

17a-4⁸ of the Act, as the basis of its authority to require ETP Holders to maintain and retain books and records not covered under the Exchange's express rules.

The Exchange now proposes to adopt a new rule to codify the books and records requirement and to make clear to ETP Holders that the Commission's comprehensive books and records rule applies to each ETP Holder. As proposed, the new rule would require each ETP Holder to make, keep current, and preserve such books and records as the Exchange may prescribe and as those that may be prescribed by the Act and the rules and regulations thereunder (including any interpretation thereunder). The proposed rule further provides that no ETP Holder may refuse to make available to the Exchange such books, records or other information as may be called for under the PCX rules or as may be requested in connection with an Exchange investigation. Otherwise, the proposed rule does not impose any additional requirements on the ETP Holders.

2. Statutory Basis

The Exchange believes that the proposal is consistent with section 6(b) of the Act,⁹ in general, and furthers the objectives of Section 6(b)(5),¹⁰ in particular, because it is designed to promote just and equitable principles of trade, prevent fraudulent and manipulative acts and practices, and protect investors and the public interest.

B. Self-Regulatory Organization's Statement on Burden on Competition

The PCX does not believe that the proposed rule change will impose any burden on competition that is not necessary or appropriate in furtherance of the purposes of the Act.

C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others

Written comments on the proposed rule change were neither solicited nor received.

III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action

Because the foregoing proposed rule change: (1) Does not significantly affect the protection of investors or the public interest; (2) does not impose any significant burden on competition; and (3) does not become operative for 30

days from the date of filing, or such shorter time as the Commission may designate if consistent with the protection of investors and the public interest, and the Exchange has provided the Commission with written notice of its intent to file the proposed rule change at least five business days prior to the filing date,¹¹ the proposed rule change has become effective pursuant to section 19(b)(3)(A) of the Act¹² and Rule 19b-4(f)(6) thereunder.¹³

At any time within 60 days of the filing of such proposed rule change, the Commission may summarily abrogate such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act.¹⁴

IV. Solicitation of Comments

Interested persons are invited to submit written data, views and arguments concerning the foregoing, including whether the proposed rule change, as amended, is consistent with the Act. Persons making written submissions should file six copies thereof with the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW., Washington, DC 20549-0609. Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for inspection and copying in the Commission's Public Reference Room. Copies of such filing will also be available for inspection and copying at the principal office of the PCX. All submissions should refer to File Number SR-PCX-2002-12 and should be submitted by July 8, 2002.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.¹⁵

Jill M. Peterson,

Assistant Secretary.

[FR Doc. 02-15139 Filed 6-14-02; 8:45 am]

BILLING CODE 8010-01-P

¹¹ The Commission notes that PCX's original Form 19b-4, dated April 22, 2002, satisfied the pre-filing notice requirement.

¹² 15 U.S.C. 78s(b)(3)(A).

¹³ 17 CFR 240.19b-4(f)(6).

¹⁴ The proposed rule change became effective on May 17, 2002, the date on which Amendment No. 1 was filed and, therefore, the 60 day abrogation period began on May 17, 2002.

¹⁵ 17 CFR 200.30(a)(12).

SMALL BUSINESS ADMINISTRATION

Region VIII Regulatory Fairness Board; Public Federal Regulatory Enforcement Fairness Roundtable

The Small Business Administration Region VIII Regulatory Fairness Board and the SBA Office of the National Ombudsman will hold a Public Roundtable on Tuesday, June 25, 2002 at 8:30 a.m. at the Orthopedic Institute, 810 East 23rd Street, Sioux Falls, South Dakota, to provide small business owners and representatives of trade associations with an opportunity to share information concerning the federal regulatory enforcement and compliance environment.

Anyone wishing to attend or to make a presentation must contact Janice M. Camp in writing or by fax, in order to be put on the agenda. Janice M. Camp, U.S. Small Business Administration, South Dakota District Office, 110 South Phillips Avenue, Suite 200, Sioux Falls, SD 57104, phone (605) 330-4243, ext. 30, fax (605) 330-4215, e-mail: janice.camp@sba.gov. If unable to reach Janice M. Camp, please contact Michele Arends at (605) 330-4243, ext. 11 or at (605) 367-4891, e-mail: michele.arends@sba.gov.

For more information, see our Web site at www.sba.gov/ombudsman.

Dated: June 3, 2002.

Michael L. Barrera,
National Ombudsman.

[FR Doc. 02-15157 Filed 6-14-02; 8:45 am]

BILLING CODE 8025-01-P

SMALL BUSINESS ADMINISTRATION

Region V Regulatory Fairness Board; Public Federal Regulatory Enforcement Fairness Roundtable

The Small Business Administration Region V Regulatory Fairness Board and the SBA Office of the National Ombudsman will hold a Public Roundtable on Monday, July 15, 2002 at 10 a.m. at the McHenry County College, Room B-116, 8900 US Highway 14, Crystal Lake, IL 60012, to provide small business owners and representatives of trade associations with an opportunity to share information concerning the federal regulatory enforcement and compliance environment.

Anyone wishing to attend or to make a presentation must contact Gary Peele in writing or by fax, in order to be put on the agenda. Gary Peele, U.S. Small Business Administration, Illinois District Office, 500 West Madison Street, Chicago, IL 60661, phone (312)

⁸ 17 CFR 240.17a-4.

⁹ 15 U.S.C. 78f(b).

¹⁰ 15 U.S.C. 78f(b)(5).

353-7353, fax (202) 481-2031, e-mail: gary.peele@sba.gov.

For more information, see our Web site at www.sba.gov/ombudsman.

Dated: June 5, 2002.

Michael L. Barrera,
National Ombudsman.

