

**DEPARTMENT OF ENERGY****Federal Energy Regulatory Commission****[Docket No. AD07-12-000]****Reliability Standard Compliance and Enforcement in Regions With Independent System Operators and Regional Transmission Organizations; Final Notice and Agenda for the Technical Conference**

September 13, 2007.

As announced on June 15, 2007 and August 2, 2007, the staff of the Federal Energy Regulatory Commission will hold a technical conference in the above-referenced proceeding on September 18, 2007, at the Federal Energy Regulatory Commission, 888 First Street, NE., Washington DC. It will be held in the Commission Meeting Room (Room 2C) from 9:30 a.m. until 1 p.m. (EDT).

All interested persons are invited, and there is *no* registration fee to attend.

The conference will explore issues associated with the cost recovery of penalties for Reliability Standard violations assessed against independent system operators (ISOs) and regional transmission organizations (RTOs), as set forth in *Midwest Independent Transmission System Operator, Inc.*, 119 FERC ¶ 61,222 (May 31, 2007) in Docket Nos. ER07-701-000 and AD07-12-000. In that Order, the following topics were identified for discussion:

1. How each ISO's or RTO's regional tariffs and other operational agreements and protocols allocate reliability responsibilities among the parties;

2. What provisions exist in those tariffs, agreements, and protocols to establish responsibility for penalty costs associated with Reliability Standard violations;

3. What provisions exist that may prevent an entity from being registered for compliance with relevant Reliability Standards if its failure to perform under such tariffs, agreements, and protocols leads to a violation of Reliability Standards; and

4. What policies for any pass-through of penalty costs associated with Reliability Standard violations by ISOs and RTOs would both best provide due process for entities that would ultimately be required to pay these penalty costs and also avoid redundant investigations and litigation of Reliability Standard violations.

The agenda, panelists and topics for the conference will be as follows:

Welcome and Introduction to the conference: 9:30-9:45 a.m.

*Panel One:* 9:45-10:45 a.m.

New York ISO: Mark Lynch, Chief Executive Officer.

PJM Interconnection, LLC. (PJM): Steven Pincus, Senior Counsel for PJM.

ERCOT: Mike Grable, Assistant General Counsel.

California ISO: Anthony Ivancovich, Assistant General Council—Regulatory.

Southwest Power Pool (SPP): Les Dillahunty, Vice President, Regulatory Policy.

Midwest Independent Transmission System Operator, Inc. (MISO): Stephen G. Kozey, Vice President, General Counsel and Secretary.

Panelists should be prepared to address Commission Topics 1, 2, 3 and 4 above. In addition, panelists are requested to consider the following topics:

A. Should an RTO or ISO be permitted to allocate to its customers or members reliability penalties assessed against it pursuant to section 215 of the FPA? If so, should this be handled by tariff or by contract? What allocation method would fairly apportion the cost burden?

B. If an RTO or ISO is permitted to pass on to its customers or members the reliability penalties assessed against it, how should the Commission ensure that the RTO/ISO has adequate incentives to comply with the Reliability Standards?

C. Should an RTO or ISO be permitted to directly assign to specific customers, market participants or members reliability penalties assessed against it, and if so, how should duplicative proceedings be avoided and due process ensured?

Break: 10:45-11a.m.

*Panel Two:* 11 a.m.-12 p.m.

Dale Landgren, Vice President and Chief Strategic Officer, American Transmission Company.

Brian F. Thumm, P.E., Manager, ERO/Regional Affairs, ITC Holdings.

Maureen Borkowski, Vice President of Transmission, Ameren Services.

Tamara Linde, Vice President—Regulatory, PSEG Services Corporation.

Bary K. Warren, Director of Transmission Policy and Compliance, Empire District Electric Company.

John A. Anderson, President and CEO, Electricity Consumers Resource Council (ELCON).

Panelists should be prepared to address Commission Topics 1, 2, 3 and 4 above. In addition, panelists are requested to consider the following topics:

A. Should an RTO or ISO be permitted to allocate to its customers or members reliability penalties assessed against it pursuant to section 215 of the FPA? If so, should this be handled by tariff or by contract? What allocation method would fairly apportion the cost burden?

B. If an RTO or ISO is not permitted to pass on reliability penalty costs assessed against it, what source of funds is suggested for payment?

C. Should an RTO or ISO be permitted to directly assign to specific customers, market participants or members reliability penalties assessed against it, and if so, how should duplicative proceedings be avoided and due process ensured?

*Panel Three:* 12-1 p.m.

NERC: David Whiteley, Executive Vice President.

ReliabilityFirst Corporation (RFC): Raymond Palmieri, Vice President and Director of Compliance.

Texas Regional Entity (TRE): Larry Grimm, Director of Compliance.

Northeast Power Coordinating Council (NPCC): Edward Schwerdt, President and CEO.

Midwest Reliability Organization (MRO): Dan Skaar, President.

Panelists should be prepared to address Commission Topics 3 and 4 above. In addition, panelists are requested to consider the following topics:

A. How would Regional Entities and NERC address in enforcement proceedings assessment of penalties for matters in which an RTO or ISO and one or more customers or members violated the same Reliability Standard or different Reliability Standards?

B. If an RTO or ISO asserts that an entity that is not listed in NERC's compliance registry is responsible for the RTO's or ISO's violation of a Reliability Standard, in an enforcement hearing pursuant to section 215 of the FPA, will Regional Entities or NERC inquire if the root cause of the violation lies with that entity and provide the entity an opportunity to participate in the proceeding?

Closing Remarks: 1 p.m.

