Ct orbit/ear/fossa w/o&w/dye	1.45	8.72	10.71	NA	NA	0.09	XXX
70482	TC	A	Ct orbit/ear/fossa w/o&w/dye	0.00	8.18	10.11	NA	NA	0.01	XXX
70482	26	A	Ct orbit/ear/fossa w/o&w/dye	1.45	0.54	0.60	0.54	0.60	0.08	XXX
70486		A	Ct maxillofacial w/o dye	1.14	5.40	6.58	NA	NA	0.06	XXX
70486	TC	A	Ct maxillofacial w/o dye	0.00	4.95	6.10	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
70486	26	A	Ct maxillofacial w/o dye	1.14	0.45	0.48	0.45	0.48	0.05	XXX
70487		A	Ct maxillofacial w/dye	1.30	6.53	8.00	NA	NA	0.08	XXX
70487	TC	A	Ct maxillofacial w/dye	0.00	6.04	7.46	NA	NA	0.01	XXX
70487	26	A	Ct maxillofacial w/dye	1.30	0.49	0.54	0.49	0.54	0.07	XXX
70488		A	Ct maxillofacial w/o & w/dye	1.42	8.05	9.90	NA	NA	0.09	XXX
70488	TC	A	Ct maxillofacial w/o & w/dye	0.00	7.51	9.31	NA	NA	0.01	XXX
70488	26	A	Ct maxillofacial w/o & w/dye	1.42	0.54	0.59	0.54	0.59	0.08	XXX
70490		A	Ct soft tissue neck w/o dye	1.28	5.05	6.28	NA	NA	0.08	XXX
70490	TC	A	Ct soft tissue neck w/o dye	0.00	4.57	5.74	NA	NA	0.01	XXX
70490	26	A	Ct soft tissue neck w/o dye	1.28	0.48	0.54	0.48	0.54	0.07	XXX
70491		A	Ct soft tissue neck w/dye	1.38	6.28	7.73	NA	NA	0.08	XXX
70491	TC	A	Ct soft tissue neck w/dye	0.00	5.75	7.15	NA	NA	0.01	XXX
70491	26	A	Ct soft tissue neck w/dye	1.38	0.53	0.58	0.53	0.58	0.07	XXX
70492		A	Ct sft tsue nck w/o & w/dye	1.45	7.69	9.57	NA	NA	0.09	XXX
70492	TC	A	Ct sft tsue nck w/o & w/dye	0.00	7.15	8.97	NA	NA	0.01	XXX
70492	26	A	Ct sft tsue nck w/o & w/dye	1.45	0.54	0.60	0.54	0.60	0.08	XXX
70496		A	Ct angiography head	1.75	12.59	15.77	NA	NA	0.11	XXX
70496	TC	A	Ct angiography head	0.00	11.94	15.04	NA	NA	0.01	XXX
70496	26	A	Ct angiography head	1.75	0.65	0.73	0.65	0.73	0.10	XXX
70498		A	Ct angiography neck	1.75	13.09	16.07	NA	NA	0.11	XXX
70498	TC	A	Ct angiography neck	0.00	12.44	15.33	NA	NA	0.01	XXX
70498	26	A	Ct angiography neck	1.75	0.65	0.74	0.65	0.74	0.10	XXX
70540		A	Mri orbit/face/neck w/o dye	1.35	10.36	13.39	NA	NA	0.09	XXX
70540	TC	A	Mri orbit/face/neck w/o dye	0.00	9.86	12.84	NA	NA	0.01	XXX
70540	26	A	Mri orbit/face/neck w/o dye	1.35	0.50	0.55	0.50	0.55	0.08	XXX
70542		A	Mri orbit/face/neck w/dye	1.62	11.65	14.86	NA	NA	0.11	XXX
70542	TC	A	Mri orbit/face/neck w/dye	0.00	11.04	14.19	NA	NA	0.01	XXX
70542	26	A	Mri orbit/face/neck w/dye	1.62	0.61	0.67	0.61	0.67	0.10	XXX
70543		A	Mri orbt/fac/nck w/o & w/dye	2.15	13.97	19.39	NA	NA	0.13	XXX
70543	TC	A	Mri orbt/fac/nck w/o & w/dye	0.00	13.17	18.51	NA	NA	0.01	XXX
70543	26	A	Mri orbt/fac/nck w/o & w/dye	2.15	0.80	0.88	0.80	0.88	0.12	XXX
70544		A	Mr angiography head w/o dye	1.20	11.98	14.96	NA	NA	0.08	XXX
70544	TC	A	Mr angiography head w/o dye	0.00	11.53	14.46	NA	NA	0.01	XXX
70544	26	A	Mr angiography head w/o dye	1.20	0.45	0.50	0.45	0.50	0.07	XXX
70545		A	Mr angiography head w/dye	1.20	11.87	14.85	NA	NA	0.08	XXX
70545	TC	A	Mr angiography head w/dye	0.00	11.42	14.36	NA	NA	0.01	XXX
70545	26	A	Mr angiography head w/dye	1.20	0.45	0.49	0.45	0.49	0.07	XXX
70546		A	Mr angiograph head w/o&w/dye	1.80	18.14	23.47	NA	NA	0.12	XXX
70546	TC	A	Mr angiograph head w/o&w/dye	0.00	17.46	22.73	NA	NA	0.01	XXX
70546	26	A	Mr angiograph head w/o&w/dye	1.80	0.68	0.74	0.68	0.74	0.11	XXX
70547		A	Mr angiography neck w/o dye	1.20	11.96	14.92	NA	NA	0.08	XXX
70547	TC	A	Mr angiography neck w/o dye	0.00	11.51	14.42	NA	NA	0.01	XXX
70547	26	A	Mr angiography neck w/o dye	1.20	0.45	0.50	0.45	0.50	0.07	XXX
70548		A	Mr angiography neck w/dye	1.20	12.78	15.73	NA	NA	0.08	XXX
70548	TC	A	Mr angiography neck w/dye	0.00	12.33	15.23	NA	NA	0.01	XXX
70548	26	A	Mr angiography neck w/dye	1.20	0.45	0.50	0.45	0.50	0.07	XXX
70549		A	Mr angiograph neck w/o&w/dye	1.80	18.15	23.49	NA	NA	0.11	XXX
70549	TC	A	Mr angiograph neck w/o&w/dye	0.00	17.48	22.75	NA	NA	0.01	XXX
70549	26	A	Mr angiograph neck w/o&w/dye	1.80	0.67	0.74	0.67	0.74	0.10	XXX
70551		A	Mri brain w/o dye	1.48	10.85	13.78	NA	NA	0.09	XXX
70551	TC	A	Mri brain w/o dye	0.00	10.29	13.17	NA	NA	0.01	XXX
70551	26	A	Mri brain w/o dye	1.48	0.56	0.61	0.56	0.61	0.08	XXX
70552		A	Mri brain w/dye	1.78	11.97	15.23	NA	NA	0.12	XXX
70552	TC	A	Mri brain w/dye	0.00	11.30	14.49	NA	NA	0.01	XXX
70552	26	A	Mri brain w/dye	1.78	0.67	0.74	0.67	0.74	0.11	XXX
70553		A	Mri brain w/o & w/dye	2.36	13.75	19.01	NA	NA	0.15	XXX
70553	TC	A	Mri brain w/o & w/dye	0.00	12.86	18.03	NA	NA	0.01	XXX
70553	26	A	Mri brain w/o & w/dye	2.36	0.89	0.98	0.89	0.98	0.14	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
70554		A	Fmri brain by tech	2.11	12.08	14.69	NA	NA	0.13	XXX
70554	TC	A	Fmri brain by tech	0.00	11.26	13.79	NA	NA	0.01	XXX
70554	26	A	Fmri brain by tech	2.11	0.82	0.90	0.82	0.90	0.12	XXX
70555		C	Fmri brain by phys/psych	0.00	0.00	0.00	NA	NA	0.00	XXX
70555	TC	C	Fmri brain by phys/psych	0.00	0.00	0.00	NA	NA	0.00	XXX
70555	26	A	Fmri brain by phys/psych	2.54	0.93	1.06	0.93	1.06	0.24	XXX
70557		C	Mri brain w/o dye	0.00	0.00	0.00	NA	NA	0.00	XXX
70557	TC	C	Mri brain w/o dye	0.00	0.00	0.00	NA	NA	0.00	XXX
70557	26	A	Mri brain w/o dye	2.90	1.62	1.51	1.62	1.51	1.05	XXX
70558		C	Mri brain w/dye	0.00	0.00	0.00	NA	NA	0.00	XXX
70558	TC	C	Mri brain w/dye	0.00	0.00	0.00	NA	NA	0.00	XXX
70558	26	A	Mri brain w/dye	3.20	1.21	1.33	1.21	1.33	0.30	XXX
70559		C	Mri brain w/o & w/dye	0.00	0.00	0.00	NA	NA	0.00	XXX
70559	TC	C	Mri brain w/o & w/dye	0.00	0.00	0.00	NA	NA	0.00	XXX
70559	26	A	Mri brain w/o & w/dye	3.20	1.25	1.39	1.25	1.39	0.30	XXX
71010		A	Chest x-ray	0.18	0.46	0.51	NA	NA	0.02	XXX
71010	TC	A	Chest x-ray	0.00	0.39	0.44	NA	NA	0.01	XXX
71010	26	A	Chest x-ray	0.18	0.07	0.07	0.07	0.07	0.01	XXX
71015		A	Chest x-ray	0.21	0.62	0.67	NA	NA	0.02	XXX
71015	TC	A	Chest x-ray	0.00	0.54	0.58	NA	NA	0.01	XXX
71015	26	A	Chest x-ray	0.21	0.08	0.09	0.08	0.09	0.01	XXX
71020		A	Chest x-ray	0.22	0.62	0.68	NA	NA	0.02	XXX
71020	TC	A	Chest x-ray	0.00	0.53	0.59	NA	NA	0.01	XXX
71020	26	A	Chest x-ray	0.22	0.09	0.09	0.09	0.09	0.01	XXX
71021		A	Chest x-ray	0.27	0.79	0.85	NA	NA	0.02	XXX
71021	TC	A	Chest x-ray	0.00	0.67	0.73	NA	NA	0.01	XXX
71021	26	A	Chest x-ray	0.27	0.12	0.12	0.12	0.12	0.01	XXX
71022		A	Chest x-ray	0.31	1.00	1.05	NA	NA	0.02	XXX
71022	TC	A	Chest x-ray	0.00	0.87	0.92	NA	NA	0.01	XXX
71022	26	A	Chest x-ray	0.31	0.13	0.13	0.13	0.13	0.01	XXX
71023		A	Chest x-ray and fluoroscopy	0.38	1.60	1.66	NA	NA	0.02	XXX
71023	TC	A	Chest x-ray and fluoroscopy	0.00	1.45	1.49	NA	NA	0.01	XXX
71023	26	A	Chest x-ray and fluoroscopy	0.38	0.15	0.17	0.15	0.17	0.01	XXX
71030		A	Chest x-ray	0.31	0.96	1.04	NA	NA	0.02	XXX
71030	TC	A	Chest x-ray	0.00	0.84	0.91	NA	NA	0.01	XXX
71030	26	A	Chest x-ray	0.31	0.12	0.13	0.12	0.13	0.01	XXX
71034		A	Chest x-ray and fluoroscopy	0.46	1.91	2.16	NA	NA	0.02	XXX
71034	TC	A	Chest x-ray and fluoroscopy	0.00	1.73	1.94	NA	NA	0.01	XXX
71034	26	A	Chest x-ray and fluoroscopy	0.46	0.18	0.22	0.18	0.22	0.01	XXX
71035		A	Chest x-ray	0.18	0.81	0.86	NA	NA	0.02	XXX
71035	TC	A	Chest x-ray	0.00	0.74	0.78	NA	NA	0.01	XXX
71035	26	A	Chest x-ray	0.18	0.07	0.08	0.07	0.08	0.01	XXX
71040		A	Contrast x-ray of bronchi	0.58	2.16	2.28	NA	NA	0.02	XXX
71040	TC	A	Contrast x-ray of bronchi	0.00	1.95	2.04	NA	NA	0.01	XXX
71040	26	A	Contrast x-ray of bronchi	0.58	0.21	0.24	0.21	0.24	0.01	XXX
71060		A	Contrast x-ray of bronchi	0.74	3.23	3.41	NA	NA	0.05	XXX
71060	TC	A	Contrast x-ray of bronchi	0.00	2.95	3.11	NA	NA	0.01	XXX
71060	26	A	Contrast x-ray of bronchi	0.74	0.28	0.30	0.28	0.30	0.04	XXX
71090		C	X-ray & pacemaker insertion	0.00	0.00	0.00	NA	NA	0.00	XXX
71090	TC	C	X-ray & pacemaker insertion	0.00	0.00	0.00	NA	NA	0.00	XXX
71090	26	A	X-ray & pacemaker insertion	0.54	0.21	0.26	0.21	0.26	0.04	XXX
71100		A	X-ray exam of ribs	0.22	0.69	0.73	NA	NA	0.02	XXX
71100	TC	A	X-ray exam of ribs	0.00	0.60	0.64	NA	NA	0.01	XXX
71100	26	A	X-ray exam of ribs	0.22	0.09	0.09	0.09	0.09	0.01	XXX
71101		A	X-ray exam of ribs/chest	0.27	0.84	0.89	NA	NA	0.02	XXX
71101	TC	A	X-ray exam of ribs/chest	0.00	0.73	0.78	NA	NA	0.01	XXX
71101	26	A	X-ray exam of ribs/chest	0.27	0.11	0.11	0.11	0.11	0.01	XXX
71110		A	X-ray exam of ribs	0.27	0.88	0.92	NA	NA	0.02	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
71110	TC	A	X-ray exam of ribs	0.00	0.76	0.81	NA	NA	0.01	XXX
71110	26	A	X-ray exam of ribs	0.27	0.12	0.11	0.12	0.11	0.01	XXX
71111		A	X-ray exam of ribs/chest	0.32	1.19	1.24	NA	NA	0.02	XXX
71111	TC	A	X-ray exam of ribs/chest	0.00	1.05	1.10	NA	NA	0.01	XXX
71111	26	A	X-ray exam of ribs/chest	0.32	0.14	0.14	0.14	0.14	0.01	XXX
71120		A	X-ray exam of breastbone	0.20	0.67	0.73	NA	NA	0.02	XXX
71120	TC	A	X-ray exam of breastbone	0.00	0.59	0.65	NA	NA	0.01	XXX
71120	26	A	X-ray exam of breastbone	0.20	0.08	0.08	0.08	0.08	0.01	XXX
71130		A	X-ray exam of breastbone	0.22	0.82	0.87	NA	NA	0.02	XXX
71130	TC	A	X-ray exam of breastbone	0.00	0.73	0.78	NA	NA	0.01	XXX
71130	26	A	X-ray exam of breastbone	0.22	0.09	0.09	0.09	0.09	0.01	XXX
71250		A	Ct thorax w/o dye	1.00	4.97	6.38	NA	NA	0.06	XXX
71250	TC	A	Ct thorax w/o dye	0.00	4.59	5.93	NA	NA	0.01	XXX
71250	26	A	Ct thorax w/o dye	1.00	0.38	0.45	0.38	0.45	0.05	XXX
71260		A	Ct thorax w/dye	1.24	6.24	7.91	NA	NA	0.08	XXX
71260	TC	A	Ct thorax w/dye	0.00	5.77	7.39	NA	NA	0.01	XXX
71260	26	A	Ct thorax w/dye	1.24	0.47	0.52	0.47	0.52	0.07	XXX
71270		A	Ct thorax w/o & w/dye	1.38	7.74	9.86	NA	NA	0.08	XXX
71270	TC	A	Ct thorax w/o & w/dye	0.00	7.22	9.29	NA	NA	0.01	XXX
71270	26	A	Ct thorax w/o & w/dye	1.38	0.52	0.57	0.52	0.57	0.07	XXX
71275		A	Ct angiography chest	1.92	9.46	12.06	NA	NA	0.12	XXX
71275	TC	A	Ct angiography chest	0.00	8.74	11.26	NA	NA	0.01	XXX
71275	26	A	Ct angiography chest	1.92	0.72	0.80	0.72	0.80	0.11	XXX
71550		A	Mri chest w/o dye	1.46	12.03	15.22	NA	NA	0.09	XXX
71550	TC	A	Mri chest w/o dye	0.00	11.49	14.62	NA	NA	0.01	XXX
71550	26	A	Mri chest w/o dye	1.46	0.54	0.60	0.54	0.60	0.08	XXX
71551		A	Mri chest w/dye	1.73	13.60	17.06	NA	NA	0.11	XXX
71551	TC	A	Mri chest w/dye	0.00	12.95	16.35	NA	NA	0.01	XXX
71551	26	A	Mri chest w/dye	1.73	0.65	0.71	0.65	0.71	0.10	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
71552		A	Mri chest w/o & w/dye	2.26	16.71	22.50	NA	NA	0.13	XXX
71552	TC	A	Mri chest w/o & w/dye	0.00	15.87	21.56	NA	NA	0.01	XXX
71552	26	A	Mri chest w/o & w/dye	2.26	0.84	0.94	0.84	0.94	0.12	XXX
71555		R	Mri angio chest w or w/o dye	1.81	11.60	14.54	NA	NA	0.11	XXX
71555	TC	R	Mri angio chest w or w/o dye	0.00	10.92	13.78	NA	NA	0.01	XXX
71555	26	R	Mri angio chest w or w/o dye	1.81	0.68	0.76	0.68	0.76	0.10	XXX
72010		A	X-ray exam of spine	0.45	1.77	1.72	NA	NA	0.04	XXX
72010	TC	A	X-ray exam of spine	0.00	1.56	1.53	NA	NA	0.01	XXX
72010	26	A	X-ray exam of spine	0.45	0.21	0.19	0.21	0.19	0.03	XXX
72020		A	X-ray exam of spine	0.15	0.50	0.54	NA	NA	0.02	XXX
72020	TC	A	X-ray exam of spine	0.00	0.44	0.47	NA	NA	0.01	XXX
72020	26	A	X-ray exam of spine	0.15	0.06	0.07	0.06	0.07	0.01	XXX
72040		A	X-ray exam of neck spine	0.22	0.89	0.90	NA	NA	0.04	XXX
72040	TC	A	X-ray exam of neck spine	0.00	0.79	0.80	NA	NA	0.01	XXX
72040	26	A	X-ray exam of neck spine	0.22	0.10	0.10	0.10	0.10	0.03	XXX
72050		A	X-ray exam of neck spine	0.31	1.17	1.23	NA	NA	0.04	XXX
72050	TC	A	X-ray exam of neck spine	0.00	1.04	1.10	NA	NA	0.01	XXX
72050	26	A	X-ray exam of neck spine	0.31	0.13	0.13	0.13	0.13	0.03	XXX
72052		A	X-ray exam of neck spine	0.36	1.55	1.61	NA	NA	0.04	XXX
72052	TC	A	X-ray exam of neck spine	0.00	1.40	1.45	NA	NA	0.01	XXX
72052	26	A	X-ray exam of neck spine	0.36	0.15	0.16	0.15	0.16	0.03	XXX
72069		A	X-ray exam of trunk spine	0.22	0.85	0.86	NA	NA	0.04	XXX
72069	TC	A	X-ray exam of trunk spine	0.00	0.75	0.76	NA	NA	0.01	XXX
72069	26	A	X-ray exam of trunk spine	0.22	0.10	0.10	0.10	0.10	0.03	XXX
72070		A	X-ray exam of thoracic spine	0.22	0.73	0.77	NA	NA	0.02	XXX
72070	TC	A	X-ray exam of thoracic spine	0.00	0.63	0.67	NA	NA	0.01	XXX
72070	26	A	X-ray exam of thoracic spine	0.22	0.10	0.10	0.10	0.10	0.01	XXX
72072		A	X-ray exam of thoracic spine	0.22	0.81	0.88	NA	NA	0.02	XXX
72072	TC	A	X-ray exam of thoracic spine	0.00	0.73	0.79	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
72072	26	A	X-ray exam of thoracic spine	0.22	0.08	0.09	0.08	0.09	0.01	XXX
72074		A	X-ray exam of thoracic spine	0.22	1.01	1.09	NA	NA	0.02	XXX
72074	TC	A	X-ray exam of thoracic spine	0.00	0.92	1.00	NA	NA	0.01	XXX
72074	26	A	X-ray exam of thoracic spine	0.22	0.09	0.09	0.09	0.09	0.01	XXX
72080		A	X-ray exam of trunk spine	0.22	0.81	0.83	NA	NA	0.04	XXX
72080	TC	A	X-ray exam of trunk spine	0.00	0.71	0.73	NA	NA	0.01	XXX
72080	26	A	X-ray exam of trunk spine	0.22	0.10	0.10	0.10	0.10	0.03	XXX
72090		A	X-ray exam of trunk spine	0.28	1.15	1.15	NA	NA	0.05	XXX
72090	TC	A	X-ray exam of trunk spine	0.00	1.02	1.02	NA	NA	0.01	XXX
72090	26	A	X-ray exam of trunk spine	0.28	0.13	0.13	0.13	0.13	0.04	XXX
72100		A	X-ray exam of lower spine	0.22	0.94	0.96	NA	NA	0.04	XXX
72100	TC	A	X-ray exam of lower spine	0.00	0.84	0.86	NA	NA	0.01	XXX
72100	26	A	X-ray exam of lower spine	0.22	0.10	0.10	0.10	0.10	0.03	XXX
72110		A	X-ray exam of lower spine	0.31	1.26	1.30	NA	NA	0.04	XXX
72110	TC	A	X-ray exam of lower spine	0.00	1.13	1.17	NA	NA	0.01	XXX
72110	26	A	X-ray exam of lower spine	0.31	0.13	0.13	0.13	0.13	0.03	XXX
72114		A	X-ray exam of lower spine	0.36	1.78	1.81	NA	NA	0.05	XXX
72114	TC	A	X-ray exam of lower spine	0.00	1.62	1.65	NA	NA	0.01	XXX
72114	26	A	X-ray exam of lower spine	0.36	0.16	0.16	0.16	0.16	0.04	XXX
72120		A	X-ray exam of lower spine	0.22	1.29	1.28	NA	NA	0.04	XXX
72120	TC	A	X-ray exam of lower spine	0.00	1.18	1.18	NA	NA	0.01	XXX
72120	26	A	X-ray exam of lower spine	0.22	0.11	0.10	0.11	0.10	0.03	XXX
72125		A	Ct neck spine w/o dye	1.00	5.02	6.42	NA	NA	0.06	XXX
72125	TC	A	Ct neck spine w/o dye	0.00	4.65	5.97	NA	NA	0.01	XXX
72125	26	A	Ct neck spine w/o dye	1.00	0.37	0.45	0.37	0.45	0.05	XXX
72126		A	Ct neck spine w/dye	1.22	6.26	7.91	NA	NA	0.08	XXX
72126	TC	A	Ct neck spine w/dye	0.00	5.80	7.41	NA	NA	0.01	XXX
72126	26	A	Ct neck spine w/dye	1.22	0.46	0.50	0.46	0.50	0.07	XXX
72127		A	Ct neck spine w/o & w/dye	1.27	7.70	9.81	NA	NA	0.08	XXX
72127	TC	A	Ct neck spine w/o & w/dye	0.00	7.23	9.29	NA	NA	0.01	XXX
72127	26	A	Ct neck spine w/o & w/dye	1.27	0.47	0.52	0.47	0.52	0.07	XXX
72128		A	Ct chest spine w/o dye	1.00	5.02	6.41	NA	NA	0.06	XXX
72128	TC	A	Ct chest spine w/o dye	0.00	4.64	5.96	NA	NA	0.01	XXX
72128	26	A	Ct chest spine w/o dye	1.00	0.38	0.45	0.38	0.45	0.05	XXX
72129		A	Ct chest spine w/dye	1.22	6.28	7.93	NA	NA	0.08	XXX
72129	TC	A	Ct chest spine w/dye	0.00	5.82	7.42	NA	NA	0.01	XXX
72129	26	A	Ct chest spine w/dye	1.22	0.46	0.51	0.46	0.51	0.07	XXX
72130		A	Ct chest spine w/o & w/dye	1.27	7.71	9.83	NA	NA	0.08	XXX
72130	TC	A	Ct chest spine w/o & w/dye	0.00	7.23	9.30	NA	NA	0.01	XXX
72130	26	A	Ct chest spine w/o & w/dye	1.27	0.48	0.53	0.48	0.53	0.07	XXX
72131		A	Ct lumbar spine w/o dye	1.00	4.99	6.39	NA	NA	0.06	XXX
72131	TC	A	Ct lumbar spine w/o dye	0.00	4.61	5.94	NA	NA	0.01	XXX
72131	26	A	Ct lumbar spine w/o dye	1.00	0.38	0.45	0.38	0.45	0.05	XXX
72132		A	Ct lumbar spine w/dye	1.22	6.25	7.91	NA	NA	0.08	XXX
72132	TC	A	Ct lumbar spine w/dye	0.00	5.79	7.40	NA	NA	0.01	XXX
72132	26	A	Ct lumbar spine w/dye	1.22	0.46	0.51	0.46	0.51	0.07	XXX
72133		A	Ct lumbar spine w/o & w/dye	1.27	7.70	9.82	NA	NA	0.08	XXX
72133	TC	A	Ct lumbar spine w/o & w/dye	0.00	7.22	9.29	NA	NA	0.01	XXX
72133	26	A	Ct lumbar spine w/o & w/dye	1.27	0.48	0.53	0.48	0.53	0.07	XXX
72141		A	Mri neck spine w/o dye	1.60	9.36	12.14	NA	NA	0.11	XXX
72141	TC	A	Mri neck spine w/o dye	0.00	8.75	11.48	NA	NA	0.01	XXX
72141	26	A	Mri neck spine w/o dye	1.60	0.61	0.66	0.61	0.66	0.10	XXX
72142		A	Mri neck spine w/dye	1.92	12.08	15.29	NA	NA	0.12	XXX
72142	TC	A	Mri neck spine w/dye	0.00	11.34	14.49	NA	NA	0.01	XXX
72142	26	A	Mri neck spine w/dye	1.92	0.74	0.80	0.74	0.80	0.11	XXX
72146		A	Mri chest spine w/o dye	1.60	9.39	12.35	NA	NA	0.11	XXX
72146	TC	A	Mri chest spine w/o dye	0.00	8.78	11.68	NA	NA	0.01	XXX
72146	26	A	Mri chest spine w/o dye	1.60	0.61	0.67	0.61	0.67	0.10	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
72147		A	Mri chest spine w/dye	1.92	10.52	13.59	NA	NA	0.12	XXX
72147	TC	A	Mri chest spine w/dye	0.00	9.79	12.79	NA	NA	0.01	XXX
72147	26	A	Mri chest spine w/dye	1.92	0.73	0.80	0.73	0.80	0.11	XXX
72148		A	Mri lumbar spine w/o dye	1.48	9.32	12.28	NA	NA	0.11	XXX
72148	TC	A	Mri lumbar spine w/o dye	0.00	8.75	11.66	NA	NA	0.01	XXX
72148	26	A	Mri lumbar spine w/o dye	1.48	0.57	0.62	0.57	0.62	0.10	XXX
72149		A	Mri lumbar spine w/dye	1.78	11.86	15.16	NA	NA	0.12	XXX
72149	TC	A	Mri lumbar spine w/dye	0.00	11.18	14.41	NA	NA	0.01	XXX
72149	26	A	Mri lumbar spine w/dye	1.78	0.68	0.75	0.68	0.75	0.11	XXX
72156		A	Mri neck spine w/o & w/dye	2.57	13.55	18.78	NA	NA	0.17	XXX
72156	TC	A	Mri neck spine w/o & w/dye	0.00	12.58	17.72	NA	NA	0.01	XXX
72156	26	A	Mri neck spine w/o & w/dye	2.57	0.97	1.06	0.97	1.06	0.16	XXX
72157		A	Mri chest spine w/o & w/dye	2.57	12.43	17.50	NA	NA	0.17	XXX
72157	TC	A	Mri chest spine w/o & w/dye	0.00	11.47	16.44	NA	NA	0.01	XXX
72157	26	A	Mri chest spine w/o & w/dye	2.57	0.96	1.06	0.96	1.06	0.16	XXX
72158		A	Mri lumbar spine w/o & w/dye	2.36	13.41	18.65	NA	NA	0.17	XXX
72158	TC	A	Mri lumbar spine w/o & w/dye	0.00	12.52	17.68	NA	NA	0.01	XXX
72158	26	A	Mri lumbar spine w/o & w/dye	2.36	0.89	0.97	0.89	0.97	0.16	XXX
72159		R	Mr angio spine w/o&w/dye	1.80	13.69	16.31	NA	NA	0.08	XXX
72159	TC	R	Mr angio spine w/o&w/dye	0.00	12.90	15.53	NA	NA	0.01	XXX
72159	26	R	Mr angio spine w/o&w/dye	1.80	0.79	0.78	0.79	0.78	0.07	XXX
72170		A	X-ray exam of pelvis	0.17	0.57	0.60	NA	NA	0.04	XXX
72170	TC	A	X-ray exam of pelvis	0.00	0.49	0.52	NA	NA	0.01	XXX
72170	26	A	X-ray exam of pelvis	0.17	0.08	0.08	0.08	0.08	0.03	XXX
72190		A	X-ray exam of pelvis	0.21	0.99	1.00	NA	NA	0.04	XXX
72190	TC	A	X-ray exam of pelvis	0.00	0.89	0.90	NA	NA	0.01	XXX
72190	26	A	X-ray exam of pelvis	0.21	0.10	0.10	0.10	0.10	0.03	XXX
72191		A	Ct angiograph pelv w/o&w/dye	1.81	8.98	11.57	NA	NA	0.13	XXX
72191	TC	A	Ct angiograph pelv w/o&w/dye	0.00	8.31	10.82	NA	NA	0.01	XXX
72191	26	A	Ct angiograph pelv w/o&w/dye	1.81	0.67	0.75	0.67	0.75	0.12	XXX
72192		A	Ct pelvis w/o dye	1.09	4.71	6.07	NA	NA	0.06	XXX
72192	TC	A	Ct pelvis w/o dye	0.00	4.30	5.61	NA	NA	0.01	XXX
72192	26	A	Ct pelvis w/o dye	1.09	0.41	0.46	0.41	0.46	0.05	XXX
72193		A	Ct pelvis w/dye	1.16	5.91	7.49	NA	NA	0.08	XXX
72193	TC	A	Ct pelvis w/dye	0.00	5.47	7.01	NA	NA	0.01	XXX
72193	26	A	Ct pelvis w/dye	1.16	0.44	0.48	0.44	0.48	0.07	XXX
72194		A	Ct pelvis w/o & w/dye	1.22	7.83	9.88	NA	NA	0.08	XXX
72194	TC	A	Ct pelvis w/o & w/dye	0.00	7.37	9.38	NA	NA	0.01	XXX
72194	26	A	Ct pelvis w/o & w/dye	1.22	0.46	0.50	0.46	0.50	0.07	XXX
72195		A	Mri pelvis w/o dye	1.46	10.73	13.69	NA	NA	0.11	XXX
72195	TC	A	Mri pelvis w/o dye	0.00	10.17	13.09	NA	NA	0.01	XXX
72195	26	A	Mri pelvis w/o dye	1.46	0.56	0.60	0.56	0.60	0.10	XXX
72196		A	Mri pelvis w/dye	1.73	11.82	15.06	NA	NA	0.11	XXX
72196	TC	A	Mri pelvis w/dye	0.00	11.16	14.34	NA	NA	0.01	XXX
72196	26	A	Mri pelvis w/dye	1.73	0.66	0.72	0.66	0.72	0.10	XXX
72197		A	Mri pelvis w/o & w/dye	2.26	14.23	19.63	NA	NA	0.13	XXX
72197	TC	A	Mri pelvis w/o & w/dye	0.00	13.39	18.70	NA	NA	0.01	XXX
72197	26	A	Mri pelvis w/o & w/dye	2.26	0.84	0.93	0.84	0.93	0.12	XXX
72198		A	Mr angio pelvis w/o & w/dye	1.80	11.59	14.48	NA	NA	0.11	XXX
72198	TC	A	Mr angio pelvis w/o & w/dye	0.00	10.92	13.74	NA	NA	0.01	XXX
72198	26	A	Mr angio pelvis w/o & w/dye	1.80	0.67	0.74	0.67	0.74	0.10	XXX
72200		A	X-ray exam sacroiliac joints	0.17	0.66	0.69	NA	NA	0.02	XXX
72200	TC	A	X-ray exam sacroiliac joints	0.00	0.59	0.62	NA	NA	0.01	XXX
72200	26	A	X-ray exam sacroiliac joints	0.17	0.07	0.07	0.07	0.07	0.01	XXX
72202		A	X-ray exam sacroiliac joints	0.19	0.77	0.83	NA	NA	0.02	XXX
72202	TC	A	X-ray exam sacroiliac joints	0.00	0.70	0.75	NA	NA	0.01	XXX
72202	26	A	X-ray exam sacroiliac joints	0.19	0.07	0.08	0.07	0.08	0.01	XXX
72220		A	X-ray exam of tailbone	0.17	0.64	0.68	NA	NA	0.02	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
72220	TC	A	X-ray exam of tailbone	0.00	0.57	0.61	NA	NA	0.01	XXX
72220	26	A	X-ray exam of tailbone	0.17	0.07	0.07	0.07	0.07	0.01	XXX
72240		A	Contrast x-ray of neck spine	0.91	2.75	3.33	NA	NA	0.06	XXX
72240	TC	A	Contrast x-ray of neck spine	0.00	2.40	2.95	NA	NA	0.01	XXX
72240	26	A	Contrast x-ray of neck spine	0.91	0.35	0.38	0.35	0.38	0.05	XXX
72255		A	Contrast x-ray thorax spine	0.91	2.66	3.07	NA	NA	0.05	XXX
72255	TC	A	Contrast x-ray thorax spine	0.00	2.29	2.70	NA	NA	0.01	XXX
72255	26	A	Contrast x-ray thorax spine	0.91	0.37	0.37	0.37	0.37	0.04	XXX
72265		A	Contrast x-ray lower spine	0.83	2.76	3.21	NA	NA	0.05	XXX
72265	TC	A	Contrast x-ray lower spine	0.00	2.43	2.86	NA	NA	0.01	XXX
72265	26	A	Contrast x-ray lower spine	0.83	0.33	0.35	0.33	0.35	0.04	XXX
72270		A	Contrast x-ray spine	1.33	4.26	4.98	NA	NA	0.08	XXX
72270	TC	A	Contrast x-ray spine	0.00	3.75	4.42	NA	NA	0.01	XXX
72270	26	A	Contrast x-ray spine	1.33	0.51	0.56	0.51	0.56	0.07	XXX
72275		A	Epidurography	0.76	2.69	2.50	NA	NA	0.05	XXX
72275	TC	A	Epidurography	0.00	2.31	2.18	NA	NA	0.01	XXX
72275	26	A	Epidurography	0.76	0.38	0.32	0.38	0.32	0.04	XXX
72285		A	X-ray c/t spine disk	1.16	2.28	3.15	NA	NA	0.06	XXX
72285	TC	A	X-ray c/t spine disk	0.00	1.67	2.64	NA	NA	0.01	XXX
72285	26	A	X-ray c/t spine disk	1.16	0.61	0.51	0.61	0.51	0.05	XXX
72291		C	Perq verte/sacroplsty fluor	0.00	0.00	0.00	NA	NA	0.00	XXX
72291	TC	C	Perq verte/sacroplsty fluor	0.00	0.00	0.00	NA	NA	0.00	XXX
72291	26	A	Perq verte/sacroplsty fluor	1.31	0.60	0.61	0.60	0.61	0.22	XXX
72292		C	Perq verte/sacroplsty ct	0.00	0.00	0.00	NA	NA	0.00	XXX
72292	TC	C	Perq verte/sacroplsty ct	0.00	0.00	0.00	NA	NA	0.00	XXX
72292	26	A	Perq verte/sacroplsty ct	1.38	0.58	0.62	0.58	0.62	0.20	XXX
72295		A	X-ray of lower spine disk	0.83	2.12	2.97	NA	NA	0.05	XXX
72295	TC	A	X-ray of lower spine disk	0.00	1.70	2.60	NA	NA	0.01	XXX
72295	26	A	X-ray of lower spine disk	0.83	0.42	0.37	0.42	0.37	0.04	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
73000		A	X-ray exam of collar bone	0.16	0.66	0.68	NA	NA	0.02	XXX
73000	TC	A	X-ray exam of collar bone	0.00	0.58	0.60	NA	NA	0.01	XXX
73000	26	A	X-ray exam of collar bone	0.16	0.08	0.08	0.08	0.08	0.01	XXX
73010		A	X-ray exam of shoulder blade	0.17	0.72	0.71	NA	NA	0.04	XXX
73010	TC	A	X-ray exam of shoulder blade	0.00	0.63	0.63	NA	NA	0.01	XXX
73010	26	A	X-ray exam of shoulder blade	0.17	0.09	0.08	0.09	0.08	0.03	XXX
73020		A	X-ray exam of shoulder	0.15	0.52	0.55	NA	NA	0.02	XXX
73020	TC	A	X-ray exam of shoulder	0.00	0.45	0.48	NA	NA	0.01	XXX
73020	26	A	X-ray exam of shoulder	0.15	0.07	0.07	0.07	0.07	0.01	XXX
73030		A	X-ray exam of shoulder	0.18	0.68	0.70	NA	NA	0.04	XXX
73030	TC	A	X-ray exam of shoulder	0.00	0.59	0.61	NA	NA	0.01	XXX
73030	26	A	X-ray exam of shoulder	0.18	0.09	0.09	0.09	0.09	0.03	XXX
73040		A	Contrast x-ray of shoulder	0.54	2.48	2.63	NA	NA	0.05	XXX
73040	TC	A	Contrast x-ray of shoulder	0.00	2.25	2.39	NA	NA	0.01	XXX
73040	26	A	Contrast x-ray of shoulder	0.54	0.23	0.24	0.23	0.24	0.04	XXX
73050		A	X-ray exam of shoulders	0.20	0.92	0.91	NA	NA	0.04	XXX
73050	TC	A	X-ray exam of shoulders	0.00	0.82	0.81	NA	NA	0.01	XXX
73050	26	A	X-ray exam of shoulders	0.20	0.10	0.10	0.10	0.10	0.03	XXX
73060		A	X-ray exam of humerus	0.17	0.65	0.69	NA	NA	0.02	XXX
73060	TC	A	X-ray exam of humerus	0.00	0.57	0.61	NA	NA	0.01	XXX
73060	26	A	X-ray exam of humerus	0.17	0.08	0.08	0.08	0.08	0.01	XXX
73070		A	X-ray exam of elbow	0.15	0.65	0.67	NA	NA	0.02	XXX
73070	TC	A	X-ray exam of elbow	0.00	0.58	0.60	NA	NA	0.01	XXX
73070	26	A	X-ray exam of elbow	0.15	0.07	0.07	0.07	0.07	0.01	XXX
73080		A	X-ray exam of elbow	0.17	0.77	0.83	NA	NA	0.02	XXX
73080	TC	A	X-ray exam of elbow	0.00	0.70	0.76	NA	NA	0.01	XXX
73080	26	A	X-ray exam of elbow	0.17	0.07	0.07	0.07	0.07	0.01	XXX
73085		A	Contrast x-ray of elbow	0.54	2.22	2.32	NA	NA	0.04	XXX
73085	TC	A	Contrast x-ray of elbow	0.00	1.97	2.08	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
73085	26	A	Contrast x-ray of elbow	0.54	0.25	0.24	0.25	0.24	0.03	XXX
73090		A	X-ray exam of forearm	0.16	0.62	0.65	NA	NA	0.02	XXX
73090	TC	A	X-ray exam of forearm	0.00	0.55	0.58	NA	NA	0.01	XXX
73090	26	A	X-ray exam of forearm	0.16	0.07	0.07	0.07	0.07	0.01	XXX
73092		A	X-ray exam of arm infant	0.16	0.76	0.74	NA	NA	0.02	XXX
73092	TC	A	X-ray exam of arm infant	0.00	0.69	0.67	NA	NA	0.01	XXX
73092	26	A	X-ray exam of arm infant	0.16	0.07	0.07	0.07	0.07	0.01	XXX
73100		A	X-ray exam of wrist	0.16	0.73	0.73	NA	NA	0.04	XXX
73100	TC	A	X-ray exam of wrist	0.00	0.65	0.65	NA	NA	0.01	XXX
73100	26	A	X-ray exam of wrist	0.16	0.08	0.08	0.08	0.08	0.03	XXX
73110		A	X-ray exam of wrist	0.17	0.90	0.90	NA	NA	0.02	XXX
73110	TC	A	X-ray exam of wrist	0.00	0.82	0.82	NA	NA	0.01	XXX
73110	26	A	X-ray exam of wrist	0.17	0.08	0.08	0.08	0.08	0.01	XXX
73115		A	Contrast x-ray of wrist	0.54	2.67	2.65	NA	NA	0.05	XXX
73115	TC	A	Contrast x-ray of wrist	0.00	2.42	2.40	NA	NA	0.01	XXX
73115	26	A	Contrast x-ray of wrist	0.54	0.25	0.25	0.25	0.25	0.04	XXX
73120		A	X-ray exam of hand	0.16	0.62	0.65	NA	NA	0.02	XXX
73120	TC	A	X-ray exam of hand	0.00	0.55	0.58	NA	NA	0.01	XXX
73120	26	A	X-ray exam of hand	0.16	0.07	0.07	0.07	0.07	0.01	XXX
73130		A	X-ray exam of hand	0.17	0.75	0.77	NA	NA	0.02	XXX
73130	TC	A	X-ray exam of hand	0.00	0.67	0.69	NA	NA	0.01	XXX
73130	26	A	X-ray exam of hand	0.17	0.08	0.08	0.08	0.08	0.01	XXX
73140		A	X-ray exam of finger(s)	0.13	0.81	0.79	NA	NA	0.02	XXX
73140	TC	A	X-ray exam of finger(s)	0.00	0.75	0.73	NA	NA	0.01	XXX
73140	26	A	X-ray exam of finger(s)	0.13	0.06	0.06	0.06	0.06	0.01	XXX
73200		A	Ct upper extremity w/o dye	1.00	4.96	6.20	NA	NA	0.08	XXX
73200	TC	A	Ct upper extremity w/o dye	0.00	4.58	5.77	NA	NA	0.01	XXX
73200	26	A	Ct upper extremity w/o dye	1.00	0.38	0.43	0.38	0.43	0.07	XXX
73201		A	Ct upper extremity w/dye	1.16	6.18	7.65	NA	NA	0.08	XXX
73201	TC	A	Ct upper extremity w/dye	0.00	5.74	7.17	NA	NA	0.01	XXX
73201	26	A	Ct upper extremity w/dye	1.16	0.44	0.48	0.44	0.48	0.07	XXX
73202		A	Ct uppr extremity w/o&w/dye	1.22	8.13	10.07	NA	NA	0.08	XXX
73202	TC	A	Ct uppr extremity w/o&w/dye	0.00	7.67	9.57	NA	NA	0.01	XXX
73202	26	A	Ct uppr extremity w/o&w/dye	1.22	0.46	0.50	0.46	0.50	0.07	XXX
73206		A	Ct angio upr extrm w/o&w/dye	1.81	8.53	10.99	NA	NA	0.09	XXX
73206	TC	A	Ct angio upr extrm w/o&w/dye	0.00	7.85	10.22	NA	NA	0.01	XXX
73206	26	A	Ct angio upr extrm w/o&w/dye	1.81	0.68	0.77	0.68	0.77	0.08	XXX
73218		A	Mri upper extremity w/o dye	1.35	10.98	13.92	NA	NA	0.08	XXX
73218	TC	A	Mri upper extremity w/o dye	0.00	10.45	13.36	NA	NA	0.01	XXX
73218	26	A	Mri upper extremity w/o dye	1.35	0.53	0.56	0.53	0.56	0.07	XXX
73219		A	Mri upper extremity w/dye	1.62	11.49	14.89	NA	NA	0.11	XXX
73219	TC	A	Mri upper extremity w/dye	0.00	10.87	14.22	NA	NA	0.01	XXX
73219	26	A	Mri upper extremity w/dye	1.62	0.62	0.67	0.62	0.67	0.10	XXX
73220		A	Mri uppr extremity w/o&w/dye	2.15	14.28	19.67	NA	NA	0.13	XXX
73220	TC	A	Mri uppr extremity w/o&w/dye	0.00	13.47	18.78	NA	NA	0.01	XXX
73220	26	A	Mri uppr extremity w/o&w/dye	2.15	0.81	0.89	0.81	0.89	0.12	XXX
73221		A	Mri joint upr extrem w/o dye	1.35	10.18	13.01	NA	NA	0.11	XXX
73221	TC	A	Mri joint upr extrem w/o dye	0.00	9.64	12.44	NA	NA	0.01	XXX
73221	26	A	Mri joint upr extrem w/o dye	1.35	0.54	0.57	0.54	0.57	0.10	XXX
73222		A	Mri joint upr extrem w/dye	1.62	10.81	14.04	NA	NA	0.11	XXX
73222	TC	A	Mri joint upr extrem w/dye	0.00	10.19	13.37	NA	NA	0.01	XXX
73222	26	A	Mri joint upr extrem w/dye	1.62	0.62	0.67	0.62	0.67	0.10	XXX
73223		A	Mri joint upr extr w/o&w/dye	2.15	13.31	18.57	NA	NA	0.13	XXX
73223	TC	A	Mri joint upr extr w/o&w/dye	0.00	12.49	17.68	NA	NA	0.01	XXX
73223	26	A	Mri joint upr extr w/o&w/dye	2.15	0.82	0.89	0.82	0.89	0.12	XXX
73225		R	Mr angio upr extr w/o&w/dye	1.73	13.66	16.09	NA	NA	0.08	XXX
73225	TC	R	Mr angio upr extr w/o&w/dye	0.00	12.90	15.34	NA	NA	0.01	XXX
73225	26	R	Mr angio upr extr w/o&w/dye	1.73	0.76	0.75	0.76	0.75	0.07	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
73500		A	X-ray exam of hip	0.17	0.58	0.59	NA	NA	0.04	XXX
73500	TC	A	X-ray exam of hip	0.00	0.50	0.51	NA	NA	0.01	XXX
73500	26	A	X-ray exam of hip	0.17	0.08	0.08	0.08	0.08	0.03	XXX
73510		A	X-ray exam of hip	0.21	0.89	0.90	NA	NA	0.04	XXX
73510	TC	A	X-ray exam of hip	0.00	0.79	0.80	NA	NA	0.01	XXX
73510	26	A	X-ray exam of hip	0.21	0.10	0.10	0.10	0.10	0.03	XXX
73520		A	X-ray exam of hips	0.26	0.90	0.92	NA	NA	0.04	XXX
73520	TC	A	X-ray exam of hips	0.00	0.78	0.81	NA	NA	0.01	XXX
73520	26	A	X-ray exam of hips	0.26	0.12	0.11	0.12	0.11	0.03	XXX
73525		A	Contrast x-ray of hip	0.54	2.39	2.40	NA	NA	0.05	XXX
73525	TC	A	Contrast x-ray of hip	0.00	2.12	2.14	NA	NA	0.01	XXX
73525	26	A	Contrast x-ray of hip	0.54	0.27	0.26	0.27	0.26	0.04	XXX
73530		C	X-ray exam of hip	0.00	0.00	0.00	NA	NA	0.00	XXX
73530	TC	C	X-ray exam of hip	0.00	0.00	0.00	NA	NA	0.00	XXX
73530	26	A	X-ray exam of hip	0.29	0.11	0.12	0.11	0.12	0.03	XXX
73540		A	X-ray exam of pelvis & hips	0.20	1.04	0.98	NA	NA	0.04	XXX
73540	TC	A	X-ray exam of pelvis & hips	0.00	0.94	0.89	NA	NA	0.01	XXX
73540	26	A	X-ray exam of pelvis & hips	0.20	0.10	0.09	0.10	0.09	0.03	XXX
73542		A	X-ray exam sacroiliac joint	0.59	1.85	1.82	NA	NA	0.04	XXX
73542	TC	A	X-ray exam sacroiliac joint	0.00	1.56	1.57	NA	NA	0.01	XXX
73542	26	A	X-ray exam sacroiliac joint	0.59	0.29	0.25	0.29	0.25	0.03	XXX
73550		A	X-ray exam of thigh	0.17	0.62	0.66	NA	NA	0.04	XXX
73550	TC	A	X-ray exam of thigh	0.00	0.54	0.58	NA	NA	0.01	XXX
73550	26	A	X-ray exam of thigh	0.17	0.08	0.08	0.08	0.08	0.03	XXX
73560		A	X-ray exam of knee 1 or 2	0.17	0.69	0.70	NA	NA	0.04	XXX
73560	TC	A	X-ray exam of knee 1 or 2	0.00	0.61	0.62	NA	NA	0.01	XXX
73560	26	A	X-ray exam of knee 1 or 2	0.17	0.08	0.08	0.08	0.08	0.03	XXX
73562		A	X-ray exam of knee 3	0.18	0.87	0.87	NA	NA	0.04	XXX
73562	TC	A	X-ray exam of knee 3	0.00	0.78	0.78	NA	NA	0.01	XXX
73562	26	A	X-ray exam of knee 3	0.18	0.09	0.09	0.09	0.09	0.03	XXX
73564		A	X-ray exam knee 4 or more	0.22	1.00	1.00	NA	NA	0.04	XXX
73564	TC	A	X-ray exam knee 4 or more	0.00	0.90	0.90	NA	NA	0.01	XXX
73564	26	A	X-ray exam knee 4 or more	0.22	0.10	0.10	0.10	0.10	0.03	XXX
73565		A	X-ray exam of knees	0.17	0.83	0.80	NA	NA	0.04	XXX
73565	TC	A	X-ray exam of knees	0.00	0.74	0.71	NA	NA	0.01	XXX
73565	26	A	X-ray exam of knees	0.17	0.09	0.09	0.09	0.09	0.03	XXX
73580		A	Contrast x-ray of knee joint	0.54	3.45	3.32	NA	NA	0.06	XXX
73580	TC	A	Contrast x-ray of knee joint	0.00	3.15	3.05	NA	NA	0.01	XXX
73580	26	A	Contrast x-ray of knee joint	0.54	0.30	0.27	0.30	0.27	0.05	XXX
73590		A	X-ray exam of lower leg	0.17	0.60	0.63	NA	NA	0.02	XXX
73590	TC	A	X-ray exam of lower leg	0.00	0.53	0.56	NA	NA	0.01	XXX
73590	26	A	X-ray exam of lower leg	0.17	0.07	0.07	0.07	0.07	0.01	XXX
73592		A	X-ray exam of leg infant	0.16	0.79	0.75	NA	NA	0.02	XXX
73592	TC	A	X-ray exam of leg infant	0.00	0.71	0.68	NA	NA	0.01	XXX
73592	26	A	X-ray exam of leg infant	0.16	0.08	0.07	0.08	0.07	0.01	XXX
73600		A	X-ray exam of ankle	0.16	0.66	0.67	NA	NA	0.02	XXX
73600	TC	A	X-ray exam of ankle	0.00	0.59	0.60	NA	NA	0.01	XXX
73600	26	A	X-ray exam of ankle	0.16	0.07	0.07	0.07	0.07	0.01	XXX
73610		A	X-ray exam of ankle	0.17	0.78	0.78	NA	NA	0.02	XXX
73610	TC	A	X-ray exam of ankle	0.00	0.70	0.71	NA	NA	0.01	XXX
73610	26	A	X-ray exam of ankle	0.17	0.08	0.07	0.08	0.07	0.01	XXX
73615		A	Contrast x-ray of ankle	0.54	2.52	2.51	NA	NA	0.05	XXX
73615	TC	A	Contrast x-ray of ankle	0.00	2.25	2.26	NA	NA	0.01	XXX
73615	26	A	Contrast x-ray of ankle	0.54	0.27	0.25	0.27	0.25	0.04	XXX
73620		A	X-ray exam of foot	0.16	0.64	0.64	NA	NA	0.02	XXX
73620	TC	A	X-ray exam of foot	0.00	0.58	0.58	NA	NA	0.01	XXX
73620	26	A	X-ray exam of foot	0.16	0.06	0.06	0.06	0.06	0.01	XXX
73630		A	X-ray exam of foot	0.17	0.73	0.75	NA	NA	0.02	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
73630	TC	A	X-ray exam of foot	0.00	0.66	0.68	NA	NA	0.01	XXX
73630	26	A	X-ray exam of foot	0.17	0.07	0.07	0.07	0.07	0.01	XXX
73650		A	X-ray exam of heel	0.16	0.65	0.66	NA	NA	0.02	XXX
73650	TC	A	X-ray exam of heel	0.00	0.58	0.59	NA	NA	0.01	XXX
73650	26	A	X-ray exam of heel	0.16	0.07	0.07	0.07	0.07	0.01	XXX
73660		A	X-ray exam of toe(s)	0.13	0.74	0.72	NA	NA	0.02	XXX
73660	TC	A	X-ray exam of toe(s)	0.00	0.68	0.67	NA	NA	0.01	XXX
73660	26	A	X-ray exam of toe(s)	0.13	0.06	0.05	0.06	0.05	0.01	XXX
73700		A	Ct lower extremity w/o dye	1.00	4.96	6.21	NA	NA	0.08	XXX
73700	TC	A	Ct lower extremity w/o dye	0.00	4.59	5.78	NA	NA	0.01	XXX
73700	26	A	Ct lower extremity w/o dye	1.00	0.37	0.43	0.37	0.43	0.07	XXX
73701		A	Ct lower extremity w/dye	1.16	6.26	7.73	NA	NA	0.08	XXX
73701	TC	A	Ct lower extremity w/dye	0.00	5.83	7.24	NA	NA	0.01	XXX
73701	26	A	Ct lower extremity w/dye	1.16	0.43	0.49	0.43	0.49	0.07	XXX
73702		A	Ct lwr extremity w/o&w/dye	1.22	8.20	10.13	NA	NA	0.08	XXX
73702	TC	A	Ct lwr extremity w/o&w/dye	0.00	7.74	9.62	NA	NA	0.01	XXX
73702	26	A	Ct lwr extremity w/o&w/dye	1.22	0.46	0.51	0.46	0.51	0.07	XXX
73706		A	Ct angio lwr extr w/o&w/dye	1.90	9.57	12.16	NA	NA	0.12	XXX
73706	TC	A	Ct angio lwr extr w/o&w/dye	0.00	8.86	11.35	NA	NA	0.01	XXX
73706	26	A	Ct angio lwr extr w/o&w/dye	1.90	0.71	0.81	0.71	0.81	0.11	XXX
73718		A	Mri lower extremity w/o dye	1.35	10.69	13.61	NA	NA	0.09	XXX
73718	TC	A	Mri lower extremity w/o dye	0.00	10.18	13.05	NA	NA	0.01	XXX
73718	26	A	Mri lower extremity w/o dye	1.35	0.51	0.56	0.51	0.56	0.08	XXX
73719		A	Mri lower extremity w/dye	1.62	11.62	14.85	NA	NA	0.11	XXX
73719	TC	A	Mri lower extremity w/dye	0.00	11.01	14.18	NA	NA	0.01	XXX
73719	26	A	Mri lower extremity w/dye	1.62	0.61	0.67	0.61	0.67	0.10	XXX
73720		A	Mri lwr extremity w/o&w/dye	2.15	14.33	19.70	NA	NA	0.13	XXX
73720	TC	A	Mri lwr extremity w/o&w/dye	0.00	13.53	18.81	NA	NA	0.01	XXX
73720	26	A	Mri lwr extremity w/o&w/dye	2.15	0.80	0.89	0.80	0.89	0.12	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
73721		A	Mri jnt of lwr extre w/o dye	1.35	10.43	13.30	NA	NA	0.11	XXX
73721	TC	A	Mri jnt of lwr extre w/o dye	0.00	9.90	12.73	NA	NA	0.01	XXX
73721	26	A	Mri jnt of lwr extre w/o dye	1.35	0.53	0.57	0.53	0.57	0.10	XXX
73722		A	Mri joint of lwr extr w/dye	1.62	11.14	14.29	NA	NA	0.12	XXX
73722	TC	A	Mri joint of lwr extr w/dye	0.00	10.51	13.61	NA	NA	0.01	XXX
73722	26	A	Mri joint of lwr extr w/dye	1.62	0.63	0.68	0.63	0.68	0.11	XXX
73723		A	Mri joint lwr extr w/o&w/dye	2.15	13.30	18.53	NA	NA	0.13	XXX
73723	TC	A	Mri joint lwr extr w/o&w/dye	0.00	12.49	17.64	NA	NA	0.01	XXX
73723	26	A	Mri joint lwr extr w/o&w/dye	2.15	0.81	0.89	0.81	0.89	0.12	XXX
73725		R	Mr ang lwr ext w or w/o dye	1.82	11.62	14.50	NA	NA	0.11	XXX
73725	TC	R	Mr ang lwr ext w or w/o dye	0.00	10.95	13.75	NA	NA	0.01	XXX
73725	26	R	Mr ang lwr ext w or w/o dye	1.82	0.67	0.75	0.67	0.75	0.10	XXX
74000		A	X-ray exam of abdomen	0.18	0.49	0.54	NA	NA	0.02	XXX
74000	TC	A	X-ray exam of abdomen	0.00	0.42	0.47	NA	NA	0.01	XXX
74000	26	A	X-ray exam of abdomen	0.18	0.07	0.07	0.07	0.07	0.01	XXX
74010		A	X-ray exam of abdomen	0.23	0.85	0.89	NA	NA	0.02	XXX
74010	TC	A	X-ray exam of abdomen	0.00	0.76	0.79	NA	NA	0.01	XXX
74010	26	A	X-ray exam of abdomen	0.23	0.09	0.10	0.09	0.10	0.01	XXX
74020		A	X-ray exam of abdomen	0.27	0.85	0.90	NA	NA	0.02	XXX
74020	TC	A	X-ray exam of abdomen	0.00	0.75	0.79	NA	NA	0.01	XXX
74020	26	A	X-ray exam of abdomen	0.27	0.10	0.11	0.10	0.11	0.01	XXX
74022		A	X-ray exam series abdomen	0.32	1.03	1.09	NA	NA	0.02	XXX
74022	TC	A	X-ray exam series abdomen	0.00	0.91	0.96	NA	NA	0.01	XXX
74022	26	A	X-ray exam series abdomen	0.32	0.12	0.13	0.12	0.13	0.01	XXX
74150		A	Ct abdomen w/o dye	1.19	4.74	6.06	NA	NA	0.08	XXX
74150	TC	A	Ct abdomen w/o dye	0.00	4.29	5.57	NA	NA	0.01	XXX
74150	26	A	Ct abdomen w/o dye	1.19	0.45	0.49	0.45	0.49	0.07	XXX
74160		A	Ct abdomen w/dye	1.27	6.87	8.56	NA	NA	0.08	XXX
74160	TC	A	Ct abdomen w/dye	0.00	6.39	8.03	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
74160	26	A	Ct abdomen w/dye	1.27	0.48	0.53	0.48	0.53	0.07	XXX
74170		A	Ct abdomen w/o & w/dye	1.40	9.39	11.61	NA	NA	0.09	XXX
74170	TC	A	Ct abdomen w/o & w/dye	0.00	8.86	11.03	NA	NA	0.01	XXX
74170	26	A	Ct abdomen w/o & w/dye	1.40	0.53	0.58	0.53	0.58	0.08	XXX
74175		A	Ct angio abdom w/o & w/dye	1.90	9.61	12.32	NA	NA	0.13	XXX
74175	TC	A	Ct angio abdom w/o & w/dye	0.00	8.90	11.53	NA	NA	0.01	XXX
74175	26	A	Ct angio abdom w/o & w/dye	1.90	0.71	0.79	0.71	0.79	0.12	XXX
74176		A	Ct abd & pelvis w/o contrast	1.74	4.54	4.54	NA	NA	0.11	XXX
74176	TC	A	Ct abd & pelvis w/o contrast	0.00	3.89	3.89	NA	NA	0.01	XXX
74176	26	A	Ct abd & pelvis w/o contrast	1.74	0.65	0.65	0.65	0.65	0.10	XXX
74177		A	Ct abdomen&pelvis w/contrast	1.82	8.11	8.11	NA	NA	0.11	XXX
74177	TC	A	Ct abdomen&pelvis w/contrast	0.00	7.42	7.42	NA	NA	0.01	XXX
74177	26	A	Ct abdomen&pelvis w/contrast	1.82	0.69	0.69	0.69	0.69	0.10	XXX
74178		A	Ct abd&pelv 1+ section/regns	2.01	10.58	10.58	NA	NA	0.13	XXX
74178	TC	A	Ct abd&pelv 1+ section/regns	0.00	9.82	9.82	NA	NA	0.01	XXX
74178	26	A	Ct abd&pelv 1+ section/regns	2.01	0.76	0.76	0.76	0.76	0.12	XXX
74181		A	Mri abdomen w/o dye	1.46	9.34	12.11	NA	NA	0.09	XXX
74181	TC	A	Mri abdomen w/o dye	0.00	8.80	11.51	NA	NA	0.01	XXX
74181	26	A	Mri abdomen w/o dye	1.46	0.54	0.60	0.54	0.60	0.08	XXX
74182		A	Mri abdomen w/dye	1.73	13.18	16.64	NA	NA	0.11	XXX
74182	TC	A	Mri abdomen w/dye	0.00	12.54	15.93	NA	NA	0.01	XXX
74182	26	A	Mri abdomen w/dye	1.73	0.64	0.71	0.64	0.71	0.10	XXX
74183		A	Mri abdomen w/o & w/dye	2.26	14.30	19.67	NA	NA	0.13	XXX
74183	TC	A	Mri abdomen w/o & w/dye	0.00	13.46	18.74	NA	NA	0.01	XXX
74183	26	A	Mri abdomen w/o & w/dye	2.26	0.84	0.93	0.84	0.93	0.12	XXX
74185		R	Mri angio abdom w orw/o dye	1.80	11.58	14.45	NA	NA	0.11	XXX
74185	TC	R	Mri angio abdom w orw/o dye	0.00	10.91	13.71	NA	NA	0.01	XXX
74185	26	R	Mri angio abdom w orw/o dye	1.80	0.67	0.74	0.67	0.74	0.10	XXX
74190		C	X-ray exam of peritoneum	0.00	0.00	0.00	NA	NA	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
74190	TC	C	X-ray exam of peritoneum	0.00	0.00	0.00	NA	NA	0.00	XXX
74190	26	A	X-ray exam of peritoneum	0.48	0.18	0.20	0.18	0.20	0.04	XXX
74210		A	Contrst x-ray exam of throat	0.36	1.86	1.94	NA	NA	0.02	XXX
74210	TC	A	Contrst x-ray exam of throat	0.00	1.72	1.79	NA	NA	0.01	XXX
74210	26	A	Contrst x-ray exam of throat	0.36	0.14	0.15	0.14	0.15	0.01	XXX
74220		A	Contrast x-ray esophagus	0.46	2.10	2.18	NA	NA	0.04	XXX
74220	TC	A	Contrast x-ray esophagus	0.00	1.93	1.99	NA	NA	0.01	XXX
74220	26	A	Contrast x-ray esophagus	0.46	0.17	0.19	0.17	0.19	0.03	XXX
74230		A	Cine/vid x-ray throat/esoph	0.53	2.05	2.15	NA	NA	0.04	XXX
74230	TC	A	Cine/vid x-ray throat/esoph	0.00	1.85	1.93	NA	NA	0.01	XXX
74230	26	A	Cine/vid x-ray throat/esoph	0.53	0.20	0.22	0.20	0.22	0.03	XXX
74235		C	Remove esophagus obstruction	0.00	0.00	0.00	NA	NA	0.00	XXX
74235	TC	C	Remove esophagus obstruction	0.00	0.00	0.00	NA	NA	0.00	XXX
74235	26	A	Remove esophagus obstruction	1.19	0.67	0.63	0.67	0.63	0.10	XXX
74240		A	X-ray exam upper gi tract	0.69	2.53	2.58	NA	NA	0.05	XXX
74240	TC	A	X-ray exam upper gi tract	0.00	2.26	2.29	NA	NA	0.01	XXX
74240	26	A	X-ray exam upper gi tract	0.69	0.27	0.29	0.27	0.29	0.04	XXX
74241		A	X-ray exam upper gi tract	0.69	2.71	2.79	NA	NA	0.04	XXX
74241	TC	A	X-ray exam upper gi tract	0.00	2.45	2.51	NA	NA	0.01	XXX
74241	26	A	X-ray exam upper gi tract	0.69	0.26	0.28	0.26	0.28	0.03	XXX
74245		A	X-ray exam upper gi tract	0.91	4.12	4.29	NA	NA	0.06	XXX
74245	TC	A	X-ray exam upper gi tract	0.00	3.78	3.91	NA	NA	0.01	XXX
74245	26	A	X-ray exam upper gi tract	0.91	0.34	0.38	0.34	0.38	0.05	XXX
74246		A	Contrst x-ray uppr gi tract	0.69	2.93	3.04	NA	NA	0.05	XXX
74246	TC	A	Contrst x-ray uppr gi tract	0.00	2.67	2.75	NA	NA	0.01	XXX
74246	26	A	Contrst x-ray uppr gi tract	0.69	0.26	0.29	0.26	0.29	0.04	XXX
74247		A	Contrst x-ray uppr gi tract	0.69	3.36	3.45	NA	NA	0.05	XXX
74247	TC	A	Contrst x-ray uppr gi tract	0.00	3.10	3.16	NA	NA	0.01	XXX
74247	26	A	Contrst x-ray uppr gi tract	0.69	0.26	0.29	0.26	0.29	0.04	XXX

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74249		A	Contrst x-ray uppr gi tract	0.91	4.54	4.71	NA	NA	0.06	XXX
74249	TC	A	Contrst x-ray uppr gi tract	0.00	4.20	4.33	NA	NA	0.01	XXX
74249	26	A	Contrst x-ray uppr gi tract	0.91	0.34	0.38	0.34	0.38	0.05	XXX
74250		A	X-ray exam of small bowel	0.47	2.60	2.65	NA	NA	0.04	XXX
74250	TC	A	X-ray exam of small bowel	0.00	2.42	2.46	NA	NA	0.01	XXX
74250	26	A	X-ray exam of small bowel	0.47	0.18	0.19	0.18	0.19	0.03	XXX
74251		A	X-ray exam of small bowel	0.69	10.61	10.12	NA	NA	0.05	XXX
74251	TC	A	X-ray exam of small bowel	0.00	10.35	9.83	NA	NA	0.01	XXX
74251	26	A	X-ray exam of small bowel	0.69	0.26	0.29	0.26	0.29	0.04	XXX
74260		A	X-ray exam of small bowel	0.50	8.76	8.43	NA	NA	0.04	XXX
74260	TC	A	X-ray exam of small bowel	0.00	8.57	8.23	NA	NA	0.01	XXX
74260	26	A	X-ray exam of small bowel	0.50	0.19	0.20	0.19	0.20	0.03	XXX
74261		A	Ct colonography dx	2.40	12.77	12.77	NA	NA	0.13	XXX
74261	TC	A	Ct colonography dx	0.00	11.86	11.86	NA	NA	0.01	XXX
74261	26	A	Ct colonography dx	2.40	0.91	0.91	0.91	0.91	0.12	XXX
74262		A	Ct colonography dx w/dye	2.50	14.34	14.34	NA	NA	0.15	XXX
74262	TC	A	Ct colonography dx w/dye	0.00	13.40	13.40	NA	NA	0.01	XXX
74262	26	A	Ct colonography dx w/dye	2.50	0.94	0.94	0.94	0.94	0.14	XXX
74263		N	Ct colonography screening	2.28	20.18	20.18	NA	NA	0.13	XXX
74263	TC	N	Ct colonography screening	0.00	19.18	19.18	NA	NA	0.01	XXX
74263	26	N	Ct colonography screening	2.28	1.00	1.00	1.00	1.00	0.12	XXX
74270		A	Contrast x-ray exam of colon	0.69	3.74	3.81	NA	NA	0.05	XXX
74270	TC	A	Contrast x-ray exam of colon	0.00	3.48	3.52	NA	NA	0.01	XXX
74270	26	A	Contrast x-ray exam of colon	0.69	0.26	0.29	0.26	0.29	0.04	XXX
74280		A	Contrast x-ray exam of colon	0.99	5.18	5.26	NA	NA	0.06	XXX
74280	TC	A	Contrast x-ray exam of colon	0.00	4.81	4.85	NA	NA	0.01	XXX
74280	26	A	Contrast x-ray exam of colon	0.99	0.37	0.41	0.37	0.41	0.05	XXX
74283		A	Contrast x-ray exam of colon	2.02	3.77	4.00	NA	NA	0.06	XXX
74283	TC	A	Contrast x-ray exam of colon	0.00	2.99	3.16	NA	NA	0.01	XXX
74283	26	A	Contrast x-ray exam of colon	2.02	0.78	0.84	0.78	0.84	0.05	XXX
74290		A	Contrast x-ray gallbladder	0.32	1.67	1.68	NA	NA	0.02	XXX
74290	TC	A	Contrast x-ray gallbladder	0.00	1.55	1.55	NA	NA	0.01	XXX
74290	26	A	Contrast x-ray gallbladder	0.32	0.12	0.13	0.12	0.13	0.01	XXX
74291		A	Contrast x-rays gallbladder	0.20	1.77	1.68	NA	NA	0.02	XXX
74291	TC	A	Contrast x-rays gallbladder	0.00	1.69	1.60	NA	NA	0.01	XXX
74291	26	A	Contrast x-rays gallbladder	0.20	0.08	0.08	0.08	0.08	0.01	XXX
74300		C	X-ray bile ducts/pancreas	0.00	0.00	0.00	NA	NA	0.00	XXX
74300	TC	C	X-ray bile ducts/pancreas	0.00	0.00	0.00	NA	NA	0.00	XXX
74300	26	A	X-ray bile ducts/pancreas	0.36	0.14	0.15	0.14	0.15	0.03	XXX
74301		C	X-rays at surgery add-on	0.00	0.00	0.00	NA	NA	0.00	ZZZ
74301	TC	C	X-rays at surgery add-on	0.00	0.00	0.00	NA	NA	0.00	ZZZ
74301	26	A	X-rays at surgery add-on	0.21	0.08	0.09	0.08	0.09	0.03	ZZZ
74305		C	X-ray bile ducts/pancreas	0.00	0.00	0.00	NA	NA	0.00	XXX
74305	TC	C	X-ray bile ducts/pancreas	0.00	0.00	0.00	NA	NA	0.00	XXX
74305	26	A	X-ray bile ducts/pancreas	0.42	0.15	0.17	0.15	0.17	0.04	XXX
74320		A	Contrast x-ray of bile ducts	0.54	2.27	2.63	NA	NA	0.04	XXX
74320	TC	A	Contrast x-ray of bile ducts	0.00	2.07	2.40	NA	NA	0.01	XXX
74320	26	A	Contrast x-ray of bile ducts	0.54	0.20	0.23	0.20	0.23	0.03	XXX
74327		A	X-ray bile stone removal	0.70	3.18	3.27	NA	NA	0.13	XXX
74327	TC	A	X-ray bile stone removal	0.00	2.92	2.98	NA	NA	0.01	XXX
74327	26	A	X-ray bile stone removal	0.70	0.26	0.29	0.26	0.29	0.12	XXX
74328		C	X-ray bile duct endoscopy	0.00	0.00	0.00	NA	NA	0.00	XXX
74328	TC	C	X-ray bile duct endoscopy	0.00	0.00	0.00	NA	NA	0.00	XXX
74328	26	A	X-ray bile duct endoscopy	0.70	0.29	0.31	0.29	0.31	0.05	XXX
74329		C	X-ray for pancreas endoscopy	0.00	0.00	0.00	NA	NA	0.00	XXX
74329	TC	C	X-ray for pancreas endoscopy	0.00	0.00	0.00	NA	NA	0.00	XXX
74329	26	A	X-ray for pancreas endoscopy	0.70	0.29	0.31	0.29	0.31	0.05	XXX
74330		C	X-ray bile/panc endoscopy	0.00	0.00	0.00	NA	NA	0.00	XXX

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74330	TC	C	X-ray bile/panc endoscopy	0.00	0.00	0.00	NA	NA	0.00	XXX
74330	26	A	X-ray bile/panc endoscopy	0.90	0.36	0.39	0.36	0.39	0.07	XXX
74340		C	X-ray guide for GI tube	0.00	0.00	0.00	NA	NA	0.00	XXX
74340	TC	C	X-ray guide for GI tube	0.00	0.00	0.00	NA	NA	0.00	XXX
74340	26	A	X-ray guide for GI tube	0.54	0.21	0.23	0.21	0.23	0.04	XXX
74355		C	X-ray guide intestinal tube	0.00	0.00	0.00	NA	NA	0.00	XXX
74355	TC	C	X-ray guide intestinal tube	0.00	0.00	0.00	NA	NA	0.00	XXX
74355	26	A	X-ray guide intestinal tube	0.76	0.31	0.33	0.31	0.33	0.07	XXX
74360		C	X-ray guide gi dilation	0.00	0.00	0.00	NA	NA	0.00	XXX
74360	TC	C	X-ray guide gi dilation	0.00	0.00	0.00	NA	NA	0.00	XXX
74360	26	A	X-ray guide gi dilation	0.54	0.28	0.28	0.28	0.28	0.04	XXX
74363		C	X-ray bile duct dilation	0.00	0.00	0.00	NA	NA	0.00	XXX
74363	TC	C	X-ray bile duct dilation	0.00	0.00	0.00	NA	NA	0.00	XXX
74363	26	A	X-ray bile duct dilation	0.88	0.32	0.37	0.32	0.37	0.08	XXX
74400		A	Contrst x-ray urinary tract	0.49	2.65	2.79	NA	NA	0.04	XXX
74400	TC	A	Contrst x-ray urinary tract	0.00	2.47	2.59	NA	NA	0.01	XXX
74400	26	A	Contrst x-ray urinary tract	0.49	0.18	0.20	0.18	0.20	0.03	XXX
74410		A	Contrst x-ray urinary tract	0.49	2.66	2.89	NA	NA	0.04	XXX
74410	TC	A	Contrst x-ray urinary tract	0.00	2.47	2.68	NA	NA	0.01	XXX
74410	26	A	Contrst x-ray urinary tract	0.49	0.19	0.21	0.19	0.21	0.03	XXX
74415		A	Contrst x-ray urinary tract	0.49	3.36	3.52	NA	NA	0.04	XXX
74415	TC	A	Contrst x-ray urinary tract	0.00	3.18	3.32	NA	NA	0.01	XXX
74415	26	A	Contrst x-ray urinary tract	0.49	0.18	0.20	0.18	0.20	0.03	XXX
74420		C	Contrst x-ray urinary tract	0.00	0.00	0.00	NA	NA	0.00	XXX
74420	TC	C	Contrst x-ray urinary tract	0.00	0.00	0.00	NA	NA	0.00	XXX
74420	26	A	Contrst x-ray urinary tract	0.36	0.14	0.15	0.14	0.15	0.03	XXX
74425		C	Contrst x-ray urinary tract	0.00	0.00	0.00	NA	NA	0.00	XXX
74425	TC	C	Contrst x-ray urinary tract	0.00	0.00	0.00	NA	NA	0.00	XXX
74425	26	A	Contrst x-ray urinary tract	0.36	0.13	0.15	0.13	0.15	0.03	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
74430		A	Contrast x-ray bladder	0.32	0.82	1.47	NA	NA	0.02	XXX
74430	TC	A	Contrast x-ray bladder	0.00	0.70	1.34	NA	NA	0.01	XXX
74430	26	A	Contrast x-ray bladder	0.32	0.12	0.13	0.12	0.13	0.01	XXX
74440		A	X-ray male genital tract	0.38	2.03	2.15	NA	NA	0.04	XXX
74440	TC	A	X-ray male genital tract	0.00	1.88	1.99	NA	NA	0.01	XXX
74440	26	A	X-ray male genital tract	0.38	0.15	0.16	0.15	0.16	0.03	XXX
74445		C	X-ray exam of penis	0.00	0.00	0.00	NA	NA	0.00	XXX
74445	TC	C	X-ray exam of penis	0.00	0.00	0.00	NA	NA	0.00	XXX
74445	26	A	X-ray exam of penis	1.14	0.45	0.50	0.45	0.50	0.10	XXX
74450		C	X-ray urethra/bladder	0.00	0.00	0.00	NA	NA	0.00	XXX
74450	TC	C	X-ray urethra/bladder	0.00	0.00	0.00	NA	NA	0.00	XXX
74450	26	A	X-ray urethra/bladder	0.33	0.12	0.14	0.12	0.14	0.03	XXX
74455		A	X-ray urethra/bladder	0.33	2.11	2.31	NA	NA	0.02	XXX
74455	TC	A	X-ray urethra/bladder	0.00	1.98	2.17	NA	NA	0.01	XXX
74455	26	A	X-ray urethra/bladder	0.33	0.13	0.14	0.13	0.14	0.01	XXX
74470		C	X-ray exam of kidney lesion	0.00	0.00	0.00	NA	NA	0.00	XXX
74470	TC	C	X-ray exam of kidney lesion	0.00	0.00	0.00	NA	NA	0.00	XXX
74470	26	A	X-ray exam of kidney lesion	0.54	0.20	0.23	0.20	0.23	0.04	XXX
74475		A	X-ray control cath insert	0.54	2.24	2.75	NA	NA	0.04	XXX
74475	TC	A	X-ray control cath insert	0.00	2.04	2.52	NA	NA	0.01	XXX
74475	26	A	X-ray control cath insert	0.54	0.20	0.23	0.20	0.23	0.03	XXX
74480		A	X-ray control cath insert	0.54	2.24	2.75	NA	NA	0.04	XXX
74480	TC	A	X-ray control cath insert	0.00	2.04	2.52	NA	NA	0.01	XXX
74480	26	A	X-ray control cath insert	0.54	0.20	0.23	0.20	0.23	0.03	XXX
74485		A	X-ray guide gu dilation	0.54	2.28	2.70	NA	NA	0.04	XXX
74485	TC	A	X-ray guide gu dilation	0.00	2.08	2.47	NA	NA	0.01	XXX
74485	26	A	X-ray guide gu dilation	0.54	0.20	0.23	0.20	0.23	0.03	XXX
74710		A	X-ray measurement of pelvis	0.34	0.68	0.82	NA	NA	0.02	XXX
74710	TC	A	X-ray measurement of pelvis	0.00	0.55	0.68	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
74710	26	A	X-ray measurement of pelvis	0.34	0.13	0.14	0.13	0.14	0.01	XXX
74740		A	X-ray female genital tract	0.38	1.85	1.95	NA	NA	0.02	XXX
74740	TC	A	X-ray female genital tract	0.00	1.70	1.79	NA	NA	0.01	XXX
74740	26	A	X-ray female genital tract	0.38	0.15	0.16	0.15	0.16	0.01	XXX
74742		C	X-ray fallopian tube	0.00	0.00	0.00	NA	NA	0.00	XXX
74742	TC	C	X-ray fallopian tube	0.00	0.00	0.00	NA	NA	0.00	XXX
74742	26	A	X-ray fallopian tube	0.61	0.24	0.25	0.24	0.25	0.05	XXX
74775		C	X-ray exam of perineum	0.00	0.00	0.00	NA	NA	0.00	XXX
74775	TC	C	X-ray exam of perineum	0.00	0.00	0.00	NA	NA	0.00	XXX
74775	26	A	X-ray exam of perineum	0.62	0.23	0.26	0.23	0.26	0.05	XXX
75557		A	Cardiac mri for morph	2.35	8.14	10.82	NA	NA	0.11	XXX
75557	TC	A	Cardiac mri for morph	0.00	7.25	9.76	NA	NA	0.01	XXX
75557	26	A	Cardiac mri for morph	2.35	0.89	1.06	0.89	1.06	0.10	XXX
75559		A	Cardiac mri w/stress img	2.95	11.70	16.12	NA	NA	0.13	XXX
75559	TC	A	Cardiac mri w/stress img	0.00	10.57	14.73	NA	NA	0.01	XXX
75559	26	A	Cardiac mri w/stress img	2.95	1.13	1.39	1.13	1.39	0.12	XXX
75561		A	Cardiac mri for morph w/dye	2.60	11.42	15.25	NA	NA	0.12	XXX
75561	TC	A	Cardiac mri for morph w/dye	0.00	10.42	14.08	NA	NA	0.01	XXX
75561	26	A	Cardiac mri for morph w/dye	2.60	1.00	1.17	1.00	1.17	0.11	XXX
75563		A	Card mri w/stress img & dye	3.00	13.61	18.77	NA	NA	0.12	XXX
75563	TC	A	Card mri w/stress img & dye	0.00	12.44	17.30	NA	NA	0.01	XXX
75563	26	A	Card mri w/stress img & dye	3.00	1.17	1.47	1.17	1.47	0.11	XXX
75565		A	Card mri veloc flow mapping	0.25	1.93	1.93	NA	NA	0.02	ZZZ
75565	TC	A	Card mri veloc flow mapping	0.00	1.82	1.82	NA	NA	0.01	ZZZ
75565	26	A	Card mri veloc flow mapping	0.25	0.11	0.11	0.11	0.11	0.01	ZZZ
75571		A	Ct hrt w/o dye w/ca test	0.58	2.56	2.56	NA	NA	0.02	XXX
75571	TC	A	Ct hrt w/o dye w/ca test	0.00	2.34	2.34	NA	NA	0.01	XXX
75571	26	A	Ct hrt w/o dye w/ca test	0.58	0.22	0.22	0.22	0.22	0.01	XXX
75572		A	Ct hrt w/3d image	1.75	6.85	6.85	NA	NA	0.06	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
75572	TC	A	Ct hrt w/3d image	0.00	6.18	6.18	NA	NA	0.01	XXX
75572	26	A	Ct hrt w/3d image	1.75	0.67	0.67	0.67	0.67	0.05	XXX
75573		A	Ct hrt w/3d image congen	2.55	9.15	9.15	NA	NA	0.09	XXX
75573	TC	A	Ct hrt w/3d image congen	0.00	8.15	8.15	NA	NA	0.01	XXX
75573	26	A	Ct hrt w/3d image congen	2.55	1.00	1.00	1.00	1.00	0.08	XXX
75574		A	Ct angio hrt w/3d image	2.40	10.68	10.68	NA	NA	0.09	XXX
75574	TC	A	Ct angio hrt w/3d image	0.00	9.76	9.76	NA	NA	0.01	XXX
75574	26	A	Ct angio hrt w/3d image	2.40	0.92	0.92	0.92	0.92	0.08	XXX
75600		A	Contrast x-ray exam of aorta	0.49	5.46	7.48	NA	NA	0.04	XXX
75600	TC	A	Contrast x-ray exam of aorta	0.00	5.27	7.25	NA	NA	0.01	XXX
75600	26	A	Contrast x-ray exam of aorta	0.49	0.19	0.23	0.19	0.23	0.03	XXX
75605		A	Contrast x-ray exam of aorta	1.14	3.19	5.16	NA	NA	0.08	XXX
75605	TC	A	Contrast x-ray exam of aorta	0.00	2.77	4.65	NA	NA	0.01	XXX
75605	26	A	Contrast x-ray exam of aorta	1.14	0.42	0.51	0.42	0.51	0.07	XXX
75625		A	Contrast x-ray exam of aorta	1.14	3.28	5.13	NA	NA	0.11	XXX
75625	TC	A	Contrast x-ray exam of aorta	0.00	2.87	4.66	NA	NA	0.01	XXX
75625	26	A	Contrast x-ray exam of aorta	1.14	0.41	0.47	0.41	0.47	0.10	XXX
75630		A	X-ray aorta leg arteries	1.79	3.52	5.54	NA	NA	0.11	XXX
75630	TC	A	X-ray aorta leg arteries	0.00	2.86	4.77	NA	NA	0.01	XXX
75630	26	A	X-ray aorta leg arteries	1.79	0.66	0.77	0.66	0.77	0.10	XXX
75635		A	Ct angio abdominal arteries	2.40	10.20	13.52	NA	NA	0.15	XXX
75635	TC	A	Ct angio abdominal arteries	0.00	9.30	12.49	NA	NA	0.03	XXX
75635	26	A	Ct angio abdominal arteries	2.40	0.90	1.03	0.90	1.03	0.12	XXX
75650		A	Artery x-rays head & neck	1.49	3.45	5.33	NA	NA	0.11	XXX
75650	TC	A	Artery x-rays head & neck	0.00	2.90	4.70	NA	NA	0.01	XXX
75650	26	A	Artery x-rays head & neck	1.49	0.55	0.63	0.55	0.63	0.10	XXX
75658		A	Artery x-rays arm	1.31	4.08	5.70	NA	NA	0.09	XXX
75658	TC	A	Artery x-rays arm	0.00	3.61	5.18	NA	NA	0.01	XXX
75658	26	A	Artery x-rays arm	1.31	0.47	0.52	0.47	0.52	0.08	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
75660		A	Artery x-rays head & neck	1.31	4.18	5.85	NA	NA	0.05	XXX
75660	TC	A	Artery x-rays head & neck	0.00	3.65	5.27	NA	NA	0.01	XXX
75660	26	A	Artery x-rays head & neck	1.31	0.53	0.58	0.53	0.58	0.04	XXX
75662		A	Artery x-rays head & neck	1.66	5.08	6.80	NA	NA	0.10	XXX
75662	TC	A	Artery x-rays head & neck	0.00	4.40	6.04	NA	NA	0.03	XXX
75662	26	A	Artery x-rays head & neck	1.66	0.68	0.76	0.68	0.76	0.07	XXX
75665		A	Artery x-rays head & neck	1.31	4.42	6.08	NA	NA	0.12	XXX
75665	TC	A	Artery x-rays head & neck	0.00	3.89	5.51	NA	NA	0.01	XXX
75665	26	A	Artery x-rays head & neck	1.31	0.53	0.57	0.53	0.57	0.11	XXX
75671		A	Artery x-rays head & neck	1.66	5.26	6.96	NA	NA	0.13	XXX
75671	TC	A	Artery x-rays head & neck	0.00	4.61	6.24	NA	NA	0.03	XXX
75671	26	A	Artery x-rays head & neck	1.66	0.65	0.72	0.65	0.72	0.10	XXX
75676		A	Artery x-rays neck	1.31	4.04	5.77	NA	NA	0.12	XXX
75676	TC	A	Artery x-rays neck	0.00	3.53	5.21	NA	NA	0.01	XXX
75676	26	A	Artery x-rays neck	1.31	0.51	0.56	0.51	0.56	0.11	XXX
75680		A	Artery x-rays neck	1.66	4.58	6.40	NA	NA	0.11	XXX
75680	TC	A	Artery x-rays neck	0.00	3.94	5.67	NA	NA	0.01	XXX
75680	26	A	Artery x-rays neck	1.66	0.64	0.73	0.64	0.73	0.10	XXX
75685		A	Artery x-rays spine	1.31	4.14	5.84	NA	NA	0.09	XXX
75685	TC	A	Artery x-rays spine	0.00	3.62	5.26	NA	NA	0.01	XXX
75685	26	A	Artery x-rays spine	1.31	0.52	0.58	0.52	0.58	0.08	XXX
75705		A	Artery x-rays spine	2.18	4.46	6.17	NA	NA	0.08	XXX
75705	TC	A	Artery x-rays spine	0.00	3.60	5.23	NA	NA	0.01	XXX
75705	26	A	Artery x-rays spine	2.18	0.86	0.94	0.86	0.94	0.07	XXX
75710		A	Artery x-rays arm/leg	1.14	3.94	5.72	NA	NA	0.06	XXX
75710	TC	A	Artery x-rays arm/leg	0.00	3.52	5.25	NA	NA	0.01	XXX
75710	26	A	Artery x-rays arm/leg	1.14	0.42	0.47	0.42	0.47	0.05	XXX
75716		A	Artery x-rays arms/legs	1.31	4.73	6.57	NA	NA	0.13	XXX
75716	TC	A	Artery x-rays arms/legs	0.00	4.25	6.02	NA	NA	0.03	XXX
75716	26	A	Artery x-rays arms/legs	1.31	0.48	0.55	0.48	0.55	0.10	XXX
75722		A	Artery x-rays kidney	1.14	3.56	5.48	NA	NA	0.08	XXX
75722	TC	A	Artery x-rays kidney	0.00	3.14	4.98	NA	NA	0.01	XXX
75722	26	A	Artery x-rays kidney	1.14	0.42	0.50	0.42	0.50	0.07	XXX
75724		A	Artery x-rays kidneys	1.49	4.23	6.38	NA	NA	0.08	XXX
75724	TC	A	Artery x-rays kidneys	0.00	3.66	5.66	NA	NA	0.03	XXX
75724	26	A	Artery x-rays kidneys	1.49	0.57	0.72	0.57	0.72	0.05	XXX
75726		A	Artery x-rays abdomen	1.14	3.85	5.64	NA	NA	0.09	XXX
75726	TC	A	Artery x-rays abdomen	0.00	3.44	5.16	NA	NA	0.01	XXX
75726	26	A	Artery x-rays abdomen	1.14	0.41	0.48	0.41	0.48	0.08	XXX
75731		A	Artery x-rays adrenal gland	1.14	3.82	5.77	NA	NA	0.05	XXX
75731	TC	A	Artery x-rays adrenal gland	0.00	3.39	5.24	NA	NA	0.01	XXX
75731	26	A	Artery x-rays adrenal gland	1.14	0.43	0.53	0.43	0.53	0.04	XXX
75733		A	Artery x-rays adrenals	1.31	4.47	6.64	NA	NA	0.07	XXX
75733	TC	A	Artery x-rays adrenals	0.00	3.96	6.00	NA	NA	0.03	XXX
75733	26	A	Artery x-rays adrenals	1.31	0.51	0.64	0.51	0.64	0.04	XXX
75736		A	Artery x-rays pelvis	1.14	3.79	5.63	NA	NA	0.06	XXX
75736	TC	A	Artery x-rays pelvis	0.00	3.37	5.15	NA	NA	0.01	XXX
75736	26	A	Artery x-rays pelvis	1.14	0.42	0.48	0.42	0.48	0.05	XXX
75741		A	Artery x-rays lung	1.31	3.28	5.09	NA	NA	0.09	XXX
75741	TC	A	Artery x-rays lung	0.00	2.81	4.54	NA	NA	0.01	XXX
75741	26	A	Artery x-rays lung	1.31	0.47	0.55	0.47	0.55	0.08	XXX
75743		A	Artery x-rays lungs	1.66	3.66	5.49	NA	NA	0.11	XXX
75743	TC	A	Artery x-rays lungs	0.00	3.06	4.79	NA	NA	0.01	XXX
75743	26	A	Artery x-rays lungs	1.66	0.60	0.70	0.60	0.70	0.10	XXX
75746		A	Artery x-rays lung	1.14	3.64	5.42	NA	NA	0.08	XXX
75746	TC	A	Artery x-rays lung	0.00	3.21	4.94	NA	NA	0.01	XXX
75746	26	A	Artery x-rays lung	1.14	0.43	0.48	0.43	0.48	0.07	XXX
75756		A	Artery x-rays chest	1.14	3.83	5.80	NA	NA	0.23	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
75756	TC	A	Artery x-rays chest	0.00	3.41	5.26	NA	NA	0.01	XXX
75756	26	A	Artery x-rays chest	1.14	0.42	0.54	0.42	0.54	0.22	XXX
75774		A	Artery x-ray each vessel	0.36	2.51	4.32	NA	NA	0.04	ZZZ
75774	TC	A	Artery x-ray each vessel	0.00	2.38	4.17	NA	NA	0.01	ZZZ
75774	26	A	Artery x-ray each vessel	0.36	0.13	0.15	0.13	0.15	0.03	ZZZ
75791		A	Av dialysis shunt imaging	1.71	7.88	7.88	NA	NA	0.11	XXX
75791	TC	A	Av dialysis shunt imaging	0.00	7.25	7.25	NA	NA	0.01	XXX
75791	26	A	Av dialysis shunt imaging	1.71	0.63	0.63	0.63	0.63	0.10	XXX
75801		C	Lymph vessel x-ray arm/leg	0.00	0.00	0.00	NA	NA	0.00	XXX
75801	TC	C	Lymph vessel x-ray arm/leg	0.00	0.00	0.00	NA	NA	0.00	XXX
75801	26	A	Lymph vessel x-ray arm/leg	0.81	0.35	0.33	0.35	0.33	0.18	XXX
75803		C	Lymph vessel x-ray arms/legs	0.00	0.00	0.00	NA	NA	0.00	XXX
75803	TC	C	Lymph vessel x-ray arms/legs	0.00	0.00	0.00	NA	NA	0.00	XXX
75803	26	A	Lymph vessel x-ray arms/legs	1.17	0.44	0.50	0.44	0.50	0.10	XXX
75805		C	Lymph vessel x-ray trunk	0.00	0.00	0.00	NA	NA	0.00	XXX
75805	TC	C	Lymph vessel x-ray trunk	0.00	0.00	0.00	NA	NA	0.00	XXX
75805	26	A	Lymph vessel x-ray trunk	0.81	0.31	0.34	0.31	0.34	0.07	XXX
75807		C	Lymph vessel x-ray trunk	0.00	0.00	0.00	NA	NA	0.00	XXX
75807	TC	C	Lymph vessel x-ray trunk	0.00	0.00	0.00	NA	NA	0.00	XXX
75807	26	A	Lymph vessel x-ray trunk	1.17	0.45	0.50	0.45	0.50	0.10	XXX
75809		A	Nonvascular shunt x-ray	0.47	2.51	2.39	NA	NA	0.04	XXX
75809	TC	A	Nonvascular shunt x-ray	0.00	2.31	2.19	NA	NA	0.01	XXX
75809	26	A	Nonvascular shunt x-ray	0.47	0.20	0.20	0.20	0.20	0.03	XXX
75810		C	Vein x-ray spleen/liver	0.00	0.00	0.00	NA	NA	0.00	XXX
75810	TC	C	Vein x-ray spleen/liver	0.00	0.00	0.00	NA	NA	0.00	XXX
75810	26	A	Vein x-ray spleen/liver	1.14	0.43	0.49	0.43	0.49	0.10	XXX
75820		A	Vein x-ray arm/leg	0.70	2.90	2.98	NA	NA	0.05	XXX
75820	TC	A	Vein x-ray arm/leg	0.00	2.64	2.68	NA	NA	0.01	XXX
75820	26	A	Vein x-ray arm/leg	0.70	0.26	0.30	0.26	0.30	0.04	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
75822		A	Vein x-ray arms/legs	1.06	3.37	3.42	NA	NA	0.08	XXX
75822	TC	A	Vein x-ray arms/legs	0.00	2.98	2.99	NA	NA	0.01	XXX
75822	26	A	Vein x-ray arms/legs	1.06	0.39	0.43	0.39	0.43	0.07	XXX
75825		A	Vein x-ray trunk	1.14	3.12	4.89	NA	NA	0.09	XXX
75825	TC	A	Vein x-ray trunk	0.00	2.71	4.43	NA	NA	0.01	XXX
75825	26	A	Vein x-ray trunk	1.14	0.41	0.46	0.41	0.46	0.08	XXX
75827		A	Vein x-ray chest	1.14	3.28	4.97	NA	NA	0.08	XXX
75827	TC	A	Vein x-ray chest	0.00	2.87	4.53	NA	NA	0.01	XXX
75827	26	A	Vein x-ray chest	1.14	0.41	0.44	0.41	0.44	0.07	XXX
75831		A	Vein x-ray kidney	1.14	3.22	4.99	NA	NA	0.25	XXX
75831	TC	A	Vein x-ray kidney	0.00	2.81	4.53	NA	NA	0.01	XXX
75831	26	A	Vein x-ray kidney	1.14	0.41	0.46	0.41	0.46	0.24	XXX
75833		A	Vein x-ray kidneys	1.49	3.86	5.60	NA	NA	0.09	XXX
75833	TC	A	Vein x-ray kidneys	0.00	3.34	5.02	NA	NA	0.01	XXX
75833	26	A	Vein x-ray kidneys	1.49	0.52	0.58	0.52	0.58	0.08	XXX
75840		A	Vein x-ray adrenal gland	1.14	3.07	4.87	NA	NA	0.25	XXX
75840	TC	A	Vein x-ray adrenal gland	0.00	2.69	4.44	NA	NA	0.01	XXX
75840	26	A	Vein x-ray adrenal gland	1.14	0.38	0.43	0.38	0.43	0.24	XXX
75842		A	Vein x-ray adrenal glands	1.49	3.81	5.60	NA	NA	0.09	XXX
75842	TC	A	Vein x-ray adrenal glands	0.00	3.25	4.98	NA	NA	0.01	XXX
75842	26	A	Vein x-ray adrenal glands	1.49	0.56	0.62	0.56	0.62	0.08	XXX
75860		A	Vein x-ray neck	1.14	3.21	5.08	NA	NA	0.09	XXX
75860	TC	A	Vein x-ray neck	0.00	2.78	4.58	NA	NA	0.01	XXX
75860	26	A	Vein x-ray neck	1.14	0.43	0.50	0.43	0.50	0.08	XXX
75870		A	Vein x-ray skull	1.14	3.16	5.02	NA	NA	0.08	XXX
75870	TC	A	Vein x-ray skull	0.00	2.73	4.55	NA	NA	0.01	XXX
75870	26	A	Vein x-ray skull	1.14	0.43	0.47	0.43	0.47	0.07	XXX
75872		A	Vein x-ray skull	1.14	6.74	7.20	NA	NA	0.08	XXX
75872	TC	A	Vein x-ray skull	0.00	6.11	6.61	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
75872	26	A	Vein x-ray skull	1.14	0.63	0.59	0.63	0.59	0.07	XXX
75880		A	Vein x-ray eye socket	0.70	5.54	4.34	NA	NA	0.05	XXX
75880	TC	A	Vein x-ray eye socket	0.00	5.20	4.02	NA	NA	0.01	XXX
75880	26	A	Vein x-ray eye socket	0.70	0.34	0.32	0.34	0.32	0.04	XXX
75885		A	Vein x-ray liver	1.44	3.30	5.13	NA	NA	0.09	XXX
75885	TC	A	Vein x-ray liver	0.00	2.78	4.53	NA	NA	0.01	XXX
75885	26	A	Vein x-ray liver	1.44	0.52	0.60	0.52	0.60	0.08	XXX
75887		A	Vein x-ray liver	1.44	3.40	5.22	NA	NA	0.06	XXX
75887	TC	A	Vein x-ray liver	0.00	2.87	4.61	NA	NA	0.01	XXX
75887	26	A	Vein x-ray liver	1.44	0.53	0.61	0.53	0.61	0.05	XXX
75889		A	Vein x-ray liver	1.14	3.22	5.02	NA	NA	0.08	XXX
75889	TC	A	Vein x-ray liver	0.00	2.81	4.54	NA	NA	0.01	XXX
75889	26	A	Vein x-ray liver	1.14	0.41	0.48	0.41	0.48	0.07	XXX
75891		A	Vein x-ray liver	1.14	3.22	5.02	NA	NA	0.08	XXX
75891	TC	A	Vein x-ray liver	0.00	2.81	4.54	NA	NA	0.01	XXX
75891	26	A	Vein x-ray liver	1.14	0.41	0.48	0.41	0.48	0.07	XXX
75893		A	Venous sampling by catheter	0.54	2.96	4.72	NA	NA	0.02	XXX
75893	TC	A	Venous sampling by catheter	0.00	2.76	4.50	NA	NA	0.01	XXX
75893	26	A	Venous sampling by catheter	0.54	0.20	0.22	0.20	0.22	0.01	XXX
75894		C	X-rays transcath therapy	0.00	0.00	0.00	NA	NA	0.00	XXX
75894	TC	C	X-rays transcath therapy	0.00	0.00	0.00	NA	NA	0.00	XXX
75894	26	A	X-rays transcath therapy	1.31	0.48	0.54	0.48	0.54	0.16	XXX
75896		C	X-rays transcath therapy	0.00	0.00	0.00	NA	NA	0.00	XXX
75896	TC	C	X-rays transcath therapy	0.00	0.00	0.00	NA	NA	0.00	XXX
75896	26	A	X-rays transcath therapy	1.31	0.48	0.56	0.48	0.56	0.16	XXX
75898		C	Follow-up angiography	0.00	0.00	0.00	NA	NA	0.00	XXX
75898	TC	C	Follow-up angiography	0.00	0.00	0.00	NA	NA	0.00	XXX
75898	26	A	Follow-up angiography	1.65	0.62	0.71	0.62	0.71	0.20	XXX
75900		C	Intravascular cath exchange	0.00	0.00	0.00	NA	NA	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
75900	TC	C	Intravascular cath exchange	0.00	0.00	0.00	NA	NA	0.00	XXX
75900	26	A	Intravascular cath exchange	0.49	0.17	0.20	0.17	0.20	0.05	XXX
75901		A	Remove cva device obstruct	0.49	4.58	4.43	NA	NA	0.04	XXX
75901	TC	A	Remove cva device obstruct	0.00	4.40	4.23	NA	NA	0.01	XXX
75901	26	A	Remove cva device obstruct	0.49	0.18	0.20	0.18	0.20	0.03	XXX
75902		A	Remove cva lumen obstruct	0.39	1.77	1.86	NA	NA	0.05	XXX
75902	TC	A	Remove cva lumen obstruct	0.00	1.63	1.70	NA	NA	0.01	XXX
75902	26	A	Remove cva lumen obstruct	0.39	0.14	0.16	0.14	0.16	0.04	XXX
75940		C	X-ray placement vein filter	0.00	0.00	0.00	NA	NA	0.00	XXX
75940	TC	C	X-ray placement vein filter	0.00	0.00	0.00	NA	NA	0.00	XXX
75940	26	A	X-ray placement vein filter	0.54	0.20	0.21	0.20	0.21	0.07	XXX
75945		C	Intravascular us	0.00	0.00	0.00	NA	NA	0.00	XXX
75945	TC	C	Intravascular us	0.00	0.00	0.00	NA	NA	0.00	XXX
75945	26	A	Intravascular us	0.40	0.14	0.16	0.14	0.16	0.05	XXX
75946		C	Intravascular us add-on	0.00	0.00	0.00	NA	NA	0.00	ZZZ
75946	TC	C	Intravascular us add-on	0.00	0.00	0.00	NA	NA	0.00	ZZZ
75946	26	A	Intravascular us add-on	0.40	0.13	0.15	0.13	0.15	0.07	ZZZ
75952		C	Endovasc repair abdom aorta	0.00	0.00	0.00	NA	NA	0.00	XXX
75952	TC	C	Endovasc repair abdom aorta	0.00	0.00	0.00	NA	NA	0.00	XXX
75952	26	A	Endovasc repair abdom aorta	4.49	1.55	1.65	1.55	1.65	0.86	XXX
75953		C	Abdom aneurysm endovas rpr	0.00	0.00	0.00	NA	NA	0.00	XXX
75953	TC	C	Abdom aneurysm endovas rpr	0.00	0.00	0.00	NA	NA	0.00	XXX
75953	26	A	Abdom aneurysm endovas rpr	1.36	0.47	0.50	0.47	0.50	0.27	XXX
75954		C	Iliac aneurysm endovas rpr	0.00	0.00	0.00	NA	NA	0.00	XXX
75954	TC	C	Iliac aneurysm endovas rpr	0.00	0.00	0.00	NA	NA	0.00	XXX
75954	26	A	Iliac aneurysm endovas rpr	2.25	0.79	0.83	0.79	0.83	0.41	XXX
75956		C	Xray endovasc thor ao repr	0.00	0.00	0.00	NA	NA	0.00	XXX
75956	TC	C	Xray endovasc thor ao repr	0.00	0.00	0.00	NA	NA	0.00	XXX
75956	26	A	Xray endovasc thor ao repr	7.00	2.35	2.55	2.35	2.55	1.44	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
75957		C	Xray endovasc thor ao repr	0.00	0.00	0.00	NA	NA	0.00	XXX
75957	TC	C	Xray endovasc thor ao repr	0.00	0.00	0.00	NA	NA	0.00	XXX
75957	26	A	Xray endovasc thor ao repr	6.00	2.03	2.19	2.03	2.19	1.21	XXX
75958		C	Xray place prox ext thor ao	0.00	0.00	0.00	NA	NA	0.00	XXX
75958	TC	C	Xray place prox ext thor ao	0.00	0.00	0.00	NA	NA	0.00	XXX
75958	26	A	Xray place prox ext thor ao	4.00	1.34	1.42	1.34	1.42	0.82	XXX
75959		C	Xray place dist ext thor ao	0.00	0.00	0.00	NA	NA	0.00	XXX
75959	TC	C	Xray place dist ext thor ao	0.00	0.00	0.00	NA	NA	0.00	XXX
75959	26	A	Xray place dist ext thor ao	3.50	1.05	1.20	1.05	1.20	0.83	XXX
75960		A	Transcath iv stent rs&i	0.82	2.70	4.88	NA	NA	0.06	XXX
75960	TC	A	Transcath iv stent rs&i	0.00	2.40	4.53	NA	NA	0.01	XXX
75960	26	A	Transcath iv stent rs&i	0.82	0.30	0.35	0.30	0.35	0.05	XXX
75961		A	Retrieval broken catheter	4.24	4.79	6.40	NA	NA	0.28	XXX
75961	TC	A	Retrieval broken catheter	0.00	3.27	4.67	NA	NA	0.01	XXX
75961	26	A	Retrieval broken catheter	4.24	1.52	1.73	1.52	1.73	0.27	XXX
75962		A	Repair arterial blockage	0.54	3.47	5.72	NA	NA	0.04	XXX
75962	TC	A	Repair arterial blockage	0.00	3.27	5.50	NA	NA	0.01	XXX
75962	26	A	Repair arterial blockage	0.54	0.20	0.22	0.20	0.22	0.03	XXX
75964		A	Repair artery blockage each	0.36	2.35	3.52	NA	NA	0.05	ZZZ
75964	TC	A	Repair artery blockage each	0.00	2.22	3.38	NA	NA	0.01	ZZZ
75964	26	A	Repair artery blockage each	0.36	0.13	0.14	0.13	0.14	0.04	ZZZ
75966		A	Repair arterial blockage	1.31	3.73	6.17	NA	NA	0.08	XXX
75966	TC	A	Repair arterial blockage	0.00	3.24	5.59	NA	NA	0.01	XXX
75966	26	A	Repair arterial blockage	1.31	0.49	0.58	0.49	0.58	0.07	XXX
75968		A	Repair artery blockage each	0.36	2.21	3.47	NA	NA	0.02	ZZZ
75968	TC	A	Repair artery blockage each	0.00	2.08	3.31	NA	NA	0.01	ZZZ
75968	26	A	Repair artery blockage each	0.36	0.13	0.16	0.13	0.16	0.01	ZZZ
75970		C	Vascular biopsy	0.00	0.00	0.00	NA	NA	0.00	XXX
75970	TC	C	Vascular biopsy	0.00	0.00	0.00	NA	NA	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
75970	26	A	Vascular biopsy	0.83	0.30	0.35	0.30	0.35	0.07	XXX
75978		A	Repair venous blockage	0.54	3.64	5.74	NA	NA	0.04	XXX
75978	TC	A	Repair venous blockage	0.00	3.44	5.52	NA	NA	0.01	XXX
75978	26	A	Repair venous blockage	0.54	0.20	0.22	0.20	0.22	0.03	XXX
75980		C	Contrast xray exam bile duct	0.00	0.00	0.00	NA	NA	0.00	XXX
75980	TC	C	Contrast xray exam bile duct	0.00	0.00	0.00	NA	NA	0.00	XXX
75980	26	A	Contrast xray exam bile duct	1.44	0.53	0.60	0.53	0.60	0.12	XXX
75982		C	Contrast xray exam bile duct	0.00	0.00	0.00	NA	NA	0.00	XXX
75982	TC	C	Contrast xray exam bile duct	0.00	0.00	0.00	NA	NA	0.00	XXX
75982	26	A	Contrast xray exam bile duct	1.44	0.52	0.60	0.52	0.60	0.12	XXX
75984		A	Xray control catheter change	0.72	2.46	2.64	NA	NA	0.05	XXX
75984	TC	A	Xray control catheter change	0.00	2.20	2.34	NA	NA	0.01	XXX
75984	26	A	Xray control catheter change	0.72	0.26	0.30	0.26	0.30	0.04	XXX
75989		A	Abscess drainage under x-ray	1.19	2.38	2.78	NA	NA	0.06	XXX
75989	TC	A	Abscess drainage under x-ray	0.00	1.94	2.28	NA	NA	0.01	XXX
75989	26	A	Abscess drainage under x-ray	1.19	0.44	0.50	0.44	0.50	0.05	XXX
76000		A	Fluoroscope examination	0.17	1.30	2.11	NA	NA	0.02	XXX
76000	TC	A	Fluoroscope examination	0.00	1.23	2.04	NA	NA	0.01	XXX
76000	26	A	Fluoroscope examination	0.17	0.07	0.07	0.07	0.07	0.01	XXX
76001		C	Fluoroscope exam extensive	0.00	0.00	0.00	NA	NA	0.00	XXX
76001	TC	C	Fluoroscope exam extensive	0.00	0.00	0.00	NA	NA	0.00	XXX
76001	26	A	Fluoroscope exam extensive	0.67	0.30	0.30	0.30	0.30	0.08	XXX
76010		A	X-ray nose to rectum	0.18	0.56	0.63	NA	NA	0.02	XXX
76010	TC	A	X-ray nose to rectum	0.00	0.49	0.55	NA	NA	0.01	XXX
76010	26	A	X-ray nose to rectum	0.18	0.07	0.08	0.07	0.08	0.01	XXX
76080		A	X-ray exam of fistula	0.54	1.15	1.28	NA	NA	0.04	XXX
76080	TC	A	X-ray exam of fistula	0.00	0.95	1.05	NA	NA	0.01	XXX
76080	26	A	X-ray exam of fistula	0.54	0.20	0.23	0.20	0.23	0.03	XXX
76098		A	X-ray exam breast specimen	0.16	0.33	0.39	NA	NA	0.02	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
76098	TC	A	X-ray exam breast specimen	0.00	0.27	0.32	NA	NA	0.01	XXX
76098	26	A	X-ray exam breast specimen	0.16	0.06	0.07	0.06	0.07	0.01	XXX
76100		A	X-ray exam of body section	0.58	2.34	3.00	NA	NA	0.06	XXX
76100	TC	A	X-ray exam of body section	0.00	2.03	2.72	NA	NA	0.01	XXX
76100	26	A	X-ray exam of body section	0.58	0.31	0.28	0.31	0.28	0.05	XXX
76101		A	Complex body section x-ray	0.58	3.73	4.57	NA	NA	0.09	XXX
76101	TC	A	Complex body section x-ray	0.00	3.32	4.24	NA	NA	0.01	XXX
76101	26	A	Complex body section x-ray	0.58	0.41	0.33	0.41	0.33	0.08	XXX
76102		A	Complex body section x-rays	0.58	5.21	6.35	NA	NA	0.11	XXX
76102	TC	A	Complex body section x-rays	0.00	4.79	6.02	NA	NA	0.01	XXX
76102	26	A	Complex body section x-rays	0.58	0.42	0.33	0.42	0.33	0.10	XXX
76120		A	Cine/video x-rays	0.38	1.74	1.86	NA	NA	0.04	XXX
76120	TC	A	Cine/video x-rays	0.00	1.59	1.71	NA	NA	0.01	XXX
76120	26	A	Cine/video x-rays	0.38	0.15	0.15	0.15	0.15	0.03	XXX
76125		C	Cine/video x-rays add-on	0.00	0.00	0.00	NA	NA	0.00	ZZZ
76125	TC	C	Cine/video x-rays add-on	0.00	0.00	0.00	NA	NA	0.00	ZZZ
76125	26	A	Cine/video x-rays add-on	0.27	0.11	0.13	0.11	0.13	0.03	ZZZ
76140		I	X-ray consultation	0.00	0.00	0.00	0.00	0.00	0.00	XXX
76376		A	3d render w/o postprocess	0.20	1.46	1.90	NA	NA	0.02	XXX
76376	TC	A	3d render w/o postprocess	0.00	1.38	1.81	NA	NA	0.01	XXX
76376	26	A	3d render w/o postprocess	0.20	0.08	0.09	0.08	0.09	0.01	XXX
76377		A	3d rendering w/postprocess	0.79	1.43	1.93	NA	NA	0.05	XXX
76377	TC	A	3d rendering w/postprocess	0.00	1.13	1.60	NA	NA	0.01	XXX
76377	26	A	3d rendering w/postprocess	0.79	0.30	0.33	0.30	0.33	0.04	XXX
76380		A	CAT scan follow-up study	0.98	3.71	4.60	NA	NA	0.05	XXX
76380	TC	A	CAT scan follow-up study	0.00	3.33	4.19	NA	NA	0.01	XXX
76380	26	A	CAT scan follow-up study	0.98	0.38	0.41	0.38	0.41	0.04	XXX
76390		N	Mr spectroscopy	1.40	11.96	12.57	NA	NA	0.06	XXX
76390	TC	N	Mr spectroscopy	0.00	11.34	11.97	NA	NA	0.01	XXX
76390	26	N	Mr spectroscopy	1.40	0.62	0.60	0.62	0.60	0.05	XXX
76496		C	Fluoroscopic procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
76496	TC	C	Fluoroscopic procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
76496	26	C	Fluoroscopic procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
76497		C	Ct procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
76497	TC	C	Ct procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
76497	26	C	Ct procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
76498		C	Mri procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
76498	TC	C	Mri procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
76498	26	C	Mri procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
76499		C	Radiographic procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
76499	TC	C	Radiographic procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
76499	26	C	Radiographic procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
76506		A	Echo exam of head	0.63	2.83	2.91	NA	NA	0.05	XXX
76506	TC	A	Echo exam of head	0.00	2.59	2.65	NA	NA	0.01	XXX
76506	26	A	Echo exam of head	0.63	0.24	0.26	0.24	0.26	0.04	XXX
76510		A	Ophth us b & quant a	1.55	3.25	3.12	NA	NA	0.27	XXX
76510	TC	A	Ophth us b & quant a	0.00	2.17	2.19	NA	NA	0.01	XXX
76510	26	A	Ophth us b & quant a	1.55	1.08	0.93	1.08	0.93	0.26	XXX
76511		A	Ophth us quant a only	0.94	1.95	1.98	NA	NA	0.02	XXX
76511	TC	A	Ophth us quant a only	0.00	1.31	1.43	NA	NA	0.01	XXX
76511	26	A	Ophth us quant a only	0.94	0.64	0.55	0.64	0.55	0.01	XXX
76512		A	Ophth us b w/non-quant a	0.94	1.69	1.73	NA	NA	0.05	XXX
76512	TC	A	Ophth us b w/non-quant a	0.00	1.06	1.18	NA	NA	0.01	XXX
76512	26	A	Ophth us b w/non-quant a	0.94	0.63	0.55	0.63	0.55	0.04	XXX
76513		A	Echo exam of eye water bath	0.66	1.95	1.93	NA	NA	0.02	XXX
76513	TC	A	Echo exam of eye water bath	0.00	1.58	1.59	NA	NA	0.01	XXX
76513	26	A	Echo exam of eye water bath	0.66	0.37	0.34	0.37	0.34	0.01	XXX
76514		A	Echo exam of eye thickness	0.17	0.25	0.22	NA	NA	0.02	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
76514	TC	A	Echo exam of eye thickness	0.00	0.14	0.12	NA	NA	0.01	XXX
76514	26	A	Echo exam of eye thickness	0.17	0.11	0.10	0.11	0.10	0.01	XXX
76516		A	Echo exam of eye	0.54	1.62	1.57	NA	NA	0.02	XXX
76516	TC	A	Echo exam of eye	0.00	1.26	1.26	NA	NA	0.01	XXX
76516	26	A	Echo exam of eye	0.54	0.36	0.31	0.36	0.31	0.01	XXX
76519		A	Echo exam of eye	0.54	1.79	1.72	NA	NA	0.04	XXX
76519	TC	A	Echo exam of eye	0.00	1.41	1.40	NA	NA	0.01	XXX
76519	26	A	Echo exam of eye	0.54	0.38	0.32	0.38	0.32	0.03	XXX
76529		A	Echo exam of eye	0.57	1.64	1.57	NA	NA	0.04	XXX
76529	TC	A	Echo exam of eye	0.00	1.24	1.23	NA	NA	0.01	XXX
76529	26	A	Echo exam of eye	0.57	0.40	0.34	0.40	0.34	0.03	XXX
76536		A	Us exam of head and neck	0.56	2.89	2.91	NA	NA	0.04	XXX
76536	TC	A	Us exam of head and neck	0.00	2.67	2.68	NA	NA	0.01	XXX
76536	26	A	Us exam of head and neck	0.56	0.22	0.23	0.22	0.23	0.03	XXX
76604		A	Us exam chest	0.55	1.91	2.02	NA	NA	0.04	XXX
76604	TC	A	Us exam chest	0.00	1.71	1.80	NA	NA	0.01	XXX
76604	26	A	Us exam chest	0.55	0.20	0.22	0.20	0.22	0.03	XXX
76645		A	Us exam breast(s)	0.54	2.21	2.26	NA	NA	0.05	XXX
76645	TC	A	Us exam breast(s)	0.00	2.01	2.04	NA	NA	0.01	XXX
76645	26	A	Us exam breast(s)	0.54	0.20	0.22	0.20	0.22	0.04	XXX
76700		A	Us exam abdom complete	0.81	3.18	3.31	NA	NA	0.05	XXX
76700	TC	A	Us exam abdom complete	0.00	2.87	2.98	NA	NA	0.01	XXX
76700	26	A	Us exam abdom complete	0.81	0.31	0.33	0.31	0.33	0.04	XXX
76705		A	Echo exam of abdomen	0.59	2.45	2.55	NA	NA	0.04	XXX
76705	TC	A	Echo exam of abdomen	0.00	2.23	2.30	NA	NA	0.01	XXX
76705	26	A	Echo exam of abdomen	0.59	0.22	0.25	0.22	0.25	0.03	XXX
76770		A	Us exam abdo back wall comp	0.74	3.00	3.18	NA	NA	0.05	XXX
76770	TC	A	Us exam abdo back wall comp	0.00	2.72	2.87	NA	NA	0.01	XXX
76770	26	A	Us exam abdo back wall comp	0.74	0.28	0.31	0.28	0.31	0.04	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
76775		A	Us exam abdo back wall lim	0.58	2.46	2.69	NA	NA	0.04	XXX
76775	TC	A	Us exam abdo back wall lim	0.00	2.24	2.44	NA	NA	0.01	XXX
76775	26	A	Us exam abdo back wall lim	0.58	0.22	0.25	0.22	0.25	0.03	XXX
76776		A	Us exam k transpl w/doppler	0.76	3.56	3.69	NA	NA	0.05	XXX
76776	TC	A	Us exam k transpl w/doppler	0.00	3.28	3.38	NA	NA	0.01	XXX
76776	26	A	Us exam k transpl w/doppler	0.76	0.28	0.31	0.28	0.31	0.04	XXX
76800		A	Us exam spinal canal	1.13	2.92	2.78	NA	NA	0.05	XXX
76800	TC	A	Us exam spinal canal	0.00	2.42	2.33	NA	NA	0.01	XXX
76800	26	A	Us exam spinal canal	1.13	0.50	0.45	0.50	0.45	0.04	XXX
76801		A	Ob us < 14 wks single fetus	0.99	2.63	2.83	NA	NA	0.04	XXX
76801	TC	A	Ob us < 14 wks single fetus	0.00	2.22	2.41	NA	NA	0.01	XXX
76801	26	A	Ob us < 14 wks single fetus	0.99	0.41	0.42	0.41	0.42	0.03	XXX
76802		A	Ob us < 14 wks addl fetus	0.83	1.09	1.20	NA	NA	0.04	ZZZ
76802	TC	A	Ob us < 14 wks addl fetus	0.00	0.74	0.85	NA	NA	0.01	ZZZ
76802	26	A	Ob us < 14 wks addl fetus	0.83	0.35	0.35	0.35	0.35	0.03	ZZZ
76805		A	Ob us >/= 14 wks sngl fetus	0.99	3.24	3.39	NA	NA	0.04	XXX
76805	TC	A	Ob us >/= 14 wks sngl fetus	0.00	2.82	2.97	NA	NA	0.01	XXX
76805	26	A	Ob us >/= 14 wks sngl fetus	0.99	0.42	0.42	0.42	0.42	0.03	XXX
76810		A	Ob us >/= 14 wks addl fetus	0.98	1.81	1.88	NA	NA	0.04	ZZZ
76810	TC	A	Ob us >/= 14 wks addl fetus	0.00	1.39	1.46	NA	NA	0.01	ZZZ
76810	26	A	Ob us >/= 14 wks addl fetus	0.98	0.42	0.42	0.42	0.42	0.03	ZZZ
76811		A	Ob us detailed sngl fetus	1.90	3.45	3.76	NA	NA	0.06	XXX
76811	TC	A	Ob us detailed sngl fetus	0.00	2.58	2.95	NA	NA	0.01	XXX
76811	26	A	Ob us detailed sngl fetus	1.90	0.87	0.81	0.87	0.81	0.05	XXX
76812		A	Ob us detailed addl fetus	1.78	4.34	4.24	NA	NA	0.06	ZZZ
76812	TC	A	Ob us detailed addl fetus	0.00	3.53	3.48	NA	NA	0.01	ZZZ
76812	26	A	Ob us detailed addl fetus	1.78	0.81	0.76	0.81	0.76	0.05	ZZZ
76813		A	Ob us nuchal meas 1 gest	1.18	2.41	2.53	NA	NA	0.05	XXX
76813	TC	A	Ob us nuchal meas 1 gest	0.00	1.87	2.04	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
76813	26	A	Ob us nuchal meas 1 gest	1.18	0.54	0.49	0.54	0.49	0.04	XXX
76814		A	Ob us nuchal meas add-on	0.99	1.35	1.38	NA	NA	0.04	XXX
76814	TC	A	Ob us nuchal meas add-on	0.00	0.89	0.96	NA	NA	0.01	XXX
76814	26	A	Ob us nuchal meas add-on	0.99	0.46	0.42	0.46	0.42	0.03	XXX
76815		A	Ob us limited fetus(s)	0.65	1.93	2.04	NA	NA	0.02	XXX
76815	TC	A	Ob us limited fetus(s)	0.00	1.66	1.77	NA	NA	0.01	XXX
76815	26	A	Ob us limited fetus(s)	0.65	0.27	0.27	0.27	0.27	0.01	XXX
76816		A	Ob us follow-up per fetus	0.85	2.56	2.58	NA	NA	0.04	XXX
76816	TC	A	Ob us follow-up per fetus	0.00	2.18	2.22	NA	NA	0.01	XXX
76816	26	A	Ob us follow-up per fetus	0.85	0.38	0.36	0.38	0.36	0.03	XXX
76817		A	Transvaginal us obstetric	0.75	2.16	2.28	NA	NA	0.04	XXX
76817	TC	A	Transvaginal us obstetric	0.00	1.84	1.97	NA	NA	0.01	XXX
76817	26	A	Transvaginal us obstetric	0.75	0.32	0.31	0.32	0.31	0.03	XXX
76818		A	Fetal biophys profile w/nst	1.05	2.46	2.54	NA	NA	0.04	XXX
76818	TC	A	Fetal biophys profile w/nst	0.00	1.98	2.09	NA	NA	0.01	XXX
76818	26	A	Fetal biophys profile w/nst	1.05	0.48	0.45	0.48	0.45	0.03	XXX
76819		A	Fetal biophys profil w/o nst	0.77	1.77	1.92	NA	NA	0.04	XXX
76819	TC	A	Fetal biophys profil w/o nst	0.00	1.43	1.59	NA	NA	0.01	XXX
76819	26	A	Fetal biophys profil w/o nst	0.77	0.34	0.33	0.34	0.33	0.03	XXX
76820		A	Umbilical artery echo	0.50	0.67	0.86	NA	NA	0.02	XXX
76820	TC	A	Umbilical artery echo	0.00	0.44	0.65	NA	NA	0.01	XXX
76820	26	A	Umbilical artery echo	0.50	0.23	0.21	0.23	0.21	0.01	XXX
76821		A	Middle cerebral artery echo	0.70	2.03	2.15	NA	NA	0.04	XXX
76821	TC	A	Middle cerebral artery echo	0.00	1.71	1.85	NA	NA	0.01	XXX
76821	26	A	Middle cerebral artery echo	0.70	0.32	0.30	0.32	0.30	0.03	XXX
76825		A	Echo exam of fetal heart	1.67	4.66	4.73	NA	NA	0.05	XXX
76825	TC	A	Echo exam of fetal heart	0.00	3.93	4.02	NA	NA	0.01	XXX
76825	26	A	Echo exam of fetal heart	1.67	0.73	0.71	0.73	0.71	0.04	XXX
76826		A	Echo exam of fetal heart	0.83	2.92	2.84	NA	NA	0.04	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
76826	TC	A	Echo exam of fetal heart	0.00	2.56	2.50	NA	NA	0.01	XXX
76826	26	A	Echo exam of fetal heart	0.83	0.36	0.34	0.36	0.34	0.03	XXX
76827		A	Echo exam of fetal heart	0.58	1.17	1.37	NA	NA	0.02	XXX
76827	TC	A	Echo exam of fetal heart	0.00	0.92	1.13	NA	NA	0.01	XXX
76827	26	A	Echo exam of fetal heart	0.58	0.25	0.24	0.25	0.24	0.01	XXX
76828		A	Echo exam of fetal heart	0.56	0.73	0.87	NA	NA	0.02	XXX
76828	TC	A	Echo exam of fetal heart	0.00	0.48	0.63	NA	NA	0.01	XXX
76828	26	A	Echo exam of fetal heart	0.56	0.25	0.24	0.25	0.24	0.01	XXX
76830		A	Transvaginal us non-ob	0.69	2.90	2.98	NA	NA	0.04	XXX
76830	TC	A	Transvaginal us non-ob	0.00	2.62	2.69	NA	NA	0.01	XXX
76830	26	A	Transvaginal us non-ob	0.69	0.28	0.29	0.28	0.29	0.03	XXX
76831		A	Echo exam uterus	0.72	2.91	2.96	NA	NA	0.04	XXX
76831	TC	A	Echo exam uterus	0.00	2.58	2.66	NA	NA	0.01	XXX
76831	26	A	Echo exam uterus	0.72	0.33	0.30	0.33	0.30	0.03	XXX
76856		A	Us exam pelvic complete	0.69	2.86	2.98	NA	NA	0.04	XXX
76856	TC	A	Us exam pelvic complete	0.00	2.59	2.69	NA	NA	0.01	XXX
76856	26	A	Us exam pelvic complete	0.69	0.27	0.29	0.27	0.29	0.03	XXX
76857		A	Us exam pelvic limited	0.38	2.34	2.59	NA	NA	0.04	XXX
76857	TC	A	Us exam pelvic limited	0.00	2.19	2.42	NA	NA	0.01	XXX
76857	26	A	Us exam pelvic limited	0.38	0.15	0.17	0.15	0.17	0.03	XXX
76870		A	Us exam scrotum	0.64	2.87	2.99	NA	NA	0.05	XXX
76870	TC	A	Us exam scrotum	0.00	2.63	2.72	NA	NA	0.01	XXX
76870	26	A	Us exam scrotum	0.64	0.24	0.27	0.24	0.27	0.04	XXX
76872		A	Us transrectal	0.69	3.06	3.43	NA	NA	0.05	XXX
76872	TC	A	Us transrectal	0.00	2.79	3.12	NA	NA	0.01	XXX
76872	26	A	Us transrectal	0.69	0.27	0.31	0.27	0.31	0.04	XXX
76873		A	Echograp trans r pros study	1.55	3.41	3.64	NA	NA	0.09	XXX
76873	TC	A	Echograp trans r pros study	0.00	2.76	2.97	NA	NA	0.01	XXX
76873	26	A	Echograp trans r pros study	1.55	0.65	0.67	0.65	0.67	0.08	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
76881		A	Us xtr non-vasc complete	0.59	2.76	2.76	NA	NA	0.05	XXX
76881	TC	A	Us xtr non-vasc complete	0.00	2.54	2.54	NA	NA	0.01	XXX
76881	26	A	Us xtr non-vasc complete	0.59	0.22	0.22	0.22	0.22	0.04	XXX
76882		A	Us xtr non-vasc lmtd	0.41	0.44	0.44	NA	NA	0.04	XXX
76882	TC	A	Us xtr non-vasc lmtd	0.00	0.29	0.29	NA	NA	0.01	XXX
76882	26	A	Us xtr non-vasc lmtd	0.41	0.15	0.15	0.15	0.15	0.03	XXX
76885		A	Us exam infant hips dynamic	0.74	3.51	3.52	NA	NA	0.05	XXX
76885	TC	A	Us exam infant hips dynamic	0.00	3.22	3.21	NA	NA	0.01	XXX
76885	26	A	Us exam infant hips dynamic	0.74	0.29	0.31	0.29	0.31	0.04	XXX
76886		A	Us exam infant hips static	0.62	3.05	2.78	NA	NA	0.02	XXX
76886	TC	A	Us exam infant hips static	0.00	2.75	2.50	NA	NA	0.01	XXX
76886	26	A	Us exam infant hips static	0.62	0.30	0.28	0.30	0.28	0.01	XXX
76930		A	Echo guide cardiocentesis	0.67	1.64	1.99	NA	NA	0.02	XXX
76930	TC	A	Echo guide cardiocentesis	0.00	1.38	1.67	NA	NA	0.01	XXX
76930	26	A	Echo guide cardiocentesis	0.67	0.26	0.32	0.26	0.32	0.01	XXX
76932		C	Echo guide for heart biopsy	0.00	0.00	0.00	NA	NA	0.00	XXX
76932	TC	C	Echo guide for heart biopsy	0.00	0.00	0.00	NA	NA	0.00	XXX
76932	26	A	Echo guide for heart biopsy	0.67	0.26	0.33	0.26	0.33	0.04	XXX
76936		A	Echo guide for artery repair	1.99	6.30	6.99	NA	NA	0.24	XXX
76936	TC	A	Echo guide for artery repair	0.00	5.58	6.18	NA	NA	0.01	XXX
76936	26	A	Echo guide for artery repair	1.99	0.72	0.81	0.72	0.81	0.23	XXX
76937		A	Us guide vascular access	0.30	0.67	0.70	NA	NA	0.04	ZZZ
76937	TC	A	Us guide vascular access	0.00	0.56	0.58	NA	NA	0.01	ZZZ
76937	26	A	Us guide vascular access	0.30	0.11	0.12	0.11	0.12	0.03	ZZZ
76940		C	Us guide tissue ablation	0.00	0.00	0.00	NA	NA	0.00	XXX
76940	TC	C	Us guide tissue ablation	0.00	0.00	0.00	NA	NA	0.00	XXX
76940	26	A	Us guide tissue ablation	2.00	0.80	0.82	0.80	0.82	0.29	XXX
76941		C	Echo guide for transfusion	0.00	0.00	0.00	NA	NA	0.00	XXX
76941	TC	C	Echo guide for transfusion	0.00	0.00	0.00	NA	NA	0.00	XXX
76941	26	A	Echo guide for transfusion	1.34	0.62	0.58	0.62	0.58	0.11	XXX
76942		A	Echo guide for biopsy	0.67	4.98	5.13	NA	NA	0.05	XXX
76942	TC	A	Echo guide for biopsy	0.00	4.72	4.85	NA	NA	0.01	XXX
76942	26	A	Echo guide for biopsy	0.67	0.26	0.28	0.26	0.28	0.04	XXX
76945		C	Echo guide villus sampling	0.00	0.00	0.00	NA	NA	0.00	XXX
76945	TC	C	Echo guide villus sampling	0.00	0.00	0.00	NA	NA	0.00	XXX
76945	26	A	Echo guide villus sampling	0.67	0.31	0.29	0.31	0.29	0.04	XXX
76946		A	Echo guide for amniocentesis	0.38	0.52	0.72	NA	NA	0.02	XXX
76946	TC	A	Echo guide for amniocentesis	0.00	0.35	0.56	NA	NA	0.01	XXX
76946	26	A	Echo guide for amniocentesis	0.38	0.17	0.16	0.17	0.16	0.01	XXX
76948		A	Echo guide ova aspiration	0.38	0.54	0.72	NA	NA	0.04	XXX
76948	TC	A	Echo guide ova aspiration	0.00	0.36	0.56	NA	NA	0.01	XXX
76948	26	A	Echo guide ova aspiration	0.38	0.18	0.16	0.18	0.16	0.03	XXX
76950		A	Echo guidance radiotherapy	0.58	1.28	1.42	NA	NA	0.04	XXX
76950	TC	A	Echo guidance radiotherapy	0.00	1.03	1.17	NA	NA	0.01	XXX
76950	26	A	Echo guidance radiotherapy	0.58	0.25	0.25	0.25	0.25	0.03	XXX
76965		A	Echo guidance radiotherapy	1.34	1.19	2.07	NA	NA	0.09	XXX
76965	TC	A	Echo guidance radiotherapy	0.00	0.64	1.48	NA	NA	0.01	XXX
76965	26	A	Echo guidance radiotherapy	1.34	0.55	0.59	0.55	0.59	0.08	XXX
76970		A	Ultrasound exam follow-up	0.40	2.58	2.41	NA	NA	0.05	XXX
76970	TC	A	Ultrasound exam follow-up	0.00	2.41	2.25	NA	NA	0.01	XXX
76970	26	A	Ultrasound exam follow-up	0.40	0.17	0.16	0.17	0.16	0.04	XXX
76975		C	GI endoscopic ultrasound	0.00	0.00	0.00	NA	NA	0.00	XXX
76975	TC	C	GI endoscopic ultrasound	0.00	0.00	0.00	NA	NA	0.00	XXX
76975	26	A	GI endoscopic ultrasound	0.81	0.40	0.39	0.40	0.39	0.08	XXX
76977		A	Us bone density measure	0.05	0.14	0.24	NA	NA	0.02	XXX
76977	TC	A	Us bone density measure	0.00	0.12	0.22	NA	NA	0.01	XXX
76977	26	A	Us bone density measure	0.05	0.02	0.02	0.02	0.02	0.01	XXX
76998		C	Us guide intraop	0.00	0.00	0.00	NA	NA	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
76998	TC	C	Us guide intraop	0.00	0.00	0.00	NA	NA	0.00	XXX
76998	26	A	Us guide intraop	1.20	0.47	0.47	0.47	0.47	0.26	XXX
76999		C	Echo examination procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
76999	TC	C	Echo examination procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
76999	26	C	Echo examination procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
77001		A	Fluoroguide for vein device	0.38	3.00	2.98	NA	NA	0.04	ZZZ
77001	TC	A	Fluoroguide for vein device	0.00	2.86	2.82	NA	NA	0.01	ZZZ
77001	26	A	Fluoroguide for vein device	0.38	0.14	0.16	0.14	0.16	0.03	ZZZ
77002		A	Needle localization by xray	0.54	1.66	1.65	NA	NA	0.04	XXX
77002	TC	A	Needle localization by xray	0.00	1.41	1.41	NA	NA	0.01	XXX
77002	26	A	Needle localization by xray	0.54	0.25	0.24	0.25	0.24	0.03	XXX
77003		A	Fluoroguide for spine inject	0.60	1.25	1.20	NA	NA	0.04	XXX
77003	TC	A	Fluoroguide for spine inject	0.00	0.96	0.96	NA	NA	0.01	XXX
77003	26	A	Fluoroguide for spine inject	0.60	0.29	0.24	0.29	0.24	0.03	XXX
77011		A	Ct scan for localization	1.21	5.39	13.04	NA	NA	0.05	XXX
77011	TC	A	Ct scan for localization	0.00	4.84	12.50	NA	NA	0.01	XXX
77011	26	A	Ct scan for localization	1.21	0.55	0.54	0.55	0.54	0.04	XXX
77012		A	Ct scan for needle biopsy	1.16	2.47	3.64	NA	NA	0.05	XXX
77012	TC	A	Ct scan for needle biopsy	0.00	2.04	3.15	NA	NA	0.01	XXX
77012	26	A	Ct scan for needle biopsy	1.16	0.43	0.49	0.43	0.49	0.04	XXX
77013		C	Ct guide for tissue ablation	0.00	0.00	0.00	NA	NA	0.00	XXX
77013	TC	C	Ct guide for tissue ablation	0.00	0.00	0.00	NA	NA	0.00	XXX
77013	26	A	Ct guide for tissue ablation	3.99	1.46	1.66	1.46	1.66	0.37	XXX
77014		A	Ct scan for therapy guide	0.85	4.53	4.78	NA	NA	0.05	XXX
77014	TC	A	Ct scan for therapy guide	0.00	4.16	4.41	NA	NA	0.01	XXX
77014	26	A	Ct scan for therapy guide	0.85	0.37	0.37	0.37	0.37	0.04	XXX
77021		A	Mr guidance for needle place	1.50	9.83	11.22	NA	NA	0.13	XXX
77021	TC	A	Mr guidance for needle place	0.00	9.27	10.59	NA	NA	0.01	XXX
77021	26	A	Mr guidance for needle place	1.50	0.56	0.63	0.56	0.63	0.12	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
77022		C	Mri for tissue ablation	0.00	0.00	0.00	NA	NA	0.00	XXX
77022	TC	C	Mri for tissue ablation	0.00	0.00	0.00	NA	NA	0.00	XXX
77022	26	A	Mri for tissue ablation	4.24	1.65	1.74	1.65	1.74	0.38	XXX
77031		A	Stereotact guide for brst bx	1.59	2.02	3.05	NA	NA	0.13	XXX
77031	TC	A	Stereotact guide for brst bx	0.00	1.41	2.40	NA	NA	0.01	XXX
77031	26	A	Stereotact guide for brst bx	1.59	0.61	0.65	0.61	0.65	0.12	XXX
77032		A	Guidance for needle breast	0.56	0.87	1.05	NA	NA	0.04	XXX
77032	TC	A	Guidance for needle breast	0.00	0.66	0.82	NA	NA	0.01	XXX
77032	26	A	Guidance for needle breast	0.56	0.21	0.23	0.21	0.23	0.03	XXX
77051		A	Computer dx mammogram add-on	0.06	0.20	0.26	NA	NA	0.02	ZZZ
77051	TC	A	Computer dx mammogram add-on	0.00	0.18	0.24	NA	NA	0.01	ZZZ
77051	26	A	Computer dx mammogram add-on	0.06	0.02	0.02	0.02	0.02	0.01	ZZZ
77052		A	Comp screen mammogram add-on	0.06	0.20	0.26	NA	NA	0.02	ZZZ
77052	TC	A	Comp screen mammogram add-on	0.00	0.18	0.24	NA	NA	0.01	ZZZ
77052	26	A	Comp screen mammogram add-on	0.06	0.02	0.02	0.02	0.02	0.01	ZZZ
77053		A	X-ray of mammary duct	0.36	1.27	1.62	NA	NA	0.02	XXX
77053	TC	A	X-ray of mammary duct	0.00	1.14	1.47	NA	NA	0.01	XXX
77053	26	A	X-ray of mammary duct	0.36	0.13	0.15	0.13	0.15	0.01	XXX
77054		A	X-ray of mammary ducts	0.45	1.75	2.23	NA	NA	0.04	XXX
77054	TC	A	X-ray of mammary ducts	0.00	1.58	2.04	NA	NA	0.01	XXX
77054	26	A	X-ray of mammary ducts	0.45	0.17	0.19	0.17	0.19	0.03	XXX
77055		A	Mammogram one breast	0.70	1.71	1.81	NA	NA	0.05	XXX
77055	TC	A	Mammogram one breast	0.00	1.45	1.52	NA	NA	0.01	XXX
77055	26	A	Mammogram one breast	0.70	0.26	0.29	0.26	0.29	0.04	XXX
77056		A	Mammogram both breasts	0.87	2.23	2.34	NA	NA	0.06	XXX
77056	TC	A	Mammogram both breasts	0.00	1.90	1.98	NA	NA	0.01	XXX
77056	26	A	Mammogram both breasts	0.87	0.33	0.36	0.33	0.36	0.05	XXX
77057		A	Mammogram screening	0.70	1.52	1.65	NA	NA	0.05	XXX
77057	TC	A	Mammogram screening	0.00	1.25	1.36	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
77057	26	A	Mammogram screening	0.70	0.27	0.29	0.27	0.29	0.04	XXX
77058		A	Mri one breast	1.63	16.11	20.69	NA	NA	0.11	XXX
77058	TC	A	Mri one breast	0.00	15.50	20.02	NA	NA	0.01	XXX
77058	26	A	Mri one breast	1.63	0.61	0.67	0.61	0.67	0.10	XXX
77059		A	Mri both breasts	1.63	16.00	21.53	NA	NA	0.11	XXX
77059	TC	A	Mri both breasts	0.00	15.40	20.86	NA	NA	0.01	XXX
77059	26	A	Mri both breasts	1.63	0.60	0.67	0.60	0.67	0.10	XXX
77071		A	X-ray stress view	0.41	1.03	0.90	1.03	0.90	0.07	XXX
77072		A	X-rays for bone age	0.19	0.47	0.49	NA	NA	0.02	XXX
77072	TC	A	X-rays for bone age	0.00	0.39	0.41	NA	NA	0.01	XXX
77072	26	A	X-rays for bone age	0.19	0.08	0.08	0.08	0.08	0.01	XXX
77073		A	X-rays bone length studies	0.27	0.82	0.84	NA	NA	0.05	XXX
77073	TC	A	X-rays bone length studies	0.00	0.68	0.71	NA	NA	0.01	XXX
77073	26	A	X-rays bone length studies	0.27	0.14	0.13	0.14	0.13	0.04	XXX
77074		A	X-rays bone survey limited	0.45	1.49	1.59	NA	NA	0.04	XXX
77074	TC	A	X-rays bone survey limited	0.00	1.32	1.40	NA	NA	0.01	XXX
77074	26	A	X-rays bone survey limited	0.45	0.17	0.19	0.17	0.19	0.03	XXX
77075		A	X-rays bone survey complete	0.54	2.37	2.49	NA	NA	0.04	XXX
77075	TC	A	X-rays bone survey complete	0.00	2.16	2.26	NA	NA	0.01	XXX
77075	26	A	X-rays bone survey complete	0.54	0.21	0.23	0.21	0.23	0.03	XXX
77076		A	X-rays bone survey infant	0.70	2.26	2.22	NA	NA	0.05	XXX
77076	TC	A	X-rays bone survey infant	0.00	1.99	1.95	NA	NA	0.01	XXX
77076	26	A	X-rays bone survey infant	0.70	0.27	0.27	0.27	0.27	0.04	XXX
77077		A	Joint survey single view	0.31	0.82	0.89	NA	NA	0.05	XXX
77077	TC	A	Joint survey single view	0.00	0.67	0.75	NA	NA	0.01	XXX
77077	26	A	Joint survey single view	0.31	0.15	0.14	0.15	0.14	0.04	XXX
77078		A	Ct bone density axial	0.25	3.56	4.40	NA	NA	0.02	XXX
77078	TC	A	Ct bone density axial	0.00	3.46	4.30	NA	NA	0.01	XXX
77078	26	A	Ct bone density axial	0.25	0.10	0.10	0.10	0.10	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
77079		A	Ct bone density peripheral	0.22	0.92	1.26	NA	NA	0.02	XXX
77079	TC	A	Ct bone density peripheral	0.00	0.82	1.17	NA	NA	0.01	XXX
77079	26	A	Ct bone density peripheral	0.22	0.10	0.09	0.10	0.09	0.01	XXX
77080		A	Dxa bone density axial	0.31	3.33	3.33	NA	NA	0.19	XXX
77080	TC	A	Dxa bone density axial	0.00	3.23	3.23	NA	NA	0.18	XXX
77080	26	A	Dxa bone density axial	0.31	0.10	0.10	0.10	0.10	0.01	XXX
77081		A	Dxa bone density/peripheral	0.22	0.61	0.61	NA	NA	0.02	XXX
77081	TC	A	Dxa bone density/peripheral	0.00	0.55	0.55	NA	NA	0.01	XXX
77081	26	A	Dxa bone density/peripheral	0.22	0.06	0.06	0.06	0.06	0.01	XXX
77082		A	Dxa bone density vert fx	0.18	0.84	0.84	NA	NA	0.06	XXX
77082	TC	A	Dxa bone density vert fx	0.00	0.78	0.78	NA	NA	0.05	XXX
77082	26	A	Dxa bone density vert fx	0.18	0.06	0.06	0.06	0.06	0.01	XXX
77083		A	Radiographic absorptiometry	0.20	0.47	0.53	NA	NA	0.02	XXX
77083	TC	A	Radiographic absorptiometry	0.00	0.38	0.45	NA	NA	0.01	XXX
77083	26	A	Radiographic absorptiometry	0.20	0.09	0.08	0.09	0.08	0.01	XXX
77084		A	Magnetic image bone marrow	1.60	11.04	13.95	NA	NA	0.11	XXX
77084	TC	A	Magnetic image bone marrow	0.00	10.43	13.28	NA	NA	0.01	XXX
77084	26	A	Magnetic image bone marrow	1.60	0.61	0.67	0.61	0.67	0.10	XXX
77261		A	Radiation therapy planning	1.39	0.66	0.65	0.66	0.65	0.10	XXX
77262		A	Radiation therapy planning	2.11	0.94	0.92	0.94	0.92	0.18	XXX
77263		A	Radiation therapy planning	3.14	1.39	1.37	1.39	1.37	0.26	XXX
77280		A	Set radiation therapy field	0.70	4.55	4.85	NA	NA	0.04	XXX
77280	TC	A	Set radiation therapy field	0.00	4.24	4.55	NA	NA	0.01	XXX
77280	26	A	Set radiation therapy field	0.70	0.31	0.30	0.31	0.30	0.03	XXX
77285		A	Set radiation therapy field	1.05	8.27	8.68	NA	NA	0.06	XXX
77285	TC	A	Set radiation therapy field	0.00	7.81	8.23	NA	NA	0.01	XXX
77285	26	A	Set radiation therapy field	1.05	0.46	0.45	0.46	0.45	0.05	XXX
77290		A	Set radiation therapy field	1.56	13.74	14.02	NA	NA	0.08	XXX
77290	TC	A	Set radiation therapy field	0.00	13.05	13.35	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
77290	26	A	Set radiation therapy field	1.56	0.69	0.67	0.69	0.67	0.07	XXX
77295		A	Set radiation therapy field	4.56	7.96	11.84	NA	NA	0.28	XXX
77295	TC	A	Set radiation therapy field	0.00	5.94	9.88	NA	NA	0.04	XXX
77295	26	A	Set radiation therapy field	4.56	2.02	1.96	2.02	1.96	0.24	XXX
77299		C	Radiation therapy planning	0.00	0.00	0.00	NA	NA	0.00	XXX
77299	TC	C	Radiation therapy planning	0.00	0.00	0.00	NA	NA	0.00	XXX
77299	26	C	Radiation therapy planning	0.00	0.00	0.00	0.00	0.00	0.00	XXX
77300		A	Radiation therapy dose plan	0.62	1.25	1.41	NA	NA	0.04	XXX
77300	TC	A	Radiation therapy dose plan	0.00	0.98	1.14	NA	NA	0.01	XXX
77300	26	A	Radiation therapy dose plan	0.62	0.27	0.27	0.27	0.27	0.03	XXX
77301		A	Radiotherapy dose plan imrt	7.99	45.07	53.11	NA	NA	0.63	XXX
77301	TC	A	Radiotherapy dose plan imrt	0.00	41.54	49.67	NA	NA	0.22	XXX
77301	26	A	Radiotherapy dose plan imrt	7.99	3.53	3.44	3.53	3.44	0.41	XXX
77305		A	Teletx isodose plan simple	0.70	0.98	1.22	NA	NA	0.04	XXX
77305	TC	A	Teletx isodose plan simple	0.00	0.67	0.92	NA	NA	0.01	XXX
77305	26	A	Teletx isodose plan simple	0.70	0.31	0.30	0.31	0.30	0.03	XXX
77310		A	Teletx isodose plan intermed	1.05	1.37	1.67	NA	NA	0.06	XXX
77310	TC	A	Teletx isodose plan intermed	0.00	0.91	1.22	NA	NA	0.01	XXX
77310	26	A	Teletx isodose plan intermed	1.05	0.46	0.45	0.46	0.45	0.05	XXX
77315		A	Teletx isodose plan complex	1.56	2.27	2.57	NA	NA	0.08	XXX
77315	TC	A	Teletx isodose plan complex	0.00	1.58	1.90	NA	NA	0.01	XXX
77315	26	A	Teletx isodose plan complex	1.56	0.69	0.67	0.69	0.67	0.07	XXX
77321		A	Special teletx port plan	0.95	1.62	2.17	NA	NA	0.05	XXX
77321	TC	A	Special teletx port plan	0.00	1.20	1.76	NA	NA	0.01	XXX
77321	26	A	Special teletx port plan	0.95	0.42	0.41	0.42	0.41	0.04	XXX
77326		A	Brachytx isodose calc simp	0.93	3.09	3.30	NA	NA	0.07	XXX
77326	TC	A	Brachytx isodose calc simp	0.00	2.68	2.90	NA	NA	0.03	XXX
77326	26	A	Brachytx isodose calc simp	0.93	0.41	0.40	0.41	0.40	0.04	XXX
77327		A	Brachytx isodose calc interm	1.39	4.26	4.59	NA	NA	0.10	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
77327	TC	A	Brachytx isodose calc interm	0.00	3.64	3.99	NA	NA	0.03	XXX
77327	26	A	Brachytx isodose calc interm	1.39	0.62	0.60	0.62	0.60	0.07	XXX
77328		A	Brachytx isodose plan compl	2.09	5.46	5.97	NA	NA	0.14	XXX
77328	TC	A	Brachytx isodose plan compl	0.00	4.54	5.07	NA	NA	0.04	XXX
77328	26	A	Brachytx isodose plan compl	2.09	0.92	0.90	0.92	0.90	0.10	XXX
77331		A	Special radiation dosimetry	0.87	0.90	0.95	NA	NA	0.05	XXX
77331	TC	A	Special radiation dosimetry	0.00	0.52	0.57	NA	NA	0.01	XXX
77331	26	A	Special radiation dosimetry	0.87	0.38	0.38	0.38	0.38	0.04	XXX
77332		A	Radiation treatment aid(s)	0.54	1.63	1.74	NA	NA	0.04	XXX
77332	TC	A	Radiation treatment aid(s)	0.00	1.39	1.51	NA	NA	0.01	XXX
77332	26	A	Radiation treatment aid(s)	0.54	0.24	0.23	0.24	0.23	0.03	XXX
77333		A	Radiation treatment aid(s)	0.84	0.61	0.88	NA	NA	0.05	XXX
77333	TC	A	Radiation treatment aid(s)	0.00	0.24	0.52	NA	NA	0.01	XXX
77333	26	A	Radiation treatment aid(s)	0.84	0.37	0.36	0.37	0.36	0.04	XXX
77334		A	Radiation treatment aid(s)	1.24	2.88	3.23	NA	NA	0.06	XXX
77334	TC	A	Radiation treatment aid(s)	0.00	2.33	2.70	NA	NA	0.01	XXX
77334	26	A	Radiation treatment aid(s)	1.24	0.55	0.53	0.55	0.53	0.05	XXX
77336		A	Radiation physics consult	0.00	1.14	1.53	NA	NA	0.01	XXX
77338		A	Design mlc device for imrt	4.29	9.60	9.60	NA	NA	0.27	XXX
77338	TC	A	Design mlc device for imrt	0.00	7.70	7.70	NA	NA	0.04	XXX
77338	26	A	Design mlc device for imrt	4.29	1.90	1.90	1.90	1.90	0.23	XXX
77370		A	Radiation physics consult	0.00	3.03	3.41	NA	NA	0.04	XXX
77371		C	Srs multisource	0.00	0.00	0.00	0.00	0.00	0.00	XXX
77372		A	Srs linear based	0.00	22.94	25.15	NA	NA	0.05	XXX
77373		A	Sbrt delivery	0.00	43.16	46.97	NA	NA	0.07	XXX
77399		C	External radiation dosimetry	0.00	0.00	0.00	NA	NA	0.00	XXX
77399	TC	C	External radiation dosimetry	0.00	0.00	0.00	NA	NA	0.00	XXX
77399	26	C	External radiation dosimetry	0.00	0.00	0.00	0.00	0.00	0.00	XXX
77401		A	Radiation treatment delivery	0.00	0.53	0.75	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
77402		A	Radiation treatment delivery	0.00	5.89	5.20	NA	NA	0.01	XXX
77403		A	Radiation treatment delivery	0.00	3.80	3.87	NA	NA	0.01	XXX
77404		A	Radiation treatment delivery	0.00	4.26	4.30	NA	NA	0.01	XXX
77406		A	Radiation treatment delivery	0.00	4.30	4.34	NA	NA	0.01	XXX
77407		A	Radiation treatment delivery	0.00	8.00	7.55	NA	NA	0.01	XXX
77408		A	Radiation treatment delivery	0.00	5.24	5.27	NA	NA	0.01	XXX
77409		A	Radiation treatment delivery	0.00	5.87	5.86	NA	NA	0.01	XXX
77411		A	Radiation treatment delivery	0.00	5.84	5.83	NA	NA	0.01	XXX
77412		A	Radiation treatment delivery	0.00	6.90	6.88	NA	NA	0.01	XXX
77413		A	Radiation treatment delivery	0.00	6.94	6.92	NA	NA	0.01	XXX
77414		A	Radiation treatment delivery	0.00	7.82	7.75	NA	NA	0.01	XXX
77416		A	Radiation treatment delivery	0.00	7.87	7.79	NA	NA	0.01	XXX
77417		A	Radiology port film(s)	0.00	0.37	0.43	NA	NA	0.01	XXX
77418		A	Radiation tx delivery imrt	0.00	13.34	15.37	NA	NA	0.01	XXX
77421		A	Stereoscopic x-ray guidance	0.39	2.45	2.84	NA	NA	0.02	XXX
77421	TC	A	Stereoscopic x-ray guidance	0.00	2.28	2.67	NA	NA	0.01	XXX
77421	26	A	Stereoscopic x-ray guidance	0.39	0.17	0.17	0.17	0.17	0.01	XXX
77422		A	Neutron beam tx simple	0.00	5.33	5.88	NA	NA	0.01	XXX
77423		A	Neutron beam tx complex	0.00	7.53	7.48	NA	NA	0.01	XXX
77427		A	Radiation tx management x5	3.37	1.70	1.68	1.70	1.68	0.27	XXX
77431		A	Radiation therapy management	1.81	0.98	0.97	0.98	0.97	0.14	XXX
77432		A	Stereotactic radiation trmt	7.92	3.53	3.48	3.53	3.48	0.65	XXX
77435		A	Sbrt management	13.00	5.89	5.88	5.89	5.89	1.08	XXX
77470		A	Special radiation treatment	2.09	2.15	3.76	NA	NA	0.11	XXX
77470	TC	A	Special radiation treatment	0.00	1.23	2.86	NA	NA	0.01	XXX
77470	26	A	Special radiation treatment	2.09	0.92	0.90	0.92	0.90	0.10	XXX
77499		C	Radiation therapy management	0.00	0.00	0.00	NA	NA	0.00	XXX
77499	TC	C	Radiation therapy management	0.00	0.00	0.00	NA	NA	0.00	XXX
77499	26	C	Radiation therapy management	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
77520		C	Proton trmt simple w/o comp	0.00	0.00	0.00	0.00	0.00	0.00	XXX
77522		C	Proton trmt simple w/comp	0.00	0.00	0.00	0.00	0.00	0.00	XXX
77523		C	Proton trmt intermediate	0.00	0.00	0.00	0.00	0.00	0.00	XXX
77525		C	Proton treatment complex	0.00	0.00	0.00	0.00	0.00	0.00	XXX
77600		R	Hyperthermia treatment	1.56	10.42	10.39	NA	NA	0.10	XXX
77600	TC	R	Hyperthermia treatment	0.00	9.72	9.72	NA	NA	0.03	XXX
77600	26	R	Hyperthermia treatment	1.56	0.70	0.67	0.70	0.67	0.07	XXX
77605		R	Hyperthermia treatment	2.09	30.46	25.05	NA	NA	0.41	XXX
77605	TC	R	Hyperthermia treatment	0.00	29.55	24.22	NA	NA	0.03	XXX
77605	26	R	Hyperthermia treatment	2.09	0.91	0.83	0.91	0.83	0.38	XXX
77610		R	Hyperthermia treatment	1.56	27.94	23.42	NA	NA	0.10	XXX
77610	TC	R	Hyperthermia treatment	0.00	27.26	22.79	NA	NA	0.03	XXX
77610	26	R	Hyperthermia treatment	1.56	0.68	0.63	0.68	0.63	0.07	XXX
77615		R	Hyperthermia treatment	2.09	26.35	26.51	NA	NA	0.17	XXX
77615	TC	R	Hyperthermia treatment	0.00	25.42	25.61	NA	NA	0.07	XXX
77615	26	R	Hyperthermia treatment	2.09	0.93	0.90	0.93	0.90	0.10	XXX
77620		R	Hyperthermia treatment	1.56	14.25	12.59	NA	NA	0.08	XXX
77620	TC	R	Hyperthermia treatment	0.00	13.57	11.96	NA	NA	0.04	XXX
77620	26	R	Hyperthermia treatment	1.56	0.68	0.63	0.68	0.63	0.04	XXX
77750		A	Infuse radioactive materials	5.00	5.12	5.11	NA	NA	0.29	090
77750	TC	A	Infuse radioactive materials	0.00	2.92	2.97	NA	NA	0.03	090
77750	26	A	Infuse radioactive materials	5.00	2.20	2.14	2.20	2.14	0.26	090
77761		A	Apply intrcav radiat simple	3.85	6.68	6.78	NA	NA	0.24	090
77761	TC	A	Apply intrcav radiat simple	0.00	5.00	5.16	NA	NA	0.04	090
77761	26	A	Apply intrcav radiat simple	3.85	1.68	1.62	1.68	1.62	0.20	090
77762		A	Apply intrcav radiat interm	5.76	8.29	8.50	NA	NA	0.35	090
77762	TC	A	Apply intrcav radiat interm	0.00	5.77	6.04	NA	NA	0.05	090
77762	26	A	Apply intrcav radiat interm	5.76	2.52	2.46	2.52	2.46	0.30	090
77763		A	Apply intrcav radiat compl	8.66	11.28	11.51	NA	NA	0.51	090

