

**NATIONAL SCIENCE FOUNDATION****Proposal Review Panel for Physics;  
Notice of Meeting**

In accordance with the Federal Advisory Committee Act (Pub. L. 92-463, as amended), the National Science Foundation announces the following meeting.

*Name:* Cornell University Site Visit in Physics (1208).

*Date And Time:* Wednesday, December 2, 2009 8 a.m.–6 p.m.; Thursday, December 3, 2009 8 a.m.–3 p.m.

*Place:* Cornell University, Ithaca, NY.

*Type of Meeting:* Partially Closed.

*Contact Person:* Dr. David Lissauer, Program Director for Elementary Particle Physics, National Science Foundation, 4201 Wilson Blvd., Arlington, VA 22230.  
*Telephone:* (703) 292-7061.

*Purpose of Meeting:* To provide an evaluation concerning the proposal submitted to the National Science Foundation.

**Agenda**

*Wednesday, December 2, 2009*

Closed—8–9 Executive Session

Open—9–3 CESR Discussion & tour of the Wilson Lab

Closed—3–3:30 Executive Session

Open—3:30–6 Project X for DUSEL

*Thursday, December 3, 2009*

Closed—8–9 Executive Session

Open—9–11:30 Muon & Tour Acceleration and Outreach

Closed—11:30–3:30 Executive Session & Close out with Lab Leaders

*Reason For Closing:* The proposal contains proprietary or confidential material including technical information; financial data, such as salaries; and personal information concerning individuals associated with the proposals. These matters are exempt under 5 U.S.C. 552b (c) and (6) of the Government in the Sunshine Act.

Dated: October 29, 2009.

**Susanne Bolton,**

*Committee Management Officer.*

[FR Doc. E9-26449 Filed 11-2-09; 8:45 am]

**BILLING CODE 7555-01-P**

**NATIONAL SCIENCE FOUNDATION****National Science Board; Sunshine Act Meetings; Notice**

The National Science Board's Committee on Audit and Oversight, pursuant to NSF regulations (45 CFR part 614), the National Science Foundation Act, as amended (42 U.S.C. 1862n-5), and the Government in the Sunshine Act (5 U.S.C. 552b), hereby gives notice in regard to the scheduling of meetings for the transaction of National Science Board business and other matters specified, as follows:

**DATE AND TIME:** Friday, November 6, 2009 at 12 p.m.

**SUBJECT MATTER:** Specific staffing or personnel issues and/or Office of the Inspector General investigations.

**STATUS:** Closed.

This meeting will be held by teleconference originating at the National Science Board Office, National Science Foundation, 4201 Wilson Blvd., Arlington, VA 22230. Please refer to the National Science Board Web site (<http://www.nsf.gov/nsb>) for information or schedule updates, or contact: Kim Silverman, National Science Foundation, 4201 Wilson Blvd., Arlington, VA 22230. Telephone: (703) 292-7000.

**Ann Ferrante,**

*Technical Writer/Editor.*

[FR Doc. E9-26597 Filed 11-2-09; 12:30 pm]

**BILLING CODE 7555-01-P**

**NATIONAL TRANSPORTATION  
SAFETY BOARD****Sunshine Act Meeting Notice**

**TIME AND DATE:** 9:30 a.m., Tuesday, November 17, 2009.

**PLACE:** NTSB Conference Center, 429 L'Enfant Plaza, SW., Washington, DC 20594.

**STATUS:** The one item is open to the public.

**MATTER TO BE CONSIDERED:** 8017A Marine Accident Report—Engineer Fire On Board U.S. Small Passenger Vessel *Queen of the West*, Columbia River, near Rufus, Oregon, April 8, 2008.

**NEWS MEDIA CONTACT:** Telephone: (202) 314-6100.

The press and public may enter the NTSB Conference Center one hour prior to the meeting for set up and seating.

Individuals requesting specific accommodations should contact Rochelle Hall at (202) 314-6305 by Friday, November 13, 2009.

The public may view the meeting via a live or archived Webcast by accessing a link under "News & Events" on the NTSB home page at <http://www.nts.gov>.

**FOR MORE INFORMATION CONTACT:** Candi Bing, (202) 314-6403.

Dated: October 30, 2009.

