

ATS requires alternative trading systems to preserve any records made in the process of complying with the systems' capacity, integrity and security requirements. In addition, such alternative trading systems are required to notify Commission staff of material systems outages and significant systems changes.

The Commission uses the information provided pursuant to the Rule to comprehensively monitor the growth and development of alternative trading systems to confirm that investors effecting trades through the systems are adequately protected, and that the systems do not impede the maintenance of fair and orderly securities markets or otherwise operate in a manner that is inconsistent with the federal securities laws. In particular, the information collected and reported to the Commission by alternative trading systems enables the Commission to evaluate the operation of alternative trading systems with regard to national market system goals, and monitor the competitive effects of these systems to ascertain whether the regulatory framework remains appropriate to the operation of such systems. Without the information provided on Forms ATS and ATS-R, the Commission would not have readily available information on a regular basis in a format that will allow it to determine whether such systems have adequate safeguards.

Respondents consist of alternative trading systems that choose to register as broker-dealers and comply with the requirements of Regulation ATS. The Commission estimates that there are currently approximately 50 respondents.

An estimated 50 respondents will file an average total of 379 responses per year, which corresponds to an estimated annual response burden of 1532.5 hours. At an average cost per burden hour of approximately \$77.03, the resultant total related cost of compliance for these respondents is \$118,046.26 per year (1,532.5 burden hours multiplied by \$77.03 per hour; a slight discrepancy is due to arithmetic rounding).

Written comments are invited on (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information collected; and (d) ways to minimize the burden of the collection of information on respondents, including

through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted in writing within 60 days of this publication.

Direct your written comments to R. Corey Booth, Director/Chief Information Officer, Office of Information Technology, Securities and Exchange Commission, 450 Fifth Street, NW., Washington, DC 20549.

Dated: June 8, 2004.

**Margaret H. McFarland,**

*Deputy Secretary.*

[FR Doc. 04-13632 Filed 6-16-04; 8:45 am]

**BILLING CODE 8010-01-P**

## SECURITIES AND EXCHANGE COMMISSION

### Sunshine Act Meetings

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Public Law 94-409, that the Securities and Exchange Commission will hold the following meetings during the week of June 21, 2004:

An Open Meeting will be held on Wednesday, June 23, 2004 at 9:30 a.m. in Room 1C30; and a Closed Meeting will be held on Thursday, June 24, 2004 at 2 p.m.

Commissioners, Counsel to the Commissioners, the Secretary to the Commission, and recording secretaries will attend the Closed Meeting. Certain staff members who have an interest in the matters may also be present.

The General Counsel of the Commission, or his designee, has certified that, in his opinion, one or more of the exemptions set forth in 5 U.S.C. 552b(c)(3), (5), (7), (9)(B), and (10) and 17 CFR 200.402(a)(3), (5), (7), 9(ii) and (10), permit consideration of the scheduled matters at the Closed Meeting.

Commissioner Goldschmid, as duty officer, voted to consider the items listed for the closed meeting in closed session.

The subject matter for the Open Meeting scheduled for Wednesday June 23, 2004 will be:

1. The Commission will consider whether to adopt amendments to short sale regulation under new Regulation SHO, and revisions to Rule 105 of Regulation M (short selling in connection with a public offering), both under the Securities Exchange Act of 1934.

For further information please contact Kevin Campion, Lillian Hagen, or Alexandra Albright at (202) 942-0772.

2. The Commission will consider whether to adopt amendments to Schedule 14A under the Securities Exchange Act of 1934, and to

Forms N-1A, N-2, and N-3 under the Securities Act of 1933 and the Investment Company Act of 1940. The amendments would require a registered management investment company to provide disclosure in its reports to shareholders regarding the basis for the board of directors' approval of an investment advisory contract. They would also enhance existing disclosure requirements in proxy statements regarding the basis for the board's recommendation that shareholders approve an advisory contract.

For further information, please contact Deborah D. Skeens at (202) 942-0562.

3. The Commission will consider whether to adopt amendments to rules 0-1, 10f-3, 12b-1, 15a-4, 17a-7, 17a-8, 17d-1, 17e-1, 17g-1, 18f-3, and 23c-3 under the Investment Company Act of 1940, to require investment companies that rely on certain exemptive rules to adopt certain governance practices. The Commission also will consider whether to adopt an amendment to rule 31a-2, the investment company recordkeeping rule, to require that investment companies retain copies of written materials that the directors consider when approving investment advisory contracts.

For further information, please contact Catherine E. Marshall at (202) 942-0719.

The subject matter for the Closed Meeting scheduled for Thursday, June 24, 2004 will be:

Formal orders of investigation;

Institution and settlement of injunctive actions; and

Institution and settlement of administrative proceedings of an enforcement nature.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact:

The Office of the Secretary at (202) 942-7070.

Dated: June 15, 2004.

**Jonathan G. Katz,**

*Secretary.*

[FR Doc. 04-13901 Filed 6-15-04; 3:55 pm]

**BILLING CODE 8010-01-P**

## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-49846; International Series Release No. 1277]

### List of Foreign Issuers That Have Submitted Information Under the Exemption Relating to Certain Foreign Securities

June 10, 2004.

Foreign private issuers with total assets in excess of \$10,000,000 and a class of equity securities held of record by 500 or more persons, of which 300 or more reside in the United States, are subject to registration under section

12(g) of the Securities Exchange Act of 1934<sup>1</sup> (the "Act").<sup>2</sup>

Rule 12g3-2(b)<sup>3</sup> provides an exemption from registration under section 12(g) of the Act with respect to a foreign private issuer that submits to the Commission, on a current basis, the material required by the Rule. The informational requirements are designed to give investors access to certain information so they have the opportunity to inform themselves about the issuer. The Rule requires the issuer to provide the Commission with information that it: (1) Has made or is required to make public pursuant to the law of the country of its domicile or in which it is incorporated or organized; (2) has filed or is required to file with a stock exchange on which its securities are traded and that was made public by such exchange; and/or (3) has

distributed or is required to distribute to its security holders.

