

**SECURITIES AND EXCHANGE
COMMISSION**

[Release No. 34-48407A; File No. SR-
NASD-00-08]

**Self-Regulatory Organizations; Order
Approving Proposed Rule Change and
Notice of Filing and Order Granting
Accelerated Approval of Amendment
Nos. 1 and 2 by the National
Association of Securities Dealers, Inc.
Relating to Margin Requirements;
Correction**

February 5, 2004.

Release No. 34-48407 (the "Release"), issued on August 25, 2003, and published in the **Federal Register** on September 2, 2003,¹ contained an error in Part IV.² Specifically, Part IV of the Release stated that "the definition of exempt account is limited to certain regulated entities as well as to persons with net worth of at least \$40 million and financial assets of at least \$45 million" and that met other specified requirements. The financial requirement for exempt accounts was stated incorrectly and should indicate that an "exempt account" includes any person that has net worth of at least \$45 million and financial assets of at least \$40 million. Accordingly, Part IV of the Release should be revised to state that "the definition of exempt account is limited to certain regulated entities as well as to persons with net worth of at least \$45 million and financial assets of at least \$40 million" and that meets the other requirements for exempt accounts specified in the Release.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.³

Margaret H. McFarland,

Deputy Secretary.

[FR Doc. 04-3025 Filed 2-11-04; 8:45 am]

BILLING CODE 8010-01-P

**SECURITIES AND EXCHANGE
COMMISSION**

[Release No. 34-48365A; File No. SR-
NYSE-98-14]

**Self-Regulatory Organizations; Order
Approving Proposed Rule Change and
Amendment Nos. 1, 2, and 3 by the
New York Stock Exchange, Inc.
Relating to Margin Requirements;
Correction**

February 5, 2004.

Release No. 34-48365 (the "Release"), issued on August 19, 2003, and published in the **Federal Register** on August 26, 2003,¹ contained errors in Part II.C.3 and in Part IV.² Specifically, Part II.C.3 of the Release stated that the proposal defined an "exempt account" to include "any person that has a net worth of at least \$40 million and financial assets of at least \$45 million" and that met other specified requirements. Part IV of the Release stated that "the definition of exempt account is limited to certain regulated entities as well as to persons with net worth of at least \$40 million and financial assets of at least \$45 million" and that met other specified requirements. In both cases, the financial requirements for exempt accounts were stated incorrectly. The financial requirements should state that an "exempt account" includes any person that has net worth of at least \$45 million and financial assets of at least \$40 million.

Accordingly, Part II.C.3 of the Release should be revised to state that an "exempt account" includes "any person that has a net worth of at least \$45 million and financial assets of at least \$40 million" and that meets the other requirements for exempt accounts specified in the Release. Part IV of the Release should be revised to state that "the definition of exempt account is limited to certain regulated entities as well as to persons with net worth of at least \$45 million and financial assets of at least \$40 million" and that meets the other requirements for exempt accounts specified in the Release.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.³

Margaret H. McFarland,

Deputy Secretary.

[FR Doc. 04-3108 Filed 2-11-04; 8:45 am]

BILLING CODE 8010-01-P

¹ See 68 FR 51314.

² See 68 FR 51314, 51315 and 51316.

³ 17 CFR 200.30-3(a)(12).

SMALL BUSINESS ADMINISTRATION
**Data Collection Available for Public
Comments and Recommendations**

ACTION: Notice and request for comments.

SUMMARY: In accordance with the Paperwork Reduction Act of 1995, this notice announces the Small Business Administration's intentions to request approval on a new and/or currently approved information collection.

DATES: Submit comments on or before April 12, 2004.

ADDRESSES: Send all comments regarding whether these information collections are necessary for the proper performance of the function of the agency, whether the burden estimates are accurate, and if there are ways to minimize the estimated burden and enhance the quality of the collections, to Carol Fendler, Director, Office of Licensing and Program Standards, Small Business Administration, 409 3rd Street SW., Suite 6300, Washington, DC 20416

FOR FURTHER INFORMATION CONTACT: Carol Fendler, Director, 202-205-7559 or Curtis B. Rich, Management Analyst, 202-205-7030.

SUPPLEMENTARY INFORMATION:

Title: "Size Status Declaration."
Description of Respondents: Small Businesses Requesting Size Determinations.

Form No.: 480.
Annual Responses: 4,200.
Annual Burden: 700.

Title: "SBIC Financial Reports."
Description of Respondents: Small Business Investment Companies.

Form No.: 468.
Annual Responses: 1,040.
Annual Burden: 16,480.

Title: "Portfolio Financing Reports."
Description of Respondents: Small Business Investment Companies.

Form No.: 1031.
Annual Responses: 2,100.
Annual Burden: 420.

Title: "Stockholder's Confirmation (Corporation); Ownership Confirmation (Partnership)."

Description of Respondents: Newly Licensed SBIC's.

Form No.: 1405.
Annual Responses: 600.
Annual Burden: 600.

Title: "Financing Eligibility Statement—social disadvantaged Economic Disadvantaged."

Description of Respondents: Small Businesses seeking financing from Specialized Small Business Investment Companies (SSBIC).

¹ See 68 FR 52259.

² See 68 FR 51314, 51315 and 51316.

Form Nos.: 1941A, 1941B, 1941C.
Annual Responses: 293.
Annual Burden: 586.

