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Dated at Rockville, Maryland, this 17th day of July 2002.

For the Nuclear Regulatory Commission.

**Robert Clark,**

*Project Manager, Section 1, Project Directorate I, Division of Licensing Project Management, Office of Nuclear Reactor Regulation.*

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**BILLING CODE 7590-01-P**

## NUCLEAR REGULATORY COMMISSION

### Draft Regulatory Guides; Extension of comment period

**AGENCY:** Nuclear Regulatory Commission.

**ACTION:** Extension of Comment Period.

**SUMMARY:** On December 14, 2001 (66 FR 64893), the U.S. Nuclear Regulatory Commission (NRC) published for public comment draft regulatory guide DG-1111, "Atmospheric Relative Concentrations for Control Room Radiological Habitability Assessments at Nuclear Power Plants." The public comment period was to have expired on March 15, 2002. On January 25, 2002 (67 FR 3743), NRC published for public comment draft regulatory guide DG-1113, "Methods and Assumptions for Evaluating Radiological Consequences of Design Basis Accidents at Light-Water Nuclear Power Reactors." The public comment period was to have expired on April 30, 2002. On March 28, 2002 (67 FR 14992), NRC published for public comment draft regulatory guides DG-1114, "Control Room Habitability at Light-Water Nuclear Power Reactors," and DG-1115, "Demonstrating Control Room Envelope Integrity at Nuclear Power Reactors." The public comment period was to have expired on June 28, 2002. Although issued for public comment individually, these draft guides are all related to control room habitability. The NRC received a request to extend the comment period for all four draft guides to allow reviewers an opportunity to review and comment on interrelationships between the guides. After considering of the request, NRC has decided to extend the public comment period for these guides until September 6, 2002.

**DATES:** The public comment periods for DG-1111, DG-1113, DG-1114, and DG-

1115 have been extended and now expire on September 6, 2002. Comments received after this date will be considered, if it is practical to do so, but the Commission is able to assure consideration only for comments received on or before this date.

**ADDRESSES:** Submit written comments to: Michael T. Lesar, Chief, Rules and Directives Branch, Division of Administrative Services, Office of Administration, U.S. Nuclear Regulatory Commission, Mail Stop T-6D59, Washington, DC 20555-0001. Deliver comments to 11545 Rockville Pike, Rockville, Maryland, between 7:45 a.m. and 4:15 p.m., on Federal workdays. Copies of any comments received and documents related to this action may be examined at the NRC Public Document Room, One White Flint North, Public File Area O1-F21, 11545 Rockville Pike, Rockville, Maryland. Documents are also available electronically at NRC's Public Electronic Reading Room on the Internet at <http://www.nrc.gov/reading-rm.html>. From this site, the public can gain entry into NRC's Agencywide Documents Access and Management System (ADAMS), which provides text and image files of NRC's public documents. The ADAMS accession numbers for the proposed draft regulatory guides are ML013130132 for DG-1111, ML020160023 for DG-1113, ML020790125 for DG-1114, and ML020790191 for DG-1115. For more information, contact the NRC's Public Document Room reference staff by telephone at (800) 397-4209 or (301) 415-4737 by or e-mail to [pdr@nrc.gov](mailto:pdr@nrc.gov). The draft regulatory guides are also available on NRC's Web site at <http://www.nrc.gov/reading-rm/adams.html>. You may also send comments electronically from this Web site by clicking on the comment form. A copies of the proposed regulatory guides are also available for inspection, and copying for a fee, in the NRC's Public Document Room, One White Flint North, Public File Area O1-F21, 11555 Rockville Pike, Rockville, Maryland.

**FOR FURTHER INFORMATION CONTACT:** W. Mark Blumberg, (telephone (301) 415-1083, e-mail [wmb1@nrc.gov](mailto:wmb1@nrc.gov) or Stephen LaVie on (telephone (301) 415-1081, e-mail: [sfl@nrc.gov](mailto:sfl@nrc.gov)).

Dated at Rockville, Maryland, this 11th day of July 2002.

For the Nuclear Regulatory Commission.

**Michael R. Johnson,**

*Chief, Probabilistic Safety Analysis Branch, Division of Systems and Safety Analysis, Office of Nuclear Reactor Regulation.*

[FR Doc. 02-18744 Filed 7-23-02; 8:45 am]

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## SECURITIES AND EXCHANGE COMMISSION

### Submission for OMB Review; Comment Request

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of Filings and Information Services, Washington, DC 20549.

Extension:

Rule 17Ad-17, SEC File No. 270-412, OMB Control No. 3235-0469

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.), the Securities and Exchange Commission ("Commission") has submitted to the Office of Management and Budget a request for extension of the previously approved collection of information discussed below.

- Rule 17Ad-17 Transfer Agents' Obligation to Search for Lost Securityholders

Rule 17Ad-17 [17 CFR 240.17Ad-17] requires approximately 952 registered transfer agents to conduct searches using third party database vendors to attempt to locate lost securityholders. The staff estimates that the average number of hours necessary for each transfer agent to comply with Rule 17Ad-17 is five hours annually. The total burden is approximately 4,760 annually for all transfer agents. The cost of compliance for each individual transfer agent depends on the number of lost accounts for which it is responsible. Based on information received from transfer agents, we estimate that the annual cost industry wide is \$3.3 million.

The retention period for the recordkeeping requirement under Rule 17Ad-17 is three years. The recordkeeping requirement under Rule 17Ad-17 is mandatory to assist the Commission and other regulatory agencies with monitoring transfer agents and ensuring compliance with the rule. This rule does not involve the collection of confidential information. Please note that an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid control number.