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77763	TC	A	Apply intrcav radiat compl	0.00	7.49	7.81	NA	NA	0.07	090
77763	26	A	Apply intrcav radiat compl	8.66	3.79	3.70	3.79	3.70	0.44	090
77776		A	Apply interstit radiat simpl	4.70	7.11	7.40	NA	NA	0.36	090
77776	TC	A	Apply interstit radiat simpl	0.00	5.02	5.39	NA	NA	0.05	090
77776	26	A	Apply interstit radiat simpl	4.70	2.09	2.01	2.09	2.01	0.31	090
77777		A	Apply interstit radiat inter	7.52	8.79	9.20	NA	NA	0.54	090
77777	TC	A	Apply interstit radiat inter	0.00	5.46	5.89	NA	NA	0.05	090
77777	26	A	Apply interstit radiat inter	7.52	3.33	3.31	3.33	3.31	0.49	090
77778		A	Apply interstit radiat compl	11.32	12.53	12.84	NA	NA	0.68	090
77778	TC	A	Apply interstit radiat compl	0.00	7.59	8.01	NA	NA	0.08	090
77778	26	A	Apply interstit radiat compl	11.32	4.94	4.83	4.94	4.83	0.60	090
77785		A	Hdr brachytx 1 channel	1.42	5.58	4.97	NA	NA	0.10	XXX
77785	TC	A	Hdr brachytx 1 channel	0.00	4.95	4.36	NA	NA	0.03	XXX
77785	26	A	Hdr brachytx 1 channel	1.42	0.63	0.61	0.63	0.61	0.07	XXX
77786		A	Hdr brachytx 2-12 channel	3.25	12.40	13.42	NA	NA	0.21	XXX
77786	TC	A	Hdr brachytx 2-12 channel	0.00	10.96	12.06	NA	NA	0.05	XXX
77786	26	A	Hdr brachytx 2-12 channel	3.25	1.44	1.36	1.44	1.36	0.16	XXX
77787		A	Hdr brachytx over 12 chan	4.89	21.94	21.69	NA	NA	0.34	XXX
77787	TC	A	Hdr brachytx over 12 chan	0.00	19.77	19.55	NA	NA	0.08	XXX
77787	26	A	Hdr brachytx over 12 chan	4.89	2.17	2.14	2.17	2.14	0.26	XXX
77789		A	Apply surface radiation	1.14	2.11	2.08	NA	NA	0.06	000
77789	TC	A	Apply surface radiation	0.00	1.59	1.58	NA	NA	0.01	000
77789	26	A	Apply surface radiation	1.14	0.52	0.50	0.52	0.50	0.05	000
77790		A	Radiation handling	1.05	1.58	1.59	NA	NA	0.05	XXX
77790	TC	A	Radiation handling	0.00	1.12	1.14	NA	NA	0.01	XXX
77790	26	A	Radiation handling	1.05	0.46	0.45	0.46	0.45	0.04	XXX
77799		C	Radium/radioisotope therapy	0.00	0.00	0.00	NA	NA	0.00	XXX
77799	TC	C	Radium/radioisotope therapy	0.00	0.00	0.00	NA	NA	0.00	XXX
77799	26	C	Radium/radioisotope therapy	0.00	0.00	0.00	0.00	0.00	0.00	XXX

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78000		A	Thyroid single uptake	0.19	1.81	1.91	NA	NA	0.02	XXX
78000	TC	A	Thyroid single uptake	0.00	1.74	1.83	NA	NA	0.01	XXX
78000	26	A	Thyroid single uptake	0.19	0.07	0.08	0.07	0.08	0.01	XXX
78001		A	Thyroid multiple uptakes	0.26	2.32	2.43	NA	NA	0.04	XXX
78001	TC	A	Thyroid multiple uptakes	0.00	2.23	2.32	NA	NA	0.03	XXX
78001	26	A	Thyroid multiple uptakes	0.26	0.09	0.11	0.09	0.11	0.01	XXX
78003		A	Thyroid suppress/stimul	0.33	1.94	2.02	NA	NA	0.02	XXX
78003	TC	A	Thyroid suppress/stimul	0.00	1.82	1.88	NA	NA	0.01	XXX
78003	26	A	Thyroid suppress/stimul	0.33	0.12	0.14	0.12	0.14	0.01	XXX
78006		A	Thyroid imaging with uptake	0.49	6.34	6.42	NA	NA	0.06	XXX
78006	TC	A	Thyroid imaging with uptake	0.00	6.17	6.22	NA	NA	0.03	XXX
78006	26	A	Thyroid imaging with uptake	0.49	0.17	0.20	0.17	0.20	0.03	XXX
78007		A	Thyroid image mult uptakes	0.50	6.73	5.22	NA	NA	0.06	XXX
78007	TC	A	Thyroid image mult uptakes	0.00	6.55	5.01	NA	NA	0.03	XXX
78007	26	A	Thyroid image mult uptakes	0.50	0.18	0.21	0.18	0.21	0.03	XXX
78010		A	Thyroid imaging	0.39	4.34	4.39	NA	NA	0.04	XXX
78010	TC	A	Thyroid imaging	0.00	4.20	4.23	NA	NA	0.03	XXX
78010	26	A	Thyroid imaging	0.39	0.14	0.16	0.14	0.16	0.01	XXX
78011		A	Thyroid imaging with flow	0.45	4.56	4.80	NA	NA	0.06	XXX
78011	TC	A	Thyroid imaging with flow	0.00	4.39	4.61	NA	NA	0.03	XXX
78011	26	A	Thyroid imaging with flow	0.45	0.17	0.19	0.17	0.19	0.03	XXX
78015		A	Thyroid met imaging	0.67	5.44	5.63	NA	NA	0.07	XXX
78015	TC	A	Thyroid met imaging	0.00	5.22	5.37	NA	NA	0.03	XXX
78015	26	A	Thyroid met imaging	0.67	0.22	0.26	0.22	0.26	0.04	XXX
78016		A	Thyroid met imaging/studies	0.82	7.15	8.13	NA	NA	0.06	XXX
78016	TC	A	Thyroid met imaging/studies	0.00	7.01	7.87	NA	NA	0.03	XXX
78016	26	A	Thyroid met imaging/studies	0.82	0.14	0.26	0.14	0.26	0.03	XXX
78018		A	Thyroid met imaging body	0.86	7.93	8.49	NA	NA	0.07	XXX
78018	TC	A	Thyroid met imaging body	0.00	7.65	8.16	NA	NA	0.03	XXX

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78018	26	A	Thyroid met imaging body	0.86	0.28	0.33	0.28	0.33	0.04	XXX
78020		A	Thyroid met uptake	0.60	1.67	1.92	NA	NA	0.04	ZZZ
78020	TC	A	Thyroid met uptake	0.00	1.50	1.69	NA	NA	0.01	ZZZ
78020	26	A	Thyroid met uptake	0.60	0.17	0.23	0.17	0.23	0.03	ZZZ
78070		A	Parathyroid nuclear imaging	0.82	3.41	4.01	NA	NA	0.07	XXX
78070	TC	A	Parathyroid nuclear imaging	0.00	3.13	3.68	NA	NA	0.03	XXX
78070	26	A	Parathyroid nuclear imaging	0.82	0.28	0.33	0.28	0.33	0.04	XXX
78075		A	Adrenal nuclear imaging	0.74	11.22	11.78	NA	NA	0.08	XXX
78075	TC	A	Adrenal nuclear imaging	0.00	10.99	11.49	NA	NA	0.04	XXX
78075	26	A	Adrenal nuclear imaging	0.74	0.23	0.29	0.23	0.29	0.04	XXX
78099		C	Endocrine nuclear procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
78099	TC	C	Endocrine nuclear procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
78099	26	C	Endocrine nuclear procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
78102		A	Bone marrow imaging ltd	0.55	4.08	4.31	NA	NA	0.06	XXX
78102	TC	A	Bone marrow imaging ltd	0.00	3.91	4.10	NA	NA	0.03	XXX
78102	26	A	Bone marrow imaging ltd	0.55	0.17	0.21	0.17	0.21	0.03	XXX
78103		A	Bone marrow imaging mult	0.75	5.27	5.67	NA	NA	0.07	XXX
78103	TC	A	Bone marrow imaging mult	0.00	5.05	5.39	NA	NA	0.03	XXX
78103	26	A	Bone marrow imaging mult	0.75	0.22	0.28	0.22	0.28	0.04	XXX
78104		A	Bone marrow imaging body	0.80	5.98	6.51	NA	NA	0.07	XXX
78104	TC	A	Bone marrow imaging body	0.00	5.73	6.19	NA	NA	0.03	XXX
78104	26	A	Bone marrow imaging body	0.80	0.25	0.32	0.25	0.32	0.04	XXX
78110		A	Plasma volume single	0.19	2.18	2.22	NA	NA	0.04	XXX
78110	TC	A	Plasma volume single	0.00	2.11	2.14	NA	NA	0.03	XXX
78110	26	A	Plasma volume single	0.19	0.07	0.08	0.07	0.08	0.01	XXX
78111		A	Plasma volume multiple	0.22	1.82	2.33	NA	NA	0.04	XXX
78111	TC	A	Plasma volume multiple	0.00	1.77	2.25	NA	NA	0.03	XXX
78111	26	A	Plasma volume multiple	0.22	0.05	0.08	0.05	0.08	0.01	XXX
78120		A	Red cell mass single	0.23	2.14	2.33	NA	NA	0.04	XXX

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78120	TC	A	Red cell mass single	0.00	2.05	2.23	NA	NA	0.03	XXX
78120	26	A	Red cell mass single	0.23	0.09	0.10	0.09	0.10	0.01	XXX
78121		A	Red cell mass multiple	0.32	2.25	2.62	NA	NA	0.04	XXX
78121	TC	A	Red cell mass multiple	0.00	2.13	2.49	NA	NA	0.03	XXX
78121	26	A	Red cell mass multiple	0.32	0.12	0.13	0.12	0.13	0.01	XXX
78122		A	Blood volume	0.45	2.09	2.83	NA	NA	0.04	XXX
78122	TC	A	Blood volume	0.00	1.96	2.66	NA	NA	0.03	XXX
78122	26	A	Blood volume	0.45	0.13	0.17	0.13	0.17	0.01	XXX
78130		A	Red cell survival study	0.61	3.58	3.89	NA	NA	0.08	XXX
78130	TC	A	Red cell survival study	0.00	3.35	3.63	NA	NA	0.04	XXX
78130	26	A	Red cell survival study	0.61	0.23	0.26	0.23	0.26	0.04	XXX
78135		A	Red cell survival kinetics	0.64	9.29	9.45	NA	NA	0.07	XXX
78135	TC	A	Red cell survival kinetics	0.00	9.04	9.18	NA	NA	0.03	XXX
78135	26	A	Red cell survival kinetics	0.64	0.25	0.27	0.25	0.27	0.04	XXX
78140		A	Red cell sequestration	0.61	2.91	3.44	NA	NA	0.07	XXX
78140	TC	A	Red cell sequestration	0.00	2.69	3.19	NA	NA	0.03	XXX
78140	26	A	Red cell sequestration	0.61	0.22	0.25	0.22	0.25	0.04	XXX
78185		A	Spleen imaging	0.40	5.42	5.50	NA	NA	0.04	XXX
78185	TC	A	Spleen imaging	0.00	5.27	5.33	NA	NA	0.03	XXX
78185	26	A	Spleen imaging	0.40	0.15	0.17	0.15	0.17	0.01	XXX
78190		A	Platelet survival kinetics	1.09	9.56	10.03	NA	NA	0.07	XXX
78190	TC	A	Platelet survival kinetics	0.00	9.13	9.59	NA	NA	0.03	XXX
78190	26	A	Platelet survival kinetics	1.09	0.43	0.44	0.43	0.44	0.04	XXX
78191		A	Platelet survival	0.61	3.60	4.55	NA	NA	0.08	XXX
78191	TC	A	Platelet survival	0.00	3.37	4.30	NA	NA	0.04	XXX
78191	26	A	Platelet survival	0.61	0.23	0.25	0.23	0.25	0.04	XXX
78195		A	Lymph system imaging	1.20	8.88	9.12	NA	NA	0.10	XXX
78195	TC	A	Lymph system imaging	0.00	8.47	8.64	NA	NA	0.03	XXX
78195	26	A	Lymph system imaging	1.20	0.41	0.48	0.41	0.48	0.07	XXX

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78199		C	Blood/lymph nuclear exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78199	TC	C	Blood/lymph nuclear exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78199	26	C	Blood/lymph nuclear exam	0.00	0.00	0.00	0.00	0.00	0.00	XXX
78201		A	Liver imaging	0.44	4.92	4.99	NA	NA	0.07	XXX
78201	TC	A	Liver imaging	0.00	4.77	4.82	NA	NA	0.03	XXX
78201	26	A	Liver imaging	0.44	0.15	0.17	0.15	0.17	0.04	XXX
78202		A	Liver imaging with flow	0.51	5.05	5.44	NA	NA	0.04	XXX
78202	TC	A	Liver imaging with flow	0.00	4.91	5.26	NA	NA	0.03	XXX
78202	26	A	Liver imaging with flow	0.51	0.14	0.18	0.14	0.18	0.01	XXX
78205		A	Liver imaging (3D)	0.71	5.18	5.95	NA	NA	0.07	XXX
78205	TC	A	Liver imaging (3D)	0.00	4.95	5.67	NA	NA	0.03	XXX
78205	26	A	Liver imaging (3D)	0.71	0.23	0.28	0.23	0.28	0.04	XXX
78206		A	Liver image (3d) with flow	0.96	8.72	9.26	NA	NA	0.07	XXX
78206	TC	A	Liver image (3d) with flow	0.00	8.40	8.88	NA	NA	0.03	XXX
78206	26	A	Liver image (3d) with flow	0.96	0.32	0.38	0.32	0.38	0.04	XXX
78215		A	Liver and spleen imaging	0.49	4.90	5.13	NA	NA	0.06	XXX
78215	TC	A	Liver and spleen imaging	0.00	4.72	4.93	NA	NA	0.03	XXX
78215	26	A	Liver and spleen imaging	0.49	0.18	0.20	0.18	0.20	0.03	XXX
78216		A	Liver & spleen image/flow	0.57	2.73	3.23	NA	NA	0.06	XXX
78216	TC	A	Liver & spleen image/flow	0.00	2.54	3.01	NA	NA	0.03	XXX
78216	26	A	Liver & spleen image/flow	0.57	0.19	0.22	0.19	0.22	0.03	XXX
78220		A	Liver function study	0.49	3.01	3.51	NA	NA	0.04	XXX
78220	TC	A	Liver function study	0.00	2.84	3.32	NA	NA	0.03	XXX
78220	26	A	Liver function study	0.49	0.17	0.19	0.17	0.19	0.01	XXX
78223		A	Hepatobiliary imaging	0.84	8.74	8.87	NA	NA	0.07	XXX
78223	TC	A	Hepatobiliary imaging	0.00	8.44	8.53	NA	NA	0.03	XXX
78223	26	A	Hepatobiliary imaging	0.84	0.30	0.34	0.30	0.34	0.04	XXX
78230		A	Salivary gland imaging	0.45	4.29	4.40	NA	NA	0.06	XXX
78230	TC	A	Salivary gland imaging	0.00	4.12	4.22	NA	NA	0.03	XXX
78230	26	A	Salivary gland imaging	0.45	0.17	0.18	0.17	0.18	0.03	XXX
78231		A	Serial salivary imaging	0.52	2.87	3.24	NA	NA	0.04	XXX
78231	TC	A	Serial salivary imaging	0.00	2.67	3.03	NA	NA	0.03	XXX
78231	26	A	Serial salivary imaging	0.52	0.20	0.21	0.20	0.21	0.01	XXX
78232		A	Salivary gland function exam	0.47	2.14	2.94	NA	NA	0.06	XXX
78232	TC	A	Salivary gland function exam	0.00	2.06	2.79	NA	NA	0.03	XXX
78232	26	A	Salivary gland function exam	0.47	0.08	0.15	0.08	0.15	0.03	XXX
78258		A	Esophageal motility study	0.74	5.71	5.95	NA	NA	0.06	XXX
78258	TC	A	Esophageal motility study	0.00	5.44	5.64	NA	NA	0.03	XXX
78258	26	A	Esophageal motility study	0.74	0.27	0.31	0.27	0.31	0.03	XXX
78261		A	Gastric mucosa imaging	0.69	6.31	6.63	NA	NA	0.07	XXX
78261	TC	A	Gastric mucosa imaging	0.00	6.05	6.34	NA	NA	0.03	XXX
78261	26	A	Gastric mucosa imaging	0.69	0.26	0.29	0.26	0.29	0.04	XXX
78262		A	Gastroesophageal reflux exam	0.68	6.20	6.52	NA	NA	0.04	XXX
78262	TC	A	Gastroesophageal reflux exam	0.00	5.96	6.25	NA	NA	0.03	XXX
78262	26	A	Gastroesophageal reflux exam	0.68	0.24	0.27	0.24	0.27	0.01	XXX
78264		A	Gastric emptying study	0.78	7.29	7.61	NA	NA	0.07	XXX
78264	TC	A	Gastric emptying study	0.00	7.01	7.29	NA	NA	0.03	XXX
78264	26	A	Gastric emptying study	0.78	0.28	0.32	0.28	0.32	0.04	XXX
78267		X	Breath tst attain/anal c-14	0.00	0.00	0.00	0.00	0.00	0.00	XXX
78268		X	Breath test analysis c-14	0.00	0.00	0.00	0.00	0.00	0.00	XXX
78270		A	Vit B-12 absorption exam	0.20	2.08	2.18	NA	NA	0.02	XXX
78270	TC	A	Vit B-12 absorption exam	0.00	2.00	2.10	NA	NA	0.01	XXX
78270	26	A	Vit B-12 absorption exam	0.20	0.08	0.08	0.08	0.08	0.01	XXX
78271		A	Vit b-12 absrp exam int fac	0.20	2.30	2.31	NA	NA	0.02	XXX
78271	TC	A	Vit b-12 absrp exam int fac	0.00	2.21	2.23	NA	NA	0.01	XXX
78271	26	A	Vit b-12 absrp exam int fac	0.20	0.09	0.08	0.09	0.08	0.01	XXX
78272		A	Vit b-12 absorp combined	0.27	2.21	2.38	NA	NA	0.04	XXX
78272	TC	A	Vit b-12 absorp combined	0.00	2.10	2.28	NA	NA	0.03	XXX

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78272	26	A	Vit b-12 absorp combined	0.27	0.11	0.10	0.11	0.10	0.01	XXX
78278		A	Acute GI blood loss imaging	0.99	8.80	9.14	NA	NA	0.08	XXX
78278	TC	A	Acute GI blood loss imaging	0.00	8.45	8.74	NA	NA	0.03	XXX
78278	26	A	Acute GI blood loss imaging	0.99	0.35	0.40	0.35	0.40	0.05	XXX
78282		C	GI protein loss exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78282	TC	C	GI protein loss exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78282	26	A	GI protein loss exam	0.38	0.14	0.16	0.14	0.16	0.03	XXX
78290		A	Meckels divert exam	0.68	8.70	8.75	NA	NA	0.07	XXX
78290	TC	A	Meckels divert exam	0.00	8.46	8.47	NA	NA	0.03	XXX
78290	26	A	Meckels divert exam	0.68	0.24	0.28	0.24	0.28	0.04	XXX
78291		A	Leveen/shunt patency exam	0.88	6.30	6.51	NA	NA	0.08	XXX
78291	TC	A	Leveen/shunt patency exam	0.00	6.00	6.16	NA	NA	0.03	XXX
78291	26	A	Leveen/shunt patency exam	0.88	0.30	0.35	0.30	0.35	0.05	XXX
78299		C	GI nuclear procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
78299	TC	C	GI nuclear procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
78299	26	C	GI nuclear procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
78300		A	Bone imaging limited area	0.62	4.37	4.53	NA	NA	0.06	XXX
78300	TC	A	Bone imaging limited area	0.00	4.14	4.27	NA	NA	0.03	XXX
78300	26	A	Bone imaging limited area	0.62	0.23	0.26	0.23	0.26	0.03	XXX
78305		A	Bone imaging multiple areas	0.83	5.73	5.98	NA	NA	0.07	XXX
78305	TC	A	Bone imaging multiple areas	0.00	5.43	5.65	NA	NA	0.03	XXX
78305	26	A	Bone imaging multiple areas	0.83	0.30	0.33	0.30	0.33	0.04	XXX
78306		A	Bone imaging whole body	0.86	6.15	6.56	NA	NA	0.07	XXX
78306	TC	A	Bone imaging whole body	0.00	5.85	6.21	NA	NA	0.03	XXX
78306	26	A	Bone imaging whole body	0.86	0.30	0.35	0.30	0.35	0.04	XXX
78315		A	Bone imaging 3 phase	1.02	8.76	9.11	NA	NA	0.08	XXX
78315	TC	A	Bone imaging 3 phase	0.00	8.40	8.70	NA	NA	0.03	XXX
78315	26	A	Bone imaging 3 phase	1.02	0.36	0.41	0.36	0.41	0.05	XXX
78320		A	Bone imaging (3D)	1.04	5.33	6.10	NA	NA	0.08	XXX
78320	TC	A	Bone imaging (3D)	0.00	4.99	5.69	NA	NA	0.03	XXX
78320	26	A	Bone imaging (3D)	1.04	0.34	0.41	0.34	0.41	0.05	XXX
78350		N	Bone mineral single photon	0.22	0.68	0.74	NA	NA	0.02	XXX
78350	TC	N	Bone mineral single photon	0.00	0.58	0.65	NA	NA	0.01	XXX
78350	26	N	Bone mineral single photon	0.22	0.10	0.09	0.10	0.09	0.01	XXX
78351		N	Bone mineral dual photon	0.30	0.13	0.13	0.13	0.13	0.01	XXX
78399		C	Musculoskeletal nuclear exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78399	TC	C	Musculoskeletal nuclear exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78399	26	C	Musculoskeletal nuclear exam	0.00	0.00	0.00	0.00	0.00	0.00	XXX
78414		C	Non-imaging heart function	0.00	0.00	0.00	NA	NA	0.00	XXX
78414	TC	C	Non-imaging heart function	0.00	0.00	0.00	NA	NA	0.00	XXX
78414	26	A	Non-imaging heart function	0.45	0.20	0.18	0.20	0.18	0.03	XXX
78428		A	Cardiac shunt imaging	0.78	4.39	4.89	NA	NA	0.06	XXX
78428	TC	A	Cardiac shunt imaging	0.00	4.11	4.54	NA	NA	0.03	XXX
78428	26	A	Cardiac shunt imaging	0.78	0.28	0.35	0.28	0.35	0.03	XXX
78445		A	Vascular flow imaging	0.49	4.32	4.53	NA	NA	0.04	XXX
78445	TC	A	Vascular flow imaging	0.00	4.16	4.34	NA	NA	0.03	XXX
78445	26	A	Vascular flow imaging	0.49	0.16	0.19	0.16	0.19	0.01	XXX
78451		A	Ht muscle image spect sing	1.38	8.63	8.63	NA	NA	0.08	XXX
78451	TC	A	Ht muscle image spect sing	0.00	8.11	8.11	NA	NA	0.03	XXX
78451	26	A	Ht muscle image spect sing	1.38	0.52	0.52	0.52	0.52	0.05	XXX
78452		A	Ht muscle image spect mult	1.62	12.41	12.41	NA	NA	0.09	XXX
78452	TC	A	Ht muscle image spect mult	0.00	11.79	11.79	NA	NA	0.04	XXX
78452	26	A	Ht muscle image spect mult	1.62	0.62	0.62	0.62	0.62	0.05	XXX
78453		A	Ht muscle image planar sing	1.00	7.59	7.59	NA	NA	0.08	XXX
78453	TC	A	Ht muscle image planar sing	0.00	7.22	7.22	NA	NA	0.03	XXX
78453	26	A	Ht muscle image planar sing	1.00	0.37	0.37	0.37	0.37	0.05	XXX
78454		A	Ht musc image planar mult	1.34	11.08	11.08	NA	NA	0.09	XXX
78454	TC	A	Ht musc image planar mult	0.00	10.58	10.58	NA	NA	0.04	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
78454	26	A	Ht musc image planar mult	1.34	0.50	0.50	0.50	0.50	0.05	XXX
78456		A	Acute venous thrombus image	1.00	8.79	9.41	NA	NA	0.06	XXX
78456	TC	A	Acute venous thrombus image	0.00	8.42	8.94	NA	NA	0.03	XXX
78456	26	A	Acute venous thrombus image	1.00	0.37	0.47	0.37	0.47	0.03	XXX
78457		A	Venous thrombosis imaging	0.77	4.70	4.94	NA	NA	0.07	XXX
78457	TC	A	Venous thrombosis imaging	0.00	4.42	4.63	NA	NA	0.03	XXX
78457	26	A	Venous thrombosis imaging	0.77	0.28	0.31	0.28	0.31	0.04	XXX
78458		A	Ven thrombosis images bilat	0.90	4.08	4.83	NA	NA	0.08	XXX
78458	TC	A	Ven thrombosis images bilat	0.00	3.87	4.52	NA	NA	0.03	XXX
78458	26	A	Ven thrombosis images bilat	0.90	0.21	0.31	0.21	0.31	0.05	XXX
78459		C	Heart muscle imaging (PET)	0.00	0.00	0.00	NA	NA	0.00	XXX
78459	TC	C	Heart muscle imaging (PET)	0.00	0.00	0.00	NA	NA	0.00	XXX
78459	26	A	Heart muscle imaging (PET)	1.50	0.45	0.62	0.45	0.62	0.10	XXX
78466		A	Heart infarct image	0.69	4.19	4.59	NA	NA	0.06	XXX
78466	TC	A	Heart infarct image	0.00	3.93	4.28	NA	NA	0.03	XXX
78466	26	A	Heart infarct image	0.69	0.26	0.31	0.26	0.31	0.03	XXX
78468		A	Heart infarct image (ef)	0.80	4.88	5.62	NA	NA	0.06	XXX
78468	TC	A	Heart infarct image (ef)	0.00	4.57	5.24	NA	NA	0.03	XXX
78468	26	A	Heart infarct image (ef)	0.80	0.31	0.38	0.31	0.38	0.03	XXX
78469		A	Heart infarct image (3D)	0.92	6.10	6.64	NA	NA	0.06	XXX
78469	TC	A	Heart infarct image (3D)	0.00	5.70	6.19	NA	NA	0.03	XXX
78469	26	A	Heart infarct image (3D)	0.92	0.40	0.45	0.40	0.45	0.03	XXX
78472		A	Gated heart planar single	0.98	5.57	6.40	NA	NA	0.07	XXX
78472	TC	A	Gated heart planar single	0.00	5.22	5.97	NA	NA	0.03	XXX
78472	26	A	Gated heart planar single	0.98	0.35	0.43	0.35	0.43	0.04	XXX
78473		A	Gated heart multiple	1.47	6.89	8.29	NA	NA	0.08	XXX
78473	TC	A	Gated heart multiple	0.00	6.34	7.62	NA	NA	0.03	XXX
78473	26	A	Gated heart multiple	1.47	0.55	0.67	0.55	0.67	0.05	XXX
78481		A	Heart first pass single	0.98	4.21	5.19	NA	NA	0.04	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
78481	TC	A	Heart first pass single	0.00	3.83	4.71	NA	NA	0.01	XXX
78481	26	A	Heart first pass single	0.98	0.38	0.48	0.38	0.48	0.03	XXX
78483		A	Heart first pass multiple	1.47	5.56	7.07	NA	NA	0.08	XXX
78483	TC	A	Heart first pass multiple	0.00	4.99	6.34	NA	NA	0.03	XXX
78483	26	A	Heart first pass multiple	1.47	0.57	0.73	0.57	0.73	0.05	XXX
78491		C	Heart image (pet) single	0.00	0.00	0.00	NA	NA	0.00	XXX
78491	TC	C	Heart image (pet) single	0.00	0.00	0.00	NA	NA	0.00	XXX
78491	26	A	Heart image (pet) single	1.50	0.49	0.65	0.49	0.65	0.10	XXX
78492		C	Heart image (pet) multiple	0.00	0.00	0.00	NA	NA	0.00	XXX
78492	TC	C	Heart image (pet) multiple	0.00	0.00	0.00	NA	NA	0.00	XXX
78492	26	A	Heart image (pet) multiple	1.87	0.66	0.86	0.66	0.86	0.12	XXX
78494		A	Heart image spect	1.19	5.59	6.67	NA	NA	0.07	XXX
78494	TC	A	Heart image spect	0.00	5.12	6.12	NA	NA	0.03	XXX
78494	26	A	Heart image spect	1.19	0.47	0.55	0.47	0.55	0.04	XXX
78496		A	Heart first pass add-on	0.50	0.74	1.88	NA	NA	0.02	ZZZ
78496	TC	A	Heart first pass add-on	0.00	0.55	1.64	NA	NA	0.01	ZZZ
78496	26	A	Heart first pass add-on	0.50	0.19	0.24	0.19	0.24	0.01	ZZZ
78499		C	Cardiovascular nuclear exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78499	TC	C	Cardiovascular nuclear exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78499	26	C	Cardiovascular nuclear exam	0.00	0.00	0.00	0.00	0.00	0.00	XXX
78580		A	Lung perfusion imaging	0.74	5.16	5.49	NA	NA	0.06	XXX
78580	TC	A	Lung perfusion imaging	0.00	4.90	5.19	NA	NA	0.03	XXX
78580	26	A	Lung perfusion imaging	0.74	0.26	0.30	0.26	0.30	0.03	XXX
78584		A	Lung V/Q image single breath	0.99	3.04	3.44	NA	NA	0.08	XXX
78584	TC	A	Lung V/Q image single breath	0.00	2.67	3.03	NA	NA	0.03	XXX
78584	26	A	Lung V/Q image single breath	0.99	0.37	0.41	0.37	0.41	0.05	XXX
78585		A	Lung V/Q imaging	1.09	8.79	9.28	NA	NA	0.08	XXX
78585	TC	A	Lung V/Q imaging	0.00	8.41	8.84	NA	NA	0.03	XXX
78585	26	A	Lung V/Q imaging	1.09	0.38	0.44	0.38	0.44	0.05	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
78586		A	Aerosol lung image single	0.40	4.33	4.49	NA	NA	0.04	XXX
78586	TC	A	Aerosol lung image single	0.00	4.18	4.32	NA	NA	0.03	XXX
78586	26	A	Aerosol lung image single	0.40	0.15	0.17	0.15	0.17	0.01	XXX
78587		A	Aerosol lung image multiple	0.49	5.36	5.62	NA	NA	0.06	XXX
78587	TC	A	Aerosol lung image multiple	0.00	5.20	5.43	NA	NA	0.03	XXX
78587	26	A	Aerosol lung image multiple	0.49	0.16	0.19	0.16	0.19	0.03	XXX
78588		A	Perfusion lung image	1.09	8.88	8.96	NA	NA	0.08	XXX
78588	TC	A	Perfusion lung image	0.00	8.49	8.52	NA	NA	0.03	XXX
78588	26	A	Perfusion lung image	1.09	0.39	0.44	0.39	0.44	0.05	XXX
78591		A	Vent image 1 breath 1 proj	0.40	4.36	4.54	NA	NA	0.04	XXX
78591	TC	A	Vent image 1 breath 1 proj	0.00	4.21	4.37	NA	NA	0.03	XXX
78591	26	A	Vent image 1 breath 1 proj	0.40	0.15	0.17	0.15	0.17	0.01	XXX
78593		A	Vent image 1 proj gas	0.49	4.96	5.25	NA	NA	0.06	XXX
78593	TC	A	Vent image 1 proj gas	0.00	4.79	5.05	NA	NA	0.03	XXX
78593	26	A	Vent image 1 proj gas	0.49	0.17	0.20	0.17	0.20	0.03	XXX
78594		A	Vent image mult proj gas	0.53	5.16	5.82	NA	NA	0.06	XXX
78594	TC	A	Vent image mult proj gas	0.00	5.00	5.62	NA	NA	0.03	XXX
78594	26	A	Vent image mult proj gas	0.53	0.16	0.20	0.16	0.20	0.03	XXX
78596		A	Lung differential function	1.27	9.04	9.69	NA	NA	0.07	XXX
78596	TC	A	Lung differential function	0.00	8.61	9.20	NA	NA	0.03	XXX
78596	26	A	Lung differential function	1.27	0.43	0.49	0.43	0.49	0.04	XXX
78599		C	Respiratory nuclear exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78599	TC	C	Respiratory nuclear exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78599	26	C	Respiratory nuclear exam	0.00	0.00	0.00	0.00	0.00	0.00	XXX
78600		A	Brain image < 4 views	0.44	4.59	4.83	NA	NA	0.04	XXX
78600	TC	A	Brain image < 4 views	0.00	4.43	4.64	NA	NA	0.03	XXX
78600	26	A	Brain image < 4 views	0.44	0.16	0.19	0.16	0.19	0.01	XXX
78601		A	Brain image w/flow < 4 views	0.51	5.45	5.73	NA	NA	0.06	XXX
78601	TC	A	Brain image w/flow < 4 views	0.00	5.27	5.53	NA	NA	0.03	XXX
78601	26	A	Brain image w/flow < 4 views	0.51	0.18	0.20	0.18	0.20	0.03	XXX
78605		A	Brain image 4+ views	0.53	4.92	5.24	NA	NA	0.06	XXX
78605	TC	A	Brain image 4+ views	0.00	4.72	5.01	NA	NA	0.03	XXX
78605	26	A	Brain image 4+ views	0.53	0.20	0.23	0.20	0.23	0.03	XXX
78606		A	Brain image w/flow 4 + views	0.64	8.76	8.89	NA	NA	0.04	XXX
78606	TC	A	Brain image w/flow 4 + views	0.00	8.53	8.63	NA	NA	0.03	XXX
78606	26	A	Brain image w/flow 4 + views	0.64	0.23	0.26	0.23	0.26	0.01	XXX
78607		A	Brain imaging (3D)	1.23	8.70	9.42	NA	NA	0.08	XXX
78607	TC	A	Brain imaging (3D)	0.00	8.31	8.94	NA	NA	0.03	XXX
78607	26	A	Brain imaging (3D)	1.23	0.39	0.48	0.39	0.48	0.05	XXX
78608		C	Brain imaging (PET)	0.00	0.00	0.00	NA	NA	0.00	XXX
78608	TC	C	Brain imaging (PET)	0.00	0.00	0.00	NA	NA	0.00	XXX
78608	26	A	Brain imaging (PET)	1.50	0.48	0.58	0.48	0.58	0.11	XXX
78609		N	Brain imaging (PET)	1.50	0.66	0.63	NA	NA	0.10	XXX
78609	TC	N	Brain imaging (PET)	0.00	0.00	0.00	NA	NA	0.00	XXX
78609	26	N	Brain imaging (PET)	1.50	0.66	0.63	0.66	0.63	0.10	XXX
78610		A	Brain flow imaging only	0.30	4.50	4.91	NA	NA	0.04	XXX
78610	TC	A	Brain flow imaging only	0.00	4.39	4.78	NA	NA	0.03	XXX
78610	26	A	Brain flow imaging only	0.30	0.11	0.13	0.11	0.13	0.01	XXX
78630		A	Cerebrospinal fluid scan	0.68	8.87	9.21	NA	NA	0.06	XXX
78630	TC	A	Cerebrospinal fluid scan	0.00	8.63	8.93	NA	NA	0.03	XXX
78630	26	A	Cerebrospinal fluid scan	0.68	0.24	0.28	0.24	0.28	0.03	XXX
78635		A	CSF ventriculography	0.61	8.88	8.84	NA	NA	0.04	XXX
78635	TC	A	CSF ventriculography	0.00	8.65	8.58	NA	NA	0.03	XXX
78635	26	A	CSF ventriculography	0.61	0.23	0.26	0.23	0.26	0.01	XXX
78645		A	CSF shunt evaluation	0.57	8.53	8.73	NA	NA	0.06	XXX
78645	TC	A	CSF shunt evaluation	0.00	8.34	8.50	NA	NA	0.03	XXX
78645	26	A	CSF shunt evaluation	0.57	0.19	0.23	0.19	0.23	0.03	XXX
78647		A	Cerebrospinal fluid scan	0.90	8.81	9.31	NA	NA	0.08	XXX

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78647	TC	A	Cerebrospinal fluid scan	0.00	8.52	8.96	NA	NA	0.03	XXX
78647	26	A	Cerebrospinal fluid scan	0.90	0.29	0.35	0.29	0.35	0.05	XXX
78650		A	CSF leakage imaging	0.61	8.73	9.06	NA	NA	0.07	XXX
78650	TC	A	CSF leakage imaging	0.00	8.53	8.82	NA	NA	0.03	XXX
78650	26	A	CSF leakage imaging	0.61	0.20	0.24	0.20	0.24	0.04	XXX
78660		A	Nuclear exam of tear flow	0.53	4.65	4.64	NA	NA	0.06	XXX
78660	TC	A	Nuclear exam of tear flow	0.00	4.43	4.41	NA	NA	0.03	XXX
78660	26	A	Nuclear exam of tear flow	0.53	0.22	0.23	0.22	0.23	0.03	XXX
78699		C	Nervous system nuclear exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78699	TC	C	Nervous system nuclear exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78699	26	C	Nervous system nuclear exam	0.00	0.00	0.00	0.00	0.00	0.00	XXX
78700		A	Kidney imaging morphol	0.45	4.41	4.70	NA	NA	0.06	XXX
78700	TC	A	Kidney imaging morphol	0.00	4.25	4.51	NA	NA	0.03	XXX
78700	26	A	Kidney imaging morphol	0.45	0.16	0.19	0.16	0.19	0.03	XXX
78701		A	Kidney imaging with flow	0.49	5.44	5.75	NA	NA	0.06	XXX
78701	TC	A	Kidney imaging with flow	0.00	5.27	5.55	NA	NA	0.03	XXX
78701	26	A	Kidney imaging with flow	0.49	0.17	0.20	0.17	0.20	0.03	XXX
78707		A	K flow/funct image w/o drug	0.96	5.48	5.99	NA	NA	0.07	XXX
78707	TC	A	K flow/funct image w/o drug	0.00	5.16	5.61	NA	NA	0.03	XXX
78707	26	A	K flow/funct image w/o drug	0.96	0.32	0.38	0.32	0.38	0.04	XXX
78708		A	K flow/funct image w/drug	1.21	3.37	4.04	NA	NA	0.08	XXX
78708	TC	A	K flow/funct image w/drug	0.00	2.96	3.55	NA	NA	0.03	XXX
78708	26	A	K flow/funct image w/drug	1.21	0.41	0.49	0.41	0.49	0.05	XXX
78709		A	K flow/funct image multiple	1.41	8.96	9.34	NA	NA	0.10	XXX
78709	TC	A	K flow/funct image multiple	0.00	8.48	8.77	NA	NA	0.03	XXX
78709	26	A	K flow/funct image multiple	1.41	0.48	0.57	0.48	0.57	0.07	XXX
78710		A	Kidney imaging (3D)	0.66	5.05	5.88	NA	NA	0.04	XXX
78710	TC	A	Kidney imaging (3D)	0.00	4.85	5.63	NA	NA	0.03	XXX
78710	26	A	Kidney imaging (3D)	0.66	0.20	0.25	0.20	0.25	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
78725		A	Kidney function study	0.38	2.49	2.61	NA	NA	0.04	XXX
78725	TC	A	Kidney function study	0.00	2.35	2.46	NA	NA	0.03	XXX
78725	26	A	Kidney function study	0.38	0.14	0.15	0.14	0.15	0.01	XXX
78730		A	Urinary bladder retention	0.15	1.80	2.04	NA	NA	0.02	ZZZ
78730	TC	A	Urinary bladder retention	0.00	1.74	1.96	NA	NA	0.01	ZZZ
78730	26	A	Urinary bladder retention	0.15	0.06	0.08	0.06	0.08	0.01	ZZZ
78740		A	Ureteral reflux study	0.57	5.88	5.92	NA	NA	0.06	XXX
78740	TC	A	Ureteral reflux study	0.00	5.65	5.67	NA	NA	0.03	XXX
78740	26	A	Ureteral reflux study	0.57	0.23	0.25	0.23	0.25	0.03	XXX
78761		A	Testicular imaging w/flow	0.71	5.27	5.51	NA	NA	0.07	XXX
78761	TC	A	Testicular imaging w/flow	0.00	5.00	5.21	NA	NA	0.03	XXX
78761	26	A	Testicular imaging w/flow	0.71	0.27	0.30	0.27	0.30	0.04	XXX
78799		C	Genitourinary nuclear exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78799	TC	C	Genitourinary nuclear exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78799	26	C	Genitourinary nuclear exam	0.00	0.00	0.00	0.00	0.00	0.00	XXX
78800		A	Tumor imaging limited area	0.66	4.54	4.80	NA	NA	0.07	XXX
78800	TC	A	Tumor imaging limited area	0.00	4.29	4.54	NA	NA	0.03	XXX
78800	26	A	Tumor imaging limited area	0.66	0.25	0.26	0.25	0.26	0.04	XXX
78801		A	Tumor imaging mult areas	0.79	6.30	6.61	NA	NA	0.07	XXX
78801	TC	A	Tumor imaging mult areas	0.00	6.01	6.29	NA	NA	0.03	XXX
78801	26	A	Tumor imaging mult areas	0.79	0.29	0.32	0.29	0.32	0.04	XXX
78802		A	Tumor imaging whole body	0.86	8.16	8.73	NA	NA	0.07	XXX
78802	TC	A	Tumor imaging whole body	0.00	7.88	8.39	NA	NA	0.03	XXX
78802	26	A	Tumor imaging whole body	0.86	0.28	0.34	0.28	0.34	0.04	XXX
78803		A	Tumor imaging (3D)	1.09	8.38	9.21	NA	NA	0.08	XXX
78803	TC	A	Tumor imaging (3D)	0.00	8.05	8.79	NA	NA	0.03	XXX
78803	26	A	Tumor imaging (3D)	1.09	0.33	0.42	0.33	0.42	0.05	XXX
78804		A	Tumor imaging whole body	1.07	14.86	16.00	NA	NA	0.10	XXX
78804	TC	A	Tumor imaging whole body	0.00	14.51	15.58	NA	NA	0.05	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
78804	26	A	Tumor imaging whole body	1.07	0.35	0.42	0.35	0.42	0.05	XXX
78805		A	Abscess imaging ltd area	0.73	4.31	4.66	NA	NA	0.07	XXX
78805	TC	A	Abscess imaging ltd area	0.00	4.06	4.37	NA	NA	0.03	XXX
78805	26	A	Abscess imaging ltd area	0.73	0.25	0.29	0.25	0.29	0.04	XXX
78806		A	Abscess imaging whole body	0.86	8.40	9.07	NA	NA	0.07	XXX
78806	TC	A	Abscess imaging whole body	0.00	8.11	8.73	NA	NA	0.03	XXX
78806	26	A	Abscess imaging whole body	0.86	0.29	0.34	0.29	0.34	0.04	XXX
78807		A	Nuclear localization/abscess	1.09	8.37	9.22	NA	NA	0.07	XXX
78807	TC	A	Nuclear localization/abscess	0.00	8.05	8.80	NA	NA	0.03	XXX
78807	26	A	Nuclear localization/abscess	1.09	0.32	0.42	0.32	0.42	0.04	XXX
78808		A	Iv inj ra drug dx study	0.18	0.89	1.06	NA	NA	0.03	XXX
78811		C	Pet image ltd area	0.00	0.00	0.00	NA	NA	0.00	XXX
78811	TC	C	Pet image ltd area	0.00	0.00	0.00	NA	NA	0.00	XXX
78811	26	A	Pet image ltd area	1.54	0.55	0.63	0.55	0.63	0.18	XXX
78812		C	Pet image skull-thigh	0.00	0.00	0.00	NA	NA	0.00	XXX
78812	TC	C	Pet image skull-thigh	0.00	0.00	0.00	NA	NA	0.00	XXX
78812	26	A	Pet image skull-thigh	1.93	0.66	0.78	0.66	0.78	0.16	XXX
78813		C	Pet image full body	0.00	0.00	0.00	NA	NA	0.00	XXX
78813	TC	C	Pet image full body	0.00	0.00	0.00	NA	NA	0.00	XXX
78813	26	A	Pet image full body	2.00	0.72	0.83	0.72	0.83	0.18	XXX
78814		C	Pet image w/ct lmtd	0.00	0.00	0.00	NA	NA	0.00	XXX
78814	TC	C	Pet image w/ct lmtd	0.00	0.00	0.00	NA	NA	0.00	XXX
78814	26	A	Pet image w/ct lmtd	2.20	0.73	0.87	0.73	0.87	0.20	XXX
78815		C	Pet image w/ct skull-thigh	0.00	0.00	0.00	NA	NA	0.00	XXX
78815	TC	C	Pet image w/ct skull-thigh	0.00	0.00	0.00	NA	NA	0.00	XXX
78815	26	A	Pet image w/ct skull-thigh	2.44	0.84	0.98	0.84	0.98	0.22	XXX
78816		C	Pet image w/ct full body	0.00	0.00	0.00	NA	NA	0.00	XXX
78816	TC	C	Pet image w/ct full body	0.00	0.00	0.00	NA	NA	0.00	XXX
78816	26	A	Pet image w/ct full body	2.50	0.80	0.98	0.80	0.98	0.22	XXX

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78999		C	Nuclear diagnostic exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78999	TC	C	Nuclear diagnostic exam	0.00	0.00	0.00	NA	NA	0.00	XXX
78999	26	C	Nuclear diagnostic exam	0.00	0.00	0.00	0.00	0.00	0.00	XXX
79005		A	Nuclear rx oral admin	1.80	1.93	2.33	NA	NA	0.08	XXX
79005	TC	A	Nuclear rx oral admin	0.00	1.27	1.61	NA	NA	0.01	XXX
79005	26	A	Nuclear rx oral admin	1.80	0.66	0.72	0.66	0.72	0.07	XXX
79101		A	Nuclear rx iv admin	1.96	2.25	2.73	NA	NA	0.08	XXX
79101	TC	A	Nuclear rx iv admin	0.00	1.35	1.75	NA	NA	0.01	XXX
79101	26	A	Nuclear rx iv admin	1.96	0.90	0.98	0.90	0.98	0.07	XXX
79200		A	Nuclear rx intracav admin	1.99	2.44	2.83	NA	NA	0.12	XXX
79200	TC	A	Nuclear rx intracav admin	0.00	1.68	1.99	NA	NA	0.01	XXX
79200	26	A	Nuclear rx intracav admin	1.99	0.76	0.84	0.76	0.84	0.11	XXX
79300		C	Nuclr rx interstit colloid	0.00	0.00	0.00	NA	NA	0.00	XXX
79300	TC	C	Nuclr rx interstit colloid	0.00	0.00	0.00	NA	NA	0.00	XXX
79300	26	A	Nuclr rx interstit colloid	1.60	0.61	0.66	0.61	0.66	0.14	XXX
79403		A	Hematopoietic nuclear tx	2.25	2.89	3.64	NA	NA	0.14	XXX
79403	TC	A	Hematopoietic nuclear tx	0.00	2.08	2.70	NA	NA	0.03	XXX
79403	26	A	Hematopoietic nuclear tx	2.25	0.81	0.94	0.81	0.94	0.11	XXX
79440		A	Nuclear rx intra-articular	1.99	2.39	2.59	NA	NA	0.06	XXX
79440	TC	A	Nuclear rx intra-articular	0.00	1.47	1.67	NA	NA	0.01	XXX
79440	26	A	Nuclear rx intra-articular	1.99	0.92	0.92	0.92	0.92	0.05	XXX
79445		C	Nuclear rx intra-arterial	0.00	0.00	0.00	NA	NA	0.00	XXX
79445	TC	C	Nuclear rx intra-arterial	0.00	0.00	0.00	NA	NA	0.00	XXX
79445	26	A	Nuclear rx intra-arterial	2.40	0.77	0.94	0.77	0.94	0.20	XXX
79999		C	Nuclear medicine therapy	0.00	0.00	0.00	NA	NA	0.00	XXX
79999	TC	C	Nuclear medicine therapy	0.00	0.00	0.00	NA	NA	0.00	XXX
79999	26	C	Nuclear medicine therapy	0.00	0.00	0.00	0.00	0.00	0.00	XXX
80500		A	Lab pathology consultation	0.37	0.19	0.21	0.12	0.14	0.03	XXX
80502		A	Lab pathology consultation	1.33	0.47	0.49	0.41	0.43	0.07	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
83020	26	A	Hemoglobin electrophoresis	0.37	0.18	0.17	0.18	0.17	0.03	XXX
83912	26	A	Genetic examination	0.37	0.16	0.15	0.16	0.15	0.03	XXX
84165	26	A	Protein e-phoresis serum	0.37	0.18	0.16	0.18	0.16	0.03	XXX
84166	26	A	Protein e-phoresis/urine/csf	0.37	0.18	0.16	0.18	0.16	0.03	XXX
84181	26	A	Western blot test	0.37	0.18	0.17	0.18	0.17	0.03	XXX
84182	26	A	Protein western blot test	0.37	0.17	0.16	0.17	0.16	0.03	XXX
85060		A	Blood smear interpretation	0.45	0.22	0.20	0.22	0.20	0.03	XXX
85097		A	Bone marrow interpretation	0.94	1.37	1.54	0.40	0.39	0.05	XXX
85390	26	A	Fibrinolysins screen	0.37	0.19	0.18	0.19	0.18	0.03	XXX
85396		A	Clotting assay whole blood	0.37	NA	NA	0.17	0.16	0.03	XXX
85576	26	A	Blood platelet aggregation	0.37	0.18	0.17	0.18	0.17	0.03	XXX
86077		A	Physician blood bank service	0.94	0.55	0.51	0.46	0.43	0.05	XXX
86078		A	Physician blood bank service	0.94	0.56	0.52	0.46	0.43	0.05	XXX
86079		A	Physician blood bank service	0.94	0.55	0.53	0.45	0.43	0.05	XXX
86255	26	A	Fluorescent antibody screen	0.37	0.18	0.17	0.18	0.17	0.03	XXX
86256	26	A	Fluorescent antibody titer	0.37	0.18	0.17	0.18	0.17	0.01	XXX
86320	26	A	Serum immunoelectrophoresis	0.37	0.18	0.17	0.18	0.17	0.01	XXX
86325	26	A	Other immunoelectrophoresis	0.37	0.18	0.16	0.18	0.16	0.01	XXX
86327	26	A	Immunoelectrophoresis assay	0.42	0.21	0.20	0.21	0.20	0.03	XXX
86334	26	A	Immunofix e-phoresis serum	0.37	0.18	0.17	0.18	0.17	0.03	XXX
86335	26	A	Immunfix e-phorsis/urine/csf	0.37	0.18	0.17	0.18	0.17	0.03	XXX
86485		C	Skin test candida	0.00	0.00	0.00	0.00	0.00	0.00	XXX
86486		A	Skin test nos antigen	0.00	0.13	0.14	NA	NA	0.01	XXX
86490		A	Coccidioidomycosis skin test	0.00	0.17	0.19	NA	NA	0.01	XXX
86510		A	Histoplasmosis skin test	0.00	0.16	0.19	NA	NA	0.01	XXX
86580		A	TB intradermal test	0.00	0.20	0.21	NA	NA	0.01	XXX
87164	26	A	Dark field examination	0.37	0.18	0.17	0.18	0.17	0.03	XXX
87207	26	A	Smear special stain	0.37	0.19	0.17	0.19	0.17	0.03	XXX
88104		A	Cytopath fl nongyn smears	0.56	1.36	1.35	NA	NA	0.02	XXX

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88104	TC	A	Cytopath fl nongyn smears	0.00	1.11	1.11	NA	NA	0.01	XXX
88104	26	A	Cytopath fl nongyn smears	0.56	0.25	0.24	0.25	0.24	0.01	XXX
88106		A	Cytopath fl nongyn filter	0.56	1.74	1.78	NA	NA	0.02	XXX
88106	TC	A	Cytopath fl nongyn filter	0.00	1.49	1.55	NA	NA	0.01	XXX
88106	26	A	Cytopath fl nongyn filter	0.56	0.25	0.23	0.25	0.23	0.01	XXX
88107		A	Cytopath fl nongyn sm/fltr	0.76	2.07	2.16	NA	NA	0.04	XXX
88107	TC	A	Cytopath fl nongyn sm/fltr	0.00	1.73	1.83	NA	NA	0.01	XXX
88107	26	A	Cytopath fl nongyn sm/fltr	0.76	0.34	0.33	0.34	0.33	0.03	XXX
88108		A	Cytopath concentrate tech	0.56	1.59	1.64	NA	NA	0.02	XXX
88108	TC	A	Cytopath concentrate tech	0.00	1.35	1.41	NA	NA	0.01	XXX
88108	26	A	Cytopath concentrate tech	0.56	0.24	0.23	0.24	0.23	0.01	XXX
88112		A	Cytopath cell enhance tech	1.18	1.68	1.81	NA	NA	0.05	XXX
88112	TC	A	Cytopath cell enhance tech	0.00	1.22	1.36	NA	NA	0.01	XXX
88112	26	A	Cytopath cell enhance tech	1.18	0.46	0.45	0.46	0.45	0.04	XXX
88120		A	Cytp urne 3-5 probes ea spec	1.20	12.23	12.23	NA	NA	0.06	XXX
88120	TC	A	Cytp urne 3-5 probes ea spec	0.00	11.92	11.92	NA	NA	0.03	XXX
88120	26	A	Cytp urne 3-5 probes ea spec	1.20	0.31	0.31	0.31	0.31	0.03	XXX
88121		A	Cytp urine 3-5 probes cmptr	1.00	10.34	10.34	NA	NA	0.04	XXX
88121	TC	A	Cytp urine 3-5 probes cmptr	0.00	10.00	10.00	NA	NA	0.01	XXX
88121	26	A	Cytp urine 3-5 probes cmptr	1.00	0.34	0.34	0.34	0.34	0.03	XXX
88125		A	Forensic cytopathology	0.26	0.36	0.37	NA	NA	0.02	XXX
88125	TC	A	Forensic cytopathology	0.00	0.24	0.25	NA	NA	0.01	XXX
88125	26	A	Forensic cytopathology	0.26	0.12	0.12	0.12	0.12	0.01	XXX
88141		A	Cytopath c/v interpret	0.42	0.42	0.40	0.42	0.40	0.03	XXX
88160		A	Cytopath smear other source	0.50	1.08	1.08	NA	NA	0.02	XXX
88160	TC	A	Cytopath smear other source	0.00	0.86	0.87	NA	NA	0.01	XXX
88160	26	A	Cytopath smear other source	0.50	0.22	0.21	0.22	0.21	0.01	XXX
88161		A	Cytopath smear other source	0.50	1.01	1.08	NA	NA	0.02	XXX
88161	TC	A	Cytopath smear other source	0.00	0.82	0.89	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
88161	26	A	Cytopath smear other source	0.50	0.19	0.19	0.19	0.19	0.01	XXX
88162		A	Cytopath smear other source	0.76	1.39	1.51	NA	NA	0.04	XXX
88162	TC	A	Cytopath smear other source	0.00	1.10	1.21	NA	NA	0.01	XXX
88162	26	A	Cytopath smear other source	0.76	0.29	0.30	0.29	0.30	0.03	XXX
88172		A	Cytp dx eval fna 1st ea site	0.60	0.76	0.87	NA	NA	0.02	XXX
88172	TC	A	Cytp dx eval fna 1st ea site	0.00	0.47	0.60	NA	NA	0.01	XXX
88172	26	A	Cytp dx eval fna 1st ea site	0.60	0.29	0.27	0.29	0.27	0.01	XXX
88173		A	Cytopath eval fna report	1.39	2.56	2.63	NA	NA	0.05	XXX
88173	TC	A	Cytopath eval fna report	0.00	1.95	2.05	NA	NA	0.01	XXX
88173	26	A	Cytopath eval fna report	1.39	0.61	0.58	0.61	0.58	0.04	XXX
88177		A	Cytp c/v auto thin lyr addl	0.42	0.38	0.38	NA	NA	0.02	ZZZ
88177	TC	A	Cytp c/v auto thin lyr addl	0.00	0.18	0.18	NA	NA	0.01	ZZZ
88177	26	A	Cytp c/v auto thin lyr addl	0.42	0.20	0.20	0.20	0.20	0.01	ZZZ
88182		A	Cell marker study	0.77	2.08	2.24	NA	NA	0.06	XXX
88182	TC	A	Cell marker study	0.00	1.86	2.02	NA	NA	0.03	XXX
88182	26	A	Cell marker study	0.77	0.22	0.22	0.22	0.22	0.03	XXX
88184		A	Flowcytometry/ tc 1 marker	0.00	2.30	2.47	NA	NA	0.01	XXX
88185		A	Flowcytometry/tc add-on	0.00	1.40	1.48	NA	NA	0.01	ZZZ
88187		A	Flowcytometry/read 2-8	1.36	0.59	0.55	0.59	0.55	0.08	XXX
88188		A	Flowcytometry/read 9-15	1.69	0.76	0.68	0.76	0.68	0.10	XXX
88189		A	Flowcytometry/read 16 & >	2.23	0.71	0.69	0.71	0.69	0.12	XXX
88199		C	Cytopathology procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
88199	TC	C	Cytopathology procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
88199	26	C	Cytopathology procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
88291		A	Cyto/molecular report	0.52	0.31	0.31	0.31	0.31	0.03	XXX
88299		C	Cytogenetic study	0.00	0.00	0.00	0.00	0.00	0.00	XXX
88300		A	Surgical path gross	0.08	0.71	0.69	NA	NA	0.02	XXX
88300	TC	A	Surgical path gross	0.00	0.67	0.65	NA	NA	0.01	XXX
88300	26	A	Surgical path gross	0.08	0.04	0.04	0.04	0.04	0.01	XXX

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88302		A	Tissue exam by pathologist	0.13	1.41	1.43	NA	NA	0.02	XXX
88302	TC	A	Tissue exam by pathologist	0.00	1.35	1.38	NA	NA	0.01	XXX
88302	26	A	Tissue exam by pathologist	0.13	0.06	0.05	0.06	0.05	0.01	XXX
88304		A	Tissue exam by pathologist	0.22	1.44	1.61	NA	NA	0.02	XXX
88304	TC	A	Tissue exam by pathologist	0.00	1.34	1.52	NA	NA	0.01	XXX
88304	26	A	Tissue exam by pathologist	0.22	0.10	0.09	0.10	0.09	0.01	XXX
88305		A	Tissue exam by pathologist	0.75	2.19	2.36	NA	NA	0.02	XXX
88305	TC	A	Tissue exam by pathologist	0.00	1.87	2.05	NA	NA	0.01	XXX
88305	26	A	Tissue exam by pathologist	0.75	0.32	0.31	0.32	0.31	0.01	XXX
88307		A	Tissue exam by pathologist	1.59	5.10	5.04	NA	NA	0.05	XXX
88307	TC	A	Tissue exam by pathologist	0.00	4.35	4.33	NA	NA	0.01	XXX
88307	26	A	Tissue exam by pathologist	1.59	0.75	0.71	0.75	0.71	0.04	XXX
88309		A	Tissue exam by pathologist	2.80	7.39	7.22	NA	NA	0.11	XXX
88309	TC	A	Tissue exam by pathologist	0.00	6.05	6.00	NA	NA	0.03	XXX
88309	26	A	Tissue exam by pathologist	2.80	1.34	1.22	1.34	1.22	0.08	XXX
88311		A	Decalcify tissue	0.24	0.30	0.29	NA	NA	0.02	XXX
88311	TC	A	Decalcify tissue	0.00	0.19	0.19	NA	NA	0.01	XXX
88311	26	A	Decalcify tissue	0.24	0.11	0.10	0.11	0.10	0.01	XXX
88312		A	Special stains group 1	0.54	2.54	2.59	NA	NA	0.02	XXX
88312	TC	A	Special stains group 1	0.00	2.32	2.37	NA	NA	0.01	XXX
88312	26	A	Special stains group 1	0.54	0.22	0.22	0.22	0.22	0.01	XXX
88313		A	Special stains group 2	0.24	1.97	2.04	NA	NA	0.02	XXX
88313	TC	A	Special stains group 2	0.00	1.87	1.95	NA	NA	0.01	XXX
88313	26	A	Special stains group 2	0.24	0.10	0.09	0.10	0.09	0.01	XXX
88314		A	Histochemical stains add-on	0.45	2.00	2.19	NA	NA	0.02	XXX
88314	TC	A	Histochemical stains add-on	0.00	1.79	1.99	NA	NA	0.01	XXX
88314	26	A	Histochemical stains add-on	0.45	0.21	0.20	0.21	0.20	0.01	XXX
88318		A	Chemical histochemistry	0.42	3.20	3.00	NA	NA	0.02	XXX
88318	TC	A	Chemical histochemistry	0.00	3.01	2.82	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
88318	26	A	Chemical histochemistry	0.42	0.19	0.18	0.19	0.18	0.01	XXX
88319		A	Enzyme histochemistry	0.53	3.58	3.76	NA	NA	0.04	XXX
88319	TC	A	Enzyme histochemistry	0.00	3.34	3.54	NA	NA	0.01	XXX
88319	26	A	Enzyme histochemistry	0.53	0.24	0.22	0.24	0.22	0.03	XXX
88321		A	Microslide consultation	1.63	0.97	0.94	0.72	0.67	0.10	XXX
88323		A	Microslide consultation	1.83	2.19	2.33	NA	NA	0.05	XXX
88323	TC	A	Microslide consultation	0.00	1.58	1.73	NA	NA	0.01	XXX
88323	26	A	Microslide consultation	1.83	0.61	0.60	0.61	0.60	0.04	XXX
88325		A	Comprehensive review of data	2.50	3.29	3.27	1.28	1.15	0.12	XXX
88329		A	Path consult introp	0.67	0.84	0.83	0.32	0.30	0.04	XXX
88331		A	Path consult intraop 1 bloc	1.19	1.52	1.49	NA	NA	0.02	XXX
88331	TC	A	Path consult intraop 1 bloc	0.00	0.93	0.94	NA	NA	0.01	XXX
88331	26	A	Path consult intraop 1 bloc	1.19	0.59	0.55	0.59	0.55	0.01	XXX
88332		A	Path consult intraop addl	0.59	0.59	0.58	NA	NA	0.02	XXX
88332	TC	A	Path consult intraop addl	0.00	0.31	0.32	NA	NA	0.01	XXX
88332	26	A	Path consult intraop addl	0.59	0.28	0.26	0.28	0.26	0.01	XXX
88333		A	Intraop cyto path consult 1	1.20	1.61	1.57	NA	NA	0.05	XXX
88333	TC	A	Intraop cyto path consult 1	0.00	1.04	1.03	NA	NA	0.01	XXX
88333	26	A	Intraop cyto path consult 1	1.20	0.57	0.54	0.57	0.54	0.04	XXX
88334		A	Intraop cyto path consult 2	0.73	1.00	0.97	NA	NA	0.04	XXX
88334	TC	A	Intraop cyto path consult 2	0.00	0.65	0.64	NA	NA	0.01	XXX
88334	26	A	Intraop cyto path consult 2	0.73	0.35	0.33	0.35	0.33	0.03	XXX
88342		A	Immunohistochemistry	0.85	2.12	2.18	NA	NA	0.04	XXX
88342	TC	A	Immunohistochemistry	0.00	1.78	1.85	NA	NA	0.01	XXX
88342	26	A	Immunohistochemistry	0.85	0.34	0.33	0.34	0.33	0.03	XXX
88346		A	Immunofluorescent study	0.86	2.04	2.13	NA	NA	0.02	XXX
88346	TC	A	Immunofluorescent study	0.00	1.68	1.79	NA	NA	0.01	XXX
88346	26	A	Immunofluorescent study	0.86	0.36	0.34	0.36	0.34	0.01	XXX
88347		A	Immunofluorescent study	0.86	1.27	1.41	NA	NA	0.02	XXX
88347	TC	A	Immunofluorescent study	0.00	1.03	1.15	NA	NA	0.01	XXX
88347	26	A	Immunofluorescent study	0.86	0.24	0.26	0.24	0.26	0.01	XXX
88348		A	Electron microscopy	1.51	17.98	18.50	NA	NA	0.12	XXX
88348	TC	A	Electron microscopy	0.00	17.36	17.90	NA	NA	0.08	XXX
88348	26	A	Electron microscopy	1.51	0.62	0.60	0.62	0.60	0.04	XXX
88349		A	Scanning electron microscopy	0.76	10.57	9.79	NA	NA	0.07	XXX
88349	TC	A	Scanning electron microscopy	0.00	10.19	9.44	NA	NA	0.04	XXX
88349	26	A	Scanning electron microscopy	0.76	0.38	0.35	0.38	0.35	0.03	XXX
88355		A	Analysis skeletal muscle	1.85	3.23	4.41	NA	NA	0.06	XXX
88355	TC	A	Analysis skeletal muscle	0.00	2.69	3.84	NA	NA	0.01	XXX
88355	26	A	Analysis skeletal muscle	1.85	0.54	0.57	0.54	0.57	0.05	XXX
88356		A	Analysis nerve	3.02	4.51	5.16	NA	NA	0.18	XXX
88356	TC	A	Analysis nerve	0.00	3.94	4.45	NA	NA	0.04	XXX
88356	26	A	Analysis nerve	3.02	0.57	0.71	0.57	0.71	0.14	XXX
88358		A	Analysis tumor	0.95	1.16	1.21	NA	NA	0.04	XXX
88358	TC	A	Analysis tumor	0.00	0.91	0.95	NA	NA	0.01	XXX
88358	26	A	Analysis tumor	0.95	0.25	0.26	0.25	0.26	0.03	XXX
88360		A	Tumor immunohistochem/manual	1.10	2.41	2.50	NA	NA	0.04	XXX
88360	TC	A	Tumor immunohistochem/manual	0.00	1.99	2.09	NA	NA	0.01	XXX
88360	26	A	Tumor immunohistochem/manual	1.10	0.42	0.41	0.42	0.41	0.03	XXX
88361		A	Tumor immunohistochem/comput	1.18	3.03	3.25	NA	NA	0.05	XXX
88361	TC	A	Tumor immunohistochem/comput	0.00	2.59	2.82	NA	NA	0.01	XXX
88361	26	A	Tumor immunohistochem/comput	1.18	0.44	0.43	0.44	0.43	0.04	XXX
88362		A	Nerve teasing preparations	2.17	6.07	6.03	NA	NA	0.14	XXX
88362	TC	A	Nerve teasing preparations	0.00	5.13	5.14	NA	NA	0.04	XXX
88362	26	A	Nerve teasing preparations	2.17	0.94	0.89	0.94	0.89	0.10	XXX
88363		A	Xm archive tissue molec anal	0.37	0.73	0.73	0.10	0.10	0.03	XXX
88365		A	Insitu hybridization (fish)	1.20	3.58	3.65	NA	NA	0.04	XXX
88365	TC	A	Insitu hybridization (fish)	0.00	3.11	3.19	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
88365	26	A	Insitu hybridization (fish)	1.20	0.47	0.46	0.47	0.46	0.03	XXX
88367		A	Insitu hybridization auto	1.30	6.08	6.21	NA	NA	0.06	XXX
88367	TC	A	Insitu hybridization auto	0.00	5.63	5.77	NA	NA	0.01	XXX
88367	26	A	Insitu hybridization auto	1.30	0.45	0.44	0.45	0.44	0.05	XXX
88368		A	Insitu hybridization manual	1.40	4.83	5.04	NA	NA	0.05	XXX
88368	TC	A	Insitu hybridization manual	0.00	4.47	4.65	NA	NA	0.01	XXX
88368	26	A	Insitu hybridization manual	1.40	0.36	0.39	0.36	0.39	0.04	XXX
88371	26	A	Protein western blot tissue	0.37	0.18	0.16	0.18	0.16	0.03	XXX
88372	26	A	Protein analysis w/probe	0.37	0.18	0.17	0.18	0.17	0.03	XXX
88380		A	Microdissection laser	1.56	2.61	3.56	NA	NA	0.05	XXX
88380	TC	A	Microdissection laser	0.00	2.16	3.03	NA	NA	0.01	XXX
88380	26	A	Microdissection laser	1.56	0.45	0.53	0.45	0.53	0.04	XXX
88381		A	Microdissection manual	1.18	2.81	4.22	NA	NA	0.04	XXX
88381	TC	A	Microdissection manual	0.00	2.56	3.86	NA	NA	0.01	XXX
88381	26	A	Microdissection manual	1.18	0.25	0.36	0.25	0.36	0.03	XXX
88384		C	Eval molecular probes 11-50	0.00	0.00	0.00	NA	NA	0.00	XXX
88384	TC	C	Eval molecular probes 11-50	0.00	0.00	0.00	NA	NA	0.00	XXX
88384	26	C	Eval molecular probes 11-50	0.00	0.00	0.00	0.00	0.00	0.00	XXX
88385		A	Eval molecul probes 51-250	1.50	15.16	15.41	NA	NA	0.07	XXX
88385	TC	A	Eval molecul probes 51-250	0.00	14.78	15.01	NA	NA	0.03	XXX
88385	26	A	Eval molecul probes 51-250	1.50	0.38	0.40	0.38	0.40	0.04	XXX
88386		A	Eval molecul probes 251-500	1.88	13.93	16.97	NA	NA	0.08	XXX
88386	TC	A	Eval molecul probes 251-500	0.00	13.58	16.36	NA	NA	0.03	XXX
88386	26	A	Eval molecul probes 251-500	1.88	0.35	0.61	0.35	0.61	0.05	XXX
88387		A	Tiss exam molecular study	0.62	0.55	0.55	NA	NA	0.02	XXX
88387	TC	A	Tiss exam molecular study	0.00	0.25	0.25	NA	NA	0.01	XXX
88387	26	A	Tiss exam molecular study	0.62	0.30	0.30	0.30	0.30	0.01	XXX
88388		A	Tiss ex molecul study add-on	0.45	0.22	0.22	NA	NA	0.02	XXX
88388	TC	A	Tiss ex molecul study add-on	0.00	0.12	0.12	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
88388	26	A	Tiss ex molecul study add-on	0.45	0.10	0.10	0.10	0.10	0.01	XXX
88399		C	Surgical pathology procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
88399	TC	C	Surgical pathology procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
88399	26	C	Surgical pathology procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
89049		A	Chct for mal hyperthermia	1.40	5.83	6.03	0.40	0.46	0.10	XXX
89060	26	A	Exam synovial fluid crystals	0.37	0.18	0.17	0.18	0.17	0.03	XXX
89220		A	Sputum specimen collection	0.00	0.44	0.46	NA	NA	0.01	XXX
89230		A	Collect sweat for test	0.00	0.06	0.08	NA	NA	0.01	XXX
89240		C	Pathology lab procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90281		I	Human ig im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90283		I	Human ig iv	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90284		X	Human ig sc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90287		I	Botulinum antitoxin	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90288		I	Botulism ig iv	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90291		I	Cmv ig iv	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90296		E	Diphtheria antitoxin	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90371		E	Hep b ig im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90375		E	Rabies ig im/sc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90376		E	Rabies ig heat treated	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90378		X	Rsv mab im 50mg	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90384		I	Rh ig full-dose im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90385		E	Rh ig minidose im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90386		I	Rh ig iv	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90389		I	Tetanus ig im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90393		E	Vaccina ig im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90396		E	Varicella-zoster ig im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90399		I	Immune globulin	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90460		A	Imadm any route 1st vac/tox	0.17	0.51	0.51	NA	NA	0.01	XXX
90461		A	Inadm any route addl vac/tox	0.15	0.20	0.18	NA	NA	0.01	ZZZ

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90470		I	Immune admin H1N1 im/nasal	0.20	0.40	0.40	NA	NA	0.01	XXX
90471		A	Immunization admin	0.17	0.51	0.50	NA	NA	0.01	XXX
90472		A	Immunization admin each add	0.15	0.20	0.18	NA	NA	0.01	ZZZ
90473		R	Immune admin oral/nasal	0.17	0.51	0.50	NA	NA	0.01	XXX
90474		R	Immune admin oral/nasal addl	0.15	0.20	0.18	NA	NA	0.01	ZZZ
90476		E	Adenovirus vaccine type 4	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90477		E	Adenovirus vaccine type 7	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90581		E	Anthrax vaccine sc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90585		E	Bcg vaccine percut	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90586		E	Bcg vaccine intravesical	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90632		E	Hep a vaccine adult im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90633		E	Hep a vacc ped/adol 2 dose	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90634		E	Hep a vacc ped/adol 3 dose	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90636		E	Hep a/hep b vacc adult im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90644		X	Meningoccl hib vac 4 dose im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90645		E	Hib vaccine hboc im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90646		E	Hib vaccine prp-d im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90647		E	Hib vaccine prp-omp im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90648		E	Hib vaccine prp-t im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90649		E	Hpv vaccine 4 valent im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90650		E	Hpv vaccine 2 valent im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90654		X	Flu vaccine no preserv, ID	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90655		X	Flu vaccine no preserv 6-35m	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90656		X	Flu vaccine no preserv 3 & >	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90657		X	Flu vaccine 3 yrs im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90658		I	Flu vaccine 3 yrs & > im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90660		X	Flu vaccine nasal	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90661		X	Flu vacc cell cult prsv free	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90662		X	Flu vacc prsv free inc antig	0.00	0.00	0.00	0.00	0.00	0.00	XXX

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90663		X	Flu vacc pandemic H1N1	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90664		X	Flu vacc pandemic intranasal	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90665		E	Lyme disease vaccine im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90666		X	Flu vac pandem prsrv free im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90667		X	Flu vac pandemic adjuvant im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90668		X	Flu vac pandemic splt im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90669		X	Pneumococcal vacc 7 val im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90670		X	Pneumococcal vacc 13 val im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90675		E	Rabies vaccine im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90676		E	Rabies vaccine id	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90680		E	Rotovirus vacc 3 dose oral	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90681		E	Rotavirus vacc 2 dose oral	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90690		E	Typhoid vaccine oral	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90691		E	Typhoid vaccine im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90692		E	Typhoid vaccine h-p sc/id	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90693		E	Typhoid vaccine akd sc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90696		E	Dtap-ipv vacc 4-6 yr im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90698		E	Dtap-hib-ip vaccine im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90700		E	Dtap vaccine < 7 yrs im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90701		E	Dtp vaccine im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90702		E	Dt vaccine < 7 im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90703		E	Tetanus vaccine im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90704		E	Mumps vaccine sc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90705		E	Measles vaccine sc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90706		E	Rubella vaccine sc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90707		E	Mmr vaccine sc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90708		E	Measles-rubella vaccine sc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90710		E	Mmrv vaccine sc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90712		E	Oral poliovirus vaccine	0.00	0.00	0.00	0.00	0.00	0.00	XXX

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90713		E	Poliovirus ipv sc/im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90714		E	Td vaccine no prsrv >/= 7 im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90715		E	Tdap vaccine >7 im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90716		E	Chicken pox vaccine sc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90717		E	Yellow fever vaccine sc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90718		E	Td vaccine > 7 im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90719		E	Diphtheria vaccine im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90720		E	Dtp/hib vaccine im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90721		E	Dtap/hib vaccine im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90723		I	Dtap-hep b-ipv vaccine im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90725		E	Cholera vaccine injectable	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90727		E	Plague vaccine im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90732		X	Pneumococcal vaccine	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90733		E	Meningococcal vaccine sc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90734		E	Meningococcal vaccine im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90735		E	Encephalitis vaccine sc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90736		E	Zoster vacc sc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90738		I	Inactivated je vacc im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90740		X	Hepb vacc ill pat 3 dose im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90743		X	Hep b vacc adol 2 dose im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90744		X	Hepb vacc ped/adol 3 dose im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90746		X	Hep b vaccine adult im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90747		X	Hepb vacc ill pat 4 dose im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90748		I	Hep b/hib vaccine im	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90749		E	Vaccine toxoid	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90801		A	Psy dx interview	2.80	1.56	1.63	0.60	0.72	0.11	XXX
90802		A	Intac psy dx interview	3.01	1.83	1.80	0.78	0.84	0.12	XXX
90804		A	Psytx office 20-30 min	1.21	0.57	0.61	0.18	0.25	0.04	XXX
90805		A	Psytx off 20-30 min w/e&m	1.37	0.70	0.70	0.28	0.32	0.05	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
90806		A	Psytx off 45-50 min	1.86	0.46	0.58	0.25	0.37	0.07	XXX
90807		A	Psytx off 45-50 min w/e&m	2.02	0.83	0.85	0.42	0.47	0.08	XXX
90808		A	Psytx office 75-80 min	2.79	0.64	0.79	0.43	0.59	0.10	XXX
90809		A	Psytx off 75-80 w/e&m	2.95	1.03	1.07	0.64	0.71	0.12	XXX
90810		A	Intac psytx off 20-30 min	1.32	0.48	0.56	0.21	0.27	0.05	XXX
90811		A	Intac psytx 20-30 w/e&m	1.48	0.87	0.85	0.32	0.36	0.07	XXX
90812		A	Intac psytx off 45-50 min	1.97	0.57	0.70	0.26	0.39	0.07	XXX
90813		A	Intac psytx 45-50 min w/e&m	2.13	0.97	0.99	0.43	0.50	0.08	XXX
90814		A	Intac psytx off 75-80 min	2.90	0.74	0.94	0.38	0.62	0.11	XXX
90815		A	Intac psytx 75-80 w/e&m	3.06	1.36	1.30	0.82	0.82	0.14	XXX
90816		A	Psytx hosp 20-30 min	1.25	0.26	0.26	0.26	0.35	0.04	XXX
90817		A	Psytx hosp 20-30 min w/e&m	1.41	0.41	0.41	0.41	0.44	0.05	XXX
90818		A	Psytx hosp 45-50 min	1.89	0.34	0.34	0.34	0.48	0.07	XXX
90819		A	Psytx hosp 45-50 min w/e&m	2.05	0.55	0.55	0.55	0.60	0.08	XXX
90821		A	Psytx hosp 75-80 min	2.83	0.48	0.48	0.48	0.68	0.10	XXX
90822		A	Psytx hosp 75-80 min w/e&m	2.99	0.74	0.74	0.74	0.81	0.12	XXX
90823		A	Intac psytx hosp 20-30 min	1.36	0.29	0.29	0.29	0.38	0.04	XXX
90824		A	Intac psytx hsp 20-30 w/e&m	1.52	0.43	0.43	0.43	0.47	0.07	XXX
90826		A	Intac psytx hosp 45-50 min	2.01	0.38	0.38	0.38	0.51	0.07	XXX
90827		A	Intac psytx hsp 45-50 w/e&m	2.16	0.56	0.56	0.56	0.61	0.08	XXX
90828		A	Intac psytx hosp 75-80 min	2.94	0.51	0.51	0.51	0.71	0.10	XXX
90829		A	Intac psytx hsp 75-80 w/e&m	3.10	0.75	0.75	0.75	0.83	0.12	XXX
90845		A	Psychoanalysis	1.79	0.43	0.48	0.36	0.42	0.07	XXX
90846		R	Family psytx w/o patient	1.83	0.49	0.58	0.40	0.50	0.07	XXX
90847		R	Family psytx w/patient	2.21	0.70	0.81	0.44	0.57	0.08	XXX
90849		R	Multiple family group psytx	0.59	0.39	0.38	0.22	0.25	0.03	XXX
90853		A	Group psychotherapy	0.59	0.33	0.32	0.25	0.25	0.03	XXX
90857		A	Intac group psytx	0.63	0.45	0.43	0.30	0.29	0.03	XXX
90862		A	Medication management	0.95	0.73	0.71	0.32	0.33	0.04	XXX

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90865		A	Narcosynthesis	2.84	1.77	1.70	0.70	0.80	0.11	XXX
90867		C	Tcranial magn stim tx plan	0.00	0.00	0.00	0.00	0.00	0.00	YYY
90868		C	Tcranial magn stim tx deli	0.00	0.00	0.00	0.00	0.00	0.00	YYY
90870		A	Electroconvulsive therapy	2.50	2.33	2.31	0.58	0.56	0.11	000
90875		N	Psychophysiological therapy	1.20	0.84	0.87	0.53	0.52	0.08	XXX
90876		N	Psychophysiological therapy	1.90	1.13	1.16	0.84	0.82	0.12	XXX
90880		A	Hypnotherapy	2.19	0.53	0.69	0.36	0.47	0.08	XXX
90882		N	Environmental manipulation	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90885		B	Psy evaluation of records	0.97	0.43	0.42	0.43	0.42	0.07	XXX
90887		B	Consultation with family	1.48	0.99	0.99	0.65	0.64	0.10	XXX
90889		B	Preparation of report	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90899		C	Psychiatric service/therapy	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90901		A	Biofeedback train any meth	0.41	0.70	0.66	0.19	0.17	0.01	000
90911		A	Biofeedback peri/uro/rectal	0.89	1.47	1.61	0.37	0.38	0.07	000
90935		A	Hemodialysis one evaluation	1.48	NA	NA	0.57	0.64	0.08	000
90937		A	Hemodialysis repeated eval	2.11	NA	NA	0.82	0.93	0.11	000
90940		X	Hemodialysis access study	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90945		A	Dialysis one evaluation	1.56	NA	NA	0.86	0.79	0.08	000
90947		A	Dialysis repeated eval	2.52	NA	NA	0.97	1.01	0.15	000
90951		A	Esrd serv 4 visits p mo <2	18.46	8.04	8.68	8.04	8.68	1.02	XXX
90952		C	Esrd serv 2-3 vsts p mo <2	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90953		C	Esrd serv 1 visit p mo <2	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90954		A	Esrd serv 4 vsts p mo 2-11	15.98	6.95	6.75	6.95	6.75	0.90	XXX
90955		A	Esrd srv 2-3 vsts p mo 2-11	8.79	4.09	4.07	4.09	4.07	0.49	XXX
90956		A	Esrd srv 1 visit p mo 2-11	5.95	2.99	2.87	2.99	2.87	0.34	XXX
90957		A	Esrd srv 4 vsts p mo 12-19	12.52	5.62	5.65	5.62	5.65	0.72	XXX
90958		A	Esrd srv 2-3 vsts p mo 12-19	8.34	3.99	3.98	3.99	3.98	0.48	XXX
90959		A	Esrd serv 1 vst p mo 12-19	5.50	2.84	2.71	2.84	2.71	0.31	XXX
90960		A	Esrd srv 4 visits p mo 20+	5.18	2.81	2.91	2.81	2.91	0.31	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
90961		A	Esrd srv 2-3 vsts p mo 20+	4.26	2.45	2.39	2.45	2.39	0.26	XXX
90962		A	Esrd serv 1 visit p mo 20+	3.15	2.02	1.82	2.02	1.82	0.20	XXX
90963		A	Esrd home pt serv p mo <2	10.56	4.78	4.93	4.78	4.93	0.60	XXX
90964		A	Esrd home pt serv p mo 2-11	9.14	4.23	4.01	4.23	4.01	0.52	XXX
90965		A	Esrd home pt serv p mo 12-19	8.69	4.06	3.85	4.06	3.85	0.49	XXX
90966		A	Esrd home pt serv p mo 20+	4.26	2.44	2.35	2.44	2.35	0.26	XXX
90967		A	Esrd home pt serv p day <2	0.35	0.16	0.19	0.16	0.19	0.01	XXX
90968		A	Esrd home pt srv p day 2-11	0.30	0.14	0.14	0.14	0.14	0.01	XXX
90969		A	Esrd home pt srv p day 12-19	0.29	0.14	0.14	0.14	0.14	0.01	XXX
90970		A	Esrd home pt serv p day 20+	0.14	0.08	0.08	0.08	0.08	0.01	XXX
90989		X	Dialysis training complete	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90993		X	Dialysis training incompl	0.00	0.00	0.00	0.00	0.00	0.00	XXX
90997		A	Hemoperfusion	1.84	NA	NA	0.69	0.69	0.10	000
90999		C	Dialysis procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
91010		A	Esophagus motility study	1.28	3.73	4.18	NA	NA	0.08	000
91010	TC	A	Esophagus motility study	0.00	3.04	3.50	NA	NA	0.01	000
91010	26	A	Esophagus motility study	1.28	0.69	0.68	0.69	0.68	0.07	000
91013		A	Esophgl motil w/stim/perfus	0.18	0.48	0.48	NA	NA	0.02	ZZZ
91013	TC	A	Esophgl motil w/stim/perfus	0.00	0.38	0.38	NA	NA	0.01	ZZZ
91013	26	A	Esophgl motil w/stim/perfus	0.18	0.10	0.10	0.10	0.10	0.01	ZZZ
91020		A	Gastric motility studies	1.44	5.25	5.49	NA	NA	0.08	000
91020	TC	A	Gastric motility studies	0.00	4.47	4.72	NA	NA	0.01	000
91020	26	A	Gastric motility studies	1.44	0.78	0.77	0.78	0.77	0.07	000
91022		A	Duodenal motility study	1.44	3.47	3.92	NA	NA	0.06	000
91022	TC	A	Duodenal motility study	0.00	2.66	3.10	NA	NA	0.01	000
91022	26	A	Duodenal motility study	1.44	0.81	0.82	0.81	0.82	0.05	000
91030		A	Acid perfusion of esophagus	0.91	3.02	3.20	NA	NA	0.05	000
91030	TC	A	Acid perfusion of esophagus	0.00	2.51	2.69	NA	NA	0.01	000
91030	26	A	Acid perfusion of esophagus	0.91	0.51	0.51	0.51	0.51	0.04	000

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
91034		A	Gastroesophageal reflux test	0.97	4.40	4.83	NA	NA	0.05	000
91034	TC	A	Gastroesophageal reflux test	0.00	3.87	4.31	NA	NA	0.01	000
91034	26	A	Gastroesophageal reflux test	0.97	0.53	0.52	0.53	0.52	0.04	000
91035		A	G-esoph reflx tst w/electrod	1.59	12.03	12.71	NA	NA	0.08	000
91035	TC	A	G-esoph reflx tst w/electrod	0.00	11.17	11.86	NA	NA	0.01	000
91035	26	A	G-esoph reflx tst w/electrod	1.59	0.86	0.85	0.86	0.85	0.07	000
91037		A	Esoph imped function test	0.97	3.60	3.78	NA	NA	0.08	000
91037	TC	A	Esoph imped function test	0.00	3.07	3.25	NA	NA	0.01	000
91037	26	A	Esoph imped function test	0.97	0.53	0.53	0.53	0.53	0.07	000
91038		A	Esoph imped funct test > 1h	1.10	12.01	7.67	NA	NA	0.06	000
91038	TC	A	Esoph imped funct test > 1h	0.00	11.41	7.07	NA	NA	0.01	000
91038	26	A	Esoph imped funct test > 1h	1.10	0.60	0.60	0.60	0.60	0.05	000
91040		A	Esoph balloon distension tst	0.97	7.09	9.14	NA	NA	0.04	000
91040	TC	A	Esoph balloon distension tst	0.00	6.71	8.66	NA	NA	0.01	000
91040	26	A	Esoph balloon distension tst	0.97	0.38	0.48	0.38	0.48	0.03	000
91065		A	Breath hydrogen test	0.20	2.36	2.13	NA	NA	0.02	000
91065	TC	A	Breath hydrogen test	0.00	2.25	2.03	NA	NA	0.01	000
91065	26	A	Breath hydrogen test	0.20	0.11	0.10	0.11	0.10	0.01	000
91110		A	Gi tract capsule endoscopy	3.64	21.63	23.39	NA	NA	0.17	XXX
91110	TC	A	Gi tract capsule endoscopy	0.00	19.61	21.38	NA	NA	0.01	XXX
91110	26	A	Gi tract capsule endoscopy	3.64	2.02	2.01	2.02	2.01	0.16	XXX
91111		A	Esophageal capsule endoscopy	1.00	19.46	20.74	NA	NA	0.05	XXX
91111	TC	A	Esophageal capsule endoscopy	0.00	18.91	20.17	NA	NA	0.01	XXX
91111	26	A	Esophageal capsule endoscopy	1.00	0.55	0.57	0.55	0.57	0.04	XXX
91117		A	Colon motility 6 hr study	2.45	1.37	1.37	1.64	1.64	0.38	000
91120		A	Rectal sensation test	0.97	9.11	10.32	NA	NA	0.09	XXX
91120	TC	A	Rectal sensation test	0.00	8.66	9.90	NA	NA	0.01	XXX
91120	26	A	Rectal sensation test	0.97	0.45	0.42	0.45	0.42	0.08	XXX
91122		A	Anal pressure record	1.77	4.46	4.87	NA	NA	0.11	000

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91122	TC	A	Anal pressure record	0.00	3.67	4.10	NA	NA	0.01	000
91122	26	A	Anal pressure record	1.77	0.79	0.77	0.79	0.77	0.10	000
91132		A	Electrogastrography	0.52	3.61	3.62	NA	NA	0.04	XXX
91132	TC	A	Electrogastrography	0.00	3.34	3.34	NA	NA	0.01	XXX
91132	26	A	Electrogastrography	0.52	0.27	0.28	0.27	0.28	0.03	XXX
91133		A	Electrogastrography w/test	0.66	4.39	4.40	NA	NA	0.05	XXX
91133	TC	A	Electrogastrography w/test	0.00	4.02	4.02	NA	NA	0.01	XXX
91133	26	A	Electrogastrography w/test	0.66	0.37	0.38	0.37	0.38	0.04	XXX
91299		C	Gastroenterology procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
91299	TC	C	Gastroenterology procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
91299	26	C	Gastroenterology procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92002		A	Eye exam new patient	0.88	1.37	1.28	0.49	0.43	0.07	XXX
92004		A	Eye exam new patient	1.82	2.37	2.20	1.05	0.92	0.12	XXX
92012		A	Eye exam established pat	0.92	1.48	1.37	0.60	0.51	0.07	XXX
92014		A	Eye exam & treatment	1.42	2.07	1.90	0.89	0.76	0.10	XXX
92015		N	Refraction	0.38	0.18	0.38	0.17	0.16	0.03	XXX
92018		A	New eye exam & treatment	2.50	NA	NA	1.66	1.43	0.16	XXX
92019		A	Eye exam & treatment	1.31	NA	NA	0.67	0.60	0.07	XXX
92020		A	Special eye evaluation	0.37	0.40	0.37	0.24	0.21	0.03	XXX
92025		A	Corneal topography	0.35	0.71	0.66	NA	NA	0.02	XXX
92025	TC	A	Corneal topography	0.00	0.48	0.46	NA	NA	0.01	XXX
92025	26	A	Corneal topography	0.35	0.23	0.20	0.23	0.20	0.01	XXX
92060		A	Special eye evaluation	0.69	1.14	1.05	NA	NA	0.04	XXX
92060	TC	A	Special eye evaluation	0.00	0.71	0.67	NA	NA	0.01	XXX
92060	26	A	Special eye evaluation	0.69	0.43	0.38	0.43	0.38	0.03	XXX
92065		A	Orthoptic/pleoptic training	0.37	1.13	1.05	NA	NA	0.02	XXX
92065	TC	A	Orthoptic/pleoptic training	0.00	0.98	0.91	NA	NA	0.01	XXX
92065	26	A	Orthoptic/pleoptic training	0.37	0.15	0.14	0.15	0.14	0.01	XXX
92070		A	Fitting of contact lens	0.70	1.30	1.24	0.43	0.37	0.04	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
92081		A	Visual field examination(s)	0.30	1.03	1.10	NA	NA	0.04	XXX
92081	TC	A	Visual field examination(s)	0.00	0.86	0.93	NA	NA	0.01	XXX
92081	26	A	Visual field examination(s)	0.30	0.17	0.17	0.17	0.17	0.03	XXX
92082		A	Visual field examination(s)	0.40	1.51	1.55	NA	NA	0.05	XXX
92082	TC	A	Visual field examination(s)	0.00	1.28	1.34	NA	NA	0.01	XXX
92082	26	A	Visual field examination(s)	0.40	0.23	0.21	0.23	0.21	0.04	XXX
92083		A	Visual field examination(s)	0.50	2.06	1.95	NA	NA	0.04	XXX
92083	TC	A	Visual field examination(s)	0.00	1.74	1.67	NA	NA	0.01	XXX
92083	26	A	Visual field examination(s)	0.50	0.32	0.28	0.32	0.28	0.03	XXX
92100		A	Serial tonometry exam(s)	0.92	1.82	1.71	0.55	0.47	0.05	XXX
92120		A	Tonography & eye evaluation	0.81	1.40	1.32	0.47	0.41	0.05	XXX
92130		A	Water provocation tonography	0.81	1.67	1.57	0.51	0.45	0.04	XXX
92132		A	Cmptr ophth dx img ant segmt	0.35	0.68	0.68	NA	NA	0.04	XXX
92132	TC	A	Cmptr ophth dx img ant segmt	0.00	0.44	0.44	NA	NA	0.01	XXX
92132	26	A	Cmptr ophth dx img ant segmt	0.35	0.24	0.24	0.24	0.24	0.03	XXX
92133		A	Cmptr ophth img optic nerve	0.50	0.77	0.77	NA	NA	0.04	XXX
92133	TC	A	Cmptr ophth img optic nerve	0.00	0.44	0.44	NA	NA	0.01	XXX
92133	26	A	Cmptr ophth img optic nerve	0.50	0.33	0.33	0.33	0.33	0.03	XXX
92134		A	Cptr ophth dx img post segmt	0.50	0.77	0.77	NA	NA	0.04	XXX
92134	TC	A	Cptr ophth dx img post segmt	0.00	0.44	0.44	NA	NA	0.01	XXX
92134	26	A	Cptr ophth dx img post segmt	0.50	0.33	0.33	0.33	0.33	0.03	XXX
92136		A	Ophthalmic biometry	0.54	1.96	1.88	NA	NA	0.02	XXX
92136	TC	A	Ophthalmic biometry	0.00	1.58	1.56	NA	NA	0.01	XXX
92136	26	A	Ophthalmic biometry	0.54	0.38	0.32	0.38	0.32	0.01	XXX
92140		A	Glaucoma provocative tests	0.50	1.26	1.20	0.28	0.24	0.03	XXX
92225		A	Special eye exam initial	0.38	0.39	0.34	0.24	0.21	0.03	XXX
92226		A	Special eye exam subsequent	0.33	0.38	0.33	0.23	0.19	0.01	XXX
92227		A	Remote dx retinal imaging	0.00	0.33	0.33	NA	NA	0.01	XXX
92228		A	Remote retinal imaging mgmt	0.30	0.56	0.56	NA	NA	0.02	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
92228	TC	A	Remote retinal imaging mgmt	0.00	0.36	0.36	NA	NA	0.01	XXX
92228	26	A	Remote retinal imaging mgmt	0.30	0.20	0.20	0.20	0.20	0.01	XXX
92230		A	Eye exam with photos	0.60	1.01	1.06	0.36	0.31	0.04	XXX
92235		A	Eye exam with photos	0.81	3.10	3.00	NA	NA	0.04	XXX
92235	TC	A	Eye exam with photos	0.00	2.53	2.51	NA	NA	0.01	XXX
92235	26	A	Eye exam with photos	0.81	0.57	0.49	0.57	0.49	0.03	XXX
92240		A	Icg angiography	1.10	5.89	5.90	NA	NA	0.04	XXX
92240	TC	A	Icg angiography	0.00	5.11	5.23	NA	NA	0.01	XXX
92240	26	A	Icg angiography	1.10	0.78	0.67	0.78	0.67	0.03	XXX
92250		A	Eye exam with photos	0.44	1.74	1.70	NA	NA	0.02	XXX
92250	TC	A	Eye exam with photos	0.00	1.48	1.47	NA	NA	0.01	XXX
92250	26	A	Eye exam with photos	0.44	0.26	0.23	0.26	0.23	0.01	XXX
92260		A	Ophthalmoscopy/dynamometry	0.20	0.33	0.32	0.13	0.11	0.01	XXX
92265		A	Eye muscle evaluation	0.81	1.60	1.48	NA	NA	0.02	XXX
92265	TC	A	Eye muscle evaluation	0.00	1.03	1.04	NA	NA	0.01	XXX
92265	26	A	Eye muscle evaluation	0.81	0.57	0.44	0.57	0.44	0.01	XXX
92270		A	Electro-oculography	0.81	1.73	1.73	NA	NA	0.04	XXX
92270	TC	A	Electro-oculography	0.00	1.34	1.37	NA	NA	0.01	XXX
92270	26	A	Electro-oculography	0.81	0.39	0.36	0.39	0.36	0.03	XXX
92275		A	Electroretinography	1.01	3.40	3.13	NA	NA	0.05	XXX
92275	TC	A	Electroretinography	0.00	2.70	2.53	NA	NA	0.01	XXX
92275	26	A	Electroretinography	1.01	0.70	0.60	0.70	0.60	0.04	XXX
92283		A	Color vision examination	0.17	1.32	1.25	NA	NA	0.02	XXX
92283	TC	A	Color vision examination	0.00	1.23	1.17	NA	NA	0.01	XXX
92283	26	A	Color vision examination	0.17	0.09	0.08	0.09	0.08	0.01	XXX
92284		A	Dark adaptation eye exam	0.24	1.41	1.49	NA	NA	0.02	XXX
92284	TC	A	Dark adaptation eye exam	0.00	1.30	1.39	NA	NA	0.01	XXX
92284	26	A	Dark adaptation eye exam	0.24	0.11	0.10	0.11	0.10	0.01	XXX
92285		A	Eye photography	0.05	0.49	0.76	NA	NA	0.02	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
92285	TC	A	Eye photography	0.00	0.46	0.70	NA	NA	0.01	XXX
92285	26	A	Eye photography	0.05	0.03	0.06	0.03	0.06	0.01	XXX
92286		A	Internal eye photography	0.66	2.81	2.83	NA	NA	0.02	XXX
92286	TC	A	Internal eye photography	0.00	2.39	2.47	NA	NA	0.01	XXX
92286	26	A	Internal eye photography	0.66	0.42	0.36	0.42	0.36	0.01	XXX
92287		A	Internal eye photography	0.81	2.67	2.59	0.57	0.48	0.04	XXX
92310		N	Contact lens fitting	1.17	1.50	1.51	0.52	0.51	0.08	XXX
92311		A	Contact lens fitting	1.08	1.82	1.68	0.56	0.49	0.08	XXX
92312		A	Contact lens fitting	1.26	2.11	1.91	0.64	0.57	0.05	XXX
92313		A	Contact lens fitting	0.92	2.00	1.82	0.58	0.49	0.05	XXX
92314		N	Prescription of contact lens	0.69	1.52	1.50	0.30	0.30	0.04	XXX
92315		A	Prescription of contact lens	0.45	1.70	1.58	0.22	0.20	0.04	XXX
92316		A	Prescription of contact lens	0.68	2.32	2.05	0.48	0.40	0.04	XXX
92317		A	Prescription of contact lens	0.45	1.68	1.60	0.18	0.17	0.01	XXX
92325		A	Modification of contact lens	0.00	1.07	0.98	NA	NA	0.01	XXX
92326		A	Replacement of contact lens	0.00	0.93	1.05	NA	NA	0.01	XXX
92340		N	Fitting of spectacles	0.37	0.61	0.65	0.16	0.16	0.03	XXX
92341		N	Fitting of spectacles	0.47	0.65	0.69	0.21	0.20	0.03	XXX
92342		N	Fitting of spectacles	0.53	0.68	0.72	0.23	0.23	0.04	XXX
92352		B	Special spectacles fitting	0.37	0.76	0.78	0.16	0.16	0.03	XXX
92353		B	Special spectacles fitting	0.50	0.81	0.84	0.22	0.21	0.03	XXX
92354		B	Special spectacles fitting	0.00	0.36	1.67	NA	NA	0.01	XXX
92355		B	Special spectacles fitting	0.00	0.56	1.17	NA	NA	0.01	XXX
92358		B	Eye prosthesis service	0.00	0.30	0.42	NA	NA	0.01	XXX
92370		N	Repair & adjust spectacles	0.32	0.54	0.56	0.14	0.14	0.03	XXX
92371		B	Repair & adjust spectacles	0.00	0.30	0.37	NA	NA	0.01	XXX
92499		C	Eye service or procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
92499	TC	C	Eye service or procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
92499	26	C	Eye service or procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
92502		A	Ear and throat examination	1.51	NA	NA	1.36	1.28	0.07	000
92504		A	Ear microscopy examination	0.18	0.70	0.70	0.11	0.10	0.01	XXX
92506		A	Speech/hearing evaluation	0.86	4.12	4.03	NA	NA	0.05	XXX
92507		A	Speech/hearing therapy	1.30	0.69	1.05	NA	NA	0.07	XXX
92508		A	Speech/hearing therapy	0.33	0.24	0.45	NA	NA	0.01	XXX
92511		A	Nasopharyngoscopy	0.84	3.84	3.85	0.95	0.90	0.04	XXX
92512		A	Nasal function studies	0.55	1.22	1.24	0.31	0.27	0.03	XXX
92516		A	Facial nerve function test	0.43	1.61	1.54	0.25	0.23	0.03	XXX
92520		A	Laryngeal function studies	0.75	1.38	1.21	0.49	0.42	0.04	XXX
92526		A	Oral function therapy	1.34	0.78	1.36	NA	NA	0.07	XXX
92531		B	Spontaneous nystagmus study	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92532		B	Positional nystagmus test	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92533		B	Caloric vestibular test	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92534		B	Optokinetic nystagmus test	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92540		A	Basic vestibular evaluation	1.50	1.31	1.31	NA	NA	0.05	XXX
92540	TC	A	Basic vestibular evaluation	0.00	0.54	0.54	NA	NA	0.01	XXX
92540	26	A	Basic vestibular evaluation	1.50	0.77	0.77	0.77	0.77	0.04	XXX
92541		A	Spontaneous nystagmus test	0.40	0.46	0.92	NA	NA	0.02	XXX
92541	TC	A	Spontaneous nystagmus test	0.00	0.25	0.73	NA	NA	0.01	XXX
92541	26	A	Spontaneous nystagmus test	0.40	0.21	0.19	0.21	0.19	0.01	XXX
92542		A	Positional nystagmus test	0.33	0.42	0.99	NA	NA	0.02	XXX
92542	TC	A	Positional nystagmus test	0.00	0.25	0.83	NA	NA	0.01	XXX
92542	26	A	Positional nystagmus test	0.33	0.17	0.16	0.17	0.16	0.01	XXX
92543		A	Caloric vestibular test	0.10	0.30	0.54	NA	NA	0.02	XXX
92543	TC	A	Caloric vestibular test	0.00	0.25	0.49	NA	NA	0.01	XXX
92543	26	A	Caloric vestibular test	0.10	0.05	0.05	0.05	0.05	0.01	XXX
92544		A	Optokinetic nystagmus test	0.26	0.37	0.81	NA	NA	0.02	XXX
92544	TC	A	Optokinetic nystagmus test	0.00	0.24	0.69	NA	NA	0.01	XXX
92544	26	A	Optokinetic nystagmus test	0.26	0.13	0.12	0.13	0.12	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
92545		A	Oscillating tracking test	0.23	0.36	0.77	NA	NA	0.02	XXX
92545	TC	A	Oscillating tracking test	0.00	0.24	0.66	NA	NA	0.01	XXX
92545	26	A	Oscillating tracking test	0.23	0.12	0.11	0.12	0.11	0.01	XXX
92546		A	Sinusoidal rotational test	0.29	2.60	2.47	NA	NA	0.02	XXX
92546	TC	A	Sinusoidal rotational test	0.00	2.46	2.34	NA	NA	0.01	XXX
92546	26	A	Sinusoidal rotational test	0.29	0.14	0.13	0.14	0.13	0.01	XXX
92547		A	Supplemental electrical test	0.00	0.15	0.14	0.15	0.14	0.01	ZZZ
92548		A	Posturography	0.50	2.66	2.50	NA	NA	0.02	XXX
92548	TC	A	Posturography	0.00	2.40	2.26	NA	NA	0.01	XXX
92548	26	A	Posturography	0.50	0.26	0.24	0.26	0.24	0.01	XXX
92550		A	Tympanometry & reflex thresh	0.35	0.25	0.25	NA	NA	0.01	XXX
92551		N	Pure tone hearing test air	0.00	0.31	0.33	NA	NA	0.01	XXX
92552		A	Pure tone audiometry air	0.00	0.82	0.75	NA	NA	0.01	XXX
92553		A	Audiometry air & bone	0.00	0.99	0.94	NA	NA	0.01	XXX
92555		A	Speech threshold audiometry	0.00	0.59	0.54	NA	NA	0.01	XXX
92556		A	Speech audiometry complete	0.00	0.93	0.85	NA	NA	0.01	XXX
92557		A	Comprehensive hearing test	0.60	0.47	0.56	0.33	0.45	0.03	XXX
92559		N	Group audiometric testing	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92560		N	Bekesy audiometry screen	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92561		A	Bekesy audiometry diagnosis	0.00	1.04	0.96	NA	NA	0.01	XXX
92562		A	Loudness balance test	0.00	1.13	0.93	NA	NA	0.01	XXX
92563		A	Tone decay hearing test	0.00	0.81	0.73	NA	NA	0.01	XXX
92564		A	Sisi hearing test	0.00	0.70	0.65	NA	NA	0.01	XXX
92565		A	Stenger test pure tone	0.00	0.37	0.38	NA	NA	0.01	XXX
92567		A	Tympanometry	0.20	0.20	0.24	0.11	0.16	0.01	XXX
92568		A	Acoustic refl threshold tst	0.29	0.16	0.19	0.16	0.18	0.01	XXX
92570		A	Acoustic immitance testing	0.55	0.36	0.36	0.30	0.30	0.03	XXX
92571		A	Filtered speech hearing test	0.00	0.65	0.58	NA	NA	0.01	XXX
92572		A	Staggered spondaic word test	0.00	1.28	0.93	NA	NA	0.01	XXX

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92575		A	Sensorineural acuity test	0.00	1.74	1.45	NA	NA	0.01	XXX
92576		A	Synthetic sentence test	0.00	0.90	0.78	NA	NA	0.01	XXX
92577		A	Stenger test speech	0.00	0.44	0.47	NA	NA	0.01	XXX
92579		A	Visual audiometry (vra)	0.70	0.56	0.56	0.41	0.43	0.03	XXX
92582		A	Conditioning play audiometry	0.00	1.70	1.50	NA	NA	0.01	XXX
92583		A	Select picture audiometry	0.00	1.06	1.04	NA	NA	0.01	XXX
92584		A	Electrocochleography	0.00	1.89	1.96	NA	NA	0.01	XXX
92585		A	Auditor evoke potent compre	0.50	3.07	2.82	NA	NA	0.02	XXX
92585	TC	A	Auditor evoke potent compre	0.00	2.80	2.58	NA	NA	0.01	XXX
92585	26	A	Auditor evoke potent compre	0.50	0.27	0.24	0.27	0.24	0.01	XXX
92586		A	Auditor evoke potent limit	0.00	2.21	2.07	NA	NA	0.01	XXX
92587		A	Evoked auditory test	0.13	0.87	0.94	NA	NA	0.02	XXX
92587	TC	A	Evoked auditory test	0.00	0.80	0.87	NA	NA	0.01	XXX
92587	26	A	Evoked auditory test	0.13	0.07	0.07	0.07	0.07	0.01	XXX
92588		A	Evoked auditory test	0.36	1.62	1.57	NA	NA	0.02	XXX
92588	TC	A	Evoked auditory test	0.00	1.42	1.39	NA	NA	0.01	XXX
92588	26	A	Evoked auditory test	0.36	0.20	0.18	0.20	0.18	0.01	XXX
92590		N	Hearing aid exam one ear	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92591		N	Hearing aid exam both ears	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92592		N	Hearing aid check one ear	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92593		N	Hearing aid check both ears	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92594		N	Electro hearng aid test one	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92595		N	Electro hearng aid tst both	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92596		A	Ear protector evaluation	0.00	1.23	1.17	NA	NA	0.01	XXX
92597		A	Oral speech device eval	1.26	0.81	1.55	NA	NA	0.07	XXX
92601		A	Cochlear implt f/up exam < 7	2.30	1.52	1.89	1.01	1.41	0.11	XXX
92602		A	Reprogram cochlear implt < 7	1.30	1.02	1.29	0.57	0.86	0.07	XXX
92603		A	Cochlear implt f/up exam 7 >	2.25	1.87	1.84	1.22	1.31	0.11	XXX
92604		A	Reprogram cochlear implt 7 >	1.25	1.22	1.19	0.68	0.75	0.05	XXX

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92605		B	Eval for nonspeech device rx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92606		B	Non-speech device service	1.40	0.93	0.93	0.62	0.62	0.07	XXX
92607		A	Ex for speech device rx 1hr	1.85	1.50	3.25	NA	NA	0.10	XXX
92608		A	Ex for speech device rx addl	0.70	0.67	0.80	NA	NA	0.04	ZZZ
92609		A	Use of speech device service	1.50	1.04	1.85	NA	NA	0.07	XXX
92610		A	Evaluate swallowing function	1.30	0.93	1.73	0.67	0.67	0.07	XXX
92611		A	Motion fluoroscopy/swallow	1.34	1.10	1.93	NA	NA	0.08	XXX
92612		A	Endoscopy swallow tst (fees)	1.27	3.62	3.59	0.72	0.67	0.07	XXX
92613		A	Endoscopy swallow tst (fees)	0.71	0.40	0.38	0.39	0.38	0.04	XXX
92614		A	Laryngoscopic sensory test	1.27	3.10	3.05	0.74	0.68	0.07	XXX
92615		A	Eval laryngoscopy sense tst	0.63	0.37	0.35	0.37	0.35	0.03	XXX
92616		A	Fees w/laryngeal sense test	1.88	3.98	3.96	1.05	0.97	0.10	XXX
92617		A	Interprt fees/laryngeal test	0.79	0.44	0.41	0.44	0.41	0.04	XXX
92620		A	Auditory function 60 min	1.50	1.17	0.86	0.88	0.71	0.07	XXX
92621		A	Auditory function + 15 min	0.35	0.28	0.20	0.18	0.15	0.01	ZZZ
92625		A	Tinnitus assessment	1.15	0.83	0.66	0.62	0.55	0.05	XXX
92626		A	Eval aud rehab status	1.40	1.12	1.01	0.74	0.82	0.07	XXX
92627		A	Eval aud status rehab add-on	0.33	0.29	0.26	0.17	0.20	0.01	ZZZ
92630		I	Aud rehab pre-ling hear loss	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92633		I	Aud rehab postling hear loss	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92640		A	Aud brainstem implt programg	1.76	1.23	0.78	0.91	0.62	0.37	XXX
92700		C	Ent procedure/service	0.00	0.00	0.00	0.00	0.00	0.00	XXX
92950		A	Heart/lung resuscitation cpr	3.79	4.20	4.20	1.07	1.05	0.30	000
92953		A	Temporary external pacing	0.23	NA	NA	0.07	0.09	0.01	000
92960		A	Cardioversion electric ext	2.25	3.64	4.72	1.13	1.41	0.14	000
92961		A	Cardioversion electric int	4.59	NA	NA	2.04	2.48	0.44	000
92970		A	Cardioassist internal	3.51	NA	NA	1.37	1.52	0.24	000
92971		A	Cardioassist external	1.77	NA	NA	0.83	1.05	0.11	000
92973		A	Percut coronary thrombectomy	3.28	NA	NA	1.29	1.65	0.71	ZZZ

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
92974		A	Cath place cardio brachytx	3.00	NA	NA	1.17	1.51	0.65	ZZZ
92975		A	Dissolve clot heart vessel	7.24	NA	NA	2.89	3.64	1.58	000
92977		A	Dissolve clot heart vessel	0.00	1.44	2.70	NA	NA	0.03	XXX
92978		C	Intravasc us heart add-on	0.00	0.00	0.00	NA	NA	0.00	ZZZ
92978	TC	C	Intravasc us heart add-on	0.00	0.00	0.00	NA	NA	0.00	ZZZ
92978	26	A	Intravasc us heart add-on	1.80	0.71	0.90	0.71	0.90	0.12	ZZZ
92979		C	Intravasc us heart add-on	0.00	0.00	0.00	NA	NA	0.00	ZZZ
92979	TC	C	Intravasc us heart add-on	0.00	0.00	0.00	NA	NA	0.00	ZZZ
92979	26	A	Intravasc us heart add-on	1.44	0.56	0.73	0.56	0.73	0.10	ZZZ
92980		A	Insert intracoronary stent	14.82	NA	NA	5.99	7.68	3.24	000
92981		A	Insert intracoronary stent	4.16	NA	NA	1.63	2.09	0.90	ZZZ
92982		A	Coronary artery dilation	10.96	NA	NA	4.48	5.73	2.38	000
92984		A	Coronary artery dilation	2.97	NA	NA	1.16	1.49	0.64	ZZZ
92986		A	Revision of aortic valve	22.85	NA	NA	11.74	14.65	4.98	090
92987		A	Revision of mitral valve	23.63	NA	NA	11.97	15.07	5.14	090
92990		A	Revision of pulmonary valve	18.27	NA	NA	9.77	11.86	3.99	090
92992		C	Revision of heart chamber	0.00	0.00	0.00	0.00	0.00	0.00	090
92993		C	Revision of heart chamber	0.00	0.00	0.00	0.00	0.00	0.00	090
92995		A	Coronary atherectomy	12.07	NA	NA	4.91	6.31	2.63	000
92996		A	Coronary atherectomy add-on	3.26	NA	NA	1.28	1.64	0.71	ZZZ
92997		A	Pul art balloon repr percut	11.98	NA	NA	4.84	5.63	2.61	000
92998		A	Pul art balloon repr percut	5.99	NA	NA	2.33	2.87	1.31	ZZZ
93000		A	Electrocardiogram complete	0.17	0.32	0.40	NA	NA	0.02	XXX
93005		A	Electrocardiogram tracing	0.00	0.25	0.32	NA	NA	0.01	XXX
93010		A	Electrocardiogram report	0.17	0.07	0.08	0.07	0.08	0.01	XXX
93015		A	Cardiovascular stress test	0.75	1.59	1.96	NA	NA	0.03	XXX
93016		A	Cardiovascular stress test	0.45	0.18	0.22	0.18	0.22	0.01	XXX
93017		A	Cardiovascular stress test	0.00	1.29	1.59	NA	NA	0.01	XXX
93018		A	Cardiovascular stress test	0.30	0.12	0.15	0.12	0.15	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
93024		A	Cardiac drug stress test	1.17	1.90	2.23	NA	NA	0.05	XXX
93024	TC	A	Cardiac drug stress test	0.00	1.44	1.67	NA	NA	0.01	XXX
93024	26	A	Cardiac drug stress test	1.17	0.46	0.56	0.46	0.56	0.04	XXX
93025		A	Microvolt t-wave assess	0.75	3.69	4.91	NA	NA	0.04	XXX
93025	TC	A	Microvolt t-wave assess	0.00	3.39	4.54	NA	NA	0.01	XXX
93025	26	A	Microvolt t-wave assess	0.75	0.30	0.37	0.30	0.37	0.03	XXX
93040		A	Rhythm ECG with report	0.15	0.19	0.22	NA	NA	0.02	XXX
93041		A	Rhythm ecg tracing	0.00	0.14	0.16	NA	NA	0.01	XXX
93042		A	Rhythm ecg report	0.15	0.05	0.06	0.05	0.06	0.01	XXX
93224		A	Ecg monit/reprt up to 48 hrs	0.52	1.98	2.30	NA	NA	0.03	XXX
93225		A	Ecg monit/reprt up to 48 hrs	0.00	0.72	0.82	NA	NA	0.01	XXX
93226		A	Ecg monit/reprt up to 48 hrs	0.00	1.02	1.21	NA	NA	0.01	XXX
93227		A	Ecg monit/reprt up to 48 hrs	0.52	0.24	0.27	0.24	0.27	0.01	XXX
93228		A	Remote 30 day ecg rev/report	0.52	0.21	0.21	0.21	0.21	0.03	XXX
93229		A	Remote 30 day ecg tech supp	0.00	20.14	20.14	NA	NA	0.01	XXX
93268		A	ECG record/review	0.52	5.69	6.86	NA	NA	0.03	XXX
93270		A	Remote 30 day ecg rev/report	0.00	0.24	0.44	NA	NA	0.01	XXX
93271		A	Ecg/monitoring and analysis	0.00	5.25	6.19	NA	NA	0.01	XXX
93272		A	Ecg/review interpret only	0.52	0.20	0.23	0.20	0.23	0.01	XXX
93278		A	ECG/signal-averaged	0.25	0.61	0.77	NA	NA	0.02	XXX
93278	TC	A	ECG/signal-averaged	0.00	0.50	0.65	NA	NA	0.01	XXX
93278	26	A	ECG/signal-averaged	0.25	0.11	0.12	0.11	0.12	0.01	XXX
93279		A	Pm device progr eval sngl	0.65	0.71	0.87	NA	NA	0.04	XXX
93279	TC	A	Pm device progr eval sngl	0.00	0.45	0.54	NA	NA	0.01	XXX
93279	26	A	Pm device progr eval sngl	0.65	0.26	0.33	0.26	0.33	0.03	XXX
93280		A	Pm device progr eval dual	0.77	0.81	1.02	NA	NA	0.04	XXX
93280	TC	A	Pm device progr eval dual	0.00	0.51	0.62	NA	NA	0.01	XXX
93280	26	A	Pm device progr eval dual	0.77	0.30	0.40	0.30	0.40	0.03	XXX
93281		A	Pm device progr eval multi	0.90	0.94	1.19	NA	NA	0.04	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
93281	TC	A	Pm device progr eval multi	0.00	0.59	0.72	NA	NA	0.01	XXX
93281	26	A	Pm device progr eval multi	0.90	0.35	0.47	0.35	0.47	0.03	XXX
93282		A	Icd device prog eval 1 sngl	0.85	0.85	1.08	NA	NA	0.04	XXX
93282	TC	A	Icd device prog eval 1 sngl	0.00	0.52	0.65	NA	NA	0.01	XXX
93282	26	A	Icd device prog eval 1 sngl	0.85	0.33	0.43	0.33	0.43	0.03	XXX
93283		A	Icd device progr eval dual	1.15	1.05	1.31	NA	NA	0.05	XXX
93283	TC	A	Icd device progr eval dual	0.00	0.60	0.74	NA	NA	0.01	XXX
93283	26	A	Icd device progr eval dual	1.15	0.45	0.57	0.45	0.57	0.04	XXX
93284		A	Icd device progr eval mult	1.25	1.18	1.51	NA	NA	0.05	XXX
93284	TC	A	Icd device progr eval mult	0.00	0.69	0.85	NA	NA	0.01	XXX
93284	26	A	Icd device progr eval mult	1.25	0.49	0.66	0.49	0.66	0.04	XXX
93285		A	Ilr device eval progr	0.52	0.61	0.76	NA	NA	0.02	XXX
93285	TC	A	Ilr device eval progr	0.00	0.40	0.49	NA	NA	0.01	XXX
93285	26	A	Ilr device eval progr	0.52	0.21	0.27	0.21	0.27	0.01	XXX
93286		A	Pre-op pm device eval	0.30	0.41	0.46	NA	NA	0.02	XXX
93286	TC	A	Pre-op pm device eval	0.00	0.29	0.34	NA	NA	0.01	XXX
93286	26	A	Pre-op pm device eval	0.30	0.12	0.12	0.12	0.12	0.01	XXX
93287		A	Pre-op icd device eval	0.45	0.50	0.56	NA	NA	0.02	XXX
93287	TC	A	Pre-op icd device eval	0.00	0.32	0.38	NA	NA	0.01	XXX
93287	26	A	Pre-op icd device eval	0.45	0.18	0.18	0.18	0.18	0.01	XXX
93288		A	Pm device eval in person	0.43	0.58	0.74	NA	NA	0.02	XXX
93288	TC	A	Pm device eval in person	0.00	0.41	0.51	NA	NA	0.01	XXX
93288	26	A	Pm device eval in person	0.43	0.17	0.23	0.17	0.23	0.01	XXX
93289		A	Icd device interrogate	0.92	0.86	1.05	NA	NA	0.04	XXX
93289	TC	A	Icd device interrogate	0.00	0.50	0.62	NA	NA	0.01	XXX
93289	26	A	Icd device interrogate	0.92	0.36	0.43	0.36	0.43	0.03	XXX
93290		A	Icm device eval	0.43	0.41	0.45	NA	NA	0.02	XXX
93290	TC	A	Icm device eval	0.00	0.24	0.28	NA	NA	0.01	XXX
93290	26	A	Icm device eval	0.43	0.17	0.17	0.17	0.17	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
93291		A	Ilr device interrogate	0.43	0.54	0.69	NA	NA	0.02	XXX
93291	TC	A	Ilr device interrogate	0.00	0.37	0.46	NA	NA	0.01	XXX
93291	26	A	Ilr device interrogate	0.43	0.17	0.23	0.17	0.23	0.01	XXX
93292		A	Wcd device interrogate	0.43	0.45	0.56	NA	NA	0.02	XXX
93292	TC	A	Wcd device interrogate	0.00	0.28	0.34	NA	NA	0.01	XXX
93292	26	A	Wcd device interrogate	0.43	0.17	0.22	0.17	0.22	0.01	XXX
93293		A	Pm phone r-strip device eval	0.32	1.15	1.32	NA	NA	0.02	XXX
93293	TC	A	Pm phone r-strip device eval	0.00	1.03	1.18	NA	NA	0.01	XXX
93293	26	A	Pm phone r-strip device eval	0.32	0.12	0.14	0.12	0.14	0.01	XXX
93294		A	Pm device interrogate remote	0.65	0.26	0.34	0.26	0.34	0.04	XXX
93295		A	Icd device interrogat remote	1.29	0.51	0.64	0.51	0.64	0.08	XXX
93296		A	Pm/icd remote tech serv	0.00	0.70	0.96	NA	NA	0.01	XXX
93297		A	Icm device interrogat remote	0.52	0.20	0.21	0.20	0.21	0.03	XXX
93298		A	Ilr device interrogat remote	0.52	0.20	0.27	0.20	0.27	0.03	XXX
93299		C	Icm/ilr remote tech serv	0.00	0.00	0.00	0.00	0.00	0.00	XXX
93303		A	Echo transthoracic	1.30	4.25	4.86	NA	NA	0.05	XXX
93303	TC	A	Echo transthoracic	0.00	3.72	4.25	NA	NA	0.01	XXX
93303	26	A	Echo transthoracic	1.30	0.53	0.61	0.53	0.61	0.04	XXX
93304		A	Echo transthoracic	0.75	2.87	3.18	NA	NA	0.04	XXX
93304	TC	A	Echo transthoracic	0.00	2.57	2.84	NA	NA	0.01	XXX
93304	26	A	Echo transthoracic	0.75	0.30	0.34	0.30	0.34	0.03	XXX
93306		A	Tte w/doppler complete	1.30	4.03	5.53	NA	NA	0.05	XXX
93306	TC	A	Tte w/doppler complete	0.00	3.51	4.87	NA	NA	0.01	XXX
93306	26	A	Tte w/doppler complete	1.30	0.52	0.66	0.52	0.66	0.04	XXX
93307		A	Tte w/o doppler complete	0.92	2.28	3.41	NA	NA	0.04	XXX
93307	TC	A	Tte w/o doppler complete	0.00	1.90	2.96	NA	NA	0.01	XXX
93307	26	A	Tte w/o doppler complete	0.92	0.38	0.45	0.38	0.45	0.03	XXX
93308		A	Tte f-up or lmtd	0.53	2.17	2.56	NA	NA	0.02	XXX
93308	TC	A	Tte f-up or lmtd	0.00	1.96	2.30	NA	NA	0.01	XXX
93308	26	A	Tte f-up or lmtd	0.53	0.21	0.26	0.21	0.26	0.01	XXX
93312		A	Echo transesophageal	2.20	6.60	7.26	NA	NA	0.10	XXX
93312	TC	A	Echo transesophageal	0.00	5.81	6.29	NA	NA	0.03	XXX
93312	26	A	Echo transesophageal	2.20	0.79	0.97	0.79	0.97	0.07	XXX
93313		A	Echo transesophageal	0.95	NA	NA	0.23	0.20	0.07	XXX
93314		A	Echo transesophageal	1.25	6.62	7.11	NA	NA	0.07	XXX
93314	TC	A	Echo transesophageal	0.00	6.15	6.55	NA	NA	0.03	XXX
93314	26	A	Echo transesophageal	1.25	0.47	0.56	0.47	0.56	0.04	XXX
93315		C	Echo transesophageal	0.00	0.00	0.00	NA	NA	0.00	XXX
93315	TC	C	Echo transesophageal	0.00	0.00	0.00	NA	NA	0.00	XXX
93315	26	A	Echo transesophageal	2.78	1.05	1.29	1.05	1.29	0.23	XXX
93316		A	Echo transesophageal	0.95	NA	NA	0.28	0.30	0.07	XXX
93317		C	Echo transesophageal	0.00	0.00	0.00	NA	NA	0.00	XXX
93317	TC	C	Echo transesophageal	0.00	0.00	0.00	NA	NA	0.00	XXX
93317	26	A	Echo transesophageal	1.83	0.67	0.74	0.67	0.74	0.23	XXX
93318		C	Echo transesophageal intraop	0.00	0.00	0.00	NA	NA	0.00	XXX
93318	TC	C	Echo transesophageal intraop	0.00	0.00	0.00	NA	NA	0.00	XXX
93318	26	A	Echo transesophageal intraop	2.20	0.77	0.87	0.77	0.87	0.31	XXX
93320		A	Doppler echo exam heart	0.38	0.88	1.45	NA	NA	0.02	ZZZ
93320	TC	A	Doppler echo exam heart	0.00	0.73	1.27	NA	NA	0.01	ZZZ
93320	26	A	Doppler echo exam heart	0.38	0.15	0.18	0.15	0.18	0.01	ZZZ
93321		A	Doppler echo exam heart	0.15	0.51	0.70	NA	NA	0.02	ZZZ
93321	TC	A	Doppler echo exam heart	0.00	0.45	0.63	NA	NA	0.01	ZZZ
93321	26	A	Doppler echo exam heart	0.15	0.06	0.07	0.06	0.07	0.01	ZZZ
93325		A	Doppler color flow add-on	0.07	0.47	0.97	NA	NA	0.02	ZZZ
93325	TC	A	Doppler color flow add-on	0.00	0.44	0.94	NA	NA	0.01	ZZZ
93325	26	A	Doppler color flow add-on	0.07	0.03	0.03	0.03	0.03	0.01	ZZZ
93350		A	Stress tte only	1.46	4.20	4.69	NA	NA	0.06	XXX
93350	TC	A	Stress tte only	0.00	3.62	3.96	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
93350	26	A	Stress tte only	1.46	0.58	0.73	0.58	0.73	0.05	XXX
93351		A	Stress tte complete	1.75	4.76	5.49	NA	NA	0.08	XXX
93351	TC	A	Stress tte complete	0.00	4.07	4.58	NA	NA	0.03	XXX
93351	26	A	Stress tte complete	1.75	0.69	0.91	0.69	0.91	0.05	XXX
93352		A	Admin ecg contrast agent	0.19	0.72	0.87	NA	NA	0.01	ZZZ
93451		A	Right heart cath	2.72	19.23	19.23	NA	NA	0.62	000
93451	TC	A	Right heart cath	0.00	18.16	18.16	NA	NA	0.03	000
93451	26	A	Right heart cath	2.72	1.07	1.07	1.07	1.07	0.59	000
93452		A	Left hrt cath w/ventrclgrphy	4.75	19.20	19.20	NA	NA	1.09	000
93452	TC	A	Left hrt cath w/ventrclgrphy	0.00	17.34	17.34	NA	NA	0.03	000
93452	26	A	Left hrt cath w/ventrclgrphy	4.75	1.86	1.86	1.86	1.86	1.06	000
93453		A	R&l hrt cath w/ventriclgrphy	6.24	25.12	25.12	NA	NA	1.41	000
93453	TC	A	R&l hrt cath w/ventriclgrphy	0.00	22.68	22.68	NA	NA	0.04	000
93453	26	A	R&l hrt cath w/ventriclgrphy	6.24	2.44	2.44	2.44	2.44	1.37	000
93454		A	Coronary artery angio s&i	4.79	19.95	19.95	NA	NA	1.09	000
93454	TC	A	Coronary artery angio s&i	0.00	18.07	18.07	NA	NA	0.03	000
93454	26	A	Coronary artery angio s&i	4.79	1.88	1.88	1.88	1.88	1.06	000
93455		A	Coronary art/grft angio s&i	5.54	23.35	23.35	NA	NA	1.25	000
93455	TC	A	Coronary art/grft angio s&i	0.00	21.18	21.18	NA	NA	0.04	000
93455	26	A	Coronary art/grft angio s&i	5.54	2.17	2.17	2.17	2.17	1.21	000
93456		A	R hrt coronary artery angio	6.15	24.80	24.80	NA	NA	1.37	000
93456	TC	A	R hrt coronary artery angio	0.00	22.39	22.39	NA	NA	0.04	000
93456	26	A	R hrt coronary artery angio	6.15	2.41	2.41	2.41	2.41	1.33	000
93457		A	R hrt art/grft angio	6.89	28.20	28.20	NA	NA	1.54	000
93457	TC	A	R hrt art/grft angio	0.00	25.50	25.50	NA	NA	0.04	000
93457	26	A	R hrt art/grft angio	6.89	2.70	2.70	2.70	2.70	1.50	000
93458		A	L hrt artery/ventricle angio	5.85	23.99	23.99	NA	NA	1.33	000
93458	TC	A	L hrt artery/ventricle angio	0.00	21.70	21.70	NA	NA	0.04	000
93458	26	A	L hrt artery/ventricle angio	5.85	2.29	2.29	2.29	2.29	1.29	000

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93459		A	L hrt art/grft angio	6.60	26.36	26.36	NA	NA	1.47	000
93459	TC	A	L hrt art/grft angio	0.00	23.77	23.77	NA	NA	0.04	000
93459	26	A	L hrt art/grft angio	6.60	2.59	2.59	2.59	2.59	1.43	000
93460		A	R&l hrt art/ventricle angio	7.35	27.85	27.85	NA	NA	1.63	000
93460	TC	A	R&l hrt art/ventricle angio	0.00	24.97	24.97	NA	NA	0.04	000
93460	26	A	R&l hrt art/ventricle angio	7.35	2.88	2.88	2.88	2.88	1.59	000
93461		A	R&l hrt art/ventricle angio	8.10	32.30	32.30	NA	NA	1.82	000
93461	TC	A	R&l hrt art/ventricle angio	0.00	29.12	29.12	NA	NA	0.05	000
93461	26	A	R&l hrt art/ventricle angio	8.10	3.18	3.18	3.18	3.18	1.77	000
93462		A	L hrt cath trnsptl puncture	3.73	1.48	1.48	1.48	1.48	0.80	ZZZ
93463		A	Drug admin & hemodynmic meas	2.00	0.79	0.79	0.79	0.79	0.39	ZZZ
93464		A	Exercise w/hemodynamic meas	1.80	5.28	5.28	NA	NA	0.36	ZZZ
93464	TC	A	Exercise w/hemodynamic meas	0.00	4.63	4.63	NA	NA	0.01	ZZZ
93464	26	A	Exercise w/hemodynamic meas	1.80	0.65	0.65	0.65	0.65	0.35	ZZZ
93503		A	Insert/place heart catheter	2.91	NA	NA	0.77	0.77	0.27	000
93505		A	Biopsy of heart lining	4.37	17.45	18.47	NA	NA	0.86	000
93505	TC	A	Biopsy of heart lining	0.00	15.73	16.30	NA	NA	0.03	000
93505	26	A	Biopsy of heart lining	4.37	1.72	2.17	1.72	2.17	0.83	000
93530		C	Rt heart cath congenital	0.00	NA	NA	NA	NA	0.00	000
93530	TC	C	Rt heart cath congenital	0.00	NA	NA	NA	NA	0.00	000
93530	26	A	Rt heart cath congenital	4.22	1.68	2.06	1.68	2.06	0.91	000
93531		C	R & l heart cath congenital	0.00	NA	NA	NA	NA	0.00	000
93531	TC	C	R & l heart cath congenital	0.00	NA	NA	NA	NA	0.00	000
93531	26	A	R & l heart cath congenital	8.34	3.30	3.98	3.30	3.98	1.82	000
93532		C	R & l heart cath congenital	0.00	NA	NA	NA	NA	0.00	000
93532	TC	C	R & l heart cath congenital	0.00	NA	NA	NA	NA	0.00	000
93532	26	A	R & l heart cath congenital	9.99	3.90	4.65	3.90	4.65	2.18	000
93533		C	R & l heart cath congenital	0.00	NA	NA	NA	NA	0.00	000
93533	TC	C	R & l heart cath congenital	0.00	NA	NA	NA	NA	0.00	000

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
93533	26	A	R & l heart cath congenital	6.69	2.60	3.14	2.60	3.14	1.47	000
93561		C	Cardiac output measurement	0.00	NA	NA	NA	NA	0.00	000
93561	TC	C	Cardiac output measurement	0.00	NA	NA	NA	NA	0.00	000
93561	26	A	Cardiac output measurement	0.50	0.20	0.19	0.20	0.19	0.04	000
93562		C	Cardiac output measurement	0.00	NA	NA	NA	NA	0.00	000
93562	TC	C	Cardiac output measurement	0.00	NA	NA	NA	NA	0.00	000
93562	26	A	Cardiac output measurement	0.16	0.06	0.05	0.06	0.05	0.01	000
93563		A	Inject congenital card cath	1.11	0.44	0.44	0.44	0.44	0.10	ZZZ
93564		A	Inject hrt congntl art/grft	1.13	0.45	0.45	0.45	0.45	0.11	ZZZ
93565		A	Inject l ventr/atrial angio	0.86	0.34	0.34	0.34	0.34	0.08	ZZZ
93566		A	Inject r ventr/atrial angio	0.86	4.06	4.06	0.34	0.34	0.08	ZZZ
93567		A	Inject suprvlv aortography	0.97	3.08	3.08	0.38	0.38	0.08	ZZZ
93568		A	Inject pulm art hrt cath	0.88	3.56	3.56	0.35	0.35	0.08	ZZZ
93571		C	Heart flow reserve measure	0.00	NA	NA	NA	NA	0.00	ZZZ
93571	TC	C	Heart flow reserve measure	0.00	NA	NA	NA	NA	0.00	ZZZ
93571	26	A	Heart flow reserve measure	1.80	0.71	0.90	0.71	0.90	0.11	ZZZ
93572		C	Heart flow reserve measure	0.00	NA	NA	NA	NA	0.00	ZZZ
93572	TC	C	Heart flow reserve measure	0.00	NA	NA	NA	NA	0.00	ZZZ
93572	26	A	Heart flow reserve measure	1.44	0.56	0.70	0.56	0.70	0.11	ZZZ
93580		A	Transcath closure of asd	17.97	NA	NA	7.42	9.23	3.92	000
93581		A	Transcath closure of vsd	24.39	NA	NA	9.84	11.59	5.32	000
93600		C	Bundle of His recording	0.00	0.00	0.00	NA	NA	0.00	000
93600	TC	C	Bundle of His recording	0.00	0.00	0.00	NA	NA	0.00	000
93600	26	A	Bundle of His recording	2.12	0.84	1.05	0.84	1.05	0.45	000
93602		C	Intra-atrial recording	0.00	0.00	0.00	NA	NA	0.00	000
93602	TC	C	Intra-atrial recording	0.00	0.00	0.00	NA	NA	0.00	000
93602	26	A	Intra-atrial recording	2.12	0.83	1.03	0.83	1.03	0.45	000
93603		C	Right ventricular recording	0.00	0.00	0.00	NA	NA	0.00	000
93603	TC	C	Right ventricular recording	0.00	0.00	0.00	NA	NA	0.00	000

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
93603	26	A	Right ventricular recording	2.12	0.83	1.03	0.83	1.03	0.45	000
93609		C	Map tachycardia add-on	0.00	0.00	0.00	NA	NA	0.00	ZZZ
93609	TC	C	Map tachycardia add-on	0.00	0.00	0.00	NA	NA	0.00	ZZZ
93609	26	A	Map tachycardia add-on	4.99	1.96	2.48	1.96	2.48	1.09	ZZZ
93610		C	Intra-atrial pacing	0.00	0.00	0.00	NA	NA	0.00	000
93610	TC	C	Intra-atrial pacing	0.00	0.00	0.00	NA	NA	0.00	000
93610	26	A	Intra-atrial pacing	3.02	1.17	1.46	1.17	1.46	0.65	000
93612		C	Intraventricular pacing	0.00	0.00	0.00	NA	NA	0.00	000
93612	TC	C	Intraventricular pacing	0.00	0.00	0.00	NA	NA	0.00	000
93612	26	A	Intraventricular pacing	3.02	1.16	1.44	1.16	1.44	0.65	000
93613		A	Electrophys map 3d add-on	6.99	NA	NA	2.74	3.50	1.52	ZZZ
93615		C	Esophageal recording	0.00	0.00	0.00	NA	NA	0.00	000
93615	TC	C	Esophageal recording	0.00	0.00	0.00	NA	NA	0.00	000
93615	26	A	Esophageal recording	0.99	0.39	0.48	0.39	0.48	0.05	000
93616		C	Esophageal recording	0.00	0.00	0.00	NA	NA	0.00	000
93616	TC	C	Esophageal recording	0.00	0.00	0.00	NA	NA	0.00	000
93616	26	A	Esophageal recording	1.49	0.35	0.39	0.35	0.39	0.11	000
93618		C	Heart rhythm pacing	0.00	0.00	0.00	NA	NA	0.00	000
93618	TC	C	Heart rhythm pacing	0.00	0.00	0.00	NA	NA	0.00	000
93618	26	A	Heart rhythm pacing	4.25	1.66	2.14	1.66	2.14	0.91	000
93619		C	Electrophysiology evaluation	0.00	0.00	0.00	NA	NA	0.00	000
93619	TC	C	Electrophysiology evaluation	0.00	0.00	0.00	NA	NA	0.00	000
93619	26	A	Electrophysiology evaluation	7.31	2.86	3.72	2.86	3.72	1.59	000
93620		C	Electrophysiology evaluation	0.00	0.00	0.00	NA	NA	0.00	000
93620	TC	C	Electrophysiology evaluation	0.00	0.00	0.00	NA	NA	0.00	000
93620	26	A	Electrophysiology evaluation	11.57	4.54	5.82	4.54	5.82	2.52	000
93621		C	Electrophysiology evaluation	0.00	0.00	0.00	NA	NA	0.00	ZZZ
93621	TC	C	Electrophysiology evaluation	0.00	0.00	0.00	NA	NA	0.00	ZZZ
93621	26	A	Electrophysiology evaluation	2.10	0.82	1.05	0.82	1.05	0.45	ZZZ

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93622		C	Electrophysiology evaluation	0.00	0.00	0.00	NA	NA	0.00	ZZZ
93622	TC	C	Electrophysiology evaluation	0.00	0.00	0.00	NA	NA	0.00	ZZZ
93622	26	A	Electrophysiology evaluation	3.10	1.22	1.52	1.22	1.52	0.67	ZZZ
93623		C	Stimulation pacing heart	0.00	0.00	0.00	NA	NA	0.00	ZZZ
93623	TC	C	Stimulation pacing heart	0.00	0.00	0.00	NA	NA	0.00	ZZZ
93623	26	A	Stimulation pacing heart	2.85	1.12	1.42	1.12	1.42	0.63	ZZZ
93624		C	Electrophysiologic study	0.00	0.00	0.00	NA	NA	0.00	000
93624	TC	C	Electrophysiologic study	0.00	0.00	0.00	NA	NA	0.00	000
93624	26	A	Electrophysiologic study	4.80	1.87	2.44	NA	NA	1.05	000
93631		C	Heart pacing mapping	0.00	0.00	0.00	NA	NA	0.00	000
93631	TC	C	Heart pacing mapping	0.00	0.00	0.00	NA	NA	0.00	000
93631	26	A	Heart pacing mapping	7.59	2.78	3.08	2.78	3.08	1.81	000
93640		C	Evaluation heart device	0.00	0.00	0.00	NA	NA	0.00	000
93640	TC	C	Evaluation heart device	0.00	0.00	0.00	NA	NA	0.00	000
93640	26	A	Evaluation heart device	3.51	1.39	1.75	1.39	1.75	0.76	000
93641		C	Electrophysiology evaluation	0.00	0.00	0.00	NA	NA	0.00	000
93641	TC	C	Electrophysiology evaluation	0.00	0.00	0.00	NA	NA	0.00	000
93641	26	A	Electrophysiology evaluation	5.92	2.32	2.95	2.32	2.95	1.29	000
93642		A	Electrophysiology evaluation	4.88	5.72	7.54	NA	NA	0.21	000
93642	TC	A	Electrophysiology evaluation	0.00	3.80	5.04	NA	NA	0.03	000
93642	26	A	Electrophysiology evaluation	4.88	1.92	2.50	1.92	2.50	0.18	000
93650		A	Ablate heart dysrhythm focus	10.49	NA	NA	4.39	5.54	2.27	000
93651		A	Ablate heart dysrhythm focus	16.23	NA	NA	6.37	8.09	3.54	000
93652		A	Ablate heart dysrhythm focus	17.65	NA	NA	6.95	8.83	3.85	000
93660		A	Tilt table evaluation	1.89	2.37	2.88	NA	NA	0.08	000
93660	TC	A	Tilt table evaluation	0.00	1.62	1.94	NA	NA	0.01	000
93660	26	A	Tilt table evaluation	1.89	0.75	0.94	0.75	0.94	0.07	000
93662		C	Intracardiac ecg (ice)	0.00	0.00	0.00	NA	NA	0.00	ZZZ
93662	TC	C	Intracardiac ecg (ice)	0.00	0.00	0.00	NA	NA	0.00	ZZZ

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93662	26	A	Intracardiac ecg (ice)	2.80	1.10	1.39	1.10	1.39	0.20	ZZZ
93668		N	Peripheral vascular rehab	0.00	0.51	0.54	NA	NA	0.01	XXX
93701		A	Bioimpedance cv analysis	0.00	0.65	0.78	NA	NA	0.01	XXX
93720		A	Total body plethysmography	0.17	1.23	1.25	NA	NA	0.02	XXX
93721		A	Plethysmography tracing	0.00	1.17	1.19	NA	NA	0.01	XXX
93722		A	Plethysmography report	0.17	0.06	0.06	0.06	0.06	0.01	XXX
93724		A	Analyze pacemaker system	4.88	2.67	3.78	NA	NA	0.19	000
93724	TC	A	Analyze pacemaker system	0.00	0.72	1.35	NA	NA	0.01	000
93724	26	A	Analyze pacemaker system	4.88	1.95	2.43	1.95	2.43	0.18	000
93740		B	Temperature gradient studies	0.16	0.07	0.07	0.07	0.07	0.03	XXX
93745		C	Set-up cardiovert-defibrill	0.00	0.00	0.00	NA	NA	0.00	XXX
93745	TC	C	Set-up cardiovert-defibrill	0.00	0.00	0.00	NA	NA	0.00	XXX
93745	26	C	Set-up cardiovert-defibrill	0.00	0.00	0.00	0.00	0.00	0.00	XXX
93750		A	Interrogation vad in person	0.92	0.55	0.55	0.34	0.34	0.05	XXX
93770		B	Measure venous pressure	0.16	0.07	0.07	0.07	0.07	0.03	XXX
93784		A	Ambulatory BP monitoring	0.38	1.13	1.42	NA	NA	0.03	XXX
93786		A	Ambulatory BP recording	0.00	0.83	0.91	NA	NA	0.01	XXX
93788		A	Ambulatory BP analysis	0.00	0.14	0.34	NA	NA	0.01	XXX
93790		A	Review/report BP recording	0.38	0.16	0.17	0.16	0.17	0.01	XXX
93797		A	Cardiac rehab	0.18	0.30	0.34	0.08	0.09	0.01	000
93798		A	Cardiac rehab/monitor	0.28	0.41	0.47	0.12	0.14	0.01	000
93799		C	Cardiovascular procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
93799	TC	C	Cardiovascular procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
93799	26	C	Cardiovascular procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
93875		A	Extracranial study	0.22	2.75	2.89	NA	NA	0.02	XXX
93875	TC	A	Extracranial study	0.00	2.66	2.80	NA	NA	0.01	XXX
93875	26	A	Extracranial study	0.22	0.09	0.09	0.09	0.09	0.01	XXX
93880		A	Extracranial study	0.60	6.26	6.75	NA	NA	0.05	XXX
93880	TC	A	Extracranial study	0.00	6.03	6.50	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
93880	26	A	Extracranial study	0.60	0.23	0.25	0.23	0.25	0.04	XXX
93882		A	Extracranial study	0.40	4.58	4.67	NA	NA	0.06	XXX
93882	TC	A	Extracranial study	0.00	4.43	4.52	NA	NA	0.01	XXX
93882	26	A	Extracranial study	0.40	0.15	0.15	0.15	0.15	0.05	XXX
93886		A	Intracranial study	0.94	9.14	8.80	NA	NA	0.05	XXX
93886	TC	A	Intracranial study	0.00	8.72	8.40	NA	NA	0.01	XXX
93886	26	A	Intracranial study	0.94	0.42	0.40	0.42	0.40	0.04	XXX
93888		A	Intracranial study	0.62	5.38	5.57	NA	NA	0.05	XXX
93888	TC	A	Intracranial study	0.00	5.12	5.31	NA	NA	0.01	XXX
93888	26	A	Intracranial study	0.62	0.26	0.26	0.26	0.26	0.04	XXX
93890		A	Tcd vasoreactivity study	1.00	6.77	6.95	NA	NA	0.05	XXX
93890	TC	A	Tcd vasoreactivity study	0.00	6.35	6.54	NA	NA	0.01	XXX
93890	26	A	Tcd vasoreactivity study	1.00	0.42	0.41	0.42	0.41	0.04	XXX
93892		A	Tcd emboli detect w/o inj	1.15	8.66	8.24	NA	NA	0.06	XXX
93892	TC	A	Tcd emboli detect w/o inj	0.00	8.14	7.75	NA	NA	0.01	XXX
93892	26	A	Tcd emboli detect w/o inj	1.15	0.52	0.49	0.52	0.49	0.05	XXX
93893		A	Tcd emboli detect w/inj	1.15	9.49	8.66	NA	NA	0.06	XXX
93893	TC	A	Tcd emboli detect w/inj	0.00	8.97	8.16	NA	NA	0.01	XXX
93893	26	A	Tcd emboli detect w/inj	1.15	0.52	0.50	0.52	0.50	0.05	XXX
93922		A	Upr/l xtremity art 2 levels	0.25	2.39	2.99	NA	NA	0.02	XXX
93922	TC	A	Upr/l xtremity art 2 levels	0.00	2.29	2.89	NA	NA	0.01	XXX
93922	26	A	Upr/l xtremity art 2 levels	0.25	0.10	0.10	0.10	0.10	0.01	XXX
93923		A	Upr/lxtr art stdy 3+ lvls	0.45	3.65	4.55	NA	NA	0.05	XXX
93923	TC	A	Upr/lxtr art stdy 3+ lvls	0.00	3.48	4.37	NA	NA	0.01	XXX
93923	26	A	Upr/lxtr art stdy 3+ lvls	0.45	0.17	0.18	0.17	0.18	0.04	XXX
93924		A	Lwr xtr vasc stdy bilat	0.50	4.72	5.76	NA	NA	0.05	XXX
93924	TC	A	Lwr xtr vasc stdy bilat	0.00	4.53	5.55	NA	NA	0.01	XXX
93924	26	A	Lwr xtr vasc stdy bilat	0.50	0.19	0.21	0.19	0.21	0.04	XXX
93925		A	Lower extremity study	0.58	8.12	8.71	NA	NA	0.07	XXX

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93925	TC	A	Lower extremity study	0.00	7.90	8.48	NA	NA	0.03	XXX
93925	26	A	Lower extremity study	0.58	0.22	0.23	0.22	0.23	0.04	XXX
93926		A	Lower extremity study	0.39	5.37	5.63	NA	NA	0.06	XXX
93926	TC	A	Lower extremity study	0.00	5.23	5.48	NA	NA	0.01	XXX
93926	26	A	Lower extremity study	0.39	0.14	0.15	0.14	0.15	0.05	XXX
93930		A	Upper extremity study	0.46	6.49	6.88	NA	NA	0.05	XXX
93930	TC	A	Upper extremity study	0.00	6.32	6.69	NA	NA	0.01	XXX
93930	26	A	Upper extremity study	0.46	0.17	0.19	0.17	0.19	0.04	XXX
93931		A	Upper extremity study	0.31	4.31	4.58	NA	NA	0.04	XXX
93931	TC	A	Upper extremity study	0.00	4.20	4.46	NA	NA	0.01	XXX
93931	26	A	Upper extremity study	0.31	0.11	0.12	0.11	0.12	0.03	XXX
93965		A	Extremity study	0.35	3.12	3.35	NA	NA	0.04	XXX
93965	TC	A	Extremity study	0.00	2.99	3.21	NA	NA	0.01	XXX
93965	26	A	Extremity study	0.35	0.13	0.14	0.13	0.14	0.03	XXX
93970		A	Extremity study	0.68	6.49	6.87	NA	NA	0.08	XXX
93970	TC	A	Extremity study	0.00	6.24	6.60	NA	NA	0.01	XXX
93970	26	A	Extremity study	0.68	0.25	0.27	0.25	0.27	0.07	XXX
93971		A	Extremity study	0.45	4.23	4.51	NA	NA	0.05	XXX
93971	TC	A	Extremity study	0.00	4.06	4.33	NA	NA	0.01	XXX
93971	26	A	Extremity study	0.45	0.17	0.18	0.17	0.18	0.04	XXX
93975		A	Vascular study	1.80	8.57	9.28	NA	NA	0.17	XXX
93975	TC	A	Vascular study	0.00	7.89	8.54	NA	NA	0.03	XXX
93975	26	A	Vascular study	1.80	0.68	0.74	0.68	0.74	0.14	XXX
93976		A	Vascular study	1.21	4.75	5.13	NA	NA	0.09	XXX
93976	TC	A	Vascular study	0.00	4.29	4.63	NA	NA	0.01	XXX
93976	26	A	Vascular study	1.21	0.46	0.50	0.46	0.50	0.08	XXX
93978		A	Vascular study	0.65	6.09	6.45	NA	NA	0.08	XXX
93978	TC	A	Vascular study	0.00	5.85	6.19	NA	NA	0.01	XXX
93978	26	A	Vascular study	0.65	0.24	0.26	0.24	0.26	0.07	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
93979		A	Vascular study	0.44	4.23	4.48	NA	NA	0.05	XXX
93979	TC	A	Vascular study	0.00	4.07	4.31	NA	NA	0.01	XXX
93979	26	A	Vascular study	0.44	0.16	0.17	0.16	0.17	0.04	XXX
93980		A	Penile vascular study	1.25	3.52	3.89	NA	NA	0.08	XXX
93980	TC	A	Penile vascular study	0.00	3.03	3.34	NA	NA	0.01	XXX
93980	26	A	Penile vascular study	1.25	0.49	0.55	0.49	0.55	0.07	XXX
93981		A	Penile vascular study	0.44	2.70	3.09	NA	NA	0.04	XXX
93981	TC	A	Penile vascular study	0.00	2.53	2.91	NA	NA	0.01	XXX
93981	26	A	Penile vascular study	0.44	0.17	0.18	0.17	0.18	0.03	XXX
93982		R	Aneurysm pressure sens study	0.30	0.87	0.92	NA	NA	0.05	XXX
93990		A	Doppler flow testing	0.25	5.90	5.93	NA	NA	0.05	XXX
93990	TC	A	Doppler flow testing	0.00	5.81	5.84	NA	NA	0.01	XXX
93990	26	A	Doppler flow testing	0.25	0.09	0.09	0.09	0.09	0.04	XXX
94002		A	Vent mgmt inpat init day	1.99	NA	NA	0.60	0.52	0.16	XXX
94003		A	Vent mgmt inpat subq day	1.37	NA	NA	0.50	0.45	0.10	XXX
94004		A	Vent mgmt nf per day	1.00	NA	NA	0.36	0.33	0.07	XXX
94005		B	Home vent mgmt supervision	1.50	1.10	1.10	NA	NA	0.10	XXX
94010		A	Breathing capacity test	0.17	0.83	0.86	NA	NA	0.02	XXX
94010	TC	A	Breathing capacity test	0.00	0.76	0.79	NA	NA	0.01	XXX
94010	26	A	Breathing capacity test	0.17	0.07	0.07	0.07	0.07	0.01	XXX
94011		A	Spirometry up to 2 yrs old	2.00	NA	NA	0.77	0.77	0.14	XXX
94012		A	Spirmtry w/brnchdil inf-2 yr	3.10	NA	NA	1.16	1.16	0.23	XXX
94013		A	Meas lung vol thru 2 yrs	0.66	NA	NA	0.22	0.22	0.04	XXX
94014		A	Patient recorded spirometry	0.52	0.84	0.91	NA	NA	0.02	XXX
94015		A	Patient recorded spirometry	0.00	0.65	0.72	NA	NA	0.01	XXX
94016		A	Review patient spirometry	0.52	0.19	0.19	0.19	0.19	0.01	XXX
94060		A	Evaluation of wheezing	0.31	1.44	1.47	NA	NA	0.02	XXX
94060	TC	A	Evaluation of wheezing	0.00	1.32	1.36	NA	NA	0.01	XXX
94060	26	A	Evaluation of wheezing	0.31	0.12	0.11	0.12	0.11	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
94070		A	Evaluation of wheezing	0.60	1.11	1.13	NA	NA	0.04	XXX
94070	TC	A	Evaluation of wheezing	0.00	0.88	0.92	NA	NA	0.01	XXX
94070	26	A	Evaluation of wheezing	0.60	0.23	0.21	0.23	0.21	0.03	XXX
94150		B	Vital capacity test	0.07	0.61	0.63	NA	NA	0.02	XXX
94150	TC	B	Vital capacity test	0.00	0.58	0.60	NA	NA	0.01	XXX
94150	26	B	Vital capacity test	0.07	0.03	0.03	0.03	0.03	0.01	XXX
94200		A	Lung function test (MBC/MVV)	0.11	0.57	0.58	NA	NA	0.02	XXX
94200	TC	A	Lung function test (MBC/MVV)	0.00	0.53	0.54	NA	NA	0.01	XXX
94200	26	A	Lung function test (MBC/MVV)	0.11	0.04	0.04	0.04	0.04	0.01	XXX
94240		A	Residual lung capacity	0.26	0.86	0.90	NA	NA	0.02	XXX
94240	TC	A	Residual lung capacity	0.00	0.77	0.81	NA	NA	0.01	XXX
94240	26	A	Residual lung capacity	0.26	0.09	0.09	0.09	0.09	0.01	XXX
94250		A	Expired gas collection	0.11	0.57	0.62	NA	NA	0.02	XXX
94250	TC	A	Expired gas collection	0.00	0.53	0.58	NA	NA	0.01	XXX
94250	26	A	Expired gas collection	0.11	0.04	0.04	0.04	0.04	0.01	XXX
94260		A	Thoracic gas volume	0.13	0.78	0.81	NA	NA	0.02	XXX
94260	TC	A	Thoracic gas volume	0.00	0.73	0.77	NA	NA	0.01	XXX
94260	26	A	Thoracic gas volume	0.13	0.05	0.04	0.05	0.04	0.01	XXX
94350		A	Lung nitrogen washout curve	0.26	0.69	0.74	NA	NA	0.02	XXX
94350	TC	A	Lung nitrogen washout curve	0.00	0.59	0.65	NA	NA	0.01	XXX
94350	26	A	Lung nitrogen washout curve	0.26	0.10	0.09	0.10	0.09	0.01	XXX
94360		A	Measure airflow resistance	0.26	1.00	1.03	NA	NA	0.02	XXX
94360	TC	A	Measure airflow resistance	0.00	0.91	0.94	NA	NA	0.01	XXX
94360	26	A	Measure airflow resistance	0.26	0.09	0.09	0.09	0.09	0.01	XXX
94370		A	Breath airway closing volume	0.26	0.69	0.73	NA	NA	0.02	XXX
94370	TC	A	Breath airway closing volume	0.00	0.59	0.64	NA	NA	0.01	XXX
94370	26	A	Breath airway closing volume	0.26	0.10	0.09	0.10	0.09	0.01	XXX
94375		A	Respiratory flow volume loop	0.31	0.78	0.81	NA	NA	0.02	XXX
94375	TC	A	Respiratory flow volume loop	0.00	0.66	0.70	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
94375	26	A	Respiratory flow volume loop	0.31	0.12	0.11	0.12	0.11	0.01	XXX
94400		A	CO2 breathing response curve	0.40	1.14	1.17	NA	NA	0.02	XXX
94400	TC	A	CO2 breathing response curve	0.00	1.00	1.03	NA	NA	0.01	XXX
94400	26	A	CO2 breathing response curve	0.40	0.14	0.14	0.14	0.14	0.01	XXX
94450		A	Hypoxia response curve	0.40	1.48	1.33	NA	NA	0.02	XXX
94450	TC	A	Hypoxia response curve	0.00	1.31	1.18	NA	NA	0.01	XXX
94450	26	A	Hypoxia response curve	0.40	0.17	0.15	0.17	0.15	0.01	XXX
94452		A	Hast w/report	0.31	1.29	1.37	NA	NA	0.02	XXX
94452	TC	A	Hast w/report	0.00	1.18	1.27	NA	NA	0.01	XXX
94452	26	A	Hast w/report	0.31	0.11	0.10	0.11	0.10	0.01	XXX
94453		A	Hast w/oxygen titrate	0.40	1.78	1.87	NA	NA	0.02	XXX
94453	TC	A	Hast w/oxygen titrate	0.00	1.64	1.73	NA	NA	0.01	XXX
94453	26	A	Hast w/oxygen titrate	0.40	0.14	0.14	0.14	0.14	0.01	XXX
94610		A	Surfactant admin thru tube	1.16	0.57	0.51	0.57	0.51	0.07	XXX
94620		A	Pulmonary stress test/simple	0.64	0.89	1.19	NA	NA	0.04	XXX
94620	TC	A	Pulmonary stress test/simple	0.00	0.66	0.96	NA	NA	0.01	XXX
94620	26	A	Pulmonary stress test/simple	0.64	0.23	0.23	0.23	0.23	0.03	XXX
94621		A	Pulm stress test/complex	1.42	3.13	3.33	NA	NA	0.06	XXX
94621	TC	A	Pulm stress test/complex	0.00	2.59	2.77	NA	NA	0.01	XXX
94621	26	A	Pulm stress test/complex	1.42	0.54	0.56	0.54	0.56	0.05	XXX
94640		A	Airway inhalation treatment	0.00	0.49	0.46	NA	NA	0.01	XXX
94642		C	Aerosol inhalation treatment	0.00	0.00	0.00	0.00	0.00	0.00	XXX
94644		A	Cbt 1st hour	0.00	1.22	1.18	NA	NA	0.01	XXX
94645		A	Cbt each addl hour	0.00	0.40	0.42	NA	NA	0.01	XXX
94660		A	Pos airway pressure cpap	0.76	0.96	0.94	0.30	0.27	0.05	XXX
94662		A	Neg press ventilation cnp	0.76	NA	NA	0.26	0.26	0.05	XXX
94664		A	Evaluate pt use of inhaler	0.00	0.47	0.46	NA	NA	0.01	XXX
94667		A	Chest wall manipulation	0.00	0.67	0.66	NA	NA	0.01	XXX
94668		A	Chest wall manipulation	0.00	0.64	0.63	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
94680		A	Exhaled air analysis o2	0.26	1.36	1.46	NA	NA	0.02	XXX
94680	TC	A	Exhaled air analysis o2	0.00	1.25	1.36	NA	NA	0.01	XXX
94680	26	A	Exhaled air analysis o2	0.26	0.11	0.10	0.11	0.10	0.01	XXX
94681		A	Exhaled air analysis o2/co2	0.20	1.20	1.46	NA	NA	0.02	XXX
94681	TC	A	Exhaled air analysis o2/co2	0.00	1.12	1.39	NA	NA	0.01	XXX
94681	26	A	Exhaled air analysis o2/co2	0.20	0.08	0.07	0.08	0.07	0.01	XXX
94690		A	Exhaled air analysis	0.07	1.32	1.44	NA	NA	0.02	XXX
94690	TC	A	Exhaled air analysis	0.00	1.29	1.41	NA	NA	0.01	XXX
94690	26	A	Exhaled air analysis	0.07	0.03	0.03	0.03	0.03	0.01	XXX
94720		A	Monoxide diffusing capacity	0.26	1.19	1.27	NA	NA	0.02	XXX
94720	TC	A	Monoxide diffusing capacity	0.00	1.10	1.18	NA	NA	0.01	XXX
94720	26	A	Monoxide diffusing capacity	0.26	0.09	0.09	0.09	0.09	0.01	XXX
94725		A	Membrane diffusion capacity	0.26	1.17	1.48	NA	NA	0.02	XXX
94725	TC	A	Membrane diffusion capacity	0.00	1.07	1.38	NA	NA	0.01	XXX
94725	26	A	Membrane diffusion capacity	0.26	0.10	0.10	0.10	0.10	0.01	XXX
94750		A	Pulmonary compliance study	0.23	2.05	2.05	NA	NA	0.02	XXX
94750	TC	A	Pulmonary compliance study	0.00	1.96	1.97	NA	NA	0.01	XXX
94750	26	A	Pulmonary compliance study	0.23	0.09	0.08	0.09	0.08	0.01	XXX
94760		T	Measure blood oxygen level	0.00	0.08	0.07	NA	NA	0.01	XXX
94761		T	Measure blood oxygen level	0.00	0.12	0.12	NA	NA	0.01	XXX
94762		A	Measure blood oxygen level	0.00	0.29	0.58	NA	NA	0.01	XXX
94770		A	Exhaled carbon dioxide test	0.15	0.07	0.51	0.07	0.51	0.03	XXX
94772		C	Breath recording infant	0.00	0.00	0.00	NA	NA	0.00	XXX
94772	TC	C	Breath recording infant	0.00	0.00	0.00	NA	NA	0.00	XXX
94772	26	C	Breath recording infant	0.00	0.00	0.00	0.00	0.00	0.00	XXX
94774		C	Ped home apnea rec compl	0.00	0.00	0.00	0.00	0.00	0.00	YYY
94775		C	Ped home apnea rec hk-up	0.00	0.00	0.00	0.00	0.00	0.00	YYY
94776		C	Ped home apnea rec downld	0.00	0.00	0.00	0.00	0.00	0.00	YYY
94777		C	Ped home apnea rec report	0.00	0.00	0.00	0.00	0.00	0.00	YYY

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
94799		C	Pulmonary service/procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
94799	TC	C	Pulmonary service/procedure	0.00	0.00	0.00	NA	NA	0.00	XXX
94799	26	C	Pulmonary service/procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
95004		A	Percut allergy skin tests	0.01	0.17	0.17	NA	NA	0.01	XXX
95010		A	Percut allergy titrate test	0.15	0.38	0.38	NA	NA	0.01	XXX
95012		A	Exhaled nitric oxide meas	0.00	0.55	0.60	NA	NA	0.01	XXX
95015		A	Id allergy titrate-drug/bug	0.15	0.29	0.26	0.07	0.07	0.01	XXX
95024		A	Id allergy test drug/bug	0.01	0.19	0.20	NA	NA	0.01	XXX
95027		A	Id allergy titrate-airborne	0.01	0.11	0.12	NA	NA	0.01	XXX
95028		A	Id allergy test-delayed type	0.00	0.38	0.37	NA	NA	0.01	XXX
95044		A	Allergy patch tests	0.00	0.15	0.17	NA	NA	0.01	XXX
95052		A	Photo patch test	0.00	0.17	0.20	NA	NA	0.01	XXX
95056		A	Photosensitivity tests	0.00	1.24	1.20	NA	NA	0.01	XXX
95060		A	Eye allergy tests	0.00	0.91	0.84	0.91	0.84	0.01	XXX
95065		A	Nose allergy test	0.00	0.72	0.71	0.72	0.71	0.01	XXX
95070		A	Bronchial allergy tests	0.00	0.81	1.11	NA	NA	0.01	XXX
95071		A	Bronchial allergy tests	0.00	1.24	1.50	NA	NA	0.01	XXX
95075		A	Ingestion challenge test	0.95	0.91	0.91	0.44	0.42	0.04	XXX
95115		A	Immunotherapy one injection	0.00	0.26	0.29	NA	NA	0.01	XXX
95117		A	Immunotherapy injections	0.00	0.31	0.36	NA	NA	0.01	XXX
95120		I	Immunotherapy one injection	0.00	0.00	0.00	0.00	0.00	0.00	XXX
95125		I	Immunotherapy many antigens	0.00	0.00	0.00	0.00	0.00	0.00	XXX
95130		I	Immunotherapy insect venom	0.00	0.00	0.00	0.00	0.00	0.00	XXX
95131		I	Immunotherapy insect venoms	0.00	0.00	0.00	0.00	0.00	0.00	XXX
95132		I	Immunotherapy insect venoms	0.00	0.00	0.00	0.00	0.00	0.00	XXX
95133		I	Immunotherapy insect venoms	0.00	0.00	0.00	0.00	0.00	0.00	XXX
95134		I	Immunotherapy insect venoms	0.00	0.00	0.00	0.00	0.00	0.00	XXX
95144		A	Antigen therapy services	0.06	0.30	0.30	0.03	0.03	0.01	XXX
95145		A	Antigen therapy services	0.06	0.56	0.49	0.03	0.03	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
95146		A	Antigen therapy services	0.06	1.06	0.91	0.03	0.03	0.01	XXX
95147		A	Antigen therapy services	0.06	0.96	0.85	0.03	0.03	0.01	XXX
95148		A	Antigen therapy services	0.06	1.46	1.27	0.03	0.03	0.01	XXX
95149		A	Antigen therapy services	0.06	1.98	1.71	0.03	0.03	0.01	XXX
95165		A	Antigen therapy services	0.06	0.30	0.30	0.03	0.03	0.01	XXX
95170		A	Antigen therapy services	0.06	0.21	0.22	0.03	0.03	0.01	XXX
95180		A	Rapid desensitization	2.01	1.95	2.08	1.00	1.03	0.07	XXX
95199		C	Allergy immunology services	0.00	0.00	0.00	0.00	0.00	0.00	XXX
95250		A	Glucose monitoring cont	0.00	4.37	4.37	NA	NA	0.01	XXX
95251		A	Gluc monitor cont phys i&r	0.85	0.39	0.34	0.39	0.34	0.04	XXX
95800		A	Slp stdy unattended	1.05	4.97	4.97	NA	NA	0.05	XXX
95800	TC	A	Slp stdy unattended	0.00	4.35	4.35	NA	NA	0.01	XXX
95800	26	A	Slp stdy unattended	1.05	0.62	0.62	0.62	0.62	0.04	XXX
95801		A	Slp stdy unatnd w/anal	1.00	1.80	1.80	NA	NA	0.05	XXX
95801	TC	A	Slp stdy unatnd w/anal	0.00	1.33	1.33	NA	NA	0.01	XXX
95801	26	A	Slp stdy unatnd w/anal	1.00	0.47	0.47	0.47	0.47	0.04	XXX
95803		A	Actigraphy testing	0.90	3.85	3.85	NA	NA	0.05	XXX
95803	TC	A	Actigraphy testing	0.00	3.41	3.41	NA	NA	0.01	XXX
95803	26	A	Actigraphy testing	0.90	0.44	0.44	0.44	0.44	0.04	XXX
95805		A	Multiple sleep latency test	1.20	10.18	10.85	NA	NA	0.08	XXX
95805	TC	A	Multiple sleep latency test	0.00	9.70	10.27	NA	NA	0.04	XXX
95805	26	A	Multiple sleep latency test	1.20	0.48	0.58	0.48	0.58	0.04	XXX
95806		A	Sleep study unatt&resp efft	1.25	3.51	4.05	NA	NA	0.08	XXX
95806	TC	A	Sleep study unatt&resp efft	0.00	3.02	3.50	NA	NA	0.03	XXX
95806	26	A	Sleep study unatt&resp efft	1.25	0.49	0.55	0.49	0.55	0.05	XXX
95807		A	Sleep study attended	1.28	10.81	12.46	NA	NA	0.15	XXX
95807	TC	A	Sleep study attended	0.00	10.34	11.93	NA	NA	0.10	XXX
95807	26	A	Sleep study attended	1.28	0.47	0.53	0.47	0.53	0.05	XXX
95808		A	Polysomnography 1-3	1.74	16.54	17.29	NA	NA	0.17	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
95808	TC	A	Polysomnography 1-3	0.00	15.81	16.45	NA	NA	0.10	XXX
95808	26	A	Polysomnography 1-3	1.74	0.73	0.84	0.73	0.84	0.07	XXX
95810		A	Polysomnography 4 or more	2.50	14.61	17.82	NA	NA	0.21	XXX
95810	TC	A	Polysomnography 4 or more	0.00	13.64	16.72	NA	NA	0.11	XXX
95810	26	A	Polysomnography 4 or more	2.50	0.97	1.10	0.97	1.10	0.10	XXX
95811		A	Polysomnography w/cpap	2.60	15.35	19.31	NA	NA	0.23	XXX
95811	TC	A	Polysomnography w/cpap	0.00	14.35	18.16	NA	NA	0.12	XXX
95811	26	A	Polysomnography w/cpap	2.60	1.00	1.15	1.00	1.15	0.11	XXX
95812		A	Eeg 41-60 minutes	1.08	9.79	8.17	NA	NA	0.07	XXX
95812	TC	A	Eeg 41-60 minutes	0.00	9.27	7.69	NA	NA	0.03	XXX
95812	26	A	Eeg 41-60 minutes	1.08	0.52	0.48	0.52	0.48	0.04	XXX
95813		A	Eeg over 1 hour	1.73	9.80	8.65	NA	NA	0.11	XXX
95813	TC	A	Eeg over 1 hour	0.00	9.00	7.91	NA	NA	0.04	XXX
95813	26	A	Eeg over 1 hour	1.73	0.80	0.74	0.80	0.74	0.07	XXX
95816		A	Eeg awake and drowsy	1.08	9.03	7.46	NA	NA	0.08	XXX
95816	TC	A	Eeg awake and drowsy	0.00	8.51	6.98	NA	NA	0.03	XXX
95816	26	A	Eeg awake and drowsy	1.08	0.52	0.48	0.52	0.48	0.05	XXX
95819		A	Eeg awake and asleep	1.08	10.50	8.47	NA	NA	0.07	XXX
95819	TC	A	Eeg awake and asleep	0.00	9.98	7.99	NA	NA	0.03	XXX
95819	26	A	Eeg awake and asleep	1.08	0.52	0.48	0.52	0.48	0.04	XXX
95822		A	Eeg coma or sleep only	1.08	9.32	7.84	NA	NA	0.07	XXX
95822	TC	A	Eeg coma or sleep only	0.00	8.80	7.36	NA	NA	0.03	XXX
95822	26	A	Eeg coma or sleep only	1.08	0.52	0.48	0.52	0.48	0.04	XXX
95824		C	Eeg cerebral death only	0.00	0.00	0.00	NA	NA	0.00	XXX
95824	TC	C	Eeg cerebral death only	0.00	0.00	0.00	NA	NA	0.00	XXX
95824	26	A	Eeg cerebral death only	0.74	0.35	0.33	0.35	0.33	0.05	XXX
95827		A	Eeg all night recording	1.08	19.38	15.33	NA	NA	0.13	XXX
95827	TC	A	Eeg all night recording	0.00	18.85	14.86	NA	NA	0.08	XXX
95827	26	A	Eeg all night recording	1.08	0.53	0.47	0.53	0.47	0.05	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
95829		A	Surgery electrocorticogram	6.20	42.70	37.74	NA	NA	0.21	XXX
95829	TC	A	Surgery electrocorticogram	0.00	39.68	34.99	NA	NA	0.05	XXX
95829	26	A	Surgery electrocorticogram	6.20	3.02	2.75	3.02	2.75	0.16	XXX
95830		A	Insert electrodes for EEG	1.70	3.87	3.79	0.75	0.71	0.14	XXX
95831		A	Limb muscle testing manual	0.28	0.57	0.54	0.14	0.13	0.03	XXX
95832		A	Hand muscle testing manual	0.29	0.57	0.50	0.17	0.14	0.03	XXX
95833		A	Body muscle testing manual	0.47	0.61	0.60	0.16	0.18	0.01	XXX
95834		A	Body muscle testing manual	0.60	0.82	0.73	0.26	0.25	0.03	XXX
95851		A	Range of motion measurements	0.16	0.35	0.35	0.06	0.06	0.01	XXX
95852		A	Range of motion measurements	0.11	0.35	0.32	0.05	0.05	0.01	XXX
95857		A	Cholinesterase challenge	0.53	0.92	0.82	0.30	0.27	0.04	XXX
95860		A	Muscle test one limb	0.96	1.85	1.67	NA	NA	0.04	XXX
95860	TC	A	Muscle test one limb	0.00	1.35	1.20	NA	NA	0.01	XXX
95860	26	A	Muscle test one limb	0.96	0.50	0.47	0.50	0.47	0.03	XXX
95861		A	Muscle test 2 limbs	1.54	2.60	2.27	NA	NA	0.06	XXX
95861	TC	A	Muscle test 2 limbs	0.00	1.80	1.53	NA	NA	0.01	XXX
95861	26	A	Muscle test 2 limbs	1.54	0.80	0.74	0.80	0.74	0.05	XXX
95863		A	Muscle test 3 limbs	1.87	3.17	2.73	NA	NA	0.08	XXX
95863	TC	A	Muscle test 3 limbs	0.00	2.23	1.86	NA	NA	0.01	XXX
95863	26	A	Muscle test 3 limbs	1.87	0.94	0.87	0.94	0.87	0.07	XXX
95864		A	Muscle test 4 limbs	1.99	3.35	3.06	NA	NA	0.08	XXX
95864	TC	A	Muscle test 4 limbs	0.00	2.35	2.13	NA	NA	0.01	XXX
95864	26	A	Muscle test 4 limbs	1.99	1.00	0.93	1.00	0.93	0.07	XXX
95865		A	Muscle test larynx	1.57	2.06	1.90	NA	NA	0.05	XXX
95865	TC	A	Muscle test larynx	0.00	1.22	1.12	NA	NA	0.01	XXX
95865	26	A	Muscle test larynx	1.57	0.84	0.78	0.84	0.78	0.04	XXX
95866		A	Muscle test hemidiaphragm	1.25	2.03	1.75	NA	NA	0.06	XXX
95866	TC	A	Muscle test hemidiaphragm	0.00	1.42	1.16	NA	NA	0.01	XXX
95866	26	A	Muscle test hemidiaphragm	1.25	0.61	0.59	0.61	0.59	0.05	XXX

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95867		A	Muscle test cran nerv unilat	0.79	1.74	1.54	NA	NA	0.04	XXX
95867	TC	A	Muscle test cran nerv unilat	0.00	1.33	1.16	NA	NA	0.01	XXX
95867	26	A	Muscle test cran nerv unilat	0.79	0.41	0.38	0.41	0.38	0.03	XXX
95868		A	Muscle test cran nerve bilat	1.18	2.26	1.98	NA	NA	0.05	XXX
95868	TC	A	Muscle test cran nerve bilat	0.00	1.66	1.43	NA	NA	0.01	XXX
95868	26	A	Muscle test cran nerve bilat	1.18	0.60	0.55	0.60	0.55	0.04	XXX
95869		A	Muscle test thor paraspinal	0.37	1.63	1.34	NA	NA	0.02	XXX
95869	TC	A	Muscle test thor paraspinal	0.00	1.44	1.16	NA	NA	0.01	XXX
95869	26	A	Muscle test thor paraspinal	0.37	0.19	0.18	0.19	0.18	0.01	XXX
95870		A	Muscle test nonparaspinal	0.37	1.60	1.29	NA	NA	0.02	XXX
95870	TC	A	Muscle test nonparaspinal	0.00	1.41	1.12	NA	NA	0.01	XXX
95870	26	A	Muscle test nonparaspinal	0.37	0.19	0.17	0.19	0.17	0.01	XXX
95872		A	Muscle test one fiber	2.88	2.58	2.25	NA	NA	0.12	XXX
95872	TC	A	Muscle test one fiber	0.00	1.20	1.03	NA	NA	0.01	XXX
95872	26	A	Muscle test one fiber	2.88	1.38	1.22	1.38	1.22	0.11	XXX
95873		A	Guide nerv destr elec stim	0.37	1.61	1.34	NA	NA	0.02	ZZZ
95873	TC	A	Guide nerv destr elec stim	0.00	1.40	1.13	NA	NA	0.01	ZZZ
95873	26	A	Guide nerv destr elec stim	0.37	0.21	0.21	0.21	0.21	0.01	ZZZ
95874		A	Guide nerv destr needle emg	0.37	1.54	1.25	NA	NA	0.02	ZZZ
95874	TC	A	Guide nerv destr needle emg	0.00	1.34	1.07	NA	NA	0.01	ZZZ
95874	26	A	Guide nerv destr needle emg	0.37	0.20	0.18	0.20	0.18	0.01	ZZZ
95875		A	Limb exercise test	1.10	2.22	1.94	NA	NA	0.06	XXX
95875	TC	A	Limb exercise test	0.00	1.69	1.44	NA	NA	0.01	XXX
95875	26	A	Limb exercise test	1.10	0.53	0.50	0.53	0.50	0.05	XXX
95900		A	Motor nerve conduction test	0.42	1.45	1.33	NA	NA	0.02	XXX
95900	TC	A	Motor nerve conduction test	0.00	1.23	1.13	NA	NA	0.01	XXX
95900	26	A	Motor nerve conduction test	0.42	0.22	0.20	0.22	0.20	0.01	XXX
95903		A	Motor nerve conduction test	0.60	1.54	1.42	NA	NA	0.04	XXX
95903	TC	A	Motor nerve conduction test	0.00	1.25	1.15	NA	NA	0.01	XXX
95903	26	A	Motor nerve conduction test	0.60	0.29	0.27	0.29	0.27	0.03	XXX
95904		A	Sense nerve conduction test	0.34	1.29	1.20	NA	NA	0.02	XXX
95904	TC	A	Sense nerve conduction test	0.00	1.12	1.04	NA	NA	0.01	XXX
95904	26	A	Sense nerve conduction test	0.34	0.17	0.16	0.17	0.16	0.01	XXX
95905		A	Motor/sens nrve conduct test	0.05	2.42	2.42	NA	NA	0.02	XXX
95905	TC	A	Motor/sens nrve conduct test	0.00	2.39	2.39	NA	NA	0.01	XXX
95905	26	A	Motor/sens nrve conduct test	0.05	0.03	0.03	0.03	0.03	0.01	XXX
95920		A	Intraop nerve test add-on	2.11	2.70	2.47	NA	NA	0.09	ZZZ
95920	TC	A	Intraop nerve test add-on	0.00	1.67	1.52	NA	NA	0.01	ZZZ
95920	26	A	Intraop nerve test add-on	2.11	1.03	0.95	1.03	0.95	0.08	ZZZ
95921		A	Autonomic nerv function test	0.90	1.53	1.41	NA	NA	0.04	XXX
95921	TC	A	Autonomic nerv function test	0.00	1.12	1.03	NA	NA	0.01	XXX
95921	26	A	Autonomic nerv function test	0.90	0.41	0.38	0.41	0.38	0.03	XXX
95922		A	Autonomic nerv function test	0.96	2.11	1.90	NA	NA	0.04	XXX
95922	TC	A	Autonomic nerv function test	0.00	1.67	1.49	NA	NA	0.01	XXX
95922	26	A	Autonomic nerv function test	0.96	0.44	0.41	0.44	0.41	0.03	XXX
95923		A	Autonomic nerv function test	0.90	3.93	3.33	NA	NA	0.05	XXX
95923	TC	A	Autonomic nerv function test	0.00	3.49	2.92	NA	NA	0.01	XXX
95923	26	A	Autonomic nerv function test	0.90	0.44	0.41	0.44	0.41	0.04	XXX
95925		A	Somatosensory testing	0.54	4.94	4.07	NA	NA	0.02	XXX
95925	TC	A	Somatosensory testing	0.00	4.68	3.83	NA	NA	0.01	XXX
95925	26	A	Somatosensory testing	0.54	0.26	0.24	0.26	0.24	0.01	XXX
95926		A	Somatosensory testing	0.54	4.67	3.91	NA	NA	0.04	XXX
95926	TC	A	Somatosensory testing	0.00	4.42	3.67	NA	NA	0.01	XXX
95926	26	A	Somatosensory testing	0.54	0.25	0.24	0.25	0.24	0.03	XXX
95927		A	Somatosensory testing	0.54	4.07	3.64	NA	NA	0.02	XXX
95927	TC	A	Somatosensory testing	0.00	3.81	3.39	NA	NA	0.01	XXX
95927	26	A	Somatosensory testing	0.54	0.26	0.25	0.26	0.25	0.01	XXX
95928		A	C motor evoked uppr limbs	1.50	6.24	5.32	NA	NA	0.10	XXX

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95928	TC	A	C motor evoked uppr limbs	0.00	5.51	4.65	NA	NA	0.03	XXX
95928	26	A	C motor evoked uppr limbs	1.50	0.73	0.67	0.73	0.67	0.07	XXX
95929		A	C motor evoked lwr limbs	1.50	6.70	5.71	NA	NA	0.10	XXX
95929	TC	A	C motor evoked lwr limbs	0.00	5.97	5.04	NA	NA	0.03	XXX
95929	26	A	C motor evoked lwr limbs	1.50	0.73	0.67	0.73	0.67	0.07	XXX
95930		A	Visual evoked potential test	0.35	4.10	3.57	NA	NA	0.02	XXX
95930	TC	A	Visual evoked potential test	0.00	3.92	3.41	NA	NA	0.01	XXX
95930	26	A	Visual evoked potential test	0.35	0.18	0.16	0.18	0.16	0.01	XXX
95933		A	Blink reflex test	0.59	1.80	1.56	NA	NA	0.04	XXX
95933	TC	A	Blink reflex test	0.00	1.50	1.29	NA	NA	0.01	XXX
95933	26	A	Blink reflex test	0.59	0.30	0.27	0.30	0.27	0.03	XXX
95934		A	H-reflex test	0.51	1.32	1.13	NA	NA	0.02	XXX
95934	TC	A	H-reflex test	0.00	1.06	0.89	NA	NA	0.01	XXX
95934	26	A	H-reflex test	0.51	0.26	0.24	0.26	0.24	0.01	XXX
95936		A	H-reflex test	0.55	0.90	0.80	NA	NA	0.02	XXX
95936	TC	A	H-reflex test	0.00	0.63	0.55	NA	NA	0.01	XXX
95936	26	A	H-reflex test	0.55	0.27	0.25	0.27	0.25	0.01	XXX
95937		A	Neuromuscular junction test	0.65	1.40	1.20	NA	NA	0.05	XXX
95937	TC	A	Neuromuscular junction test	0.00	1.08	0.91	NA	NA	0.01	XXX
95937	26	A	Neuromuscular junction test	0.65	0.32	0.29	0.32	0.29	0.04	XXX
95950		A	Ambulatory eeg monitoring	1.51	7.14	6.39	NA	NA	0.10	XXX
95950	TC	A	Ambulatory eeg monitoring	0.00	6.41	5.72	NA	NA	0.03	XXX
95950	26	A	Ambulatory eeg monitoring	1.51	0.73	0.67	0.73	0.67	0.07	XXX
95951		C	EEG monitoring/videorecord	0.00	0.00	0.00	NA	NA	0.00	XXX
95951	TC	C	EEG monitoring/videorecord	0.00	0.00	0.00	NA	NA	0.00	XXX
95951	26	A	EEG monitoring/videorecord	5.99	2.92	2.67	2.92	2.67	0.48	XXX
95953		A	EEG monitoring/computer	3.08	9.06	8.94	NA	NA	0.18	XXX
95953	TC	A	EEG monitoring/computer	0.00	7.56	7.54	NA	NA	0.03	XXX
95953	26	A	EEG monitoring/computer	3.08	1.50	1.40	1.50	1.40	0.15	XXX

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95954		A	EEG monitoring/giving drugs	2.45	8.01	6.56	NA	NA	0.15	XXX
95954	TC	A	EEG monitoring/giving drugs	0.00	7.12	5.77	NA	NA	0.04	XXX
95954	26	A	EEG monitoring/giving drugs	2.45	0.89	0.79	0.89	0.79	0.11	XXX
95955		A	EEG during surgery	1.01	4.63	3.90	NA	NA	0.05	XXX
95955	TC	A	EEG during surgery	0.00	4.15	3.47	NA	NA	0.01	XXX
95955	26	A	EEG during surgery	1.01	0.48	0.43	0.48	0.43	0.04	XXX
95956		A	Eeg monitor technol attended	3.61	32.23	25.91	NA	NA	0.32	XXX
95956	TC	A	Eeg monitor technol attended	0.00	30.57	24.46	NA	NA	0.16	XXX
95956	26	A	Eeg monitor technol attended	3.61	1.66	1.45	1.66	1.45	0.16	XXX
95957		A	EEG digital analysis	1.98	9.75	7.93	NA	NA	0.11	XXX
95957	TC	A	EEG digital analysis	0.00	8.80	7.05	NA	NA	0.01	XXX
95957	26	A	EEG digital analysis	1.98	0.95	0.88	0.95	0.88	0.10	XXX
95958		A	EEG monitoring/function test	4.24	10.48	8.89	NA	NA	0.26	XXX
95958	TC	A	EEG monitoring/function test	0.00	8.51	7.04	NA	NA	0.04	XXX
95958	26	A	EEG monitoring/function test	4.24	1.97	1.85	1.97	1.85	0.22	XXX
95961		A	Electrode stimulation brain	2.97	5.00	4.30	NA	NA	0.15	XXX
95961	TC	A	Electrode stimulation brain	0.00	3.52	2.93	NA	NA	0.01	XXX
95961	26	A	Electrode stimulation brain	2.97	1.48	1.37	1.48	1.37	0.14	XXX
95962		A	Electrode stim brain add-on	3.21	3.78	3.31	NA	NA	0.15	ZZZ
95962	TC	A	Electrode stim brain add-on	0.00	2.20	1.87	NA	NA	0.01	ZZZ
95962	26	A	Electrode stim brain add-on	3.21	1.58	1.44	1.58	1.44	0.14	ZZZ
95965		C	Meg spontaneous	0.00	0.00	0.00	NA	NA	0.00	XXX
95965	TC	C	Meg spontaneous	0.00	0.00	0.00	NA	NA	0.00	XXX
95965	26	A	Meg spontaneous	7.99	3.90	3.71	3.90	3.71	0.64	XXX
95966		C	Meg evoked single	0.00	0.00	0.00	NA	NA	0.00	XXX
95966	TC	C	Meg evoked single	0.00	0.00	0.00	NA	NA	0.00	XXX
95966	26	A	Meg evoked single	3.99	1.95	1.86	1.95	1.86	0.31	XXX
95967		C	Meg evoked each addl	0.00	0.00	0.00	NA	NA	0.00	ZZZ
95967	TC	C	Meg evoked each addl	0.00	0.00	0.00	NA	NA	0.00	ZZZ

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95967	26	A	Meg evoked each addl	3.49	1.70	1.57	1.70	1.57	0.29	ZZZ
95970		A	Analyze neurostim no prog	0.45	1.41	1.25	0.21	0.19	0.04	XXX
95971		A	Analyze neurostim simple	0.78	0.82	0.85	0.34	0.33	0.07	XXX
95972		A	Analyze neurostim complex	1.50	1.56	1.50	0.70	0.64	0.14	XXX
95973		A	Analyze neurostim complex	0.92	0.86	0.77	0.46	0.39	0.08	ZZZ
95974		A	Cranial neurostim complex	3.00	2.51	2.19	1.41	1.29	0.26	XXX
95975		A	Cranial neurostim complex	1.70	1.28	1.13	0.83	0.75	0.12	ZZZ
95978		A	Analyze neurostim brain/1h	3.50	3.11	2.72	1.73	1.56	0.38	XXX
95979		A	Analyz neurostim brain addon	1.64	1.25	1.10	0.81	0.74	0.14	ZZZ
95980		A	Io anal gast n-stim init	0.80	NA	NA	0.44	0.37	0.16	XXX
95981		A	Io anal gast n-stim subsq	0.30	0.60	0.57	0.20	0.18	0.03	XXX
95982		A	Io ga n-stim subsq w/reprog	0.65	0.81	0.71	0.36	0.31	0.05	XXX
95990		A	Spin/brain pump refil & main	0.00	2.48	2.21	NA	NA	0.03	XXX
95991		A	Spin/brain pump refil & main	0.77	2.65	2.30	0.36	0.29	0.05	XXX
95992		A	Canalith repositioning proc	0.75	0.46	0.45	0.33	0.32	0.05	XXX
95999		C	Neurological procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
96000		A	Motion analysis video/3d	1.80	NA	NA	0.93	0.77	0.11	XXX
96001		A	Motion test w/ft press meas	2.15	NA	NA	0.65	0.68	0.12	XXX
96002		A	Dynamic surface emg	0.41	NA	NA	0.21	0.18	0.03	XXX
96003		A	Dynamic fine wire emg	0.37	NA	NA	0.18	0.15	0.03	XXX
96004		A	Phys review of motion tests	2.14	1.03	1.00	1.03	1.00	0.14	XXX
96020		C	Functional brain mapping	0.00	0.00	0.00	NA	NA	0.00	XXX
96020	TC	C	Functional brain mapping	0.00	0.00	0.00	NA	NA	0.00	XXX
96020	26	A	Functional brain mapping	3.43	1.28	1.46	1.28	1.46	0.31	XXX
96040		B	Genetic counseling 30 min	0.00	1.23	1.29	NA	NA	0.03	XXX
96101		A	Psycho testing by psych/phys	1.86	0.49	0.51	0.29	0.40	0.07	XXX
96102		A	Psycho testing by technician	0.50	1.74	1.44	0.17	0.16	0.03	XXX
96103		A	Psycho testing admin by comp	0.51	1.31	1.12	0.20	0.18	0.03	XXX
96105		A	Assessment of aphasia	1.75	0.85	1.36	NA	NA	0.04	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
96110		A	Developmental test lim	0.00	0.24	0.23	NA	NA	0.01	XXX
96111		A	Developmental test extend	2.60	0.84	0.93	0.67	0.80	0.16	XXX
96116		A	Neurobehavioral status exam	1.86	0.70	0.73	0.55	0.57	0.10	XXX
96118		A	Neuropsych tst by psych/phys	1.86	0.70	0.93	0.26	0.38	0.07	XXX
96119		A	Neuropsych testing by tec	0.55	1.39	1.51	0.09	0.13	0.01	XXX
96120		A	Neuropsych tst admin w/comp	0.51	2.08	1.89	0.18	0.17	0.03	XXX
96125		A	Cognitive test by hc pro	1.70	1.04	1.00	NA	NA	0.07	XXX
96150		A	Assess hlth/behave init	0.50	0.07	0.11	0.07	0.10	0.01	XXX
96151		A	Assess hlth/behave subseq	0.48	0.08	0.11	0.07	0.10	0.01	XXX
96152		A	Intervene hlth/behave indiv	0.46	0.07	0.10	0.06	0.09	0.01	XXX
96153		A	Intervene hlth/behave group	0.10	0.02	0.03	0.02	0.02	0.01	XXX
96154		A	Interv hlth/behav fam w/pt	0.45	0.07	0.10	0.06	0.09	0.01	XXX
96155		N	Interv hlth/behav fam no pt	0.44	0.20	0.20	0.19	0.19	0.03	XXX
96360		A	Hydration iv infusion init	0.17	1.34	1.49	NA	NA	0.03	XXX
96361		A	Hydrate iv infusion add-on	0.09	0.31	0.36	NA	NA	0.01	ZZZ
96365		A	Ther/proph/diag iv inf init	0.21	1.71	1.86	NA	NA	0.03	XXX
96366		A	Ther/proph/diag iv inf addon	0.18	0.42	0.45	NA	NA	0.01	ZZZ
96367		A	Tx/proph/dg addl seq iv inf	0.19	0.66	0.78	NA	NA	0.01	ZZZ
96368		A	Ther/diag concurrent inf	0.17	0.35	0.40	NA	NA	0.01	ZZZ
96369		A	Sc ther infusion up to 1 hr	0.21	4.85	4.81	NA	NA	0.03	XXX
96370		A	Sc ther infusion addl hr	0.18	0.26	0.26	NA	NA	0.01	ZZZ
96371		A	Sc ther infusion reset pump	0.00	2.26	2.36	NA	NA	0.01	ZZZ
96372		A	Ther/proph/diag inj sc/im	0.17	0.51	0.50	NA	NA	0.01	XXX
96373		A	Ther/proph/diag inj ia	0.17	0.38	0.38	NA	NA	0.01	XXX
96374		A	Ther/proph/diag inj iv push	0.18	1.29	1.44	NA	NA	0.03	XXX
96375		A	Tx/pro/dx inj new drug addon	0.10	0.49	0.56	NA	NA	0.01	ZZZ
96376		X	Tx/pro/dx inj same drug adon	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
96379		C	Ther/prop/diag inj/inf proc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
96401		A	Chemo anti-neopl sq/im	0.21	1.77	1.90	NA	NA	0.04	XXX

