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SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–54240]

In the Matter of the Application of the Nasdaq Stock Market, Inc. and the NASDAQ Stock Market LLC for Section 12(b) Registration On Behalf of Certain Issuers

July 31, 2006.

I. Introduction

On January 13, 2006, the Commission approved the application of the Nasdaq Stock Market, Inc. (''Nasdaq'') to register one of its subsidiaries, the NASDAQ Stock Market LLC (''Nasdaq Exchange''), as a national securities exchange.[1] Currently, companies listed on Nasdaq have one or more classes of equity securities registered under Section 12(g)[2] of the Securities Exchange Act of 1934 (''Exchange Act''),[3] registered under Section 12(b) of the Exchange Act[4] for listing on another national securities exchange, or exempt from registration pursuant to Section 12(g)(2)(B) or 12(g)(2)(G) of the Exchange Act[5] or Rule 12g3–2(b) promulgated under the Exchange Act[6] as permitted under NASD Rules 4310 and 4320. Under Section 12(a) of the Exchange Act,[7] brokers and dealers are prohibited from effecting transactions in a security on a national securities exchange unless it has been registered under Section 12(b) of the Exchange Act.

Accordingly, absent relief, Nasdaq's transition to the Nasdaq Exchange would require each of the companies currently listing securities on either the Nasdaq Global Market or Nasdaq Capital Market to individually register their Nasdaq-listed securities under Section 12(b) of the Exchange Act before the Nasdaq Exchange commences operations. This process would require each affected company to file a registration statement with the Commission or other appropriate regulatory agency.[8] The Nasdaq Exchange would then be required to certify to the Commission and other regulators that, with respect to each registration statement, the company's securities are approved for listing and registration on the Nasdaq Exchange.[9] The registration would become effective 30 days after the Commission's receipt of certification from the Nasdaq Exchange or within such shorter period of time as the Commission may determine.[10]

On behalf of its listed companies, Nasdaq and the Nasdaq Exchange have asked for relief with respect to this registration process, asserting that it would place an unnecessary cost and administrative burden on the listed companies, investors, the agencies that regulate the listed companies, and Nasdaq and the Nasdaq Exchange, and would not be in the public interest. With respect to the vast majority of its listed securities, Nasdaq and the Nasdaq Exchange assert that information that would be elicited by registration has already been required to be publicly disclosed. Since the vast majority of Nasdaq-listed companies already have registered their securities under Section 12 of the Exchange Act[11] or have been required to file detailed public information with the Commission,[12] the resulting duplicative disclosure would not significantly benefit the marketplace or investors.

To ameliorate the cost and administrative burden resulting from the filing of individual Exchange Act registration statements that would otherwise be required, Nasdaq and the Nasdaq Exchange have submitted a letter, dated July 31, 2006, on behalf of certain Nasdaq-listed issuers (the ''Issuers'') to the Commission requesting that this letter serve as the single application for registration with respect to the listed securities of these Issuers, as well as the Nasdaq Exchange's certification of such application (the ''Nasdaq Application'').[13] Nasdaq and the Nasdaq Exchange have made a similar request of the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, and the Office of Thrift Supervision.[14] The Nasdaq Application is provided as an attachment to this Order.

II. Statutory Standards

Section 12(a) of the Exchange Act makes it unlawful for an exchange member, broker, or dealer to effect any transaction in any security (other than an exempted security) on a national securities exchange unless a registration is effective with respect to that security on the exchange in accordance with the provisions of Section 12 and the rules and regulations promulgated under Section 12. Exchange Act Section 12(b) and related rules prescribe the form and content of the application that may be used to register a security on a national exchange. However, Section 12(c)[15] permits the Commission to require alternative information in lieu of the informational requirements of Section 12(b) if, in the judgment of the Commission, some or all of the information required under Section 12(b) is ''inapplicable to any specified class or classes of issuers'' and the substitute information is of comparable character as the Commission may deem applicable to such class of issuers.

Section 12(d) provides that the registration of a security under the Exchange Act becomes effective 30 days after the Commission's receipt of certification from the national securities exchange that the security has been approved for listing and registration on the exchange, or within such shorter period of time as the Commission may determine.

III. Discussion of NASD Rule 4130 and Opt-Out Process

To provide notice of its plan to seek the requested relief on behalf of the Issuers and to assure sufficient authority

[1] *See* Release No. 34–53128 (January 13, 2006) [71 FR 3550].

[2] 15 U.S.C. 78*l*(g).

[3] 15 U.S.C. 78a *et seq.*

[4] 15 U.S.C. 78*l*(b).

[5] 15 U.S.C. 78*l*(g)(2)(B) or 78*l*(g)(2)(G).

[6] 17 CFR 240.12g3–2(b).

[7] 15 U.S.C. 78*l*(a).

[8] Section 12(i) of the Exchange Act requires filings relating to certain financial institutions to be made with the Comptroller of the Currency, the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, or the Office of Thrift Supervision. 15 U.S.C. 78*l*(i).

[9] *See* Section 12(d) of the Exchange Act [15 U.S.C. 78*l*(d)].

[10] *Id.*

[11] These companies have filed registration statements pursuant to Section 12(g) or, in a limited number of cases, Section 12(b) of the Exchange Act. A separate Section 12(b) registration statement is required with respect to each national securities exchange on which a particular class of security is listed. Accordingly, a new registration statement on 12(b) will be required by the time the Nasdaq Exchange becomes operational, even as to those Nasdaq-listed companies that have previously filed 12(b) registration statements.

[12] Those Nasdaq-listed companies which have registered under the Investment Company Act of 1940 (the ''1940 Act'') have filed registration statements with the Commission under the 1940 Act and have been required to make periodic filings under the 1940 Act identical in form to those required of investment companies that have registered their securities under Section 12(b) of the Exchange Act. These investment companies are exempt from registration under Section 12(g)(2)(B) of the Exchange Act.

[13] *See* Letter from Edward S. Knight to Nancy M. Morris (July 31, 2006). For certain of its listed issuers whose securities are not currently required to be registered under the Exchange Act, Nasdaq and the Nasdaq Exchange have requested additional time for these securities to become registered under Section 12(b). That portion of the request is being addressed in a separate Order by the Commission. See Exchange Act Release No. 34–54241 (July 31, 2006).

[14] We understand these agencies will consider the request for relief with respect to the companies they oversee pursuant to Section 12(i) of the Exchange Act. We further understand that the Comptroller of the Currency does not currently oversee any affected company pursuant to Section 12(i) of the Exchange Act.

[15] 15 U.S.C. 78*l*(c).

for Nasdaq and the Nasdaq Exchange to submit the Nasdaq Application to the Commission, the NASD proposed a new rule specifically permitting Nasdaq and the Nasdaq Exchange to take the contemplated action. The Commission approved this rule on April 6, 2006.[16] NASD Rule 4130 explicitly authorizes Nasdaq and the Nasdaq Exchange, in connection with Nasdaq's transition to a national securities exchange, to file an application with the Commission and the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, and the Office of Thrift Supervision to register each Issuer's listed securities under Section 12(b) of the Exchange Act and request any appropriate regulatory relief from the provisions of Section 12, unless the Issuer informs Nasdaq, pursuant to procedures set forth by Nasdaq, that it does not want to be included in this process.[17]

Accordingly, prior to filing the Nasdaq Application, Nasdaq provided notice of its intention to seek the requested relief.[18] In addition to general notice through the proposed rule filing, Nasdaq notified each Issuer, individually, of its plans to submit the request and allowed any Issuer that did not wish its securities to be included in the request to opt-out of the process.[19] At the expiration of the notice period, no Issuers had elected to opt-out of the requested relief.[20]

IV. Findings

Pursuant to Section 12(c) of the Exchange Act, in the judgment of the Commission, based on the Nasdaq Application for Section 12(b) registration and the representations made therein and in light of the recent registration of the Nasdaq Exchange, the Commission will consider the Nasdaq Application in lieu of the information otherwise required under Section 12(b) of the Exchange Act. In reaching its determination, the Commission considered the following:

(i) In recognition of the unique circumstances discussed above in Section I and in the Nasdaq Application, particularly the fact that the information to be elicited by registration under Section 12 of the Exchange Act or, in the case of investment companies registered under the 1940 Act, its substantial equivalent, already has been required to be made public by the Issuers, it is the judgment of the Commission that the Nasdaq Application is sufficient for purposes of registration of the securities listed in Exhibit A to the Nasdaq Application (the ''Issuer Securities'');[21]

(ii) Nasdaq and the Nasdaq Exchange have represented to the Commission in the Nasdaq Application that, as of the date of this Order:

a. They have conducted the opt-out process as described, particularly with respect to notice of the Nasdaq Application to all Issuers, generally, pursuant to NASD Rule 4130 and a press release and, specifically, to each Issuer through the opt-out option,

b. That authorization has not been withheld by any Issuer with respect to any of the Issuer Securities, and

c. The Issuer Securities listed in Exhibit A to the Nasdaq Application accurately reflect the securities that are to be the subject of its request;

(iii) The Nasdaq Exchange has certified to the Commission in the Nasdaq Application that, as of the date of this Order, all of the Issuer Securities have been approved by the Nasdaq Exchange for listing and registration in accordance with the requirements of Section 12(d) of the Exchange Act; and

(iv) In accordance with Section 12(d) and Rule 12d1–2(a)[22] of the Exchange Act, Nasdaq and the Nasdaq Exchange have requested in writing the acceleration of the effective date of the Nasdaq Application for Section 12(b) registration of the Issuer Securities on the date of this Order.

V. Conclusion

The Commission, having reviewed the Nasdaq Application for Section 12(b) registration of the Issuer Securities and in reliance on the representations and certifications made by Nasdaq and the Nasdaq Exchange in the Nasdaq Application, has concluded that it is appropriate, in the public interest and consistent with the protection of investors, to approve the Nasdaq Application and grant the request by Nasdaq and the Nasdaq Exchange for registration of the Issuer Securities under Section 12(b).

The Commission recognizes that the use of its authority under Section 12(c) of the Exchange Act to consider information other than that prescribed by Section 12(b) for purposes of Section 12 registration is a variation on the customary registration process. As noted, however, the Commission believes the special circumstances of Nasdaq's transition to a national securities exchange and the existing public disclosure requirements applicable to the Issuer Securities constitute a unique situation meriting the application of Section 12(c).

With respect to the findings and conclusions in this Order, it is also to be expressly understood that the Commission has not made, and this Order does not constitute, any determination regarding the Issuers' compliance with the listing standards of the Nasdaq Exchange or of any other exchange, securities association or facility on which the Issuers' securities trade, or any Commission rule or regulation, other than the Section 12(b) registration requirements as they relate to Nasdaq's transition to a national securities exchange. In addition, the Commission has not made, and this Order does not constitute, any determination regarding the regulation or oversight of Nasdaq or the Nasdaq Exchange with respect to the Issuer Securities, other than the Section 12(b) registration requirements as they relate to Nasdaq's transition to a national securities exchange.

Accordingly, *it is ordered* that the Nasdaq Application for Section 12(b) registration of the Issuer Securities, made by Nasdaq and the Nasdaq Exchange on behalf of the Issuers pursuant to NASD Rule 4130, be, and hereby is, granted, effective as of July 31, 2006.

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[16] *See* Release No. 34–53606 (April 6, 2006) [71 FR 18790].

[17] The text of Rule 4130 reads as follows:

In connection with The Nasdaq Exchange commencing operations as a national securities exchange, each issuer authorizes Nasdaq and the Nasdaq Exchange to file an application to register under Section 12(b) of the Exchange Act any class of the issuer's securities that is listed on Nasdaq on the day immediately preceding the day the Nasdaq Exchange commences such operations; provided, however, that this provision shall not be applicable to any security that the issuer informs Nasdaq, pursuant to procedures set forth by Nasdaq, should not be so registered. The application to register under Section 12(b) of the Exchange Act will be filed with the Commission or, for those securities subject to Section 12(i) of the Exchange Act, with the appropriate banking regulator specified in Section 12(i). The authorization in this paragraph includes allowing Nasdaq and the Nasdaq Exchange to request any appropriate regulatory relief from the provisions of Section 12.

[18] *See* Nasdaq Application at 3 and Release No. 34–53362 (February 24, 2006) [71 FR 10734].

[19] *See* Nasdaq Application at 3. Notice was provided through a May 15, 2006 bulletin to Issuers and a May 17, 2006 press release requesting Issuers notify Nasdaq by May 30, 2006 if they did not wish to participate. The result of an Issuer choosing to opt-out is that the Issuer's securities will be ineligible to be listed and traded on the Nasdaq Exchange as of its operational date; such Issuer would instead trade on the pink sheets or OTC Bulletin Board unless it files an individual Section 12(b) registration statement on Form 8–A or Form 10, as applicable, in connection with listing on the Nasdaq Exchange or another national securities exchange, and such registration statement subsequently becomes effective.

[20] *See* Exhibit B to the Nasdaq Application.

[21] According to the Nasdaq Application, the Issuer Securities represent securities: (i) That are listed on Nasdaq immediately preceding the date that the Nasdaq Exchange begins operations; (ii) that are currently either registered under Section 12(b) or 12(g) of the Exchange Act or exempt from Section 12(g) registration pursuant to Section 12(g)(2)(B) or 12(g)(2)(G) of the Exchange Act or Exchange Act Rule 12g3–2(b); and (iii) that have not been requested by the issuer to be opted-out of the Nasdaq Application pursuant to the procedures established by Nasdaq as a result of NASD Rule 4130.

[22] 17 CFR 249,12d1–2(a).

By the Commission (Chairman Cox and Commissioners Glassman, Atkins, Campos and Nazareth).

Nancy M. Morris,

Secretary.

July 31, 2006

Nancy M. Morris, Esq.

Secretary, US Securities and Exchange Commission, 100 F Street, NE, Washington, DC 20549

RE: Request for Relief from § 12 of the Securities Exchange Act of 1934

Dear Ms. Morris:

On January 13, 2006, the Securities and Exchange Commission (''SEC'' or ''Commission'') approved the application of The NASDAQ Stock Market LLC (''Nasdaq Exchange''), a subsidiary of The Nasdaq Stock Market, Inc. (''Nasdaq''), to register under Section 6 of the Securities Exchange Act of 1934 (''Act'' or ''Exchange Act'') as a national securities exchange.[1] Nasdaq's transition of its listing and trading activities to the Nasdaq Exchange will furehr Congres's instruction to promote ''fair competition * * * between exchange markets.''[2] Absent the relief requested herein, however, Nasdaq's transition to a national securities exchange would require approximaely 3,200 Nasdaq Global Market[3] and Capital market issuers with securities registered pusuant to the Act, or exempt from registration under Section 12(g) of the Act,[4] to file registraton statements[5] to register those securities under Section 12(b) of the Act.[6]

Engaging in what would essentially be a re-registration process for the vast majority of these 3,200 issuers would create a serious disruption in the trading of securities on The Nasdaq Stock Market. As explained below, the confusion and inevitable administrative delay that would accompany such a process for issuers registered with the Commission would achieve no material public benefit and would place an unnecessary burden on issuers, investors, Nasdaq, the Nasdaq Exchange, and the Commission. The Commission can prevent this potential disruption by granting the relief requested in this letter. Specifically, Nasdaq and the Nasdaq Exchange request that this letter serve as: (1) The registration statement under Section 12(b) for all classes of listed securities of Nasdaq Capital Market and Nasdaq Global Market issuers registered with the Commission under Sections 12(b) and 12(g), as well as those listed securities exempt from registration under Section 12(g)(2)(B) of the Act[7]; and (2) the Nasdaq Exchange's certification pursuant to Section 12(d) of the Act[8] that these securities are approved for listing and registration concurrent with the start of operations of the Nasdaq Exchange. Nasdaq and the Nasdaq Exchange also request that the Commission issue an exemption from registration applicable to issuers that are now exempt from the registration requirements of Section 12(g) pursuant to Section 12(g)(2)(G) of the Act[9] and Exchange Act Rule 12g3–2(b)[10] to allow these companies three years from the date the Nasdaq Exchange begins operations to become registered under Section 12(b). NASD Rule 4130 specifically permits Nasdaq to act on behalf of its issuers in this regard.[11]

I. Background

Nasdaq presently is a facility of the National Association of Securities Dealers, Inc. (''NASD''), a registered securities association, and thus is subject to Section 15A of the Act. On March 15, 2001, Nasdaq filed an application under Section 6 of the Act for registration as a national securities exchange (''Form 1'') with the Commission. On August 15, 2005, and September 23, 2005, Nasdaq submitted Amendments 4 and 5, respectively, to its Form 1. In Amendments 4 and 5 Nasdaq proposed, among other things, a new corporate structure whereby Nasdaq would become a holding company with the Nasdaq Exchange as one of its subsidiaries. The Commission published notice of Amendments 4 and 5 on October 11, 2005.[12] On January 13, 2006, the Nasdaq Exchange submitted Amendment 6 to the Form 1 and the Commission approved the Nasdaq Exchange's application for registration as a national securities exchange.[13] On June 30, 2006, the Commission modified the approval order so that the Nasdaq Exchange could begin operations in a phased manner, with operations related to trading in Nasdaq-listed securities beginning before operations related to trading in securities listed on other national securities exchanges.[14] The Nasdaq Exchange has satisfied the conditions expressed in the amended approval order with respect to Nasdaq-listed securities and expects to begin operations as a national securities exchange for those securities on August 1, 2006.

Upon operation of the Nasdaq Exchange, issuers listed and traded on Nasdaq will instead be listed and traded on the Nasdaq Exchange.[15] Under current NASD rules, a security is eligible for listing on Nasdaq if it is registered under the Exchange Act under either Section 12(g) or Section 12(b).[16] In addition, three categories of securities exempt from registration under Section 12(g) are also eligible for listing on Nasdaq. First, a security issued by an investment company registered under the Investment Company Act of 1940 (the ''1940 Act'') is exempt from registration under Section 12(g)(2)(B) of the Act, but is eligible for listing on Nasdaq.[17] Second, a security issued by an insurance company and exempt from registration under Section 12(g) pursuant to Section 12(g)(2)(G) is also eligible for listing.[18] Finally, the securities of certain foreign issuers are eligible for inclusion in Nasdaq even though they are exempt from registration pursuant to Rule 12g3–2(b) under the Exchange Act.[19] Once the Nasdaq Exchange begins operations, issuers will need instead to have been registered under Section 12(b) so that brokers and dealers may effect transactions in these securities on the Nasdaq Exchange consistent with Section 12(a) of the Act.[20]

In contemplation of this request, Nasdaq has adopted Rule 4130, which specifically permits Nasdaq to act on behalf of its issuers to request registration of their listed securities under Section 12(b), or seek appropriate regulatory relief from Section 12(b), in connection with the transition to the Nasdaq Exchange.[21] In proposing this rule change, Nasdaq noted that it anticipated making the requests contained herein and the process by which it would provide notice to each issuer and would allow any issuer that does not wish to register under Section 12(b) the ability to opt-out of Nasdaq's request.[22] Nasdaq provided that notice by issuing a

[1] Securities Exchange Act Release No. 53128 (January 13, 2006), 71 FR 3550 (January 23, 2006) (the ''Exchange Approval Order'').

[2] Exchange Act Section 11A(a)(1)(C)(ii).

[3] Effective July 1, 2006, Nasdaq renamed the Nasdaq National Market as the Nasdaq Global Market and created a new segment within the Global Market called the Global Select Market. References to the Nasdaq Global Market include those securities listed on the Nasdaq Global Market and the Nasdaq Global Select Market. *See* Securities Exchange Act Release No. 54071 (June 29, 2006), 71 FR 38922 (July 10, 2006) (SR–NASD–2006–068); Securities Exchange Act Release No. 53799 (May 12, 2006), 71 FR 29195 (May 19, 2006) (SR–NASDAQ–2006–007).

[4] 15 U.S.C. 78l(g).

[5] Most of these registration statements would be filed with the Commission. However, Section 12(i) of the Act requires filings relating to certain financial institutions to be made with the Comptroller of the Currency, the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, or the Office of Thrift Supervision (collectively, the ''Banking Regulators''). 15 U.S.C. 78l(i). Separate requests have been sent to the Banking Regulators seeking similar relief for the companies registered with them.

[6] 15 U.S.C. 78*l*(b).

[7] 15 U.S.C. 78l(g)(2)(B).

[8] 15 U.S.C. 78l(d),

[9] 15 U.S.C. 78l(g)(2)(G).

[10] 17 CFR 240.12g3–2(b).

[11] Rule 4130 permits Nasdaq to act on behalf of its issuers to request registration of their listed securities under Section 12(b), or seek appropriate regulatory relief from Section 12(b), in connection with the transition to the Nasdaq Exchange. *See* Securities Exchange Act Release No. 53606 (April 6, 2006), 71 FR 18790 (April 12, 2006) (approving SR–NASD–2006–28); Securities Exchange Act Release No. 53262 (February 24, 2006), 71 FR 10734 (March 2, 2006) (providing notice of SR–NASD–2006–28).

[12] Securities Exchange Act Release No. 52559 (October 4, 2005), 70 FR 59097 (October 11, 2005).

[13] Exchange Approval Order, supra note 1.

[14] Securities Exchange Act Release No. 54085 (June 30, 2006), 71 FR 38910 (July 10, 2006).

[15] This includes securities listed on the Nasdaq Capital Market and the Nasdaq Global Market. Note that the NASD has modified its Plan of Allocation and Delegation of Functions by NASD to Subsidiaries and certain NASD rules to reflect NASD's direct authority for the activities related to the OTC Bulletin Board, rather than the prior delegation of such authority to Nasdaq. As such, this application does not address the OTC Bulletin Board and securities quoted on the OTC Bulletin Board will not be listed on the Nasdaq Exchange.

[16] NASD Rules 4310(a)(1) and (2) and 4320(a).

[17] NASD Rule 4310(a)(4).

[18] NASD Rule 4310(a)(3).

[19] NASD Rule 4320(c).

[20] 15 U.S.C. 78l(a).

[21] Securities Exchange Act Release No. 53606, supra note 11.

[22] Securities Exchange Act Release No. 53262, supra note 11.

bulletin to issuers[23] on May 15, 2006, and by issuing a press release[24] on May 17, 2006.

As of July 31, 2006, Nasdaq lists 2,776 securities on the Global Market (including 1,254 securities on the Nasdaq Global Select Market) and 580 securities on the Capital Market.[25] These securities can be categorized as follows: 3,257 securities are registered with the Commission under Section 12(g); 40 securities are also listed on a national securities exchange and are registered with the Commission under Section 12(b); 17 investment company issuers' securities are exempt from registration under Section 12(g)(2)(B); four insurance company issuers' securities are exempt from Section 12(g) registration under Section 12(g)(2)(G); nine foreign private issuers' securities are exempt from Section 12(g) registration under Rule 12g3–2(b); and 29 bank and savings association issuers' securities are registered under Section 12(g) with other regulatory agencies pursuant to Section 12(i).[26]

II. Basis for Relief Sought and Anticipated Benefits

A. Securities Already Registered Under Section 12(g) and 12(b)

Absent relief, the issuers of approximately 3,297 Nasdaq Global Market and Capital Market securities that are registered with the Commission under Sections 12(g) and 12(b) will be required to file a registration statement to register their securities under Section 12(b) on the Nasdaq Exchange once Nasdaq begins operating as a national securities exchange. Nasdaq believes that under the circumstances, this registration process would be confusing and would place an unnecessary cost and administrative burden on Nasdaq, the Nasdaq Exchange, the Commission, and issuers and would not be in the public interest. Specifically, each of those issuers would be required to file with the Commission and with the Nasdaq Exchange a new Exchange Act registration statement describing the securities to be registered along with all necessary exhibits. The Nasdaq Exchange would then be required to certify to the Commission that each issuer's securities are approved for listing and registration. This process would have to be coordinated to minimize disruptions to trading in issuer securities, with the real possibility of some securities experiencing trading gaps during the transition. Such a daunting and time-sensitive task—which creates no significant identifiable benefit to the public creates the unnecessary risk of administrative errors by the issuers, the Nasdaq Exchange, or the Commission that could inadvertently delay or otherwise adversely impact the registration and trading of securities on the new exchange. The public interest is served by having exchanges run smoothly and efficiently, and the requested relief would achieve that purpose.

The additional registration process would not result in any significant benefit to the marketplace or investors because they would not receive any additional information regarding the security. Each Nasdaq Global Market and Capital Market issuer in this category has already filed an Exchange Act registration statement with the Commission to register the class of securities under Section 12 of the Act. Those issuers with securities registered under Section 12(g) were required to file a registration statement that contained ''such information and documents as the Commission may specify comparable to that which is required in an application to register a security pursuant to [Section 12(b)].''[27]

There are also no relevant differences in the regulatory requirements for securities registered under Sections 12(b) and 12(g) that would negatively impact investors. For example, issuers with securities registered under Section 12(g) must, like issuers with securities registered under Section 12(b), file periodic and other reports with the Commission under Section 13 of the Act, comply with the proxy requirements under Section 14 of the Act, and adhere to the requirements of the Williams Act. Because securities registered under Section 12(b) and Section 12(g) are already treated in a nearly identical fashion, requiring Nasdaq issuers to re-register their securities would not result in any material benefit to the marketplace or investors.

The Commission would be acting well within its authority in granting the relief requested. Congress has provided specific authorization under Section 12(c) of the Act,[28] which allows the submission of different information than that required under Section 12(b).

Accordingly, Nasdaq and the Nasdaq Exchange request that this letter serve as: (i) The registration statement under Section 12(b) for all classes of listed securities of Nasdaq Global Market and Capital Market issuers registered with the Commission under Sections 12(b) and 12(g) and included in Exhibit A; and (ii) the Nasdaq Exchange's certification pursuant to Section 12(d) of the Act that these securities are approved for listing and registration, concurrent with the start of operations of the Nasdaq Exchange. Nasdaq and the Nasdaq Exchange further request that the Commission accelerate the effective date of this application for Section 12(b) registration to July 31, 2006.

This action would be in the public's interest and consistent with the protection of investors because it would prevent the imposition of a significant administrative burden on issuers, the Commission, and others without weakening any of the protections afforded to investors under the federal securities laws.[29]

B. Securities Exempt From Registration Under Section 12(g)(2)(B)

Nasdaq currently lists 17 investment companies whose securities are exempted from Section 12(g) registration pursuant to Section 12(g)(2)(B) of the Act. No purpose would be served by requiring these issuers to file registration statements under Section 12(b) because these companies already are and would remain subject to registration and reporting requirements under the 1940 Act rather than Section 13 of the Act.[30] The Commission's rules clearly contemplate that disclosure under the 1940 Act satisfies the disclosure required by the Exchange Act. In particular, each registered investment company has filed a registration statement with the Commission under the 1940 Act and has been required to make periodic filings under the 1940 Act identical in form to those required of investment companies that have registered their securities under Section 12(b) of the Act.[31]

As such, Nasdaq and the Nasdaq Exchange request that these issuers be treated in the same manner as issuers with securities registered under Sections 12(b) or 12(g) of the Act and that this letter serve as: (i) The registration statement under Section 12(b) for all classes of listed securities of Nasdaq Global Market and Capital Market issuers exempt from Section 12(g) registration pursuant to Section 12(g)(2)(B) and included in Exhibit A; and (ii) the Nasdaq Exchange's certification pursuant to Section 12(d) of the Act that these securities are approved for listing and registration, concurrent with the start of operations of the Nasdaq Exchange. Nasdaq and the Nasdaq Exchange further

[23] *See* ''Impact of NASDAQ Exchange Registration on Listed Companies'' available at: *http://www.nasdaq.com/about/Exchange_Bulletin_051506.pdf.*

[24] *See* ''NASDAQ Notifies Listed Companies About Transition To Exchange Status'' available at: *http://www.nasdaq.com/newsroom/news/pr2006/ne_section06_066.stm*

[25] Some issuers list more than one security on Nasdaq.

[26] To assist the Commission with this request, we have attached lists of those securities registered with the Commission or exempt from registration. Exhibit A contains a list of those securities already registered with the Commission under Sections 12(b) or 12(g) and those securities exempt from registration under Rule 12(g)(2)(B), that have not opted out from this request as provided for in Rule 4130. Exhibit B contains a list of those securities that have opted out from this request. Exhibit C contains a list of those securities that are exempt from registration under Section 12(g) pursuant to Section 12(g)(2)(G) or Rule 12g3–2(b).

[27] Section 12(g)(1) of the Act, 15 U.S.C. 78l(g)(1).

[28] 15 U.S.C. 78l(c).

[29] This reclassification would apply only to those issuers listed on Nasdaq when it becomes a national securities exchange and not to issuers approved for listing on Nasdaq afterwards. Such later-listed issuers would be required to file a registration statement with the Commission to register their securities under Section 12(b) and Nasdaq would be required separately to certify such registration statements. In addition, this reclassification would not apply to the securities of any issuer that has opted-out of such treatment, pursuant to NASD Rule 4130. *See* SR–NASD–2006–28.

[30] Registered investment companies file annual and semiannual reports on Forms N–CSR and N–SAR, rather than on Forms 10–K and 10–Q, even if registered under the Exchange Act. *See* General Instruction A. to Form N–CSR, General Instruction A. to Form 10–K, and Exchange Act Rules 13a–11(b) and 13a–13(b). Registered investment companies are also subject to proxy regulation under Rule 20a–1 of the 1940 Act. *See also* Item 22 of Schedule 14A.

[31] Under Exchange Act Rule 12g–2, the Commission already has made provision for these companies to be deemed registered under the Exchange Act without the need for a filing. That relief is automatic upon the termination of the issuer's registration under the 1940 Act. Given that relief, it would make no sense to impose a filing requirement when the investment company has maintained, rather than terminated, its registration under the 1940 Act.

request that the Commission accelerate the effective date of this application for Section 12(b) registration to July 31, 2006.

This action would be in the public's interest and consistent with the protection of investors because it would prevent the imposition of a significant administrative burden on issuers, the Commission, and others without weakening any of the protections afforded to investors under the federal securities laws.[32]

C. Other Securities Exempt From Registration Under Section 12(g)

As described above, Nasdaq lists 13 securities—out of more than 3,300—that are otherwise exempt from registration under Section 12(g). The Nasdaq Exchange will operate in all relevant, material respects just as Nasdaq operates today.[33] In fact, while as early as 1983 the Commission recognized that ''trading on [Nasdaq] is substantially the same as trading on an exchange,'' [34] the Commission has nonetheless permitted securities of these exempt issuers to trade on Nasdaq.

Section 36 of the Act [35] grants the Commission broad authority to make exemptions to any part of the Act when ''such exemption is necessary or appropriate in the public interest, and is consistent with the protection of investors.'' Granting a temporary continuation of an exemption from registration is ''necessary or appropriate in the public interest'' and is ''consistent with the protection of investors.'' This exemption for a transitional period would provide issuers that have traded on Nasdaq without incident for many years with sufficient time to undertake Exchange Act registration requirements and to make an orderly transition to the Nasdaq Exchange and therefore is in the public interest. The Commission has used its authority in the past to resolve administrative hurdles for complex transactions and to relieve unnecessary administrative burdens. Finally, given that these securities have traded on Nasdaq pursuant to an exemption for an extended period of time, the continuation of a similar exemption for a limited time should not raise any new concerns regarding the protection of investors.

Forcing Section 12(g) exempt issuers to immediately register would be inequitable and wholly unrelated to any act or failure to act by these issuers. In the absence of exemptive relief, each of the Section 12(g) exempt issuers would be required to prepare and file a registration statement on Form 10 or 20–F. Foreign issuers would also have to restate or reconcile their financial statements to U.S. generally accepted accounting principles (''U.S. GAAP''). But it is Nasdaq's becoming an exchange rather than any affirmative act by these exempt issuers that would trigger the imposition of this registration requirement. Companies that list on the Nasdaq Exchange after it begins operations could be required to meet all the registration requirements applicable to an exchange listing without disrupting an existing market in those securities. But for those companies already listed, requiring immediate registration is potentially disruptive and unfair. The mere fact of Nasdaq's conversion to an exchange should not adversely impact these companies or their investors.

Thus, Nasdaq and the Nasdaq Exchange request that the Commission temporarily continue the exemption from registration for the following classes of Nasdaq-listed issuers. In connection with this request, the Nasdaq Exchange represents that it will continue to monitor these companies in the same manner Nasdaq does, to assure compliance with all applicable listing requirements.

1. Insurance Companies

The Commission need not immediately impose registration requirements on the four insurance companies listed on Nasdaq but exempt from Section 12(g) registration.[36] These issuers have not taken any action on their own to trigger a registration requirement and the additional reporting requirements required by such registration. In fact, if the Commission determines not to temporarily continue these companies' exemptions and they choose to delist rather than register, investors would be harmed by the potential loss of a liquid trading market. As such, Nasdaq requests that the Commission grant an exemption for the securities of these insurance companies (identified on Exhibit C) from the requirements of Sections 12(a) and 12(b) with respect to the trading of these securities on the Nasdaq Exchange for a three-year period from the date the Nasdaq Exchange begins to operate as an exchange, provided these companies continue to comply with the requirements of Section 12(g)(2)(G) of the Act and the applicable requirements for continued listing on the Nasdaq Exchange. This transitional exemption will permit these issuers to complete the registration process without undue burden.

2. Foreign Private Issuers

There are nine foreign issuers that trade on The Nasdaq Capital Market pursuant to the ''grandfathering'' exemption of Rule 12g3–2(b).[37] This exemption originated in 1983, when the Commission first required foreign private issuers whose securities were trading on Nasdaq to be registered. Prior to that time, a foreign private issuer whose securities were not trading on a national securities exchange was exempt from registration where the foreign issuer did not voluntarily enter the United States markets by, for example, conducting a public offering or listing on an exchange. In 1983 the Commission amended Rule 12g3–2(b) to deny the exemption to non-U.S. issuers that voluntarily listed on Nasdaq. In order not to disrupt the trading of these issuers, however, the Commission grandfathered in all non-Canadian foreign issuers, allowing those companies to continue to trade on Nasdaq without registration under the Exchange Act.[38] In doing so, the Commission heeded the concerns of commenters that many foreign issuers would withdraw from Nasdaq, rather than register, leaving the pink sheets as the only source of trading information related to these companies and resulting in increased price spreads, a decrease in information, price quotes not carried in newspapers, less liquid markets and fewer institutions in the market, absence of NASD surveillance, and delays in execution of transfers.[39]

The same considerations that compelled that treatment of foreign issuers in 1983 are relevant to the relief requested today. These issuers have not acted to jeopardize their ability to trade on Nasdaq or Rule 12g3–2(b) exempt status. If forced to immediately register their securities, a significant number of these issuers may delist rather than register, thereby relegating the U.S. investors in those foreign issuers to potentially less liquid and transparent markets.

For these reasons, the Nasdaq Exchange's registration as an exchange should not force these companies to immediately register or delist.[40] Nasdaq and the Nasdaq Exchange therefore request that the Commission grant an exemption for those securities included in Exhibit C that are exempt from Section 12(g) registration under Rule 12g3–2(b) from the requirements of Sections 12(a) and 12(b) with respect to the trading of these securities on the Nasdaq Exchange for a three-year period from the date the Nasdaq Exchange begins to operate as an exchange, provided the issuers continue to comply with the requirements of Rule 12g3–2(b) and the applicable requirements for continued listing on the Nasdaq Exchange. This transitional exemption will permit these issuers to complete the registration process without undue burden.[41]

[32] As noted in footnote 29, supra, this reclassification would apply only to those issuers listed on Nasdaq when it becomes a national securities exchange that have not opted-out of such treatment pursuant to NASD Rule 4130.

[33] The primary difference in market structure that Nasdaq contemplates is the establishment of a holding company structure under which Nasdaq would own the Nasdaq Exchange, which would execute quotes and orders in accordance with a strict price-time priority algorithm.

[34] Securities Act Release No. 6493 (October 14, 1983) (''Rule 12g3–2(b) Amendments'').

[35] 15 U.S.C. 78mm.

[36] Pursuant to Section 12(g)(2)(G) of the Act, these issuers generally must file an annual statement with the Commissioner of Insurance of their domiciliary state and must be subject to regulation by their domiciliary state of proxies, consents, or authorizations.

[37] These issuers are not eligible for listing on the Nasdaq Global Market, nor are they subject to the Global Market listing requirements.

[38] Exchange Act Rule 12g3–2(b). The exemption is maintained by submitting the issuer's home country reports to the Commission.

[39] Rule 12g3–2(b) Amendments, supra note 34. These factors, according to one estimate, would cause prices to drop 20 percent. *Id.*

[40] One exempt foreign issuer, Nissan Motor Co., Ltd., submitted a comment letter to the Commission in connection with Nasdaq's application to become an exchange, requesting that the Rule 12g3–2(b) grandfathering be allowed to continue indefinitely, or, in the alternative, that a reasonable transition period be allowed. *See* footnote 208 to the Exchange Approval Order, supra, note 1.

[41] Nasdaq notes that the proposed three-year period is consistent with the time-line the Commission has set forth to eliminate the requirement for foreign private issuers to reconcile financial statements prepared according to International Financial Reporting Standards to US GAAP. *See* SEC Press Release 2006–17, available at: *http://www.sec.gov/news/press/2006-17.htm.*

III. Conclusion

The relief requested above is in the public interest because it will ensure the continued smooth operation of this market immediately from the time the Nasdaq Exchange begins operations as an exchange and avoid confusion and a number of potentially disruptive administrative hurdles. The relief is necessary and appropriate to avoid the disruption that could occur if members, brokers, and dealers were prohibited from effecting transactions in Nasdaq securities due to the lack of an effective registration once the Nasdaq Exchange begins operating as a registered exchange.

The Commission has specific authority provided by Section 12(c) to effect the relief requested with respect to those securities already registered under Section 12(b) or 12(g) and those securities exempt from Section 12(g) registration pursuant to Section 12(g)(2)(B). Further, the Commission has general exemptive authority pursuant to Section 36 of the Act and Rule 0–12 thereunder, in pertinent part, to exempt any person, security, or transaction, or any class or classes of persons, securities, or transactions, from any provision or provisions of this title or of any rule or regulation thereunder, to the extent that such exemption is necessary or appropriate in the public interest, and is consistent with the protection of investors. The unique facts surrounding Nasdaq's transition to a national securities exchange provide ample justification for the Commission to exercise its authority under Section 36 under the circumstances described in this letter.

If you have any questions concerning the foregoing you may contact the undersigned at (301) 978–8480, Arnold Golub at (301) 978–8075 or John Yetter at (301) 978–8497.

Sincerely yours, Edward S. Knight

Exhibit A: List of securities whose registration will be transferred to Section 12(b)

Exhibit B: List of securities of issuers that have elected to opt-out of requested relief

Exhibit C: List of securities exempt from Section 12(g) registration under Section 12(g)(2)(G) and Rule 12g3–2(b)

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Exhibit A: List of Securities whose Registration will be Transferred to Section 12(b)

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
SWAT	A4S Security, Inc. Common Stock	Common Stock	NCM	12(b)	0001216199	001-32566
SWATW	A4S Security, Inc. Warrant Exp. 7/18/2010	Warrant	NCM	12(b)	0001216199	001-32566
ABLE	Able Energy, Inc. Common Stock	Common Stock	NCM	12(b)	0001065728	001-15035
ACSEF	ACS Motion Control Ltd. Common Stock	Ordinary Shares	NCM	12(b)	0001038144	001-14662
ADST	AdStar, Inc. Common Stock	Common Stock	NCM	12(b)	0001091599	001-15363
AFG	American Financial Group, Inc. Common Stock	Common Stock	NGS	12(b)	0001042046	001-13653
APCC	American Power Conversion Corporation Common Stock	Common Stock	NGS	12(b)	0000835910	001-12432
APA	Apache Corporation Common Stock	Common Stock	NGS	12(b)	0000006769	001-04300
BOSC	B.O.S. Better Online Solutions Common Stock	Ordinary Shares	NGM	12(b)	0001005516	001-14184
BARI	Bancorp Rhode Island, Inc. Common Stock	Common Stock	NGS	12(b)	0001109525	001-16101
BFLY	Bluefly, Inc. Common Stock	Common Stock	NCM	12(b)	0001030896	001-14498
CSPLF	Canada Southern Petroleum Ltd. Common Stock	Common Stock	NCM	12(b)	0000016804	001-03793
CME	Chicago Mercantile Exchange Holdings Cl A	Common Stock	NGS	12(b)	0001156375	001-31553
COHT	Cohesant Technologies Inc. Common Stock	Common Stock	NCM	12(b)	0000928420	001-13484
NYNY	Empire Resorts, Inc. Common Stock	Common Stock	NCM	12(b)	0000906780	001-12522
ENDP	Endo Pharmaceuticals Holdings Inc. Common Stock	Common Stock	NGS	12(b)	0001100962	001-15989
ESCL	Escala Group, Inc. Common Stock	Common Stock	NGS	12(b)	0000895516	001-11988
EWEB	Euroweb International Corp. Common Stock	Common Stock	NCM	12(b)	0000905428	001-12000
GORX	GeoPharma, Inc. Common Stock	Common Stock	NCM	12(b)	0001098315	001-16185
HMY	Harmony Gold Mining Co. Ltd. American Depositary Shares	American Depositary Shares	NGS	12(b)	0001023514	001-31545
HPQ	Hewlett-Packard Company Common Stock	Common Stock	NGS	12(b)	0000047217	001-04423
IDSY	I.D. Systems, Inc. Common Stock	Common Stock	NGM	12(b)	0000049615	001-15087
IDNX	Identix Incorporated Common Stock	Common Stock	NGM	12(b)	0000735780	001-09641
INTG	Intergroup Corporation (The) Common Stock	Common Stock	NGM	12(b)	0000069422	001-10324
IRSN	Irvine Sensors Corporation Common Stock	Common Stock	NCM	12(b)	0000357108	001-08402
IVN	Ivanhoe Mines Ltd Ordinary Shares	Common Stock	NGM	12(b)	0001158041	000-50473
MPET	Magellan Petroleum Corporation Common Stock	Common Stock	NCM	12(b)	0000061398	001-05507
OXPS	optionsXpress Holdings, Inc. Common Stock	Common Stock	NGS	12(b)	0001299688	001-32419
PESI	Perma-Fix Environmental Services, Inc. Common Stock	Common Stock	NCM	12(b)	0000891532	001-11596
POLXF	Polydex Pharmaceuticals Limited Common Stock	Common Stock	NCM	12(b)	0000317158	001-08366

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
PCIS	Precis Inc. Common Stock	Common Stock	NCM	12(b)	0001017440	001-15667
QNTA	Quanta Capital Holdings Ltd. Common Stock	Common Stock	NGM	12(b)	0001264242	001-32138
SVNT	Savient Pharmaceuticals Inc Common Stock	Common Stock	NGM	12(b)	0000722104	000-15313
SORC	Source Interlink Companies, Inc. Common Stock	Common Stock	NGS	12(b)	0000943605	001-13437
SCFSP	Sovereign Bancorp, Inc. - Seacoast Financial Services Corporation Cumulative Trust Preferred Securities	Other Securities	NGM	12(b)	0000811830	001-16581
SSYS	Stratasys, Inc. Common Stock	Common Stock	NGS	12(b)	0000915735	001-13400
TISA	Top Image Systems, Ltd. Ordinary Shares	Ordinary Shares	NCM	12(b)	0001021991	001-14552
PANL	Universal Display Corporation Common Stock	Common Stock	NGM	12(b)	0001005284	001-12031
WAG	Walgreen Company Common Stock	Common Stock	NGS	12(b)	0000104207	001-00604
WSTM	Workstream Inc. Common Stock	Common Stock	NCM	12(b)	0001095266	001-15503
ARDI	@Road, Inc. Common Stock	Common Stock	NGM	12(g)	0001109537	000-31511
CTAC	1-800 Contacts, Inc. Common Stock	Common Stock	NGM	12(g)	0001050122	000-23633
FLWS	1-800 FLOWERS.COM, Inc. Common Stock	Common Stock	NGS	12(g)	0001084869	000-26841
FCCY	1st Constitution Bancorp (NJ) Common Stock	Common Stock	NGM	12(g)	0001141807	000-32891
FIFG	1st Independence Financial Group, Inc. Common Stock	Common Stock	NGM	12(g)	0000946738	000-26570
SRCEO	1st Source Corporation 1st Source Capital Trust II - Floating Rate Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0000034782	000-06233
SRCE	1st Source Corporation Common Stock	Common Stock	NGS	12(g)	0000034782	000-06233
TCHC	21st Century Holding Company Common Stock	Common Stock	NGM	12(g)	0001069996	000-25001
TCHCZ	21st Century Holding Company Redeemable Warrants 09/30/07	Warrant	NGM	12(g)	0001069996	000-25001
TCHCW	21st Century Holding Company Warrants 07/31/2006	Warrant	NGM	12(g)	0001069996	000-25001
TFSM	24/7 Real Media, Inc. Common Stock	Common Stock	NGM	12(g)	0001062195	000-29768
COMS	3Com Corporation Common Stock	Common Stock	NGS	12(g)	0000738076	000-12867
TDSC	3D Systems Corporation Common Stock	Common Stock	NGM	12(g)	0000910638	000-22250
JOBS	51job, Inc. American Depositary Shares	American Depositary Shares	NGS	12(g)	0001295484	000-50841
EGHT	8x8 Inc Common Stock	Common Stock	NCM	12(g)	0001023731	000-21783
TACX	A Consulting Team, Inc. (The) Common Stock	Common Stock	NCM	12(g)	0001040792	000-22945
SHLM	A. Schulman, Inc. Common Stock	Common Stock	NGS	12(g)	0000087565	000-07459
ACMR	A.C. Moore Arts & Crafts, Inc. Common Stock	Common Stock	NGS	12(g)	0001042809	000-23157
ADAM	A.D.A.M. Inc. Common Stock	Common Stock	NCM	12(g)	0000863650	000-26962
ASVI	A.S.V., Inc. Common Stock	Common Stock	NGS	12(g)	0000926763	000-25620
AAON	AAON, Inc. Common Stock	Common Stock	NGS	12(g)	0000824142	000-18953

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
ASTM	Aastrom Biosciences, Inc. Common Stock	Common Stock	NCM	12(g)	0000887359	000-22025
VOLV	AB Volvo Class B American Depositary Receipts	American Depositary Shares	NGS	12(g)	0000753762	000-12828
ABIX	Abatix Corp. Common Stock	Common Stock	NCM	12(g)	0000845779	001-10194
ABAX	ABAXIS, Inc. Common Stock	Common Stock	NGS	12(g)	0000881890	000-19720
ABER	Aber Diamond Corporation Common Stock	Common Stock	NCM	12(g)	0000841071	000-17227
AANB	Abigail Adams National Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000356809	000-10971
ABBC	Abington Community Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001292898	000-51077
ABMD	ABIOMED, Inc. Common Stock	Common Stock	NGM	12(g)	0000815094	000-20584
ABBI	Abraxis BioScience, Inc. Common stock	Common Stock	NGS	12(g)	0001141399	000-33407
ABXA	ABX Air, Inc. Common Stock	Common Stock	NGM	12(g)	0000894081	000-50368
ACTG	Acacia Research Corporation (Acacia Tech) Common Stock	Common Stock	NGM	12(g)	0000934549	000-26068
CBMX	Acacia Research Corporation (CombiMatrix) Common Stock	Common Stock	NGM	12(g)	0000934549	000-26068
ACAD	ACADIA Pharmaceuticals Inc. Common Stock	Common Stock	NGM	12(g)	0001070494	000-50768
ACAM	Acambis plc American Depositary Shares	American Depositary Shares	NGM	12(g)	0001073965	000-30126
ACCL	Accelrys, Inc. Common Stock	Common Stock	NGM	12(g)	0001002388	000-27188
ABPI	Accentia Biopharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001310094	000-51383
AIXD	Access Integrated Technologies, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0001173204	000-51910
ANCX	Access National Corporation Common Stock	Common Stock	NGM	12(g)	0001176316	000-49929
LEND	Accredited Home Lenders Holding Co. Common Stock	Common Stock	NGS	12(g)	0001174735	000-50179
AACE	Ace Cash Express, Inc. Common Stock	Common Stock	NGS	12(g)	0000849116	000-20774
ACEC	ACE*COMM Corporation Common Stock	Common Stock	NCM	12(g)	0001017526	000-21059
ACGY	Acergy S.A. American Depositary Shares	American Depositary Shares	NGS	12(g)	0000898685	000-21742
ACET	Aceto Corporation Common Stock	Common Stock	NGS	12(g)	0000002034	000-04217
ACME	ACME Communications, Inc. Common Stock	Common Stock	NGM	12(g)	0001092013	000-27105
ACOR	Acorda Therapeutics, Inc. Common Stock	Common Stock	NGM	12(g)	0001008848	000-50513
ACTL	Actel Corporation Common Stock	Common Stock	NGM	12(g)	0000907687	000-21970
APII	Action Products International, Inc. Common Stock	Common Stock	NCM	12(g)	0000747435	000-13118
ACTS	Actions Semiconductor Co., Ltd. American Depositary Shares	American Depositary Shares	NGM	12(g)	0001342068	000-51604
ACPW	Active Power, Inc. Common Stock	Common Stock	NGM	12(g)	0001044435	000-30939
ACTI	ActivIdentity Corporation Common Stock	Common Stock	NGM	12(g)	0001183941	000-50223
ATVI	Activision, Inc. Common Stock	Common Stock	NGS	12(g)	0000718877	000-12699

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
ACTU	Actuate Corporation Common Stock	Common Stock	NGM	12(g)	0001062478	000-24607
ACUS	Acusphere, Inc. Common Stock	Common Stock	NGM	12(g)	0001115143	000-50405
ACXM	Acxiom Corporation Common Stock	Common Stock	NGS	12(g)	0000733269	000-13163
ADES	ADA-ES, Inc. Common Stock	Common Stock	NCM	12(g)	0001223112	000-50216
ARXT	Adams Respiratory Therapeutics, Inc. Commom Stock	Common Stock	NGS	12(g)	0001319439	000-51445
ADPT	Adaptec, Inc. Common Stock	Common Stock	NGM	12(g)	0000709804	000-15071
ADCT	ADC Telecommunications, Inc. Common Stock	Common Stock	NGS	12(g)	0000061478	000-01424
ADEX	ADE Corporation Common Stock	Common Stock	NGM	12(g)	0000884498	000-26714
ADEP	Adept Technology, Inc. Common Stock	Common Stock	NGM	12(g)	0000865415	000-27122
ADZA	Adeza Biomedical Corporation Common Stock	Common Stock	NGS	12(g)	0000902482	000-20703
ADBE	Adobe Systems Incorporated Common Stock	Common Stock	NGS	12(g)	0000796343	000-15175
ADLR	Adolor Corporation Common Stock	Common Stock	NGM	12(g)	0001076167	000-26929
ADTN	ADTRAN, Inc. Common Stock	Common Stock	NGS	12(g)	0000926282	000-24612
AATI	Advanced Analogic Technologies, Incorporated Common Stock	Common Stock	NGS	12(g)	0001104042	000-51349
ADIC	Advanced Digital Information Corporation Common Stock	Common Stock	NGS	12(g)	0000770403	000-21103
AEIS	Advanced Energy Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0000927003	000-26966
AERT	Advanced Environmental Recycling Technologies, Inc. Class A Common Stock	Common Stock	NCM	12(g)	0000849706	001-10367
ADLS	Advanced Life Sciences Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001322734	000-51436
AMAG	Advanced Magnetics, Inc. Common Stock	Common Stock	NGM	12(g)	0000792977	000-14732
AVNC	Advancis Pharmaceutical Corporation Common Stock	Common Stock	NGM	12(g)	0001161924	000-50414
ADVNA	ADVANTA Corp. Class A Common Stock	Common Stock	NGS	12(g)	0000096638	000-14120
ADVNB	ADVANTA Corp. Class B Common Non Voting	Common Stock	NGS	12(g)	0000096638	000-14120
ADVS	Advent Software, Inc. Common Stock	Common Stock	NGS	12(g)	0001002225	000-26994
ABCO	Advisory Board Company (The) Common Stock	Common Stock	NGS	12(g)	0001157377	000-33283
AEHR	Aehr Test Systems Common Stock	Common Stock	NGM	12(g)	0001040470	000-22893
AEPI	AEP Industries Inc. Common Stock	Common Stock	NGM	12(g)	0000785787	000-14450
ARXX	Aeroflex Incorporated Common Stock	Common Stock	NGS	12(g)	0000002601	000-02324
AEZS	AEterna Zentaris, Inc. Common Stock	Common Stock	NGM	12(g)	0001113423	000-30752
AETH	Aether Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001093434	000-27707
ATRM	Aetrium Incorporated Common Stock	Common Stock	NGM	12(g)	0000908598	000-22166
AFCE	AFC Enterprises, Inc. Common Stock	Common Stock	NGM	12(g)	0001041379	000-32369
AFFM	Affirmative Insurance Holdings, Inc. Common Stock	Common Stock	NGS	12(g)	0001282543	000-50795
AFFX	Affymetrix, Inc. Common Stock	Common Stock	NGS	12(g)	0000913077	000-28218