[FR Doc. 02-15158 Filed 6-14-02; 8:45 am]

BILLING CODE 8025-01-P

SMALL BUSINESS ADMINISTRATION

Information Quality Guidelines; Correction

AGENCY: Small Business Administration.

ACTION: Notice; correction.

SUMMARY: The U.S. Small Business Administration ("SBA") published in the *Federal Register* on June 4, 2002, a notice seeking public comments on its draft report ("Report") concerning SBA's proposed information quality guidelines. The notice contained incorrect dates.

FOR FURTHER INFORMATION CONTACT: Chet Francis, Office of the Chief Information Officer, (202) 205-6289.

Corrections

In the *Federal Register* of June 4, 2002, in FR Doc. 02-13989, on page 38541, in the first column, correct:

1. The **DATES** section to read:

DATES: Comments must be received on or before July 5, 2002; and

2. The first date stated in the last paragraph in the **Supplementary Information** section, by correcting that paragraph to read: After consideration of public comments, SBA will make appropriate revisions to the draft Report and submit it to OMB for review by no later than August 1, 2002. Upon completion of OMB's review and finalization of the Report, SBA will make its final Report available to the public by no later than October 1, 2002.

Dated: June 11, 2002.

Lawrence E. Barrett,
Chief Information Officer.

[FR Doc. 02-15159 Filed 6-14-02; 8:45 am]

BILLING CODE 8025-01-P

OFFICE OF SPECIAL COUNSEL

Agency Information Collection Activities; Request for Comment

AGENCY: U.S. Office of Special Counsel.

ACTION: Notice.

SUMMARY: In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35), and implementing

regulations at 5 C.F.R. Part 1320, the U.S. Office of Special Counsel (OSC) is requesting review and clearance from the Office of Management and Budget (OMB) for use of three previously approved information collections consisting of customer survey forms, with minor revisions. The current OMB approval for these collections of information expired on March 31, 2002; OSC does not plan to use the forms again until October 1, 2002. On March 14, 2002, an initial notice of this request for OMB approval, with a request for public comment, was published in the *Federal Register* at 65 F.R. 20504. No comments were received. Current and former Federal employees and applicants, other federal agencies, state and local government employees, and the general public are again invited to send comments to OMB on these information collection activities.

DATES: Comments should be received on or before July 17, 2002.

ADDRESSES: Comments should be sent to the Office of Information and Regulatory Affairs at the Office of Management and Budget, Attention: Desk Officer for U.S. Office of Special Counsel, New Executive Office Building, 725 Seventeenth Street, N.W., Room 10235, Washington, DC 20503. A copy of any comments should also be sent to Kathryn Stackhouse, U.S. Office of Special Counsel, Planning and Advice Division, 1730 M Street, N.W. (Suite 201), Washington, DC 20036-4505.

FOR FURTHER INFORMATION CONTACT: Copies of the collections of information are available from Kathryn Stackhouse, U.S. Office of Special Counsel, Planning and Advice Division, 1730 M Street, N.W. (Suite 201), Washington, DC 20036-4505; telephone (202) 653-8971; facsimile (202) 653-5151. Copies are also available on OSC's Web site, at www.osc.gov/reading.htm.

SUPPLEMENTARY INFORMATION: OSC is an independent agency responsible for: (1) investigation of allegations of prohibited personnel practices defined by law at 5 U.S.C. 2302(b), and certain other illegal employment practices under titles 5 and 38 of the U.S. Code, affecting current or former Federal employees or applicants for employment, and covered state and local government employees; (2) the interpretation and enforcement of Hatch Act provisions on political activity in chapters 15 and 73 of title 5 of the U.S. Code; and (3) the provision of a secure channel through which Federal employees may make disclosures of information evidencing violations of law, rule or regulation; gross waste of funds; gross mismanagement; abuse of

authority; or a substantial and specific danger to public health or safety.

OSC is required to conduct an annual survey of all individuals who seek its assistance. Section 13 of Public Law 103-424 (1994), codified at 5 U.S.C. 1212 note, states, in part: "[T]he survey shall—(1) determine if the individual seeking assistance was fully apprised of their rights; (2) determine whether the individual was successful either at the Office of Special Counsel or the Merit Systems Protection Board; and (3) determine if the individual, whether successful or not, was satisfied with the treatment received from the Office of Special Counsel." The same section also provides that survey results are to be published in OSC's annual report to Congress.

OSC uses three forms to survey potential respondents in three types of matters closed during the previous fiscal year. Each of these forms is described below. The forms to be submitted to OMB contain minor modifications to existing forms, including increased use of "plain English" and format changes. The Privacy Act notice on the cover letters has also been updated. In addition, the estimated number of annual respondents for each survey has been reduced to reflect estimated survey response rates, rather than the number of surveys sent.

Comment is invited on the following collections of information:

1. *Title of Collection:* OSC Survey—Prohibited Personnel Practice or Other Prohibited Activity (Agency Form Number OSC-48a; OMB Control Number 3255-0003)

Summary of Collection of Information: This form is used to survey those individuals whose allegations of possible prohibited personnel practices or other prohibited activity have been resolved during the prior fiscal year. The survey asks questions relating to whether the respondent was: (1) apprised of his or her rights; (2) successful at OSC or at the Merit Systems Protection Board; and (3) satisfied with the treatment received at OSC.

Need for and Proposed Use of the Information: This survey is required by law under section 13 of Public Law 103-424 (1994), codified at 5 U.S.C. 1212 note. Results are summarized, in statistical form, in OSC's annual report to Congress, also as required by law. In addition, the survey results are reported to OSC's senior staff, who use them to: (1) assess levels of satisfaction with services rendered; (2) link results with management planning and other agency operations; (3) identify areas where improvements can be made; (4) enhance