**Candi R. Bing,**

*Alternate Federal Register Liaison Officer.*

[FR Doc. E9-26612 Filed 11-2-09; 11:15 am]

**BILLING CODE 7533-01-P**

**NUCLEAR REGULATORY  
COMMISSION**

[NRC-2009-0214]

**Notice of Availability of Final Interim Staff Guidance on Streamlined Review Process for License Renewal for Research Reactors and Response to Comments**

**AGENCY:** Nuclear Regulatory Commission.

**ACTION:** Notice of Availability of Final Interim Staff Guidance on Streamlined Review Process for License Renewal for Research Reactors and Response to Comments

**FOR FURTHER INFORMATION CONTACT:**

Alexander Adams Jr., Division of Policy and Rulemaking, Office of Nuclear Reactor Regulation, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, telephone 301-415-1127, e-mail [alexander.adams@nrc.gov](mailto:alexander.adams@nrc.gov); or Marcus Voth, Division of Policy and Rulemaking, Office of Nuclear Reactor Regulation, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, telephone 301-415-1210, e-mail [marcus.voth@nrc.gov](mailto:marcus.voth@nrc.gov).

**ADDRESSES:**

*Federal e-Rulemaking Portal:* Documents related to this notice, including public comments, are accessible at <http://www.regulations.gov>, by searching on Docket ID: NRC-2009-0214.

*NRC's Public Document Room (PDR):* The public may examine and have copied for a fee, publicly available documents at the NRC's PDR, Public File Area O-1 F21, One White Flint North, 11555 Rockville Pike, Rockville, Maryland.

*NRC's Agencywide Documents Access and Management System (ADAMS):* Publicly available documents created or received at the NRC are available electronically at the NRC's Electronic Reading Room at <http://www.nrc.gov/reading-rm/adams.html>. From this page, the public can gain entry into ADAMS, which provides text and image files of NRC's public documents. If you do not have access to ADAMS or if there are problems in accessing the documents located in ADAMS, contact the NRC's PDR reference staff at 1-800-397-4209, 301-415-4737, or by e-mail to [pdr.resource@nrc.gov](mailto:pdr.resource@nrc.gov). The document, "Interim Staff Guidance on Streamlined Review Process for License Renewal for Research Reactors" is available electronically under ADAMS Accession Number ML092240244.

**SUPPLEMENTARY INFORMATION:** On June 16, 2009 (74 FR 28,583), the NRC

published a notice of availability and opportunity for comment on the draft Interim Staff Guidance Regarding the Review of Research and Test Reactor License Renewal Applications. When the comment period ended on July 16, 2009, one comment was received. The commenter cited practices of another federal agency that allowed for informal transmittal of information which, if applied to the license renewal process for research reactors, could result in improvements in efficiency. The staff considered the comment and notes that whenever possible less formal means are used. However, in license renewal matters most communication is a matter of official record. Under NRC regulations regarding internal rules and procedures an official record must be maintained.

Because there are no other comments on the draft guidance that was published, no major changes were initiated. Minor editorial corrections and enhancements were made to the document and it has been re-published and made available to the public by the means described above.

Dated at Rockville, Maryland, this 28th day of October 2009.

For the Nuclear Regulatory Commission.

**Kathryn M. Brock,**

*Chief, Research and Test Reactor Branch A, Division of Policy and Rulemaking, Office of Nuclear Reactor Regulation.*

[FR Doc. E9-26535 Filed 11-3-09; 8:45 am]

BILLING CODE 7590-01-P

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## SECURITIES AND EXCHANGE COMMISSION

### Submission for OMB Review; Comment Request

*Upon Written Request, Copies Available*

From: Securities and Exchange Commission, Office of Investor Education and Advocacy, Washington, DC 20549-0213.

#### Extension:

Rule 154, SEC File No. 270-438, OMB Control No. 3235-0495.

Notice is hereby given that, under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520), the Securities and Exchange Commission (the "Commission") has submitted to the Office of Management and Budget a request for extension of the previously approved collection of information discussed below.