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
ATAC	Aftermarket Technology Corp. Common Stock	Common Stock	NGS	12(g)	0000933405	000-21803
AGIL	Agile Software Corporation Common Stock	Common Stock	NGM	12(g)	0001088653	000-27071
AGYS	Agilysys, Inc. Common Stock	Common Stock	NGS	12(g)	0000078749	000-05734
AEMLW	Agnico-Eagle Mines Limited Warrant	Warrant	NGM	12(g)	0000002809	001-13422
GLOV	AHPC Holdings, Inc. Common Stock	Common Stock	NCM	12(g)	0000837038	000-17458
AIRM	Air Methods Corporation Common Stock	Common Stock	NGS	12(g)	0000816159	000-16079
AIRT	Air T, Inc. Common Stock	Common Stock	NCM	12(g)	0000353184	000-11720
AIRN	Airspan Networks Inc. Common Stock	Common Stock	NGM	12(g)	0001105542	000-31031
AIXG	Aixtron Aktiengesellschaft American Depository Shares	American Depositary Shares	NCM	12(g)	0001089496	000-51196
AKAM	Akamai Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0001086222	000-27275
AKSY	Aksys, Ltd. Common Stock	Common Stock	NCM	12(g)	0000902600	000-28290
TRMD	Aktieselskabet Dampskibsselskabet Torm American Depositary Shares	American Depositary Shares	NGS	12(g)	0001168351	000-49650
AKZOY	Akzo Nobel N.V. American Depositary Shares	American Depositary Shares	NGS	12(g)	0000003124	000-17444
ALAB	Alabama National BanCorporation Common Stock	Common Stock	NGS	12(g)	0000926966	000-25160
ALDN	Aladdin Knowledge Systems Limited Ordinary Shares	Ordinary Shares	NGM	12(g)	0000911364	000-22456
ALAN	Alanco Technologies Inc. Common Stock	Common Stock	NCM	12(g)	0000098618	000-09347
ALSK	Alaska Communications Systems Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001089511	000-28167
AMRI	Albany Molecular Research, Inc. Common Stock	Common Stock	NGM	12(g)	0001065087	000-25323
AWGI	Alderwoods Group Inc Common Stock	Common Stock	NGS	12(g)	0000927914	000-33277
AWGIW	Alderwoods Group Inc Warrant	Warrant	NGS	12(g)	0000927914	000-33277
ALDA	Aldila, Inc. Common Stock	Common Stock	NGM	12(g)	0000902272	000-21872
ALEX	Alexander & Baldwin, Inc. Common Stock	Common Stock	NGS	12(g)	0000003453	000-00565
ALXN	Alexion Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0000899866	000-27756
ALXA	Alexza Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001344413	000-51820
ALFA	Alfa Corporation Common Stock	Common Stock	NGS	12(g)	0000743532	000-11773
ACEL	Alfacell Corporation Common Stock	Common Stock	NCM	12(g)	0000708717	000-11088
ALCO	Alico, Inc. Common Stock	Common Stock	NGS	12(g)	0000003545	000-00261
ALGN	Align Technology, Inc. Common Stock	Common Stock	NGM	12(g)	0001097149	000-32259
ALKS	Alkermes, Inc. Common Stock	Common Stock	NGS	12(g)	0000874663	001-14131
SEMI	All American Semiconductor, Inc. Common Stock	Common Stock	NGM	12(g)	0000818074	000-16207
ABVA	Alliance Bankshares Corporation Common Stock	Common Stock	NCM	12(g)	0001181001	000-49976
AFOP	Alliance Fiber Optic Products, Inc. Common Stock	Common Stock	NCM	12(g)	0001122342	000-31857

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
ALNC	Alliance Financial Corporation Common Stock	Common Stock	NGM	12(g)	0000796317	000-15366
AHGP	Alliance Holdings GP, L.P. Common Units Representing Limited Partner Interest	Limited Partnership	NGS	12(g)	0001344980	000-51952
ARLP	Alliance Resource Partners, L.P. Common Units representing Limited Partners Interests	Limited Partnership	NGS	12(g)	0001086600	000-26823
ALSC	Alliance Semiconductor Corporation Common Stock	Common Stock	NGM	12(g)	0000913293	000-22594
AHCI	Allied Healthcare International Inc. Common Stock	Common Stock	NGS	12(g)	0000890634	000-11570
AHPI	Allied Healthcare Products, Inc. Common Stock	Common Stock	NGM	12(g)	0000874710	000-19266
AMOT	Allied Motion Technologies, Inc.	Common Stock	NCM	12(g)	0000046129	000-04041
ALLI	Allion Healthcare, Inc. Common Stock	Common Stock	NGM	12(g)	0000847935	000-17821
ALTH	Allos Therapeutics, Inc. Common Stock	Common Stock	NGM	12(g)	0001097264	000-29815
ALOY	Alloy, Inc. Common Stock	Common Stock	NGM	12(g)	0001080359	000-26023
MDRX	Allscripts Healthcare Solutions Inc Common Stock	Common Stock	NGS	12(g)	0001124804	000-26537
AFAM	Almost Family Inc Common Stock	Common Stock	NCM	12(g)	0000799231	001-09848
ALNY	Alnylam Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001178670	000-50743
ATEC	Alphatec Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001350653	000-52024
ALTI	Altair Nanotechnologies, Inc. Common Stock	Common Stock	NCM	12(g)	0001016546	001-12497
ALTR	Altera Corporation Common Stock	Common Stock	NGM	12(g)	0000768251	000-16617
ATGN	AltiGen Communications, Inc. Common Stock	Common Stock	NCM	12(g)	0001003607	000-27427
ATRS	Altiris, Inc. Common Stock	Common Stock	NGS	12(g)	0001139650	000-49793
APSA	Alto Palermo S.A. American Depositary Shares	American Depositary Shares	NGM	12(g)	0001128173	000-30982
ALTU	Altus Pharmaceuticals Inc. Common Stock	Common Stock	NGM	12(g)	0001340744	000-51711
ALVR	Alvarion Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001108332	000-30628
AMRN	Amarin Corporation PLC American Depositary Shares	American Depositary Shares	NCM	12(g)	0000897448	000-21392
AMZN	Amazon.com, Inc. Common Stock	Common Stock	NGS	12(g)	0001018724	000-22513
EPAX	Ambassadors Group, Inc. Common Stock	Common Stock	NGS	12(g)	0001162315	000-33347
AMIE	Ambassadors International, Inc. Common Stock	Common Stock	NGM	12(g)	0000946842	000-26420
AMCP	AmCOMP Incorporated Common Stock	Common Stock	NGM	12(g)	0001009667	000-51767
AMCRP	Amcor Limited 7 1/4% Perpetual Redeemable Income Debt Exchangeable for Stock (PRIDES)	Other Securities	NCM	12(g)	0000869428	000-18893
AMCR	Amcor Limited American Depositary Shares	American Depositary Shares	NGS	12(g)	0000869428	000-18893
AMFI	Amcore Financial, Inc. Common Stock	Common Stock	NGS	12(g)	0000714756	000-13393

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
AMED	Amedisys Inc Common Stock	Common Stock	NGS	12(g)	0000896262	000-24260
AMEN	AMEN Properties Inc Common Stock	Common Stock	NCM	12(g)	0001037599	000-22847
UHAL	Amerco Common Stock	Common Stock	NGS	12(g)	0000004457	001-11255
ASBI	Ameriana Bancorp Common Stock	Common Stock	NGM	12(g)	0000855574	000-18392
APRO	America First Apartment Investors, Inc.	Common Stock	NGM	12(g)	0001175167	000-20737
ATAXZ	America First Tax Exempt Investors, L.P. Beneficial Unit Certificates (BUCs) representing Limited Partnership Interests	Limited Partnership	NGM	12(g)	0001059142	000-24843
AMOV	America Movil, S.A. de C.V. Class A American Depositary Shares	American Depositary Shares	NGS	12(g)	0001129137	005-61257
ASGR	America Service Group Inc. Common Stock	Common Stock	NGS	12(g)	0000877476	000-19673
AATK	American Access Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0001043186	000-24575
ABNJ	American Bancorp of New Jersey, Inc. Common Stock	Common Stock	NGM	12(g)	0001330039	000-51500
AMBK	American Bank Inc Common Stock	Common Stock	NCM	12(g)	0001163747	000-31246
ABMC	American Bio Medica Corp. Common Stock	Common Stock	NCM	12(g)	0000896747	000-28666
ABMCW	American Bio Medica Corp. warrant	Warrant	NCM	12(g)	0000896747	000-28666
ACAS	American Capital Strategies, Ltd. Common Stock	Common Stock	NGS	12(g)	0000817473	814-00149
AMCE	American Claims Evaluation, Inc. Common Stock	Common Stock	NCM	12(g)	0000774517	000-14807
ACLI	American Commercial Lines Inc Common Stock New	Common Stock	NGS	12(g)	0001324479	000-51562
ACBA	American Community Bancshares Common Stock	Common Stock	NCM	12(g)	0001106980	000-30517
ADPI	American Dental Partners, Inc. Common Stock	Common Stock	NGS	12(g)	0001028087	000-23363
AEOS	American Eagle Outfitters, Inc. Common Stock	Common Stock	NGS	12(g)	0000919012	000-23760
ECOL	American Ecology Corporation Common Stock	Common Stock	NGM	12(g)	0000742126	000-11688
AMIC	American Independence Corp.	Common Stock	NGM	12(g)	0000097196	001-5270
AMAC	American Medical Alert Corp. Common Stock	Common Stock	NCM	12(g)	0000700721	001-08635
AMMD	American Medical Systems Holdings, Inc. Common Stock	Common Stock	NGS	12(g)	0001114200	000-30733
AMGIW	American Mold Guard, Inc. Class A Warrant	Warrant	NCM	12(g)	0001344708	001-32862
AMGIZ	American Mold Guard, Inc. Class B Warrant	Warrant	NCM	12(g)	0001344708	001-32862
AMGI	American Mold Guard, Inc. Common Stock	Common Stock	NCM	12(g)	0001344708	001-32862
AMNB	American National Bankshares, Inc. Common Stock	Common Stock	NGS	12(g)	0000741516	000-12820
APFC	American Pacific Corporation Common Stock	Common Stock	NGM	12(g)	0000350832	000-21046
ACAP	American Physicians Capital, Inc. Common Stock	Common Stock	NGM	12(g)	0001118148	000-32057
AMPH	American Physicians Service Group, Inc. Common Stock	Common Stock	NCM	12(g)	0000724024	000-11453
ARII	American Railcar Industries, Inc. Common Stock	Common Stock	NGS	12(g)	0001344596	000-51728
AMRB	American River Bankshares Common Stock	Common Stock	NGS	12(g)	0001108236	000-31525

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
ASEI	American Science and Engineering, Inc. Common Stock	Common Stock	NGM	12(g)	0000005768	000-50967
AMSWA	American Software, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000713425	000-12456
AMSC	American Superconductor Corporation Common Stock	Common Stock	NGM	12(g)	0000880807	000-19672
ATCO	American Technology Corporation Common Stock	Common Stock	NCM	12(g)	0000924383	000-24248
AMWD	American Woodmark Corporation Common Stock	Common Stock	NGS	12(g)	0000794619	000-14798
AWBC	AmericanWest Bancorporation Common Stock	Common Stock	NGS	12(g)	0000726990	000-18561
CRMT	America's Car-Mart Inc Common Stock	Common Stock	NGS	12(g)	0000799850	000-14939
AMAB	AmericasBank Corp. Common Stock	Common Stock	NCM	12(g)	0001040491	000-22925
ARGN	Amerigon Incorporated Common Stock	Common Stock	NCM	12(g)	0000903129	000-21810
ABCB	Ameris Bancorp Common Stock	Common Stock	NGS	12(g)	0000351569	000-16181
AMSF	AMERISAFE, Inc. Common Stock	Common Stock	NGS	12(g)	0001018979	000-51520
ASRVP	AmeriServ Financial Inc. AmeriServ Financial Trust I - 8.45% Beneficial Unsecured Securities, Series A	Other Securities	NGM	12(g)	0000707605	000-11204
ASRV	AmeriServ Financial Inc. Common Stock	Common Stock	NGM	12(g)	0000707605	000-11204
ASCA	Ameristar Casinos, Inc. Common Stock	Common Stock	NGS	12(g)	0000912145	000-22494
AMTCP	Ameritrans Capital Corporation 9.375% Participating Preferred Stock	Preferred Stock	NCM	12(g)	0001064015	000-22153
AMTC	Ameritrans Capital Corporation Common Stock	Common Stock	NCM	12(g)	0001064015	000-22153
AMTCW	Ameritrans Capital Corporation Warrant	Warrant	NCM	12(g)	0001064015	000-22153
AMTY	Amerityre Corporation Common Stock	Common Stock	NCM	12(g)	0000945828	000-50053
ATLO	Ames National Corporation Common Stock	Common Stock	NCM	12(g)	0001132651	000-32637
AMGN	Amgen Inc. Common Stock	Common Stock	NGS	12(g)	0000318154	000-12477
AMCS	AMICAS, Inc. Common Stock	Common Stock	NGM	12(g)	0001028584	001-12799
AMIS	AMIS Holdings, Inc. Common Stock	Common Stock	NGS	12(g)	0001161963	000-50397
AMKR	Amkor Technology, Inc. Common Stock	Common Stock	NGS	12(g)	0001047127	000-29472
AMPL	Ampal-American Israel Corporation Common Stock	Common Stock	NGM	12(g)	0000731859	000-00538
AMPX	Ampex Corporation Class A Common Stock	Common Stock	NGM	12(g)	0000887433	000-20292
AMSG	Amsurg Corp. Common Stock	Common Stock	NGS	12(g)	0000895930	000-22217
ASYS	Amtech Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0000720500	000-11412
AMLN	Amylin Pharmaceuticals, Inc. Common Stock	Common Stock	NGS	12(g)	0000881464	000-19700
ANAD	ANADIGICS, Inc. Common Stock	Common Stock	NGM	12(g)	0000940332	000-25662
ANDS	Anadys Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001128495	000-50632
ALOG	Analogic Corporation Common Stock	Common Stock	NGS	12(g)	0000006284	000-06715
ANLY	Analysts International Corporation Common Stock	Common Stock	NGM	12(g)	0000006292	000-04090

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
ANLT	Analytical Surveys, Inc. Common Stock	Common Stock	NCM	12(g)	0000753048	000-13111
ANEN	Anaren Inc.	Common Stock	NGM	12(g)	0000006314	000-06620
ABCW	Anchor BanCorp Wisconsin Inc. Common Stock	Common Stock	NGS	12(g)	0000885322	000-20006
ANDE	Andersons, Inc. (The) Common Stock	Common Stock	NGS	12(g)	0000821026	000-20557
ANDW	Andrew Corporation Common Stock	Common Stock	NGS	12(g)	0000317093	001-14617
ADRX	Andrx Group Common Stock	Common Stock	NGS	12(g)	0001123337	000-31475
ANSV	Anesiva, Inc. Common Stock	Common Stock	NGM	12(g)	0001131517	000-50573
ANGN	Angeion Corporation Common Stock	Common Stock	NCM	12(g)	0000815093	000-17019
ANGO	AngioDynamics, Inc. Common Stock	Common Stock	NGS	12(g)	0001275187	000-50761
ANPI	Angiotech Pharmaceuticals, Inc. Common Shares	Common Stock	NGS	12(g)	0001096481	000-30334
ANIK	Anika Therapeutics Inc. Common Stock	Common Stock	NGS	12(g)	0000898437	000-21326
ANNB	Annapolis Bancorp Inc. Common Stock	Common Stock	NCM	12(g)	0001041429	000-22961
ANST	Ansoft Corporation Common Stock	Common Stock	NGS	12(g)	0000849433	000-27874
ANSW	Answers Corporation Common Stock	Common Stock	NGM	12(g)	0001283073	000-51467
ANSR	answerthink inc. Common Stock	Common Stock	NGM	12(g)	0001057379	000-24343
ANSS	ANSYS, Inc. Common Stock	Common Stock	NGS	12(g)	0001013462	000-20853
AGEN	Antigenics Inc. Common Stock	Common Stock	NGM	12(g)	0001098972	000-29089
APPA	AP Pharma, Inc. Common Stock	Common Stock	NGM	12(g)	0000818033	000-16109
APAT	APA Enterprises, Inc. Common Stock	Common Stock	NGM	12(g)	0000796505	000-16106
APAC	APAC Customer Services, Inc. Common Stock	Common Stock	NGM	12(g)	0000949297	000-26786
APAGF	APCO Argentina Inc. Ordinary Shares	Ordinary Shares	NCM	12(g)	0000311471	000-08933
APOG	Apogee Enterprises, Inc. Common Stock	Common Stock	NGS	12(g)	0000006845	000-06365
APOL	Apollo Group, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000929887	000-25232
AINV	Apollo Investment Corporation Common Stock	Common Stock	NGS	12(g)	0001278752	000-50578
APAB	Appalachian Bancshares, Inc. (GA) Common Stock	Common Stock	NGM	12(g)	0001019883	000-21383
AAPL	Apple Computer, Inc. Common Stock	Common Stock	NGS	12(g)	0000320193	000-10030
APPB	Applebee's International, Inc. Common Stock	Common Stock	NGS	12(g)	0000853665	000-17962
ARCI	Appliance Recycling Centers of America, Inc. Common Stock	Common Stock	NCM	12(g)	0000862861	000-19621
ADSX	Applied Digital Solutions, Inc. Common Stock	Common Stock	NCM	12(g)	0000924642	000-26020
AINN	Applied Innovation Inc. Common Stock	Common Stock	NGM	12(g)	0000798399	000-21352
AMAT	Applied Materials, Inc. Common Stock	Common Stock	NGS	12(g)	0000006951	000-06920
AMCC	Applied Micro Circuits Corporation Common Stock	Common Stock	NGS	12(g)	0000711065	000-23193
APSG	Applied Signal Technology, Inc. Common Stock	Common Stock	NGS	12(g)	0000741696	000-21236
APLX	Applix, Inc. Common Stock	Common Stock	NCM	12(g)	0000932112	000-25040

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
APTM	Aptimus Inc Common Stock	Common Stock	NGM	12(g)	0001087277	000-28968
AQNT	aQuantive Inc. Common Stock	Common Stock	NGS	12(g)	0001071806	000-29361
ARDM	Aradigm Corporation Common Stock	Common Stock	NCM	12(g)	0001013238	000-28402
ARBX	Arbinet-thexchange, Inc. Common Stock	Common Stock	NGM	12(g)	0001136655	000-51063
ARCAF	Arcadis NV New York Registry Shares	American Depositary Shares	NGS	12(g)	0000913596	000-22628
ACGL	Arch Capital Group Ltd. Common Stock	Common Stock	NGS	12(g)	0000947484	000-26456
ACAT	Arctic Cat Inc. Common Stock	Common Stock	NGS	12(g)	0000719866	000-18607
ARDNA	Arden Group, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0000225051	000-09904
ARNA	Arena Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001080709	000-31161
ARCC	Ares Capital Corporation Common Stock	Common Stock	NGS	12(g)	0001287750	000-50697
STST	ARGON ST, Inc. Common Stock	Common Stock	NGS	12(g)	0000026537	000-08193
AGII	Argonaut Group, Inc. Common Stock	Common Stock	NGS	12(g)	0000800082	000-14950
ARIA	ARIAD Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0000884731	000-21696
ARBA	Ariba, Inc. Common Stock	Common Stock	NGM	12(g)	0001084755	000-26299
RAMS	Aries Maritime Transport Limited Common Shares	Common Stock	NGM	12(g)	0001322587	000-51339
ARKR	Ark Restaurants Corp. Common Stock	Common Stock	NGM	12(g)	0000779544	000-14030
ABFS	Arkansas Best Corporation Common Stock	Common Stock	NGS	12(g)	0000894405	000-19969
ARMHY	ARM Holdings, plc American Depositary Shares	American Depositary Shares	NGS	12(g)	0001057997	000-29644
ARTX	Arotech Corporation Common Stock	Common Stock	NGM	12(g)	0000916529	000-23336
ARQL	ArQule, Inc. Common Stock	Common Stock	NGM	12(g)	0001019695	000-21429
ARRY	Array BioPharma Inc. Common Stock	Common Stock	NGM	12(g)	0001100412	000-31979
ARRS	Arris Group Inc Common Stock	Common Stock	NGS	12(g)	0001141107	000-31254
AROW	Arrow Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000717538	000-12507
ARRO	Arrow International, Inc. Common Stock	Common Stock	NGS	12(g)	0000886046	000-20212
ARWR	Arrowhead Research Corporation Common Stock	Common Stock	NCM	12(g)	0000879407	000-21898
ARTG	Art Technology Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001086195	000-26679
ARTNA	Artesian Resources Corporation Class A Common Stock	Common Stock	NGM	12(g)	0000863110	000-18516
ARTC	ArthroCare Corporation Common Stock	Common Stock	NGS	12(g)	0001005010	000-27422
ARTW	Art's-Way Manufacturing Co., Inc. Common Stock	Common Stock	NCM	12(g)	0000007623	000-05131
ASTT	ASAT Holdings Limited American Depositary Shares	American Depositary Shares	NCM	12(g)	0001102384	000-30842
ASTIU	Ascent Solar Technologies, Inc. Unit	Unit	NCM	12(g)	0001350102	001-32919
ASTSF	ASE Test, Limited Ordinary Shares	Ordinary Shares	NGS	12(g)	0001014838	000-28522

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
ASHW	Ashworth, Inc. Common Stock	Common Stock	NGM	12(g)	0000820774	000-18553
ASIA	AsiaInfo Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001100969	001-15713
ASMI	ASM International N.V. Common Stock	American Depositary Shares	NGS	12(g)	0000351483	000-13355
ASML	ASML Holding N.V. New York Registry Shares	American Depositary Shares	NGS	12(g)	0000937966	000-25566
ASPM	Aspect Medical Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0000886235	000-24663
AZPN	Aspen Technology, Inc. Common Stock	Common Stock	NGM	12(g)	0000929940	000-24786
ASPV	Aspreva Pharmaceuticals Corporation Common Stock	Common Stock	NGS	12(g)	0001314026	000-51169
AACC	Asset Acceptance Capital Corp. COMMON STOCK	Common Stock	NGS	12(g)	0001264707	000-50552
ASBC	Associated Banc-Corp Common Stock	Common Stock	NGS	12(g)	0000007789	000-05519
ASFI	Asta Funding, Inc. Common Stock	Common Stock	NGS	12(g)	0001001258	000-26906
ATEA	Astea International, Inc. Common Stock	Common Stock	NCM	12(g)	0000945989	000-26330
ASTE	Astec Industries, Inc. Common Stock	Common Stock	NGS	12(g)	0000792987	000-14714
ALOT	Astro-Med, Inc. Common Stock	Common Stock	NGM	12(g)	0000008146	000-13200
ATRO	Astronics Corporation Common Stock	Common Stock	NGM	12(g)	0000008063	000-07087
ASYT	Asyst Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0000909326	000-22430
ATAR	Atari, Inc. Common Stock	Common Stock	NGM	12(g)	0001002607	000-27338
AGIX	AtheroGenics, Inc. Common Stock	Common Stock	NGM	12(g)	0001107601	000-31261
ATHR	Atheros Communications, Inc. Common Stock	Common Stock	NGS	12(g)	0001140486	000-50534
ATYT	ATI Technologies Inc. Common Stock	Common Stock	NGS	12(g)	0001065331	000-29872
AAME	Atlantic American Corporation Common Stock	Common Stock	NGM	12(g)	0000008177	000-03722
ATBC	Atlantic BancGroup, Inc. Common Stock	Common Stock	NCM	12(g)	0001087790	001-15061
ACFC	Atlantic Coast Federal Corporation Common Stock	Common Stock	NGM	12(g)	0001284077	000-50962
ATNI	Atlantic Tele-Network, Inc. Common Stock	Common Stock	NGM	12(g)	0000879585	000-19551
ATPL	Atlantis Plastics, Inc. Common Stock	Common Stock	NGM	12(g)	0000811828	000-51182
AAWW	Atlas Air Worldwide Holdings NEW Common Stock	Common Stock	NGS	12(g)	0001135185	000-25732
ATLS	Atlas America, Inc. Common Stock	Common Stock	NGS	12(g)	0001279228	001-32169
APCFY	Atlas South Sea Pearl Limited American Depositary Shares	American Depositary Shares	NCM	12(g)	0001011974	000-28186
ATML	Atmel Corporation Common Stock	Common Stock	NGS	12(g)	0000872448	000-19032
ATMI	ATMI Inc. Common Stock	Common Stock	NGS	12(g)	0001041577	000-30130
ATPG	ATP Oil & Gas Corporation Common Stock	Common Stock	NGS	12(g)	0001123647	000-32261
ATRC	AtriCure, Inc. Common Stock	Common Stock	NGM	12(g)	0001323885	000-51470
ATRI	ATRION Corporation Common Stock	Common Stock	NGM	12(g)	0000701288	000-10763

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
ATSI	ATS Medical, Inc. Common Stock	Common Stock	NGM	12(g)	0000824068	000-18602
ATTU	Attunity Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0000893821	000-20892
AUBN	Auburn National Bancorporation, Inc. Common Stock	Common Stock	NCM	12(g)	0000750574	000-26486
ADBL	Audible, Inc. New Common Stock	Common Stock	NGM	12(g)	0001077926	000-26529
AUDC	AudioCodes Ltd. Common Stock	Ordinary Shares	NGM	12(g)	0001086434	000-30070
VOXX	Audiovox Corporation Class A Common Stock	Common Stock	NGM	12(g)	0000807707	000-28839
ADAT	Authentidate Holding Corp. Common Stock	Common Stock	NGM	12(g)	0000885074	000-20190
ABTL	Autobytel Inc. Common Stock	Common Stock	NGM	12(g)	0001023364	000-22239
ADSK	Autodesk, Inc. Common Stock	Common Stock	NGS	12(g)	0000769397	000-14338
AUXL	Auxilium Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001182129	000-50855
AVRX	Avalon Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001162192	001-32629
AVNX	Avanex Corporation Common Stock	Common Stock	NGM	12(g)	0001056794	000-29175
AVNR	AVANIR Pharmaceuticals Class A Common Stock	Common Stock	NGM	12(g)	0000858803	001-15803
AVAN	Avant Immunotherapeutics, Inc. Common Stock	Common Stock	NGM	12(g)	0000744218	000-15006
AVTR	Avatar Holdings Inc. Common Stock	Common Stock	NGS	12(g)	0000039677	000-7616
AVII	AVI BioPharma, Inc. Common Stock	Common Stock	NGM	12(g)	0000873303	000-22613
AVCI	Avici Systems Inc. Common Stock	Common Stock	NGM	12(g)	0001094895	000-30865
AVID	Avid Technology, Inc. Common Stock	Common Stock	NGS	12(g)	0000896841	000-21174
AVGN	Avigen, Inc. Common Stock	Common Stock	NGM	12(g)	0000932903	000-28272
AVSR	Avistar Communications Corporation Common Stock	Common Stock	NCM	12(g)	0001111632	000-31121
AVZA	Aviza Technology, Inc. Ccommon Stock	Common Stock	NGM	12(g)	0001311396	000-51642
AVCT	Avocent Corporation Common Stock	Common Stock	NGS	12(g)	0001109808	000-30575
AWRE	Aware, Inc. Common Stock	Common Stock	NGM	12(g)	0001015739	000-21129
AXCA	Axcan Pharma Inc. Common Shares	Common Stock	NGS	12(g)	0001116094	000-30860
ACLS	Axcelis Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0001113232	000-30941
AXYX	Axonyx, Inc. Common Stock	Common Stock	NCM	12(g)	0001070698	000-25571
AXYS	Axsys Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0000206030	000-16182
AXTI	AXT Inc Common Stock	Common Stock	NGM	12(g)	0001051627	000-24085
POSH	BabyUniverse, Inc. Common Stock	Common Stock	NCM	12(g)	0001325118	000-51850
BYBI	Back Yard Burgers, Inc. Common Stock	Common Stock	NCM	12(g)	0000901495	001-12104
BWEB	BackWeb Technologies Ltd. Ordinary Shares	Ordinary Shares	NCM	12(g)	0001082064	000-26241
BIDU	Baidu.com, Inc. ADS	American Depositary Shares	NGM	12(g)	0001329099	000-51469
BKRS	Bakers Footwear Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001171032	000-50563

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
BWINA	Baldwin & Lyons, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0000009346	000-05534
BWINB	Baldwin & Lyons, Inc. Class B Common Stock	Common Stock	NGM	12(g)	0000009346	000-05534
BLDP	Ballard Power Systems, Inc. Common Shares	Common Stock	NGM	12(g)	0000933777	000-25270
BANFP	BancFirst Corporation - BFC Capital Trust II Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0000760498	000-14384
BANF	BancFirst Corporation Common Stock	Common Stock	NGS	12(g)	0000760498	000-14384
BOFL	Bancshares of Florida, Inc. Common Stock	Common Stock	NGM	12(g)	0001082368	000-50091
BTFG	BancTrust Financial Group, Inc. Common Stock	Common Stock	NGS	12(g)	0000783739	000-15423
BKMU	Bank Mutual Corporation Common Stock	Common Stock	NGS	12(g)	0001123270	000-31207
BOCH	Bank of Commerce Holdings (CA) Common Stock	Common Stock	NGM	12(g)	0000702513	000-25135
GRAN	Bank of Granite Corporation Common Stock	Common Stock	NGS	12(g)	0000810689	000-15956
BKSC	Bank of South Carolina Corp. Common Stock	Common Stock	NCM	12(g)	0001007273	000-27702
OZRK	Bank of the Ozarks Common Stock	Common Stock	NGS	12(g)	0001038205	000-22759
BKWW	Bank of Wilmington Corporation (NC) Common Stock	Common Stock	NCM	12(g)	0001334872	000-51513
BBXT	BankAtlantic Bancorp, Inc. BankAtlantic Bancorp, Inc - BBC Capital Trust II 8.50% Trust Preferred Securities	Other Securities	NGM	12(g)	0000921768	001-13133
BFIN	BankFinancial Corporation Common Stock	Common Stock	NGM	12(g)	0001303942	000-51331
RATE	Bankrate Inc Common Stock	Common Stock	NGS	12(g)	0001080866	000-25681
BKUNA	BankUnited Financial Corporation Class A Common Stock	Common Stock	NGS	12(g)	0000894490	000-21850
BANR	Banner Corporation Common Stock	Common Stock	NGS	12(g)	0000946673	000-26584
BBSI	Barrett Business Services, Inc. Common Stock	Common Stock	NGS	12(g)	0000902791	000-21886
BTRX	Barrier Therapeutics, Inc. Common Stock	Common Stock	NGM	12(g)	0001173657	000-50680
BWTR	Basin Water, Inc. Common Stock	Common Stock	NGM	12(g)	0001352045	000-51991
BSET	Bassett Furniture Industries, Incorporated Common Stock	Common Stock	NGS	12(g)	0000010329	000-00209
BAYN	Bay National Corporation Common Stock	Common Stock	NCM	12(g)	0001089787	000-51765
BCBP	BCB Bancorp, Inc. (NJ) Common Stock	Common Stock	NGM	12(g)	0001228454	000-50275
BCSB	BCSB Bankcorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001052101	000-24589
BEAV	BE Aerospace, Inc. Common Stock	Common Stock	NGS	12(g)	0000861361	000-18348
BESI	BE Semiconductor Industries NV New York Registry Shares	American Depositary Shares	NGM	12(g)	0001003196	000-27298
BEAS	BEA Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0001031798	000-22369
BFNB	Beach First National Bancshares Inc Common Stock	Common Stock	NGM	12(g)	0000949228	000-22503
BCON	Beacon Power Corporation Common Stock	Common Stock	NCM	12(g)	0001103345	000-31973
BECN	Beacon Roofing Supply, Inc. Common Stock	Common Stock	NGS	12(g)	0001124941	000-50924

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
BBGI	Beasley Broadcast Group, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0001099160	000-29253
BEBE	bebe stores, inc. Common Stock	Common Stock	NGS	12(g)	0001059272	000-24395
BBBY	Bed Bath & Beyond Inc. Common Stock	Common Stock	NGS	12(g)	0000886158	000-20214
BELFA	Bel Fuse Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000729580	000-11676
BELFB	Bel Fuse Inc. Class B Common Stock	Common Stock	NGS	12(g)	0000729580	000-11676
BELM	Bell Microproducts Inc. Common Stock	Common Stock	NGM	12(g)	0000900708	000-21528
BNHNA	Benihana Inc. Class A Common Stock	Common Stock	NGM	12(g)	0000935226	000-26396
BNHN	Benihana Inc. Common Stock	Common Stock	NGM	12(g)	0000935226	000-26396
BFBC	Benjamin Franklin Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001302176	000-51194
BERK	Berkshire Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000759718	000-13649
BHLB	Berkshire Hills Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0001108134	000-51584
BHBC	Beverly Hills Bancorp Inc. Common Stock	Common Stock	NGS	12(g)	0001024321	000-21845
BGFV	Big 5 Sporting Goods Corporation Common Stock	Common Stock	NGS	12(g)	0001156388	000-49850
BDOG	Big Dog Holding, Inc. Common Stock	Common Stock	NGM	12(g)	0001019439	000-22963
BASI	Bioanalytical Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0000720154	000-23357
BCRX	BioCryst Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0000882796	000-23186
BDSIW	BioDelivery Sciences International, Inc. Class A Warrant	Warrant	NCM	12(g)	0001103021	001-31361
BDSI	BioDelivery Sciences International, Inc. Common Stock	Common Stock	NCM	12(g)	0001103021	001-31361
BIVN	Bioenvision, Inc. Common Stock	Common Stock	NGM	12(g)	0001028205	000-24875
BIIB	Biogen Idec Inc Common Stock	Common Stock	NGS	12(g)	0000875045	000-19311
BITI	Bio-Imaging Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0000822418	000-50512
BJCT	Bioject Medical Technologies Inc. Common Stock	Common Stock	NCM	12(g)	0000810084	000-15360
BLTI	BioLase Technology, Inc. Common Stock	Common Stock	NGM	12(g)	0000811240	000-19627
BMRN	BioMarin Pharmaceutical Inc. Common Stock	Common Stock	NGM	12(g)	0001048477	000-26727
BMET	Biomet, Inc. Common Stock	Common Stock	NGS	12(g)	0000351346	000-12515
BMTI	BioMimetic Therapeutics, Inc. Common Stock	Common Stock	NGM	12(g)	0001138400	000-51934
BIOM	Biomira Inc. Common Shares	Common Stock	NGM	12(g)	0000877984	000-19451
BPRG	BioProgress plc American Depositary Shares representing 10 Ordinary Shares	American Depositary Shares	NGM	12(g)	0001210959	000-50994
BPUR	Biopure Corporation Class A Common Stock	Common Stock	NGM	12(g)	0000815508	001-15167
BRLI	Bio-Reference Laboratories, Inc. Common Stock	Common Stock	NGS	12(g)	0000792641	000-15266
BIOS	BioScrip, Inc. Common Stock	Common Stock	NGM	12(g)	0001014739	000-28740
BSTE	Biosite, Inc. Common Stock	Common Stock	NGS	12(g)	0000834306	000-21873
BSMD	BioSphere Medical, Inc. Common Stock	Common Stock	NGM	12(g)	0000919015	000-23678

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
BIOV	BioVeris Corporation Common Stock	Common Stock	NGM	12(g)	0001264899	000-50583
BDMS	Birner Dental Management Services, Inc. Common Stock	Common Stock	NCM	12(g)	0000948072	000-23367
BITS	Bitstream Inc. Common Stock	Common Stock	NCM	12(g)	0000818813	000-21541
BJRI	BJ's Restaurants, Inc. Common Stock	Common Stock	NGS	12(g)	0001013488	000-21423
BBOX	Black Box Corporation Common Stock	Common Stock	NGS	12(g)	0000849547	000-18706
BLKB	Blackbaud, Inc. Common Stock	Common Stock	NGS	12(g)	0001280058	000-50600
BBBB	Blackboard Inc. Common Stock	Common Stock	NGS	12(g)	0001106942	000-50784
BCSI	Blue Coat Systems Inc Common Stock	Common Stock	NGM	12(g)	0001095600	000-28139
BDCO	Blue Dolphin Energy Company Common Stock	Common Stock	NCM	12(g)	0000793306	000-15905
BLUE	Blue Holdings, Inc. Common Stock	Common Stock	NCM	12(g)	0001139683	000-33297
NILE	Blue Nile, Inc. Common Stock	Common Stock	NGS	12(g)	0001091171	000-50763
BRBI	Blue River Bancshares, Inc. Common Stock	Common Stock	NCM	12(g)	0001055870	000-24501
BPHX	BluePhoenix Solutions, Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001029581	000-29082
BNCN	BNC Bancorp (NC) Common Stock	Common Stock	NCM	12(g)	0001210227	000-50128
BNCC	Bnccorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000945434	000-26290
BOBE	Bob Evans Farms, Inc. Common Stock	Common Stock	NGS	12(g)	0000033769	000-01667
BSXT	BOE Financial Services of Virginia Inc. Common Stock	Common Stock	NCM	12(g)	0001109848	000-31711
BOFI	Bofl Holding, Inc. Common Stock	Common Stock	NGM	12(g)	0001299709	000-51201
BOKF	BOK Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000875357	000-19341
BNSO	Bonso Electronics International, Inc. Common Stock	Common Stock	NGM	12(g)	0000846546	000-17601
BONT	Bon-Ton Stores, Inc. (The) Common Stock	Common Stock	NGS	12(g)	0000878079	000-19517
BKHM	Bookham Inc Common Stock	Common Stock	NGM	12(g)	0001110647	000-30684
BAMM	Books-A-Million, Inc. Common Stock	Common Stock	NGS	12(g)	0000891919	000-20664
BORL	Borland Software Corporation Common Stock	Common Stock	NGM	12(g)	0000853273	000-16096
BCGI	Boston Communications Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001012887	000-28432
BLSI	Boston Life Sciences, Inc. Common Stock	Common Stock	NCM	12(g)	0000094784	000-06533
BPFH	Boston Private Financial Holdings, Inc. Common Stock	Common Stock	NGS	12(g)	0000821127	000-17089
EPAY	Bottomline Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0001073349	000-25259
BBNK	Bridge Capital Holdings Common Stock	Common Stock	NCM	12(g)	0001304740	000-50974
OCNB	Bridge Street Financial, Inc. Common Stock (par $.01)	Common Stock	NCM	12(g)	0001182555	000-50105
BRID	Bridgford Foods Corporation Common Stock	Common Stock	NGM	12(g)	0000014177	000-02396
BEXP	Brigham Exploration Company Common Stock	Common Stock	NGS	12(g)	0001034755	000-22433
BFAM	Bright Horizons Family Solutions Inc. Common Stock	Common Stock	NGS	12(g)	0001060559	000-24699
CELL	Brightpoint, Inc. Common Stock	Common Stock	NGS	12(g)	0000918946	000-23494

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
BSML	Britesmile, Inc. Common Stock	Common Stock	NCM	12(g)	0000866734	001-11064
BKBK	Britton & Koontz Capital Corporation Common Stock	Common Stock	NCM	12(g)	0000707604	000-22606
BRCM	Broadcom Corporation Class A Common Stock	Common Stock	NGS	12(g)	0001054374	000-23993
BYFC	Broadway Financial Corporation Common Stock	Common Stock	NCM	12(g)	0001001171	000-27464
BWNG	Broadwing Corporation Common Stock	Common Stock	NGS	12(g)	0001060490	000-30989
BRCD	Brocade Communications Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0001009626	000-25601
BRNC	Bronco Drilling Company, Inc. Common Stock	Common Stock	NGM	12(g)	0001328650	000-51471
BXXX	Brooke Corporation Common Stock	Common Stock	NGM	12(g)	0000834408	000-31789
BRKL	Brookline Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0001049782	000-23695
BFSB	Brooklyn Federal Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001310313	000-51208
BRKS	Brooks Automation, Inc.	Common Stock	NGM	12(g)	0000933974	000-25434
BRKR	Bruker BioSciences Corporation Common Stock	Common Stock	NGM	12(g)	0001109354	000-30833
BMTC	Bryn Mawr Bank Corporation Common Stock	Common Stock	NGM	12(g)	0000802681	000-15261
BSQR	BSQUARE Corporation Common Stock	Common Stock	NGM	12(g)	0001054721	000-27687
BTUI	BTU International, Inc. Common Stock	Common Stock	NGM	12(g)	0000840883	000-17297
BUCA	BUCA, Inc. Common Stock	Common Stock	NGM	12(g)	0001046501	000-25721
BUCY	Bucyrus International, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000740761	000-50858
BWLD	Buffalo Wild Wings, Inc. Common Stock	Common Stock	NGS	12(g)	0001062449	000-24743
BLDR	Builders FirstSource, Inc. Common Stock	Common Stock	NGS	12(g)	0001316835	000-51357
BMHC	Building Materials Holding Corporation Common Stock	Common Stock	NGS	12(g)	0001046356	000-19335
BOBJ	Business Objects S.A. American Depositary Shares	American Depositary Shares	NGS	12(g)	0000928753	000-24720
BWCF	BWC Financial Corporation Common Stock	Common Stock	NGM	12(g)	0000353650	000-10658
CFFI	C&F Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000913341	000-23423
CHRW	C.H. Robinson Worldwide, Inc. Common Stock	Common Stock	NGS	12(g)	0001043277	000-23189
CCMP	Cabot Microelectronics Corporation Common Stock	Common Stock	NGS	12(g)	0001102934	000-30205
CACH	Cache, Inc. Common Stock	Common Stock	NGS	12(g)	0000350199	000-10345
CDNS	Cadence Design Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0000813672	001-10606
CDZI	CADIZ, Inc. Common Stock	Common Stock	NGM	12(g)	0000727273	000-12114
CDMS	Cadmus Communications Corporation Common Stock	Common Stock	NGM	12(g)	0000745274	000-12954
CLMS	Calamos Asset Management, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0001299033	000-51003
CAMP	CalAmp Corp. Common Stock	Common Stock	NGS	12(g)	0000730255	000-12182
CVGW	Calavo Growers, Inc. Common Stock	Common Stock	NGM	12(g)	0001133470	000-33385
CALC	California Coastal Communities Inc Common Stock	Common Stock	NGM	12(g)	0000840216	000-17189

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
CFNB	California First National Bancorp Common Stock	Common Stock	NGM	12(g)	0000803016	000-15641
CAMD	California Micro Devices Corporation Common Stock	Common Stock	NGM	12(g)	0000800460	000-15449
CPKI	California Pizza Kitchen, Inc. Common Stock	Common Stock	NGS	12(g)	0000789356	000-31149
CALP	Caliper Life Sciences Inc Common Stock	Common Stock	NGM	12(g)	0001014672	000-28229
CALD	Callidus Software, Inc. Common Stock	Common Stock	NGM	12(g)	0001035748	000-50463
CALL	CallWave, Inc. Common Stock	Common Stock	NGM	12(g)	0001115091	000-50958
CALM	Cal-Maine Foods, Inc. Common Stock	Common Stock	NGM	12(g)	0000016160	000-04892
CLMT	Calumet Specialty Products Partners, L.P. Common Units	Limited Partnership	NGM	12(g)	0001340122	000-51734
CADA	CAM Commerce Solutions, Inc. Common Stock	Common Stock	NGM	12(g)	0000819334	000-16569
OLED	Cambridge Display Technology, Inc. Common Stock	Common Stock	NGM	12(g)	0001297968	000-51079
CAFI	Camco Financial Corporation Common Stock	Common Stock	NGM	12(g)	0000016614	000-25196
CAMT	Camtek Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001109138	000-30664
CLZR	Candela Corporation Common Stock	Common Stock	NGS	12(g)	0000793279	000-14742
CBKN	Capital Bank Corporation Common Stock	Common Stock	NGS	12(g)	0001071992	000-30062
CCBG	Capital City Bank Group Common Stock	Common Stock	NGS	12(g)	0000726601	000-13358
CCOW	Capital Corp of the West Common Stock	Common Stock	NGS	12(g)	0001004740	000-27384
CCPCP	Capital Crossing Preferred Corporation Series A Non-Cumulative Exchangeable Preferred Stock	Preferred Stock	NGM	12(g)	0001072806	000-25193
CCPCO	Capital Crossing Preferred Corporation Series C Non-Cumulative Exchangeable Preferred Stock	Preferred Stock	NGM	12(g)	0001072806	000-25193
CCPCN	Capital Crossing Preferred Corporation Series D Non-Cumulative Exchangeable Preferred Stock	Preferred Stock	NGM	12(g)	0001072806	000-25193
CSWC	Capital Southwest Corporation Common Stock	Common Stock	NGM	12(g)	0000017313	811-01056
CTGI	Capital Title Group, Inc. Common Stock	Common Stock	NGS	12(g)	0001017158	000-21417
CAPB	CapitalSouth Bancorp Common Stock	Common Stock	NGM	12(g)	0001338977	000-51660
CFFN	Capitol Federal Financial Common Stock	Common Stock	NGS	12(g)	0001074433	000-25391
CPST	Capstone Turbine Corporation Common Stock	Common Stock	NGM	12(g)	0001009759	000-30867
CAPA	Captaris Inc. Common Stock	Common Stock	NGM	12(g)	0000931784	000-25186
CSAR	Caraustar Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0000825692	000-20646
CSCX	Cardiac Science Corporation Common Stock	Common Stock	NGM	12(g)	0001323115	000-51512
CRDC	Cardica, Inc. Common Stock	Common Stock	NGM	12(g)	0001178104	000-51772
CFNL	Cardinal Financial Corporation Common Stock	Common Stock	NGS	12(g)	0001060523	000-24557
CDIC	CardioDynamics International Corporation Common Stock	Common Stock	NGM	12(g)	0000719722	000-11868
CRME	Cardiome Pharma Corporation Ordinary Shares (Canada)	Common Stock	NGM	12(g)	0001036141	000-29338

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
CECO	Career Education Corporation Common Stock	Common Stock	NGS	12(g)	0001046568	000-23245
CBOU	Caribou Coffee Company, Inc. Common Stock	Common Stock	NGM	12(g)	0001332602	000-51535
CKEC	Carmike Cinemas, Inc. Common Stock	Common Stock	NGM	12(g)	0000799088	000-14993
CLBH	Carolina Bank Holdings Inc. Common Stock	Common Stock	NCM	12(g)	0001127160	000-31877
CNCP	Carolina National Corporation Common Stock	Common Stock	NCM	12(g)	0001157648	000-50257
CANI	Carreker Corporation Common Stock	Common Stock	NGM	12(g)	0001057709	000-24201
CACS	Carrier Access Corporation Common Stock	Common Stock	NGM	12(g)	0001018074	000-24597
CARN	Carrington Laboratories, Inc. Common Stock	Common Stock	NGM	12(g)	0000718007	000-11997
CRZO	Carrizo Oil & Gas, Inc. Common Stock	Common Stock	NGS	12(g)	0001040593	000-22915
CRRB	Carrollton Bancorp Common Stock	Common Stock	NGM	12(g)	0000859222	000-23090
CASM	CAS Medical Systems, Inc. Common Stock	Common Stock	NCM	12(g)	0000764579	000-13839
CACB	Cascade Bancorp Common Stock	Common Stock	NCM	12(g)	0000865911	000-23322
CASB	Cascade Financial Corp. Common Stock	Common Stock	NCM	12(g)	0000928911	000-25286
CSCD	Cascade Microtech, Inc. Common Stock	Common Stock	NGM	12(g)	0000864559	000-51072
CWST	Casella Waste Systems, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000911177	000-23211
CASY	Casey's General Stores, Inc. Common Stock	Common Stock	NGS	12(g)	0000726958	000-12788
CKNN	Cash Systems Inc Common Stock	Common Stock	NGM	12(g)	0000861050	001-31955
CASS	Cass Information Systems, Inc Common Stock	Common Stock	NGM	12(g)	0000708781	000-20827
CSTL	Castelle Common Stock	Common Stock	NCM	12(g)	0000908605	000-22020
CMRG	Casual Male Retail Group, Inc. Common Stock	Common Stock	NGM	12(g)	0000813298	000-15898
CATS	Catalyst Semiconductor, Inc. Common Stock	Common Stock	NGM	12(g)	0000899636	000-21488
CESI	Catalytica Energy Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0001053361	000-31953
CATT	Catapult Communicatons Corporation Common Stock	Common Stock	NGS	12(g)	0001063085	000-24701
CATY	Cathay General Bancorp Common Stock	Common Stock	NGS	12(g)	0000861842	000-18630
CTTY	Catuity Inc. Common Stock	Common Stock	NCM	12(g)	0001109740	000-30045
CVCO	Cavco Industries, Inc. Common Stock When Issued	Common Stock	NGS	12(g)	0000278166	000-08822
CBEY	Cbeyond, Inc. Common Stock	Common Stock	NGM	12(g)	0001205727	000-51588
CBIZ	CBIZ, Inc. Common Stock	Common Stock	NGS	12(g)	0000944148	000-25890
CBRL	CBRL Group Inc. Common Stock	Common Stock	NGS	12(g)	0001067294	000-25225
CCFH	CCF Holding Company Common Stock	Common Stock	NCM	12(g)	0000943033	000-25846
CCBL	C-COR Incorporated Common Stock	Common Stock	NGM	12(g)	0000350621	000-10726
CHINA	CDC Corporation Class A Common Shares	Ordinary Shares	NGM	12(g)	0001076770	000-30134
CDWC	CDW Corporation Common Stock	Common Stock	NGS	12(g)	0000899171	000-21796
CECE	CECO Environmental Corp. Common Stock	Common Stock	NCM	12(g)	0000003197	000-07099