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96402		A	Chemo hormon antineopl sq/im	0.19	0.67	0.84	NA	NA	0.01	XXX
96405		A	Chemo intralesional up to 7	0.52	1.79	1.99	0.37	0.34	0.03	000
96406		A	Chemo intralesional over 7	0.80	2.36	2.65	0.51	0.46	0.04	000
96409		A	Chemo iv push sngl drug	0.24	2.65	3.04	NA	NA	0.05	XXX
96411		A	Chemo iv push addl drug	0.20	1.42	1.64	NA	NA	0.03	ZZZ
96413		A	Chemo iv infusion 1 hr	0.28	3.44	4.00	NA	NA	0.05	XXX
96415		A	Chemo iv infusion addl hr	0.19	0.62	0.72	NA	NA	0.01	ZZZ
96416		A	Chemo prolong infuse w/pump	0.21	3.87	4.49	NA	NA	0.07	XXX
96417		A	Chemo iv infus each addl seq	0.21	1.64	1.90	NA	NA	0.03	ZZZ
96420		A	Chemo ia push tecnique	0.17	2.58	2.97	NA	NA	0.08	XXX
96422		A	Chemo ia infusion up to 1 hr	0.17	4.27	4.94	NA	NA	0.08	XXX
96423		A	Chemo ia infuse each addl hr	0.17	1.89	2.15	NA	NA	0.04	ZZZ
96425		A	Chemotherapy infusion method	0.17	4.57	5.04	NA	NA	0.10	XXX
96440		A	Chemotherapy intracavitary	2.37	19.95	18.63	1.52	1.45	0.54	000
96446		A	Chemotx admn prtl cavity	0.37	4.79	4.79	0.19	0.19	0.07	XXX
96450		A	Chemotherapy into cns	1.53	3.39	4.23	0.76	0.88	0.11	000
96521		A	Refill/maint portable pump	0.21	3.36	3.68	NA	NA	0.05	XXX
96522		A	Refill/maint pump/resvr syst	0.21	2.71	3.02	NA	NA	0.05	XXX
96523		T	Irrig drug delivery device	0.04	0.61	0.70	NA	NA	0.01	XXX
96542		A	Chemotherapy injection	0.75	2.38	2.96	0.46	0.50	0.04	XXX
96549		C	Chemotherapy unspecified	0.00	0.00	0.00	0.00	0.00	0.00	XXX
96567		A	Photodynamic tx skin	0.00	3.78	3.86	NA	NA	0.01	XXX
96570		A	Photodynmc tx 30 min add-on	1.10	0.45	0.47	0.45	0.47	0.18	ZZZ
96571		A	Photodynamic tx addl 15 min	0.55	0.19	0.21	0.19	0.21	0.04	ZZZ
96900		A	Ultraviolet light therapy	0.00	0.57	0.60	NA	NA	0.01	XXX
96902		B	Trichogram	0.41	0.20	0.20	0.18	0.18	0.03	XXX
96904		R	Whole body photography	0.00	1.82	2.00	NA	NA	0.01	XXX
96910		A	Photochemotherapy with UV-B	0.00	1.99	2.04	NA	NA	0.01	XXX
96912		A	Photochemotherapy with UV-A	0.00	2.56	2.62	NA	NA	0.01	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
96913		A	Photochemotherapy uv-a or b	0.00	3.61	3.65	NA	NA	0.01	XXX
96920		A	Laser tx skin < 250 sq cm	1.15	3.83	3.93	0.85	0.79	0.04	000
96921		A	Laser tx skin 250-500 sq cm	1.17	3.96	3.92	0.85	0.77	0.04	000
96922		A	Laser tx skin > 500 sq cm	2.10	5.10	5.19	1.56	1.39	0.08	000
96999		C	Dermatological procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
97001		A	Pt evaluation	1.20	0.92	0.89	NA	NA	0.05	XXX
97002		A	Pt re-evaluation	0.60	0.58	0.55	NA	NA	0.03	XXX
97003		A	Ot evaluation	1.20	1.23	1.11	NA	NA	0.05	XXX
97004		A	Ot re-evaluation	0.60	0.90	0.80	NA	NA	0.03	XXX
97005		I	Athletic train eval	0.00	0.00	0.00	0.00	0.00	0.00	XXX
97006		I	Athletic train reeval	0.00	0.00	0.00	0.00	0.00	0.00	XXX
97010		B	Hot or cold packs therapy	0.06	0.10	0.09	NA	NA	0.01	XXX
97012		A	Mechanical traction therapy	0.25	0.20	0.19	NA	NA	0.01	XXX
97014		I	Electric stimulation therapy	0.18	0.27	0.24	NA	NA	0.01	XXX
97016		A	Vasopneumatic device therapy	0.18	0.35	0.32	NA	NA	0.01	XXX
97018		A	Paraffin bath therapy	0.06	0.23	0.21	NA	NA	0.01	XXX
97022		A	Whirlpool therapy	0.17	0.47	0.42	NA	NA	0.01	XXX
97024		A	Diathermy eg microwave	0.06	0.12	0.11	NA	NA	0.01	XXX
97026		R	Infrared therapy	0.06	0.10	0.09	NA	NA	0.01	XXX
97028		A	Ultraviolet therapy	0.08	0.12	0.11	NA	NA	0.01	XXX
97032		A	Electrical stimulation	0.25	0.28	0.26	NA	NA	0.01	XXX
97033		A	Electric current therapy	0.26	0.64	0.57	NA	NA	0.01	XXX
97034		A	Contrast bath therapy	0.21	0.29	0.26	NA	NA	0.01	XXX
97035		A	Ultrasound therapy	0.21	0.14	0.13	NA	NA	0.01	XXX
97036		A	Hydrotherapy	0.28	0.63	0.57	NA	NA	0.01	XXX
97039		C	Physical therapy treatment	0.00	0.00	0.00	0.00	0.00	0.00	XXX
97110		A	Therapeutic exercises	0.45	0.45	0.42	NA	NA	0.01	XXX
97112		A	Neuromuscular reeducation	0.45	0.49	0.45	NA	NA	0.01	XXX
97113		A	Aquatic therapy/exercises	0.44	0.76	0.69	NA	NA	0.01	XXX

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97116		A	Gait training therapy	0.40	0.39	0.36	NA	NA	0.01	XXX
97124		A	Massage therapy	0.35	0.38	0.35	NA	NA	0.01	XXX
97139		C	Physical medicine procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
97140		A	Manual therapy	0.43	0.41	0.38	NA	NA	0.01	XXX
97150		A	Group therapeutic procedures	0.27	0.31	0.28	NA	NA	0.01	XXX
97530		A	Therapeutic activities	0.44	0.54	0.50	NA	NA	0.01	XXX
97532		A	Cognitive skills development	0.44	0.31	0.29	NA	NA	0.01	XXX
97533		A	Sensory integration	0.44	0.38	0.36	NA	NA	0.01	XXX
97535		A	Self care mngment training	0.45	0.53	0.49	NA	NA	0.01	XXX
97537		A	Community/work reintegration	0.45	0.40	0.37	NA	NA	0.01	XXX
97542		A	Wheelchair mngment training	0.45	0.41	0.38	NA	NA	0.01	XXX
97545		R	Work hardening	0.00	0.00	0.00	0.00	0.00	0.00	XXX
97546		R	Work hardening add-on	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
97597		A	Rmvl devital tis 20 cm/<	0.51	1.56	1.56	0.15	0.15	0.05	000
97598		A	Rmvl devital tis addl 20 cm<	0.24	0.44	0.44	0.07	0.07	0.03	ZZZ
97602		B	Wound(s) care non-selective	0.00	0.00	0.00	0.00	0.00	0.00	XXX
97605		A	Neg press wound tx < 50 cm	0.55	0.58	0.53	0.16	0.17	0.08	XXX
97606		A	Neg press wound tx > 50 cm	0.60	0.59	0.54	0.17	0.19	0.10	XXX
97750		A	Physical performance test	0.45	0.47	0.44	NA	NA	0.03	XXX
97755		A	Assistive technology assess	0.62	0.39	0.37	NA	NA	0.03	XXX
97760		A	Orthotic mgmt and training	0.45	0.61	0.56	NA	NA	0.03	XXX
97761		A	Prosthetic training	0.45	0.47	0.43	NA	NA	0.03	XXX
97762		A	C/o for orthotic/prosth use	0.25	1.06	0.93	NA	NA	0.01	XXX
97799		C	Physical medicine procedure	0.00	0.00	0.00	0.00	0.00	0.00	XXX
97802		A	Medical nutrition indiv in	0.53	0.42	0.38	0.37	0.32	0.03	XXX
97803		A	Med nutrition indiv subseq	0.45	0.37	0.34	0.31	0.28	0.03	XXX
97804		A	Medical nutrition group	0.25	0.18	0.15	0.17	0.15	0.01	XXX
97810		N	Acupunct w/o stimul 15 min	0.60	0.41	0.42	0.26	0.26	0.04	XXX
97811		N	Acupunct w/o stimul addl 15m	0.50	0.27	0.27	0.22	0.21	0.03	ZZZ

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
97813		N	Acupunct w/stimul 15 min	0.65	0.44	0.44	0.29	0.28	0.04	XXX
97814		N	Acupunct w/stimul addl 15m	0.55	0.32	0.32	0.24	0.24	0.04	ZZZ
98925		A	Osteopathic manipulation	0.45	0.45	0.41	0.21	0.18	0.03	000
98926		A	Osteopathic manipulation	0.65	0.57	0.52	0.28	0.26	0.03	000
98927		A	Osteopathic manipulation	0.87	0.71	0.65	0.35	0.33	0.04	000
98928		A	Osteopathic manipulation	1.03	0.79	0.73	0.42	0.39	0.05	000
98929		A	Osteopathic manipulation	1.19	0.92	0.84	0.48	0.45	0.07	000
98940		A	Chiropractic manipulation	0.45	0.30	0.29	0.16	0.15	0.01	000
98941		A	Chiropractic manipulation	0.65	0.39	0.37	0.23	0.23	0.03	000
98942		A	Chiropractic manipulation	0.87	0.47	0.44	0.31	0.30	0.03	000
98943		N	Chiropractic manipulation	0.40	0.28	0.28	0.18	0.17	0.03	XXX
98960		B	Self-mgmt educ & train 1 pt	0.00	0.73	0.76	NA	NA	0.01	XXX
98961		B	Self-mgmt educ/train 2-4 pt	0.00	0.35	0.37	NA	NA	0.01	XXX
98962		B	Self-mgmt educ/train 5-8 pt	0.00	0.25	0.27	NA	NA	0.01	XXX
98966		N	Hc pro phone call 5-10 min	0.25	0.15	0.15	0.11	0.10	0.01	XXX
98967		N	Hc pro phone call 11-20 min	0.50	0.26	0.25	0.22	0.21	0.03	XXX
98968		N	Hc pro phone call 21-30 min	0.75	0.37	0.36	0.33	0.32	0.05	XXX
98969		N	Online service by hc pro	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99000		B	Specimen handling	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99001		B	Specimen handling	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99002		B	Device handling	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99024		B	Postop follow-up visit	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99026		N	In-hospital on call service	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99027		N	Out-of-hosp on call service	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99050		B	Medical services after hrs	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99051		B	Med serv eve/wkend/holiday	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99053		B	Med serv 10pm-8am 24 hr fac	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99056		B	Med service out of office	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99058		B	Office emergency care	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
99060		B	Out of office emerg med serv	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99070		B	Special supplies	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99071		B	Patient education materials	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99075		N	Medical testimony	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99078		B	Group health education	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99080		B	Special reports or forms	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99082		C	Unusual physician travel	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99090		B	Computer data analysis	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99091		B	Collect/review data from pt	1.10	0.48	0.47	NA	NA	0.07	XXX
99100		B	Special anesthesia service	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
99116		B	Anesthesia with hypothermia	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
99135		B	Special anesthesia procedure	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
99140		B	Emergency anesthesia	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
99143		C	Mod cs by same phys < 5 yrs	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99144		C	Mod cs by same phys 5 yrs +	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99145		C	Mod cs by same phys add-on	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
99148		C	Mod cs diff phys < 5 yrs	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99149		C	Mod cs diff phys 5 yrs +	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99150		C	Mod cs diff phys add-on	0.00	0.00	0.00	0.00	0.00	0.00	ZZZ
99170		A	Anogenital exam child	1.75	2.19	2.39	0.86	0.91	0.12	000
99172		N	Ocular function screen	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99173		N	Visual acuity screen	0.00	0.07	0.07	NA	NA	0.01	XXX
99174		N	Ocular photoscreening	0.00	0.78	0.81	NA	NA	0.01	XXX
99175		A	Induction of vomiting	0.00	0.66	0.71	NA	NA	0.01	XXX
99183		A	Hyperbaric oxygen therapy	2.34	3.69	3.54	0.99	0.89	0.26	XXX
99190		X	Special pump services	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99191		X	Special pump services	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99192		X	Special pump services	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99195		A	Phlebotomy	0.00	2.62	2.50	NA	NA	0.05	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
99199		C	Special service/proc/report	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99201		A	Office/outpatient visit new	0.48	0.73	0.70	0.26	0.24	0.04	XXX
99202		A	Office/outpatient visit new	0.93	1.16	1.09	0.49	0.44	0.07	XXX
99203		A	Office/outpatient visit new	1.42	1.57	1.48	0.72	0.64	0.14	XXX
99204		A	Office/outpatient visit new	2.43	2.15	2.01	1.21	1.06	0.23	XXX
99205		A	Office/outpatient visit new	3.17	2.52	2.38	1.50	1.34	0.27	XXX
99211		A	Office/outpatient visit est	0.18	0.37	0.39	0.08	0.08	0.01	XXX
99212		A	Office/outpatient visit est	0.48	0.73	0.70	0.24	0.22	0.04	XXX
99213		A	Office/outpatient visit est	0.97	1.06	0.99	0.47	0.42	0.07	XXX
99214		A	Office/outpatient visit est	1.50	1.49	1.42	0.71	0.63	0.10	XXX
99215		A	Office/outpatient visit est	2.11	1.91	1.81	1.01	0.90	0.14	XXX
99217		A	Observation care discharge	1.28	NA	NA	0.73	0.68	0.08	XXX
99218		A	Initial observation caree	1.28	NA	NA	0.58	0.54	0.08	XXX
99219		A	Initial observation care	2.14	NA	NA	0.98	0.89	0.14	XXX
99220		A	Initial observation care	2.99	NA	NA	1.35	1.24	0.20	XXX
99221		A	Initial hospital care	1.92	NA	NA	0.86	0.76	0.18	XXX
99222		A	Initial hospital care	2.61	NA	NA	1.21	1.06	0.22	XXX
99223		A	Initial hospital care	3.86	NA	NA	1.78	1.56	0.29	XXX
99224		A	Subsequent observation care	0.54	NA	NA	0.24	0.24	0.04	XXX
99225		A	Subsequent observation care	0.96	NA	NA	0.44	0.44	0.05	XXX
99226		A	Subsequent observation care	1.44	NA	NA	0.65	0.65	0.08	XXX
99231		A	Subsequent hospital care	0.76	NA	NA	0.34	0.32	0.05	XXX
99232		A	Subsequent hospital care	1.39	NA	NA	0.64	0.58	0.08	XXX
99233		A	Subsequent hospital care	2.00	NA	NA	0.90	0.82	0.12	XXX
99234		A	Observ/hosp same date	2.56	NA	NA	1.16	1.10	0.22	XXX
99235		A	Observ/hosp same date	3.41	NA	NA	1.56	1.43	0.23	XXX
99236		A	Observ/hosp same date	4.26	NA	NA	1.90	1.75	0.29	XXX
99238		A	Hospital discharge day	1.28	NA	NA	0.74	0.69	0.07	XXX
99239		A	Hospital discharge day	1.90	NA	NA	1.09	0.98	0.11	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
99241		I	Office consultation	0.64	0.66	0.66	0.24	0.24	0.07	XXX
99242		I	Office consultation	1.34	1.10	1.10	0.51	0.51	0.14	XXX
99243		I	Office consultation	1.88	1.46	1.46	0.71	0.71	0.18	XXX
99244		I	Office consultation	3.02	1.96	1.96	1.14	1.14	0.22	XXX
99245		I	Office consultation	3.77	2.30	2.30	1.38	1.38	0.29	XXX
99251		I	Inpatient consultation	1.00	NA	NA	0.32	0.32	0.07	XXX
99252		I	Inpatient consultation	1.50	NA	NA	0.52	0.52	0.12	XXX
99253		I	Inpatient consultation	2.27	NA	NA	0.84	0.84	0.15	XXX
99254		I	Inpatient consultation	3.29	NA	NA	1.23	1.23	0.18	XXX
99255		I	Inpatient consultation	4.00	NA	NA	1.44	1.44	0.24	XXX
99281		A	Emergency dept visit	0.45	NA	NA	0.15	0.13	0.03	XXX
99282		A	Emergency dept visit	0.88	NA	NA	0.27	0.24	0.07	XXX
99283		A	Emergency dept visit	1.34	NA	NA	0.39	0.36	0.10	XXX
99284		A	Emergency dept visit	2.56	NA	NA	0.67	0.63	0.22	XXX
99285		A	Emergency dept visit	3.80	NA	NA	0.91	0.88	0.30	XXX
99288		B	Direct advanced life support	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99291		A	Critical care first hour	4.50	3.04	2.95	1.68	1.56	0.34	XXX
99292		A	Critical care addl 30 min	2.25	1.13	1.07	0.85	0.78	0.18	ZZZ
99304		A	Nursing facility care init	1.64	0.94	0.82	0.94	0.82	0.14	XXX
99305		A	Nursing facility care init	2.35	1.28	1.09	1.28	1.09	0.20	XXX
99306		A	Nursing facility care init	3.06	1.59	1.34	1.59	1.34	0.23	XXX
99307		A	Nursing fac care subseq	0.76	0.49	0.44	0.49	0.44	0.04	XXX
99308		A	Nursing fac care subseq	1.16	0.77	0.68	0.77	0.68	0.07	XXX
99309		A	Nursing fac care subseq	1.55	1.00	0.88	1.00	0.88	0.08	XXX
99310		A	Nursing fac care subseq	2.35	1.41	1.24	1.41	1.24	0.14	XXX
99315		A	Nursing fac discharge day	1.13	0.70	0.61	0.70	0.61	0.07	XXX
99316		A	Nursing fac discharge day	1.50	0.88	0.77	0.88	0.77	0.08	XXX
99318		A	Annual nursing fac assessmnt	1.71	0.99	0.84	0.99	0.84	0.10	XXX
99324		A	Domicil/r-home visit new pat	1.01	0.55	0.54	NA	NA	0.07	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
99325		A	Domicil/r-home visit new pat	1.52	0.73	0.73	NA	NA	0.10	XXX
99326		A	Domicil/r-home visit new pat	2.63	1.31	1.19	NA	NA	0.16	XXX
99327		A	Domicil/r-home visit new pat	3.46	1.75	1.55	NA	NA	0.22	XXX
99328		A	Domicil/r-home visit new pat	4.09	2.00	1.78	NA	NA	0.24	XXX
99334		A	Domicil/r-home visit est pat	1.07	0.63	0.58	NA	NA	0.07	XXX
99335		A	Domicil/r-home visit est pat	1.72	0.94	0.84	NA	NA	0.10	XXX
99336		A	Domicil/r-home visit est pat	2.46	1.32	1.15	NA	NA	0.14	XXX
99337		A	Domicil/r-home visit est pat	3.58	1.83	1.60	NA	NA	0.23	XXX
99339		B	Domicil/r-home care supervis	1.25	0.92	0.91	NA	NA	0.08	XXX
99340		B	Domicil/r-home care supervis	1.80	1.23	1.22	NA	NA	0.12	XXX
99341		A	Home visit new patient	1.01	0.52	0.53	NA	NA	0.07	XXX
99342		A	Home visit new patient	1.52	0.70	0.71	NA	NA	0.11	XXX
99343		A	Home visit new patient	2.53	1.15	1.11	NA	NA	0.18	XXX
99344		A	Home visit new patient	3.38	1.75	1.54	NA	NA	0.22	XXX
99345		A	Home visit new patient	4.09	2.06	1.82	NA	NA	0.26	XXX
99347		A	Home visit est patient	1.00	0.55	0.53	NA	NA	0.07	XXX
99348		A	Home visit est patient	1.56	0.80	0.76	NA	NA	0.10	XXX
99349		A	Home visit est patient	2.33	1.27	1.12	NA	NA	0.14	XXX
99350		A	Home visit est patient	3.28	1.70	1.50	NA	NA	0.22	XXX
99354		A	Prolonged service office	1.77	1.01	0.94	0.82	0.75	0.11	ZZZ
99355		A	Prolonged service office	1.77	0.96	0.90	0.78	0.72	0.11	ZZZ
99356		A	Prolonged service inpatient	1.71	NA	NA	0.85	0.76	0.11	ZZZ
99357		A	Prolonged service inpatient	1.71	NA	NA	0.85	0.77	0.11	ZZZ
99358		B	Prolong service w/o contact	2.10	0.96	0.94	0.96	0.94	0.14	XXX
99359		B	Prolong serv w/o contact add	1.00	0.48	0.47	0.48	0.47	0.07	ZZZ
99360		X	Physician standby services	1.20	NA	NA	0.53	0.51	0.08	XXX
99363		B	Anticoag mgmt init	1.65	1.87	1.90	0.73	0.70	0.11	XXX
99364		B	Anticoag mgmt subseq	0.63	0.57	0.57	0.28	0.27	0.04	XXX
99366		B	Team conf w/pat by hc pro	0.82	0.38	0.37	0.36	0.35	0.05	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
99367		B	Team conf w/o pat by phys	1.10	NA	NA	0.48	0.47	0.07	XXX
99368		B	Team conf w/o pat by hc pro	0.72	NA	NA	0.32	0.31	0.04	XXX
99374		B	Home health care supervision	1.10	0.85	0.85	0.48	0.48	0.07	XXX
99375		I	Home health care supervision	1.73	1.20	1.28	0.76	0.88	0.11	XXX
99377		B	Hospice care supervision	1.10	0.85	0.85	0.48	0.48	0.07	XXX
99378		I	Hospice care supervision	1.73	1.20	1.34	0.76	0.94	0.11	XXX
99379		B	Nursing fac care supervision	1.10	0.85	0.85	0.48	0.48	0.07	XXX
99380		B	Nursing fac care supervision	1.73	1.20	1.20	0.76	0.75	0.11	XXX
99381		N	Init pm e/m new pat inf	1.19	1.43	1.49	0.52	0.51	0.08	XXX
99382		N	Init pm e/m new pat 1-4 yrs	1.36	1.50	1.56	0.60	0.59	0.08	XXX
99383		N	Prev visit new age 5-11	1.36	1.49	1.54	0.60	0.59	0.08	XXX
99384		N	Prev visit new age 12-17	1.53	1.57	1.62	0.67	0.66	0.10	XXX
99385		N	Prev visit new age 18-39	1.53	1.57	1.62	0.67	0.66	0.10	XXX
99386		N	Prev visit new age 40-64	1.88	1.72	1.78	0.83	0.81	0.12	XXX
99387		N	Init pm e/m new pat 65+ yrs	2.06	1.91	1.97	0.91	0.90	0.14	XXX
99391		N	Per pm reeval est pat inf	1.02	1.23	1.25	0.45	0.44	0.07	XXX
99392		N	Prev visit est age 1-4	1.19	1.30	1.32	0.52	0.51	0.08	XXX
99393		N	Prev visit est age 5-11	1.19	1.30	1.31	0.52	0.51	0.08	XXX
99394		N	Prev visit est age 12-17	1.36	1.37	1.39	0.60	0.59	0.08	XXX
99395		N	Prev visit est age 18-39	1.36	1.38	1.40	0.60	0.59	0.08	XXX
99396		N	Prev visit est age 40-64	1.53	1.45	1.47	0.67	0.66	0.10	XXX
99397		N	Per pm reeval est pat 65+ yr	1.71	1.65	1.67	0.75	0.75	0.11	XXX
99401		N	Preventive counseling indiv	0.48	0.52	0.56	0.21	0.21	0.03	XXX
99402		N	Preventive counseling indiv	0.98	0.74	0.78	0.43	0.43	0.07	XXX
99403		N	Preventive counseling indiv	1.46	0.96	1.00	0.64	0.64	0.10	XXX
99404		N	Preventive counseling indiv	1.95	1.17	1.22	0.86	0.85	0.12	XXX
99406		A	Behav chng smoking 3-10 min	0.24	0.16	0.15	0.10	0.10	0.01	XXX
99407		A	Behav chng smoking > 10 min	0.50	0.27	0.25	0.22	0.20	0.03	XXX
99408		N	Audit/dast 15-30 min	0.65	0.34	0.33	0.29	0.28	0.04	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
99409		N	Audit/dast over 30 min	1.30	0.62	0.61	0.57	0.56	0.08	XXX
99411		N	Preventive counseling group	0.15	0.30	0.30	0.07	0.06	0.01	XXX
99412		N	Preventive counseling group	0.25	0.34	0.34	0.11	0.11	0.01	XXX
99420		N	Health risk assessment test	0.00	0.28	0.29	NA	NA	0.01	XXX
99429		N	Unlisted preventive service	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99441		N	Phone e/m by phys 5-10 min	0.25	0.15	0.15	0.11	0.10	0.01	XXX
99442		N	Phone e/m by phys 11-20 min	0.50	0.26	0.25	0.22	0.21	0.03	XXX
99443		N	Phone e/m by phys 21-30 min	0.75	0.37	0.36	0.33	0.32	0.05	XXX
99444		N	Online e/m by phys	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99450		N	Basic life disability exam	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99455		R	Work related disability exam	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99456		R	Disability examination	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99460		A	Init nb em per day hosp	1.17	NA	NA	0.55	0.48	0.05	XXX
99461		A	Init nb em per day non-fac	1.26	1.45	1.35	0.55	0.54	0.08	XXX
99462		A	Sbsq nb em per day hosp	0.62	NA	NA	0.29	0.26	0.04	XXX
99463		A	Same day nb discharge	1.50	NA	NA	0.86	0.76	0.08	XXX
99464		A	Attendance at delivery	1.50	NA	NA	0.60	0.54	0.07	XXX
99465		A	Nb resuscitation	2.93	NA	NA	0.68	0.93	0.22	XXX
99466		A	Ped crit care transport	4.79	NA	NA	2.24	1.99	1.02	XXX
99467		A	Ped crit care transport addl	2.40	NA	NA	1.06	0.95	0.14	ZZZ
99468		A	Neonate crit care initial	18.46	NA	NA	7.42	6.50	1.52	XXX
99469		A	Neonate crit care subsq	7.99	NA	NA	3.52	3.13	0.42	XXX
99471		A	Ped critical care initial	15.98	NA	NA	6.42	5.97	0.87	XXX
99472		A	Ped critical care subsq	7.99	NA	NA	3.29	3.01	0.48	XXX
99475		A	Ped crit care age 2-5 init	11.25	4.53	4.02	4.53	4.02	0.86	XXX
99476		A	Ped crit care age 2-5 subsq	6.75	2.90	2.50	2.90	2.50	0.52	XXX
99477		A	Init day hosp neonate care	7.00	NA	NA	3.08	2.81	0.38	XXX
99478		A	Ic lbw inf < 1500 gm subsq	2.75	NA	NA	1.10	1.11	0.18	XXX
99479		A	Ic lbw inf 1500-2500 g subsq	2.50	NA	NA	1.22	1.05	0.16	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
99480		A	Ic inf pbw 2501-5000 g subsq	2.40	NA	NA	0.96	0.91	0.16	XXX
99499		C	Unlisted e&m service	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99500		I	Home visit prenatal	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99501		I	Home visit postnatal	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99502		I	Home visit nb care	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99503		I	Home visit resp therapy	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99504		I	Home visit mech ventilator	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99505		I	Home visit stoma care	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99506		I	Home visit im injection	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99507		I	Home visit cath maintain	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99509		I	Home visit day life activity	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99510		I	Home visit sing/m/fam couns	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99511		I	Home visit fecal/enema mgmt	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99512		I	Home visit for hemodialysis	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99600		I	Home visit nos	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99601		I	Home infusion/visit 2 hrs	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99602		I	Home infusion each addtl hr	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99605		X	Mtms by pharm np 15 min	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99606		X	Mtms by pharm est 15 min	0.00	0.00	0.00	0.00	0.00	0.00	XXX
99607		X	Mtms by pharm addl 15 min	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A4641		C	Radiopharm dx agent noc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A4642		C	In111 satumomab	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A4890		R	Repair/maint cont hemo equip	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9500		C	Tc99m sestamibi	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9501		C	Technetium TC-99m teboroxime	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9502		C	Tc99m tetrofosmin	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9503		C	Tc99m medronate	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9504		C	Tc99m apcitide	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9505		C	TL201 thallium	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
A9507		C	In111 capromab	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9508		C	I131 iodobenguate, dx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9509		C	Iodine I-123 sod iodide mil	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9510		C	Tc99m disofenin	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9512		C	Tc99m pertechnetate	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9516		C	Iodine I-123 sod iodide mic	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9517		C	I131 iodide cap, rx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9521		C	Tc99m exametazime	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9524		C	I131 serum albumin, dx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9526		C	Nitrogen N-13 ammonia	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9527		C	Iodine I-125 sodium iodide	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9528		C	Iodine I-131 iodide cap, dx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9529		C	I131 iodide sol, dx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9530		C	I131 iodide sol, rx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9531		C	I131 max 100uCi	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9532		C	I125 serum albumin, dx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9536		C	Tc99m depreotide	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9537		C	Tc99m mebrofenin	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9538		C	Tc99m pyrophosphate	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9539		C	Tc99m pentetate	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9540		C	Tc99m MAA	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9541		C	Tc99m sulfur colloid	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9542		C	In111 ibritumomab, dx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9543		C	Y90 ibritumomab, rx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9544		C	I131 tositumomab, dx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9545		C	I131 tositumomab, rx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9546		C	Co57/58	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9547		C	In111 oxyquinoline	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9548		C	In111 pentetate	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
A9550		C	Tc99m gluceptate	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9551		C	Tc99m succimer	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9552		C	F18 fdg	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9553		C	Cr51 chromate	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9554		C	I125 iothalamate, dx	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9555		C	Rb82 rubidium	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9556		C	Ga67 gallium	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9557		C	Tc99m bicisate	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9558		C	Xe133 xenon 10mci	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9559		C	Co57 cyano	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9560		C	Tc99m labeled rbc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9561		C	Tc99m oxidronate	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9562		C	Tc99m mertiatide	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9563		C	P32 Na phosphate	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9564		C	P32 chromic phosphate	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9566		C	Tc99m fanolesomab	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9567		C	Technetium TC-99m aerosol	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9568		C	Technetium tc99m arcitumomab	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9569		C	Technetium TC-99m auto WBC	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9570		C	Indium In-111 auto WBC	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9571		C	Indium IN-111 auto platelet	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9572		C	Indium In-111 pentetreotide	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9580		C	Sodium fluoride F-18	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9600		C	Sr89 strontium	0.00	0.00	0.00	0.00	0.00	0.00	XXX
A9699		C	Radiopharm rx agent noc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0008		X	Admin influenza virus vac	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0009		X	Admin pneumococcal vaccine	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0010		X	Admin hepatitis b vaccine	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0027		X	Semen analysis	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
G0101		A	CA screen;pelvic/breast exam	0.45	0.61	0.61	0.32	0.32	0.03	XXX
G0102		A	Prostate ca screening; dre	0.17	0.37	0.39	0.08	0.08	0.01	XXX
G0103		X	PSA screening	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0104		A	CA screen;flexi sigmoidscope	0.96	2.94	2.96	0.80	0.77	0.14	000
G0105		A	Colorectal scrn; hi risk ind	3.69	7.16	7.41	2.28	2.23	0.59	000
G0105	53	A	Colorectal scrn; hi risk ind	0.96	2.94	2.96	0.80	0.77	0.14	000
G0106		A	Colon CA screen;barium enema	0.99	5.18	5.26	NA	NA	0.04	XXX
G0106	TC	A	Colon CA screen;barium enema	0.00	4.81	4.85	NA	NA	0.01	XXX
G0106	26	A	Colon CA screen;barium enema	0.99	0.37	0.41	0.37	0.41	0.03	XXX
G0108		A	Diab manage trn per indiv	0.90	0.55	0.66	NA	NA	0.05	XXX
G0109		A	Diab manage trn ind/group	0.25	0.15	0.29	NA	NA	0.01	XXX
G0117		T	Glaucoma scrn hgh risk direc	0.45	1.03	0.98	NA	NA	0.03	XXX
G0118		T	Glaucoma scrn hgh risk direc	0.17	0.87	0.83	NA	NA	0.01	XXX
G0120		A	Colon ca scrn; barium enema	0.99	5.18	5.26	NA	NA	0.04	XXX
G0120	TC	A	Colon ca scrn; barium enema	0.00	4.81	4.85	NA	NA	0.01	XXX
G0120	26	A	Colon ca scrn; barium enema	0.99	0.37	0.41	0.37	0.41	0.03	XXX
G0121		A	Colon ca scrn not hi rsk ind	3.69	7.16	7.41	2.28	2.23	0.59	000
G0121	53	A	Colon ca scrn not hi rsk ind	0.96	2.94	2.96	0.80	0.77	0.14	000
G0122		N	Colon ca scrn; barium enema	0.99	7.18	6.90	NA	NA	0.05	XXX
G0122	TC	N	Colon ca scrn; barium enema	0.00	6.74	6.47	NA	NA	0.01	XXX
G0122	26	N	Colon ca scrn; barium enema	0.99	0.44	0.43	0.44	0.43	0.04	XXX
G0123		X	Screen cerv/vag thin layer	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0124		A	Screen c/v thin layer by MD	0.42	0.42	0.40	0.42	0.40	0.03	XXX
G0127		R	Trim nail(s)	0.17	0.48	0.45	0.05	0.06	0.01	000
G0128		R	CORF skilled nursing service	0.08	0.20	0.19	NA	NA	0.01	XXX
G0130		A	Single energy x-ray study	0.22	0.72	0.74	NA	NA	0.02	XXX
G0130	TC	A	Single energy x-ray study	0.00	0.62	0.65	NA	NA	0.01	XXX
G0130	26	A	Single energy x-ray study	0.22	0.10	0.09	0.10	0.09	0.01	XXX
G0141		A	Scr c/v cyto,autosys and md	0.42	0.42	0.40	0.42	0.40	0.03	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
G0143		X	Scr c/v cyto,thinlayer,rescr	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0144		X	Scr c/v cyto,thinlayer,rescr	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0145		X	Scr c/v cyto,thinlayer,rescr	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0147		X	Scr c/v cyto, automated sys	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0148		X	Scr c/v cyto, autosys, rescr	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0157		E	HHC PT assistant ea 15	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0158		E	HHC OT assistant ea 15	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0159		E	HHC PT maint ea 15 min	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0160		E	HHC Occup Therapy ea 15	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0161		E	HHC SLP ea 15 min	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0162		E	HHC RN E&M plan svs, 15 min	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0163		E	HHC LPN/RN obs/asses ea 15	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0164		E	HHC lis nurse train ea 15	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0166		A	Extrnl counterpulse, per tx	0.07	3.87	4.43	NA	NA	0.03	XXX
G0168		A	Wound closure by adhesive	0.45	2.14	2.07	0.30	0.28	0.03	000
G0173		X	Linear acc stereo radsur com	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0175		X	OPPS Service,sched team conf	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0176		X	OPPS/PHP;activity therapy	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0177		X	OPPS/PHP; train & educ serv	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0179		A	MD recertification HHA PT	0.45	0.68	0.72	NA	NA	0.03	XXX
G0180		A	MD certification HHA patient	0.67	0.80	0.85	NA	NA	0.04	XXX
G0181		A	Home health care supervision	1.73	1.28	1.24	NA	NA	0.10	XXX
G0182		A	Hospice care supervision	1.73	1.29	1.28	NA	NA	0.10	XXX
G0186		C	Dstry eye lesn,fdr vssl tech	0.00	0.00	0.00	0.00	0.00	0.00	YYY
G0202		A	Screeningmammographydigital	0.70	3.36	3.40	NA	NA	0.05	XXX
G0202	TC	A	Screeningmammographydigital	0.00	3.07	3.11	NA	NA	0.01	XXX
G0202	26	A	Screeningmammographydigital	0.70	0.29	0.29	0.29	0.29	0.04	XXX
G0204		A	Diagnosticmammographydigital	0.87	4.07	4.04	NA	NA	0.06	XXX
G0204	TC	A	Diagnosticmammographydigital	0.00	3.71	3.68	NA	NA	0.01	XXX

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G0204	26	A	Diagnosticmammographydigital	0.87	0.36	0.36	0.36	0.36	0.05	XXX
G0206		A	Diagnosticmammographydigital	0.70	3.19	3.17	NA	NA	0.05	XXX
G0206	TC	A	Diagnosticmammographydigital	0.00	2.90	2.88	NA	NA	0.01	XXX
G0206	26	A	Diagnosticmammographydigital	0.70	0.29	0.29	0.29	0.29	0.04	XXX
G0219		N	PET img wholbod melano nonco	0.00	0.00	0.00	NA	NA	0.00	XXX
G0219	TC	N	PET img wholbod melano nonco	0.00	0.00	0.00	NA	NA	0.00	XXX
G0219	26	N	PET img wholbod melano nonco	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0235		N	PET not otherwise specified	0.00	0.00	0.00	NA	NA	0.00	XXX
G0235	TC	N	PET not otherwise specified	0.00	0.00	0.00	NA	NA	0.00	XXX
G0235	26	N	PET not otherwise specified	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0237		A	Therapeutic procd strg endur	0.00	0.25	0.29	NA	NA	0.01	XXX
G0238		A	Oth resp proc, indiv	0.00	0.26	0.31	NA	NA	0.01	XXX
G0239		A	Oth resp proc, group	0.00	0.31	0.34	NA	NA	0.01	XXX
G0245		R	Initial foot exam pt lops	0.88	1.16	1.09	0.49	0.44	0.05	XXX
G0246		R	Followup eval of foot pt lop	0.45	0.73	0.70	0.24	0.22	0.03	XXX
G0247		R	Routine footcare pt w lops	0.50	1.56	1.17	0.15	0.18	0.04	ZZZ
G0248		R	Demonstrate use home inr mon	0.00	3.23	4.13	NA	NA	0.01	XXX
G0249		R	Provide INR test mater/equip	0.00	3.03	3.65	NA	NA	0.01	XXX
G0250		R	MD INR test revie inter mgmt	0.18	0.07	0.08	NA	NA	0.01	XXX
G0251		E	Linear acc based stero radio	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0252		N	PET imaging initial dx	0.00	0.00	0.00	NA	NA	0.00	XXX
G0252	TC	N	PET imaging initial dx	0.00	0.00	0.00	NA	NA	0.00	XXX
G0252	26	N	PET imaging initial dx	1.50	0.66	0.70	0.66	0.70	0.10	XXX
G0255		N	Current percep threshold tst	0.00	0.00	0.00	NA	NA	0.00	XXX
G0255	TC	N	Current percep threshold tst	0.00	0.00	0.00	NA	NA	0.00	XXX
G0255	26	N	Current percep threshold tst	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0257		E	Unsched dialysis ESRD pt hos	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0259		E	Inject for sacroiliac joint	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0260		E	Inj for sacroiliac jt anesth	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
G0268		A	Removal of impacted wax md	0.61	0.91	0.86	0.36	0.31	0.03	000
G0269		B	Occlusive device in vein art	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0270		A	MNT subs tx for change dx	0.45	0.37	0.34	0.31	0.28	0.03	XXX
G0271		A	Group MNT 2 or more 30 mins	0.25	0.18	0.15	0.17	0.15	0.01	XXX
G0275		A	Renal angio, cardiac cath	0.25	NA	NA	0.10	0.13	0.01	ZZZ
G0278		A	Iliac art angio,cardiac cath	0.25	NA	NA	0.10	0.13	0.01	ZZZ
G0281		A	Elec stim unattend for press	0.18	0.20	0.18	NA	NA	0.01	XXX
G0282		N	Elect stim wound care not pd	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0283		A	Elec stim other than wound	0.18	0.20	0.18	NA	NA	0.01	XXX
G0288		A	Recon, CTA for surg plan	0.00	1.00	2.56	NA	NA	0.01	XXX
G0289		A	Arthro, loose body + chondro	1.48	NA	NA	0.88	0.85	0.29	ZZZ
G0290		E	Drug-eluting stents, single	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0291		E	Drug-eluting stents,each add	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0293		E	Non-cov surg proc,clin trial	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0294		E	Non-cov proc, clinical trial	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0295		N	Electromagnetic therapy onc	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0302		X	Pre-op service LVRS complete	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0303		X	Pre-op service LVRS 10-15dos	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0304		X	Pre-op service LVRS 1-9 dos	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0305		X	Post op service LVRS min 6	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0306		X	CBC/diffwbc w/o platelet	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0307		X	CBC without platelet	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0328		X	Fecal blood scrn immunoassay	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0329		A	Electromagntic tx for ulcers	0.06	0.22	0.20	NA	NA	0.01	XXX
G0333		X	Dispense fee initial 30 day	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0337		X	Hospice evaluation preelecti	1.42	0.63	0.63	0.63	0.63	0.10	XXX
G0339		C	Robot lin-radsurg com, first	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0340		C	Robt lin-radsurg fractx 2-5	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0341		A	Percutaneous islet celltrans	6.98	53.71	29.63	3.02	3.85	0.49	000

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G0342		A	Laparoscopy islet cell trans	11.92	NA	NA	7.87	7.18	0.84	090
G0343		A	Laparotomy islet cell transp	19.85	NA	NA	13.35	12.15	1.40	090
G0364		A	Bone marrow aspirate &biopsy	0.16	0.19	0.19	0.09	0.09	0.01	ZZZ
G0365		A	Vessel mapping hemo access	0.25	5.90	5.93	NA	NA	0.04	XXX
G0365	TC	A	Vessel mapping hemo access	0.00	5.81	5.84	NA	NA	0.01	XXX
G0365	26	A	Vessel mapping hemo access	0.25	0.09	0.09	0.09	0.09	0.03	XXX
G0372		A	MD service required for PMD	0.17	0.08	0.12	0.08	0.07	0.01	XXX
G0378		X	Hospital observation per hr	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0379		X	Direct refer hospital observ	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0389		A	Ultrasound exam AAA screen	0.58	2.46	2.69	NA	NA	0.04	XXX
G0389	TC	A	Ultrasound exam AAA screen	0.00	2.24	2.44	NA	NA	0.01	XXX
G0389	26	A	Ultrasound exam AAA screen	0.58	0.22	0.25	0.22	0.25	0.03	XXX
G0396		A	Alcohol/subs interv 15-30mn	0.65	0.32	0.29	0.27	0.23	0.04	XXX
G0397		A	Alcohol/subs interv >30 min	1.30	0.80	0.62	0.74	0.56	0.08	XXX
G0398		C	Home sleep test/type 2 Porta	0.00	0.00	0.00	NA	NA	0.00	XXX
G0398	TC	C	Home sleep test/type 2 Porta	0.00	0.00	0.00	NA	NA	0.00	XXX
G0398	26	C	Home sleep test/type 2 Porta	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0399		C	Home sleep test/type 3 Porta	0.00	0.00	0.00	NA	NA	0.00	XXX
G0399	TC	C	Home sleep test/type 3 Porta	0.00	0.00	0.00	NA	NA	0.00	XXX
G0399	26	C	Home sleep test/type 3 Porta	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0400		C	Home sleep test/type 4 Porta	0.00	0.00	0.00	NA	NA	0.00	XXX
G0400	TC	C	Home sleep test/type 4 Porta	0.00	0.00	0.00	NA	NA	0.00	XXX
G0400	26	C	Home sleep test/type 4 Porta	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0402		A	Initial preventive exam	2.43	2.21	1.80	1.15	1.15	0.12	XXX
G0403		A	EKG for initial prevent exam	0.17	0.32	0.40	NA	NA	0.02	XXX
G0404		A	EKG tracing for initial prev	0.00	0.25	0.32	NA	NA	0.01	XXX
G0405		A	EKG interpret & report preve	0.17	0.07	0.08	0.07	0.07	0.01	XXX
G0406		A	Telhealth inpt consult 15min	0.76	NA	NA	0.34	0.32	0.05	XXX
G0407		A	Telheath inpt consult 25min	1.39	NA	NA	0.64	0.58	0.08	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Mal-Practice RVUs[2,4]	Global
G0408		A	Telhealth inpt consult 35min	2.00	NA	NA	0.90	0.82	0.12	XXX
G0409		A	CORF related serv 15 mins ea	0.00	0.29	0.29	NA	NA	0.01	XXX
G0410		X	Grp psych partial hosp 45-50	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0411		X	Inter active grp psych parti	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0412		A	Open tx iliac spine uni/bil	10.45	NA	NA	9.27	8.77	2.04	090
G0413		A	Pelvic ring fracture uni/bil	15.73	NA	NA	12.82	12.28	3.06	090
G0414		A	Pelvic ring fx treat int fix	14.65	NA	NA	12.65	12.06	2.86	090
G0415		A	Open tx post pelvic fxcture	20.93	NA	NA	16.43	15.42	4.21	090
G0416		A	Sat biopsy prostate 1-20 spc	3.09	13.96	13.96	NA	NA	0.12	XXX
G0416	TC	A	Sat biopsy prostate 1-20 spc	0.00	12.14	12.14	NA	NA	0.01	XXX
G0416	26	A	Sat biopsy prostate 1-20 spc	3.09	1.82	1.82	1.82	1.82	0.11	XXX
G0417		A	Sat biopsy prostate 21-40	5.86	27.26	27.26	NA	NA	0.25	XXX
G0417	TC	A	Sat biopsy prostate 21-40	0.00	23.71	23.71	NA	NA	0.01	XXX
G0417	26	A	Sat biopsy prostate 21-40	5.86	3.55	3.55	3.55	3.55	0.24	XXX
G0418		A	Sat biopsy prostate 41-60	10.30	46.56	46.56	NA	NA	0.42	XXX
G0418	TC	A	Sat biopsy prostate 41-60	0.00	40.50	40.50	NA	NA	0.01	XXX
G0418	26	A	Sat biopsy prostate 41-60	10.30	6.06	6.06	6.06	6.06	0.41	XXX
G0419		A	Sat biopsy prostate: >60	11.61	55.86	55.86	NA	NA	0.46	XXX
G0419	TC	A	Sat biopsy prostate: >60	0.00	48.60	48.60	NA	NA	0.01	XXX
G0419	26	A	Sat biopsy prostate: >60	11.61	7.26	7.26	7.26	7.26	0.45	XXX
G0420		A	Ed svc CKD ind per session	2.12	1.03	1.03	NA	NA	0.11	XXX
G0421		A	Ed svc CKD grp per session	0.50	0.24	0.24	NA	NA	0.03	XXX
G0422		A	Intens cardiac rehab w/exerc	1.41	1.21	1.21	1.21	1.21	0.08	XXX
G0423		A	Intens cardiac rehab no exer	1.41	1.21	1.21	1.21	1.21	0.08	XXX
G0424		A	Pulmonary rehab w exer	0.28	0.59	0.59	0.12	0.12	0.03	XXX
G0425		A	Inpt telehealth consult 30m	1.92	NA	NA	0.86	0.86	0.18	XXX
G0426		A	Inpt telehealth consult 50m	2.61	NA	NA	1.21	1.21	0.22	XXX
G0427		A	Inpt telehealth con 70/>m	3.86	NA	NA	1.78	1.78	0.29	XXX
G0428		N	Collagen Meniscus Implant	0.00	0.00	0.00	0.00	0.00	0.00	XXX

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G0429		A	Dermal filler injection(s)	1.19	1.19	1.19	0.56	0.56	0.24	000
G0431		X	Drug screen multip class	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0432		X	EIA HIV-1/HIV-2 screen	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0433		X	ELISA HIV-1/HIV-2 screen	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0434		X	Drug screen multi drug class	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0435		X	Oral HIV-1/HIV-2 screen	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G0436		A	Tobacco-use counsel 3-10 min	0.24	0.16	0.16	0.10	0.10	0.01	XXX
G0437		A	Tobacco-use counsel>10min	0.50	0.30	0.30	0.24	0.24	0.03	XXX
G0438		A	PPPS, initial visit	2.43	2.20	2.20	NA	NA	0.12	XXX
G0439		A	PPPS, subseq visit	1.50	1.63	1.63	NA	NA	0.03	XXX
G0440		A	Skin/dermal subs init 25or<	2.22	2.73	2.73	1.02	1.02	0.27	000
G0441		A	Skin/dermal subs each additi	0.50	0.83	0.83	0.20	0.20	0.07	000
G3001		X	Admin + supply, tositumomab	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9001		X	MCCD, initial rate	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9002		X	MCCD,maintenance rate	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9003		X	MCCD, risk adj hi, initial	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9004		X	MCCD, risk adj lo, initial	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9005		X	MCCD, risk adj, maintenance	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9006		X	MCCD, Home monitoring	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9007		X	MCCD, sch team conf	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9008		X	Mccd,phys coor-care ovrsght	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9009		X	MCCD, risk adj, level 3	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9010		X	MCCD, risk adj, level 4	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9011		X	MCCD, risk adj, level 5	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9012		X	Other Specified Case Mgmt	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9013		N	ESRD demo bundle level I	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9014		N	ESRD demo bundle-level II	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9016		N	Demo-smoking cessation coun	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9017		X	Amantadine HCL 100mg oral	0.00	0.00	0.00	0.00	0.00	0.00	XXX

CPT[1]/ HCPCS	Mod	Status	Description	Physician Work RVUs[2,3,4]	Fully Implemented Non-Facility PE RVUs[2,4]	Year 2011 Transitional Non-Facility PE RVUs[2,4]	Fully Implemented Facility PE RVUs[2,4]	Year 2011 Transitional Facility PE RVUs[2,4]	Malpractice RVUs[2,4]	Global
G9018		X	Zanamivir,inhalation pwd 10m	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9019		X	Oseltamivir phosphate 75mg	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9020		X	Rimantadine HCL 100mg oral	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9033		X	Amantadine HCL oral brand	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9034		X	Zanamivir, inh pwdr, brand	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9035		X	Oseltamivir phosp, brand	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9036		X	Rimantadine HCL, brand	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9041		A	Low vision rehab occupationa	0.69	0.28	0.28	0.28	0.28	0.04	XXX
G9042		A	Low vision rehab orient/mobi	0.25	0.25	0.25	0.25	0.25	0.01	XXX
G9043		A	Low vision lowvision therapi	0.25	0.25	0.25	0.25	0.25	0.01	XXX
G9044		A	Low vision rehabilate teache	0.24	0.19	0.19	0.19	0.19	0.01	XXX
G9140		X	Frontier extended stay demo	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9141		X	Influenza A H1N1,admin w cou	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9142		X	Influenza A H1N1, vaccine	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9143		X	Warfarin respon genetic test	0.00	0.00	0.00	0.00	0.00	0.00	XXX
G9147		N	Outpt IV insulin tx any mea	0.00	0.00	0.00	0.00	0.00	0.00	XXX
M0064		A	Visit for drug monitoring	0.37	1.08	0.99	0.08	0.09	0.01	XXX
P3001		A	Screening pap smear by phys	0.42	0.42	0.40	0.42	0.40	0.03	XXX
Q0035		A	Cardiokymography	0.17	0.31	0.36	NA	NA	0.02	XXX
Q0035	TC	A	Cardiokymography	0.00	0.25	0.30	NA	NA	0.01	XXX
Q0035	26	A	Cardiokymography	0.17	0.06	0.06	0.06	0.06	0.01	XXX
Q0091		A	Obtaining screen pap smear	0.37	0.88	0.88	0.17	0.15	0.03	XXX
Q0092		A	Set up port xray equipment	0.00	0.65	0.59	0.65	0.59	0.01	XXX
Q3001		C	Brachytherapy Radioelements	0.00	0.00	0.00	0.00	0.00	0.00	XXX
Q3014		X	Telehealth facility fee	0.00	0.00	0.00	0.00	0.00	0.00	XXX
R0070		C	Transport portable x-ray	0.00	0.00	0.00	0.00	0.00	0.00	XXX
R0075		C	Transport port x-ray multipl	0.00	0.00	0.00	0.00	0.00	0.00	XXX
R0076		B	Transport portable EKG	0.00	0.00	0.00	0.00	0.00	0.00	XXX
V5299		R	Hearing service	0.00	0.00	0.00	0.00	0.00	0.00	XXX

1 CPT codes and descriptors only are copyright 2010 American Medical Association. All Rights Reserved. Applicable FARS/DFARS apply.
2 If values are reflected for codes not payable by Medicare, please note that these values have been established as a courtesy to the general public and are not used for Medicare payment.
3 Work RVUs reflect increases for 10 and 90 day global period codes as a result of the elimination of the consultation codes.
4 The budget neutrality reduction from the chiropractic demonstration is not reflected in the RVUs for CPT codes 98940, 98941, and 98942. The required reduction will only be reflected in the files used for Medicare payment.

2. On pages 73810 through 73815, in Addendum C: Codes with Interim RVUs the addendum is corrected to read as follows:

ADDENDUM C.--CODES WITH INTERIM RVUs

CPT[1]/ HCPCS	Mod	Status	Description	RVUs Open for Comment			Physician Work RVUs[2,3]	Fully Implemented Non-Facility PE RVUs[2]	Year 2011 Transitional Non-Facility PE RVUs[2]	Fully Implemented Facility PE RVUs[2]	Year 2011 Transitional Facility PE RVUs[2]	Mal-Practice RVUs[2]	Global
				Work	Practice Expense	Mal-practice							
11010		A	Debride skin at fx site	W	PE		4.19	9.45	8.95	3.50	3.28	0.76	010
11011		A	Debride skin musc at fx site	W	PE		4.94	9.62	9.34	3.11	2.92	0.98	000
11012		A	Deb skin bone at fx site	W	PE		6.87	12.50	12.29	4.68	4.46	1.31	000
11042		A	Deb subq tissue 20 sq cm/<	W	PE	MP	0.80	2.13	1.66	0.62	0.50	0.10	000
11043		A	Deb musc/fascia 20 sq cm/<	W	PE	MP	2.00	3.30	3.30	1.28	1.28	0.33	000
11044		A	Deb bone 20 sq cm/<	W	PE	MP	3.60	4.35	4.35	2.03	2.03	0.63	000
11045		A	Deb subq tissue add-on	W	PE	MP	0.33	0.51	0.51	0.13	0.13	0.07	ZZZ
11046		A	Deb musc/fascia add-on	W	PE	MP	0.70	0.77	0.77	0.31	0.31	0.12	ZZZ
11047		A	Deb bone add-on	W	PE	MP	1.20	1.19	1.19	0.54	0.54	0.22	ZZZ
11900		A	Injection into skin lesions	W	PE	MP	0.52	1.04	1.04	0.38	0.34	0.07	000
11901		A	Added skin lesions injection	W	PE	MP	0.80	1.18	1.16	0.59	0.54	0.11	000
12001		A	Repair superficial wound(s)	W	PE	MP	0.84	1.52	1.84	0.38	0.64	0.14	000
12002		A	Repair superficial wound(s)	W	PE	MP	1.14	1.72	1.98	0.46	0.75	0.19	000
12004		A	Repair superficial wound(s)	W	PE	MP	1.44	1.92	2.25	0.55	0.85	0.24	000
12005		A	Repair superficial wound(s)	W	PE	MP	1.97	2.39	2.77	0.73	1.04	0.33	000
12006		A	Repair superficial wound(s)	W	PE	MP	2.39	2.87	3.31	0.91	1.27	0.41	000
12007		A	Repair superficial wound(s)	W	PE	MP	2.90	3.20	3.73	1.07	1.50	0.50	000
12011		A	Repair superficial wound(s)	W	PE	MP	1.07	1.87	2.12	0.43	0.68	0.19	000
12013		A	Repair superficial wound(s)	W	PE	MP	1.22	1.88	2.22	0.46	0.77	0.20	000
12014		A	Repair superficial wound(s)	W	PE	MP	1.57	2.08	2.47	0.57	0.89	0.26	000
12015		A	Repair superficial wound(s)	W	PE	MP	1.98	2.47	2.97	0.67	1.04	0.33	000
12016		A	Repair superficial wound(s)	W	PE	MP	2.68	2.92	3.46	0.91	1.29	0.46	000
12017		A	Repair superficial wound(s)	W	PE	MP	3.18	NA	NA	0.76	1.34	0.56	000
12018		A	Repair superficial wound(s)	W	PE	MP	3.61	NA	NA	0.85	1.78	0.64	000
15823		A	Revision of upper eyelid	W	PE	MP	6.81	10.16	9.80	8.49	8.17	1.27	090

CPT[1]/ HCPCS	Mod	Status	Description	RVUs Open for Comment			Physician Work RVUs[2,3]	Fully Implemented Non-Facility PE RVUs[2]	Year 2011 Transitional Non-Facility PE RVUs[2]	Fully Implemented Facility PE RVUs[2]	Year 2011 Transitional Facility PE RVUs[2]	Mal-Practice RVUs[2]	Global
				Work	Practice Expense	Mal-practice							
19357		A	Breast reconstruction	W	PE	MP	18.50	NA	NA	23.81	21.56	3.62	090
20005		A	I&d abscess subfascial	W	PE		3.58	4.64	4.55	2.66	2.62	0.59	010
20664		A	Application of halo	W	PE		10.06	NA	NA	10.64	10.17	3.61	090
20930		B	Sp bone algrft morsel add-on	W	PE		0.00	0.00	0.00	0.00	0.00	0.00	XXX
20931		A	Sp bone algrft struct add-on	W	PE		1.81	NA	NA	1.03	0.99	0.56	ZZZ
22315		A	Treat spine fracture	W	PE		10.11	13.57	12.82	10.47	9.84	2.55	090
22551		A	Neck spine fuse&remove addl	W	PE	MP	25.00	NA	NA	18.66	18.66	7.57	090
22552		A	Addl neck spine fusion	W	PE	MP	6.50	NA	NA	3.66	3.66	1.78	ZZZ
22554		A	Neck spine fusion	W	PE		17.69	NA	NA	14.71	14.29	5.47	090
22585		A	Additional spinal fusion	W	PE		5.52	NA	NA	3.11	3.00	1.59	ZZZ
22851		A	Apply spine prosth device	W	PE		6.70	NA	NA	3.83	3.69	1.89	ZZZ
23430		A	Repair biceps tendon	W	PE	MP	10.17	NA	NA	10.08	9.52	1.97	090
27065		A	Remove hip bone les super	W	PE		6.55	NA	NA	7.14	6.82	1.28	090
27066		A	Remove hip bone les deep	W	PE		11.20	NA	NA	10.82	10.27	2.20	090
27067		A	Remove/graft hip bone lesion	W	PE		14.72	NA	NA	13.32	12.67	2.91	090
27070		A	Part remove hip bone super	W	PE		11.56	NA	NA	11.53	11.00	2.27	090
27071		A	Part removal hip bone deep	W	PE		12.39	NA	NA	12.29	11.77	2.44	090
29540		A	Strapping of ankle and/or ft	W	PE	MP	0.32	0.63	0.62	0.32	0.35	0.03	000
29550		A	Strapping of toes	W	PE	MP	0.15	0.62	0.62	0.27	0.31	0.01	000
29914		A	Hip arthro w/femoroplasty	W	PE	MP	14.67	NA	NA	12.81	12.81	2.91	090
29915		A	Hip arthro acetabuloplasty	W	PE	MP	15.00	NA	NA	13.00	13.00	2.95	090
29916		A	Hip arthro w/labral repair	W	PE	MP	15.00	NA	NA	13.00	13.00	2.95	090
30901		A	Control of nosebleed	W	PE	MP	1.10	1.55	1.57	0.47	0.43	0.16	000
31256		A	Exploration maxillary sinus	W	PE		3.29	NA	NA	2.42	2.26	0.41	000
31267		A	Endoscopy maxillary sinus	W	PE		5.45	NA	NA	3.70	3.43	0.69	000
31276		A	Sinus endoscopy surgical	W	PE		8.84	NA	NA	5.69	5.27	1.14	000
31287		A	Nasal/sinus endoscopy surg	W	PE		3.91	NA	NA	2.78	2.60	0.50	000
31288		A	Nasal/sinus endoscopy surg	W	PE		4.57	NA	NA	3.18	2.96	0.60	000
31295		A	Sinus endo w/balloon dil	W	PE	MP	2.70	57.07	57.07	2.12	2.12	0.35	000

CPT[1]/ HCPCS	Mod	Status	Description	RVUs Open for Comment			Physician Work RVUs[2,3]	Fully Implemented Non-Facility PE RVUs[2]	Year 2011 Transitional Non-Facility PE RVUs[2]	Fully Implemented Facility PE RVUs[2]	Year 2011 Transitional Facility PE RVUs[2]	Mal-Practice RVUs[2]	Global
				Work	Practice Expense	Mal-practice							
31296		A	Sinus endo w/balloon dil	W	PE	MP	3.29	108.85	108.85	2.46	2.46	0.42	000
31297		A	Sinus endo w/balloon dil	W	PE	MP	2.64	108.53	108.53	2.08	2.08	0.34	000
31634		A	Bronch w/balloon occlusion	W	PE	MP	4.00	49.01	49.01	1.76	1.76	0.33	000
33411		A	Replacement of aortic valve	W	PE		62.07	NA	NA	26.39	26.61	14.93	090
33620		A	Apply r&l pulm art bands	W	PE	MP	30.00	NA	NA	13.47	13.47	7.50	090
33621		A	Transthor cath for stent	W	PE	MP	16.18	NA	NA	7.43	7.43	3.76	090
33622		A	Redo compl cardiac anomaly	W	PE	MP	64.00	NA	NA	28.34	28.34	14.98	090
33860		A	Ascending aortic graft	W	PE		59.46	NA	NA	25.11	25.19	14.25	090
33863		A	Ascending aortic graft	W	PE		58.79	NA	NA	24.04	24.65	14.07	090
33864		A	Ascending aortic graft	W	PE		60.08	NA	NA	24.44	25.43	14.32	090
34900		A	Endovasc iliac repr w/graft	W	PE		16.85	NA	NA	7.63	7.97	3.58	090
35471		A	Repair arterial blockage	W	PE		10.05	61.28	75.29	3.99	4.80	1.99	000
36410		A	Non-routine bl draw > 3 yrs	W	PE	MP	0.18	0.27	0.33	0.08	0.07	0.03	XXX
37205		A	Transcath iv stent percut	W	PE		8.27	108.52	118.76	3.06	3.65	1.57	000
37206		A	Transcath iv stent/perc addl	W	PE		4.12	65.97	72.40	1.49	1.71	0.82	ZZZ
37207		A	Transcath iv stent open	W	PE		8.27	NA	NA	3.23	3.28	1.86	000
37208		A	Transcath iv stent/open addl	W	PE		4.12	NA	NA	1.42	1.43	0.93	ZZZ
37220		A	Iliac revasc	W	PE	MP	8.15	83.95	83.95	3.04	3.04	1.67	000
37221		A	Iliac revasc w/stent	W	PE	MP	10.00	126.67	126.67	3.75	3.75	1.89	000
37222		A	Iliac revasc add-on	W	PE	MP	3.73	22.54	22.54	1.35	1.35	0.76	ZZZ
37223		A	Iliac revasc w/stent add-on	W	PE	MP	4.25	71.23	71.23	1.53	1.53	0.84	ZZZ
37224		A	Fem/popl revas w/tla	W	PE	MP	9.00	101.84	101.84	3.35	3.35	1.81	000
37225		A	Fem/popl revas w/ather	W	PE	MP	12.00	303.60	303.60	4.56	4.56	2.52	000
37226		A	Fem/popl revasc w/stent	W	PE	MP	10.49	254.49	254.49	3.93	3.93	1.29	000
37227		A	Fem/popl revasc stnt & ather	W	PE	MP	14.50	412.53	412.53	5.49	5.49	3.05	000
37228		A	Tib/per revasc w/tla	W	PE	MP	11.00	147.11	147.11	4.04	4.04	2.26	000
37229		A	Tib/per revasc w/ather	W	PE	MP	14.05	298.36	298.36	5.31	5.31	2.98	000
37230		A	Tib/per revasc w/stent	W	PE	MP	13.80	231.37	231.37	5.14	5.14	2.61	000
37231		A	Tib/per revasc stent & ather	W	PE	MP	15.00	379.77	379.77	5.58	5.58	2.84	000

CPT[1]/ HCPCS	Mod	Status	Description	RVUs Open for Comment			Physician Work RVUs[2,3]	Fully Implemented Non-Facility PE RVUs[2]	Year 2011 Transitional Non-Facility PE RVUs[2]	Fully Implemented Facility PE RVUs[2]	Year 2011 Transitional Facility PE RVUs[2]	Mal-Practice RVUs[2]	Global
				Work	Practice Expense	Mal-practice							
37232		A	Tib/per revasc add-on	W	PE	MP	4.00	31.20	31.20	1.43	1.43	0.82	ZZZ
37233		A	Tibper revasc w/ather add-on	W	PE	MP	6.50	36.14	36.14	2.41	2.41	1.37	ZZZ
37234		A	Revsc opn/prq tib/pero stent	W	PE	MP	5.50	108.11	108.11	1.98	1.98	1.09	ZZZ
37235		A	Tib/per revasc stnt & ather	W	PE	MP	7.80	113.20	113.20	2.81	2.81	1.55	ZZZ
37765		A	Stab phleb veins xtr 10-20	W	PE		7.71	10.70	10.70	4.68	4.76	1.57	090
37766		A	Phleb veins - extrem 20+	W	PE		9.66	12.12	12.12	5.45	5.52	2.01	090
38900		A	Io map of sent lymph node	W	PE	MP	2.50	1.02	1.02	1.02	1.02	0.53	ZZZ
43283		A	Lap esoph lengthening	W	PE	MP	2.95	NA	NA	1.29	1.29	0.60	ZZZ
43327		A	Esoph fundoplasty lap	W	PE	MP	13.35	NA	NA	8.16	8.16	2.84	090
43328		A	Esoph fundoplasty thor	W	PE	MP	19.91	NA	NA	10.88	10.88	4.98	090
43332		A	Transab esoph hiat hern rpr	W	PE	MP	19.62	NA	NA	11.08	11.08	4.18	090
43333		A	Transab esoph hiat hern rpr	W	PE	MP	21.46	NA	NA	11.86	11.86	4.55	090
43334		A	Transthor diaphrag hern rpr	W	PE	MP	22.12	NA	NA	11.45	11.45	4.71	090
43335		A	Transthor diaphrag hern rpr	W	PE	MP	23.97	NA	NA	12.19	12.19	5.08	090
43336		A	Thorabd diaphr hern repair	W	PE	MP	25.81	NA	NA	13.53	13.53	5.85	090
43337		A	Thorabd diaphr hern repair	W	PE	MP	27.65	NA	NA	15.41	15.41	6.27	090
43338		A	Esoph lengthening	W	PE	MP	2.21	NA	NA	1.30	1.30	0.50	ZZZ
43605		A	Biopsy of stomach	W	PE		13.72	NA	NA	8.68	7.72	2.87	090
43753		A	Tx gastro intub w/asp	W	PE	MP	0.45	NA	NA	0.13	0.13	0.03	000
43754		A	Dx gastr intub w/asp spec	W	PE	MP	0.45	1.84	1.84	0.44	0.44	0.04	000
43755		A	Dx gastr intub w/asp specs	W	PE	MP	0.94	2.54	2.54	0.68	0.68	0.08	000
43756		A	Dx duod intub w/asp spec	W	PE	MP	0.77	5.62	5.62	0.71	0.71	0.05	000
43757		A	Dx duod intub w/asp specs	W	PE	MP	1.26	6.96	6.96	0.87	0.87	0.08	000
47480		A	Incision of gallbladder	W	PE		13.25	NA	NA	9.91	9.04	2.75	090
47490		A	Incision of gallbladder	W	PE	MP	4.76	NA	NA	4.32	5.58	0.44	010
49324		A	Lap insert tunnel ip cath	W	PE		6.32	NA	NA	4.13	3.83	1.33	010
49327		A	Lap ins device for rt	W	PE	MP	2.38	NA	NA	1.04	1.04	0.48	ZZZ
49400		A	Air injection into abdomen		PE		1.88	1.81	2.53	0.71	0.77	0.24	000
49412		A	Ins device for rt guide open	W	PE	MP	1.50	NA	NA	0.63	0.63	0.30	ZZZ

CPT[1]/ HCPCS	Mod	Status	Description	RVUs Open for Comment			Physician Work RVUs[2,3]	Fully Implemented Non-Facility PE RVUs[2]	Year 2011 Transitional Non-Facility PE RVUs[2]	Fully Implemented Facility PE RVUs[2]	Year 2011 Transitional Facility PE RVUs[2]	Mal-Practice RVUs[2]	Global
				Work	Practice Expense	Mal-practice							
49418		A	Insert tun ip cath perc	W	PE	MP	4.21	40.06	40.06	2.08	2.08	0.63	000
49419		A	Insert tun ip cath w/port	W	PE		7.08	NA	NA	4.81	4.61	1.22	090
49421		A	Ins tun ip cath for dial opn	W	PE	MP	4.21	NA	NA	1.91	2.91	0.83	000
49422		A	Remove tunneled ip cath	W	PE		6.29	NA	NA	3.85	3.63	1.29	010
50250		A	Cryoablate renal mass open	W	PE		22.22	NA	NA	11.39	12.86	2.18	090
50542		A	Laparo ablate renal mass	W	PE		21.36	NA	NA	10.91	12.17	2.10	090
50590		A	Fragmenting of kidney stone		PE		9.77	11.28	15.40	5.83	6.50	0.95	090
50684		A	Injection for ureter x-ray		PE		0.76	2.15	3.63	0.62	0.68	0.07	000
51736	26	A	Urine flow measurement	W	PE	MP	0.17	0.07	0.18	0.07	0.18	0.01	XXX
51741	26	A	Electro-uroflowmetry first	W	PE	MP	0.17	0.07	0.31	0.07	0.31	0.01	XXX
52281		A	Cystoscopy and treatment	W	PE	MP	2.60	4.56	5.80	1.40	1.61	0.27	000
52332		A	Cystoscopy and treatment	W	PE	MP	2.60	10.83	11.95	1.39	1.62	0.26	000
53860		A	Transurethral rf treatment	W	PE	MP	3.97	38.51	38.51	2.24	2.24	0.68	090
55866		A	Laparo radical prostatectomy	W	PE	MP	32.06	NA	NA	15.98	17.65	3.18	090
55876		A	Place rt device/marker pros	W	PE		1.73	1.98	2.27	1.05	1.20	0.16	000
57155		A	Insert uteri tandems/ovoids	W	PE	MP	3.37	6.02	6.02	1.67	1.67	0.30	000
57156		A	Ins vag brachytx device	W	PE	MP	1.87	2.40	2.40	1.00	1.00	0.16	000
59400		A	Obstetrical care	W	PE	MP	28.69	NA	NA	20.69	19.41	7.99	MMM
59409		A	Obstetrical care	W	PE	MP	12.82	NA	NA	6.03	5.66	3.55	MMM
59410		A	Obstetrical care	W	PE	MP	16.07	NA	NA	8.03	7.40	4.45	MMM
59412		A	Antepartum manipulation	W	PE	MP	1.53	NA	NA	0.88	0.87	0.44	MMM
59414		A	Deliver placenta	W	PE	MP	1.44	NA	NA	0.67	0.65	0.41	MMM
59425		A	Antepartum care only	W	PE	MP	5.63	5.34	5.31	2.62	2.43	1.55	MMM
59426		A	Antepartum care only	W	PE	MP	9.96	9.73	9.67	4.64	4.30	2.69	MMM
59430		A	Care after delivery	W	PE	MP	2.20	2.27	1.84	1.03	1.00	0.60	MMM
59510		A	Cesarean delivery	W	PE	MP	31.80	NA	NA	22.69	21.60	9.06	MMM
59514		A	Cesarean delivery only	W	PE	MP	14.39	NA	NA	6.78	6.53	4.08	MMM
59515		A	Cesarean delivery	W	PE	MP	19.15	NA	NA	10.07	9.26	5.43	MMM
59610		A	Vbac delivery	W	PE	MP	30.22	NA	NA	21.33	20.19	8.70	MMM

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				Work	Practice Expense	Mal-practice							
59612		A	Vbac delivery only	W	PE	MP	14.35	NA	NA	6.70	6.36	4.12	MMM
59614		A	Vbac care after delivery	W	PE	MP	17.60	NA	NA	8.66	7.92	5.05	MMM
59618		A	Attempted vbac delivery	W	PE	MP	32.26	NA	NA	22.84	22.09	9.28	MMM
59620		A	Attempted vbac delivery only	W	PE	MP	14.86	NA	NA	6.94	6.92	4.27	MMM
59622		A	Attempted vbac after care	W	PE	MP	19.63	NA	NA	10.32	9.78	5.65	MMM
61781		A	Scan proc cranial intra	W	PE	MP	3.75	NA	NA	2.14	2.14	1.25	ZZZ
61782		A	Scan proc cranial extra	W	PE	MP	3.18	NA	NA	1.81	1.81	0.87	ZZZ
61783		A	Scan proc spinal	W	PE	MP	3.75	NA	NA	2.14	2.14	1.25	ZZZ
61885		A	Insrt/redo neurostim 1 array	W	PE	MP	6.05	NA	NA	7.22	7.83	2.07	090
62268		A	Drain spinal cord cyst		PE		4.73	2.04	5.21	2.54	2.46	0.45	000
62269		A	Needle biopsy spinal cord		PE		5.01	1.92	5.62	2.31	2.27	0.56	000
62281		A	Treat spinal cord lesion		PE		2.66	4.04	4.72	1.74	1.49	0.27	010
62319		A	Inject spine w/cath l/s (cd)		PE		1.87	2.98	3.55	0.87	0.74	0.16	000
63075		A	Neck spine disk surgery	W	PE		19.60	NA	NA	15.41	14.80	6.11	090
63076		A	Neck spine disk surgery	W	PE		4.04	NA	NA	2.29	2.21	1.25	ZZZ
63610		A	Stimulation of spinal cord		PE		8.72	2.04	15.96	2.41	2.33	0.68	000
64415		A	N block inj brachial plexus	W	PE	MP	1.48	1.90	2.02	0.36	0.40	0.11	000
64445		A	N block inj sciatic sng	W	PE	MP	1.48	2.30	2.30	0.54	0.58	0.16	000
64447		A	N block inj fem single	W	PE	MP	1.50	1.90	1.90	0.36	0.33	0.11	000
64479		A	Inj foramen epidural c/t	W	PE	MP	2.29	4.77	5.28	1.54	1.31	0.27	000
64480		A	Inj foramen epidural add-on	W	PE	MP	1.20	2.37	2.35	0.64	0.59	0.18	ZZZ
64483		A	Inj foramen epidural l/s	W	PE	MP	1.75	4.48	5.20	1.27	1.12	0.15	000
64484		A	Inj foramen epidural add-on	W	PE	MP	1.00	1.61	2.07	0.52	0.48	0.08	ZZZ
64508		A	N block carotid sinus s/p		PE		1.12	0.50	1.72	0.94	0.85	0.24	000
64561		A	Implant neuroelectrodes		PE		7.15	14.87	20.89	3.88	4.17	0.78	010
64566		A	Neuroeltrd stim post tibial	W	PE	MP	0.60	3.17	3.17	0.23	0.23	0.05	000
64568		A	Inc for vagus n elect impl	W	PE	MP	9.00	NA	NA	8.68	8.68	1.25	090
64569		A	Revise/repl vagus n eltrd	W	PE	MP	11.00	NA	NA	4.51	4.51	3.16	090
64570		A	Remove vagus n eltrd	W	PE	MP	9.10	NA	NA	4.07	4.07	3.27	090

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64581		A	Implant neuroelectrodes	W	PE		12.20	NA	NA	6.03	7.05	1.58	090
64611		A	Chemodenerv saliv glands	W	PE	MP	1.03	1.64	1.64	1.36	1.36	0.29	010
64708		A	Revise arm/leg nerve	W	PE		6.36	NA	NA	7.10	6.63	1.16	090
64712		A	Revision of sciatic nerve	W	PE		8.07	NA	NA	7.26	6.72	1.33	090
64713		A	Revision of arm nerve(s)	W	PE		11.40	NA	NA	9.06	8.56	2.34	090
64714		A	Revise low back nerve(s)	W	PE		10.55	NA	NA	8.67	7.45	1.71	090
65778		A	Cover eye w/membrane	W	PE	MP	1.19	35.74	35.74	0.84	0.84	0.18	010
65779		A	Cover eye w/membrane stent	W	PE	MP	3.92	29.07	29.07	4.09	4.09	0.56	010
65780		A	Ocular reconst transplant	W	PE		10.73	NA	NA	14.19	12.95	1.39	090
66174		A	Translum dil eye canal	W	PE	MP	12.85	NA	NA	13.86	13.86	2.27	090
66175		A	Trnslum dil eye canal w/stnt	W	PE	MP	13.60	NA	NA	14.38	14.38	4.87	090
66761		A	Revision of iris	W	PE	MP	3.00	5.25	5.85	3.63	4.47	0.45	010
67028		A	Injection eye drug	W	PE	MP	1.44	1.43	2.15	1.38	1.52	0.20	000
69801		A	Incise inner ear	W	PE	MP	2.06	3.63	3.63	1.58	6.62	0.27	000
69802		A	Incise inner ear	W	PE		13.50	NA	NA	16.78	15.86	1.71	090
70010		A	Contrast x-ray of brain		PE		1.19	0.80	2.39	0.80	2.39	0.22	XXX
71250	26	A	Ct thorax w/o dye	W	PE	MP	1.00	0.38	0.45	0.38	0.45	0.05	XXX
72125	26	A	Ct neck spine w/o dye	W	PE	MP	1.00	0.37	0.45	0.37	0.45	0.05	XXX
72128	26	A	Ct chest spine w/o dye	W	PE	MP	1.00	0.38	0.45	0.38	0.45	0.05	XXX
72131	26	A	Ct lumbar spine w/o dye	W	PE	MP	1.00	0.38	0.45	0.38	0.45	0.05	XXX
73080	26	A	X-ray exam of elbow	W	PE	MP	0.17	0.07	0.07	0.07	0.07	0.01	XXX
73200	26	A	Ct upper extremity w/o dye	W	PE	MP	1.00	0.38	0.43	0.38	0.43	0.07	XXX
73510	26	A	X-ray exam of hip	W	PE	MP	0.21	0.10	0.10	0.10	0.10	0.03	XXX
73610	26	A	X-ray exam of ankle	W	PE	MP	0.17	0.08	0.07	0.08	0.07	0.01	XXX
73630	26	A	X-ray exam of foot	W	PE	MP	0.17	0.07	0.07	0.07	0.07	0.01	XXX
73700	26	A	Ct lower extremity w/o dye	W	PE	MP	1.00	0.37	0.43	0.37	0.43	0.07	XXX
74176	26	A	Ct abd & pelvis w/o contrast	W	PE	MP	1.74	0.65	0.65	0.65	0.65	0.10	XXX
74177	26	A	Ct abdomen&pelvis w/contrast	W	PE	MP	1.82	0.69	0.69	0.69	0.69	0.10	XXX
74178	26	A	Ct abd&pelv 1+ section/regns	W	PE	MP	2.01	0.76	0.76	0.76	0.76	0.12	XXX

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74430	26	A	Contrast x-ray bladder		PE		0.32	0.12	0.13	0.12	0.13	0.01	XXX
75954	26	A	Iliac aneurysm endovas rpr	W	PE		2.25	0.79	0.83	0.79	0.83	0.41	XXX
75960	26	A	Transcath iv stent rs&i	W	PE		0.82	0.30	0.35	0.30	0.35	0.05	XXX
75962	26	A	Repair arterial blockage	W	PE		0.54	0.20	0.22	0.20	0.22	0.03	XXX
75964	26	A	Repair artery blockage each	W	PE		0.36	0.13	0.14	0.13	0.14	0.04	ZZZ
76000	26	A	Fluoroscope examination		PE		0.17	0.07	0.07	0.07	0.07	0.01	XXX
76881	26	A	Us xtr non-vasc complete	W	PE	MP	0.59	0.22	0.22	0.22	0.22	0.04	XXX
76882	26	A	Us xtr non-vasc lmtd	W	PE	MP	0.41	0.15	0.15	0.15	0.15	0.03	XXX
76942	26	A	Echo guide for biopsy	W	PE		0.67	0.26	0.28	0.26	0.28	0.04	XXX
77003	26	A	Fluoroguide for spine inject	W	PE		0.60	0.29	0.24	0.29	0.24	0.03	XXX
77011	26	A	Ct scan for localization		PE		1.21	0.55	0.54	0.55	0.54	0.04	XXX
77012	26	A	Ct scan for needle biopsy	W	PE		1.16	0.43	0.49	0.43	0.49	0.04	XXX
77301	26	A	Radiotherapy dose plan imrt		PE		7.99	3.53	3.44	3.53	3.44	0.41	XXX
77427		A	Radiation tx management x5	W	PE	MP	3.37	1.70	1.68	1.70	1.68	0.27	XXX
88120	26	A	Cytp urne 3-5 probes ea spec	W	PE	MP	1.20	0.31	0.31	0.31	0.31	0.03	XXX
88121	26	A	Cytp urine 3-5 probes cmptr	W	PE	MP	1.00	0.34	0.34	0.34	0.34	0.03	XXX
88172	26	A	Cytp dx eval fna 1st ea site	W	PE	MP	0.60	0.29	0.27	0.29	0.27	0.01	XXX
88173	26	A	Cytopath eval fna report	W	PE		1.39	0.61	0.58	0.61	0.58	0.04	XXX
88177	26	A	Cytp c/v auto thin lyr addl	W	PE	MP	0.42	0.20	0.20	0.20	0.20	0.01	ZZZ
88300	26	A	Surgical path gross	W	PE	MP	0.08	0.04	0.04	0.04	0.04	0.01	XXX
88302	26	A	Tissue exam by pathologist	W	PE	MP	0.13	0.06	0.05	0.06	0.05	0.01	XXX
88304	26	A	Tissue exam by pathologist	W	PE	MP	0.22	0.10	0.09	0.10	0.09	0.01	XXX
88305	26	A	Tissue exam by pathologist	W	PE	MP	0.75	0.32	0.31	0.32	0.31	0.01	XXX
88307	26	A	Tissue exam by pathologist	W	PE	MP	1.59	0.75	0.71	0.75	0.71	0.04	XXX
88309	26	A	Tissue exam by pathologist	W	PE		2.80	1.34	1.22	1.34	1.22	0.08	XXX
88363		A	Xm archive tissue molec anal	W	PE	MP	0.37	0.73	0.73	0.10	0.10	0.03	XXX
88367	26	A	Insitu hybridization auto	W	PE		1.30	0.45	0.44	0.45	0.44	0.05	XXX
88368	26	A	Insitu hybridization manual	W	PE		1.40	0.36	0.39	0.36	0.39	0.04	XXX
90460		A	Imadm any route 1st vac/tox	W	PE	MP	0.17	0.51	0.51	NA	NA	0.01	XXX

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90461		A	Inadm any route addl vac/tox	W	PE	MP	0.15	0.20	0.18	NA	NA	0.01	ZZZ
90870		A	Electroconvulsive therapy	W	PE	MP	2.50	2.33	2.31	0.58	0.56	0.11	000
90935		A	Hemodialysis one evaluation	W	PE	MP	1.48	NA	NA	0.57	0.64	0.08	000
90937		A	Hemodialysis repeated eval	W	PE	MP	2.11	NA	NA	0.82	0.93	0.11	000
90945		A	Dialysis one evaluation	W	PE	MP	1.56	NA	NA	0.86	0.79	0.08	000
90947		A	Dialysis repeated eval	W	PE	MP	2.52	NA	NA	0.97	1.01	0.15	000
91010	26	A	Esophagus motility study	W	PE	MP	1.28	0.69	0.68	0.69	0.68	0.07	000
91013	26	A	Esophgl motil w/stim/perfus	W	PE	MP	0.18	0.10	0.10	0.10	0.10	0.01	ZZZ
91038	26	A	Esoph imped funct test > 1h	W			1.10	0.60	0.60	0.60	0.60	0.05	000
91117		A	Colon motility 6 hr study	W	PE	MP	2.45	1.37	1.37	1.64	1.64	0.38	000
91132	26	A	Electrogastrography	W			0.52	0.27	0.28	0.27	0.28	0.03	XXX
91133	26	A	Electrogastrography w/test	W			0.66	0.37	0.38	0.37	0.38	0.04	XXX
92081	26	A	Visual field examination(s)	W	PE	MP	0.30	0.17	0.17	0.17	0.17	0.03	XXX
92082	26	A	Visual field examination(s)	W	PE	MP	0.40	0.23	0.21	0.23	0.21	0.04	XXX
92132	26	A	Cmptr ophth dx img ant segmt	W	PE	MP	0.35	0.24	0.24	0.24	0.24	0.03	XXX
92133	26	A	Cmptr ophth img optic nerve	W	PE	MP	0.50	0.33	0.33	0.33	0.33	0.03	XXX
92134	26	A	Cptr ophth dx img post segmt	W	PE	MP	0.50	0.33	0.33	0.33	0.33	0.03	XXX
92227		A	Remote dx retinal imaging	W	PE		0.00	0.33	0.33	NA	NA	0.01	XXX
92228	26	A	Remote retinal imaging mgmt	W	PE	MP	0.30	0.20	0.20	0.20	0.20	0.01	XXX
92285	26	A	Eye photography	W	PE	MP	0.05	0.03	0.06	0.03	0.06	0.01	XXX
92504		A	Ear microscopy examination	W	PE	MP	0.18	0.70	0.70	0.11	0.10	0.01	XXX
92507		A	Speech/hearing therapy	W	PE	MP	1.30	0.69	1.05	NA	NA	0.07	XXX
92508		A	Speech/hearing therapy	W	PE	MP	0.33	0.24	0.45	NA	NA	0.01	XXX
92606		B	Non-speech device service	W	PE	MP	1.40	0.93	0.93	0.62	0.62	0.07	XXX
92607		A	Ex for speech device rx 1hr	W	PE	MP	1.85	1.50	3.25	NA	NA	0.10	XXX
92608		A	Ex for speech device rx addl	W	PE	MP	0.70	0.67	0.80	NA	NA	0.04	ZZZ
92609		A	Use of speech device service	W	PE	MP	1.50	1.04	1.85	NA	NA	0.07	XXX
93040		A	Rhythm ECG with report	W	PE	MP	0.15	0.19	0.22	NA	NA	0.02	XXX
93041		A	Rhythm ecg tracing		PE		0.00	0.14	0.16	NA	NA	0.01	XXX

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93042		A	Rhythm ecg report	W	PE	MP	0.15	0.05	0.06	0.05	0.06	0.01	XXX
93224		A	Ecg monit/reprt up to 48 hrs	W	PE	MP	0.52	1.98	2.30	NA	NA	0.03	XXX
93225		A	Ecg monit/reprt up to 48 hrs		PE		0.00	0.72	0.82	NA	NA	0.01	XXX
93226		A	Ecg monit/reprt up to 48 hrs		PE		0.00	1.02	1.21	NA	NA	0.01	XXX
93227		A	Ecg monit/reprt up to 48 hrs	W	PE	MP	0.52	0.24	0.27	0.24	0.27	0.01	XXX
93228		A	Remote 30 day ecg rev/report	W	PE		0.52	0.21	0.21	0.21	0.21	0.03	XXX
93229		A	Remote 30 day ecg tech supp		PE		0.00	20.14	20.14	NA	NA	0.01	XXX
93268		A	ECG record/review	W	PE	MP	0.52	5.69	6.86	NA	NA	0.03	XXX
93270		A	Remote 30 day ecg rev/report		PE		0.00	0.24	0.44	NA	NA	0.01	XXX
93271		A	Ecg/monitoring and analysis		PE		0.00	5.25	6.19	NA	NA	0.01	XXX
93272		A	Ecg/review interpret only	W	PE	MP	0.52	0.20	0.23	0.20	0.23	0.01	XXX
93451	26	A	Right heart cath	W	PE	MP	2.72	1.07	1.07	1.07	1.07	0.59	000
93452	26	A	Left hrt cath w/ventrclgrphy	W	PE	MP	4.75	1.86	1.86	1.86	1.86	1.06	000
93453	26	A	R&l hrt cath w/ventriclgrphy	W	PE	MP	6.24	2.44	2.44	2.44	2.44	1.37	000
93454	26	A	Coronary artery angio s&i	W	PE	MP	4.79	1.88	1.88	1.88	1.88	1.06	000
93455	26	A	Coronary art/grft angio s&i	W	PE	MP	5.54	2.17	2.17	2.17	2.17	1.21	000
93456	26	A	R hrt coronary artery angio	W	PE	MP	6.15	2.41	2.41	2.41	2.41	1.33	000
93457	26	A	R hrt art/grft angio	W	PE	MP	6.89	2.70	2.70	2.70	2.70	1.50	000
93458	26	A	L hrt artery/ventricle angio	W	PE	MP	5.85	2.29	2.29	2.29	2.29	1.29	000
93459	26	A	L hrt art/grft angio	W	PE	MP	6.60	2.59	2.59	2.59	2.59	1.43	000
93460	26	A	R&l hrt art/ventricle angio	W	PE	MP	7.35	2.88	2.88	2.88	2.88	1.59	000
93461	26	A	R&l hrt art/ventricle angio	W	PE	MP	8.10	3.18	3.18	3.18	3.18	1.77	000
93462		A	L hrt cath trnsptl puncture	W	PE	MP	3.73	1.48	1.48	1.48	1.48	0.80	ZZZ
93463		A	Drug admin & hemodynmic meas	W	PE	MP	2.00	0.79	0.79	0.79	0.79	0.39	ZZZ
93464	26	A	Exercise w/hemodynamic meas	W	PE	MP	1.80	0.65	0.65	0.65	0.65	0.35	ZZZ
93563		A	Inject congenital card cath	W	PE	MP	1.11	0.44	0.44	0.44	0.44	0.10	ZZZ
93564		A	Inject hrt congntl art/grft	W	PE	MP	1.13	0.45	0.45	0.45	0.45	0.11	ZZZ
93565		A	Inject l ventr/atrial angio	W	PE	MP	0.86	0.34	0.34	0.34	0.34	0.08	ZZZ
93566		A	Inject r ventr/atrial angio	W	PE	MP	0.86	4.06	4.06	0.34	0.34	0.08	ZZZ

CPT[1]/ HCPCS	Mod	Status	Description	RVUs Open for Comment			Physician Work RVUs[2,3]	Fully Implemented Non-Facility PE RVUs[2]	Year 2011 Transitional Non-Facility PE RVUs[2]	Fully Implemented Facility PE RVUs[2]	Year 2011 Transitional Facility PE RVUs[2]	Mal-Practice RVUs[2]	Global
				Work	Practice Expense	Mal-practice							
93567		A	Inject suprvlv aortography	W	PE	MP	0.97	3.08	3.08	0.38	0.38	0.08	ZZZ
93568		A	Inject pulm art hrt cath	W	PE	MP	0.88	3.56	3.56	0.35	0.35	0.08	ZZZ
93652		A	Ablate heart dysrhythm focus	W	PE	MP	17.65	NA	NA	6.95	8.83	3.85	000
93922	26	A	Upr/l xtremity art 2 levels	W	PE	MP	0.25	0.10	0.10	0.10	0.10	0.01	XXX
93923	26	A	Upr/lxtr art stdy 3+ lvls	W	PE	MP	0.45	0.17	0.18	0.17	0.18	0.04	XXX
93924	26	A	Lwr xtr vasc stdy bilat	W	PE	MP	0.50	0.19	0.21	0.19	0.21	0.04	XXX
95800	26	A	Slp stdy unattended	W	PE	MP	1.05	0.62	0.62	0.62	0.62	0.04	XXX
95801	26	A	Slp stdy unatnd w/anal	W	PE	MP	1.00	0.47	0.47	0.47	0.47	0.04	XXX
95803	26	A	Actigraphy testing	W	PE	MP	0.90	0.44	0.44	0.44	0.44	0.04	XXX
95805	26	A	Multiple sleep latency test	W	PE	MP	1.20	0.48	0.58	0.48	0.58	0.04	XXX
95806	26	A	Sleep study unatt&resp efft	W	PE	MP	1.25	0.49	0.55	0.49	0.55	0.05	XXX
95807	26	A	Sleep study attended	W	PE	MP	1.28	0.47	0.53	0.47	0.53	0.05	XXX
95808	26	A	Polysomnography 1-3	W	PE	MP	1.74	0.73	0.84	0.73	0.84	0.07	XXX
95810	26	A	Polysomnography 4 or more	W	PE	MP	2.50	0.97	1.10	0.97	1.10	0.10	XXX
95811	26	A	Polysomnography w/cpap	W	PE	MP	2.60	1.00	1.15	1.00	1.15	0.11	XXX
95857		A	Cholinesterase challenge	W	PE		0.53	0.92	0.82	0.30	0.27	0.04	XXX
95950	26	A	Ambulatory eeg monitoring	W	PE	MP	1.51	0.73	0.67	0.73	0.67	0.07	XXX
95953	26	A	EEG monitoring/computer	W	PE	MP	3.08	1.50	1.40	1.50	1.40	0.15	XXX
95956	26	A	Eeg monitor technol attended	W	PE	MP	3.61	1.66	1.45	1.66	1.45	0.16	XXX
96105		A	Assessment of aphasia	W	PE	MP	1.75	0.85	1.36	NA	NA	0.04	XXX
96446		A	Chemotx admn prtl cavity	W	PE	MP	0.37	4.79	4.79	0.19	0.19	0.07	XXX
97597		A	Rmvl devital tis 20 cm/<	W	PE	MP	0.51	1.56	1.56	0.15	0.15	0.05	000
97598		A	Rmvl devital tis addl 20 cm<	W	PE	MP	0.24	0.44	0.44	0.07	0.07	0.03	ZZZ
99224		A	Subsequent observation care	W	PE	MP	0.54	NA	NA	0.24	0.24	0.04	XXX
99225		A	Subsequent observation care	W	PE	MP	0.96	NA	NA	0.44	0.44	0.05	XXX
99226		A	Subsequent observation care	W	PE	MP	1.44	NA	NA	0.65	0.65	0.08	XXX

1 CPT codes and descriptors only are copyright 2010 American Medical Association. All Rights Reserved. Applicable FARS/DFARS apply.
2 If values are reflected for codes not payable by Medicare, please note that these values have been established as a courtesy to the general public and are not used for Medicare payment.
3 Work RVUs reflect increases for 10 and 90 day global period codes as a result of the elimination of the consultation codes.

3. On page 73831, in Addendum J: List of CPT [1]/HCPCS Codes Used to Define Certain Designated Health Service Categories [2] under Section 1877 of the Social Security Act Effective January 1, 2011, the listed entry is corrected to read as follows:

G0431	Drug screen multip class

4. On pages 73841 through 73859, in Addendum K: CY 2011 ESRD Wage Index for Urban Areas Based on CBSA Labor Market Areas, the listed entries are corrected to read as follows:

CBSA Code	Urban Area (Constituent Counties)	Composite Rate Wage Index	ESRD PPS Wage Index
11540	Appleton, WI Calumet County, WI Outagamie County, WI	0.9894	0.9361
12060	Atlanta-Sandy Springs-Marietta, GA Barrow County, GA Bartow County, GA Butts County, GA Carroll County, GA Cherokee County, GA Clayton County, GA Cobb County, GA Coweta County, GA Dawson County, GA DeKalb County, GA Douglas County, GA Fayette County, GA Forsyth County, GA Fulton County, GA Gwinnett County, GA Haralson County, GA Heard County, GA Henry County, GA Jasper County, GA Lamar County, GA Meriwether County, GA Newton County, GA Paulding County, GA Pickens County, GA Pike County, GA Rockdale County, GA Spalding County, GA Walton County, GA	1.0093	0.9549
19060	Cumberland, MD-WV Allegany County, MD Mineral County, WV	0.8652	0.8186
26420	Houston-Sugar Land-Baytown, TX Austin County, TX Brazoria County, TX Chambers County, TX Fort Bend County, TX Galveston County, TX Harris County, TX Liberty County, TX Montgomery County, TX San Jacinto County, TX Waller County, TX	1.0383	0.9824
27740	Johnson City, TN Carter County, TN Unicoi County, TN Washington County, TN	0.8566	0.8150

CBSA Code	Urban Area (Constituent Counties)	Composite Rate Wage Index	ESRD PPS Wage Index
35380	New Orleans-Metairie-Kenner, LA Jefferson Parish, LA Orleans Parish, LA Plaquemines Parish, LA St. Bernard Parish, LA St. Charles Parish, LA St. John the Baptist Parish, LA St. Tammany Parish, LA	0.9586	0.9070
40980	Saginaw-Saginaw Township North, MI Saginaw County, MI	0.9225	0.8728
43780	South Bend-Mishawaka, IN-MI St. Joseph County, IN Cass County, MI	1.0514	0.9948

4. On page 73859, in Addendum L: CY 2011 ESRD Wage Index for Rural Areas Based on CBSA Labor Market Areas, the listed entry is corrected to read as follows:

State Code	Nonurban Area	Composite Rate Wage Index	ESRD PPS Wage Index
2	Alaska	1.3345	1.2626

III. Waiver of Proposed Rulemaking and Delay in Effective Date

We ordinarily publish a notice of proposed rulemaking in the **Federal Register** to provide a period for public comment before the provisions of a rule take effect in accordance with section 553(b) of the Administrative Procedure Act (APA) (5 U.S.C. 553(b)). However, we can waive the notice and comment procedure if the Secretary finds, for good cause, that the notice and comment process is impracticable, unnecessary, or contrary to the public interest, and incorporates a statement of the finding and the reasons for it in the rule.

Section 553(d) of the APA ordinarily requires a 30-day delay in the effective date of final rules after the date of their publication. This 30-day delay in effective date can be waived, however, if an agency finds for good cause that the delay is impracticable, unnecessary, or contrary to the public interest, and the agency incorporates a statement of the findings and its reasons in the rule issued.

This document merely corrects typographical and technical errors made in the CY 2011 PFS final rule with comment period, which appeared in the November 29, 2010 **Federal Register** (75 FR 73170), and is (with limited exceptions not relevant to these corrections, but noted in the rule), effective January 1, 2011. The provisions of the final rule with comment period have been subjected previously to notice and comment procedures. The corrections contained in this document are consistent with, and do not make substantive changes to, the payment methodologies and policies adopted in the CY 2011 PFS final rule with comment period. As such, these corrections are being made to ensure the CY 2011 PFS final rule with comment period accurately reflects the policies adopted in that rule. Therefore, we find for good cause that it is unnecessary and would be contrary to the public interest to undertake further notice and comment procedures to incorporate these corrections into the CY 2011 PFS final rule with comment period.

For the same reasons, we are also waiving the 30-day delay in effective date for these corrections. We believe that it is in the public interest to ensure that the CY 2011 PFS final rule with comment period accurately states our policies as of the date they take effect. Therefore, we find that delaying the effective date of these corrections beyond the effective date of the final rule with comment period would be contrary to the public interest. In so doing, we find good cause to waive the 30-day delay in the effective date.

Authority: (Catalog of Federal Domestic Assistance Program No. 93.774, Medicare—Supplementary Medical Insurance Program)

Dated: December 29, 2010.

Dawn L. Smalls,

Executive Secretary to the Department.

[FR Doc. 2010–33264 Filed 12–30–10; 4:15 pm]

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Part III

Tennessee Valley Authority

Privacy Act of 1974: Republication of Notice of System of Records; Notice

TENNESSEE VALLEY AUTHORITY

Privacy Act of 1974: Republication of Notice of Systems of Records

AGENCY: Tennessee Valley Authority (TVA).

ACTION: Notice of republication of systems of records; notice of proposed new system of records.

SUMMARY: In accordance with 5 U.S.C. 552a(e)(4), the Tennessee Valley Authority (TVA) is republishing in full a notice of the existence and character of each TVA system of records.

TVA is deleting one system of records because the program has ended. The retention period for the records has expired and the records have been destroyed in accordance with their records retention schedule.

TVA is proposing to add a new system of records. The records were previously included within other TVA systems of records. They are now being published as their own system of records to better reflect their organizational placement.

TVA is also correcting minor typographical and stylistic errors in previously existing notices and has updated those notices to reflect current organizational structure. Also, updates are being made to show any changes to system locations; managers and addresses; categories of individuals and records; procedures and practices for storing, retrieving, accessing, retaining, and disposing of records.

DATES: Submit comments on or before February 10, 2011.

ADDRESSES: Address all comments concerning this notice to Mark R. Winter, Senior Information Security Specialist, TVA, 1101 Market Street (MP 3C), Chattanooga, TN 37402–2801.

FOR FURTHER INFORMATION CONTACT: Mark R. Winter at (423) 751–6004 or *mrwinter@tva.gov.*

SUPPLEMENTARY INFORMATION: In accordance with 5 U.S.C. 552a(e)(4), TVA is today republishing a notice of the existence and character of each of its systems of records in order to make available in one place in the **Federal Register** the most up-to-date information regarding these systems.

TVA is deleting one system of records as follows. TVA–28, "Woodland Resource Analysis Program Input Data—TVA." After the program ended the records were stored off-site at the Federal Records Center. The retention period for the records expired and the records were disposed of by the Federal Records Center.

TVA is proposing to add a new system of records: TVA–39, "Nuclear Access Authorization and Fitness for Duty Records—TVA" The records were previously included within other TVA systems of records. They are now being published as their own system of records to better reflect their organizational placement. The Nuclear Access Authorization records are related to requests for unescorted access, and include background investigations for unescorted access, and the granting of clearances for unescorted access to TVA nuclear sites as well as suspensions, revocations, and denials of unescorted access. It also contains records pertaining to unescorted access issues. The Nuclear Fitness for Duty program ensures that each employee has a safe, drug-free workplace and provides reasonable assurance that personnel supporting the TVA Nuclear program perform their task in a reliable and trustworthy manner. This program is administered in accordance with regulation 10 CFR part 26 issued by the Nuclear Regulatory Commission, and is implemented through various TVA policies and procedures. The new system of records, TVA–39, "Nuclear Access Authorization and Fitness for Duty Records—TVA" will be effective as proposed at the end of the comment period unless comments are received which would require a contrary determination. TVA will publish a revised notice if changes are made based upon a review of comments received.

TVA is also correcting minor typographical and stylistic errors in the previous existing systems. In addition, TVA is updating the system locations; managers and addresses; notification; categories of individuals covered; categories of records; storage policies and practices; retention and disposal; record access; and contesting record procedures. These changes are necessary to reflect TVA's current organizational structure, current technology, and procedural changes.

This document gives notice that the following TVA systems of records below are in effect:

Table of Contents

TVA–1

SYSTEM NAME:

Apprentice Training Records—TVA.

SYSTEM LOCATION:

Human Resource Information Systems, TVA, Knoxville, TN 37902–1499; Computer Operations, TVA, Chattanooga, TN 37402–2801; all TVA locations where apprentices are employed.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Current and former TVA apprentices.

CATEGORIES OF RECORDS IN THE SYSTEM:

Employment, qualifications, and evaluation information.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; National Apprenticeship Act of 1937, 50 Stat. 664.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To the Bureau of Apprenticeship and Training, the Veterans' Administration, Tennessee Valley Trades and Labor Council, and the State and local Government agencies for reporting and evaluation purposes.

To respond to a request from a Member of Congress regarding the status of an apprentice.

To provide information to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the letting of a contract, or issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on that matter.

To provide the following information to a prospective employer of a TVA or former TVA employee: Job description, dates of employment, reason for separation.

To the parties or complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in proceedings under the TVA grievance adjustment procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures.

To request information from a Federal, State, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information; and to request information from private individuals, if necessary, to obtain information relevant to a TVA decision concerning the hiring, retention, or promotion of an employee, the issuance of a security clearance, or other decision within the purposes of this system of records.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained on automated data storage devices, microfiche, and in file folders.

RETRIEVABILITY:

Records are indexed by name, craft, job code, union code, and social security number.

SAFEGUARDS:

Access to and use of these records are limited to persons whose official duties require such access. Files are kept in secured facilities.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA record retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Senior Manager, Talent Sourcing & Support Services, TVA, Chattanooga, TN 37402–2801.

NOTIFICATION PROCEDURE:

Individuals seeking to learn if information on them is maintained in this system of records should address inquiries to the system manager named above. Requests should include the individual's full name, craft, and location of employment.

RECORD ACCESS PROCEDURES:

Individuals seeking access to information about them in this system of records should contact the system manager named above. Access will not be granted to investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment. Federal contracts, or access to classified information to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence or, prior to September 27, 1975, under an implied promise that the identity of the source would be held in confidence. Access will not be granted to testing or examination material used solely to determine individual qualification for appointment or promotion in the Federal service, the disclosure of which would compromise the objectivity or fairness of the testing or examination process.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to the system manager named above.

RECORD SOURCE CATEGORIES:

Individual to whom the record pertains; General Aptitude Test Battery scores from State employment security office; references from employers, military and educational institutions; and evaluations from joint committee on apprenticeship.

SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:

This system is exempt from subsections (d); (e)(4)(H); and (f)(2), (3), and (4) of 5 U.S.C. 552a (section 3 of the Privacy Act of 1974) to the extent that disclosure of material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence or, prior to September 27, 1975, under an implied promise that the identity of the source would be held in confidence, and to the extent that disclosure of testing and examination material would compromise the objectivity of the testing or examination process. This exemption is pursuant to 5 U.S.C. 552a(k)(5) and (6) and TVA regulations at 18 CFR 1301.24.

TVA–2

SYSTEM NAME:

Personnel Files—TVA.

SYSTEM LOCATION:

Human Resources, Shared Services & Employee Relations, TVA, Knoxville, TN 37902–1499; Human Resource Information Systems, TVA, Knoxville, TN 37902–1499; area human resources offices throughout TVA; Information Technology, TVA, Chattanooga, TN 37402–2801; National Personnel Records Center, St. Louis, MO 63118. Security/suitability investigatory files are located separately from other records in this system. Duplicate or certain specified temporary information may be maintained by human resources officers, supervisors, and administrative officers.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Current and former TVA employees, some contractors, applicants for employment, and applicants for employment by TVA contractors.

CATEGORIES OF RECORDS IN THE SYSTEM:

Information related to education; qualifications; work history; interests and skills; test results; performance evaluation; career counseling; personnel actions; job description; salary and benefit information; service dates, including other Federal and military service; replies to congressional inquiries; medical data; and security investigation data.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; Executive Order 10577; Executive Order 10450; Executive Order 11478; Executive Order 11222; Equal Employment Opportunity

Act of 1972, Public Law 92–261, 86 Stat. 103; Veterans' Preference Act of 1944, 58 Stat. 387, as amended; various sections of title 5 of the United States Code related to employment by TVA.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES::

To disclose test results to State employment services.

To a State employment security office in response to a request relating to a former employee's claim for unemployment compensation.

To respond to a request from a Member of Congress regarding the status of an employee, former employee, or applicant.

To refer, where there is an indication of a violation or potential violation of law, whether criminal, civil, or regulatory in nature, to the appropriate agency, whether Federal, State, or local, charged with the responsibility of investigating and prosecuting such violation, or charged with enforcing or implementing the statute, rule, regulation, or order issued pursuant thereto.

To request from any pertinent source directly or through a TVA contractor engaged at TVA's direction, information relevant to a TVA decision concerning the hiring, retention, or promotion of an employee, the issuance of a security clearance, or other decision within the purposes of this system of records.

To provide information or disclose to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the letting of a contract or issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on that matter.

To provide the following information, as requested, to a prospective employer of a TVA or former TVA employee: job descriptions, dates of employment, and reasons for separation.

To provide an official of another Federal agency information needed in the performance of official duties related to reconciling or reconstructing data files, in support of the functions for which the records were collected and maintained.

To provide information to multi-employer health and welfare and pension funds as reasonably necessary and appropriate for proper administration of the plan of benefits.

To provide information to TVA contractors engaged in making suitability determinations for their prospective employees under TVA contracts.

To contractors and subcontractors engaged at TVA's direction in providing support services to TVA in connection with mailing materials to TVA employees or other related services.

To provide information as requested to the Office of Personnel Management pursuant to Executive Orders 10450 and 10577 and other laws.

To any agency of the Federal Government having oversight or review authority with regard to TVA activities.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To transfer information necessary to support a claim for life insurance benefits under Federal Employees' Group Life Insurance to Office of Federal Employees' Group Life Insurance.

To transfer information regarding claims for health insurance benefits to health insurance carrier.

To union representatives in exercising their responsibilities under TVA collective-bargaining agreements.

To the parties or complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in proceedings under the TVA grievance adjustment procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures.

To TVA contractors and subcontractors engaged at TVA's direction in studies and evaluation of TVA personnel management and benefits; or the investigation of nuclear safety, reprisal, or other matters involving TVA personnel practices or policies; or the implementation of TVA personnel policies.

To provide pertinent information to local school districts and other Government agencies in order to study TVA project impacts and to aid school districts in qualifying for assistance under Public Law 81–874 and other laws.

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To commemorate the month and day of employee birthday anniversaries.

To the Office of Child Support Enforcement, Administration for Children and Families, Department of Health and Human Services Federal Parent Locator System (FPLS) and Federal Tax Offset System for use in locating individuals and identifying their income sources to establish paternity, establish and modify orders of support, and for enforcement action.

To the Office of Child Support Enforcement for release to the Social Security Administration for verifying social security numbers in connection with the operation of the FPLS by the Office of Child Support Enforcement.

To the Office of Child Support Enforcement for release to the Department of Treasury for purposes of administering the Earned Income Tax Credit Program (Section 32, Internal Revenue Code of 1986) and verifying a claim with respect to employment in a tax return.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) The disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Information is stored electronically in the Human Resources Information System (HRIS), Personal Records Information System (PRIS), or on microfiche. Duplicate or certain specified temporary information may be maintained by human resource officers, supervisors, and administrative officers in a locked, secure location.

RETRIEVABILITY:

Records are indexed by name and Employee Identification number.

SAFEGUARDS:

Access to and use of these records are limited to those persons whose official duties require such access. Access to systems storing these records must be approved by the Senior Manager of Employee Relations Support Services. All filing systems are locked when

unattended. Remote access facilities are secured through physical and system-based safeguards.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Senior Manager, Employee Relations Support Services, TVA, Knoxville, TN 37902–1499.

NOTIFICATION PROCEDURE:

Individuals wishing to learn if information on them is maintained in this system of records should address inquiries to the Manager, TVA Service Center, TVA, Knoxville, TN 37902–1499. Requests should include the individual's full name, job title, and date of birth. A Social Security number is not required but may expedite TVA's response; however, an Employee Identification Number may be included.

Current employees should address inquiries also to their supervisors or the TVA Service Center.

RECORD ACCESS PROCEDURES:

Individuals seeking to gain access to information about them in this system of records should contact the Manager, TVA Service Center, TVA, Knoxville, TN 37901–1499. In addition, current employees may present requests for access to their supervisors or the personnel officer of the employing division. Requests should include the individual's full name, job title, and date of birth. A Social Security number is not required but may expedite TVA's response; however, an Employee Identification Number may be included. Access will not be granted to investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment or access to classified information to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or prior to September 27, 1975, under an implied promise that the identity of the source would be held in confidence. Access will not be granted to testing or examination material used solely to determine individual qualifications for appointment or promotion in the Federal Service the disclosure of which would compromise the objectivity or fairness of the testing or examination process.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to the Manager, TVA Service Center, TVA, Knoxville, TN 37902–1499.

RECORD SOURCE CATEGORIES:

Individual to whom the record pertains; educational institutions; former employers; and other reference sources; State employment services; supervisors and other TVA personnel or personnel records; medical officers; other Federal agencies.

In addition to the above sources, security/suitability investigatory files contain information from law enforcement agencies.

SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:

This system is exempt from subsections (d); (e)(4)(H); and (f)(2), (3) and (4) of 5 U.S.C. 552a (section 3 of the Privacy Act of 1974) to the extent that disclosure of material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or prior to September 27, 1975, under an implied promise that the identity of the source would be held in confidence, and to the extent that disclosure of testing or examination material would compromise the objectivity or fairness of the testing or examination process. This exemption is pursuant to 5 U.S.C. 552a(k)(5) and (6) and TVA regulations at 18 CFR 1301.24.

TVA–5

SYSTEM NAME:

Discrimination Complaint Files—TVA.

SYSTEM LOCATION:

TVA Equal Opportunity Compliance Staff, Knoxville, TN 37902–1499. Duplicate copies may be maintained in the files of the TVA organization where the complaint originated.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Employees, former employees, or applicants who have received counseling or filed complaints of discrimination based on race, color, religion, sex, national origin, age, reprisal, disability, or genetic information.

CATEGORIES OF RECORDS IN THE SYSTEM:

This system of records contains information or documents relating to a decision or determination made by TVA or the Equal Employment Opportunity Commission affecting an individual. The records consist of the complaint, letters or notices to the individual, record of hearings when received from the Equal Employment Opportunity Commission, materials placed into the record to support the decision or determination, affidavits or statements, testimonies of witnesses, investigative reports, and related correspondence, opinions, and recommendations. Also, if the case is appealed to the Federal District Court of Appeals, the records will contain a copy of the complaint on file with the Federal District Court.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; Executive Order 11478; 42 U.S.C. 2000e–16; 29 U.S.C. 633a; Title VII of the Civil Rights Act of 1964; Age Discrimination in Employment Act of 1967; Rehabilitation Act of 1973; Genetic Information Nondiscrimination Act of 2008.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

If a hearing is requested and/or an administrative appeal is filed with the Equal Employment Opportunity Commission, a copy of the complaint file, containing a record of investigations and a correspondence file of each complaint, is forwarded to the Equal Employment Opportunity Commission.

To the counselee's or complainant's representative.

To respond to a request from a Member of Congress regarding the status of a complaint.

To the parties of complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in proceedings under the TVA grievance adjustment procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures.

To refer, where there is an indication of a violation or potential violation of law, whether criminal, civil, or regulatory in nature, to the appropriate agency, whether Federal, State, or local, charged with the responsibility of investigating and prosecuting such violation, or charged with enforcing or implementing the statute, rule, regulations, or order issued pursuant thereto.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery.

In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To TVA consultants, contractors, and subcontractors who are engaged in studies and evaluation of TVA's administration of its Equal Employment Opportunity program or who are providing support services to the program.

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) The disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records in this system are kept in file folders.

RETRIEVABILITY:

Records in this system are indexed by name.

SAFEGUARDS:

Access to and use of these records are limited to those personnel whose official duties require such access.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Director of TVA Equal Opportunity Compliance, Knoxville, TN 37902–1499.

NOTIFICATION PROCEDURE:

Individuals who have filed discrimination complaints are aware of that fact. However, inquiries may be addressed to the system manager named above. Individuals should provide their full name, the approximate date of their complaint, and their employing organization, if employed.

RECORD ACCESS PROCEDURES:

Individuals who have filed a discrimination complaint have been provided a copy of the record. However, an individual may gain access to a copy of their official complaint record by writing the system manager named above.

CONTESTING RECORD PROCEDURES:

Individuals who have filed a discrimination complaint have had an opportunity during the complaint procedure to timely amend their record. TVA management has the same opportunity during the complaint procedure to timely amend the applicable record. However, requests for amendment or correction of items not involving the complaint procedure may be addressed to the system manager named above.

RECORD SOURCE CATEGORIES:

The individual to whom the record pertains; TVA personnel and other records; and witnesses.

TVA–6

SYSTEM NAME:

Work Injury Illness System—TVA.

SYSTEM LOCATION:

TVA Safety Programs, TVA, Chattanooga, TN 37402–2801. Accident reports may also be maintained in the file of the employing organization.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Employees and Staff Augmented contractors who have sustained a work-related injury or illness.

CATEGORIES OF RECORDS IN THE SYSTEM:

Personal identifying information and information related to the accident, injury, or illness.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; Executive Order 12196; Occupational Safety and Health Act of 1970, Public Law 93–237, 87 Stat. 1024.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To an injured employee's representative.

To the Department of Labor as required by the Occupational Safety and Health Act.

To the Office of Workers' Compensation Programs in relation to an individual's claim for compensation.

To respond to a request from a Member of Congress regarding the status of an employee.

To provide information to a Federal agency, in response to its request in connection with the hiring or retention of an employee, the letting of a contract, or issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on that matter.

To request information from a Federal, State, or local agency maintaining civil, criminal, or other relevant enforcement information, or other pertinent information; and to request information from private individuals, if necessary, to obtain information relevant to a TVA decision concerning the hiring, retention, or promotion of an employee, the issuance of a security clearance, or other decision within the purpose of this system of records.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To refer, where there is an indication of a violation or potential violation of law, whether criminal, civil, or regulatory in nature, to the appropriate agency, whether Federal, State, or local, charged with the responsibility of investigating and prosecuting such violation, or charged with enforcing or implementing the statute, rule, regulation, or order issued pursuant thereto.

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) The disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with

TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Information in this system is maintained on automated data storage devices and in file folders.

RETRIEVABILITY:

Records are indexed by name, date of injury, and Employee Identification Number.

SAFEGUARDS:

Access to and use of these records are limited to those persons whose official duties require such access. All filing systems are locked when unattended. Remote access facilities are secured through physical and system-based safeguards.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Manager, Safety Process Support, TVA, Chattanooga, TN 37402–2801.

NOTIFICATION PROCEDURE:

Individuals wishing to know whether information about them is maintained in this system of records should address inquiries to the system manager named above. Requests should include the individual's full name, date of birth, and approximate date of injury.

RECORD ACCESS PROCEDURES:

Individuals who desire access to information about them in this system of records should contact the system manager named above.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to the system manager named above.

RECORD SOURCE CATEGORIES:

The individual to whom the record pertains; TVA medical records; witnesses of accidents and inquires, including appraisers of property damage.

TVA–7

SYSTEM NAME:

Employee Accounts Receivable—TVA.

SYSTEM LOCATION:

Financial Services, TVA, Knoxville, TN 37902–1499; Office of the General Counsel, TVA, Knoxville, TN 37902–1499.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Employees or former employees who: Authorize a payment for specified purposes in their behalf; receive overpayment of earnings; receive duplicate payments; are otherwise indebted to TVA.

CATEGORIES OF RECORDS IN THE SYSTEM:

Personal identifying information and information concerning indebtedness and repayment.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; 5 U.S.C. Chapter 55.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To refer, where there is an indication of a violation or potential violation of law, whether criminal, civil, or regulatory in nature, to the appropriate agency, whether Federal, State, or local, charged with the responsibility of investigating and prosecuting such violation, or charged with enforcing or implementing the statute, rule, regulation, or order issued pursuant thereto.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

DISCLOSURE TO CONSUMER REPORTING AGENCIES:

Disclosures pursuant to 5 U.S.C. 552a(b)(12): Disclosures may be made from this system to "consumer reporting agencies" as defined in the Fair Credit Reporting Act (15 U.S.C. 1681a(f)) or the Debt Collection Act of 1982 (31 U.S.C. 3711(d)(4)).

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained on printouts, invoices, microfiche, and posting documents.

RETRIEVABILITY:

Records are indexed by payroll number, social security number, badge number, name, or invoice number.

SAFEGUARDS:

Access to and use of these records are limited to persons whose official duties require such access. Files are kept in secured facilities.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Senior Manager, Accounting Services, TVA, Knoxville, TN 37902–1499.

NOTIFICATION PROCEDURE:

Individuals wishing to know whether information about them is maintained in this system of records should address inquiries to the system manager named above. Requests should include the individual's full name and employing organization. Provisions of the social security number is not required, but may expedite TVA's response and may prevent the erroneous retrieval of records for another individual with the same name.

RECORD ACCESS PROCEDURES:

Individuals who seek access to information about them in this system of records should contact the system manager named above.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in the system should direct their request to the system manager named above.

RECORD SOURCE CATEGORIES:

Individuals to whom the record pertains; TVA payroll records; TVA disbursement voucher records.

TVA–8

SYSTEM NAME:

Employee Alleged Misconduct Investigatory Files—TVA.

SYSTEM LOCATION:

Office of the General Counsel, TVA, Knoxville, TN 37902–1499.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Employees or former employees about whom a complaint of misconduct during employment has been made.

CATEGORIES OF RECORDS IN THE SYSTEM:

Information regarding conduct during employment with TVA which may be in violation of law or regulations compiled prior to 1986. Information compiled after 1986 is maintained under TVA–31, "OIG Investigative Records." TVA–8 will be phased out when the records are destroyed in accordance with established retention schedules.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; Executive Order 10450; Executive Order 11222; Hatch Political Activity Act, 5 U.S.C. 7324–7327; 28 U.S.C. 535.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To refer, where there is an indication of a violation or potential violation of law, whether criminal, civil, or regulatory in nature, to the appropriate agency, whether Federal, State, or local charged with the responsibility of investigating and prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation, or order issued pursuant thereto.