The federal securities laws generally prohibit an issuer, underwriter, or dealer from delivering a security for sale unless a prospectus meeting certain requirements accompanies or precedes

the security. Rule 154 (17 CFR 230.154) under the Securities Act of 1933 (15 U.S.C. 77a) (the "Securities Act") permits, under certain circumstances, delivery of a single prospectus to investors who purchase securities from the same issuer and share the same address ("householding") to satisfy the applicable prospectus delivery requirements.<sup>1</sup> The purpose of rule 154 is to reduce the amount of duplicative prospectuses delivered to investors sharing the same address.

Under rule 154, a prospectus is considered delivered to all investors at a shared address, for purposes of the Federal securities laws, if the person relying on the rule delivers the prospectus to the shared address and the investors consent to the delivery of a single prospectus. The rule applies to prospectuses and prospectus supplements. Currently, the rule permits householding of all prospectuses by an issuer, underwriter, or dealer relying on the rule if, in addition to the other conditions set forth in the rule, the issuer, underwriter, or dealer has obtained from each investor written or implied consent to householding.<sup>2</sup> The rule requires issuers, underwriters, or dealers that wish to household prospectuses with implied consent to send a notice to each investor stating that the investors in the household will receive one prospectus in the future unless the investors provide contrary instructions. In addition, at least once a year, issuers, underwriters, or dealers, relying on rule 154 for the householding of prospectuses relating to open-end management investment companies that are registered under the Investment Company Act of 1940 ("mutual funds") must explain to investors who have provided written or implied consent how they can revoke their consent. Preparing and sending the notice and the annual explanation of the right to revoke are collections of information.

The rule allows issuers, underwriters, or dealers to household prospectuses if

<sup>1</sup> The Securities Act requires the delivery of prospectuses to investors who buy securities from an issuer or from underwriters or dealers who participate in a registered distribution of securities. See Securities Act sections 2(a)(10), 4(1), 4(3), 5(b) (15 U.S.C. 77b(a)(10), 77d(1), 77d(3), 77e(b)); see also rule 174 under the Securities Act (17 CFR 230.174) (regarding the prospectus delivery obligation of dealers); rule 15c2-8 under the Securities Exchange Act of 1934 (17 CFR 240.15c2-8) (prospectus delivery obligations of brokers and dealers).

<sup>2</sup> Rule 154 permits the householding of prospectuses that are delivered electronically to investors only if delivery is made to a shared electronic address and the investors give written consent to householding. Implied consent is not permitted in such a situation. See rule 154(b)(4).

certain conditions are met. Among the conditions with which a person relying on the rule must comply are providing notice to each investor that only one prospectus will be sent to the household and, in the case of issuers that are mutual funds, providing to each investor who consents to householding an annual explanation of the right to revoke consent to the delivery of a single prospectus to multiple investors sharing an address. The purpose of the notice and annual explanation requirements of the rule is to ensure that investors who wish to receive individual copies of prospectuses are able to do so.

Although rule 154 is not limited to mutual funds, the Commission believes that it is used mainly by mutual funds and by broker-dealers that deliver mutual fund prospectuses. The Commission is unable to estimate the number of issuers other than mutual funds that rely on the rule.

The Commission estimates that, as of December 2008, there are approximately 1,960 mutual funds, approximately 150 of which engage in direct marketing and therefore deliver their own prospectuses. The Commission estimates that each direct-marketed mutual fund will spend an average of 20 hours per year complying with the notice requirement of the rule, for a total of 3,000 hours. The Commission estimates that each direct-marketed fund will also spend 1 hour complying with the explanation of the right to revoke requirement of the rule, for a total of 150 hours. The Commission estimates that there are approximately 320 broker-dealers that carry customer accounts and, therefore, may be required to deliver mutual fund prospectuses. The Commission estimates that each affected broker-dealer will spend, on average, approximately 20 hours complying with the notice requirement of the rule, for a total of 6,400 hours. Each broker-dealer will also spend 1 hour complying with the annual explanation of the right to revoke requirement, for a total of 320 hours. Therefore, the total number of respondents for rule 154 is 470 (150 mutual funds plus 320 broker-dealers), and the estimated total hour burden is 9,870 hours (3,150 hours for mutual funds plus 6,720 hours for broker-dealers).

The estimate of average burden hours is made solely for the purposes of the Paperwork Reduction Act, and is not derived from a comprehensive or even a representative survey or study of the costs of Commission rules and forms.

Compliance with the collection of information requirements of the rule is