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
CLDN	Celadon Group, Inc. Common Stock	Common Stock	NGS	12(g)	0000865941	000-23192
BDAY	Celebrate Express, Inc. Common Stock	Common Stock	NGM	12(g)	0001100124	000-50973
CELG	Celgene Corporation Common Stock	Common Stock	NGS	12(g)	0000816284	000-16132
CEGE	Cell Genesys, Inc. Common Stock	Common Stock	NGM	12(g)	0000865231	000-19986
CTIC	Cell Therapeutics, Inc. Common Stock	Common Stock	NGM	12(g)	0000891293	000-28386
CBHI	Centennial Bank Holdings, Inc. Common Stock	Common Stock	NGS	12(g)	0001324410	000-51556
CYCL	Centennial Communications Corporation Class A Common Stock	Common Stock	NGS	12(g)	0000879573	000-19603
CNBC	Center Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000712771	000-11486
CLFC	Center Financial Corporation Common Stock	Common Stock	NGS	12(g)	0001174820	000-32589
CSFL	Centerstate Banks of Florida, Inc. Common Stock	Common Stock	NGS	12(g)	0001102266	000-32017
CTLM	Centillium Communications, Inc. Common Stock	Common Stock	NGM	12(g)	0001107194	000-30649
CEBK	Central Bancorp, Inc Common Stock	Common Stock	NGM	12(g)	0001076394	000-25251
CEDC	Central European Distribution Corporation Common Stock	Common Stock	NGS	12(g)	0001046880	000-24341
CETV	Central European Media Enterprises Ltd. Class A Common Stock	Ordinary Shares	NGS	12(g)	0000925645	000-24796
CFBK	Central Federal Corporation Common Stock	Common Stock	NCM	12(g)	0001070680	000-25045
CENF	Central Freight Lines, Inc. Common Stock	Common Stock	NGM	12(g)	0001085636	000-50485
CENT	Central Garden & Pet Company Common Stock	Common Stock	NGS	12(g)	0000887733	000-20242
CJBK	Central Jersey Bancorp Common Stock	Common Stock	NCM	12(g)	0001172353	000-27428
CVCY	Central Valley Community Bancorp Common Stock	Common Stock	NCM	12(g)	0001127371	000-31977
CVBK	Central Virginia Bankshares, Inc. Common Stock	Common Stock	NGM	12(g)	0000804561	000-24002
TRUE	Centrue Financial Corporation Common Stock	Common Stock	NGM	12(g)	0000891523	000-20804
CENX	Century Aluminum Company Common Stock	Common Stock	NGS	12(g)	0000949157	000-27918
CNBKA	Century BanCorp, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0000812348	000-15752
CNTY	Century Casinos, Inc. Common Stock	Common Stock	NCM	12(g)	0000911147	000-22900
CRLTS	Century Realty Trust Shares of Beneficial Interest	Shares of Beneficial Interest	NCM	12(g)	0000018914	000-07716
CEPH	Cephalon, Inc. Common Stock	Common Stock	NGS	12(g)	0000873364	000-19119
CPHD	CEPHEID Common Stock	Common Stock	NGM	12(g)	0001037760	000-30755
CRDN	Ceradyne, Inc. Common Stock	Common Stock	NGS	12(g)	0000018937	000-13059
CRNT	Ceragon Networks Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001119769	000-30862
CERG	Ceres Group Inc. Common Stock	Common Stock	NGS	12(g)	0000215403	000-08483
CERN	Cerner Corporation Common Stock	Common Stock	NGS	12(g)	0000804753	000-15386

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
CERS	Cerus Corporation Common Stock	Common Stock	NGM	12(g)	0001020214	000-21937
CEVA	CEVA, Inc. Common Stock	Common Stock	NGM	12(g)	0001173489	000-49842
CFCI	CFC International, Inc. Common Stock	Common Stock	NGM	12(g)	0000949859	000-27222
CITZ	CFS Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001058438	000-24611
CHMP	Champion Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0000019149	000-21084
CMPP	Champps Entertainment, Inc Common Stock	Common Stock	NGM	12(g)	0001040328	000-22639
CHNL	Channell Commercial Corporation Common Stock	Common Stock	NGM	12(g)	0001013696	000-28582
CHAP	Chaparral Steel Company Common Stock	Common Stock	NGS	12(g)	0001319048	000-51307
CTHR	Charles & Colvard Ltd Common Stock	Common Stock	NGS	12(g)	0001015155	000-23329
SCHW	Charles Schwab Corporation (The) Common Stock	Common Stock	NGS	12(g)	0000316709	001-9700
CHIC	Charlotte Russe Holding, Inc. Common Stock	Common Stock	NGS	12(g)	0001092006	000-27677
CHRS	Charming Shoppes, Inc. Common Stock	Common Stock	NGS	12(g)	0000019353	000-07258
GTLS	Chart Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0000892553	000-50412
CHTR	Charter Communications, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0001091667	000-27927
CHFN	Charter Financial Corp. Common Stock	Common Stock	NGM	12(g)	0001136796	000-33071
CHRT	Chartered Semiconductor Manufacturing Ltd. American Depositary Shares	American Depositary Shares	NGS	12(g)	0001095270	000-27811
CHTT	Chattem, Inc. Common Stock	Common Stock	NGS	12(g)	0000019520	000-05905
CHKP	Check Point Software Technologies Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0001015922	000-28584
CKFR	CheckFree Corporation Common Stock	Common Stock	NGS	12(g)	0000949341	000-26802
CAKE	Cheesecake Factory Incorporated (The) Common Stock	Common Stock	NGS	12(g)	0000887596	000-20574
CHTP	Chelsea Therapeutics International, Ltd. Common Stock	Common Stock	NCM	12(g)	0001333763	000-51462
CXSP	ChemGenex Pharmaceuticals Ltd Sponsored ADR (Australia)	American Depositary Shares	NCM	12(g)	0001175965	000-51373
CHFC	Chemical Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000019612	000-08185
CHKE	Cherokee Inc. Common Stock	Common Stock	NGS	12(g)	0000844161	000-18640
CHRK	Cherokee International Corporation Common Stock	Common Stock	NGM	12(g)	0001090069	000-50593
CHEV	Cheviot Financial Corp Common Stock	Common Stock	NCM	12(g)	0001248124	000-50529
CBNK	Chicopee Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001355786	000-51996
PLCE	Children's Place Retail Stores, Inc. (The) Common Stock	Common Stock	NGS	12(g)	0001041859	000-23071
CAAS	China Automotive Systems, Inc. Common Stock	Common Stock	NCM	12(g)	0001157762	000-33123
CBAK	China BAK Battery, Inc. Common Stock	Common Stock	NGM	12(g)	0001117171	000-49712
JRJC	China Finance Online Co. Limited American Depositary Shares	American Depositary Shares	NGM	12(g)	0001297830	000-50975

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
GRRF	China Grentech Corporation Limited American Depositary Shares	American Depositary Shares	NGM	12(g)	0001347510	000-51839
CMED	China Medical Technologies, Inc. ADS	American Depositary Shares	NGS	12(g)	0001326059	000-51440
CHNR	China Natural Resources, Inc. Common Stock	Common Stock	NCM	12(g)	0000793628	000-26046
CNTF	China TechFaith Wireless Communication Technology Limited American Depository Shares	American Depositary Shares	NGM	12(g)	0001316317	000-51242
CTDC	China Technology Development Group Corporation Common Stock	Common Stock	NCM	12(g)	0001027454	000-29008
CHDX	Chindex International, Inc. Common Stock	Common Stock	NCM	12(g)	0000922717	000-24624
IMOS	ChipMOS TECHNOLOGIES (Bermuda) LTD. Common Shares	Common Stock	NGS	12(g)	0001133478	000-31106
CTEC	Cholestech Corporation Common Stock	Common Stock	NGM	12(g)	0000887227	000-20198
CHRD	Chordiant Software, Inc. Common Stock	Common Stock	NGM	12(g)	0001042134	000-29357
CHSCP	CHS Inc. 8% Cumulative Redeemable Preferred Stock	Preferred Stock	NGS	12(g)	0000823277	000-50150
CHDN	Churchill Downs, Incorporated Common Stock	Common Stock	NGS	12(g)	0000020212	000-01469
CIEN	Ciena Corporation Common Stock	Common Stock	NGS	12(g)	0000936395	000-21969
CIMT	Cimatron, Limited Ordinary Shares	Ordinary Shares	NCM	12(g)	0001008595	000-27974
CINF	Cincinnati Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000020286	000-04604
CTAS	Cintas Corporation Common Stock	Common Stock	NGS	12(g)	0000723254	000-11399
CIPH	Ciphergen Biosystems, Inc. Common Stock	Common Stock	NGM	12(g)	0000926617	000-31617
CPCI	Ciprico Inc. Common Stock	Common Stock	NGM	12(g)	0000720145	000-11336
CRUS	Cirrus Logic, Inc. Common Stock	Common Stock	NGS	12(g)	0000772406	000-17795
CSCO	Cisco Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0000858877	000-18225
CTRN	Citi Trends, Inc. Common Stock	Common Stock	NGS	12(g)	0001318484	000-51315
PLJC	Citigroup Funding Inc. Principal-Protected Equity Linked Notes Based Upon the Nasdaq-100 Index	Other Securities	NGM	12(g)	0000831001	001-09924
SFSU	Citigroup Funding Inc. Stock Market Upturn Notes Based Upon the Nasdaq-100 Index	Other Securities	NGM	12(g)	0000831001	001-09924
CZNC	Citizens & Northern Corp Common Stock	Common Stock	NCM	12(g)	0000810958	000-16084
CBCF	Citizens Banking Corporation Common Stock	Common Stock	NGS	12(g)	0000351077	000-10535
CNFL	Citizens Financial Corporation Common Stock	Common Stock	NCM	12(g)	0000887136	000-20148
CTZN	Citizens First Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0001127442	000-32041
CSBC	Citizens South Banking Corporation	Common Stock	NGM	12(g)	0001051871	000-23971
CTXS	Citrix Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0000877890	000-27084

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
CHCO	City Holding Company Common Stock	Common Stock	NGS	12(g)	0000726854	000-11733
CTEL	City Telecom (H.K.) Limited American Depositary Shares	American Depositary Shares	NGM	12(g)	0001097086	000-30354
CVBG	Civitas BankGroup, Inc. (TN) Common Stock	Common Stock	NGM	12(g)	0001092099	000-27393
CKXE	CKX, Inc. Common Stock	Common Stock	NGS	12(g)	0000793044	000-17436
CLRT	Clarient, Inc. Common Stock	Common Stock	NCM	12(g)	0001038223	000-22677
CLAY	Clayton Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001325228	000-51846
CWEI	Clayton Williams Energy, Inc. Common Stock	Common Stock	NGM	12(g)	0000880115	000-20838
CLHB	Clean Harbors, Inc. Common Stock	Common Stock	NGS	12(g)	0000822818	000-16379
CBLI	Cleveland BioLabs, Inc. Common Stock	Common Stock	NCM	12(g)	0001318641	001-13111
CKCM	Click Commerce, Inc. Common Stock	Common Stock	NGM	12(g)	0001107050	000-30881
CKSW	ClickSoftware Technologies Ltd. Ordinary Shares	Ordinary Shares	NCM	12(g)	0001105841	000-30827
CSBK	Clifton Savings Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0001240581	000-50358
CLDA	Clinical Data, Inc. Common Stock	Common Stock	NGM	12(g)	0000716646	000-12716
CMGI	CMGI, Inc. Common Stock	Common Stock	NGM	12(g)	0000914712	000-23262
CCNE	CNB Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000736772	000-13396
CNET	CNET Networks, Inc. Common Stock	Common Stock	NGS	12(g)	0001015577	000-20939
CNXS	CNS, Inc. Common Stock	Common Stock	NGS	12(g)	0000814258	000-16612
CMKG	CoActive Marketing Group Inc Common Stock	Common Stock	NCM	12(g)	0000886475	000-20394
CFHI	Coast Financial Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001262276	000-50433
CBSAN	Coastal Bancorp Inc. Cumulative Trust Preferred Securities	Other Securities	NGM	12(g)	0000927628	001-13300
CFCP	Coastal Financial Corporation Common Stock	Common Stock	NCM	12(g)	0000935930	000-19684
COBZ	CoBiz, Inc. Common Stock	Common Stock	NGS	12(g)	0001028734	000-24445
COBR	Cobra Electronics Corporation Common Stock	Common Stock	NGM	12(g)	0000030828	000-00511
COKE	Coca-Cola Bottling Co. Consolidated Common Stock	Common Stock	NGM	12(g)	0000317540	000-09286
CVLY	Codorus Valley Bancorp, Inc Common Stock	Common Stock	NGM	12(g)	0000806279	000-15536
CCOI	Cogent Communications Group, Inc. Cogent Common Stock	Common Stock	NGM	12(g)	0001158324	000-51829
COGT	Cogent, Inc. Common Stock	Common Stock	NGS	12(g)	0001289434	000-50947
CGNX	Cognex Corporation Common Stock	Common Stock	NGS	12(g)	0000851205	000-17869
CTSH	Cognizant Technology Solutions Corporation Class A Common Stock	Common Stock	NGS	12(g)	0001058290	000-24429
COGN	Cognos Incorporated Common Shares	Common Stock	NGM	12(g)	0000746782	000-16006
COHR	Coherent, Inc. Common Stock	Common Stock	NGS	12(g)	0000021510	000-05255
COHU	Cohu, Inc. Common Stock	Common Stock	NGS	12(g)	0000021535	000-14298

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
CSTR	Coinstar, Inc. Common Stock	Common Stock	NGS	12(g)	0000941604	000-22555
CWTR	Coldwater Creek, Inc. Common Stock	Common Stock	NGS	12(g)	0001018005	000-21915
COLY	Coley Pharmaceutical Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001319197	000-51472
CGPI	CollaGenex Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001012270	000-28308
CLCT	Collectors Universe, Inc. New	Common Stock	NGM	12(g)	0001089143	000-27887
COBK	Colonial Bankshares, Inc. Common Stock	Common Stock	NGM	12(g)	0001317019	000-51385
CBAN	Colony Bankcorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000711669	000-12436
CLRK	Color Kinetics Incorporated Common Stock	Common Stock	NGM	12(g)	0001048611	000-50798
CBBO	Columbia Bancorp Common Stock	Common Stock	NGS	12(g)	0001010002	000-27938
COLB	Columbia Banking System, Inc. Common Stock	Common Stock	NGS	12(g)	0000887343	000-20288
CBRX	Columbia Laboratories, Inc. Common Stock	Common Stock	NGM	12(g)	0000821995	000-17499
COLM	Columbia Sportswear Company Common Stock	Common Stock	NGS	12(g)	0001050797	000-23939
CMCO	Columbus McKinnon Corporation Common Stock	Common Stock	NGM	12(g)	0001005229	000-27618
CMRO	COMARCO, Inc. Common Stock	Common Stock	NGM	12(g)	0000022252	000-05449
CRXX	CombinatoRx, Incorporated Common Stock	Common Stock	NGM	12(g)	0001135906	000-51171
CMCSA	Comcast Corporation Class A Common Stock	Common Stock	NGS	12(g)	0001166691	000-50093
CMCSK	Comcast Corporation Class A Special Common Stock	Common Stock	NGS	12(g)	0001166691	000-50093
CCBP	Comm Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000730030	000-17455
CBSH	Commerce Bancshares, Inc. Common Stock	Common Stock	NGS	12(g)	0000022356	000-02989
CMFB	COMMERCEFIRST BANCORP INC Common Stock	Common Stock	NCM	12(g)	0001098813	000-51104
CLBK	Commercial Bankshares, Inc. Common Stock	Common Stock	NGM	12(g)	0000354562	000-22246
CCBI	Commercial Capital Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0001184818	000-50126
CNAF	Commercial National Financial Corporation Common Stock	Common Stock	NGM	12(g)	0000866054	000-18676
CVGI	Commercial Vehicle Group, Inc. Common Stock	Common Stock	NGS	12(g)	0001290900	000-50890
CWBS	Commonwealth Bankshares, Inc. Common Stock	Common Stock	NGM	12(g)	0000835012	000-17377
CWBSP	Commonwealth Bankshares, Inc. Commonwealth Bankshares Capital Trust I - 8% Convertible Trust Preferred Securities	Other Securities	NGM	12(g)	0000835012	000-17377
CBTE	Commonwealth Biotechnologies, Inc. Common Stock	Common Stock	NCM	12(g)	0001042418	001-13467
CTCO	Commonwealth Telephone Enterprises, Inc. Common Stock	Common Stock	NGS	12(g)	0000310433	000-11053
CTCH	Commtouch Software Ltd. Ordinary Shares	Ordinary Shares	NCM	12(g)	0001084577	000-26495
CBON	Community Bancorp Common Stock	Common Stock	NGM	12(g)	0001304366	000-51044
CMBC	Community Bancorp Inc. Common Stock	Common Stock	NGS	12(g)	0001089503	000-26505
COMB	Community Bancshares, Inc. (DE) Common Stock	Common Stock	NCM	12(g)	0000752195	000-16461
CBIN	Community Bank Shares of Indiana, Inc. Common Stock	Common Stock	NCM	12(g)	0000933590	000-25766

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
CMTY	Community Banks, Inc. Common Stock ($5.00 Par Value)	Common Stock	NGS	12(g)	0000714710	000-15786
ALBY	Community Capital Bancshares, Inc. Common Stock	Common Stock	NCM	12(g)	0001074369	000-25345
CPBK	Community Capital Corporation Common Stock	Common Stock	NGM	12(g)	0000832847	000-18460
CCBD	Community Central Bank Corp. Common Stock	Common Stock	NGM	12(g)	0001014133	000-33373
CFFC	Community Financial Corp. Common Stock	Common Stock	NCM	12(g)	0000850606	000-18265
CPBC	Community Partners Bancorp Common Stock	Common Stock	NCM	12(g)	0001343034	000-51889
CSHB	Community Shores Bank Corp. Common Stock	Common Stock	NCM	12(g)	0001070523	000-51166
CTBI	Community Trust Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000350852	000-11129
CTBIP	Community Trust Bancorp, Inc. CTBI Preferred Capital Trust - 9.0% Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0000350852	000-11129
CVLL	Community Valley Bancorp (CA)	Common Stock	NCM	12(g)	0001170833	000-51678
CWBC	Community West Bancshares Common Stock	Common Stock	NGM	12(g)	0001051343	000-23575
CBSS	Compass Bancshares, Inc. Common Stock	Common Stock	NGS	12(g)	0000018568	000-06032
CODI	Compass Diversified Trust Shares of Beneficial Interest	Shares of Beneficial Interest	NGS	12(g)	0001345126	000-51937
CCRT	CompuCredit Corporation Common Stock	Common Stock	NGS	12(g)	0001068199	000-25751
CDCY	CompuDyne Corporation Common Stock	Common Stock	NGM	12(g)	0000022912	000-29798
CGEN	Compugen Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001119774	000-30902
CHRZ	Computer Horizons Corp. Common Stock	Common Stock	NGM	12(g)	0000023019	000-07282
CPSI	Computer Programs and Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0001169445	000-49796
CTGX	Computer Task Group, Inc. Common Stock	Common Stock	NCM	12(g)	0000023111	001-09410
CPWR	Compuware Corporation Common Stock	Common Stock	NGS	12(g)	0000859014	000-20900
CHCI	Comstock Homebuilding Companies, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0001299969	000-51070
CITP	COMSYS IT Partners, Inc. Common Stock	Common Stock	NGM	12(g)	0000948850	000-27792
COGO	Comtech Group, Inc. Common Stock	Common Stock	NGS	12(g)	0000028367	000-02642
CMTL	Comtech Telecommunications Corp. Common Stock	Common Stock	NGS	12(g)	0000023197	000-07928
CMVT	Comverse Technology, Inc. Common Stock	Common Stock	NGM	12(g)	0000803014	000-15502
CPTS	Conceptus, Inc. Common Stock	Common Stock	NGM	12(g)	0000896778	000-27596
LENS	Concord Camera Corp. Common Stock	Common Stock	NGM	12(g)	0000831861	000-17038
CCDC	Concorde Career Colleges, Inc. Common Stock	Common Stock	NCM	12(g)	0000832483	000-16992
CNQR	Concur Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0001066026	000-25137
CCUR	Concurrent Computer Corporation Common Stock	Common Stock	NGM	12(g)	0000749038	000-13150
CNXT	Conexant Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0001069353	000-24923

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
CNMD	CONMED Corporation Common Stock	Common Stock	NGS	12(g)	0000816956	000-16093
CTWS	Connecticut Water Service, Inc. Common Stock	Common Stock	NGS	12(g)	0000276209	000-08084
CNCT	Connetics Corporation Common Stock	Common Stock	NGM	12(g)	0001004960	000-27406
CONN	Conn's, Inc. Common Stock	Common Stock	NGS	12(g)	0001223389	000-50421
CNLG	Conolog Corporation Common Stock	Common Stock	NCM	12(g)	0000023503	000-08174
CONR	Conor Medsystems, Inc. Common Stock	Common Stock	NGM	12(g)	0001108271	000-51066
CNSL	Consolidated Communications Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001304421	000-51446
CSLMF	Consolidated Mercantile Inc Common Stock	Common Stock	NCM	12(g)	0000784012	000-14009
CWCO	Consolidated Water Co. Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0000928340	000-25248
CNST	Constar International Inc. Common Stock	Common Stock	NGM	12(g)	0000029806	000-16496
CSLR	Consulier Engineering, Inc. Common Stock	Common Stock	NCM	12(g)	0000846718	000-17756
CPSS	Consumer Portfolio Services, Inc. Common Stock	Common Stock	NGM	12(g)	0000889609	000-51027
CNVR	Convera Corporation Class A Common Stock	Common Stock	NGM	12(g)	0001125536	000-09747
COOP	Cooperative Bankshares, Inc. Common Stock	Common Stock	NGM	12(g)	0000923529	000-24626
CPNO	Copano Energy, L.L.C. Common Units Representing Limited Liability Company Interest Units	Units/Benif Int	NGS	12(g)	0001297067	001-32329
CPRT	Copart, Inc. Common Stock	Common Stock	NGS	12(g)	0000900075	000-23254
VEGF	Corautus Genetics Inc. Common Stock	Common Stock	NCM	12(g)	0001003929	000-27264
CORT	Corcept Therapeutics Incorporated Common Stock	Common Stock	NGM	12(g)	0001088856	000-50679
CORE	Core Mark Holding Co Inc Common Stock	Common Stock	NGS	12(g)	0001318084	000-51515
CREL	Corel Corporation Common Stock	Common Stock	NGM	12(g)	0000890640	000-20562
CRGI	Corgi International Limited American Depositary Shares	American Depositary Shares	NGM	12(g)	0001028637	000-22161
CORI	Corillian Corporation Common Stock	Common Stock	NGS	12(g)	0001041403	000-29291
COCO	Corinthian Colleges, Inc. Common Stock	Common Stock	NGS	12(g)	0001066134	000-25283
EXBD	Corporate Executive Board Company (The) Common Stock	Common Stock	NGS	12(g)	0001066104	000-24799
CORS	CORUS Bankshares, Inc. Common Stock	Common Stock	NGS	12(g)	0000051939	000-06136
CRVL	CorVel Corp. Common Stock	Common Stock	NGS	12(g)	0000874866	000-19291
COSI	Cosi, Inc. Common Stock	Common Stock	NGM	12(g)	0001171014	000-50052
CPWM	Cost Plus, Inc. Common Stock	Common Stock	NGS	12(g)	0000798955	000-14970
CSGP	CoStar Group, Inc. Common Stock	Common Stock	NGS	12(g)	0001057352	000-24531
COST	Costco Wholesale Corporation Common Stock	Common Stock	NGS	12(g)	0000909832	000-20355
CULS	Cost-U-Less, Inc. Common Stock	Common Stock	NCM	12(g)	0000851368	000-24543
CTRX	CoTherix, Inc. Common Stock	Common Stock	NGM	12(g)	0001138812	000-50794

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
CRRC	Courier Corporation Common Stock	Common Stock	NGS	12(g)	0000025212	000-07597
CVGR	Covalent Group, Inc. Common Stock	Common Stock	NCM	12(g)	0000856569	000-21145
CVNS	Covansys Corporation Common Stock	Common Stock	NGS	12(g)	0001028461	000-22141
CVTI	Covenant Transport, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000928658	000-24960
COWN	Cowen Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001355007	000-52048
CWLZ	Cowlitz Bancorporation Common Stock	Common Stock	NGM	12(g)	0000894267	000-23881
CPAK	CPAC, Inc. Common Stock	Common Stock	NGM	12(g)	0000351717	000-09600
CPII	CPI International, Inc. Common Stock	Common Stock	NGM	12(g)	0001279176	000-51928
CRAI	CRA International, Inc. Common Stock	Common Stock	NGS	12(g)	0001053706	000-24049
CRFT	Craftmade International, Inc. Common Stock	Common Stock	NGM	12(g)	0000856250	000-26667
CRAY	Cray Inc. Common Stock	Common Stock	NGM	12(g)	0000949158	000-26820
CREAF	Creative Technology Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0000888295	000-20281
CMOS	Credence Systems Corporation Common Stock	Common Stock	NGS	12(g)	0000893162	000-22366
CACC	Credit Acceptance Corporation Common Stock	Common Stock	NGM	12(g)	0000885550	000-20202
CRED	Credo Petroleum Corporation Common Stock	Common Stock	NCM	12(g)	0000277924	000-08877
CREE	Cree, Inc. Common Stock	Common Stock	NGS	12(g)	0000895419	000-21154
CSNT	Crescent Banking Company Common Stock	Common Stock	NCM	12(g)	0000883476	000-20251
CRFN	Crescent Financial Corporation Common Stock	Common Stock	NGM	12(g)	0001143921	000-32951
CRESY	Cresud S.A.C.I.F. y A. American Depositary Shares	American Depositary Shares	NGS	12(g)	0001034957	000-29190
CRTX	Critical Therapeutics, Inc. Common Stock	Common Stock	NGM	12(g)	0001145404	000-50767
CRMH	CRM Holdings, Ltd. Common Shares	Common Stock	NGS	12(g)	0001338949	000-51683
CROX	Crocs, Inc. Common Stock	Common Stock	NGS	12(g)	0001334036	000-51754
CRNS	Cronos Group (The) Common Stock	Common Stock	NGM	12(g)	0000919869	000-24464
CCRN	Cross Country Healthcare, Inc. Common Stock $0.0001 Par Value	Common Stock	NGS	12(g)	0001141103	000-33169
XTXI	Crosstex Energy, Inc. Common Stock	Common Stock	NGS	12(g)	0001209821	000-50536
XTEX	Crosstex Energy, L.P. LIMITED PARTNERSHIP INTEREST	Limited Partnership	NGS	12(g)	0001179060	000-50067
CRWN	Crown Media Holdings, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0001103837	000-30700
CRXL	Crucell NV American Depositary Shares	American Depositary Shares	NGM	12(g)	0001126136	000-30962
CRYO	CryoCor, Inc. Common Stock	Common Stock	NGM	12(g)	0001125294	000-51410
CRYP	Cryptologic, Inc. Common Stock	Common Stock	NGS	12(g)	0001094036	000-30224
CSGS	CSG Systems International, Inc. Common Stock	Common Stock	NGS	12(g)	0001005757	000-27512
CSPI	CSP Inc. Common Stock	Common Stock	NGM	12(g)	0000356037	000-10843

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
CTCI	CT Communications, Inc. Common Stock	Common Stock	NGS	12(g)	0000023259	000-19179
CTCM	CTC Media, Inc. Common Stock	Common Stock	NGM	12(g)	0001354513	000-52003
CTIB	CTI Industries Corporation Common Stock	Common Stock	NCM	12(g)	0001042187	000-23115
CTRP	Ctrip.com International, Ltd. American Depositary Shares	American Depositary Shares	NGS	12(g)	0001269238	000-50483
CBST	Cubist Pharmaceuticals, Inc. Common Stock	Common Stock	NGS	12(g)	0000912183	000-21379
CMLS	Cumulus Media Inc. Common Stock	Common Stock	NGS	12(g)	0001058623	000-24525
CRGN	CuraGen Corporation Common Stock	Common Stock	NGM	12(g)	0001030653	000-23223
CRIS	Curis, Inc. Common Stock	Common Stock	NGM	12(g)	0001108205	000-30347
CUTR	Cutera, Inc. Common Stock	Common Stock	NGS	12(g)	0001162461	000-50644
CBUK	Cutter & Buck Inc. Common Stock	Common Stock	NGM	12(g)	0000948069	000-26608
CVTX	CV Therapeutics, Inc. Common Stock	Common Stock	NGM	12(g)	0000921506	000-21643
CVBF	CVB Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000354647	000-10140
CYAN	Cyanotech Corporation Common Stock	Common Stock	NCM	12(g)	0000768408	000-14602
CYBX	Cyberonics, Inc. Common Stock	Common Stock	NGM	12(g)	0000864683	000-19806
CYBE	CyberOptics Corporation Common Stock	Common Stock	NGM	12(g)	0000768411	000-16577
CYBS	CyberSource Corporation Common Stock	Common Stock	NGM	12(g)	0000934280	000-26477
CYCCP	Cyclacel Pharmaceuticals, Inc. 6% Convertible Preferred Stock	Preferred Stock	NCM	12(g)	0001130166	000-50626
CYCC	Cyclacel Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001130166	000-50626
CYDS	Cygne Designs, Inc. Common Stock	Common Stock	NCM	12(g)	0000906782	000-22102
CYMI	Cymer, Inc. Common Stock	Common Stock	NGS	12(g)	0000897067	000-21321
CYNO	Cynosure, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0000885306	000-51623
CYPB	Cypress Bioscience Inc. Common Stock	Common Stock	NGM	12(g)	0000716054	000-12943
CYTO	Cytogen Corporation Common Stock	Common Stock	NGM	12(g)	0000725058	000-14879
CYTK	Cytokinetics, Incorporated Common Stock	Common Stock	NGM	12(g)	0001061983	000-50633
CYTX	Cytori Therapeutics Inc Common Stock (DE)	Common Stock	NGM	12(g)	0001095981	000-32501
CYTR	CytRx Corporation Common Stock	Common Stock	NCM	12(g)	0000799698	000-15327
CYTC	CYTYC Corporation Common Stock	Common Stock	NGS	12(g)	0000849778	000-27558
DECC	D&E Communications, Inc. Common Stock	Common Stock	NGM	12(g)	0001011737	000-20709
DADE	Dade Behring Holdings, Inc Common Stock	Common Stock	NGS	12(g)	0001183920	000-50010
DAGM	DAG Media, Inc. Common Stock	Common Stock	NCM	12(g)	0001080340	000-25991
DJCO	Daily Journal Corp. (S.C.) Common Stock	Common Stock	NCM	12(g)	0000783412	000-14665
DAKT	Daktronics, Inc. Common Stock	Common Stock	NGS	12(g)	0000915779	000-23246
DANKY	Danka Business Systems PLC American Depositary Shares	American Depositary Shares	NCM	12(g)	0000894010	000-20828

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
DASTY	Dassault Systemes, S.A. American Depositary Shares	American Depositary Shares	NGS	12(g)	0001016118	000-28578
DAIO	Data I/O Corporation Common Stock	Common Stock	NCM	12(g)	0000351998	000-10394
DTLK	Datalink Corporation Common Stock	Common Stock	NGM	12(g)	0001056923	000-29758
DRAM	Dataram Corporation Common Stock	Common Stock	NGM	12(g)	0000027093	001-8266
DSCP	Datascope Corp. Common Stock	Common Stock	NGS	12(g)	0000027096	000-06516
DATA	DataTrak International, Inc. Common Stock	Common Stock	NCM	12(g)	0000886530	000-20699
DWCH	Datawatch Corporation Common Stock	Common Stock	NCM	12(g)	0000792130	000-19960
DWSN	Dawson Geophysical Company Common Stock	Common Stock	NGM	12(g)	0000351231	000-10144
DSTI	DayStar Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0001262200	000-50508
DSTIZ	DayStar Technologies, Inc. Warrant B	Warrant	NCM	12(g)	0001262200	000-50508
DCAP	DCAP Group, Inc. Common Stock	Common Stock	NCM	12(g)	0000033992	000-01665
DDIC	DDi Corp Common Stock	Common Stock	NGM	12(g)	0001104252	000-30241
TRAK	DealerTrack Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001333513	000-51653
DEAR	Dearborn Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000895541	000-24478
DEBS	Deb Shops, Inc. Common Stock	Common Stock	NGS	12(g)	0000715779	000-12188
DECK	Deckers Outdoor Corporation Common Stock	Common Stock	NGS	12(g)	0000910521	000-22446
DCGN	deCODE genetics, Inc. Common Stock	Common Stock	NGM	12(g)	0001022974	000-30469
DECT	Dectron International, Inc. Common Stock	Common Stock	NCM	12(g)	0001066042	000-24845
DCTH	Delcath Systems, Inc. Common Stock	Common Stock	NCM	12(g)	0000872912	001-16133
DLIA	dELIA*s Inc. Common Stock	Common Stock	NGM	12(g)	0001337885	000-51648
DELL	Dell Inc. Common Stock	Common Stock	NGS	12(g)	0000826083	000-17017
DLPX	Delphax Technologies Inc. Common Stock	Common Stock	NGM	12(g)	0000350692	000-10691
DELT	Delta Galil Industries Ltd. American Depositary Shares	American Depositary Shares	NGM	12(g)	0001081022	000-30020
DGAS	Delta Natural Gas Company, Inc. Common Stock	Common Stock	NGM	12(g)	0000277375	000-08788
DPTR	Delta Petroleum Corporation Common Stock	Common Stock	NGM	12(g)	0000821483	000-16203
DDDC	deltathree Inc Class A Common Stock	Common Stock	NCM	12(g)	0001086740	000-28063
DNDN	Dendreon Corporation Common Stock	Common Stock	NGM	12(g)	0001107332	000-30681
DRTE	Dendrite International, Inc. Common Stock	Common Stock	NGS	12(g)	0000880321	000-26138
DENN	Denny's Corporation Common Stock	Common Stock	NCM	12(g)	0000852772	000-18051
XRAY	DENTSPLY International Inc. Common Stock	Common Stock	NGS	12(g)	0000818479	000-16211
DEPO	Depomed, Inc. Common Stock	Common Stock	NGM	12(g)	0001005201	000- 23267
DSGX	Descartes Systems Group Inc. (The) Common Stock	Common Stock	NGM	12(g)	0001050140	000-29970
DWRI	Design Within Reach, Inc. Common Stock	Common Stock	NGM	12(g)	0001116755	000-50807

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
DSWL	Deswell Industries, Inc. Common Shares	Common Stock	NGM	12(g)	0000946936	000-26448
DEVC	Devcon International Corp. Common Stock	Common Stock	NGM	12(g)	0000028452	000-07152
DXCM	DexCom, Inc. Common Stock	Common Stock	NGM	12(g)	0001093557	000-51222
DGSE	DGSE Companies, Inc. Common Stock	Common Stock	NCM	12(g)	0000701719	001-11048
DLGS	Dialog Semiconductor Plc American Depositary Shares	American Depositary Shares	NGM	12(g)	0001116581	001-15042
DCAI	Dialysis Corporation of America Common Stock	Common Stock	NCM	12(g)	0000201653	000-08527
DMND	Diamond Foods, Inc. Common Stock	Common Stock	NGS	12(g)	0001320947	000-51439
DHIL	Diamond Hill Investment Group, Inc. Class A Common Stock	Common Stock	NCM	12(g)	0000909108	000-24498
DTPI	DiamondCluster International, Inc. Common Stock	Common Stock	NGM	12(g)	0000924940	000-22125
DDRX	Diedrich Coffee Common Stock	Common Stock	NGM	12(g)	0000947661	000-21203
DIGE	Digene Corporation Common Stock	Common Stock	NGS	12(g)	0001011582	000-28194
DGII	Digi International Inc. Common Stock	Common Stock	NGS	12(g)	0000854775	000-17972
DMRC	Digimarc Corporation Common Stock	Common Stock	NGM	12(g)	0001089443	000-28317
DRAD	Digirad Corporation Common Stock	Common Stock	NGM	12(g)	0000707388	000-50789
DGIT	Digital Generation Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0000934448	000-27644
DGIN	Digital Insight Corporation Common Stock	Common Stock	NGS	12(g)	0001037275	000-27459
DMGI	Digital Music Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001339729	000-51761
TBUS	Digital Recorders, Inc. Common Stock	Common Stock	NCM	12(g)	0000853695	001-13408
DRIV	Digital River, Inc. Common Stock	Common Stock	NGS	12(g)	0001062530	000-24643
DTAS	Digitas, Inc. Common Stock	Common Stock	NGS	12(g)	0001100885	000-29723
DCOM	Dime Community Bancshares, Inc. Common Stock	Common Stock	NGS	12(g)	0001005409	000-27782
DIOD	Diodes Incorporated Common Stock	Common Stock	NGS	12(g)	0000029002	001-5740
DNEX	Dionex Corporation Common Stock	Common Stock	NGS	12(g)	0000708850	000-11250
DRCT	Direct General Corporation Common stock	Common Stock	NGS	12(g)	0001023031	000-50360
DEIX	Directed Electronics, Inc. Common Stock	Common Stock	NGM	12(g)	0001323630	000-51664
DISCA	Discovery Holding Company Series A Common Stock	Common Stock	NGS	12(g)	0001320482	000-51205
DISCB	Discovery Holding Company Series B Common Stock	Common Stock	NGS	12(g)	0001320482	000-51205
DSCO	Discovery Laboratories, Inc. Common Stock	Common Stock	NGM	12(g)	0000946486	000-26422
DPII	Discovery Partners International, Inc. Common Stock	Common Stock	NGM	12(g)	0001113148	000-31141
DESC	Distributed Energy Systems Corporation Common Stock	Common Stock	NGM	12(g)	0001261482	000-31533
DITC	Ditech Networks, Inc. Common Stock	Common Stock	NGM	12(g)	0001080667	000-26209
DVSA	Diversa Corporation Common Stock	Common Stock	NGM	12(g)	0001049210	000-29173
DXYN	Dixie Group, Inc. (The) Common Stock	Common Stock	NGM	12(g)	0000029332	000-02585

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
DCEL	Dobson Communications Corporation Class A Common Stock	Common Stock	NGS	12(g)	0001035985	000-29225
DOCC	DocuCorp International, Inc. Common Stock	Common Stock	NGM	12(g)	0001033864	000-23829
DOCX	Document Sciences Corporation Common Stock	Common Stock	NCM	12(g)	0001016831	000-20981
DLLR	Dollar Financial Corp. Common Stock	Common Stock	NGS	12(g)	0001271625	000-50866
DLTR	Dollar Tree Stores, Inc. Common Stock	Common Stock	NGS	12(g)	0000935703	000-25464
DHOM	Dominion Homes Inc. Common Stock	Common Stock	NGM	12(g)	0000917857	000-23270
DGICA	Donegal Group, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000800457	000-15341
DGICB	Donegal Group, Inc. Class B Common Stock	Common Stock	NGS	12(g)	0000800457	000-15341
DMLP	Dorchester Minerals, L.P. Common Units Representing Limited Partnership Interests	Limited Partnership	NGM	12(g)	0001172358	000-50175
DIIB	Dorel Industries, Inc. Class B Subordinate Voting Shares	Common Stock	NGS	12(g)	0000843405	000-29712
DORM	Dorman Products, Inc. Common Stock	Common Stock	NGM	12(g)	0000868780	000-18914
HILL	Dot Hill Systems Corporation Common Stock	Common Stock	NGM	12(g)	0001042783	001-13317
DBLE	Double Eagle Petroleum Company Common Stock	Common Stock	NCM	12(g)	0000029834	000-06529
DOVP	DOV Pharmaceutical, Inc. Common Stock	Common Stock	NGM	12(g)	0001066833	000-49730
DOVR	Dover Saddlery, Inc. Common Stock	Common Stock	NGM	12(g)	0001071625	000-51624
DRAX	Draxis Health Inc. Common Shares	Common Stock	NGS	12(g)	0000845802	000-17434
DROOY	DRDGOLD Limited American Depositary Shares	American Depositary Shares	NCM	12(g)	0001023512	000-28800
DBRN	Dress Barn, Inc. (The) Common Stock	Common Stock	NGS	12(g)	0000717724	000-11736
DSCM	drugstore.com, inc. Common Stock	Common Stock	NGM	12(g)	0001086467	000-26137
DRYS	DryShips Inc. Common Stock	Common Stock	NGS	12(g)	0001308858	000-51141
DSPG	DSP Group, Inc. Common Stock	Common Stock	NGS	12(g)	0000915778	000-23006
DTSI	DTS, Inc. Common Stock	Common Stock	NGS	12(g)	0001226308	000-50335
DUCK	Duckwall-Alco Stores, Inc. Common Stock	Common Stock	NGM	12(g)	0000030302	000-20269
DRRA	Dura Automotive Systems, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0001016177	000-21139
DRRAP	Dura Automotive Systems, Inc. Dura Automotive Systems Capital Trust - 7.50% Convertible Trust Preferred Securities	Other Securities	NGM	12(g)	0001016177	000-21139
DRRX	Durect Corporation Common Stock	Common Stock	NGM	12(g)	0001082038	000-31615
DUSA	DUSA Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0000879993	000-19777
DXPE	DXP Enterprises, Inc. Common Stock	Common Stock	NCM	12(g)	0001020710	000-21513
DYAX	Dyax Corp. Common Stock	Common Stock	NGM	12(g)	0000907562	000-24537
DYII	Dynacq Healthcare, Inc. Common Stock	Common Stock	NCM	12(g)	0000890908	000-21574
DDMX	Dynamex, Inc. Common Stock	Common Stock	NGS	12(g)	0001015483	000-21057

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
BOOM	Dynamic Materials Corporation Common Stock	Common Stock	NGS	12(g)	0000034067	001-14775
DRCO	Dynamics Research Corporation Common Stock	Common Stock	NGM	12(g)	0000030822	000-02479
DYNT	Dynatronics Corporation Common Stock	Common Stock	NCM	12(g)	0000720875	000-12697
DVAX	Dynavax Technologies Corporation Common Stock	Common Stock	NGM	12(g)	0001029142	000-50577
ECMV	E Com Ventures, Inc. Common Stock	Common Stock	NCM	12(g)	0000880460	000-19714
EGBN	Eagle Bancorp, Inc. Common Stock	Common Stock	NCM	12(g)	0001050441	000-25923
EGLE	Eagle Bulk Shipping Inc. Common Stock	Common Stock	NGS	12(g)	0001322439	000-51366
EGLT	Eagle Test Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0001290096	000-51828
ELNK	EarthLink, Inc. Common Stock	Common Stock	NGS	12(g)	0001102541	001-15605
EPEN	East Penn Financial Corporation Common Stock	Common Stock	NCM	12(g)	0001220483	000-50330
EWBC	East West Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0001069157	000-24939
EIHI	Eastern Insurance Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001321268	000-52058
EVBS	Eastern Virginia Bankshares, Inc. Common Stock	Common Stock	NCM	12(g)	0001047170	000-23565
EASY	EasyLink Services Corporation Class A Common Stock	Common Stock	NCM	12(g)	0001081661	000-26371
EBAY	eBay Inc. Common Stock	Common Stock	NGS	12(g)	0001065088	000-24821
EBIX	Ebix Inc Common Stock	Common Stock	NCM	12(g)	0000814549	000-15946
ECBE	ECB Bancorp Inc Common Stock	Common Stock	NGM	12(g)	0001066254	000-24753
ELON	Echelon Corporation Common Stock	Common Stock	NGM	12(g)	0000031347	000-29748
DISH	EchoStar Communications Corporation Class A Common Stock	Common Stock	NGS	12(g)	0001001082	000-26176
ECIL	ECI Telecom Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0000701544	000-12672
ECLP	Eclipsys Corporation Common Stock	Common Stock	NGS	12(g)	0001034088	000-24539
ECLG	eCollege.com Common Stock	Common Stock	NGM	12(g)	0001085653	000-28393
ECTX	ECtel Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001096197	000-30348
EDAP	EDAP TMS S.A. American Depositary Shares	American Depositary Shares	NGM	12(g)	0001041934	000-29374
EDEN	EDEN Bioscience Corporation Common Stock	Common Stock	NCM	12(g)	0000930095	000-31499
EDGR	EDGAR Online, Inc. Common Stock	Common Stock	NGM	12(g)	0001080224	000-26071
EPEX	Edge Petroleum Corporation Common Stock	Common Stock	NGS	12(g)	0001021010	000-22149
EDGW	Edgewater Technology, Inc. Common Stock	Common Stock	NGM	12(g)	0001017968	000-20971
DIET	Ediets Com Inc Common Stock	Common Stock	NCM	12(g)	0001094058	000-30559
EEEE	Educate, Inc. Common Stock	Common Stock	NGS	12(g)	0001286862	000-50952
EDUC	Educational Development Corporation Common Stock	Common Stock	NGM	12(g)	0000031667	000-04957
EFJI	EFJ, Inc. Common Stock	Common Stock	NGM	12(g)	0001023516	000-21681
EAGL	EGL, Inc. Common Stock	Common Stock	NGS	12(g)	0001001718	000-27288

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
EMITF	Elbit Medical Imaging Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001027662	000-28996
ESLT	Elbit Systems Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0001027664	000-28998
EEEI	Electro Energy Inc. Common Stock	Common Stock	NCM	12(g)	0001175636	000-51083
ELRC	Electro Rent Corporation Common Stock	Common Stock	NGM	12(g)	0000032166	000-09061
ESIO	Electro Scientific Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0000726514	000-12853
EGLS	Electroglas, Inc. Common Stock	Common Stock	NGM	12(g)	0000902281	000-21626
ERTS	Electronic Arts Inc. Common Stock	Common Stock	NGS	12(g)	0000712515	000-17948
ECHO	Electronic Clearing House, Inc. Common Stock	Common Stock	NCM	12(g)	0000721773	000-15245
EFII	Electronics for Imaging, Inc. Common Stock	Common Stock	NGS	12(g)	0000867374	000-18805
MELA	Electro-Optical Sciences, Inc Common Stock	Common Stock	NCM	12(g)	0001051514	000-51481
ELSE	Electro-Sensors, Inc. Common Stock	Common Stock	NCM	12(g)	0000351789	000-09587
RDEN	Elizabeth Arden, Inc. Common Stock	Common Stock	NGS	12(g)	0000095052	001-06370
LONG	eLong, Inc. American Depositary Shares	American Depositary Shares	NGM	12(g)	0001290903	000-50984
ELOY	eLoyalty Corporation Common Stock	Common Stock	NGM	12(g)	0001094348	000-27975
ELRN	Elron Electronic Industries Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0000315126	000-11456
ELTK	Eltek Ltd. Ordinary Shares	Ordinary Shares	NCM	12(g)	0001024672	000-28884
EMAG	Emageon Inc. Common Stock	Common Stock	NGM	12(g)	0001121439	000-51149
EMAK	EMAK Worldwide, Inc. Common Stock	Common Stock	NGM	12(g)	0000911151	000-23346
EMBT	Embarcadero Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0001107112	000-30293
EMBX	Embrex, Inc. Common Stock	Common Stock	NGM	12(g)	0000878725	000-19495
EMCI	EMC Insurance Group, Inc. Common Stock	Common Stock	NGS	12(g)	0000356130	000-10956
EMKR	EMCORE Corporation Common Stock	Common Stock	NGM	12(g)	0000808326	000-22175
HLTH	Emdeon Corporation Common Stock	Common Stock	NGS	12(g)	0001009575	000-24975
EMRG	eMerge Interactive, Inc. Class A Common Stock	Common Stock	NCM	12(g)	0001092605	000-29037
EMIS	Emisphere Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0000805326	001-10615
EMMSP	Emmis Communications Corporation 6.25% Series A Cumulative Convertible Preferred Stock	Preferred Stock	NGS	12(g)	0000783005	000-23264
EMMS	Emmis Communications Corporation Class A Common Stock	Common Stock	NGS	12(g)	0000783005	000-23264
ELMG	EMS Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0000032198	000-06072
ENPT	En Pointe Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0001010305	000-28052
ECPG	Encore Capital Group Inc Common Stock	Common Stock	NGS	12(g)	0001084961	000-26489
ENMC	Encore Medical Corporation Common Stock	Common Stock	NGM	12(g)	0000944763	000-26538
WIRE	Encore Wire Corporation Common Stock	Common Stock	NGS	12(g)	0000850460	000-20278

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
ENCY	Encysive Pharmaceuticals Inc Common Stock	Common Stock	NGM	12(g)	0000887023	000-20117
ELGX	Endologix Inc	Common Stock	NGM	12(g)	0001013606	000-28440
ENWV	Endwave Corporation Common Stock	Common Stock	NGM	12(g)	0001118941	000-31635
ENER	Energy Conversion Devices, Inc. Common Stock	Common Stock	NGS	12(g)	0000032878	001-08403
EWST	Energy West, Inc. Common Stock	Common Stock	NGM	12(g)	0000043350	000-14183
ENSI	EnergySouth, Inc. Common Stock	Common Stock	NGS	12(g)	0001051286	000-29604
NPTH	Enpath Medical, Inc. Common Stock	Common Stock	NGM	12(g)	0000833140	000-19467
ESGR	Enstar Group Inc Common Stock	Common Stock	NGS	12(g)	0000055820	000-07477
ENTG	Entegris, Inc. Common Stock	Common Stock	NGS	12(g)	0001101302	000-30789
EBTC	Enterprise Bancorp Inc Common Stock	Common Stock	NGM	12(g)	0001018399	000-21021
EFSC	Enterprise Financial Services Corporation Common Stock	Common Stock	NGM	12(g)	0001025835	000-24131
ENMD	EntreMed, Inc. Common Stock	Common Stock	NGM	12(g)	0000895051	000-20713
ENTU	Entrust, Inc. Common Stock	Common Stock	NGM	12(g)	0001031283	000-24733
ECGI	Envoy Communications Group, Inc. Common Stock	Common Stock	NCM	12(g)	0001031516	000-30082
ENZN	Enzon, Inc. Common Stock	Common Stock	NGM	12(g)	0000727510	000-12957
EONC	eOn Communications Corporation Common Stock	Common Stock	NCM	12(g)	0001084752	000-26399
EPMD	EP MedSystems, Inc. Common Stock	Common Stock	NCM	12(g)	0001012394	000-28260
EPIK	Epic Bancorp Common Stock	Common Stock	NCM	12(g)	0001099980	000-50878
EPCT	EpiCept Corporation Common Stock	Common Stock	NGM	12(g)	0001208261	000-51290
EPIC	Epicor Software Corporation Common Stock	Common Stock	NGS	12(g)	0000891178	000-20740
EPIQ	EPIQ Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0001027207	000-22081
EPIX	EPIX Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001027702	000-21863
PLUS	ePlus Inc. Common Stock	Common Stock	NGM	12(g)	0001022408	000-28926
EPHC	Epoch Holding Corporation Common Stock	Common Stock	NCM	12(g)	0000351903	001-09728
EQIX	Equinix, Inc. Common Stock $0.001 Par Value	Common Stock	NGS	12(g)	0001101239	000-31293
ERES	eResearch Technology Inc. Common Stock	Common Stock	NGS	12(g)	0001026650	000-29100
ERIE	Erie Indemnity Company Class A Common Stock	Common Stock	NGS	12(g)	0000922621	000-24000
ESBF	ESB Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000872835	000-19345
ESCA	Escalade, Incorporated Common Stock	Common Stock	NGM	12(g)	0000033488	000-06966
ESMC	Escalon Medical Corp. Common Stock	Common Stock	NCM	12(g)	0000862668	000-20127
ESCH	Eschelon Telecom, Inc. Common Stock	Common Stock	NGM	12(g)	0001110507	000-50706
ESPD	eSpeed, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0001094831	000-28191
ESST	ESS Technology, Inc Common Stock	Common Stock	NGM	12(g)	0000907410	000-26660
KEYW	Essex Corp Common Stock	Common Stock	NGS	12(g)	0000355199	001-31703