To provide information to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the letting of a contract, or issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decisions on that matter.

To the parties or complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in proceedings under the TVA, grievance adjustment procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To request information from a Federal, State, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information and to request information from private individuals if necessary, to obtain information relevant to a TVA decision concerning the hiring, retention, or promotion of an employee, the issuance of a security clearance, or other decision within the purposes of this system of records.

To provide information as requested to the Office of Personnel Management pursuant to Executive Orders 10450 and 10577 and other laws.

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained in file folders.

RETRIEVABILITY:

Records are indexed and retrieved by individual name or investigation number.

SAFEGUARDS:

These records are stored in a locked GSA-approved security container. Access to the records is limited to TVA attorneys and their administrative assistants who have a need for them in the course of TVA business and to other TVA employees whose need is approved by Office of the General Counsel management.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

General Counsel, TVA, Knoxville, TN 37902–1499.

NOTIFICATION PROCEDURE:

This system of records is exempt from this requirement pursuant to 5 U.S.C. 552a(k)(2) and TVA regulations at 18 CFR 1301.24.

RECORD ACCESS PROCEDURES:

This system of records is exempt from this requirement pursuant to 5 U.S.C. 552a(k)(2) and TVA regulations at 18 CFR 1301.24.

CONTESTING RECORD PROCEDURES:

This system of records is exempt from this requirement pursuant to 5 U.S.C. 552a(k)(2) and TVA regulations at 18 CFR 1301.24.

RECORD SOURCE CATEGORIES:

This system of records is exempt from this requirement pursuant to 5 U.S.C. 552a(k)(2) and TVA regulations at 18 CFR 1301.24.

SYSTEM EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:

This system is exempted from subsections (c)(3); (d); (e)(1); (4)(G), (4)(H), (4)(I); and (f) of 5 U.S.C. 552a (Section 3 of the Privacy Act of 1974) pursuant to 5 U.S.C. 552a(k)(2) and TVA regulations at 18 CFR 1301.24.

TVA–9

SYSTEM NAME:

Health Records—TVA.

SYSTEM LOCATION:

TVA HR Health & Safety, Chattanooga, TN 37402–2801; all TVA medical facilities; Computer Operations, TVA, Chattanooga, TN 37402–2801; National Personnel Records Center, St. Louis, MO 63118; District Offices, Office of Workers' Compensation Programs.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Applicants for TVA employment, employees, former employees, official visitors, contractual assignees to TVA, interns, externs, employees of TVA contractors, and other Federal agencies who are examined under contract.

CATEGORIES OF RECORDS IN THE SYSTEM:

Health information pertinent to an individual's employment, official visit, or contractual work with TVA or other Federal agencies, including the basic Clinical Medical Record, Worker's Compensation and Rehabilitation claims and case files, Psychological and Fitness for Duty files including alcohol and drug testing information, clinical information received from outside sources, and information relative to an employee's claim for medical disability retirement. Health information includes paper documents, x-rays, microfiche, microfilm, and/or any automatic data processing media, regardless of the form or process by which it is maintained.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; 5 U.S.C. 7902; Federal Employees' Compensation Act, 5 U.S.C. chapter 81, 5 U.S.C. chapter 87 (Medical information relating to life insurance program); 5 U.S.C. 3301; Occupational Safety and Health Act of 1970, Public Law 93–237, 87 Stat. 1024, Public Law 91–616, Federal Civilian Employee Alcoholism Program and Public Law 92–255, Drug Abuse Among Federal Civilian Employees, which are amended in regard to confidentiality of records by Public Law 93–282; Public health laws (State and Federal) related to the reporting of health hazards, communicable diseases or other epidemiological information; Energy Reorganization Act of 1974, Public Law 93–438, 88 Stat. 1233; 49 CFR part 382 subpart D.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

Compensation claim records are used for adjudicating claims and providing therapy. Appropriate information is exchanged with physicians, hospitals, and rehabilitation agencies approved by the Office of Workers' Compensation Programs for service to injured employees.

Alcohol and drug testing and psychological fitness for duty records may be exchanged with a physician or treatment center working with an employee, or in accordance with the provisions of Public Law 93–282.

Information in the Health Records System provided to officials of other Federal agencies responsible for other Federal benefit programs administered by Office of Workers' Compensation Programs. Retired Military Pay Centers, Veterans' Administration, Social Security Administration, and private contractors engaged in providing benefits under Federal contracts.

To refer, where there is an indication of a violation or potential violation of law, whether criminal, civil, or regulatory in nature, to the appropriate agency, whether Federal, State, or local, charged with the responsibility of investigating and prosecuting such violation, or charged with enforcing or implementing the statute, rule, regulation, or order issued pursuant thereto.

To provide information to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the letting of a contract, the issuance of a security clearance, the reporting of an investigation of an employee, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

To respond to a request from a Member of Congress regarding an employee.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority or a court of competent jurisdiction.

To transfer information regarding claims for health insurance or disability benefits to the health insurance carrier or plan participant.

To request information from a Government agency or private individual, if necessary, to obtain information relevant to a TVA decision within the purposes of this system of records.

To the parties or complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in proceedings under the TVA grievance adjustment procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures.

To TVA consultants, contractors, and subcontractors who are engaged in studies and evaluation of TVA's administration of its medical and employee benefits program or who are providing support sources to the program.

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To provide information to private physicians and other health care professionals or facilities designated by an employee.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Health information includes paper documents, x-rays, microfiche, microfilm, and/or any automatic data processing media, regardless of the form or process by which it is maintained.

RETRIEVABILITY:

Records are indexed by name, social security number, date of birth, and/or case number.

SAFEGUARDS:

Access to and use of these records are limited to those persons whose official duties require such access. All filing systems are locked when unattended.

Remote access facilities are secured through physical and system-based safeguards. Special instructions governing the medical staff employees assure the confidentiality of health records.

RETENTION AND DISPOSAL:

Records are maintained in accordance with TVA rules and regulations approved by the Archivist of the United States. Retention schedules specify the length of time various records are kept. Active clinical medical records are kept indefinitely. Specific retention schedules for various components of the records systems are contained in the Comprehensive Records Schedule (CRS) which has been approved by the National Archives and Records Administration (NARA) for use by Health Services. These dispositions are mandatory unless TVA requests a revision from NARA. Items in this CRS

should be cited as the disposition authority for transferring or destroying any records.

SYSTEM MANAGER(S) AND ADDRESS:

Manager, Occupational Health & Nursing Services, Chattanooga, TN 37402–2801. Inquiries and requests for psychological fitness for duty and alcohol & drug testing records should be sent to Manager, Non-Nuclear Fitness for Duty, TVA, Chattanooga, TN 37402–2801.

NOTIFICATION PROCEDURE:

Individuals should address inquiries to the system manager named above. Individuals should provide their full name, Employee Identification Number (EIN) or social security number, date of birth, employing organization, and date of last employment, and employee compensation case number, if any.

RECORD ACCESS PROCEDURES:

Individuals who desire access to information about them in this system of records should contact or address their inquiries to the system manager named above. Inquiries should be specific as to which component of the health records system is to be accessed. If inquiries are not specific to a particular component of the health records, it will be assumed the access is directed toward the individual's clinical medical record.

CONTESTING RECORDS PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to the system manager named above.

RECORD SOURCE CATEGORIES:

The individual to whom the record pertains; TVA medical staff; private physicians and medical institutions; Office of Workers' Compensation Programs; TVA personnel records; other health agencies and departments.

TVA–11

SYSTEM NAME:

Payroll Records—TVA.

SYSTEM LOCATION:

Financial Services, TVA, Knoxville, TN 37902–1499; garnishment files are located at the Office of the General Counsel, TVA, Knoxville, TN 37902–1499; duplicate copies of some records may also be maintained in the files of the employing organization; National Personnel Records Center, St. Louis, MO 63118.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

All employees and personal service contractors selected for certain training programs and applicants for employment.

CATEGORIES OF RECORDS IN THE SYSTEM:

Personal identifying information, pay, leave, and debt claim information.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; Internal Revenue Code; Fair Labor Standards Act, 29 U.S.C. Chapter 8; 5 U.S.C. Chapter 63.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To report earnings and other required information to Federal, State, and local taxing authorities as required by law.

To report earnings to the Civil Service Retirement System for members of that system.

To transmit payroll deduction information to financial institutions and employee organizations.

To report earnings to courts when garnishments are served or in bankruptcy or wage earner proceedings.

To report earnings to the Department of Housing and Urban Development, State welfare agencies, and State employment security offices where an individual has made a claim for benefit with such agency.

To the parties or complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in proceedings under the TVA grievance adjustment procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures.

To refer, where there is an indication of a violation or potential violation of law, whether criminal, civil or regulatory in nature, to the appropriate agency, whether Federal, State, or local, charged with the responsibility of investigating and prosecuting such violation, or charged with enforcing or implementing the statute, rule, regulation, or order issued pursuant thereto.

To provide information or disclose to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the letting of a contract, or issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on that matter.

To disclose to any agency of the Federal Government having oversight or review authority with regard to TVA activities.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To transfer information necessary to support a claim for life insurance benefits under Federal Employee's Group Life Insurance to Office of Federal Employee's Group Life Insurance.

To request information from a Federal, State, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information; and to request information from private individuals, if necessary, to obtain information relevant to a TVA decision concerning the hiring, retention, or promotion of an employee, the issuance of a security clearance, or other decision within the purposes of this system of records.

To transfer information regarding claims for health insurance benefits to health insurance carriers.

To TVA contractors and subcontractors engaged in studies and evaluations of TVA payroll and personnel management.

To union representatives exercising their responsibilities under TVA collective bargaining agreements.

To report earnings to the Department of Housing and Urban Development, and State welfare agencies where an individual makes a claim for benefits, and to report earnings to State employment security offices in both manual and automated form for use by these offices in determining unemployment benefits.

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To the Office of Child Support Enforcement, Administration for Children and Families, Department of Health and Human Services Federal Parent Locator System (FPLS) and Federal Tax Offset System for use in locating individuals and identifying their income sources to establish paternity, establish and modify orders of support, and for enforcement action.

To the Office of Child Support Enforcement for release to the Social Security Administration for verifying social security numbers in connection

with the operation of the FPLS by the Office of Child Support Enforcement.

To the Office of Child Support Enforcement for release to the Department of the Treasury for purposes of administering the Earned Income Tax Credit Program (Section 32, Internal Revenue Code of 1986) and verifying a claim with respect to employment in a tax return.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

DISCLOSURE TO CONSUMER REPORTING AGENCIES:

Disclosures pursuant to 5 U.S.C. 552a(b)(12): Disclosures may be made from this system to "consumer reporting agencies" as defined in the Fair Credit Reporting Act (15 U.S.C. 1681a(f)) or the Debt Collection Act of 1982 (31 U.S.C. 3711(d)(4)).

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained on automated data storage devices, hard-copy printouts, and in an optical scanned electronic file.

RETRIEVABILITY:

Records are primarily indexed by name. They may also be retrieved by reference to employing organization, date of end of pay period, social security or badge number, year of birth, or job title.

SAFEGUARDS:

Access to and use of these records are limited to persons whose official duties require such access. Filing systems are locked when unattended. Remote access facilities are secured through physical and system-based safeguards.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Senior Manager, Accounting Services, TVA, Knoxville, TN 37902–1499.

NOTIFICATION PROCEDURE:

Individuals wishing to learn if information on them is maintained in this system of records should address inquiries to the system manager named above. Requests should include the individual's full name, employing organization, and date of last employment. The social security number is also required to expedite TVA's response and prevent the erroneous retrieval of records for another individual with the same name.

RECORD ACCESS PROCEDURES:

Individuals seeking access to information on them in this system of records should contact the system manager named above.

CONTESTING RECORD PROCEDURES:

Individuals seeking to contest or amend information on them in this system of records should contact the system manager named above.

RECORD SOURCE CATEGORIES:

Individual to whom the record pertains; TVA personnel records; employee's supervisor for report of hours worked.

TVA–12

SYSTEM NAME:

Travel History Records—TVA.

SYSTEM LOCATION:

Financial Services, TVA, Knoxville, TN 37902–1499. Duplicate copies of certain records may also be maintained in the files of the employing organization.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Current and former TVA employees who traveled on official business and filed travel expense vouchers, applied for a travel advance, or transferred between official stations; recently-hired employees who filed for reimbursement of relocation expenses; candidates for TVA positions who filed for reimbursement of travel expenses; and contractors with which there is an employer/employee relationship (i.e., personal services contractors).

CATEGORIES OF RECORDS IN THE SYSTEM:

Travel advance requests, travel expense vouchers and supporting documentation, travel charge card program records and reports, and travel orders. Records supporting relocation expense claims also include real estate sales agreements and settlements, Federal Truth-In Lending disclosure statements, lease agreements, receipts for loss of rental deposit, and relocation income tax allowance documents.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; 5 U.S.C. 5701–5709, and related Federal travel regulations.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To refer, where there is an indication of a violation or potential violation of law, whether criminal, civil or regulatory in nature, to the appropriate agency, whether Federal, State, or local, charged with the responsibility of investigating and prosecuting such violation or charged with enforcing or implementing the statute, rule regulation, or order issued pursuant thereto.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To respond to a request from a Member of Congress regarding the status of an employee, former employee, or applicant.

To TVA contractors and subcontractors engaged at TVA's direction who are providing support services to TVA's travel charge card program.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the

disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

DISCLOSURE TO CONSUMER REPORTING AGENCIES:

Disclosures pursuant to 5 U.S.C. 552a(b)(12): Disclosures may be made from this system to "consumer reporting agencies" as defined in the Fair Credit Reporting Act (15 U.S.C. 1681a(f)) or the Debt Collection Act of 1982 (31 U.S.C. 3711(d)(4)).

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained on magnetic media, hard-copy printouts, microfiche, and in file folders.

RETRIEVABILITY:

Records are indexed by name and social security number.

SAFEGUARDS:

Access to and use of these records are limited to persons whose official duties require such access. Security will be provided by physical, administrative, and computer system safeguards. Files are kept in secured facilities not accessible to unauthorized individuals.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Senior Manager, Accounting Services, TVA, Knoxville, TN 37902–1499.

NOTIFICATION PROCEDURE:

Individuals wishing to know whether information about them is maintained in this system of records should address inquiries to the system manager named above. Requests should include the individual's full name and social security number.

RECORD ACCESS PROCEDURES:

Individuals who seek access to information about them in this system of records should contact the system manager named above. Requests should include the individual's full name and social security number.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to the system manager named above. Requests should include the individual's full name and social security number.

RECORD SOURCE CATEGORIES:

Individual to whom the record pertains; TVA disbursement voucher records; TVA application for travel advance; travel charge card program records and reports.

TVA–13

SYSTEM NAME:

Employment Applicant Files—TVA.

SYSTEM LOCATION:

Human Resources, Shared Services & Employee Relations, TVA, Knoxville, TN 37902–1499; area and project employment offices; Information Technology, TVA, Chattanooga, TN 37402–2801.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Applicants for employment including former employees seeking reemployment.

CATEGORIES OF RECORDS IN THE SYSTEM:

Application forms and related correspondence.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; 5 U.S.C. 3101.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To respond to a request from a Member of Congress regarding the status of an individual's application.

To refer, where there is an indication of a violation or potential violation of law, whether criminal, civil, or regulatory in nature, to the appropriate agency, whether Federal, State, or local, charged with the responsibility of investigating and prosecuting such violation, or charged with enforcing or implementing the statute, rule, regulation, or order issued pursuant thereto.

To request from any pertinent source, directly or through a TVA contractor engaged at TVA's direction, information relevant to a TVA decision concerning the hiring of an employee, the issuance of a security clearance, or other decision within the purposes of this system or records.

To disclose test results to State employment services.

To provide information as requested to the Office of Personnel Management pursuant to Executive Orders 10450 and 10577 and other laws.

To provide information to a Federal agency in response to its request in connection with the hiring or retention of an employee, the letting of a contract, or issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on that matter.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To the parties or complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in proceedings under the TVA grievance adjustment procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures.

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Information is stored electronically in the Human Resources Information System (HRIS), Personnel Records Information System (PRIS), or on microfiche. Duplicate or certain specified temporary information may be maintained by human resource officers, supervisors, and administrative officers in a locked, secure location.

RETRIEVABILITY:

Records are indexed by name and Employee Identification number.

SAFEGUARDS:

Access to and use of these records are limited to those persons whose official duties require such access. Access to systems storing these records must be approved by the Senior Manager of Employee Relations Support Services. All filing systems are locked when unattended. Remote access facilities are secured through physical and system-based safeguards.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Senior Manager, Employee Relations Support Services, TVA, Knoxville, TN 37902–1499.

NOTIFICATION PROCEDURE:

Individuals wishing to learn if information on them is maintained in this system of records should address inquiries to the Senior Manager, Employee Relations Support Services, TVA, Knoxville, TN 37902–1499. Requests should include the individual's full name, social security number, date of birth, and approximate date of application.

RECORD ACCESS PROCEDURES:

Individuals wishing to gain access to information on them in this system of records should contact the Senior Manager, Employee Relations Support Services, TVA, Knoxville, TN 37902–1499. Access will not be granted to investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment.

Access will not be granted to Federal contracts, or access to classified information, to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or prior to September 27, 1975, under an implied promise that the identity of the source would be held in confidence.

Access will not be granted to testing or examination material used solely to determine individual qualifications for appointment or promotion in the Federal Service the disclosure of which would compromise the objectivity or fairness of the testing or examination process.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to Manager, TVA Service Center, TVA, Knoxville, TN 37902–1499.

RECORD SOURCE CATEGORIES:

The individual on whom the record is maintained; educational institutions, employers, and other references; State employment services.

SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:

This system is exempt from subsections (d); (e)(4)(H); and (f)(2), (3), and (4) of 5 U.S.C. 552a (section 3 of the Privacy Act of 1974) to the extent that disclosure of material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or prior to September 27, 1975, under an implied promise that the identity of the source would be held in confidence and to the extent that disclosure of testing or examination material would compromise the objectivity or fairness of the testing or examination process. This exemption is pursuant to 5 U.S.C. 552a(k) (5) and (6) and TVA regulations at 18 CFR 1301.24.

TVA–14

SYSTEM NAME:

Grievance Records—TVA.

SYSTEM LOCATION:

Labor Relations Staff, TVA, Knoxville, TN 37902–1499. Original correspondence on the initial grievance steps below the Labor Relations level is maintained in the organization in which the grievance originated. Original correspondence on grievance appeals to the corporate level are maintained in the files of the Labor Relations office.

Duplicate copies of such correspondence are also maintained in the files of the organization concerned with the grievance.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

TVA employees and former employees who have formally appealed to TVA for adjustment of their grievances.

CATEGORIES OF RECORDS IN THE SYSTEM:

Evidence and arguments relevant to the matter giving rise to the grievance and related correspondence.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To respond to a request from a Member of Congress regarding the status of an employee's grievance.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To the parties or complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in proceedings under the TVA grievance adjustment procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures.

To request information from a Federal, State, or local agency, or private individual, if necessary, to obtain information relevant to a TVA decision within the purposes of this system of records.

To refer, where there is an indication of a violation or potential violation of law, whether criminal, civil, or regulatory in nature, to the appropriate agency, whether Federal, State, or local, charged with the responsibility of investigating and prosecuting such violation, or charged with enforcing or implementing the statute, rule, regulations, or order issued pursuant thereto.

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained on automated data storage devices in some organizations and in file folders.

RETRIEVABILITY:

Records are indexed by name or by craft.

SAFEGUARDS:

Access to and use of these records are limited to those persons whose official duties require such access.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA record retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Vice President, Labor Relations, TVA, Knoxville, TN 37902–1499.

NOTIFICATION PROCEDURE:

Individuals who have filed grievances are aware of that fact. Inquiries may, however, be addressed to the system manager named above. Requests should include the individual's full name, craft, and location of employment.

RECORD ACCESS PROCEDURES:

Individuals who have filed a grievance may gain access to the official copy of the grievance record by contacting the system manager named above. Requests should include the grievant's full name, craft, and location of employment.

CONTESTING RECORD PROCEDURES:

The contest, amendment, or correction of a grievance record is permitted during the prosecution of that grievance. However, an individual may address requests for amendment or correction of items not involved in prosecution of the grievance to the system manager named above.

RECORD SOURCE CATEGORIES:

Individual to whom the record pertains; TVA personnel records; statements and testimony of witnesses and related correspondence.

TVA–18

SYSTEM NAME:

Employee Supplementary Vacancy Announcement Records—TVA.

SYSTEM LOCATION:

Human Resources, Knoxville and Chattanooga, Tennessee, and Muscle Shoals, Alabama; may also be maintained in other offices that issue or receive responses to supplementary vacancy announcements.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Employees applying for placement in positions covered by the supplementary vacancy announcement procedure.

CATEGORIES OF RECORDS IN THE SYSTEM:

Applications and supporting material submitted by employee.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; Executive Order 11478; Equal Employment Opportunity Act of 1972, Public Law 92–261, 86 Stat. 103; 5 U.S.C. 3101.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES AND USERS AND THE PURPOSES OF SUCH USES:

To the parties or complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in proceedings under the TVA grievance adjustment procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Information is stored electronically in the Human Resources Information System (HRIS), Personal Records Information System (PRIS), or on microfiche. Duplicate or certain specified temporary information may be maintained by human resources officers, supervisors, and administrative offices in a locked, secured location.

RETRIEVABILITY:

Records are indexed by name.

SAFEGUARDS:

Access to and use of these records is limited to persons whose official duties require such access. Access to systems storing these records must be approved by the Senior Manager of Employee Relations Support Services.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Senior Manager, Employee Relations Support Services, TVA, Knoxville, TN 37902–1499.

NOTIFICATION PROCEDURE:

Individuals upon whom records are maintained in this system are aware of that fact through filing an application. However, inquiries may be addressed to the name and address to which application was submitted. Requests should include the individual's full name, position applied for, and location of job.

RECORD ACCESS PROCEDURES:

Individuals upon whom records are maintained in this system have supplied all information in this system. However, requests for access may be addressed to the name and address to which application was submitted.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to the name and address to which application was submitted.

RECORD SOURCE CATEGORIES:

The individual upon whom the record is maintained.

TVA–19

SYSTEM NAME:

Consultant and Contractor Records—TVA.

SYSTEM LOCATION:

Human Resource Information System (HRIS) contains personal, employment, job, security restriction and training information. HRIS is located in Employee Relations Support Services, TVA, Knoxville, TN 37902–1499. The Contractor Workforce Management

Software (Elance) for contractor time and expense reporting records are located at TVA Supply Chain, Chattanooga, TN 37402.

For contractors requiring unescorted access, records are located at TVA Nuclear Access Service, Chattanooga, TN 37402.

TVA business organizations for records on individuals who provide services under a TVA contract with an organization are kept in the files of that organization.

Payment records are located at the TVA Controller office: Knoxville, TN 37902–1499.

Records related to personal service contractors employed under the Comprehensive Employment and Training Act of 1973, Public Law 93–203, are located at the National Personnel Records Center, St. Louis, MO 63118.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Individuals who perform work for and/or provide services to TVA and who are not TVA employees or volunteers. These individuals generally are the employees of a TVA supplier of services and are obtained through a contract with the supplier, but in some cases may be retained directly through a contract between TVA and the individual.

CATEGORIES OF RECORDS IN THE SYSTEM:

Each organization maintains its contracts, records of the qualifications, performance, and evaluation of the contractor, and related correspondence. For public service employment program participants, Human Resources maintains information related to job placement such as test scores, interest inventories, and supervisor's evaluations. Payment information is maintained by the Controller.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; Comprehensive Employment and Training Act, Public Law 93–203, 87 Stat. 839; Executive Order 11222; Executive Order 10450; Executive Order 10577; provisions of 5 U.S.C. applicable to employment with TVA; Internal Revenue Code.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To transmit reports as requested to the Office of Personnel Management, pursuant to 5 U.S.C. 3323, Executive Orders 10577 and 10450, and other laws.

To report earnings information to the Internal Revenue Service and the Social Security Administration.

To respond to a request from a Member of Congress regarding the status of a contractor or consultant.

To refer, where there is an indication of a violation or potential violation of law, whether criminal, civil, or regulatory in nature, to the appropriate agency, whether Federal, State, or local, charged with the responsibility of investigating and prosecuting such violation, or charged with enforcing or implementing the statute, rule, regulations, or order issued pursuant thereto.

To request information from a Federal, State, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information; and to request information from private individuals if necessary to obtain information relevant to a TVA decision concerning the hiring, retention, or promotion of an employee, the issuance of a security clearance, or other decision within the purposes of this system of records.

To transmit to the appropriate State contracting agency reports of hours worked by participants in the public service employment program, and to request reimbursement.

To provide information to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the letting of a contract, or issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on that matter.

To provide the following information to a prospective employer of a TVA or former TVA consultant or personal service contractor: Job descriptions, dates of employment, and reason for separation.

To the parties or complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in proceedings under the TVA grievance adjustment procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interest, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure is made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained in file folders and on automated data storage devices.

RETRIEVABILITY:

Records are indexed by name, social security number, or contract number.

SAFEGUARDS:

Access to and use of these records are limited to persons whose official duties require such access. All filing systems are locked when unattended.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA record retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Senior Manager, Knowledge and Analytics, Supply Chain, TVA, Knoxville, TN 37902–1499.

NOTIFICATION PROCEDURE:

Individuals wishing to know if records on them are maintained in the system should address inquiries to the system manager named above. Requests shall include the individual's full name, employing or contracting organization, and whether the individual was a participant in the public service employment program. Social security numbers are not required but may expedite TVA's response.

RECORD ACCESS PROCEDURES:

Individuals wishing to gain access to information on them in this system of

records should contact the system manager named above. Access will not be granted to investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment, Federal contracts, or access to classified information, to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or prior to September 27, 1975, under an implied promise that the identity of the source would be held in confidence. Access will not be granted to testing or examination material used solely to determine individual qualifications for appointment or promotion in the Federal Service, the disclosure of which would compromise the objectivity or fairness of the testing or examination process.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to the system manager named above.

RECORD SOURCE CATEGORIES:

Individual to whom the record pertains; educational institutions, former employers, and other reference sources; State employment services; supervisors and other TVA personnel or personnel records; medical officers; other Federal agencies.

In addition to the above sources, security/suitability investigatory files contain information from law enforcement agencies.

SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:

This system is exempt from subsections (d); (e)(4)(H); (f)(2), (3), and (4) of 5 U.S.C. 552a (section 3 of the Privacy Act of 1974) to the extent that disclosure of material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or prior to September 27, 1975, under an implied promise that the identity of the source would be held in confidence, and to the extent that disclosure of testing or examination material would compromise the objectivity of fairness of the testing or examination process. This exemption is pursuant to 5 U.S.C. 552a(k)(5) and (6) and TVA regulations at 18 CFR 1301.24.

TVA–21

SYSTEM NAME:

Nuclear Quality Assurance Personnel Records—TVA.

SYSTEM LOCATION:

Nuclear *Quality* Assurance, TVA, Chattanooga, TN 37402–2801. Copies of records for Quality Assurance Auditors/Assessors are maintained in the office of Manager, Nuclear Assurance Corporate.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Employees or former employees involved in quality assurance work.

CATEGORIES OF RECORDS IN THE SYSTEM:

Information related to the qualifications of employees.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; Energy Reorganization Act of 1974, Public Law 93–438, 88 Stat. 1233 as implemented at Nuclear Regulatory Commission Regulatory Guides 1.58.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To the Nuclear Regulatory Commission or its authorized representatives for inspection or evaluation of TVA Quality Assurance procedures.

To respond to a request from a Member of Congress regarding the status of an employee.

To refer, where there is an indication of a violation or potential violation of law, whether criminal, civil, or regulatory in nature, to the appropriate agency, whether Federal, State, or local, charged with the responsibility of investigating and prosecuting such violation, or charged with enforcing or implementing the statute, rule, regulation, or order issued pursuant thereto.

To request information from a Federal, State, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information; and to request information from private individuals if necessary to obtain information relevant to a TVA decision concerning the hiring, retention, or promotion of an employee, the issuance of a security clearance, or other decision within the purposes of this system of records.

To provide information to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the letting of a contract, or issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on that matter.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To the parties or complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in proceedings under the TVA grievance adjustment procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures.

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained in file folders and electronic files.

RETRIEVABILITY:

Records are indexed by name.

SAFEGUARDS:

Access to and use of these records are limited to those persons whose official duties require such access. All filing systems are locked when unattended.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

General Manager, Nuclear Quality Assurance, TVA, Chattanooga, TN 37402–2801.

NOTIFICATION PROCEDURE:

Individuals wishing to know whether information about them is maintained in this system of records should address inquiries to the system manager named above. Inquiries should include the individual's full name and employing organization.

RECORD ACCESS PROCEDURE:

Individuals who desire access to information about them in this system of records should contact the system manager named above.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to the system manager named above.

RECORD SOURCE CATEGORIES:

The individual on whom the record is maintained; TVA personnel records.

SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:

This system of records is exempt from subsection (d); (e)(4)(H); (f)(2), (3), and (4) of 5 U.S.C. 552a (section 3 of the Privacy Act of 1974) to the extent that disclosure of material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or prior to September 27, 1975, under an implied promise that the identity of the source would be held in confidence. The exemption is pursuant to 5 U.S.C. 552a(k)(5) and TVA regulations at 18 CFR 1301.24.

TVA–22

SYSTEM NAME:

Questionnaire-Land Use Surveys in Vicinity of Proposed or Licensed Nuclear Power Plant—TVA.

SYSTEM LOCATION:

Environmental Radiological Monitoring and Instrumentation, WARL Facility, TVA, Muscle Shoals, AL 35662–1010.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Individuals living at the nearest residence in each of sixteen compass sections and individuals having vegetable gardens, irrigated land, dairy cows, and milk goats within a five-mile radius of a proposed or licensed nuclear plant site.

CATEGORIES OF RECORDS IN THE SYSTEM:

Personal identifying information and information related to agriculture, milk consumption, water resources, and farm product value. This information is not used for making determinations about the rights, benefits, or privileges of any individual.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; National Environmental Policy Act, Public Law 91–190, 83 Stat. 852; Energy Reorganization Act of 1974, Public Law 93–438, 88 Stat. 1233.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

Information in this system of records is used in developing environmental evaluations and impact statements. Certain relevant but nonsensitive information may be disclosed in these statements.

Information may also be used:

In administrative and licensing proceedings including the presentation of evidence and disclosure to opposing counsel in the course of discovery.

To disclose to any agency of the Federal Government having oversight or review authority with regards to TVA activities.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained on automated data storage devices, microfilm, microfiche, and in file folders.

RETRIEVABILITY:

Records are indexed by assigned number and aerial photo number and/or name of survey participant, plant site and year of survey.

SAFEGUARDS:

Access to and use of these records are limited to persons whose official duties require such access. Security is provided by physical, administrative and computer system safeguards. Files are kept in secured facilities not accessible to unauthorized individuals or are locked when unattended.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Manager, Environmental Radiological Monitoring and Instrumentation, TVA, Muscle Shoals, AL 35662–1010.

NOTIFICATION PROCEDURE:

Individuals on whom information is maintained in this system are aware of that fact through response to the questionnaire. However, inquiries may be addressed to the system manager named above. Requests should include the individual's full name, address, and approximate date of survey.

RECORD ACCESS PROCEDURES:

Individuals who desire access to information about them in this system of records should contact the system manager named above. Requests should include the individual's full name, address, and approximate date of survey.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to the system manager named above.

RECORD SOURCE CATEGORIES:

Individuals to whom the record pertains: The nearest resident, to a distance of 5 miles, in each of the 16 compass sectors around each TVA nuclear site; farms with dairy cows or milk goats within a five mile radius of each site and additional dairy farms used as control locations for environmental monitoring; and

individuals within a five mile radius of each site with home gardens meeting the survey criteria.

TVA–23

SYSTEM NAME:

Radiation Dosimetry Personnel Monitoring Records—TVA.

SYSTEM LOCATION:

Nuclear Operations Support, TVA, Chattanooga, TN 37402–2801.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Employees, former employees, and visitors who might be exposed or are exposed to radiation while in TVA installations.

CATEGORIES OF RECORDS COVERED BY THE SYSTEM:

Information on the magnitude of exposure at TVA installations, exposure prior to employment.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; Energy Reorganization Act of 1974, Public Law 93–438, 88 Stat. 1233; 10 CFR parts 19, 20.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To the Nuclear Regulatory Commission for its use in evaluating TVA radiological control measures.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To the parties or complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in proceedings under the TVA grievance adjustment procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures.

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

Radiation dosimetry records may be used for employee population health monitoring which includes routine clinical and epidemiological investigations. Such studies may require the transfer of selected items of radiation dosimetry data to health-related agencies, organizations, or professionals for the purpose of compiling vital health statistics, or conducting biomedical investigations.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained on automated data storage devices, microfilm, microfiche, and in file folders.

RETRIEVABILITY:

Records are indexed by individual name and social security number.

SAFEGUARDS:

Access to and use of these records are limited to persons whose official duties require such access. Security is provided by physical, administrative and computer system safeguards. Files are kept in secured facilities not accessible to unauthorized individuals or are locked when unattended.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Senior Manager, Radiation Protection Oversight, TVA, Chattanooga, TN 37402–2801.

NOTIFICATION PROCEDURE:

Individuals should address inquiries to the system manager named above, or if a current employee, to the Radiological Control office at the TVA facility where employed. Requests should include the individual's full name, social security number and date of birth.

RECORD ACCESS PROCEDURES:

Individuals who desire access to information about them in this system of records should contact the system manager named above, or if a current employee, to the Radiological Control office at the TVA facility where employed. Requests should include the individual's full name, social security number and date of birth.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to the system manager named above.

RECORD SOURCE CATEGORIES:

Information in this system of records comes from the subject individual; previous licensees where the individual was monitored for radiation exposure; and TVA personnel conducting radiation monitoring programs.

TVA–26

SYSTEM NAME:

Retirement System Records—TVA.

SYSTEM LOCATION:

Retirement Management, TVA, 400 W. Summit Hill Drive, Knoxville, TN 37902–1499.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Active, retired, and former members of the TVA Retirement System; TVA employees and former employees who are members of the Civil Service Retirement System and the Federal Employees Retirement System; designated beneficiaries.

CATEGORIES OF RECORDS IN THE SYSTEM:

Personal identifying information; retirement, benefit, and investment information; related correspondence; and legal documents.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; Internal Revenue Code.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To report earnings to the Internal Revenue Service.

To disclose information to actuarial firms for valuation and projecting benefits.

To disclose information to the Medical Board of the TVA Retirement System for determinations related to disability retirement.

To certify insurance status to the Office of Personnel Management and the Office of Federal Employees' Group Life Insurance.

To respond to a request from a Member of Congress regarding the status of a system member.

To disclose information to auditing firms for use in auditing benefit calculations and financial statements.

To request information from a Federal, State, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information; and to request information from private individuals, if necessary, to obtain information relevant to a TVA decision within the purpose of this system of records.

To refer, where there is an indication of a violation or potential violation of law, whether criminal, civil, or regulatory in nature, to the appropriate agency, whether Federal, State, or local, charged with the responsibility of investigating and prosecuting such violation, or charged with enforcing or implementing the statute, rule, regulation, or order issued pursuant thereto.

To provide information to a Federal agency, in response to its request, in connection with the issuance of any benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on that matter.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To provide the following information on retirees to the TVA Retirees Association: Names, unique identification numbers assigned by the TVA Retirement System to each retiree, addresses, dates of birth, dates of termination of employment with TVA, retirement class (member, beneficiary, Civil Service, deferred), last official station, and dates of death (if applicable).

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To Contractors and subcontractors of TVA or the Retirement System who are provided records maintenance or other similar support service to the Retirement System.

Retirement records may be used for employee population health monitoring which includes routine clinical and epidemiological investigations. Such studies may require the transfer of selected items to health-related agencies, organizations, or professionals for the purpose of compiling vital health statistics, or conducting biomedical investigations.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained in an electronic document management system.

RETRIEVABILITY:

Records are indexed by name and social security number.

SAFEGUARDS:

Access to the electronic document management system requires a password and is limited to those persons whose official duties require such access.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Director, Retirement Management, TVA, 400 W. Summit Hill Drive, Knoxville, TN 37902–1499.

NOTIFICATION PROCEDURE:

Individuals wishing to know whether information about them is maintained in this system of records should address inquiries to the system manager named above. Inquiries should include the individual's full name, date of birth, and social security number.

RECORD ACCESS PROCEDURES:

Individuals who desire access to information about them in this system of records should contact the system manager named above.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information maintained on them in this system should address inquiries to the system manager named above.

RECORD SOURCE CATEGORIES:

The individual on whom the record is maintained; TVA personnel and payroll records.

TVA–29

SYSTEM NAME:

Energy Program Participant Records—TVA.

SYSTEM LOCATION:

Commercial Operations & Pricing, P.O. Box 292409, Nashville, TN 37229–2409.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Individuals participating in the energy right program and residential saturation surveys.

CATEGORIES OF RECORDS IN THE SYSTEM:

Customer name, address, account number, meter number, telephone number, characteristics of their dwelling, including type of heating and cooling systems and number and kind of appliances; and other characteristics of study participants relevant to patterns of residential electrical use.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To power distributors participating in the program.

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies,

entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained in automated data storage devices and in file folders and locked file cabinets.

RETRIEVABILITY:

Records are indexed and retrieved by contractor name and invoice date.

SAFEGUARDS:

Access to and use of these records is limited to those persons whose official duties require such access. All filing systems are locked when unattended.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

General Manager, Energy Efficiency Program Design, Commercial Operations & Pricing, TVA, P.O. Box 292409, Nashville, TN 37229–2409.

NOTIFICATION PROCEDURE:

Individuals about whom information is maintained in this system of records are aware of that fact through participation in the program. However, inquiries may be addressed to the system manager named above. Request should include the individual's full name and address.

RECORD ACCESS PROCEDURES:

Requests for access may be directed to the system manager named above.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to the system manager named above.

RECORDS SOURCE CATEGORIES:

The information in this system is solicited from the individual to whom the record pertains.

TVA–31

SYSTEM NAME:

OIG Investigative Records—TVA.

SYSTEM LOCATION:

Office of the Inspector General, TVA, Knoxville, TN 37902–1499. Duplicate copies of certain documents may also be located in the files of other offices and divisions.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Individuals and entities who are or have been the subjects of investigations by the Office of the Inspector General (OIG), or who provide information in connection with such investigations, including but not limited to: Employees; former employees; current or former contractors and subcontractors and their employees; consultants; and other individuals and entities which have or are seeking to obtain business or other relations with TVA.

CATEGORIES OF RECORDS IN THE SYSTEM:

Information relating to investigations, including information provided by known or anonymous complainants; information provided by the subjects of investigations; information provided by individuals or entities with whom the subjects are associated (e.g., coworkers, business associates, relatives); information provided by Federal, State, or local investigatory, law enforcement, or other Government or non-Government agencies; information provided by witnesses and confidential sources; information from public source materials; information from commercial data bases or information resources; investigative notes; summaries of telephone calls; correspondence; investigative reports or prosecutive referrals; and information about referrals for criminal prosecutions, civil proceedings, and administrative actions taken with respect to the subjects.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; Executive Order 10450; Executive Order 11222; Hatch Act, 5 U.S.C. 7324–7327; 28 U.S.C. 535; Proposed Plan for the Creation, Structure, Authority, and Function of the Office of Inspector General, Tennessee Valley Authority, approved by the TVA Board of Directors on October 18, 1985; TVA Code XIII INSPECTOR GENERAL, approved by the TVA Board of Directors on February 19, 1987; Inspector General Act Amendments of 1988, Public Law 100–504, 102 Stat. 2515, and 2000 amendments to the Inspector General Act, Public Law 106–422, 114 Stat. 1872.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To refer, where there is an indication of a violation of statute, regulation, order, or similar requirement, whether criminal, civil, or regulatory in nature, to the appropriate entity, including Federal, State, or local agencies or other entities charged with enforcement, investigative, or oversight responsibility.

To provide information to a Federal, State, or local entity (1) in connection with the hiring or retention of an individual, the letting of a contract, or issuance of a license, grant, or other benefit by the requesting entity to the extent that the information is relevant to a decision on such matters, or (2) in connection with any other matter properly within the jurisdiction of such other entity and related to its prosecutive, investigatory, regulatory, administrative, or other responsibilities.

To the appropriate entity, whether Federal, State, or local, in connection with its oversight or review responsibilities or authorized law enforcement activities.

To respond to a request from a Member of Congress regarding an individual, or to report to a Member on the results of investigations, audits, or other activities of OIG.

To the parties or complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in proceedings under the TVA grievance adjustment procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures.

To the subjects of an investigation and their representatives in the course of a TVA investigation of misconduct; to any other person or entity that has or may have information relevant to the investigation to the extent necessary to assist in the conduct of the investigation, such as to request information.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To a consultant, private firm, or individual who contracts or subcontracts with TVA, to the extent necessary to the performance of the contract.

To request information from a Federal, State, or local agency maintaining civil, criminal, or other relevant or potentially relevant information; and to request information from private individuals or entities, if necessary, to acquire information pertinent to the hiring, retention, or promotion of an employee; the issuance

of a security clearance; the conduct of a background or other investigation; or other matter within the purposes of this system of records.

To the public when: (1) The matter under investigation has become public knowledge, or (2) when the Inspector General determines that such disclosure is necessary (a) to preserve confidence in the integrity of the OIG investigative process, or (b) to demonstrate the accountability of TVA officers, or employees, or other individuals covered by this system; unless the Inspector General determines that disclosure of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

To the news media and public when there exists a legitimate public interest (e.g., to provide information on events in the criminal process, such as indictments), or when necessary for protection from imminent threat to life or property.

To members of the Council of the Inspectors General on Integrity and Efficiency, for the preparation of reports to the President and Congress on the activities of the Inspectors General.

To members of the Council of the Inspectors General on Integrity and Efficiency, the Department of Justice, the Federal Bureau of Investigation, or the U.S. Marshals Service, as necessary, for the purpose of conducting qualitative assessment reviews of the investigative operations of TVA OIG to ensure that adequate internal safeguards and management procedures are maintained.

To appropriate agencies, entities, and persons when (a) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (b) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interest, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (c) The disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained on automated data storage devices, hard-copy printouts, and in file folders.

RETRIEVABILITY:

Records are indexed and retrieved by individual name or case file number.

SAFEGUARDS:

Access to and use of records is limited to authorized staff in OIG and to other authorized officials and employees of TVA on a need-to-know basis as determined by OIG management. Security will be provided by physical, administrative, and computer system safeguards. Files will be kept in secured facilities not accessible to unauthorized individuals.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Inspector General, TVA, Knoxville, TN 37902–1499.

NOTIFICATION PROCEDURE:

This system of records is exempt from this requirement pursuant to 5 U.S.C. 552a(k)(2) and TVA regulations at 18 CFR 1301.24.

RECORD ACCESS PROCEDURES:

This system of records is exempt from this requirement pursuant to 5 U.S.C. 552a(k)(2) and TVA regulations at 18 CFR 1301.24.

CONTESTING RECORD PROCEDURES:

This system of records is exempt from this requirement pursuant to 5 U.S.C. 552a(k)(2) and TVA regulations at 18 CFR 1301.24.

SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:

This system is exempt from subsections (c)(3), (d), (e)(1), (e)(4)(G), (H), and (I) and (f) of 5 U.S.C. 552a (section 3 of the Privacy Act of 1974) pursuant to 5 U.S.C. 552a(k)(2) and TVA regulations at 18 CFR 1301.24. This system is exempt from subsections (c)(3), (d), (e)(1), (e)(2), (e)(3), (e)(4)(G), (H), and (I), (e)(5), (e)(8), and (g) of 5 U.S.C. 552a (section 3 of the Privacy Act of 1974) pursuant to 5 U.S.C. 552a(j)(2) and TVA regulations at 18 CFR 1301.24.

TVA–32

SYSTEM NAME:

Call Detail Records—TVA.

SYSTEM LOCATION:

Data Center, TVA, Chattanooga, TN 37402–2801.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

TVA employees, contractor personnel, and other individuals who make telephone calls from or charge telephone calls to TVA telephones.

CATEGORIES OF RECORDS IN THE SYSTEM:

Records relating to use of TVA telephones; records relating to long distance telephone calls charged to TVA; records relating to cellular telephone calls charged to TVA; records indicating assignment of telephone numbers and authorization numbers; records relating to locations of TVA telephones.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To respond to a request from a Member of Congress regarding an individual.

To provide to the appropriate entity, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To refer, where there is an indication of a violation of statute, regulation, order, or similar requirement, whether criminal, civil, or regulatory in nature, to the appropriate entity, including Federal, State, or local agencies, or other entities charged with enforcement, investigative, or oversight responsibility.

To provide information to a Federal agency, in response to its request, in connection with the hiring or retention of an individual, the letting of a contract, or issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant to the requesting agency's decision on that matter.

To the parties or complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in proceedings under the TVA grievance adjustment

procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures.

To a telecommunications company as well as to other TVA contractors providing telecommunications support to permit servicing the account.

To TVA contractors engaged at TVA's direction in investigations of abuse of TVA telephone service or other related issues.

To TVA contractors and contractor personnel to determine individual responsibility for telephone calls.

To TVA contractors in connection with amounts due TVA for telecommunications services provided to them.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained in file folders and on automated data storage devices.

RETRIEVABILITY:

Records are retrieved by name, authorization number, or telephone number.

SAFEGUARDS:

Access to and use of these records is limited to persons whose official duties require such access. Files are kept in secured facilities. Automated data is secured through physical and system-based safeguards.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Manager, IT Vendor Management, TVA, Chattanooga, TN 37402–2801.

NOTIFICATION PROCEDURE:

Individuals wishing to learn if information on them is maintained in this system of records should address inquiries to the system manager named above. Requests should include the individual's full name, employing division, job title, and official TVA telephone number and authorization number.

RECORD ACCESS PROCEDURES:

Individuals seeking to gain access to information about them in this system of records should contact the system manager named above. Requests should include the individual's full name, employing division, job title, and official TVA telephone number and authorization number.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to the system manager named above.

RECORD SOURCE CATEGORIES:

TVA Telecommunication Control System; telecommunications companies with which TVA contracts for telephone service; telephone and authorization number assignment records; results of administrative inquiries relating to assignment of responsibility for placement of specific long distance calls.

TVA–34

SYSTEM NAME:

Project/Tract Files—TVA.

SYSTEM LOCATION:

Realty Services, TVA, Chattanooga, TN 37402–2801, and secured off-site storage facility.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Individuals or business entities from/to whom TVA is in the process of or has (1) acquired, transferred, or sold land or landrights, (2) made payment for construction, maintenance, or other damage to real property, or (3) made payment for relocation assistance. A project/tract file may name more than one individual and/or business entity involved in a transaction. (The system records that pertain to individuals and reflect personal information are subject to the Privacy Act. Noncovered records include public information and records on corporations and other business entities.)

CATEGORIES OF RECORDS IN THE SYSTEM:

Maps, property descriptions, appraisal reports, and title documents on real property; reports on contracts and transaction progress; contracts and options; records of investigations, claims, and/or payments related to land transactions, damage restitution, and relocation assistance; related correspondence and reports.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; Public Law 87–852, 76 Stat. 1129; Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To respond to a request from a Member of Congress regarding an individual.

To lienholders as necessary to secure subordinations or releases of liens or to protect lienholders rights.

To county clerk and register of deeds offices to document and put on record the title acquired by TVA.

To landowners, prospective landowners, claimants, or trespassers to establish or cure titles, to resolve encroachments, to resolve boundary disputes, or to resolve questions about easement rights or the application of Section 26a of the TVA Act 16 U.S.C. 831y–1.

To contractors to secure appraisals and title abstracts.

To request information from a Federal, State, or local agency or from private individuals, as necessary, to obtain information relevant to a TVA decision to acquire or dispose of property or to pay claims or make payments related to land transactions, damage restitution, and relocation assistance.

To refer, where there is an indication of a violation of statute, regulation, order, or similar requirement, whether criminal, civil, or regulatory in nature, to the appropriate entity, including Federal, State, or local agencies, or other entities charged with enforcement, investigative, or oversight responsibility.

To provide information to a Federal agency, in response to its request, in connection with the hiring or retention of an individual, the letting of a contract, or issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant to the requesting agency's decision on that matter.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any

purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To provide to the appropriate entity, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To report any required information to Federal, State, and local taxing authorities as required by law.

To genealogical researchers, relevant portions of maps, descriptions, appraisals, and title documents on real property, after 20 years, to establish historical records.

To archaeological researchers, relevant portions of maps, descriptions, appraisals, and title documents on real property, after 20 years, to reconstruct historical settings.

To respond to a request from a Member of Congress regarding the status of a matter relating to a specific project or tract.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained on registers, aperture cards, microfilm, in file folders, and/or on automated data storage devices.

RETRIEVABILITY:

Records are primarily indexed by tract number and project symbol. Records may also be retrieved by cross-index reference to individual and business entity names.

SAFEGUARDS:

Access to and use of these records is limited to persons whose official duties require such access. Files are kept in secured facilities. Remote access facilities are secured through physical and system-based safeguards.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Senior Manager, Realty Services, TVA, 1101 Market Street, SP 3L, Chattanooga, TN 37402–2801.

NOTIFICATION PROCEDURE:

Individuals wishing to know whether information about them is maintained in this system of records should address inquiries to the system manager named above. Requests should include the individual's full name and, to the extent known, any project/tract identifying information such as the project name, tract number, address, or related data.

RECORD ACCESS PROCEDURES:

Individuals seeking to gain access to information about them in this system of records should contact the system manager named above. Requests should include the individual's full name, and to the extent known, any project/tract identifying information such as project name, tract number, address, or related data. Access will be granted only to individually segregable personal information about the requester and to segregable nonpersonal information in accordance with TVA regulations on release of records relating to negotiations in progress involving contracts or agreements for the acquisition or disposal of real or personal property by TVA prior to the conclusion of such negotiations.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their requests to the system manager named above.

RECORD SOURCE CATEGORIES:

Public records and directories, landowners, tenants, and other individuals and business entities (including financial institutions) having an interest in or knowledge related to land ownership, appraisal, or title history; TVA personnel and contractors including independent appraisers and commercial title companies.

TVA–36

SYSTEM NAME:

Section 26a Permit Application Records—TVA.

SYSTEM LOCATION:

For applications involving private facilities located on TVA reservoirs, such as boathouses, piers, docks, launching ramps, marine railways, beaches, utilities, and ground improvements, the records are maintained in the following locations:

Manager, Holston-Cherokee-Douglas Watershed Team, TVA, (Cherokee, Douglas, and Nolichucky Reservoirs)—3726 E. Morris Boulevard, Morristown, TN 37813–1270; (Boone, Fort Patrick Henry, Bristol Project, South Holston, Watauga, and Wilber Reservoirs)—106 Tri-Cities Business Park Drive, Gray, TN 37615.

Manager, Watts Bar-Clinch Watershed Team, TVA, (Great Falls, Melton Hill, Norris, and Watts Bar Reservoirs)—260 Interchange Park Dr., Lenoir City, TN 37772–5664.

Manager, Little Tennessee Watershed Team, TVA, (Fontana, Fort Loudoun, and Tellico Reservoirs)—260 Interchange Park Dr., Lenoir City, TN 37772–5664.

Manager, Chickamauga-Hiwassee Watershed Team, TVA, (Chickamauga and Nickajack Reservoirs)—1101 Market St., Chattanooga, TN 37402–2801; (Apalachia, Blue Ridge, Chatuge, Hiwassee, Ocoees, and Nottely Reservoirs)—4800 US Highway 64 West, Suite 102, Murphy, NC 28906.

Manager, Guntersville-Tims Ford Watershed Team, TVA, (Guntersville, Normandy, and Tims Ford Reservoirs)—3696 Alabama Highway 69, Guntersville, AL 35976–7196.

Manager, Pickwick-Wheeler Watershed Team, TVA, (Bear Creek, Cedar Creek, Little Bear Creek, Project, Pickwick, Upper Bear Creek, Wheeler, and Wilson Reservoirs)—P.O. Box 1010, Muscle Shoals, AL 35662–1010.

Manager, Kentucky Watershed Team, TVA, (Beech River Project, Kentucky Reservoir)—2835–A East Wood Street, Paris, TN 38242–5948.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

This system includes individuals who have filed a Section 26a application for approval of construction of such structures as boat ramps, docks, bridges, and dams located along, across, or in the Tennessee River and its tributaries. Also included in this system may be individuals whose structures do not have Section 26a permits, or whose approved structures have deteriorated so as to pose a threat to navigation, flood control, public lands or reservations.

CATEGORIES OF RECORDS IN THE SYSTEM:

Section 26a permit applications made by individuals, businesses and

industries, utilities, and Federal, State, county and city Government agencies.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee.

PURPOSE(S):

Section 26a of the Tennessee Valley Authority Act of 1933, as amended, requires that TVA review and approve plans for the construction, operation, and maintenance of any dam, appurtenant works, or other obstruction affecting navigation, flood control, or public lands or reservations across, along, or in the Tennessee River or any of its tributaries. The information collected is used to assess the impact of the proposed project on the statutory TVA programs and the environment and determine if the project can be approved. Rules on the application for review and approval of such plans are published in 18 CFR part 1304, Approval of Construction in the Tennessee River System and Regulation of Structures.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To State or other Federal agencies for use in program evaluation, providing assistance to program participants, or engaged at TVA's direction in providing support services to the program, to the extent necessary to the performance of those services.

To TVA consultants, contractors, subcontractors or individuals who contract or subcontract with TVA, who are engaged in studies and evaluation of TVA's administration or other matters involving its Section 26a program or who are providing support services to the program, to the extent necessary to the performance of the contract.

To provide information to a Federal, State, or local entity in response to its request, in connection with the letting of a contract, or issuance of a license, grant, or other benefit by the requesting entity to the extent that the information is relevant and necessary to the requesting agency's decision on such matters.

To respond to a request from a Member of Congress regarding the status of a specific application.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To refer, where there is an indication of a violation of statute, regulation, order, or similar requirement, whether criminal, civil, or regulatory in nature, to the appropriate entity, including Federal, State, or local agencies or other entities charged with enforcement, investigative, or oversight responsibility.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained on automated data storage devices, on microfilm, and in hard copy files.

RETRIEVABILITY:

Records may be retrieved by personal identifier (name of applicant), land tract number, or Section 26a application number, stream location, reservoir, county, or subdivision. Records in field offices are interfiled with land tract records and are retrieved by land tract number.

SAFEGUARDS:

Access to and use of these records are limited through physical, administrative, and computer system safeguards to those persons whose official duties require such access.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

Vice President, Land & Shoreline Management, TVA, 400 West Summit Hill Drive, Knoxville, TN 37902–1499.

NOTIFICATION PROCEDURE:

Individuals seeking to learn if information on them is maintained in this system of records should address inquiries to the system manager named above. Requests should include the individual's full name. A land tract number, Section 26a permit application number, stream location or legal property description is not required but may expedite TVA's response.

RECORD ACCESS PROCEDURES:

Individuals seeking access to information about them in this system of records should contact the system manager named above.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to the system manager named above.

RECORD SOURCE CATEGORIES:

Information in this system is solicited from the individual to whom the record pertains. Information may also be obtained from other Federal, State, county or city Government agencies; public records and directories; landowners, tenants, and other individuals and business entities, including financial institutions, having an interest in or knowledge related to land ownership, appraisal, or title history; and TVA personnel and contractors including independent appraisers and commercial title companies.

TVA–37

SYSTEM NAME:

U.S. TVA Police Records—TVA.

SYSTEM LOCATION:

U.S. TVA Police, TVA, 400 West Summit Hill Drive, WT–2D, Knoxville, Tennessee 37902–1499. Duplicate copies of certain documents may also be located in the field offices of the various U.S. TVA Police Districts.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

A. Individuals who relate in any manner to official U.S. TVA Police investigations into incidents or events occurring within the jurisdiction of TVA, including but not limited to suspects, victims, witnesses, close relatives, medical personnel, and associates who have relevant information to an investigation.

B. Individuals who are the subject of unsolicited information or who offer unsolicited information, and law enforcement personnel who request assistance and/or make inquiries concerning records.

C. Individuals including, but not limited to, current or former employees;

current or former contractor and subcontractor personnel; visitors and other individuals that have or are seeking to obtain business or other relations with TVA; individuals who have requested and/or have been granted access to TVA buildings or property, or secured areas within a building or property.

D. Individuals who are the subject of research studies including, but not limited to, crime profiles, scholarly journals, and news media references.

E. Individuals who respond to emergency situations at TVA.

CATEGORIES OF RECORDS IN THE SYSTEM:

Information related to case investigation reports on all forms of incidents or events, visitor and employee registers, TVA forms authorizing access for individuals into TVA buildings or secured areas within a building, and historical information on an individual's building access or denial of access; U.S. TVA Police Uniform Incident Reports (UIRs) on incidents or events; visitor and employee registers, TVA forms, or permits authorizing access for individuals into TVA buildings, property, or secured areas within buildings or property, and historical information on an individual's access or denial of access within buildings or property; the U.S. TVA Police confrontational data base; emergency personnel information data bases; permit applications under the Archaeological Resources Protection Act (ARPA); risk, security, emergency preparedness, and fire protection assessments conducted by the U.S. TVA Police on facilities, property, or officials; research studies, scholarly journal articles, textbooks, training materials, and news media references of interest to U.S. TVA Police personnel; an index of all detected trends, patterns, profiles and methods of operation of known and unknown criminals whose records are maintained in the system; an index of the names, address, and contact telephone numbers of professional individuals and organizations who are in a position to furnish assistance to the U.S. TVA Police; an index of public record sources for historical, statistical, geographic, and demographic data; and an alphabetical name index of all individuals whose records are maintained in the system.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; 5 U.S.C. 552a; and 28 U.S.C. 534.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To the appropriate official agency, whether Federal, State, or local, where there is an indication of a violation or potential violation of law, whether criminal, civil, or regulatory in nature.

In litigation where TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, information may be disclosed to respond to process issued under color of authority of a court of competent jurisdiction.

To provide information to a Federal, State, or local entity in connection with the hiring or retention of an employee, the letting of a contract, or issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on that matter, or in connection with any other matter properly within the jurisdiction of such other agency and related to its responsibilities to prosecute, investigate, regulate, and administrate, or other responsibilities.

To any Federal, State, local or foreign Government agency directly engaged in the criminal justice process where access is directly related to a law enforcement function of the recipient agency in connection with the tracking, identification, and apprehension of persons believed to be engaged in criminal activity.

To an organization or individual in both the public or private sector pursuant to an appropriate legal proceeding or if deemed necessary, to elicit information or cooperation from the recipient for use by TVA in the performance of an authorized activity.

To an organization or individual in the public or private sector where there is reason to believe the recipient is or could become the target of a particular criminal activity or conspiracy and to the extent the information is relevant to the protection of life or property.

To the news media and general public where there exists a legitimate public interest such as obtaining public or media assistance in the tracking, identifying, and apprehending of persons believed to be engaged in repeated acts of criminal behavior; notifying the public and/or media of arrests; protecting the public from imminent threat to life or property where necessary; and disseminating information to the public and/or media to obtain cooperation with research, evaluation, and statistical programs.

To the parties or complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in proceedings under the TVA grievance adjustment procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures.

To appropriately respond to congressional inquiries on behalf of constituents.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interest, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are stored manually in locked file cabinets, either in hard copy or on microfilm at the U.S. TVA Police offices in Knoxville, TN. The active main files are maintained in hard copy form and some inactive records are maintained on microfilm. In addition, some of the information is stored in computerized data storage devices at the U.S. TVA Police offices in Knoxville, TN. Investigative information which is maintained in computerized form may be stored in memory, on disk storage, on computer tape, or on computer printed listings.

RETRIEVABILITY:

On-line computer access to U.S. TVA Police files is achieved by using the following search descriptors:

A. The names of individuals, their birth dates, physical descriptions, social security numbers, and other identification numbers, such as Uniform Incident Report numbers.

B. As previously described, summary variables contained on Uniform Incident Reports submitted to the U.S. TVA Police.

C. Key word citations to research studies, scholarly journals, textbooks, training materials, and news media references.

SAFEGUARDS:

Records are maintained in restricted areas and are accessed only by U.S. TVA Police employees. Security is provided by a comprehensive program of physical, administrative, personnel, and computer system safeguards. Access to and use of records is limited to authorized U.S. TVA Police personnel and to other authorized officials and employees of TVA on a need-to-know basis. Sensitive or classified information in electronic form is encrypted prior to transmission to ensure confidentiality, security, and to prevent interception and interpretation.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules. As deemed necessary, certain records may be subject to restricted examinations by 44 U.S.C. 2104.

SYSTEM MANAGER(S) AND ADDRESS:

Vice President, TVA Police & Physical Security, TVA, 400 West Summit Hill Drive, WT–2D, Knoxville, TN 37902–1499.

NOTIFICATION PROCEDURE:

This system of records is exempt from this requirement pursuant to 5 U.S.C. 552a(j)(2) and (k)(2) and TVA regulations at 18 CFR 1301.24.

RECORD ACCESS PROCEDURES:

This system of records is exempt from this requirement pursuant to 5 U.S.C. 552a(j)(2) and (k)(2) and TVA regulations at 18 CFR 1301.24.

CONTESTING RECORD PROCEDURES:

This system of records is exempt from this requirement pursuant to 5 U.S.C. 552a(j)(2) and (k)(2) and TVA regulations at 18 CFR 1301.24.

RECORD SOURCE CATEGORIES:

This system of records is exempt from this requirement pursuant to 5 U.S.C. 552a(j)(2) and (k)(2) and TVA regulations at 18 CFR 1301.24.

SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:

This system is exempt from subsections (c)(3); (d); (e)(1); (e)(4)(G), (H), and (I); and (f) of 5 U.S.C. 552a (section 3 of the Privacy Act of 1974) pursuant to 5 U.S.C. 552a(k)(2) and TVA regulations at 18 CFR 1301.24. This system of records is exempt from subsections (c)(3); (d); (e)(1); (e)(2); (e)(3); (e)(4)(G), (H), and (I); (e)(5); (e)(8); and (g) pursuant to 5 U.S.C. 552(j)(2) and TVA regulations at 18 CFR 1301.24.

TVA–38

SYSTEM NAME:

Wholesale, Retail, and Emergency Data System—TVA.

SYSTEM LOCATION:

Customer Relations, Nashville, TN 37229–2409, and Customer Service Centers.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

TVA wholesale and retail customers' key personnel and governing bodies.

CATEGORIES OF RECORDS IN THE SYSTEM:

Name, address, telephone number, emergency numbers, interests, key dates, associates, immediate family members, and credentials of TVA's wholesale and retail customers and their officers and other personnel.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To respond to a referral from a Member of Congress.

To contact customer personnel during system emergencies.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained on automated data storage devices. Hard copies of power distributor managers' key information are given to TVA staff working with distributor managers.

RETRIEVABILITY:

Records are organized by wholesale and retail customer name and indexed by individual's name.

SAFEGUARDS:

Access to and use of these records is limited to persons whose official duties require such access. Files are kept in a secured database. Access requires a login ID and password.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEM MANAGER(S) AND ADDRESS:

General Manager, Customer Service Support, TVA, P.O. Box 292409, Nashville, TN 37229–2409.

NOTIFICATION PROCEDURE:

Individuals seeking to learn if information on them is maintained in this system of records should address inquiries to the system manager named above. Requests should include the individual's full name and employer.

RECORD ACCESS PROCEDURES:

Individuals seeking access to information about them in this system of records should contact the system manager named above.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to the system manager named above.

RECORD SOURCE CATEGORIES:

The information for this system is obtained from TVA's wholesale and retail customers and their personnel.

TVA–39

SYSTEM NAME:

Nuclear Access Authorization and Fitness for Duty Records—TVA.

SYSTEM LOCATIONS:

Nuclear Access Services, TVA, Chattanooga, Tennessee 37402–2801; various contractor locations.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEMS:

Current and former TVA employees, contractors, applicants for employment, applicants for employment by contractors who have been employed or sought to be employed in TVA Nuclear.

CATEGORIES OF RECORDS IN THE SYSTEM:

Education; qualification; work history; residence history; citizenship; employment and military history; financial history; spouse/cohabitation and relatives; personal references; information received from various law enforcement agencies, federal, state and local; fingerprints; background investigation reports; psychological assessment files, drug and alcohol testing schedules and results; personnel identifying information; and additional security investigation data.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Tennessee Valley Authority Act of 1933, 16 U.S.C. 831–831ee; EO 9397; EO 12038; EO 13467; Atomic Energy Act of 1954 as amended; Title II of the Energy Reorganization Act of 1974; 10 CFR 26; 10 CFR 72.56, 73.57.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

To respond to a request from a member of Congress regarding the status of an employee, former employee or applicant made at the request of that individual.

To refer, where there is an indication of a violation or potential violation of law, whether criminal, civil, or regulatory in nature, to the appropriate agency, whether Federal, State, or local, charged with the responsibility if investigating and prosecuting such violation, or charged with enforcing or implementing the statute, rule, regulation or order issued pursuant thereto.

To request from any pertinent source directly or through a TVA contractor engaged at TVA's direction, information relevant to a TVA decision concerning the hiring, retention, or promotion of an employee, the issuance of a security clearance, or other decision within the purposes of this system of records.

To provide information or disclose to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the letting of a contract or the issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

To another licensee, contractor or vendor or their authorized representatives legitimately seeking the information as required by this section for unescorted access decisions and who have obtained a signed release from the individual.

To representatives of the NRC to determine compliance with the applicable regulations and law.

To the appropriate agency, whether Federal, State, or local, in connection with its oversight review responsibilities or authorized law enforcement activities.

To the parties or complainants, their representatives, and impartial referees, examiners, administrative judges, or other decision makers in the proceedings under TVA grievance adjustment procedures, Equal Employment Opportunity procedures, Merit Systems Protection Board, or similar procedures, but only to the extent such records document processes or procedures used in making access determinations.

To those licensee representatives who have a need to have access to the information in performing assigned duties including audits of licensee's, contractor's, and vendors programs, determining clearance or access authorization eligibility, and reviewing access authorization determinations on appeal.

In litigation to which TVA is a party or in which TVA provides legal representation for a party by TVA attorneys or otherwise, for any use for any purpose including the presentation of evidence and disclosure in the course of discovery. In all other litigation, to respond to process issued under color of authority of a court of competent jurisdiction.

To persons deciding matters on review or appeal.

To appropriate agencies, entities, and persons when (1) TVA suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (2) TVA has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by TVA or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities and persons is reasonably necessary to assist in connection with TVA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

This section does not authorize the licensee, contractor or vendor to withhold evidence of criminal conduct from law enforcement officials.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSSING, RETAINING AND DISPOSING OF RECORDS IN THE SYSTEM

STORAGE:

Information is stored in hard copy files or electronically in the EDMS system.

RETRIEVABILITY:

Records are indexed by name and employee social security number.

SAFEGUARDS:

Access to and use of these records are limited to those persons whose official duties require such access and with the appropriate background investigation in accordance with 10 CFR 73.22. All filing systems are located in a secured area.

RETENTION AND DISPOSAL:

Records are maintained in accordance with established TVA records retention schedules.

SYSTEMS MANAGER(S) AND ADDRESS:

Manager, Nuclear Access and Fitness for Duty, TVA, Chattanooga, Tennessee 37402–2801

NOTIFICATION PROCEDURE:

Individuals wishing to learn if information on them is maintained in this system of records should address inquires to the Manager, Nuclear Access and Fitness for Duty, TVA, Chattanooga, Tennessee, 37402–2801. Requests should include the individual's full name, and date of birth. A Social Security Number is not required but may expedite TVA's response; additionally, an Employee Identification Number may be included.

RECORD ACCESS PROCEDURE:

Individuals seeking to gain access to information about them in this system of records should contact the Manager, Nuclear Access and Fitness for Duty, TVA, Chattanooga, Tennessee 37402–2801. Requests should include the individual's full name and date of birth. A Social Security Number is not required but may expedite TVA's response; additionally an Employee Identification Number may be included. Access will not be granted to investigatory material compiled solely for the purpose of determining access authorization to the extent that the disclosure of such material would reveal

the identity of a source who furnished the information to the Government under an express promise that the identity of the source would be held in confidence, or prior to September 27, 1975, under an implied promise that the identity of the source would be held in confidence. Access will not be granted to testing or examination material to the extent such disclosure would compromise the objectivity or fairness of the testing or examination process or would compromise business sensitive or Trade Secrets Act material.

CONTESTING RECORD PROCEDURES:

Individuals desiring to contest or amend information about them maintained in this system should direct their request to the Manager, Nuclear Access and Fitness for Duty, TVA, Chattanooga, Tennessee 37402–2801.

RECORD SOURCE CATEGORIES:

Individual to whom the record pertains, educational institutions, former employees, and other reference sources, Federal, state, and local law enforcement agencies, physicians and psychologists, military and credit agencies.

SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:

This system is exempt from subsections (d); (e)(4)(H); and (f)(2), (3) and (4) of 5 U.S.C. 522a (section 3 of the Privacy Act of 1974) to the extent that disclosure of material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, and to the extent that disclosure of testing or examination material would compromise the objectivity or fairness of the testing or examination process. This exemption is pursuant to 5 U.S.C. 552a(k)(5) and (6).

James W. Sample,

Director, Enterprise Information Security & Policy.

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FEDERAL REGISTER

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Part IV

Department of the Treasury

Office of the Comptoller of the Currency

12 CFR Part 3

Federal Reserve System

12 CFR Parts 208 and 225

Federal Deposit Insurance Corporation

12 CFR Part 325

Risk-Based Capital Guidelines: Market Risk; Proposed Rule

DEPARTMENT OF THE TREASURY

Office of the Comptroller of the Currency

12 CFR Part 3

[Docket ID: OCC–2010–0003]

RIN 1557–AC99

FEDERAL RESERVE SYSTEM

12 CFR Parts 208 and 225

[Regulations H and Y; Docket No. R–1401]

RIN No. 7100–AD61

FEDERAL DEPOSIT INSURANCE CORPORATION

12 CFR Part 325

RIN 3064–AD70

Risk-Based Capital Guidelines: Market Risk

AGENCY: Office of the Comptroller of the Currency, Department of the Treasury; Board of Governors of the Federal Reserve System; and Federal Deposit Insurance Corporation.

ACTION: Notice of proposed rulemaking with request for public comment.

SUMMARY: The Office of the Comptroller of the Currency (OCC), Board of Governors of the Federal Reserve System (Board), and Federal Deposit Insurance Corporation (FDIC) are requesting comment on a proposal to revise their market risk capital rules to modify their scope to better capture positions for which the market risk capital rules are appropriate; reduce procyclicality in market risk capital requirements; enhance the rules' sensitivity to risks that are not adequately captured under the current regulatory measurement methodologies; and increase transparency through enhanced disclosures. The proposal does not include the methodologies adopted by the Basel Committee on Banking Supervision for calculating the specific risk capital requirements for debt and securitization positions due to their reliance on credit ratings, which is impermissible under the Dodd-Frank Wall Street Reform and Consumer Protection Act. The proposal, therefore, retains the current specific risk treatment for these positions until the agencies develop alternative standards of creditworthiness as required by the Act. The proposed rules are substantively the same across the agencies.

DATES: Comments on this notice of proposed rulemaking must be received by April 11, 2011.

ADDRESSES: Comments should be directed to:

OCC: Because paper mail in the Washington, DC area and at the Agencies is subject to delay, commenters are encouraged to submit comments by the Federal eRulemaking Portal or e-mail, if possible. Please use the title "Risk-Based Capital Guidelines: Market Risk" to facilitate the organization and distribution of the comments. You may submit comments by any of the following methods:

- *Federal eRulemaking Portal—*"*regulations.gov*"*:* Go to *http://www.regulations.gov.* Select "Document Type" of "Proposed Rules," and in "Enter Keyword or ID Box," enter Docket ID "OCC–2010–0003," and click "Search." On "View By Relevance" tab at bottom of screen, in the "Agency" column, locate the proposed rule for OCC, in the "Action" column, click on "Submit a Comment" or "Open Docket Folder" to submit or view public comments and to view supporting and related materials for this rulemaking action.
- Click on the "Help" tab on the Regulations.gov home page to get information on using Regulations.gov, including instructions for submitting or viewing public comments, viewing other supporting and related materials, and viewing the docket after the close of the comment period.
- *E-mail: regs.comments@occ.treas.gov.*
- *Mail:* Office of the Comptroller of the Currency, 250 E Street, SW., Mail Stop 2–3, Washington, DC 20219.
- *Fax:* (202) 874–5274.
- *Hand Delivery/Courier:* 250 E Street, SW., Mail Stop 2–3, Washington, DC 20219.

Instructions: You must include "OCC" as the agency name and "Docket ID OCC–2010–0003" in your comment. In general, OCC will enter all comments received into the docket and publish them on the Regulations.gov Web site without change, including any business or personal information that you provide such as name and address information, e-mail addresses, or phone numbers. Comments received, including attachments and other supporting materials, are part of the public record and subject to public disclosure. Do not enclose any information in your comment or supporting materials that you consider confidential or inappropriate for public disclosure.

You may review comments and other related materials that pertain to this proposed rule by any of the following methods:

- *Viewing Comments Electronically:* Go to *http://www.regulations.gov.* Select "Document Type" of "Public Submissions," in "Enter Keyword or ID Box," enter Docket ID "OCC–2010–0003," and click "Search." Comments will be listed under "View By Relevance" tab at bottom of screen. If comments from more than one agency are listed, the "Agency" column will indicate which comments were received by the OCC.
- *Viewing Comments Personally:* You may personally inspect and photocopy comments at the OCC, 250 E Street, SW., Washington, DC. For security reasons, the OCC requires that visitors make an appointment to inspect comments. You may do so by calling (202) 874–4700. Upon arrival, visitors will be required to present valid government-issued photo identification and to submit to security screening in order to inspect and photocopy comments.
- *Docket:* You may also view or request available background documents and project summaries using the methods described above.

Board: You may submit comments, identified by Docket No. R–1401 and RIN No. 7100–AD61, by any of the following methods:

- *Agency Web Site: http://www.federalreserve.gov.* Follow the instructions for submitting comments at *http://www.federalreserve.gov/generalinfo/foia/ProposedRegs.cfm.*
- *Federal eRulemaking Portal: http://www.regulations.gov.* Follow the instructions for submitting comments.
- *E-mail: regs.comments@federalreserve.gov.* Include docket number in the subject line of the message.
- *Federal eRulemaking Portal:* "Regulations.gov": Go to *http://www.regulations.gov* and follow the instructions for submitting comments.
- *FAX:* (202) 452–3819 or (202) 452–3102.
- *Mail:* Jennifer J. Johnson, Secretary, Board of Governors of the Federal Reserve System, 20th Street and Constitution Avenue, NW., Washington, DC 20551.

All public comments are available from the Board's Web site at *http://www.federalreserve.gov/generalinfo/foia/ProposedRegs.cfm* as submitted, unless modified for technical reasons. Accordingly, your comments will not be edited to remove any identifying or contact information. Public comments may also be viewed electronically or in paper form in Room MP–500 of the Board's Martin Building (20th and C

Street, NW.) between 9 a.m. and 5 p.m. on weekdays.

FDIC: You may submit comments by any of the following methods:

- *Federal eRulemaking Portal: http://www.regulations.gov.* Follow the instructions for submitting comments.
- *Agency Web site: http://www.FDIC.gov/regulations/laws/Federal/propose.html.*
- *Mail:* Robert E. Feldman, Executive Secretary, Attention: Comments/Legal ESS, Federal Deposit Insurance Corporation, 550 17th Street, NW., Washington, DC 20429.
- *Hand Delivered/Courier:* The guard station at the rear of the 550 17th Street Building (located on F Street), on business days between 7 a.m. and 5 p.m.
- *E-mail: comments@FDIC.gov.*

Instructions: Comments submitted must include "FDIC" and "RIN [3064–AD70]." Comments received will be posted without change to *http://www.FDIC.gov/regulations/laws/Federal/propose.html,* including any personal information provided.

FOR FURTHER INFORMATION CONTACT:

OCC: Roger Tufts, Senior Economic Advisor, Capital Policy Division, (202) 874–4925, or Ron Shimabukuro, Senior Counsel, Carl Kaminski, Senior Attorney, or Hugh Carney, Attorney, Legislative and Regulatory Activities Division, (202) 874–5090, Office of the Comptroller of the Currency, 250 E Street, SW., Washington, DC 20219.

Board: Anna Lee Hewko, (202) 530–6260, Assistant Director, Capital and Regulatory Policy, or Connie Horsley, (202) 452–5239, Senior Supervisory Financial Analyst, Division of Banking Supervision and Regulation; or April C. Snyder, Counsel, (202) 452–3099, or Benjamin W. McDonough, Counsel, (202) 452–2036, Legal Division. For the hearing impaired only, Telecommunication Device for the Deaf (TDD), (202) 263–4869.

FDIC: Bobby R. Bean, Chief, Policy Section, (202) 898–6705; Karl Reitz, Senior Capital Markets Specialist, (202) 898–6775; Jim Weinberger, Senior Policy Analyst, (202) 898–7034, Division of Supervision and Consumer Protection; or Mark Handzlik, Counsel, (202) 898–3990; or Michael Phillips, Counsel, (202) 898–3581, Supervision Branch, Legal Division.

SUPPLEMENTARY INFORMATION:

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I. Introduction

A. Background

The first international capital framework for banks [1] entitled *International Convergence of Capital Measurement and Capital Standards* (1988 Capital Accord) was developed by the Basel Committee on Banking Supervision (BCBS) [2] and endorsed by the G–10 governors in 1988. The OCC, the Board, and the FDIC (collectively, the agencies) implemented the 1988 Capital Accord in 1989 through the issuance of the general risk-based capital rules.[3] In 1996, the BCBS amended the 1988 Capital Accord to require banks to measure and hold capital to cover their exposure to market risk associated with foreign exchange and commodity positions and positions located in the trading account (the Market Risk Amendment (MRA) or market risk framework).[4] The agencies implemented the MRA with an effective date of January 1, 1997 (market risk capital rule).[5]

In June 2004, the BCBS issued a document entitled *International Convergence of Capital Measurement and Capital Standards: A Revised Framework* (New Accord or Basel II), which was intended for use by individual countries as the basis for national consultation and implementation. The New Accord sets forth a "three-pillar" framework that includes (i) risk-based capital requirements for credit risk, market risk, and operational risk (Pillar 1); (ii) supervisory review of capital adequacy (Pillar 2); and (iii) market discipline through enhanced public disclosures (Pillar 3).

The New Accord retained much of the MRA; however, after its release, the BCBS announced that it would develop improvements to the market risk framework, especially with respect to the treatment of specific risk, which refers to the risk of loss on a position due to factors other than broad-based movements in market prices. As a result, in July 2005, the BCBS and the International Organization of Securities Commissions (IOSCO) published *The Application of Basel II to Trading Activities and the Treatment of Double Default Effects.* The BCBS incorporated the July 2005 changes into the June 2006 comprehensive version of the New Accord and follow its "three-pillar" structure. Specifically, the Pillar 1

[1] For simplicity, and unless otherwise indicated, the preamble to this notice of proposed rulemaking uses the term "bank" to include banks, savings associations, and bank holding companies (BHCs). The terms "bank holding company" and "BHC" refer only to bank holding companies regulated by the Board.

[2] The BCBS is a committee of banking supervisory authorities, which was established by the central bank governors of the G–10 countries in 1975. It consists of senior representatives of bank supervisory authorities and central banks from Argentina, Australia, Belgium, Brazil, Canada, China, France, Germany, Hong Kong SAR, India, Indonesia, Italy, Japan, Korea, Luxembourg, Mexico, the Netherlands, Russia, Saudi Arabia, Singapore, South Africa, Spain, Sweden, Switzerland, Turkey, the United Kingdom, and the United States. Documents issued by the BCBS are available through the Bank for International Settlements Web site at *http://www.bis.org.*

[3] The agencies' general risk-based capital rules are at 12 CFR part 3, Appendix A (OCC); 12 CFR part 208, Appendix A and 12 CFR part 225, Appendix A (Board); and 12 CFR part 325, Appendix A (FDIC).

[4] In 1997, the BCBS modified the MRA to remove a provision pertaining to the specific risk capital charge under the internal models approach (*see http://www.bis.org/press/p970918a.htm*).

[5] 61 FR 47358 (September 6, 1996). The agencies' market risk capital rules are at 12 CFR part 3, Appendix B (OCC), 12 CFR part 208, Appendix E and 12 CFR part 225, Appendix E (Board), and 12 CFR part 325, Appendix C (FDIC).

changes narrow the types of positions that are subject to the market risk framework and revise modeling standards and procedures for calculating minimum regulatory capital requirements; the Pillar 2 changes require banks to conduct internal assessments of their capital adequacy with respect to market risk, taking into account the output of their internal models, valuation adjustments, and stress tests; and the Pillar 3 changes require banks to disclose certain quantitative and qualitative information, including their valuation techniques for covered positions, the soundness standard used for modeling purposes, and their internal capital adequacy assessment methodologies.

In September 2006, the agencies issued a joint notice of proposed rulemaking (2006 proposal) in which they proposed amendments to their market risk capital rules that would implement the BCBS's changes to the market risk framework.[6] The BCBS began work on significant changes to the market risk framework in 2007 due to issues highlighted by the financial crisis. As a result, the agencies did not finalize the 2006 proposal. This joint notice of proposed rulemaking (proposed rule) incorporates aspects of the agencies' 2006 proposal as well as further revisions to the New Accord (and associated guidance) published by the BCBS in July 2009. These publications include *Revisions to the Basel II Market Risk Framework, Guidelines for Computing Capital for Incremental Risk in the Trading Book,* and *Enhancements to the Basel II Framework* (collectively, the 2009 revisions).

The 2009 revisions to the market risk framework place additional prudential requirements on banks' internal models for measuring market risk and require enhanced qualitative and quantitative disclosures, particularly with respect to banks' securitization activities. The revisions also introduce an incremental risk capital requirement to capture default and credit quality migration risk for non-securitization credit products. With respect to securitizations, the 2009 revisions require banks to apply the standardized measurement method for specific risk to these positions, except for "correlation trading" positions (described further below), for which banks may choose to model all material price risks. The 2009 revisions also add a stressed Value-at-Risk (VaR)-based capital requirement to banks' VaR-based capital requirement under the existing framework. In June, 2010, the BCBS published additional revisions to the market risk framework that included establishing a floor on the risk-based capital requirement for modeled correlation trading positions.[7]

These revisions to the market risk framework and other proposed revisions are discussed more fully below. Part I.B. of this preamble summarizes and provides background on the current market risk capital rule. Part II describes the proposed revisions to the market risk capital rule that incorporate aspects of the BCBS 2005 and 2009 revisions to the market risk framework.

Question 1: The agencies request comment on all aspects of the proposed rule and specifically on whether and for what reasons certain aspects of the proposed rule present particular implementation challenges. Responses should be detailed as to the nature and impact of such challenges. What, if any, specific approaches (for example, transitional arrangements) should the agencies consider to address such challenges and why?

B. Summary of the Current Market Risk Capital Rule

The current market risk capital rule supplements both the agencies' general risk-based capital rules and the advanced capital adequacy guidelines (advanced approaches rules) (collectively, the credit risk capital rules)[8] by requiring any bank subject to the market risk capital rule to adjust its risk-based capital ratios to reflect market risk in its trading activities. The rule applies to a bank with worldwide, consolidated trading activity equal to 10 percent or more of total assets, or $1 billion or more. The primary Federal supervisor of a bank may apply the market risk capital rule to a bank if the supervisor deems it necessary or appropriate for safe and sound banking practices. In addition, the supervisor may exempt a bank that meets the threshold criteria from application of the rule if the supervisor determines the bank meets such criteria as a consequence of accounting, operational, or similar considerations, and the supervisor deems such an exemption to be consistent with safe and sound banking practices.

1. Covered Positions

The current market risk capital rule requires a bank to maintain regulatory capital against the market risk of its covered positions. Covered positions are defined as all on- and off-balance sheet positions in the bank's trading account (as defined in the instructions to the Consolidated Reports of Condition and Income (Call Report) or to the FR Y–9C Consolidated Financial Statements for Bank Holding Companies (FR Y–9C)), and all foreign exchange and commodity positions, whether or not they are in the trading account. Covered positions exclude all positions in the trading account that, in form or substance, act as liquidity facilities that provide liquidity support to asset-backed commercial paper.

2. Capital Requirement for Market Risk

The current market risk capital rule defines market risk as the risk of loss resulting from movements in market prices. Market risk consists of general market risk and specific risk components. General market risk is defined as changes in the market value of positions resulting from broad market movements, such as changes in the general level of interest rates, equity prices, foreign exchange rates, or commodity prices. Specific risk is defined as changes in the market value of a position due to factors other than broad market movements and includes event and default risk, as well as idiosyncratic risk.[9]

A bank that is subject to the market risk capital rule is required to use an internal model to calculate a VaR-based measure of its exposure to market risk. A bank's total risk-based capital requirement for covered positions generally consists of a VaR-based capital requirement plus an add-on for specific risk, if specific risk is not captured in the bank's internal VaR model.[10] The VaR-based capital requirement is based

[6] 71 FR 55958, (September 25, 2006). The 2006 proposal was issued jointly by the agencies and the Office of Thrift Supervision (OTS). In the proposal, the OTS, which had not previously adopted the MRA, proposed adopting a market risk capital rule.

[7] The June 2010 revisions can be found, in their entirety, at *http://bis.org/press/p100618/annex.pdf.*

[8] The agencies' advanced approaches rules are at 12 CFR part 3, Appendix C (OCC); 12 CFR part 208, Appendix F and 12 CFR part 225, Appendix G (Board); and 12 CFR part 325, Appendix D (FDIC). For purposes of this preamble, the term "credit risk capital rules" refers to the general risk-based capital rules and the advanced approaches rules (that also apply to operational risk), as applicable to the bank using the proposed rule.

[9] Idiosyncratic risk is the risk of loss in the value of a position that arises from changes in risk factors unique to that position. Event risk is the risk of loss on a position that could result from sudden and unexpected large changes in market prices or specific events other than the default of the issuer. Default risk is the risk of loss on a position that could result from the failure of an obligor to make timely payments of principal or interest on its debt obligation, and the risk of loss that could result from bankruptcy, insolvency, or similar proceeding. For credit derivatives, default risk means the risk of loss on a position that could result from the default of the reference exposure(s).

[10] The primary Federal supervisor of a bank may also permit the use of alternative techniques to measure the market risk of *de minimis* exposures, if the techniques adequately measure associated market risk.

on an estimate of the amount that the value of one or more positions could decline over a stated time horizon and at a stated confidence level. A bank may determine its capital requirement for specific risk using a standardized method or, with supervisory approval, may use internal models to measure its minimum capital requirement for specific risk.

3. Internal Models-Based Capital Requirement

In calculating the capital requirement for market risk, a bank is required to use an internal model that meets specified qualitative and quantitative criteria. The qualitative requirements reflect basic components of sound market risk management. For example, the current market risk capital rule requires an independent risk control unit that reports directly to senior management and an internal risk measurement model that is integrated into the daily management process. The quantitative criteria include the use of a VaR-based measure based on a 99.0 percent, one-tailed confidence level. The VaR-based measure must be based on a price shock equivalent to a 10-business-day movement in rates or prices. Price changes estimated using shorter time periods must be adjusted to the 10-business-day standard. The minimum effective historical observation period for deriving the rate or price changes is one year and data sets must be updated at least every three months or more frequently if market conditions warrant. In all cases, under the current rule, a bank must have the capability to update its data sets more frequently than every three months in anticipation of market conditions that would require such updating.

A bank need not use a single model to calculate its VaR-based measure. A bank's internal model may use any generally accepted approach, such as variance-covariance models, historical simulations, or Monte Carlo simulations. However, the level of sophistication of the bank's internal model must be commensurate with the nature and size of the positions it covers. The internal model must use risk factors sufficient to measure the market risk inherent in all covered positions. The risk factors must address interest rate risk, equity price risk, foreign exchange rate risk, and commodity price risk.

The current market risk capital rule imposes backtesting requirements that must be calculated quarterly. A bank must compare its daily VaR-based measure for each of the preceding 250 business days to its actual daily trading profit or loss, which typically includes realized and unrealized gains and losses on portfolio positions as well as fee income and commissions associated with trading activities. If the quarterly backtesting shows that the bank's daily net trading loss exceeded its corresponding daily VaR-based measure, a backtesting exception has occurred. If a bank experiences more than four backtesting exceptions over the preceding 250 business days, it is generally required to apply a multiplication factor in excess of 3 when it calculates its risk-based capital ratio (*see* section I.B.5 of this preamble).

A bank subject to the market risk capital rule is also required to conduct stress tests to assess the impact of adverse market events on its positions. The market risk capital rule does not prescribe specific stress-testing methodologies.

4. Specific Risk

Under the current market risk capital rule, a bank may use an internal model to measure its exposure to specific risk if it has demonstrated to its primary Federal supervisor that the model measures the specific risk, including event and default risk, as well as idiosyncratic risk, of its debt and equity positions. A bank that incorporates specific risk in its internal model but fails to demonstrate that the model adequately measures all aspects of specific risk is subject to a specific risk add-on. In this case, if the bank can validly separate its VaR-based measure into a specific risk portion and a general market risk portion, the add-on is equal to the previous day's specific risk portion. If the bank cannot separate the VaR-based measure into a specific risk portion and a general market risk portion, the add-on is equal to the sum of the previous day's VaR-based measures for subportfolios of debt and equity positions that contain specific risk.

If the bank does not model specific risk, it must calculate its specific risk capital requirement, or "add-on," using a standardized method.[11] Under this method, the specific risk add-on for debt positions is calculated by multiplying the absolute value of the current market value of each net long and net short position in a debt instrument by the appropriate specific risk-weighting factor in the rule. These specific risk-weighting factors range from zero to 8.0 percent and are based on the identity of the obligor and, in the case of some positions, the credit rating and remaining contractual maturity of the position. Derivative instruments are risk-weighted according to the market value of the effective notional amount of the underlying position. A bank may net long and short debt positions (including derivatives) in identical debt issues or indices. A bank may also offset a "matched" position in a derivative and its corresponding underlying instrument.

Under the standardized method, the specific risk add-on for equity positions is the sum of the bank's net long and short positions in an equity, multiplied by a specific risk-weighting factor. A bank may net long and short positions (including derivatives) in identical equity issues or equity indices in the same market. The specific risk add-on is 8.0 percent of the net equity position, unless the bank's portfolio is both liquid and well-diversified, in which case the specific risk add-on is 4.0 percent. For positions that are index contracts comprising a well-diversified portfolio of equities, the specific risk add-on is 2.0 percent of the net long or net short position in the index.[12]

5. Calculation of the Risk-Based Capital Ratio

A bank subject to the current market risk capital rule must calculate its adjusted risk-based capital ratios as follows. First, the bank must calculate its adjusted risk-weighted assets, which equals its risk-weighted assets calculated under the general risk-based capital rule excluding the risk-weighted amounts of covered positions (except foreign exchange positions outside the trading account and over-the-counter derivative instruments)[13] and cash-secured securities borrowing receivables that meet the criteria of the market risk capital rule.

The bank then must calculate its measure for market risk, which equals the sum of the VaR-based capital requirement for market risk, the specific risk add-on (if any), and the capital

[11] *See* section 5(c) of the agencies' market risk capital rules for a description of this method.

[12] In addition, for futures contracts on broadly based indices that are matched by offsetting equity baskets, a bank may apply a 2.0 percent specific risk requirement to the futures and stock basket positions if the basket comprises at least 90 percent of the capitalization of the index. The 2.0 percent specific risk requirement applies to only one side of certain futures-related arbitrage strategies when either: (i) The long and short positions are in exactly the same index at different dates or in different markets; or (ii) the long and short positions are in different but similar indices at the same date.

[13] Foreign exchange positions outside the trading account and all over-the-counter derivative positions, regardless of whether they are in the trading account, must be included in a bank's risk-weighted assets as determined under the general risk-based capital rules.

requirement for *de minimis* exposures (if any). The VaR-based capital requirement equals the greater of (i) the previous day's VaR-based measure; or (ii) the average of the daily VaR-based measures for each of the preceding 60 business days multiplied by three, or such higher multiplier as may be required under the backtesting requirements of the market risk capital rule. The measure for market risk is multiplied by 12.5 to calculate market-risk-equivalent assets. The market-risk-equivalent assets are added to adjusted risk-weighted assets to compute the denominator of the bank's risk-based capital ratio.

To calculate the numerator, the bank must allocate tier 1 and tier 2 capital equal to 8.0 percent of adjusted risk-weighted assets, and further allocate excess tier 1, excess tier 2, and tier 3 [14] capital equal to the measure for market risk. The sum of tier 2 and tier 3 capital allocated for market risk may not exceed 250 percent of tier 1 capital. As a result, tier 1 capital must equal at least 28.6 percent of the measure for market risk. The sum of tier 2 (both allocated and excess) and allocated tier 3 capital may not exceed 100 percent of tier 1 capital (both allocated and excess). Term subordinated debt and intermediate-term preferred stock and related surplus included in tier 2 capital (both allocated and excess) may not exceed 50 percent of tier 1 capital (both allocated and excess). The sum of tier 1 and tier 2 capital (both allocated and excess) and allocated tier 3 capital is the numerator of the bank's total risk-based capital ratio.

II. Proposed Revisions to the Market Risk Capital Rule

A. Objectives of the Proposed Revisions

The key objectives of the proposed revisions to the current market risk capital rule are to enhance the rule's sensitivity to risks that are not adequately captured by the current rule; to enhance modeling requirements in a manner that is consistent with advances in risk management since the initial implementation of the rule; to modify the definition of covered position to better capture positions for which treatment under the rule is appropriate; to address shortcomings in the modeling of certain risks; to address certain procyclicality concerns; and to increase transparency through enhanced disclosures. The objective of enhancing the risk sensitivity of the rule is particularly important because of banks' increased exposure to traded credit products, such as credit default swaps (CDSs) and asset-backed securities, in other structured products, and in less liquid products. The risks of these products are generally not fully captured in current VaR models, which rely on a 10-business-day, one-tail, 99.0 percent confidence level soundness standard.

For example, the growth in traded credit products has increased default and credit migration risks that should be captured in a regulatory capital requirement for specific risk but have proved difficult to capture adequately within current specific risk models. The agencies did not contemplate risks associated with less liquid credit products when the market risk capital rule was first adopted. Therefore, the agencies propose to implement an incremental risk capital requirement that would apply to a bank that models specific risk for one or more portfolios of debt or, if applicable, equity positions, and to incorporate explicit measures of liquidity.

In addition, to address the agencies' concerns about the appropriate treatment of covered positions that have limited price transparency, the agencies propose to require banks to have a well-defined valuation process for all covered positions. The specific proposals are discussed below.

B. Description of the Proposed Revisions to the Market Risk Capital Rule

1. Scope

The proposed market risk capital rule does not change the set of banks to which the rule applies. That is, the proposed rule continues to apply to any bank with aggregate trading assets and trading liabilities equal to 10 percent or more of total assets, or $1 billion or more. The proposed rule applies to a bank that meets the market risk capital rule applicability threshold regardless of whether the bank uses the general risk-based capital rules or the advanced approaches rules.

The primary Federal supervisor of a bank that does not meet the threshold criteria may apply the market risk capital rule to the bank if the supervisor deems it necessary or appropriate given the level of market risk of the bank or to ensure safe and sound banking practices. The primary Federal supervisor may also exclude a bank that meets the threshold criteria from application of the rule if the supervisor determines that the exclusion is appropriate based on the level of market risk of the bank and is consistent with safe and sound banking practices.

Question 2: The agencies seek comment on the appropriateness of the proposed applicability thresholds. What, if any, alternative thresholds should the agencies consider and why?

2. Reservation of Authority

The proposed rule contains a reservation of authority that affirms the authority of a bank's primary Federal supervisor to require the bank to hold an overall amount of capital greater than would otherwise be required under the rule if the supervisor determines that the bank's risk-based capital requirements under the rule are not commensurate with the market risk of the bank's covered positions. In addition, the agencies anticipate that there may be instances when the proposed rule would generate a risk-based capital requirement for a specific covered position or portfolio of covered positions that is not commensurate with the risks of the covered position or portfolio. In these cases, a bank's primary Federal supervisor may require the bank to assign a different risk-based capital requirement to the covered position or portfolio of covered positions that better reflects the risk of the position or portfolio. The proposed rule also provides authority for a bank's primary Federal supervisor to require the bank to calculate capital requirements for specific positions or portfolios under the market risk capital rule or under either the general risk-based capital rules or advanced approaches rules, as appropriate, to more appropriately reflect the risks of the positions.

3. Modification of the Definition of Covered Position

The proposed rule modifies the definition of a covered position to include trading assets and trading liabilities (as reported on schedule RC–D of the Call Report or Schedule HC–D of the Consolidated Financial Statements for Bank Holding Companies) that are trading positions. Under the proposal, a trading position is defined as a position that is held by the bank for the purpose of short-term resale or with the intent of benefiting from actual or expected short-term price movements, or to lock in arbitrage profits. Thus, the characterization of an

[14] Tier 1 and tier 2 capital are defined in the general risk-based capital rules. Tier 3 capital is subordinated debt that is unsecured, is fully paid up, has an original maturity of at least two years, is not redeemable before maturity without prior approval by the primary Federal supervisor, includes a lock-in clause precluding payment of either interest or principal (even at maturity) if the payment would cause the issuing bank's risk-based capital ratio to fall or remain below the minimum required under the credit risk capital rules, and does not contain and is not covered by any covenants, terms, or restrictions that are inconsistent with safe and sound banking practices.

asset or liability as "trading" for purposes of U.S. Generally Accepted Accounting Principles (GAAP) will not necessarily determine whether the asset or liability is a "trading position" for purposes of the proposed rule. Commenters on the 2006 proposal expressed concerns that the proposed covered position definition would create inconsistencies between the regulatory capital treatment of certain trading assets and trading liabilities and the treatment of those positions under GAAP. The agencies, however, continue to believe that relying on the accounting definition of trading assets and trading liabilities, without modification, would not be appropriate because it includes positions that are not held with the intent or ability to trade.

The proposed covered position definition includes trading assets and trading liabilities that hedge covered positions. In addition, the trading asset or trading liability must be free of any restrictive covenants on its tradability or the bank must be able to hedge its material risk elements in a two-way market. A trading asset or trading liability that hedges a trading position is a covered position only if the hedge is within the scope of the bank's hedging strategy (discussed below). The agencies encourage the sound risk management of trading positions. Therefore, the agencies include in the definition of a covered position any hedges that offset the risk of trading positions. The agencies are concerned, however, that a bank could craft its hedging strategies in order to bring non-trading positions that are more appropriately treated under the credit risk capital rules into the bank's covered positions. The agencies will review a bank's hedging strategies to ensure that they are not being manipulated in this manner. For example, mortgage-backed securities that are not held with the intent to trade, but that are hedged with interest rate swaps to mitigate interest rate risk, would be subject to the credit risk capital rules.

Consistent with the current definition of covered position, under the proposed rule, a covered position also includes any foreign exchange or commodity position, whether or not it is a trading asset or trading liability. With prior supervisory approval, a bank may exclude from its covered positions any structural position in a foreign currency, which is defined as a position that is not a trading position and that is (i) a subordinated debt, equity, or minority interest in a consolidated subsidiary that is denominated in a foreign currency; (ii) capital assigned to foreign branches that is denominated in a foreign currency; (iii) a position related to an unconsolidated subsidiary or another item that is denominated in a foreign currency and that is deducted from the bank's tier 1 and tier 2 capital; or (iv) a position designed to hedge a bank's capital ratios or earnings against the effect of adverse exchange rate movements on (i), (ii), or (iii).

Also consistent with the current rule, the proposed definition of a covered position explicitly excludes any position that, in form or substance, acts as a liquidity facility that provides support to asset-backed commercial paper. In addition, the definition of covered position excludes all intangible assets, including servicing assets. Intangible assets are excluded because their risks are explicitly addressed in the credit risk capital rules, often through a deduction from capital.

The proposed covered position definition excludes any equity position that is not publicly traded, other than a derivative that references a publicly traded equity; any direct real estate holding; and any position that a bank holds with the intent to securitize. Equity positions that are not publicly traded would include private equity investments, most hedge fund investments, and other such closely-held and non-liquid investments that are not easily marketable. Direct real estate holdings include real estate for which the bank holds title, such as "other real estate owned" held from foreclosure activities, and bank premises used by a bank as part of its ongoing business activities. With such real estate holdings, marketability and liquidity are uncertain or even impractical as the assets are an integral part of the bank's ongoing business. Indirect investments in real estate, such as through real estate investment trusts or special purpose vehicles, must meet the definition of a trading position in order to be a covered position. Positions that a bank holds with the intent to securitize include a "pipeline" or "warehouse" of loans being held for securitization; the agencies do not view the intent to securitize these positions as synonymous with the intent to trade them. Consistent with the 2009 revisions, the agencies believe all of these excluded positions have significant constraints in terms of a bank's ability to liquidate them readily and value them reliably on a daily basis.

The proposed covered position definition excludes a credit derivative that the bank recognizes as a guarantee for purposes of calculating the amount of risk-weighted assets under the credit risk capital rules [15] if it is used to hedge a position that is not a covered position (for example, a credit derivative hedge of a loan that is not a covered position). This requires the bank to include the credit derivative in its risk-weighted assets for credit risk and exclude it from its VaR-based measure for market risk. This proposed treatment of a credit derivative hedge avoids the mismatch that arises when the hedged position (for example, a loan) is not a covered position and the credit derivative hedge is a covered position. This mismatch has the potential to overstate the VaR-based measure of market risk if only one side of the transaction were reflected in that measure.

Question 3: The agencies request comment on all aspects of the proposed definition of covered position.

Under the proposed rule, in addition to commodities and foreign exchange positions, covered positions include debt positions, equity positions and securitization positions. The proposal defines a debt position as a covered position that is not a securitization position or a correlation trading position and that has a value that reacts primarily to changes in interest rates or credit spreads. Examples of debt positions include corporate and government bonds, certain nonconvertible preferred stock, certain convertible bonds, and derivatives (including written and purchased options) for which the underlying instrument is a debt position.

The proposal defines an equity position as a covered position that is not a securitization position or a correlation trading position and that has a value that reacts primarily to changes in equity prices. Examples of equity positions include voting or nonvoting common stock, certain convertible bonds, commitments to buy or sell equity instruments, equity indices, and a derivative for which the underlying instrument is an equity position.

Under the proposal, a securitization is a transaction in which: (i) All or a portion of the credit risk of one or more underlying exposures is transferred to one or more third parties; (ii) the credit risk associated with the underlying exposures has been separated into at least two tranches that reflect different levels of seniority; (iii) performance of the securitization exposures depends upon the performance of the underlying exposures; (iv) all or substantially all of

[15] See 12 CFR part 3, section 3 (OCC); 12 CFR part 208, Appendix A, section II.B and 12 CFR part 225, Appendix A, section II.B (Board); and 12 CFR part 325, Appendix A, section II.B.3 (FDIC). The treatment of guarantees is described in sections 33 and 34 of the advanced approaches rules.

the underlying exposures are financial exposures (such as loans, commitments, credit derivatives, guarantees, receivables, asset-backed securities, mortgage-backed securities, other debt securities, or equity securities); (v) for non-synthetic securitizations, the underlying exposures are not owned by an operating company; [16] (vi) the underlying exposures are not owned by a small business investment company described in section 302 of the Small Business Investment Act of 1958 (15 U.S.C. 682); and (vii) the underlying exposures are not owned by a firm an investment in which qualifies as a community development investment under 12 U.S.C. 24 (Eleventh). Further, a bank's primary Federal supervisor may determine that a transaction in which the underlying exposures are owned by an investment firm that exercises substantially unfettered control over the size and composition of its assets, liabilities, and off-balance sheet exposures is not a securitization based on the transaction's leverage, risk profile, or economic substance. Generally, the agencies would consider investment firms that can easily change the size and composition of their capital structure, as well as the size and composition of their assets and off-balance sheet exposures as eligible for exclusion from the securitization definition under this provision. Based on a particular transaction's leverage, risk profile, or economic substance, a bank's primary Federal supervisor may deem an exposure to a transaction to be a securitization exposure, even if the exposure does not meet the criteria in provisions (v), (vi), or (vii) above. A securitization position is a covered position that is (i) an on-balance sheet or off-balance sheet credit exposure (including credit-enhancing representations and warranties) that arises from a securitization (including a resecuritization); or (ii) an exposure that directly or indirectly references a securitization exposure described in (i) above.

A securitization position includes nth-to-default credit derivatives and resecuritization positions. The proposal defines an nth-to-default credit derivative as a credit derivative that provides credit protection only for the nth-defaulting reference exposure in a group of reference exposures. In addition, under the proposal, a resecuritization is a securitization in which one or more of the underlying exposures is a securitization exposure. A resecuritization position is (i) an on- or off-balance sheet exposure to a resecuritization; or (ii) an exposure that directly or indirectly references a resecuritization exposure described in (i).

The proposal defines a correlation trading position as (i) a securitization position for which all or substantially all of the value of the underlying exposures is based on the credit quality of a single company for which a two-way market exists, or on commonly traded indices based on such exposures for which a two-way market exists on the indices; or (ii) a position that is not a securitization position and that hedges a position described in clause (i) above. Under the proposed definition, a correlation trading position does not include a resecuritization position, a derivative of a securitization position that does not provide a pro rata share in the proceeds of a securitization tranche, or a securitization position for which the underlying assets or reference exposures are retail exposures, residential mortgage exposures, or commercial mortgage exposures. Correlation trading positions are typically not rated by external credit rating agencies and may include CDO index tranches, bespoke CDO tranches, and nth-to-default credit derivatives. Standardized CDS indices and single-name CDSs are examples of instruments used to hedge these positions. While banks typically hedge correlation trading positions, hedging frequently does not reduce a bank's net exposure to a position because the hedges often do not perfectly match the position.

4. Requirements for the Identification of Trading Positions and Management of Covered Positions

Section 3 of the proposal introduces new requirements for the identification of trading positions and the management of covered positions. The agencies believe that these new requirements are warranted based on the inclusion of more credit risk-related, less liquid, and less actively traded products in banks' covered positions. The risks of these positions may not be fully reflected in the requirements of the market risk capital rule and may be more appropriately captured under credit risk capital rules.

The proposed rule requires a bank to have clearly defined policies and procedures for determining which of its trading assets and trading liabilities are trading positions as well as which of its trading positions are correlation trading positions. In determining the scope of trading positions, the bank must consider (i) the extent to which a position (or a hedge of its material risks) can be marked-to-market daily by reference to a two-way market; and (ii) possible impairments to the liquidity of a position or its hedge.

In addition, the bank must have clearly defined trading and hedging strategies. The bank's trading and hedging strategies for its trading positions must be approved by senior management. The trading strategy must articulate the expected holding period of, and the market risk associated with, each portfolio of trading positions. The hedging strategy must articulate for each portfolio the level of market risk the bank is willing to accept and must detail the instruments, techniques, and strategies the bank will use to hedge the risk of the portfolio. The hedging strategy should be applied at the level at which trading positions are risk managed at the bank (for example, trading desk, portfolio levels).

The proposed rule requires a bank to have clearly defined policies and procedures for actively managing all covered positions. In the context of non-traded commodities and foreign exchange positions, active management includes managing the risks of those positions within the bank's risk limits. For all covered positions, these policies and procedures, at a minimum, must require (i) marking positions to market or model on a daily basis; (ii) assessing on a daily basis the bank's ability to hedge position and portfolio risks and the extent of market liquidity; (iii) establishment and daily monitoring of limits on positions by a risk control unit independent of the trading business unit; (iv) daily monitoring by senior management of the information described in (i) through (iii) above; (v) at least annual reassessment by senior management of established limits on positions; and (vi) at least annual assessments by qualified personnel of the quality of market inputs to the valuation process, the soundness of key assumptions, the reliability of parameter estimation in pricing models, and the stability and accuracy of model calibration under alternative market scenarios.

The proposed rule introduces new requirements for the prudent valuation of covered positions that include maintaining policies and procedures for valuation, marking positions to market or to model, independent price verification, and valuation adjustments or reserves. The valuation process must consider, as appropriate, unearned credit spreads, close-out costs, early termination costs, investing and funding

[16] In a synthetic securitization, a company uses credit derivatives or guarantees to transfer a portion of the credit risk of one or more underlying exposures to third-party protection providers. The credit derivative or guarantee may be collateralized or uncollateralized.

costs, future administrative costs, liquidity, and model risk. These new valuation requirements reflect the agencies' concerns about deficiencies in banks' valuation of less liquid trading positions, especially in light of the historical focus of the market risk capital rule on a 10-business-day time horizon and a one-tail, 99.0 percent confidence level, which has proved to be inadequate at times to reflect the full extent of the risks of less liquid positions.

5. General Requirements for Internal Models

Model Approval and Ongoing Use Requirements. Under the proposed rule, a bank must receive the prior written approval of its primary Federal supervisor before using any internal model to calculate its market risk capital requirement. The 2006 proposal included a requirement that a bank receive prior written approval from its primary Federal supervisor before extending the use of an approved model to an additional business line or product type. Some commenters raised concerns that this requirement might unduly impede a new product launch pending regulatory approval. The agencies have not included this requirement in the proposed rule. Instead, the proposal requires that a bank promptly notify its primary Federal supervisor when the bank plans to extend the use of a model that the primary Federal supervisor has approved to an additional business line or product type.

The proposed rule also requires a bank to notify its primary Federal supervisor promptly if it makes any change to its internal models that would result in a material change in the bank's amount of risk-weighted assets for a portfolio of covered positions or when the bank makes any material change to its modeling assumptions. The bank's primary Federal supervisor may rescind its approval, in whole or in part, of the use of any internal model, and determine an appropriate regulatory capital requirement for the covered positions to which the model would apply, if it determines that the model no longer complies with the market risk capital rule or fails to reflect accurately the risks of the bank's covered positions. For example, if adverse market events or other developments reveal that a material assumption in a bank's approved model is flawed, the bank's primary Federal supervisor may require the bank to revise its model assumptions and resubmit the model specifications for review by the supervisor.

Financial markets evolve rapidly, and internal models that were state-of-the-art at the time they were approved for use in risk-based capital calculations can become less relevant as the risks of covered positions evolve and as the industry develops more sophisticated modeling techniques that better capture material risks. The proposed rule therefore requires a bank to review its internal models periodically, but no less frequently than annually, in light of developments in financial markets and modeling technologies, and to enhance those models as appropriate to ensure that they continue to meet the agencies' standards for model approval and employ risk measurement methodologies that are most appropriate for the bank's covered positions. It is essential that a bank continually improve its models to ensure that its market risk capital requirement reflects the risk of the bank's covered positions. A bank's primary Federal supervisor will closely scrutinize the bank's model review practices as a matter of safety and soundness.

To support the model review and enhancement requirement discussed above, the agencies are considering imposing a capital supplement in circumstances in which a bank's internal model continues to meet the qualification requirements of the rule, but develops specific shortcomings in risk identification, risk aggregation and representation, or validation. The regulatory capital supplement would reflect the materiality of these shortcomings associated with the bank's current model and could result in a risk-weighted assets surcharge that would apply until such time that the bank enhances its model to the satisfaction of its primary Federal supervisor. For example, the capital supplement could take the form of a model risk multiplier similar to the backtesting multiplier for VaR-type models in section 4 of the proposed rule. Depending on the materiality of the shortcomings, the supervisor could increase the multiplier on any model above three, generally subject to the restriction that the resulting capital requirement not exceed the capital requirement that would apply under the proposed rule's standardized measurement method for specific risk.

Question 4: Under what circumstances should the agencies require a model-specific capital supplement? What criteria could the agencies use to apply capital supplements consistently across banks? Aside from a capital supplement or withdrawal of model approval, how else could the agencies address concerns about outdated models?

Risks Reflected in Models. Under the proposed rule, a bank must incorporate its internal models into its risk management process and integrate the internal models used for calculating its VaR-based measure into its daily risk management process. The level of sophistication of a bank's models must be commensurate with the complexity and amount of its covered positions. To measure market risk, a bank's internal models may use any generally accepted modeling approach, including but not limited to variance-covariance models, historical simulations, or Monte Carlo simulations. A bank's internal models must properly measure all material risks in the covered positions to which they are applied. The proposed rule requires that risks arising from less liquid positions and positions with limited price transparency be modeled conservatively under realistic market scenarios. The proposed rule also requires a bank to have a rigorous process for reestimating, reevaluating and updating its models to ensure continued applicability and relevance.

Control, Oversight, and Validation Mechanisms. The proposed rule maintains the current requirement that a bank have a risk control unit that reports directly to senior management and is independent of its business trading units. In addition, the proposed rule provides specific model validation standards that are similar to those in the advanced approaches rules. Specifically, the proposal requires a bank to validate its internal models initially and on an ongoing basis. The validation process must be independent of the internal models' development, implementation, and operation, or the validation process must be subjected to an independent review of its adequacy and effectiveness. The review personnel do not necessarily have to be external to the bank in order to achieve the required independence. A bank should ensure that individuals who perform the review are not biased in their assessment due to their involvement in the development, implementation, or operation of the models.

Under the proposed rule, validation must include an evaluation of the conceptual soundness of the internal models. This evaluation should include evaluation of empirical evidence and documentation supporting the methodologies used; important model assumptions and their limitations; adequacy and robustness of empirical data used in parameter estimation and model calibration; and evidence of a model's strengths and weaknesses.

Validation also must include an ongoing monitoring process that includes a review and verification of processes and the comparison of the bank's model outputs with relevant internal and external data sources or estimation techniques. The results of this comparison provide a valuable diagnostic tool for identifying potential weaknesses in a bank's models. As part of this comparison, the bank should investigate the source of any differences between the model estimates and the relevant internal or external data or estimation techniques and whether the extent of the differences is appropriate.

Validation of internal models must include an outcomes analysis process that includes backtesting. Consistent with the 2009 revisions, the proposed rule requires a bank's validation process for internal models used to calculate its VaR-based measure to include an outcomes analysis process that includes a comparison of the changes in the bank's portfolio value that would have occurred were end-of-day positions to remain unchanged (therefore, excluding fees, commissions, reserves, net interest income, and intraday trading) with VaR-based measures during a sample period not used in model development.

The proposed rule expands upon the current market risk rule's stress-testing requirement. Specifically, the proposal requires a bank to stress test the market risk of its covered positions at a frequency appropriate to each portfolio, and in no case less frequently than quarterly. The stress tests must take into account concentration risk, illiquidity under stressed market conditions, and other risks arising from the bank's trading activities that may not be captured adequately in the bank's internal models. For example, it may be appropriate for a bank to include in its stress testing the gapping of prices, one-way markets, nonlinear or deep out-of-the-money products, jumps-to-default, and significant changes in correlation. Relevant types of concentration risk include concentration by name, industry, sector, country, and market. Market concentration occurs when a bank holds a position that represents a concentrated share of the market for a security, and thus requires a longer than usual liquidity horizon to liquidate the position without impacting the market. A bank's primary Federal supervisor would evaluate the robustness and appropriateness of a bank's stress tests through the supervisory review process.

The proposed rule requires a bank to have an internal audit function independent of business-line management that at least annually assesses the effectiveness of the controls supporting the bank's market risk measurement systems, including the activities of the business trading units and independent risk control unit, compliance with policies and procedures, and the calculation of the bank's measure for market risk. The internal audit function should review the bank's validation processes, including validation procedures, responsibilities, results, timeliness, and responsiveness to findings. Further, the internal audit function should evaluate the depth, scope, and quality of the risk management system review process and conduct appropriate testing to ensure that the conclusions of these reviews are well-founded. At least annually, the internal audit function must report its findings to the bank's board of directors (or a committee thereof).

Internal Assessment of Capital Adequacy. The proposed rule requires that a bank have a rigorous process for assessing its overall capital adequacy in relation to its market risk. The assessment must take into account market concentration and liquidity risks under stressed market conditions, as well as other risks that may not be captured fully in the VaR-based measure.

Documentation. Under the proposal, a bank must document adequately all material aspects of its internal models, the management and valuation of covered positions, its control, oversight, validation and review processes and results, and its internal assessment of capital adequacy. This documentation would facilitate the supervisory review process as well as the bank's internal audit or other review procedures.

6. Capital Requirement for Market Risk

As under the current rule, the proposed rule requires a bank to calculate its risk-based capital ratio denominator as the sum of its adjusted risk-weighted assets and market risk equivalent assets. To calculate market risk equivalent assets, a bank must multiply its measure for market risk by 12.5. Under the proposed rule, a bank's measure for market risk equals the sum of its VaR-based capital requirement, its stressed VaR-based capital requirement, any specific risk add-ons, any incremental risk capital requirement, any comprehensive risk capital requirement, and any capital requirement for *de minimis* exposures, each calculated according to the requirements of the proposed rule as discussed further below. No adjustments are permitted to address potential double counting among any of these components of a bank's measure for market risk.

Also, consistent with the current rule, under the proposed rule a bank's VaR-based capital requirement equals the greater of (i) the previous day's VaR-based measure, or (ii) the average of the daily VaR-based measures for each of the preceding 60 business days multiplied by three, or such higher multiplication factor required based on backtesting results determined according to section 4 of the proposed rule and discussed further below. Similarly, under the proposed rule, a bank's stressed VaR-based capital requirement equals the greater of (i) the most recent stressed VaR-based measure; or (ii) the average of the weekly VaR-based measures for each of the preceding 12 weeks multiplied by three, or such higher multiplication factor as required based on backtesting results determined according to section 4 of the proposed rule. The multiplication factor applicable to the stressed-VaR based measure for purposes of this calculation is based on the backtesting results for its VaR-based measure; there is no separate backtesting requirement for the stressed VaR-based measure for purposes of calculating a bank's measure for market risk.