When the Commission adopted Rule 12g3-2(b) and other rules<sup>4</sup> relating to foreign securities, it indicated that from time to time it would publish lists of foreign issuers that have claimed exemptions from the registration provisions of section 12(g) of the Act.<sup>5</sup> The purpose of this release is to call to the attention of brokers, dealers, and investors that some form of relatively current information concerning the issuers included in this list is available in our public files.<sup>6</sup> We also wish to bring to the attention of brokers, dealers, and investors the fact that current information concerning foreign issuers may not necessarily be available in the United States.<sup>7</sup> We continue to expect that brokers and dealers will consider this fact in connection with their

obligations under the federal securities laws to have a reasonable basis for recommending those securities to their customers.<sup>8</sup>

You may direct any questions regarding Rule 12g3-2 or the list of issuers in this release to Michael Pressman, Office of International Corporate Finance, Division of Corporation Finance, Securities and Exchange Commission, Washington, DC 20549-0302 ((202) 942-2990). This release is available on the Commission's Web site: <http://www.sec.gov/rules/other/shtml>.

For the Commission, by the Division of Corporation Finance, pursuant to delegated authority.

Dated: June 10, 2004.

**Margaret H. McFarland,**  
Deputy Secretary.

Company name	Country	File number
4 Imprint Group plc .....	United Kingdom .....	82-5104
AB Lietuvos Telekomas .....	Lithuania .....	82-5086
ABSA Group Ltd .....	South Africa .....	82-4569
Accor S.A .....	France .....	82-4672
ACOM Co. Ltd .....	Japan .....	82-4121
Acclaim Energy Trust .....	Canada .....	82-34789
Adidas Salomon AG .....	Germany .....	82-4278
Advanced Info Service Public Co. Ltd .....	Thailand .....	82-3236
Advantage Energy Income Fund .....	Canada .....	82-34742
AEM S.p.A .....	Italy .....	82-4911
Aeroflot Russian International Airlines .....	Russia .....	82-4592
African Gem Resources Ltd .....	South Africa .....	82-34638
African Marine Minerals Corp .....	Canada .....	82-3329
Agenix Ltd .....	Australia .....	82-34639
AIFUL Corp .....	Japan .....	82-4802
Airspray N.V .....	Netherlands .....	82-34700
Aldeasa S.A .....	Spain .....	82-4774
All Nippon Airways Co. Ltd .....	Japan .....	82-1569
Allgreen Properties Ltd .....	Singapore .....	82-4959
Alpha General Holdings Ltd .....	Bermuda .....	82-34649
Altai Resources, Inc .....	Canada .....	82-2950
Altran Technologies S.A .....	France .....	82-5164
Amadeus Global Travel Distribution S.A .....	Spain .....	82-5173
America Telecom S.A. de C.V .....	Mexico .....	82-34636
American Manor Corp .....	Canada .....	82-4158
AMP Ltd .....	Australia .....	82-34713
AMRAD Corp. Ltd .....	Australia .....	82-4867
AmSteel Corp Berhad .....	Malaysia .....	82-3318
Angang New Steel Co. Ltd .....	China .....	82-34663
Anglo American Corp. of South Africa .....	South Africa .....	82-97
Anglo Irish Bank Corp. plc .....	Ireland .....	82-3791
Antenna 3 de Television SA .....	Spain .....	82-34762
Antofagasta plc .....	United Kingdom .....	82-4987
AO Mosenergo .....	Russia .....	82-4475
AO Samaraenergo .....	Russia .....	82-4708
AO Siberian Oil Company .....	Russia .....	82-4882
AO Surgutneftegas .....	Russia .....	82-4302
AO TD Gum .....	Russia .....	82-4132

<sup>1</sup> 15 U.S.C. 78a *et seq.*

<sup>2</sup> Foreign issuers may also be subject to the registration requirements of the Act by reason of having securities registered and listed on a national securities exchange in the United States, and may be subject to the reporting requirements of the Act by reason of having registered securities under the Securities Act of 1933, 15 U.S.C. 77a *et seq.*

<sup>3</sup> 17 CFR 240.12g3-2(b).

<sup>4</sup> Exchange Act Release No. 8066 (April 28, 1967).

<sup>5</sup> Exchange Act Release No. 48063; International Series Release No. 1269 (June 19, 2003) was the last such list.

<sup>6</sup> Inclusion of an issuer on the list in this release is not an affirmation by the Commission that the issuer has complied or is complying with all the conditions of Rule 12g3-2(b). The list does identify the issuers that have both claimed the exemption and have submitted relatively current information to the Commission as of June 2, 2004.

<sup>7</sup> Paragraph (a)(4) of Rule 15c2-11 (17 CFR 240.15c2-11) requires a broker-dealer initiating a quotation for securities of a foreign private issuer to review, maintain in its files, and make reasonably available upon request the information furnished to the Commission pursuant to Rule 12g3-2(b) since the beginning of the issuer's last fiscal year.

<sup>8</sup> See, e.g., *Hanley v. SEC*, 415 F.2d 589 (2d Cir. 1969) (broker-dealer cannot recommend a security unless an adequate and reasonable basis exists for such recommendation).