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
ETWC	etrials Worldwide, Inc. Common Stock	Common Stock	NGM	12(g)	0001268904	000-50531
ETWCU	etrials Worldwide, Inc. Units	Unit	NGM	12(g)	0001268904	000-50531
ETWCW	etrials Worldwide, Inc. Warrants	Warrant	NGM	12(g)	0001268904	000-50531
CLWT	Euro Tech Holdings Company Limited Common Stock	Common Stock	NCM	12(g)	0001026662	000-22113
EUBK	EuroBancshares, Inc. Common Stock	Common Stock	NGS	12(g)	0001164554	000-50872
EEFT	Euronet Worldwide, Inc. Common Stock	Common Stock	NGS	12(g)	0001029199	000-22167
EURO	EuroTrust A/S American Depository Shares	American Depositary Shares	NGM	12(g)	0001041457	000-30690
EVVV	ev3 Inc. Common Stock	Common Stock	NGS	12(g)	0001318310	000-51348
ESCC	Evans & Sutherland Computer Corporation Common Stock	Common Stock	NGM	12(g)	0000276283	000-8771
EVBN	Evans Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000842518	000-18539
EVCI	EVCI Career Colleges Holding Corp. Common Stock	Common Stock	NCM	12(g)	0001065591	000-25371
ESLR	Evergreen Solar, Inc. Common Stock	Common Stock	NGM	12(g)	0000947397	000-31687
EVST	Everlast Worldwide Inc. Common Stock	Common Stock	NCM	12(g)	0000934795	000-25918
EVOL	Evolving Systems, Inc. Common Stock	Common Stock	NCM	12(g)	0001052054	000-24081
EXAS	EXACT Sciences Corporation Common Stock	Common Stock	NGM	12(g)	0001124140	000-32179
EXAC	Exactech, Inc. Common Stock	Common Stock	NGM	12(g)	0000913165	000-28240
EXAR	Exar Corporation Common Stock	Common Stock	NGM	12(g)	0000753568	000-14225
XLTC	Excel Technology, Inc. Common Stock	Common Stock	NGS	12(g)	0000873603	000-19306
EXJF	Exchange National Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0000893847	000-23636
EXEL	Exelixis, Inc. Common Stock	Common Stock	NGS	12(g)	0000939767	000-30235
EXFO	EXFO Electro-Optical Engineering Subordinate Voting Shares	Common Stock	NGM	12(g)	0001116284	000-30895
XIDE	Exide Technologies New Common Stock	Common Stock	NGM	12(g)	0000813781	000-50745
XIDEW	Exide Technologies New Warrant 2011	Warrant	NGM	12(g)	0000813781	000-50745
EXPE	Expedia, Inc. Common Stock	Common Stock	NGS	12(g)	0001324424	000-51447
EXPEW	Expedia, Inc. Warrant to purchase one half of one share of Expedia Inc Common Stock	Warrant	NGS	12(g)	0001324424	000-51447
EXPEZ	Expedia, Inc. Warrants to purchase .969375 shares of Expedia Inc Common Stock	Warrant	NGS	12(g)	0001324424	000-51447
EXPD	Expeditors International of Washington, Inc. Common Stock	Common Stock	NGS	12(g)	0000746515	000-13468
EXPO	Exponent, Inc. Common Stock	Common Stock	NGS	12(g)	0000851520	000-18655
ESRX	Express Scripts, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000885721	000-20199
EXTR	Extreme Networks, Inc. Common Stock	Common Stock	NGM	12(g)	0001078271	000-25711
EZPW	EZCORP, Inc. Class A Non-Voting Common Stock	Common Stock	NGS	12(g)	0000876523	000-19424

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
EZEM	E-Z-EM, Inc. Common Stock	Common Stock	NGS	12(g)	0000727008	001-11479
FFIV	F5 Networks, Inc. Common Stock	Common Stock	NGS	12(g)	0001048695	000-26041
FCPO	Factory Card & Party Outlet Corp.	Common Stock	NGM	12(g)	0001024441	000-21859
FALC	FalconStor Software, Inc. Common Stock	Common Stock	NGM	12(g)	0000922521	000-23970
FMRX	Familymeds Group, Inc. Common Stock	Common Stock	NCM	12(g)	0000921878	001-15445
DAVE	Famous Dave's of America, Inc. Common Stock	Common Stock	NGM	12(g)	0001021270	000-21625
FRGO	Fargo Electronics, Inc. Common Stock	Common Stock	NGS	12(g)	0001098834	000-29029
FARM	Farmer Brothers Company Common Stock	Common Stock	NGM	12(g)	0000034563	000-01375
FFKT	Farmers Capital Bank Corporation Common Stock	Common Stock	NCM	12(g)	0000713095	000-14412
FARO	FARO Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0000917491	000-23081
FAST	Fastenal Company Common Stock	Common Stock	NGS	12(g)	0000815556	000-16125
FBSS	Fauquier Bankshares, Inc. Common Stock	Common Stock	NCM	12(g)	0001083643	000-25805
FVRL	Favrille, Inc. Common Stock	Common Stock	NGM	12(g)	0001285701	000-51134
FTHR	Featherlite, Inc. Common Stock	Common Stock	NCM	12(g)	0000928064	000-24804
FFCO	FedFirst Financial Corporation Common Stock	Common Stock	NCM	12(g)	0001308017	000-51153
FEIC	FEI Company Common Stock	Common Stock	NGM	12(g)	0000914329	000-22780
FFDF	FFD Financial Corporation Common Stock	Common Stock	NCM	12(g)	0001006177	000-27916
FTGX	Fibernet Telecom Group, Inc. Common Stock	Common Stock	NCM	12(g)	0001001868	000-24661
FBST	Fiberstars, Inc. Common Stock	Common Stock	NGM	12(g)	0000924168	000-24564
FSBI	Fidelity Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000769207	000-22288
FFFL	Fidelity Bankshares, Inc. Common Stock	Common Stock	NGS	12(g)	0001028336	000-29040
LION	Fidelity Southern Corporation New Common Stock	Common Stock	NGS	12(g)	0000822662	000-22374
FICC	Fieldstone Investment Corporation Common Stock	Common Stock	NGS	12(g)	0001271831	000-50938
FITB	Fifth Third Bancorp Common Stock	Common Stock	NGS	12(g)	0000035527	000-08076
FILE	FileNet Corporation Common Stock	Common Stock	NGS	12(g)	0000706015	000-15997
FISI	Financial Institutions, Inc. Common Stock	Common Stock	NGS	12(g)	0000862831	000-26481
FNSR	Finisar Corporation Common Stock	Common Stock	NGS	12(g)	0001094739	000-27999
FINL	Finish Line, Inc. (The) Class A Common Stock	Common Stock	NGS	12(g)	0000886137	000-20184
FNLY	Finlay Enterprises, Inc. Common Stock	Common Stock	NGM	12(g)	0000878731	000-25716
FADV	First Advantage Corporation Common Stock	Common Stock	NGS	12(g)	0001210677	000-50285
FACT	First Albany Companies, Inc. Common Stock	Common Stock	NGM	12(g)	0000782842	000-14140
FRNS	First Avenue Networks, Inc. Common Stock	Common Stock	NGM	12(g)	0001010286	000-21091
FAVS	First Aviation Services, Inc. Common Stock	Common Stock	NCM	12(g)	0001025743	000-21995
FBNC	First Bancorp Common Stock	Common Stock	NGS	12(g)	0000811589	000-15572

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
FBEI	First Bancorp of Indiana, Inc. Common Stock	Common Stock	NGM	12(g)	0001074543	000-29814
FBMS	First Bancshares, Inc. (The) (MS) Common Stock	Common Stock	NCM	12(g)	0000947559	000-22507
FBSI	First Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0000912967	000-22842
FBTC	First BancTrust Corporation Common Stock	Common Stock	NCM	12(g)	0001129847	000-32535
FBNKM	First Banks, Inc. First Preferred Capital Trust III - 9.00% Cumulative Trust Preferred Securities	Other Securities	NGM	12(g)	0000710507	000-20632
BUSE	First Busey Corporation Class A Common Stock	Common Stock	NGS	12(g)	0000314489	000-15950
FBIZ	First Business Financial Services, Inc. Common Stock	Common Stock	NGM	12(g)	0001305399	000-51028
FCAP	First Capital, Inc. Common Stock	Common Stock	NCM	12(g)	0001070296	000-25023
FCFS	First Cash Financial Services, Inc. Common Stock	Common Stock	NGS	12(g)	0000840489	000-19133
FCTR	First Charter Corporation Common Stock	Common Stock	NGS	12(g)	0000717306	000-15829
FCZA	First Citizens Banc Corp. Common Stock	Common Stock	NCM	12(g)	0000944745	000-25980
FCNCA	First Citizens BancShares, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000798941	000-16471
FCLF	First Clover Leaf Financial Corp. Common Stock	Common Stock	NCM	12(g)	0001283582	000-50820
FCBP	First Community Bancorp Common Stock	Common Stock	NGS	12(g)	0001102112	000-30747
FCBC	First Community Bancshares, Inc. Common Stock	Common Stock	NGS	12(g)	0000859070	000-19297
FCFL	First Community Bank Corporation of America (FL) Common Stock	Common Stock	NCM	12(g)	0001082564	000-50357
FCCO	First Community Corporation Common Stock	Common Stock	NCM	12(g)	0000932781	000-28344
FCGI	First Consulting Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001049758	000-23651
FDEF	First Defiance Financial Corp. Common Stock	Common Stock	NGS	12(g)	0000946647	000-26850
FFSW	First Federal Banc of the Southwest, Inc. Common Stock	Common Stock	NCM	12(g)	0001060939	000-51243
FFBH	First Federal Bancshares of Arkansas, Inc. Common Stock	Common Stock	NGM	12(g)	0001006424	000-28312
FFBI	First Federal Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0001113107	000-30753
FFSX	First Federal Bankshares, Inc. Common Stock	Common Stock	NGM	12(g)	0001075348	000-25509
FFNM	First Federal of Northern Michigan Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001128227	000-31957
FFBC	First Financial Bancorp. Common Stock	Common Stock	NGS	12(g)	0000708955	000-12379
FFIN	First Financial Bankshares, Inc. Common Stock	Common Stock	NGS	12(g)	0000036029	000-07674
THFF	First Financial Corporation Indiana Common Stock	Common Stock	NGS	12(g)	0000714562	000-16759
FFCH	First Financial Holdings, Inc. Common Stock	Common Stock	NGS	12(g)	0000787075	000-17122
FFKY	First Financial Service Corporation Common Stock	Common Stock	NGM	12(g)	0000854395	000-18832
FFHS	First Franklin Corporation Common Stock	Common Stock	NGM	12(g)	0000742161	000-16362
FINB	First Indiana Corporation Common Stock	Common Stock	NGS	12(g)	0000789670	000-14354

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
FKFS	First Keystone Financial, Inc. Common Stock	Common Stock	NGM	12(g)	0000856751	000-25328
FMFC	First M & F Corporation Common Stock	Common Stock	NGS	12(g)	0000320387	000-09424
FMAR	First Mariner Bancorp Common Stock	Common Stock	NGM	12(g)	0000946090	000-21815
FRME	First Merchants Corporation Common Stock	Common Stock	NGS	12(g)	0000712534	000-17071
FRMEP	First Merchants Corporation First Merchants Capital Trust I - 8.75% Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0000712534	000-17071
FMBI	First Midwest Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000702325	000-10967
FMSB	First Mutual Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0001098337	000-28261
FNSC	First National Bancshares Inc (SC) Common Stock	Common Stock	NGM	12(g)	0001095274	000-30523
FNLC	First National Lincoln Corporation Common Stock	Common Stock	NGS	12(g)	0000765207	000-26589
FNFG	First Niagara Financial Group Inc. Common Stock	Common Stock	NGS	12(g)	0001051741	000-23975
FNFI	First Niles Financial, Inc. Common Stock	Common Stock	NCM	12(g)	0001065823	000-24849
FOBB	First Oak Brook Bancshares, Inc. Common Stock	Common Stock	NGS	12(g)	0000717837	000-14468
FLIC	First of Long Island Corporation (The) Common Stock	Common Stock	NCM	12(g)	0000740663	000-12220
FPTB	First Pactrust Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001169770	000-49806
FPFC	First Place Financial Corp. Common Stock	Common Stock	NGS	12(g)	0001068912	000-25049
FRGB	First Regional Bancorp Common Stock	Common Stock	NGM	12(g)	0000356708	000-10232
FRCCP	First Republic Preferred Capital Corporation Preferred Stock	Preferred Stock	NGM	12(g)	0001143834	000-33461
FRCCO	First Republic Preferred Capital Corporation Preferred Stock	Preferred Stock	NGM	12(g)	0001143834	000-33461
FSGI	First Security Group, Inc. Common Stock	Common Stock	NGS	12(g)	0001138817	000-49747
FSBK	First South Bancorp Inc Common Stock	Common Stock	NGS	12(g)	0001027183	000-22219
FSNM	First State Bancorporation Common Stock	Common Stock	NGS	12(g)	0000897861	001-12487
FSTF	First State Financial Corporation Common Stock	Common Stock	NGM	12(g)	0001282582	000-50992
FUNC	First United Corporation Common Stock	Common Stock	NGM	12(g)	0000763907	000-14237
FBMI	Firstbank Corporation Common Stock	Common Stock	NGS	12(g)	0000778972	000-14209
FBNW	FirstBank NW Corp. Common Stock	Common Stock	NGM	12(g)	0001035513	000-22435
FCFC	FirstCity Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000828678	033-19694
FMER	FirstMerit Corporation Common Stock	Common Stock	NGS	12(g)	0000354869	000-10161
FSRV	FirstService Corporation Subordinate Voting Shares	Common Stock	NGS	12(g)	0000913353	000-24762
FSTW	Firstwave Technologies Inc. Common Stock	Common Stock	NCM	12(g)	0000897078	000-21202
FISV	Fiserv, Inc. Common Stock	Common Stock	NGS	12(g)	0000798354	000-14948
FSCI	Fisher Communications, Inc. Common Stock	Common Stock	NGM	12(g)	0001034669	000-22439
FLAG	FLAG Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000897509	000-24532
FLML	Flamel Technologies S.A. American Depositary Shares	American Depositary	NGM	12(g)	0001012477	000-28508

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
		Shares				
FLDR	Flanders Corporation Common Stock	Common Stock	NGS	12(g)	0000799526	000-27958
FLXS	Flexsteel Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0000037472	000-05151
FLEX	Flextronics International Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0000866374	000-23354
FLIR	FLIR Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0000354908	000-21918
FLOW	Flow International Corporation Common Stock	Common Stock	NGM	12(g)	0000713002	000-12448
FFIC	Flushing Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000923139	000-24272
FMCO	FMS Financial Corporation Common Stock	Common Stock	NGM	12(g)	0000839845	000-17353
FNBP	FNB Corporation Common Stock	Common Stock	NGS	12(g)	0001010961	000-24141
FNBF	FNB Financial Services Corporation Common Stock	Common Stock	NGM	12(g)	0000742679	000-13086
FNBN	FNB United Corp. Common Stock	Common Stock	NGS	12(g)	0000764811	000-13823
FCSE	FOCUS Enhancements, Inc. Common Stock	Common Stock	NCM	12(g)	0000884719	001-11860
FMCN	Focus Media Holding Limited Sponsored American Depositary Receipt (Cayman Islands)	American Depositary Shares	NGM	12(g)	0001330017	000-51387
FONR	Fonar Corporation Common Stock	Common Stock	NCM	12(g)	0000355019	000-10248
VIFLD	Food Technology Service, Inc. New Common Stock	Common Stock	NCM	12(g)	0000868267	000-19047
FMTI	Forbes Medi-Tech Inc. Common Share	Common Stock	NGM	12(g)	0001087477	000-30076
FORG	Forgent Networks Inc Common Stock	Common Stock	NGM	12(g)	0000884144	000-20008
FORM	FormFactor, Inc. FormFactor, Inc. Common Stock	Common Stock	NGS	12(g)	0001039399	000-50307
FORTY	Formula Systems (1985) Ltd. American Depositary Shares	American Depositary Shares	NGM	12(g)	0001045986	000-29442
FORR	Forrester Research, Inc. Common Stock	Common Stock	NGS	12(g)	0001023313	000-21433
FNET	FortuNet, Inc. Common Stock	Common Stock	NGM	12(g)	0001337899	000-51703
FWRD	Forward Air Corporation Common Stock	Common Stock	NGS	12(g)	0000912728	000-22490
FORD	Forward Industries, Inc. Common Stock	Common Stock	NCM	12(g)	0000038264	000-06669
FOSL	Fossil, Inc. Common Stock	Common Stock	NGS	12(g)	0000883569	000-19848
FWLT	Foster Wheeler Ltd. (Bermuda)	Common Stock	NGS	12(g)	0001130385	000-50740
FWLTW	Foster Wheeler Ltd. Class A Warrants 9/24/2009 (Bermuda)	Warrant	NGS	12(g)	0001130385	000-50740
FWLTZ	Foster Wheeler Ltd. Warrants B 9/24/2007 (Bermuda)	Warrant	NGS	12(g)	0001130385	000-50740
FDRY	Foundry Networks, Inc. Common Stock	Common Stock	NGS	12(g)	0001090071	000-26689
FOXH	FoxHollow Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0001217688	000-50998
FPBI	FPB Bancorp, Inc. Common Stock	Common Stock	NCM	12(g)	0001162245	000-33351
FPIC	FPIC Insurance Group, Inc. Common Stock	Common Stock	NGS	12(g)	0001010247	001-11983
FBTX	Franklin Bank Corp. Common Stock	Common Stock	NGS	12(g)	0001207070	000-50518

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
FCMC	Franklin Credit Management Corp Common Stock	Common Stock	NGM	12(g)	0000831246	000-17771
FELE	Franklin Electric Co., Inc. Common Stock	Common Stock	NGS	12(g)	0000038725	000-00362
FRED	Fred's, Inc. Common Stock	Common Stock	NGS	12(g)	0000724571	000-19288
FREEW	FreeSeas Inc. Warrant W 7/29/2009	Warrant	NCM	12(g)	0001325159	000-51672
FREEZ	FreeSeas Inc. Warrant Z 7/29/2011	Warrant	NCM	12(g)	0001325159	000-51672
FREE	FreeSeas Inc. Common Stock	Common Stock	NCM	12(g)	0001325159	000-51672
RAIL	FreightCar America, Inc. Common Stock	Common Stock	NGS	12(g)	0001320854	000-51237
FRNT	Frontier Airlines Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0000921929	000-24126
FTBK	Frontier Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000716457	000-15540
FFEX	Frozen Food Express Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0000039273	001-10006
FSII	FSI International, Inc. Common Stock	Common Stock	NGM	12(g)	0000841692	000-17276
FCEL	FuelCell Energy, Inc. Common Stock	Common Stock	NGM	12(g)	0000886128	001-14204
FTEK	Fuel-Tech, N.V. Common Stock	Common Stock	NGM	12(g)	0000846913	000-21724
FULT	Fulton Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000700564	000-10587
FNDT	Fundtech Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001054836	000-29634
FMDAY	Futuremedia Public Limited Company American Depositary Shares	American Depositary Shares	NCM	12(g)	0000906476	000-21978
FXEN	FX Energy, Inc. Common Stock	Common Stock	NGM	12(g)	0000907649	000-25386
GKSR	G&K Services, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000039648	000-04063
WILC	G. Willi-Food International, Ltd. Ordinary Shares	Ordinary Shares	NCM	12(g)	0001030997	000-29256
GAIA	Gaiam, Inc. Common Stock	Common Stock	NGM	12(g)	0001089872	000-27517
HIST	Gallery of History, Inc. Common Stock	Common Stock	NCM	12(g)	0000763730	000-13757
GMTC	GameTech International, Inc. Common Stock	Common Stock	NGM	12(g)	0001045014	000-23401
GPIC	Gaming Partners International Corporation Common Stock	Common Stock	NGM	12(g)	0000918580	000-23588
GMTN	Gander Mountain Company Common Stock	Common Stock	NGM	12(g)	0001277475	000-50659
GRMN	Garmin Ltd. Common Stock	Common Stock	NGS	12(g)	0001121788	000-31983
GBTS	Gateway Financial Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001156953	000-33223
GBTB	GB&T Bancshares Common Stock	Common Stock	NGS	12(g)	0001061068	000-24203
GEHL	Gehl Company Common Stock	Common Stock	NGS	12(g)	0000856386	000-18110
GEMP	Gemplus International S.A. American Depositary Shares	American Depositary Shares	NGS	12(g)	0001128749	000-31052
GMST	Gemstar-TV Guide International Inc. Common Stock	Common Stock	NGS	12(g)	0000923282	000-24218
GENR	Genaera Corporation Common Stock	Common Stock	NCM	12(g)	0000880431	000-19651
GSTL	Genco Shipping & Trading Limited Common Stock	Common Stock	NGM	12(g)	0001326200	000-51442

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
GLGC	Gene Logic Inc. Common Stock	Common Stock	NGM	12(g)	0001043914	000-23317
GNLB	Genelabs Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0000874443	000-19222
GNCMA	General Communication, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000808461	000-15279
GNBT	Generex Biotechnology Corporation Common Stock	Common Stock	NCM	12(g)	0001059784	000-25169
GHCI	Genesis HealthCare Corporation Common Stock	Common Stock	NGS	12(g)	0001236736	000-50351
GNSS	Genesis Microchip Inc. Common Stock	Common Stock	NGM	12(g)	0001161396	000-33477
GNSY	Genesys S.A. American Depositary Shares	American Depositary Shares	NGM	12(g)	0001125276	000-31134
GENE	Genetic Technologies Ltd Sponsored ADR	American Depositary Shares	NGM	12(g)	0001166272	000-51504
GTOP	Genitope Corporation Common Stock	Common Stock	NGM	12(g)	0001028358	000-50425
GLYT	Genlyte Group Incorporated (The) Common Stock	Common Stock	NGS	12(g)	0000833076	000-16960
GHDX	Genomic Health, Inc. Common Stock	Common Stock	NGM	12(g)	0001131324	000-51541
GPRO	Gen-Probe Incorporated Common Stock	Common Stock	NGS	12(g)	0000820237	001-31279
GNTA	Genta Incorporated Common Stock	Common Stock	NGM	12(g)	0000880643	000-19635
GETI	GenTek Inc. Common Stock	Common Stock	NGS	12(g)	0001077552	000-29163
GNTX	Gentex Corporation Common Stock	Common Stock	NGS	12(g)	0000355811	000-10235
GENT	Gentium SpA Gentium SpA American Depositary Shares ("ADSs")	American Depositary Shares	NGM	12(g)	0001314755	000-51341
GTIV	Gentiva Health Services, Inc. Common Stock	Common Stock	NGS	12(g)	0001096142	001-15669
GNVC	GenVec, Inc. Common Stock	Common Stock	NGM	12(g)	0000934473	000-24469
GENZ	Genzyme Corporation Common Stock	Common Stock	NGS	12(g)	0000732485	000-14680
GMET	GeoMet, Inc. Common Stock	Common Stock	NGM	12(g)	0001352302	000-52155
GEOI	GeoResources, Inc. Common Stock	Common Stock	NCM	12(g)	0000041023	000-08041
GABC	German American Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000714395	000-11244
GERN	Geron Corporation Common Stock	Common Stock	NGM	12(g)	0000886744	000-20859
GVHR	Gevity HR, Inc. Common Stock	Common Stock	NGS	12(g)	0001035185	000-22701
GFIG	GFI Group Inc. Common Stock	Common Stock	NGS	12(g)	0001292426	000-51103
ROCK	Gibraltar Industries, Inc. Common Stock	Common Stock	NGS	12(g)	0000912562	000-22462
GGBMZ	Gigabeam Corporation Class Z Warrant 1/28/2011	Warrant	NCM	12(g)	0001279831	000-50985
GGBM	Gigabeam Corporation Common Stock	Common Stock	NCM	12(g)	0001279831	000-50985
GGBMW	Gigabeam Corporation Warrant 10/14/2009	Warrant	NCM	12(g)	0001279831	000-50985
GIGM	GigaMedia Limited Ordinary Shares	Ordinary Shares	NGM	12(g)	0001105101	000-30540
GIGA	Giga-tronics Incorporated Common Stock	Common Stock	NCM	12(g)	0000719274	000-12719
GIII	G-III Apparel Group, LTD. Common Stock	Common Stock	NGM	12(g)	0000821002	000-18183

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
GILT	Gilat Satellite Networks Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0000897322	000-21218
GILD	Gilead Sciences, Inc. Common Stock	Common Stock	NGS	12(g)	0000882095	000-19731
GIVN	Given Imaging Ltd. Ordinary shares	Ordinary Shares	NGM	12(g)	0001126140	000-33133
GBCI	Glacier Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000868671	000-18911
GLAD	Gladstone Capital Corporation Common Stock	Common Stock	NGS	12(g)	0001143513	000-33117
GOODP	Gladstone Commercial Corporation 7.75% Series A Cumulative Convertible Preferred Stock	Preferred Stock	NGM	12(g)	0001234006	000-106024
GOOD	Gladstone Commercial Corporation Common stock	Common Stock	NGM	12(g)	0001234006	000-106024
GAIN	Gladstone Investment Corporation Common Stock	Common Stock	NGS	12(g)	0001321741	000-51233
GLBZ	Glen Burnie Bancorp Common Stock	Common Stock	NCM	12(g)	0000890066	000-24047
GEMS	Glenayre Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0000808918	000-15761
GLBC	Global Crossing Ltd. New Common Stock (Bermuda)	Common Stock	NGM	12(g)	0001061322	001-16201
GEPT	Global ePoint, Inc. Common Stock	Common Stock	NCM	12(g)	0000896195	000-21738
GISX	Global Imaging Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0001050167	000-24373
GLBL	Global Industries, Ltd. Common Stock	Common Stock	NGS	12(g)	0000895663	000-21086
GPTX	Global Payment Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0000933020	000-25148
GSOL	Global Sources Ltd. Common Stock	Common Stock	NGS	12(g)	0001110650	000-30678
GNET	Global Traffic Network, Inc. Common Stock	Common Stock	NGM	12(g)	0001344907	000-51838
GCOM	Globecomm Systems Inc. Common Stock	Common Stock	NGM	12(g)	0001031028	000-22839
GMKT	Gmarket Inc. American Depositary Shares	American Depositary Shares	NGM	12(g)	0001365241	000-52060
GMXR	GMX Resources, Inc. Common Stock	Common Stock	NGM	12(g)	0001127342	000-32325
GOAM	GoAmerica, Inc. New Common Stock	Common Stock	NCM	12(g)	0001101268	000-29359
GLNG	Golar Lng Ltd Golar Lng Ltd	Common Stock	NGS	12(g)	0001207179	000-50113
GKIS	Gold Kist Inc. Common Stock	Common Stock	NGS	12(g)	0001292215	000-50925
GLDC	Golden Enterprises, Inc. Common Stock	Common Stock	NGM	12(g)	0000042228	000-04339
GLDN	Golden Telecom, Inc. Common Stock	Common Stock	NGS	12(g)	0001089874	000-27423
GFSI	Goldleaf Financial Solutions, Inc. Common Stock	Common Stock	NCM	12(g)	0001069469	000-25959
GGXY	Golf Galaxy, Inc. Common Stock	Common Stock	NGS	12(g)	0001327098	000-51460
GOLF	Golfsmith International Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001202273	000-52041
GTIM	Good Times Restaurants Inc. Common Stock	Common Stock	NCM	12(g)	0000825324	000-18590
GOOG	Google Inc. Class A Common Stock	Common Stock	NGS	12(g)	0001288776	000-50726
GPCB	Gpc Biotech Ag American Depositary Shares	American Depositary Shares	NGM	12(g)	0001117629	000-50825
GRIN	Grand Toys International Limited American Depositary Shares	American Depositary	NCM	12(g)	0001285066	000-22372

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
		Shares				
GCFB	Granite City Food & Brewery Ltd	Common Stock	NCM	12(g)	0001048620	000-29643
GRVY	GRAVITY Co., Ltd. American Depository Shares	American Depositary Shares	NGM	12(g)	0001313310	000-51138
PEDE	Great Pee Dee Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001046587	000-23521
GSBC	Great Southern Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000854560	000-18082
GSBCP	Great Southern Bancorp, Inc. Great Southern Capital Trust I - 9.00% Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0000854560	000-18082
WOLF	Great Wolf Resorts, Inc. Common Stock	Common Stock	NGM	12(g)	0001294538	000-51064
GAFC	Greater Atlantic Financial Corp. Common Stock	Common Stock	NGM	12(g)	0001082735	000-26467
GBBK	Greater Bay Bancorp Common Stock	Common Stock	NGS	12(g)	0000775473	000-25034
GBBKO	Greater Bay Bancorp GBB Capital V - 9.00% Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0000775473	000-25034
GFLS	Greater Community Bancorp Common Stock	Common Stock	NGM	12(g)	0000773845	000-14294
GFLSO	Greater Community Bancorp Cumulative Trust Preferred Securities	Other Securities	NGM	12(g)	0000773845	000-14294
GMCR	Green Mountain Coffee, Roasters Inc. Common Stock	Common Stock	NGS	12(g)	0000909954	001-12340
GPRE	Green Plains Renewable Energy, Inc. Common Stock	Common Stock	NCM	12(g)	0001309402	000-51677
GCBC	Greene County Bancorp, Inc. Common Stock	Common Stock	NCM	12(g)	0001070524	000-25165
GCBS	Greene County Bancshares Inc Common Stock	Common Stock	NGS	12(g)	0000764402	000-14289
SRVY	Greenfield Online, Inc. Common Stock	Common Stock	NGM	12(g)	0001108906	000-50698
GVBK	Greenville First Bancshares Inc. Common Stock	Common Stock	NGM	12(g)	0001090009	000-27719
GRIF	Griffin Land & Nurseries, Inc. Common Stock	Common Stock	NGM	12(g)	0001037390	000-29288
GRIL	Grill Concepts Inc. Common Stock	Common Stock	NCM	12(g)	0000895041	000-23326
GGAL	Grupo Financiero Galicia S.A. American Depositary Shares	American Depositary Shares	NCM	12(g)	0001114700	000-30852
GSLA	GS Financial Corp. Common Stock	Common Stock	NGM	12(g)	0001029630	000-22269
GSIC	GSI Commerce, Inc. Common Stock	Common Stock	NGS	12(g)	0000828750	000-16611
GSIG	GSI Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001076930	000-25705
GTCB	GTC Biotherapeutics Inc Common Stock	Common Stock	NGM	12(g)	0000904973	000-21794
GTSI	GTSI Corp. Common Stock	Common Stock	NGM	12(g)	0000850483	000-19394
GTXI	GTx, Inc. Common Stock	Common Stock	NGM	12(g)	0001260990	000-50549
GFED	Guaranty Federal Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0001046203	000-23325
GTRC	Guitar Center, Inc. Common Stock	Common Stock	NGS	12(g)	0001021113	000-22207
GIFI	Gulf Island Fabrication, Inc. Common Stock	Common Stock	NGS	12(g)	0001031623	000-22303

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
GMRK	GulfMark Offshore, Inc. Common Stock	Common Stock	NGS	12(g)	0001030749	000-22853
GPOR	Gulfport Energy Corporation Common Stock	Common Stock	NGS	12(g)	0000874499	000-19514
GYMB	Gymboree Corporation (The) Common Stock	Common Stock	NGS	12(g)	0000786110	000-21250
GYRO	Gyrodyne Company of America, Inc. Common Stock	Common Stock	NCM	12(g)	0000044689	000-01684
HEES	H&E Equipment Services, Inc. Common Stock	Common Stock	NGS	12(g)	0001339605	000-51759
HABC	Habersham Bancorp Common Stock	Common Stock	NGM	12(g)	0000754597	000-13153
HAIN	Hain Celestial Group, Inc. (The) Common Stock	Common Stock	NGS	12(g)	0000910406	000-22818
HAMP	Hampshire Group, Limited Common Stock	Common Stock	NGM	12(g)	0000887150	000-20201
HNAB	Hana Biosciences, Inc. Common Stock	Common Stock	NGM	12(g)	0001140028	000-50782
HANA	hanarotelecom incorporated American Depositary Shares	American Depositary Shares	NGS	12(g)	0001108838	001-15012
HBHC	Hancock Holding Company Common Stock	Common Stock	NGS	12(g)	0000750577	000-13089
HAFC	Hanmi Financial Corporation Common Stock	Common Stock	NGS	12(g)	0001109242	000-30421
HANS	Hansen Natural Corporation Common Stock	Common Stock	NCM	12(g)	0000865752	000-18761
HARB	Harbor Florida Bancshares Inc Common Stock	Common Stock	NGS	12(g)	0001029407	000-22817
HDNG	Hardinge, Inc. Common Stock	Common Stock	NGS	12(g)	0000313716	000-15760
HGIC	Harleysville Group Inc. Common Stock	Common Stock	NGS	12(g)	0000792013	000-14697
HNBC	Harleysville National Corporation Common Stock	Common Stock	NGS	12(g)	0000702902	000-15237
HARL	Harleysville Savings Bank Common Stock	Common Stock	NGM	12(g)	0001107160	000-29709
HLIT	Harmonic Inc. Common Stock	Common Stock	NGM	12(g)	0000851310	000-25826
HWFG	Harrington West Financial Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001063997	000-50066
TINY	Harris & Harris Group, Inc. Common Stock	Common Stock	NGM	12(g)	0000893739	000-11576
HPOL	Harris Interactive, Inc. Common Stock	Common Stock	NGS	12(g)	0001094238	000-27577
HBIO	Harvard Bioscience, Inc. Common Stock	Common Stock	NGM	12(g)	0001123494	000-31923
HRVE	Harvey Electronics, Inc. Common Stock	Common Stock	NCM	12(g)	0000046043	000-14626
HAST	Hastings Entertainment, Inc. Common Stock	Common Stock	NGM	12(g)	0001054579	000-24381
HAUP	Hauppauge Digital, Inc. Common Stock	Common Stock	NGM	12(g)	0000930803	001-13550
HWKN	Hawkins, Inc. Common Stock	Common Stock	NGM	12(g)	0000046250	000-07647
HAYZ	Hayes Lemmerz International, Inc.	Common Stock	NGM	12(g)	0001237941	000-20932
HGRD	Health Grades, Inc. Common Stock	Common Stock	NCM	12(g)	0001027915	000-22019
HAXS	HealthAxis Inc. Common Stock	Common Stock	NCM	12(g)	0000768892	000-13591
HCSG	Healthcare Services Group, Inc. Common Stock	Common Stock	NGS	12(g)	0000731012	000-12015
HCTL	Healthcare Technologies Ltd. Ordinary Shares	Ordinary Shares	NCM	12(g)	0000835688	000-17788
HLEX	HealthExtras, Inc. Common Stock	Common Stock	NGS	12(g)	0001090403	000-31014

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
HSTM	HealthStream, Inc. Common Stock	Common Stock	NGM	12(g)	0001095565	000-27701
HTRN	HealthTronics, Inc. Common Stock	Common Stock	NGS	12(g)	0001018871	000-30406
HWAY	Healthways, Inc. Common Stock	Common Stock	NGS	12(g)	0000704415	000-19364
HTLD	Heartland Express, Inc. Common Stock	Common Stock	NGS	12(g)	0000799233	000-15087
HTLF	Heartland Financial USA, Inc. Common Stock	Common Stock	NGS	12(g)	0000920112	001-15393
HEII	HEI, Inc. Common Shares	Common Stock	NGM	12(g)	0000351298	000-10078
HSII	Heidrick & Struggles International, Inc. Common Stock	Common Stock	NGS	12(g)	0001066605	000-25837
HELE	Helen of Troy Limited Common Stock	Common Stock	NGS	12(g)	0000916789	000-23312
HSIC	Henry Schein, Inc. Common Stock	Common Stock	NGS	12(g)	0001000228	000-27078
HERO	Hercules Offshore, Inc. Common Stock	Common Stock	NGS	12(g)	0001330849	000-51582
HTGC	Hercules Technology Growth Capital, Inc. Common Stock	Common Stock	NGM	12(g)	0001280784	814-00792
HTBK	Heritage Commerce Corp Common Stock	Common Stock	NGS	12(g)	0001053352	000-23877
HFWA	Heritage Financial Corporation Common Stock	Common Stock	NGS	12(g)	0001046025	000-29480
HBOS	Heritage Financial Group Common Stock	Common Stock	NGM	12(g)	0001320002	000-51305
HEOP	Heritage Oaks Bancorp (CA) Common Stock	Common Stock	NCM	12(g)	0000921547	000-25020
HRLY	Herley Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0000047035	000-05411
MLHR	Herman Miller, Inc. Common Stock	Common Stock	NGS	12(g)	0000066382	001-15141
HSKA	Heska Corporation Common Stock	Common Stock	NCM	12(g)	0001038133	000-22427
HFFC	HF Financial Corp. Common Stock ($0.01 Par Value)	Common Stock	NGM	12(g)	0000881790	000-19772
HIFN	hi/fn, inc. Common Stock	Common Stock	NGM	12(g)	0001065246	000-24765
HIBB	Hibbett Sporting Goods, Inc. Common Stock	Common Stock	NGS	12(g)	0001017480	000-20969
HTCO	Hickory Tech Corporation Common Stock	Common Stock	NGM	12(g)	0000766561	000-13721
HIHO	Highway Holdings Limited Common Stock	Common Stock	NCM	12(g)	0001026785	000-28990
HLND	Hiland Partners, LP Common Units	Limited Partnership	NGS	12(g)	0001306527	000-51120
HINT	Hill International, Inc. Common Stock	Common Stock	NGM	12(g)	0001287808	000-50781
HINTU	Hill International, Inc. Unit Expires 4/23/2008	Unit	NGM	12(g)	0001287808	000-50781
HINTW	Hill International, Inc. Warrant Expires 4/23/2008	Warrant	NGM	12(g)	0001287808	000-50781
HIMX	Himax Technologies, Inc. American Depositary Shares	American Depositary Shares	NGS	12(g)	0001342338	000-51847
HORT	Hines Horticulture, Inc. Common Stock	Common Stock	NGM	12(g)	0001003515	000-24439
HRSH	Hirsch International Corp. Class A Common Stock	Common Stock	NCM	12(g)	0000915909	000-23434
HITK	Hi-Tech Pharmacal Co., Inc. Common Stock	Common Stock	NGS	12(g)	0000887497	000-20424
HITT	Hittite Microwave Corporation Common Stock	Common Stock	NGS	12(g)	0001130866	000-51448
HMNF	HMN Financial, Inc. Common Stock	Common Stock	NGM	12(g)	0000921183	000-24100

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
HMSY	HMS Holdings Corp	Common Stock	NGS	12(g)	0001196501	000-20946
HOKU	Hoku Scientific, Inc. Common Stock	Common Stock	NGM	12(g)	0001178336	000-51458
HEPH	Hollis-Eden Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0000899394	000-24672
HOLL	Hollywood Media Corp. Common Stock	Common Stock	NGM	12(g)	0000912544	000-22908
HOLX	Hologic, Inc. Common Stock	Common Stock	NGS	12(g)	0000859737	000-18281
HOMB	Home BancShares, Inc. Common Stock	Common Stock	NGM	12(g)	0001331520	000-51904
HCFC	Home City Financial Corporation Common Stock	Common Stock	NCM	12(g)	0001022103	000-21809
HOMF	Home Federal Bancorp Common Stock	Common Stock	NGM	12(g)	0000867493	000-18847
HOME	Home Federal Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001283858	000-50901
HSOA	Home Solutions of America, Inc. Common Stock	Common Stock	NGM	12(g)	0000855424	000-22388
HOFT	Hooker Furniture Corporation Common Stock	Common Stock	NCM	12(g)	0001077688	000-25349
HFBC	HopFed Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001041550	000-23667
HBNC	Horizon Bancorp (IN) Common Stock	Common Stock	NCM	12(g)	0000706129	000-10792
HRZB	Horizon Financial Corp. Common Stock	Common Stock	NGS	12(g)	0001002682	000-27062
HORC	Horizon Health Corporation Common Stock	Common Stock	NGS	12(g)	0000935007	001-13626
HOFF	Horizon Offshore, Inc. Common Stock	Common Stock	NGM	12(g)	0001051431	001-16857
HOTT	Hot Topic, Inc. Common Stock	Common Stock	NGS	12(g)	0001017712	000-28784
HOTJ	House of Taylor Jewelry, Inc. Common Stock	Common Stock	NCM	12(g)	0001069249	000-25377
SOLD	HouseValues, Inc. Common Stock	Common Stock	NGS	12(g)	0001298978	000-51032
HWCC	Houston Wire & Cable Company Common Stock	Common Stock	NGM	12(g)	0001356949	000-52046
HOVNP	Hovnanian Enterprises Inc Dep Shr Srs A Pfd	Depository Receipt	NGM	12(g)	0000357294	000-32447
HUBG	Hub Group, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000940942	000-27754
HCBK	Hudson City Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000921847	000-26001
HHGP	Hudson Highland Group, Inc. COMMON STOCK	Common Stock	NGM	12(g)	0001210708	000-50129
HDSN	Hudson Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0000925528	000-13412
HGSI	Human Genome Sciences, Inc. Common Stock	Common Stock	NGM	12(g)	0000901219	000-22962
HUMC	Hummingbird Ltd Common Shares	Common Stock	NGM	12(g)	0000919548	000-23464
HBAN	Huntington Bancshares Incorporated Common Stock	Common Stock	NGS	12(g)	0000049196	000-02525
HPCCP	Huntington Preferred Capital, Inc. Class C Preferred Stock	Preferred Stock	NGM	12(g)	0001140657	000-33243
HURC	Hurco Companies, Inc. Common Stock	Common Stock	NGS	12(g)	0000315374	000-09143
HURN	Huron Consulting Group Inc. Common Stock	Common Stock	NGS	12(g)	0001289848	000-50976
HRAY	Hurray! Holding Co., Ltd. American Depositary Shares	American Depositary Shares	NGM	12(g)	0001294435	000-51116
HTCH	Hutchinson Technology Incorporated Common Stock	Common Stock	NGS	12(g)	0000772897	000-14709

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
HYDL	Hydril Common Stock	Common Stock	NGS	12(g)	0001116030	000-31579
HYGS	Hydrogenics Corporation Common Shares	Common Stock	NGM	12(g)	0001119985	000-31815
HYSL	Hyperion Solutions Corporation Common Stock	Common Stock	NGS	12(g)	0001001113	000-26934
HYTM	Hythiam, Inc. Common Stock	Common Stock	NGM	12(g)	0001136174	000-51181
ITWO	i2 Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0001009304	000-28030
IACI	IAC/InterActiveCorp Common Stock	Common Stock	NGS	12(g)	0000891103	000-20570
IACIW	IAC/InterActiveCorp Warrants 2/4/2009	Warrant	NGS	12(g)	0000891103	000-20570
IACIZ	IAC/InterActiveCorp Warrants 2/4/2009	Warrant	NGS	12(g)	0000891103	000-20570
IBAS	iBasis, Inc. Common Stock	Common Stock	NGM	12(g)	0001091756	000-27127
IBKC	IBERIABANK Corporation Common Stock	Common Stock	NGS	12(g)	0000933141	000-25756
IBIS	Ibis Technology Corporation Common Stock	Common Stock	NGM	12(g)	0000855182	000-23150
ICAB	i-CABLE Communications Limited American Depositary Shares	American Depositary Shares	NGM	12(g)	0001097020	000-30350
ICAD	icad inc. Common Stock	Common Stock	NCM	12(g)	0000749660	001-9341
ICGN	Icagen, Inc. Common Stock	Common Stock	NGM	12(g)	0000902622	000-50676
ICOC	ICO, Inc. Common Stock	Common Stock	NGM	12(g)	0000353567	000-10068
ICOCZ	ICO, Inc. Depository Shares	Depository Receipt	NGM	12(g)	0000353567	000-10068
ICLR	ICON plc American Depositary Shares	American Depositary Shares	NGS	12(g)	0001060955	000-29714
ICON	Iconix Brand Group, Inc. Common Stock	Common Stock	NGM	12(g)	0000857737	000-10593
ICOP	ICOP Digital, Inc. Common Stock	Common Stock	NCM	12(g)	0001094572	000-27321
ICOPW	ICOP Digital, Inc. Warrant	Warrant	NCM	12(g)	0001094572	000-27321
ICOS	ICOS Corporation Common Stock	Common Stock	NGS	12(g)	0000874294	000-19171
IVIS	ICOS Vision Systems Corporation N.V. Common Stock	Common Stock	NGM	12(g)	0001049253	000-29554
ICTG	ICT Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001013149	000-20807
ICTS	ICTS International N.V. Common Shares	Common Stock	NGM	12(g)	0001010134	000-28542
ICUI	ICU Medical, Inc. Common Stock	Common Stock	NGS	12(g)	0000883984	000-19974
IDIX	Idenix Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001093649	000-49839
IDXX	IDEXX Laboratories, Inc. Common Stock	Common Stock	NGS	12(g)	0000874716	000-19271
IDMI	IDM Pharma, Inc. Common Stock	Common Stock	NGM	12(g)	0000822206	000-19591
IFLO	I-Flow Corporation Common Stock	Common Stock	NGM	12(g)	0000857728	000-18338
IGTE	iGate Corporation Common Stock	Common Stock	NGM	12(g)	0001024732	000-21755
IIVI	II-VI Incorporated Common Stock	Common Stock	NGS	12(g)	0000820318	000-16195
IKAN	Ikanos Communications, Inc. Common Stock	Common Stock	NGM	12(g)	0001219210	000-51532
IKNX	Ikonics Corporation	Common Stock	NCM	12(g)	0001083301	000-25727

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
ILMN	Illumina, Inc. Common Stock	Common Stock	NGM	12(g)	0001110803	000-30361
ILOG	ILOG S.A. American Depositary Shares	American Depositary Shares	NGS	12(g)	0001031140	000-29144
DISK	Image Entertainment, Inc. Common Stock	Common Stock	NGM	12(g)	0000216324	000-11071
ISNS	Image Sensing Systems, Inc. Common Stock	Common Stock	NCM	12(g)	0000943034	000-26056
IMNY	I-many, Inc. Common Stock	Common Stock	NGM	12(g)	0001104017	000-30883
IMAX	Imax Corporation Common Stock	Common Stock	NGM	12(g)	0000921582	000-24216
IMCL	ImClone Systems Incorporated Common Stock	Common Stock	NGS	12(g)	0000765258	000-19612
IMMR	Immersion Corporation Common Stock	Common Stock	NGM	12(g)	0001058811	000-27969
ICCC	ImmuCell Corporation Common Stock	Common Stock	NCM	12(g)	0000811641	000-15507
BLUD	Immucor, Inc. Common Stock	Common Stock	NGS	12(g)	0000736822	000-14820
IMMC	Immunicon Corporation Common Stock	Common Stock	NGM	12(g)	0001083132	000-50677
IMGN	ImmunoGen, Inc. Common Stock	Common Stock	NGM	12(g)	0000855654	000-17999
IMMU	Immunomedics, Inc. Common Stock	Common Stock	NGM	12(g)	0000722830	000-12104
IMCO	IMPCO Technologies, Inc Common Stock	Common Stock	NGM	12(g)	0000790708	000-16115
IPII	Imperial Industries, Inc. Common Stock	Common Stock	NCM	12(g)	0000049930	001-07190
IPSU	Imperial Sugar Company Common Stock	Common Stock	NGM	12(g)	0000831327	000-16674
IPSUW	Imperial Sugar Company Warrants 01/01/2008	Warrant	NGM	12(g)	0000831327	000-16674
ZCOM	Impreso, Inc. Common Stock	Common Stock	NCM	12(g)	0001108345	000-29883
MAIL	IncrediMail Ltd. Ordinary Shares	Ordinary Shares	NCM	12(g)	0001338940	000-51694
INCY	Incyte Corp. Common Stock	Common Stock	NGM	12(g)	0000879169	001-12400
INDB	Independent Bank Corp. Common Stock	Common Stock	NGS	12(g)	0000776901	000-19264
INDBN	Independent Bank Corp. Independent Capital Trust III - 8.625% Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0000776901	000-19264
INDBM	Independent Bank Corp. Independent Capital Trust IV - 8.375% Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0000776901	000-19264
IBCP	Independent Bank Corporation Common Stock	Common Stock	NGS	12(g)	0000039311	000-07818
IBCPO	Independent Bank Corporation IBC Capital Finance II - % Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0000039311	000-07818
IDEV	Indevus Pharmaceuticals Inc.	Common Stock	NGM	12(g)	0000854222	000-18728
IINT	Indus International, Inc. Common Stock	Common Stock	NGM	12(g)	0001041333	000-22993
IDGR	Industrial Distribution Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001042351	001-13195
IDSA	Industrial Services of America, Inc. Common Stock	Common Stock	NCM	12(g)	0000004187	000-20979
NRGP	Inergy Holdings, L.P. Common Units	Limited Partnership	NGS	12(g)	0001228068	000-51304

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
NRGY	Inergy, L.P. Common Units Representing Limited Partnership Interests	Limited Partnership	NGS	12(g)	0001136352	000-32453
IFNY	Infinity Energy Resources, Inc. Common Stock	Common Stock	NGM	12(g)	0000822746	000-17204
IPCC	Infinity Property and Casualty Corporation Common Stock	Common Stock	NGS	12(g)	0001195933	000-50167
IFOX	Infocrossing, Inc. Common Stock	Common Stock	NGS	12(g)	0000893816	000-20824
INFS	InFocus Corporation Common Stock	Common Stock	NGM	12(g)	0000845434	000-18908
INFA	Informatica Corporation Common Stock	Common Stock	NGS	12(g)	0001080099	000-25871
INFT	Inforte Corp. Common Stock	Common Stock	NGM	12(g)	0001099944	000-29239
INSP	InfoSpace, Inc. Common Stock	Common Stock	NGS	12(g)	0001068875	000-25131
INFY	Infosys Technologies Limited American Depositary Shares	American Depositary Shares	NGS	12(g)	0001067491	000-25383
IUSA	infoUSA, Inc. Common Stock	Common Stock	NGS	12(g)	0000879437	000-19598
IVTA	InfoVista S.A. American Depositary Shares	American Depositary Shares	NGM	12(g)	0001117064	000-30838
IMKTA	Ingles Markets, Incorporated Class A Common Stock	Common Stock	NGM	12(g)	0000050493	000-14706
INHX	Inhibitex, Inc. Common Stock	Common Stock	NGM	12(g)	0001274913	000-50772
INOD	Innodata Isogen Inc Common Stock	Common Stock	NGM	12(g)	0000903651	000-22196
IOSP	Innospec Inc. Common Stock	Common Stock	NGM	12(g)	0001054905	000-51849
INOC	Innotrac Corporation Common Stock	Common Stock	NGM	12(g)	0001051114	000-23741
ISSC	Innovative Solutions and Support, Inc. Common Stock	Common Stock	NGS	12(g)	0000836690	000-31157
INVX	Innovex, Inc. Common Stock	Common Stock	NGM	12(g)	0000050601	000-13143
INNO	Innovo Group, Inc. Common Stock	Common Stock	NCM	12(g)	0000844143	000-18926
INPC	InPhonic, Inc. Common Stock	Common Stock	NGM	12(g)	0001133324	000-51023
NPLA	InPlay Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0001054070	001-15069
NSIT	Insight Enterprises, Inc. Common Stock	Common Stock	NGS	12(g)	0000932696	000-25092
IFUL	Insightful Corporation Common Stock	Common Stock	NCM	12(g)	0000895095	000-20992
ISIG	Insignia Systems, Inc. Common Stock	Common Stock	NCM	12(g)	0000875355	000-19380
INSU	Insituform Technologies, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000353020	000-10786
INSM	Insmed, Inc. Common Stock	Common Stock	NGM	12(g)	0001104506	000-30739
ISPH	Inspire Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001040416	000-31135
IIIN	Insteel Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0000764401	001-09929
QUOT	Insure.com, Inc. Common Stock	Common Stock	NCM	12(g)	0001079996	000-26781
INSW	InsWeb Corporation Common Stock	Common Stock	NCM	12(g)	0001077370	000-26083
INTN	INTAC International Common Stock	Common Stock	NCM	12(g)	0001127439	000-32621
IBNK	Integra Bank Corporation Common Stock	Common Stock	NGM	12(g)	0000764241	000-13585