The proposed rule requires a bank to include in its measure for market risk any specific risk add-on as required under section 7(c) of the proposed rule, determined using the standardized measurement method described in section 10 of the proposed rule. The proposed rule also requires a bank to include in its measure for market risk any capital requirement for *de minimis* exposures. Specifically, a bank must add to its measure for market risk the absolute value of the market value of those *de minimis* exposures that are not captured in the bank's VaR-based measure unless the bank has obtained prior written approval from its primary Federal supervisor to calculate a capital requirement for the *de minimis* exposures using alternative techniques that appropriately measure the market risk associated with those exposures. With regard to a bank's total risk-based capital numerator, the proposed rule eliminates tier 3 capital and the associated allocation methodologies.

Determination of the Multiplication Factor. The proposed rule modifies the current rule's regulatory backtesting framework for determining the multiplication factor based on the number of backtesting exceptions. Under the current market risk capital rule, a bank must compare its daily VaR-based measure to its actual daily trading profit or loss, which typically includes realized and unrealized gains and losses

on portfolio positions as well as fee income and commissions associated with trading activities. Under the proposed rule, each quarter, a bank must compare each of its most recent 250 business days' trading losses (excluding fees, commissions, reserves, intra-day trading, and net interest income) with the corresponding daily VaR-based measure calibrated to a one-day holding period and at a one-tail, 99.0 percent confidence level. The excluded components of trading profit and loss are not modeled as part of the VaR-based measure. Therefore, excluding them from the regulatory backtesting framework will improve the accuracy of the backtesting and provide a better assessment of the bank's internal model. Some commenters on the 2006 proposal raised concerns with this requirement; however, the agencies continue to believe that banks' trading and reporting systems are sufficiently sophisticated to allow this type of backtesting.

Question 5: The agencies request comment on any challenges banks may face in formulating the measure of trading loss as proposed, particularly for smaller portfolios. More specifically, which, if any, of the items to be excluded from a bank's measure of trading loss (fees, commissions, reserves, intra-day trading, or net interest income) present difficulties and what is the nature of such difficulties?

7. VaR-Based Capital Requirement

Consistent with the current rule, section 5 of the proposed rule requires a bank to use one or more internal models to calculate a daily VaR-based measure that reflects general market risk for all covered positions. The daily VaR-based measure also may reflect the bank's specific risk for one or more portfolios of debt or equity positions and must reflect the specific risk for any portfolios of correlation trading positions that are modeled under section 9 of the proposed rule.

The proposal adds credit spread risk to the list of risk categories required to be captured in a bank's VaR-based measure (that is, in addition to interest rate risk, equity price risk, foreign exchange rate risk, and commodity price risk). The VaR-based measure may incorporate empirical correlations within and across risk categories, provided the bank validates and justifies the reasonableness of its process for measuring correlations. If the VaR-based measure does not incorporate empirical correlations across risk categories, the bank must add the separate measures from its internal models used to calculate the VaR-based measure for the appropriate market risk categories to determine the bank's aggregate VaR-based measure. The proposed rule continues to require models to include risks arising from the nonlinear price characteristics of option positions or positions with embedded optionality.

Consistent with the 2009 revisions, under the proposed rule, a bank must be able to justify to the satisfaction of its primary Federal supervisor the omission of any risk factors from the calculation of its VaR-based measure that the bank includes in its pricing models. In addition, a bank must demonstrate to the satisfaction of its primary Federal supervisor the appropriateness of any proxies it uses to capture the risks of the bank's actual positions for which such proxies are used.

Quantitative Requirements for VaR-based Measure. The proposed rule includes the same quantitative requirements for the daily VaR-based measure as the current market risk capital rule. These include the one-tail, 99.0 percent confidence level, a ten-business-day holding period, and a historical observation period of at least one year.

To calculate VaR-based measures using a 10-day holding period, the bank may calculate 10-business-day measures directly, or may convert VaR-based measures using holding periods other than 10 business days to the equivalent of a 10-business-day holding period. A bank that converts its VaR-based measure in this manner must be able to justify the reasonableness of its approach to the satisfaction of its primary Federal supervisor. For example, a bank that computes its VaR-based measure by multiplying a daily VaR amount by the square root of 10 (that is, using the square root of time) should demonstrate that daily changes in portfolio value do not exhibit significant mean reversion, autocorrelation, or volatility clustering.[17]

The proposed rule requires a bank's VaR-based measure to be based on data relevant to the bank's actual exposures and of sufficient quality to support the calculation of risk-based capital requirements. The bank must update data sets at least monthly, or more frequently as changes in market conditions or portfolio composition warrant. For banks that use a weighting scheme or other method for identifying the historical observation period, the bank must either: (i) Use an effective observation period of at least one year in which the average time lag of the observations is at least six months; or (ii) demonstrate to its primary Federal supervisor that the method used is more effective than that described in (i) at representing the volatility of the bank's trading portfolio over a full business cycle. In the latter case, a bank must update its data more frequently than monthly and in a manner appropriate for the type of weighting scheme. In general, a bank using a weighting scheme should update its data daily. Because the most recent observations typically are the most heavily weighted it is important to include these observations in the bank's VaR-based measure.

The proposed rule requires a bank to retain and make available to its primary Federal supervisor model performance information on significant subportfolios. Taking into account the value and composition of a bank's covered positions, the subportfolios must be sufficiently granular to inform a bank and its supervisor about the ability of the bank's VaR model to reflect risk factors appropriately. A bank's primary Federal supervisor must approve the number of subportfolios it uses for subportfolio backtesting. While the proposed rule does not prescribe the basis for determining significant subportfolios, the primary Federal supervisor may consider the bank's evaluation of certain factors such as trading volume, product types and number of distinct traded products, business lines, and number of traders or trading desks.

The proposed rule requires a bank to retain and make available to its primary Federal supervisor, with no less than a 60 day lag, information for each subportfolio for each business day over the previous two years (500 business days) that includes (i) A daily VaR-based measure for the subportfolio calibrated to a one-tail, 99.0 percent confidence level; (ii) the daily profit or loss for the subportfolio (that is, the net change in price of the positions held in the portfolio at the end of the previous business day); and (iii) the p-value of the profit or loss on each day (that is, the probability of observing a loss greater than reported in (ii) above, based on the model used to calculate the VaR-based measure described in (i) above).

Daily information on the probability of observing a loss greater than that which occurred on any day is a useful metric for banks and supervisors to assess the quality of a bank's VaR model. For example, if a bank that used a historical simulation VaR model using the most recent 500 business days

[17] Using the square root of time assumes that daily portfolio returns are independent and identically distributed (IID). When the IID assumption is violated, the square root of time approximation is not appropriate.

experienced a loss equal to the second worst day of the 500, it would assign a probability of 0.004 (2/500) to that loss based on its VaR model. Applying this process over a given period provides information about the adequacy of the VaR model's ability to characterize the whole distribution of losses, including information on the size and number of backtesting exceptions. The requirement to create and retain this information at the subportfolio level may help identify particular products or business lines for which the model is not adequately measuring risk.

Question 6: The agencies request comment on what, if any, challenges exist with the proposed subportfolio backtesting requirements described above. How might banks determine significant subportfolios of covered positions that would be subject to these requirements? What basis could be used to determine an appropriate number of subportfolios? Is the p-value a useful statistic for evaluating the efficacy of a bank's VaR model in gauging market risk? What, if any, other statistics should the agencies consider and why?

The current market risk capital rule requires a bank to include in its VaR-based measure only covered positions. In contrast, the proposed rule allows a bank to include term repo-style transactions in its VaR-based measure even though these positions may not meet the definition of a covered position, provided the bank includes all such term repo-style transactions consistently over time. Under the proposed rule, a term repo-style transaction is a repurchase or reverse repurchase transaction, or a securities borrowing or securities lending transaction, including a transaction in which the bank acts as agent for a customer and indemnifies the customer against loss, that has an original maturity in excess of one business day, provided that it meets certain requirements, including being based solely on liquid and readily marketable securities or cash and subject to daily marking-to-market and daily margin maintenance requirements.[18] While repo-style transactions typically are close adjuncts to trading activities, GAAP traditionally has not permitted companies to report them as trading assets or trading liabilities. Repo-style transactions included in the VaR-based measure will continue to be subject to the requirements of the credit risk capital rules for calculating capital for counterparty credit risk.

8. Stressed VaR-based Capital Requirement

Under section 6 of the proposed rule, a bank must calculate at least weekly a stressed VaR-based measure using the same internal model(s) used to calculate its VaR-based measure. The stressed VaR-based measure supplements the VaR-based measure, which, due to inherent limitations, proved inadequate in producing capital requirements appropriate to the level of losses incurred at many banks during the financial market crisis that began in mid-2007. The stressed VaR-based measure mitigates the procyclicality of the minimum capital requirements for market risk and contributes to a more appropriate measure of the risks of a bank's covered positions.

Quantitative Requirements for Stressed VaR-based Measure. To determine the stressed VaR-based measure, a bank must use the same model(s) used to calculate its VaR-based measure, but with model inputs calibrated to reflect historical data from a continuous 12-month period that reflects a period of significant financial stress appropriate to the bank's current portfolio. The stressed VaR-based measure must be calculated at least weekly and be no less than the bank's VaR-based measure. The agencies generally expect that a bank's stressed VaR-based measure will be substantially greater than its VaR-based measure.

The proposed rule requires a bank to have policies and procedures that describe how it determines the period of significant financial stress used to calculate the bank's stressed VaR-based measure, and to be able to provide empirical support for the period used. These policies and procedures must address (i) how the bank links the period of significant financial stress used to calculate the stressed VaR-based measure to the composition and directional bias of the bank's current portfolio; and (ii) the bank's process for selecting, reviewing, and updating the period of significant financial stress used to calculate the stressed VaR-based measure and for monitoring the appropriateness of the 12-month period in light of the bank's current portfolio. The bank must obtain the prior approval of its primary Federal supervisor for, and notify its primary Federal supervisor if the bank makes any material changes to, these policies and procedures. A bank's primary Federal supervisor may require it to use a different period of significant financial stress in the calculation of the bank's stressed VaR-based measure.

9. Revised Modeling Standards for Specific Risk

The proposed rule more clearly specifies the modeling standards for specific risk and eliminates the current option for a bank to model some but not all material aspects of specific risk for an individual portfolio of debt or equity positions. As under the current market risk capital rule, a bank may use one or more internal models to measure the specific risk of a portfolio of debt or equity positions with specific risk. A bank must also use one or more internal models to measure the specific risk of a portfolio of correlation trading positions with specific risk that are modeled under section 9 of the proposed rule. A bank may not, however, model the specific risk of securitization positions that are not modeled under section 9 of the proposed rule. This treatment addresses regulatory arbitrage opportunities as well as deficiencies in the modeling of securitization positions that became more evident during the course of the financial market crisis that began in mid-2007.

Under the proposed rule, the internal models must explain the historical price variation in the portfolio, be responsive to changes in market conditions, be robust to an adverse environment, and capture all material aspects of specific risk for the debt and equity positions. Specifically, the proposed revisions require that a bank's internal models capture event risk and idiosyncratic risk; capture and demonstrate sensitivity to material differences between positions that are similar but not identical; and capture and demonstrate sensitivity to changes in portfolio composition and concentrations. If a bank calculates an incremental risk measure for a portfolio of debt or equity positions under section 8 of the proposed rule, the bank is not required to capture default and credit migration risks in its internal models used to measure the specific risk of those portfolios.

Under the current market risk capital rule, if a bank incorporates specific risk in its internal model but fails to demonstrate to its primary Federal supervisor that its internal model adequately measures all aspects of specific risk for a portfolio of debt and equity positions, the bank is subject to an internal models-based specific risk add-on for that portfolio. In contrast, the proposed rule requires a bank that does not have an approved internal model that captures all material aspects of specific risk for a particular portfolio of

[18] *See* Section 2, "Definitions," of the proposed rule for a full definition of a term repo-style transaction.

debt, equity, or correlation trading positions to use the standardized measurement method (described in section 10 of the proposed rule) to calculate a specific risk add-on for that portfolio. This proposed change reflects the agencies' interest in creating incentives for more robust specific risk modeling. Due to concerns about the ability of a bank to model the specific risk of certain securitization positions, the proposed rule requires a bank to calculate a specific risk add-on under the standardized measurement method for all of its securitization positions that are not correlation trading positions modeled under section 9 of the proposed rule. The agencies note that not all debt, equity, or securitization positions have specific risk (for example, certain interest rate swaps). Under the proposed rule, there is no specific risk capital requirement for positions without specific risk. A bank should have clear policies and procedures for determining whether a position has specific risk.

While the proposed rule continues to provide for flexibility and a combination of approaches to measure market risk, including the use of different models to measure the general market risk and the specific risk of one or more portfolios of debt and equity positions, the agencies strongly encourage banks to develop and implement models that integrate the measurement of VaR for general market risk and specific risk. A bank's use of a combination of approaches would be subject to supervisory review to ensure that the overall capital requirement for market risk is commensurate with the risks of the bank's covered positions.

10. Standardized Specific Risk Capital Requirement

The proposed rule requires a bank to calculate a total specific risk add-on for each portfolio of debt and equity positions for which the bank's VaR-based measure does not capture all material aspects of specific risk and for each of its securitization positions that is not modeled under section 9 of the proposed rule. A bank must calculate each specific risk add-on in accordance with the requirements of the proposed rule. The bank must add the total specific risk add-on for each portfolio of positions to the bank's measure for market risk. The specific risk add-on for an individual debt or securitization position that represents purchased credit protection is capped at the market value of the protection.

For debt, equity, and securitization positions that are derivatives with linear payoffs (for example, futures, equity swaps), a bank must apply a risk weighting factor to the market value of the effective notional amount of the underlying instrument or index portfolio. For debt, equity, and securitization positions that are derivatives with nonlinear payoffs (for example, options, interest rate caps, tranched positions), a bank must apply a risk weighting factor to the market value of the effective notional amount of the underlying instrument or portfolio multiplied by the derivative's delta (that is, the change of the derivative's value relative to changes in the price of the reference exposure). For a standard interest rate derivative, the effective notional amount refers to the apparent or stated notional principal amount. If the contract contains a multiplier or other leverage enhancement, the apparent or stated notional principal amount must be adjusted to reflect the effect of the multiplier or leverage enhancement in order to determine the effective notional amount. A swap must be included as an effective notional position in the underlying debt, equity, or securitization instrument or portfolio, with the receiving side treated as a long position and the paying side treated as a short position. Consistent with the current rules, a bank may net long and short positions (including derivatives) in identical issues or identical indices. A bank may also net positions in depositary receipts against an opposite position in an identical equity in different markets, provided that the bank includes the costs of conversion.

The proposed rule also expands the recognition of hedging effects for debt and securitization positions. A set of transactions consisting of either a debt position and its credit derivative hedge or a securitization position and its credit derivative hedge has a specific risk add-on of zero if the debt or securitization position is fully hedged by a total return swap (or similar instrument where there is a matching of payments and changes in market value of the position) and there is an exact match between the reference obligation, the maturity, and the currency of the swap and the debt or securitization position.

If a set of transactions consisting of either a debt position and its credit derivative hedge or a securitization position and its credit derivative hedge does not meet the criteria for no specific risk add-on, the specific risk add-on for the set of transactions is equal to 20.0 percent of the specific risk add-on for the side of the transaction with the higher specific risk add-on, provided that the credit risk of the position is fully hedged by a credit default swap (or similar instrument), and there is an exact match between the reference obligation of the credit derivative hedge and the debt or securitization position, the maturity of the credit derivative hedge and the debt or securitization position, and the currency of the credit derivative hedge and the debt or securitization position. For a set of transactions that consists of either a debt position and its credit derivative hedge or a securitization position and its credit derivative hedge that does not meet the criteria for full offset or the 80.0 percent offset described above (for example, there is mismatch in the maturity of the credit derivative hedge and that of the debt or securitization position), but in which all or substantially all of the price risk has been hedged, the specific risk add-on is equal to the specific risk add-on for the side of the transaction with the larger specific risk add-on.

Debt and Securitization Positions. While most securitization positions are considered debt positions under the current market risk capital rule, the agencies distinguish between securitization positions and debt positions in the proposed rule because of new proposed requirements that are uniquely applicable to securitization positions. Under the proposed rule, the total specific risk add-on for a portfolio of debt or securitization positions is the sum of the specific risk add-ons for individual debt or securitization positions, which are determined by multiplying the absolute value of the current market value of each net long or net short debt or securitization position by an appropriate risk-weighting factor for the position.

The 2005 revisions to the market risk framework incorporated changes to the standardized measurement method used for calculating the specific risk add-ons for debt positions. For example, the "government" category was expanded to include all sovereign debt, and the specific risk-weighting factor for sovereign debt was changed from zero percent to a range from zero to 12.0 percent based on the external rating of the obligor and the remaining contractual maturity of the debt position. Table 1 below provides an illustrative representation of the specific risk-weighting factors applicable to debt positions in the "government," "qualifying," and "other" categories under the market risk framework.

TABLE 1—SPECIFIC RISK-WEIGHTING FACTORS FOR DEBT POSITIONS

Category	Illustrative external rating description	Remaining contractual maturity	Specific risk (%) weight factor
Government	Highest investment grade to second highest investment grade (for example, AAA to AA−).		0.00
	Third highest investment grade to lowest investment grade (for example, A+ to BBB−).	Residual term to final maturity 6 months or less	0.25
		Residual term to final maturity greater than 6 and up to and including 24 months.	1.00
		Residual term to final maturity exceeding 24 months.	1.60
	One category below investment grade to two categories below investment grade (for example, BB+ to B−).		8.00
	More than two categories below investment grade		12.00
	Unrated		8.00
Qualifying	Not applicable	Residual term to final maturity 6 months or less	0.25
		Residual term to final maturity greater than 6 and up to and including 24 months.	1.00
		Residual term to final maturity exceeding 24 months.	1.60
Other	One category below investment grade to two categories below investment grade (for example, BB+ to B−).		8.00
	More than two categories below investment grade, or equivalent based on a bank's internal ratings.		12.00
	Unrated		8.00

The 2009 revisions to the market risk framework also incorporated changes to the specific risk-weighting factors under the standardized measurement method for rated securitization and re-securitization positions as well as other treatments for unrated securitization and re-securitization positions. For rated positions, the revisions apply risk weights according to whether the positions' external rating represents a long-term credit rating or a short-term credit rating and generally apply higher risk weights to rated re-securitization positions than to other rated securitization positions. Tables 2 and 3 below provide illustrative representations of the specific risk-weighting factors applicable to rated securitization and re-securitization position under the market risk framework. This treatment was designed to address regulatory arbitrage opportunities as well as deficiencies in the modeling of securitization positions that became more evident during the course of the financial market crisis that began in mid-2007. This revised treatment also assigns a more risk-sensitive capital requirement to securitization positions than applied previously.

TABLE 2—LONG-TERM CREDIT RATING SPECIFIC RISK-WEIGHTING FACTORS FOR SECURITIZATION AND RE-SECURITIZATION POSITIONS

Illustrative external rating description	Example	Securitization exposure (that is not a resecuritization exposure) risk-weighting factor (%)	Resecuritization exposure risk-weighting factor (%)
Highest investment grade rating	AAA	1.60	3.20
Second-highest investment grade rating	AA	1.60	3.20
Third-highest investment grade rating	A	4.00	8.00
Lowest investment grade rating	BBB	8.00	18.00
One category below investment grade	BB	28.00	52.00
Two categories below investment grade	B	100.00	100.00
Three categories or more below investment grade	CCC	100.00	100.00

TABLE 3—SHORT-TERM CREDIT RATING SPECIFIC RISK-WEIGHTING FACTORS FOR SECURITIZATION AND RE-SECURITIZATION POSITIONS

Illustrative external rating description	Example	Securitization exposure (that is not a resecuritization xposure) risk-weighting factor (%)	Resecuritization exposure risk-weighting factor (%)
Highest investment grade rating	A–1/P–1	1.60	3.20
Second-highest investment grade rating	A–2/P–2	4.00	8.00
Third-highest investment grade rating	A–3/P–3	8.00	18.00
All other ratings	N/A	100.00	100.00

As a result of the recent enactment in the United States of the Dodd-Frank Wall Street Reform and Consumer Protection Act [19] (the Act), the agencies may not reference or require reliance on credit ratings in the assessment of the creditworthiness of a security or money market instrument. The Act provides that each Federal agency, after a required review of its regulations, must remove from each of its regulations any reference to or requirement of reliance on credit ratings and substitute a standard of creditworthiness the agency determines is appropriate for the regulation.[20]

The 2005 and 2009 BCBS revisions include provisions that rely on credit ratings for determining the specific risk-weighting factors for debt, securitization, and re-securitization positions. These provisions would need to be revised when implemented in the U.S. in order to conform to the Act. The agencies acknowledge that the specific risk treatment for debt, securitization and re-securitization positions outlined in Tables 1 through 3 would provide a more risk-sensitive treatment for these positions than exists under the current rule; however, pending the agencies' development of appropriate standards of creditworthiness to replace use of credit ratings as required by the Act, the proposed rule retains as a placeholder the current rule's method for determining specific risk add-ons applicable to debt and securitization positions. More specifically, the "government," "qualifying," and "other" categories as described in the current market risk capital rule and associated risk-weighting factors would continue to apply to a bank's debt and securitization positions until the agencies develop a substitute standard of creditworthiness to replace reliance on credit ratings. For completeness and to ensure uniformity of regulatory text across the agencies' rules, the proposed rule includes in section 10(b) the current standardized measurement method for these positions. The agencies acknowledge the shortcomings of the current treatment and recognize that it will have to be amended in accordance with the requirements of the Act. To the extent possible, the amended treatment would seek to establish comparable capital requirements for the affected positions in order to ensure international consistency and competitive equity. At the same time, the agencies believe it is important to move forward with the revisions to the market risk rules contained in this proposal.[21]

When the agencies determine a substitute standard of creditworthiness for external ratings as required by the Act, they intend to incorporate the new standard into their capital rules, including the market risk rule. The agencies are currently reviewing alternative approaches to the use of credit ratings across all of the agencies' regulations and requirements with the goal of establishing a uniform alternative credit-worthiness standard. The agencies have asked for public input on this process through an advance notice of proposed rulemaking (ANPR).[22] The agencies noted in the ANPR that in evaluating any standard of creditworthiness for purpose of determining risk-based capital requirements, the agencies will, to the extent practicable and consistent with the other objectives, consider whether the standard would:

- Appropriately distinguish the credit risk associated with a particular exposure within an asset class;
- Be sufficiently transparent, unbiased, replicable, and defined to allow banking organizations of varying size and complexity to arrive at the same assessment of creditworthiness for similar exposures and to allow for appropriate supervisory review;
- Provide for the timely and accurate measurement of negative and positive changes in creditworthiness;
- Minimize opportunities for regulatory capital arbitrage;
- Be reasonably simple to implement and not add undue burden on banking organizations; and
- Foster prudent risk management.

Question 7: What specific standards of creditworthiness that meet the agencies' suggested criteria for a creditworthiness standard outlined above should the agencies consider for these positions?

Under the proposed rule, the total specific risk add-on for a portfolio of nth-to-default credit derivatives is the sum of the specific risk add-ons for individual nth-to-default credit derivatives, as computed therein. A bank must calculate a specific risk add-on for each nth-to-default credit derivative position regardless of whether the bank is a net protection buyer or net protection seller.

For first-to-default credit derivatives, the specific risk add-on is the lesser of (i) the sum of the specific risk add-ons for the individual reference credit exposures in the group of reference exposures, and (ii) the maximum possible credit event payment under the credit derivative contract. Where a bank has a risk position in one of the reference credit exposures underlying a first-to-default credit derivative and this credit derivative hedges the bank's risk position, the bank is allowed to reduce both the specific risk add-on for the reference credit exposure and that part of the specific risk add-on for the credit derivative that relates to this particular reference credit exposure such that its specific risk add-on for the pair reflects the bank's net position in the reference credit exposure. Where a bank has multiple risk positions in reference credit exposures underlying a first-to-default credit derivative, this offset is allowed only for the underlying

[19] *See* Public Law 111–203 (July 21, 2010).

[20] *See* section 939A of the Act.

[21] The agencies also note that certain other provisions of the Act may affect the market risk capital rules. For example, the credit risk retention requirements of the Act may affect whether a securitization position retained by a bank pursuant to the requirements meets the definition of a trading position or a covered position.

[22] 75 FR 52283 (August 25, 2010).

reference credit exposure having the lowest specific risk add-on.

For second-or-subsequent-to-default credit derivatives, the specific risk add-on is the lesser of: (i) The sum of the specific risk add-ons for the individual reference credit exposures in the group of reference exposures, but disregarding the (n–1) obligations with the lowest specific risk add-ons; or (ii) the maximum possible credit event payment under the credit derivative contract. For second-or-subsequent-to-default credit derivatives, no offset of the specific risk add-on with an underlying reference credit exposure is allowed under the proposed rule.

Equity Positions. Under the proposed rule, the total specific risk add-on for a portfolio of equity positions is the sum of the specific risk add-ons of the individual equity positions, which are determined by multiplying the absolute value of the current market value of each net long or short equity position by an appropriate risk-weighting factor.

The proposed rule retains the specific risk add-ons applicable to equity positions under the current market risk capital rule, with one exception. Consistent with the 2009 revisions, the proposed rule eliminates the provision that allows a bank to apply a specific risk-weighting factor of 4.0 to an equity position held in a portfolio that is both liquid and well-diversified. Instead, a bank must multiply the absolute value of the current market value of each net long or short equity position by a risk-weighting factor of 8.0 percent. For equity positions that are index contracts comprising a well-diversified portfolio of equity instruments, the absolute value of the current market value of each net long or short position is multiplied by a risk-weighting factor of 2.0 percent. A portfolio is well-diversified if it contains a large number of individual equity positions, with no single position representing a substantial portion of the portfolio's total market value.

The proposed rule retains the specific risk treatment in the current market risk capital rule for equity positions arising from futures-related arbitrage strategies where long and short positions are in exactly the same index at different dates or in different market centers, or where long and short positions are in index contracts at the same date in different but similar indices. The proposed rule also retains the current treatment for futures contracts on main indices that are matched by offsetting positions in a basket of stocks comprising the index.

Due Diligence Requirements for Securitization Positions. The proposed rule incorporates requirements from the 2009 revisions that banks perform due diligence on securitization positions. The due diligence requirements apply to all securitization positions and emphasize the need for banks to conduct their own due diligence of borrower creditworthiness, in addition to any use of third-party assessments, and not place undue reliance on external credit ratings.

In order to meet the proposed due diligence requirements, a bank must be able to demonstrate, to the satisfaction of its primary Federal supervisor, a comprehensive understanding of the features of a securitization position that would materially affect the performance of the bank's securitization position. The bank's analysis must be commensurate with the complexity of the securitization position and the materiality of the position in relation to capital.

To support the demonstration of its comprehensive understanding, for each securitization position, the bank must conduct and document an analysis of the risk characteristics of a securitization position prior to acquiring the position, considering: (i) Structural features of the securitization that would materially impact the performance of the position, for example, the contractual cash flow waterfall, waterfall-related triggers, credit enhancements, liquidity enhancements, market value triggers, the performance of organizations that service the position, and deal-specific definitions of default; (ii) relevant information regarding the performance of the underlying credit exposure(s), for example, the percentage of loans 30, 60, and 90 days past due; default rates; prepayment rates; loans in foreclosure; property types; occupancy; average credit score or other measures of creditworthiness; average LTV ratio; and industry and geographic diversification data on the underlying exposure(s); (iii) relevant market data of the securitization, for example, bid-ask spreads, most recent sales price and historical price volatility, trading volume, implied market rating, and size, depth and concentration level of the market for the securitization; and (iii) for resecuritization positions, performance information on the underlying securitization exposures, for example, the issuer name and credit quality, and the characteristics and performance of the exposures underlying the securitization exposures. On an on-going basis, but no less frequently than quarterly, the bank must also evaluate, review, and update as appropriate the analysis required above for each securitization position.

Question 8: What, if any, specific challenges are involved with meeting the proposed due diligence requirements and for what types of securitization positions? How might the agencies address these challenges while still ensuring that a bank conducts an appropriate level of due diligence commensurate with the risks of its covered positions? For example, would it be appropriate to scale the requirements according to a position's expected holding period? How would such scaling affect a bank's ability to demonstrate a comprehensive understanding of the risk characteristics of a securitization position? What are the benefits and drawbacks of requiring public disclosures regarding a bank's processes for performing due diligence on its securitization positions?

The agencies are considering alternative methodologies to the standardized measurement method for determining the specific risk capital requirement for securitization positions to better recognize the risk reduction benefits of hedging. Conceptually, such a methodology could recognize some degree of offsetting between positions that reference the same pool of assets but have different levels of seniority, or between positions that reference similar but not identical assets. For example, it could use a formulaic approach to determine a degree of offset between securitization positions that are similar to an index. Inputs to the formula could include factors such as the attachment and detachment points of an individual securitization position, the aggregate capital requirement of its underlying exposures, and the percentage of underlying obligors common to the securitization exposure and the index.

Question 9: What alternative non-models-based methodologies could the agencies use to determine the specific risk add-ons for securitization positions? Please provide specific details on the mechanics of and rationale for any suggested methodology. Please also describe how the methodology conservatively recognizes some degree of hedging benefits, yet captures the basis risk between non-identical positions. To what types of securitization positions would such a methodology apply and why?

11. Incremental Risk Capital Requirement

Under section 8 of the proposed rule, a bank that measures the specific risk of a portfolio of debt positions using internal models must calculate an incremental risk measure for that portfolio using an internal model

(incremental risk model). Incremental risk consists of the default risk of a position (that is, the risk of loss on the position upon an event of default (for example, the failure of the obligor to make timely payments of principal or interest), including bankruptcy, insolvency, or similar proceeding) and the credit migration risk of a position (that is, price risk that arises from significant changes in the underlying credit quality of the position).

With the prior approval of its primary Federal supervisor, a bank may also include portfolios of equity positions in its incremental risk model, provided that it consistently includes such equity positions in a manner that is consistent with how the bank internally measures and manages the incremental risk for such positions at the portfolio level. Default is deemed to occur with respect to any equity position that is included in the bank's incremental risk model upon the default of any debt of the issuer of the equity position. A bank may not include correlation trading positions or securitization positions in its incremental risk model.

Under the proposed rule, a bank's model to measure the incremental risk of a portfolio of debt positions (and equity positions, if applicable) must meet certain requirements and be approved by the bank's primary Federal supervisor before the bank may use it to calculate its risk-based capital requirement. The model must measure incremental risk over a one-year time horizon and at a one-tail, 99.9 percent confidence level, either under the assumption of a constant level of risk, or under the assumption of constant positions.

The liquidity horizon of a position is the time that would be required for a bank to reduce its exposure to, or hedge all of the material risks of, the position(s) in a stressed market. The liquidity horizon for a position may not be less than the lower of three months or the contractual maturity of the position.

A position's liquidity horizon is a key risk attribute for purposes of calculating the incremental risk measure because it puts a bank's overall risk exposure to an actively managed portfolio into context. Positions with longer (that is, less liquid) liquidity horizons are more difficult to hedge and result in more exposure to both default and credit migration risk over any fixed time horizon. In particular, two positions with differing liquidity horizons but exactly the same amount of default risk if held in a static portfolio over a one-year horizon may exhibit significantly different amounts of default risk if held in a dynamic portfolio in which hedging can occur in response to observable changes in credit quality. The position with the shorter liquidity horizon can be hedged more rapidly and with less cost in the event of a change in credit quality, which leads to a different exposure to default risk over a one-year horizon than the position with the longer liquidity horizon.

A constant level of risk assumption assumes that the bank rebalances, or rolls over, its trading positions at the beginning of each liquidity horizon over a one-year horizon in a manner that maintains the bank's initial risk level. The bank must determine the frequency of rebalancing in a manner consistent with the liquidity horizons of the positions in the portfolio. A constant position assumption assumes that a bank maintains the same set of positions throughout the one-year horizon. If a bank uses this assumption, it must do so consistently across all portfolios for which it models incremental risk. A bank has flexibility in whether it chooses to use a constant risk or constant position assumption in its incremental risk model; however, the agencies expect that the assumption will remain fairly constant once selected. As with any material change to modeling assumptions, the proposed rule requires a bank must promptly notify its primary Federal supervisor if the bank changes from a constant risk to a constant position assumption or vice versa. Further, to the extent a bank estimates a comprehensive risk measure under section 9 of the proposed rule, the bank's selection of a constant position or a constant risk assumption must be consistent between the bank's incremental risk model and comprehensive risk model. Similarly, the bank's treatment of liquidity horizons must be consistent between a bank's incremental risk model and comprehensive risk model.

The proposed rule requires a bank's incremental risk model to meet the conditions described below. The model must recognize the impact of correlations between default and credit migration events among obligors. In particular, the existence of an aggregate, economy-wide credit cycle implies some degree of correlation between the default and credit migration events across different issuers. The degree of correlation between default and credit migration events of different issuers may also depend on other issuer attributes such as industry sector or region of domicile. The model must also reflect the effect of issuer and market concentrations, as well as concentrations that can arise within and across product classes during stressed conditions.

The bank's incremental risk model must reflect netting only of long and short positions that reference the same financial instrument and must also reflect any material mismatch between a position and its hedge. Examples of such mismatches include maturity mismatches as well as mismatches between an underlying position and its hedge, (for example, the use of an index position to hedge a single name security).

The bank's incremental risk model must also recognize the effect that liquidity horizons have on hedging strategies. When a bank's hedging strategy requires continual rebalancing of the hedge position, the constraints on rebalancing imposed by the liquidity horizon of the hedge must be recognized. As an example, if a position is being hedged with an instrument with a liquidity horizon of three months, no rebalancing of the hedge can occur within a three month period. Accordingly, any divergence in the value of the position and its hedge that occurs because the hedge cannot be rebalanced within the three month liquidity horizon must be recognized. Moreover, in order to reflect the effect of hedging in the incremental risk measure, the bank must (i) Choose to model the rebalancing of the hedge consistently over the relevant set of trading positions; (ii) demonstrate that the inclusion of rebalancing results in a more appropriate risk measurement; (iii) demonstrate that the market for the hedge is sufficiently liquid to permit rebalancing during periods of stress; and (iv) capture in the incremental risk model any residual risks arising from such hedging strategies.

The incremental risk model must reflect the nonlinear impact of options and other positions with material nonlinear behavior with respect to default and credit migration changes. In light of the one-year horizon of the incremental risk measure and the extremely high confidence level required, it is important that nonlinearities be explicitly recognized. Price changes resulting from defaults or credit migrations can be large and the resulting nonlinear behavior of the position can be material. The bank's incremental risk model must also maintain consistency with the bank's internal risk management methodologies for identifying, measuring, and managing risk.

A bank that calculates an incremental risk measure under section 8 of the proposed rule must calculate its incremental risk capital requirement at

least weekly. This capital requirement is the greater of: (i) The average of the incremental risk measures over the previous 12 weeks; or (ii) the most recent incremental risk measure.

12. Comprehensive Risk Capital Requirement

Under section 9 of the proposed rule, with its primary Federal supervisor's prior approval, a bank may measure all material price risks of one or more portfolios of correlation trading positions (comprehensive risk measure) using a model (comprehensive risk model). If the bank uses a comprehensive risk model for a portfolio of correlation trading positions, the bank must also measure the specific risk of that portfolio using internal models that meet the requirements in section 7(b) of the proposed rule. If the bank does not use a comprehensive risk model to calculate the price risk of a portfolio of correlation trading positions, it must calculate a specific risk add-on for the portfolio under section 7(c) of the proposed rule, determined using the standardized measurement method for specific risk described in section 10 of the proposed rule.

A bank's comprehensive risk model must meet several requirements under the proposed rule. The model must measure comprehensive risk (that is, all price risk) consistent with a one-year time horizon and at a one-tail, 99.9 percent confidence level, under the assumption of either a constant level of risk or constant positions. As mentioned under the incremental risk measure discussion, while a bank has flexibility in whether it chooses to use a constant risk or constant position assumption, the agencies expect that the assumption will remain fairly constant once selected. The bank's selection of a constant position assumption or a constant risk assumption must be consistent between the bank's comprehensive risk model and its incremental risk model. Similarly, the bank's treatment of liquidity horizons must be consistent between the bank's comprehensive risk model and its incremental risk model.

The proposed rule requires that a bank's comprehensive risk model capture all material price risk of included positions, including, but not limited to: (i) The risk associated with the contractual structure of cash flows of the position, its issuer, and its underlying exposures (for example, the risk arising from multiple defaults, including the ordering of defaults, in tranched products); (ii) credit spread risk, including nonlinear price risks; (iii) volatility of implied correlations, including nonlinear price risks such as the cross-effect between spreads and correlations; (iv) basis risks (for example, the basis between the spread of an index and the spread on its constituents and the basis between implied correlation of an index tranche and that of a bespoke tranche); (v) recovery rate volatility as it relates to the propensity for recovery rates to affect tranche prices; and (vi) to the extent the comprehensive risk measure incorporates benefits from dynamic hedging, the static nature of the hedge over the liquidity horizon.

The risks above have been identified as risks that are particularly important for correlation trading positions; however, the comprehensive risk model is intended to capture all material price risks related to those correlation trading positions that are included in the comprehensive risk model. Accordingly, additional risks that are not explicitly discussed above but are a material source of price risk must be included in the comprehensive risk model.

The proposed rule also requires that a bank have sufficient market data to ensure that it fully captures the material price risks of the correlation trading positions in its comprehensive risk measure. Moreover, the bank must be able to demonstrate that its model is an appropriate representation of comprehensive risk in light of the historical price variation of its correlation trading positions. The agencies will scrutinize the positions a bank identifies as correlation trading positions and will also review whether the correlation trading positions have sufficient market data available to support reliable modeling of material risks. If there is insufficient market data to support reliable modeling for certain positions (such as new products), the agencies may require the bank to exclude these positions from the comprehensive risk model and, instead, require the bank to calculate specific risk add-ons for these positions under the standardized measurement method for specific risk. Again, the proposed rule requires a bank to promptly notify its primary Federal supervisor if the bank plans to extend the use of a model that has been approved by the supervisor to an additional business line or product type.

In addition to these requirements, a bank must at least weekly apply to its portfolio of correlation trading positions a set of specific, supervisory stress scenarios that capture changes in default rates, recovery rates, and credit spreads; correlations of underlying exposures; and correlations of a correlation trading position and its hedge. A bank must retain and make available to its primary supervisor the results of the supervisory stress testing, including comparisons with the capital requirements generated by the bank's comprehensive risk model. A bank also must promptly report to its primary Federal supervisor any instances where the stress tests indicate any material deficiencies in the comprehensive risk model.

The agencies are evaluating the appropriate bases for supervisory stress scenarios to be applied to a bank's portfolio of correlation trading positions. There are inherent difficulties in prescribing stress scenarios that would be universally applicable and relevant across all banks and across all products contained in banks' correlation trading portfolios. The agencies believe a level of comparability is important for assessing the sufficiency and appropriateness of banks' comprehensive risk models, but also recognize that specific scenarios may not be relevant for certain products or for certain modeling approaches. The agencies are considering various options for stress scenarios, including an approach that would involve specifying stress scenarios based on credit spread shocks to certain correlation trading positions (for example, single-name CDSs, CDS indexes, index tranches), which may replicate historically observed spreads. Another approach would require a bank to calibrate its existing valuation model to certain specified stress periods by adjusting credit-related risk factors to reflect a given stress period. The credit-related risk factors, as adjusted, would then be used to revalue the bank's correlation trading portfolio under one or more stress scenarios.

Question 10: What are the benefits and drawbacks of the supervisory stress scenario requirements described above and what other specific stress scenario approaches for the correlation trading portfolio should the agencies consider? For which products and model types are widely applicable stress scenarios most appropriate, and for which product and model types is a more tailored stress scenario most appropriate? What other stress scenario approaches could consistently reflect the risks of the entire portfolio of correlation trading positions?

The agencies have identified prudential challenges associated with relying solely on banks' comprehensive risk models for determining risk-based capital requirements for correlation trading positions. For example, a bank's ability to perform robust validation of

its comprehensive risk model using standard backtesting methods is limited in light of the proposed requirements for the model to measure potential losses on correlation trading positions due to all price risk at a one-year time horizon and high-percentile confidence level. As a result, banks will need to use indirect model validation methods, such as stress tests, scenario analysis or other methods to assess their models. The agencies anticipate that banks' comprehensive risk model validation approaches will evolve over time; however, to address near-term modeling challenges while still giving consideration to sound risk management practices, the agencies are proposing a floor on the modeled correlation trading position capital requirements in the form of a capital surcharge as described below.

A bank approved to measure comprehensive risk for one or more portfolios of correlation trading positions must calculate at least weekly a comprehensive risk measure. The comprehensive risk measure equals the sum of the output from the bank's approved comprehensive risk model plus a surcharge on the bank's modeled correlation trading positions. The agencies propose setting the surcharge equal to 15.0 percent of the total specific risk add-on that would apply to the bank's modeled correlation trading positions under the standardized measurement method for specific risk in section 10 of the proposed rule.

The agencies propose that banks initially be required to calculate the comprehensive risk measure under the surcharge approach while banks and supervisors gain experience with the banks' comprehensive risk models. Over time, with approval from its primary Federal supervisor, a bank may be permitted to use a floor approach to calculate its comprehensive risk measure as the greater of: (1) The output from the bank's approved comprehensive risk model; or (2) 8.0 percent of the total specific risk add-on that would apply to the bank's modeled correlation trading positions under the standardized measurement method for specific risk, provided the bank has met the comprehensive risk modeling requirements in the proposed rule for a period of at least one year and can demonstrate the effectiveness of its comprehensive risk model through the results of ongoing validation efforts, including robust benchmarking. Such results may incorporate a comparison of the banks' internal model results to those from an alternative model for certain portfolios and other relevant data. The agencies may also consider a benchmarking approach that uses banks' internal models to determine capital requirements for a portfolio specified by the supervisors to allow for a relative assessment of models across banks. A bank's primary Federal supervisor will monitor the appropriateness of the floor approach on an ongoing basis and may rescind its approval of this approach if it determines that the bank's comprehensive risk model may not sufficiently reflect the risks of the bank's modeled correlation trading positions.

The agencies believe the proposed approach provides a prudential backstop on modeled capital requirements as well as appropriate incentives for ongoing model improvement. Another potential approach would be a stress-test based floor that would, for instance, require a bank to value its correlation trading positions using prescribed instantaneous price and correlation shocks in the models it uses to price its correlation trading positions. For example, such a floor could require a bank's comprehensive risk capital requirement to be at least as great as the largest loss the bank would experience for its correlation trading positions under a scenario of instantaneous price changes for the underlying positions within a range of plus and minus 15.0 percent combined with instantaneous correlation changes within a range of plus or minus 5.0 percent.

Question 11: What, if any, specific challenges exist with respect to the proposed modeling requirements for correlation trading positions? What additional criteria and benchmarking methods should the agencies consider that would provide an objective basis for evaluating whether to allow a bank to apply a lower surcharge percentage in calculating its comprehensive risk measure? What are the advantages and disadvantages of the proposed floor approach and the other potential floor approaches described above? What other alternatives should the agencies consider to address the uncertainties identified above while ensuring safe and sound risk-based capital requirements for correlation trading positions?

A bank that calculates a comprehensive risk measure under section 9 of the proposed rule must calculate its comprehensive risk capital requirement at least weekly. This capital requirement is the greater of (i) the average of the comprehensive risk measures over the previous 12 weeks; or (ii) the most recent comprehensive risk measure. Separate from the proposed requirements for calculating a comprehensive risk measure, as discussed previously, the proposed rule contains an explicit reservation of authority providing that a bank's primary Federal supervisor may require a bank to assign a different risk-based capital requirement than would otherwise apply to a covered position or portfolio of covered positions that better reflects the risk of the position or portfolio. For example, regardless of a modeled capital requirement, a primary Federal supervisor may require a bank to increase its risk-weighted asset amount for correlation trading positions to ensure that it reflects the risk to which the bank is exposed. Because banks' comprehensive risk models use many different methodologies, there is no uniform appropriate supervisory adjustment to risk-weighted assets. An adjustment may take the form of a multiplier, a floor, a fixed add-on, or another adjustment consistent with the risk of the portfolio and the bank's modeling practices.

13. Disclosure Requirements

The proposed rule imposes disclosure requirements designed to increase transparency and improve market discipline on the top-tier consolidated legal entity that is subject to the market risk capital rule. The disclosure requirements, discussed further below, include a breakdown of certain components of a bank's market risk capital requirement, information on a bank's modeling approaches, and qualitative and quantitative disclosures relating to a bank's securitization activities.

The agencies recognize the importance of market discipline in encouraging sound risk management practices and fostering financial stability. With enhanced information, market participants can better evaluate a bank's risk management performance, earnings potential, and financial strength. Many of the proposed disclosure requirements reflect information already disclosed publicly by the banking industry. A bank is encouraged, but not required, to make these disclosures in a central location on its web site.

Consistent with the advanced approaches rules, the proposed rule requires a bank to comply with the disclosure requirements of section 11 of the proposed rule unless it is a consolidated subsidiary of another depository institution or bank holding company that is subject to the disclosure requirements. A bank subject to section 11 is required to adopt a formal disclosure policy approved by its board of directors that addresses the bank's approach for determining the disclosures it makes. The policy must

address the associated internal controls and disclosure controls and procedures. The board of directors and senior management must ensure that appropriate verification of the bank's disclosures takes place and that effective internal controls and disclosure controls and procedures are maintained. One or more senior officers is required to attest that the disclosures meet the requirements of the proposed rule, and the board of directors and senior management are responsible for establishing and maintaining an effective internal control structure over financial reporting, including the information required under section 11 of the proposed rule.

The proposed rule requires a bank, at least quarterly, to disclose publicly for each portfolio of covered positions (i) The high, low, median, and mean VaR-based measures over the reporting period and the VaR-based measure at period-end; (ii) the high, low, median, and mean stressed VaR-based measures over the reporting period and the stressed VaR-based measure at period-end; (iii) the high, low, median, and mean incremental risk capital requirements over the reporting period and the incremental risk capital requirement at period-end; (iv) the high, low, median, and mean comprehensive risk capital requirements over the reporting period and the comprehensive risk capital requirement at period-end; (v) separate measures for interest rate risk, credit spread risk, equity price risk, foreign exchange rate risk, and commodity price risk used to calculate the VaR-based measure; and (vi) a comparison of VaR-based measures with actual results and an analysis of important outliers. In addition, the bank must publicly disclose the following information at least quarterly: (i) The aggregate amount of on-balance sheet and off-balance sheet securitization positions by exposure type; and (ii) the aggregate amount of correlation trading positions.

A bank is required to make qualitative disclosures at least annually, or more frequently in the event of material changes, of the following information for each portfolio of covered positions: (i) The composition of material portfolios of covered positions; (ii) the bank's valuation policies, procedures, and methodologies for covered positions including, for securitization positions, the methods and key assumptions used for valuing such positions, any significant changes since the last reporting period, and the impact of such change; (iii) the characteristics of its internal models, including, for the bank's incremental risk capital requirement and the comprehensive risk capital requirement, the approach used by the bank to determine liquidity horizons; the methodologies used to achieve a capital assessment that is consistent with the required soundness standard; and the specific approaches used in the validation of these models; (iv) a description of its approaches for validating the accuracy of its internal models and modeling processes; (v) a description of the stress tests applied to each market risk category; (vi) the results of a comparison of the bank's internal estimates with actual outcomes during a sample period not used in model development; (vii) the soundness standard on which its internal capital adequacy assessment is based, including a description of the methodologies used to achieve a capital adequacy assessment that is consistent with the soundness standard and the requirements of the market risk capital rule; and (viii) a description of the bank's processes for monitoring changes in the credit and market risk of securitization positions, including how those processes differ for resecuritization positions; and (ix) a description of the bank's policy governing the use of credit risk mitigation to mitigate the risks of securitization and resecuritization positions.

Question 12: The agencies seek comment on the effectiveness of the proposed disclosure requirements. What, if any, changes to these requirements would make the proposed disclosures more effective in promoting market discipline?

III. Regulatory Flexibility Act Analysis

The Regulatory Flexibility Act, 5 U.S.C. 601 *et seq.* (RFA), generally requires that, in connection with a notice of proposed rulemaking, an agency prepare and make available for public comment an initial regulatory flexibility analysis that describes the impact of a proposed rule on small entities.[23] Under regulations issued by the Small Business Administration,[24] a small entity includes a commercial bank or bank holding company with assets of $175 million or less (a small banking organization). As of June 30, 2010, there were approximately 2,561 small bank holding companies, 690 small national banks, 400 small state member banks, and 2,706 small state nonmember banks.

The proposed rule would apply only if the bank holding company or bank has aggregated trading assets and trading liabilities equal to 10 percent or more of quarter-end total assets, or $1 billion or more. No small banking organizations satisfy these criteria. Therefore, no small entities would be subject to this rule.

IV. OCC Unfunded Mandates Reform Act of 1995 Determination

The Unfunded Mandates Reform Act of 1995 (UMRA) requires Federal agencies to prepare a budgetary impact statement before promulgating a rule that includes a Federal mandate that may result in the expenditure by state, local, and tribal governments, in the aggregate, or by the private sector of $100 million or more (adjusted annually for inflation) in any one year. The current inflation-adjusted expenditure threshold is $126.4 million. If a budgetary impact statement is required, section 205 of the UMRA also requires an agency to identify and consider a reasonable number of regulatory alternatives before promulgating a rule.

In conducting the regulatory analysis, UMRA requires each Federal agency to provide:

- The text of the draft regulatory action, together with a reasonably detailed description of the need for the regulatory action and an explanation of how the regulatory action will meet that need;
- An assessment of the potential costs and benefits of the regulatory action, including an explanation of the manner in which the regulatory action is consistent with a statutory mandate and, to the extent permitted by law, promotes the President's priorities and avoids undue interference with State, local, and tribal governments in the exercise of their governmental functions;
- An assessment, including the underlying analysis, of benefits anticipated from the regulatory action (such as, but not limited to, the promotion of the efficient functioning of the economy and private markets, the enhancement of health and safety, the protection of the natural environment, and the elimination or reduction of discrimination or bias) together with, to the extent feasible, a quantification of those benefits;
- An assessment, including the underlying analysis, of costs anticipated from the regulatory action (such as, but not limited to, the direct cost both to the government in administering the regulation and to businesses and others in complying with the regulation, and any adverse effects on the efficient functioning of the economy, private markets (including productivity, employment, and competitiveness), health, safety, and the natural environment), together with, to the

[23] *See* 5 U.S.C. 603(a).

[24] *See* 13 CFR 121.201.

extent feasible, a quantification of those costs; and

• An assessment, including the underlying analysis, of costs and benefits of potentially effective and reasonably feasible alternatives to the planned regulation, identified by the agencies or the public (including improving the current regulation and reasonably viable nonregulatory actions), and an explanation why the planned regulatory action is preferable to the identified potential alternatives.

• An estimate of any disproportionate budgetary effects of the Federal mandate upon any particular regions of the nation or particular State, local, or tribal governments, urban or rural or other types of communities, or particular segments of the private sector.

• An estimate of the effect the rulemaking action may have on the national economy, if the OCC determines that such estimates are reasonably feasible and that such effect is relevant and material.

A. The Need for the Regulatory Action

The proposed rule would modify the current market risk capital rule by adjusting the minimum risk-based capital calculation and adding public disclosure requirements. The proposed rule would also (1) modify the definition of covered positions to include assets that are in the trading book and held with the intent to trade; (2) introduce new requirements for the identification of trading positions and the management of covered positions; and (3) require banks to have clearly defined policies and procedures for actively managing all covered positions, for the prudent valuation of covered positions and for specific internal model validation standards. The proposed rule will generally apply to any bank with aggregate trading assets and liabilities that are at least 10 percent of total assets or at least $1 billion. These thresholds are the same as those currently used to determine applicability of the market risk rule.

Under current rules, the measure for market risk is as follows: [25]

Market Risk Measure = (Value-at-Risk based capital requirement) + (Specific risk capital requirement) + (Capital requirement for *de minimis* exposures)

Under the proposed rule, the new market risk measure would be as follows (new risk measure components are underlined):

New Market Risk Measure = (Value-at-Risk based capital requirement) + (*Stressed Value-at-Risk based capital requirement*) + (Specific risk capital charge) + (*Incremental risk capital requirement*) + (*Comprehensive risk capital requirement*) + (Capital charge for *de minimis* exposures)

The Basel Committee and the Federal banking agencies designed the new components of the market risk measure to capture key risks overlooked by the current market risk measure.

B. Cost-Benefit Analysis of the Proposed Rule

1. Organizations Affected by the Proposed Rule [26]

According to September 30, 2010, Call Report data, 16 national banking organizations [27] had trading assets and liabilities that are at least 10 percent of total assets or at least $1 billion.

2. Impact of the Proposed Rule

The key benefits of the proposed rule are the following qualitative benefits:

• Enhances sensitivity to market risk,

• Enhances modeling requirements consistent with advances in risk management,

• Better captures trading positions for which market risk capital treatment is appropriate,

• Increases transparency through enhanced market disclosures.

• Increased market risk capital should lower the probability of catastrophic losses to the bank occurring because of market risk.

• Modified requirements should reduce the procyclicality of market risk capital.

We derive our estimates of the proposed rule's effect on the market risk measure from the third trading book impact study conducted by the Basel Committee on Banking Supervision in 2009 and additional estimates of the capital requirement for standardized securitization exposures and correlation trading positions.[28] Based on these two assessments, we estimate that the market risk measure will increase 300 percent on average. The market risk measure itself acts as an estimate of the minimum regulatory capital requirement for an adequately capitalized bank. Thus, quadrupling the market risk measure suggests that minimum required capital will increase by approximately $50.7 billion under the proposed rule. These new capital requirements would lead banks to deleverage and lose the tax advantage of debt. We estimate that the loss of these tax benefits would be approximately $334 million per year.

We estimate that new disclosure requirements and the implementation of calculations for the new market risk measures may involve some additional system costs, but because the proposed rule will only affect institutions already subject to the current market risk rule we expect these additional system costs to be *de minimis.* We do not anticipate that the proposed rule will create significant additional administrative costs for the OCC. Based on our assessment of the capital costs of the proposed rule; we estimate that the total cost of the proposed rule will be approximately $334 million in 2010 dollars over one year.

C. Comparison Between Proposed Rule and Baseline

Under the baseline scenario, the current market risk rule would continue to apply. Thus, in the baseline scenario, required market risk capital would remain at current levels and there would be no additional cost associated with adding capital. However, the benefits of increased sensitivity to market risk, increased transparency, the improved targeting of trading positions, reduced procyclicality of market risk capital, and the protective advantages of additional capital would be lost under the baseline scenario.

D. Comparison Between Proposed Rule and Alternatives

The Unfunded Mandates Reform Act of 1995 (UMRA) requires a comparison between the proposed rule and reasonable alternatives. In this regulatory impact analysis, we compare the proposed rule with two alternatives that modify the size thresholds for the rule.

Assessment of Alternative A

Under Alternative A, we consider a rule that has the same provisions as the

[25] The following are the components of the current Market Risk Measure. *Value-at-Risk (VaR)* is an estimate of the maximum amount that the value of one or more positions could decline due to market price or rate movements during a fixed holding period within a stated confidence interval. Specific risk is the risk of loss on a position that could result from factors other than broad market movements and includes event risk, default risk, and idiosyncratic risk. There may also be a capital requirement for *de minimis* exposures, if any, that are not included in the bank's VaR models.

[26] Unless otherwise noted, the population of banks used in this analysis consists of all FDIC-insured national banks and uninsured national bank and trust companies. Banking organizations are aggregated to the top holding company level.

[27] A national banking organization is any bank holding company with a subsidiary national bank.

[28] The report, "Analysis of the third trading book impact study", is available at *http://www.bis.org/publ/bcbs163.htm.* The study gathered data from 43 banks in 10 countries, including six banks from the United States.

proposed rule, but we alter the rule's trading book size threshold. Because trading assets and liabilities are concentrated in six or seven institutions, modest changes in the size thresholds have little impact on the dollar volume of trading assets affected by the market risk rule and thus little impact on the estimated cost of the rule. Changing the size threshold does affect the number of institutions affected by the rule, which suggests that the banking agencies' systemic concerns could play a role in determining the appropriate size threshold for applicability of the market risk rule.

Assessment of Alternative B

Under Alternative B, we consider a rule that has the same provisions as the proposed rule, but we change the condition of the size thresholds from "or" to "and". With this change, the proposed rule would apply to institutions that have $1 billion or more in trading assets and liabilities *and* a trading book to asset ratio of at least 10 percent. Making the applicability of the market risk rule contingent on meeting both size thresholds would reduce the number of banks affected by the rule to four using the current thresholds of $1 billion and 10 percent. In order for the alternative B rule to apply to the same number of institutions as the current rule, the alternative's joint condition would have to be comparable to thresholds of between $500 million and $1 billion in the trading book and a 1 percent trading-book-to-assets ratio. However, under this alternative the list of the 16 institutions subject to the rule would change slightly. Not surprisingly, as this joint threshold alternative could excuse some institutions with larger trading books, the estimated cost of the alternative rule does decrease with the number of institutions affected by the rule.

E. Overall Impact of Proposed Rule, Baseline and Alternatives

Under our baseline scenario, which reflects the current application of the market risk rule, a market risk capital charge of approximately $16.9 billion applies to 16 national banks. Under the proposed rule, this capital charge would continue to apply to the same 16 banks but the capital charge would likely quadruple. We estimate that the cost of this additional capital would be approximately $334 million per year in 2010 dollars.

Our alternatives examine the impact of a market risk rule that uses different size thresholds in order to determine which institutions are subject to the rule. With alternative A we consider altering the $1 billion trading book threshold used currently and maintained under the proposed rule. Although varying the size threshold changed the number of institutions affected by the rule, the overall capital cost of the rule did not significantly change. This reflects the high concentration of trading assets and liabilities in seven banks with over $15 billion in their trading books as of September 30, 2010. As long as the proposed rule applies to these seven institutions, the additional required capital and its corresponding cost will not change considerably.

Alternative B did affect both the number of institutions subject to the proposed rule and the cost of the proposed rule by limiting the market risk rule to institutions that meet both size criteria, *i.e.,* a $1 billion trading book and a trading-book-to-assets ratio of at least 10 percent. Only four national banks currently meet both of these criteria, and applying the proposed rule to these institutions would require an additional $36.0 billion in market risk capital at a cost of approximately $237 million. Clearly, the estimated cost of the proposed rule would fall if the size thresholds determining applicability of the market risk rule were to increase. However, the current size thresholds, which continue to apply under the proposed rule, capture those institutions that the regulatory agencies believe should be subject to market risk capital rules. The proposed rule changes covered positions, disclosure requirements, and methods relating to calculating the market risk measure. These changes achieve the important objectives of enhancing the banking system's sensitivity to market risk, increases transparency of the trading book and market risk, and better captures trading positions for which market risk capital treatment is appropriate. The proposed rule carries over the current thresholds used to determine the applicability of the market risk rule. The banking agencies have determined that these size thresholds capture the appropriate institutions; those most exposed to market risk.

The large increase in required market risk capital, which we estimate to be approximately $51 billion under the proposed rule, will provide a considerable buttress to the capital position of institutions subject to the market risk rule. This additional capital should dramatically lower the likelihood of catastrophic losses from market risk occurring at these institutions, which will enhance the safety and soundness of these institutions, the banking system, and world financial markets. Although there is some concern regarding the burden of the proposed increase in market risk capital and the effect this could have on bank lending, in the OCC's opinion, the proposed rule offers a better balance between costs and benefits than either the baseline or the alternatives.

The OCC does not expect the revised risk-based capital guidelines to have any disproportionate budgetary effect on any particular regions of the nation or particular State, local, or tribal governments, urban or rural or other types of communities, or particular segments of the private sector.

V. Paperwork Reduction Act

A. Request for Comment on Proposed Information Collection

In accordance with the requirements of the Paperwork Reduction Act of 1995 (44 U.S.C. 3501–3521), the agencies may not conduct or sponsor, and the respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number. The information collection requirements contained in this joint notice of proposed rulemaking have been submitted by the OCC and FDIC to OMB for review and approval under section 3506 of the PRA and section 1320.11 of OMB's implementing regulations (5 CFR part 1320). The Board reviewed the proposed rule under the authority delegated to the Board by OMB.

Comments are invited on:

(a) Whether the collection of information is necessary for the proper performance of the agencies' functions, including whether the information has practical utility;

(b) The accuracy of the estimates of the burden of the information collection, including the validity of the methodology and assumptions used;

(c) Ways to enhance the quality, utility, and clarity of the information to be collected;

(d) Ways to minimize the burden of the information collection on respondents, including through the use of automated collection techniques or other forms of information technology; and

(e) Estimates of capital or start up costs and costs of operation, maintenance, and purchase of services to provide information.

Comments should be addressed to:

OCC: Communications Division, Office of the Comptroller of the Currency, Public Information Room, Mail stop 1–5, Attention: 1557–NEW,

250 E Street, SW., Washington, DC 20219. In addition, comments may be sent by fax to 202–874–5274, or by electronic mail to *regs.comments@occ.treas.gov.* You can inspect and photocopy the comments at the OCC's Public Information Room, 250 E Street, SW., Washington, DC 20219. For security reasons, OCC requires that visitors make an appointment to inspect the comments. You may do so by calling 202–874–4700. Upon arrival, visitors will be required to present valid government-issued photo identification and submit to security screening in order to inspect and photocopy comments.

Board: You may submit comments, identified by the Docket number, by any of the following methods:

- *Agency Web Site*: *http://www.federalreserve.gov.* Follow the instructions for submitting comments on the *http://www.federalreserve.gov/generalinfo/foia/ProposedRegs.cfm.*
- *Federal eRulemaking Portal: http://www.regulations.gov.* Follow the instructions for submitting comments.
- *E-mail: regs.comments@federalreserve.gov.* Include docket number in the subject line of the message.
- *FAX:* 202–452–3819 or 202–452–3102.
- *Mail:* Jennifer J. Johnson, Secretary, Board of Governors of the Federal Reserve System, 20th Street and Constitution Avenue, NW., Washington, DC 20551.

All public comments are available from the Board's Web site at *http://www.federalreserve.gov/generalinfo/foia/ProposedRegs.cfm* as submitted, unless modified for technical reasons. Accordingly, your comments will not be edited to remove any identifying or contact information. Public comments may also be viewed electronically or in paper form in Room MP–500 of the Board's Martin Building (20th and C Streets, NW) between 9 a.m. and 5 p.m. on weekdays.

FDIC: You may submit written comments, which should refer to 3064–____, by any of the following methods:

- *Agency Web Site: http://www.fdic.gov/regulations/laws/federal/propose.html.* Follow the instructions for submitting comments on the FDIC Web site.
- *Federal eRulemaking Portal: http://www.regulations.gov.* Follow the instructions for submitting comments.
- *E-mail: Comments@FDIC.gov.* Include RIN on the subject line of the message.
- *Mail:* Robert E. Feldman, Executive Secretary, Attention: Comments, FDIC, 550 17th Street, NW., Washington, DC 20429.
- *Hand Delivery/Courier:* Guard station at the rear of the 550 17th Street Building (located on F Street) on business days between 7 a.m. and 5 p.m.

Public Inspection: All comments received will be posted without change to *http://www.fdic.gov/regulations/laws/federal/propose/html* including any personal information provided. Comments may be inspected at the FDIC Public Information Center, Room 100, 801 17th Street, NW., Washington, DC, between 9 a.m. and 4:30 p.m. on business days.

A copy of the comments may also be submitted to the OMB desk officer for the agencies: By mail to U.S. Office of Management and Budget, 725 17th Street, NW., #10235, Washington, DC 20503 or by facsimile to 202–395–6974, Attention: Federal Banking Agency Desk Officer.

B. Proposed Information Collection

Title of Information Collection: Risk-Based Capital Standards: Market Risk

Frequency of Response: Varied—some requirements are done at least quarterly and some at least annually.

Affected Public:

OCC: National banks and Federal branches and agencies of foreign banks.

Board: State member banks and bank holding companies.

FDIC: Insured non-member banks, insured state branches of foreign banks, and certain subsidiaries of these entities.

Abstract: The information collection requirements are found in sections 3, 4, 5, 6, 7, 8, 9, 10, and 11 of the proposed rule. They will enhance risk sensitivity and introduce requirements for public disclosure of certain qualitative and quantitative information about a bank's or bank holding companies' market risk. The collection of information is necessary to ensure capital adequacy according to the level of market risk.

Section-by-Section Analysis

Section 3 sets forth the requirements for applying the market risk framework. Section 3(a)(1) requires clearly defined policies and procedures for determining which trading assets and trading liabilities are trading positions, which of its trading positions are correlation trading positions, and specifies what must be taken into account. Section 3(a)(2) requires a clearly defined trading and hedging strategy for trading positions approved by senior management and specifies what each strategy must articulate. Section 3(b)(1) requires clearly defined policies and procedures for actively managing all covered positions and specifies the minimum that they must require. Sections 3(c)(4) through 3(c)(10) require the annual review of internal models and include certain requirements that the models must meet. Section 3(d)(4) requires an annual report to the board of directors on the effectiveness of controls supporting market risk measurement systems.

Section 4(b) requires quarterly backtesting. Section 5(a)(5) requires institutions to demonstrate to the agencies the appropriateness of proxies used to capture risks within value-at-risk models. Section 5(c) requires institutions to retain value-at-risk and profit and loss information on subportfolios for two years. Section 6(b)(3) requires policies and procedures for stressed value-at-risk models and prior approvals on determining periods of significant financial stress.

Section 7(b)(1) specifies what internal models for specific risk must include and address. Section 8(a) requires prior written approval for incremental risk. Section 9(a) requires prior approval for comprehensive risk models. Section 9(c)(2) requires retaining and making available the results of supervisory stress testing on a quarterly basis. Section 10(d) requires documentation quarterly for analysis of risk characteristics of each securitization position it holds. Section 11 requires quarterly quantitative disclosures, annual qualitative disclosures, and a formal disclosure policy approved by the board of directors that addresses the bank's approach for determining the market risk disclosures it makes.

Estimated Burden

The burden associated with this collection of information may be summarized as follows:

OCC

Number of Respondents: 15.

Estimated Burden Per Respondent: 1,964 hours.

Total Estimated Annual Burden: 29,460 hours.

Board

Number of Respondents: 26.

Estimated Burden Per Respondent: 2,204 hours.

Total Estimated Annual Burden: 51,064 hours.

FDIC

Number of Respondents: 2.

Estimated Burden Per Respondent: 1,964.

Total Estimated Annual Burden: 3,928.

VI. Plain Language

Section 722 of the GLBA required the agencies to use plain language in all proposed and final rules published after January 1, 2000. The agencies invite comment on how to make this proposed rule easier to understand. For example:

- Have the agencies organized the material to suit your needs? If not, how could they present the rule more clearly?
- Are the requirements in the rule clearly stated? If not, how could the rule be more clearly stated?
- Do the regulations contain technical language or jargon that is not clear? If so, which language requires clarification?
- Would a different format (grouping and order of sections, use of headings, paragraphing) make the regulation easier to understand? If so, what changes would achieve that?
- Is this section format adequate? If not, which of the sections should be changed and how?
- What other changes can the agencies incorporate to make the regulation easier to understand?

Text of the Proposed Common Rules (All Agencies)

The text of the proposed common rules appears below:

Appendix __ to Part __—Risk-Based Capital Guidelines; Market Risk Adjustment

Section 1. Purpose, Applicability, and Reservation of Authority

(a) *Purpose.* This appendix establishes risk-based capital requirements for [banking organizations] with significant exposure to market risk and provides methods for these [banking organizations] to calculate their risk-based capital requirements for market risk. This appendix supplements and adjusts the risk-based capital calculations under [the general risk-based capital rules] and [the advanced capital adequacy framework] and establishes public disclosure requirements.

(b) *Applicability*—(1) This appendix applies to any [banking organization] with aggregate trading assets and trading liabilities (as reported in the [banking organization]'s most recent quarterly [regulatory report]), equal to:

(i) 10 percent or more of quarter-end total assets as reported on the most recent quarterly [Call Report or FR Y–9C]; or

(ii) $1 billion or more.

(2) The [Agency] may apply this appendix to any [banking organization] if the [Agency] deems it necessary or appropriate because of the level of market risk of the [banking organization] or to ensure safe and sound banking practices.

(3) The [Agency] may exclude a [banking organization] that meets the criteria of paragraph (b)(1) of this appendix from application of this appendix if the [Agency] determines that the exclusion is appropriate based on the level of market risk of the [banking organization] and is consistent with safe and sound banking practices.

(c) *Reservation of authority*—(1) The [Agency] may require a [banking organization] to hold an amount of capital greater than otherwise required under this appendix if the [Agency] determines that the [banking organization]'s capital requirement for market risk as calculated under this appendix is not commensurate with the market risk of the [banking organization]'s covered positions. In making determinations under this paragraph, the [Agency] will apply notice and response procedures generally in the same manner as the notice and response procedures described in [12 CFR 3.12, 12 CFR 263.202, 12 CFR 325.6(c), 12 CFR 567.3(d)].

(2) If the [Agency] determines that the risk-based capital requirement calculated under this appendix by the [banking organization] for one or more covered positions or portfolios of covered positions is not commensurate with the risks associated with those positions or portfolios, the [Agency] may require the [banking organization] to assign a different risk-based capital requirement to the positions or portfolios that more accurately reflects the risk of the positions or portfolios.

(3) The [Agency] may also require a [banking organization] to calculate risk-based capital requirements for specific positions or portfolios under this appendix, or under [the advanced capital adequacy framework] or [the general risk-based capital rules], as appropriate, to more accurately reflect the risks of the positions.

(4) Nothing in this appendix limits the authority of the [Agency] under any other provision of law or regulation to take supervisory or enforcement action, including action to address unsafe or unsound practices or conditions, deficient capital levels, or violations of law.

Section 2. Definitions

For purposes of this appendix, the following definitions apply:

Backtesting means the comparison of a [banking organization]'s internal estimates with actual outcomes during a sample period not used in model development. For purposes of this appendix, backtesting is one form of out-of-sample testing.

Bank holding company is defined in section 2(a) of the Bank Holding Company Act of 1956 (12 U.S.C. 1841(a)).

Commodity position means a position for which price risk arises from changes in the price of a commodity.

Company means a corporation, partnership, limited liability company, depository institution, business trust, special purpose entity, association, or similar organization.

Correlation trading position means:

(1) A securitization position for which all or substantially all of the value of the underlying exposures is based on the credit quality of a single company for which a two-way market exists, or on commonly traded indices based on such exposures for which a two-way market exists on the indices; or

(2) A position that is not a securitization position and that hedges a position described in paragraph (1) of this definition; and

(3) A correlation trading position does not include:

(i) A resecuritization position;

(ii) A derivative of a securitization position that does not provide a pro rata share in the proceeds of a securitization tranche; or

(iii) A securitization position for which the underlying assets or reference exposures are retail exposures, residential mortgage exposures, or commercial mortgage exposures.

Covered position means the following positions:

(1) A trading asset or trading liability (whether on- or off-balance sheet),[1] as reported on Schedule RC–D of the Call Report or Schedule HC–D of the FR Y–9C, that meets the following conditions:

(i) The position is a trading position or hedges another covered position [2] and

(ii) The position is free of any restrictive covenants on its tradability or the [banking organization] is able to hedge the material risk elements of the position in a two-way market.

(2) A foreign exchange or commodity position, regardless of whether the position is a trading asset or trading liability (excluding any structural foreign currency positions that the [banking organization] chooses to exclude with prior supervisory approval).

(3) Notwithstanding paragraphs (1) and (2) of this definition, a covered position does not include:

(i) An intangible asset, including any servicing asset;

(ii) Any hedge of a trading position that the [Agency] determines to be outside the scope of the [banking organization]'s hedging strategy required in paragraph (a)(2) of section 3 of this appendix;

(iii) Any position that, in form or substance, acts as a liquidity facility that provides support to asset-backed commercial paper;

(iv) A credit derivative the [banking organization] recognizes as a guarantee for risk-weighted asset amount calculation purposes under [the advanced capital adequacy framework] or [the general risk-based capital rules];

[1] Securities subject to repurchase and lending agreements are included as if they are still owned by the lender.

[2] A position that hedges a trading position must be within the scope of the bank's hedging strategy as described in paragraph (a)(2) of section (3) of this appendix.

(v) Any equity position that is not publicly traded other than a derivative that references a publicly traded equity;

(vi) Any position a [banking organization] holds with the intent to securitize; or

(vii) Any direct real estate holding.

Credit derivative means a financial contract executed under standard industry documentation that allows one party (the protection purchaser) to transfer the credit risk of one or more exposures (reference exposure(s)) to another party (the protection provider).

Debt position means a covered position that is not a securitization position or a correlation trading position and that has a value that reacts primarily to changes in interest rates or credit spreads.

Depository institution is defined in section 3 of the Federal Deposit Insurance Act (12 U.S.C. 1813).

Equity position means a covered position that is not a securitization position or a correlation trading position and that has a value that reacts primarily to changes in equity prices.

Event risk means the risk of loss on a position that could result from sudden and unexpected large changes in market prices or specific events other than default and credit migration of the issuer.

Financial firm means a depository institution, a bank holding company, a savings and loan holding company (as defined in section 10(a)(1)(D) of the Home Owners' Loan Act (12 U.S.C. 1467a(a)(1)(D)), a securities broker or dealer registered with the SEC, or a banking or securities firm that the [banking organization] has determined is subject to consolidated supervision and regulation comparable to that imposed on U.S. [banking organizations] or securities broker-dealers.

Foreign exchange position means a position for which price risk arises from changes in foreign exchange rates.

General market risk means the risk of loss that could result from broad market movements, such as changes in the general level of interest rates, credit spreads, equity prices, foreign exchange rates, or commodity prices.

Hedge means a position or positions that offset all, or substantially all, of one or more material risk factors of another position.

Idiosyncratic risk means the risk of loss in the value of a position that arises from changes in risk factors unique to that position.

Incremental risk means the default risk and credit migration risk of a position. Default risk means the risk of loss on a position that could result from the failure of an obligor to make timely payments of principal or interest on its debt obligation, and the risk of loss that could result from bankruptcy, insolvency, or similar proceeding. Credit migration risk means the price risk that arises from significant changes in the underlying credit quality of the position.

Investing bank means, with respect to a securitization, a [banking organization] that assumes the credit risk of a securitization exposure (other than an originating bank of the securitization).

Market risk means the risk of loss on a position that could result from movements in market prices.

Nth-to-default credit derivative means a credit derivative that provides credit protection only for the nth-defaulting reference exposure in a group of reference exposures.

Originating bank, with respect to a securitization, means a [banking organization] that:

(1) Directly or indirectly originated or securitized the underlying exposures included in the securitization; or

(2) Serves as an asset-backed commercial paper (ABCP) program sponsor to the securitization.

Over-the-counter (OTC) derivative means a derivative contract that is not traded on an exchange that requires the daily receipt and payment of cash-variation margin.

Publicly traded means traded on:

(1) Any exchange registered with the SEC as a national securities exchange under section 6 of the Securities Exchange Act of 1934 (15 U.S.C. 78f); or

(2) Any non-U.S.-based securities exchange that:

(i) Is registered with, or approved by, a national securities regulatory authority; and

(ii) Provides a liquid, two-way market for the instrument in question.

Qualifying securities borrowing transaction means a cash-collateralized securities borrowing transaction that meets the following conditions:

(1) The transaction is based on liquid and readily marketable securities;

(2) The transaction is marked-to-market daily;

(3) The transaction is subject to daily margin maintenance requirements; and

(4)(i) The transaction is a securities contract for the purposes of section 555 of the Bankruptcy Code (11 U.S.C. 555), a qualified financial contract for the purposes of section 11(e)(8) of the Federal Deposit Insurance Act (12 U.S.C. 1821(e)(8)), or a netting contract between or among financial institutions for the purposes of sections 401–407 of the Federal Deposit Insurance Corporation Improvement Act of 1991 (12 U.S.C. 4401–4407), or the Board's Regulation EE (12 CFR part 231); or

(ii) If the transaction does not meet the criteria in paragraph (4)(i) of this definition, either:

(A) The [banking organization] has conducted sufficient legal review to reach a well-founded conclusion that:

(*1*) The securities borrowing agreement executed in connection with the transaction provides the [banking organization] the right to accelerate, terminate, and close-out on a net basis all transactions under the agreement and to liquidate or set off collateral promptly upon an event of counterparty default, including in a bankruptcy, insolvency, or other similar proceeding of the counterparty; and

(*2*) Under applicable law of the relevant jurisdiction, its rights under the agreement are legal, valid, binding, and enforceable and any exercise of rights under the agreement will not be stayed or avoided; or

(B) The transaction is either overnight or unconditionally cancelable at any time by the [banking organization], and the [banking organization] has conducted sufficient legal review to reach a well-founded conclusion that:

(*1*) The securities borrowing agreement executed in connection with the transaction provides the [banking organization] the right to accelerate, terminate, and close-out on a net basis all transactions under the agreement and to liquidate or set off collateral promptly upon an event of counterparty default; and

(*2*) Under the law governing the agreement, its rights under the agreement are legal, valid, binding, and enforceable.

Resecuritization means a securitization in which one or more of the underlying exposures is a securitization position.

Resecuritization position means:

(1) An on- or off-balance sheet exposure to a resecuritization; or

(2) An exposure that directly or indirectly references a resecuritization exposure in paragraph (1) of this definition.

SEC means the U.S. Securities and Exchange Commission.

Securitization means a transaction in which:

(1) All or a portion of the credit risk of one or more underlying exposures is transferred to one or more third parties;

(2) The credit risk associated with the underlying exposures has been separated into at least two tranches that reflect different levels of seniority;

(3) Performance of the securitization exposures depends upon the performance of the underlying exposures;

(4) All or substantially all of the underlying exposures are financial exposures (such as loans, commitments, credit derivatives, guarantees, receivables, asset-backed securities, mortgage-backed securities, other debt securities, or equity securities);

(5) For non-synthetic securitizations, the underlying exposures are not owned by an operating company;

(6) The underlying exposures are not owned by a small business investment company described in section 302 of the Small Business Investment Act of 1958 (15 U.S.C. 682); and

(7) The underlying exposures are not owned by a firm an investment in which qualifies as a community development investment under 12 U.S.C. 24(Eleventh).

(8) The [Agency] may determine that a transaction in which the underlying exposures are owned by an investment firm that exercises substantially unfettered control over the size and composition of its assets, liabilities, and off-balance sheet exposures is not a securitization based on the transaction's leverage, risk profile, or economic substance.

(9) The [Agency] may deem an exposure to a transaction that meets the definition of a securitization, notwithstanding paragraph (5), (6), or (7) of this definition, to be a securitization based on the transaction's leverage, risk profile, or economic substance.

Securitization position means a covered position that is:

(1) An on-balance sheet or off-balance sheet credit exposure (including credit-enhancing representations and warranties) that arises from a securitization (including a resecuritization); or

(2) An exposure that directly or indirectly references a securitization exposure described in paragraph (1) of this definition.

Sovereign entity means a central government (including the U.S. government) or an agency, department, ministry, or central bank of a central government.

Specific risk means the risk of loss on a position that could result from factors other than broad market movements and includes event risk, default risk, and idiosyncratic risk.

Structural position in a foreign currency means a position that is not a trading position and that is:

(1) Subordinated debt, equity, or minority interest in a consolidated subsidiary that is denominated in a foreign currency;

(2) Capital assigned to foreign branches that is denominated in a foreign currency;

(3) A position related to an unconsolidated subsidiary or another item that is denominated in a foreign currency and that is deducted from the [banking organization]'s tier 1 and tier 2 capital, or

(4) A position designed to hedge a [banking organization]'s capital ratios or earnings against the effect on paragraphs (1), (2), or (3) of this definition of adverse exchange rate movements.

Term repo-style transaction means a repurchase or reverse repurchase transaction, or a securities borrowing or securities lending transaction, including a transaction in which the [banking organization] acts as agent for a customer and indemnifies the customer against loss, that has an original maturity in excess of one business day, provided that:

(1) The transaction is based solely on liquid and readily marketable securities or cash;

(2) The transaction is marked-to-market daily and subject to daily margin maintenance requirements;

(3) The transaction is executed under an agreement that provides the [banking organization] the right to accelerate, terminate, and close-out the transaction on a net basis and to liquidate or set off collateral promptly upon an event of default (including bankruptcy, insolvency, or similar proceeding) of the counterparty, provided that, in any such case, any exercise of rights under the agreement will not be stayed or avoided under applicable law in the relevant jurisdictions; [3] and

(4) The [banking organization] has conducted and documented sufficient legal review to conclude with a well-founded basis that the agreement meets the requirements of paragraph (3) of this definition and is legal, valid, binding, and enforceable under applicable law in the relevant jurisdictions.

[3] This requirement is met where all transactions under the agreement are (i) executed under U.S. law and (ii) constitute "securities contracts" or "repurchase agreements" under section 555 or 559, respectively, of the Bankruptcy Code (11 U.S.C. 555 or 559), qualified financial contracts under section 11(e)(8) of the Federal Deposit Insurance Act (12 U.S.C. 1821(e)(8)), or netting contracts between or among financial institutions under sections 401–407 of the Federal Deposit Insurance Corporation Improvement Act of 1991 (12 U.S.C. 4407), or the Federal Reserve Board's Regulation EE (12 CFR part 231).

Tier 1 capital is defined in [the general risk-based capital rules] or [the advanced capital adequacy framework], as applicable.

Tier 2 capital is defined in [the general risk-based capital rules] or [the advanced capital adequacy framework], as applicable.

Trading position means a position that is held by the [banking organization] for the purpose of short-term resale or with the intent of benefiting from actual or expected short-term price movements, or to lock in arbitrage profits.

Two-way market means a market where there are independent bona fide offers to buy and sell so that a price reasonably related to the last sales price or current bona fide competitive bid and offer quotations can be determined within one day and settled at that price within five business days.

Value-at-Risk (VaR) means the estimate of the maximum amount that the value of one or more positions could decline due to market price or rate movements during a fixed holding period within a stated confidence interval.

Section 3. Requirements for Application of the Market Risk Capital Rule

(a) *Trading positions*—(1) *Identification of trading positions.* A [banking organization] must have clearly defined policies and procedures for determining which of its trading assets and trading liabilities are trading positions and which of its trading positions are correlation trading positions. These policies and procedures must take into account:

(i) The extent to which a position, or a hedge of its material risks, can be marked-to-market daily by reference to a two-way market; and

(ii) Possible impairments to the liquidity of a position or its hedge.

(2) *Trading and hedging strategies.* A [banking organization] must have clearly defined trading and hedging strategies for its trading positions that are approved by senior management of the [banking organization].

(i) The trading strategy must articulate the expected holding period of, and the market risk associated with, each portfolio of trading positions.

(ii) The hedging strategy must articulate for each portfolio of trading positions the level of market risk the [banking organization] is willing to accept and must detail the instruments, techniques, and strategies the [banking organization] will use to hedge the risk of the portfolio.

(b) *Management of covered positions*—(1) *Active management.* A [banking organization] must have clearly defined policies and procedures for actively managing all covered positions. At a minimum, these policies and procedures must require:

(i) Marking positions to market or to model on a daily basis;

(ii) Daily assessment of the [banking organization]'s ability to hedge position and portfolio risks, and of the extent of market liquidity;

(iii) Establishment and daily monitoring of limits on positions by a risk control unit independent of the trading business unit;

(iv) Daily monitoring by senior management of information described in paragraphs (b)(1)(i) through (b)(1)(iii) of this section;

(v) At least annual reassessment of established limits on positions by senior management; and

(vi) At least annual assessments by qualified personnel of the quality of market inputs to the valuation process, the soundness of key assumptions, the reliability of parameter estimation in pricing models, and the stability and accuracy of model calibration under alternative market scenarios.

(2) *Valuation of covered positions.* The [banking organization] must have a process for prudent valuation of its covered positions that includes policies and procedures on the valuation of positions, marking positions to market or to model, independent price verification, and valuation adjustments or reserves. The valuation process must consider, as appropriate, unearned credit spreads, close-out costs, early termination costs, investing and funding costs, future administrative costs, liquidity, and model risk.

(c) *Requirements for internal models.* (1) A [banking organization] must obtain the prior written approval of the [Agency] before using any internal model to calculate its risk-based capital requirement under this appendix.

(2) A [banking organization] must meet all of the requirements of this section on an ongoing basis. The [banking organization] must promptly notify the [Agency] when:

(i) The [banking organization] plans to extend the use of a model that the [Agency] has approved under this appendix to an additional business line or product type;

(ii) The [banking organization] makes any change to any internal model approved by the [Agency] under this appendix that would result in a material change in the [banking organization]'s risk-weighted asset amount for a portfolio of covered positions; or

(iii) The [banking organization] makes any material change to its modeling assumptions.

(3) The [Agency] may rescind its approval of the use of any internal model (in whole or in part) or of the surcharge applicable to a [banking organization]'s modeled correlation trading positions as determined under section 9(d)(2) of this appendix, and determine an appropriate capital requirement for the covered positions to which the model would apply, if the [Agency] determines that the model no longer complies with this appendix or fails to reflect accurately the risks of the [banking organization]'s covered positions.

(4) The [banking organization] must periodically, but no less frequently than annually, review its internal models in light of developments in financial markets and modeling technologies, and enhance those models as appropriate to ensure that they continue to meet the [Agency]'s standards for model approval and employ risk measurement methodologies that are most appropriate for the [banking organization]'s covered positions.

(5) The [banking organization] must incorporate its internal models into its risk management process and integrate the internal models used for calculating its VaR-based measure into its daily risk management process.

(6) The level of sophistication of a [banking organization]'s internal models must be commensurate with the complexity and amount of its covered positions. A [banking organization]'s internal models may use any of the generally accepted approaches, including but not limited to variance-covariance models, historical simulations, or Monte Carlo simulations, to measure market risk.

(7) The [banking organization]'s internal models must properly measure all of the material risks in the covered positions to which they are applied.

(8) The [banking organization]'s internal models must conservatively assess the risks arising from less liquid positions and positions with limited price transparency under realistic market scenarios.

(9) The [banking organization] must have a rigorous and well-defined process for reestimating, reevaluating, and updating its internal models to ensure continued applicability and relevance.

(10) If a [banking organization] uses internal models to measure specific risk, the internal models must also satisfy the requirements in paragraph (b)(1) of section 7 of this appendix.

(d) *Control, oversight, and validation mechanisms.* (1) The [banking organization] must have a risk control unit that reports directly to senior management and is independent from the business trading units.

(2) The [banking organization] must validate its internal models initially and on an ongoing basis. The [banking organization]'s validation process must be independent of the internal models' development, implementation, and operation, or the validation process must be subjected to an independent review of its adequacy and effectiveness. Validation must include:

(i) An evaluation of the conceptual soundness of (including developmental evidence supporting) the internal models;

(ii) An ongoing monitoring process that includes verification of processes and the comparison of the [banking organization]'s model outputs with relevant internal and external data sources or estimation techniques; and

(iii) An outcomes analysis process that includes backtesting. For internal models used to calculate the VaR-based measure, this process must include a comparison of the changes in the [banking organization]'s portfolio value that would have occurred were end-of-day positions to remain unchanged (therefore, excluding fees, commissions, reserves, net interest income, and intraday trading) with VaR-based measures during a sample period not used in model development.

(3) The [banking organization] must stress-test the market risk of its covered positions at a frequency appropriate to each portfolio, and in no case less frequently than quarterly. The stress tests must take into account concentration risk (including but not limited to concentrations in single issuers, industries, sectors, or markets), illiquidity under stressed market conditions, and risks arising from the [banking organization]'s trading activities that may not be adequately captured in its internal models.

(4) The [banking organization] must have an internal audit function independent of business-line management that at least annually assesses the effectiveness of the controls supporting the [banking organization]'s market risk measurement systems, including the activities of the business trading units and independent risk control unit, compliance with policies and procedures, and calculation of the [banking organization]'s measure for market risk under this appendix. At least annually, the internal audit function must report its findings to the [banking organization]'s board of directors (or a committee thereof).

(e) *Internal assessment of capital adequacy.* The [banking organization] must have a rigorous process for assessing its overall capital adequacy in relation to its market risk. The assessment must take into account risks that may not be captured fully in the VaR-based measure, including concentration and liquidity risk under stressed market conditions.

(f) *Documentation.* The [banking organization] must adequately document all material aspects of its internal models, management and valuation of covered positions, control, oversight, validation and review processes and results, and internal assessment of capital adequacy.

Section 4. Adjustments to the Risk-Based Capital Ratio Calculations

(a) *Risk-based capital ratio denominator.* The [banking organization] must calculate its risk-based capital ratio denominator as follows:

(1) *Adjusted risk-weighted assets.* The [banking organization] must calculate adjusted risk-weighted assets, which equal risk-weighted assets (as determined in accordance with [the advanced capital adequacy framework] or [the general risk-based capital rules], as applicable), with the following adjustments:

(i) The [banking organization] must exclude the risk-weighted asset amounts of all covered positions (except foreign exchange positions that are not trading positions and over-the-counter derivative positions).

(ii) A [banking organization] subject to [the general risk-based capital rules] may exclude receivables that arise from the posting of cash collateral and are associated with qualifying securities borrowing transactions to the extent the receivable is collateralized by the market value of the borrowed securities;

(2) *Measure for market risk.* The [banking organization] must calculate the measure for market risk, which equals the sum of the VaR-based capital requirement, stressed VaR-based capital requirement, any specific risk add-ons, any incremental risk capital requirement, any comprehensive risk capital requirement, and any capital requirement for *de minimis* exposures as defined under this paragraph.

(i) *VaR-based capital requirement.* The VaR-based capital requirement equals the greater of:

(A) The previous day's VaR-based measure as calculated under section 5 of this appendix; or

(B) The average of the daily VaR-based measures as calculated under section 5 of this appendix for each of the preceding 60 business days multiplied by three, except as provided in paragraph (b) of this section.

(ii) *Stressed VaR-based capital requirement.* The stressed VaR-based capital requirement equals the greater of:

(A) The most recent stressed VaR-based measure as calculated under section 6 of this appendix; or

(B) The average of the stressed VaR-based measures as calculated under section 6 of this rule for each of the preceding 60 business days multiplied by three, except as provided in paragraph (b) of this section.

(iii) *Any specific risk add-ons.* Any specific risk add-ons that are required under section 7 and are calculated in accordance with section 10 of this appendix.

(iv) *Any incremental risk capital requirement.* Any incremental risk capital requirement as calculated under section 8 of this appendix.

(v) *Any comprehensive risk capital requirement.* Any comprehensive risk capital requirement as calculated under section 9 of this appendix.

(vi) *Any capital requirement for de minimis exposures.* The [banking organization] must add to its measure for market risk the absolute value of the market value of those *de minimis* exposures that are not captured in the [banking organization]'s VaR-based measure unless the [banking organization] has obtained prior written approval from the [Agency] to calculate a capital requirement for *de minimis* exposures using alternative techniques that appropriately measure the market risk associated with those exposures.

(3) *Market risk equivalent assets.* The [banking organization] must calculate market risk equivalent assets as the measure for market risk (as calculated in paragraph (a)(2) of this section) multiplied by 12.5.

(4) *Denominator calculation.* The [banking organization] must add market risk equivalent assets (as calculated in paragraph (a)(3) of this section) to adjusted risk-weighted assets (as calculated in paragraph (a)(1) of this section). The resulting sum is the [banking organization]'s risk-based capital ratio denominator.

(b) *Backtesting.* A [banking organization] must compare each of its most recent 250 business days' trading losses (excluding fees, commissions, reserves, intra-day trading, and net interest income) with the corresponding daily VaR-based measures calibrated to a one-day holding period and at a one-tail, 99.0 percent confidence level.

(1) Once each quarter, the [banking organization] must identify the number of exceptions (that is, the number of business days for which the actual daily net trading loss, if any, exceeds the corresponding daily VaR-based measure) that have occurred over the preceding 250 business days.

(2) A [banking organization] must use the multiplication factor in Table 1 of this appendix that corresponds to the number of exceptions identified in paragraph (b)(1) of this section to determine its VaR-based capital requirement for market risk under paragraph (a)(2)(i) of this section and to determine its stressed VaR-based capital requirement for market risk under paragraph

(a)(2)(ii) of this section until it obtains the next quarter's backtesting results, unless the [Agency] notifies the [banking organization] in writing that a different adjustment or other action is appropriate.

TABLE 1—MULTIPLICATION FACTORS BASED ON RESULTS OF BACKTESTING

Number of exceptions	Multiplication factor
4 or fewer	3.00
5	3.40
6	3.50
7	3.65
8	3.75
9	3.85
10 or more	4.00

Section 5. VaR-Based Measure

(a) *General requirement.* A [banking organization] must use one or more internal models to calculate daily a VaR-based measure of the general market risk of all covered positions. The daily VaR-based measure also may reflect the [banking organization]'s specific risk for one or more portfolios of debt and equity positions, if the internal models meet the requirements of paragraph (b)(1) of section 7. The daily VaR-based measure must also reflect the [banking organization]'s specific risk for any portfolio of correlation trading positions that is modeled under section 9 of this appendix. A [banking organization] may elect to include term repo-style transactions in its VaR-based measure, provided that the [banking organization] includes all such term repo-style transactions consistently over time.

(1) The [banking organization]'s internal models for calculating its VaR-based measure must use risk factors sufficient to measure the market risk inherent in all covered positions. The market risk categories must include, as appropriate, interest rate risk, credit spread risk, equity price risk, foreign exchange risk, and commodity price risk. For material positions in the major currencies and markets, modeling techniques must incorporate enough segments of the yield curve—in no case less than six—to capture differences in volatility and less than perfect correlation of rates along the yield curve.

(2) The VaR-based measure may incorporate empirical correlations within and across risk categories, provided the [banking organization] validates and demonstrates the reasonableness of its process for measuring correlations. If the VaR-based measure does not incorporate empirical correlations across risk categories, the [banking organization] must add the separate measures from its internal models used to calculate the VaR-based measure for the appropriate market risk categories (interest rate risk, credit spread risk, equity price risk, foreign exchange rate risk, and/or commodity price risk) to determine its aggregate VaR-based measure.

(3) The VaR-based measure must include the risks arising from the nonlinear price characteristics of options positions or positions with embedded optionality and the sensitivity of the market value of the positions to changes in the volatility of the underlying rates, prices, or other material risk factors. A [banking organization] with a large or complex options portfolio must measure the volatility of options positions or positions with embedded optionality by different maturities and/or strike prices, where material.

(4) The [banking organization] must be able to justify to the satisfaction of the [Agency] the omission of any risk factors from the calculation of its VaR-based measure that the [banking organization] uses in its pricing models.

(5) The [banking organization] must demonstrate to the satisfaction of the [Agency] the appropriateness of any proxies used to capture the risks of the [banking organization]'s actual positions for which such proxies are used.

(b) *Quantitative requirements for VaR-based measure.* (1) The VaR-based measure must be calculated on a daily basis using a one-tail, 99.0 percent confidence level, and a holding period equivalent to a 10-business-day movement in underlying risk factors, such as rates, spreads, and prices. To calculate VaR-based measures using a 10-business-day holding period, the [banking organization] may calculate 10-business-day measures directly or may convert VaR-based measures using holding periods other than 10 business days to the equivalent of a 10-business-day holding period. A [banking organization] that converts its VaR-based measure in such a manner must be able to justify the reasonableness of its approach to the satisfaction of the [Agency].

(2) The VaR-based measure must be based on a historical observation period of at least one year. Data used to determine the VaR-based measure must be relevant to the [banking organization]'s actual exposures and of sufficient quality to support the calculation of risk-based capital requirements. The [banking organization] must update data sets at least monthly or more frequently as changes in market conditions or portfolio composition warrant. For a [banking organization] that uses a weighting scheme or other method for the historical observation period, the [banking organization] must either:

(i) Use an effective observation period of at least one year in which the average time lag of the observations is at least six months; or

(ii) Demonstrate to the [Agency] that its weighting scheme is more effective than a weighting scheme with an average time lag of at least six months at representing the volatility of the [banking organization]'s trading portfolio over a full business cycle. A [banking organization] using this option must update its data more frequently than monthly and in a manner appropriate for the type of weighting scheme.

(c) A [banking organization] must divide its portfolio into a number of significant subportfolios approved by the [Agency] for subportfolio backtesting purposes. These subportfolios must be sufficient to allow the [banking organization] and the [Agency] to assess the adequacy of the VaR model at the risk factor level; the [Agency] will evaluate the appropriateness of these subportfolios relative to the value and composition of the [banking organization]'s covered positions. The [banking organization] must retain and make available to the [Agency] the following information for each subportfolio for each business day over the previous two years (500 business days), with no more than a 60 day lag:

(1) A daily VaR-based measure for the subportfolio calibrated to a one-tail, 99.0 percent confidence level;

(2) The daily profit or loss for the subportfolio (that is, the net change in price of the positions held in the portfolio at the end of the previous business day); and

(3) The p-value of the profit or loss on each day (that is, the probability of observing a profit that is less than, or a loss that is greater than, the amount reported for purposes of paragraph (c)(2) of this section based on the model used to calculate the VaR-based measure described in paragraph (c)(1) of this section).

Section 6. Stressed VaR-Based Measure

(a) *General requirement.* At least weekly, a [banking organization] must use the same internal model(s) used to calculate its VaR-based measure to calculate a stressed VaR-based measure.

(b) *Quantitative requirements for stressed VaR-based measure.* (1) A [banking organization] must calculate a stressed VaR-based measure for its covered positions using the same model(s) used to calculate the VaR-based measure, subject to the same confidence level and holding period applicable to the VaR-based measure under section 5, but with model inputs calibrated to historical data from a continuous 12-month period that reflects a period of significant financial stress appropriate to the [banking organization]'s current portfolio.

(2) The stressed VaR-based measure must be calculated at least weekly and be no less than the [banking organization]'s VaR-based measure.

(3) A [banking organization] must have policies and procedures that describe how it determines the period of significant financial stress used to calculate the [banking organization]'s stressed VaR-based measure under this section and must be able to provide empirical support for the period used. The [banking organization] must obtain the prior approval of the [Agency] for, and notify the [Agency] if the [banking organization] makes any material changes to, these policies and procedures. The policies and procedures must address:

(i) How the [banking organization] links the period of significant financial stress used to calculate the stressed VaR-based measure to the composition and directional bias of its current portfolio; and

(ii) The [banking organization]'s process for selecting, reviewing, and updating the period of significant financial stress used to calculate the stressed VaR-based measure and for monitoring the appropriateness of the period to the [banking organization]'s current portfolio.

(4) Nothing in this section prevents the [Agency] from requiring a [banking organization] to use a different period of significant financial stress in the calculation of the stressed VaR-based measure.

Section 7. Specific Risk

(a) *General requirement.* A [banking organization] must use one of the methods in this section to measure the specific risk for each of its debt, equity, and securitization positions with specific risk.

(b) *Modeled specific risk.* A [banking organization] may use models to measure the specific risk of covered positions as provided in paragraph (a) of section 5 (therefore, excluding securitization positions that are not modeled under section 9 of this appendix). A [banking organization] must use models to measure the specific risk of correlation trading positions that are modeled under section 9 of this appendix.

(1) *Requirements for specific risk modeling.* (i) If a [banking organization] uses internal models to measure the specific risk of a portfolio, the internal models must:

(A) Explain the historical price variation in the portfolio;

(B) Be responsive to changes in market conditions;

(C) Be robust to an adverse environment, including signaling rising risk in an adverse environment; and

(D) Capture all material components of specific risk for the debt and equity positions in the portfolio. Specifically, the internal models must:

(1) Capture event risk and idiosyncratic risk;

(2) Capture and demonstrate sensitivity to material differences between positions that are similar but not identical; and

(3) Capture and demonstrate sensitivity to changes in portfolio composition and concentrations.

(ii) If a [banking organization] calculates an incremental risk measure for a portfolio of debt or equity positions under section 8 of this appendix, the [banking organization] is not required to capture default and credit migration risks in its internal models used to measure the specific risk of those portfolios.

(2) *Specific risk fully modeled for one or more portfolios.* If the [banking organization]'s VaR-based measure captures all material aspects of specific risk for one or more of its portfolios of debt, equity, or correlation trading positions, the [banking organization] has no specific risk add-on for those portfolios for purposes of paragraph (a)(2)(iii) of section 4 of this appendix.

(c) *Specific risk not modeled.* (1) If the [banking organization]'s VaR-based measure does not capture all material aspects of specific risk for a portfolio of debt, equity, or correlation trading positions, the [banking organization] must calculate a specific-risk add-on for the portfolio under the standardized measurement method as described in section 10 of this appendix.

(2) A [banking organization] must calculate a specific risk add-on under the standardized measurement method as described in section 10 of this appendixfor all of its securitization positions that are not modeled under section 9 of this appendix.

Section 8. Incremental Risk

(a) *General requirement.* A [banking organization] that measures the specific risk of a portfolio of debt positions under section 7(b) using internal models must calculate at least weekly an incremental risk measure for that portfolio according to the requirements in this section. The incremental risk measure is the [banking organization]'s measure of potential losses due to incremental risk over a one-year time horizon at a one-tail, 99.9 percent confidence level, either under the assumption of a constant level of risk, or under the assumption of constant positions. With the prior approval of the [Agency], a [banking organization] may choose to include portfolios of equity positions in its incremental risk model, provided that it consistently includes such equity positions in a manner that is consistent with how the [banking organization] internally measures and manages the incremental risk of such positions at the portfolio level. If equity positions are included in the model, for modeling purposes default is considered to have occurred upon the default of any debt of the issuer of the equity position. A [banking organization] may not include correlation trading positions or securitization positions in its incremental risk measure.

(b) *Requirements for incremental risk modeling.* For purposes of calculating the incremental risk measure, the incremental risk model must:

(1) Measure incremental risk over a one-year time horizon and at a one-tail, 99.9 percent confidence level, either under the assumption of a constant level of risk, or under the assumption of constant positions.

(i) A constant level of risk assumption means that the [banking organization] rebalances, or rolls over, its trading positions at the beginning of each liquidity horizon over the one-year horizon in a manner that maintains the [banking organization]'s initial risk level. The [banking organization] must determine the frequency of rebalancing in a manner consistent with the liquidity horizons of the positions in the portfolio. The liquidity horizon of a position or set of positions is the time required for a [banking organization] to reduce its exposure to, or hedge all of its material risks of, the position(s) in a stressed market. The liquidity horizon for a position or set of positions may not be less than the lower of three months or the contractual maturity of the position.

(ii) A constant position assumption means that the [banking organization] maintains the same set of positions throughout the one-year horizon. If a [banking organization] uses this assumption, it must do so consistently across all portfolios.

(iii) A [banking organization]'s selection of a constant position or a constant risk assumption must be consistent between the [banking organization]'s incremental risk model and its comprehensive risk model described in section 9, if applicable.

(iv) A [banking organization]'s treatment of liquidity horizons must be consistent between the [banking organization]'s incremental risk model and its comprehensive risk model described in section 9, if applicable.

(2) Recognize the impact of correlations between default and migration events among obligors.

(3) Reflect the effect of issuer and market concentrations, as well as concentrations that can arise within and across product classes during stressed conditions.

(4) Reflect netting only of long and short positions that reference the same financial instrument.

(5) Reflect any material mismatch between a position and its hedge.

(6) Recognize the effect that liquidity horizons have on dynamic hedging strategies. In such cases, a [banking organization] must:

(i) Choose to model the rebalancing of the hedge consistently over the relevant set of trading positions;

(ii) Demonstrate that the inclusion of rebalancing results in a more appropriate risk measurement;

(iii) Demonstrate that the market for the hedge is sufficiently liquid to permit rebalancing during periods of stress; and

(iv) Capture in the incremental risk model any residual risks arising from such hedging strategies.

(7) Reflect the nonlinear impact of options and other positions with material nonlinear behavior with respect to default and migration changes.

(8) Maintain consistency with the [banking organization]'s internal risk management methodologies for identifying, measuring, and managing risk.

(c) *Calculation of incremental risk capital requirement.* The incremental risk capital requirement is the greater of:

(1) The average of the incremental risk measures over the previous 12 weeks; or

(2) The most recent incremental risk measure.

Section 9. Comprehensive Risk

(a) *General requirement.* (1) Subject to the prior approval of the [Agency], a [banking organization] may use the method in this section to measure comprehensive risk, that is, all price risk, for one or more portfolios of correlation trading positions.

(2) A [banking organization] that measures the price risk of a portfolio of correlation trading positions using internal models must calculate at least weekly a comprehensive risk measure that captures all price risk according to the requirements of this section. The comprehensive risk measure is either:

(i) The sum of:

(A) The [banking organization]'s modeled measure of all price risk determined according to the requirements in paragraph (b) of this section; and

(B) A surcharge for the [banking organization]'s modeled correlation trading positions equal to the total specific risk add-on for such positions as calculated under section 10 of this appendix multiplied by 15.0 percent; or

(ii) With approval of the [Agency] and provided the [banking organization] has met the requirements of this section for a period of at least one year and can demonstrate the effectiveness of the model through the results of ongoing model validation efforts including robust benchmarking, the greater of:

(A) The [banking organization]'s modeled measure of all price risk determined according to the requirements in paragraph (b) of this section; or

(B) The total specific risk add-on that would apply to the bank's modeled correlation trading positions as calculated under section 10 of this appendix multiplied by 8.0 percent.

(b) *Requirements for modeling all price risk.* If a [banking organization] uses an internal model to measure the price risk of a portfolio of correlation trading positions:

(1) The internal model must measure comprehensive risk over a one-year time horizon at a one-tail, 99.9 percent confidence level, either under the assumption of a constant level of risk, or under the assumption of constant positions.

(2) The model must capture all material price risk, including but not limited to the following:

(i) The risks associated with the contractual structure of cash flows of the position, its issuer, and its underlying exposures;

(ii) Credit spread risk, including nonlinear price risks;

(iii) The volatility of implied correlations, including nonlinear price risks such as the cross-effect between spreads and correlations;

(iv) Basis risk;

(v) Recovery rate volatility as it relates to the propensity for recovery rates to affect tranche prices; and

(vi) To the extent the comprehensive risk measure incorporates the benefits of dynamic hedging, the static nature of the hedge over the liquidity horizon must be recognized. In such cases, a [banking organization] must:

(A) Choose to model the rebalancing of the hedge consistently over the relevant set of trading positions;

(B) Demonstrate that the inclusion of rebalancing results in a more appropriate risk measurement;

(C) Demonstrate that the market for the hedge is sufficiently liquid to permit rebalancing during periods of stress; and

(D) Capture in the comprehensive risk model any residual risks arising from such hedging strategies;

(3) The [banking organization] must use market data that are relevant in representing the risk profile of the [banking organization]'s correlation trading positions in order to ensure that the [banking organization] fully captures the material risks of the correlation trading positions in its comprehensive risk measure in accordance with this section; and

(4) The [banking organization] must be able to demonstrate that its model is an appropriate representation of comprehensive risk in light of the historical price variation of its correlation trading positions.

(c) *Requirements for stress testing.*

(1) A [banking organization] must at least weekly apply specific, supervisory stress scenarios to its portfolio of correlation trading positions that capture changes in:

(i) Default rates;

(ii) Recovery rates;

(iii) Credit spreads;

(iv) Correlations of underlying exposures; and

(v) Correlations of a correlation trading position and its hedge.

(2) Other requirements. (i) A [banking organization] must retain and make available to the [Agency] the results of the supervisory stress testing, including comparisons with the capital requirements generated by the [banking organization]'s comprehensive risk model.

(ii) A [banking organization] must report to the [Agency] promptly any instances where the stress tests indicate any material deficiencies in the comprehensive risk model.

(d) *Calculation of comprehensive risk capital requirement.* The comprehensive risk capital requirement is the greater of:

(1) The average of the comprehensive risk measures over the previous 12 weeks; or

(2) The most recent comprehensive risk measure.

Section 10. Standardized Measurement Method for Specific Risk

(a) *General requirement.* A [banking organization] must calculate a total specific risk add-on for each portfolio of debt and equity positions for which the [banking organization]'s VaR-based measure does not capture all material aspects of specific risk and for all securitization positions that are not modeled under section 9 of this appendix. A [banking organization] must calculate each specific risk add-on in accordance with the requirements of this section.

(1) The specific risk add-on for an individual debt or securitization position that represents purchased credit protection is capped at the market value of the protection.

(2) For debt, equity, or securitization positions that are derivatives with linear payoffs, a [banking organization] must risk weight the market value of the effective notional amount of the underlying instrument or index portfolio. A swap must be included as an effective notional position in the underlying instrument or portfolio, with the receiving side treated as a long position and the paying side treated as a short position. For debt, equity, or securitization positions that are derivatives with nonlinear payoffs, a [banking organization] must risk weight the market value of the effective notional amount of the underlying instrument or portfolio multiplied by the derivative's delta.

(3) For debt, equity, or securitization positions, a [banking organization] may net long and short positions (including derivatives) in identical issues or identical indices. A [banking organization] may also net positions in depositary receipts against an opposite position in an identical equity in different markets, provided that the [banking organization] includes the costs of conversion.

(4) A set of transactions consisting of either a debt position and its credit derivative hedge or a securitization position and its credit derivative hedge has a specific risk add-on of zero if the debt or securitization position is fully hedged by a total return swap (or similar instrument where there is a matching of payments and changes in market value of the position) and there is an exact match between the reference obligation of the swap and the debt or securitization position, the maturity of the swap and the debt or securitization position, and the currency of the swap and the debt or securitization position.

(5) The specific risk add-on for a set of transactions consisting of either a debt position and its credit derivative hedge or a securitization position and its credit derivative hedge that does not meet the criteria of paragraph (a)(4) of this section is equal to 20.0 percent of the capital requirement for the side of the transaction with the higher capital requirement when the credit risk of the position is fully hedged by a credit default swap or similar instrument and there is an exact match between the reference obligation of the credit derivative hedge and the debt or securitization position, the maturity of the credit derivative hedge and the debt or securitization position, and the currency of the credit derivative hedge and the debt or securitization position.

(6) The specific risk add-on for a set of transactions consisting of either a debt position and its credit derivative hedge or a securitization position and its credit derivative hedge that does not meet the criteria of either paragraph (a)(4) or (a)(5) of this section, but in which all or substantially all of the price risk has been hedged, is equal to the specific risk add-on for the side of the transaction with the higher specific risk add-on.

(b) *Debt and securitization positions.* (1) Unless otherwise provided in paragraph (b)(2) of this section, the total specific risk add-on for a portfolio of debt or securitization positions is the sum of the specific risk add-ons for individual debt or securitization positions, as computed under this section. To determine the specific risk add-on for individual debt or securitization positions, a [banking organization] must multiply the absolute value of the current market value of each net long or net short debt or securitization position in the portfolio by the appropriate risk-weighting factor in Table 2. The following definitions apply to this paragraph, including Table 2:

TABLE 2—SPECIFIC RISK WEIGHTING FACTORS FOR DEBT AND SECURITIZATION POSITIONS

Category	Remaining maturity (contractual)	Risk-weighting factor (in percent)
Government	N/A	0.00
Qualifying	6 months or less	0.25
	Over 6 months to 24 months	1.00
	Over 24 months	1.60

TABLE 2—SPECIFIC RISK WEIGHTING FACTORS FOR DEBT AND SECURITIZATION POSITIONS—Continued

Category	Remaining maturity (contractual)	Risk-weighting factor (in percent)
Other	N/A	8.00

(i) The *government* category includes all debt instruments of central governments of OECD-based countries [4] including bonds, Treasury bills, and other short-term instruments, as well as local currency instruments of non-OECD central governments to the extent the bank has liabilities booked in that currency.

(ii) The *qualifying* category includes debt instruments of U.S. government-sponsored agencies, general obligation debt instruments issued by states and other political subdivisions of OECD-based countries, multilateral development banks, and debt instruments issued by U.S. depository institutions or OECD-banks that do not qualify as capital of the issuing institution.[5] This category also includes other debt instruments, including corporate debt and revenue instruments issued by states and other political subdivisions of OECD countries, that are:

(A) Rated investment-grade by at least two nationally recognized credit rating services;

(B) Rated investment-grade by one nationally recognized credit rating agency and not rated less than investment-grade by any other credit rating agency; or

(C) Unrated, but deemed to be of comparable investment quality by the reporting bank and the issuer has instruments listed on a recognized stock exchange, subject to review by the [Agency].

(iii) The *other* category includes debt instruments that are not included in the government or qualifying categories.

(2) *N^{th}-to-default credit derivatives.* The total specific risk add-on for a portfolio of n^{th}-to-default credit derivatives is the sum of the specific risk add-ons for individual n^{th}-to-default credit derivatives, as computed under this paragraph. The specific risk add-on for each n^{th}-to-default credit derivative position applies irrespective of whether a [banking organization] is a net protection buyer or net protection seller. A [banking organization] must calculate the specific risk add-on for each n^{th}-to-default credit derivative as follows:

(i) First-to-default credit derivatives.

(A) The specific risk add-on for a first-to-default credit derivative is the lesser of:

(1) The sum of the specific risk add-ons for the individual reference credit exposures in the group of reference exposures; or

(2) The maximum possible credit event payment under the credit derivative contract.

(B) Where a [banking organization] has a risk position in one of the reference credit exposures underlying a first-to-default credit derivative and this credit derivative hedges the [banking organization]'s risk position, the [banking organization] is allowed to reduce both the specific risk add-on for the reference credit exposure and that part of the specific risk add-on for the credit derivative that relates to this particular reference credit exposure such that its specific risk add-on for the pair reflects the bank's net position in the reference credit exposure. Where a [banking organization] has multiple risk positions in reference credit exposures underlying a first-to-default credit derivative, this offset is allowed only for the underlying reference credit exposure having the lowest specific risk add-on.

(ii) Second-or-subsequent-to-default credit derivatives.

(A) The specific risk add-on for a second-or-subsequent-to-default credit derivative is the lesser of:

(1) The sum of the specific risk add-ons for the individual reference credit exposures in the group of reference exposures, but disregarding the (n–1) obligations with the lowest specific risk add-ons; or

(2) The maximum possible credit event payment under the credit derivative contract.

(B) For second-or-subsequent-to-default credit derivatives, no offset of the specific risk add-on with an underlying reference credit exposure is allowed.

(c) *Equity positions.* The total specific risk add-on for a portfolio of equity positions is the sum of the specific risk add-ons of the individual equity positions, as computed under this section. To determine the specific risk add-on of individual equity positions, a [banking organization] must multiply the absolute value of the current market value of each net long or net short equity position by the appropriate risk-weighting factor as determined under this paragraph.

(1) The [banking organization] must multiply the absolute value of the current market value of each net long or net short equity position by a risk-weighting factor of 8.0 percent. For equity positions that are index contracts comprising a well-diversified portfolio of equity instruments, the absolute value of the current market value of each net long or net short position is multiplied by a risk-weighting factor of 2.0 percent.[6]

(2) For equity positions arising from the following futures-related arbitrage strategies, a [banking organization] may apply a 2.0 percent risk-weighting factor to one side (long or short) of each position with the opposite side exempt from an additional capital requirement:

(i) Long and short positions in exactly the same index at different dates or in different market centers; or

(ii) Long and short positions in index contracts at the same date in different, but similar indices.

(3) For futures contracts on main indices that are matched by offsetting positions in a basket of stocks comprising the index, a [banking organization] may apply a 2.0 percent risk-weighting factor to the futures and stock basket positions (long and short), provided that such trades are deliberately entered into and separately controlled, and that the basket of stocks is comprised of stocks representing at least 90.0 percent of the capitalization of the index. A main index refers to the Standard & Poor's 500 Index, the FTSE All-World Index, and any other index for which the [banking organization] can demonstrate to the satisfaction of the [AGENCY] that the equities represented in the index have liquidity, depth of market, and size of bid-ask spreads comparable to equities in the Standard & Poor's 500 Index and FTSE All-World Index.

(d)(1) A [banking organization] must be able to demonstrate to the satisfaction of the [Agency] a comprehensive understanding of the features of a securitization position that would materially affect the performance of the position. The [banking organization]'s analysis must be commensurate with the complexity of the securitization position and the materiality of the position in relation to capital.

(2) To support the demonstration of its comprehensive understanding, for each securitization position a [banking organization] must:

(i) Conduct and document an analysis of the risk characteristics of a securitization position prior to acquiring the position, considering:

(A) Structural features of the securitization that would materially impact the performance of the position, for example, the contractual cash flow waterfall, waterfall-related triggers, credit enhancements, liquidity enhancements, market value triggers, the performance of organizations that service the position, and deal-specific definitions of default;

(B) Relevant information regarding the performance of the underlying credit exposure(s), for example, the percentage of loans 30, 60, and 90 days past due; default rates; prepayment rates; loans in foreclosure; property types; occupancy; average credit score or other measures of creditworthiness; average LTV ratio; and industry and geographic diversification data on the underlying exposure(s);

(C) Relevant market data of the securitization, for example, bid-ask spreads, most recent sales price and historical price volatility, trading volume, implied market

[4] Organization for Economic Cooperation and Development (OECD)-based countries is defined in [the general risk-based capital rules].

[5] U.S. government-sponsored agencies, multilateral development banks, and OECD banks are defined in [the general risk-based capital rules].

[6] A portfolio is well-diversified if it contains a large number of individual equity positions, with no single position representing a substantial portion of the portfolio's total market value.

rating, and size, depth and concentration level of the market for the securitization; and

(D) For resecuritization positions, performance information on the underlying securitization exposures, for example, the issuer name and credit quality, and the characteristics and performance of the exposures underlying the securitization exposures; and

(ii) On an on-going basis (no less frequently than quarterly), evaluate, review, and update as appropriate the analysis required under paragraph (d)(1) of this section for each securitization position.