Company name	Country	File number
Apasco .....	Mexico .....	82-3103
APF Energy Trust .....	Canada .....	82-5166
Applied Gaming Solutions of Canada Inc .....	Canada .....	82-4832
Applied Optical Technologies plc .....	United Kingdom .....	82-5165
Aquarius Platinum Ltd .....	Bermuda .....	82-5097
Arcelor SA .....	Luxembourg .....	82-34727
Arcon International Resources plc .....	Ireland .....	82-4803
Argent Resources Ltd .....	Canada .....	82-5091
Arisawa Manufacturing Co. Ltd .....	Japan .....	82-4620
Artel Solutions Group Holdings Limited .....	Cayman Islands .....	82-34697
Asia Fiber Public Co. Ltd .....	Thailand .....	82-2842
Assa Abloy AB .....	Sweden .....	82-34735
Atco Ltd .....	Canada .....	82-34745
Atlas Copco .....	Sweden .....	82-812
Aur Resources Inc .....	Canada .....	82-4624
Aurora Platinum Inc .....	Canada .....	82-34760
Australian Gas Light Company .....	Australia .....	82-4797
Austrian Airlines .....	Austria .....	82-4970
Auterra Ventures Inc .....	Canada .....	82-4653
Avalon Ventures Ltd .....	Canada .....	82-4427
Avgold Ltd .....	South Africa .....	82-4482
BAA plc .....	United Kingdom .....	82-3372
Bacardi Ltd .....	Bermuda .....	82-4992
BAE Systems PLC .....	United Kingdom .....	82-3138
Banca Popolare di Lodi .....	Italy .....	82-4855
Banco Mercantil S.A .....	Bolivia .....	82-4296
Banco Venezolano de Credito SA Banco Universal .....	Venezuela .....	82-4422
Bandai Co. Ltd .....	Japan .....	82-3919
Bangkok Bank Public Co. Ltd .....	Thailand .....	82-4835
Bank Austria Creditanstalt AG .....	Austria .....	82-34765
Bank of East Asia Ltd .....	Hong Kong .....	82-3443
Bank of Fukuoka Ltd .....	Japan .....	82-1117
Bank Vozrozhdeniye .....	Russia .....	82-4257
BankInter S.A .....	Spain .....	82-2972
Bayerische Hypotheken Und Wechsel Bank AG .....	Germany .....	82-3777
BCE Emergis Inc .....	Canada .....	82-5206
Beijing Datang Power Generation Co. Ltd .....	China .....	82-5186
Beijing Enterprises Holdings Ltd .....	Hong Kong .....	82-34642
Belluna Co. Ltd .....	Japan .....	82-5233
Benfield Group Ltd .....	Bermuda .....	82-34726
Beru AG .....	Germany .....	82-34750
Bespak plc .....	United Kingdom .....	82-3349
Beta Systems Software AG .....	Germany .....	82-4631
BHP Steel Ltd .....	Australia .....	82-34676
BioMS Medical Corp .....	Canada .....	82-34689
Bionomics Limited .....	Australia .....	82-34682
Blackrock Ventures Inc .....	Canada .....	82-4555
BNP Paribas .....	France .....	82-3757
BOC Hong Kong Holdings Ltd .....	Hong Kong .....	82-34675
Bohler Uddeholm AG .....	Austria .....	82-4089
Boliden Ltd .....	Canada .....	82-4707
Bombardier Inc .....	Canada .....	82-3123
Boots Group plc .....	United Kingdom .....	82-34701
Boral Ltd .....	Australia .....	82-5054
Bradford & Bingley plc .....	United Kingdom .....	82-5154
Brambles Industries plc .....	United Kingdom .....	82-5205
Brazil Realty S.A .....	Brazil .....	82-4454
Bresagen Ltd .....	Australia .....	82-5135
Bridgestone Corp .....	Japan .....	82-1264
British Land Co. Ltd .....	United Kingdom .....	82-34741
Bull .....	France .....	82-4847
Burberry Group plc .....	United Kingdom .....	82-34691
Burns Philip & Company Ltd .....	Australia .....	82-1565
BWT Aktiengesellschaft .....	Austria .....	82-5221
C Squared Developments Inc .....	Canada .....	82-1756
C.I. Fund Management Inc .....	Canada .....	82-4994
Cal-Star Inc .....	Canada .....	82-2406
Canadian Everrock Explorations Inc .....	Canada .....	82-5163
Canadian Oil Sands Trust .....	Canada .....	82-5189
Canadian Utilities Ltd .....	Canada .....	82-34744
Canadian Western Bank .....	Canada .....	82-4478
Cap Gemini S.A .....	France .....	82-5065
Capitaland Ltd .....	Singapore .....	82-4507
Caribbean Cement Co. Ltd .....	Jamaica .....	82-3715

Company name	Country	File number
Carso Global Telecom	Mexico	82-4379
Cassa di Risparmio di Firenze S.p.A	Italy	82-5126
Cathay Pacific Airlines Ltd	Hong Kong	82-1390
Cementos Lima S.A	Peru	82-3911
Central Termica Guemas S.A	Argentina	82-5145
Centrica plc	United Kingdom	82-4518
Cerveceria Nacional S.A	Panama	82-4704
CESP Companhia Energetica de Sao Paulo	Brazil	82-3691
Challenger Minerals Ltd	Canada	82-3666
Champion Natural Health Com Inc	Canada	82-4485
Champion Technology Holdings Ltd	Cayman Islands	82-3442
Cheung Kong Holdings Ltd	Hong Kong	82-4138
Chevalier International Holdings	Bermuda	82-4203
Chevalier iTech Holdings Ltd	Bermuda	82-4201
China Oilfield Services Ltd	China	82-34696
China Online Bermuda Ltd	Bermuda	82-3654
China Pharmaceutical Enterprise & Investment Corp	Hong Kong	82-4135
China Resources Enterprise Ltd	Hong Kong	82-4177
China Steel Corp	Taiwan	82-3296
China Strategic Holdings Ltd	Hong Kong	82-3596
Chr. Hansen Holding A/S	Denmark	82-34732
Chugai Pharmaceutical Co. Ltd	Japan	82-34668
Cia Forca e Luz Cataguases Leopoldina	Brazil	82-5147
CITIC Pacific Ltd	Hong Kong	82-5232
Citiraya Industries Ltd	Singapore	82-34706
CML Microsystems plc	United Kingdom	82-3176
Coca Cola Amatil Ltd	Australia	82-2994
Columbia Yukon Exploration	Canada	82-34776
Commercial International Bank	Egypt	82-34764
Companhia Acos Especiais Itabira-Acesita	Brazil	82-3769
Companhia de Transmissao de Energeria Electrica Paulista.	Brazil	82-4980
Companhia Siderurgica Belgo Mineira	Brazil	82-3771
Companhia Suzano De Papel E Celulose	Brazil	82-3550
Compass Group plc	United Kingdom	82-5161
Computershare Ltd	Australia	82-4966
Concept Wireless Inc	Canada	82-4003
Continental AG	Germany	82-1357
Continental Precious Minerals Inc	Canada	82-3358
Cora Resources Ltd	Canada	82-4571
Corpbanca	Chile	82-34763
Corporacion Geo S.A. de C.V	Mexico	82-3870
Corporacion Mapfre Co. Internacional de Reasegueros SA	Spain	82-1987
Corriente Resources Inc	Canada	82-3775
Credit Agricole S.A	France	82-34771
Credit Suisse First Boston	Switzerland	82-4705
Cross Lake Minerals Ltd	Canada	82-2636
CSK Corporation	Japan	82-781
Cue Energy Resources Limited	New Zealand	82-34692
Curran Bay Resources	Canada	82-34724
Cybird Co. Ltd	Japan	82-5139
Cycle & Carriage Ltd	Singapore	82-3163
Daido Life Insurance Co	Japan	82-34658
Dairy Farm International Holdings Ltd	Hong Kong	82-2962
Danisco SA	Denmark	82-3158
Davide Campari Milano S.p.A	Italy	82-5203
DBS Group Holdings Ltd	Singapore	82-3172
De Longhi S.p.A	Italy	82-34652
Del Monte Pacific Ltd	British Virgin Islands	82-5068
Den Danske Bank Aktieselskab	Denmark	82-1263
Dentsu Inc	Japan	82-5241
DEPFA Deutsche Pfandbriefbank AG	Germany	82-4822
Deutsche Beteiligungs Holding AG	Germany	82-4977
Deutsche Lufthansa AG	Germany	82-4691
Dexia Belgium	Belgium	82-4606
Dixons Group plc	United Kingdom	82-3331
Dofasco Inc	Canada	82-3226
DSM N.V	Netherlands	82-3120
E New Media Co. Ltd	Hong Kong	82-5101
East Japan Railway Co	Japan	82-4990
Eastmain Resources Inc	Canada	82-4421
Edcon Consolidated Stores Ltd	South Africa	82-34767
Editora Saraiva S.A	Brazil	82-5046
Eiffel Technologies Ltd	Australia	82-34747