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
IART	Integra LifeSciences Holdings Corporation Common Stock	Common Stock	NGS	12(g)	0000917520	000-26224
ISYS	Integral Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0000718130	000-18603
INMD	IntegraMed America, Inc. Common Stock	Common Stock	NGM	12(g)	0000885988	000-20260
IASG	Integrated Alarm Services Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001200022	000-50343
IDTI	Integrated Device Technology, Inc. Common Stock	Common Stock	NGS	12(g)	0000703361	000-12695
IESC	Integrated Electrical Services, Inc. Common Stock	Common Stock	NGM	12(g)	0001048268	001-13783
ISSI	Integrated Silicon Solution, Inc. Common Stock	Common Stock	NGM	12(g)	0000854701	000-23084
INTC	Intel Corporation Common Stock	Common Stock	NGS	12(g)	0000050863	000-06217
IPAR	Inter Parfums, Inc. Common Stock	Common Stock	NGS	12(g)	0000822663	000-16469
ININ	Interactive Intelligence, Inc. Common Stock	Common Stock	NGM	12(g)	0001083318	000-27385
ISWI	Interactive Systems Worldwide Inc. Common Stock	Common Stock	NCM	12(g)	0001025995	000-21831
INCX	Interchange Corporation Common Stock	Common Stock	NCM	12(g)	0001259550	000-50989
IFCJ	Interchange Financial Services Corporation Common Stock	Common Stock	NGS	12(g)	0000755933	001-10518
IDCC	InterDigital Communications Corp. Common Stock	Common Stock	NGS	12(g)	0000354913	001-11152
IFSIA	Interface, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0000715787	000-12016
INGR	Intergraph Corporation Common Stock	Common Stock	NGS	12(g)	0000351145	000-09722
IMGC	Intermagnetics General Corporation Common Stock	Common Stock	NGS	12(g)	0000351012	000-09968
ITMN	InterMune, Inc. Common Stock	Common Stock	NGM	12(g)	0001087432	000-29801
IAAC	International Assets Holding Corporation Common Stock	Common Stock	NCM	12(g)	0000913760	000-23554
LFINP	International Bancshares Corporation - Local Financial Capital Trust I - 9.00% Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0000315709	000-09439
IBOC	International Bancshares Corporation Common Stock	Common Stock	NGS	12(g)	0000315709	000-09439
IDWK	International DisplayWorks, Inc. Common Stock	Common Stock	NGM	12(g)	0000866415	000-27002
ISCA	International Speedway Corporation Class A Common Stock	Common Stock	NGS	12(g)	0000051548	000-02384
ICGE	Internet Capital Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001085621	000-26929
ICCA	Internet Commerce Corp. Class A Common Stock	Common Stock	NCM	12(g)	0000894738	000-24996
IGLD	Internet Gold Golden Lines Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001090159	000-30198
IIJI	Internet Initiative Japan, Inc. American Depositary Shares	American Depositary Shares	NGM	12(g)	0001090633	000-30204
ISSX	Internet Security Systems Inc Common Stock	Common Stock	NGS	12(g)	0001053148	000-23655
INPH	Interphase Corporation Common Stock	Common Stock	NGM	12(g)	0000728249	000-13071
INTX	Intersections, Inc. Common Stock	Common Stock	NGM	12(g)	0001095277	000-50580
ISIL	Intersil Corporation Class A Common Stock	Common Stock	NGS	12(g)	0001096325	000-29617
INTL	Inter-Tel, Incorporated Series A Common Stock	Common Stock	NGS	12(g)	0000350066	000-10211

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
IBCA	Intervest Bancshares Corp. Class A Common Stock	Common Stock	NGS	12(g)	0000927807	000-23377
IVII	Intervideo, Inc. Common Stock	Common Stock	NGM	12(g)	0001114084	000-49809
INTV	InterVoice Inc. Common Stock	Common Stock	NGS	12(g)	0000764244	001-15045
IWOV	Interwoven, Inc. Common Stock	Common Stock	NGM	12(g)	0001042431	000-27389
INTT	inTest Corporation Common Stock	Common Stock	NGM	12(g)	0001036262	000-22529
IVAC	Intevac, Inc. Common Stock	Common Stock	NGM	12(g)	0001001902	000-26946
ILSE	IntraLase Corp. Common Stock	Common Stock	NGM	12(g)	0001163848	000-50939
ITRA	Intraware, Inc. Common Stock	Common Stock	NCM	12(g)	0001025134	000-25249
INGN	Introgen Therapeutics, Inc. Common Stock	Common Stock	NGM	12(g)	0001018710	000-21291
INTZ	Intrusion Inc. New Common Stock	Common Stock	NCM	12(g)	0000736012	000-20191
INTU	Intuit Inc. Common Stock	Common Stock	NGS	12(g)	0000896878	000-21180
ISRG	Intuitive Surgical, Inc. Common Stock	Common Stock	NGS	12(g)	0001035267	000-30713
VTIV	inVentiv Health, Inc. Common Stock	Common Stock	NGS	12(g)	0001089473	000-30318
SNAK	Inventure Group, Inc. (The) Common Stock	Common Stock	NCM	12(g)	0000944508	001-14556
IEDU	INVESTools Inc Common Stock	Common Stock	NGM	12(g)	0001145124	001-31917
ISBC	Investors Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0001326807	000-51557
IFIN	Investors Financial Services Corp. Common Stock	Common Stock	NGS	12(g)	0000949589	000-26996
IRETP	Investors Real Estate Trust Series A Cumulative Redeemable Preferred Shares of Beneficial Interest	Preferred Stock	NGS	12(g)	0000798359	000-14851
IRETS	Investors Real Estate Trust Shares of Beneficial Interest	Shares of Beneficial Interest	NGS	12(g)	0000798359	000-14851
ITIC	Investors Title Company Common Stock	Common Stock	NGM	12(g)	0000720858	000-11774
IVGN	Invitrogen Corporation Common Stock	Common Stock	NGS	12(g)	0001073431	000-25317
INXI	INX Inc. Common Stock	Common Stock	NCM	12(g)	0001020017	000-21479
INXIW	INX Inc. Warrants 5/7/2009	Warrant	NCM	12(g)	0001020017	000-21479
IOMI	Iomai Corporation Common Stock	Common Stock	NGM	12(g)	0001125001	000-51709
IONA	IONA Technologies PLC American Depositary Shares	American Depositary Shares	NGM	12(g)	0001032346	000-29154
IOTN	Ionatron, Inc. Common Stock	Common Stock	NGM	12(g)	0000879911	001-14015
IPAS	iPass Inc. Common Stock	Common Stock	NGS	12(g)	0001053374	000-50327
IPCR	IPC Holdings, Limited Common Shares	Common Stock	NGS	12(g)	0000909815	000-27662
IPCS	iPCS, Inc. Common Stock	Common Stock	NCM	12(g)	0001108727	000-51844
IPIX	IPIX Corporation Common Stock	Common Stock	NCM	12(g)	0001088022	000-26363
IRIX	IRIDEX Corporation Common Stock	Common Stock	NGM	12(g)	0001006045	000-27598
IRIS	IRIS International, Inc. Common Stock	Common Stock	NGM	12(g)	0000319240	000-09767

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
IRBT	iRobot Corporation Common Stock	Common Stock	NGM	12(g)	0001159167	000-51598
ISIS	Isis Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0000874015	000-19125
ISLE	Isle of Capri Casinos, Inc. Common Stock	Common Stock	NGS	12(g)	0000863015	000-20538
ISONL	Isonics Corporation Class B Warrants 12/29/2006	Warrant	NCM	12(g)	0001023966	000-21607
ISONZ	Isonics Corporation Class C Warrants 12/29/2006	Warrant	NCM	12(g)	0001023966	000-21607
ISON	Isonics Corporation Common Stock	Common Stock	NCM	12(g)	0001023966	000-21607
ISRL	Isramco, Inc. Common Stock	Common Stock	NCM	12(g)	0000719209	000-12500
ISTA	ISTA Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0000930553	000-31255
ITLA	ITLA Capital Corporation Common Stock	Common Stock	NGS	12(g)	0001000234	000-26960
ITRI	Itron, Inc. Common Stock	Common Stock	NGS	12(g)	0000780571	000-22418
ITRN	Ituran Location and Control Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001337117	000-51526
IVAN	Ivanhoe Energy, Inc. Common Shares	Common Stock	NCM	12(g)	0001106935	000-30586
IVOW	iVOW, Inc. Common Stock	Common Stock	NCM	12(g)	0001035181	000-22743
XXIA	Ixia Common Stock	Common Stock	NGS	12(g)	0001120295	000-31523
SYXI	IXYS Corporation Common Stock	Common Stock	NGM	12(g)	0000945699	000-26124
JJSF	J & J Snack Foods Corp. Common Stock	Common Stock	NGS	12(g)	0000785956	000-14616
MAYS	J. W. Mays, Inc. Common Stock	Common Stock	NCM	12(g)	0000054187	001-3647
JBHT	J.B. Hunt Transport Services, Inc. Common Stock	Common Stock	NGS	12(g)	0000728535	000-11757
JCOM	j2 Global Communications Inc Common Stock	Common Stock	NGS	12(g)	0001084048	000-25965
JCDA	Jacada Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001095747	000-30342
JKHY	Jack Henry & Associates, Inc. Common Stock	Common Stock	NGS	12(g)	0000779152	000-14112
JXSB	Jacksonville Bancorp Common Stock	Common Stock	NCM	12(g)	0001172097	000-49792
JAXB	JACKSONVILLE BANCORP INC (FL) Common Stock	Common Stock	NCM	12(g)	0001071264	000-30248
JACO	Jaco Electronics, Inc. Common Stock	Common Stock	NGM	12(g)	0000052971	000-5896
JAKK	JAKKS Pacific, Inc. Common Stock	Common Stock	NGS	12(g)	0001009829	000-28104
JRCC	James River Coal Company New Common Stock	Common Stock	NGM	12(g)	0001297720	000-51129
JRVR	James River Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001325177	000-51480
JDAS	JDA Software Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001006892	000-27876
JDSU	JDS Uniphase Corporation Common Stock	Common Stock	NGS	12(g)	0000912093	000-22874
JFBI	Jefferson Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0001222915	000-50347
JFBC	Jeffersonville Bancorp Common Stock	Common Stock	NCM	12(g)	0000874495	000-19212
JBLU	JetBlue Airways Corporation Common Stock	Common Stock	NGS	12(g)	0001158463	000-49728
JCTCF	Jewett-Cameron Trading Company Common Shares	Common Stock	NCM	12(g)	0000885307	000-19954
JMAR	JMAR Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0000857953	001-10515

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
JBSS	John B. Sanfilippo & Son, Inc. Common Stock	Common Stock	NGM	12(g)	0000880117	000-19681
JOUT	Johnson Outdoors Inc. Class A Common Stock	Common Stock	NGM	12(g)	0000788329	000-16255
JSDA	Jones Soda Co. Common Stock	Common Stock	NCM	12(g)	0001083522	000-28820
JOSB	Jos. A. Bank Clothiers, Inc. Common Stock	Common Stock	NGS	12(g)	0000920033	000-23874
JOYG	Joy Global Inc. Common Stock	Common Stock	NGS	12(g)	0000801898	001-9299
JNPR	Juniper Networks, Inc. Common Stock	Common Stock	NGS	12(g)	0001043604	000-26339
JUPM	Jupitermedia Corporation	Common Stock	NGS	12(g)	0001083712	000-26393
KALU	Kaiser Aluminum Corporation Common Stock	Common Stock	NGM	12(g)	0000811596	000-52105
KAMN	Kaman Corporation Common Stock	Common Stock	NGM	12(g)	0000054381	000-1093
KBAY	Kanbay International, Inc. Common Stock	Common Stock	NGS	12(g)	0001125011	000-50849
KRNY	Kearny Financial Common Stock	Common Stock	NGS	12(g)	0001295664	000-51093
KELYA	Kelly Services, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000055135	000-01088
KELYB	Kelly Services, Inc. Class B Common Stock	Common Stock	NGS	12(g)	0000055135	000-01088
KNDL	Kendle International Inc. Common Stock	Common Stock	NGS	12(g)	0001039151	000-23019
KNXA	Kenexa Corporation Common Stock	Common Stock	NGM	12(g)	0001114714	000-51358
KNSY	Kensey Nash Corporation Common Stock	Common Stock	NGS	12(g)	0001002811	000-27120
KENT	Kent Financial Services, Inc. Common Stock	Common Stock	NCM	12(g)	0000316028	001-7986
KFFB	Kentucky First Federal Bancorp Common Stock	Common Stock	NGM	12(g)	0001297341	000-51176
KERX	Keryx Biopharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001114220	000-30929
KEQU	Kewaunee Scientific Corporation Common Stock	Common Stock	NGM	12(g)	0000055529	000-05286
KTEC	Key Technology, Inc. Common Stock	Common Stock	NGM	12(g)	0000906193	000-21820
KTCC	Key Tronic Corporation Common Stock	Common Stock	NGM	12(g)	0000719733	000-11559
KEYN	Keynote Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0001032761	000-27241
KEYS	Keystone Automotive Industries, Inc. Common Stock	Common Stock	NGS	12(g)	0001012393	000-28568
KFED	K-Fed Bancorp Common Stock	Common Stock	NGM	12(g)	0001270985	000-50592
KFRC	Kforce, Inc. Common Stock	Common Stock	NGS	12(g)	0000930420	000-26058
KHDH	KHD Humboldt Wedag International Ltd. Common Stock	Common Stock	NGS	12(g)	0000016859	001-04192
KBALB	Kimball International, Inc. Class B Common Stock	Common Stock	NGS	12(g)	0000055772	000-03279
KNTA	Kintera Inc. Common Stock	Common Stock	NGM	12(g)	0001117119	000-50507
KIRK	Kirkland's, Inc. COMMONSTOCK	Common Stock	NGM	12(g)	0001056285	000-49885
KLAC	KLA-Tencor Corporation Common Stock	Common Stock	NGS	12(g)	0000319201	000-09992
KMGB	KMG Chemicals, Inc. Common Stock	Common Stock	NGM	12(g)	0001028215	000-29278
KNBT	KNBT Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001236964	000-50426
NITE	Knight Capital Group, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0001060749	001-14223

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
VLCCF	Knightsbridge Tankers, Limited Common Stock	Common Stock	NGS	12(g)	0001029145	000-29106
KNOL	Knology, Inc. Common Stock	Common Stock	NGM	12(g)	0001096788	000-32647
KNOT	Knot, Inc. (The) Common Stock	Common Stock	NGM	12(g)	0001062292	000-28271
KOMG	Komag, Incorporated Common Stock	Common Stock	NGS	12(g)	0000813347	000-16852
KONA	Kona Grill, Inc. Common Stock	Common Stock	NGM	12(g)	0001265572	000-51491
KONG	KongZhong Corporation American Depositary Shares	American Depositary Shares	NGM	12(g)	0001285137	000-50826
KOPN	Kopin Corporation Common Stock	Common Stock	NGM	12(g)	0000771266	000-19882
KOSP	Kos Pharmaceuticals, Inc. Common Stock	Common Stock	NGS	12(g)	0001018952	000-22171
KOSN	Kosan Biosciences Incorporated Common Stock	Common Stock	NGM	12(g)	0001110206	000-31633
KOSS	Koss Corporation Common Stock	Common Stock	NGM	12(g)	0000056701	000-03295
KRSL	Kreisler Manufacturing Corporation Common Stock	Common Stock	NCM	12(g)	0000056806	000-04036
KRON	Kronos Incorporated Common Stock	Common Stock	NGS	12(g)	0000886903	000-20109
KSWS	K-Swiss Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000862480	000-18490
KTII	K-Tron International, Inc. Common Stock	Common Stock	NGM	12(g)	0000000020	000-09576
KLIC	Kulicke and Soffa Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0000056978	000-00121
KVHI	KVH Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0001007587	000-28082
KYPH	Kyphon Inc. Common Stock	Common Stock	NGS	12(g)	0001123313	000-49804
FSTR	L.B. Foster Company Common Stock	Common Stock	NGS	12(g)	0000352825	000-10436
LJPC	La Jolla Pharmaceutical Company Common Stock	Common Stock	NGM	12(g)	0000920465	000-24274
DDSS	Labopharm Inc Ordinary Shares	Ordinary Shares	NGM	12(g)	0001284519	000-51933
BOOT	LaCrosse Footwear, Inc. Common Stock	Common Stock	NGM	12(g)	0000919443	000-23800
LDSH	Ladish Co., Inc. Common Stock	Common Stock	NGM	12(g)	0000814250	000-23539
LSBK	Lake Shore Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001341318	000-51821
LBAI	Lakeland Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000846901	000-17820
LKFN	Lakeland Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000721994	000-11487
LAKE	Lakeland Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0000798081	000-15535
LACO	Lakes Entertainment, Inc. Common Stock	Common Stock	NGM	12(g)	0001071255	000-24993
LRCX	Lam Research Corporation Common Stock	Common Stock	NGS	12(g)	0000707549	000-12933
LAMR	Lamar Advertising Company Class A Common Stock	Common Stock	NGS	12(g)	0001090425	000-30242
LANC	Lancaster Colony Corporation Common Stock	Common Stock	NGS	12(g)	0000057515	000-04065
LNCE	Lance, Inc. Common Stock	Common Stock	NGS	12(g)	0000057528	000-00398
LNDC	Landec Corporation Common Stock	Common Stock	NGS	12(g)	0001005286	000-27446
LARK	Landmark Bancorp Inc. Common Stock	Common Stock	NGM	12(g)	0001141688	000-23164

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
LSTR	Landstar System, Inc. Common Stock	Common Stock	NGS	12(g)	0000853816	000-21238
GAIT	Langer, Inc. Common Stock	Common Stock	NGM	12(g)	0000725460	000-12991
LNOP	LanOptics Ltd. Ordinary Shares	Ordinary Shares	NCM	12(g)	0000892534	000-20860
LTRX	Lantronix, Inc. Common Stock	Common Stock	NCM	12(g)	0001114925	001-16027
LCRD	LaserCard Corporation Common Stock	Common Stock	NGM	12(g)	0000030140	000-06377
LSCC	Lattice Semiconductor Corporation Common Stock	Common Stock	NGM	12(g)	0000855658	000-18032
LAUR	Laureate Education, Inc. Common Stock	Common Stock	NGS	12(g)	0000912766	000-22844
LARL	Laurel Capital Group, Inc. Common Stock	Common Stock	NCM	12(g)	0000892158	000-23010
LAWS	Lawson Products, Inc. Common Stock	Common Stock	NGS	12(g)	0000703604	000-10546
LWSN	Lawson Software, Inc. Common Stock	Common Stock	NGS	12(g)	0001141517	000-33335
LAYN	Layne Christensen Company Common Stock	Common Stock	NGS	12(g)	0000888504	000-20578
LCAV	LCA-Vision Inc. Common Stock	Common Stock	NGS	12(g)	0001003130	000-27610
LCCI	LCC International, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0001016229	000-21213
LBIX	Leading Brands Inc Common Shares	Common Stock	NCM	12(g)	0000884247	000-19884
LDIS	Leadis Technology, Inc. Common Stock	Common Stock	NGM	12(g)	0001130626	000-50770
LEAP	Leap Wireless International, Inc. Common Stock	Common Stock	NGS	12(g)	0001065049	000-29752
LTRE	Learning Tree International, Inc. Common Stock	Common Stock	NGM	12(g)	0001002037	000-27248
XPRT	LECG Corporation Common Stock	Common Stock	NGS	12(g)	0001192305	000-50464
LCRY	LeCroy Corporation Common Stock	Common Stock	NGM	12(g)	0000943580	000-26634
FLPB	Leesport Financial Corp. Common Stock	Common Stock	NGS	12(g)	0000775662	000-14555
LEGC	Legacy Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001332199	000-51525
LSCO	LESCO, Inc. Common Stock	Common Stock	NGM	12(g)	0000745394	000-13147
LVLT	Level 3 Communications, Inc. Common Stock	Common Stock	NGS	12(g)	0000794323	000-15658
LEXG	Lexicon Genetics Incorporated Common Stock	Common Stock	NGM	12(g)	0001062822	000-30111
LHCG	LHC Group Common Stock	Common Stock	NGM	12(g)	0001303313	000-51343
LBCP	Liberty Bancorp, Inc. Common Stock	Common Stock	NCM	12(g)	0001353268	000-51992
LBTYA	Liberty Global, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0001316631	000-51360
LBTYB	Liberty Global, Inc. Class B Common Stock	Common Stock	NGS	12(g)	0001316631	000-51360
LBTYK	Liberty Global, Inc. Series C Common Stock	Common Stock	NGS	12(g)	0001316631	000-51360
LCAPA	Liberty Media Corporation Capital Common Series A	Common Stock	NGS	12(g)	0001355096	000-51990
LCAPB	Liberty Media Corporation Capital Common Series B	Common Stock	NGS	12(g)	0001355096	000-51990
LINTA	Liberty Media Corporation Interactive Common Series A	Common Stock	NGS	12(g)	0001355096	000-51990
LINTB	Liberty Media Corporation Interactive Common Series B	Common Stock	NGS	12(g)	0001355096	000-51990
LPHI	Life Partners Holdings Inc Common Stock	Common Stock	NCM	12(g)	0000049534	000-07900

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
LIFC	LifeCell Corporation Common Stock	Common Stock	NGS	12(g)	0000849448	000-19890
LCBM	Lifecore Biomedical, Inc. Common Stock	Common Stock	NGM	12(g)	0000028626	000-04136
LPNT	LifePoint Hospitals, Inc. Common Stock	Common Stock	NGS	12(g)	0001301611	000-29818
LCUT	Lifetime Brands, Inc. Common Stock	Common Stock	NGS	12(g)	0000874396	000-19254
LWAY	Lifeway Foods, Inc. Common Stock	Common Stock	NGM	12(g)	0000814586	000-17363
LGND	Ligand Pharmaceuticals Incorporated Common Stock	Common Stock	NGM	12(g)	0000886163	000-20720
LTBG	Lightbridge, Inc. Common Stock	Common Stock	NGM	12(g)	0001017172	000-21319
LPTH	LightPath Technologies, Inc. Class A Common Stock	Common Stock	NCM	12(g)	0000889971	000-27548
LIHRY	Lihir Gold Limited Sponsored ADR	American Depositary Shares	NGS	12(g)	0001000300	000-26860
LNCR	Lincare Holdings Inc. Common Stock	Common Stock	NGS	12(g)	0000882235	000-19946
LNCB	Lincoln Bancorp Common Stock	Common Stock	NGM	12(g)	0001070259	000-25219
LINC	Lincoln Educational Services Corporation Common Stock	Common Stock	NGM	12(g)	0001286613	000-51371
LECO	Lincoln Electric Holdings, Inc. Common Shares	Common Stock	NGS	12(g)	0000059527	000-1402
LLTC	Linear Technology Corporation Common Stock	Common Stock	NGS	12(g)	0000791907	000-14864
LTON	Linktone Ltd. American Depository Shares	American Depositary Shares	NGM	12(g)	0001270532	000-50596
LINE	Linn Energy, LLC Common Units Representing Limited Liability Company Interests	Units/Benif Int	NGM	12(g)	0001326428	000-51719
LIOX	Lionbridge Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0001058299	000-26933
LIPD	Lipid Sciences Incorporated Common Stock No Par Value	Common Stock	NGM	12(g)	0000071478	000-00497
LPMA	Lipman Electronic Engineering Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0001270484	000-50544
LQDT	Liquidity Services, Inc. Common Stock	Common Stock	NGM	12(g)	0001235468	000-51813
LFUS	Littelfuse, Inc. Common Stock	Common Stock	NGS	12(g)	0000889331	000-20388
LPSN	LivePerson, Inc. Common Stock	Common Stock	NCM	12(g)	0001102993	000-30141
JADE	LJ International, Inc. Common Stock	Common Stock	NGM	12(g)	0001046692	000-29620
LKQX	LKQ Corporation Common Stock	Common Stock	NGS	12(g)	0001065696	000-50404
ERICY	LM Ericsson Telephone Company American Depositary Shares	American Depositary Shares	NGS	12(g)	0000717826	000-12033
LMIA	LMI Aerospace, Inc. Common Stock	Common Stock	NGM	12(g)	0001059562	000-24293
LMLP	LML Payment Systems, Inc. Common Stock	Common Stock	NCM	12(g)	0000781891	000-13959
LNBB	LNB Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000737210	000-13203
LNET	LodgeNet Entertainment Corporation Common Stock	Common Stock	NGM	12(g)	0000911002	000-22334
LOGC	Logic Devices Incorporated Common Stock	Common Stock	NCM	12(g)	0000802851	000-17187
LGVN	LogicVision, Inc. Common Stock	Common Stock	NGM	12(g)	0001041418	000-31773

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
LGTY	Logility, Inc. Common Stock	Common Stock	NGM	12(g)	0001043915	000-23057
LOGI	Logitech International S.A. American Depositary Shares	American Depositary Shares	NGS	12(g)	0001032975	000-29174
LOJN	LoJack Corporation Common Stock	Common Stock	NGS	12(g)	0000355777	001-8439
STAR	Lone Star Steakhouse & Saloon, Inc. Common Stock	Common Stock	NGS	12(g)	0000883670	000-19907
LOOK	LookSmart, Ltd. Common Stock	Common Stock	NGM	12(g)	0001077866	000-26357
LOOP	LoopNet, Inc. Common Stock	Common Stock	NGM	12(g)	0001353209	000-52026
LORL	Loral Space and Communications, Inc. Common Stock	Common Stock	NGM	12(g)	0001006269	001-14180
LTEC	LOUD Technologies Inc. Common Stock	Common Stock	NCM	12(g)	0000946815	000-26524
LOUD	Loudeye Corporation Common Stock	Common Stock	NCM	12(g)	0001064648	000-29583
LXBK	LSB Bancshares, Inc. Common Stock	Common Stock	NGS	12(g)	0000714530	000-11448
LSBX	LSB Corporation Common Stock	Common Stock	NGM	12(g)	0001143848	000-32955
LSBI	LSB Financial Corp. Common Stock	Common Stock	NGM	12(g)	0000930405	000-25070
LYTS	LSI Industries Inc. Common Stock	Common Stock	NGS	12(g)	0000763532	000-13375
LTXX	LTX Corporation Common Stock	Common Stock	NGM	12(g)	0000357020	000-10761
LUFK	Lufkin Industries, Inc. Common Stock	Common Stock	NGS	12(g)	0000060849	000-02612
LMRA	Lumera Corporation Common Stock	Common Stock	NGM	12(g)	0001137399	000-50862
LMNX	Luminex Corporation Common Stock	Common Stock	NGM	12(g)	0001033905	000-30109
LUNA	Luna Innovations Incorporated Common Stock	Common Stock	NGM	12(g)	0001239818	000-52008
MBTF	M B T Financial Corp Common Stock	Common Stock	NGS	12(g)	0001118237	000-30973
MCBC	Macatawa Bank Corporation Common Stock	Common Stock	NGS	12(g)	0001053584	000-25927
MACC	MACC Private Equities Inc. Common Stock	Common Stock	NCM	12(g)	0000923808	000-24412
MACE	Mace Security International, Inc. Common Stock	Common Stock	NGM	12(g)	0000912607	000-22810
MFNC	Mackinac Financial Corporation Common Stock	Common Stock	NCM	12(g)	0000036506	000-20167
MXICY	Macronix International Co. Ltd American Depositary Shares	American Depositary Shares	NGS	12(g)	0001009680	000-27884
MVSN	Macrovision Corporation Common Stock	Common Stock	NGS	12(g)	0001027443	000-22023
MGEE	Madison Gas and Electric Company Common Stock	Common Stock	NGS	12(g)	0000061339	000-01125
MAFB	MAF Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000854662	000-18121
MAGS	Magal Security Systems Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0000896494	000-21388
MGLN	Magellan Health Services, Inc. Common Stock	Common Stock	NGS	12(g)	0000019411	000-50540
MGIC	Magic Software Enterprises Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0000876779	000-19415
LAVA	Magma Design Automation, Inc. Common Stock	Common Stock	NGM	12(g)	0001065034	000-33213
MECA	Magna Entertainment Corporation Class A Subordinate Voting Stock	Common Stock	NGM	12(g)	0001093273	000-30578

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
MGYR	Magyar Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001337068	000-51726
MSFG	MainSource Financial Group Inc Common Stock	Common Stock	NGS	12(g)	0000720002	000-12422
MAIR	MAIR Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0000835768	000-17895
COOL	Majesco Entertainment Company Common Stock	Common Stock	NCM	12(g)	0001076682	000-51128
MMUS	MakeMusic, Inc. Common Stock	Common Stock	NCM	12(g)	0000920707	000-26192
MKTAY	Makita Corp. American Depositary Shares	American Depositary Shares	NGS	12(g)	0000202467	000-12602
MAMA	Mamma.com Inc Common Stock	Common Stock	NCM	12(g)	0000839435	000-17164
TMNG	Management Network Group, Inc. (The) Common Stock	Common Stock	NGM	12(g)	0001094814	000-27617
MANA	Manatron, Inc. Common Stock	Common Stock	NCM	12(g)	0000798736	000-15264
MANH	Manhattan Associates, Inc. Common Stock	Common Stock	NGS	12(g)	0001056696	000-23999
MTEX	Mannatech, Incorporated Common Stock	Common Stock	NGS	12(g)	0001056358	000-24657
MNKD	MannKind Corporation Common Stock	Common Stock	NGM	12(g)	0000899460	000-50865
MANT	ManTech International Corporation Common Stock $0.01 Par Value	Common Stock	NGS	12(g)	0000892537	000-49604
MAPS	MapInfo Corporation Common Stock	Common Stock	NGM	12(g)	0000916238	000-23078
MCHX	Marchex, Inc. Class B Common Stock	Common Stock	NGM	12(g)	0001224133	000-50658
MCHXP	Marchex, Inc. Convertible Exchangeable Preferred Stock	Preferred Stock	NGM	12(g)	0001224133	000-50658
MRGO	Margo Caribe Inc. Common Stock	Common Stock	NCM	12(g)	0000808493	000-15336
MARPS	Marine Petroleum Trust Units of Beneficial Interest	Units/Benif Int	NCM	12(g)	0000062362	000-08565
MKTX	MarketAxess Holdings, Inc. Common Stock	Common Stock	NGS	12(g)	0001278021	000-50670
MRLN	Marlin Business Services Corp. Common Stock	Common Stock	NGM	12(g)	0001260968	000-50448
MARSA	Marsh Supermarkets, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0000062737	000-01532
MARSB	Marsh Supermarkets, Inc. Class B Common Stock	Common Stock	NGM	12(g)	0000062737	000-01532
MSHL	Marshall Edwards, Inc. Common Stock	Common Stock	NGM	12(g)	0001262104	000-50484
MSHLW	Marshall Edwards, Inc. Warrants 12/18/2006	Warrant	NGM	12(g)	0001262104	000-50484
MATK	Martek Biosciences Corporation Common Stock	Common Stock	NGS	12(g)	0000892025	000-22354
MRTN	Marten Transport, Ltd. Common Stock	Common Stock	NGS	12(g)	0000799167	000-15010
MMLP	Martin Midstream Partners L.P. Limited Partnership	Limited Partnership	NGS	12(g)	0001176334	000-50056
MRVL	Marvell Technology Group, Ltd. Common Stock	Common Stock	NGS	12(g)	0001058057	000-30877
MSDXP	Mason-Dixon Bancshares, Inc. Mason-Dixon Capital Trust - $2.5175 Preferred Securities	Other Securities	NGM	12(g)	0000092230	000-27652
MASB	MASSBANK Corp. Common Stock	Common Stock	NGS	12(g)	0000799166	000-15137
MATH	MathStar, Inc. Common Stock	Common Stock	NGM	12(g)	0001118037	000-51560

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
MATR	Matria Healthcare, Inc. Common Stock	Common Stock	NGS	12(g)	0001007228	000-20619
MTXC	Matrix Bancorp, Inc Common Stock	Common Stock	NGM	12(g)	0000944725	000-21231
MTXCP	Matrix Bancorp, Inc Matrix Bancorp Capital Trust I - 10.0% Trust Preferred Securities	Other Securities	NGM	12(g)	0000944725	000-21231
MTRX	Matrix Service Company Common Stock	Common Stock	NGM	12(g)	0000866273	000-18716
MTXX	Matrixx Initiatives, Inc.	Common Stock	NGS	12(g)	0001006195	000-27646
MATW	Matthews International Corporation Class A Common Stock	Common Stock	NGS	12(g)	0000063296	000-09115
MTSN	Mattson Technology, Inc. Common Stock	Common Stock	NGM	12(g)	0000928421	000-24838
MAXE	Max & Erma's Restaurants, Inc. Common Stock	Common Stock	NGM	12(g)	0000706471	000-11514
MXRE	Max Re Capital Ltd. Common Stock	Common Stock	NGS	12(g)	0001141719	000-33047
MAXC	Maxco, Inc. Common Stock	Common Stock	NCM	12(g)	0000078966	000-02762
MXIM	Maxim Integrated Products, Inc. Common Stock	Common Stock	NGS	12(g)	0000743316	000-16538
MRTI	Maxus Realty Trust Inc Common Stock	Common Stock	NGM	12(g)	0000748580	000-13754
MXWL	Maxwell Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0000319815	000-10964
MAXY	Maxygen, Inc. Common Stock	Common Stock	NGM	12(g)	0001068796	000-28401
MBFI	MB Financial Inc. Common Stock	Common Stock	NGS	12(g)	0001139812	000-24566
MBFIP	MB Financial Inc. MB Financial Capital Trust I - % Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0001139812	000-24566
MSSR	McCormick & Schmick's Seafood Restaurants, Inc. Common Stock	Common Stock	NGM	12(g)	0001288741	000-50845
MCDTA	McDATA Corporation Class A Common Stock	Common Stock	NGS	12(g)	0000731502	000-31257
MCDT	McDATA Corporation Class B Common Stock	Common Stock	NGS	12(g)	0000731502	000-31257
MCGC	MCG Capital Corporation Common Stock $0.01 Par Value	Common Stock	NGS	12(g)	0001141299	000-33377
MGRC	McGrath RentCorp Common Stock	Common Stock	NGS	12(g)	0000752714	000-13292
MDCA	MDC Partners Inc. CL A Subordinate Voting Shares	Common Stock	NGS	12(g)	0000876883	000-19382
MDII	MDI, Inc. Common Stock	Common Stock	NCM	12(g)	0000318259	000-09463
MEAD	Meade Instruments Corp. Common Stock	Common Stock	NGM	12(g)	0001032067	000-22183
MVCO	Meadow Valley Corporation Common Stock	Common Stock	NCM	12(g)	0000934749	000-25428
MEAS	Measurement Specialties, Inc. Common Stock	Common Stock	NGM	12(g)	0000778734	000-16085
MKTY	Mechanical Technology Incorporated Common Stock ($0.01 Par Value)	Common Stock	NGM	12(g)	0000064463	000-06890
TAXI	Medallion Financial Corp. Common Stock	Common Stock	NGS	12(g)	0001000209	000-27812
MEDX	Medarex, Inc. Common Stock	Common Stock	NGM	12(g)	0000874255	000-19312
MDTH	MedCath Corporation Common Stock	Common Stock	NGM	12(g)	0001139463	000-33009

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
MBAY	MediaBay, Inc. Common Stock	Common Stock	NGM	12(g)	0001040973	001-13469
MCCC	Mediacom Communications Corporation Class A Common Stock	Common Stock	NGS	12(g)	0001098659	000-29227
MDLK	Medialink Worldwide Incorporated Common Stock	Common Stock	NGM	12(g)	0000812890	000-21989
MDCI	Medical Action Industries Inc. Common Stock	Common Stock	NGS	12(g)	0000748270	000-13251
MDCO	Medicines Company (The) Common Stock	Common Stock	NGS	12(g)	0001113481	000-31191
MEDI	MedImmune, Inc. Common Stock	Common Stock	NGS	12(g)	0000873591	000-19131
MDTL	Medis Technologies Ltd. Common Stock	Common Stock	NGM	12(g)	0001090507	000-30391
MEDW	MEDIWARE Information Systems, Inc. Common Stock	Common Stock	NCM	12(g)	0000874733	001-10768
MTOX	Medtox Scientific, Inc. Common Stock	Common Stock	NGM	12(g)	0000739944	000-12971
MDWV	Medwave, Inc. Common Stock	Common Stock	NCM	12(g)	0000876043	000-28010
MEMY	Memory Pharmaceuticals Corp. Common Stock	Common Stock	NGM	12(g)	0001062216	000-50642
MENT	Mentor Graphics Corporation Common Stock	Common Stock	NGS	12(g)	0000701811	000-13442
MTSL	MER Telemanagement Solutions Ltd. Common Shares	Ordinary Shares	NCM	12(g)	0001025561	000-28950
MBWM	Mercantile Bank Corporation Common Stock	Common Stock	NGS	12(g)	0001042729	000-26719
MRBK	Mercantile Bankshares Corporation Common Stock	Common Stock	NGS	12(g)	0000064908	000-05127
MIGP	Mercer Insurance Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001050690	000-25425
MERC	Mercer International Inc. Common Stock	Common Stock	NGM	12(g)	0001333274	000-09409
MBVT	Merchants Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0000726517	000-11595
MRCY	Mercury Computer Systems Common Stock	Common Stock	NGS	12(g)	0001049521	000-23599
MRGE	Merge Technologies Inc. Common Stock	Common Stock	NGM	12(g)	0000944765	000-29486
VIVO	Meridian Bioscience Inc. Common Stock	Common Stock	NGS	12(g)	0000794172	000-14902
MMSI	Merit Medical Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0000856982	000-18592
MERX	Merix Corporation Common Stock	Common Stock	NGM	12(g)	0000921365	000-23818
PGEB	Merrill Lynch & Co., Inc. 97% Protected Notes Linked to Global Equity Basket	Other Securities	NGM	12(g)	0000065100	001-07182
PDNT	Merrill Lynch & Co., Inc. 97% Protected Notes linked to the Performance of the Dow Jones Industrial Average	Other Securities	NGM	12(g)	0000065100	001-07182
ARQQ	Merrill Lynch & Co., Inc. Accelerated Return Note Linked to the NASDAQ 100 Index	Other Securities	NGM	12(g)	0000065100	001-07182
DWMT	Merrill Lynch & Co., Inc. Dow Jones Industial Average MITTS Securities due 12/27/2010	Other Securities	NGM	12(g)	0000065100	001-07182
MTDW	Merrill Lynch & Co., Inc. Dow Jones Industrial Average Market Index Target-Term Securities (MITTS)	Other Securities	NGM	12(g)	0000065100	001-07182

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
MTDB	Merrill Lynch & Co., Inc. Dow Jones Industrial Average Market Index Target-Term Securities (MITTS) due January 16, 2009	Other Securities	NGM	12(g)	0000065100	001-07182
LNDU	Merrill Lynch & Co., Inc. LIRN linked to the Dow Jones Industrial Average	Other Securities	NGM	12(g)	0000065100	001-07182
LERA	Merrill Lynch & Co., Inc. LIRN Linked to the Nikkei 225 Index	Other Securities	NGM	12(g)	0000065100	001-07182
MTSM	Merrill Lynch & Co., Inc. Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities	Other Securities	NGM	12(g)	0000065100	001-07182
MTNK	Merrill Lynch & Co., Inc. Nikkei 225 Market Index Target-Term Securities (MITTS) 9/30/2010	Other Securities	NGM	12(g)	0000065100	001-07182
MNNY	Merrill Lynch & Co., Inc. Nikkei 225 Market Index Target-Term Securities (MITTS) due 3/8/2011	Other Securities	NGM	12(g)	0000065100	001-07182
MTTX	Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities	Other Securities	NGM	12(g)	0000065100	001-07182
MTTT	Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities	Other Securities	NGM	12(g)	0000065100	001-07182
MITT	Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities (MITTS)	Other Securities	NGM	12(g)	0000065100	001-07182
MLMT	Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities (MITTS)	Other Securities	NGM	12(g)	0000065100	001-07182
MTSP	Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities (MITTS)	Other Securities	NGM	12(g)	0000065100	001-07182
SPPX	Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities (MITTS)	Other Securities	NGM	12(g)	0000065100	001-07182
MSPX	Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities (MITTS) due 8/5/2010	Other Securities	NGM	12(g)	0000065100	001-07182
SRDD	Merrill Lynch & Co., Inc. SRNs Linked to the Select Ten Index due May 2009	Other Securities	NGM	12(g)	0000065100	001-07182
DOWT	Merrill Lynch & Co., Inc. Strategic Return Notes Linked to Select Ten due Feb 2009	Other Securities	NGM	12(g)	0000065100	001-07182
SRRR	Merrill Lynch & Co., Inc. Strategic Return Notes Linked to the Industrial 15 Index	Other Securities	NGM	12(g)	0000065100	001-07182
SRIB	Merrill Lynch & Co., Inc. Strategic Return Notes Linked to the Industrial 15 Index	Other Securities	NGM	12(g)	0000065100	001-07182
SRIX	Merrill Lynch & Co., Inc. Strategic Return Notes linked to the Industrial 15 Index 10/31/2008	Other Securities	NGM	12(g)	0000065100	001-07182

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
DWID	Merrill Lynch & Co., Inc. Strategic Return Notes Linked to the Industrial 15 Index due 8/5/2008	Other Securities	NGM	12(g)	0000065100	001-07182
DWTT	Merrill Lynch & Co., Inc. Strategic Return Notes Linked to the Select 10 Index due September 30, 2008	Other Securities	NGM	12(g)	0000065100	001-07182
DOTN	Merrill Lynch & Co., Inc. Strategic Return Notes Linked to the Select Ten Index due February 2008	Other Securities	NGM	12(g)	0000065100	001-07182
DWTN	Merrill Lynch & Co., Inc. Strategic Return Notes Linked to the Select Ten Index due June 2008	Other Securities	NGM	12(g)	0000065100	001-07182
MERB	Merrill Merchants Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0000913072	000-24715
MESA	Mesa Air Group, Inc. Common Stock	Common Stock	NGS	12(g)	0000810332	000-15495
MLAB	Mesa Laboratories, Inc. Common Stock	Common Stock	NGM	12(g)	0000724004	000-11740
CASH	Meta Financial Group, Inc. Common Stock	Common Stock	NGM	12(g)	0000907471	000-22140
MBRX	Metabasis Therapeutics, Inc common stock	Common Stock	NGM	12(g)	0001053221	000-50785
MTLM	Metal Management , Inc. Common Stock	Common Stock	NGS	12(g)	0000795665	000-14836
MTSX	Metal Storm Limited American Depositary Shares	American Depositary Shares	NCM	12(g)	0001119775	000-31212
MTLK	Metalink, Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001098462	000-30394
MSLV	MetaSolv Inc Common Stock	Common Stock	NGM	12(g)	0000916704	000-28129
MEOH	Methanex Corporation Common Stock	Common Stock	NGS	12(g)	0000886977	000-20115
METH	Methode Electronics, Inc. Common Stock	Common Stock	NGS	12(g)	0000065270	000-02816
INFOD	Metro One Telecommunications, Inc. New Common Stock	Common Stock	NCM	12(g)	0000920990	000-27024
MCBI	MetroCorp Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0001068300	000-25141
MTLG	Metrologic Instruments, Inc. Common Stock	Common Stock	NGS	12(g)	0000815910	000-24712
CASA	Mexican Restaurants, Inc. Common Stock	Common Stock	NCM	12(g)	0001009244	000-28234
MFBC	MFB Corp. Common Stock	Common Stock	NGM	12(g)	0000916396	000-23374
MFRI	MFRI, Inc. Common Stock	Common Stock	NGM	12(g)	0000914122	000-18370
MOGN	MGI PHARMA, Inc. Common Stock	Common Stock	NGS	12(g)	0000702131	000-10736
MGPI	MGP Ingredients, Inc.	Common Stock	NGS	12(g)	0000835011	000-17196
MCRL	Micrel, Incorporated Common Stock	Common Stock	NGS	12(g)	0000932111	000-25236
MLIN	Micro Linear Corporation Common Stock	Common Stock	NGM	12(g)	0000875359	000-24758
MCHP	Microchip Technology Incorporated Common Stock	Common Stock	NGS	12(g)	0000827054	000-21184
MITI	Micromet, Inc. Common Stock	Common Stock	NGM	12(g)	0001131907	000-50440
NOIZ	Micronetics, Inc. Common Stock	Common Stock	NCM	12(g)	0000820097	000-17966
MCRS	MICROS Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0000320345	000-09993

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
MSCC	Microsemi Corporation Common Stock	Common Stock	NGS	12(g)	0000310568	000-08866
MSFT	Microsoft Corporation Common Stock	Common Stock	NGS	12(g)	0000789019	000-14278
MSTR	MicroStrategy Incorporated Common Stock	Common Stock	NGS	12(g)	0001050446	000-24435
MSTRW	MicroStrategy Incorporated Warrants to Purchase Class A Common Stock	Warrant	NGS	12(g)	0001050446	000-24435
MTMD	Microtek Medical Holdings Inc Common Stock	Common Stock	NGS	12(g)	0000929299	000-24866
TUNE	Microtune, Inc. Common Stock	Common Stock	NGM	12(g)	0001108058	000-31029
MVIS	Microvision, Inc. Common Stock	Common Stock	NGM	12(g)	0000065770	000-21221
MVISW	Microvision, Inc. Warrants Expiration 5/26/11	Warrant	NGM	12(g)	0000065770	000-21221
MFCO	Microwave Filter Company, Inc. Common Stock	Common Stock	NCM	12(g)	0000716688	000-10976
MEND	Micrus Endovascular Corporation Common Stock	Common Stock	NGM	12(g)	0001028318	000-51323
MBRG	Middleburg Financial Corporation	Common Stock	NCM	12(g)	0000914138	000-24159
MIDD	Middleby Corporation (The) Common Stock	Common Stock	NGS	12(g)	0000769520	001-9973
MSEX	Middlesex Water Company Common Stock	Common Stock	NGS	12(g)	0000066004	000-00422
MLAN	Midland Company (The) Common Stock	Common Stock	NGS	12(g)	0000066025	001-06026
MDST	Mid-State Bancshares Common Stock	Common Stock	NGS	12(g)	0001027324	000-23925
MBHI	Midwest Banc Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001051379	000-29598
OSKY	MidWestOne Financial Group Inc Common Stock	Common Stock	NGM	12(g)	0000741390	000-24630
MIKR	Mikron Infrared, Inc. Common Stock	Common Stock	NCM	12(g)	0000787809	000-15486
MLEA	Millea Holdings Inc. ADR American Depositary Shares	American Depositary Shares	NGS	12(g)	0001169486	000-12011
MBVA	Millennium Bankshares Corporation Common Stock	Common Stock	NCM	12(g)	0001158678	000-49611
MCEL	Millennium Cell Inc. Common Stock	Common Stock	NCM	12(g)	0001114872	000-31083
MLNM	Millennium Pharmaceuticals, Inc. Common Stock	Common Stock	NGS	12(g)	0001002637	000-28494
MICC	Millicom International Cellular S.A. Common Stock	Common Stock	NGS	12(g)	0000912958	000-22828
MNDO	MIND C.T.I. Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001119083	000-31215
MSPD	Mindspeed Technologies, Inc. Common Stock, par value $0.01	Common Stock	NGM	12(g)	0001224370	000-50499
MIPS	MIPS Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0001059786	000-24487
MRAE	Mirae Corporation American Depositary Shares	American Depositary Shares	NGM	12(g)	0001099196	000-30376
MSON	MISONIX, Inc. Common Stock	Common Stock	NGM	12(g)	0000880432	001-10986
MIND	Mitcham Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0000926423	000-25142
MITSY	Mitsui & Company, Ltd. American Depositary Shares	American Depositary Shares	NGS	12(g)	0000067099	000-9929
MITY	MITY Enterprises Inc. Common Stock	Common Stock	NGM	12(g)	0000921030	000-23898

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
MIVA	MIVA, Inc. Common Stock	Common Stock	NGM	12(g)	0001094808	000-30428
MKSI	MKS Instruments, Inc. Common Stock	Common Stock	NGS	12(g)	0001049502	000-23621
MINI	Mobile Mini, Inc. Common Stock	Common Stock	NGS	12(g)	0000911109	001-12804
MOBE	Mobility Electronics, Inc. Common Stock	Common Stock	NGM	12(g)	0001075656	000-30907
MOBI	Mobius Management Systems, Inc Common Stock	Common Stock	NGM	12(g)	0001025148	000-24077
MOCO	MOCON, Inc. Common Stock	Common Stock	NGM	12(g)	0000067279	000-09273
MPAC	MOD-PAC CORP. Common Stock	Common Stock	NGM	12(g)	0001191857	000-50063
MODT	Modtech Holdings Inc. Common Stock	Common Stock	NGM	12(g)	0001075066	000-25161
MFLO	Moldflow Corporation Common Stock	Common Stock	NGS	12(g)	0001103234	000-30027
MDCC	Molecular Devices Corporation Common Stock	Common Stock	NGS	12(g)	0001003113	000-27316
MOLXA	Molex Incorporated Class A Common Stock	Common Stock	NGS	12(g)	0000067472	000-07491
MOLX	Molex Incorporated Common Stock	Common Stock	NGS	12(g)	0000067472	000-07491
MNTA	Momenta Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001235010	000-50797
MCRI	Monarch Casino & Resort, Inc. Common Stock	Common Stock	NGS	12(g)	0000907242	000-22088
MCBF	Monarch Community Bancorp, Inc. Common Stock par value .01	Common Stock	NCM	12(g)	0001169769	000-49814
MONM	Monmouth Capital Corporation Common Stock	Common Stock	NGM	12(g)	0000067618	000-24282
MNRTA	Monmouth Real Estate Investment Corporation Class A Common Stock	Common Stock	NGS	12(g)	0000067625	000-04258
MGRM	Monogram Biosciences, Inc. Common Stock	Common Stock	NGM	12(g)	0001094961	000-30369
MPWR	Monolithic Power Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0001280452	000-51026
MNRO	Monro Muffler Brake, Inc. Common Stock	Common Stock	NGS	12(g)	0000876427	000-19357
MROE	Monroe Bancorp Common Stock	Common Stock	NGM	12(g)	0000745456	000-31951
MNST	Monster Worldwide, Inc. Common Stock	Common Stock	NGS	12(g)	0001020416	000-21571
PSTA	Monterey Gourmet Foods, Inc. Common Stock	Common Stock	NGM	12(g)	0000913032	000-22534
MSNQ	Morgan Stanley Capital Protected Notes Based on the Value of the Nasdaq 100 Index	Other Securities	NGM	12(g)	0000895421	001-11758
NBXH	Morgan Stanley Morgan Stanley 9% Targeted Income Strategic Return Securities	Other Securities	NGM	12(g)	0000895421	001-11758
MNDX	Morgan Stanley MPS with minimum return protection	Other Securities	NGM	12(g)	0000895421	001-11758
NXPL	Morgan Stanley Performance Leveraged Upside Securities Linked to Nasdaq 100 Index	Other Securities	NGM	12(g)	0000895421	001-11758
NDPL	Morgan Stanley Performance Leveraged Upside Securities Linked to Nasdaq 100 Index	Other Securities	NGM	12(g)	0000895421	001-11758

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
NPLU	Morgan Stanley Performance Leveraged Upside Securities Linked to Nasdaq 100 Index due August 20, 2007	Other Securities	NGM	12(g)	0000895421	001-11758
ESTX	Morgan Stanley PLUS Based on the Value of the Dow Jones EURO STOXX 50 Index	Other Securities	NGM	12(g)	0000895421	001-11758
MHGC	Morgans Hotel Group Co. Common Stock	Common Stock	NGM	12(g)	0001342126	000-51802
MORN	Morningstar, Inc. Common Stock	Common Stock	NGS	12(g)	0001289419	000-51280
MOCC	Moscow CableCom Corp. Common Stock	Common Stock	NGM	12(g)	0000006383	000-01460
MOSS	Mossimo Inc. Common Stock	Common Stock	NCM	12(g)	0001005181	001-14208
MOSY	MoSys, Inc. Common Stock	Common Stock	NGM	12(g)	0000890394	000-32929
MWRK	Mothers Work, Inc. Common Stock	Common Stock	NGM	12(g)	0000896985	000-21196
MOVE	Move Inc. Common Stock	Common Stock	NGS	12(g)	0001085770	000-26659
MOVI	Movie Gallery, Inc. Common Stock	Common Stock	NGM	12(g)	0000925178	000-24548
MPWG	MPW Industrial Services Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001047098	000-23335
MROI	MRO Software, Inc. Common Stock	Common Stock	NGS	12(g)	0000920354	000-23852
MRVC	MRV Communications, Inc. Common Stock	Common Stock	NGM	12(g)	0000887969	000-25678
MSGI	MSGI Security Solutions, Inc. Common Stock	Common Stock	NCM	12(g)	0000014280	000-16730
FLSH	M-Systems Flash Disk Pioneers Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0000895361	000-28694
MTCT	MTC Technologies, Inc. COMMONSTOCK	Common Stock	NGS	12(g)	0001172243	000-49890
MTIC	MTI Technology Corporation Common Stock	Common Stock	NCM	12(g)	0000901696	000-23418
MTMC	MTM Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0000906282	000-22122
MNTG	MTR Gaming Group, Inc. Common Stock	Common Stock	NGS	12(g)	0000834162	000-20508
MTSC	MTS Systems Corporation Common Stock	Common Stock	NGS	12(g)	0000068709	000-02382
MBND	Multiband Corporation Common Stock	Common Stock	NCM	12(g)	0000732412	000-13529
LABL	Multi-Color Corporation Common Stock	Common Stock	NGM	12(g)	0000819220	000-16148
MFLX	Multi-Fineline Electronix, Inc. Common Stock	Common Stock	NGS	12(g)	0000830916	000-50812
MGAM	Multimedia Games, Inc. Common Stock	Common Stock	NGS	12(g)	0000896400	000-28318
MFSF	MutualFirst Financial Inc. Common Stock	Common Stock	NGM	12(g)	0001094810	000-27905
MWAV	M-WAVE, Inc. Common Stock	Common Stock	NCM	12(g)	0000883842	000-19944
MWIV	MWI Veterinary Supply, Inc. Common Stock	Common Stock	NGS	12(g)	0001323974	000-51468
MYOG	Myogen, Inc. Common Stock	Common Stock	NGM	12(g)	0001101052	000-50438
MYGN	Myriad Genetics, Inc. Common Stock	Common Stock	NGS	12(g)	0000899923	000-26642
NABI	Nabi Biopharmaceuticals Common Stock	Common Stock	NGM	12(g)	0000072444	000-04829
NGEN	Nanogen, Inc. Common Stock	Common Stock	NGM	12(g)	0001030339	000-23541
NANO	Nanometrics Incorporated Common Stock	Common Stock	NGM	12(g)	0000704532	000-13470

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
NANX	Nanophase Technologies Corporation Common Stock	Common Stock	NGM	12(g)	0000883107	000-22333
NSSC	Napco Security Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0000069633	000-10004
NAPS	Napster, Inc. Common Stock	Common Stock	NGM	12(g)	0001122787	000-32393
NARA	Nara Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0001128361	000-50245
NASB	NASB Financial Inc. Common Stock	Common Stock	NCM	12(g)	0001059131	000-24033
NDAQ	Nasdaq Stock Market, Inc. (The) Common Stock	Common Stock	NGS	12(g)	0001120193	000-32651
NAFC	Nash-Finch Company Common Stock	Common Stock	NGS	12(g)	0000069671	000-00785
NSHA	Nashua Corporation Common Stock	Common Stock	NGM	12(g)	0000069680	000-21271
NPSN	Naspers Limited N Shs Sponsored American Depositary Receipt Representing Class N Shares (South Africa)	American Depositary Shares	NGM	12(g)	0001106051	000-50117
NSTK	Nastech Pharmaceutical Company, Inc. Common Stock	Common Stock	NGM	12(g)	0000737207	000-13789
NATH	Nathan's Famous, Inc. Common Stock	Common Stock	NGM	12(g)	0000069733	000-03189
NAHC	National Atlantic Holdings Corporation Common Stock	Common Stock	NGM	12(g)	0000946492	000-51127
NKSH	National Bankshares, Inc. Common Stock	Common Stock	NCM	12(g)	0000796534	000-15204
ALLEP	National City Corporation - Allegiant Bancorp, Inc. Allegiant Capital Trust II - 9.00% Cumulative Trust Preferred Securities	Other Securities	NGM	12(g)	0000069970	000-07229
NCOC	National Coal Corp. Common Stock	Common Stock	NGM	12(g)	0001089575	000-26509
NADX	National Dentex Corporation Common Stock	Common Stock	NGM	12(g)	0000913616	000-23092
NHHC	National Home Health Care Corp. Common Stock	Common Stock	NGM	12(g)	0000728389	000-12927
EGOV	National Information Consortium, Inc. Common Stock	Common Stock	NGS	12(g)	0001065332	000-26621
NATI	National Instruments Corporation Common Stock	Common Stock	NGS	12(g)	0000935494	000-25426
NATL	National Interstate Corporation Common Stock	Common Stock	NGM	12(g)	0001301106	000-51130
NMHC	National Medical Health Card Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0000813562	000-26749
MBLA	National Mercantile Bancorp Common Stock	Common Stock	NCM	12(g)	0000714801	000-15982
NPBC	National Penn Bancshares, Inc. Common Stock	Common Stock	NGS	12(g)	0000700733	000-10957
NPBCO	National Penn Bancshares, Inc. Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0000700733	000-10957
NRCI	National Research Corporation Common Stock	Common Stock	NGM	12(g)	0000070487	000-29466
NSEC	National Security Group, Inc. Common Stock	Common Stock	NGM	12(g)	0000865058	000-18649
NTSC	National Technical Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0000110536	000-16438
NHRX	NationsHealth, Inc. Common Stock	Common Stock	NGM	12(g)	0001233426	000-50348
NHRXU	NationsHealth, Inc. Units Expiring 8/24/2007	Unit	NGM	12(g)	0001233426	000-50348
NHRXW	NationsHealth, Inc. Warrants Expiring 8/24/2007	Warrant	NGM	12(g)	0001233426	000-50348
NTOL	Natrol, Inc. Common Stock	Common Stock	NGM	12(g)	0001025573	000-24567

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
NAII	Natural Alternatives International, Inc. Common Stock	Common Stock	NGM	12(g)	0000787253	000-15701
BHIP	Natural Health Trends Corporation Common Stock	Common Stock	NGM	12(g)	0000912061	000-26272
NRVN	Nature Vision, Inc. Common Stock	Common Stock	NCM	12(g)	0000078311	000-07475
BABY	Natus Medical Incorporated Common Stock	Common Stock	NGM	12(g)	0000878526	000-33001
NVSL	Naugatuck Valley Financial Corporation Common Stock	Common Stock	NGM	12(g)	0001293413	000-50876
NAVR	Navarre Corporation Common Stock	Common Stock	NGM	12(g)	0000911650	000-22982
FLYR	Navigant International, Inc. Common Stock	Common Stock	NGS	12(g)	0001055455	000-24387
NAVG	Navigators Group, Inc. (The) Common Stock	Common Stock	NGS	12(g)	0000793547	000-15886
BULK	Navios Maritime Holdings Inc. Common Stock	Common Stock	NGM	12(g)	0001333172	000-51047
BULKU	Navios Maritime Holdings Inc. Units 12/9/2008	Unit	NGM	12(g)	0001333172	000-51047
BULKW	Navios Maritime Holdings Inc. Warrants 12/9/2008	Warrant	NGM	12(g)	0001333172	000-51047
NAVI	NaviSite, Inc. Common Stock	Common Stock	NCM	12(g)	0001084750	000-27597
NBTF	NB&T FINANCIAL GROUP INC Common Stock	Common Stock	NCM	12(g)	0000908837	000-23134
NBTB	NBT Bancorp Inc. Common Stock	Common Stock	NGS	12(g)	0000790359	000-14703
NCIT	NCI, Inc. Common Stock	Common Stock	NGM	12(g)	0001334478	000-51579
NCOG	NCO Group, Inc. Common Stock	Common Stock	NGS	12(g)	0001022608	000-21639
NNDS	NDS Group plc. American Depositary Shares	American Depositary Shares	NGS	12(g)	0001098074	000-30364
NIPNY	NEC Corporation American Depositary Shares	American Depositary Shares	NGS	12(g)	0000072127	000-12713
NKTR	Nektar Therapeutics Common Stock	Common Stock	NGS	12(g)	0000906709	000-23556
NEOG	Neogen Corporation Common Stock	Common Stock	NGS	12(g)	0000711377	000-17988
NMGC	NeoMagic Corporation Common Stock	Common Stock	NGM	12(g)	0001030485	000-22009
NEOL	NeoPharm, Inc. Common Stock	Common Stock	NGM	12(g)	0000942788	001-12493
NTEC	Neose Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0000877902	000-27718
NWRE	Neoware, Inc. Common Stock	Common Stock	NGS	12(g)	0000894743	000-21240
NSTC	Ness Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0001089638	000-50954
NEST	Nestor, Inc. Common Stock	Common Stock	NGM	12(g)	0000720851	000-12965
UEPS	Net 1 UEPS Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0001041514	000-31203
NETC	NET Servicos de Comunicacao S.A. American Depositary Shares	American Depositary Shares	NGM	12(g)	0001024446	000-28860
NTBK	Net.B@nk, Inc. Common Stock	Common Stock	NGM	12(g)	0001035826	000-22361
NTES	Netease.com, Inc. American Depositary Shares	American Depositary Shares	NGS	12(g)	0001110646	000-30666
NFLX	Netflix, Inc. Common Stock	Common Stock	NGS	12(g)	0001065280	000-49802

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
NTGR	NETGEAR, Inc. Common Stock	Common Stock	NGS	12(g)	0001122904	000-50350
NGRU	netGuru inc Common Stock	Common Stock	NCM	12(g)	0001015920	000-28560
NETL	NetLogic Microsystems, Inc. Common Stock	Common Stock	NGM	12(g)	0001135711	000-50838
NETM	NetManage, Inc. Common Stock	Common Stock	NGM	12(g)	0000909793	000-22158
NTRT	NetRatings, Inc. Common Stock	Common Stock	NGM	12(g)	0001095480	000-27907
NTCT	NetScout Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0001078075	000-26251
NTST	Netsmart Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0001011028	000-21177
NTWK	NetSol Technologies Inc. Common Stock	Common Stock	NCM	12(g)	0001039280	000-22773
NTAP	Network Appliance, Inc. Common Stock	Common Stock	NGS	12(g)	0001002047	000-27130
NENG	Network Engines, Inc Common Stock	Common Stock	NGM	12(g)	0001110903	000-30863
NTII	Neurobiological Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0000918112	000-23280
NRMX	Neurochem Inc Common Shares	Ordinary Shares	NGM	12(g)	0001259942	000-50393
NBIX	Neurocrine Biosciences, Inc. Common Stock	Common Stock	NGS	12(g)	0000914475	000-22705
NRGN	Neurogen Corporation Common Stock	Common Stock	NGM	12(g)	0000849043	000-18311
NURO	NeuroMetrix, Inc. Common Stock, $0.0001 par value per share	Common Stock	NGM	12(g)	0001289850	000-50856
NCEM	Nevada Chemicals, Inc. Common Stock	Common Stock	NGM	12(g)	0000356342	000-10634
NBSC	New Brunswick Scientific Co., Inc. Common Stock	Common Stock	NGM	12(g)	0000071241	000-06994
NCBC	New Century Bancorp, Inc. (NC) Common Stock	Common Stock	NGM	12(g)	0001263762	000-50400
NEBS	New England Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0001338248	000-51589
NOOF	New Frontier Media, Inc. Common Stock	Common Stock	NGM	12(g)	0000847383	000-23697
NHTB	New Hampshire Thrift Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0000846931	000-17859
NRPH	New River Pharmaceuticals Inc. Common Stock	Common Stock	NGM	12(g)	0001288379	000-50851
HAVNP	New York Community Bancorp, Inc. Haven Capital Trust II - 10.25% Capital Securities	Other Securities	NGM	12(g)	0000910073	001-31565
NMIL	NewMil Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000807524	000-16455
NFSB	Newport Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001355855	000-51856
NEWP	Newport Corporation Common Stock	Common Stock	NGM	12(g)	0000225263	000-01649
NKBS	Newtek Business Services Inc. Common Stock	Common Stock	NGM	12(g)	0001094019	000-50524
NXTY	Nexity Financial Corporation Common Stock	Common Stock	NGM	12(g)	0001084727	000-51273
NEXM	NexMed, Inc. Common Stock	Common Stock	NGM	12(g)	0001017491	000-22245
NXST	Nexstar Broadcasting Group, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0001142417	000-50478
NEXT	Nextest Systems Corporation Common Stock	Common Stock	NGM	12(g)	0001167896	000-51851
NGAS	NGAS Resources, Inc. Common Stock	Common Stock	NGS	12(g)	0000746834	000-12185
NGPC	NGP Capital Resources Company Common Stock	Common Stock	NGS	12(g)	0001297704	000-50905

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
NICE	NICE-Systems Limited American Depositary Shares	American Depositary Shares	NGS	12(g)	0001003935	000-27466
NICK	Nicholas Financial, Inc. Common Stock	Common Stock	NGS	12(g)	0001000045	000-26680
NHWK	NightHawk Radiology Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001292470	000-51786
NIHD	NII Holdings, Inc Class B Common Stock	Common Stock	NGS	12(g)	0001037016	000-32421
NINE	Ninetowns Digital World Trade Holdings Limited American Depositary Shares	American Depositary Shares	NGM	12(g)	0001285735	000-51025
NICH	Nitches, Inc. Common Stock	Common Stock	NCM	12(g)	0000772263	000-13851
NTMD	NitroMed, Inc. Common Stock	Common Stock	NGM	12(g)	0000927829	000-50439
NMSS	NMS Communications Corporation Common Stock	Common Stock	NGM	12(g)	0000915866	000-23282
NMTI	NMT Medical Inc. Common Stock	Common Stock	NGM	12(g)	0001017259	000-21001
NNBR	NN, Inc. Common Stock	Common Stock	NGS	12(g)	0000918541	000-23486
NLCI	Nobel Learning Communities, Inc. Common Stock	Common Stock	NGM	12(g)	0000721237	001-10031
NOBH	Nobility Homes, Inc. Common Stock	Common Stock	NGM	12(g)	0000072205	000-06506
NOBL	Noble International, Ltd. Common Stock	Common Stock	NGS	12(g)	0001034258	001-13581
NDSN	Nordson Corporation Common Stock	Common Stock	NGS	12(g)	0000072331	000-07977
NSYS	Nortech Systems Incorporated Common Stock	Common Stock	NCM	12(g)	0000722313	000-13257
NASI	North American Scientific, Inc. Common Stock	Common Stock	NGM	12(g)	0000949876	000-26670
NBAN	North Bay Bancorp Common Stock	Common Stock	NGM	12(g)	0001102595	000-31080
FFFD	North Central Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0001005188	000-27672
NPSI	North Pittsburgh Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0000764765	000-13716
NPTE	North Pointe Holdings Corporation Common Stock	Common Stock	NGM	12(g)	0001171218	000-51530
NOVB	North Valley Bancorp Common Stock	Common Stock	NGS	12(g)	0000353191	000-10652
NECB	Northeast Community Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)		000-51852
NREB	Northern Empire Bancshares Common Stock	Common Stock	NGM	12(g)	0000746253	000-51318
NSFC	Northern States Financial Corporation Common Stock	Common Stock	NCM	12(g)	0000744485	000-19300
NTRS	Northern Trust Corporation Common Stock	Common Stock	NGS	12(g)	0000073124	000-05965
NFLD	Northfield Laboratories Inc. Common Stock	Common Stock	NGM	12(g)	0000920947	000-24050
NRIM	Northrim BanCorp Inc Common Stock	Common Stock	NGS	12(g)	0001163370	000-33501
NSTR	Northstar Neuroscience, Inc. Common Stock	Common Stock	NGM	12(g)	0001351509	000-51951
NWFI	Northway Financial Inc. Common Stock	Common Stock	NGM	12(g)	0001041753	000-23129
NWSB	Northwest Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0001042064	000-23817
NWSBP	Northwest Bancorp, Inc. Northwest Capital Trust I - 8.75% Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0001042064	000-23817

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
NWPX	Northwest Pipe Company Common Stock	Common Stock	NGS	12(g)	0001001385	000-27140
NWEC	NorthWestern Corporation Common Stock	Common Stock	NGS	12(g)	0000073088	001-10499
NWECW	NorthWestern Corporation Warrants Expiring 11/1/2007	Warrant	NGS	12(g)	0000073088	001-10499
NWFL	Norwood Financial Corp. Common Stock	Common Stock	NGM	12(g)	0001013272	000-28364
NVMI	Nova Measuring Instruments Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001109345	000-30668
NOVC	Novacea, Inc. Common Stock	Common Stock	NGM	12(g)	0001178711	000-51967
NOVA	NovaMed Inc. Common Stock	Common Stock	NGS	12(g)	0001086939	000-26625
TONS	Novamerican Steel, Inc. Common Stock	Common Stock	NGM	12(g)	0001046687	000-29506
NGPS	NovAtel Inc. Common Shares	Common Stock	NGS	12(g)	0001027539	000-29004
NVTL	Novatel Wireless, Inc. New Common Stock	Common Stock	NGM	12(g)	0001022652	000-31659
NVAX	Novavax, Inc. Common Stock	Common Stock	NGM	12(g)	0001000694	000-26770
NOVL	Novell, Inc. Common Stock	Common Stock	NGS	12(g)	0000758004	000-13351
NVLS	Novellus Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0000836106	000-17157
NOVN	Noven Pharmaceuticals, Inc. Common Stock	Common Stock	NGS	12(g)	0000815838	000-17254
NVGN	Novogen Limited American Depositary Shares	American Depositary Shares	NGM	12(g)	0001075880	000-29962
NPSP	NPS Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0000890465	000-23272
NTLS	NTELOS Holdings Corp. Common Stock	Common Stock	NGM	12(g)	0001328571	000-51798
NTLI	NTL Incorporated Common Stock	Common Stock	NGS	12(g)	0001270400	000-50886
NTLIW	NTL Incorporated Series A Warrants	Warrant	NGS	12(g)	0001270400	000-50886
NUHC	Nu Horizons Electronics Corp. Common Stock	Common Stock	NGM	12(g)	0000718074	001-08798
NUAN	Nuance Communications, Inc. Common Stock	Common Stock	NGS	12(g)	0001002517	000-27038
NUCO	NuCo2 Inc. Common Stock	Common Stock	NGM	12(g)	0000947577	000-27378
NCST	NUCRYST Pharmaceuticals Corp. Common Shares	Common Stock	NGM	12(g)	0001344674	000-51686
NMRX	Numerex Corp. Class A Common Stock	Common Stock	NGM	12(g)	0000870753	000-22920
NUTR	Nutraceutical International Corporation Common Stock	Common Stock	NGS	12(g)	0001050007	000-23731
NTRI	NutriSystem Inc Common Stock	Common Stock	NGS	12(g)	0001096376	000-28551
NXXI	Nutrition 21 Inc. Common Stock	Common Stock	NCM	12(g)	0000744962	000-14983
NUVA	NuVasive, Inc. Common Stock	Common Stock	NGM	12(g)	0001142596	000-50744
NUVO	Nuvelo, Inc. New Common Stock	Common Stock	NGM	12(g)	0000907654	000-22873
NVEC	NVE Corporation Common Stock	Common Stock	NCM	12(g)	0000724910	000-12196
NVDA	NVIDIA Corporation Common Stock	Common Stock	NGS	12(g)	0001045810	000-23985
NWIR	NWH, Inc. Common Stock	Common Stock	NGM	12(g)	0000915016	000-23598
NXTM	NxStage Medical Inc. Common Stock	Common Stock	NGM	12(g)	0001333170	000-51567

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
NYER	Nyer Medical Group, Inc. Common Stock	Common Stock	NCM	12(g)	0000884647	000-20175
NYMX	Nymox Pharmaceutical Corporation Common Stock	Common Stock	NCM	12(g)	0001018735	001-12033
OICO	O. I. Corporation Common Stock	Common Stock	NGM	12(g)	0000073773	000-06511
OIIM	O2Micro International Limited American Depositary Shares	American Depositary Shares	NGS	12(g)	0001095348	000-30910
OAKF	Oak Hill Financial, Inc. Common Stock	Common Stock	NGS	12(g)	0000949953	000-26876
RHEO	OccuLogix, Inc. Common Stock	Common Stock	NGM	12(g)	0001299139	000-51030
OCENY	Oce NV American Depositary Shares	American Depositary Shares	NGM	12(g)	0000753058	000-13742
OBCI	Ocean Bio-Chem, Inc. Common Stock	Common Stock	NCM	12(g)	0000350737	000-11102
OSHC	Ocean Shore Holding Co. Common Stock	Common Stock	NGM	12(g)	0001298716	000-51000
OCFC	OceanFirst Financial Corp. Common Stock	Common Stock	NGS	12(g)	0001004702	000-27428
CHUX	O'Charley's Inc. Common Stock	Common Stock	NGS	12(g)	0000864233	000-18629
ODMO	Odimo Incorporated Common Stock	Common Stock	NGM	12(g)	0001292026	000-51161
ODSY	Odyssey Healthcare, Inc. Common Stock	Common Stock	NGS	12(g)	0001129623	000-33267
OCAS	Ohio Casualty Corporation Common Stock	Common Stock	NGS	12(g)	0000073952	000-05544
OLCB	Ohio Legacy Corporation Common Stock	Common Stock	NCM	12(g)	0001096654	000-31673
OVBC	Ohio Valley Banc Corp. Common Stock	Common Stock	NGM	12(g)	0000894671	000-20914
OLGR	Oilgear Company (The) Common Stock	Common Stock	NCM	12(g)	0000074058	000-00822
ODFL	Old Dominion Freight Line, Inc. Common Stock	Common Stock	NGS	12(g)	0000878927	000-19582
OLBK	Old Line Bancshares, Inc. (MD) Common Stock	Common Stock	NCM	12(g)	0001253317	000-50345
OPOF	Old Point Financial Corporation Common Stock	Common Stock	NCM	12(g)	0000740971	000-12896
OSBC	Old Second Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000357173	000-10537
OSBCP	Old Second Bancorp, Inc. Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0000357173	000-10537
ZEUS	Olympic Steel, Inc. Common Stock	Common Stock	NGM	12(g)	0000917470	000-23320
OMEF	Omega Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000705671	000-13599
OFLX	Omega Flex, Inc. Common Stock	Common Stock	NGM	12(g)	0001317945	000-51372
ONAV	Omega Navigation Enterprises, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0001324915	000-51894
OMNI	OMNI Energy Services Corp. Common Stock	Common Stock	NGM	12(g)	0001046212	000-23383
OMCL	Omnicell, Inc. Common Stock ($0.001 par value)	Common Stock	NGM	12(g)	0000926326	000-33043
OMTR	Omniture, Inc. Common Stock	Common Stock	NGM	12(g)	0001357525	000-52076
OVTI	OmniVision Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0001106851	000-29939
OMRI	Omrix Biopharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001349426	000-51905
OMTL	Omtool, Ltd. Common Stock	Common Stock	NCM	12(g)	0001020579	000-22871
ASGN	On Assignment, Inc. Common Stock	Common Stock	NGM	12(g)	0000890564	000-20540

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
ONNN	ON Semiconductor Corporation Common Stock	Common Stock	NGS	12(g)	0001097864	000-30419
OTIV	On Track Innovations Ltd Ordinary Shares	Ordinary Shares	NGM	12(g)	0001021604	000-49877
ONCY	Oncolytics Biotech, Inc. Common Shares	Common Stock	NCM	12(g)	0001129928	000-31062
ONFC	Oneida Financial Corp. Common Stock	Common Stock	NCM	12(g)	0001070190	000-25101
ORCC	Online Resources Corporation Common Stock	Common Stock	NGS	12(g)	0000888953	000-26123
ONSM	Onstream Media Corporation Common Stock	Common Stock	NCM	12(g)	0000919130	000-22849
ONVI	Onvia, Inc. Common Stock	Common Stock	NGM	12(g)	0001100917	000-29609
ONXX	ONYX Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001012140	000-28298
ONXS	ONYX Software Corporation Common Stock	Common Stock	NGM	12(g)	0001014383	000-25361
OPEN	Open Solutions, Inc. Common Stock	Common Stock	NGS	12(g)	0000873538	000-02333
OTEX	Open Text Corporation Common Shares	Common Stock	NGS	12(g)	0001002638	000-27544
OPTV	OpenTV Corp. Class A Ordinary Shares	Ordinary Shares	NGM	12(g)	0001096958	001-15473
OPWV	Openwave Systems Inc Common Stock	Common Stock	NGS	12(g)	0001082506	000-25687
ORCI	Opinion Research Corporation Common Stock	Common Stock	NGM	12(g)	0000911673	000-22554
OPLK	Oplink Communications, Inc. Common Stock	Common Stock	NGM	12(g)	0001022225	000-31581
OPNT	OPNET Technologies Inc. Common Stock	Common Stock	NGS	12(g)	0001108924	000-30931
OPSW	Opsware, Inc. Common Stock	Common Stock	NGM	12(g)	0001100813	000-32377
OPTC	Optelecom-NKF, Inc. Common Stock	Common Stock	NCM	12(g)	0000275858	000-08828
OBAS	Optibase Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001077618	000-29992
OCCF	Optical Cable Corporation Common Stock	Common Stock	NGM	12(g)	0001000230	000-27022
OCPI	Optical Communication Products, Inc. Common Stock	Common Stock	NGM	12(g)	0001122668	000-31861
OPMR	Optimal Group, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0001015923	000-28572
OPHC	OptimumBank Holdings, Inc. Common Stock	Common Stock	NCM	12(g)	0001288855	000-50755
OPTN	OPTION CARE, Inc. Common Stock	Common Stock	NGS	12(g)	0000884064	000-19878
ORCL	Oracle Corporation Common Stock	Common Stock	NGS	12(g)	0001341439	000-14376
OLAB	Oralabs Holding Corporation Common Stock	Common Stock	NCM	12(g)	0001044577	000-23039
ORNG	Orange 21 Inc. Common Stock	Common Stock	NGM	12(g)	0000932372	000-51071
OSUR	OraSure Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0001116463	000-15337
ORBT	Orbit International Corporation Common Stock	Common Stock	NCM	12(g)	0000074818	000-03936
ORBK	Orbotech Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0000749037	000-12790
ORCH	Orchid Cellmark Inc. Common Stock	Common Stock	NGM	12(g)	0001107216	000-30267
ORCT	Orckit Communications Ltd.	Ordinary Shares	NGM	12(g)	0001021620	000-28724
ORLY	O'Reilly Automotive, Inc. Common Stock	Common Stock	NGS	12(g)	0000898173	000-21318
ORGN	Origen Financial, Inc. Common Stock	Common Stock	NGM	12(g)	0001268039	000-50721

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
SEED	Origin Agritech Limited Common Stock	Common Stock	NGM	12(g)	0001321851	000-51576
OFIX	Orthofix International N.V. Common Stock	Common Stock	NGS	12(g)	0000884624	000-19961
OLGC	Orthologic Corp. Common Stock	Common Stock	NGM	12(g)	0000887151	000-21214
VITA	Orthovita, Inc. Common Stock	Common Stock	NGM	12(g)	0000913756	000-24517
OSCI	Oscient Pharmaceuticals Corporation Common Stock	Common Stock	NGM	12(g)	0000356830	000-10824
OSIP	OSI Pharmaceuticals Inc. Common Stock	Common Stock	NGS	12(g)	0000729922	000-15190
OSIS	OSI Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0001039065	000-23125
OSTE	Osteotech, Inc. Common Stock	Common Stock	NGM	12(g)	0000874734	000-19278
OTTR	Otter Tail Corporation Common Stock	Common Stock	NGS	12(g)	0000075129	000-00368
OUTD	Outdoor Channel Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0000760326	000-17287
OVRL	Overland Storage Inc.	Common Stock	NGS	12(g)	0000889930	000-22071
OSTK	Overstock.com, Inc. Common Stock	Common Stock	NGM	12(g)	0001130713	000-49799
OXGN	OXiGENE, Inc. Common Stock	Common Stock	NGM	12(g)	0000908259	000-21990
OYOG	OYO Geospace Corporation Common Stock	Common Stock	NGM	12(g)	0001001115	001-13601
PFIN	P & F Industries, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0000075340	000-15573
PTSI	P.A.M. Transportation Services, Inc. Common Stock	Common Stock	NGM	12(g)	0000798287	000-15057
PFCB	P.F.Chang's China Bistro, Inc. Common Stock	Common Stock	NGS	12(g)	0001039889	000-25123
PABK	PAB Bankshares, Inc. Common Stock	Common Stock	NGS	12(g)	0000705200	000-25422
PCAR	PACCAR Inc. Common Stock	Common Stock	NGS	12(g)	0000075362	001-14817
PACR	Pacer International, Inc. Common stock	Common Stock	NGS	12(g)	0001091735	000-49828
PCBC	Pacific Capital Bancorp Common Stock	Common Stock	NGS	12(g)	0000357264	000-11113
PCBK	Pacific Continental Corporation (Ore) Common Stock	Common Stock	NGM	12(g)	0001084717	000-30106
PEIX	Pacific Ethanol, Inc. Common Stock	Common Stock	NGM	12(g)	0000778164	000-21467
PCNTF	Pacific Internet Limited Ordinary Shares	Ordinary Shares	NGM	12(g)	0001074245	000-29938
PMBC	Pacific Mercantile Bancorp Common Stock	Common Stock	NGS	12(g)	0001109546	000-30777
PPBI	Pacific Premier Bancorp Inc	Common Stock	NGM	12(g)	0001028918	000-22193
PSBC	Pacific State Bancorp (Stockton, CA) Common Stock	Common Stock	NGM	12(g)	0001169424	000-49892
PSUN	Pacific Sunwear of California, Inc. Common Stock	Common Stock	NGS	12(g)	0000874841	000-21296
PACT	PacificNet Inc. Common Stock	Common Stock	NGM	12(g)	0000815017	000-24985
PKTR	Packeteer, Inc. Common Stock	Common Stock	NGS	12(g)	0001011344	000-26785
PACW	Pac-West Telecomm, Inc. Common Stock	Common Stock	NCM	12(g)	0001071598	000-27743
PTIE	Pain Therapeutics Common Stock	Common Stock	NGM	12(g)	0001069530	000-29959
PHHM	Palm Harbor Homes, Inc. Common Stock	Common Stock	NGM	12(g)	0000923473	000-24268
PALM	Palm, Inc. Common Stock	Common Stock	NGS	12(g)	0001100389	000-29597

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
PMTI	Palomar Medical Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0000881695	000-22340
PBCI	Pamrapo Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000854071	000-18014
PAAS	Pan American Silver Corp. Common Stock	Common Stock	NGS	12(g)	0000771992	000-13727
PANC	Panacos Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001040017	000-24241
PNRA	Panera Bread Company Class A Common Stock	Common Stock	NGS	12(g)	0000724606	000-19253
PTRY	Pantry, Inc. (The) Common Stock	Common Stock	NGS	12(g)	0000915862	000-25813
PZZA	Papa John's International, Inc. Common Stock	Common Stock	NGS	12(g)	0000901491	000-21660
PLLL	Parallel Petroleum Corporation Common Stock	Common Stock	NGM	12(g)	0000750561	000-13305
PMTC	Parametric Technology Corporation Common Stock	Common Stock	NGS	12(g)	0000857005	000-18059
PRXL	PAREXEL International Corporation Common Stock	Common Stock	NGS	12(g)	0000799729	000-27058
PFED	Park Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001013554	000-20867
PKBK	Parke Bancorp, Inc. Common Stock	Common Stock	NCM	12(g)	0001315399	000-51338
PRKR	ParkerVision, Inc. Common Stock	Common Stock	NGM	12(g)	0000914139	000-22904
PKOH	Park-Ohio Holdings Corp. Common Stock	Common Stock	NGM	12(g)	0000076282	000-03134
PVSA	Parkvale Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000820907	000-17411
PARL	Parlux Fragrances, Inc. Common Stock	Common Stock	NGS	12(g)	0000802356	000-15491
PDRT	Particle Drilling Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0000759153	000-30819
PTNR	Partner Communications Company Ltd. American Depositary Shares	American Depositary Shares	NGS	12(g)	0001096691	001-14968
PRTR	Partners Trust Financial Group, Inc. Common Stock	Common Stock	NGS	12(g)	0001163345	001-31277
PBHC	Pathfinder Bancorp, Inc. Common Stock	Common Stock	NCM	12(g)	0001046188	000-23601
PTMK	Pathmark Stores, Inc. Common Stock	Common Stock	NGM	12(g)	0000095585	001-05287
PTMKW	Pathmark Stores, Inc. Warrant	Warrant	NGM	12(g)	0000095585	001-05287
PATK	Patrick Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0000076605	000-03922
PCAP	Patriot Capital Funding, Inc. Common Stock	Common Stock	NGS	12(g)	0001321560	000-51459
PNBK	Patriot National Bancorp Inc. Common Stock	Common Stock	NCM	12(g)	0001098146	000-29599
PATR	Patriot Transportation Holding, Inc. Common Stock	Common Stock	NGM	12(g)	0000844059	000-17554
PDCO	Patterson Companies, Inc. Common Stock	Common Stock	NGS	12(g)	0000891024	000-20572
PTEN	Patterson-UTI Energy, Inc. Common Stock	Common Stock	NGS	12(g)	0000889900	000-22664
PFCO	PAULA Financial Common Stock	Common Stock	NCM	12(g)	0000929031	000-23181
PLCC	Paulson Capital Corp. Common Stock	Common Stock	NCM	12(g)	0000704159	000-18188
PAYX	Paychex, Inc. Common Stock	Common Stock	NGS	12(g)	0000723531	000-11330
PCCC	PC Connection, Inc. Common Stock	Common Stock	NGM	12(g)	0001050377	000-23827
MALL	PC Mall, Inc. Common Stock	Common Stock	NGM	12(g)	0000937941	000-25790

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
PCTI	PC-Tel, Inc. Common Stock	Common Stock	NGM	12(g)	0001057083	000-27115
PDFS	PDF Solutions, Inc. Common Stock	Common Stock	NGM	12(g)	0001120914	000-31311
PDII	PDI, Inc. Common Stock	Common Stock	NGM	12(g)	0001054102	000-24249
PDLI	PDL BioPharma, Inc. Common Stock	Common Stock	NGS	12(g)	0000882104	000-19756
PEAK	Peak International Limited Common Stock	Common Stock	NGM	12(g)	0001036081	000-29332
PIII	PECO II, Inc. Common Stock	Common Stock	NCM	12(g)	0000845072	000-31283
PSAI	Pediatric Services of America, Inc. Common Stock	Common Stock	NGM	12(g)	0000893430	000-23946
PMFG	Peerless Manufacturing Company Common Stock	Common Stock	NGM	12(g)	0000076954	000-05214
PRLS	Peerless Systems Corporation Common Stock	Common Stock	NCM	12(g)	0000897893	000-21287
PEET	Peet's Coffee & Tea, Inc. Common Stock	Common Stock	NGS	12(g)	0000917968	000-32233
PGWC	Pegasus Wireless Corp. (NV) Common Stock	Common Stock	NGM	12(g)	0001126752	000-32567
PEGA	Pegasystems Inc. Common Stock	Common Stock	NGS	12(g)	0001013857	001-11859
PAGI	Pemco Aviation Group, Inc. Common Stock	Common Stock	NGM	12(g)	0000771729	000-13829
PMTR	Pemstar Inc. Common Stock	Common Stock	NGM	12(g)	0000924829	000-31223
PENX	Penford Corporation Common Stock	Common Stock	NGM	12(g)	0000739608	000-11488
PENN	Penn National Gaming, Inc. Common Stock	Common Stock	NGS	12(g)	0000921738	000-24206
PFSB	PennFed Financial Services, Inc. Common Stock	Common Stock	NGM	12(g)	0000920945	000-24040
PNNW	Pennichuck Corporation Common Stock	Common Stock	NGM	12(g)	0000788885	000-18552
PWOD	Penns Woods Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000716605	000-17077
COBH	Pennsylvania Commerce Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0001085706	000-50961
PNSN	Penson Worldwide, Inc. Common Stock	Common Stock	NGM	12(g)	0001123541	000-32095
PPCO	Penwest Pharmaceuticals Co. Common Stock	Common Stock	NGM	12(g)	0001047188	000-23467
PFDC	Peoples Bancorp Common Stock	Common Stock	NGM	12(g)	0000869004	000-18991
PEBO	Peoples Bancorp Inc. Common Stock	Common Stock	NGS	12(g)	0000318300	000-16772
PEBK	Peoples Bancorp of North Carolina, Inc. Common Stock	Common Stock	NGM	12(g)	0001093672	000-27205
PBTC	Peoples BancTrust Company, Inc. (The) Common Stock	Common Stock	NCM	12(g)	0000762128	000-13653
PCBI	Peoples Community Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001100983	000-29949
PEDH	Peoples Educational Holdings, Inc. Common Stock	Common Stock	NCM	12(g)	0000729156	000-50916
PFBX	Peoples Financial Corporation Common Stock	Common Stock	NCM	12(g)	0000770460	000-30050
PSPT	PeopleSupport, Inc. Common Stock	Common Stock	NGM	12(g)	0001289001	000-50843
PRCP	Perceptron, Inc. Common Stock	Common Stock	NGM	12(g)	0000887226	000-20206
PPHM	Peregrine Pharmaceuticals Inc. Common Stock	Common Stock	NCM	12(g)	0000704562	000-17085
PRFT	Perficient, Inc. Common Stock	Common Stock	NGS	12(g)	0001085869	000-51167
PFGC	Performance Food Group Company Common Stock	Common Stock	NGS	12(g)	0000908254	000-22192

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
PTIX	Performance Technologies, Incorporated Common Stock	Common Stock	NGM	12(g)	0001003950	000-27460
PSEM	Pericom Semiconductor Corporation Common Stock	Common Stock	NGM	12(g)	0001001426	000-27026
PRGO	Perrigo Company Common Stock	Common Stock	NGS	12(g)	0000820096	000-19725
PERY	Perry Ellis International Inc. Common Stock	Common Stock	NGS	12(g)	0000900349	000-21764
PSTI	Per-Se Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0000878556	000-19480
PVSW	Pervasive Software Inc. Common Stock	Common Stock	NGM	12(g)	0001042821	000-23043
PETC	PETCO Animal Supplies, Inc. Common Stock	Common Stock	NGS	12(g)	0000888455	000-23574
PETS	PetMed Express, Inc. Common Stock	Common Stock	NGS	12(g)	0001040130	000-28827
HAWK	Petrohawk Energy Corporation Common Stock	Common Stock	NGS	12(g)	0001059324	000-25717
PETD	Petroleum Development Corporation Common Stock	Common Stock	NGS	12(g)	0000077877	000-07246
PETM	PETsMART, Inc. Common Stock	Common Stock	NGS	12(g)	0000863157	000-21888
PFSW	PFSweb, Inc. Common Stock	Common Stock	NCM	12(g)	0001095315	000-28275
PGTI	PGT, Inc. Common Stock	Common Stock	NGM	12(g)	0001354327	000-52059
PPDI	Pharmaceutical Product Development, Inc. Common Stock	Common Stock	NGS	12(g)	0001003124	000-27570
PCOP	Pharmacopeia Drug Discovery, Inc. Common Stock	Common Stock	NGM	12(g)	0001273013	000-50523
PCYC	Pharmacyclics, Inc. Common Stock	Common Stock	NGM	12(g)	0000949699	000-27066
PXSL	Pharmaxis Limited ADR	American Depositary Shares	NGM	12(g)	0001301357	000-51505
PHRM	Pharmion Corporation Common Stock	Common Stock	NGM	12(g)	0001203866	000-50447
PARS	Pharmos Corporation Common Stock	Common Stock	NCM	12(g)	0000713275	000-11550
PFWD	Phase Forward Incorporated Common Stock	Common Stock	NGM	12(g)	0001050180	000-50839
ANTP	PHAZAR CORP Common Stock	Common Stock	NCM	12(g)	0000724267	000-12866
PHII	PHI, Inc. Common Stock	Common Stock	NGM	12(g)	0000350403	000-09827
PHIIK	PHI, Inc. Non-Voting Common Stock	Common Stock	NGM	12(g)	0000350403	000-09827
PHLY	Philadelphia Consolidated Holding Corp. Common Stock	Common Stock	NGS	12(g)	0000909109	000-22280
PTEC	Phoenix Technologies Ltd. Common Stock	Common Stock	NGM	12(g)	0000832767	000-17111
PHMD	PhotoMedex, Inc. Common Stock	Common Stock	NGM	12(g)	0000711665	000-11635
PHTN	Photon Dynamics, Inc. Common Stock	Common Stock	NGM	12(g)	0001002663	000-27234
PLAB	Photronics, Inc. Common Stock	Common Stock	NGS	12(g)	0000810136	000-15451
PICO	PICO Holdings Inc. Common Stock	Common Stock	NGM	12(g)	0000830122	000-18786
PNCL	Pinnacle Airlines Corp. Common Stock	Common Stock	NGM	12(g)	0001166291	001-31898
PNFP	Pinnacle Financial Partners, Inc. Common Stock	Common Stock	NGS	12(g)	0001115055	000-31225
PONR	Pioneer Companies, Inc. Common Stock	Common Stock	NGM	12(g)	0000830141	000-31230

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
PXPL	Pixelplus Co., Ltd. American Depositary Shares Representing 0.5 Common Shares	American Depositary Shares	NGM	12(g)	0001331588	000-51643
PXLW	Pixelworks, Inc. Common Stock	Common Stock	NGM	12(g)	0001040161	000-30269
PZZI	Pizza Inn, Inc. Common Stock	Common Stock	NCM	12(g)	0000718332	000-12919
PLSB	Placer Sierra Bancshares Common Stock	Common Stock	NGS	12(g)	0001279410	000-50652
PLNR	Planar Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0000722392	000-23018
LGBT	PlanetOut, Inc. Common Stock	Common Stock	NGM	12(g)	0001287258	000-50879
TUTR	PLATO Learning Inc. Common Stock	Common Stock	NGM	12(g)	0000893965	000-20842
PLXS	Plexus Corp. Common Stock	Common Stock	NGS	12(g)	0000785786	000-14824
PLUG	Plug Power, Inc. Common Stock	Common Stock	NGM	12(g)	0001093691	000-27527
PLBC	Plumas Bancorp (Quincy, CA) Common Stock	Common Stock	NCM	12(g)	0001168455	000-49883
PLXT	PLX Technology, Inc. Common Stock	Common Stock	NGM	12(g)	0000850579	000-25699
PMACA	PMA Capital Corporation Class A Common Stock	Common Stock	NGM	12(g)	0001041665	000-22761
PMCS	PMC - Sierra, Inc. Common Stock	Common Stock	NGS	12(g)	0000767920	000-19084
PFSL	Pocahontas Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001051859	000-23969
POTP	Point Therapeutics Inc Common Stock	Common Stock	NCM	12(g)	0000919745	000-19410
PTSX	Point.360 Common Stock	Common Stock	NGM	12(g)	0001014733	000-21917
PNTR	Pointer Telocation Ltd. Ordinary Shares (Israel)	Ordinary Shares	NCM	12(g)	0000920532	001-13138
PTEK	PokerTek, Inc. Common Stock	Common Stock	NGM	12(g)	0001302177	000-51572
PLCM	Polycom, Inc. Common Stock	Common Stock	NGS	12(g)	0001010552	000-27978
PLMD	PolyMedica Corporation Common Stock	Common Stock	NGS	12(g)	0000878748	000-19842
PMRY	Pomeroy IT Solutions, Inc. Common Stock	Common Stock	NGM	12(g)	0000883979	000-20022
PARD	Poniard Pharmaceuticals, Inc. Common Stock	Common Stock	NCM	12(g)	0000755806	000-16614
POOL	Pool Corporation Common Stock	Common Stock	NGS	12(g)	0000945841	000-26640
POPEZ	Pope Resources Depositary Receipts of Limited Partnership Units	Depository Receipt	NGM	12(g)	0000784011	001-09035
BPOPN	Popular, Inc. 6.70% Cumulative Monthly Income Trust Preferred Securities	Other Securities	NGS	12(g)	0000763901	000-13818
BPOP	Popular, Inc. Common Stock	Common Stock	NGS	12(g)	0000763901	000-13818
BPOPO	Popular, Inc. Noncumulative Monthly Income Preferred Stock 2003 Series A	Preferred Stock	NGS	12(g)	0000763901	000-13818
BPOPM	Popular, Inc. Popular Capital Trust II - 6.125% Cumulative Monthly Income Trust Preferred Securities	Other Securities	NGS	12(g)	0000763901	000-13818
PLAY	PortalPlayer, Inc. Common Stock	Common Stock	NGS	12(g)	0001297633	000-51004

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
PRPX	Portec Rail Products, Inc. Common Stock	Common Stock	NGM	12(g)	0001263074	000-50543
PRAA	Portfolio Recovery Associates, Inc. Common Stock	Common Stock	NGS	12(g)	0001185348	000-50058
POSS	Possis Medical, Inc. Common Stock	Common Stock	NGS	12(g)	0000079677	000-944
POWL	Powell Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0000080420	001-12488
POWI	Power Integrations, Inc. Common Stock	Common Stock	NGM	12(g)	0000833640	000-23441
PDSN	PowerDsine Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001275816	000-50787
PWER	Power-One, Inc. Common Stock	Common Stock	NGM	12(g)	0001042825	000-29454
PWAV	Powerwave Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0001023362	000-21507
POZN	Pozen, Inc. Common Stock	Common Stock	NGM	12(g)	0001059790	000-31719
PRAI	PRA International Common Stock	Common Stock	NGS	12(g)	0001293243	000-51029
PRCS	PRAECIS PHARMACEUTICALS INCORPORATED Common Stock	Common Stock	NGM	12(g)	0001033025	000-30289
PRAN	Prana Biotechnology Ltd American Depositary Shares	American Depositary Shares	NCM	12(g)	0001131343	000-49843
PLPC	Preformed Line Products Company Common Stock	Common Stock	NGM	12(g)	0000080035	000-31164
PREM	Premier Community Bankshares Inc Common Stock	Common Stock	NCM	12(g)	0000854399	000-18868
PRXI	Premier Exhibitions, Inc. Common Stock	Common Stock	NCM	12(g)	0000796764	000-24452
PFBI	Premier Financial Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000887919	000-20908
PFBIP	Premier Financial Bancorp, Inc. PFBI Capital Trust - 9.75% Preferred Securities	Other Securities	NGM	12(g)	0000887919	000-20908
PRWT	PremierWest Bancorp Common Stock	Common Stock	NCM	12(g)	0001102287	000-50332
PORK	Premium Standard Farms, Inc. Common Stock	Common Stock	NGS	12(g)	0001143967	000-51347
PLFE	Presidential Life Corporation Common Stock	Common Stock	NGS	12(g)	0000080124	000-05486
PRST	Presstek, Inc. Common Stock	Common Stock	NGS	12(g)	0000846876	000-17541
PBIO	Pressure BioSciences, Inc. Common Stock	Common Stock	NCM	12(g)	0000830656	000-21615
PRGX	PRG-Schultz International Inc. Common Stock	Common Stock	NGM	12(g)	0001007330	000-28000
PCLN	priceline.com Incorporated Common Stock	Common Stock	NGS	12(g)	0001075531	000-25581
PSMT	PriceSmart, Inc. Common Stock	Common Stock	NGM	12(g)	0001041803	000-22793
PNRG	PrimeEnergy Corporation Common Stock	Common Stock	NCM	12(g)	0000056868	000-07406
PNBC	Princeton National Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000707855	000-20050
REVU	Princeton Review, Inc. (The) Common Stock	Common Stock	NGM	12(g)	0001113668	000-32469
PTNX	Printronix, Inc. Common Stock	Common Stock	NGM	12(g)	0000311505	000-09321
PRVT	Private Media Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001068084	000-25067
PVTB	PrivateBancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000889936	000-25887

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
PROS	ProCentury Corporation Common Stock	Common Stock	NGM	12(g)	0001273397	000-50641
PDEX	Pro-Dex, Inc. Common Stock	Common Stock	NCM	12(g)	0000788920	000-14942
PFACP	Pro-Fac Cooperative, Inc. Class A Cumulative Preferred Stock	Preferred Stock	NCM	12(g)	0000202932	000-20539
PGLAF	Progen Industries Limited Ordinary Shares	Ordinary Shares	NCM	12(g)	0000943502	000-29228
PGNX	Progenics Pharmaceuticals Inc. Common Stock	Common Stock	NGM	12(g)	0000835887	000-23143
PROG	Programmer's Paradise, Inc. Common Stock	Common Stock	NCM	12(g)	0000945983	000-26408
PRGS	Progress Software Corporation Common Stock	Common Stock	NGS	12(g)	0000876167	000-19417
PGIC	Progressive Gaming International Corporation Common Stock	Common Stock	NGM	12(g)	0000912241	000-22752
PSEC	Prospect Energy Corporation Common Stock	Common Stock	NGM	12(g)	0001287032	000-50691
PRSP	Prosperity Bancshares, Inc. Common Stock	Common Stock	NGS	12(g)	0001068851	000-25051
PTIL	Protherics plc American Depositary Shares	American Depositary Shares	NGM	12(g)	0000945725	000-51463
PBKS	Provident Bankshares Corporation Common Stock	Common Stock	NGS	12(g)	0000818969	000-16421
PCBS	Provident Community Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0000926164	001-5735
PROV	Provident Financial Holdings, Inc. Common Stock	Common Stock	NGS	12(g)	0001010470	000-28304
PBNY	Provident New York Bancorp Common Stock	Common Stock	NGS	12(g)	0001070154	000-25233
PILL	ProxyMed, Inc. Common Stock	Common Stock	NGM	12(g)	0000906337	000-22052
PBIP	Prudential Bancorp, Inc. of Pennsylvania Common Stock	Common Stock	NGM	12(g)	0001302324	000-51214
PSBI	PSB Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001047537	000-24601
PSBH	PSB Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001293211	000-50970
PSIT	PSi Technologies Holdings, Inc. American Depositary Shares	American Depositary Shares	NCM	12(g)	0001106714	000-30582
PSDV	pSivida Limited American Depository Shares	American Depositary Shares	NGM	12(g)	0001314102	000-51122
PSSI	PSS World Medical Inc. Common Stock	Common Stock	NGS	12(g)	0000920527	000-23832
PSYS	Psychiatric Solutions, Inc. Common Stock	Common Stock	NGS	12(g)	0000829608	000-20488
PULB	Pulaski Financial Corporation Common Stock	Common Stock	NGS	12(g)	0001062438	000-24571
PCYO	Pure Cycle Corporation Common Stock	Common Stock	NCM	12(g)	0000276720	000-08814
PVFC	PVF Capital Corp. Common Stock	Common Stock	NCM	12(g)	0000928592	000-24948
PWEI	PW Eagle Inc. Common Stock	Common Stock	NGM	12(g)	0000852426	000-18050
PMID	Pyramid Breweries, Inc. Common Stock	Common Stock	NGM	12(g)	0001001917	000-27116
QEPC	Q.E.P. Co., Inc. Common Stock	Common Stock	NGM	12(g)	0001017815	000-21161
QADI	QAD Inc. Common Stock	Common Stock	NGS	12(g)	0001036188	000-22823
QCCO	QC Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001289505	000-50840
QCRH	QCR Holdings, Inc. Common Stock	Common Stock	NCM	12(g)	0000906465	000-22208

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
QGEN	Qiagen N.V. Ordinary Shares	Ordinary Shares	NGS	12(g)	0001015820	000-28564
XING	Qiao Xing Universal Telephone, Inc. Common Stock	Common Stock	NGM	12(g)	0001051846	000-29946
QLGC	QLogic Corporation Common Stock	Common Stock	NGS	12(g)	0000918386	000-23298
QLTI	QLT Inc. Common Shares	Common Stock	NGS	12(g)	0000827809	000-17082
QMED	QMed Inc. Common Stock	Common Stock	NCM	12(g)	0000729213	000-11411
QSND	QSound Labs, Inc. Common Shares	Common Stock	NCM	12(g)	0000840518	000-17212
QFAB	Quaker Fabric Corporation Common Stock	Common Stock	NGM	12(g)	0000103341	000-22199
QCOM	QUALCOMM Incorporated Common Stock	Common Stock	NGS	12(g)	0000804328	000-19528
QLTY	Quality Distribution, Inc. Common Stock	Common Stock	NGM	12(g)	0000922863	000-24180
QSII	Quality Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0000708818	000-13801
QBAK	Qualstar Corporation Common Stock	Common Stock	NGM	12(g)	0000758938	000-30083
QNTAP	Quanta Capital Holdings Ltd. Series A Preferred Shares	Preferred Stock	NGM	12(g)	0001264242	001-32138
QTWW	Quantum Fuel Systems Technologies Worldwide, Inc. COMMON STOCK	Common Stock	NGM	12(g)	0001166380	000-49629
QRCP	Quest Resource Corporation Common Stock	Common Stock	NGM	12(g)	0000775351	000-17371
QSFT	Quest Software, Inc. Common Stock	Common Stock	NGS	12(g)	0001088033	000-26937
QUIK	QuickLogic Corporation Common Stock	Common Stock	NGM	12(g)	0000882508	000-22671
QDEL	Quidel Corporation Common Stock	Common Stock	NGM	12(g)	0000353569	000-10961
QGLY	Quigley Corporation (The) Common Stock	Common Stock	NGM	12(g)	0000868278	000-21617
QMAR	Quintana Maritime Limited Common Stock	Common Stock	NGM	12(g)	0001325098	000-51412
QUIP	Quipp, Inc. Common Stock	Common Stock	NGM	12(g)	0000796577	000-14870
QUIX	Quixote Corporation Common Stock	Common Stock	NGM	12(g)	0000032870	000-7903
QVDX	Quovadx Inc. Common Stock	Common Stock	NGM	12(g)	0001094561	000-29273
RACK	Rackable Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0001316625	000-51333
RADI	Rada Electronics Industries Limited Ordinary Shares	Ordinary Shares	NCM	12(g)	0000761238	000-15375
RDCM	Radcom Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001016838	000-29452
RADS	Radiant Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0000845818	000-22065
RTSX	Radiation Therapy Services, Inc. Common Stock, par value $0.0001 per share	Common Stock	NGS	12(g)	0001056904	000-50802
RADA	Radica Games Limited Common Stock	Common Stock	NGM	12(g)	0000919642	000-23696
ROIA	Radio One, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0001041657	000-25969
ROIAK	Radio One, Inc. Class D Common Stock	Common Stock	NGM	12(g)	0001041657	000-25969
RSYS	RadiSys Corporation Common Stock	Common Stock	NGS	12(g)	0000873044	000-26844
RVSN	RADVision Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0001105519	000-29871

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
RDWR	Radware Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0001094366	000-30324
RADN	Radyne Corporation Common Stock	Common Stock	NGS	12(g)	0000718573	000-11685
RPFG	Rainier Pacific Financial Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001243800	000-50362
RDTA	Raining Data Corporation Common Stock	Common Stock	NCM	12(g)	0000820738	000-16449
RMKR	Rainmaker Systems, Inc. Common Stock	Common Stock	NCM	12(g)	0001094007	000-28009
RAME	RAM Energy Resources, Inc. Common Stock	Common Stock	NCM	12(g)	0001282648	000-50682
RAMEU	RAM Energy Resources, Inc. Units	Unit	NCM	12(g)	0001282648	000-50682
RAMEW	RAM Energy Resources, Inc. Warrant 5/11/2008	Warrant	NCM	12(g)	0001282648	000-50682
RAMR	RAM Holdings Ltd. Common Shares	Common Stock	NGM	12(g)	0001352713	001-32864
RMBS	Rambus, Inc. Common Stock	Common Stock	NGS	12(g)	0000917273	000-22339
RMTR	Ramtron International Corporation Common Stock	Common Stock	NGM	12(g)	0000849502	000-17739
RAND	Rand Capital Corporation Common Stock ($0.10 Par Value)	Common Stock	NCM	12(g)	0000081955	811-01825
GOLD	Randgold Resources Limited American Depositary Shares	American Depositary Shares	NGS	12(g)	0001175580	000-49888
RARE	RARE Hospitality International Inc. Common Stock	Common Stock	NGS	12(g)	0000883976	000-19924
RAVN	Raven Industries, Inc. Common Stock	Common Stock	NGS	12(g)	0000082166	000-3136
ROLL	RBC Bearings Incorporated Common Stock	Common Stock	NGM	12(g)	0001324948	000-51486
RCRC	RC2 Corporation Common Stock	Common Stock	NGS	12(g)	0001034239	000-22635
RCMT	RCM Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0000700841	001-10245
RCNI	RCN Corporation Common Stock New .	Common Stock	NGS	12(g)	0001041858	000-22825
RNWK	RealNetworks, Inc. Common Stock	Common Stock	NGS	12(g)	0001046327	000-23137
RHAT	Red Hat, Inc. Common Stock	Common Stock	NGS	12(g)	0001087423	000-26281
RRGB	Red Robin Gourmet Burgers, Inc. Common Stock	Common Stock	NGS	12(g)	0001171759	000-49916
RBAK	Redback Networks Inc. Common Stock	Common Stock	NGS	12(g)	0001081290	000-25853
REDE	RedEnvelope, Inc. Common Stock	Common Stock	NGM	12(g)	0001236038	000-50387
HOOK	Redhook Ale Brewery, Incorporated Common Stock	Common Stock	NGM	12(g)	0000892222	000-26542
REDF	Rediff.com India Limited American Depositary Shares	American Depositary Shares	NCM	12(g)	0001103783	000-30735
RGNC	Regency Energy Partners LP Common Units Representing Limited Partner Interests	Limited Partnership	NGM	12(g)	0001338613	000-51757
RTIX	Regeneration Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0001100441	000-31271
REGN	Regeneron Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0000872589	000-19034
RGCI	Regent Communications, Inc. Common Stock	Common Stock	NGM	12(g)	0000913015	000-15392
RNHDA	Reinhold Industries, Inc. Class A Common Stock	Common Stock	NCM	12(g)	0000862255	000-18434
RELV	Reliv' International, Inc. Common Stock	Common Stock	NGS	12(g)	0000768710	001-11768

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
RLRN	Renaissance Learning, Inc. Common Stock	Common Stock	NGS	12(g)	0001030484	000-22187
RNST	Renasant Corporation Common Stock	Common Stock	NGS	12(g)	0000715072	000-12154
RNVS	Renovis, Inc. Common Stock	Common Stock	NGM	12(g)	0001118361	000-50564
RCII	Rent-A-Center Inc. Common Stock	Common Stock	NGS	12(g)	0000933036	000-25370
RENT	Rentrak Corporation Common Stock	Common Stock	NGM	12(g)	0000800458	000-15159
RDYN	Replidyne, Inc. Common Stock	Common Stock	NGM	12(g)	0001180145	000-52082
RGEN	Repligen Corporation Common Stock	Common Stock	NGM	12(g)	0000730272	000-14656
RPRX	Repros Therapeutics Inc. Common Stock	Common Stock	NCM	12(g)	0000897075	000-21198
RJET	Republic Airways Holdings, Inc. Common stock	Common Stock	NGS	12(g)	0001159154	000-49697
RBNCP	Republic Bancorp Inc. Capital Trust I - 8.60% Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0000813808	000-15734
RBNC	Republic Bancorp Inc. Common Stock	Common Stock	NGS	12(g)	0000813808	000-15734
RBCAA	Republic Bancorp, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000921557	000-24649
RUTX	Republic Companies Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001320092	000-51455
FRBK	Republic First Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000834285	000-17007
RSCR	Res-Care, Inc. Common Stock	Common Stock	NGS	12(g)	0000776325	000-20372
REFR	Research Frontiers Incorporated Common Stock	Common Stock	NCM	12(g)	0000793524	001-09399
RIMM	Research in Motion Limited Common Stock	Common Stock	NGS	12(g)	0001070235	000-29898
REXI	Resource America, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000083402	000-04408
RECN	Resources Connection, Inc. Common Stock	Common Stock	NGS	12(g)	0001084765	000-32113
RESP	Respironics, Inc. Common Stock	Common Stock	NGS	12(g)	0000780434	000-16723
RSTO	Restoration Hardware, Inc. Common Stock	Common Stock	NGM	12(g)	0000863821	000-24261
REST	Restore Medical, Inc. Common Stock	Common Stock	NGM	12(g)	0001350620	000-51998
RTLX	Retalix Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0001064060	000-29742
RTRSY	Reuters Group PLC American Depositary Shares	American Depositary Shares	NGS	12(g)	0001056084	000-26579
RFIL	RF Industries, Inc. Common Stock	Common Stock	NCM	12(g)	0000740664	000-13301
RFMD	RF Micro Devices, Inc. Common Stock	Common Stock	NGS	12(g)	0000911160	000-22511
RFMI	RF Monolithics, Inc. Common Stock	Common Stock	NGM	12(g)	0000922204	000-24414
RGCO	RGC Resources Inc. Common Stock	Common Stock	NGM	12(g)	0001069533	000-26591
RELL	Richardson Electronics, Ltd. Common Stock	Common Stock	NGM	12(g)	0000355948	000-12906
RICK	Rick's Cabaret International, Inc. Common Stock	Common Stock	NCM	12(g)	0000935419	000-26958
RIGL	Rigel Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001034842	000-29889
RNOW	RightNow Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0001111247	000-31321

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
RIMG	Rimage Corporation Common Stock	Common Stock	NGS	12(g)	0000892482	000-20728
RVEP	Rio Vista Energy Partners L.P. Common Units representing limited partner interests	Limited Partnership	NGM	12(g)	0001260828	000-50394
RITT	RIT Technologies Ltd. Common Stock	Common Stock	NCM	12(g)	0001041844	000-29360
RITA	RITA Medical Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0001056421	000-30959
RIVR	River Valley Bancorp. Common Stock	Common Stock	NCM	12(g)	0001015593	000-21765
RVSB	Riverview Bancorp Inc Common Stock	Common Stock	NGS	12(g)	0001041368	000-22957
ROCM	Rochester Medical Corporation Common Stock	Common Stock	NGM	12(g)	0000868368	000-18933
ROAC	Rock of Ages Corporation Class A Common Stock	Common Stock	NGM	12(g)	0000084581	000-29464
ROFO	Rockford Corporation Common Stock	Common Stock	NGM	12(g)	0000828064	000-30138
RCKB	Rockville Financial, Inc. Common Stock	Common Stock	NGS	12(g)	0001311131	000-51239
RMTI	Rockwell Medical Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0001041024	000-23661
RCKY	Rocky Brands, Inc. Common Stock	Common Stock	NGS	12(g)	0000895456	000-21026
RMCF	Rocky Mountain Chocolate Factory, Inc. Common Stock	Common Stock	NGM	12(g)	0000785815	000-14749
RSTI	Rofin-Sinar Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0001019361	000-21377
ROMA	Roma Financial Corporation Common Stock	Common Stock	NGS	12(g)	0001355823	000-52000
ROME	Rome Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001088144	000-27481
RONC	Ronson Corporation Common Stock	Common Stock	NCM	12(g)	0000084919	001-1031
ROSE	Rosetta Resources Inc. Common Stock	Common Stock	NGS	12(g)	0001340282	000-1340282
ROST	Ross Stores, Inc. Common Stock	Common Stock	NGS	12(g)	0000745732	000-14678
ROHI	Rotech Healthcare Inc Common Stock	Common Stock	NGM	12(g)	0001175108	000-50940
RBPAA	Royal Bancshares of Pennsylvania, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0000922487	000-26366
RGLD	Royal Gold, Inc. Common Stock	Common Stock	NGS	12(g)	0000085535	000-5664
ROYL	Royale Energy, Inc. Common Stock	Common Stock	NGM	12(g)	0000864839	000-22750
RSAS	RSA Security, Inc. Common Stock	Common Stock	NGS	12(g)	0000932064	000-25120
RTWI	RTW, Inc.	Common Stock	NGM	12(g)	0000915781	000-25508
RUBO	Rubio's Restaurants, Inc. Common Stock	Common Stock	NGM	12(g)	0001082423	000-26125
RTEC	Rudolph Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0001094392	000-27965
RCCC	Rural Cellular Corporation Class A Common Stock	Common Stock	NGM	12(g)	0000869561	000-27416
RURL	Rural/Metro Corporation Common Stock	Common Stock	NCM	12(g)	0000906326	000-22056
RBNF	Rurban Financial Corp Common Stock	Common Stock	NGM	12(g)	0000767405	000-13507
RUSHB	Rush Enterprises, Inc. Class B	Common Stock	NGS	12(g)	0001012019	000-20797
RUSHA	Rush Enterprises, Inc. COMMON STOCK CL A	Common Stock	NGS	12(g)	0001012019	000-20797

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
RUTH	Ruth's Chris Steak House, Inc. Common Stock	Common Stock	NGS	12(g)	0001324272	000-51485
RYAAY	Ryanair Holdings plc American Depositary Shares	American Depositary Shares	NGS	12(g)	0001038683	000-29304
RYAN	Ryan's Restaurant Group, Inc. Common Stock	Common Stock	NGS	12(g)	0000355622	000-10943
STBA	S&T Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000719220	000-12508
SYBT	S.Y. Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000835324	000-17262
SONE	S1 Corporation Common Stock	Common Stock	NGM	12(g)	0001063254	000-24931
SABA	Saba Software, Inc. Common Stock	Common Stock	NGM	12(g)	0001070380	000-30221
SAFC	SAFECO Corporation Common Stock	Common Stock	NGS	12(g)	0000086104	001-06563
SFNT	SafeNet Inc Common Stock	Common Stock	NGS	12(g)	0000850313	000-20634
SAFT	Safety Insurance Group, Inc. Common Stock	Common Stock	NGS	12(g)	0001172052	000-50070
SFLK	SAFLINK Corporation Common Stock	Common Stock	NCM	12(g)	0000847555	000-20270
SAIA	Saia, Inc. Common Stock	Common Stock	NGS	12(g)	0001177702	000-49983
SFUN	Saifun Semiconductors Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0001297627	000-51581
SALM	Salem Communications Corporation Class A Common Stock	Common Stock	NGM	12(g)	0001050606	000-26497
SLXP	Salix Pharmaceuticals, Ltd. Common Stock	Common Stock	NGM	12(g)	0001009356	000-23265
SMHG	Sanders Morris Harris Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001071341	000-30066
SAFM	Sanderson Farms, Inc. Common Stock	Common Stock	NGS	12(g)	0000812128	000-16567
SNDK	SanDisk Corporation Common Stock	Common Stock	NGS	12(g)	0001000180	000-26734
SNDS	Sands Regent (The) Common Stock	Common Stock	NCM	12(g)	0000753899	000-14050
SASR	Sandy Spring Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000824410	000-19065
SGMO	Sangamo BioSciences, Inc. Common Stock	Common Stock	NGM	12(g)	0001001233	000-30171
SANM	Sanmina-SCI Corporation Common Stock	Common Stock	NGS	12(g)	0000897723	000-21272
SNTS	Santarus, Inc. Common Stock	Common Stock	NGM	12(g)	0001172480	000-50651
SPNS	Sapiens International Corporation N.V. Common Shares	Common Stock	NCM	12(g)	0000885740	000-20181
SAPE	Sapient Corporation Common Stock	Common Stock	NGS	12(g)	0001008817	000-28074
SATC	SatCon Technology Corporation Common Stock	Common Stock	NGM	12(g)	0000889423	001-11512
SAVB	Savannah Bancorp, Inc. (The) Common Stock	Common Stock	NGM	12(g)	0000860519	000-18560
SVVS	SAVVIS, Inc. Common Stock	Common Stock	NCM	12(g)	0001058444	000-29375
SBAC	SBA Communications Corporation Common Stock	Common Stock	NGS	12(g)	0001034054	000-30110
SBEI	SBE, Inc. Common Stock	Common Stock	NCM	12(g)	0000087050	000-08419
SCIX	Scailex Corporation Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0000315816	000-12332
SCSC	ScanSource, Inc. Common Stock	Common Stock	NGS	12(g)	0000918965	000-26926
SCBT	SCBT Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000764038	001-12669

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
SMIT	Schmitt Industries, Inc. Common Stock	Common Stock	NCM	12(g)	0000922612	000-23996
SCHN	Schnitzer Steel Industries, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000912603	000-22496
SCHL	Scholastic Corporation Common Stock	Common Stock	NGS	12(g)	0000866729	000-19860
SCHS	School Specialty, Inc. Common Stock	Common Stock	NGS	12(g)	0001055454	000-24385
SCLN	SciClone Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0000880771	000-19825
SCRX	Sciele Pharma, Inc. Common Stock	Common Stock	NGS	12(g)	0001106773	000-30123
SGMS	Scientific Games Corp Class A Common Stock	Common Stock	NGS	12(g)	0000750004	000-13063
SCIL	Scientific Learning Corporation Common Stock	Common Stock	NGM	12(g)	0001042173	000-24547
STIZ	Scientific Technologies, Incorporated Common Stock	Common Stock	NGM	12(g)	0000708250	000-12254
SCMM	SCM Microsystems, Inc. Common Stock	Common Stock	NGM	12(g)	0001036044	000-22689
SCOX	SCO Group, Inc. (The) Common Stock	Common Stock	NCM	12(g)	0001102542	000-29911
SCOP	Scopus Video Networks Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001342575	000-51654
SEAB	SeaBright Insurance Holdings, Inc. Common Stock	Common Stock	NGS	12(g)	0001267201	000-51124
SEAC	SeaChange International, Inc. Common Stock	Common Stock	NGM	12(g)	0001019671	000-21393
SBCF	Seacoast Banking Corporation of Florida Common Stock	Common Stock	NGS	12(g)	0000730708	000-13660
SHLD	Sears Holdings Corporation Common Stock	Common Stock	NGS	12(g)	0001310067	000-51217
SGEN	Seattle Genetics, Inc. Common Stock	Common Stock	NGM	12(g)	0001060736	000-32405
SCUR	Secure Computing Corporation Common Stock	Common Stock	NGS	12(g)	0001001916	000-27074
SBKC	Security Bank Corporation Common Stock	Common Stock	NGS	12(g)	0000925464	000-23261
SNFCA	Security National Financial Corporation Class A Common Stock	Common Stock	NGM	12(g)	0000318673	000-09341
SEIC	SEI Investments Company Common Stock	Common Stock	NGS	12(g)	0000350894	000-10200
SCSS	Select Comfort Corporation Common Stock	Common Stock	NGS	12(g)	0000827187	000-25121
SLTC	Selectica, Inc. Common Stock	Common Stock	NGM	12(g)	0001090908	000-29637
SIGI	Selective Insurance Group, Inc. Common Stock	Common Stock	NGS	12(g)	0000230557	000-08641
SMTL	Semitool, Inc. Common Stock	Common Stock	NGM	12(g)	0000934550	000-25424
SMTC	Semtech Corporation Common Stock	Common Stock	NGM	12(g)	0000088941	001-6395
SENEA	Seneca Foods Corp. Class A Common Stock	Common Stock	NGM	12(g)	0000088948	000-01989
SENEB	Seneca Foods Corp. Class B Common Stock	Common Stock	NGM	12(g)	0000088948	000-01989
SNMX	Senomyx, Inc. Common Stock	Common Stock	NGM	12(g)	0001123979	000-50791
SGHL	Sentigen Holding Corp. Common Stock	Common Stock	NCM	12(g)	0000864890	000-18700
SNTO	Sento Corporation Common Stock	Common Stock	NCM	12(g)	0000004317	000-06425
SEPR	Sepracor Inc. Common Stock	Common Stock	NGS	12(g)	0000877357	000-19410
SQNM	Sequenom, Inc. Common Stock	Common Stock	NGM	12(g)	0001076481	000-29101
SERV	Servidyne, Inc. Common Stock	Common Stock	NGM	12(g)	0000001923	000-10146

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
SVBI	Severn Bancorp Inc (Md) Common Stock	Common Stock	NCM	12(g)	0000868271	000-49731
SFCC	SFBC International, Inc. Common Stock	Common Stock	NGS	12(g)	0001089542	000-31273
SGXP	SGX Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001125603	000-51745
SHMR	Shamir Optical Industry Ltd. Common Shares	Ordinary Shares	NGM	12(g)	0001317362	000-51183
SNDA	Shanda Interactive Entertainment Limited American Depositary Shares	American Depositary Shares	NGS	12(g)	0001278308	000-50705
SHRP	Sharper Image Corporation Common Stock	Common Stock	NGM	12(g)	0000811696	000-15827
SHEN	Shenandoah Telecommunications Co Common Stock	Common Stock	NGS	12(g)	0000354963	000-09881
SHLO	Shiloh Industries, Inc. Common Stock	Common Stock	NGM	12(g)	0000904979	000-21964
SHPGY	Shire plc American Depositary Shares	American Depositary Shares	NGS	12(g)	0000936402	000-29630
SCVL	Shoe Carnival, Inc. Common Stock	Common Stock	NGS	12(g)	0000895447	000-21360
SHOE	Shoe Pavilion, Inc Common Stock	Common Stock	NGM	12(g)	0001051009	000-23669
SHBI	Shore Bancshares Inc Common Stock	Common Stock	NCM	12(g)	0001035092	000-22345
SHBK	Shore Financial Corporation Common Stock	Common Stock	NGM	12(g)	0001045690	000-23847
SHFL	Shuffle Master, Inc. Common Stock	Common Stock	NGS	12(g)	0000718789	000-20820
SIFI	SI Financial Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001292580	000-50801
SINT	SI International, Inc. common stock	Common Stock	NGS	12(g)	0001143363	000-50080
SIEB	Siebert Financial Corp. Common Stock	Common Stock	NGM	12(g)	0000065596	000-05703
BSRR	Sierra Bancorp Common Stock	Common Stock	NGS	12(g)	0001130144	000-33063
SWIR	Sierra Wireless, Inc. Common Stock	Common Stock	NGM	12(g)	0001111863	000-30718
SIFY	Sify Limited American Depositary Shares	American Depositary Shares	NGM	12(g)	0001094324	000-27663
SIGA	SIGA Technologies Inc. Common Stock	Common Stock	NCM	12(g)	0001010086	000-23047
SIGM	Sigma Designs, Inc. Common Stock	Common Stock	NGM	12(g)	0000790715	000-15116
SIAL	Sigma-Aldrich Corporation Common Stock	Common Stock	NGS	12(g)	0000090185	000-08135
SGTL	Sigmatel, Inc. Common Stock	Common Stock	NGS	12(g)	0001043639	000-50391
SGMA	SigmaTron International, Inc. Common Stock	Common Stock	NCM	12(g)	0000915358	000-23248
SLGN	Silgan Holdings, Inc. Common Stock	Common Stock	NGS	12(g)	0000849869	000-22117
SILC	Silicom Ltd Ordinary Shares	Ordinary Shares	NCM	12(g)	0000916793	000-23288
SIMG	Silicon Image, Inc. Common Stock	Common Stock	NGS	12(g)	0001003214	000-26887
SLAB	Silicon Laboratories, Inc. Common Stock	Common Stock	NGS	12(g)	0001038074	000-29823
SIMO	Silicon Motion Technology Corporation American Depositary Shares	American Depositary Shares	NGM	12(g)	0001329394	000-51380
SSTI	Silicon Storage Technology, Inc. Common Stock	Common Stock	NGM	12(g)	0000855906	000-26944

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
SPIL	Siliconware Precision Industries Company, Ltd. American Depositary Shares	American Depositary Shares	NGS	12(g)	0001111759	000-30702
SSRI	Silver Standard Resources, Inc Common Stock	Common Stock	NGM	12(g)	0000921638	000-26424
SSTR	Silverstar Holdings Ltd Common Shares	Common Stock	NCM	12(g)	0001003390	000-27494
SIMC	Simclar, Inc. Common Stock	Common Stock	NCM	12(g)	0000764039	000-14659
SFNC	Simmons First National Corporation Class A Common Stock	Common Stock	NGS	12(g)	0000090498	000-06253
STEC	SimpleTech Inc Common Stock	Common Stock	NGM	12(g)	0001102741	000-31623
SINA	sina.com Ordinary Shares	Ordinary Shares	NGS	12(g)	0001094005	000-30698
SBGI	Sinclair Broadcast Group, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000912752	000-26076
SMDI	Sirenza Microdevices, Inc. Common Stock	Common Stock	NGM	12(g)	0001103777	000-30615
SIRF	SiRF Technology Holdings, Inc. Common Stock	Common Stock	NGS	12(g)	0001163943	000-50669
SIRI	Sirius Satellite Radio Inc. Common Stock	Common Stock	NGS	12(g)	0000908937	000-24710
RNAI	Sirna Therapeutics, Inc. Common Stock	Common Stock	NGM	12(g)	0000892112	000-27914
SIRO	Sirona Dental Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0001014507	000-22673
SKIL	SkillSoft plc American Depositary Shares	American Depositary Shares	NGM	12(g)	0000940181	000-25674
SECDP	Sky Financial Group, Inc. - Second Bancorp Capital Trust I - 9.00% Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0000855876	000-18209
SKYF	Sky Financial Group, Inc. Common Stock	Common Stock	NGS	12(g)	0000855876	000-18209
SKYE	SkyePharma PLC American Depositary Shares	American Depositary Shares	NGM	12(g)	0001018117	000-29860
SKYW	SkyWest, Inc. Common Stock	Common Stock	NGS	12(g)	0000793733	000-14719
SWKS	Skyworks Solutions, Inc. Common Stock	Common Stock	NGS	12(g)	0000004127	001-5560
SFBC	Slade's Ferry Bancorp Common Stock	Common Stock	NCM	12(g)	0000857499	000-23904
WINS	SM&A Common Stock	Common Stock	NGM	12(g)	0001050031	000-23585
SMOD	SMART Modular Technologies, Inc. Ordinary Shares	Ordinary Shares	NGS	12(g)	0001326973	000-51771
SWHC	Smith & Wesson Holding Corp Common Stock	Common Stock	NGS	12(g)	0001092796	000-29015
SWRG	Smith & Wollensky Restaurant Group, Inc. (The) Common Stock	Common Stock	NGM	12(g)	0001137047	001-16505
SMSI	Smith Micro Software, Inc. Common Stock	Common Stock	NCM	12(g)	0000948708	000-26536
SMTB	Smithtown Bancorp Inc Common Stock	Common Stock	NCM	12(g)	0000747345	000-13314
SMXC	Smithway Motor Xpress Corp. Class A Common Stock	Common Stock	NCM	12(g)	0000941914	000-20793
SMTX	SMTC Corporation Common Stock	Common Stock	NGM	12(g)	0001108320	000-31051
SSCCP	Smurfit-Stone Container Corporation 7% Series A Cumulative Exchangeable Redeemable Convertible Preferred Stock	Preferred Stock	NGS	12(g)	0000919226	000-23876

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
SSCC	Smurfit-Stone Container Corporation Common Stock	Common Stock	NGS	12(g)	0000919226	000-23876
SCKT	Socket Communications, Inc. Common Stock	Common Stock	NGM	12(g)	0000944075	001-13810
SOHU	Sohu.com Inc. Common Stock	Common Stock	NGS	12(g)	0001104188	000-30961
SLXA	Solexa, Inc. Common Stock	Common Stock	NGM	12(g)	0000913275	000-22570
SMTS	Somanetics Corporation Common Stock	Common Stock	NGM	12(g)	0000704328	000-19095
SOMX	Somaxon Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001339455	000-51665
SMRA	Somera Communications, Inc. Common Stock	Common Stock	NGM	12(g)	0001094243	000-27843
SOMH	Somerset Hills Bancorp Common Stock	Common Stock	NCM	12(g)	0001189396	000-50055
SOMHW	Somerset Hills Bancorp warrants to purchase one share of common stock	Warrant	NCM	12(g)	0001189396	000-50055
SNSTA	Sonesta International Hotels Corporation Class A Common Stock	Common Stock	NGM	12(g)	0000091741	000-09032
SONC	Sonic Corp. Common Stock	Common Stock	NGS	12(g)	0000868611	000-18859
SOFO	Sonic Foundry, Inc. Common Stock	Common Stock	NGM	12(g)	0001029744	001-14007
SNCI	Sonic Innovations, Inc. Common Stock	Common Stock	NGM	12(g)	0001105982	000-30335
SNIC	Sonic Solutions Common Stock	Common Stock	NGS	12(g)	0000916235	000-23190
SNWL	SonicWALL, Inc. Common Stock	Common Stock	NGM	12(g)	0001093885	000-27723
SONO	SonoSite, Inc. Common Stock	Common Stock	NGM	12(g)	0001055355	000-23791
SONT	Sontra Medical Corporation Common Stock	Common Stock	NCM	12(g)	0001031927	000-23017
SONS	Sonus Networks, Inc. Common Stock	Common Stock	NGS	12(g)	0001105472	000-30229
SNUS	SONUS Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0000949858	000-26866
SORL	SORL Auto Parts, Inc. Common Stock	Common Stock	NCM	12(g)	0000714284	000-11991
TSFG	South Financial Group Inc. (The) Common Stock	Common Stock	NGS	12(g)	0000797871	000-15083
SSFC	South Street Financial Corporation Common Stock	Common Stock	NGM	12(g)	0001014964	000-21083
SOCB	Southcoast Financial Corporation Common Stock	Common Stock	NGM	12(g)	0001083689	000-25933
SCMF	Southern Community Financial Corporation Common Stock	Common Stock	NGS	12(g)	0001159427	000-33227
SCMFO	Southern Community Financial Corporation Southern Community Capital Trust II - % Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0001159427	000-33227
SMBC	Southern Missouri Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000916907	000-23406
SBSI	Southside Bancshares, Inc. Common Stock	Common Stock	NGS	12(g)	0000705432	000-12247
OKSB	Southwest Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000914374	000-23064
SWWC	Southwest Water Company Common Stock	Common Stock	NGS	12(g)	0000092472	000-08176
SPAB	SPACEHAB, Incorporated Common Stock	Common Stock	NCM	12(g)	0001001907	000-27206
SPAN	Span-America Medical Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0000718924	000-11392

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
SBSA	Spanish Broadcasting System, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0000927720	000-27823
SPSN	Spansion Inc. Class A Common Stock	Common Stock	NGS	12(g)	0001322705	000-51666
SGRP	SPAR Group, Inc. Common Stock	Common Stock	NCM	12(g)	0001004989	000-27824
SPAR	Spartan Motors, Inc. Common Stock	Common Stock	NGS	12(g)	0000743238	000-13611
SPTN	Spartan Stores, Inc. Common Stock	Common Stock	NGM	12(g)	0000877422	000-31127
HDTV	SpatiaLight, Inc. Common Stock	Common Stock	NCM	12(g)	0000881468	000-19828
SUAI	Specialty Underwriters' Alliance, Inc. Common Stock	Common Stock	NGM	12(g)	0001297568	000-50891
SLNK	SpectraLink Corporation Common Stock	Common Stock	NGS	12(g)	0000894268	000-28180
SPNC	Spectranetics Corporation (The) Common Stock	Common Stock	NGM	12(g)	0000789132	000-19711
SPEC	Spectrum Control, Inc. Common Stock	Common Stock	NGM	12(g)	0000092769	000-08796
SPPI	Spectrum Pharmaceuticals, Inc.Common Stock	Common Stock	NGM	12(g)	0000831547	000-28782
SSPI	Spectrum Signal Processing Inc. Common Stock	Common Stock	NCM	12(g)	0000884455	000-19906
SPDE	Speedus Corp. Common Stock	Common Stock	NCM	12(g)	0001002520	000-27582
SPEX	Spherix Incorporated Common Stock	Common Stock	NGM	12(g)	0000012239	000-05576
SPIR	Spire Corporation Common Stock	Common Stock	NGM	12(g)	0000731657	000-12742
SPCHB	Sport Chalet, Inc. Class B Common Stock	Common Stock	NGM	12(g)	0000892907	000-20736
SPCHA	Sport Chalet, Inc. Common Stock Class A	Common Stock	NGM	12(g)	0000892907	000-20736
SPOR	Sport-Haley, Inc. Common Stock	Common Stock	NGM	12(g)	0000892653	001-12888
SGDE	Sportsman's Guide, Inc. (The) Common Stock	Common Stock	NGS	12(g)	0000791450	000-15767
SPSS	SPSS Inc. Common Stock	Common Stock	NGS	12(g)	0000869570	000-22194
STRC	SRI/Surgical Express, Inc. Common Stock	Common Stock	NGM	12(g)	0001014041	000-20997
SRSL	SRS Labs, Inc. Common Stock	Common Stock	NGM	12(g)	0001016470	000-21123
SJOE	St Joseph Capital Corp Common Stock	Common Stock	NCM	12(g)	0001015856	000-50219
STAA	STAAR Surgical Company Common Stock	Common Stock	NGM	12(g)	0000718937	000-11634
STGSW	STAGE STORES INC NEW Stage Stores Inc Warrants A	Warrant	NGM	12(g)	0000006885	000-21011
STGSZ	STAGE STORES INC NEW Stage Stores Inc Warrants B	Warrant	NGM	12(g)	0000006885	000-21011
STAK	Staktek Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0000866830	000-50553
STMP	Stamps.com Inc. Common Stock ($0.001 Par Value)	Common Stock	NGM	12(g)	0001082923	000-26427
SMSC	Standard Microsystems Corporation Common Stock	Common Stock	NGS	12(g)	0000093384	000-07422
STAN	Standard Parking Corporation common stock	Common Stock	NGM	12(g)	0001059262	000-50796
STLY	Stanley Furniture Company, Inc. Common Stock	Common Stock	NGS	12(g)	0000797465	000-14938
SPLS	Staples, Inc. Common Stock	Common Stock	NGS	12(g)	0000791519	000-17586
STRZ	Star Buffet, Inc. Common Stock	Common Stock	NCM	12(g)	0001043156	000-23099
STSI	Star Scientific, Inc. Common Stock	Common Stock	NGM	12(g)	0000776008	000-15324

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
SBUX	Starbucks Corporation Common Stock	Common Stock	NGS	12(g)	0000829224	000-20322
STFC	State Auto Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000874977	000-19289
STBC	State Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000723458	000-14874
SNBI	State National Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0001332626	000-51548
STTS	STATS ChipPAC Ltd. American Depositary Shares	American Depositary Shares	NGS	12(g)	0001101873	000-29103
GASS	StealthGas, Inc. Common Stock	Common Stock	NGM	12(g)	0001328919	000-51559
STLD	Steel Dynamics, Inc. Common Stock	Common Stock	NGS	12(g)	0001022671	000-21719
STTX	Steel Technologies Inc. Common Stock	Common Stock	NGS	12(g)	0000771790	000-14061
SCLD	SteelCloud Inc. Common Stock	Common Stock	NCM	12(g)	0001058027	000-24015
SMRT	Stein Mart, Inc. Common Stock	Common Stock	NGS	12(g)	0000884940	000-20052
STNR	Steiner Leisure Limited Common Shares	Common Stock	NGS	12(g)	0001018946	000-28972
STEL	Stellent, Inc. Common Stock	Common Stock	NGM	12(g)	0000867347	000-19817
STEM	StemCells, Inc. Common Stock	Common Stock	NGM	12(g)	0000883975	000-19871
STEN	STEN Corporation Common Stock	Common Stock	NCM	12(g)	0000350557	000-18785
STXS	Stereotaxis, Inc. Common Stock	Common Stock	NGM	12(g)	0001289340	000-50884
SRCL	Stericycle, Inc. Common Stock	Common Stock	NGS	12(g)	0000861878	000-21229
SBIB	Sterling Bancshares, Inc. Common Stock	Common Stock	NGS	12(g)	0000891098	000-20750
SBIBN	Sterling Bancshares, Inc. Sterling Bancshares Capital Trust III _% Trust Preferred Securities	Other Securities	NGS	12(g)	0000891098	000-20750
STRL	Sterling Construction Company Inc Common Stock	Common Stock	NGS	12(g)	0000874238	000-19450
SLFI	Sterling Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000811671	000-16276
STSA	Sterling Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000891106	000-20800
SHOO	Steven Madden, Ltd. Common Stock	Common Stock	NGS	12(g)	0000913241	000-23702
STEI	Stewart Enterprises, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000878522	001-15449
STKR	StockerYale Inc. Common Stock	Common Stock	NGM	12(g)	0000094538	000-27372
SNSA	Stolt-Nielsen S.A. American Depositary Shares	American Depositary Shares	NGS	12(g)	0000831980	000-16977
STON	StoneMor Partners L.P. Common Unit Rep Limited Partnership Interests	Limited Partnership	NGM	12(g)	0001286131	000-50910
STGN	Stratagene Corporation Common Stock	Common Stock	NGM	12(g)	0001108674	000-50786
SDIX	Strategic Diagnostics Inc. Common Stock	Common Stock	NGM	12(g)	0000911649	000-68440
STRD	Strategic Distribution, Inc. Common Stock	Common Stock	NGM	12(g)	0000073822	000-05228
STXN	Stratex Networks Inc Common Stock	Common Stock	NGM	12(g)	0000812703	000-15895
STLW	Stratos International, Inc. Common Stock	Common Stock	NGM	12(g)	0001111721	000-30869

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
STRT	Strattec Security Corporation Common Stock	Common Stock	NGM	12(g)	0000933034	000-25150
STRS	Stratus Properties, Inc. Common Stock	Common Stock	NGM	12(g)	0000885508	000-19989
STRA	Strayer Education, Inc. Common Stock	Common Stock	NGS	12(g)	0001013934	000-21039
STRM	Streamline Health Solutions, Inc. Common Stock	Common Stock	NCM	12(g)	0001008586	000-28132
FUEL	Streicher Mobile Fueling, Inc. Common Stock	Common Stock	NCM	12(g)	0001024452	000-21825
SUBK	Suffolk Bancorp Common Stock	Common Stock	NGS	12(g)	0000754673	000-13580
SUMX	Summa Industries Common Stock	Common Stock	NGM	12(g)	0000062262	001-7755
SBIT	Summit Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0000745344	000-11986
SBGA	Summit Bank Corporation Common Stock	Common Stock	NGM	12(g)	0000820067	000-21267
SMMF	Summit Financial Group, Inc. Common Stock	Common Stock	NCM	12(g)	0000811808	000-16587
SUMT	SumTotal Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0001269132	000-50640
SNBC	Sun Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0001017793	000-20957
SUNH	Sun Healthcare Group, Inc. Common Stock	Common Stock	NGM	12(g)	0000904978	000-49663
SNHY	Sun Hydraulics Corporation Common Stock	Common Stock	NGS	12(g)	0001024795	000-21835
SUNW	Sun Microsystems, Inc. Common Stock	Common Stock	NGS	12(g)	0000709519	000-15086
SDAY	SUNDAY Communications Limited American Depositary Shares	American Depositary Shares	NGM	12(g)	0001106952	000-30590
SNSS	Sunesis Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001061027	000-51531
STKL	SunOpta, Inc. Common Stock	Common Stock	NGS	12(g)	0000351834	000-09989
SPWR	SunPower Corporation Class A Common Stock	Common Stock	NGM	12(g)	0000867773	000-51593
SUNN	Suntron Corporation Common Stock	Common Stock	NCM	12(g)	0001160513	000-49651
SUPVA	Super Vision International, Inc. Class A Common Stock	Common Stock	NCM	12(g)	0000917523	000-23590
SCON	Superconductor Technologies Inc. Common Stock	Common Stock	NCM	12(g)	0000895665	000-21074
SUPG	SuperGen, Inc. Common Stock	Common Stock	NGM	12(g)	0000919722	000-27628
SUPR	Superior Bancorp Common Stock	Common Stock	NGM	12(g)	0001065298	000-25033
SPSX	Superior Essex Inc Common Stock	Common Stock	NGS	12(g)	0001271193	000-50514
SWSI	Superior Well Services, Inc. Common Stock	Common Stock	NGS	12(g)	0001323715	000-51435
SPPR	Supertel Hospitality, Inc. Common Stock	Common Stock	NGM	12(g)	0000929545	000-25060
SPPRP	Supertel Hospitality, Inc. Series A Convertible Preferred Stock	Preferred Stock	NGM	12(g)	0000929545	000-25060
SUPX	Supertex, Inc. Common Stock	Common Stock	NGS	12(g)	0000730000	000-12718
SPRT	Support.com, Inc. Common Stock	Common Stock	NGS	12(g)	0001104855	000-30901
SURW	SureWest Communications Common Stock	Common Stock	NGM	12(g)	0000943117	000-29660
SRDX	SurModics, Inc. Common Stock	Common Stock	NGS	12(g)	0000924717	000-23837
SUSQ	Susquehanna Bancshares, Inc. Common Stock	Common Stock	NGS	12(g)	0000700863	000-10674

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
STRN	Sutron Corporation Common Stock	Common Stock	NCM	12(g)	0000728331	000-12227
SIVBO	SVB Capital II Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0000719739	000-15637
SIVB	SVB Financial Group Common Stock	Common Stock	NGS	12(g)	0000719739	000-15637
SWFT	Swift Transportation Co., Inc. Common Stock	Common Stock	NGS	12(g)	0000863557	000-18605
SCMR	Sycamore Networks, Inc. Common Stock	Common Stock	NGM	12(g)	0001092367	000-27273
SYKE	Sykes Enterprises, Incorporated Common Stock	Common Stock	NGS	12(g)	0001010612	000-28274
SYMC	Symantec Corporation Common Stock	Common Stock	NGS	12(g)	0000849399	000-17781
SMBI	Symbion, Inc. Common Stock	Common Stock	NGS	12(g)	0001091312	000-50574
SYMM	Symmetricom, Inc. Common Stock	Common Stock	NGM	12(g)	0000082628	000-02287
SMMX	Symyx Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0001095330	000-27765
SYGR	Synagro Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0000895565	000-21054
SYNL	Synalloy Corporation Common Stock	Common Stock	NGM	12(g)	0000095953	000-19687
SYNA	Synaptics Incorporated Common Stock $0.001 Par Value	Common Stock	NGS	12(g)	0000817720	000-49602
SNCR	Synchronoss Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0001131554	000-52049
SURG	Synergetics USA, Inc. Common Stock	Common Stock	NCM	12(g)	0000836429	000-51602
SYNX	SYNERGX SYSTEMS INC	Common Stock	NCM	12(g)	0000823130	000-17580
SYBR	Synergy Brands Inc. Common Stock	Common Stock	NCM	12(g)	0000870228	000-19409
SYNF	Synergy Financial Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001263766	000-50467
ELOS	Syneron Medical Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0001291361	000-50867
SNPS	Synopsys, Inc. Common Stock	Common Stock	NGS	12(g)	0000883241	000-19807
SYNO	Synovis Life Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0000780127	000-13907
SYNP	Synplicity, Inc. Common Stock	Common Stock	NGM	12(g)	0001027362	000-31545
BRLC	Syntax-Brillian Corporation Common Stock	Common Stock	NGM	12(g)	0001232229	000-50289
SYNT	Syntel, Inc. Common Stock	Common Stock	NGS	12(g)	0001040426	000-22903
SYNM	Syntroleum Corporation Common Stock	Common Stock	NGM	12(g)	0001029023	000-21911
SYNMZ	Syntroleum Corporation Warrants (Expire 5/26/2008)	Warrant	NGM	12(g)	0001029023	000-21911
SYNMW	Syntroleum Corporation Warrants 11/4/2007	Warrant	NGM	12(g)	0001029023	000-21911
SYPR	Sypris Solutions, Inc. Common Stock	Common Stock	NGM	12(g)	0000864240	000-24020
SXCI	Systems Xcellence Inc. Common Stock	Common Stock	NGM	12(g)	0001363851	000-52073
TROW	T. Rowe Price Group, Inc. Common Stock	Common Stock	NGS	12(g)	0001113169	000-32191
TTES	T-3 Energy Services Inc Common Stock	Common Stock	NGM	12(g)	0000879884	000-19580
TAIT	Taitron Components Incorporated Class A Common Stock	Common Stock	NCM	12(g)	0000942126	000-25844
TTWO	Take-Two Interactive Software, Inc. Common Stock	Common Stock	NGS	12(g)	0000946581	000-29230
TLEO	Taleo Corporation Class A Common Stock	Common Stock	NGM	12(g)	0001134203	000-51299

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
TALK	Talk America Holdings Inc. Common Stock	Common Stock	NGS	12(g)	0000948545	000-26728
TALX	TALX Corporation Common Stock	Common Stock	NGS	12(g)	0000917524	000-21465
TBAC	Tandy Brands Accessories, Inc. Common Stock	Common Stock	NGM	12(g)	0000869487	000-18927
TNOX	Tanox, Inc. Common Stock	Common Stock	NGM	12(g)	0001099414	000-30231
TPPH	Tapestry Pharmaceuticals, Inc. Common Stock	Common Stock	NCM	12(g)	0000891504	000-24320
TRGT	Targacept, Inc. Common Stock	Common Stock	NGM	12(g)	0001124105	000-51173
TGEN	Targeted Genetics Corporation Common Stock	Common Stock	NCM	12(g)	0000921114	000-23930
TARO	Taro Pharmaceutical Industries Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0000906338	000-22286
TARR	Tarragon Corporation Common Stock	Common Stock	NGS	12(g)	0001038217	000-22999
TAGS	Tarrant Apparel Group Common Stock	Common Stock	NGM	12(g)	0000944948	000-26430
TASR	TASER International, Inc. Common Stock	Common Stock	NGS	12(g)	0001069183	001-16391
TSTY	Tasty Baking Company Common Stock	Common Stock	NGM	12(g)	0000096412	000-50369
TATTF	TAT Technologies Ltd. Ordinary Shares	Ordinary Shares	NCM	12(g)	0000808439	000-16050
TAYC	Taylor Capital Group, Inc. Common Stock	Common Stock	NGS	12(g)	0001025536	000-50035
TAYCP	Taylor Capital Group, Inc. Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0001025536	000-50035
TAYD	Taylor Devices, Inc. Common Stock	Common Stock	NCM	12(g)	0000096536	000-03498
TBWC	TB Wood's Corporation Common Stock	Common Stock	NGM	12(g)	0001000227	001-14182
TBSI	TBS International Limited Class A Common Stock	Common Stock	NGM	12(g)	0001065648	000-51368
TCLP	TC PipeLines, LP Common Units representing Limited Partner Interests	Limited Partnership	NGS	12(g)	0001075607	000-26091
AMTD	TD Ameritrade Holding Corporation Common Stock	Common Stock	NGS	12(g)	0001173431	000-22163
TFIN	Team Financial, Inc. Common Stock	Common Stock	NGM	12(g)	0001082484	000-26335
TFINP	Team Financial, Inc. Team Financial Capital Trust I - 9.50% Cumulative Trust Preferred Securities	Other Securities	NGM	12(g)	0001082484	000-26335
TSTF	TeamStaff, Inc. Common Stock	Common Stock	NGM	12(g)	0000785557	000-18492
TECD	Tech Data Corporation Common Stock	Common Stock	NGS	12(g)	0000790703	000-14625
TECH	Techne Corporation Common Stock	Common Stock	NGS	12(g)	0000842023	000-17272
TICC	Technology Investment Capital Corp. Common Stock	Common Stock	NGS	12(g)	0001259429	000-50398
TRCI	Technology Research Corporation Common Stock	Common Stock	NGM	12(g)	0000741556	000-13763
TSCC	Technology Solutions Company Common Stock	Common Stock	NGM	12(g)	0000877645	000-19433
TEAM	TechTeam Global, Inc. Common Stock	Common Stock	NGM	12(g)	0000805054	000-16284
TWLL	Techwell, Inc. COMMON STOCK	Common Stock	NGM	12(g)	0001171529	000-52014
TECUA	Tecumseh Products Company Class A Common Stock	Common Stock	NGM	12(g)	0000096831	000-00452
TECUB	Tecumseh Products Company Class B Common Stock	Common Stock	NGM	12(g)	0000096831	000-00452