A free Web cast of this event will be available through [www.ferc.gov](http://www.ferc.gov). Anyone with Internet access who desires to view this event can do so by navigating to [www.ferc.gov](http://www.ferc.gov)'s Calendar of Events and locating this event in the Calendar. The event will contain a link to its Web cast. The Capitol Connection provides technical support for the Web casts and offers access to the meeting via a phone bridge for a fee. If you have any questions, visit

www.CapitolConnection.org or contact Danelle Perkowski or David Reininger at 703-993-3100.

Transcripts of the meeting will be available immediately for a fee from Ace Reporting Company (202-347-3700 or 1-800-336-6646). They will be available for free on the Commission's eLibrary system and on the events calendar approximately one week after the conference.

FERC conferences and meetings are accessible under section 508 of the Rehabilitation Act of 1973. For accessibility accommodations please send an e-mail to [accessibility@ferc.gov](mailto:accessibility@ferc.gov) or call toll free (866) 208-3372 (voice) or 202-502-8659 (TTY), or send a fax to 202-208-2106 with the required accommodations.

Questions about the conference should be directed to Don LeKang by e-

mail at [donald.lekang@ferc.gov](mailto:donald.lekang@ferc.gov) or by phone at 202-502-8127.

**Kimberly D. Bose,**  
Secretary.  
[FR Doc. E7-18429 Filed 9-18-07; 8:45 am]  
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**DEPARTMENT OF ENERGY**

**Federal Energy Regulatory Commission**

**Sunshine Act Meeting Notice**

September 13, 2007.

The following notice of meeting is published pursuant to section 3(a) of the Government in the Sunshine Act (Pub. L. 94-409), 5 U.S.C. 552b:

**AGENCY HOLDING MEETING:** Federal Energy Regulatory Commission.

**DATE AND TIME:** September 20, 2007, 10 a.m.

**PLACE:** Room 2C, 888 First Street, NE., Washington, DC 20426.

**STATUS:** Open.

**MATTERS TO BE CONSIDERED:** Agenda.

\*Note—Items listed on the agenda may be deleted without further notice.

**CONTACT PERSON FOR MORE INFORMATION:** Kimberly D. Bose, Secretary, Telephone (202) 502-8400.

For a recorded message listing items struck from or added to the meeting, call (202) 502-8627.

This is a list of matters to be considered by the Commission. It does not include a listing of all documents relevant to the items on the agenda. All public documents, however, may be viewed on-line at the Commission's Web site at <http://www.ferc.gov> using the eLibrary link, or may be examined in the Commission's Public Reference Room.

**922ND—Meeting**

**REGULAR MEETING**  
[September 20, 2007, 10 a.m.]

Item No.	Docket No.	Company
<b>ADMINISTRATIVE</b>		
A-1 .....	AD02-1-000 ....	Agency Administrative Matters.
A-2 .....	AD02-7-000 ....	Customer Matters, Reliability, Security and Market Operations.
A-3 .....	AD06-3-000 ....	Energy Market Update.
<b>ELECTRIC</b>		
E-1 .....	RR06-1-008 ....	North American Electric Reliability Council North American Electric Reliability Corporation.
	RR07-1-001 ....	Delegation Agreement Between the North American Electric Reliability Corporation and Texas Regional Entity, a division of ERCOT.
	RR07-2-001 ....	Delegation Agreement Between the North American Electric Reliability Corporation and Midwest Reliability Organization.
	RR07-3-001 ....	Delegation Agreement Between the North American Electric Reliability Corporation and Northeast Power Coordinating Council: Cross Border Regional Entity, Inc.
	RR07-4-001 ....	Delegation Agreement Between the North American Electric Reliability Corporation and ReliabilityFirst Corporation.
	RR07-5-001 ....	Delegation Agreement Between the North American Electric Reliability Corporation and SERC Reliability Corporation.
	RR07-6-001 ....	Delegation Agreement Between the North American Electric Reliability Corporation and Southwest Power Pool, Inc.
	RR07-7-001 ....	Delegation Agreement Between the North American Electric Reliability Corporation and Western Electricity Coordinating Council.
	RR07-8-001 ....	Delegation Agreement Between the North American Electric Reliability Corporation and Florida Reliability Coordinating Council.
	RR06-3-003 ....	North American Electric Reliability Corporation.
E-2 .....	RR06-1-009 ....	North American Electric Reliability Corp.
E-3 .....	EL07-56-000 ....	Allegheny Electric Cooperative, Inc., et al. v. PJM Interconnection LLC; Organization of PJM States, Inc., et al. v. PJM Interconnection, L.L.C.
E-4 .....	RM01-8-006 ....	Revised Public Utility Filing Requirements for Electric Quarterly Reports.
E-5 .....	ER07-1192-000	Wisconsin Electric Power Company.
E-6 .....	ER07-1142-000	Arizona Public Service Company.
E-7 .....	EF07-2021-000	United States Department of Energy—Bonneville Power Administration.
E-8 .....	ER02-2330-047	ISO New England Inc.
E-9 .....	EL07-81-000 ...	NSTAR Electric Company v. ISO New England Inc.
E-10 .....	EL01-93-012 ...	Mirant Americas Energy Marketing, L.P., Mirant New England, LLC, Mirant Kendall, LLC and Mirant Canal, LLC v. ISO New England Inc.
	ER03-631-003	ISO New England Inc.
E-11 .....	EL00-66-007 ...	Louisiana Public Service Commission and the Council of the City of New Orleans v. Entergy Corporation.
E-12 .....	QM07-4-001 ...	American Electric Power Service Corporation, Appalachian Power Company, Columbus Southern Power Company, Indiana Michigan Power Company, Kentucky Power Company, Kingsport Power Company, Ohio Power Company and Wheeling Power Company.