Company name	Country	File number
Eisai Co. Ltd	Japan	82-4015
E-Kong Group Ltd	Bermuda	82-34653
Electrocomponents plc	United Kingdom	82-34672
Elementis plc	United Kingdom	82-34751
Emgold Mining Corp	Canada	82-3003
EMI Group plc	United Kingdom	82-373
Enerco Energy Service Co., Inc	Canada	82-1162
Energy Africa Ltd	South Africa	82-4306
EnviroMission Limited	Australia	82-34693
Erciyas Bircilik ve Malt Sanayi AS	Turkey	82-4144
Erste Bank	Austria	82-5066
Essilor International	France	82-4944
European Aeronautic Defence & Space Co	Netherlands	82-34662
Eurotunnel plc	United Kingdom	82-3000
Eurotunnel S.A	France	82-2999
Evergreen Forests Ltd	New Zealand	82-4114
Exel plc	United Kingdom	82-34655
Expo Resources Inc	Canada	82-34730
Fancamp Resources Ltd	Canada	82-3929
FANCL Corporation	Japan	82-5032
Far East Pharmaceutical Technology Co Ltd	Cayman Islands	82-34768
Ferreyros SA	Peru	82-34695
First Australian Resources N.L	Australia	82-3494
First Pacific Co. Ltd	Hong Kong	82-836
First Quantum Minerals Ltd	Canada	82-4461
First Silver Reserve Inc	Canada	82-3449
First Tractor Company Ltd	China	82-4772
FJA AG	Germany	82-5077
Focus Energy Trust	Canada	82-34761
Fomento de Construcciones y Contratas SA	Spain	82-3743
Forenings Sparbanken AB	Sweden	82-4092
Fortis Amev	Belgium	82-3118
Fortis S.A./N.V	Belgium	82-5234
Foschini Ltd	South Africa	82-4044
Fosters Brewing Group Ltd	Australia	82-1711
Frankie Dominion International Ltd	Hong Kong	82-3649
Friends Provident plc	United Kingdom	82-34640
Frutarom Industries Ltd	Israel	82-4357
Fubon Insurance Co. Ltd	Taiwan	82-4788
Fuji Photo Film Co. Ltd.	Japan	82-78
Fuji Television Network	Japan	82-5176
Fujitsu Support & Service	Japan	82-4885
Funai Electric Ltd	Japan	82-5078
G. Accion S.A. de C.V	Mexico	82-4590
Gallery Resources Ltd	Canada	82-2877
Gambro AB	Sweden	82-34731
Gamesa S.A	Spain	82-5201
Genemedix Plc	United Kingdom	82-34784
Generale de Sante S.A	France	82-34626
Genetic Technologies Ltd	Australia	82-34627
Genting Berhad	Malaysia	82-4962
GGL Diamond Corp	Canada	82-1209
Giordano International Ltd	Bermuda	82-3780
Gitennes Exploration Inc	Canada	82-4170
Givaudan SA	Switzerland	82-5087
Glanbia Public Ltd	Ireland	82-4734
Globel Direct Inc	Canada	82-5084
Glorius Sun Enterprises Ltd	Bermuda	82-4581
Golconda Resources Ltd	Canada	82-3167
Gold Peak Industries (Holdings) Ltd	Hong Kong	82-3604
Goldas Kuyumculuk Sanayi Ithalat Ihracat AS	Turkey	82-5223
Goldcliff Resource Corp	Canada	82-2748
Golden Arch Resources Ltd	Canada	82-659
Golden Hope Mines Ltd	Canada	82-3023
Grand Hotel Holdings Ltd	Hong Kong	82-3408
Grasim Industries Ltd	India	82-3322
Great Eagle Holdings Ltd	Bermuda	82-3940
Great Quest Metals Ltd	Canada	82-3116
Great-West Lifeco Inc	Canada	82-34728
Greencore Group plc	Ireland	82-4908
Grupo Carso S.A. de C.V	Mexico	82-3175
Grupo Dataflux	Mexico	82-4899
Grupo Ferrovial S.A	Spain	82-4939
Grupo Financiero BBVA Bancomer S.A. de C.V	Mexico	82-3273

Company name	Country	File number
Grupo Financiero Inbursa S.A. de C.V .....	Mexico .....	82-4243
Grupo Gigante, S.A. de C.V .....	Mexico .....	82-3142
Grupo Herdez S.A. de C.V .....	Mexico .....	82-3818
Grupo Industrial Saltillo .....	Mexico .....	82-5019
Grupo Melo S.A .....	Panama .....	82-4893
Grupo Mexico S.A. de C.V .....	Mexico .....	82-4582
Grupo Minsa SA DE CV .....	Mexico .....	82-4453
Grupo Modelo S.A. de C.V .....	Mexico .....	82-34766
Grupo Posadas S.A. de C.V .....	Mexico .....	82-3274
GTECH International Resources Ltd .....	Canada .....	82-3779
Guangdong Investment Ltd .....	Hong Kong .....	82-3772
Guangzhou Investment Co. Ltd .....	Hong Kong .....	82-4247
GUS plc .....	United Kingdom .....	82-5017
Gzitic Hauling Holdings Ltd .....	Hong Kong .....	82-4195
H. Lundbeck A.S .....	Denmark .....	82-4973
Hagemeyer N.V .....	Netherlands .....	82-4865
Hang Lung Properties Ltd .....	Hong Kong .....	82-3410
Hang Seng Bank Ltd .....	Hong Kong .....	82-1747
Hanny Holdings Ltd .....	Bermuda .....	82-3638
Hansom Eastern Holdings Ltd .....	Cayman Islands .....	82-4152
Harvest Energy Trust .....	Canada .....	82-34779
HBOS plc .....	United Kingdom .....	82-5222
Heineken Holding N.V .....	Netherlands .....	82-5149
Heineken N.V .....	Netherlands .....	82-4953
Henderson Investment Ltd .....	Hong Kong .....	82-3964
Henderson Land Development Co. Ltd .....	Hong Kong .....	82-1561
Henkel KGAA .....	Germany .....	82-4437
Henlys Group plc .....	United Kingdom .....	82-5051
Herald Resources Ltd .....	Australia .....	82-4295
HHG plc .....	United Kingdom .....	82-34758
Highveld Steel & Vanadium Corp. Ltd .....	South Africa .....	82-596
Hikari Tsushin Inc .....	Japan .....	82-4998
Hilasal Mexicana S.A. de C.V .....	Mexico .....	82-4743
Hindalco Industries Ltd .....	India .....	82-3428
Hip Interactive Corp .....	Canada .....	82-34720
Hoganas AB .....	Sweden .....	82-3754
Hokuriku Bank Ltd .....	Japan .....	82-1045
Holcim Ltd .....	Switzerland .....	82-4093
Hong Kong & China Gas Company Ltd .....	Hong Kong .....	82-1543
Hong Kong Construction Holdings Ltd .....	Hong Kong .....	82-4029
Hong Kong Electric Holdings .....	Hong Kong .....	82-4086
Hopewell Highway Infrastructure Ltd .....	Hong Kong .....	82-34781
Hopewell Holdings Ltd .....	Hong Kong .....	82-1547
Horizon Technology Group .....	Ireland .....	82-34782
Hornbach-Baumarkt AG .....	Germany .....	82-3729
Hylsamex S.A. de C.V .....	Mexico .....	82-4252
Hypo Real Estate Holding AG .....	Germany .....	82-34748
Hypothekenbank in Essen AG .....	Germany .....	82-4883
Hysan Development Company Ltd .....	Hong Kong .....	82-1617
Hyundai Motor Company .....	Korea .....	82-3423
I.T.C. Limited .....	India .....	82-3470
ICAP plc .....	United Kingdom .....	82-4904
IEM S.A. de C.V .....	Mexico .....	82-2337
Impala Platinum Holdings Ltd .....	South Africa .....	82-359
Imperial Metals Corp .....	Canada .....	82-34714
Imperial One International Ltd .....	Australia .....	82-1257
Inca Pacific Resources Inc .....	Canada .....	82-1665
Industria de Diseno Textil S.A .....	Spain .....	82-5185
Interconexion Electrica .....	Colombia .....	82-34786
International Health Partners Inc .....	Canada .....	82-4868
International PBX Ventures Ltd .....	Canada .....	82-2635
International Road Dynamics Inc .....	Canada .....	82-3899
Internet Identity Presence Co. Inc .....	Canada .....	82-478
Interpump Group S.p.A .....	Italy .....	82-4511
Interstar Mining Group. Inc .....	Canada .....	82-3759
Invensys plc .....	United Kingdom .....	82-2142
Investor AB .....	Sweden .....	82-34698
IT Holding SpA .....	Italy .....	82-4728
Italian Thai Development Public Co. Ltd .....	Thailand .....	82-4299
Itech Capital Corp .....	Canada .....	82-3200
Jamaica Broilers Group Ltd .....	Jamaica .....	82-3720
Jannock Properties Ltd .....	Canada .....	82-5062
Japan Airlines Company Ltd .....	Japan .....	82-122
Japan Future Information Technology & Systems .....	Japan .....	82-34657

Company name	Country	File number
Japan Retail Fund Investment Corp .....	Japan .....	82-34716
Jardine Matheson Holdings Ltd .....	Bermuda .....	82-2963
Jardine Strategic Holdings Ltd .....	Bermuda .....	82-3085
Jasmine International Public Co. Ltd .....	Thailand .....	82-4876
JCDecaux S.A .....	France .....	82-34631
JD Group Limited .....	South Africa .....	82-4401
JG Summit Holdings Inc .....	Philippines .....	82-3572
Jiangxi Copper Co. Ltd .....	China .....	82-34715
Jinhui Holdings Co. Ltd .....	Hong Kong .....	82-3765
Jinhui Shipping & Transportation Ltd .....	Bermuda .....	82-4054
JKX Oil & Gas plc .....	United Kingdom .....	82-34709
Johnnic Communications Ltd .....	South Africa .....	82-5184
Johnnic Holdings Ltd .....	South Africa .....	82-5128
Johnson Electric Holdings Ltd .....	Hong Kong .....	82-2416
Johnson Matthey plc .....	United Kingdom .....	82-2272
Jones David Ltd .....	Australia .....	82-4230
JSAT Corp .....	Japan .....	82-5111
JSC Irkutskenergo .....	Russia .....	82-4458
JSC Moscow City Telephone Network .....	Russia .....	82-4957
JSC Uralsvyasinform .....	Russia .....	82-4545
Justsystem Corp .....	Japan .....	82-4732
K Wah Construction Materials Ltd .....	Hong Kong .....	82-3850
Kao Corp .....	Japan .....	82-34759
Kawasaki Heavy Industries Ltd .....	Japan .....	82-4389
KCT Konecranes plc .....	Finland .....	82-4297
Keells John Holdings Ltd .....	Sri Lanka .....	82-3854
Keika Express Co. Ltd .....	Japan .....	82-34718
Kelso Technologies Inc .....	Canada .....	82-2441
KGHM Polska Miedz S.A .....	Poland .....	82-4639
Kidde plc .....	United Kingdom .....	82-5153
Kimberly Clark de Mexico S.A. de C.V .....	Mexico .....	82-3308
Kingfisher plc .....	United Kingdom .....	82-968
Kirin Brewery Co .....	Japan .....	82-188
Klabn S.A .....	Brazil .....	82-34628
Kobe Steel Ltd .....	Japan .....	82-3371
Komerčni Banka A.S .....	Czech Republic .....	82-4154
Koninklijke Wessanen NV .....	Netherlands .....	82-1306
Krones AG .....	Germany .....	82-3871
Kuala Lumpur Kepong Berhad .....	Malaysia .....	82-5022
Kvaerner AS .....	Norway .....	82-3745
Ladbroke Group plc .....	United Kingdom .....	82-1571
Lagardere Groupe SCA .....	France .....	82-3916
Lake Shore Gold Corporation .....	Canada .....	82-34769
Landesbank Rheinland-Phalz .....	Germany .....	82-4930
Legacy Hotels Real Estate Investment Trust .....	Canada .....	82-34729
Legend Group Ltd .....	Hong Kong .....	82-3950
Lend Lease Corp. Ltd .....	Australia .....	82-3498
Lenzing AG .....	Austria .....	82-3207
LG Electronics Inc .....	Korea .....	82-3857
Liberty International plc .....	United Kingdom .....	82-34722
Lindsey Morden Group .....	Canada .....	82-5143
Lion Industries Corp .....	Berhad Malaysia .....	82-3342
Loblaw Companies Ltd .....	Canada .....	82-4918
Lonmin plc .....	United Kingdom .....	82-191
Lopro Corp .....	Japan .....	82-4664
L'Oreal .....	France .....	82-735
Lukoil Oil Co .....	Russia .....	82-4006
Macquarie Bank Ltd .....	Australia .....	82-34740
Magician Industries Holdings Inc .....	Bermuda .....	82-4358
Man Group plc .....	United Kingdom .....	82-4214
Mandarin Oriental International Ltd .....	Hong Kong .....	82-2955
Manila Electric Co .....	Philippines .....	82-3237
Marks & Spencer Group plc .....	United Kingdom .....	82-1961
Marubeni Corp .....	Japan .....	82-616
Matsui Securities Co. Ltd .....	Japan .....	82-5215
Maximum Ventures Inc .....	Canada .....	82-3923
Maxis Communications .....	Malaysia .....	82-34780
Mayr Meinhof Karton AG .....	Austria .....	82-4052
MCK Mining Corp .....	Canada .....	82-3938
Mercantil Servicios Financieros C.A .....	Venezuela .....	82-4648
Metcash Holdings Limited .....	Australia .....	82-34788
Metorex Ltd .....	South Africa .....	82-34711
Metro Cash & Carry Ltd .....	South Africa .....	82-4279
Metropolitan Holdings Ltd .....	South Africa .....	82-34755

Company name	Country	File number
Mexgold Resources Inc	Canada	82-34749
Michael Page International plc	United Kingdom	82-5162
Michelin Compagnie Generale des Etablissements	France	82-3354
MIM Holdings Ltd	Australia	82-173
Minebea Co. Ltd	Japan	82-4551
Mishibishu Gold Corp	Canada	82-2682
Misr International Bank S.A.E	Egypt	82-4629
Mitsubishi Corp	Japan	82-3784
MJ Maillis S.A	Greece	82-4975
Mobistar N.V./S.A	Belgium	82-4965
Mol Rt	Hungary	82-4224
Molson Inc	Canada	82-2954
Morgan Crucible Co. plc	United Kingdom	82-3387
Mosaic Group Inc	Canada	82-34686
Mount Burgess Gold Mining Co	Australia	82-1235
Mytravel Group	United Kingdom	82-5049
Nampak Limited	South Africa	82-3714
National Bank of Canada	Canada	82-3764
NEC Electronics Corp	Japan	82-34733
Nedcor Ltd	South Africa	82-3893
Nestle S.A	Switzerland	82-1252
New GKN	United Kingdom	82-5204
Nintendo Co. Ltd	Japan	82-2544
Nippon Steel Corp	Japan	82-5175
Nissan Motor Co	Japan	82-207
Nomura Research Institute Ltd	Japan	82-34673
Norilsk Nickel	Russia	82-4270
Norske Skogindustrier ASA	Norway	82-5226
Northern Abitibi Mining Corp	Canada	82-4749
Northern Orion Explorations Ltd	Canada	82-3153
Northwest Co. Fund	Canada	82-34737
Norwood Abbey Ltd	Australia	82-34754
Novozymes AS	Denmark	82-5116
NQL Drilling Tools Inc	Canada	82-7052
Nuinsco Resources Ltd	Canada	82-1846
Nutreco Holding N.V	Netherlands	82-4927
NV Umicore S.A	Belgium	82-3876
Nyzhniodniprovsky Pipe Rolling Plant	Ukraine	82-4814
OAQ Oil Co. Yukos	Russia	82-4209
OAQ United Heavy Machinery Uralmash	Russia	82-5063
Occupational & Medical Innovations Ltd	Australia	82-5174
OJSC Marganetsky Ore Mining & Processing	Ukraine	82-34710
OJSC Rostovenergo	Russia	82-4839
OJSC Volga Telecom	Russia	82-4642
Old Mutual plc	United Kingdom	82-4974
Olivetti S.p.A	Italy	82-5181
Olympus Optical Co. Ltd	Japan	82-3326
Omega Project Co. Ltd	Japan	82-5030
Omron Corp	Japan	82-1170
OMV AG	Austria	82-3209
Onfem Holdings Ltd	Bermuda	82-3735
Ontzinc Corporation	Canada	82-34778
Opap S.A	Greece	82-34699
Open Joint Stock Company Dniproenergo	Ukraine	82-4844
Open Joint Stock Company Ukrafta	Ukraine	82-4859
Orange S.A	France	82-5168
Orbis S.A	Poland	82-5025
Orkla AS	Norway	82-3998
Osterreichische Elektrizitätswirtschafts	Austria	82-4381
Paccom Ventures	Canada	82-2891
Pacific Andes Int'l Holdings Ltd	Bermuda	82-4031
Pacific Topaz Resources Ltd	Canada	82-1285
Pacrim International Capital Inc	British Virgin Islands	82-3812
Paperlinx Ltd	Australia	82-5061
Parapananema S.A	Brazil	82-5083
Paul Y ITC Construction Holdings Ltd	Bermuda	82-4217
Peninsular & Oriental Steam Navigation Co	United Kingdom	82-2083
Perfect Fry Corp	Canada	82-1609
Pernod Ricard S.A	France	82-3361
Peter Hambro Mining plc	United Kingdom	82-34734
Peyto Energy Trust	Canada	82-34773
Phoenix Canada Oil Co. Ltd	Canada	82-3936
Pinault Printemps Redoute	France	82-5179
Polski Koncern Naftowy	Poland	82-5036



Company name	Country	File number
Power Corp. of Canada	Canada	82-137
Power Financial Corp	Canada	82-1716
Premier Oil Group plc	Scotland	82-34723
Prima Developments Ltd	Canada	82-34703
Prokom Software S.A	Poland	82-4700
Promatek Industries Ltd	Canada	82-1351
Promise Co. Ltd	Japan	82-4837
Promotora de Informaciones	Spain	82-5213
Provimi	France	82-5212
PSP Swiss Property AG	Switzerland	82-5052
PT Bank Buana Indonesia TBK	Indonesia	82-34694
PTT Exploration & Production plc	Thailand	82-3827
Public Power Corp. S.A	Greece	82-34707
Puma AG Rudolf Dassler Sport	Germany	82-4369
Q P Corporation	Japan	82-4750
Qantas Airways	Australia	82-4130
Rabobank Nederland	Netherlands	82-5010
Radio Gaucha S.A	Brazil	82-4341
Raffles Medical Group	Singapore	82-4926
Randstad Holding NV	Netherlands	82-4956
RAO Gazprom	Russia	82-4670
Raydan Manufacturing Inc	Canada	82-34756
Raytec Development Corp	Canada	82-3553
RBS Participacoes S.A	Brazil	82-4338
RBS TV de Florianopolis S.A	Brazil	82-4340
RE Power Systems AG	Germany	82-34654
Reliance Industries Ltd	India	82-3300
Remgro Ltd	South Africa	82-5106
Renault SA	France	82-4001
Rentokil Initial plc	United Kingdom	82-3806
Resorts World Berhad	Malaysia	82-3229
Rexam plc	United Kingdom	82-3
Rich Minerals Corp	Canada	82-2832
Roadshow Holdings Ltd	Bermuda	82-5208
Roche Holding Ltd	Switzerland	82-3315
Rock Energy Inc	Canada	82-34785
Rock Resources Inc	Canada	82-4504
Rolls Royce Group plc	United Kingdom	82-34721
Rosneftegazstroy	Russia	82-4597
Royal Nedlloyd Group	Netherlands	82-1056
RWE AG	Germany	82-4018
S.A. Fabrica de Productos Alimenticios	Brazil	82-4870
SABMiller plc	United Kingdom	82-4938
Sage Group Ltd	South Africa	82-4241
Sage Group plc	United Kingdom	82-34736
Sahaviriya Steel Industries plc	Thailand	82-5008
SAIA-Burgess Electronics Holding AG	Switzerland	82-4810
Sainsbury J plc	United Kingdom	82-913
Saipem S.p.A	Italy	82-4776
Sammy Corporation	Japan	82-5227
Sam's Seafood Holdings Ltd	Australia	82-34648
Samsung Electronics Co. Ltd	Korea	82-3109
Sancor Cooperativas Unidas Ltd	Argentina	82-4476
Sandvik AB	Sweden	82-1463
Santos Ltd	Australia	82-34
Sanyo Electric Co	Japan	82-264
Sao Paulo Alpargatas SA	Brazil	82-3692
Saputo Inc	Canada	82-34670
Saskatchewan Wheat Pool	Canada	82-5037
Schneider Electric SA	France	82-3706
Schwanberg International Inc	Canada	82-34712
Schwarz Pharma AG	Germany	82-4406
SCMP Group Ltd	Bermuda	82-3327
Securitas AB	Sweden	82-34719
Sega Enterprises Ltd	Japan	82-3439
Seiko Epson Corp	Japan	82-34746
Sekisui House Ltd	Japan	82-5129
Sembcorp Industries Ltd	Singapore	82-5109
Severn Trent plc	United Kingdom	82-2819
Shandong International Power Dev. Co. Ltd	China	82-4932
Shanghai Industrial Holdings Ltd	China	82-5160
Shangri La Asia Ltd	Bermuda	82-5006
Sharp Corp	Japan	82-1116
Shin Corp Public Co. Ltd	Thailand	82-3140

Company name	Country	File number
Shin Satellite Public Co. Ltd	Thailand	82-4527
Shinsei Bank Limited	Japan	82-34775
Shiseido Company Ltd	Japan	82-3311
Shun Tak Holdings Ltd	Hong Kong	82-3357
SIA Engineering Co. Ltd	Singapore	82-5123
Siam Commercial Bank Public Co. Ltd	Thailand	82-4345
Silverstone Corp Berhad	Malaysia	82-3319
Sime Darby Berhad	Malaysia	82-4968
Simsmetal Ltd	Australia	82-3838
Singapore Airport Terminal Services Ltd	Singapore	82-5117
Singapore Telecommunications Ltd	Singapore	82-3622
Singer N.V	Netherlands	82-34635
Skandia Insurance Co. Ltd	Sweden	82-5079
Skandinaviska Enskilda Banken	Sweden	82-3637
Sky Perfect Communications	Japan	82-5113
Slovnaft A.S	Slovak Republic	82-3721
Societe Generale	France	82-3501
Sogecable S.A	Spain	82-4981
Sons of Gwalia Ltd	Australia	82-1039
Southcorp Holdings Ltd	Australia	82-2692
Southern Pacific Petroleum N.L	Australia	82-353
Southern Telecommunications Co	Russia	82-4721
SPL Worldgroup B.V	Netherlands	82-34708
St. George Bank Ltd	Australia	82-3809
St. Jude Resources Ltd	Canada	82-4014
Standard Chartered plc	United Kingdom	82-5188
Starlight International Holdings Ltd	Bermuda	82-3594
Starrex Mining Corp Ltd	Canada	82-3755
State Bank of India	India	82-4524
Steinhoff International Holdings Ltd	South Africa	82-34722
Stina Resources Ltd	Canada	82-2062
Studsvik AB	Sweden	82-5172
Sultan Minerals Inc	Canada	82-4741
Sumitomo Corp	Japan	82-34680
Sumitomo Metal Industries Ltd	Japan	82-3507
Sumitomo Mitsui Financial Group Inc	Japan	82-4395
Sumitomo Trust & Banking Co. Ltd	Japan	82-4617
Sun Hung Kai Properties Ltd	Hong Kong	82-1755
Superior Diamonds Inc	Canada	82-34752
Suzano Petroquimica S.A	Brazil	82-34667
Svenska Cellulosa Aktiebolaget	Sweden	82-763
Swire Pacific Ltd	Hong Kong	82-2184
Swiss Reinsurance Co	Switzerland	82-4248
Synex International Inc	Canada	82-862
T & D Holdings Inc	Japan	82-34783
Tabcorp Holdings Ltd	Australia	82-3841
Tai Cheung Holdings Ltd	Bermuda	82-3528
Tata Engineering & Locomotive Co. Ltd	India	82-3768
Taylor Nelson Sofres plc	United Kingdom	82-4668
Techtronic Industries Co. Ltd	Hong Kong	82-3648
Telefonica Data Peru S.A.A	Peru	82-34646
Telefonica Moviles Peru Holding S.A.A	Peru	82-34645
Telepizza	Spain	82-5001
Televisao Gaucha S.A	Brazil	82-4339
Tennysen Networks Ltd	Australia	82-5138
Tesco PLC	United Kingdom	82-3277
TFS	Switzerland	82-5095
Thai Farmers Bank Public Co. Ltd	Thailand	82-4922
Thoughtshare Communications	Canada	82-2442
THUS Group plc	United Kingdom	82-34650
Tianjin Capital Environmental Protection Co. Ltd	China	82-34739
TNR Resources Ltd	Canada	82-4434
Tofas Turk Otomobil Fabrikasi AS	Turkey	82-3699
Tomorrow International Holdings Ltd	Bermuda	82-4256
T-Online International AG	Germany	82-5125
Topper Resources Inc	Canada	82-34757
Toyota Industries Corporation	Japan	82-5112
Toys "R" Us Japan Ltd	Japan	82-5073
Tractebel Energia	Brazil	82-4760
Tradehold Ltd	South Africa	82-5238
Transportadora de Gas del Norte S.A	Argentina	82-3845
TravelSky Technology Ltd	China	82-34687
Truly International Holdings	Cayman Islands	82-3700
Tsingtao Brewery Company Ltd	China	82-4021

Company name	Country	File number
TT&T Public Co. Ltd	Thailand	82-3744
U.S. Commercial Corp. S.A. de C.V	Mexico	82-34669
UFJ Holdings Inc	Japan	82-5169
Unaxis Holding Inc	Switzerland	82-34643
UNI President Enterprises Co	Taiwan	82-3424
Unicharm Corporation	Japan	82-4985
Unicredito Italiano	Italy	82-3185
United Bank for Africa plc	Nigeria	82-4804
United Grain Growers Ltd	Canada	82-34725
United Overseas Bank Ltd	Singapore	82-2947
USA Video Interactive Corp	Canada	82-1601
Usinas Siderurgicas de Minas Gerais S.A	Brazil	82-3902
Valeo S.A	France	82-3668
Valerie Gold Resources Ltd	Canada	82-3339
Vantech VRB Technology Corp	Canada	82-34688
Vedior N.V	Netherlands	82-4654
Velcro Industries. N.V	Neth. Ant	82-145
Venfin Ltd	South Africa	82-3760
Ventracor Ltd	Australia	82-4630
Vermilion Resources Ltd	Canada	82-34704
Viceroy Resource Corp	Canada	82-1193
Victoria Resources Corporation	Canada	82-2888
Village Roadshow Ltd	Australia	82-4513
Vinci	France	82-4781
VNU N.V	Netherlands	82-2876
Vodafone Panafon Hellenic Telecommunications	Greece	82-4969
Vodafone Telecel Comunicacoe Pessoais S.A	Portugal	82-4528
Vodatel Networks Holdings Ltd	Bermuda	82-5146
Vri Biomedical Ltd	Australia	82-34683
Vtech Holdings Ltd	Bermuda	82-3565
Wal Mart de Mexico S.A. de C.V	Mexico	82-4609
Wanadoo	France	82-5150
Washtec AG	Germany	82-4888
West Japan Railway Co	Japan	82-34777
Westone Ventures Inc	Canada	82-4890
Windarra Minerals Ltd	Canada	82-561
Wolford AG	Austria	82-4403
Wolfson Microelectronics plc	Scotland	82-34753
Woodside Petroleum Ltd	Australia	82-2280
WPN Resources Ltd	Canada	82-2418
Wrightson Ltd	New Zealand	82-3646
X-Cal Resources Ltd	Canada	82-1655
Xstrata plc	United Kingdom	82-34660
Yamaha Corp	Japan	82-34717
Yara International ASA	Norway	82-34770
Yeebo International Holdings Ltd	Bermuda	82-3869
Yell Group plc	United Kingdom	82-34674
Zero Hora-Editora Jornalística S.A	Brazil	82-4337
Zhejiang Expressway Co. Ltd	China	82-34629
Zurich Financial Services	Switzerland	82-5089

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**SECURITIES AND EXCHANGE  
COMMISSION**[Release No. 34-49840; File No. SR-Amex-  
2004-23]**Self-Regulatory Organizations; Notice  
of Filing of a Proposed Rule Change by  
American Stock Exchange LLC and  
Amendment No. 1 Thereto Relating to  
Generic Listing Standards for Trust  
Certificate Securities Linked to a  
Portfolio of Investment Grade  
Securities**

June 9, 2004.

Pursuant to section 19(b)(1) of the  
Securities Exchange Act of 1934

(“Act”),<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> notice is hereby given that on April 19, 2004, the American Stock Exchange LLC (“Amex” or “Exchange”) filed with the Securities and Exchange Commission (“SEC” or “Commission”) the proposed rule change as described in Items I, II, and III below, which Items have been prepared by the Exchange. On May 12, 2004, the Exchange filed Amendment No. 1 to the proposed rule change.<sup>3</sup> The

<sup>1</sup> 15 U.S.C. 78s(b)(1).<sup>2</sup> 17 CFR 240.19b-4<sup>3</sup> See Letter from Jeffrey P. Burns, Associate General Counsel, Amex, to Nancy Sanow, Assistant Director, Division of Market Regulation, (“Division”), Commission, dated May 12, 2004. In Amendment No. 1, the Amex made technical changes to its proposed rule filing.