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
TGALD	Tegal Corporation New Common Stock	Common Stock	NCM	12(g)	0000931059	000-26824
TKLC	Tekelec Common Stock	Common Stock	NGM	12(g)	0000790705	000-15135
TELOZ	TEL Offshore Trust Units of Beneficial Interest	Units/Benif Int	NCM	12(g)	0000097148	000-06910
TSYS	TeleCommunication Systems, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0001111665	000-30821
TFONY	Telefonos de Mexico SA de CV Ser A Spons ADR American Depositary Shares	American Depositary Shares	NCM	12(g)	0000866213	001-32741
TELN	Telenor ASA American Depositary Shares	American Depositary Shares	NGS	12(g)	0001126113	000-31054
TTEC	TeleTech Holdings, Inc. Common Stock	Common Stock	NGS	12(g)	0001013880	000-21055
TELK	Telik, Inc. Common Stock	Common Stock	NGM	12(g)	0001109196	000-31265
TLAB	Tellabs, Inc. Common Stock	Common Stock	NGS	12(g)	0000317771	000-09692
WRLS	Telular Corporation Common Stock	Common Stock	NGM	12(g)	0000915324	000-23212
TLVT	Telvent GIT, S.A. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001257803	000-50991
TMCV	Temecula Valley Bancorp Inc. (CA) Common Stock	Common Stock	NGS	12(g)	0001172678	000-49844
TNCC	Tennessee Commerce Bancorp, Inc. (TN) Common Stock	Common Stock	NGM	12(g)	0001323033	000-51281
TRBM	Terabeam, Inc. Common Stock	Common Stock	NCM	12(g)	0000712511	000-29053
TRCA	Tercica, Inc. Common Stock	Common Stock	NGM	12(g)	0001262175	000-50461
TESOF	Tesco Corporation Common Stock	Common Stock	NGM	12(g)	0001022705	000-28778
TESS	TESSCO Technologies Incorporated Common Stock	Common Stock	NGM	12(g)	0000927355	000-24746
TSRA	Tessera Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0001261694	000-50460
TTEK	Tetra Tech, Inc. Common Stock	Common Stock	NGS	12(g)	0000831641	000-19655
TEVA	Teva Pharmaceutical Industries Limited American Depositary Shares	American Depositary Shares	NGS	12(g)	0000818686	000-16174
TCBI	Texas Capital Bancshares, Inc. Common Stock	Common Stock	NGS	12(g)	0001077428	000-30533
TRBS	Texas Regional Bancshares, Inc. Class A Voting Common Stock	Common Stock	NGS	12(g)	0000787648	000-14517
TXRH	Texas Roadhouse, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0001289460	000-50972
TXUI	Texas United Bancshares Common Stock	Common Stock	NGM	12(g)	0001169238	000-49928
THRD	TF Financial Corporation Common Stock	Common Stock	NGM	12(g)	0000921051	000-24168
ARTL	The Aristotle Corporation Common Stock	Common Stock	NCM	12(g)	0000790071	000-14669
ARTLP	The Aristotle Corporation Series I $6.00 convertible voting cumulative 11% Preferred Stock	Preferred Stock	NCM	12(g)	0000790071	000-14669
TBBK	The Bancorp Inc Common Stock	Common Stock	NGM	12(g)	0001295401	000-51018
TBHS	The Bank Holdings, Inc. Common Stock	Common Stock	NCM	12(g)	0001234383	000-50645
TXCO	The Exploration Company Common Stock	Common Stock	NCM	12(g)	0000313395	000-09120

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
PRSC	The Providence Service Corporation Common Stock	Common Stock	NGS	12(g)	0001220754	000-50364
NCTY	The9 Limited American Depository Shares	American Depositary Shares	NGM	12(g)	0001296774	000-51053
THRX	Theravance, Inc. Common Stock	Common Stock	NGM	12(g)	0001080014	000-30319
TWAV	Therma-Wave, Inc. Common Stock	Common Stock	NGM	12(g)	0000828119	000-26911
KOOL	THERMOGENESIS Corp. Common Stock	Common Stock	NCM	12(g)	0000811212	000-16375
TSCM	TheStreet.com, Inc. Common Stock ($0.01 Par Value)	Common Stock	NGM	12(g)	0001080056	000-25779
TWTI	Third Wave Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0001120438	000-31745
TGIS	Thomas Group, Inc. Common Stock	Common Stock	NCM	12(g)	0000900017	000-22010
TPGI	Thomas Properties Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001283709	000-50854
TWPG	Thomas Weisel Partners Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001340354	000-51730
THOR	Thoratec Corporation Common Stock	Common Stock	NGS	12(g)	0000350907	000-49798
THQI	THQ Inc. Common Stock	Common Stock	NGS	12(g)	0000865570	000-18813
THLD	Threshold Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001183765	000-51136
TIBB	TIB Financial Corporation Common Stock	Common Stock	NGS	12(g)	0001013796	000-21329
TIBX	TIBCO Software, Inc. Common Stock	Common Stock	NGS	12(g)	0001085280	000-26579
TONE	TierOne Corporation Common Stock	Common Stock	NGS	12(g)	0001170605	000-50015
TIII	TII Network Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0000277928	001-08048
TSBK	Timberland Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0001046050	000-23333
TWTC	Time Warner Telecom Inc. Class A Common Stock	Common Stock	NGS	12(g)	0001057758	000-30218
TIVO	TiVo Inc. Common Stock	Common Stock	NGM	12(g)	0001088825	000-27141
TLCV	TLC Vision Corporation Common Stock	Common Stock	NGM	12(g)	0001010610	000-29302
TLGD	Tollgrade Communications, Inc. Common Stock	Common Stock	NGS	12(g)	0001002531	000-27312
TOMO	Tom Online Inc. ADS	American Depositary Shares	NGS	12(g)	0001263288	000-50631
TOPT	TOP Tankers, Inc. Common Stock	Common Stock	NGS	12(g)	0001296484	000-50859
TOPP	Topps Company, Inc. (The) Common Stock	Common Stock	NGS	12(g)	0000812076	000-15817
TORM	TOR Minerals International Inc Common Stock	Common Stock	NCM	12(g)	0000842295	000-17321
TRGL	Toreador Resources Corporation Common Stock	Common Stock	NGM	12(g)	0000098720	000-02517
TOFC	Tower Financial Corporation Common Stock	Common Stock	NGM	12(g)	0001072847	000-25287
TWGP	Tower Group, Inc. Common Stock	Common Stock	NGS	12(g)	0001289592	000-50990
TSEMG	Tower Semiconductor Ltd. Debentures Convertible into Common Stock	Convertible Debenture	NCM	12(g)	0000928876	000-24790
TSEM	Tower Semiconductor Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0000928876	000-24790

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
TSEMW	Tower Semiconductor Ltd. Warrants - convert into one ordinary share at an exercise price of $7.50.	Warrant	NCM	12(g)	0000928876	000-24790
CLUB	Town Sports International Holdings, Inc. Common Stock	Common Stock	NGM	12(g)	0001281774	000-52013
TRAC	Track Data Corporation Common Stock	Common Stock	NGM	12(g)	0000922811	000-24634
TSCO	Tractor Supply Company Common Stock	Common Stock	NGS	12(g)	0000916365	000-23314
TRAD	TradeStation Group Inc Common Stock	Common Stock	NGS	12(g)	0001111559	000-31049
TRFC	Traffic.com, Inc. Common Stock	Common Stock	NGM	12(g)	0001097503	000-51746
TRFX	Traffix, Inc. Common Stock	Common Stock	NGM	12(g)	0001000297	000-27046
TRBR	Trailer Bridge, Inc. Common Stock	Common Stock	NCM	12(g)	0001039184	000-22837
TWMC	Trans World Entertainment Corp. Common Stock	Common Stock	NGM	12(g)	0000795212	000-14818
TACT	TransAct Technologies Incorporated Common Stock	Common Stock	NGM	12(g)	0001017303	000-21121
TSAI	Transaction Systems Architects , Inc. Common Stock	Common Stock	NGS	12(g)	0000935036	000-25346
TRNS	Transcat, Inc. Common Stock	Common Stock	NCM	12(g)	0000099302	000-03905
TRCR	Transcend Services, Inc. Common Stock	Common Stock	NCM	12(g)	0000858452	000-18217
TBIO	Transgenomic, Inc. Common Stock	Common Stock	NGM	12(g)	0001043961	000-30975
TMTA	Transmeta Corporation Common Stock	Common Stock	NGM	12(g)	0001001193	000-31803
TXCC	TranSwitch Corporation Common Stock	Common Stock	NGM	12(g)	0000944739	000-25996
TZOO	Travelzoo Inc Common Stock	Common Stock	NGS	12(g)	0001133311	000-50171
TMIC	Trend Micro Incorporated American Depositary Shares	American Depositary Shares	NGS	12(g)	0001089463	333-10486
TGIC	Triad Guaranty Inc. Common Stock	Common Stock	NGS	12(g)	0000911631	000-22342
TCBK	TriCo Bancshares Common Stock	Common Stock	NGS	12(g)	0000356171	000-10661
TRMA	Trico Marine Services, Inc. Common Stock New	Common Stock	NGM	12(g)	0000921549	000-28316
TRID	Trident Microsystems, Inc. Common Stock	Common Stock	NGM	12(g)	0000859475	000-20784
TRMB	Trimble Navigation Limited Common Stock	Common Stock	NGS	12(g)	0000864749	000-18645
TRMS	Trimeris, Inc. Common Stock	Common Stock	NGM	12(g)	0000911326	000-23155
TRIB	Trinity Biotech plc American Depositary Shares	American Depositary Shares	NGS	12(g)	0000888721	000-22320
TTPA	Trintech Group PLC American Depositary Shares	American Depositary Shares	NGM	12(g)	0001094316	000-30320
TPTH	TriPath Imaging Inc. Common Stock	Common Stock	NGM	12(g)	0001041426	000-22885
TCMI	Triple Crown Media, Inc. Common Stock	Common Stock	NGM	12(g)	0001333291	000-51636
TRPS	Tripos, Inc. Common Stock	Common Stock	NGM	12(g)	0000920691	000-23666
TQNT	TriQuint Semiconductor, Inc. Common Stock	Common Stock	NGS	12(g)	0000913885	000-22660
TRIS	Tri-S Security Corporation Common Stock	Common Stock	NCM	12(g)	0001304901	000-51148

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
TRISW	Tri-S Security Corporation Warrants Expires 2/08/2010	Warrant	NCM	12(g)	0001304901	000-51148
TZIX	TriZetto Group, Inc. (The) Common Stock	Common Stock	NGS	12(g)	0001092458	000-27501
TRMM	TRM Corporation Common Stock	Common Stock	NGM	12(g)	0000749254	000-19657
TRLG	True Religion Apparel, Inc. Common Stock	Common Stock	NGM	12(g)	0001160858	000-51483
TRMP	Trump Entertainment Resorts, Inc. Common Stock	Common Stock	NGM	12(g)	0000943320	001-13794
TRST	TrustCo Bank Corp NY Common Stock	Common Stock	NGS	12(g)	0000357301	000-10592
TRMK	Trustmark Corporation Common Stock	Common Stock	NGS	12(g)	0000036146	000-03683
TRXI	TRX, Inc. Common Stock	Common Stock	NGM	12(g)	0001103025	000-51478
TSRI	TSR, Inc. Common Stock	Common Stock	NGM	12(g)	0000098338	000-08656
TTIL	TTI Team Telecom International Ltd. Ordinary Shares	Ordinary Shares	NGM	12(g)	0001026266	000-28986
TTMI	TTM Technologies, Inc. Common Stock	Common Stock	NGS	12(g)	0001116942	000-31285
TUES	Tuesday Morning Corp. Common Stock	Common Stock	NGS	12(g)	0000878726	000-19658
TFCO	Tufco Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0000895329	000-21018
TMWD	Tumbleweed Communications Corp. Common Stock	Common Stock	NGM	12(g)	0001022509	000-26223
OVEN	TurboChef Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0000916545	001-32334
TUTS	Tut Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0000878436	000-25291
TVIN	TVI Corporation Common Stock	Common Stock	NCM	12(g)	0000352079	000-10449
TVIA	TVIA, Inc. Common Stock	Common Stock	NCM	12(g)	0001109279	000-30539
TWTR	Tweeter Home Entertainment Group, Inc Common Stock	Common Stock	NGM	12(g)	0001060390	000-24091
TWIN	Twin Disc, Incorporated Common Stock	Common Stock	NGM	12(g)	0000100378	000-50987
RMIX	U.S. Concrete, Inc. Common Stock	Common Stock	NGM	12(g)	0001073429	000-26025
USEG	U.S. Energy Corp. Common Stock	Common Stock	NCM	12(g)	0000101594	000-06814
USEY	U.S. Energy Systems, Inc. Common Stock	Common Stock	NCM	12(g)	0000351917	000-10238
GROW	U.S. Global Investors, Inc. Class A Common Stock	Common Stock	NCM	12(g)	0000754811	000-13928
USHS	U.S. Home Systems, Inc. Common Stock	Common Stock	NGM	12(g)	0000844789	000-18291
USPH	U.S. Physical Therapy, Inc. Common Stock	Common Stock	NGS	12(g)	0000885978	001-11151
XPRSA	U.S. Xpress Enterprises, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0000923571	000-24806
UAUA	UAL Corporation Common Stock New	Common Stock	NGS	12(g)	0000100517	001-6033
UAPH	UAP Holding Corp. Common Stock	Common Stock	NGS	12(g)	0001279529	000-51035
UCBH	UCBH Holdings, Inc. Common Stock	Common Stock	NGS	12(g)	0001061580	000-24947
UFPT	UFP Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0000914156	001-12648
ULCM	Ulticom, Inc. Common Stock	Common Stock	NGM	12(g)	0001103184	000-30121
ULTI	Ultimate Software Group, Inc. (The) Common Stock	Common Stock	NGM	12(g)	0001016125	000-24347
UCTT	Ultra Clean Holdings, Inc. Common Stock	Common Stock	NGS	12(g)	0001275014	000-50646

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
ULBI	Ultralife Batteries, Inc. Common Stock	Common Stock	NGM	12(g)	0000875657	000-20852
UTEK	Ultratech, Inc. Common Stock	Common Stock	NGM	12(g)	0000909791	000-22248
UMBF	UMB Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000101382	000-04887
UMPQ	Umpqua Holdings Corporation Common Stock	Common Stock	NGS	12(g)	0001077771	000-25597
UARM	Under Armour, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0001336917	001-10635
UNCA	Unica Corporation Common Stock	Common Stock	NGM	12(g)	0001138804	000-51461
UNAM	Unico American Corporation Common Stock	Common Stock	NGM	12(g)	0000100716	000-03978
UBSH	Union Bankshares Corporation Common Stock	Common Stock	NGS	12(g)	0000883948	000-20293
UDRL	Union Drilling, Inc. Common Stock	Common Stock	NGM	12(g)	0001133260	000-51630
UBCD	UnionBancorp, Inc Common Stock	Common Stock	NGM	12(g)	0001019650	000-28846
INDM	United America Indemnity, Ltd. Class A Common Stock	Common Stock	NGM	12(g)	0001263813	000-50511
UAHC	United American Healthcare Corporation Common Stock	Common Stock	NCM	12(g)	0000867963	001-11638
UBCP	United Bancorp, Inc. Common Stock	Common Stock	NCM	12(g)	0000731653	000-16540
UBOH	United Bancshares, Inc. Common Stock	Common Stock	NGM	12(g)	0001087456	000-29283
UBSI	United Bankshares, Inc. Common Stock	Common Stock	NGS	12(g)	0000729986	000-13322
UCBA	United Community Bancorp Common Stock	Common Stock	NGM	12(g)	0001344970	000-51800
UCBI	United Community Banks, Inc. Common Stock	Common Stock	NGS	12(g)	0000857855	000-21656
UCFC	United Community Financial Corp. Common Stock	Common Stock	NGS	12(g)	0000707886	000-24399
UBNK	United Financial Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0001319572	000-51369
UBMT	United Financial Corp Common Stock	Common Stock	NGM	12(g)	0001011309	000-28080
UHCP	United Heritage Corporation Common Stock	Common Stock	NCM	12(g)	0000354567	000-9997
UNFI	United Natural Foods, Inc. Common Stock	Common Stock	NGS	12(g)	0001020859	000-21531
UNTD	United Online, Inc. Common Stock	Common Stock	NGS	12(g)	0001142701	000-33367
UPFC	United PanAm Financial Corporation Common Stock	Common Stock	NGS	12(g)	0001049231	000-24051
URGI	United Retail Group, Inc. Common Stock	Common Stock	NGM	12(g)	0000881905	000-19774
UBFO	United Security Bancshares Common Stock	Common Stock	NGS	12(g)	0001137547	000-32897
USBI	United Security Bancshares, Inc. Common Stock	Common Stock	NCM	12(g)	0000717806	000-14549
USLM	United States Lime & Minerals, Inc. Common Stock	Common Stock	NGM	12(g)	0000082020	000-04197
USTR	United Stationers Inc. Common Stock	Common Stock	NGS	12(g)	0000355999	000-10653
USPI	United Surgical Partners International, Inc. Common Stock	Common Stock	NGS	12(g)	0001101723	000-32837
UTHR	United Therapeutics Corporation Common Stock	Common Stock	NGS	12(g)	0001082554	000-26301
UNTY	Unity Bancorp, Inc. Common Stock	Common Stock	NGM	12(g)	0000920427	000-24893
UHCO	Universal American Financial Corp. Common Stock	Common Stock	NGS	12(g)	0000709878	001-08506
UEIC	Universal Electronics Inc. Common Stock	Common Stock	NGS	12(g)	0000101984	000-21044

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
UFPI	Universal Forest Products, Inc. Common Stock	Common Stock	NGS	12(g)	0000912767	000-22684
USAP	Universal Stainless & Alloy Products, Inc. Common Stock	Common Stock	NGM	12(g)	0000931584	000-25032
UACL	Universal Truckload Services, Inc. Common Stock	Common Stock	NGM	12(g)	0001308208	000-51142
UNIB	University Bancorp Inc Michigan Common Stock	Common Stock	NCM	12(g)	0000811211	000-16023
UVSP	Univest Corporation of Pennsylvania Common Stock	Common Stock	NGS	12(g)	0000102212	000-07617
URBN	Urban Outfitters, Inc. Common Stock	Common Stock	NGS	12(g)	0000912615	000-16999
ULGX	Urologix, Inc. Common Stock	Common Stock	NGM	12(g)	0000882873	000-28414
CLEC	US LEC Corp. Class A Common Stock	Common Stock	NGM	12(g)	0001054290	000-24061
USMO	USA Mobility, Inc. Common Stock	Common Stock	NGS	12(g)	0001289945	000-51027
USAK	USA Truck, Inc. Common Stock	Common Stock	NGS	12(g)	0000883945	000-19858
USNA	USANA Health Sciences Inc. Common Stock	Common Stock	NGS	12(g)	0000896264	000-21116
USIH	USI Holdings Corporation Common Stock	Common Stock	NGS	12(g)	0001102643	000-50041
UTMD	Utah Medical Products, Inc. Common Stock	Common Stock	NGM	12(g)	0000706698	000-11178
UTIW	UTi Worldwide Inc. Ordinary Shares	Ordinary Shares	NGS	12(g)	0001124827	000-31869
UTSI	UTStarcom, Inc. Common Stock	Common Stock	NGS	12(g)	0001030471	000-29661
LNUX	VA Software Corporation Common Stock	Common Stock	NGM	12(g)	0001096199	000-28369
VAIL	Vail Banks, Inc. Common Stock	Common Stock	NGM	12(g)	0001035770	000-25081
VLNC	Valence Technology, Inc. Common Stock	Common Stock	NCM	12(g)	0000885551	000-20028
VLTS	Valentis, Inc. Common Stock	Common Stock	NCM	12(g)	0000932352	000-22987
VLRX	Valera Pharmaceuticals, Inc. Common Stock	Common Stock	NGM	12(g)	0001305409	000-51768
VLLY	Valley Bancorp Common Stock	Common Stock	NGM	12(g)	0001295334	000-50950
VYFC	Valley Financial Corporation Common Stock	Common Stock	NCM	12(g)	0000921590	000-28342
VALU	Value Line, Inc. Common Stock	Common Stock	NGM	12(g)	0000717720	000-11306
VCLK	ValueClick, Inc. Common Stock	Common Stock	NGS	12(g)	0001080034	000-30135
VVTV	ValueVision Media, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0000870826	000-20243
VNDA	Vanda Pharmaceuticals Inc. Common Stock	Common Stock	NGM	12(g)	0001347178	000-51863
VSEA	Varian Semiconductor Equipment Associates, Inc. Common Stock	Common Stock	NGS	12(g)	0001079023	000-25395
VARI	Varian, Inc. Common Stock	Common Stock	NGS	12(g)	0001079028	000-25393
VSTY	Varsity Group, Inc. Common Stock	Common Stock	NGM	12(g)	0001069502	000-28977
VDSI	VASCO Data Security International, Inc. Common Stock	Common Stock	NCM	12(g)	0001044777	000-24389
VASC	Vascular Solutions, Inc. Common Stock	Common Stock	NGM	12(g)	0001030206	000-27605
VSGN	Vasogen Inc. Common Shares	Common Stock	NGM	12(g)	0001042018	000-29350
WOOF	VCA Antech, Inc. Common Stock	Common Stock	NGS	12(g)	0000817366	001-16783

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
VECO	Veeco Instruments Inc. Common Stock	Common Stock	NGS	12(g)	0000103145	000-16244
VEXP	Velocity Express Corporation New Common Stock	Common Stock	NCM	12(g)	0001002902	000-28452
VMSI	Ventana Medical Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0000893160	000-20931
VRGY	Verigy Ltd. Ordinary Shares	Ordinary Shares	NGS	12(g)	0001352341	000-52038
VRNT	Verint Systems Inc. Common Stock	Common Stock	NGM	12(g)	0001166388	000-49790
VRSN	VeriSign, Inc. Common Stock	Common Stock	NGS	12(g)	0001014473	000-23593
VNLS	Vernalis plc American Depositary Shares	American Depositary Shares	NGM	12(g)	0000851616	000-20104
VSNT	Versant Corporation Common Stock	Common Stock	NCM	12(g)	0000865917	000-28540
VRSO	Verso Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0000797448	000-22190
VRTX	Vertex Pharmaceuticals Incorporated Common Stock	Common Stock	NGS	12(g)	0000875320	000-19319
VERT	VerticalNet, Inc. Common Stock	Common Stock	NCM	12(g)	0001043946	000-25269
VTRU	Vertrue Incorporated Common Stock	Common Stock	NGS	12(g)	0001020996	000-21527
VRTA	Vestin Realty Mortgage I, Inc. Common Stock	Common Stock	NGM	12(g)	0001328300	000-51964
VRTB	Vestin Realty Mortgage II, Inc. Common Stock	Common Stock	NGS	12(g)	0001327603	000-51892
VIAC	ViaCell, Inc. Common Stock	Common Stock	NGM	12(g)	0001114529	000-51110
VSAT	ViaSat, Inc. Common Stock	Common Stock	NGS	12(g)	0000797721	000-21767
VICL	Vical Incorporated Common Stock	Common Stock	NGM	12(g)	0000819050	000-21088
VICR	Vicor Corporation Common Stock	Common Stock	NGS	12(g)	0000751978	000-18277
VIDE	Video Display Corporation Common Stock	Common Stock	NGM	12(g)	0000758743	000-13394
VWPT	Viewpoint Corporation Common Stock	Common Stock	NGM	12(g)	0000919794	000-27168
VIGN	Vignette Corporation Common Stock	Common Stock	NGM	12(g)	0001042185	000-25375
VISG	Viisage Technology, Inc. Common Stock	Common Stock	NGM	12(g)	0001018332	000-21559
VBFC	Village Bank and Trust Financial Corp. Common Stock	Common Stock	NCM	12(g)	0001290476	000-50765
VLGEA	Village Super Market, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0000103595	000-02633
VIMC	Vimicro International Corporation American Depository Shares	American Depositary Shares	NGM	12(g)	0001341088	000-51606
VNBC	Vineyard National Bancorp Common Stock	Common Stock	NGS	12(g)	0000840256	000-20862
VION	Vion Pharmaceuticals Inc. Common Stock	Common Stock	NCM	12(g)	0000944522	000-26534
VIRL	Virage Logic Corporation Common Stock	Common Stock	NGM	12(g)	0001050776	000-31089
VBAC	Virbac Corporation Common Stock	Common Stock	NCM	12(g)	0000922814	000-24312
VCBI	Virginia Commerce Bancorp Common Stock	Common Stock	NGS	12(g)	0001099305	000-28635
VFGI	Virginia Financial Group Inc Common Stock	Common Stock	NGS	12(g)	0001036070	000-22283
VPHM	ViroPharma Incorporated Common Stock	Common Stock	NGS	12(g)	0000946840	000-21699
VSCP	VirtualScopics, Inc. Common Stock	Common Stock	NCM	12(g)	0001307752	000-52018

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
VRYA	ViryaNet Ltd. Ordinary Shares	Ordinary Shares	NCM	12(g)	0001119744	000-31513
EICU	Visicu, Inc. Common Stock	Common Stock	NGM	12(g)	0001166463	000-51865
VSCI	Vision-Sciences, Inc. Common Stock	Common Stock	NCM	12(g)	0000894237	000-20970
VSTA	VistaCare Common Stock	Common Stock	NGM	12(g)	0000787030	000-50118
VPRT	VistaPrint Limited Common Stock	Common Stock	NGS	12(g)	0001262976	000-51539
VTAL	Vital Images, Inc. Common Stock	Common Stock	NGM	12(g)	0000912888	000-22229
VITL	Vital Signs, Inc. Common Stock	Common Stock	NGS	12(g)	0000865846	000-18793
VSTH	VitalStream Holdings, Inc. Common Stock	Common Stock	NCM	12(g)	0000789851	001-10013
VTNC	Vitran Corporation, Inc. Common Stock	Common Stock	NGM	12(g)	0000946823	000-26256
VITR	Vitria Technology, Inc. Common Stock	Common Stock	NGM	12(g)	0001050808	000-27207
VVUS	VIVUS, Inc. Common Stock	Common Stock	NGM	12(g)	0000881524	000-23490
VNUS	VNUS Medical Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0001040666	000-50988
VOCL	VocalTec Communications Ltd. Ordinary shares	Ordinary Shares	NCM	12(g)	0001005699	000-27648
VOCS	Vocus, Inc. Common Stock	Common Stock	NGM	12(g)	0001329919	000-51644
VTEK	Vodavi Technology, Inc. Common Stock	Common Stock	NCM	12(g)	0000949491	000-26912
VOLC	Volcano Corporation Common Stock	Common Stock	NGM	12(g)	0001137567	000-52045
VLCM	Volcom, Inc. Common Stock	Common Stock	NGS	12(g)	0001324570	000-51382
VLTR	Volterra Semiconductor Corporation Common Stock	Common Stock	NGM	12(g)	0001050550	000-50857
VOXW	Voxware, Inc. Common Stock	Common Stock	NCM	12(g)	0000933454	000-21403
VSEC	VSE Corporation Common Stock	Common Stock	NGM	12(g)	0000102752	000-03676
VYYO	Vyyo, Inc. Common Stock	Common Stock	NGM	12(g)	0001104730	000-30189
WBPRN	W Holding Company Incorporated 2001 Series C 7.60% Non-Cumulative Monthly Income Preferred Stock	Preferred Stock	NGM	12(g)	0001084887	000-27377
WBPRK	W Holding Company Incorporated 2003 Series G Non-Cumulative Preferred Stock	Preferred Stock	NGM	12(g)	0001084887	000-27377
WBPRJ	W Holding Company Incorporated 2004 Series H Non-Cumulative Preferred Sock	Preferred Stock	NGM	12(g)	0001084887	000-27377
WBPRO	W Holding Company Incorporated 7.25% 1999 Series B Noncumulative Monthly Income Preferred Stock	Preferred Stock	NGM	12(g)	0001084887	000-27377
WBPRM	W Holding Company Incorporated 7.40% Noncumulative Monthly Income Preferred Stock, 2001 Series D	Preferred Stock	NGM	12(g)	0001084887	000-27377
WBPRL	W Holding Company Incorporated Series E Preferred Stock	Preferred Stock	NGM	12(g)	0001084887	000-27377
WBPRZ	W Holding Company Incorporated Series F Preferred Stock	Preferred Stock	NGM	12(g)	0001084887	000-27377
WBNK	Waccamaw Bankshares Inc Common Stock	Common Stock	NCM	12(g)	0001144686	000-32985

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
WACLY	Wacoal Holdings Corporation American Depositary Shares	American Depositary Shares	NCM	12(g)	0000104040	000-11743
WRNC	Warnaco Group Inc (The) Common Stock	Common Stock	NGS	12(g)	0000801351	001-10857
WRES	Warren Resources, Inc. Common Stock	Common Stock	NGM	12(g)	0000892986	000-33275
WARR	Warrior Energy Service Corporation New Common Stock	Common Stock	NGM	12(g)	0000839871	000-18754
WWVY	Warwick Valley Telephone Company Common Stock	Common Stock	NGM	12(g)	0000104777	000-11174
WBCO	Washington Banking Company Common Stock	Common Stock	NGS	12(g)	0001058690	000-24503
WFSL	Washington Federal, Inc. Common Stock	Common Stock	NGS	12(g)	0000936528	000-25454
WGII	Washington Group International, Inc. Common Stock	Common Stock	NGS	12(g)	0000906469	001-12054
DIMEZ	Washington Mutual, Inc. Dime Bancorp Litigation Tracking Warrant	Other Securities	NGM	12(g)	0000933136	001-14667
WASH	Washington Trust Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0000737468	000-13091
WWIN	Waste Industries USA, Inc. Common Stock	Common Stock	NGM	12(g)	0001125845	000-31050
WSII	Waste Services, Inc. Common Stock	Common Stock	NGM	12(g)	0001065736	000-25955
WGRD	WatchGuard Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0001062019	000-26819
WAUW	Wauwatosa Holdings, Inc. Common Stock	Common Stock	NGS	12(g)	0001329517	000-51507
WAVXD	Wave Systems Corp. Class A New Common Stock	Common Stock	NGM	12(g)	0000919013	000-24752
WVCM	Wavecom S.A. American Depositary Shares	American Depositary Shares	NGM	12(g)	0001085763	000-30078
WAYN	Wayne Savings Bancshares Inc. Common Stock	Common Stock	NGM	12(g)	0001036030	000-23433
WCAA	WCA Waste Corporation Common Stock	Common Stock	NGM	12(g)	0001282398	000-50808
WDFC	WD-40 Company Common Stock	Common Stock	NGS	12(g)	0000105132	000-06936
WWWW	Web.com, Inc. Common Stock	Common Stock	NGM	12(g)	0000854460	000-17932
WEBX	WebEx Communications, Inc. Common Stock	Common Stock	NGS	12(g)	0001109935	000-30849
WBMD	WebMD Health Corp. Class A Common Stock	Common Stock	NGS	12(g)	0001326583	000-51547
WEBM	webMethods, Inc. Common Stock	Common Stock	NGM	12(g)	0001035096	001-15681
WBSN	Websense, Inc. Common Stock	Common Stock	NGS	12(g)	0001098277	000-30093
WSSI	WebSideStory, Inc. Common Stock	Common Stock	NGM	12(g)	0001091158	000-31613
WSPI	Website Pros, Inc. Common Stock	Common Stock	NGM	12(g)	0001095291	000-51595
WBSTP	Webster Financial Corporation Series B 8.625% Cumulative	Preferred Stock	NGM	12(g)	0001047865	000-23513
WZEN	Webzen Inc American Depositary Shares	American Depositary Shares	NGM	12(g)	0001266467	000-50476
WGNR	Wegener Corporation Common Stock	Common Stock	NCM	12(g)	0000715073	000-11003
WERN	Werner Enterprises, Inc. Common Stock	Common Stock	NGS	12(g)	0000793074	000-14690
WSBC	WesBanco, Inc. Common Stock	Common Stock	NGS	12(g)	0000203596	000-08467

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
WTBA	West Bancorporation Common Stock	Common Stock	NGM	12(g)	0001166928	000-49677
WCBO	West Coast Bancorp Common Stock	Common Stock	NGS	12(g)	0000717059	000-10997
WSTC	West Corporation Common Stock	Common Stock	NGS	12(g)	0001024657	000-21771
WMAR	West Marine, Inc. Common Stock	Common Stock	NGM	12(g)	0000912833	000-22512
WSTF	Westaff Inc. Common Stock	Common Stock	NGM	12(g)	0000931911	000-24990
WEDX	Westaim Corporation (The) Common Stock	Common Stock	NGM	12(g)	0001108828	000-30600
WABC	Westamerica Bancorporation Common Stock	Common Stock	NGS	12(g)	0000311094	001-09383
WBKC	WestBank Corporation Common Stock	Common Stock	NGM	12(g)	0000742070	000-12784
WSTL	Westell Technologies, Inc. Class A Common Stock	Common Stock	NGS	12(g)	0001002135	000-27266
WTSLA	Wet Seal, Inc. (The) Class A Common Stock	Common Stock	NGM	12(g)	0000863456	000-18632
WEYS	Weyco Group, Inc. Common Stock	Common Stock	NGM	12(g)	0000106532	000-09068
WGNB	WGNB Corp. Common Stock	Common Stock	NCM	12(g)	0001115568	000-30805
WPSC	Wheeling-Pittsburgh Corporation Common Stock	Common Stock	NGM	12(g)	0000941738	000-50300
WEDC	White Electronic Designs Corporation Common Stock	Common Stock	NGM	12(g)	0000013606	001-04817
WTNY	Whitney Holding Corporation Common Stock	Common Stock	NGS	12(g)	0000106926	000-01026
WHIT	Whittier Energy Corporation Common Stock	Common Stock	NGM	12(g)	0001108520	000-30598
WFMI	Whole Foods Market, Inc. Common Stock	Common Stock	NGS	12(g)	0000865436	000-19797
WTHN	WiderThan Co., Ltd. American Depositary Shares	American Depositary Shares	NGM	12(g)	0001342167	000-51631
OATS	Wild Oats Markets, Inc. Common Stock	Common Stock	NGM	12(g)	0000909990	000-21577
WVVI	Willamette Valley Vineyards, Inc. Common Stock	Common Stock	NCM	12(g)	0000838875	000-21522
WMSI	Williams Industries, Inc. Common Stock	Common Stock	NCM	12(g)	0000107294	000-08190
WLSC	Williams Scotsman International, Inc. Common Stock	Common Stock	NGS	12(g)	0000923144	000-51521
WLFC	Willis Lease Finance Corporation Common Stock	Common Stock	NGM	12(g)	0001018164	000-28774
WLFCP	Willis Lease Finance Corporation Series A Preferred Shares	Preferred Stock	NGM	12(g)	0001018164	000-28774
WGBC	Willow Grove Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0001163428	000-25191
WIBC	Wilshire Bancorp, Inc. Common Stock	Common Stock	NGS	12(g)	0001285224	000-50923
WLSN	Wilsons The Leather Experts Inc. Common Stock	Common Stock	NGM	12(g)	0001016607	000-21543
WIND	Wind River Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0000833829	000-21342
WINA	Winmark Corporation Common Stock	Common Stock	NGM	12(g)	0000908315	000-22012
WTFC	Wintrust Financial Corporation Common Stock	Common Stock	NGS	12(g)	0001015328	000-21923
WTFCP	Wintrust Financial Corporation Wintrust Capital Trust I - 9.0% Cumulative Trust Preferred Securities	Other Securities	NGS	12(g)	0001015328	000-21923
WFII	Wireless Facilities, Inc. Common Stock	Common Stock	NGS	12(g)	0001069258	000-27231

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
WITS	Witness Systems, Inc. Common Stock	Common Stock	NGS	12(g)	0001097338	000-29335
WJCI	WJ Communications, Inc. Common Stock	Common Stock	NGM	12(g)	0000105006	000-31337
WDHD	Woodhead Industries, Inc. Common Stock	Common Stock	NGS	12(g)	0000108215	000-05971
WGOV	Woodward Governor Company Common Stock	Common Stock	NGS	12(g)	0000108312	000-08408
WRLD	World Acceptance Corporation Common Stock	Common Stock	NGS	12(g)	0000108385	000-19599
WHRT	World Heart Corporation Ordinary Shares (Canada)	Ordinary Shares	NGM	12(g)	0001024520	000-28882
WGAT	Worldgate Communications, Inc. Common Stock	Common Stock	NCM	12(g)	0001030058	000-25755
WRSP	WorldSpace, Inc. Common Stock	Common Stock	NGM	12(g)	0001315054	000-51466
WPCS	WPCS International, Inc. Common Stock	Common Stock	NCM	12(g)	0001086745	000-26277
WPPGY	WPP Group plc American Depositary Shares	American Depositary Shares	NGS	12(g)	0000806968	000-16350
WPTE	WPT Enterprises, Inc. Common Stock	Common Stock	NGM	12(g)	0001283843	000-50848
WMGI	Wright Medical Group, Inc. Common Stock	Common Stock	NGS	12(g)	0001137861	000-32883
WSFS	WSFS Financial Corporation Common Stock	Common Stock	NGS	12(g)	0000828944	000-16668
WSCI	WSI Industries Inc. Common Stock	Common Stock	NCM	12(g)	0000104897	000-00619
WVFC	WVS Financial Corp. Common Stock	Common Stock	NGM	12(g)	0000910679	000-22444
WYNN	Wynn Resorts, Limited Common stock	Common Stock	NGS	12(g)	0001174922	000-50028
XATA	XATA Corporation Common Stock	Common Stock	NCM	12(g)	0000854398	000-27166
XGEN	Xenogen Corporation Common Stock	Common Stock	NGM	12(g)	0001116449	000-32239
XNPT	XenoPort, Inc. Common Stock	Common Stock	NGM	12(g)	0001130591	000-51329
XETA	XETA Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0000742550	000-16231
XLNX	Xilinx, Inc. Common Stock	Common Stock	NGS	12(g)	0000743988	000-18548
XMSR	XM Satellite Radio Holdings Inc. Class A Common Stock	Common Stock	NGS	12(g)	0001091530	000-27441
XOMA	XOMA Ltd. Common Stock	Common Stock	NGM	12(g)	0000791908	000-14710
XRIT	X-Rite, Incorporated Common Stock	Common Stock	NGS	12(g)	0000790818	000-14800
XTLB	XTL Biopharmaceuticals Ltd. American Depositary Shares	American Depositary Shares	NGM	12(g)	0001023549	000-51310
XRTX	Xyratex Ltd. Common Shares	Common Stock	NGS	12(g)	0001284823	000-50800
YHOO	Yahoo! Inc. Common Stock	Common Stock	NGS	12(g)	0001011006	000-28018
YAKC	Yak Communications, Inc. Common Stock	Common Stock	NGM	12(g)	0001084544	000-33471
YANB	Yardville National Bancorp Common Stock	Common Stock	NGS	12(g)	0000787849	000-26086
YORW	York Water Company (The) Common Stock	Common Stock	NGS	12(g)	0000108985	000-00690
UBET	Youbet.com, Inc. Common Stock	Common Stock	NCM	12(g)	0000814055	000-26015
YBTVA	Young Broadcasting, Inc. Class A Common Stock	Common Stock	NGM	12(g)	0000929144	000-25042
YDNT	Young Innovations, Inc. Common Stock	Common Stock	NGS	12(g)	0000949874	000-23213

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
YRCW	YRC Worldwide, Inc. Common Stock	Common Stock	NGS	12(g)	0000716006	000-12255
ZANE	Zanett Inc. Common Stock	Common Stock	NCM	12(g)	0001133872	000-27068
ZRBA	Zareba Systems, Inc. Common Stock	Common Stock	NCM	12(g)	0000104987	000-01388
ZBRA	Zebra Technologies Corporation Class A Common Stock	Common Stock	NGS	12(g)	0000877212	000-19406
ZVXI	Zevex International, Inc. Common Stock	Common Stock	NGM	12(g)	0000827056	001-12965
ZHNE	Zhone Technologies, Inc. Common Stock	Common Stock	NGM	12(g)	0001101680	000-50263
ZICA	Zi Corporation Common Stock	Common Stock	NGM	12(g)	0000922658	000-24018
ZILA	Zila, Inc. Common Stock	Common Stock	NGM	12(g)	0000827156	000-17521
ZILG	ZiLOG, Inc. New Common Stock	Common Stock	NGM	12(g)	0000319450	001-13748
ZION	Zions Bancorporation Common Stock (No Par Value)	Common Stock	NGS	12(g)	0000109380	000-02610
ZIPR	ZipRealty, Inc. Common Stock	Common Stock	NGM	12(g)	0001142512	000-51002
ZIXI	ZixIt Corporation Common Stock	Common Stock	NGM	12(g)	0000855612	000-17995
ZOLL	Zoll Medical Corporation Common Stock	Common Stock	NGS	12(g)	0000887568	000-20225
ZOLT	Zoltek Companies, Inc. Common Stock	Common Stock	NGM	12(g)	0000890923	000-20600
ZOMX	Zomax Incorporated Common Stock	Common Stock	NGM	12(g)	0001010788	000-28426
ZONS	Zones Inc. Common Stock	Common Stock	NGM	12(g)	0001013786	000-28488
ZOOM	Zoom Technologies, Inc. Common Stock	Common Stock	NCM	12(g)	0000822708	000-18672
ZRAN	Zoran Corporation Common Stock	Common Stock	NGS	12(g)	0001003022	000-27246
ZUMZ	Zumiez Inc. Common Stock	Common Stock	NGS	12(g)	0001318008	000-51300
ZIGO	Zygo Corporation Common Stock	Common Stock	NGS	12(g)	0000730716	000-12944
ZGEN	ZymoGenetics, Inc. Common Stock	Common Stock	NGM	12(g)	0001129425	000-33489
ADRA	BLDRS Index Funds Trust BLDRS Asia 50 ADR Index Fund	Other Securities	NGM	12(g)(2)(B)	0001169717	811-21057
ADRD	BLDRS Index Funds Trust BLDRS Developed Markets 100 ADR Index Fund	Other Securities	NGM	12(g)(2)(B)	0001169717	811-21057
ADRE	BLDRS Index Funds Trust BLDRS Emerging Markets 50 ADR Index Fund	Other Securities	NGM	12(g)(2)(B)	0001169717	811-21057
ADRU	BLDRS Index Funds Trust BLDRS Europe 100 ADR Index Fund	Other Securities	NGM	12(g)(2)(B)	0001169717	811-21057
CSQ	Calamos Strategic Total Return Common Stock	Shares of Beneficial Interest	NGS	12(g)(2)(B)	0001275214	811-21484
ONEQ	Fidelity Nasdaq Composite Index Tracking Stock	Other Securities	NGM	12(g)(2)(B)	0000205323	811-2546
QTEC	First Trust NASDAQ - 100-Technology Sector Index Fund	Other Securities	NGM	12(g)(2)(B)	0001329377	001-32621
QQEW	First Trust NASDAQ-100 Equal Weighted Index Fund	Other Securities	NGM	12(g)(2)(B)	0001329377	001-32621
CUBA	Herzfeld Caribbean Basin Fund, Inc. (The) Common Stock	Common Stock	NCM	12(g)(2)(B)	0000880406	811-06445
JHFT	John Hancock Financial Trends Fund, Inc. Common Stock	Common Stock	NGS	12(g)(2)(B)	0000852954	811-05734

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #
QQQQ	Nasdaq-100 Trust, Series I Nasdaq-100 Shares	Other Securities	NGM	12(g)(2)(B)	0001067839	811-08947
JLA	Nuveen Equity Premium Advantage Fund Nuveen Equity Premium Advantage Fund Common Shares of Beneficial Interest	Shares of Beneficial Interest	NGM	12(g)(2)(B)	0001320492	811-21731
JPZ	Nuveen Equity Premium Income Fund Nuveen Equity Income Premium Fund Shares of Beneficial Interest	Shares of Beneficial Interest	NGM	12(g)(2)(B)	0001298699	811-21619
JSN	Nuveen Equity Premium Opportunity Fund Nuveen Equity Premiun Opportunity Fund Shares of Beneficial Interest	Shares of Beneficial Interest	NGM	12(g)(2)(B)	0001308658	811-21674
FUND	Royce Focus Trust, Inc. Common Stock	Common Stock	NGS	12(g)(2)(B)	0000825202	811-05379
PEFX	S&P 500 Protected Equity Fund, Inc. (The) Common Stock	Common Stock	NGS	12(g)(2)(B)	0001090431	811-9479
WSCC	Waterside Capital Corporation Common Stock	Common Stock	NCM	12(g)(2)(B)	0000924095	811-8387

Exhibit B: List of securities of issuers that have elected to opt-out of requested relief

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Registration Type	CIK	SEC File #

None

Exhibit C: List of securities exempt from Section 12(g) registration under Section 12(g)(2)(G) and Rule 12g3-2(b)

Issue Symbol	Issue Name	Issue Type	Issue Market Segment	Exemption Type	CIK	SEC File #
AAUK	Anglo American plc American Depositary Shares	American Depositary Shares	NCM	12g3-2(b)	0001088370	82-97
DAIEY	Dai'ei, Inc. American Depositary Shares	American Depositary Shares	NCM	12g3-2(b)	0000800167	82-230
FUJIY	Fuji Photo Film Co., Ltd. American Depositary Shares	American Depositary Shares	NCM	12g3-2(b)	0000800365	82-00078
HSVLY	Highveld Steel and Vanadium Corporation Limited American Depositary Shares	American Depositary Shares	NCM	12g3-2(b)	0000800630	82-596
NSANY	Nissan Motor Co., Ltd. American Depositary Shares	American Depositary Shares	NCM	12g3-2(b)	0000800937	82-207
REXMY	Rexam, Plc American Depositary Shares	American Depositary Shares	NCM	12g3-2(b)	0000013522	82-00004
STOSY	Santos, Ltd. American Depositary Shares (Australia)	American Depositary Shares	NCM	12g3-2(b)	0000800861	82-34
SANYY	Sanyo Electric Co., Ltd. American Depositary Shares	American Depositary Shares	NCM	12g3-2(b)	0000800863	82-264
VELCF	Velcro Industries N.V. Common Stock	Common Stock	NCM	12g3-2(b)	0000802100	82-145
ANAT	American National Insurance Company Common Stock	Common Stock	NGS	12(g)(2)(G)		
KCLI	Kansas City Life Insurance Company Common Stock	Common Stock	NCM	12(g)(2)(G)	0000054473	002-40764
NWLIA	National Western Life Insurance Company Class A Common Stock	Common Stock	NGS	12(g)(2)(G)	0000070684	002-17039
UFCS	United Fire & Casualty Company Common Stock	Common Stock	NGS	12(g)(2)(G)	0000101199	002-39621

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SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–54241]

In the Matter of the Application of the Nasdaq Stock Market, Inc. and the NASDAQ Stock Market LLC for an Exemption From Section 12(a) Allowing Trading of Certain Unregistered Securities

July 31, 2006.

I. Introduction

On January 13, 2006, the Commission approved the application of the Nasdaq Stock Market, Inc. (''Nasdaq'') to register one of its subsidiaries, the NASDAQ Stock Market LLC (''Nasdaq Exchange'') as a national securities exchange.[1] Prior to Nasdaq's submission of the application to become an exchange, Nasdaq was a wholly-owned subsidiary of the National Association of Securities Dealers, Inc. (''NASD'') that operated as an interdealer quotation system. Historically under NASD rules, a company's securities were eligible for listing on Nasdaq if the security was registered under either Section 12(g)[2] or Section 12(b)[3] of the Securities Exchange Act of 1934 (''Exchange Act'').[4] However, in certain circumstances, NASD rules also permitted the trading of securities that are exempt from registration under Section 12(g) of the Exchange Act.

Among other exempt securities, NASD rules allow the trading of any security of an insurance company that is exempt from registration under Section 12(g)(2)(G) of the Exchange Act[5] and the securities of certain foreign private issuers that are exempt from Section 12(g) registration pursuant to Exchange Act Rule 12g3–2(b).[6]

Once the Nasdaq Exchange begins to operate as a national securities exchange, Section 12(a) of the Exchange Act[7] would prohibit any Nasdaq Exchange member, broker, or dealer from effecting any transaction in any security, other than an ''exempted security'' as defined in Section 3(a)(12) of the Exchange Act,[8] on the Nasdaq Exchange, unless the security is registered under Section 12(b) of the Exchange Act. There are no exemptions from Section 12(b) registration afforded to insurance companies and foreign private issuers that correspond to the exemptions available to these issuers under Section 12(g)(2)(G) of the Exchange Act and Exchange Act Rule 12g3–2(b). Accordingly, the securities of these issuers would need to be registered under Section 12(b) of the Exchange Act before transactions in those securities could be effected by Nasdaq Exchange members, brokers and dealers, consistent with Section 12(a) on the Nasdaq Exchange, absent the exemption provided by this order.

II. Request by Nasdaq and the Nasdaq Exchange for an Exemption From Section 12(a) of the Exchange Act

On July 31, 2006, the Commission received an application (the ''Nasdaq Application'')[9] from the Nasdaq and the Nasdaq Exchange for an exemption pursuant to Section 36 of the Exchange Act,[10] in accordance with the procedures set forth in Exchange Act Rule 0–12.[11] Section 36 of the Exchange Act gives the Commission the authority to exempt any person, security or transaction from any Exchange Act provision by rule, regulation or order, to the extent that the exemption is necessary or appropriate in the public interest and consistent with the protection of investors. Nasdaq and the Nasdaq Exchange have requested a three-year exemption from Section 12(a) of the Exchange Act, with respect to transactions in securities of the issuers listed in Exhibit C to the Nasdaq Application that are currently exempt from registration under Section 12(g) of the Exchange Act. According to Nasdaq and the Nasdaq Exchange, the securities of four insurance companies and nine foreign private issuers currently are trading on Nasdaq in reliance on these exemptions.[12]

An exemption from Section 12(a) would permit Nasdaq Exchange members and brokers or dealers to effect transactions in these securities on the Nasdaq Exchange without registration under Section 12(b) of the Exchange Act. Nasdaq and the Nasdaq Exchange believe that the three-year period will provide these issuers with adequate time to complete the Section 12(b) registration process and prepare financial statements should they choose to continue to have their securities traded on the Nasdaq Exchange after expiration of the three-year period. Under the terms of the requested exemption, the insurance companies would have to continue to satisfy the conditions set forth in Section 12(g)(2)(G) of the Exchange Act and the foreign private issuers would have to remain in compliance with the conditions set forth in Exchange Act Rule 12g3–2(b) to qualify for the exemption.

Prior to submitting this request, Nasdaq and the Nasdaq Exchange notified the insurance companies and the foreign private issuers of their plan to request a Section 12(a) exemption on the issuers' behalf and allowed each issuer that did not wish to be the subject of the request to opt-out of the process. Nasdaq and the Nasdaq Exchange provided these issuers a period of 10 business days to notify Nasdaq of an opt-out preference. The issuers that chose to opt-out from the request are listed in Exhibit B to the Nasdaq Application.

III. Order Granting Nasdaq's Application for an Exemption Pursuant to Section 36 of the Exchange Act

We believe that exempting Nasdaq Exchange members, brokers and dealers for a limited time from the requirements of Section 12(a) regarding the trading of the securities listed in Exhibit C to the Nasdaq Application is necessary and appropriate in the public interest, and is consistent with the protection of investors in order to afford these issuers time to comply with the Section 12(b) registration requirements. As represented by Nasdaq and the Nasdaq Exchange in their request, immediate registration under Section 12(b) could

[1] *See* Release No. 34–53128 (January 13, 2006) [71 FR 3550].

[2] 15 U.S.C. 78*l*(g).

[3] 15 U.S.C. 78*l*(b).

[4] 15 U.S.C. 78a *et seq*.

[5] 15 U.S.C. 78*l*(g)(2)(G). Section 12(g)(2)(G) provides that any security issued by an insurance company is exempt from registration if all of the following conditions are met:

- Such insurance company is required to and does file an annual statement with the Commissioner of Insurance (or other officer or agency performing a similar function) of its domiciliary State, and such annual statement conforms to that prescribed by the National Association of Insurance Commissioners or in the determination of such State commissioner, officer or agency substantially conforms to that so prescribed.
- Such insurance company is subject to regulation by its domiciliary State of proxies, consents, or authorizations in respect of securities issued by such company and such regulation conforms to that prescribed by the National Association of Insurance Commissioners.
- After July 1, 1966, the purchase and sales of securities issued by such insurance company by beneficial owners, directors, or officers of such company are subject to regulation (including reporting) by its domiciliary State substantially in the manner provided in Section 16.

[6] *See* 17 CFR 240.12g3–2(b).

[7] 15 U.S.C. 78*l*(a).

[8] 15 U.S.C. 78c(a)(12).

[9] Letter from Edward S. Knight to Nancy M. Morris (July 31, 2006). The Nasdaq Application is included in accompanying Release No. 34–54240 (July 31, 2006).

[10] 15 U.S.C. 78mm.

[11] 17 CFR 240.0–12. Exchange Act Rule 0–12 sets forth procedures for filing applications for orders for exemptive relief pursuant to Section 36.

[12] *See* the Nasdaq Application.