

2006, NYSE Arca filed Amendment No. 2 to the proposed rule change.⁵ The proposed rule change, as amended, was published for comment in the **Federal Register** on July 10, 2006.⁶ The Commission received no comments on the proposal. This order approves the proposed rule change, as amended.

The proposed amendment to NYSE Arca Rule 9.20 would replace the current text of Rule 9.20(b) with text that would require OTP Holders and OTP Firms to participate in the national do-not-call registry maintained by the Federal Trade Commission ("FTC") and to follow applicable regulations of the Federal Communications Commission ("FCC"). The proposed amendment would make Rule 9.20(b) consistent with NYSE Rule 404A and requirements of FCC regulations applicable to broker-dealers engaged in telemarketing by including provisions concerning general telemarketing requirements, procedures, wireless communications, outsourcing telemarketing, pre-recorded messages, telephone facsimile or computer advertisements and caller identification. The amended rule would generally prohibit OTP Holders and OTP Firms, and their associated persons, from making telemarketing calls to people who have registered with the national do-not-call registry. The amended rule also would set forth firm-specific do-not-call restrictions, time-of-day restrictions, and disclosure requirements similar to those contained in the current rule.

The Commission finds that the proposed rule change, as amended, is consistent with the requirements of the Act and the rules and regulations thereunder applicable to a national securities exchange.⁷ In particular, the Commission finds that the proposed rule change is consistent with Section 6(b)(5) of the Act,⁸ which requires, among other things, that the rules of an exchange be designed to promote just and equitable principles of trade, to remove impediments to and perfect the mechanism of a free and open market and national market system, and in general, to protect investors and the public interest. The Commission believes that the proposed rule change, as amended, is designed to accomplish

these ends by requiring OTP Holders and OTP Firms, and their associated persons, to observe time-of-day restrictions on telephone solicitations, maintain firm-specific do-not-call lists, and refrain from initiating telephone solicitations to investors and other members of the public who have registered their telephone numbers on the national do-not-call registry. The Commission also believes that the proposed rule change, as amended, establishes adequate procedures to prevent OTP Holders and OTP Firms, and their associated persons, from making telephone solicitations to do-not-call registrants, which should have the effect of protecting investors by enabling persons who do not want to receive telephone solicitations from members or member organizations to receive the protections of the national do-not-call registry, while providing appropriate exceptions to the rule's restrictions, which should promote just and equitable principles of trade.

It is therefore ordered, pursuant to Section 19(b)(2) of the Act,⁹ that the proposed rule change (SR-PCX-2005-54), as amended, be and is hereby approved.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.¹⁰

Nancy M. Morris,
Secretary.

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SOCIAL SECURITY ADMINISTRATION

Additional Options for Requesting Administrative Review—Title II and Title XVI

AGENCY: Social Security Administration (SSA).

ACTION: Notice.

SUMMARY: We are expanding the methods available for requesting administrative review. We now will accept oral requests from claimants in person or by telephone. This change in our procedures will make it easier for claimants to do business with us.

DATES: *Effective Date:* This change will be effective on August 14, 2006.

FOR FURTHER INFORMATION CONTACT:

Rosemary Carey, Team Leader, Due Process Team, Social Security Administration, 6401 Security Boulevard, Baltimore, MD 21235, (410) 965-7936 or TTY (410) 966-5609.

⁹ 15 U.S.C. 78s(b)(2).

¹⁰ 17 CFR 200.30-3(a)(12).

SUPPLEMENTARY INFORMATION: Under our current procedures, claimants may request administrative review of our determinations or decisions in the Social Security and Supplemental Security Income programs by filing a written request. We are increasing the options available to claimants for requesting administrative review to now also include oral requests made in person or by telephone. We plan to revise our instructional manuals and other documents to reflect these options. As we believe these options will benefit claimants by making it easier for them to do business with us, we are implementing this change immediately.

Dated: July 31, 2006.

Martin H. Gerry,

Deputy Commissioner for Disability and Income Security Programs.

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DEPARTMENT OF STATE

[Public Notice 5493]

30-Day Notice of Proposed Information Collection: Form DS-3097, Exchange Visitor Program Annual Report, and OMB Control Number 1405-0151

ACTION: Notice of request for public comment and submission to OMB of proposed collection of information.

SUMMARY: The Department of State has submitted the following information collection request to the Office of Management and Budget (OMB) for approval in accordance with the Paperwork Reduction Act of 1995.

- *Title of Information Collection:* Exchange Visitor Program Annual Report.

- *OMB Control Number:* 1405-0151.

- *Type of Request:* Extension of a Currently Approved Collection.

- *Originating Office:* Educational and Cultural Affairs, Office of Exchange, Coordination and Designation, ECA/EC/PS.

- *Form Number:* Form DS-3097.

- *Respondents:* Designated J-1

- program sponsors.

- *Estimated Number of Respondents:* 1460.

- *Estimated Number of Responses:* 1460 annually.

- *Average Hours Per Response:* 1 hour.

- *Total Estimated Burden:* 1460 hours.

- *Frequency:* Annually.

- *Obligation to Respond:* Mandatory.

⁵ In Amendment No. 2, NYSE Arca made additional changes to the text of proposed amended NYSE Arca Rule 9.20 and to the original filing.

⁶ See Securities Exchange Act Release No. 54078 (June 30, 2006), 71 FR 38953 (July 10, 2006) (this notice listed incorrect filing dates for the initial proposal and Amendment No. 2).

⁷ In approving this proposed rule change, the Commission has considered whether the proposed rule change will promote efficiency, competition, and capital formation. See 15 U.S.C. 78c(f).

⁸ 15 U.S.C. 78f(b)(5).