General comments regarding the estimated burden hours should be directed to the following persons: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and Budget,

Room 10102, New Executive Office Building, Washington, DC 20503; and (ii) Michael E. Bartell, Associate Executive Director, Office of Information Technology, Securities and Exchange Commission, 450 Fifth Street, NW., Washington, DC 20549. Comments must be submitted to OMB within 30 days of this notice.

Dated: July 17, 2002.

**Margaret H. McFarland,**  
Deputy Secretary.

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. PA-32; File No. S7-27-02]

### Privacy Act of 1974; Amended System of Records for Enforcement Files

**AGENCY:** Securities and Exchange Commission.

**ACTION:** Notice of amended system of records.

**SUMMARY:** In accordance with the requirements of the Privacy Act of 1974, as amended, 5 U.S.C. 552a, the Securities and Exchange Commission gives notice of the amendment of its system of records for Enforcement Files (SEC-42) to clarify its routine uses with respect to disclosures related to the collection of amounts ordered to be paid in civil and administrative proceedings, to incorporate a statement regarding disclosure to consumer credit reporting agencies, to update statutory and regulatory references in certain routine uses, to update addresses of system administrators, and to identify exemptions from disclosure that have been claimed for this system of records under the Privacy Act.

**DATES:** Comments must be received by August 23, 2002. The amendments will take effect September 2, 2002 unless the Commission receives comments that would require a different determination.

**ADDRESSES:** Please send three copies of your comments to Jonathan G. Katz, Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. You may also send your comments electronically to the following electronic address: [rule-comments@sec.gov](mailto:rule-comments@sec.gov). All comments should refer to File No. S-27-02 and, if sent electronically, should include this file number on the subject line. Comment letters will be available for public inspection and copying at our Public Reference Room, 450 Fifth Street, NW, Washington, DC 20549. If sent electronically, comment letters will also

be available on our Web site <http://www.sec.gov>.

#### FOR FURTHER INFORMATION CONTACT:

Kenneth H. Hall, Assistant Chief Counsel, Division of Enforcement, Securities and Exchange Commission, 450 5th Street, NW, Washington, DC 20549-0809, (202) 942-4635.

**SUPPLEMENTARY INFORMATION:** The Commission has amended its system of records for the files maintained by the Division of Enforcement. The information in the system is obtained and used for purposes of the Commission's investigations and actions to enforce the federal securities laws. The information in the system is used in conjunction with the collection of amounts ordered to be paid in enforcement actions, a function that is a necessary component of litigation. However, the Debt Collection Act, as amended by the Debt Collection Improvement Act of 1996, requires agencies to publish a notice identifying each system of records from which information may be disclosed to consumer credit reporting agencies (*i.e.*, consumer credit bureaus). The Office of Management and Budget has indicated that this notice should take the form of an insert to existing systems of records. See OMB, *Privacy Act of 1974; Guidelines on the Relationship of the Debt Collection Act of 1982 to the Privacy Act of 1974*, 48 FR 15556, 15558 (April 11, 1983). The Commission has thus incorporated a statement regarding consumer credit reporting into the system of records for Enforcement Files.

The Commission has also revised the routine uses for Enforcement Files to clarify that disclosure may be made in connection with certain debt collection procedures that are mandatory for federal agencies. A routine use has thus been adopted which specifically states that disclosure may be made when the Commission seeks to collect by offset, *i.e.*, the withholding of amounts otherwise payable by the government to a debtor. *Administrative offset*, authorized by the Debt Collection Act, 31 U.S.C. 3716, is the most general form of such withholding, and applies to most amounts that may be payable to a debtor. *Tax refund offset*, authorized by 31 U.S.C. 3720A, authorizes the withholding of federal income tax refunds to satisfy a debt owed to the government. *Salary offset*, authorized by 5 U.S.C. 5514, authorizes the withholding of a portion of the wages due to a federal employee. The routine use also indicates that disclosure may be made in connection with *administrative wage garnishment*, a procedure by which the Commission

may direct a non-federal employer to withhold a portion of an employee's wages to satisfy a debt owed to the government. In addition, notice is given that disclosure may be made to other persons, including other federal agencies and private collection agents, who assist in the collection of amounts owed as a result of enforcement actions.

The Commission has adopted technical amendments to other routine uses. Four of the existing routine uses (those numbered 11, 12, 15 and 17) refer to the definition of "federal securities laws" which has been moved from Section 21(g) of the Exchange Act to Section 3(a)(47); this reference has been updated. Two of the routine uses (those numbered 7 and 12) contain incorrect references to the re-codified Rules of Practice, and those references have been updated.

The Commission has updated the addresses of the following system administrators: the Commission's Records Officer; the Regional Directors for the Northeast Regional Office, the Southeast Regional Office, the Central Regional Office, the Pacific Regional Office and the Midwest Regional Office; and the District Administrators for the Philadelphia District Office, the Fort Worth District Office, and the Salt Lake District Office.

The Commission has also added a statement identifying the exemptions that have been claimed for this system of records. The Privacy Act includes provisions that generally require an agency to: notify an individual, upon request, of the existence of information contained in a record pertaining to the individual; permit access to such record and permit amendment or correction of such record; make available to an individual an accounting of disclosures to third-parties; publish the sources of information in the system; and screen records to ensure that only such information is maintained about the individual as is necessary and relevant to a required purpose of the Commission. See 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H), (I), and (f). The Privacy Act permits an agency, by rule, to claim exemption from these provisions for any system containing "investigatory material compiled for law enforcement purposes" if disclosure would interfere with the conduct of investigations. 5 U.S.C. 552a(k)(2). The Commission has claimed exemptions from these provisions for its Enforcement Files system of records. 17 CFR 200.312(a)(1).

Accordingly, SEC-42 is amended to read as follows: