

SECOND FAIR ACT RELEASE FY 2007—Continued

Department of the Interior (IG)	Mr. Roy Kime, (202) 208-6232, www.oig.doi.gov .
Department of Transportation (IG)	Ms. Jacquelyn Weber, (202) 366-1495, www.oig.dot.gov .
Department of Veterans Affairs	Ms. Julie Plush, (202) 461-5810, www.va.gov/op3/ .
Environmental Protection Agency (IG)	Mr. Michael J. Binder (202) 566-2617, www.epa.gov/oig .
Federal Mediation and Conciliation Service	Mr. Dan Ellerman, (202) 606-5460, www.fmcs.gov/internet .
Federal Election Commission	Ms. Tina VanBrakle, (202) 694-1006, www.fec.gov/pages/fair.shtml .
Federal Labor Relations Authority	Ms. Jill Crumpacker, (202) 218-7900, www.flra.gov .
Inter-American Foundation	Ms. Linda Kolko, (703) 306-4308, www.iaf.gov .
International Trade Commission	Mr. Stephen McLaughlin, (202) 205-3131, www.usitc.gov .
Merit Systems Protection Board	Mr. Wade Douglas, (202) 653-6772 x1118, www.mspb.gov .
National Endowment for the Humanities	Mr. Barry Maynes, (202) 606-8233, www.neh.gov .
National Gallery of Art	Mr. William W. McClure, (202) 312-2760, www.nga.gov .
National Labor Relations Board	Ms. Demetria Gregory, (202) 273-0054, www.nlr.gov .
National Labor Relations Board (IG)	Mr. Lester Heltzer, (202) 273-1067, www.nlr.gov .
National Science Foundation	Mr. Joseph Burt, (703) 292-8108, www.nsf.gov/publications .
National Transportation Safety Board	Ms. Carol Belovitch, (202) 314-6232, www.nts.gov/info/fair_act_2007.htm .
Nuclear Regulatory Commission	Ms. Mary Lynn Scott, (301) 415-7305, www.nrc.gov .
Nuclear Regulatory Commission OIG	Mr. David Lee, (301) 415-5930, www.nrc.gov/insp-gen/fairact-inventory.html .
Office of Management and Budget	Ms. Lauren Wright, (202) 395-3970, www.whitehouse.gov/omb/procurement/fair/notices_avail.html .
Office of National Drug Control Policy	Mr. Daniel Petersen, (202) 395-6745, www.whitehousedrugpolicy.gov .
Office of the U.S. Trade Representative	Ms. Susan Buck, (202) 395-9412, www.ustr.gov .
Peace Corps	Ms. Caroline Allen, (202) 962-1096, www.peacecorps.gov/index.cfm?shell=pchq.policies.docs .
Railroad Retirement Board (IG)	Mr. William Tibbe, (312) 751-4350, www.rrb.gov/mep/oig.asp .
Securities and Exchange Commission	Mr. Jeffrey Risinger, (202) 551-7446, www.sec.gov .
Selective Service System	Mr. Calvin Montgomery, (703) 605-4038, www.sss.gov .
Small Business Administration (IG)	Mr. Robert Fisher, (202) 205-6583, www.sba.gov/ig/OIG_Fair.html .
U.S. Agency for International Development	Ms. Deborah Lewis, (202) 712-0936, www.usaid.gov/business/regulations/fair/ .
U.S. Agency for International Development (IG)	Mr. Robert Ross, (202) 712-1331, www.usaid.gov/oig/public/public1.htm .
U.S. Patent and Trademark Office	Ms. Delores Padgett, (571) 272-6738, www.uspto.gov .
U.S. Trade Development Agency	Ms. Carolyn Hum, (703) 875-4357, www.tda.gov .

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SECURITIES AND EXCHANGE COMMISSION

Submission for OMB Review; Comment Request

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of Investor Education and Advocacy, Washington, DC 20549-0213.

Extension:

“Investor Form” SEC File No. 270-485; OMB Control No. 3235-0547.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (“SEC”) has submitted to the Office of Management and Budget a request to approve the collection of information discussed below.

Investors who submit complaints, ask questions, or provide tips to the SEC do so voluntarily. To make it easier for the public to contact the agency

electronically, the SEC created a series of investor complaint and question web forms. Investors can access these forms through the SEC Center for Complaints and Enforcement Tips at <http://www.sec.gov/complaint.shtml>. The SEC is now going to consolidate those forms into one form (the Investor Form) which will ask for the same information, but also provide several drop down options to choose from in order to categorize the investor’s complaint, and possibly provide the investor with information about that issue. The investor will have the same opportunity to describe their complaint, and they will be free to submit it without their name or contact information.

Although the Investor Form provides a structured format for incoming investor correspondence, the SEC does not require that investors use any particular form or format when contacting the agency. To the contrary, investors may submit complaints, questions, and tips through a variety of other means, including telephone, letter, facsimile, or e-mail. Approximately 20,000 investors each year voluntarily

choose to use the complaint and question forms.

Investors who choose not to use the Investor Form receive the same level of service as those who do. The dual purpose of the form is to make it easier for the public to contact the agency with complaints, questions, tips, or other feedback and to streamline the workflow of the SEC staff who handle those contacts.