Section 11. Market Risk Disclosures

(a) *Scope.* A [banking organization] must comply with this section unless it is a consolidated subsidiary of a bank holding company or a depository institution that is subject to these requirements or of a non-U.S. banking organization that is subject to comparable public disclosure requirements in its home jurisdiction. Quantitative disclosures must be made publicly each calendar quarter. If a significant change occurs, such that the most recent reporting amounts are no longer reflective of the [banking organization]'s capital adequacy and risk profile, then a brief discussion of this change and its likely impact must be provided as soon as practicable thereafter. Qualitative disclosures that typically do not change each quarter may be disclosed annually, provided any significant changes are disclosed in the interim. If a [banking organization] believes that disclosure of specific commercial or financial information would prejudice seriously its position by making public certain information that is either proprietary or confidential in nature, the [banking organization] need not disclose these specific items, but must disclose more general information about the subject matter of the requirement, together with the fact that, and the reason why, the specific items of information have not been disclosed.

(b) *Disclosure policy.* The [banking organization] must have a formal disclosure policy approved by the board of directors that addresses the [banking organization]'s approach for determining the market risk disclosures it makes. The policy must address the associated internal controls and disclosure controls and procedures. The board of directors and senior management must ensure that appropriate verification of the disclosures takes place and that effective internal controls and disclosure controls and procedures are maintained. One or more senior officers of the [banking organization] must attest that the disclosures meet the requirements of this appendix, and the board of directors and senior management are responsible for establishing and maintaining an effective internal control structure over financial reporting, including the disclosures required by this section.

(c) *Quantitative disclosures.*

(1) For each portfolio of covered positions, the [banking organization] must publicly disclose the following information at least quarterly:

(i) The high, low, median, and mean VaR-based measures over the reporting period and the VaR-based measure at period-end;

(ii) The high, low, median, and mean stressed VaR-based measures over the reporting period and the stressed VaR-based measure at period-end;

(iii) The high, low, median, and mean incremental risk capital requirements over the reporting period and the incremental risk capital requirement at period-end;

(iv) The high, low, median, and mean comprehensive risk capital requirements over the reporting period and the comprehensive risk capital requirement at period-end, with the period-end requirement broken down into appropriate risk classifications (for example, default risk, migration risk, correlation risk);

(v) Separate measures for interest rate risk, credit spread risk, equity price risk, foreign exchange risk, and commodity price risk used to calculate the VaR-based measure; and

(vi) A comparison of VaR-based estimates with actual gains or losses experienced by the [banking organization], with an analysis of important outliers.

(2) In addition, the [banking organization] must publicly disclose the following information at least quarterly:

(i) The aggregate amount of on-balance sheet and off-balance sheet securitization positions by exposure type; and

(ii) The aggregate amount of correlation trading positions.

(d) *Qualitative disclosures.*

(1) For each portfolio of covered positions, the [banking organization] must publicly disclose the following information at least annually, or more frequently in the event of material changes for each portfolio:

(i) The composition of material portfolios of covered positions;

(ii) The [banking organization]'s valuation policies, procedures, and methodologies for covered positions including, for securitization positions, the methods and key assumptions used for valuing such positions, any significant changes since the last reporting period, and the impact of such change;

(iii) The characteristics of the internal models used for purposes of this appendix. For the incremental risk capital requirement and the comprehensive risk capital requirement, this must include:

(A) The approach used by the [banking organization] to determine liquidity horizons;

(B) The methodologies used to achieve a capital assessment that is consistent with the required soundness standard; and

(C) The specific approaches used in the validation of these models;

(iv) A description of the approaches used for validating and evaluating the accuracy of internal models and modeling processes for purposes of this appendix;

(v) For each market risk category (that is, interest rate risk, credit spread risk, equity price risk, foreign exchange risk, and commodity price risk), a description of the stress tests applied to the positions subject to the factor;

(vi) The results of the comparison of the [banking organization]'s internal estimates for purposes of this appendix with actual outcomes during a sample period not used in model development;

(vii) The soundness standard on which the [banking organization]'s internal capital adequacy assessment under this appendix is based, including a description of the methodologies used to achieve a capital adequacy assessment that is consistent with the soundness standard;

(2) A description of the [banking organization]'s processes for monitoring changes in the credit and market risk of securitization positions, including how those processes differ for resecuritization positions; and

(3) A description of the [banking organization]'s policy governing the use of credit risk mitigation to mitigate the risks of securitization and resecuritization positions.

[End of Common Text]

List of Subjects

12 CFR Part 3

Administrative practices and procedure, Capital, National banks, Reporting and recordkeeping requirements, Risk.

12 CFR Part 208

Confidential business information, Crime, Currency, Federal Reserve System, Mortgages, reporting and recordkeeping requirements, Securities.

12 CFR Part 225

Administrative practice and procedure, Banks, banking, Federal Reserve System, Holding companies, Reporting and recordkeeping requirements, Securities.

12 CFR Part 325

Administrative practice and procedure, Banks, banking, Capital Adequacy, Reporting and recordkeeping requirements, Savings associations, State non-member banks.

Adoption of Proposed Common Rule

The adoption of the proposed common rules by the agencies, as modified by agency-specific text, is set forth below:

Department of the Treasury

Office of the Comptroller of the Currency

12 CFR Chapter I

Authority and Issuance

For the reasons set forth in the common preamble, part 3 of chapter I of title 12 of the Code of Federal Regulations is proposed to be amended as follows:

PART 3—MINIMUM CAPITAL RATIOS; ISSUANCE OF DIRECTIVES

1. The authority citation for part 3 continues to read as follows:

Authority: 12 U.S.C. 93a, 161, 1818, 3907 and 3909.

2. Appendix B to part 3 is revised to read as set forth at the end of the common preamble.

Appendix B to Part 3—Risk-Based Capital Guidelines; Market Risk Adjustment

3. Appendix B to part 3 is further amended by:

a. Removing "[the advanced capital adequacy framework]" wherever it appears and adding in its place "Appendix C to this part";

b. Removing "[Agency]" wherever it appears and adding in its place "OCC";

c. Removing "[Agency's]" wherever it appears and adding in its place "OCC's";

d. Removing "[banking organization]" wherever it appears and adding in its place "bank";

e. Removing "[banking organizations]" wherever it appears and adding in its place "banks";

f. Removing "[Call Report or FR Y–9C]" wherever it appears and adding in its place "Call Report";

g. Removing "[regulatory report]" wherever it appears and adding in its place "Consolidated Reports of Condition and Income (Call Report)";

h. Removing "[the general risk-based capital rules]" wherever it appears and adding in its place "Appendix A to this part".

Board of Governors of the Federal Reserve System

12 CFR Chapter II

Authority and Issuance

For the reasons set forth in the common preamble, parts 208 and 225 of chapter II of title 12 of the Code of Federal Regulations are proposed to be amended as follows:

PART 208—MEMBERSHIP OF STATE BANKING INSTITUTIONS IN THE FEDERAL RESERVE SYSTEM (REGULATION H)

4. The authority citation for part 208 continues to read as follows:

Authority: 12 U.S.C. 24, 36, 92a, 93a, 248(a), 248(c), 321–338a, 371d, 461, 481–486, 601, 611, 1814, 1816, 1818, 1820(d)(9), 1833(j), 1828(o), 1831, 1831o, 1831p–1, 1831r–1, 1831w, 1831x, 1835a, 1882, 2901–2907, 3105, 3310, 3331–3351, and 3905–3909; 15 U.S.C. 78b, 78I(b), 78l(i), 780–4(c)(5), 78q, 78q–1, and 78w, 1681s, 1681w, 6801, and 6805; 31 U.S.C. 5318; 42 U.S.C. 4012a, 4104a, 4104b, 4106 and 4128.

5. Appendix E to part 208 is revised to read as set forth at the end of the common preamble.

Appendix E to Part 208—Capital Adequacy Guidelines for State Member Banks: Market Risk Measure

6. Appendix E to part 208 is amended by:

a. Removing "[the advanced capital adequacy framework]" wherever it appears and adding in its place "Appendix F to this part";

b. Removing "[Agency]" wherever it appears and adding in its place "Board";

c. Removing "[Agency's]" wherever it appears and adding in its place "Board's";

d. Removing "[banking organization]" wherever it appears and adding in its place "bank";

e. Removing "[banking organizations]" wherever it appears and adding in its place "banks";

f. Removing "[Call Report or FR Y–9C]" wherever it appears and adding in its place "Call Report";

g. Removing "[regulatory report]" wherever it appears and adding in its place "Consolidated Reports of Condition and Income (Call Report)";

h. Removing "[the general risk-based capital rules]" wherever it appears and adding in its place "Appendix A to this part".

PART 225—BANK HOLDING COMPANIES AND CHANGE IN BANK CONTROL (REGULATION Y)

7. The authority citation for part 225 continues to read as follows:

Authority: 12 U.S.C. 1817(j)(13), 1818, 1828(o), 1831i, 1831p–1, 1843(c)(8), 1844(b), 1972(1), 3106, 3108, 3310, 3331–3351, 3907, and 3909; 15 U.S.C. 1681s, 1681w, 6801 and 6805.

8. Appendix E to part 225 is revised to read as set forth at the end of the common preamble.

Appendix E to Part 225—Capital Adequacy Guidelines for Bank Holding Companies: Market Risk Measure

9. Appendix E is amended by:

a. Removing "[the advanced capital adequacy framework]" wherever it appears and adding in its place "Appendix G to this part";

b. Removing "[Agency]" wherever it appears and adding in its place "Board";

c. Removing "[Agency's]" wherever it appears and adding in its place "Board's";

d. Removing "[banking organization]" wherever it appears and adding in its place "bank holding company";

e. Removing "[banking organizations]" wherever it appears and adding in its place "bank holding companies";

f. Removing "[Call Report or FR Y–9C]" wherever it appears and adding in its place "FR Y–9C";

g. Removing "[regulatory report]" wherever it appears and adding in its place "Consolidated Financial Statements for Bank Holding Companies (FR Y–9C)"; and

h. Removing "[the general risk-based capital rules]" wherever it appears and adding in its place "Appendix A to this part".

Federal Deposit Insurance Corporation

12 CFR Chapter III

Authority and Issuance

For the reasons set forth in the common preamble, part 325 of chapter III of title 12 of the Code of Federal Regulations is proposed to be amended as follows:

PART 325—CAPITAL MAINTENANCE

10. The authority citation for part 325 continues to read as follows:

Authority: 12 U.S.C. 1815(a), 1815(b), 1816, 1818(a), 1818(b), 1818(c), 1818(t), 1819(Tenth), 1828(c), 1828(d), 1828(i), 1828(n), 1828(o), 1831o, 1835, 3907, 3909, 4808; Pub. L. 102–233, 105 Stat. 1761, 1789, 1790 (12 U.S.C. 1831n note); Pub. L. 102–242, 105 Stat. 2236, 2355, as amended by Pub. L. 103–325, 108 Stat. 2160, 2233 (12 U.S.C. 1828 note); Pub. L. 102–242, 105 Stat. 2236, 2386, as amended by Pub. L. 102–550, 106 Stat. 3672, 4089 (12 U.S.C. 1828 note).

11. Appendix C to part 325 is revised to read as set forth at the end of the common preamble.

Appendix C to Part 325—Risk-Based Capital for State Nonmember Banks: Market Risk

12. Appendix C is further amended by:

a. Removing "[Agency]" wherever it appears and adding in its place "FDIC";

b. Removing "[Agency's]" wherever it appears and adding in its place "FDIC's";

c. Removing "[banking organization]" wherever it appears and adding in its place "bank";

d. Removing "[banking organizations]" wherever it appears and adding in its place "banks";

e. Removing [Call Report or FR Y–9C] wherever it appears and adding in its place "Call Report";

f. Removing "[the advanced capital adequacy framework]" wherever it appears and adding in its place "Appendix D to this part";

g. Removing "[regulatory report]" wherever it appears and adding in its place "Consolidated Reports of Condition and Income (Call Report)";

h. Removing "[the general risk-based capital rules]" wherever it appears and adding in its place "Appendix A to this part".

Dated: December 15, 2010.

John Walsh,

Acting Comptroller of the Currency.

By order of the Board of Governors of the Federal Reserve System, December 14, 2010.

Robert deV. Frierson,

Deputy Secretary of the Board.

Dated at Washington, DC, this 14th of December 2010. By order of the Board of Directors. Federal Deposit Insurance Corporation.

Robert E. Feldman,

Executive Secretary.

[FR Doc. 2010–32189 Filed 1–10–11; 8:45 am]

BILLING CODE 4810–33–P; 6210–01–P; 6714–01–P; 6720–01–P

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Part V

Department of Defense

Science and Technology Reinvention Laboratory Personnel Management Demonstration Project, Department of the Navy (DON), Space and Naval Warfare Systems Center (SCC), SCC Atlantic and SCC Pacific; Notice

DEPARTMENT OF DEFENSE

Office of the Secretary

Science and Technology Reinvention Laboratory Personnel Management Demonstration Project, Department of the Navy (DON), Space and Naval Warfare Systems Center (SSC), SSC Atlantic and SSC Pacific

AGENCY: Office of the Deputy Under Secretary of Defense (Civilian Personnel Policy) (DUSD (CPP)), DoD.

ACTION: Notice.

SUMMARY: Section 342(b) of the National Defense Authorization Act (NDAA) for Fiscal Year (FY) 1995, Public Law (Pub. L.) 103–337 (10 U.S.C. 2358 note), as amended by section 1109 of NDAA for FY 2000, Public Law 106–65, and section 1114 of NDAA for FY 2001, Public Law 106–398, authorizes the Secretary of Defense to conduct personnel demonstration projects at DoD laboratories designated as Science and Technology Reinvention Laboratories (STRLs). The above-cited legislation authorizes DoD to conduct demonstration projects to determine whether a specified change in personnel management policies or procedures would result in improved Federal personnel management. Section 1105 of the NDAA for FY 2010, Public Law 111–84, 123 Stat. 2486, October 28, 2009, designates additional DoD laboratories as STRLs for the purpose of designing and implementing personnel management demonstration projects for conversion of employees from the personnel system which applied on October 28, 2009. The SSC Atlantic and SSC Pacific are listed in subsection 1105(a) of NDAA for FY 2010 as two of the newly designated STRLs. These two STRLs will be the participants in the demonstration project proposal described in this **Federal Register** notice (FRN).

DATES: Implementation of this demonstration project will begin no earlier than March 1, 2011.

FOR FURTHER INFORMATION CONTACT: *SSC Atlantic:* Ms. Carissa Miller, SSC Atlantic STRL Transition Project Lead, SSC Atlantic, P.O. Box 190022, North Charleston, SC 29419–9022: or via e-mail: *Carissa.miller@navy.mil.*

SSC Pacific: Mr. Michael McMillan, SSC Pacific STRL Transition Project Lead, Space and Naval Warfare Systems Center Pacific, 53560 Hull Street, San Diego CA 92152–5001; or via email: *Michael.mcmillan1@navy.mil*

DoD: Ms. Betty A. Duffield, CPMS–PSSC, Suite B–200, 1400 Key Boulevard, Arlington, VA 22209–5144

SUPPLEMENTARY INFORMATION:

1. Background

SSC Atlantic and SSC Pacific are in a unique position relative to most DoD STRL laboratories. They previously participated in the development of and operated under the China Lake/Naval Ocean Systems Center demonstration project before being converted to the National Security Personnel System (NSPS). As a direct result of these personnel system experiences, SSC Atlantic and SSC Pacific have the benefit of being in earlier personnel systems designed to correct and alleviate shortfalls related to flexibilities in hiring, compensating, and retaining employees while assessing performance and its results in a dynamic environment. Given this exposure, SSC Atlantic and SSC Pacific consider STRL conversion an ideal evolutionary opportunity in employee management, and further intend to incorporate the most effective philosophies, methods, practices, and procedures from both legacy systems, as well as the experiences of other DoD STRL projects.

The Centers' organizational experience indicates that the contribution-based personnel management and compensation methodology affords the best opportunity to appropriately evaluate and compensate employees, while emphasizing the employees' contributions towards organizational goals and objectives.

SSC Atlantic and SSC Pacific must be able to compete with the private sector for the best talent, and be able to make job offers in a timely manner with the attendant compensation that attracts high-quality employees. Once these employees are hired, it is necessary to have the means to appropriately reward and incentivize their contribution to ensure that the creative and motivational process is continually renewed. Compensation must be directly linked to the levels of individual contributions to the organization. High contributors must be rewarded both to encourage their continued contributions and to increase the probability of their retention. Similarly, lower contributing individuals should receive less compensation than high contributors and unacceptable performance must be addressed by appropriate corrective measures (e.g., an improvement plan, demotion, or removal). Compensation must also be appropriate to the position held and its responsibilities relative to the organizational goals.

The Systems Centers will also take advantage of flexibilities that will simplify and speed classification and staffing actions for employees, such as competitive examining, expanded details and temporary promotions, and modified term appointments.

2. Overview

The NDAA for FY 2010 not only designated new STRLs but also repealed the National Security Personnel System (NSPS) mandating conversion of NSPS covered employees to their former personnel system or one that would have applied absent the NSPS. A number of SSC Atlantic and SSC Pacific employees are covered by the NSPS and must be converted to another personnel system. Section 1105 of NDAA for FY 2010 stipulates the STRLs designated in subsection (a) of section 1105 may not implement any personnel system, other than a personnel system under an appropriate demonstration project as defined in section 342(b) of Public Law 103–337, as amended, without prior congressional authorization. In addition, any conversion under the provisions of section 1105 shall not adversely affect any employee with respect to pay or any other term or condition of employment; shall be consistent with title 5 United States Code (U.S.C.) 4703(f); and shall be completed within 18 months after enactment of NDAA for FY 2010. Therefore, since SSC Atlantic and SSC Pacific are both designated STRLs by section 1105 of NDAA for FY 2010 and have NSPS covered employees, they must convert, at a minimum, their NSPS covered employees to a personnel management demonstration project before the end of April 2011.

On August 24, 2010, DoD published the proposed demonstration project plan in the **Federal Register**, Volume 75, No. 163 pages 52139 through 52171. During the public comment period ending September 23, 2010, DoD received 48 comments from 8 individuals. All comments and recommendations were carefully considered.

The following summary addresses comments received, provides responses, and notes resultant changes from the original project plan as presented in the first **Federal Register** Notice. Most commenters addressed multiple comments which were counted separately, resulting in a number of comments which exceeds the number of individual commenters cited.

A. Editorial and General Comments

1. *Comment:* One commenter addressed the fact that the introductory section of the Federal Register Notice contains an error. The contact section cites the SSC Atlantic Transition Project

Lead as Mr. Erick Fry. Ms. Carissa Miller has replaced Mr. Fry in this function. Recommend updating the contact section with current information.

Response: SSC Atlantic and SSC Pacific agree with the commenter and have made that correction.

2. *Comment:* One commenter noted three errors in the Table of Contents (TOC) section of the FRN. Sections II.6.a, II.2.b, and II.5.e, are all missing from the TOC.

Response: Concur. We have corrected this administrative oversight.

3. *Comment:* Commenter noted inconsistent sub-titling throughout TOC.

Response: Concur. We have corrected this administrative oversight.

4. *Comment:* Commenter noted that the TOC, section VIII.B. "waivers to Title 5" is missing "CFR" which appears in the title later in the document.

Response: Concur. We have corrected this administrative oversight.

5. *Comment:* Commenter noted that section II.1/f.(3). Titled "Provisions:" has an inconsistent numbering convention, and recommended that the subsection numbering "(2), (3), (4), (5)," be replaced with "(b), (c), (d), and (e)."

Response: We agree with the commenter and have made this correction.

6. *Comment:* One commenter noted that Section IV contains mis-numbered sub-sections. Section IV, Paragraph one, references sections V.B., V.C., and V.D. to IV.B, IV.C, and IV.D.

Response: Concur. We have corrected this administrative oversight.

7. *Comment:* Commenter noted inconsistencies throughout the document in the use of capitalization of titles and sub-titles, and recommended a review to ensure consistency.

Response: Concur with commenter. We have reviewed and addressed consistency of titling.

8. *Comment:* Commenter noted inconsistencies throughout the document in the use and appearance of the e.g., and i.e., and recommended a review to ensure consistent nomenclature.

Response: We concur with the commenter and have reviewed and addressed consistency in use of referenced terms.

9. *Comment:* One commenter noted that Figure 3.1 in Section V., Demonstration Project Costs, shows no costs associated with project evaluation in FY 2011, yet the demonstration project is required to conduct a baseline workforce survey prior to transition. The commenter requested clarification relative to this being an administrative oversight, and recommended providing an amended table.

Response: We concur with the commenter and have corrected this oversight, adding funds to evaluation costs for FY 2011.

B. Methodology and Personnel System

1. Hiring and Appointment Authorities

a. *Comment:* One commenter requested clarification of the following sentence in section II.B.1.a, Expanded Detail Authority: "Effect details up to one year to specified positions at the same or similar level." Commenter also requested the definition of "similar."

Response: In this case, "similar" positions would be ones at a pay band with the same maximum base salary.

b. *Comment:* One commenter recommended changing the following sentence in section II.B.1.b, "If a non-citizen candidate is the only qualified candidate for the position, the candidate may be appointed." To: "If a non-citizen candidate is the only qualified candidate for the position, the candidate may be appointed with documentation/justification of recruiting efforts and selection made. Neither SSC Atlantic nor SSC Pacific establish or maintain the files of qualified citizen candidates."

Response: Concur with commenter, and have amended section appropriately. Section II.B.1.b details the extensive recruitment efforts that must occur prior to hiring a non-citizen to include use of "paid advertisements * * * as well as normal recruiting methods." Recruitment files are maintained for two years at the Human Resource Servicing Centers (HRSC) and will be available for audit if necessary. Local guidance will further define the justification package necessary to support approval by the local Technical Directors.

c. *Comment:* Two commenters addressed section II.B.1.g.(1), Delegated Examining. One noted that SSC STRL does not seem to have Delegated Examining while other DoD labs do; a second commenter suggested that Delegated Examining should be delegated to HRSCs (vice the applicable HRO) and not be further delegated.

Response: The STRL does intend to make use of Delegated Examining as part of its implementation. The laboratory has engaged both Navy and DoD on this issue throughout the development of this demonstration project plan. Other STRL's have shown that having this ability is very important to the success of the demonstration project. To maintain flexibility in the use of delegated examining, it is intended to maintain the original language on the administration of this authority.

d. *Comment:* One commenter addressed the examining process in Section II.B.1.g.(2), relative to our elimination of the rule of three, and recommended a rewording of the entire section to comply with upcoming hiring reforms that will be in effect November 1, 2010.

Response: After a review of the Presidential Memorandum dated May 11, 2010 on Improving the Federal Recruitment and Hiring Process and subsequent component guidance issued September 17, 2010, the commenter's suggestion was adopted. These documents direct the use of category rating to fill positions through Delegated Examining and eliminate the use of the "rule of three" not later than November 1, 2010. This section will be modified accordingly.

e. *Comment:* One commenter noted that in section II.B.1.f.(3), "Provisions:" contains some confusing language in its reference to declinations and difficulties relative to veteran hiring. It was not clear if the reference to declinations and difficulties were as a result of veteran hiring, or were in the process of veteran hiring or somehow unrelated.

Response: The language was clarified and the redundant listing of declinations removed to clarify that it was not associated with veteran hires. The number of veterans hired is one of many items in a list of items that will be reviewed in the evaluation of this flexibility.

f. *Comment:* Two commenters noted that in section II.B.1.f.(2).a, "Definitions," and II.B.1.h. Distinguished Scholastic Achievement Appointment, science and engineering (S&E) positions should be defined "in accordance with OPM guidance." And that similarly, the next subsection b., should refer to "accredited colleges" as those so defined by OPM.

Response: The commenter's suggestion is adopted and these changes have been made.

g. *Comment:* One commenter noted that in section II.B.1.f.(6), evaluation of direct hire, that the Navy's Human Resources Reporting System (HRRS) tool might be a good source for some of the data required for the evaluation.

Response: SSC management appreciates the insight and will explore using that tool for some of the requirements.

2. Career Path Pay Band Structure

a. *Comment:* One commenter recommended adding to Section II.B.2.d., Seamless Movement to a Higher Band Level, to clarify that such band movement can only occur as a result of competitive merit promotion

procedures or via an alternate method of promotion such as an assessment board.

Response: This recommendation is not adopted as it defies the original intent and practice associated with Seamless Pay Band Movement as previously defined and accepted by other laboratories. As implemented at other STRLs, Seamless Pay Band Movement is in and of itself an alternative method of personnel action.

b. *Comment:* One commenter recommended changes to the wording in section II.B.2.a, Career Path and Pay Band Structure, as follows: Change "GS occupations are further broken down into five separate career paths" To: "The five distinct career paths within SSC STRL are:" for clarity.

Response: Concur with commenter and made the change as recommended.

c. *Comment:* One commenter addressed the description of the Supervisor/Manager Career Path noting that the definition of the requirement for the Supervisor/Manager Career Path only requires more than one employee and felt the number should be three or more and those with less should only get the supervisory differential in an effort to be fiscally responsible.

Response: The commenter may not understand the intended use of the Differential for Supervisory Functions. The intent is not to apply the Differential for Supervisory Functions to every position in the Supervisor/Manager Career Path, but to utilize this differential for very specific and targeted managerial functions of high significance to the organization. As the differential will not be applied "across the board" to the Supervisor/Manager Career Path and pay bands, the description of a supervisory position not being established on the basis of one subordinate position enables us to designate and groom supervisors and managers, generating a culture of leadership which is initiated early in a supervisory career. As the differential for supervisory functions is a targeted mechanism, not a general one, the supervisory differential assumes no additional fiscal burden on the demonstration project.

d. *Comment:* One commenter requested clarification of language in section II.B.2.c, which describes and summarizes the career path structure. The ND (S&E) career path originally had a pay band 6, which was to be the Professional, Scientific, and Technical Corps positions. Upon discussion with DoD, this pay band was re-designated NM–6 (Supervisor/Manager Career Path) to be consistent with requirements associated with those positions. The language in the FRN still has some references to the previous ND–6 pay band. Another commenter noted a similar discrepancy in section II.B.3b.

Response: Concur with commenters. We have reworded these sections to clarify placement of the Above GS–15 positions in the NM–6 pay band.

e. *Comment:* Three commenters addressed the issue of Above GS–15 Positions in section II.B.2.c. In two cases, the questions addressed the ability of SSC Atlantic and SSC Pacific to exercise this flexibility (held by other existing STRL organizations) via this FRN. In the third case, the commenter questioned the intent that the positions are "scientific and engineering" while also being managerial.

Response: Under the SSC STRL demonstration project, it is intended to implement the program for scientific and engineering positions that are classified above GS–15 after the proposed Professional Scientific and Technical Corps (PSTC) is approved and DoD guidance issued.

3. Classification

a. *Comment:* One commenter recommended a change to section II.B.3.a. on the Simplified Classification Process. Recommended changing "those descriptions may be further tailored" to: Descriptions will be tailored to address levels of difficulty, responsibility, and supervisory relationships sufficient to identify complexity and scope of the position. Also add: "In all cases, the description of the actual duties of the position will be sufficiently described for use in announcing positions to potential applicants so that they will be able to tell what the specific duties the selectee will be expected to perform."

Response: Concur with the need for the ability to provide supplemental information to the generic descriptors to support various human resources processes. We do intend to provide a description of specific duties of the position when announcing positions to potential applicants. The FRN language has been modified accordingly.

b. *Comment:* One commenter recommended an addition to the wording in section II.B.3.b, which addresses delegation of classification authority. The commenter recommended changing "The Systems Centers' Technical Directors/Commanding Officers may delegate classification authority for all positions except those in Supervisor/Manager pay band 6." To "The Systems Centers' Technical Directors/Commanding Officers may delegate classification authority only to the designated management authority within their immediate organizational supervisory chain for all positions except those in Supervisor/Manager pay band 6."

Response: Concur with commenter. The recommended change has been made in the document.

c. *Comment:* One commenter recommended changing section II.B.3.c. on classification appeals by adding the word "further" within the sentence which currently reads: "An employee may not (further) appeal the demonstration project classification criteria, the accuracy of the pay band descriptor, or the pay setting criteria; the assignment of occupational series to a career path; the title of a position; the propriety of a base pay schedule; or matters grievable under an administrative or an alternative dispute resolution procedure."

Response: This suggested wording change is not adopted. Adding the word "further" seems to imply that an employee could initially appeal the STRL classification structural or pay issues cited. In fact, the contrary is so. The intent is clearly stated that such structural or pay issues are not appealable.

4. Pay Setting Outside the Contribution Assessment and Recognition System (CARS)

a. *Comment:* One commenter noted changes to the Special Salary Rates (SSRs) which may impact the Systems Centers' decision to not initially utilize SSRs upon conversion to the demonstration project as specified in section II.B.4.f. In fact, new SSRs have been established for series in locations which would directly impact Systems Center employees.

Response: Concur with commenter. We have reworded this section to allow for the potential use of SSRs in the form of staffing supplements as Systems Center management determines is applicable.

b. *Comment:* Two comments were directed at section II.B.4.h.(2), Distinguished Contribution Allowance (DCA). One commenter noted a change to the OPM Guide to Processing Personnel Actions which changes the pay cap computations. A second commenter recommended an addition to the section which stipulates a 10-year maximum limit for DCA awards over the career of an employee.

Response: Concur with both comments and the language in this section has been adjusted to address the updated pay cap computation ("SSC Atlantic and SSC Pacific will implement a Distinguished Contribution Allowance, a temporary monetary allowance up to 25 percent of base pay, which, when added to an employee's

rate of locality-adjusted pay, may not exceed the rate of base pay for Executive Level I.") and the maximum DCA award language.

c. *Comment:* One commenter recommended addition to section II.B.4.1, Pay Differential for Supervisory Functions. Recommended changing "It is paid on a pay period basis and is not included as part of the employee's base rate of pay." To: "It is paid on a pay period basis as documented via time and attendance, and is not included as part of the employee's base rate of pay."

Response: Concur with commenter and added this language to the appropriate section.

d. *Comment:* One commenter suggested that the wording of section II.B.4.m, Awards, should be reviewed in conjunction with 5 U.S.C. 451.103(c)(2).

Response: The reference was reviewed and documenting the justification will be done when these awards are granted.

e. *Comment:* One commenter noted that section II.B.4.n. appears to be redundant with section II.B.4.g.(3) and asked for clarification.

Response: Concur that the two sections are redundant. We have removed section II.B.4.n. accordingly.

5. Contribution Assessment and Recognition System (CARS)

a. *Comment:* One commenter recommended a rewording of section II.B.6. to establish and standardize terminology relative to the demonstration project. The sentence which reads: "A sample chart showing detailed language for the Technical Contribution Element in the Scientific and Engineering career path (ND) is provided in Appendix E." should be changed to: "A sample chart showing detailed benchmark standards for the Technical Contribution Element in the Scientific and Engineering career path (ND) is provided in Appendix E." in order to establish "benchmark standards" as demonstration project terminology.

Response: Concur with commenter and the recommended change has been made.

b. *Comment:* One commenter questioned section II.B.6.b.(4)., Contribution Bonus Awards, which states in part that unexpended bonus dollars may be used to augment the category of base pay increases budget authority, and further questioned the legality of this statement relative to standing DoD policy.

Response: While both Systems Centers had initially requested this specific capability, we have since decided that the potential benefits are outweighed by the associated complications relative to long-term costs. Concur with the basic intent of the commenter and have removed the language in question.

c. *Comment:* One commenter suggested that section II.B.6.a.(3) needs to be reworded to address changes in the timeline associated with SSC STRL transition. The section currently outlines a transition cycle that stipulates a 15-month appraisal cycle as the first official cycle of the demonstration project. In actuality, recent changes in SSC Atlantic's and Pacific's strategy point to a different initial appraisal cycle and require some readdressing.

Response: Concur with commenter. Due to afore-mentioned changes in strategy, SSC Atlantic and Pacific intend to conclude the initial transitional appraisal cycle on 30 June 2010. As such, this section has been reworded to account for changes and provide an accurate summary of intent.

d. *Comment:* One commenter noted that section II.B.6.a.(6), Normal Pay Range, contains confusing language.

Response: Concur and the paragraph has been reworded to clarify the definition of "Normal Pay Range."

e. *Comment:* One commenter addressed Section II.B.6.a.(2) on contribution-based pay pools, asking if SSC STRL pay pools would have Center attorney's as required participants, and if not, what was going to be the mechanism to ensure compliance relative to state requirements. The commenter further suggested language utilized by other STRL organizations which addresses this concern by adding the following: "To avoid conflict with state bar rules, the pay pool panel may not alter the contribution element scores or the overall contribution score that SPAWAR counsel assigns to an attorney; however, the pay pool panel may make independent judgments, such as pay adjustments after considering that score. A reconsideration from a SPAWAR attorney will be handled in accordance with the Office of General Counsel's grievance procedures after SPAWAR counsel and the pay pool panel recommend a resolution."

Response: Concur with commenter and the recommended language has been added to the applicable section.

6. Conversion from NSPS into the Demonstration Project

a. *Comment:* One commenter points out that section II.B.8. indicates that upon conversion from NSPS, employees will retain the adjusted base salary from their permanent NSPS position. It is pointed out that additional clarification may be needed with regard to how an employee's pay is treated when he/she is temporarily assigned to an NSPS position prior to that position converting to the demonstration project if the employee is returned to that temporary position immediately after conversion. In these cases as the commenter notes, section 1113(c)(1) of NDAA for FY 2010 would also apply to the temporary position, i.e., there will be no loss or decrease in pay as a result of the conversion of positions and employees from NSPS.

Response: Concur with commenter's point and have amended the applicable section to further clarify this issue.

7. Required Waivers to Law and Regulation

a. *Comment:* One commenter noted that there is inconsistency in the application of title 5 CFR part 430, in that the demonstration project waives part 430 subpart B in its entirety, yet references that the project's appraisal process complies with title 5 CFR part 430, subpart B except where waivers have been approved. Earlier in the document it is stated that "employees under the demonstration project will not be subject to the requirement of this part." Commenter suggested a review of document and clarification of title 5 CFR part 430, subpart B waiver requests.

Response: Our determination is that the language waiving title 5 CFR part 430 subpart B is necessary, and is consistent with the intent of SSC STRL. However, the waiver language has been modified to address the commenter's concerns.

b. *Comment:* One commenter noted that the demonstration cites a waiver to title 5 U.S.C. chapter 45, section 4502, which limits awards to $10K, that the actual title of this section is 5 U.S.C. 4502, and that this waiver waives the authority which provides that "the Secretary of Defense may grant a cash award under subsection (b) of this section without regard to the requirements for certification and approval provided in that subsection." Commenter further recommends a review of 5 U.S.C. 451.103(c)(2).

Response: The language associated with this waiver has been clarified. Paragraph (a), 5 U.S.C. 4502, indicates limitation of cash awards to $10K. This section is waived to the limited extent that it will allow Technical Director/Commanding Officer to award up to $25K with the same level of authority as the Secretary of Defense to grant cash awards. The requirement for certification and approval of the cash awards by OPM is not required. All other provisions of section 4502 apply.

8. Appendices

a. *Comment:* One commenter noted that Appendices A and B were missing series 1371, Cartographer Technician, which is a series occupied at both Centers, and recommended adding this series to applicable appendices.

Response: Concur with commenter and have corrected this oversight in Appendices A and B.

b. *Comment:* One commenter noted that Appendix H, Intervention Model, 2b referenced "Alignment of organizational and individual objectives and results," yet the demonstration project documentation references "establishing contribution goals and expectations," not objectives.

Response: Agree with the commenter. SSC STRL is a contribution-based evaluation and compensation system, and as such, the language needs to be consistent throughout, including the Intervention Model. The language in Appendix H has been corrected to reflect contribution rather than objective-based methodology.

9. Demonstration Project Notice Changes

The following is a summary of substantive changes and clarifications which have been made to the project proposal:

a. Corrected SSC Atlantic contact information for new SSC Atlantic STRL Transition Team Lead in the Introduction Contact Information.

b. Numerous corrections made to Table of Contents to address inconsistencies in titling and subtitling of sections and subsections. Applicable sections throughout document were then corrected to ensure consistency in numbering and subtitling throughout.

c. Corrected and standardized use of "i.e.," and "e.g.," throughout document.

d. Addressed lack of funding shown in Section V., Figure 3.1. for project evaluation in FY 2011.

e. In Section II.B.1.a, refined definition of "similar" as used relative to positions under Expanded Detail Authority.

f. In Section II.B.1.b, further clarified language associated with non-citizen hiring in accordance with Department of Navy Human Resources Service Center South West recommendation.

g. In Section II.B.1.g.(1), addressed issues regarding Delegated Examining Authority and its ability to be further delegated to service component Human Resources.

h. In Section II.B.1.g.(2), addressed compliance with OPM Hiring Reform initiatives regarding the elimination of the rule of three.

i. In Section II.B.1.f.(3), clarified language relative to veteran hiring.

j. In Section II.B.1.f.(2).a, clarified that relative to S&E positions, both the positions and acceptability from accredited colleges and universities must be as defined by the Office of Personnel Management (OPM).

k. In Section II.B.2.a, clarified section on Career Path and Pay Band structure by re-ordering the sentence. Also, corrected designation of ND–6 pay band to NM–6 pay band in accordance with Above GS–15 position requirements.

l. In Section II.B.2.c, addressed comments regarding PSTC positions by re-inserting language for PSTC governance into FRN.

m. In Section II.B.3.a, added recommended language to Simplified Position Description section to provide additional positional definition and granularity.

n. In Section II.B.3.b, clarified delegation of classification authority by stipulating that such authority can only be delegated within the applicable Technical Director's chain of command.

o. In Section II.B.4.f, re-worded section on staffing supplement usage upon entering the demonstration project to allow for their use immediately upon transition.

p. In Section II.B.4.h.(2), edited section on Distinguished Contribution Allowance (DCA) to account for GPPA changes and corresponding changes to pay cap computations (to Executive Level I). Also, specified 10-year cumulative maximum limit for receipt of DCA awards over the career of an employee.

q. In Section II.B.4.1, amended section on Pay Differential for Supervisory Functions by stipulating that such pay is provided in strict accordance with all applicable guidelines.

r. Section II.B.4.g.(3). Removed this section on retention incentives because it was redundant (as covered in Section II.B.4.g.(3)).

s. In Section II.B.6, Contribution Assessment and Recognition System. Standardized language associated with contribution element scoring as "benchmark standards" for each element in each pay band was established.

t. In Section II.B.6.b.(4), Contribution Bonus Awards. Eliminated a sentence which suggested that unused bonus amounts could be used to fund the continuing portion of the pay pool.

u. In Section II.B.6.a.(3), Modified the paragraph which discusses the initial appraisal cycle of the SSC STRL system, clarifying that the initial (partial) cycle will end on June 30 2011, vice June 30, 2012 as originally stated.

v. Section II.B.6.a.(6), Normal Pay Range. Language in this section modified to clarify meaning and definition of Normal Pay Range.

w. Section II.B.6.a.(2), Contribution-Based Pay Pools. Language added regarding attorney evaluation and reconsideration, and addressed associated State bar requirements.

x. Section II.B.8, Conversion from NSPS. Clarifying language added that standards associated with the retention of base salary upon conversion to SSC STRL apply to both permanent and temporary positions held under NSPS prior to conversion.

y. 5 CFR part 430, subpart B. Clarified waiver language.

z. 5 CFR. Added waiver to part 536, subpart B, waived in its entirety. Sections 536.305, Adjusting an employee's retained rate when a pay schedule is adjusted, and 536.306, Limitation on retained rates, waived only to the extent that under-contributing employees receiving a retained rate will not be entitled to the full amount of any increase in the maximum rate of the employee's pay band.

aa. Amended title 5 U.S.C. chapter 53, section 5363 waiver. Clarified waiver requirements to (1) enable reduction in GPI for under-contributing employees receiving a retained rate and (2) to provide pay retention based on a discontinued or reduced staffing supplement as under the GS system.

ab. Appendices A and B. Corrected appendices to include missing series (1371—Cartographer Technician).

ac. Appendix H, Intervention Model. Language in subsection 2b that referred to employee objectives, rather than to contribution elements was corrected.

3. Access to Flexibilities of Other STRLs

Flexibilities published in this **Federal Register** shall be available for use by the STRLs previously enumerated in section 9902(c)(2) of title 5, United States Code, which are now designated in section 1105 of the NDAA for FY 2010, Public Law 111–84, 123 Stat. 2486, October 28, 2009, if they wish to adopt them in accordance with DoD Instruction (DoDI) 1400.37; pages 73248 to 73252 of volume 73, **Federal Register**; and after the fulfilling of any collective bargaining obligations.

Dated: January 4, 2011.

Morgan F. Park,

Alternate OSD Federal Register Liaison Office, Department of Defense.

Table of Contents

I. Introduction

A. Purpose

The goal of this personnel demonstration project is to implement a personnel management system incorporating the practices from existing STRL and other personnel management systems best suited to the specific needs of SSC Atlantic and SSC Pacific. As the Navy's leader in Command, Control, Communications, Computers, Intelligence, Surveillance, and Reconnaissance (C4ISR), SSC Atlantic and SSC Pacific must be able to attract, hire, and retain the best scientists, engineers, business, and support personnel in the labor market. The organization's human resources management authorities, policies, and practices must have the flexibility needed to respond quickly to changes in mission, organizational constraints, workload, and market conditions. Unless specifically stated otherwise, the Technical Directors/Commanding Officers of SSC Atlantic and SSC Pacific may delegate their authority to effectively implement the provisions of this notice. Any such delegations, including details of implementation, will be documented by local business rules and/or implementing instructions.

Many aspects of a demonstration project are experimental. Modifications may be made from time to time as experience is gained, results are analyzed, and conclusions are reached on how the system is working. The provisions of this project plan will not be modified, or extended to individuals or groups of employees not included in the project plan without the approval of the DUSD(CPP). The provisions of DoDI 1400.37 are to be followed for any modifications, adoptions, or changes to this demonstration project plan.

B. Expected Benefits

In order to remain the DON leader in C4ISR, SSC Atlantic and SSC Pacific must be able to compete with the private sector for the most talented, technically proficient candidates, and must have in place a system that fosters their development, enhances their performance and experience, and provides a strong retention incentive.

The SSC STRL demonstration project must enable and enhance:

1. The ability to attract highly qualified scientific, technical, business, and support employees in today's competitive environment;

2. The ability to select personnel and make job offers in a timely and efficient manner, with the attendant compensation that attracts high-quality, in-demand employees;

3. Improved employee satisfaction with pay setting and adjustment, recognition, and career advancement opportunities;

4. Human Resource (HR) flexibilities needed to staff, shape, and adjust to evolving requirements associated with sustaining a quality workforce for the future;

5. Increased retention of high-level contributors; and

6. Simpler and more cost effective HR management processes.

To effectively meet the above expectations, the SSC STRL demonstration project has identified and established in this notice those features and flexibilities that provide the mechanisms to achieve its objectives. Those features and flexibilities alone, however, will not ensure success.

The nature of the SSC STRL and its ambitious workforce goals will require human resources support at an enhanced level. A traditional process-oriented and reactive construct will serve neither the mission nor the management needs of the two organizations. A primary emphasis of the SSC demonstration project is its streamlined hiring, sophisticated contribution-based compensation system, talent acquisition/retention, and professional human capital planning and execution. Accordingly, successful execution of that vision includes a human resources service delivery model that is highly proactive, expertly skilled in analytical tools, and fully capable of engaging as a strategic partner and trusted agent of a modern multi-faceted defense laboratory.

C. Participating Organizations, Employees, and Union Representation

Both SSC Atlantic and SSC Pacific will participate in the project. The primary sites of SSC Atlantic and SSC Pacific are in two major geographic locations, Charleston, South Carolina, and San Diego, California, respectively, but the organizations employ personnel at more than two dozen locations worldwide. Locations are diverse in employment profiles and size, ranging from several thousand personnel, to a single embedded employee. Both major SSC sites are engaged in the full spectrum of research, development, test and evaluation, engineering, and fleet support.

Both SSC Atlantic and SSC Pacific are predominantly Navy Working Capital Fund organizations. Instead of receiving congressionally appropriated funds, operations are funded by dollars received from other government agencies on a fee-for-service/break-even basis. In order to fully meet naval requirements and successfully assist in the execution of the Navy's mission, SSC Atlantic and SSC Pacific must maximize management effectiveness and efficiency in order to control expenditures, which support a cost competitive position as compared with other government agencies.

SSC Atlantic's and SSC Pacific's STRL personnel management demonstration project is intended to govern all SSC Atlantic and SSC Pacific employees with the following exceptions: Bargaining Unit employees (as stipulated in the paragraph below), Federal Wage System employees, Senior Executive Service (SES), Senior Level (SL), and Scientific and Professional (ST) personnel.

SSC Atlantic's and SSC Pacific's human capital complement includes a small number of employees (under 150 total) that are represented by exclusive bargaining units. Prior to including any employees in bargaining units in the STRL demonstration project, SSC Atlantic and SSC Pacific will fulfill their obligation to consult and/or negotiate with these labor organizations in accordance with 5 U.S.C. 4703(f) and 7117, as appropriate. Figure 1 identifies SSC Atlantic and SSC Pacific employees by major geographic location.

D. Project Overview

In response to the authority granted by Congress to develop a personnel management demonstration project, SSC Atlantic and SSC Pacific chartered a Transition Team tasked with the design and implementation of the new demonstration project plan. The joint team is responsible for developing all associated deliverables, proposals, and implementation details. The Transition Team developed its initial concept as a result of information-seeking and assistance visits at the NRL, Office of Naval Research, and Naval Sea Systems Command, Surface Warfare Center, Dahlgren Division, as well as from consultative sessions with numerous other STRL laboratory representatives across the DoD. The Transition Team continues to solicit and receive information and advice from the DUSD(CPP), DoD Civilian Personnel Management Service, and a number of organizations with on-going demonstration projects. Information and suggestions have been solicited from SSC Atlantic and SSC Pacific employees through an ongoing series of interviews, briefings, and small-group meetings.

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Figure 1-1. Employee Distribution by Geographic Location

Location	State	Employees	Bargaining Unit Members
MOBILE	AL	1	
MANAMA	BAHRAI	1	
SAN DIEGO	CA	3767	
MONTEREY	CA	2	
TWENTY NINE PALMS	CA	4	
PASADENA	CA	1	
NEW LONDON	CT	8	
COLORADO SPRINGS	CO	9	
WASHINGTON	DC	163	
PANAMA	FL	94	
TAMPA	FL	48	
KINGS BAY	GA	6	
WARNER ROBINS	GA	4	
STUTTGART	GER	37	
BARRIGADA	GUAM	39	2
HONOLULU	HI	314	
KAPOLEI	HI	1	
LAFAYETTE	IN	1	
RIGBY	ID	1	
NAPLES	ITALY	4	
SIGONELLA	ITALY	1	
YOKOSUKA	JAPAN	36	
YOKOTA AFB	JAPAN	1	
OKINAWA / SASEBO / ZUKERAN	JAPAN	6	
SEOUL	KOREA	1	
NEW ORLEANS	LA	187	
BATH	ME	1	
ABERDEEN/INDIAN HD/LEXINGTON	MD	3	
ANNAPOLIS/FT MEADE/NMIC	MD	4	
PATUXENT RIVER/SUITLAND	MD	24	
MINNEAPOLIS	MN	1	
ST LOUIS	MO	1	
STENNIS SPACE	MS	2	
LEJEUNE/FAYETVILLE/FT.BRAGG/M	NC	17	
LA MOURE	ND	1	
NASHUA	NH	2	
ALBUQUERQUE/KIRTLAND AFB	NM	2	
WRIGHT PATTERSON AFB	OH	1	
PHILADELPHIA	PA	95	
LESTER/NEWTON/PITTSBURGH	PA	4	
NEWPORT/NAVAL BASE	RI	2	
CHARLESTON/GREENVILLE/SHAW	SC	2092	
LACKLAND AFB	TX	2	
LONDON	UK	2	
NORFOLK/ VA BEACH/CHESAPEAKE	VA	494	144
ARLINGTN/CHANTILLY/CHECK/FALL	VA	20	
SEATTLE	WA	10	
MARINETTE	WI	1	
TOTAL		7518	146
Data Valid 02/02/10			

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II. Methodology

A. Project Design

The four fundamental elements of the SSC STRL personnel management system are: (1) Hiring and staffing flexibilities, (2) simplified classification, (3) pay banding, and (4) contribution-based compensation and assessment. The hiring and staffing flexibilities are being implemented in order to better recruit, hire, and retain the most capable, qualified, and competent workforce in the job market today. Simplified classification is being implemented to streamline the job classification process, simplify the effects of administrative processes on personnel, and allow for more flexibility in making job reassignments. The pay banding structure will create five career paths with multiple pay bands within each career path representing the phases of career progression that are typical for the respective careers. This banding structure will enable managers to more appropriately reward and retain a diverse workforce using principles of pay equity and career progression. The contribution-based compensation system is characterized by an assessment of an employee's contribution to the organization and an appropriate pay allocation predicated on the assessed level of contribution. The contribution-based compensation and assessment is being implemented to more appropriately recognize and reward the employees' overall efforts and results.

While much of the demonstration project will be applied uniformly across both Systems Centers, there are decisions that will be delegated to the respective Systems Centers so that the needs and cultures of those organizations may be taken into account. Decisions at the local level will be made through established governance boards set up by the appropriate Center Technical Director or Commanding Officer.

B. Personnel System Changes

1. Hiring and Appointment Authorities

a. Expanded Detail Authority

SSC Atlantic and SSC Pacific will have an Expanded Detail Authority providing the ability to: (1) Effect details up to one year to specified positions at the same or similar level (positions in a pay band with the same maximum salary) without the current 120-day renewal requirement specified at 5 U.S.C. 3341; and (2) effect details to a position in a pay band with a higher maximum salary up to one year within a 24-month period without competition. Details to higher level positions beyond one year in a 24-month period require approval of the Technical Director/Commanding Officer and are subject to competitive procedures. The specifics of these authorities will be stipulated by local business rules, policies, or procedures as organizational experience dictates.

b. Non-citizen Hiring

Where Executive Orders or other regulations limit hiring non-citizens to the excepted service, both SSC Atlantic and SSC Pacific will have the authority to approve the hiring of non-citizens into competitive service positions when there are no qualified U.S. citizens, and the candidate meets all applicable immigration and security requirements. In order to make a determination that there are no qualified candidates, the position will be advertised extensively using paid advertisements in venues such as major newspapers, scientific journals, and electronic media as well as through "normal" recruiting methods. If a non-citizen candidate is the only qualified candidate for the position, the candidate may be appointed. The selection is subject to approval by the SSC Atlantic and SSC Pacific Technical Director/Commanding Officer. This authority will not be delegated further.

c. Extended Probationary/Trial Period

(1) *Initial Probationary Period.* Candidates hired under the demonstration project into positions classified to the Science and Engineering and Administrative Specialist/Professional occupational families (the nature of whose work requires the manager to have more than one year to assess the employee's job performance) will serve a three-year probationary/trial period. Personnel assigned to positions classified to the Science and Engineering Technical/Technician and General Support occupational families will serve a one-year probationary/trial period.

(2) *Supervisory Probationary Period.* Personnel assigned for the first time to supervisory/managerial positions which have a career path pay plan indicator of NM will serve a one-year supervisor probationary period. The one-year supervisory/managerial probationary period may run concurrently with the required initial probationary period for the occupational family to which an employee's position is classified.

(3) *Transitional Probationary Periods.* Any personnel entering the demonstration project from another Federal government personnel management system, who have served an initial or supervisory probationary period under that system, will be deemed to have met the SSC STRL probationary period requirements. Personnel transitioning into the SSC STRL demonstration project who have begun, but have not yet completed an initial or supervisory probationary period under another Federal government system, will have met the probationary requirements of SSC STRL when they have completed the terms of the initial and/or supervisory probationary periods under which they were hired or placed.

(4) *Termination of Initial Probationary Period Employees.* Initial probationary employees may be terminated when they fail to demonstrate proper conduct, technical competency, and/or acceptable performance for continued employment, and for conditions arising before employment. When a supervisor decides to terminate an employee during the initial probationary period because his/her work performance or conduct is unacceptable, the supervisor shall terminate the employee's services by written notification stating the reasons for termination and the effective date of the action. The information in the notice shall, at a minimum, consist of the supervisor's conclusions as to the inadequacies of the employee's performance or conduct, or those conditions arising before employment that support the termination.

(5) *Termination of a Supervisory Probationary Period.* The supervisory probationary period may be terminated when supervisors fail to demonstrate proper conduct, technical competency, and/or acceptable performance for continued assignment as a supervisor, and for conditions arising before supervisory assignment. When a supervisory probationary period is terminated by the supervisor (the manager) of the supervisor in question, the manager shall terminate the supervisory assignment by written notification stating the reasons for supervisory assignment termination and the effective date of the action. The information in the notice shall, at a minimum, consist of the manager's conclusions as to the inadequacies of the supervisor's performance or conduct, or those conditions arising before supervisory assignment that support the termination of the assignment.

(6) All initial and supervisory probationary period requirements will be outlined in local business rules, policies, or procedures. Preference eligibles will maintain their rights under applicable law and regulation in both the competitive and excepted service.

d. Expanded Term Appointments

The Systems Centers perform engineering and scientific work that often has project durations of three to six years. The current four-year limitation on term appointments, as described in 5 CFR part 316, imposes a burden on the Centers by forcing the termination of some term employees prior to completion of projects they were hired to support. This disrupts the engineering and acquisition process and reduces the Centers' ability to serve their customers. Under the demonstration project, SSC Atlantic and SSC Pacific have the authority to appoint individuals under modified term appointments for a period of more than one year but not more than five years when the need for an employee's services is not predicted to be permanent. These appointments may be extended one additional year for a total of six years. Employees hired under the modified term appointment authority may be eligible for conversion to career or career-conditional appointments in the competitive service. To be converted, the employee must have: (1) been selected for the term position under competitive procedures, with the announcement specifically stating that the individual(s) selected for the term position(s) may be eligible for conversion to a career or career-conditional appointment at a later date; (2) served a minimum of two years of continuous service in the term position; and (3) have a current contribution score consistent with acceptable contribution/performance criteria as established by each Systems Center. Applicable probationary periods apply to both temporary and permanent positions.

Employees serving under term appointments at the time of conversion to the SSC STRL demonstration project may be converted to modified term appointments provided they were hired for their current positions under competitive procedures. These employees may be eligible for conversion to career-conditional or career appointments provided they have completed at least two years of continuous service, and are performing at a satisfactory level. Should this criterion not be met, legacy term employees will remain on existing appointments until the not-to-exceed date or until some other terminating event occurs. These appointments may be extended in accordance with the requirements of 5 CFR part 316. The positions under this feature will be defined by local business rules, policies, or procedures.

e. Voluntary Emeritus Program

SSC Atlantic and SSC Pacific will establish a Voluntary Emeritus Program. Under the demonstration project, the Systems Centers' Technical Directors/Commanding Officers have the authority to offer retired or separated employees in Science and Engineering (S&E) or Administrative/Professional occupational families (see Appendix B) voluntary assignments (non-paid) in the Centers. This authority may not be further delegated. The Voluntary Emeritus Program ensures continued quality research, mentoring, support, and program management while reducing the overall base pay by allowing higher paid employees to retire with the opportunity to retain a presence in the Center's workplace. The program is beneficial during manpower reductions as senior personnel accept retirement and return to provide valuable on-the-job training or mentoring to less experienced employees. This authority includes employees who have retired or separated from Federal service. Voluntary Emeritus Program assignments are not considered employment by the Federal government, except for purposes of on-the-job injury compensation. Thus, such assignments do not affect an employee's entitlement to retain buyouts or severance payments based on an earlier separation from Federal service.

To be accepted into the Voluntary Emeritus Program, a volunteer must be recommended by a manager within the Centers. Everyone who applies is not automatically entitled to a voluntary assignment. The process must be clearly documented and records retained at the command level in accordance with established business rules, procedures, or processes.

To encourage participation, the volunteer's Federal civilian or military retirement pay will not be affected while serving in a voluntary capacity.

Volunteers are not permitted to monitor contracts on behalf of the government or to participate on any contracts or solicitations where a conflict of interest exists.

An agreement is established between the volunteer and the respective Center and is reviewed by the local legal counsel representative. The agreement must be finalized in advance and shall include as a minimum:

(1) A statement that the voluntary assignment does not constitute an appointment in the Civil Service and is without pay or any other form of compensation;

(2) The volunteer waives any and all claims against the Government because of the voluntary assignment except for purposes of on-the-job injury compensation as provided in 5 U.S.C. 8101(1)(B);

(3) Volunteer's work schedule as needed or requested;

(4) Length of agreement (defined by length of project or time defined by weeks, months, or years);

(5) Support provided by the Center (travel, administrative, office space, supplies);

(6) A provision that states no additional time will be added to a volunteer's service credit for such purposes as retirement, severance pay, and leave as a result of being a member of the Voluntary Emeritus Program;

(7) A provision allowing either party to void the agreement with written notice to the other (volunteers have no appeal or grievance rights); and

(8) The level of security access required—any security clearance required by the assignment is managed by the appropriate Systems Center while the volunteer is a member of the Voluntary Emeritus Program.

f. Direct Hire Authority for Candidates with Advanced Degrees for Scientific and Engineering Positions

(1) *Background.*

The Systems Centers have an urgent need for direct hire authority to appoint qualified candidates possessing an advanced degree to scientific and engineering positions in competitive and excepted service. The market is extremely competitive with industry and academia for the small supply of highly-qualified and security clearable candidates with a master's degree or PhD in science or engineering. Out of approximately 35,000 scientists and engineers employed in the DoD laboratories; 27% hold master's degrees, while 10% are in possession of a PhD. The Systems Centers employ around 3,308 S&Es; 29% holding master's degrees, while 4% are in possession of a PhD. Over the next five years, the Systems Centers plans to hire approximately 400 of the country's best and brightest S&Es just to keep pace with attrition. This number does not reflect the impact of other actions affecting the demand of S&Es in the Systems Centers (e.g., Base Realignment and Closure, in-sourcing, and other initiatives which add to the increased demand for scientific and engineering expertise). Additionally, statistics indicate that the available pool of advanced degree, clearable candidates is substantially diminished by the number of non-U.S. citizens granted degrees by

U.S. institutions. For instance, in 2006, 20% of master's degrees in science and over 35% of PhDs in science were awarded to temporary residents.

It is expected that this hiring authority, together with streamlined recruitment processes, will be very effective in accelerating the hiring process for candidates possessing a PhD. For instance, under a similar authority in the National Defense Authorization Act for Fiscal Year 2009, section 1108 (Pub. L. 110–417), October 28, 2009, one STRL had fifteen PhD. selectees in 2009 for the sixteen vacancies for which they were using this hiring authority. Another STRL, using this expedited hiring authority in calendar year 2009, made thirty firm hiring offers in an average of thirteen days from receipt of paper work in the Human Resources Office. Of these thirty selectees, twenty-three possessed PhDs.

(2) *Definitions.*

(a) Scientific and engineering positions are defined in accordance with OPM guidance as all professional positions in scientific and engineering occupations (with a positive education requirement) utilized by the Centers.

(b) An advanced degree is a Master's or higher degree from an accredited college or university as defined by OPM, in a field of scientific or engineering study directly related to the duties of the position to be filled.

(c) Qualified candidates are defined as candidates who:

i. Meet the minimum standards for the position as published in OPM's operating manual, "Qualification Standards for General Schedule Positions," or the laboratory's demonstration project qualification standards specific to the position to be filled;

ii. Possess an advanced degree; and

iii. Meet any selective factors.

(d) "Employee" is defined by section 2105 of title 5, U.S.C.

(3) *Provisions.*

(a) Use of this appointing authority must comply with merit system principles when recruiting and appointing candidates with advanced degrees to covered occupations.

(b) Qualified candidates possessing an advanced degree may be appointed to both competitive and excepted service without regard to the provisions of subchapter 1 of chapter 33 of title 5, United States Code, other than sections 3303, 3321, and 3328 of such title.

(c) The hiring threshold for this authority shall be consistent with DoD policy and legislative language as expressed in any National Defense Authorization Act addressing such.

(d) When completing the personnel action, the following will be given as the authority for the Career-Conditional, Career, Term, Temporary, or special demonstration project appointment authority: Section 1108, NDAA for FY 2009.

(e) This authority will be administered by the servicing Human Resource Office and Human Resources Service Center in accordance with the Department of Navy's common business processes, systems and tools.

(f) Evaluation of this hiring authority will include information and data on its use such as numerical limitation, hires made, declinations, how many veterans hired, declinations, difficulties encountered, and/or recognized efficiencies in accordance with established internal business rules, policies, or procedures.

g. Delegated Examining

The Systems Centers need a process that will allow for the rapid filling of vacancies, is less labor intensive, and is responsive to the needs of the Centers.

(1) *Delegated Examining Authority.* The Systems Centers propose to demonstrate a streamlined examining process for both permanent and non-permanent positions. Competitive service positions with SSC Atlantic and SSC Pacific will be filled through Merit Staffing or under Delegated Examining. This authority will be administered by the applicable servicing Human Resource Office and Human Resources Service Center consistent with veterans' preference and merit principles in accordance with the Department of Navy's common business processes, systems, and tools.

(2) *Description of Examining Process.* SSC Atlantic and SSC Pacific will utilize category rating rather than the rule of three as described in higher level guidance. Additionally, when there are no more than 15 qualified applicants and no preference eligibles, all eligible applicants are immediately referred to the selecting official without rating or ranking. Rating and ranking will be required only when the number of qualified candidates exceeds 15 or there is a mix of preference and non-preference applicants. Statutes and regulations covering veterans' preference will be observed in the selection process and when rating and ranking are required.

h. Distinguished Scholastic Achievement Appointments

SSC Atlantic and SSC Pacific Distinguished Scholastic Achievement Appointment Authority uses an alternative examining process which provides the authority to appoint individuals with undergraduate or graduate degrees through the doctoral level to positions up to the equivalent of GS–12 for scientific and engineering positions in the S&E career path. This enables both Centers to respond quickly to hiring needs for eminently qualified candidates possessing distinguished scholastic achievements.

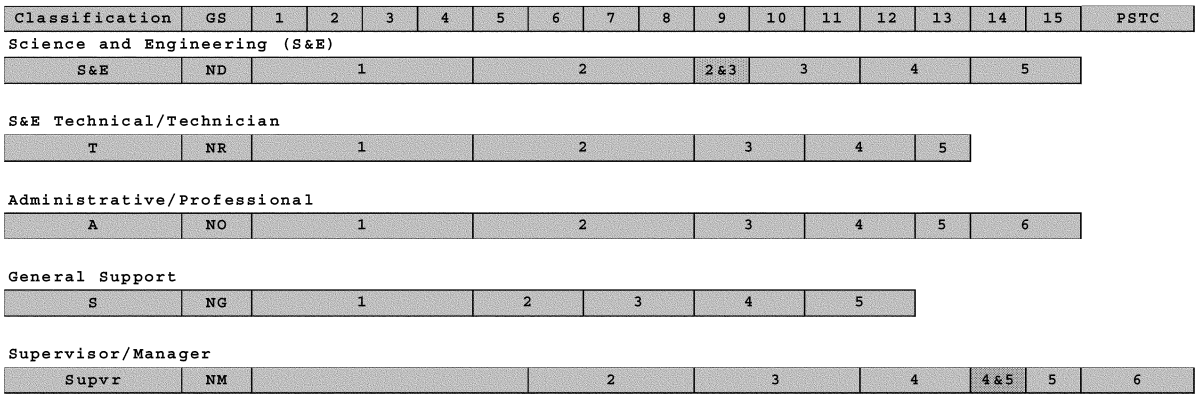
Candidates may be appointed provided they meet the minimum standards for the position as published in OPM's operating manual, "Qualification Standards for General Schedule Positions," and the candidate has a cumulative grade point average of 3.5 (on a 4.0 scale) or better in their field of study (or other equivalent score) or are within the top 10 percent of a university's major school of graduate studies.

Preference eligibles who meet the above criteria will be considered ahead of non-preference eligibles. In making selections, to pass over any preference eligible(s) to select a non-preference eligible requires approval under current objection procedures.

2. Career Path Pay Band Structure

A fundamental element of the Centers' demonstration project is a simplified classification and pay component. Like other STRL demonstration projects, the proposed pay banding approach is tied to the fifteen GS grade levels and the above GS–15 level (discussed in paragraph II.B.2.c.), and reduces them into five to six pay bands within a career path (see Figure 2–1). The five distinct career paths within SSC STRL are: Science and Engineering (S&E) (ND), S&E Technical/Technician (NR), Administrative Specialist/Professional (NO), General Support (NG), and Supervisor/Manager (NM). In Figure 2–1, dotted areas in the ND and NM pay bands are indicative of an overlap in pay, rather than a separate pay band. For example, the ND–2 pay band begins at the equivalent of the GS–5, step 1, and ends at the GS–9, step 10; while the ND–3 band begins at the equivalent of the GS–9, step 1, and ends at the GS–11, step 10.

Figure 2-1. SSC STRL Career Path and Pay Band Structure



SSC STRL will develop new and streamlined career path pay band descriptors that will replace the OPM classification standards. While the SPAWAR pay band descriptors will be based on OPM classification standards, they will be different in that these generic pay band descriptors encompass multiple occupational series and provide maximum flexibility for the organization to assign individuals consistent with the needs of the organization and the individual's qualifications. These generic career path pay band descriptors will include the essential criteria for each pay band within each career path by listing the characteristics of the work, the responsibilities of the position, and the skills required to perform the function. New pay band descriptors will make personnel packages easier to prepare by minimizing writing time and will be a more useful tool for other management functions. Career progression between pay bands will occur by promotion or through seamless pay band movement (paragraph II.B.2.d.), and pay progression within pay bands will occur primarily through contribution-based pay.

a. Career Paths

The Systems Centers classification system will be based upon career paths and pay bands. Occupations with similar characteristics (as defined under OPM guidance) will be grouped together into one of five career paths, with pay bands designed to facilitate pay progression. Each career path will be composed of pay bands corresponding to recognized advancement and career progression expected within the occupations. Pay bands will replace individual grades and will not be the same in each career path. The designated career paths are: Science and Engineering (S&E) (ND), S&E Technical/Technician (NR), Administrative Specialist/Professional (NO), General Support (NG), and Supervisor/Manager (NM). Like the other STRL Demonstration projects, the GS classification occupational series would be retained. SSC STRL currently has positions in approximately 156 occupational series in 16 occupational groupings. Titles of the career paths and associated classification occupational series for each path are provided in Appendices A and B respectively. The distribution of the occupational series to career paths reflects only those occupational series which currently exist within the two Systems Centers. Additional occupational series may be added as a result of changes in mission requirements or OPM-recognized occupations. These additional occupational series will be placed in the appropriate career path consistent with the established career path definitions.

The Science and Engineering career path includes professional engineering and scientific positions in the physical, biological, psychological (engineering psychology), mathematical, and computer sciences and student positions for training in these disciplines. Specific course work or educational degrees are required for these occupations. Employees with advanced degrees or qualifications may be advanced more rapidly, or hired at higher pay bands than others. Occupational series and their titles included in this career path are listed in Appendices A and B. Five pay bands have been established for the Science and Engineering career path.

The S&E Technical/Technician career path includes the nonprofessional technician positions that support scientific and engineering activities through the application of various skills and techniques in electrical, mechanical, physical science, biology, mathematics, and student positions for training in these disciplines. This career path includes positions such as engineering technician, physical sciences technician, and includes other technical specialty areas. Employees in these positions may or may not require specific course work, and will otherwise progress through bands on the basis of evaluated contribution. Specific series and their titles included in this career path are listed in Appendices A and B. Five pay bands have been established for this career path.

The Administrative Specialist/Professional career path includes the professional or specialist positions in such administrative, technical, and managerial fields as finance, procurement, human resources, information technology, legal, librarianship, public information, safety, social sciences, program and project management, and analysis, and student positions for training in these disciplines. This career path includes legal counsel, management and other analysts, finance, accounting, contract specialists, and information technology managers. Employees in this career path may or may not have specific educational requirements, and will otherwise advance through bands based on evaluated contribution. Series and their titles included in this career path are listed in Appendices A and B. Six pay bands have been established for this career path.

The General Support career path includes the assistant and clerical positions providing support in such fields as budget, finance, supply, and human resources; positions providing support through application of typing, clerical, or secretarial knowledge and skills; and student positions for training in these disciplines. This career path includes mail and correspondence clerks, typists, purchasing, contracting, and legal clerks/assistants, and property disposal technicians. Employees in this career path may or may not have

specific educational requirements, and will otherwise advance through bands based on evaluated contribution. Series and titles included in this career path are listed in Appendices A and B. Five pay bands have been established for this career path.

The Supervisor/Manager career path includes employees performing the supervisory functions listed as follows: assign work to subordinates based on priorities, difficulty of assignment, and the capabilities of employees; provide technical or specialized oversight; develop contribution plans and rate employees; interview candidates for subordinate positions; recommend hiring, promotion, or reassignments; take corrective action, such as warnings and reprimands; identify developmental and training needs of employees; and provide and arrange for needed training. A supervisory position cannot be established on the basis of only one subordinate position. A supervisory position cannot be established on the basis of contractor personnel. The Supervisor/Manager career path can include any series. Placement within the supervisory career path will take into account the level of work of the employees being supervised as well as the level of the non-supervisory duties. Employees in this career path may or may not have specific requirements as established by internal business rules, policies, or procedures, and will otherwise advance through bands based on evaluated contribution. Five pay bands have been established for this career path (this career path omits pay band 1 to maintain numerical parity with other career paths).

b. Career Path Pay Bands and Levels of Responsibility

A fundamental purpose of pay banding is to make the distinctions between levels easier to discern and more meaningful. The wider scope of classification criteria with pay bands provides more flexibility in moving individuals to other positions quickly within the pay band as mission needs dictate. These wider pay ranges provide a better ability to offer more competitive starting salaries to attract candidates to highly specialized positions and appropriate compensation for work results achieved thereby increasing retention. The basis for the demonstration project pay system is each pay band having a base pay that exactly corresponds to base pay of the encompassed GS grade levels. This continued linkage with the GS system will result in adjustments to the pay band base pay ranges through future general pay increases under the GS System. Within each career path, pay bands typically include the following categories of positions: student trainee and/or entry level, developmental, full performance level, and expert.

With fewer classification grades of difficulty and responsibilities of work than the General Schedule, the level of responsibility reflected in each pay band typically encompasses the responsibilities of two or more GS grades. For example, the responsibilities of a pay band covering work at the full performance level may represent a synthesis of GS–10 and GS–11 responsibilities.

The S&E ND 2 and ND 3 pay bands overlap at the GS–9 level. Some of the engineers and scientists with a Bachelor of Science degree are hired with a starting base salary pay that exceeds the equivalent of a GS–8, step 10. In order to continue to accommodate the current flexibility of managers to set base pay at this level, a pay band that extends to the GS–9, step 10, equivalent, yet at the same time accommodates classification of entry-level duties at the GS–7 level, is required. Furthermore, master's degree scientists and engineers are hired at the base pay equivalent of a GS–10 or GS–11, but the pay band still needs to accommodate classification of duties at the GS–9 level. In order to do this, both an entry-level pay band that goes up to the GS–9, step 10, and a second level pay band that begins at the GS–9, step 1, are required. The Systems Centers' experience with very wide pay bands for developmental positions was somewhat problematic for managers and supervisors. Due to the inconsistency in application and timing of promotions, there was a wide variation in base pay for employees performing similar functions. In order to overcome this potentially confusing scenario, SSC STRL will implement an additional level in the pay band, as has previously been employed by NAVSEA in other pay bands. NAVSEA introduced the overlapping GS level in their Administrative/Technical NT pay band at the GS–14 equivalent level in both NT–5 and NT–6. For the SSC STRL demonstration project, the overlap at the GS–9 level in the ND–2 and ND–3 pay bands allows more flexibility in adjusting base pay of employees to an appropriate level, commensurate with contribution.

c. Above GS–15

The pay banding plan for the Supervisor/Manager career path includes a pay band 6 to accommodate classification of scientific and engineering positions having duties and responsibilities that exceed the GS–15 classification criteria. This pay band is based on the Above GS–15 Position concept found in other STRL personnel management demonstration projects that was created to solve a critical classification problem. The STRLs have positions warranting classification above GS–15 because of their technical expertise requirements including inherent supervisory and managerial responsibilities. However, these positions are not considered to be appropriately classified as Scientific and Professional Positions (STs) because of the degree of supervision and level of managerial responsibilities. Neither are these positions appropriately classified as Senior Executive Service (SES) positions because of their requirement for advanced specialized scientific or engineering expertise and because the positions are not at the level of general managerial authority and impact required for an SES position.

The original Above GS–15 Position concept was to be tested for a five-year period. The number of trial positions was set at 40 with periodic reviews to determine appropriate position requirements. The Above GS–15 Position concept is currently being evaluated by DoD management for its effectiveness; continued applicability to the current STRL scientific, engineering and technology workforce needs; and appropriate allocation of billets based on mission requirements. Should the DoD evaluation endorse the establishment of a Professional Scientific and Engineering Corps (PSTC), SSC STRL will abide by all applicable guidance and regulation associated with DoD's administration of such a program.

d. Seamless Movement to a Higher Pay Band Level

Under the SSC STRL demonstration project, non-competitive movement to a higher pay band may occur via the current accretion of duties process, as determined by local business rules, and in accordance with DoD/DON guidance and regulation; and potentially once a year as a direct result of the Contribution Assessment and Recognition System (CARS) process (see paragraph II.B.6.), as long as certain specific conditions are met. Movement to pay band 6 of the NM pay plan will be governed in accordance with section II.B.2.c, Above GS–15 positions. A key concept of the demonstration project is that career growth in a career path may be accomplished by movement through a career path's pay bands by significantly increasing levels of responsibilities, scope of work, and duties providing opportunities for

increasing employee contributions toward the organizational mission. An employee's contribution is a reflection of his/her contribution score, which is derived from the employee's performance relative to contribution elements. Because contribution elements are written at progressively higher levels of expected contribution, and equate therefore to work performed at higher pay band levels, higher scores reflect that the employee's contribution is equivalent to the pay band level associated with the score he/she is awarded.

The pay band level of a position may be increased when an employee consistently contributes at the higher pay band level through increased expertise and by performing expanded duties and responsibilities commensurate with the higher pay band's contribution elements. If an employee's contributions consistently enhance and broaden the scope, nature, intent and expectations of the position and are reflective of higher pay band level contribution elements, the classification of the position can be updated accordingly. This form of movement through pay bands in a career path is referred to as a seamless pay band movement and can only happen within the same career path. Employees cannot cross over career paths through this process. The criteria is similar to that used in SSC Atlantic's and SSC Pacific's current accretion of duties process and must be met for an employee to move seamlessly to the higher pay band level. For this movement to occur: (1) The employee's current position is absorbed into the reclassified position, with the employee continuing to perform the same basic duties and responsibilities, albeit at a higher level and (2) the employee's current position is reclassified to a higher pay band as a result of additional higher level duties and responsibilities. No additional pay band movement to another higher pay band level is guaranteed. It may take a number of years for contribution levels to increase to the extent a pay band move is warranted, and not all employees will achieve the increased contribution levels required for such moves.

Movement to a higher level pay band may be "triggered" by the CARS process and deferred to the standard accretion schedule or may be carried out following the appraisal cycle as an immediate result of the CARS process. In either case, pay band movement is always at the discretion of the leadership of the Centers and only as a result of direct leadership decision. In no case is such movement "automatic" on the basis of contribution score-based performance alone.

Any resulting changes in pay bands that occur as a result of the CARS process will be processed and documented with the appropriate personnel action. Management also has the option to fill vacancies throughout the year using various staffing avenues, including details, reassignments, or competitive selection procedures as applicable and/or required for competitive promotions or temporary promotions. Employees may be considered for vacancies at higher pay band positions consistent with the demonstration project competitive selection procedures.

3. Classification

a. Simplified Classification Process

The Systems Centers will create generic, one page pay band descriptors with appropriate titles that also serve as the core of pre-classified position descriptions within the demonstration project. Those descriptions may need to be further supplemented with information on Fair Labor Standards Act (FLSA) coverage, selective placement factors specialized knowledge, skills and abilities required. When announcing positions to potential applicants, a description of specific duties will be used to enable potential candidates to understand the expectations associated with each position. Within the SSC STRL demonstration project, the term "classification of a position" for positions covered by pay banding is defined as the placement of a position in its appropriate career path, occupational series, and pay band based on the application of standards that are referred to as pay band descriptors established at the Systems Center level. Line managers will be meaningfully involved in the classification process to make it more relevant to their organization's needs.

b. Delegation of Classification Authority

The Systems Centers' Technical Directors/Commanding Officers may delegate classification authority only to the designated management authority within their organizational supervisory chain for all positions except those in Supervisor/Manager pay band 6. Classification authority for Supervisor/Manager pay band 6 will be consistent with DoD guidance. Requesting supervisors at any level will provide classification recommendations. Support of the applicable Human Resources Organization (HRO) will be available for guidance and recommendations concerning the classification process. Any dispute over the proper classification between a manager and the HRO will be resolved by the Technical Director/Commanding Officer. Those to whom authority is delegated are accountable to the Technical Director/Commanding Officer and are expected to comply with demonstration project guidelines on classification and position management, observe the principle of equal pay for work of equal value, and ensure that position descriptions are current and accurate. All positions must be approved through the appropriate Center chain of command, as established by internal business rules, policies, and procedures.

c. Classification Appeals

An employee may appeal the occupational series, career path, or pay band of his or her position at any time. Classification appeal procedures for employees placed in pay band 6 of the NM career path are governed by DoD and are not affected by the appeal procedures described in this demonstration project. For all other employees, the Classification Appeals to OPM will be replaced by a two-level appeal process modeled after the demonstration project previously approved for the Naval Weapons Center, China Lake, and Naval Ocean Systems Center, San Diego. The two levels of classification appeals are (1) within each Systems Center under guidelines developed by governance boards established, or as otherwise delegated, by each Center's Technical Director/Commanding Officer, and if not resolved locally, (2) at the other Systems Center (i.e., at SSC Pacific for SSC Atlantic appeals and vice versa). Decisions made at the second level of the appeal process are final.

An employee may not appeal the demonstration project classification criteria, the accuracy of the pay band descriptor, or the pay setting criteria; the assignment of occupational series to a career path; the title of a position; the propriety of a base pay schedule; or matters grievable under an administrative or an alternative dispute resolution procedure. The evaluation of a classification appeal under this demonstration project is based upon the demonstration project classification criteria. Case files and final adjudication documentation will be forwarded to the servicing HRO.

d. Simplified Assignment Process

Today's environment of rapid technology development and workforce transition mandates that the

organization have maximum flexibility to assign individuals. Pay banding can be used to address these needs. As a result of the assignment to a particular pay band descriptor, the organization will have maximum flexibility to assign an employee within pay band descriptors consistent with the needs of the organization, the individual's qualifications and rank, and pay band. Subsequent assignments to projects, tasks, or functions anywhere within the organization requiring the same area of expertise and qualifications would not constitute an assignment outside the scope or coverage of the employee's pay band descriptor. Such assignments within the coverage of the generic descriptors are accomplished as realignments and do not constitute a position change. For instance, an employee can be assigned to any project, task, or function requiring similar technical expertise. Likewise, a manager could be assigned to manage any similar function or organization consistent with that individual's qualifications. This flexibility allows broader latitude in assignments and further streamlines the administrative process and system.

4. Pay Setting Outside the Contribution Assessment and Recognition System (CARS)

The following definitions and policies will apply to the pay setting of new hires, movement of employees within the demonstration project from one career path or pay band to another, as well as any other pay action outside the CARS.

a. Advanced In-Hire Rate

Upon initial assignment into the STRL demonstration project, base pay may be set anywhere within the pay band consistent with the special qualifications of the individual and the unique requirements of the position. These special qualifications may be in the form of education, training, experience, scarcity of qualified candidates, labor market considerations, programmatic urgency, or any combination thereof that is pertinent to the position in which the employee is being placed. Management of base pay approval decisions will be delineated in internal business rules, policies, or procedures. Consideration should be given to the base pay of employees performing similar work within the work unit. This provision applies to any action which places a non-demonstration project employee into the demonstration project, specifically initial hires, transfers, and reinstatements (or rehires). Specific guidelines for application of advanced in-hire rate will be established in internal business rules, policies, or procedures.

b. Promotion

Within the SSC STRL demonstration project career path pay banding system, a promotion will be defined as the movement of an employee from a lower to a higher pay band in the same career path, or from one career path to another wherein the pay band in the new career path has a higher maximum base pay than the pay band from which the employee is moving (seamless pay band movement under the CARS is not considered a promotion under this definition). The minimum base pay increase upon promotion to a higher pay band will be 6% or the minimum base pay of the new pay band whichever is higher. Promotions will follow Federal Merit Promotion policy that provides for competitive and non-competitive promotions. Promotion pay thresholds may be modified by internal business rules, policies, or procedures as organizational experience dictates. Promotion increases may not result in base pay higher than the maximum base pay of the pay band. Other specific guidelines regarding promotions will be documented in internal business rules, policies, or procedures.

c. Reassignment

A reassignment occurs when an employee moves, voluntarily or involuntarily, to a different position or set of duties within his/her pay band or to a position in a comparable pay band at a comparable level of work, on either a temporary or permanent basis. Under this system, employees may be eligible for an increase to base pay upon temporary or permanent reassignment as described in this section. A decision to increase an employee's base pay under this section will be based upon clear Systems Centers' business rules that will define criteria necessary to justify a base pay increase. Examples of criteria may include, but are not limited to, one or more of the following factors:

(1) A determination that an employee's responsibilities will significantly increase;

(2) Critical mission or business requirements;

(3) Need to advance multi-functional competencies;

(4) Labor market conditions (*e.g.,* availability of candidates and labor market rates);

(5) Reassignment from a nonsupervisory to a supervisory position;

(6) Employee's past and anticipated performance and contribution;

(7) Physical location of position;

(8) Specialized skills, knowledge, or education possessed by the employee in relation to those required by the position; and

(9) Base pay of other employees in the organization performing similar work.

When an employee is reassigned within his/her current pay band or to a comparable pay band, an authorized management official will set base pay at an amount no less than the employee's current base pay and may increase the employee's current base pay by up to five percent. If the employee's current base pay exceeds the maximum of the new pay band, no increase is provided, and the employee's base pay will be set at that maximum base pay rate. There is no limit to the number of times an employee can be reassigned, but local business rules will be established to monitor and control all cases that receive reassignment base pay change to ensure fairness and consistency across the workforce. Reassignment base pay thresholds may be modified or increased by internal business rules, policies, or procedures as organizational experience dictates.

d. Change to Lower Pay Band

Within the SSC STRL demonstration project, a change to a lower pay band will be defined as the movement of an employee from a higher pay band to a lower pay band within the same career path, or from one career path to another where the pay band in the new career path has a lower maximum base pay than the pay band from which the employee is moving. This action may or may not result in a pay reduction; however, an employee's base pay may not exceed the maximum of the assigned pay band unless the employee is entitled to pay retention as described in 5 CFR part 536. In cases where change to a lower pay band is involuntary and accompanied by a reduction in pay, adverse action procedures under 5 CFR part 752 remain unchanged.

e. Locality Pay

All employees will be entitled to the locality pay authorized for their official duty station in accordance with 5 CFR part 531 subpart F. In addition, the locality-adjusted pay of any employee may not exceed the rate for Executive Level IV. Geographic movement within the demonstration project will result in the employee's locality pay being recomputed using the newly applicable locality pay percentage, which may result in a higher or lower locality pay

and, thus, a higher or lower adjusted base pay. This adjustment is not an adverse action.

f. Staffing Supplements

If at any time after establishment of the demonstration project special salary rates (SSRs) are deemed necessary by SSC Atlantic and SSC Pacific leadership, they will be implemented via a staffing supplement. If implemented, additional internal business rules, policies, and procedures will be established on the use and application of staffing supplements including any limitations. Employees assigned to occupational categories and geographic areas where GS special rates apply may be entitled to a staffing supplement if the maximum adjusted base pay rate for the demonstration band to which the employee is assigned is exceeded by a GS special rate for the employee's occupational category and geographic area. The staffing supplement is added to the base pay, much like locality rates are added to base pay.

The staffing supplement plus the base pay is the staffing supplement adjusted pay. To calculate the staffing supplement a staffing factor must be determined. The staffing factor will be determined by dividing the maximum special rate for the banded grades by the GS unadjusted rate corresponding to that special rate (step 10 of the GS rate for the same grade as the special rate). The employee's staffing supplement is then derived by multiplying the base pay rate by the staffing factor minus one. Therefore, the employee's final staffing supplement adjusted pay equals the base pay rate plus the staffing supplement. The specific formulas are:

Staffing factor = Maximum special rate for the banded grades
GS unadjusted rate corresponding to that special rate
Staffing supplement = Base pay rate * (staffing factor −1)
Staffing supplement adjusted pay = base pay rate + staffing supplement

For newly hired employees into the demonstration project, basic pay will be determined in accordance with section II.B.4.a, Advanced In-Hire Rate. Any applicable staffing supplement will be calculated after base pay is determined. If a staffing supplement has been authorized by Systems Center leadership, any GS or special rate schedule adjustment will require re-computing the staffing supplement. Employees receiving a staffing supplement remain entitled to an underlying locality rate, which may over time supersede the need for a staffing supplement. If OPM discontinues or decreases a special rate schedule, pay retention provisions will be applied. Upon geographic movement of employees, the applicability and amount of any staffing supplement will be re-determined. Any resulting reduction in pay will not be considered an adverse action or a basis for pay retention.

An established base pay rate plus the staffing supplement will be considered adjusted base pay for the same purposes as a locality rate under 5 CFR 531.610 (*e.g.,* for purposes of retirement, life insurance, premium pay, severance pay, and advances in pay). It will also be used to compute worker's compensation payments and lump-sum payments for accrued and accumulated annual leave.

g. Other Provisions

(1) *Grade and Pay Retention.* The new system will eliminate retained grade but will preserve retained pay in accordance with 5 CFR part 536. Former NSPS employees retaining a rate that exceeds the limits or conditions imposed by 5 CFR part 536 will retain that pay until it falls back within those limits or conditions or until the employee's eligibility is lost or the retained pay is terminated in accordance with 5 CFR 536.308.

(2) *Highest Previous Rate.* Employees in the demonstration project may have their base/basic pay set consistent with the highest previous rate provisions at 5 CFR 531.221 through 223. Use of the highest previous rate will be governed by local pay setting policies.

(3) *Recruitment, Retention, and Relocation Incentives.* The demonstration project may continue to employ these incentives, as described in 5 CFR part 575, and provide internal policy, guidance, and/or internal procedures for utilizing the incentives as necessary.

(4) *Qualification Standards for Demonstration Project Positions.* OPM's "Qualification Standards for General Schedule Positions" will be used to determine qualifications for demonstration project positions except with minor modifications to address application of OPM qualifications in a pay banding environment.

h. Distinguished Contribution Allowance (DCA)

SSC Atlantic and SSC Pacific will implement a Distinguished Contribution Allowance, a temporary monetary allowance up to 25 percent of base pay, which, when added to an employee's rate of locality-adjusted pay, may not exceed the rate of base pay for Executive Level I. It is paid on either a bi-weekly basis concurrent with scheduled pay days or as a lump sum following completion of a designated contribution period, or a combination of these, at the discretion of the Technical Director/Commanding Officer of the appropriate Systems Center. It is not base pay for any purpose, such as retirement, life insurance, severance pay, promotion, or any other payment or benefit calculated as a percentage of base pay. The DCA will be available to certain employees whose present contributions are worthy of scores found at a higher career level and whose level of contribution is expected to continue at the higher career level for at least one year.

Award of the DCA rather than a change to a higher career level will generally be appropriate for employees under the following circumstances: (1) Employees have reached the top of their target career levels; (2) when it is not certain that the higher level contributions will continue indefinitely (e.g., a special project expected to be of one- to five-year duration); (3) when no further promotion or compensation opportunities are available, but in all situations, when current market conditions compensate similar contributions at a greater rate in private industry and academia than the organization is able to do under normal compensation conditions.To be eligible for DCA, employees must meet the criteria below:

(1) Employees in the S&E, Technical, Administrative Professional, General, and Supervisor/Manager career paths are eligible for the DCA if their contribution to the organization is deemed worthy, as determined by the appropriate Center Technical Director/Commanding Officer.

(2) Employees may receive a DCA for up to five years but for no more than 10 cumulative years over an employee's entire career. The DCA authorization will be reviewed and reauthorized as necessary, but at least annually at the time of the CARS appraisal through nomination by the pay pool manager and approval by the appropriate Technical Director/Commanding Officer.

(3) Monetary payment may be up to 25 percent of base pay.

(4) Nominees are required to sign a statement indicating they understand that the DCA is a temporary allowance; it is not a part of base pay for any purpose; it is subject to review at any time, but at least on an annual basis, and the reduction or termination of the DCA is not appealable or grievable.

All other details regarding nomination, termination, reduction, allocation, and budget determination

will be stipulated by internal business rules, policies, or procedures approved by the applicable Center Technical Director/Commanding Officer.

i. Pay Differential for Supervisory Functions

SSC Atlantic and SSC Pacific will establish a pay differential to be provided at the discretion of the appropriate Center Technical Director or assigned delegates to incentivize and reward personnel performing supervisory functions but the functions do not meet all the specific criteria to be classified to the formal supervisory career path, or the functions are temporary in nature, or the functions are otherwise considered not eligible for supervisory career path pay band classification. Pay differential-eligible positions will be further defined and managed by internal business rules, policies, or procedures. A pay differential is a cash incentive that may range up to 10 percent of the employee's base rate of pay. It is paid on a pay period basis as documented via time and attendance, and is not included as part of the employee's base rate of pay. The pay differential must be terminated if the employee is removed from the designated position/duties (and is not placed in a position with equivalent duties/responsibilities), regardless of cause, or who initiates removal. All personnel actions involving a pay differential will require a statement signed by the employee acknowledging that the differential is not part of base pay for any purpose, and may be terminated or reduced as dictated by fiscal limitations, changes in assignment or scope of work, or by the appropriate Center Technical Director/Commanding Officer. Positions, titles, duties and responsibilities which are eligible for supervisory differential, as well as standards for differential awards will be defined in internal business rules, policies, or procedures. The termination or reduction of the differential is not an adverse action and is not subject to appeal or grievance.

j. Accelerated Compensation for Developmental Positions

SSC Atlantic and SSC Pacific will implement Accelerated Compensation for Developmental Positions (ACDP) to facilitate applicability to a compensation-based assessment approach. ACDP is an increase to base pay that may be provided to employees participating in Center training programs or in other developmental capacities as determined by Center policy. ACDP recognizes growth and development in the acquisition of job-related competencies combined with successful contribution to the organization. The use of ACDP is limited to: (1) Employees in a developmental pay band of a non-supervisory pay plan who are in developmental or trainee level positions (developmental/trainee positions will be defined by local business rules, policies, or procedures); and (2) employees in positions which are assigned to the Student Career Experience Program (SCEP).

Standards by which ACDP increases are provided and development criteria by which additional base pay increases may be given will be established and documented in internal business rules, policies, or procedures. The amount of the ACDP increase generally will not exceed 20 percent of an employee's base pay. The decision to grant an ACDP exceeding 20 percent of an employee's base pay must be made on a case-by-case basis and approved by the appropriate Center Technical Director/ Commanding Officer or their delegates as established by internal business rules, policies, or procedures. The amount of the ACDP increase may not cause the employee's base pay to exceed the top of the employee's pay band or that set by internal business rules, policies, or procedures. An ACDP increase may not be granted unless an employee is in a pay and duty status under the SSC STRL demonstration project on the effective date of the increase.

k. Educational Base Pay Adjustment

SSC Atlantic and SSC Pacific will establish an educational base pay adjustment which is separate from other incentive pay(s) and may not exceed the top of the employee's assigned pay band. The educational base pay adjustment may be used to adjust the base pay of individuals who have acquired a level of mission-related education that would otherwise make the employee qualified for an appointment at a higher level and would be used in lieu of a new appointment. For example, this authority may be used to adjust the base pay of employees who are participating in a graduate level SCEP, or employees who have obtained an advanced degree, such as a Ph.D., in a field related to the work of their position or the mission of their organization.

l. Expanded Development Opportunity

(1) SSC Atlantic and SSC Pacific will establish an Expanded Development Opportunities Program which will cover all demonstration project employees. An expanded developmental opportunity provides possibilities such as (1) long-term training, (2) one-year work experiences in an industrial setting via the Relations With Industry Program, (3) one-year work experiences in laboratories of allied nations via the Science and Engineer Exchange Program, (4) rotational job assignments within both SSC Atlantic and SSC Pacific, (5) developmental assignments in higher headquarters within the DON and DoD, (6) self-directed study via correspondence courses and at local colleges and universities, (7) details within SSC Atlantic and SSC Pacific and to other Federal agencies, (8) Intergovernmental Personnel Act Program Agreements, and (9) sabbaticals.

Each developmental opportunity period should benefit the organization and increase the employee's individual effectiveness as well. Various learning or uncompensated developmental work experiences may be considered, such as advanced academic teaching or research and sabbaticals. An expanded developmental opportunity period will not result in loss of or reduction in base pay, leave to which the employee is otherwise entitled, or credit for time or service. Input for performance rating purposes will be obtained from the gaining supervisor to ensure a rating of record is on file and, if warranted, a contribution award and/or bonus and retention years' credit for RIF purposes is documented.

Expanded Development Opportunities Program openings will be announced as opportunities arise. Instructions for application and the selection criteria will be included in the announcement. Final selection/approval for participation in the program will be made by the appropriate Center Technical Director/Commanding Officer. The position of employees on an expanded developmental opportunity may be backfilled by temporary assignment of another employee(s) or temporary redistribution of work. However, that position or its equivalent must be made available to the employee returning from the expanded developmental opportunity.

An employee accepting an Expanded Developmental Opportunity must sign a continuing service agreement up to three times the length of the assignment with the service obligation to the respective Systems Center organization. If the employee voluntarily leaves the organization before the service obligation is completed, the employee is liable for repayment unless the service agreement or the repayment is waived by the SSC Atlantic or SSC Pacific

Technical Director/Commanding Officer.

(2) Critical Skills Training

(a) The Commanding Officers/Technical Directors have the authority to approve academic degree training consistent with 5 U.S.C. 4107. Training is an essential component of an organization that requires continuous acquisition of advanced and specialized knowledge. Degree training is also a critical tool for recruiting and retaining employees with or requiring critical skills.

(b) Each academic degree training program in its entirety can be approved based upon a complete individual degree study program plan. It will ensure continuous acquisition of advanced specialized knowledge essential to the organization and enhance the ability to recruit and retain personnel critical to the present and future requirements of the organization. Degree or certificate payment may not be authorized where it would result in a tax liability for the employee without the employee's express and written consent. Any variance from this policy must be rigorously determined and documented. Guidelines will be developed to ensure competitive approval of degree or certificate payment and that such decisions are fully documented. Employees approved for degree training must sign a service obligation agreement to continue service in the respective Systems Center for a period three times the length of the training period commencing after the completion of the entire degree program. If an employee voluntarily leaves the Systems Center before the service obligation is completed, he/she is liable for repayment of expenses incurred by the SSC STRL that are related to the critical skills training. Expenses do not include salary costs. The Commanding Officers/Technical Directors have the authority to waive this requirement. Criteria for such waivers will be addressed in the operating procedures.

(c) Student Career Experience Program (SCEP) Service Agreement. The extended repayment period also applies to employees under the SCEP who have received tuition assistance. They will be required to sign a service agreement up to three times the length of the academic training period or periods (semesters, trimesters, or quarters). In addition, the Technical Directors/Commanding Officers of the Systems Centers may approve relocation incentives for new SCEP students, and relocation incentives to SCEP students whose worksite is in a different geographic location than that of the college enrolled.

m. Awards

To provide additional flexibility in motivating and rewarding individuals and groups, some portion of the performance award budget will be reserved for special acts and other categories as they occur. Awards may include, but are not limited to, special achievements, patents, inventions, suggestions, and on-the-spot. The funds available to be used for awards are separately funded within the constraints of the organization's overall award budget. While not directly linked to CARS, this additional flexibility is important to encourage outstanding accomplishments and innovation in accomplishing the diverse missions of the Systems Centers. Additionally, to foster and encourage teamwork among its employees, group awards may be given. Under the SSC STRL demonstration project, a team leader or supervisor may allocate a sum of money to a team for outstanding performance, and the team may decide the individual distribution of the total dollars among themselves, with any disputes being resolved by the award allocator. The appropriate Center Technical Director/Commanding Officer will have the authority to grant special achievement awards to covered employees of up to $25,000.

5. Performance/Contribution Management Principles

The philosophical base of this demonstration project is that employees are valued and trusted and are the organization's most critical assets. Accordingly, the primary objectives of the SSC STRL demonstration project are to: Develop employees to meet the changing needs of the organization; help employees achieve their career goals; improve contribution in current positions; retain high performers; and improve communication with customers, colleagues, managers, and employees. The system focuses on continuous contribution improvement and minimizes administrative requirements.

a. Performance Development Assistance

At the heart of the performance-contribution system is the concept of providing organizational resources to support the development process. While the design of these resources will be delegated to each Systems Center, they will typically consist of performance development assistance, specific to each pay pool, for employees or supervisors requiring developmental support. These resources will act as a support system to identify or help provide for the needs of employees and supervisors in the development process.

Performance development assistance will be available to facilitate communications around expectations and needs and help supervisors and employees seek agreement throughout all aspects of the performance development process. Should performance problems arise, these resources will be particularly useful in diagnosing issues impacting performance (e.g., employee skills, attitudes and motivation, clarity of job expectations, systemic issues, access to information and resources, and relationships with co-workers and supervisor). Additional support may take the form of identifying options for addressing these issues (e.g., development opportunities, tools or equipment to support improved performance, and/or reassignment of the employee to a position that better matches his/her capabilities and interests). Referrals may also be made to other beneficial resources/services and systemic or organizational issues may be examined. Supervisors are expected to utilize performance development assistance in order to prevent and alleviate performance problems. Employees may also seek performance development assistance to help in correcting self identified performance deficiencies, development planning to enhance their career opportunities consistent with the needs of the organization, and facilitating communication and feedback with their supervisors, etc.

b. Two-Level Performance Rating System

Employee performance ratings will be documented annually. The system employs a two-level performance rating system: Acceptable and unacceptable performance. Acceptable performance is defined as, "performance that fulfills the requirements for which the position exists." Basic performance standards will be established for each contribution element; however, a baseline standard of performance from which element-specific performance standards are derived is provided in Appendix C. This baseline is intended to represent the methodology on which performance will be evaluated for all employees, and may be modified as organizational experience with the demonstration project dictates. A formal determination of unacceptable performance is made only if the employee does not meet the requirements detailed in a Performance Improvement Plan (PIP) (see paragraph

II.B.5.f.). No performance-related adverse action will be initiated against an STRL employee under 5 U.S.C. chapter 43 until a formal PIP has been completed and an employee's performance has been found to be unacceptable. Nothing in this section will preclude a performance-related adverse action under title 5 U.S.C. chapter 75.

c. Establishing Contribution Expectations

Clear, mutually understood contribution expectations that are linked to organizational goals, strategies, and values are fundamental to successful individual and organizational performance. The outcome of mutually understood contribution expectations is clear communication of the products and/or services to be delivered by the employee(s), and the success criteria against which those outputs will be assessed. Documentation of outputs and success criteria is required to facilitate mutual understanding of contribution expectations.

The most effective means of creating a common understanding is through a process in which the supervisor and employee discuss requirements and establish contribution goals and expectations. Documentation of contribution expectations will be done annually, at a minimum, at the beginning of the appraisal cycle. Employees and supervisors are expected to actively participate in these discussions to seek clarity regarding expectations and identify potential obstacles to meeting goals. In addition, employees should explain to the extent possible what they need from their supervisor to support goal accomplishment. More frequent task specific discussions of expectations may be appropriate. In cases where work is accomplished by a team, team discussions regarding goals and expectations may be appropriate. Expectations for individual contributions to the team goals, however, should always be clearly specified.

Documentation of contribution expectations is a helpful mechanism for ensuring clarity of understanding and providing a focus for later discussions on progress and developmental needs. In addition to the yearly documentation of contribution expectations, documentation of expectations is required within 30 days of when an employee begins a new or substantially different job.

It is important that employees understand what is expected in order to receive a base pay increase. Supervisors will interpret organizational criteria for their employees to clarify how it applies to their work and have periodic assessment discussions with employees to prevent surprise decisions at the time of payout. In addition, supervisors will document their payout recommendation decisions and discuss their decision rationale with employees.

d. On-Going Contribution Dialogue

To facilitate contribution development, employees and supervisors will engage in on-going dialogue. Ideally this dialogue will occur as part of the day-to-day interactions for the purpose of ensuring a common understanding of expectations, reviewing whether expectations are being met, providing support in identifying resources or solving problems, providing coaching on complex or sensitive issues, providing information to increase the understanding of the project context, and keeping the supervisor informed of progress. In addition to this on-going interaction, however, more formal dialogues will occur focused on reviewing progress, discussing customer feedback, exploring process improvements that could remove obstacles to effective performance, and identifying developmental needs to support continual improvement and career growth. At a minimum, the employee and supervisor will meet twice annually—once at mid-year and again at the end of the contribution appraisal cycle. Documentation of these discussions and resulting plans to support the continuous improvement of individual contribution and organizational performance will be accomplished as described in each organization's internal business rules, policies, or procedures.

e. Feedback From Multiple Sources

The primary purpose of feedback in CARS is to provide employees with information regarding how well the results of their performance is meeting customer requirements in order to help the employees continually improve their contribution to the organization. This feedback provides input to the review and continuous improvement planning discussed as part of the on-going dialogue component.

The responsibility for employee development and continuous improvement is jointly held between the supervisor and employee. They are expected to work together to identify internal and external customers and to define and implement a process by which the employee can regularly receive feedback. A variety of mechanisms may be appropriate, such as customer surveys, process measures which track customer requirements, and discussions with customers. Supervisors are expected to facilitate this process and work with employees to interpret the feedback and establish improvement goals.

Managers and supervisors are also expected to obtain feedback from their customers, including their employees, and to use that feedback as a basis for establishing their own personal and organizational performance development goals.

f. Performance Improvement Plan (PIP)

When an employee has continued performance difficulties, as evidenced by the employee's repeated failure to perform even at the basic performance level specified for all employees, the supervisor will develop a formal PIP to support the employee in resolving performance problems. Performance development assistance may be an integral part of this effort. Supervisors may request assistance in preventing or alleviating performance problems before the need for formal action arises. When there is an indication that the results of an employee's performance are consistently failing to meet customer and organizational requirements, supervisors are expected to provide performance development assistance to analyze the causes of the difficulty and develop an approach for resolving it. Development of a formal PIP is indicated if and when it is determined that the employee's performance, is lagging, as evidenced by the employee's repeated failure to perform even at the basic performance level specified for all employees and informal intervention has not been successful in correcting the problem. Use of the performance development assistance is expected throughout the period of the PIP in an attempt to facilitate a solution to the problem. The PIP must be written and will clearly document organizational expectations for successful job performance, specify accountability, identify developmental resources to correct any skill deficiencies, define the time frame of the PIP, specify organizational support that will be provided and how performance results will be monitored. In addition, the PIP will clearly specify potential consequences if performance does not improve to an acceptable level. Discussions between the supervisor and employee will occur during the time frame of the PIP to review progress. These discussions must be documented. Unacceptable performance may ultimately be addressed via adverse

action procedures available in 5 U.S.C. chapter 43 or 5 U.S.C. Chapter 75.

If an employee's performance is found to be unacceptable following the PIP, one of three actions will be taken: (1) Removal from the Federal service, (2) placement in a lower pay band (demotion) with or without a corresponding reduction in pay, or (3) reduction in pay while remaining in the same pay band. Following any base pay reduction, the objective is to restore performance, produce acceptable results, and maintain base pay commensurate with contribution. A plan will be established to maximize the opportunity for success in the assignment by clearly identifying performance expectations and defining a plan to achieve them within an appropriate time frame, not to exceed 12 months. Typically, PIPs should be complete prior to or within 90 days after the end of an appraisal period. If a PIP is not completed in that time frame the Technical Director/Commanding Officer may grant an additional 90 day extension. Ratings of record and any contribution scores and associated payouts following a PIP that completed after the appraisal period will be made retroactive to the end of the appraisal period. Formal and informal performance guidance will always be made available. If and when performance improves during the period, some or all of the reduced base pay may be restored. Such restoration is not retroactive and is separate and apart from incentive pay.

For the demotion actions, the employee may be moved to a lower pay band within the most appropriate career path, and the employee's new base pay cannot exceed the top of the lower pay band. Within the SSC STRL demonstration project, a change to a lower band without a reduction in pay would not be considered an adverse action and would not be appealable through a statutory appeals process.

6. Contribution Assessment and Recognition System (CARS)

SSC Atlantic and SSC Pacific plan to model a contribution-based system similar to that in use at the NAVSEA Warfare Center's Dahlgren Division and NRL's Contribution-based Compensation System (CCS) models, with some specific modifications designed to integrate elements of each system. SSC Atlantic and SSC Pacific will conduct a careful financial review prior to demonstration project implementation and will make minor adjustments as needed within the specific budgetary guidelines of the NAVSEA contribution-based system procedures.

The purpose of the CARS is to provide an effective means for evaluating and compensating the SSC Atlantic and SSC Pacific workforces. It will enhance and increase fairness and consistency in the appraisal process, facilitate natural career progression for employees, and provide an understandable basis for career progression by linking contribution to compensation determinations.

Supervisors will conduct an annual review of each employee's base pay and contribution in order to decide how base pay should be adjusted to reflect the employee's contribution to the organization. The adjustment may be made as a continuing increase to base pay and/or as a one-time cash bonus. The philosophical foundation for contribution-based pay is described as follows.

One of the outcomes of pay banding, defined here as any base pay range that includes the base pay range of two or more GS grades, is an expanded range of base pay progression opportunities for employees consistent with proper classification of duties. Contribution-based pay is awarded to personnel based on the combination of their contribution and their current base pay. With this comes the necessity to ensure that pay decisions are consistent with the needs and values of the organization. At the same time, they should be seen as fair and equitable. While the SSC STRL demonstration project provides discretion for SSC Atlantic and SSC Pacific to substantially define the criteria and process for managing contribution-based pay, it is appropriate that there be general project wide principles that provide a policy framework for organizational decisions. Those principles are described as follows.

(a) PRINCIPLE: The organization succeeds through the collective contributions of personnel in all occupations.

SSC Atlantic and SSC Pacific perform critical missions for the Navy in support of national defense. These missions require the collective efforts of all personnel. While certain positions and occupations are highly visible, it is the entirety of the organization operating as a collective team toward the same goals that enables the Centers to excel. In that regard, no occupational groups under this demonstration project will be excluded from opportunities for contribution-based pay and bonuses, incentive awards, or other forms of reward or recognition. Further, all personnel whose performance is deemed "acceptable" in an annual SSC STRL demonstration project performance rating can participate in contribution assessment and recognition. Amounts and time intervals will be set by SSC Atlantic and SSC Pacific.

(b) PRINCIPLE: Base pay should be commensurate with value of contribution to the organization.

There should be relative base pay equity among personnel whose contributions to the organization are of equal value. Consistent with this principle, base pay increases should be commensurate with contribution. It follows that as an individual's base pay increases; there is a corresponding increase in expected level of contribution to the organization.

Typically, when a person is hired or promoted to a higher pay band, and base pay is at or near the lower end of that band, there are expected successive increases in base pay toward the mid-range of the corresponding pay band. This base pay growth is reflective of the expected learning curve upon entering a new position and the corresponding increase in contribution commensurate with increasing experience. Pay progression through the mid-range occurs with progressively higher levels of contribution. Beyond that, increasingly higher levels of contribution are expected for base pay to correspondingly increase through the upper range of the pay band.

a. Rating and Contribution Assessment Process

(1) Eligibility.

All employees whose performance is determined to be acceptable in an annual performance rating can participate in contribution assessment and recognition. Employees receiving an unacceptable rating are ineligible for participation in contribution assessment and recognition. Additionally, the annual General Pay Increase (GPI) will be denied for those with a current rating of unacceptable.

(2) Contribution-Based Pay Pool.

Payments under the contribution-based pay system are made from the contribution-based pay pool. Each Systems Center will have authority to manage the contribution-based pay allocation derived from the base pay of employees in that Center that are participating in this demonstration project. Within the contribution-based pay pool, there are separate funds for base pay increases and bonus payments. The contribution-based pay pool is not used to fund promotions between pay bands. Pay pool panels or managers may reduce or deny the next annual GPI for

employees whose contributions are in the "contribution significantly below expectations" category. Such reduction or denial may not place an employee in the "contribution above expectations" category. The employees on retained pay in the demonstration project will receive base pay adjustments in accordance with 5 U.S.C. 5363 and 5 CFR part 536. An employee receiving retained pay is not eligible for a contribution base pay increase, but may be eligible for a contribution bonus.

The contribution-based pay pool will be operated within the parameters of the overall finance system governing the Systems Centers. As a predominately Navy Working Capital Fund (NWCF) activity, SSC Atlantic and SSC Pacific operate on a fee-for-service/break-even basis within the DoD. Under NWCF, the Centers provide reimbursable services for their customers and receive payments based on published stabilized rates. The Assistant Secretary of the Navy for Financial Management and Comptroller oversees the establishment of these stabilized rates through reviews of Biannual Financial Management Budget submissions, which are highly visible at all Command levels. This funding process imposes a discipline in controlling costs.

The size of the base pay fund is based on appropriate factors, including the following:

(a) Historical spending for base pay increase (prior China Lake/Naval Ocean Systems Center demonstration project C Points Fund);

(b) Labor market conditions and the need to recruit and retain a skilled workforce to meet the business needs of the organization; and

(c) The fiscal condition of the organization.

The size of the bonus fund will be based on appropriate factors, including the following:

(a) Historical spending for bonuses (prior China Lake/Naval Ocean Systems Center demonstration project B Points Fund);

(b) The organization's fiscal condition and financial strategies; and

(c) Employee retention rates.

The decision process for defining the size of the contribution-based pay pool and the funds within that pool will be established at the Systems Center level.

(3) Contribution Assessment and Recognition System (CARS)

CARS combines performance appraisal and contribution assessment into a single annual process. At the end of each appraisal period, base pay adjustment decisions are made based on each employee's actual contribution to the organization's mission during the period and the employee's current base pay. A separate but related function is also accomplished by determining if an employee's performance fulfills the requirements for which the position was established. Supervisory officials recommend scores to reflect each employee's contribution, considering both how well the employee is performing and at what level the employee is contributing. Often the two considerations are inseparable. The performance planning and rating portions of the demonstration project's appraisal process constitute a performance appraisal program which complies with 5 CFR part 430 and the DoD Performance Management System, except where waivers have been approved. Performance-related actions initiated prior to implementation of the demonstration project (under DON performance management regulations) shall continue to be processed in accordance with the provisions of the appropriate system.

Supervisors will use CARS to measure employee contributions. CARS employs standardized contribution elements that represent contribution areas for all employees. Each employee in the SSC STRL demonstration project will have four basic contribution elements defined in Appendix D. The four basic elements may be further differentiated, or additional elements derived for specific job functions as demonstration project experience dictates.

Each contribution element will be broken down into specific target language, designed to capture the contribution of the jobs in each pay band of each career path. For each element, this more detailed guidance will assist in distinguishing levels of contribution within a specific pay band and ultimately serve as guidance for managers and supervisors relative to an employee's contribution. Base pay adjustments are based on a comparison of the employee's actual level of contribution and current base pay to an expected level of contribution for typical employees in a pay band and corresponding base pay range.

A sample chart showing detailed benchmark standards for the technical contribution element in the Scientific and Engineering career path (ND) is provided in Appendix E. This example, representative of a single contribution element in one career path, is intended to demonstrate the model, methodology, and approach to evaluating employee contribution. Currently written at the highest contribution standard for the contribution elements, specific language and target level may be adjusted with demonstration project experience, and based on further modifications to contribution elements themselves.

The CARS process will be carried out by supervisors and pay pools in order to facilitate equity and consistency across the organization. Contribution assessments and base pay adjustments may be recommended by the supervisor and must be approved by the appropriate pay pool manager after being validated by the respective pay pool panel, which will consist of supervisory officials or other individuals who are familiar with the organization's work and the contributions of its employees.

In the case of Systems Center attorneys, special consideration must be made relative to assigned score. To avoid conflict with state bar rules, the pay pool panel may not alter the contribution element scores or the overall contribution score that SPAWAR counsel assigns to an attorney; however, the pay pool panel may make independent judgments, such as pay adjustments after considering that score. A reconsideration from a SPAWAR attorney will be handled in accordance with the Office of General Counsel's grievance procedures after SPAWAR counsel and the pay pool panel recommend a resolution.

Both SSC Atlantic and SSC Pacific expect to have their evaluation year run from July 1–June 30, and expect to conduct the annual payout no later than the last pay period in October of each year. The first partial SSC STRL appraisal cycle is expected to end on 30 June 2011 (after which the cycle will run 1 July 2011–30 June 2012, with pay adjustments being effective no later than the last pay period in October 2012, and each year thereinafter). Dates of rating and appraisal cycles may be modified further as organizational experience dictates.

(4) Contribution Expectations and Element Weighting

Supervisors and/or managers may decide that some contribution elements are more important than others relative to the applicability of the individual employee's function or that some do not apply at all to the effective accomplishment of the organization's mission. In such instances, element weights may be established in increments of 5% including a weight of zero which renders the element not applicable to a given employee's position. These supervisor-recommended weights should be reviewed by a higher level supervisor. Contribution elements and any supplemental criteria will be assigned and agreed to by the employee and supervisor. In cases of disagreement

between employee and supervisor, the higher level supervisor will finalize element weighting and any supplemental criteria.

(5) Assessment.

The appraisal period will generally be one year, with a minimum appraisal period of 90 days. At the beginning of the appraisal period, upon an employee's arrival at either Systems Center, or into a new position, the following information will be communicated to employees so that they are informed of the basis on which their performance and contributions will be assessed: Their career path and pay band; applicable contribution elements, element details, element weights, any established supplemental criteria, and basic acceptable performance standards. This communication will be documented and retained in accordance with internal business rules, policies, or procedures. The communication of information described by this paragraph constitutes performance planning as required by 5 CFR 430.206(b).

At each mid-year assessment and the end of the appraisal period, employees will have the opportunity to provide input describing their contributions. Standard operating procedures will provide guidance for supervisors, pay pools and employees on the requirement, content and format of their annual supervisory and employee contribution assessments, and on other types of information about employee contributions which should be developed and considered by supervisors. This will include procedures for capturing contribution information regarding employees who serve on details, who change positions during the appraisal period, who are new to the Systems Center, and other such circumstances.

If an employee changes positions before the final 90 days of the appraisal period, feedback will be provided by the losing supervisor to the gaining supervisor. If an employee changes positions within the demonstration project during the final 90 days of the appraisal period, the losing supervisor will conduct a performance rating and recommend a contribution score at the time the employee moves to the new position. All employees who have worked 90 days or more by the end of the appraisal period will receive a performance rating of record and a contribution score. For employees currently under a formal PIP at the end of the appraisal period, their rating may be delayed up to 90 days to allow for completion of the PIP. Employees who report to SSC Atlantic or SSC Pacific during the last 90 days of the appraisal period, will receive a presumed rating of acceptable, but will not be eligible for a contribution assessment. The employees mentioned above who are not appraised under CARS will not be eligible for base pay increases or bonus awards, but will be given full GPI and locality increases. For other position changes within the last 90 days, the rating and contribution assessment will be conducted in accordance with local business rules, policies, and procedures.

Contributions will be assessed consistent with the organization's policy and criteria as reflected in the written guidance for all employees except those not meeting the minimum 90-day performance threshold or those receiving an unacceptable rating. Supervisors will then review any available employee input and the contribution elements to recommend an appropriate contribution score for each employee. Recommendations on appropriate base pay increases and/or bonuses, both amount and type, will be made based on the employee's contribution score and current base pay in accordance with organizational guidance. These recommendations will be reviewed by the second-level supervisor. Decisions regarding approval/disapproval of recommendations will be made at the organizational level to which authority has been delegated to manage the pay pool.

The pay pool panel will meet to compare scores, make appropriate adjustments, and approve/determine the final base pay adjustment for each employee. Final approval of contribution scores, summary ratings and base pay/bonus award decisions will rest with the pay pool manager (unless higher level approval is requested or deemed necessary). Supervisors will communicate the ratings, scores, and base pay adjustment and/or bonus award to each employee, in accordance with timeline and guidance determined by internal business rules, policies, or procedures.

(6) Normal Pay Range (NPR)—Base Pay Versus Contribution.

The NPR is defined as a numerical range of base pay corresponding to a related range of contribution scores in which the ideal combination of contribution score and base pay falls within that expected range. The mathematical output follows a directly proportional relationship between contribution score and base pay for each career path and pay band centered around a Normal Pay Line, on which a minimum contribution score directly corresponds to the minimum base pay of the pay band and a maximum contribution score corresponds to the maximum base pay of the pay band. By this methodology a base pay half way between the minimum base pay and the maximum base pay in a specific pay band would correspond roughly to a contribution score of 50% (± x %) of the maximum score possible in order to fall within the NPR.

A sample Normal Pay Range/Contribution Chart is represented in Appendix F. This example is intended to demonstrate the methodology, model and approach to be used in determining the relationship between employee contribution and current salary, and will further be used to assist in determining future compensation adjustments. Certain specifics, including contribution element score range (0–10 in this example), the NPR (as defined by the normal pay line, and both sets of upper and lower "rails"), and associated nomenclature ("zone" labeling/designation) may be adjusted by the demonstration project in accordance with normal salary growth, as well as organizational experience with the contribution system.

CARS assumes a relationship between the assessed contribution of the employee and an expected range of base pay commensurate with an employee's contribution score. Employees whose contribution scores are higher than the expected level of contribution for their base pay are considered to have "contribution above expectations," while employees whose contribution scores are lower than the expected level of contribution for their base pay are considered to have "contribution below expectations." All other cases are considered "appropriately compensated" or within the "contribution as expected" category. Each year, the boundaries for the NPR plus the minimum and maximum rate of base pay for each pay band will be adjusted by the amount of the GPI increase granted to the Federal civilian workforce as applied in the GS increase.

b. Compensation Decision Process

(1) Employee Compensation.

Employee compensation can be established, adjusted, and/or augmented in a variety of ways, including general pay increases, base pay increases, locality pay increases, contribution and incentive awards, and promotions. Under the SSC STRL demonstration project, SSC Atlantic and SSC Pacific will distribute the budget authority in accordance with section II.B.6.a.(2) above, into five categories:

(a) General pay increases (not part of contribution-based pay pool funds);

(b) Locality pay increases (not part of contribution-based pay pool funds);

(c) Continuing base pay increases;

(d) Contribution bonuses; and

(e) Incentive awards (not part of contribution-based pay pool funds).

From these categories, two annual personnel actions will be authorized based primarily on employees' contributions, relative to the NPR. Continuing base pay and contribution bonuses typically become effective no later than October following each appraisal cycle. General Pay Increases (dependent on employee's contribution score in relationship to the NPR) and Locality Pay adjustments will be issued at the same time they are issued for the greater Federal workforce. Payout dates may be redefined as organizational experience is gained.

In general, the goal of CARS is to pay in a manner such that base pay is consistent with employee contribution. A basic matrix of compensation eligibility vs. placement relative to NPR is provided in Appendix G. More detailed industry and organizational-based guidance is being developed to provide specific compensation recommendations to pay pool management. This data will change as market, and organizational experience dictates. All contribution-based pay pool amounts, and consequent payouts, will be in terms of dollars.

After the annual appraisal process has been completed and the employees' contribution scores, and base pay adjustments and/or contribution awards have been initially assigned by the appropriate supervisory authority, the pay pool manager, in consultation with the pay pool panel or other pay pool supervisory and staff officials, will finalize/approve the base pay increases, portions of the GPI, and contribution bonuses. Internal policies will provide guidance to assist pay pool managers in making payout determinations. In most cases, the pay pool manager will approve contribution-based continuing pay changes and bonuses. In some cases, however, approval of a higher level official will be required.

(2) General Pay Increases.

General pay increase budget authority will be available as derived under 5 U.S.C. 5303 or similar authority. Pay pool panels or managers may reduce or deny the annual GPI for employees whose contributions are in the "contribution significantly below expectations" category. Such reduction or denial may not place an employee in the "contribution above expectations" category.

(3) Base Pay Increases.

Base pay increases will normally be granted to employees whose contribution places them in the "contribution as expected" or "contribution above expectations" categories. In general, the level of continuing base pay increase should correspond to the level of contribution relative to the normal pay range for the career path and pay band. In other words, contribution above the level of the established pay range should result in a corresponding increase in base pay. The following limitations apply in that a base pay increase should not place any employee's:

(a) Base pay in the "contribution below expectations" category;

(b) Adjusted base pay in excess of Executive Level IV;

(c) Base pay in excess of the maximum rate of base pay for the individual's pay band (unless the employee is being concurrently advanced to a higher pay band).

Continuing base pay increase guidance will be outlined in internal business rules.

Each Systems Center's base pay increase category will be set each year at or near 2.4 percent of their individual total base pay rates. The 2.4 percent figure will be adjusted as necessary to facilitate the most efficient business operations.

The amount of budget authority available to each pay pool will be determined annually by the appropriate Center Technical Director/Commanding Officer. Factors to be considered by the Technical Director/Commanding Officer in determining annual budget authority may include market salaries, mission priorities, and organizational growth. Because statistical variations will occur in year-to-year personnel growth, any unexpended base pay increase allocation may be transferred to the contribution-bonus category.

(4) Contribution Bonus Awards.

Authority for contribution bonuses (lump-sum payments recognizing significant contributions not adequately recognized through a base pay increase) will be initially available to pay pools as a straight one percent of the total of employees' base pay. The percentage rate may be adjusted in future years of the demonstration project. Generally, bonuses will be granted to those employees whose contributions place them in the "contribution as expected," or in the "contribution above expectations," category. Internal business rules will provide guidance to pay pool managers in establishing and applying criteria and contribution-based bonus award limits to determine significant contributions which warrant awards.

Much of the terminology used above is consistent with both performance, and contribution-based evaluation and compensation. Use of terms such as "elements" and categories of "contribution above expectations" or "contribution below expectations" are to provide the direct linkage between the SSC STRL demonstration project and those systems from which it has evolved. As SSC STRL is implemented, language associated with these areas may be modified in order to facilitate workforce understanding and acceptance of contribution theory, as well as to effectively integrate annual performance requirements with contribution appraisal. Any such changes in terms or associated language will not change the essential meaning of performance/objective/contribution theory.

c. Reconsideration of Rating and Scoring Decisions

Employees will have the opportunity to request reconsideration of their ratings of record and/or assessed contribution scores. In this way, SSC Atlantic and SSC Pacific believe that contribution assessment disputes will be focused on the substantive and relevant contribution issues, which in turn guide base pay and bonus decisions. While the specific purpose of the reconsideration dispute is for employees to address concerns about such decisions, the process is also intended to facilitate communication and understanding between employees and supervisors/managers concerning contributions and their impact on pay decisions. In addition, the process seeks to identify possible systemic problems that need to be addressed. In that regard, reconsideration is considered a positive and integral component of an effective contribution-based pay system by providing a mechanism to support continuous improvement. Accordingly, employees will not be discouraged from requesting reconsideration, nor will they be subjected to reprisal or stigma. The specific process for reconsideration will be defined at the Systems Center level. That process will include, but will not necessarily be limited to, the following characteristics: it should be administratively streamlined; provide expedited resolution; maintain appropriate confidentiality; be fair and impartial; address assertions of harmful error involving issues of process and procedure; and ensure that management rating and scoring decisions reflect reasonableness in judgment in evaluating applicable criteria. Harmful

error is defined as: Error by the Systems Center in the application of its procedures which, in the absence or cure of the error, might have caused the Systems Center to reach a conclusion different than the one reached. The burden is upon the appellant to show that based upon the record as a whole the error was harmful (i.e., caused substantial harm or prejudice to his/her rights).

SSC Atlantic and SSC Pacific will employ an appeal process in which the employee desiring reconsideration appeals directly to the organization's Technical Director/Commanding Officer or delegate. Prior to this consolidated appeal process, employees will be encouraged to seek informal reconsideration with first-, second- or third-level supervisors. The formal consolidated process will eliminate costly and staggered review processes, and will provide the employees timely and high-level appeal decisions by a senior third party. The Technical Director/Commanding Officer ruling is final. If an employee's rating or contribution score is changed during the reconsideration process, the new score will be applied to the compensation adjustment process. The following are not considered appealable under the reconsideration process: compensation decisions such as receipt, non-receipt, or amount of general increase, base pay increase, and bonus.

Appeals that contain allegations that a performance rating was based on prohibited action(s) that are subject to formal review and adjudication by a third party may not be processed through the reconsideration process, but instead may be processed by the employee through the applicable third party process. Such third parties include, but are not limited to: The Merit Systems Protection Board (MSPB), the Office of Special Counsel (OSC), the OPM, the Federal Labor Relations Authority (FLRA), and the Equal Employment Opportunity Commission (EEOC).

7. Reduction-in-Force (RIF)

Flexible and responsive alternatives are needed to restructure an organization in a short period of time. The proposed RIF system will have a single round of competition to replace the "two round" process. Once the position to be abolished has been identified, the incumbent of that position may "displace" another employee when the incumbent has a higher retention standing and meets OPM and agency qualification standards for the position occupied by the employee with a lower standing. Retention standing is based on tenure, veterans' preference, and RIF Service Computation Date (SCD) as adjusted by the employee's contribution scores. Adjustments applied and RIF procedures will be specified in internal business rules, policies, or procedures, and will be consistent across all pay bands and career paths. An employee rated as unacceptable during the 12-month period preceding the effective date of a RIF may only displace an employee rated unacceptable during that same period.

5 CFR 351.702 will serve as the criteria to determine employee qualification in RIF placement. The displaced individual may similarly displace other employees. If/when there is no position in which an employee can be placed by this process or assigned to a vacant position, that employee will be separated. Displacement is limited to one pay band below the employee's present level. A preference eligible employee with a compensable service connected disability of 30 percent or more may displace up to two pay bands (or the equivalent of five GS grades) below the employee's present level. The new system will eliminate retained grade but will preserve retained pay in accordance with 5 CFR part 536. The competitive area may be determined by career paths, business units, product lines, organizational units, funding lines, occupational series, competency, geographic location, or a combination of these elements, and must include all STRL demonstration project employees within the defined competitive area. All positions included in the demonstration project within an activity at a specific geographic location will be considered a separate competitive area. RIFs are conducted by the DON Human Resources Service Centers.

8. Conversion From NSPS Into the Demonstration Project

a. Placement Into Demonstration Project Pay Plans and Pay Bands From NSPS

The employee's NSPS occupational series, pay plan, pay band, and supervisory code will be considered upon converting into the demonstration project as follows.

(1) Determine the Appropriate Demonstration Project Pay Plan. Employees will be converted into a pay plan based on the occupational series of their position. For supervisors, conversion to that pay plan will be without regard to the occupational series. In cases where the employee is assigned to a NSPS-unique occupational series, a corresponding OPM occupational series must be identified using OPM GS classification standards and guidance to determine the proper demonstration project pay plan.

(2) Determine the Appropriate Pay Band. The appropriate pay band will be determined primarily by classifying each employee's position using the applicable classification standards for the Demo project. Relevant background information such as GS conversion grade, grade/level held previously, etc., may be an indicator of the level of classification review required.

b. Pay Upon Conversion

Conversion from NSPS into the demonstration project will be accomplished with full employee pay protection. Adverse action provisions will not apply to the conversion action. In accordance with section 1113(c)(1) of NDAA for FY 2010, which prohibits a loss of or decrease in pay upon transition from NSPS, employees converting to the demonstration project will retain the adjusted base salary (as defined in 5 CFR 9901.304) from their NSPS permanent position at the time the position converts or have base pay adjusted to correspond to the base pay of the pay band minimum to which they are converting. Upon conversion, the retained NSPS adjusted salary may not exceed Level IV of the Executive Schedule (EX–IV) plus 5 percent. If the employee's base/basic pay exceeds the maximum rate for his or her assigned demonstration project pay band, the employee will be placed on indefinite pay retention until an event, as described in 5 CFR 536.308, results in a loss of eligibility for or termination of pay retention. Increases to the retained rate after conversion will be in accordance with applicable regulations; however, for any NSPS employee whose retained rate exceeds EX–IV upon conversion, any adjustment to the retained rate in accordance with applicable pay retention regulations may not cause the employee's adjusted pay to exceed EX–IV plus 5 percent.

In the case of employees temporarily assigned to an NSPS position prior to that position converting to the demonstration project, who is then in turn retained in that temporary position immediately after conversion—Section 1113(c)(1) would still apply to the temporary position, i.e., there will be no loss or decrease in pay as a result of the conversion of either a temporary or permanent position from NSPS to the demonstration project.

Employees who were covered by an NSPS targeted local market supplement (TLMS) prior to conversion to the demonstration project will no longer be covered by a TLMS. Instead they may

receive a locality or, if applicable similar supplement (e.g., a staffing supplement), whichever is greater, or pay retention, if applicable. The adjusted base pay upon conversion will not change.

Once converted, employees may receive other adjustments and/or differentials if applicable, as described in this regulation or an implementing issuance.

(1) Fair Labor Standards Act (FLSA) Status. Since FLSA provisions were not waived under NSPS and duties do not change upon conversion to the demonstration project, the FLSA status determination will remain the same upon conversion. Employees will be converted to the demonstration project with the same FLSA status they had under NSPS.

(2) Transition Equity. During the first 12 months following conversion to the demonstration project, management may approve certain adjustments within the pay band for pay equity reasons stemming from conversion. For example, if an employee would have been otherwise promoted but demonstration project pay band placement no longer provides the opportunity for a promotion, a pay equity adjustment may be authorized provided the adjustment does not cause the employee's base pay to exceed the maximum rate of his or her assigned pay band and the employee's contribution warrants an adjustment. The decision to grant a pay equity adjustment is at the sole discretion of management and is not subject to employee appeal procedures.

During the first 12 months following conversion, management may approve an adjustment of not more than 10 percent, provided the adjustment does not cause the employee's base pay to exceed the maximum rate of his or her assigned pay band and the employee's contribution warrants an adjustment, to mitigate compensation inequities that may be caused by artifacts of the process of conversion into STRL pay bands.

c. Pay Band Retention

Employees converting from NSPS to the demonstration project will not be granted pay band retention based on the pay band formerly assigned to their NSPS position.

d. Converting Employees on NSPS Term and Temporary Appointments

Employees serving under term appointments at the time of conversion to the demonstration project will be converted to modified term appointments provided they were hired for their current positions under competitive procedures. These employees will be eligible for conversion to career or career-conditional appointments in the competitive service provided they:

(1) Have served two years of continuous service in the term position;

(2) Were selected for the term position under competitive procedures; and

(3) Are performing at a satisfactory level.

Converted term employees who do not meet these criteria may continue on their term appointment up to the not-to-exceed date established under NSPS. Extensions of term appointments after conversion may be granted in accordance with 5 CFR part 316, subpart D.

Employees serving under temporary appointments under NSPS will be converted when their organization converts to the demonstration project and may continue on their temporary appointment up to the not-to-exceed date established under NSPS. Extensions of temporary appointments after conversion may be granted in accordance with 5 CFR 213.104 for excepted service employees and 5 CFR part 316, subpart D, for competitive service employees.

e. Probationary Periods

(1) *Initial Probationary Period.* NSPS employees who have completed an initial probationary period prior to conversion from NSPS will not be required to serve a new or extended initial probationary period. NSPS employees who are serving an initial probationary period upon conversion from NSPS will serve the time remaining on their initial probationary period.

(2) *Supervisory Probationary Period.* NSPS employees who have completed a supervisory probationary period prior to conversion from NSPS will not be required to serve a new or extended supervisory probationary period. NSPS employees who are serving a supervisory probationary period upon conversion from NSPS will serve the time remaining on their supervisory probationary period.

9. Conversion From Other Personnel Systems

Employees who enter this demonstration project from other personnel systems (e.g., Defense Civilian Intelligence Personnel System, DoD Civilian Acquisition Workforce Demonstration Project, or other STRLs) due to a reorganization, mandatory conversion, Base Closure and Realignment Commission decision, or other directed action will be converted into the SSC STRL demonstration project via movement of their positions using an 890 Nature of Action Code. Employees' positions will be classification based upon the position classification criteria under the laboratory demonstration project rules and their pay, upon conversion, maintained under applicable pay setting rules.

10. Movement Out of the SSC STRL Demonstration Project

a. Termination of Coverage Under the SSC STRL Demonstration Project Pay Plans

In the event employees' coverage under the SSC STRL demonstration project pay plans is terminated, employees move with their demonstration project position to another system applicable to SSC STRL employees. The grade of their demonstration project position in the new system will be based upon the position classification criteria of the gaining system. Employees when converted to their positions classified under the new system will be eligible for pay retention under 5 CFR part 536, if applicable.

b. Determining a GS-Equivalent Grade and GS-Equivalent Rate of Pay for Pay Setting Purposes When an SSC Employee's Coverage by a Demonstration Project Pay Plan Terminates or the Employee Voluntarily Exits the SSC STRL Demonstration Project

If a demonstration project employee is moving to a GS or other pay system position, the following procedures will be used to translate the employee's project pay band to a GS-equivalent grade and the employee's project base pay to the GS-equivalent rate of pay for pay setting purposes. The equivalent GS grade and GS rate of pay must be determined before movement out of the demonstration project and any accompanying geographic movement, promotion, or other simultaneous action. For lateral reassignments, the equivalent GS grade and rate will become the employee's converted GS grade and rate after leaving the demonstration project (before any other action). For transfers, promotions, and other actions, the converted GS grade and rate will be used in applying any GS pay administration rules applicable in connection with the employee's movement out of the project (e.g., promotion rules, highest previous rate rules, pay retention rules), as if the GS converted grade and rate were actually

in effect immediately before the employee left the demonstration project.

(1) Equivalent GS-Grade-Setting Provisions.

An employee in a pay band corresponding to a single GS grade is provided that grade as the GS-equivalent grade. An employee in a pay band corresponding to two or more grades is determined to have a GS-equivalent grade corresponding to one of those grades according to the following rules:

(a) The employee's adjusted base pay under the demonstration project (including any locality payment or staffing supplement) is compared with step 4 rates in the highest applicable GS rate range. For this purpose, a GS rate range includes a rate in:

i. The GS base schedule;

ii. The locality rate schedule for the locality pay area in which the position is located; or

iii. The appropriate special rate schedule for the employee's occupational series, as applicable.

If the series is a two-grade interval series, only odd-numbered grades are considered below GS–11.

(b) If the employee's adjusted base pay under the demonstration project equals or exceeds the applicable step 4 adjusted base pay rate of the highest GS grade in the band, the employee is converted to that grade.

(c) If the employee's adjusted base pay under the demonstration project is lower than the applicable step 4 adjusted base pay rate of the highest grade, the adjusted base pay under the demonstration project is compared with the step 4 adjusted base pay rate of the second highest grade in the employee's pay band. If the employee's adjusted base pay under the demonstration project equals or exceeds the step 4 adjusted base pay rate of the second highest grade, the employee is converted to that grade.

(d) This process is repeated for each successively lower grade in the band until a grade is found in which the employee's adjusted base pay under the demonstration project rate equals or exceeds the applicable step 4 adjusted base pay rate of the grade. The employee is then converted at that grade. If the employee's adjusted base pay is below the step 4 adjusted base pay rate of the lowest grade in the band, the employee is converted to the lowest grade.

(e) Exception: An employee will not be provided a lower grade than the grade held by the employee immediately preceding a conversion, lateral reassignment, or lateral transfer into the project, unless since that time the employee has either undergone a reduction in band or a reduction within the same pay band due to unacceptable performance.

(2) Equivalent GS-Rate-of-Pay-Setting Provisions.

An employee's pay within the converted GS grade is set by converting the employee's demonstration project rates of pay to GS rates of pay in accordance with the following rules:

(a) The pay conversion is done before any geographic movement or other pay-related action that coincides with the employee's movement or conversion out of the demonstration project.

(b) An employee's adjusted base pay under the demonstration project (i.e., including any locality payment or staffing supplement) is converted to a GS adjusted base pay rate on the highest applicable GS rate range for the converted GS grade. For this purpose, a GS rate range includes a rate range in:

i. The GS base schedule,

ii. An applicable locality rate schedule, or

iii. An applicable special rate schedule.

(c) If the highest applicable GS rate range is a locality pay rate range, the employee's adjusted base pay under the demonstration project is converted to a GS locality rate of pay. If this rate falls between two steps in the locality-adjusted schedule, the rate must be set at the higher step. The converted GS unadjusted rate of base pay would be the GS base rate corresponding to the converted GS locality rate (i.e., same step position).

(d) If the highest applicable GS rate range is a special rate range, the employee's adjusted base pay under the demonstration project is converted to a special rate. If this rate falls between two steps in the special rate schedule, the rate must be set at the higher step. The converted GS unadjusted rate of base pay will be the GS rate corresponding to the converted special rate (i.e., same step position).

(3) Employees with Pay Retention.

If an employee is receiving a retained rate under the demonstration project, the employee's GS-equivalent grade is the highest grade encompassed in his or her pay band level. Demonstration project operating procedures will outline the methodology for determining the GS-equivalent pay rate for an employee retaining a rate under the demonstration project.

III. SSC STRL Demonstration Project Duration

Section 342 of the National Defense Authorization Act for fiscal year 1995 (Pub. L. 103–337) does not require a mandatory expiration date for this demonstration project. The project evaluation plan addresses how each intervention will be comprehensively evaluated for at least the first 5 years of the demonstration project. Major changes and modifications to the interventions would be made using the provisions of DoDI 1400.37.

At the five-year point, the entire demonstration will be reexamined for either: (a) Permanent implementation, (b) modification and another test period, or (c) termination of the project.

IV. SSC STRL Demonstration Project Evaluation Plan

Consistent with guidance from DoD, SSC Atlantic and SSC Pacific propose utilizing the same evaluation plan as is being used by existing demonstration projects. Accordingly, standard language for Evaluation Plan, Evaluation, and Method of Data Collection (sections IV.B., IV.C, and IV.D., respectively) provided by DoD is used in this document to describe SSC Atlantic's and SSC Pacific's plans and procedures for the demonstration project evaluation. The use of parallel evaluation methodologies will facilitate comparisons across demonstration projects to derive higher-order conclusions about the benefits, challenges, and overall effectiveness of these programs.

A. Overview

Chapter 47 of 5 U.S.C. requires that an evaluation be performed to measure the effectiveness of the proposed laboratory demonstration project, and its impact on improving public management. A comprehensive evaluation plan for the entire laboratory demonstration program, originally covering 24 DoD laboratories, was developed by a joint OPM/DoD Evaluation Committee in 1995. This plan was submitted to the Office of Defense Research & Engineering and was subsequently approved (see Proposed Plan for Evaluation of the Department of Defense S&T Laboratory Demonstration Program, Office of Merit Systems Oversight and Effectiveness, June 1995). The main purpose of the evaluation is to determine whether the waivers granted result in a more effective personnel system and improvements in ultimate outcomes (i.e., laboratory effectiveness, mission accomplishment, and customer satisfaction). In March 1996, the Director of Defense Research & Engineering (DDR&E), who is responsible for laboratory management, entered into an agreement with OPM's Personnel Resources and Development Center (PRDC) to conduct the external evaluation of the project from FY 1996

to FY 2001. The Centers will make arrangements for the continued evaluation of the project beyond the PRDC evaluation period and throughout the life of the demonstration project so as to fulfill the requirements of 5 U.S.C. Chapter 47.

B. Evaluation Model

Appendix H shows an intervention model for the evaluation of the demonstration project. The model is designed to evaluate two levels of organizational performance: Intermediate and ultimate outcomes. The intermediate outcomes are defined as the results from specific personnel system changes and the associated waivers of law and regulation expected to improve human resource (HR) management (i.e., cost, quality, and timeliness). The ultimate outcomes are determined through improved organizational performance, mission accomplishment, and customer satisfaction. Although it is not possible to establish a direct causal link between changes in the HR management system and organizational effectiveness, it is hypothesized that the new HR system will contribute to improved organizational effectiveness.

Organizational performance measures established by the organization will be used to evaluate the impact of a new HR system on the ultimate outcomes. The evaluation of the new HR system for any given organization will take into account the influence of three factors on organizational performance: Context, degree of implementation, and support of implementation. The context factor refers to the impact which intervening variables (e.g., downsizing, changes in mission, or the economy) can have on the effectiveness of the program. The degree of implementation considers the extent to which the:

(1) HR changes are given a fair trial period;

(2) Changes are implemented; and

(3) Changes conform to the HR interventions as planned.

The support of implementation factor accounts for the impact that factors such as training, internal regulations and automated support systems have on the support available for program implementation. The support of implementation factor can also be affected by the personal characteristics (e.g., attitudes) of individuals who are implementing the program.

The degree to which the project is implemented and operated will be tracked to ensure that the evaluation results reflect the project as it was intended. Data will be collected to measure changes in both intermediate and ultimate outcomes, as well as any unintended outcomes, which may happen as a result of any organizational change. In addition, the evaluation will track the impact of the project and its interventions on veterans and other protected groups, the Merit Systems Principles, and the Prohibited Personnel Practices. Additional measures may be added to the model in the event that changes or modifications are made to the evaluation plan.

The intervention model presented in Appendix H will be used to measure the effectiveness of the personnel system interventions implemented. The intervention model specifies each personnel system change or "intervention" that will be measured and shows:

(1) The expected effects of the intervention,

(2) The corresponding measures, and

(3) The data sources for obtaining the measures.

Although the model makes predictions about the outcomes of specific interventions, causal attributions about the full impact of specific interventions will not always be possible for several reasons. For example, many of the initiatives are expected to interact with each other and contribute to the same outcomes. In addition, the impact of changes in the HR system may be mitigated by context variables, such as the job market, legislation, and internal support systems, or support factors, such as training and automation support systems.

C. Evaluation

A modified quasi-experimental design will be used for the evaluation of the STRL Personnel Demonstration Program. Because most of the eligible laboratories are participating in the program, a title 5 U.S.C. comparison group will be compiled from the Central Personnel Data File (CPDF). This comparison group will consist of workforce data from Government-wide research organizations in civilian Federal agencies with missions and job series matching those in the DoD laboratories. This comparison group will be used primarily in the analysis of pay banding costs and turnover rates.

D. Method of Data Collection

Data from several sources will be used in the evaluation. Information from existing management information systems and from personnel office records will be supplemented with perceptual survey data from employees to assess the effectiveness and perception of the project. The multiple sources of data collection will provide a more complete picture as to how the interventions are working. The information gathered from one source will serve to validate information obtained through another source. The confidence of overall findings will be strengthened as the different collection methods substantiate each other.

Both quantitative and qualitative data will be used when evaluating outcomes. The following data will be collected:

(1) Workforce data;

(2) Personnel office data;

(3) Employee attitude surveys;

(4) Focus group data;

(5) Local site historian logs and implementation information;

(6) Customer satisfaction surveys; and

(7) Core measures of organizational performance.

The evaluation effort will consist of two phases, formative and summative evaluation, covering at least five years to permit inter- and intra-organizational estimates of effectiveness. The formative evaluation phase will include baseline data collection and analysis, implementation evaluation, and interim assessments. The formal reports and interim assessments will provide information on the accuracy of project operation, and current information on impact of the project on veterans and protected groups, Merit System Principles, and Prohibited Personnel Practices. The summative evaluation will focus on an overall assessment of project outcomes after five years. The final report will provide information on how well the HR system changes achieved the desired goals, which interventions were most effective, and whether the results can be generalized to other Federal installations.

V. Demonstration Project Costs

SSC Atlantic and SSC Pacific will model their demonstration project on existing demonstration projects, but must assume some expanded demonstration project costs, as detailed in Figure 3–1.

Current cost estimates associated with implementing the SSC Atlantic and SSC Pacific demonstration project are shown in Figure 3.1. These include possible automation of training and project evaluation systems. The automation and training costs are startup costs. Transition costs are one-time costs. Costs for project evaluation will be ongoing for at least 5 years.

Figure 3.1 Projected Implementation Costs (Then Year Dollars)

	FY10	FY11	FY12	FY13	FY14
Software Development & Automation	$200K	$600K	$100K	$75K	$75K
Software Hosting & Sustainment	$100K	$175K	$175K	$175K	$175K
Training Development & Workforce Training	$400K	$2.8M	$350K	$75K	$75K
Project Evaluation	$0	$50K	$50K	$50K	$100K
STRL Transition	$350K	$350K	$0	$0	$0
STRL Sustainment / Management	$0	$0	$200K	$200K	$200K
TOTALS	$1.050M	$3.975M	$875	$575K	$625K

VI. Automation Support

A. General

One of the major goals of the demonstration project is to streamline the personnel processes to increase cost effectiveness. Automation must play an integral role in achieving that goal. Without the necessary atuomation to support the interventions proposed for the demonstration project, optimal cost benefit cannot be realized. In addition, adequate information to support decision making must be available to managers if line management is to assume greater authority and responsibility for human resources management.

Automation to support the demonstration project is required at the DON and DoD level, (in the form of changes to the Defense Civilian Personnel Data System (DCPDS)) to facilitate processing and reporting of demonstration project personnel actions, and may be ultimately required by the Systems Centers to assist in processing a variety of personnel-related actions in order to facilitate management processes and decision making.

B. Defense Civilian Personnel Data System (DCPDS)

DCPDS is the DoD's authoritative personnel data system and program of record and, as such, will be the system of choice for the STRL labs. The detailed specifications for required changes to DCPDS will be provided in the System Change Request (SCR), Form 804, concurrent with submission of this document.

VII. Project Oversight and Management

Project oversight and management will be carried out by the Systems Centers' Senior Leadership, composed of the Technical Directors and Commanding Officers of both organizations. They will be assisted initially by the SSC STRL Demonstration Project Implementation Committee, and once established, by the permanent SSC STRL Project Management team.

B. Personnel Administration

All personnel laws, regulations, and guidelines not waived by this plan will remain in effect. Basic employee rights will be safeguarded and merit system principles will be maintained.

C. Modifications

Many aspects of a demonstration project are experimental. Modifications may be made from time to time as experience is gained, results are analyzed, and conclusions are reached on how the new system is working. Modifications would be made in accordance with DoD Instruction (DoDI) 1400.37.

VIII. Required Waivers to Law and Regulation

Public Law 106–398 gave the DoD the authority to experiment with several personnel management innovations. In addition to the authorities granted by the law, the following are waivers of law and regulation that will be necessary for implementation of the demonstration project. In due course, additional laws and regulations may be identified for waiver request.

The following waivers and adaptations of certain title 5 U.S.C. and 5 CFR provisions are required only to the extent that these statutory provisions limit or are inconsistent with the actions contemplated under this demonstration project. Nothing in this plan is intended to preclude the demonstration project from applying, adopting or incorporating any law or OPM, DoD, or DON regulation enacted, adopted, or amended after the effective date of this demonstration project.

A. Waivers to title 5, U.S.C.

Chapter 5, section 552a: Records. Waive to the extent required to clarify that volunteers under the Voluntary Emeritus Program are considered employees of the Federal government for purposes of this section.

Chapter 31, section 3111: Acceptance of volunteer service. Waived to allow for a Volunteer Emeritus Program in addition to student volunteers.

Chapter 33, section 3317(a): Competitive service, certification from register (in so far as "rule of three" is eliminated under the demonstration project).

Chapter 33, section 3318(a): In so far as "rule of three" is eliminated under the demonstration Project. Veterans' preference provisions remain unchanged.

Chapter 33, section 3321: Competitive Service; Probationary Period. This section waived only to the extent necessary to replace grade with "pay band."

Chapter 33, section 3341: Details. Waived in its entirety.

Chapter 41, section 4108(a)–(c): Employee Agreements; Service after Training. Waived to the extent necessary to: (1) Provide that the employee's service obligation is to the respective Systems Center organization for the period of the required service; (3) permit the Technical Directors/ Commanding Officers to waive in whole or in part a right of recovery; and (3) require employees under the Student Career Experience Program who have received tuition assistance to sign a service agreement up to three times the length of the training.

Chapter 43, section 4303: Only insofar as it applies to the downward

movement between pay bands because of failure to receive base pay increases.

Chapter 43, section 4304(b)(1) and (3): Responsibilities of the OPM. Waived in its entirety to remove the responsibilities of the OPM with respect to the performance appraisal system.

Chapter 45, section 4502: Limitation of cash awards to $10K. Waived to allow Technical Director/Commanding Officer to award up to $25K with the same level of authority as the Secretary of Defense to grant cash awards. The requirement for certification and approval of the cash awards by OPM is not required. All other provisions of section 4502 apply.

Chapter 51, section 5101–5112: Purpose, definitions, basis, classification of positions, review, authority—to the extent that white collar employees will be covered by broad banding.

Chapter 53, section 5301; 5302(1), (8), and (9); section 5303; and section 5304: Pay Comparability System. (To the extent necessary to allow demonstration project employees covered by broad banding to be treated as General Schedule employees and to allow basic rates of pay under the demonstration project to be treated as scheduled rates of basic pay.)

Chapter 53, section 5305: Special Pay Authority. Waived in its entirety.

Chapter 53, section 5331–5336: General Schedule Pay Rates. Waived in its entirety.

Chapter 53, section 5362: Grade Retention. Waived in its entirety.

Chapter 53, section 5363: Pay Retention. Waived only to the extent necessary to (1) Replace "grade" with "pay band;" (2) allow demonstration project employees to be treated as General Schedule employees; (3) provide that pay retention does not apply to reductions in basic pay due solely to the operation of the pay setting rules for geographic movement within the demonstration project; (4) enable reduction in the GPI for under-contributing employees receiving a retained rate; (5) allow no provision of grade or pay band retention under this demonstration project; and (6) allow STRL employees receiving a staffing supplement to be considered for pay retention when the staffing supplement is discontinued or reduced. (The waiver of this section does not apply to SL/ST employees unless they move to a GS equivalent position under conditions that trigger entitlement to pay retention.)

Chapter 55, section 5545(d): Related to hazardous duty premium pay (only to the extent necessary to allow demonstration project employees to be treated as General Schedule employees).

Chapter 57, sections 5753, 5754, and 5755: Related to recruitment, relocation, retention payments, and supervisory differential. (These sections waived to the extent necessary to allow: (1) Employees and positions under the demonstration project to be treated as employees and positions under the GS; and (2) that management may offer a bonus to incentivize geographic mobility to a SCEP student.)

Chapter 59, section 5941: Allowances based on living costs and conditions of environment; employees stationed outside continental United States or Alaska (Only to the extent necessary to provide that COLA's paid to employees under the demonstration project are paid in accordance with regulations prescribed by the President (as delegated to OPM)).

Chapter 75, sections 7501(1), 7511(a)(1)(A)(ii), and 7511(a)(1)(C)(ii): Adverse Actions—Definitions. Waived to the extent necessary to allow for up to a three-year probationary period and to permit termination during the extended probationary period without using adverse action procedures for those employees serving a probationary period under an initial appointment except for those with veterans' preference.

Chapter 75, section 7512(3); To the extent necessary to (1) replace "grade" with "pay band" and (2) exclude reductions in pay band not accompanied by a reduction in pay taken under Chapter 43.

Chapter 75, section 7512(4): Adverse Action. (Only to the extent necessary to provide that adverse action provisions do not apply to (1) conversions from General Schedule special rates to demonstration project pay and reallocations of demonstration project pay rates within special rate extensions to locality adjusted pay rates due to promotions of general or locality pay increases, as long as the employee's total rate of pay is not reduced; and (2) reductions in basic pay due solely to the operations of the pay setting rules for geographic movement within the demonstration project.)

B. Waivers to title 5, CFR

Part 300, sections 300.601 through .605: Time-in-grade restrictions are eliminated in the demonstration project.

Part 308, sections 308.101 through 308.103: Volunteer service. Waived to allow for a Volunteer Emeritus Program in addition to student volunteers.

Part 315, sections 315.801(a), 315.801(b)(1), (c), and (e), and 315.802(a) and (b)(1): Probationary period and Length of probationary period. Waived to the extent necessary to allow for up to a three-year probationary period and to permit termination during the extended probationary period without using adverse action procedures for those employees serving a probationary period under an initial appointment except for those with veterans' preference.

Part 316, sections 316.301, 316.303, and 316.304: Term Employment. These sections are waived to allow modified term appointments as described in this **Federal Register**.

Part 332, section 332.402: "Rule of three" will not be used in the demonstration project. When there are no more than 15 qualified applicants and no preference eligible, all eligible applicants are referred to the selection official without rating or rankings. Statutes and regulations covering veterans' preference are observed in the selection process and when rating and ranking are required.

Part 332, section 332.404: Waived to provide that the order of selection is not limited to highest three eligibles.

Part 335, section 335.103: Agency promotion programs. Waived to the extent necessary to extend the length of details and temporary promotions without requiring competitive procedures or numerous short-term renewals.

Part 337, section 337.101(a): Rating applicants. Waived to the extent necessary to allow referral without rating when there are 15 or fewer qualified candidates and no qualified preference eligibles.

Part 340, subpart A, subpart B, and subpart C: Other than Full-Time Career Employment. These subparts are waived to the extent necessary to allow a Volunteer Emeritus Program.

Part 351, section 351.402(b): Competitive area to the extent that "part of the agency" can be defined by career paths, business units, product lines, organizational units, funding lines, occupational series, competency, and geographic locations.

Part 351, sections 351.403(a) and (b): Competitive levels to the extent that there is no requirement for the establishment of competitive levels in the demonstration project.

Part 351, section 351.404(a) and (b): Retention register to the extent that the requirement to establish separate retention registers by competitive level is eliminated.

Part 351, section 351.501(a)(3): For order of retention, delete "as augmented by credit for performance" under section 351.504. Part 351, section 351.504: Credit for performance to the extent that

the demonstration project eliminates service credit for performance.

Part 351, section 351.504: Performance Credit for RIF, to the extent veteran standing is based on Service Computation Data (SCD), veterans' preference and contribution scores.

Part 351, section 351.601 through .608: References to competitive levels are eliminated.

Part 351, section 351.701(b) and (c) Assignment rights (bump and retreat): To the extent that the distinction between bump and retreat is eliminated and the placement of demonstration project employees is restricted to no more than one broad band below the employee's current level, except that for a preference eligible with a compensable service connected disability of 30 percent or more, the limit is two pay bands (or the equivalent of five General Schedule grades) below the employee's present level.

Part 410, section 410.308(a) and (c) sufficient to allow the Systems Centers to pay for all courses related to an academic degree program approved by the applicable Systems Centers' Technical Director/Commanding Officer.

Part 410, section 410.309: Agreements to continue in service. Waived to the extent necessary to allow the applicable Systems Centers' Technical Director/ Commanding Officer to determine requirements related to continued service agreements, including employees under the Student Career Experience Program who have received tuition assistance.

Part 430, subpart B, Performance appraisal for General Schedule, Prevailing Rate and certain other employees: Section 430.210, OPM Responsibilities, is waived. The remainder of subpart B is waived to the extent that it is inconsistent with the STRL performance appraisal program as described in this **Federal Register** notice, and, for example, sections 430.208(a)(1) and (2): Rating Performance, is waived to allow presumptive ratings for new employees hired 90 days or less before the end of the appraisal cycle or for other situations not providing adequate time for an appraisal.

Part 432: Only insofar as it applies to the downward movement between pay bands because of failure to receive base pay increases. Also, modified to delete reference to critical element. For employees who are reduced in pay band without a reduction in pay, sections 432.105 and 432.106(a) do not apply.

Part 432, sections 432.104 and .105: Proposing and Taking Action Based on Unacceptable Performance: Insofar as references to "critical elements" are deleted and adding that the employee may be "reduced in grade or pay or removed" if performance does not improve to acceptable levels after a reasonable opportunity.

Part 451, subpart A, section 451.103(c)(2): Waived with respect to performance awards under the SPAWAR CARS and Distinguished Contribution Allowance.

Part 511, section 511.201: To the extent that White Collar positions are covered by broad banding.

Part 511, subpart A: General Provisions and subpart B: Coverage of the GS. Waived to the extent necessary to allow for the demonstration project classification system and pay banding structure.

Part 511, section 511.601: Applicability of regulations. Classification appeals modified to the extent that white collar positions established under the project plan, although specifically excluded from title 5 CFR, are covered by the classification appeal process outlined in this FRN section III.B.5, as amended below.

Part 511, section 511.603(a): Right to appeal. Waived to the extent necessary to substitute pay band for grade.

Part 511, section 511.607(b): Non-Appealable Issues. Add to the list of issues that are neither appealable nor reviewable, the assignment of series under the project plan to appropriate occupational families and the demonstration project classification criteria.

Part 530, subpart C: Special Rate Schedules for Recruitment and Retention. Waived in its entirety to allow for staffing supplements.

Part 531, subparts B, D, and E: Determining the Rate of Basic Pay, Within-Grade Increases and Quality Step Increases. (Except that the provisions relating to highest previous rate under Parts 531.202 and 531.203 are waived only to the extent necessary to work in a broad banding system.)

Part 531, subpart F: Locality-Based Comparability Adjustments. (This waiver applies only to the extent necessary to allow demonstration project employees covered by broad banding, except, to be treated as General Schedule employees; and to allow basic rates of pay under the demonstration project to be treated as scheduled annual rates of pay. This waiver does not apply to FWS employees.

Part 531, subparts B: Determining Rate of Basic Pay. Waived to the extent necessary to allow for pay setting and pay for performance/contribution under the provisions of the demonstration project.

Part 531, subparts D and E: Within-Grade Increases and Quality Step Increases. Waived in its entirety.

Part 531, subpart F: Locality-Based Comparability Payments. Waived to the extent necessary to allow demonstration project employees to be treated as GS employees and base rates of pay under the demonstration project to be treated as scheduled annual rates of pay.

Part 536: All provisions pertaining to grade retention. Waived in their entirety.

Part 536, section 536.104: Pay Retention. Waived only to the extent necessary to (1) Replace "grade" with "pay band;" (2) allow demonstration project employees to be treated as General Schedule employees; and (3) provide that pay retention does not apply to reductions in basic pay due solely to the operation of the pay setting rules for geographic movement within the demonstration project.) (This waiver does not apply to SL/ST employees unless they move to a GS equivalent position under conditions that trigger entitlement to pay retention.)

Part 536, subpart B, waived in its entirety. Sections 536.305, Adjusting an employee's retained rate when a pay schedule is adjusted, and 536.306, Limitation on retained rates. Waived only to the extent that under-contributing employees receiving a retained rate will not be entitled to the full amount of any increase in the maximum rate of the employees' pay band.

Part 550, sections 550.703: Severance Pay, definition of "reasonable offer" waived by replacing "two grade or pay levels" with "one pay band" and "grade or pay level" with "pay band."

Part 550, section 550.902, definition of "employee:" Hazardous Duty Pay. (Only to the extent necessary to treat demonstration project employees covered by broad banding as General Schedule employees.)

Part 575, subparts A, B, and C: Recruitment Bonuses, Relocation Bonuses, Retention Allowances, and Supervisory Differentials. Waived only to the extent necessary to allow: (1) Employees and positions under the demonstration project covered by broad banding to be treated as employees and positions under the General Schedule; (2) relocation incentives to new SCEP students; and (3) relocation incentives to SCEP students whose worksite is in a different geographic location than that of the college enrolled.

Part 575, subpart D: Waive in its entirety.

Part 591, subpart B: Cost-of-Living Allowances and Post Differential-Non-foreign Areas. (To the extent necessary

to allow demonstration project employees covered by broad banding to be treated as employees under the General Schedule.)

Part 752, sections 752.101, 752.201, 752.301 and 752.401: Principal statutory requirements and Coverage. Waived to the extent necessary to allow for up to a three-year probationary period and to permit termination during the extended probationary period without using adverse action procedures for those employees serving a probationary period under an initial appointment except for those with veterans' preference.

Part 752, sections 752.401(a)(3): Reduction in grade and pay (but only to the extent necessary to exclude reductions in pay band not accompanied by a reduction in pay) and 752.401(a)(4) (but only to the extent necessary to exclude conversions from a General Schedule special rate to demonstration project pay that do not result in a reduction in the employee's total rate of pay).

Part 752, section 752.401(a)(4): Adverse Action. (Only to the extent necessary to provide that adverse action provisions do not apply to—
(1) conversions from General Schedule special rates or NSPS Targeted Local Market Supplements to demonstration project pay and reallocations of demonstration project pay rates within special rate extensions to locality adjusted pay rates due to promotions or general or locality pay increases, as long as the employee's total rate of pay is not reduced; and (2) reductions in basic pay due solely to the operation of the pay setting rules for geographic movement within the demonstration project.

IX. Appendices

Appendix A: STRL Demonstration Project Series

BILLING CODE 5001–06–P

SSC STRL Demonstration Project Inclusive Series' & Titles			
Series	Title	Series	Title
0018	Safety and Occupational Health Management Series	0899	Student Trainee (Engineering)
0019	Safety Technician Series	0905	General Attorney Series
0020	Community Planning Series	0950	Paralegal Specialist Series
0028	Environmental Protection Specialist Series	0986	Legal Assistance
0080	Security Administration Series	1021	Office Drafting Series
0101	Social Science	1035	Public Affairs Series
0132	Intelligence Series	1040	Language Specialist Series
0170	History Series	1060	Photography Series
0180	Psychology Series	1071	Audiovisual Production Series
0184	Sociology Series	1082	Writing and Editing Series
0201	Human Resources Management	1082	Writing and Editing Series
0203	Human Resources assistance	1083	Technical Writing and Editing Series
0260	Equal Employment Opportunity Series	1084	Visual Information Series
0301	Misc. Administration & Program Series	1087	Editorial Assistance Series
0302	Messenger Series	1101	Business
0303	Misc. Clerk and Assistant Series	1102	Contracting Series
0304	Information Receptionist Series	1103	Industrial Property Management Series
0305	Mail and File Series	1105	Purchasing Series
0309	Correspondence Clerk Series	1106	Procurement Clerical and Technician Series
0318	Secretary Series	1107	Property Disposal Clerical and Technician Series
0322	Clerk-Typist Series	1150	Industrial Specialist Series
0326	Office Automation Clerical and Assistance Series	1152	Production Control Series
0335	Computer Clerk and Assistant Series	1221	Patent Advisor Series
0340	Program Manager	1222	Patent Attorney Series
0341	Administrative Officer Series	1301	General Physical Science
0342	Support Services Administration Series	1306	Health Physics
0343	Management and Program Analysis Series	1310	Physics
0344	Management & Program Clerical and Assistance Series	1311	Physical Science Technician
0346	Logistics Management Series	1313	Geophysics
0350	Equipment Operator Series	1320	Chemistry
0356	Data Transcriber Series	1321	Metallurgy
0361	Equal Opportunity Assistance Series	1330	Astronomy and Space Science
0390	Telecommunications Processing Series	1340	Meteorology
0391	Telecommunications Processing Series	1341	Meteorological Technician
0392	General Telecommunication Series	1350	Geology
0394	Communications Clerical Series	1360	Oceanography
0401	General Natural Resources Management and Biological Sciences	1370	Cartography
0403	Microbiology	1371	Cartographer Technician
0404	Biological Science Technician Series	1386	Photographic Technology
0408	Ecology	1410	Librarian Series
0410	Zoology	1411	Library Technician Series
0413	Physiology	1412	Technical Information Services Series
0501	Financial Administration and Budget Group	1501	General Mathematics and Statistics series
0503	Financial Clerical and Technician	1515	Operations Research
0505	Financial Management Series	1520	Mathematics
0510	Accounting	1521	Mathematics Technician Series
0511	Auditing	1529	Mathematical Statistics
0525	Accounting Technician	1531	Statistical Assistant Series
0540	Voucher Examining	1550	Computer Science Series
0544	Civilian Pay	1599	Student Trainee (Mathematics and Statistics)
0560	Budget Analysis	1601	Equipment, Facilities, and Services
0561	Budget Clerical and Assistance	1640	Facility Operations Services
0701	Veterinary Medical Science Series	1670	Equipment Services
0801	General Engineering and Architecture	1712	Training Instruction Series
0802	Engineering, Technical	1750	Instructional System Series
0803	Safety Engineering	1801	General Inspection, Investigation, Enforcement, and Compliance
0806	Materials Engineering	1810	Generel Investigation
0807	Landscape Architecture	1811	Criminal Investigation
0808	Architecture	1910	Quality Assurance Series
0809	Construction Control Technical	2001	General Supply Series
0810	Civil Engineering	2003	Supply Program Management Series
0819	Environmental Engineering	2005	Supply Clerical and Technician Series
0830	Mechanical Engineering	2010	Inventory Management Series
0840	Nuclear Engineering	2030	Distribution Facilities and Storage Management Series
0850	Electrical Engineering	2032	Packaging Series
0854	Computer Engineering	2102	Transportation Industry Analysis Series
0855	Electronics Engineering	2130	Traffic Management Series
0856	Electronics Technical	2152	Air Traffic Assistance Series
0858	Bioengineering and Biomedical Engineering	0332	Computer Operator
0861	Aerospace Engineering	0335	Computer Technician
0893	Chemical Engineering	2210	Information Technology Management
0895	Industrial Engineering Technical	2299	Student Trainee (Information Technology)
0896	Industrial Engineering	1099	Student Trainee (Information and Arts)
		1199	Student Trainee (Business)

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Appendix B: SSC STRL Demonstration Project Series Distribution

SSC STRL SERIES DISTRIBUTION

Science and Engineering (ND)	0401	0403	0408	0410	0413	0801	0803	0806	0807
	0808	0810	0819	0830	0840	0850	0854	0855	0858
	0861	0893	0896	1301	1306	1310	1313	1320	1321
	1330	1340	1350	1360	1370	1386	1515	1520	1529
	1550	0701	0899	1599	0180	1399	1501		

SSC STRL SERIES DISTRIBUTION—Continued

Administrative Specialist/Professional (NO)	0018	0020	0028	0080	0101	0132	0170	0184	
	0201	0260	0301	0340	0341	0342	0343	0346	0391
	0501	0505	0510	0511	0560	0904	0905	0950	1001
	1021	1035	1040	1071	1082	1083	1084	1101	1102
	1103	1150	1221	1222	1410	1412	1601	1640	1670
	1712	1750	1801	1810	1811	1910	2001	2003	2010
	2030	2032	2050	2101	2130	2150	2152	2210	0399
	0599	1099	1199	2299					
S&E Technical/Technician (NR)	0404	0802	0809	0856	0895	1311	1341	1521	1531
	0021	1060	1152	1371					
General Support (NG)	0019	0029	0086	0134	0181	0203	0302	0303	0304
	0305	0309	0312	0318	0322	0326	0335	0344	0350
	0356	0361	0390	0392	0394	0503	0525	0540	0544
	0561	0986	1087	1105	1106	1107	1411	2005	2102
	2135	0332	0335						
Supervisor/Manager (NM)	All Series								

*NSPS-unique series 2203 & 2204 were directly converted in the SSC STRL Demonstration Project to series 0332 & 0335 (in the General Support Career Path) respectively.

Appendix C: Baseline Performance Standards (Career Path-Independent)

Work is timely, efficient, and of acceptable quality. Flexibility, adaptability, and decisiveness are exercised appropriately. Leadership effectively demonstrates commitment to mission, ethical behavior, and integrity. Interactions show respect for individual differences and diversity. Personal and organizational interactions exhibit and foster cooperation and teamwork. Communications are clear, concise, effective, and at appropriate level. Resources are utilized effectively and efficiently to accomplish the mission. Personal and organizational interactions also enhance customer relations and actively promote rapport with customers.

Appendix D: Core Contribution Elements

Technical: This element measures personal and organizational problem solving results. It is comprised of the following components: Scope/impact, Complexity/difficulty, Independence, and Creativity

Teamwork and Communication: This element measures individual and organizational teamwork and cooperation as well as effectiveness of oral/written communications. It is comprised of the following components: Scope of team effort, Contribution to team, Team effectiveness, Level of interaction (audience), and Communication (oral and written)

Management: This element measures personal and organizational utilization of resources to accomplish the mission. (Resources include but are not limited to personal time, equipment and facilities, human resources and funds.) This element also measures the effectiveness of personal and organizational interactions with customers, both internal and external. It is comprised of the following components: Scope of resource responsibility, Planning, Execution, Customer interaction level, Customer needs

Leadership: This element measures individual and organizational leadership. It is comprised of the following components: Scope of leadership influence, Leadership activities, Mentoring/employee development

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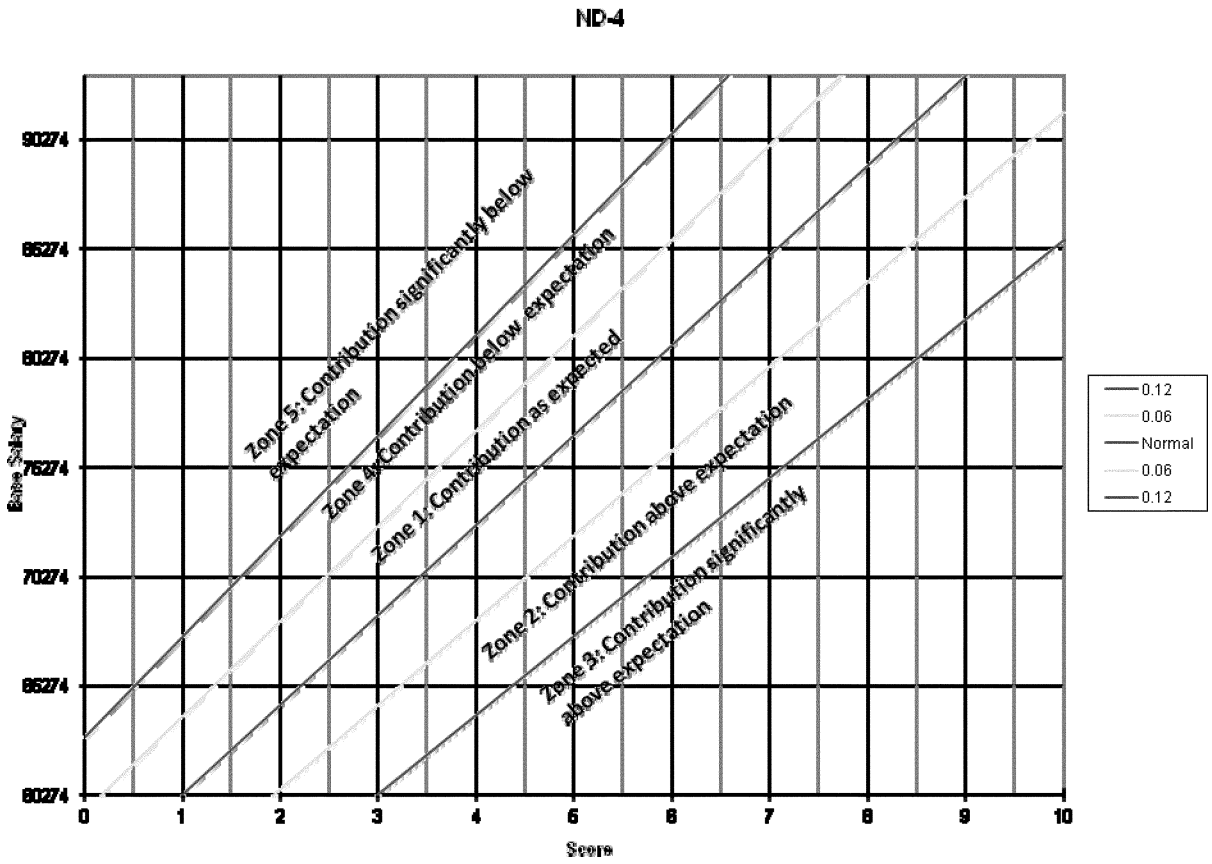
Appendix E: Element Detail Benchmark Example (Technical) for Science & Engineering Career Path (ND)

ELEMENT 1 — TECHNICAL - This element measures personal and organizational problem solving results.						
	GS Equiv	1-4	5-9	9-11	12-13	14-15
	STRL	ND 1	ND 2	ND 3	ND 4	ND 5
BASIC STANDARDS (Applicable accomplishments at all levels): **Work is timely, efficient, of acceptable quality and is performed on a regular basis. Completed work meets project/ program/ function objectives. Flexibility, adaptability, and decisiveness are excercised appropriately.**	Scope/ Impact	Executes routine activities with impact usually limited to the task level	Executes routine activities with impact usually limited to the team or project level	Executes complex activities with impact usually above the team level or at the project level and/or executes activities with visibility external to the organization	Executes multi-dimensional, complex activities with impact usually at a program level external to the command with visibility at the service/agency level and/or is a command-level subject matter expert	Executes multi-dimensional, complex projects with impact external to the command with visibility at the service/agency level or higher and/or is a subject matter expert at a service/agency level or higher
	Complexity/ Difficulty	Performs routine tasks with minimal dependencies, overcoming only minimal obstacles	Performs established tasks with multiple dependencies, analyzes and solves basic problems and overcomes minor obstacles	Performs moderately complex tasks with multiple dependencies, analyzes and solves moderately difficult problems, and overcomes moderately difficult obstacles	Performs complex tasks with multiple dependencies, analyzes and solves difficult problems without precedent, and overcomes and adapts to difficult obstacles	Performs complex tasks with multiple dependencies, analyzes and solves problems without precedent, and overcomes and adapts to difficult obstacles
	Independence	Completes assigned tasks with significant oversight from supervisor or team lead to review work products.	Independently completes assigned tasks, with periodic oversight from supervisor and team lead to review work products.	Independently completes tasks, with minimal oversight from supervisor and team lead with peer-level review of work products.	Independently manages and completes tasks with minimal oversight.	Independently manages and completes tasks without oversight. Work is generally reviewed only for overall effectiveness in representing the activity.
	Creativity	Understands and follows existing processes for routine work.	Initiates new ideas for improving existing processes or products. Identifies processes or products for new or unusual situations.	Independently selects and interprets guidelines, and implements procedures. Initiates new ideas for improving or adapting existing processes or products for new or unusual situations. Performs and documents necessary research, resulting in recommendations.	Develops guidelines and implements procedures. Initiates new ideas for improving or adapting existing processes or products for new or unusual situations. Performs and documents necessary research, and implements resulting recommendations.	Develops ideas which form a basis for technical efforts by others. Creates and implements policies, processes, or products for new or unusual situations.

Appendix F: Sample Pay Range/Contribution Chart (for ND4 Pay Band)



Appendix G: Basic Compensation Matrix

	ZONE 1	ZONE 2	ZONE 3	ZONE 4	ZONE 5
CONTRIBUTION	AS EXPECTED	ABOVE EXPECTATION	SIGNIFICANTLY ABOVE EXPECTATION	BELOW EXPECTATION	SIGNIFICANTLY BELOW EXPECTATION
COMPENSATION					
SALARY ELIGIBLE	YES	YES	YES	NO	NO
BONUS ELIGIBLE	YES	YES	YES	YES (Pay Pool Manager Must Approve)	NO
GPI	FULL	FULL	FULL	FULL	100% 1ST YEAR 50% 2ND YEAR 0% 3RD YEAR

Appendix H: Intervention Model

Intervention	Expected Effects	Measures	Data Sources
1. COMPENSATION			
a. Pay banding	Increased organizational flexibility	Perceived flexibility	Attitude survey
	Reduced administrative workload, paper work reduction	Actual/perceived time savings	Personnel office data, PME results, attitude survey
	Advanced in-hire rates	Starting salaries of banded v. non-banded employees	Workforce data
	Slower pay progression at entry levels	Progression of new hires over time by band, career path	Workforce data
	Increased pay potential	Mean salaries by band, group, demographics Total payroll costs	Workforce data Personnel office data
	Increased satisfaction with advancement	Employee perceptions of advancement	Attitude survey
	Increased pay satisfaction	Pay satisfaction, internal/external equity	Attitude survey
	Improved recruitment	Offer/acceptance ratios; Percent declinations	Personnel office data
b. Conversion buy-in	Employee acceptance	Employee perceptions of equity, fairness Cost as a percent of payroll	Attitude survey Workforce data
c. Pay differentials/ adjustments	Increased incentive to accept supervisory/team leader positions	Perceived motivational power	Attitude survey
2. CONTRIBUTION MANAGEMENT			
a. Cash awards/ bonuses	Reward/motivate performance	Perceived motivational power	Attitude survey

Intervention	Expected Effects	Measures	Data Sources
	To support fair and appropriate distribution of awards	Amount and number of awards by group, demographics	Workforce data
		Perceived fairness of awards	Attitude survey
		Satisfaction with monetary awards	Attitude survey
b. Contribution-based pay progression	Increased pay-contribution link	Perceived pay-contribution link	Attitude survey
		Perceived fairness of ratings	Attitude survey
	Improved feedback	Satisfaction with ratings	Attitude survey
		Employee trust in supervisors	Attitude survey
		Adequacy of feedback	Attitude survey
	Decreased turnover of high performers/Increased turnover of low performers	Turnover by contribution rating scores	Workforce data
	Differential pay progression of high/low performers	Pay progression by contribution scores, career path	Workforce data
	Employee contribution expectations that are linked to organizational goals, strategies, and values	Linkage of contribution expectations and Summary Work Assignments to strategic plans/goals	Summary Work Assignments/contribution goals, strategic plans
	Increased employee involvement in contribution planning and assessment	Perceived involvement in contribution management	Attitude survey/focus groups Personnel regulations
c. New appraisal process	Reduced administrative burden	Employee and supervisor perceptions of revised procedures	Attitude survey
	Improved communication	Perceived fairness of process	Focus groups

Intervention	Expected Effects	Measures	Data Sources
d. Contribution development	Better communication of contribution expectations	Feedback and coaching procedures used Time, funds spent on training by demographics	Focus groups Personnel office data Training records
	Improved satisfaction and quality of workforce	Perceived workforce quality	Attitude survey
3. "WHITE COLLAR" CLASSIFICATION			
a. Improved classification systems with generic standards	Reduction in amount of time and paperwork spent on classification	Time spent on classification procedures Reduction of paperwork/number of personnel actions (classification/promotion)	Personnel office data Personnel office data
	Ease of use	Managers' perceptions of time savings, ease of use	Attitude survey
b. Classification authority delegated to managers	Increased supervisory authority/accountability	Perceived authority	Attitude survey
	Decreased conflict between management and personnel staff	Number of classification disputes/appeals pre/post Management satisfaction with service provided by personnel office	Personnel records Attitude survey
	No negative impact on internal pay equity	Internal pay equity	Attitude survey
c. Dual career ladder	Increased flexibility to assign employees	Assignment flexibility	Focus groups, surveys
	Improved internal mobility	Perceived internal mobility	Attitude survey
	Increased pay equity	Perceived pay equity	Attitude survey
	Flatter organization	Supervisory/non-supervisory ratios	Workforce data Attitude survey
	Improved quality of supervisory staff	employee perceptions of quality or supervisory skill set	Attitude survey
4. MODIFIED RIF			
	Minimize loss of high performing employees with needed skills	Separated employees by demographics, contribution scores	Workforce data Attitude survey/focus group

Intervention	Expected Effects	Measures	Data Sources
	Contain cost and disruption	Satisfaction with RIF Process	Attitude survey/focus group
		Cost comparison of traditional vs. Modified RIF	Personnel office/budget Data
		Time to conduct RIF - personnel office data	Personnel office data
		Number of Appeals/reinstatements	Personnel office data
5. HIRING AUTHORITY			
a. Delegated Examining	Improved ease and timeliness of hiring process	Perceived flexibility in authority to hire	Attitude survey
	Improved recruitment of employees in shortage categories	Offer/accept ratios	Personnel office data
		Percent declinations	Personnel office data
		Timeliness of job offers	Personnel office data
		GPAs of new hires, educational levels	Personnel office data
	Reduced administrative workload/paperwork reduction	Actual/perceived skills	Attitude survey
b. Term Appointment Authority	Increased capability to expand and contract workforce	Number/percentage of conversions from modified term to permanent appointments	Workforce data Personnel office data
c. Flexible Probationary Period	Expanded employee assessment	Average conversion period to permanent status	Workforce data Personnel office data
		Number/percentage of employees completing probationary period	Workforce data Personnel office data
		Number of separations during probationary period	Workforce data Personnel office data
6. EXPANDED DEVELOPMENT			

Intervention	Expected Effects	Measures	Data Sources
OPPORTUNITIES			
a. Sabbaticals	Expanded range of professional growth and development	Number and type of opportunities taken	Workforce data
	Application of enhanced knowledge and skills to work product	Employee and supervisor perceptions	Attitude survey
b. Critical Skills Training	Improved organizational effectiveness	Number and type of trainingPlacement of employees, skills imbalances correctedEmployee and supervisor perceptionsApplication of knowledge gained from training	Personnel office dataPersonnel office dataAttitude surveyAttitude survey/ focus group
7. COMBINATION OF ALL INTERVENTIONS			
All	Improved organizational effectiveness	Combination of personnel measures	All data sources
	Improved management of workforce	Employee/Management job satisfaction (intrinsic/extrinsic)	Attitude survey
	Improved planning	Planning procedures Perceived effectiveness of planning procedures	Strategic planning documents Attitude survey
	Improved cross functional coordination	Actual/perceived coordination	Organizational charts
	Increased product success	Customer satisfaction	Customer satisfaction surveys
	Cost of innovation	Project training/ development costs (staff salaries, contract cost, training hours per employee)	Demo project office records Contract documents
8. CONTEXT:			

Intervention	Expected Effects	Measures	Data Sources
Regionalization	Reduced servicing ratios/ costs	HR servicing ratios Average cost per employee served	Personnel office data, workforce data Personnel office data, workforce data
	No negative impact on service quality	Service quality, timeliness	Attitude survey/focus groups

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Part VI

Department of Commerce

International Trade Administration

Drill Pipe From the People's Republic of China; Final Determinations; Notices

DEPARTMENT OF COMMERCE

International Trade Administration

[A–570–965]

Drill Pipe From the People's Republic of China: Final Determination of Sales at Less Than Fair Value and Critical Circumstances

AGENCY: Import Administration, International Trade Administration, Department of Commerce.

DATES: *Effective Date:* January 11, 2011.

SUMMARY: On August 18, 2010, the Department of Commerce (the "Department") published in the **Federal Register** the *Preliminary Determination* of sales at less-than-fair-value ("LTFV") and critical circumstances, in part, in the antidumping investigation of drill pipe from the People's Republic of China ("PRC").[1] The period of investigation ("POI") is April 1, 2009, through September 30, 2009. Based on our analysis of the comments received, we have made changes to the margin calculation for DP-Master Manufacturing Co., Ltd. and Jiangyin Liangda Drill Pipe Co., Ltd. (collectively "the DP-Master Group"), Baoshan Iron & Steel Co., Ltd. ("Baoshan"), and Shanxi Yida Special Steel Imp. & Exp. Co., Ltd. ("Yida"). We continue to find that drill pipe from the PRC is being, or is likely to be, sold in the United States at LTFV as provided in section 735 of the Tariff Act of 1930, as amended ("the Act"). The estimated margins of sales at LTFV are shown in the "Final Determination Margins" section of this notice.

FOR FURTHER INFORMATION CONTACT: Toni Dach, Susan Pulongbarit, or Matthew Renkey, AD/CVD Operations, Office 9, Import Administration, International Trade Administration, U.S. Department of Commerce, 14th Street and Constitution Avenue, NW., Washington DC 20230; telephone: (202) 482–1655, (202) 482–4031, or (202) 482–2312, respectively.

SUPPLEMENTARY INFORMATION:

Background

The Department conducted sales and factors of production ("FOP") verifications for the DP-Master Group and Yida, and an FOP verification for Baoshan, from September 20 through October 1, 2010, and sales verification for Baoshan on October 13 and 14, 2010.[2] *See* the "Verification" section below for additional information.

On November 16, 2010, the Department placed labor wage rate data on the record and invited parties to comment on the Department's labor wage rate methodology.[3]

Between November 5, 2010 and November 12, 2010, we received case and rebuttal briefs from Petitioners,[4] the government of the PRC ("GOC"), the DP-Master Group, Baoshan, and Yida.

On December 3, 2010, the Department placed additional surrogate value ("SV") information on the record and invited parties to comment on the Department's selection of an SV for tool joints,[5] and received comments on this data from the DP-Master Group and Petitioners between December 8 and 10, 2010. On December 14, 2010, the Department placed additional SV information on the record regarding galvanizing and zinc values,[6] and received comments on this data from Baoshan on December 20, 2010. Also on December 14, 2010, the Department requested additional shipment data from Baoshan, the DP-Master Group, and Yida,[7] and received their responses on December 17, 2010.

Analysis of Comments Received

All issues raised in the case and rebuttal briefs by parties to this investigation are addressed in the "Drill Pipe from the People's Republic of China: Issues and Decision Memorandum for the Final Determination" ("I&D Memo"), dated concurrently with this notice and which is hereby adopted by this notice. A list of the issues which parties raised, and to which we respond in the I&D Memo, are attached to this notice as Appendix I. The I&D Memo is a public document and is on file in the Central Records Unit, Room 7046, and is accessible on the World Wide Web at *http://trade.gov/ia/index.asp*. The paper copy and electronic version of the memorandum are identical in content.

Changes Since the Preliminary Determination

Based on our analysis of information on the record of this investigation, we have made changes to the DP–Master Group's, Baoshan's, and Yida's margin calculations for the final determination.

The DP–Master Group

- Subsequent to the *Preliminary Determination,* at the Department's request, the DP–Master Group provided a revised FOP database, including data from the six-month period immediately prior to the POI. Because this database more accurately reflects the FOPs consumed by the DP–Master Group in producing the merchandise under investigation than the database on the record prior to the *Preliminary Determination,* we have determined that it is appropriate to use FOP data from the period October 1, 2008, to September 30, 2009, in calculating the DP–Master Group's margin for the final determination.[8]
- We have changed the SV for green tubes used in the DP–Master Group's margin calculation.[9]

[1] *See Drill Pipe From the People's Republic of China: Preliminary Determination of Sales at Less Than Fair Value and Affirmative Determination of Critical Circumstances, and Postponement of Final Determination,* 75 FR 51004 (August 18, 2010); and *Drill Pipe From the People's Republic of China: Notice of Correction to the Preliminary Determination of Sales at Less Than Fair Value and Affirmative Determination of Critical Circumstances, and Postponement of Final Determination,* 75 FR 51014 (August 18, 2010) (collectively, "*Preliminary Determination*").

[2] We conducted verifications of the DP-Master Group and Yida, which produced the merchandise under investigation and sold it to the United States, and Baoshan, which produced the merchandise under investigation. *See* Memo to the File, from Toni Dach and Jerry Huang, International Trade Compliance Analysts, "Verification of the Sales and Factors of Production Response of DP-Master Manufacturing Co., Ltd. and Jiangyin Liangda Drill Pipe Co., Ltd. in the Antidumping Duty Investigation of Drill Pipe from the People's Republic of China," dated October 26, 2010 ("DP-Master Verification Report"); Memo to the File, through Scot T. Fullerton, Program Manager, from Matthew Renkey, Senior International Trade Compliance Analyst, and Susan Pulongbarit, International Trade Compliance Analyst, "Verification of the Sales and Factors Response of the Yida Group in the Antidumping Investigation of Drill Pipe from the People's Republic of China," dated October 27, 2010 ("Yida Verification Report"); Memo to the File, through Scot T. Fullerton, Program Manager, from Susan Pulongbarit, International Trade Compliance Analyst, and Matthew Renkey, Senior International Trade Compliance Analyst, "Verification of the Sales and Factors of Production Response of Baoshan Iron & Steel Co., Ltd. in the Investigation of Drill Pipe from the People's Republic of China," dated October 27, 2010 ("Baoshan Verification Report"). Additionally, for Baoshan's sales, we conducted verification of Baoshan's North American affiliate, Baosteel America, Inc., which handled all of Baoshan's POI sales. *See* Memo to the File, through Scot T. Fullerton, Program Manager, from Susan Pulongbarit, International Trade Compliance Analyst, and Matthew Renkey, Senior International Trade Compliance Analyst, "Verification of the CEP Sales Response of Baoshan Iron & Steel Inc. in the Investigation of Drill Pipe from the People's Republic of China," dated October 27, 2010 ("Baoshan CEP Verification Report").

[3] *See* Memorandum to the File dated November 16, 2010.

[4] The petitioners are VAM Drilling USA, Inc., Texas Steel Conversion, Inc., Rotary Drilling Tools, TMK IPSCO, and the United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied Industrial and Service Workers International Union, AFL–CIO–CLC (hereinafter referred to as "Petitioners").

[5] *See* Memorandum to the File dated December 3, 2010.

[6] *See* Memorandum to the File dated December 14, 2010.

[7] *See* Letters to Baoshan, the DP-Master Group, and Yida dated December 14, 2010.

[8] *See* the DP–Master Group's September 9, 2010, response to the Department's 8th supplemental questionnaire ("8th Supplemental Response").

[9] *See* I&D Memo at Comment 7.

• We have changed the SV for tool joints used in the DP–Master Group's margin calculation.[10]

• We have disallowed a by-product offset for brown aluminum oxide in the DP–Master Group's internal plastic coating process.[11]

• Based on our findings at verification,[12] we are applying partial adverse facts available ("AFA") to the DP–Master Group's phosphate treatment toller's consumption of direct materials in its production of the merchandise under investigation.[13]

Baoshan

• We have used Baoshan's inputs to its intermediate inputs consumed in the production of the merchandise under investigation, instead of valuing Baoshan's intermediate inputs.[14]

• We have determined that it is more appropriate to use only the Jindal Saw, Ltd. ("Jindal Saw") financial statement as the basis for Baoshan's surrogate financial ratios rather than the average of the Jindal Saw and Tata Steel Limited financial statements.[15]

• We have not granted Baoshan a by-product offset for its production of pulverized ash, because it did not receive income for the by-product given free of charge to unaffiliated parties.[16]

• To calculate the SV of iron ore, we have included Baoshan's purchases of iron ore pellets from its affiliated supplier based on our determination that the affiliate's prices are reflective of unaffiliated market economy ("ME") prices. Including these purchases will increase Baoshan's ME purchases to above the 33% threshold. Accordingly, we have weight-averaged Baoshan's ME purchase prices to value all of its iron ore purchases.[17]

• At verification, we found that certain of Baoshan's indirect selling expenses ("ISEs") were not included in its ISEs ratio. We have corrected this for the final determination.[18]

• At verification, we found that Baoshan did not report credit expenses for the payments it received from its U.S. customer. We have included these credit expenses in Baoshan's margin for the final determination.[19]

Yida

• At verification, we found that Yida consumed rubber pads in its production of the merchandise under investigation.[20] Therefore, we are including rubber pads as an FOP in calculating Yida's final margin.[21]

Scope of Investigation

The products covered by the investigation are steel drill pipe, and steel drill collars, whether or not conforming to American Petroleum Institute ("API") or non-API specifications. Included are finished drill pipe and drill collars without regard to the specific chemistry of the steel (*i.e.,* carbon, stainless steel, or other alloy steel), and without regard to length or outer diameter. Also included are unfinished drill collars (including all drill collar green tubes) and unfinished drill pipe (including drill pipe green tubes, which are tubes meeting the following description: seamless tubes with an outer diameter of less than or equal to 6⅝ inches (168.28 millimeters), containing between 0.16 and 0.75 percent molybdenum, and containing between 0.75 and 1.45 percent chromium). The scope does not include tool joints not attached to the drill pipe, nor does it include unfinished tubes for casing or tubing covered by any other antidumping or countervailing duty order.

The subject products are currently classified in the following Harmonized Tariff Schedule of the United States ("HTSUS") categories: 7304.22.0030, 7304.22.0045, 7304.22.0060, 7304.23.3000, 7304.23.6030, 7304.23.6045, 7304.23.6060, 8431.43.8040 and may also enter under 8431.43.8060, 8431.43.4000, 7304.39.0028, 7304.39.0032, 7304.39.0036, 7304.39.0040, 7304.39.0044, 7304.39.0048, 7304.39.0052, 7304.39.0056, 7304.49.0015, 7304.49.0060, 7304.59.8020, 7304.59.8025, 7304.59.8030, 7304.59.8035, 7304.59.8040, 7304.59.8045, 7304.59.8050, and 7304.59.8055.

While HTSUS subheadings are provided for convenience and customs purposes, the written description of the scope of the investigation is dispositive.

Scope Comments

In the *Preliminary Determination,* the Department indicated that it would solicit additional comments from parties regarding the specifications of drill pipe green tube. Between September 13 and 23, 2010, Petitioners and the DP–Master Group placed additional information on the record of this investigation regarding the characteristics of drill pipe green tube. Additionally, Petitioners and the DP–Master Group commented on the scope of the investigation in their case briefs. Based on analysis of this information and argument, the Department has modified the scope of the investigation to define drill pipe green tubes which were previously described as "green tubes suitable for drill pipe."[22]

Verification

As provided in section 782(i) of the Act, we conducted verification of the information submitted by the DP–Master Group, Baoshan, and Yida for use in our final determination.[23] We used standard verification procedures, including examination of relevant accounting and production records, as well as original source documents provided by the respondents.

Use of Facts Available

Section 776(a) of the Act provides that if, necessary information is not available on the record, or an interested party: (A) Withholds information that has been requested by the Department; (B) fails to provide such information in a timely manner or in the form or manner requested, subject to subsections 782(c)(1) and (e) of the Act; (C) significantly impedes a determination under the antidumping statute; or (D) provides such information but the information cannot be verified, the Department shall, subject to subsection 782(d) of the Act, use facts otherwise available in reaching the applicable determination.

Section 782(c)(1) of the Act provides that if an interested party "promptly after receiving a request from {the Department} for information, notifies {the Department} that such party is unable to submit the information in the requested form and manner, together with a full explanation and suggested alternative form in which such party is able to submit the information," the Department may modify its information request requirements to avoid imposing an unreasonable burden on that party.

[10] *See* I&D Memo at Comment 6.

[11] *See* 8th Supplemental Response; s*ee also* Final Analysis Memo for the DP–Master Group, issued concurrently with this notice.

[12] *See* DP–Master Verification Report at 2, 6–8, and 10–11.

[13] *See* I&D Memo at the "Changes from Verification" section, part A.

[14] *See* I&D Memo at Comment 12.

[15] *See* I&D Memo at Comment 5B.

[16] *See* I&D Memo at Comment 13.

[17] *See* I&D Memo at Comment 11.

[18] *See* I&D Memo at the "Changes from Verification" section, part B.

[19] *See* I&D Memo at the "Changes from Verification" section, part C.

[20] *See* Yida Verification Report.

[21] *See* Final Analysis Memorandum for Yida, issued concurrently with this notice; *see also* I&D Memo at Comment 15.

[22] *See* I&D Memo at Comment 2.

[23] *See* DP–Master Verification Report, Yida Verification Report, Baoshan Verification Report, and Baoshan CEP Verification Report.

Section 782(d) of the Act provides that, if the Department determines that a response to a request for information does not comply with the request, the Department will inform the person submitting the response of the nature of the deficiency and shall, to the extent practicable, provide that person the opportunity to remedy or explain the deficiency. If that person submits further information that continues to be unsatisfactory, or this information is not submitted within the applicable time limits, the Department may, subject to section 782(e), disregard all or part of the original and subsequent responses, as appropriate.

In reaching a determination under section 735 of the Act, section 782(e) of the Act states that the Department shall not decline to consider information deemed "deficient" under section 782(d) if: (1) The information is submitted by the established deadline; (2) the information can be verified; (3) the information is not so incomplete that it cannot serve as a reliable basis for reaching the applicable determination; (4) the interested party has demonstrated that it acted to the best of its ability; and (5) the information can be used without undue difficulties.

Furthermore, section 776(b) of the Act states that if the administering authority finds that an interested party has not acted to the best of its ability to comply with a request for information, the administering authority may, in reaching its determination, use an inference that is adverse to that party. The adverse inference may be based upon: (1) The petition, (2) a final determination in the investigation under this title, (3) any previous review under section 751 of the Act or determination under section 753 of the Act, or (4) any other information placed on the record.

Baoshan

Following the *Preliminary Determination,* Baoshan provided additional information to the Department concerning which of its FOPs were consumed to produce intermediate products.[24] Based on this additional information, the Department has decided to value the FOPs Baoshan consumed in producing intermediate inputs in this final determination. However, because Baoshan provided an insufficient description of certain inputs to electricity, namely "power coal" and "light oil," the Department has determined that, pursuant to section 776(a)(B), it is appropriate to use facts available to value these inputs. Thus, for power coal, the Department has averaged publicly-available, contemporaneous, India-wide GTA [25] values for anthracite coal, bituminous coal, and steam coal. We note that, although Baoshan requested that the Department use 2007 Tata Energy Research Institute's Energy Data Directory & Yearbook ("TERI Data") to value this input, Baoshan provided neither the source data or the useful heat value of power coal necessary to use TERI Data in valuing this input. Additionally, for light oil, the Department has valued this input using the publicly-available, contemporaneous, and India-wide GTA value for "heavy oil" because it is also used in the electricity production process and no information concerning the value of "light oil" was placed on the record of this investigation.[26]

The DP-Master Group

As noted above, based on findings at verification, the Department is applying partial AFA to the FOPs reported by the D-Master Group's phosphate treatment toller. Specifically, the DP-Master Group's unaffiliated phosphate treatment toller's consumption of FOPs could not be verified by the Department and, pursuant to section 776(a)(2)(B) and (D) of the Act, we have determined that the application of facts available is appropriate. Further, we find that the application of partial AFA is also appropriate because the DP-Master Group failed to act to the best of its ability in responding to the Department's requests for information and significantly impeded the Department's proceeding.[27] Accordingly, we have used the maximum monthly reported consumption for each material input in calculating the total consumption of inputs by the DP-Master Group's phosphate treatment toller.[28]

Surrogate Country

In the *Preliminary Determination,* we stated that we selected India as an appropriate surrogate country to use in this investigation because: (1) Pursuant to section 773(c)(4) of the Act, we determined that it is a significant producer of comparable merchandise and it is at a similar level of economic development to the PRC; and (2) we have reliable data from India on the record of this investigation that we can use to value the FOPs.[29] For the final determination, we received no comments and made no changes to our findings with respect to the selection of a surrogate country.

Critical Circumstances

In the *Preliminary Determination,* the Department determined that, in accordance with section 733(e)(1) of the Act, critical circumstances existed with respect to the DP-Master Group, the separate rate respondents,[30] and the PRC-wide entity.[31]

For the final determination, we collected additional shipment data from each of the three respondents being individually investigated. We collected four months of additional shipment data (two months for the base period and two months for the comparison period). Based on this additional data we continue to find that critical circumstances do not exist for Yida and Baoshan.

With respect to the DP-Master Group, we find that the additional data no longer supports a finding of critical circumstances. Specifically, we no longer find that there has been an increase in imports greater than 15 percent when comparing the base period to the comparison period. *See* Memorandum to The File, from Matthew Renkey, Senior Analyst, through Paul Walker, Acting Program Manager, regarding "Investigation of Drill Pipe from the People's Republic of China: Final Determination Critical Circumstances Analysis," dated concurrently with this notice ("Final Critical Circumstances Memo").

Consistent with our *Preliminary Determination,* the Department relied upon import data from the three individually investigated companies in determining whether there have been massive imports for the separate rate respondents. *See Preliminary Determination,* 75 FR at 51013. Based on the analysis of the additional data submitted for each of the three individually investigated companies, we no longer find that critical circumstances exist for the separate rate respondents. *See* Final Critical Circumstances Memo, Attachment 1. Specifically, we no longer find that

[24] *See* Letter from Baoshan, to Secretary of Commerce, Regarding Drill Pipe from the People's Republic of China/Supplemental Sections C and D Questionnaire Responses, dated September 14, 2010.

[25] Global Trade Atlas ("GTA").

[26] *See* I&D Memo at Comment 12.

[27] *See* Sections 776(a)(2)(C) and (D) and 776(b) of the Act; *see also Certain Circular Welded Carbon Quality Steel Line Pipe from the People's Republic of China: Final Determination of Sales at Less Than Fair Value,* 74 FR 14514, 14516 (March 31, 2009).

[28] *See* I&D Memo at the "Changes from Verification" section, part A.

[29] *See Preliminary Determination,* 75 FR at 51006.

[30] As noted in the "Separate Rates" section below, these include Shanxi Fenglei Drilling Tools Co., Ltd.; Jiangsu Shuguang Huayang Drilling Tool, Co. Ltd.; and Jiangyin Long-Bright Drill Pipe Manufacturing Co., Ltd.

[31] *See Preliminary Determination,* 75 FR at 51011.

there has been an increase in imports greater than 15 percent when comparing the base period to the comparison period, which is based on a weighted-average of data for the three individually investigated companies.

Finally, consistent with our *Preliminary Determination,* and as described below, the PRC-wide entity continues to receive AFA. *See Preliminary Determination,* 75 FR at 51013. Thus, as AFA, we find that the critical circumstances exist for the PRC-wide entity.

Separate Rates

In proceedings involving non-market-economy ("NME") countries, the Department begins with a rebuttable presumption that all companies within the country are subject to government control and, thus, should be assigned a single antidumping duty deposit rate. It is the Department's policy to assign all exporters of merchandise subject to an investigation in an NME country this single rate unless an exporter can demonstrate that it is sufficiently independent so as to be entitled to a separate rate.[32] In the *Preliminary Determination,* we found that Shanxi Fenglei Drilling Tools Co., Ltd.; Jiangsu Shuguang Huayang Drilling Tool, Co. Ltd.; and Jiangyin Long-Bright Drill Pipe Manufacturing Co., Ltd., demonstrated their eligibility for, and were hence assigned, separate-rate status. No party has commented on the eligibility of these companies for separate rate status. Consequently, for the final determination, we continue to find that the evidence placed on the record of this investigation by these companies demonstrates both a *de jure* and *de facto* absence of government control with respect to their exports of the merchandise under investigation. Thus, we continue to find that the separate rate respondents are eligible for separate-rate status.

PRC-Wide Entity

In the *Preliminary Determination,* we treated PRC exporters/producers that did not respond to the Department's request for information as part of the PRC-wide entity because they did not demonstrate that they operate free of government control. No additional information has been placed on the record with respect to these entities after the *Preliminary Determination.* The PRC-wide entity has not provided the Department with the requested information; therefore, pursuant to section 776(a)(2)(A) of the Act, the Department continues to find that the use of facts available is appropriate to determine the PRC-wide rate. Section 776(b) of the Act provides that, in selecting from among the facts otherwise available, the Department may employ an adverse inference if an interested party fails to cooperate by not acting to the best of its ability to comply with requests for information.[33] We find that, because the PRC-wide entity did not respond to our request for information, it has failed to cooperate to the best of its ability and that, in selecting from among the facts otherwise available, an adverse inference is appropriate for the PRC-wide entity. Because we begin with the presumption that all companies within an NME country are subject to government control, and because only the companies listed under the "Final Determination Margins" section below have overcome that presumption, we are applying a single antidumping rate, *i.e.,* the PRC-wide rate, to all other exporters of the merchandise under consideration from the PRC. Such companies did not demonstrate entitlement to a separate rate.[34] The PRC-wide rate applies to all entries of the merchandise under consideration, except for those companies which have received a separate rate.

Corroboration

Section 776(c) of the Act provides that, when the Department relies on secondary information rather than on information obtained in the course of an investigation as facts available, it must, to the extent practicable, corroborate that information from independent sources reasonably at its disposal. Secondary information is described as "information derived from the petition that gave rise to the investigation or review, the final determination concerning merchandise subject to this investigation, or any previous review under section 751 concerning the merchandise subject to this investigation."[35] To "corroborate" means simply that the Department will satisfy itself that the secondary information to be used has probative value. Independent sources used to corroborate may include, for example, published price lists, official import statistics and customs data, and information obtained from interested parties during the particular investigation. To corroborate secondary information, the Department will, to the extent practicable, examine the reliability and relevance of the information used.[36]

The AFA rate that the Department used is from the petition; however, we have updated the labor wage rate used to calculate the petition rates. The Department's practice is not to recalculate dumping margins provided in petitions, but rather to corroborate the applicable petition rate when applying that rate as AFA.[37] In this case, however, the surrogate wage rate used in the petition was based upon the Department's methodology under 19 CFR 351.408(c)(3) that the United States Court of Appeals for the Federal Circuit ("CAFC") found unlawful in *Dorbest Ltd.* v. *United States,* 604 F.3d 1363 (Fed. Cir. 2010).[38] In light of the CAFC's decision, the Department has adjusted the petition rate using the updated SV for labor used in this final determination.

Petitioners' methodology for calculating the United States price and normal value in the petition is discussed in the *Initiation Notice.*[39] To corroborate the AFA margin that we have selected, we compared this margin to the margins we found for the DP-Master Group. We found that the margin of 429.95 percent has probative value because it is in the range of the model-specific margins that we found for the DP-Master Group.[40] Accordingly, we

[32] *See Final Determination of Sales at Less Than Fair Value: Sparklers from the People's Republic of China,* 56 FR 20588 (May 6, 1991), as amplified by *Notice of Final Determination of Sales at Less Than Fair Value: Silicon Carbide from the People's Republic of China,* 59 FR 22585 (May 2, 1994), and 19 CFR 351.107(d).

[33] *See also* Statement of Administrative Action accompanying the URAA, H.R. Rep. No. 103–316, vol. 1, at 870 (1994) ("SAA").

[34] *See, e.g., Synthetic Indigo From the People's Republic of China; Notice of Final Determination of Sales at Less Than Fair Value,* 65 FR 25706, 25707 (May 3, 2000).

[35] *See* SAA at 870.

[36] *See Tapered Roller Bearings and Parts Thereof, Finished and Unfinished, From Japan, and Tapered Roller Bearings, Four Inches or Less in Outside Diameter, and Components Thereof, From Japan; Preliminary Results of Antidumping Duty Administrative Reviews and Partial Termination of Administrative Reviews,* 61 FR 57391, 57392 (November 6, 1996), unchanged in *Tapered Roller Bearings and Parts Thereof, Finished and Unfinished, From Japan, and Tapered Roller Bearings, Four Inches or Less in Outside Diameter, and Components Thereof, From Japan; Final Results of Antidumping Duty Administrative Reviews and Termination in Part,* 62 FR 11825 (March 13, 1997).

[37] *See Certain Steel Grating from the People's Republic of China: Final Determination of Sales at Less than Fair Value,* 75 FR 32366 (June 8, 2010) and accompanying Issues and Decision Memorandum at Comment 2.

[38] *See* I&D Memo at Comment 4.

[39] *See Drill Pipe from the People's Republic of China: Initiation of Antidumping Duty Investigation,* 75 FR 4531 (January 28, 2010) ("*Initiation Notice*").

[40] *See* Memorandum to the File, through Paul Walker, Acting Program Manager, from Toni Dach, Case Analyst, "Investigation of Drill Pipe from the People's Republic of China: DP-Master Group," dated concurrently with this notice.

find that the rate of 429.95 percent has probative value and is, therefore, corroborated within the meaning of section 776(c) of the Act.

Final Determination Margins

We determine that the following percentage weighted-average margins exist for the following entities for the POI:

Exporter	Producer	Weighted-average margin
The DP-Master Group	The DP-Master Group	69.32
Baoshan Iron & Steel Co., Ltd	Baoshan Iron & Steel Co., Ltd	*de minimis*
Shanxi Yida Special Steel Imp. & Exp. Co., Ltd	Shanxi Yida Special Steel Group Co., Ltd	*de minimis*
Shanxi Fenglei Drilling Tools Co., Ltd	Shanxi Fenglei Drilling Tools Co., Ltd	69.32
Jiangsu Shuguang Huayang Drilling Tool, Co. Ltd	Jiangsu Shuguang Huayang Drilling Tool, Co. Ltd	69.32
Jiangyin Long-Bright Drill Pipe Manufacturing Co., Ltd	Jiangyin Long-Bright Drill Pipe Manufacturing Co., Ltd	69.32
PRC-wide Entity		429.95

Disclosure

We will disclose the calculations performed within five days of the date of publication of this notice to parties in this proceeding in accordance with 19 CFR 351.224(b).

Continuation of Suspension of Liquidation

Pursuant to section 735(c)(1)(B) of the Act, we will instruct U.S. Customs and Border Protection ("CBP") to continue to suspend liquidation of all entries of the merchandise under consideration from the PRC entered, or withdrawn from warehouse, for consumption on or after August 18, 2010, with respect to the DP-Master Group and the separate rate respondents. With regard to the DP-Master Group and the separate rate respondents, we will instruct CBP to terminate suspension and to release any bond or other security, and refund any cash deposit made, to secure the payment of estimated antidumping duties with respect to entries of the merchandise entered, or withdrawn from warehouse, for consumption on or after May 20, 2010 (*i.e.,* 90 days prior to the date of publication of the *Preliminary Determination* in the **Federal Register**), but before August 18, 2010 (the date of publication of the *Preliminary Determination*). CBP shall continue to require a cash deposit or the posting of a bond equal to the estimated amount by which the normal value exceeds the U.S. price as shown above. These instructions suspending liquidation will remain in effect until further notice.

With respect to the PRC-wide entity, pursuant to section 735(c)(1)(B) of the Act, and consistent with our finding of critical circumstances, pursuant to section 733(e)(2) of the Act, we will instruct CBP to continue to suspend liquidation of all entries of the merchandise under consideration from the PRC entered, or withdrawn from warehouse, for consumption on or after May 20, 2010, which is 90 days prior to the date on which the suspension of liquidation was first ordered, *i.e.,* 90 days prior to the date of publication of the *Preliminary Determination* in the **Federal Register.** CBP shall continue to require a cash deposit or the posting of a bond equal to the estimated amount by which the normal value exceeds the U.S. price as shown above. These instructions suspending liquidation will remain in effect until further notice.

Additionally, the Department determined in its final determination for the companion countervailing duty ("CVD") investigation that the DP-Master Group's merchandise benefited from export subsidies.[41] Therefore, we will instruct CBP to require a cash deposit or posting of a bond equal to the weighted-average amount by which normal value exceeds U.S. price for the DP-Master Group, as indicated above, minus the amount determined to constitute an export subsidy.[42]

With respect to the separate rate respondents, we note that the rate applied in this proceeding as a separate rate is the calculated rate received by the DP-Master Group. As noted above, in the companion CVD investigation, the Department found that the DP-Master Group's merchandise benefited from export subsidies during the POI and, consequently, all other exporters were found to have benefited from export subsidies based upon the DP-Master Group's results. Therefore, for the separate rate respondents we will instruct CBP to require a cash deposit or posting of a bond equal to the weighted-average amount by which normal value exceeds U.S. price for the DP-Master Group, as indicated above, minus the amount determined to constitute an export subsidy.[43]

With respect to Baoshan and Yida, because their rates were found to be *de minimis,* the Department will not instruct CBP to require an antidumping cash deposit or the posting of a bond.

With respect to the PRC-wide entity, as AFA, we applied to highest rate from the petition that we were able to corroborate. *See* the "Corroboration" section above. We note that, although in the companion CVD investigation the Department found that all-other exporters were found to have benefited from export subsidies, because we have applied AFA to the PRC-wide entity, we will not instruct CBP to deduct any export subsidy from the PRC-wide entity's cash deposit rate.

ITC Notification

In accordance with section 735(d) of the Act, we have notified the International Trade Commission ("ITC") of our final determination of sales at LTFV. Because our final LTFV determination is affirmative, in accordance with section 735(b)(2) of the Act, within 45 days the ITC will determine whether the domestic industry in the United States is materially injured, or threatened with material injury, by reason of imports or sales (or the likelihood of sales) for importation of the merchandise under consideration. If the ITC determines that material injury or threat of material injury does not exist, the proceeding will be terminated and all securities posted will be refunded or canceled. If the ITC determines that such injury does exist, the Department will issue an

[41] *See Drill Pipe from the People's Republic of China: Final Affirmative Countervailing Duty Determination,* dated concurrently with this notice.

[42] *See, e.g., Notice of Final Determination of Sales at Less Than Fair Value: Carbazole Violet Pigment 23 From India,* 69 FR 67306, 67307 (November 17, 2004).

[43] This treatment of the separate rate respondents is consistent with *Certain Magnesia Carbon Bricks From the People's Republic of China: Final Affirmative Countervailing Duty Determination,* 75 FR 45472 (August 2, 2010) and *Certain Seamless Carbon and Alloy Steel Standard, Line, and Pressure Pipe from the People's Republic of China: Final Determination of Sales at Less Than Fair Value and Critical Circumstances, in Part,* 75 FR 57449 (September 21, 2010).

antidumping duty order directing CBP to assess antidumping duties on all imports of the subject merchandise entered, or withdrawn from warehouse, for consumption on or after the effective date of the suspension of liquidation.

Notification Regarding APO

This notice also serves as a reminder to the parties subject to administrative protective order ("APO") of their responsibility concerning the disposition of proprietary information disclosed under APO in accordance with 19 CFR 351.305. Timely notification of return or destruction of APO materials or conversion to judicial protective order is hereby requested. Failure to comply with the regulations and the terms of an APO is a sanctionable violation.

This determination and notice is issued and published in accordance with sections 735(d) and 777(i)(1) of the Act.

Dated: January 3, 2011.

Ronald K. Lorentzen,

Deputy Assistant Secretary for Import Administration.

Appendix I

General Issues

Comment 1: Double Remedy
Comment 2: Scope of the Investigation
Comment 3: Whether the Department Should Correct the Preliminary Determination
A. Whether the Department Correctly Calculated the Surrogate Value for Green Tubes
B. Whether the Department Correctly Calculated Sealer ("SEALRES")
C. Whether the Department Overlooked Surrogate Values on the Record for Tool Joints
Comment 4: Labor Rate
Comment 5: Selection of Surrogate Financial Ratios
A. The DP–Master Group
B. Baoshan

Company-Specific Issues

The DP–Master Group

Comment 6: Selection of a Surrogate Value for Tool Joints
Comment 7: Selection of a Surrogate Value for Green Tubes
Comment 8: Selection of a Surrogate Value for Alloy Steel Bars for Tool Joints
Comment 9: Critical Circumstances

Baoshan

Comment 10: Date of Sale
Comment 11: Market Economy Purchases of Iron Ore Pellet Made through Affiliated Companies
Comment 12: Self-Produced Inputs
Comment 13: By-Product Offset for Pulverized Fuel Ash
Comment 14: Valuation of Baoshan's Copper Plating Tolling Factors of Production

Yida

Comment 15: Yida's Reporting of Rubber Pads as a Packing Material
Comment 16: Yida's Unreported Overhead Materials Discovered at Verification

Changes From Verification

A. DP–Master Group's Phosphate Treatment Tolling Factors of Production
B. Baoshan's Indirect Selling Expenses
C. Baoshan's Credit Expenses

[FR Doc. 2011–390 Filed 1–10–11; 8:45 am]

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DEPARTMENT OF COMMERCE

International Trade Administration

[C–570–966]

Drill Pipe From the People's Republic of China: Final Affirmative Countervailing Duty Determination, Final Affirmative Critical Circumstances Determination

AGENCY: Import Administration, International Trade Administration, Department of Commerce.

SUMMARY: The Department of Commerce (the Department) determines that countervailable subsidies are being provided to producers and exporters of drill pipe from the People's Republic of China (the PRC). For information on the estimated subsidy rates, see the "Suspension of Liquidation" section of this notice.

DATES: *Effective Date:* January 11, 2011.

FOR FURTHER INFORMATION CONTACT: Kristen Johnson or Eric B. Greynolds, AD/CVD Operations, Office 3, Import Administration, U.S. Department of Commerce, Room 4014, 14th Street and Constitution Avenue, NW., Washington, DC 20230; telephone: (202) 482–4793 and (202) 482–6071, respectively.

SUPPLEMENTARY INFORMATION:

Background

This investigation covers 40 programs. The respondent in this investigation is the DP Master Group, which consists of the following companies: DP Master Manufacturing Co., Ltd. (DP Master), Jiangyin Sanliang Petroleum Machinery Co., Ltd. (SPM), Jiangyin Liangda Drill Pipe Co., Ltd. (Liangda), Jiangyin Sanliang Steel Pipe Trading Co., Ltd. (SSP), and Jiangyin Chuangxin Oil Pipe Fittings Co., Ltd. (Chuangxin) (collectively, the DP Master Group). Xigang Seamless Steel Tube Co., Ltd. (Xigang) and Wuxi Seamless Pipe Co., Ltd. (WSP) were also selected mandatory respondents; however, both companies reported to the Department that they did not export subject merchandise to the United States during the period of investigation (POI). The petitioners in this investigation are VAM Drilling USA, Inc., Texas Steel Conversion, Inc., Rotary Drilling Tools, TMK IPSCO, and United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied Industrial and Service Workers International Union, AFL–CIO.

Period of Investigation

The POI for which we are measuring subsidies is January 1, 2009, through December 31, 2009, which corresponds to the PRC's most recently completed fiscal year at the time we initiated this investigation. *See* 19 CFR 351.204(b)(2).

Case History

The following events have occurred since the Department signed the *Preliminary Determination* on June 7, 2010. *See Drill Pipe From the People's Republic of China: Preliminary Affirmative Countervailing Duty Determination,* 75 FR 33245 (June 11, 2010) *(Preliminary Determination).* On June 18, 2010, we issued second supplemental questionnaires to the DP Master Group and the Government of the People's Republic of China (GOC).[1] On June 21, 2010, the Department published in the **Federal Register** the notice to align this final countervailing duty (CVD) determination with the final antidumping duty determination. *See Drill Pipe From the People's Republic of China: Alignment of Final Countervailing Duty Determination with Final Antidumping Duty Determination,* 75 FR 34974 (June 21, 2010).

On June 30, 2010, the DP Master Group made a factual submission regarding technical specifications of casing, tubing, and drill pipe. We received the DP Master Group's second supplemental questionnaire response on July 7, 2010, and the GOC's second supplemental questionnaire response on July 9, 2010. On July 7, 8, and 12, 2010, we received requests to hold a hearing from the DP Master Group, petitioners, and the GOC, respectively.

On July 8, 2010, petitioners submitted a critical circumstances allegation. On July 12, 2010, we issued to the DP Master Group a third supplemental questionnaire and received the company's response on July 21, 2010. On July 13, 2010, petitioners submitted U.S. Census Data in support of its critical circumstances allegation.

On August 2, 2010, we issued a third supplemental questionnaire to the GOC and received the government's response on August 16, 2010. On August 3, 2010,

[1] A public version of these documents and all public documents are available on the public file located in the Department's Central Records Unit (CRU), Room 7046 of the main Commerce building.

we issued to the GOC the verification outline for meetings scheduled in Jiangyin City, Jiangsu Province. On August 9, 2010, the Department made a preliminary affirmative determination of critical circumstances. *See Drill Pipe from the People's Republic of China: Notice of Preliminary Affirmative Determination of Critical Circumstances,* 75 FR 49891 (August 16, 2010) (*Preliminary Critical Circumstances Determination*).

On August 6, 2010, we issued the verification outline to the DP Master Group. On August 17, 2010, petitioners submitted to the Department pre-verification comments. On August 19, 2010, we placed on the record of this investigation our analysis of entry documentation obtained from U.S. Customs and Border Protection (CBP) for the products that Xigang and WSP exported to the United States during the POI.[2] Based on our analysis of the entry packages, we found that the documentation supports the claims of non-shipment of subject merchandise to the United States during the POI by Xigang and WSP and, therefore, we did not issue verification outlines to or conduct verification of either company.

On August 20, 2010, we issued a fourth supplemental questionnaire to both the GOC and DP Master Group. We received responses from the GOC and the DP Master Group on September 2, 2010.

On September 3, 2010, petitioners submitted additional factual information regarding green tubes used for drill pipe and certain finished casing and tubing products. On September 6, 2010, the DP Master Group submitted factual information related to income tax information, green tube benchmark, and bank loan benchmark. Subsequently, on September 14, 2010, the DP Master Group filed rebuttal comments to petitioners' September 3, 2010, factual submission.

We conducted verification of the questionnaire responses submitted by the GOC on September 10, 2010, and by the DP Master Group from September 13 through 15, 2010, in Jiangyin City, Jiangsu Province.

On September 13, 2010, petitioners submitted comments regarding the inclusion of green tubes used in producing drill pipe within the scope of the investigation. On September 23, 2010, the DP Master Group submitted rebuttal comments in regard to petitioners" scope comments. *See* "Scope Comments" section below for additional information.

On October 13, 2010, the DP Master Group requested an extension of time for the filing of new factual information and submitted on the record information regarding the Department's scope determination of green tubes in this investigation. On October 18 and 21, 2010, we released the verification reports for the meetings we held with the GOC and the DP Master Group, respectively.[3] On October 26, 2010, we issued a post-preliminary determination memorandum and preliminarily found that the following programs provided countervailable export subsidies to the DP Master Group during the POI: Technology to Improve Trade Research and Development and Outstanding Growth Private Enterprise and Small and Medium-sized Enterprises in Jiangyin Fund.[4] Additionally, we preliminarily determined that none of the DP Master Group companies acquired land-use rights for less than adequate remuneration (LTAR) based on being located within a special, economic, or development zone or area during the period December 11, 2001, through December 31, 2009.[5]

Interested parties submitted the case and rebuttal briefs on November 3, 2010, and November 10, 2010, respectively. In their respective case briefs, the GOC, DP Master Group, and petitioners withdrew their requests for a hearing and, therefore, a public hearing was not held in this investigation. On November 29, 2010, Department officials met with counsel for the DP Master Group, who gave a verbal presentation of case/rebuttal brief arguments regarding the following issues: construction of green tube benchmark, calculation of the benefit under the Two Free/Three Half Tax Exemption program, and a grant received by Chuangxin.[6] On December 6, 2010, Department officials met with petitioners' counsel, who gave a verbal presentation of case/rebuttal brief arguments regarding the following issues: use of tier-one or tier-two benchmark for the provision of green tubes for LTAR program, bestowal of benefit under the Two Free/Three Half Tax program, and sales denominator to use in the calculations for the provision of inputs for LTAR programs.[7]

Scope of Investigation

The products covered by the investigation are steel drill pipe and steel drill collars, whether or not conforming to American Petroleum Institute (API) or non-API specifications. Included are finished drill pipe and drill collars without regard to the specific chemistry of the steel (*i.e.*, carbon, stainless steel, or other alloy steel), and without regard to length or outer diameter. Also included are unfinished drill collars (including all drill collar green tubes) and unfinished drill pipe (including drill pipe green tubes, which are tubes meeting the following description: seamless tubes with an outer diameter of less than or equal to 6⅝ inches (168.28 millimeters), containing between 0.16 and 0.75 percent molybdenum, and containing between 0.75 and 1.45 percent chromium). The scope does not include tool joints not attached to the drill pipe, nor does it include unfinished tubes for casing or tubing covered by any other antidumping (AD) or CVD order.

The subject products are currently classified in the following Harmonized Tariff Schedule of the United States (HTSUS) categories: 7304.22.0030, 7304.22.0045, 7304.22.0060, 7304.23.3000, 7304.23.6030, 7304.23.6045, 7304.23.6060, 8431.43.8040 and may also enter under 8431.43.8060, 8431.43.4000, 7304.39.0028, 7304.39.0032, 7304.39.0036, 7304.39.0040, 7304.39.0044, 7304.39.0048, 7304.39.0052, 7304.39.0056, 7304.49.0015, 7304.49.0060, 7304.59.8020, 7304.59.8025, 7304.59.8030, 7304.59.8035, 7304.59.8040, 7304.59.8045, 7304.59.8050, and 7304.59.8055.

[2] *See* Memorandum to the File from Kristen Johnson, Trade Analyst, Operations Office 3, "Examination of Entry Documentation," (August 19, 2010).

[3] *See* Memorandum to Melissa Skinner, Director, AD/CVD Operations, Office 3, from Eric B. Greynolds, Program Manager, AD/CVD Operations, Office 3 and Kristen Johnson, Trade Analyst, AD/CVD Operations, Office 3, regarding "Verification of Information Submitted by the Government of the People's Republic of China," (October 18, 2010) (GOC Verification Report) and Memorandum to Melissa Skinner, Director, AD/CVD Operations, Office 3, from Eric B. Greynolds, Program Manager, AD/CVD Operations, Office 3 and Kristen Johnson, Trade Analyst, AD/CVD Operations, Office 3, regarding "Verification of Information Submitted by the DP Master Group," (DP Master Group Verification Report) (October 21, 2010).

[4] *See* Memorandum to Ronald K. Lorentzen, Deputy Assistant Secretary for Import Administration, from Susan H. Kuhbach, Acting Deputy Assistant Secretary for Antidumping and Countervailing Duty Operations, regarding "Post-Preliminary Determination Memorandum," (October 26, 2010) at 1–4.

[5] *Id.* at 4–7.

[6] *See* Memorandum to the File through Melissa Skinner, Director, AD/CVD Operations, Office 3, from Kristen Johnson, Trade Analyst, AD/CVD Operations, Office 3, regarding "Meeting with Counsel for the DP Master Group," (November 29, 2010).

[7] *See* Memorandum to the File through Melissa Skinner, Director, AD/CVD Operations, Office 3, from Kristen Johnson, Trade Analyst, AD/CVD Operations, Office 3, regarding "Meeting with Counsel for Petitioners," (December 6, 2010).

While HTSUS subheadings are provided for convenience and customs purposes, the written description of the scope of the investigation is dispositive.

Scope Comments

In the preliminary determination of the concurrent AD investigation, the Department indicated that it would solicit additional comments from parties regarding the specifications of drill pipe green tube. *See Drill Pipe From the People's Republic of China: Preliminary Determination of Sales at Less Than Fair Value and Affirmative Determination of Critical Circumstances, and Postponement of Final Determination,* 75 FR 51004, 51005–06 (August 18, 2010); and *Drill Pipe From the People's Republic of China: Notice of Correction to the Preliminary Determination of Sales at Less Than Fair Value and Affirmative Determination of Critical Circumstances, and Postponement of Final Determination,* 75 FR 51014 (August 18, 2010). Between September 13 and 23, 2010, petitioners and the DP Master Group placed additional information on the record of the AD and CVD investigations regarding the characteristics of drill pipe green tube. Additionally, petitioners and the DP Master Group commented on the scope of the investigation in their case briefs submitted on the record of the AD investigation. Based on analysis of that information and arguments, the Department has modified the scope of the AD and CVD investigations to define drill pipe green tubes which were previously described as "green tubes suitable for drill pipe." [8]

Injury Test

Because the PRC is a "Subsidies Agreement Country" within the meaning of section 701(b) of the Tariff Act of 1930, as amended (the Act), the International Trade Commission (the ITC) is required to determine whether imports of the subject merchandise from the PRC materially injure, or threaten material injury to, a U.S. industry. On March 8, 2010, the ITC published its preliminary determination finding that there is a reasonable indication that an industry in the United States is threatened with material injury by reason of imports of drill pipe and drill collars from the PRC that are alleged to be sold in the United States at less than fair value and subsidized by the GOC. *See Drill Pipe and Drill Collars From China,* Investigation Nos. 701–TA–474 and 731–TA–1176 (Preliminary), 75 FR 10501 (March 8, 2010).

Critical Circumstances

In the *Preliminary Critical Circumstances Determination,* the Department concluded that critical circumstances exist with respect to imports of drill pipe from the PRC from the DP Master Group, in accordance with section 703(e)(1) of the Act. We also preliminarily determined, based on the shipment experience of the DP Master Group, that critical circumstances exist as well for imports of drill pipe from the PRC from "all other" exporters, in accordance with section 703(e)(1) of the Act. Our analysis of the results of verification and the comments submitted by interested parties have not lead us to change our preliminary affirmative finding of critical circumstances for the DP Master Group and "all other" exporters. Therefore, in accordance with section 705(a)(2) of the Act, we continue to find that critical circumstances exist with respect to imports of subject merchandise from the PRC from the DP Master Group and "all other" exporters.

Analysis of Comments Received

All issues raised in the case and rebuttal briefs by parties to this investigation are addressed in the Memorandum from Christian Marsh, Deputy Assistant Secretary for Antidumping and Countervailing Duty Operations, to Ronald K. Lorentzen, Deputy Assistant Secretary for Import Administration, entitled "Issues and Decision Memorandum for the Final Determination in the Countervailing Duty Investigation of Drill Pipe from the People's Republic of China," (January 3, 2011) (Decision Memorandum), which is hereby adopted by this notice. Attached to this notice as an Appendix is a list of the issues that parties raised and to which we have responded in the Decision Memorandum. Parties can find a complete discussion of all issues raised in this investigation and the corresponding recommendations in this public memorandum, which is on file in the Department's Central Records Unit, room 7046 of the main Department of Commerce building. In addition, a complete version of the Decision Memorandum can be accessed directly on the Internet at *http://trade.gov/ia*. The paper copy and electronic version of the Decision Memorandum are identical in content.

Suspension of Liquidation

In accordance with section 705(c)(1)(B)(i)(I) of the Act, we have calculated an individual rate for the DP Master Group. Section 705(c)(5)(A) of the Act states that for companies not investigated, we will determine an all others rate by weighting the individual company subsidy rate of each of the companies investigated by each company's exports of the subject merchandise to the United States. The all others rate may not include zero and *de minimis* net subsidy rates, or any rates based solely on the facts available. Because we have calculated a rate for only the DP Master Group, the rate for the DP Master Group is the all others rate.

We determine the total estimated net countervailable subsidy rates to be:

Producer/Exporter	Net subsidy *Ad Valorem* rate (percent)
DP Master Manufacturing Co., Ltd. (DP Master), Jiangyin Sanliang Petroleum Machinery Co., Ltd. (SPM); Jiangyin Liangda Drill Pipe Co., Ltd. (Liangda); Jiangyin Sanliang Steel Pipe Trading Co., Ltd. (SSP), and Jiangyin Chuangxin Oil Pipe Fittings Co., Ltd. (Chuangxin) (collectively, DP Master Group)	18.18
All Others	18.18

As a result of our *Preliminary Determination* and pursuant to section 703(d) of the Act, we instructed CBP to suspend liquidation of all entries of subject merchandise from the PRC which were entered or withdrawn from warehouse, for consumption on or after June 11, 2010, the date of the publication of the *Preliminary Determination* in the **Federal Register**. Subsequently, as a result of our *Preliminary Critical Circumstances*

[8] *See* companion antidumping duty final determination and accompanying issues and decision memorandum at comment 2, issued concurrently with this notice.

Determination, we instructed CBP to suspend liquidation of all entries of subject merchandise from the PRC which were entered or withdrawn from warehouse, for consumption on or after March 13, 2010, which is 90 days prior to the date of publication in the **Federal Register** of the *Preliminary Determination.* In accordance with section 703(d) of the Act, we later issued instructions to CBP to discontinue the suspension of liquidation for CVD purposes for subject merchandise entered, or withdrawn from warehouse, on or after October 9, 2010, but to continue the suspension of liquidation of all entries from June 11, 2010, through October 8, 2010.

We will issue a CVD order and reinstate the suspension of liquidation under section 706(a) of the Act if the ITC issues a final affirmative injury determination, and will require a cash deposit of estimated CVDs for such entries of merchandise in the amounts indicated above. If the ITC determines that material injury, or threat of material injury, does not exist, this proceeding will be terminated and all estimated duties deposited or securities posted as a result of the suspension of liquidation will be refunded or canceled.

ITC Notification

In accordance with section 705(d) of the Act, we will notify the ITC of our determination. In addition, we are making available to the ITC all non-privileged and non-proprietary information related to this investigation. We will allow the ITC access to all privileged and business proprietary information in our files, provided the ITC confirms that it will not disclose such information, either publicly or under an administrative protective order (APO), without the written consent of the Assistant Secretary for Import Administration.

Return or Destruction of Proprietary Information

In the event that the ITC issues a final negative injury determination, this notice will serve as the only reminder to parties subject to an APO of their responsibility concerning the destruction of proprietary information disclosed under APO in accordance with 19 CFR 351.305(a)(3). Timely written notification of the return/destruction of APO materials or conversion to judicial protective order is hereby requested. Failure to comply with the regulations and terms of an APO is a violation which is subject to sanction.

This determination is published pursuant to sections 705(d) and 777(i) of the Act.

Dated: January 3, 2011.

Ronald K. Lorentzen,

Deputy Assistant Secretary for Import Administration.

Appendix

List of Comments and Issues in the Decision Memorandum

Comment 1: Application of CVD Law to the PRC

Comment 2: Whether Application of the CVD Law to Chinese Imports Violates the Administrative Procedure Act

Comment 3: Double Counting/Double Remedy

Comment 4: Cutoff Date for Identifying Subsidies

Comment 5: Critical Circumstances

Comment 6: Attribute Benefits From Tied Subsidies Only to the Products That Benefit

Comment 7: Apply 2009 Short-Term Interest Rate Benchmark and Adjust Benefit Calculation Based on China's Inflation Rate

Comment 8: Preferential Loans to the Drill Pipe Industry

Comment 9: Construction of the Green Tube Benchmark

Comment 10: Ministerial Error In the Green Tube Benefit Calculation

Comment 11: The Department Should Account For the Premium Quality of Steel Rounds

Comment 12: Timing of Receipt of the Benefit Under the Two Free, Three Half Tax Exemption for Foreign Invested Enterprises

Comment 13: Tying and Attribution Issues Regarding the Grant Received Under the Outstanding Growth Private Enterprise and Small and Medium-sized Enterprises Development in Jiangyin Fund

[FR Doc. 2011–392 Filed 1–10–11; 8:45 am]

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FEDERAL REGISTER

Vol. 76 Tuesday

No. 7 January 11, 2011

Part VII

The President

Memorandum of January 6, 2011—Disestablishment of United States Joint Forces Command

Federal Register
Vol. 76, No. 7
Tuesday, January 11, 2011

Presidential Documents

Title 3—

The President

Memorandum of January 6, 2011

Disestablishment of United States Joint Forces Command

Memorandum for the Secretary of Defense

Pursuant to my authority as Commander in Chief and under 10 U.S.C. 161, I hereby accept the recommendations of the Secretary of Defense and Chairman of the Joint Chiefs of Staff and approve the disestablishment of United States Joint Forces Command, effective on a date to be determined by the Secretary of Defense. I direct this action be reflected in the 2010 Unified Command Plan.

Pursuant to 10 U.S.C. 161(b)(2) and 3 U.S.C. 301, you are directed to notify the Congress on my behalf.

You are authorized and directed to publish this memorandum in the *Federal Register*.

THE WHITE HOUSE,
Washington, January 6, 2011

[FR Doc. 2011–590
Filed 1–10–11; 11:15 am]
Billing code 5000–04–P

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S. 118/P.L. 111–372
Section 202 Supportive Housing for the Elderly Act of 2010 (Jan. 4, 2011; 124 Stat. 4077)

S. 841/P.L. 111–373
Pedestrian Safety Enhancement Act of 2010 (Jan. 4, 2011; 124 Stat. 4086)

S. 1481/P.L. 111–374
Frank Melville Supportive Housing Investment Act of 2010 (Jan. 4, 2011; 124 Stat. 4089)

S. 3036/P.L. 111–375
National Alzheimer's Project Act (Jan. 4, 2011; 124 Stat. 4100)

S. 3243/P.L. 111–376
Anti-Border Corruption Act of 2010 (Jan. 4, 2011; 124 Stat. 4104)

S. 3447/P.L. 111–377
Post-9/11 Veterans Educational Assistance Improvements Act of 2010 (Jan. 4, 2011; 124 Stat. 4106)

S. 3481/P.L. 111–378
To amend the Federal Water Pollution Control Act to clarify Federal responsibility for stormwater pollution. (Jan. 4, 2011; 124 Stat. 4128)

S. 3592/P.L. 111–379
To designate the facility of the United States Postal Service located at 100 Commerce Drive in Tyrone, Georgia, as the ''First Lieutenant Robert Wilson Collins Post Office Building''. (Jan. 4, 2011; 124 Stat. 4130)

S. 3874/P.L. 111–380
Reduction of Lead in Drinking Water Act (Jan. 4, 2011; 124 Stat. 4131)

S. 3903/P.L. 111–381
To authorize leases of up to 99 years for lands held in trust for Ohkay Owingeh Pueblo. (Jan. 4, 2011; 124 Stat. 4133)

S. 4036/P.L. 111–382
To clarify the National Credit Union Administration authority to make stabilization fund expenditures without borrowing from the Treasury. (Jan. 4, 2011; 124 Stat. 4134)

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