

Agency	Contact
Consumer Product Safety Commission	Edward E. Quist, (301) 504-0029 x2240 Web site: http://www.cpsc.gov
Housing and Urban Development	James M. Martin, (202) 708-0638 Web site: http://www.hud.gov/
Commission on Fine Arts	Charles Atherton, (202) 504-2200 Web site: http://www.cfa.gov
Holocaust Museum	James Gaglione, (202) 314-0336 Web site: http://www.ushmm.org/financial
Kennedy Center	Lynne H. Pratt, (202) 416-8000 Web site: http://www.kennedy-center.org
Woodrow Wilson Center	Ronnie Dempsey, (202) 691-4216 Web site: http://wwwics.si.edu
Office of Special Counsel	Jane McFarland, (202) 653-5163 Web site: http://www.osc.gov
U.S. Merit Systems Protection Board	Douglas Wade, (202) 653-6772, ext 1118 Web site: http://www.mspb.gov
Treasury	Kevin Whitfield, (202) 622-0248 Web site: http://www.treas.gov/fair

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RAILROAD RETIREMENT BOARD

Agency Forms Submitted for OMB Review

SUMMARY: In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35), the Railroads Retirement Board (RRB) has submitted the following proposal(s) for the collection of information to the Office of Management and Budget for review and approval.

Summary of Proposal(s)

- (1) *Collection title:* Application and Claim for Benefits.
- (2) *Form(s) submitted:* UI-63.
- (3) *OMB Number:* 3220-0055.
- (4) *Expiration date of current OMB clearance:* February 28, 2003.
- (5) *Type of request:* Extension of a currently approved collection.
- (6) *Respondents:* Individuals or Households.
- (7) *Estimated annual number of respondents:* 200.
- (8) *Total annual responses:* 200.
- (9) *Total annual reporting hours:* 23.
- (10) *Collection description:* The collection obtains the information needed by the Railroad Retirement Board to pay, under Section 2(g) of the RUIA, benefits under that Act accrued, but not paid because of the death of the employee.

ADDITIONAL INFORMATION OR COMMENTS: Copies of the forms and supporting documents can be obtained from Chuck Mierzwa, the agency clearance officer (312) 751-3363. Comments regarding the information collection should be addressed to Ronald J. Hodapp, Railroad Retirement Board, 844 North Rush

Street, Chicago, Illinois, 60611-2092 and to the OMB Desk Officer for the RRB, at the Office of Management and Budget, Room 10230, New Executive Office Building, Washington, DC 20503.

Chuck Mierzwa,
Clearance Officer.

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SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-46612; File No. SR-NASD-2002-128]

Self-Regulatory Organizations; Notice of Filing and Summary Effectiveness of Proposed Rule Change by the National Association of Securities Dealers, Inc. Relating to the Security Futures Risk Disclosure Statement

October 7, 2002.

Pursuant to section 19(b)(1) of the Securities Exchange Act of 1934 ("Act"),¹ and Rule 19b-4 thereunder,² notice is hereby given that on September 25, 2002, the National Association of Securities Dealers, Inc. ("NASD") filed with the Securities and Exchange Commission ("Commission") the proposed rule change as described in Items I, II, and III below, which Items have been prepared by NASD. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

NASD is filing with the Commission the security futures risk disclosure

¹ 15 U.S.C. 78s(b)(1).
² 17 CFR 240.19b4.

statement. The text of the proposed rule change appears below. All text is new.

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Risk Disclosure Statement for Security Futures Contracts

This disclosure statement discusses the characteristics and risks of standardized security futures contracts traded on regulated U.S. exchanges. At present, regulated exchanges are authorized to list futures contracts on individual equity securities registered under the Securities Exchange Act of 1934 (including common stock and certain exchange-traded funds and American Depositary Receipts), as well as narrow-based security indices. Futures on other types of securities and options on security futures contracts may be authorized in the future. The glossary of terms appears at the end of the document.

Customers should be aware that the examples in this document are exclusive of fees and commissions that may decrease their net gains or increase their net losses. The examples also do not include tax consequences, which may differ for each customer.

Section 1—Risks of Security Futures

1.1. Risks of Security Futures Transactions

Trading security futures contracts may not be suitable for all investors. You may lose a substantial amount of money in a very short period of time. The amount you may lose is potentially unlimited and can exceed the amount you originally deposit with your broker. This is because futures trading is highly leveraged, with a relatively small amount of money used to establish a position in assets having a much greater value. If you are uncomfortable with