ADDRESSES: Send all comments regarding whether this information collection is necessary for the proper performance of the function of the agency, whether the burden estimates are accurate, and if there are ways to minimize the estimated burden and enhance the quality of the collection, to Cheryl Fletcher, Business Opportunity Specialist, Office of Business Development, Small Business Administration, 409 3rd Street SW., Suite 8800, Washington, DC 20416

FOR FURTHER INFORMATION CONTACT: Cheryl Fletcher, Business Opportunity Specialist, 202-619-1850 or Curtis B. Rich, Management Analyst, 202-205-7030.

SUPPLEMENTARY INFORMATION:

Title: "8(a) SDB Paper and Electronic Application."

Description: 8(a) Companies.

Form Nos.: 1010, 1010B, 1010C, 2065.

Annual Responses: 6,103.

Annual Burden: 28,821.

Jacqueline White,

Chief, Administrative Information Branch.

[FR Doc. 04-3018 Filed 2-11-04; 8:45 am]

BILLING CODE 8025-01-P

SMALL BUSINESS ADMINISTRATION

[License No. 09/79-0420]

Aspen Ventures III, L.P.; Notice Seeking Exemption Under Section 312 of the Small Business Investment Act, Conflicts of Interest

Notice is hereby given that Aspen Ventures III, L.P., of 1000 Fremont Avenue, Suite 200, Los Altos, California 94024, a Federal Licensee under the Small Business Investment Act of 1958, as amended ("the Act"), in connection with the financing of a small concern, has sought an exemption under section 312 of the Act and section 107.703, Financings which Constitute Conflicts of Interest of the Small Business Administration ("SBA") rules and regulations (13 CFR 107.730 (2001)). Aspen Ventures III, L.P. proposes to provide equity financing to Amperion, Inc. of Two Tech Drive, Andover, Massachusetts 01810. The financing is contemplated for general corporate purposes including research and development, sales and marketing expansion and working capital.

This financing is brought within the purview of § 107.730(a)(1) of the Regulations because Aspen Ventures III L.P.'s limited partner Redleaf Group,

Inc. (an investor in Aspen Ventures III) and an Associate of Aspen Ventures III, L.P., currently owns greater than 10 percent of Amperion, Inc. and therefore is considered an Associate Aspen Ventures III, L.P., as defined in § 107.50 of the regulations.

Notice is hereby given that any interested person may submit written comments on the transaction to the Associate Administrator for Investment, U.S. Small Business Administration, 409 Third Street, SW., Washington, DC 20416.

Dated: January 14, 2004.

Jeffrey D. Pierson,

Associate Administrator for Investment.

[FR Doc. 04-3020 Filed 2-11-04; 8:45 am]

BILLING CODE 8025-01-P

SMALL BUSINESS ADMINISTRATION

[Declaration of Disaster #3565]

State of California

Orange County and the contiguous counties of Los Angeles, Riverside, San Bernardino and San Diego in the State of California constitute a disaster area as a result of an apartment fire on December 2, 2003. Applications for loans for physical damage as a result of this disaster may be filed until the close of business on April 5, 2004 and for economic injury until the close of business on November 5, 2004 at the address listed below or other locally announced locations:

U.S. Small Business Administration,
Disaster Area 4 Office, PO Box
419004, Sacramento, CA 95841-9004.

The interest rates are:

For Physical Damage:

Homeowners With Credit Available
Elsewhere—6.250%.

Homeowners Without Credit
Available Elsewhere—3.125%.

Businesses With Credit Available
Elsewhere—6.123%.

Businesses and Non-Profit
Organizations Without Credit
Available Elsewhere—3.061%.

Others (Including Non-Profit
Organizations) with Credit
Available Elsewhere—4.875%.

For Economic Injury:

Businesses and Small Agricultural
Cooperatives Without Credit
Available Elsewhere—3.061%.

The number assigned to this disaster for physical damage is 356505 and for economic damage is 9Z2500.

(Catalog of Federal Domestic Assistance Program Nos. 59002 and 59008.)

Dated: February 5, 2004.

Hector V. Barreto,

Administrator.

[FR Doc. 04-3031 Filed 2-11-04; 8:45 am]

BILLING CODE 8025-01-P

SMALL BUSINESS ADMINISTRATION

[Declaration of Disaster #3555]

State of California (Amendment #5)

In accordance with a notice received from the Department of Homeland Security—Federal Emergency Management Agency, effective February 3, 2004, the above numbered declaration is hereby amended to reopen the incident period for this disaster as beginning October 21, 2003 and continuing through and including March 31, 2004.

All other information remains the same, *i.e.*, the deadline for filing applications for physical damage remains as January 9, 2004, and for economic injury the deadline is July 27, 2004.

(Catalog of Federal Domestic Assistance Program Nos. 59002 and 59008)

Dated: February 5, 2004.

Herbert L. Mitchell,

Associate Administrator for Disaster Assistance.

[FR Doc. 04-3035 Filed 2-11-04; 8:45 am]

BILLING CODE 8025-01-P

SMALL BUSINESS ADMINISTRATION

[Declaration of Disaster #3564]

State of Ohio (Amendment #1)

In accordance with a notice received from the Department of Homeland Security—Federal Emergency Management Agency, effective January 30, 2004, the above numbered declaration is hereby amended to establish the incident period for this disaster as beginning January 3, 2004 and continuing through January 30, 2004.

All other information remains the same, *i.e.*, the deadline for filing applications for physical damage is March 26, 2004, and for economic injury the deadline is October 26, 2004.

(Catalog of Federal Domestic Assistance Program Nos. 59002 and 59008).

Dated: February 5, 2004.

Herbert L. Mitchell,

Associate Administrator for Disaster Assistance.

[FR Doc. 04-3034 Filed 2-11-04; 8:45 am]

BILLING CODE 8025-01-P