The SEC has used—and will continue to use—the information that investors supply on the complaint and question forms, and the Investor Form to review and process the contact (which may, in turn, involve responding to questions, processing complaints, or, as appropriate, initiating enforcement investigations), to maintain a record of contacts, to track the volume of investor complaints, and to analyze trends.

As with the previous forms, the Investor Form will ask investors to provide information concerning, among other things, their names, how they can be reached, the names of the individuals or entities involved, the nature of their complaint or tip, what documents they

can provide, and what, if any, actions they have taken.

Use of the Investor Form is strictly voluntary. Moreover, the SEC does not require investors to submit complaints, questions, tips, or other feedback. Absent the forms, the public still has several ways to contact the agency, including telephone, facsimile, letters, and e-mail. Nevertheless, the SEC created these forms to make it easier for the public to contact the agency with complaints, questions, or tips. The forms further streamline the workflow of SEC staff who record, process, and respond to investor contacts.

The staff of the SEC estimates that the total reporting burden for using the complaint and question forms is 5,000 hours. The calculation of this estimate depends on the number of investors who use the forms each year and the estimated time it takes to complete the forms: 20,000 respondents \times 15 minutes = 5,000 burden hours.

Members of the public should be aware that an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless a currently valid Office of Management and Budget control number is displayed.

General comments regarding the above information should be directed to the following persons: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and Budget, Room 10102, New Executive Office Building, Washington, DC 20503 or send an e-mail to Alexander_T._Hunt@omb.eop.gov; and (ii) Lewis W. Walker, Acting Director and Chief Information Officer, Securities and Exchange Commission, c/o Shirley Martinson, 6432 General Green Way, Alexandria, VA 22312, or send an e-mail to PRA_mailbox@sec.gov. Comments must be submitted to OMB within 30 days of this notice.

Dated: July 2, 2008.

Florence E. Harmon,
Acting Secretary.

[FR Doc. E8-15640 Filed 7-9-08; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

Proposed Collection; Comment Request

Upon written request, copies available from: Securities and Exchange Commission, Office of Investor

Education and Advocacy,
Washington, DC 20549-0213.

Extension:

Rule 17Ab2-1, Form CA-1; SEC File No. 270-203; OMB Control No. 3235-0195.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission ("Commission") is soliciting comments on the collection of information summarized below. The Commission plans to submit this existing collection of information to the Office of Management and Budget for extension and approval.

- Rule 17Ab2-1 and Form CA-1: Registration of Clearing Agencies (17 CFR 240.17Ab2-1).

Rule 17Ab2-1 and Form CA-1 require clearing agencies to register with the Commission and to meet certain requirements with regard to, among other things, a clearing agency's organization, capacities, and rules. The information is collected from the clearing agency upon the initial application for registration on Form CA-1. Thereafter, information is collected by amendment to the initial Form CA-1 when material changes in circumstances necessitate modification of the information previously provided to the Commission.

The Commission uses the information disclosed on Form CA-1 to (i) Determine whether an applicant meets the standards for registration set forth in Section 17A of the Securities Exchange Act of 1934 ("Exchange Act"), (ii) enforce compliance with the Exchange Act's registration requirement, and (iii) provide information about specific registered clearing agencies for compliance and investigatory purposes. Without Rule 17Ab2-1, the Commission could not perform these duties as statutorily required.

There are currently approximately six operational clearing agencies and five clearing agencies that have been granted an exemption from registration. The Commission staff estimates that each initial Form CA-1 requires approximately 130 hours to complete and submit for approval. Hours required for amendments to Form CA-1 that must be submitted to the Commission in connection with material changes to the initial CA-1 can vary, depending upon the nature and extent of the amendment. Since the Commission only receives an average of one submission per year, the aggregate annual burden associated with compliance with Rule 17Ab2-1 and Form CA-1 is 130 hours. Based upon the staff's experience, the average cost to clearing agencies of preparing and filing

the initial Form CA-1 is estimated to be \$18,000.

Written comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; (b) the accuracy of the agency's estimate of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted in writing within 60 days of this publication.

Please direct your written comments to Lewis W. Walker, Acting Director/Chief Information Officer, Securities and Exchange Commission, C/O Shirley Martinson, 6432 General Green Way, Alexandria, Virginia, 22312; or send an e-mail to: PRA_Mailbox@sec.gov.

Dated: July 2, 2008.

Florence E. Harmon,
Acting Secretary.

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SECURITIES AND EXCHANGE COMMISSION

Proposed Collection; Comment Request

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of Investor Education and Advocacy, Washington, DC 20549-0213.

Extension:

Rule 17Ad-3(b); SEC File No. 270-424; OMB Control No. 3235-0473.

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission ("Commission") is soliciting comments on the collection of information summarized below. The Commission plans to submit this existing collection of information to the Office of Management and Budget for extension and approval.

- Rule 17Ad-3(b) (17 CFR 240.17Ad-3(b)).

Rule 17Ad-3(b) requires registered transfer agents that for each of two consecutive months have failed to turnaround at least 75% of all routine items in accordance with the requirements of Rule 17Ad-2(a) or to